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Sant'Anna
Scuola Universitaria Superiore Pisa

Compact Silicon Photonic Mach-Zehnder Modulators for High-Energy Physics

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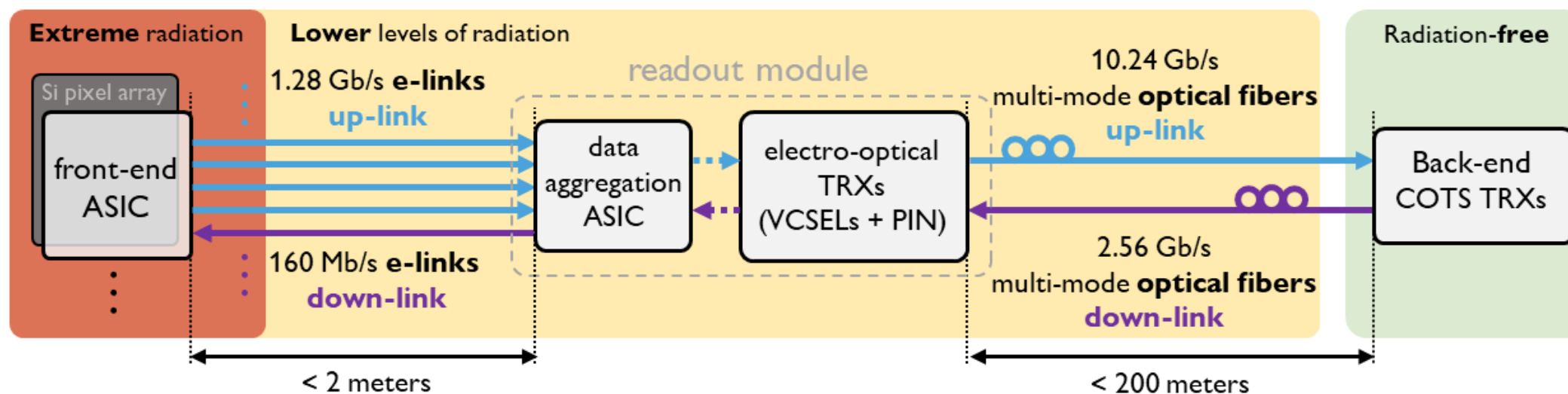
**TWEPP
2023**

Outline

- Silicon photonic optical links in high-energy physics
- Silicon photonic modulators alternatives
- Folded Mach-Zehnder modulators design and characterization
 - Standard phase shifter
 - Rad-hard phase shifter
- Electro-optical bit-error-rate (BER) tests:
 - Standard vs. rad-hard comparison
 - CMOS-compatible driving
- Rad-hard FMZM ionizing irradiation results
- Conclusions

Introduction – Silicon Photonics for HEP (1/2)

- Optical links in HEP currently not routed down to innermost detector layers (bulky e-links)
- State-of-the-art readout modules (LpGBT, VTRX+) limited in radiation-hardness:
 - **< 1 MGy** TID(SiO₂)
 - **< 1·10¹⁵ n_{eq}/cm²** fluence (1-MeV neutron equivalent DD)



Introduction – Silicon Photonics for HEP (2/2)

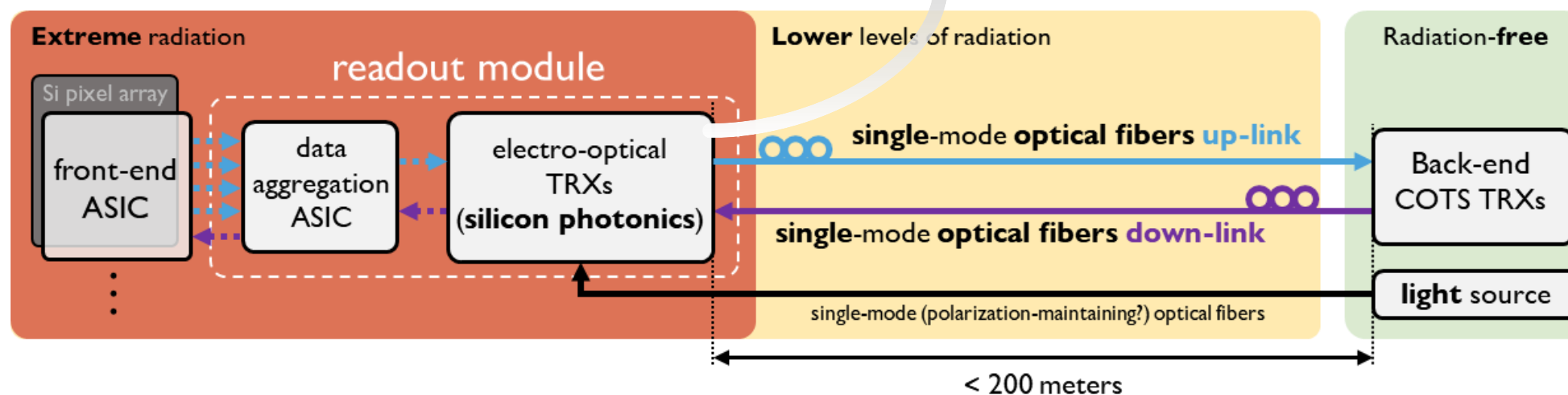
- Silicon photonics for next-generation optical links
 - Low material budget (**< 1 mm** Si thickness)
 - Low-power high-speed data transmission (**10s of Gb/s**)
 - Wavelength multiplexing
 - Promising radiation tolerance (**> 10 MGy** TID(SiO₂) and **> 5·10¹⁶ n_{eq}/cm² DD**)

electrical data
(from ASIC driver)

Electro-optical
modulator

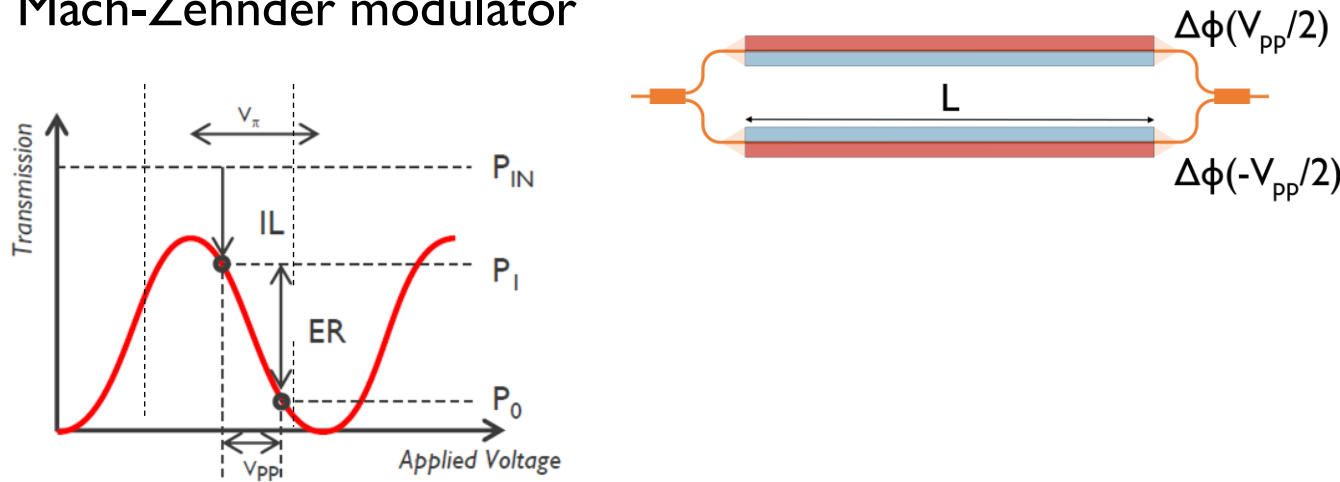
optical data
(to backend)

laser CW light

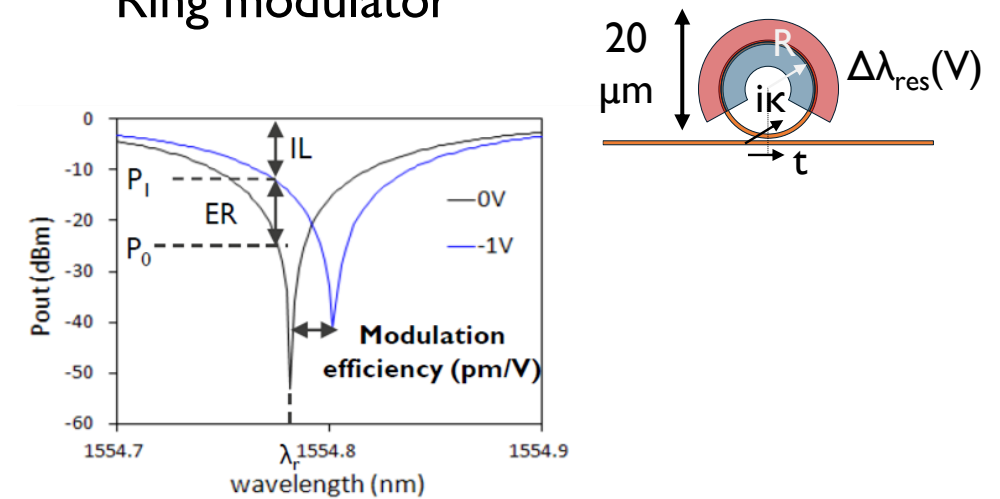


Introduction – All-Silicon EO Modulator Alternatives

Mach-Zehnder modulator



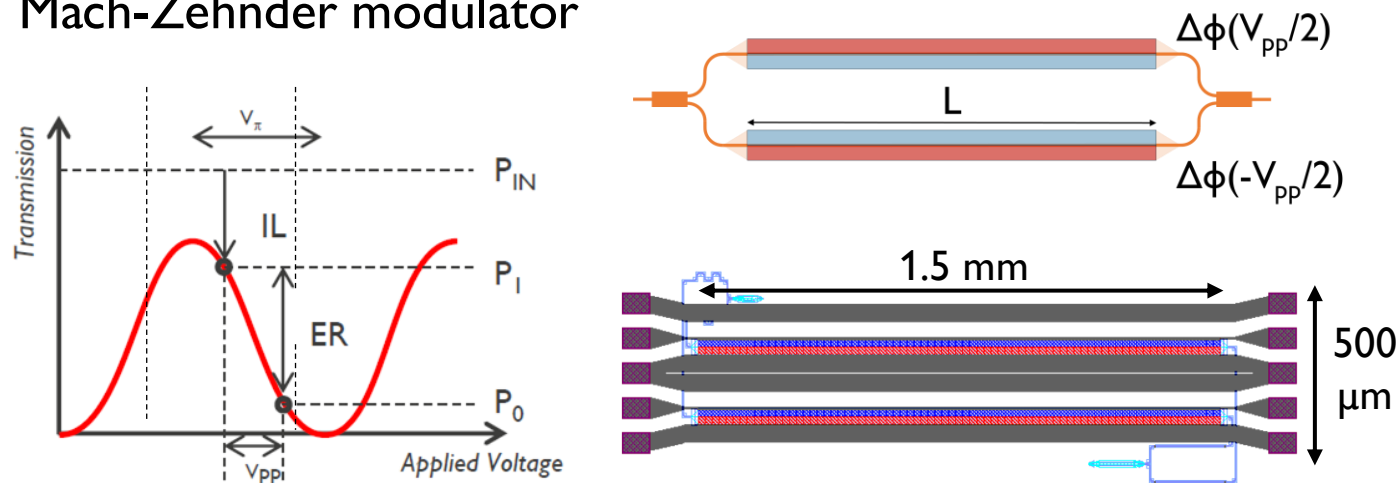
Ring modulator



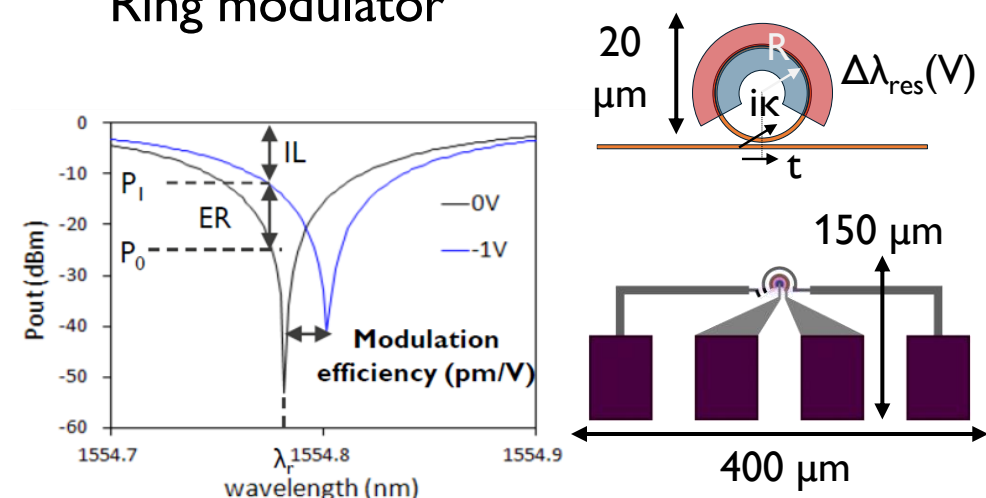
Metrics	Mach-Zehnder Modulator	Ring Modulator
Optical bandwidth	Broadband (if balanced)	Narrow-band (~ 1 nm)
Process/Temperature sensitivity	Robust	Active resonance control required

Introduction – All-Silicon EO Modulator Alternatives

Mach-Zehnder modulator



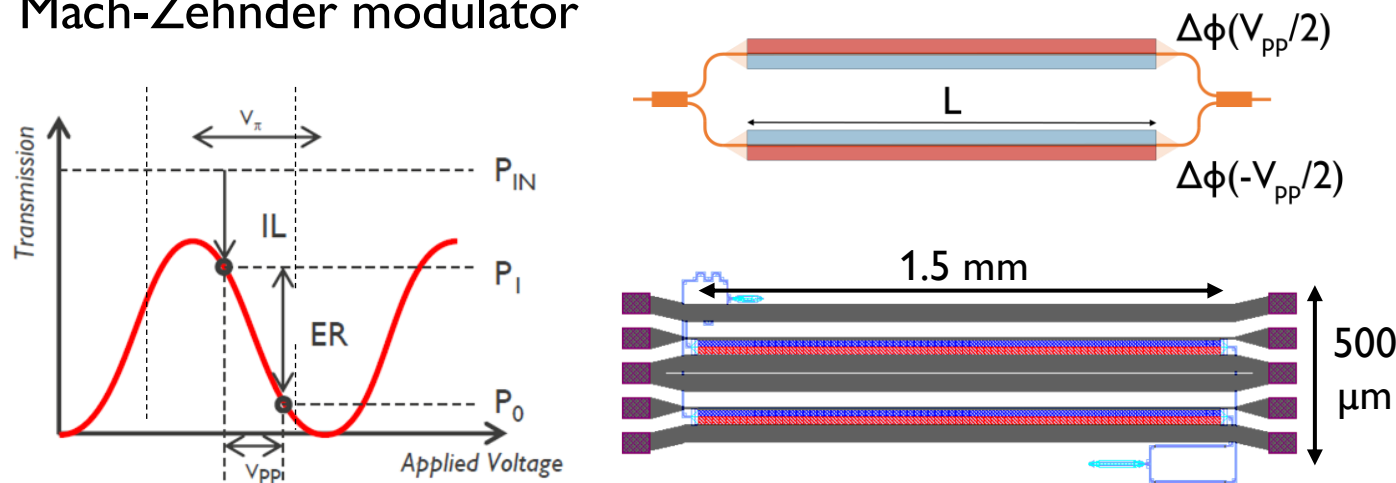
Ring modulator



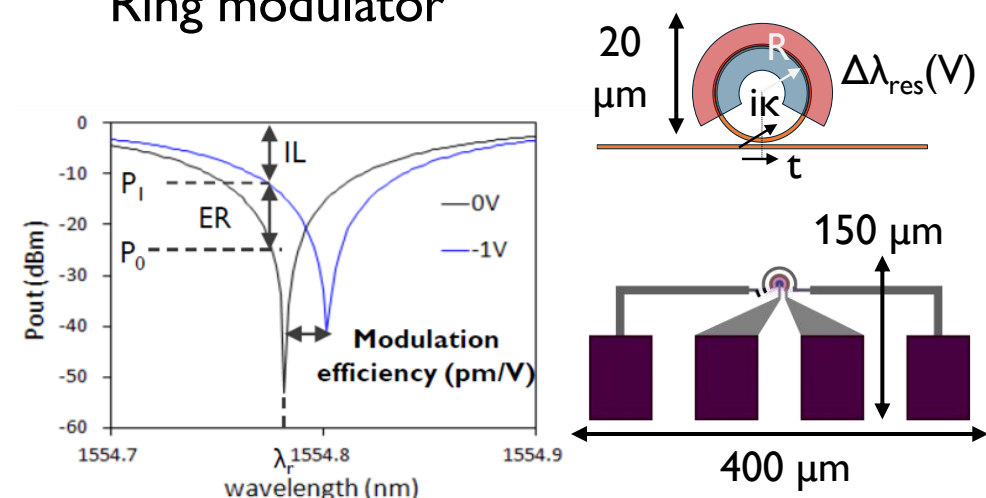
Metrics	Mach-Zehnder Modulator	Ring Modulator
Optical bandwidth	Broadband (if balanced)	Narrow-band (~ 1 nm)
Process/Temperature sensitivity	Robust	Active resonance control required
Footprint	Large (mm -scale)	Small (10 μm -scale, w/o pads!)
Power consumption	Large (DC + RF: 10 pJ/bit -scale)	Small (RF: 10 fJ/bit -scale)
Common driving condition	Traveling-wave (RF terminated)	Lumped-element

Introduction – All-Silicon EO Modulator Alternatives

Mach-Zehnder modulator



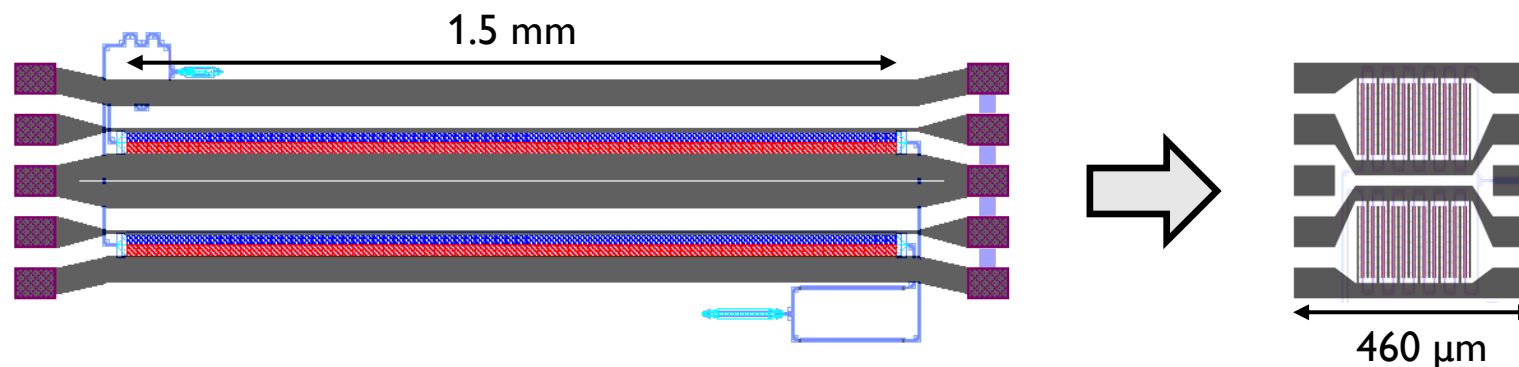
Ring modulator



Metrics	Mach-Zehnder Modulator	Ring Modulator
Optical bandwidth	Broadband (if balanced)	Narrow-band (~ 1 nm)
Process/Temperature sensitivity	Robust	Active resonance control required
Footprint	Medium (100 μm-scale)	Small (10 μm-scale, w/o pads!)
Power consumption	Medium (only RF)	Small (RF: 10 fJ/bit-scale)
Common driving condition	Lumped-element	Lumped-element

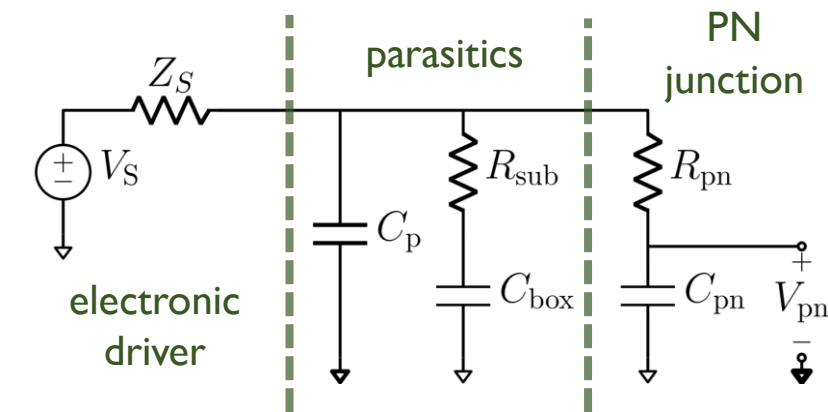
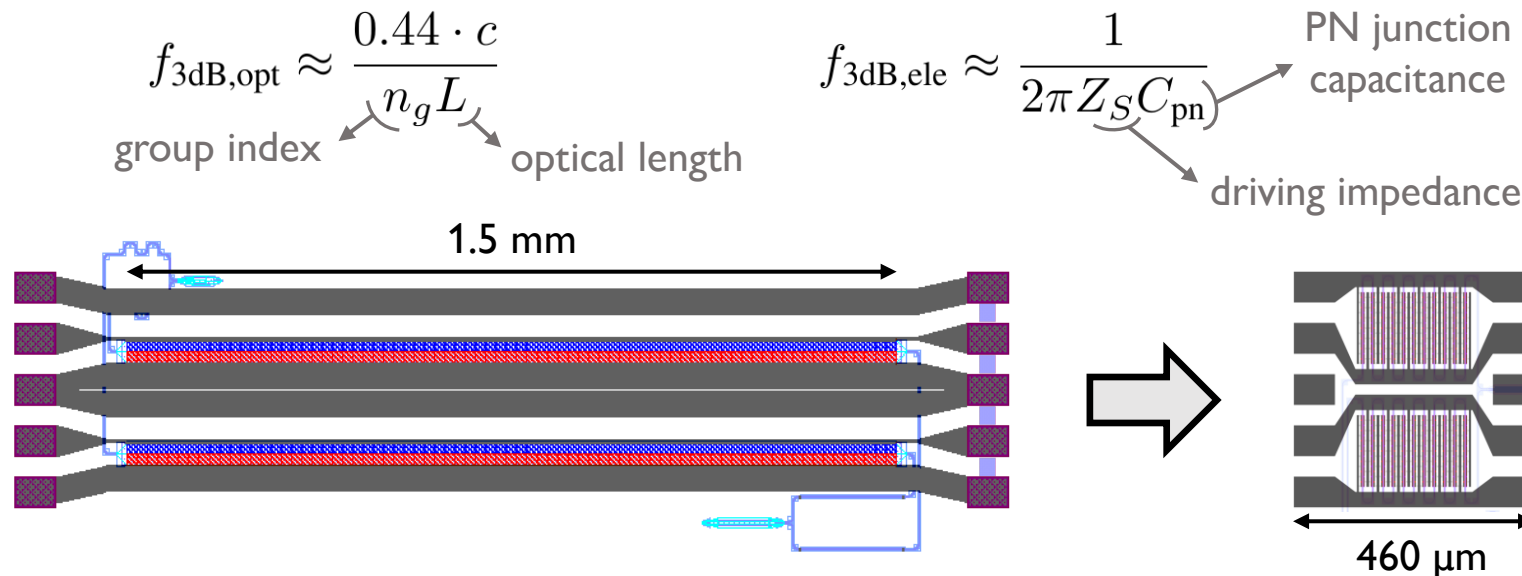
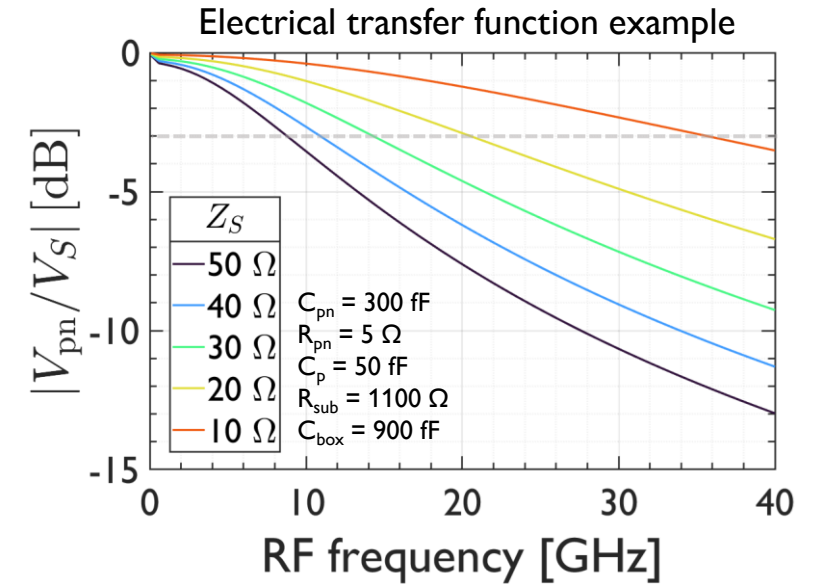
Lumped-element MZM Description

- Electrodes size should be much less than RF wavelength to avoid traveling-wave effects
- **Non-terminated** device: no DC power consumption and on-chip thermal dissipation



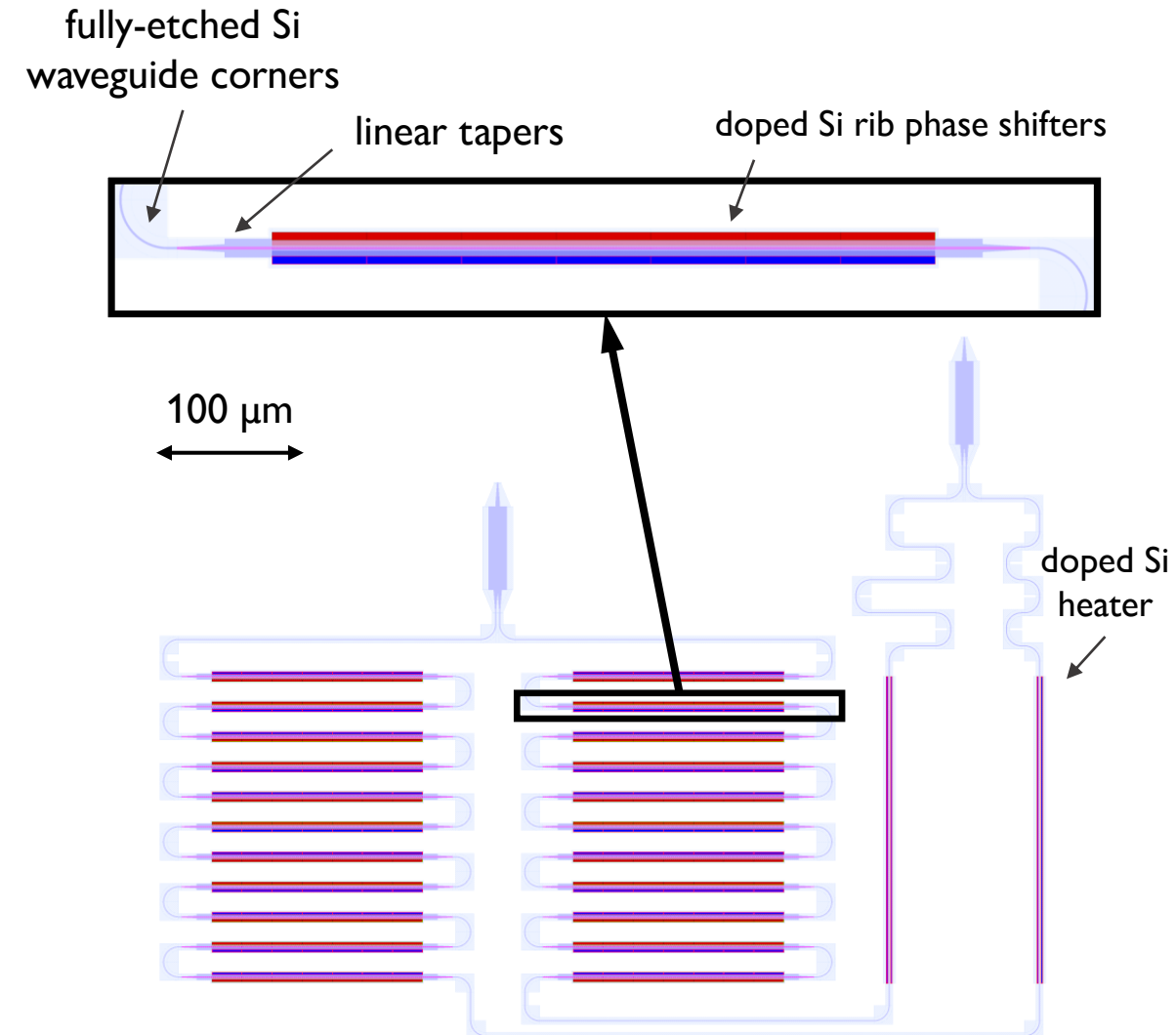
Lumped-element MZM Description

- Electrodes size should be much less than RF wavelength to avoid traveling-wave effects
- **Non-terminated** device: no DC power consumption and on-chip thermal dissipation
- **Optical** bandwidth limit: optical transit time
- **Electrical** bandwidth limit: RC charge-discharge



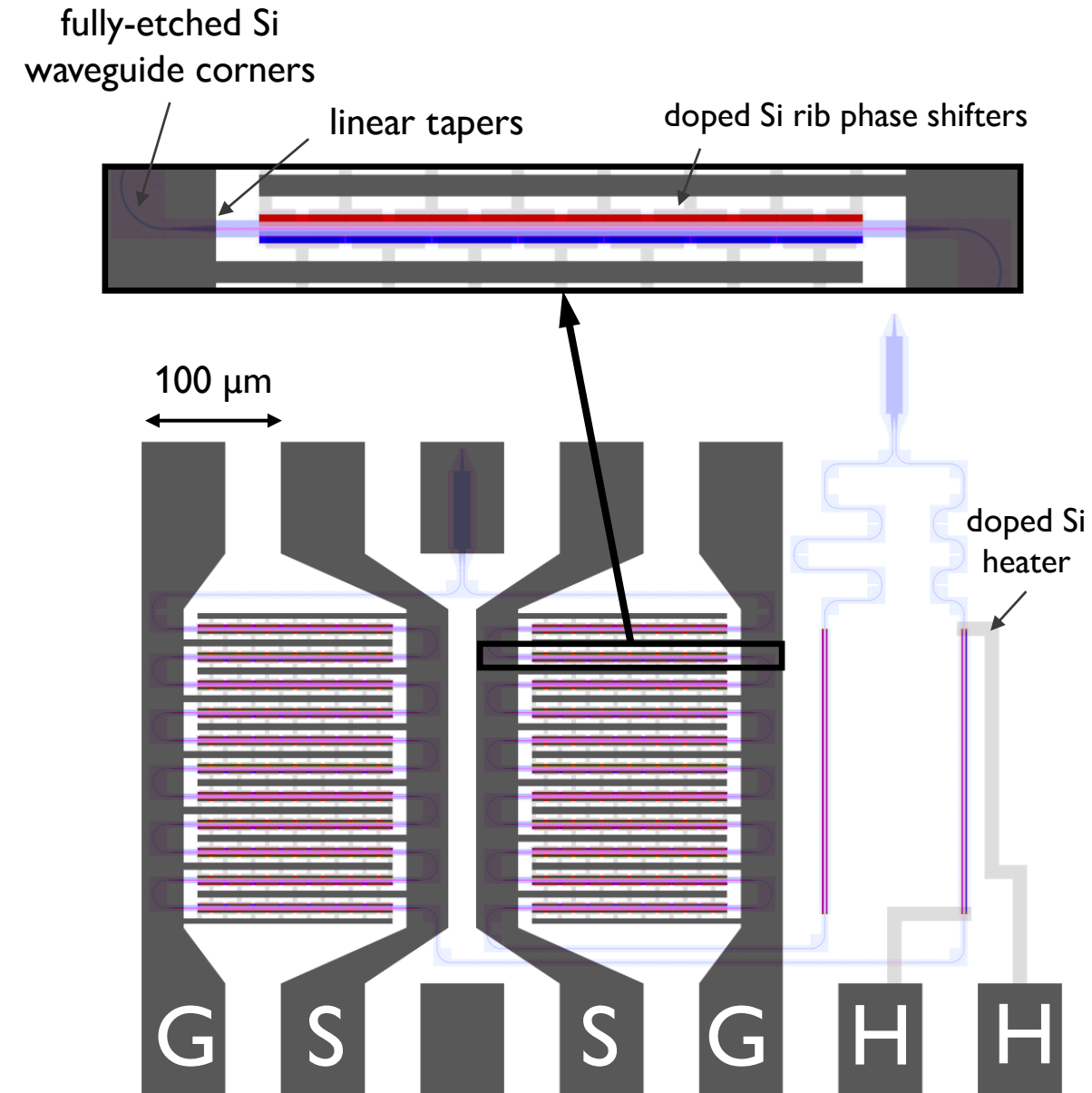
Folded MZM Device Design

- Technology: Imec's iSiPP50G
- MZM with **meandered** phase shifters:
 - **1.5 mm**-long active length per MZM arm
($f_{3\text{dB,opt}} \sim 15 \text{ GHz}$)
 - Unbalanced arm lengths: operating point tunable
changing wavelength



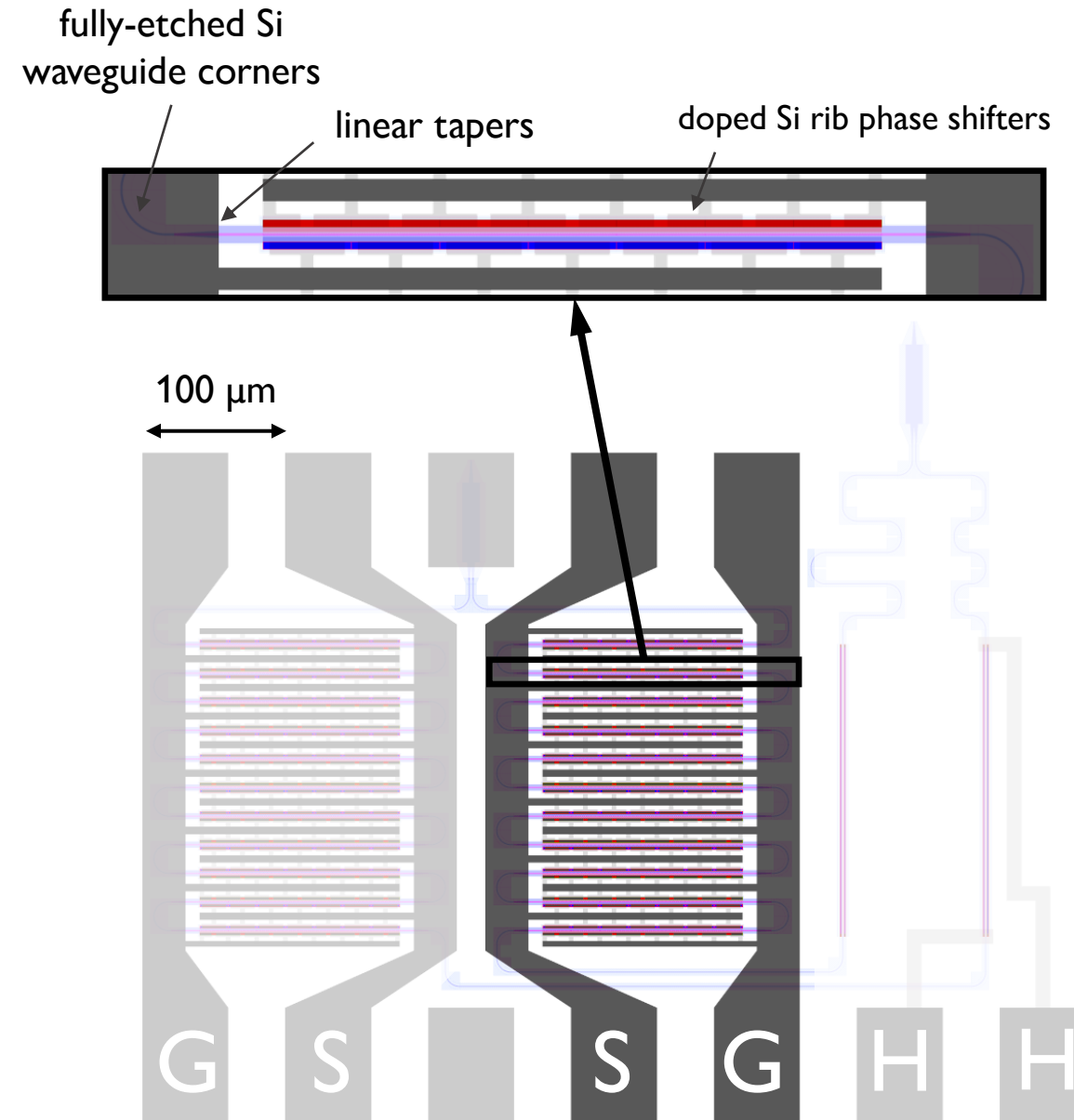
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 - Unbalanced arm lengths: operating point tunable changing wavelength
 - Interdigitated electrodes: $460 \mu\text{m} \times 240 \mu\text{m}$ footprint per MZM arm
- Test conditions:
 - **Single-arm driving**
 - No external 50Ω termination

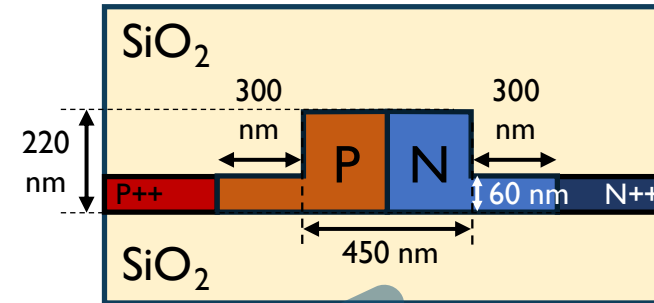


Folded MZM PN Phase Shifter Cross-sections

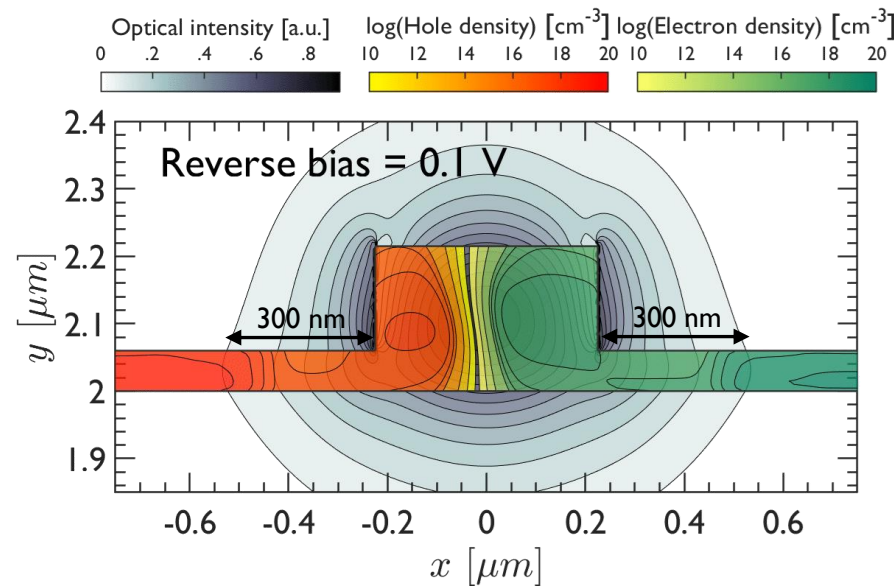
P conc. $\sim 1 \times$ N conc.
 P+ conc. $\sim 10 \times$ P conc.
 P++ conc. $\sim 50 \times$ P conc.
 N++ conc. $\sim 50 \times$ N conc.

- Effective index change via charge carriers movement (PN-junction in SOI waveguide)

Conventional design



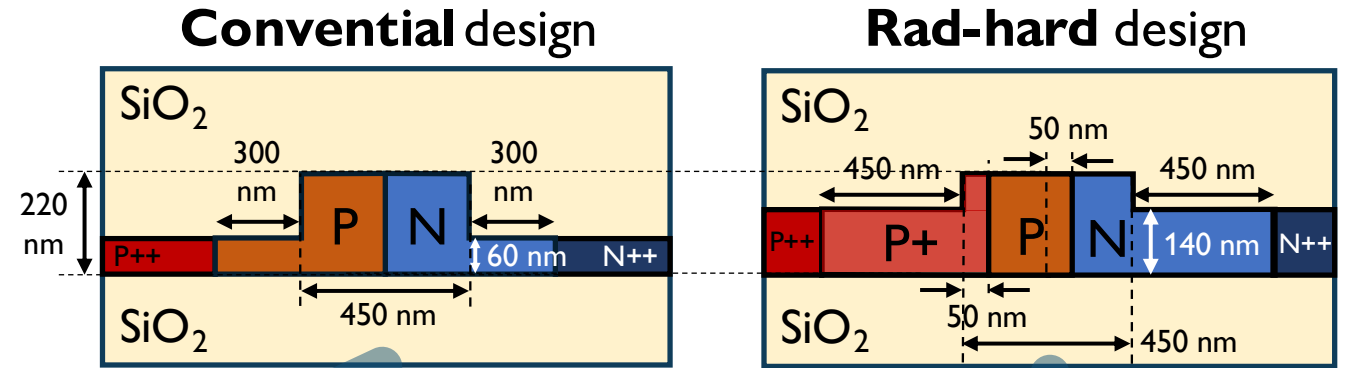
Standard design



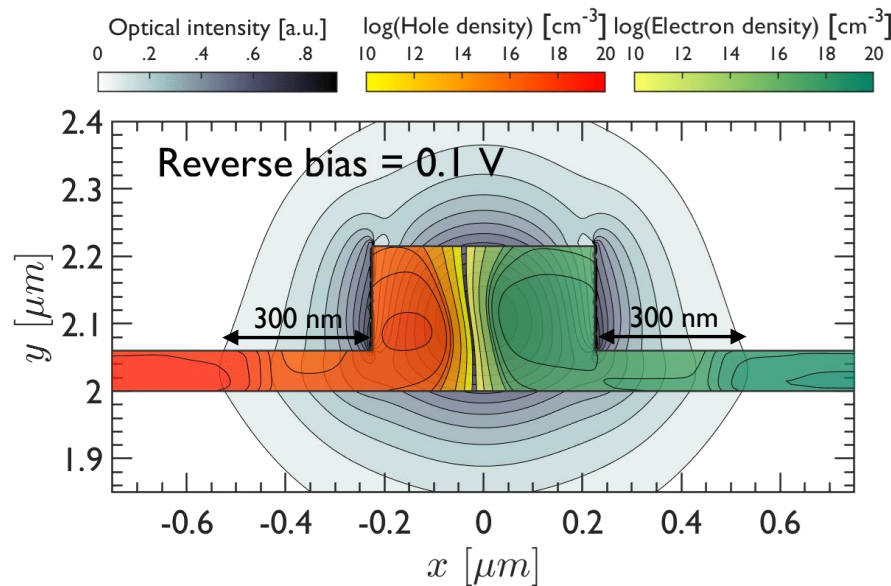
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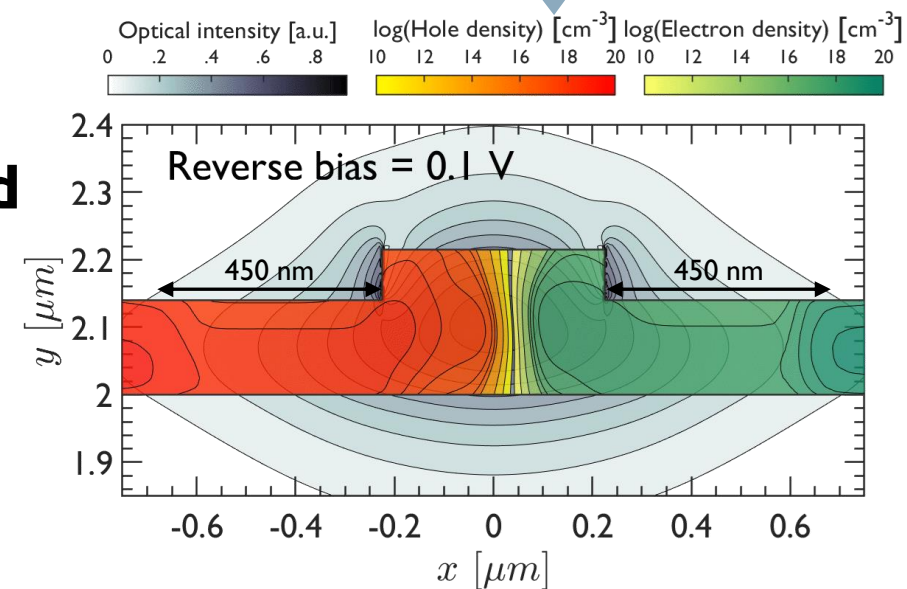
- Effective index change via charge carriers movement (PN-junction in SOI waveguide)
- Radiation-hardening by design:
 - Shallower etch
 - P-side doping increase



Standard design



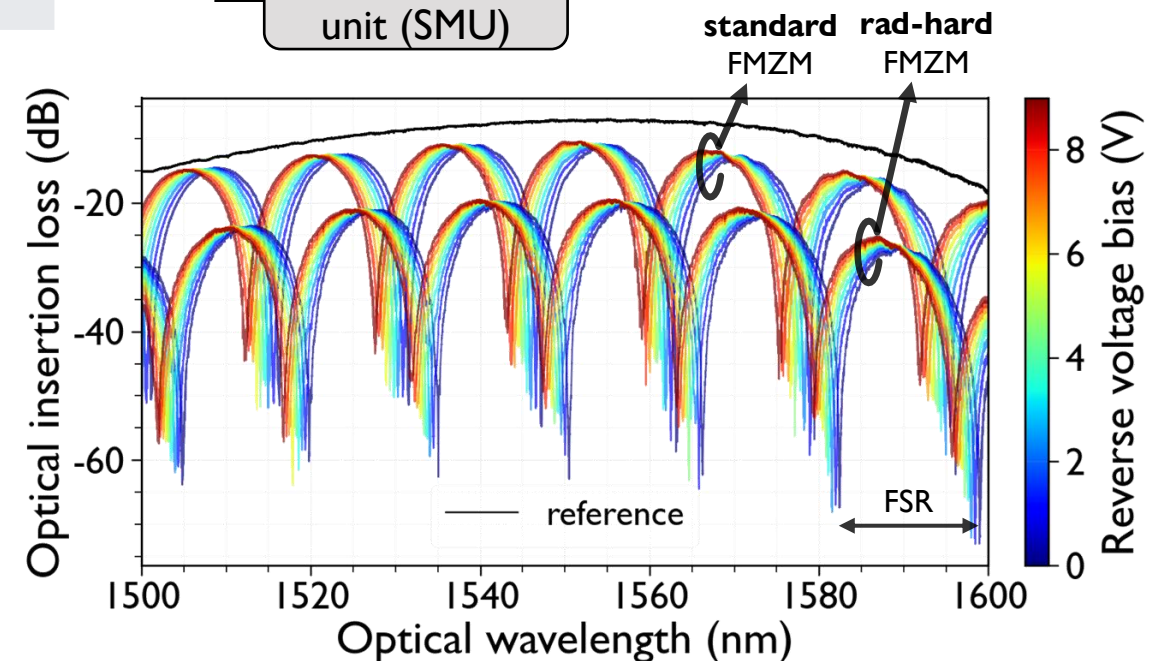
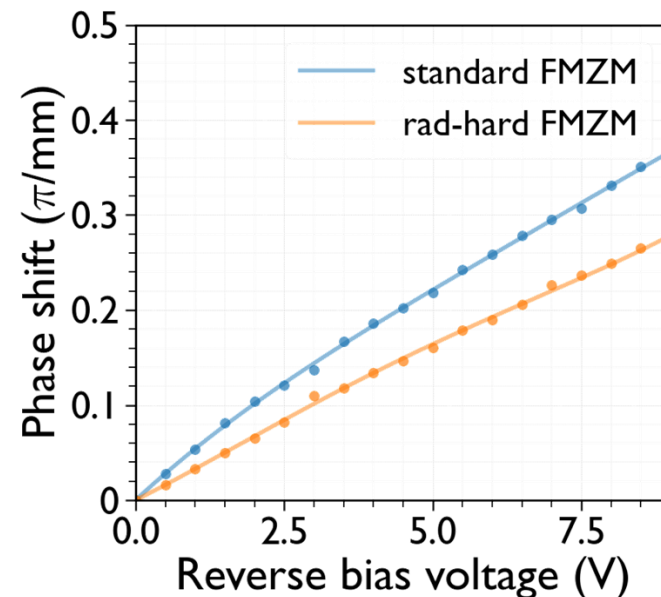
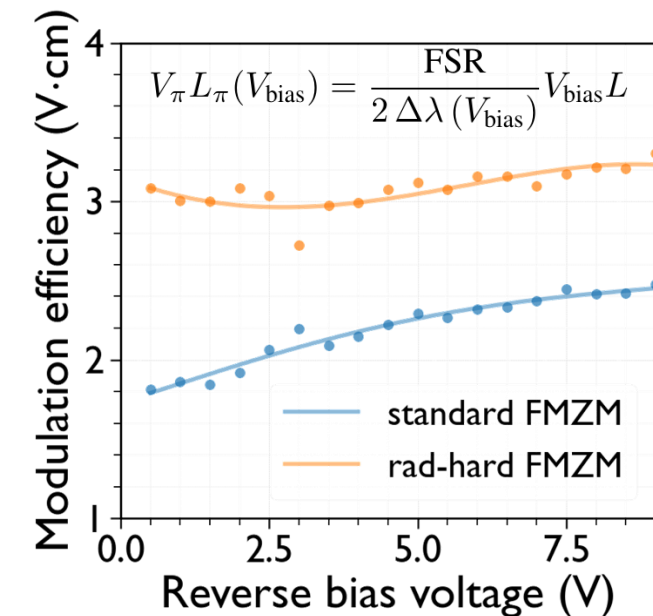
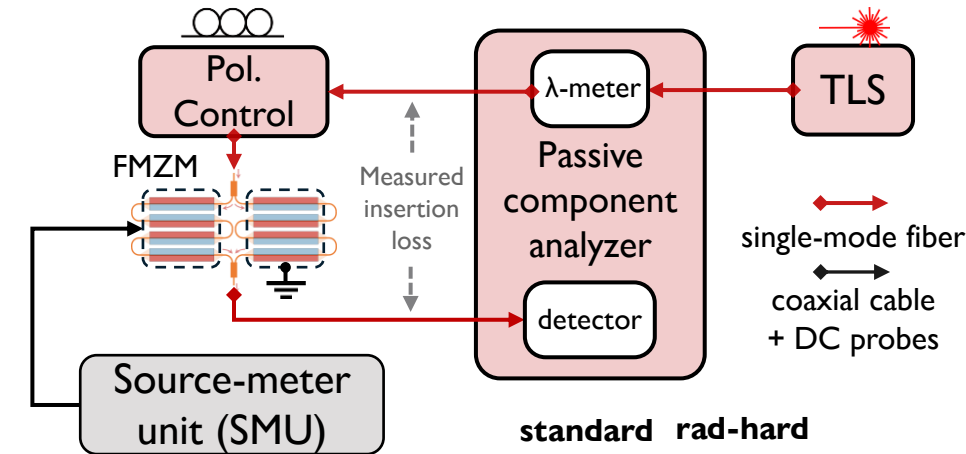
Rad-hard design



DC Electro-optic Characterization

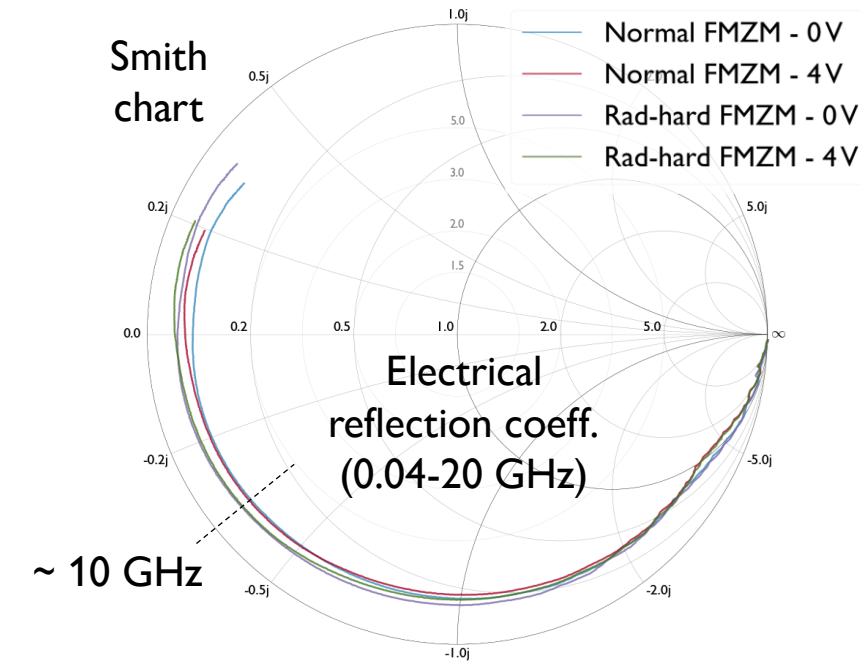
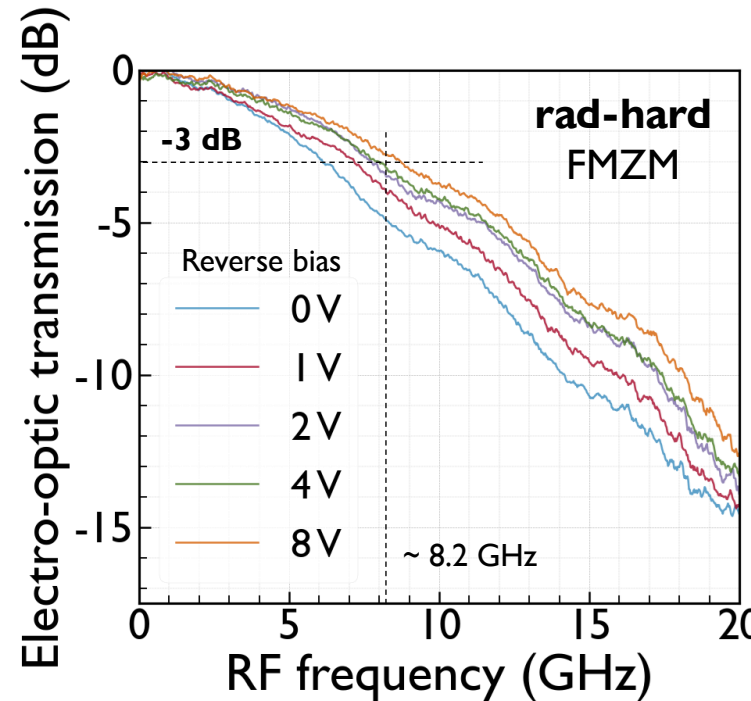
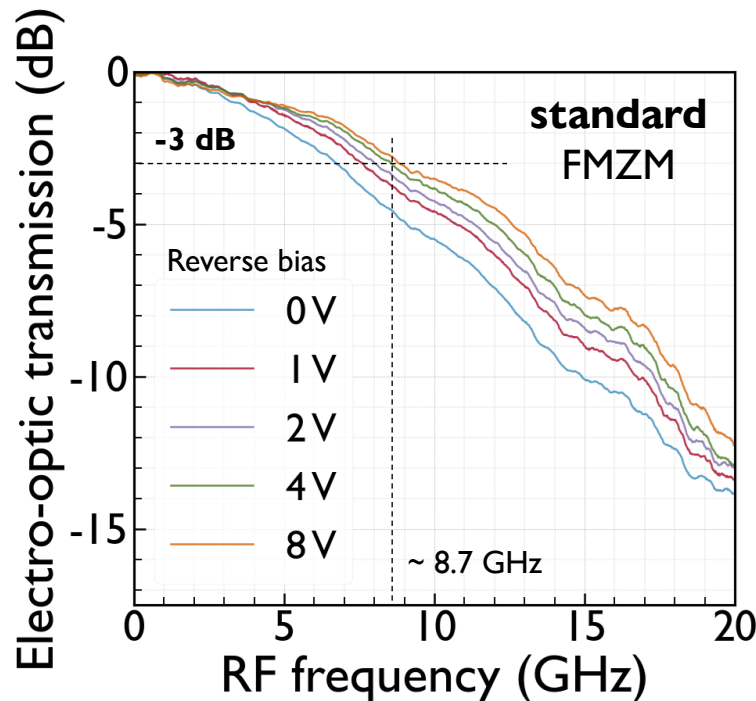
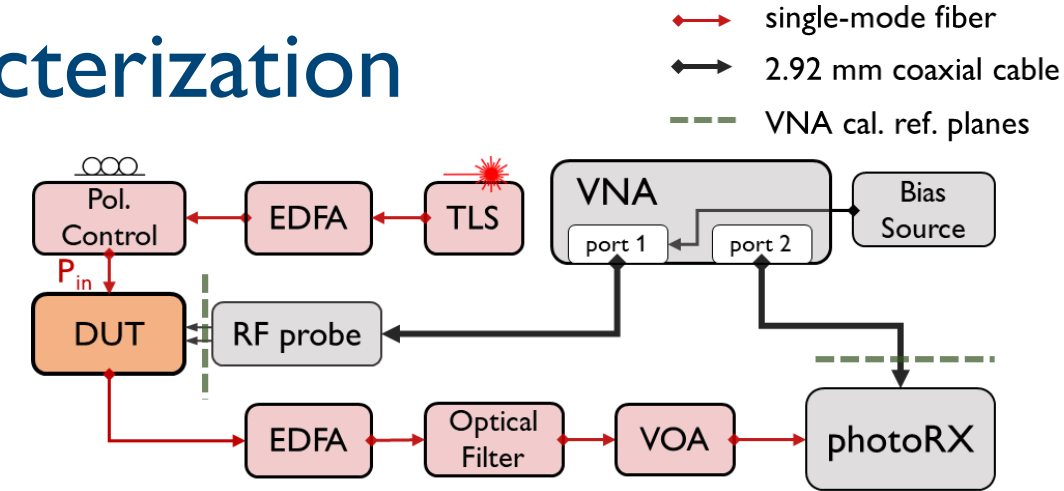
- Tunable laser swept across C-band to capture performance metrics dependence on PN junction bias

Metrics @ 0 V _{bias}	Standard FMZM	Rad-hard FMZM
Optical propagation loss @ 1550 nm	~ 15 dB/cm	~ 61 dB/cm
Modulation efficiency V _π L _π	~ 1.8 V·cm	~ 3 V·cm



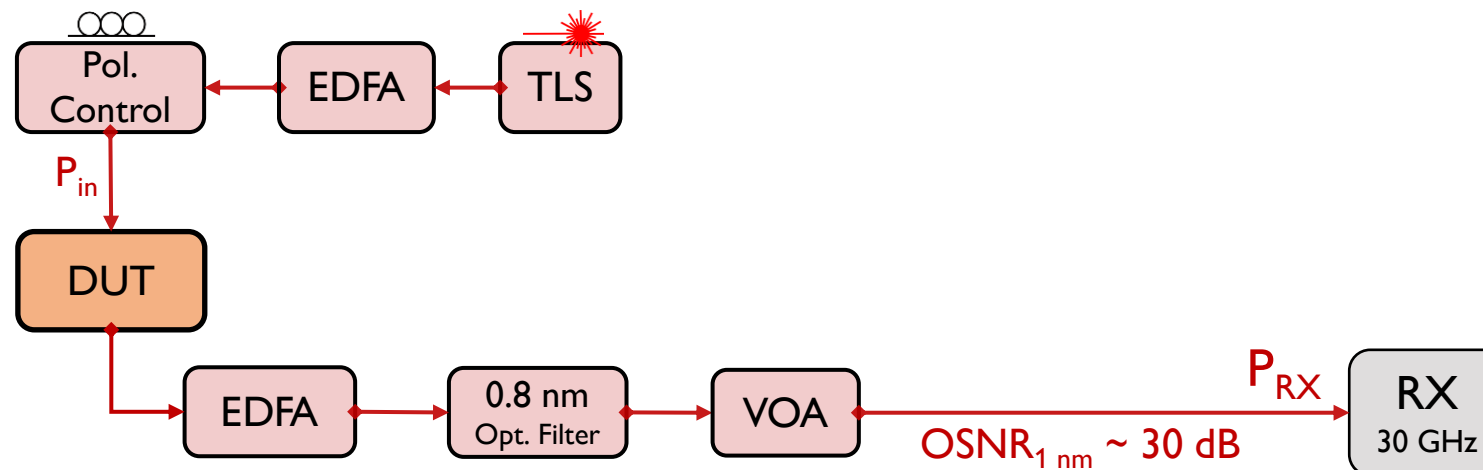
Small-signal Electro-optic Characterization

- Electro-optic -3dB bandwidths ranging from 6.5 to 8.5 GHz for both devices (bias-dependent)
- Test conditions: laser wavelength in C-band at MZM quadrature + optical amplification to recover from coupling optical losses



Large-signal Electro-optic Measurement Setup

- **Goal:** capture time-domain performances via eye diagrams and bit-error-rate (BER) analysis with respect to:
 - received optical power P_{RX} (constant OSNR)
 - bit-rate
- **NRZ** transmission system

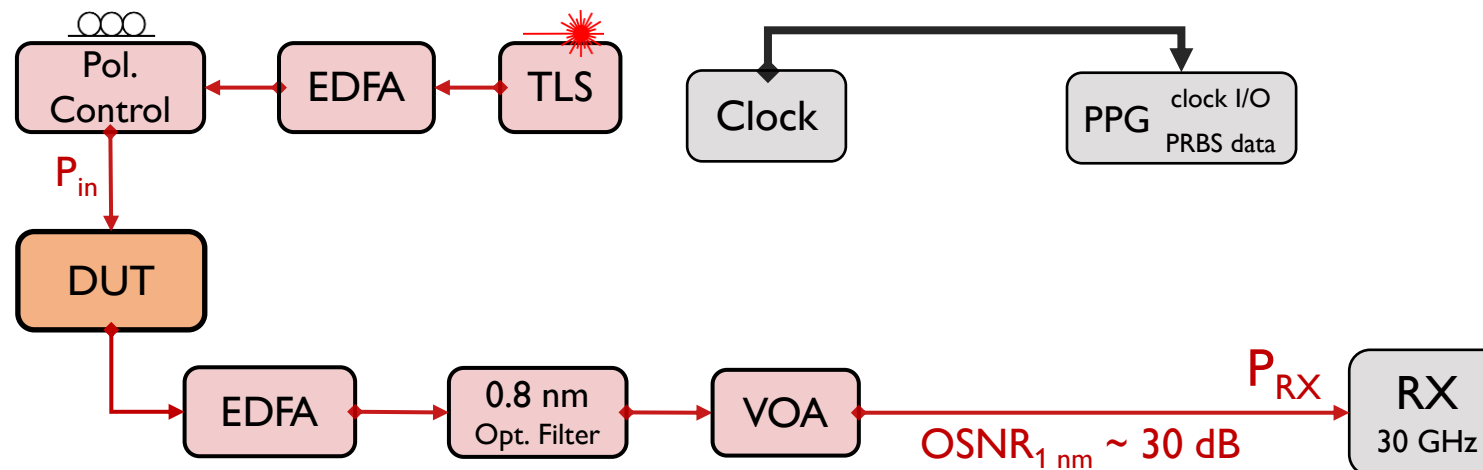


Legend:

- ◄→ single-mode fiber
- ◄→ coaxial cable
- ◄→ 2.92 mm coaxial cable

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- **NRZ** transmission system: PRBS 2^7-1 pattern

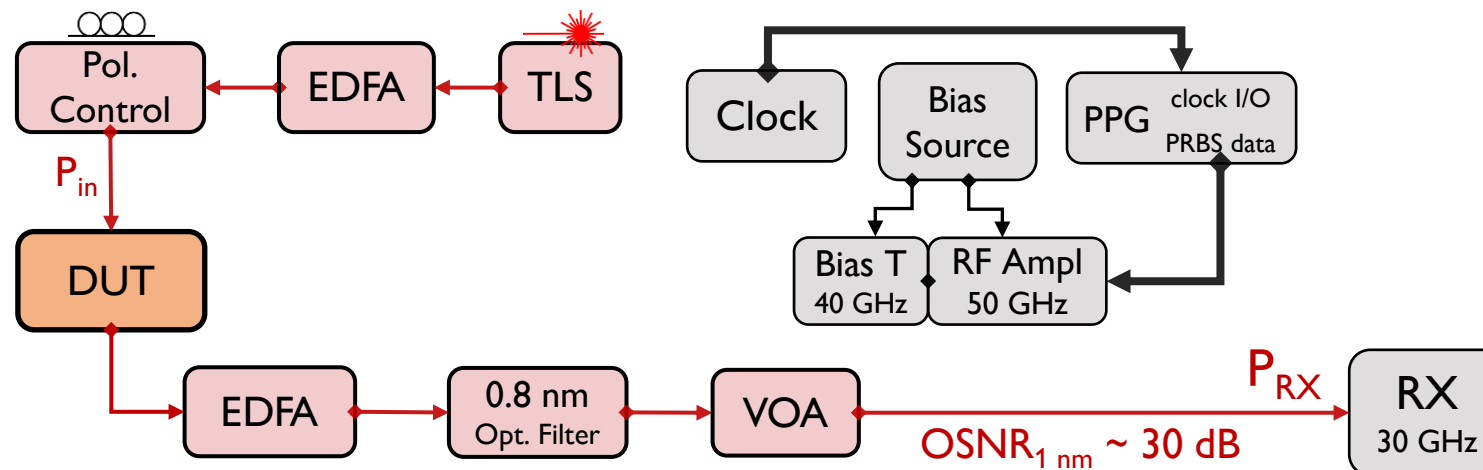


Legend:

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 - received optical power P_{RX} (constant OSNR)
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- **NRZ** transmission system: PRBS 2^7-1 pattern, RF amplification

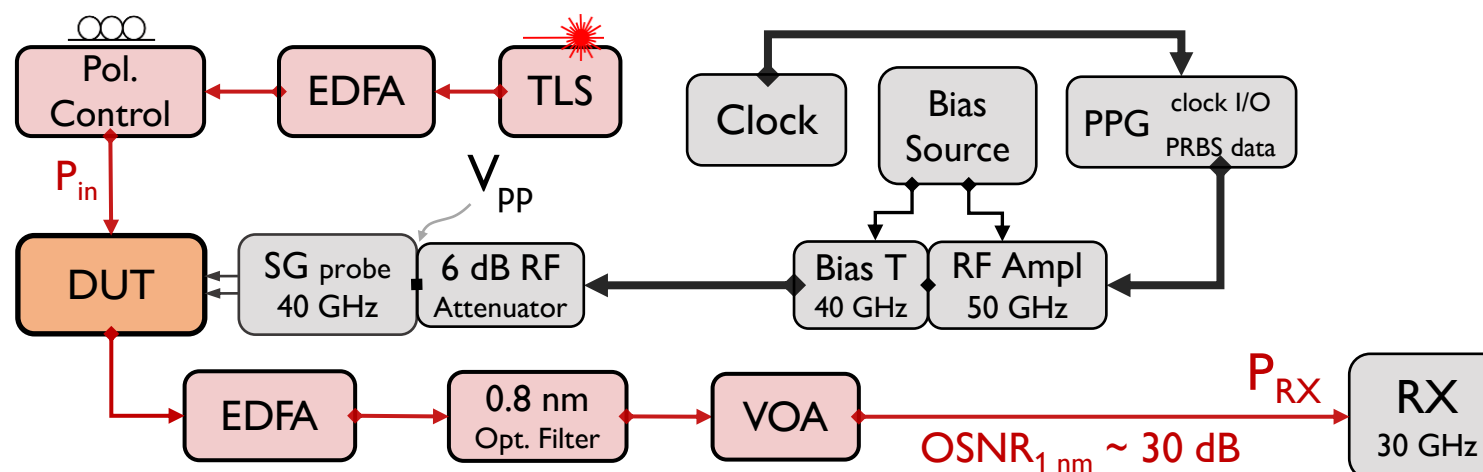


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- **NRZ** transmission system: PRBS 2^7-1 pattern, RF amplification and on-chip probing with in-line RF attenuator

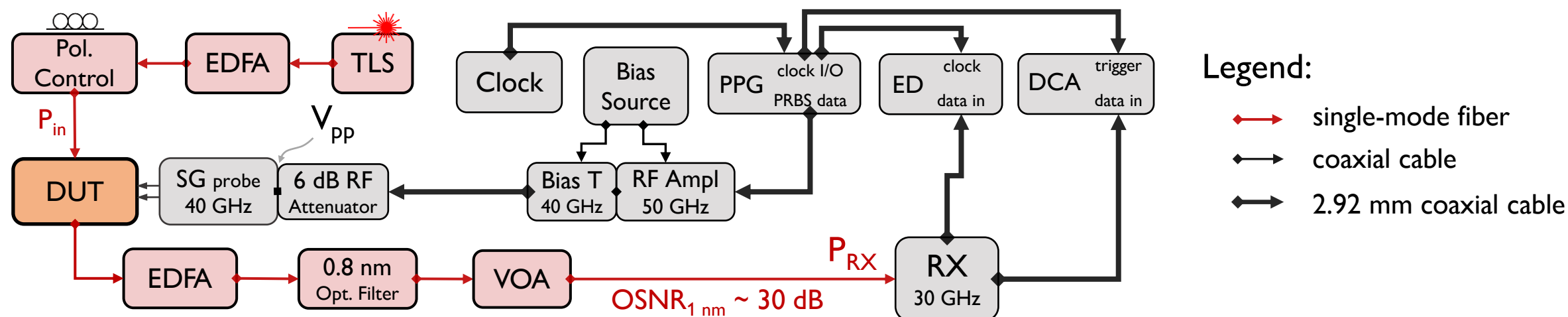


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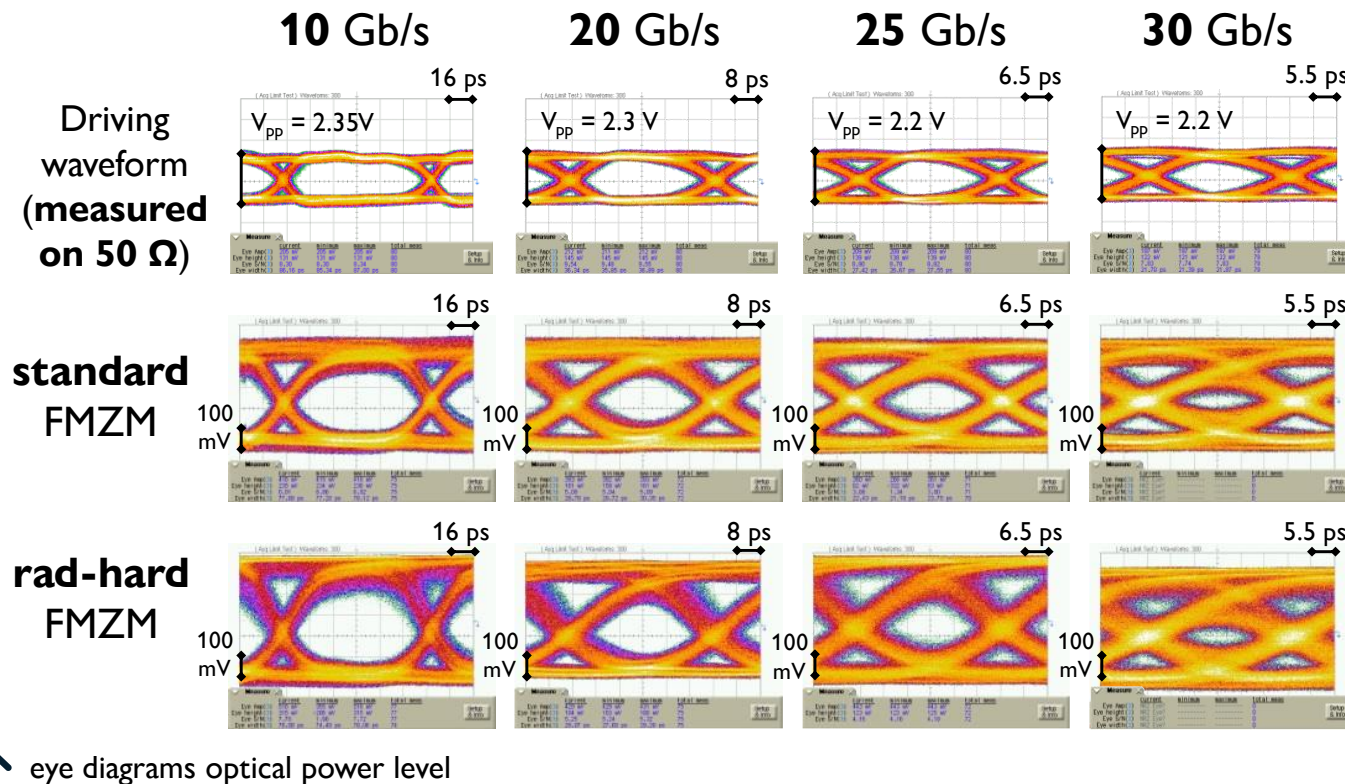
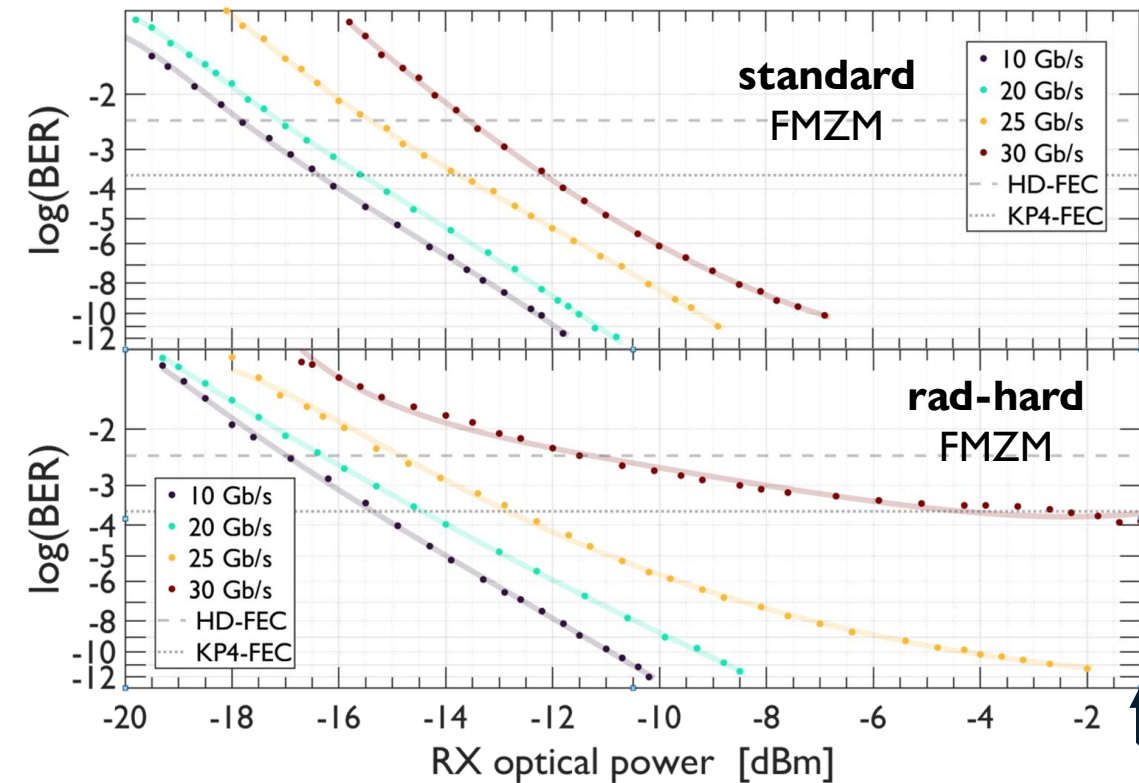
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Large-signal Results Standard vs. Rad-hard FMZMs

- 30 Gb/s error-free transmission with conventional design, while BER floors start to appear in rad-hard FMZM at 25 Gb/s due to comparatively higher $V_{\pi}L_{\pi}$
- Test conditions: $\sim 4.4 V_{pp}$ ($4 V_{bias}$) driving on modulator pads, laser wavelength in C-band

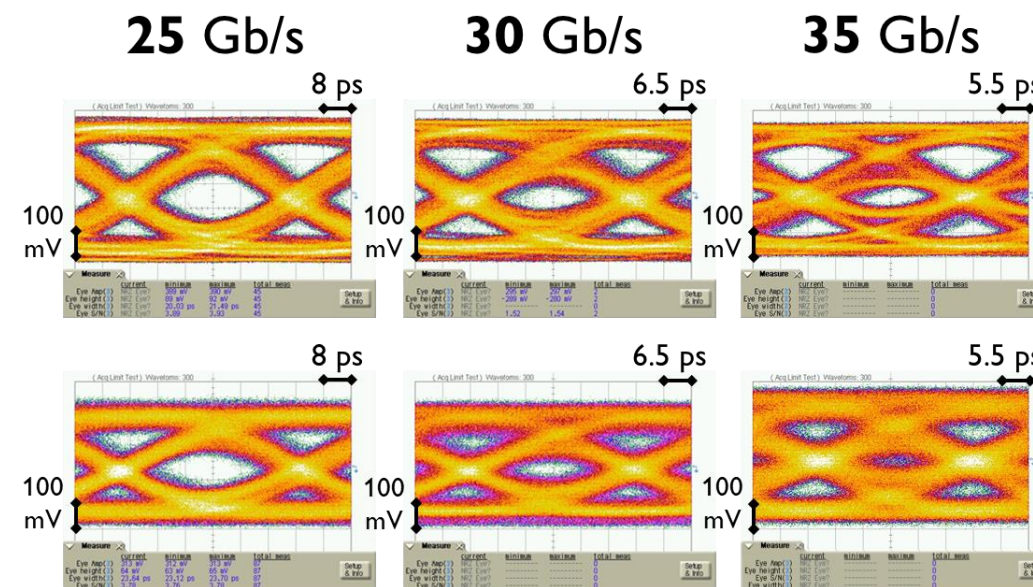
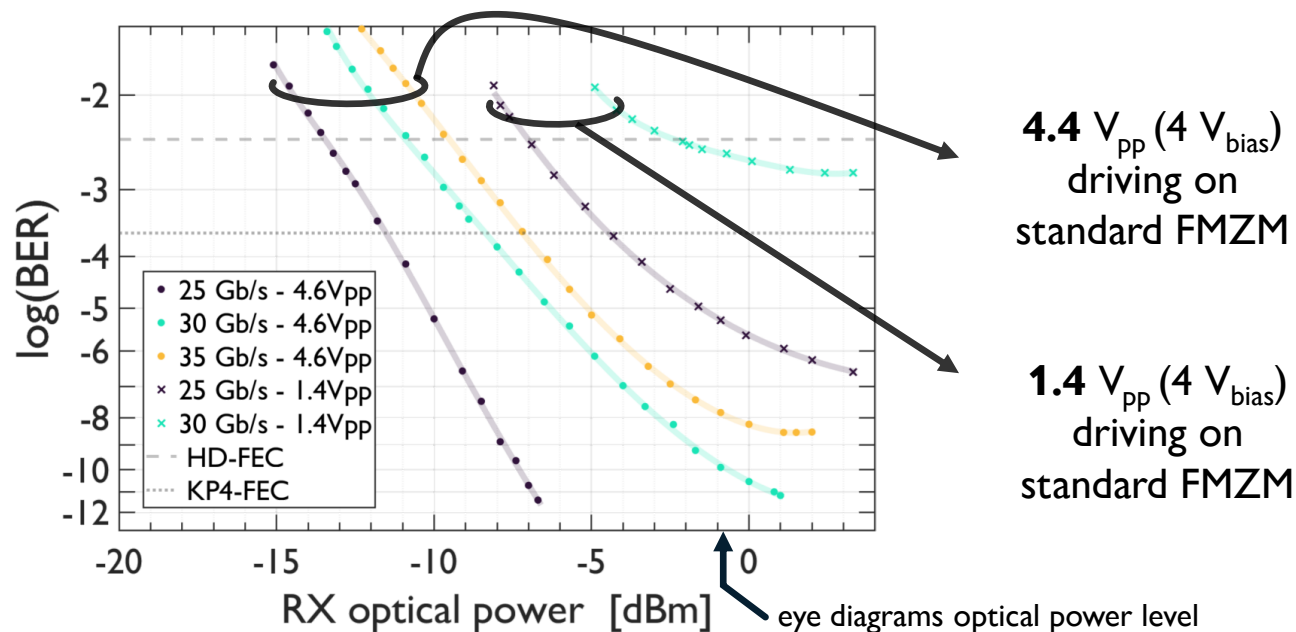


Large-signal Results Low-voltage FMZM Operation

- Standard FMZM at **25 Gb/s** (operated in **quadrature**):
 - 7 dB** optical power penalty at KP4-FEC between 4.4 V_{pp} and 1.4 V_{pp} driving conditions
 - Energy consumption estimation at **25 Gb/s** :
 - ~ **120 fJ/bit** for 1.4 V_{pp} driving
 - ~ **1.3 pJ/bit** for 4.4 V_{pp} driving

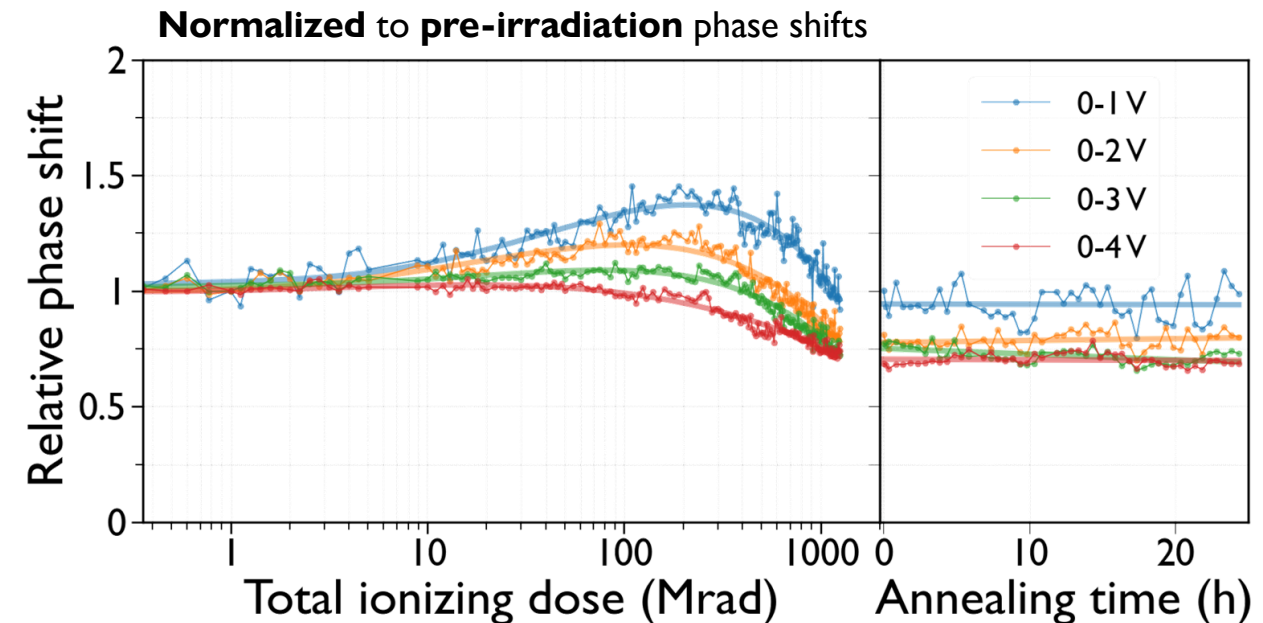
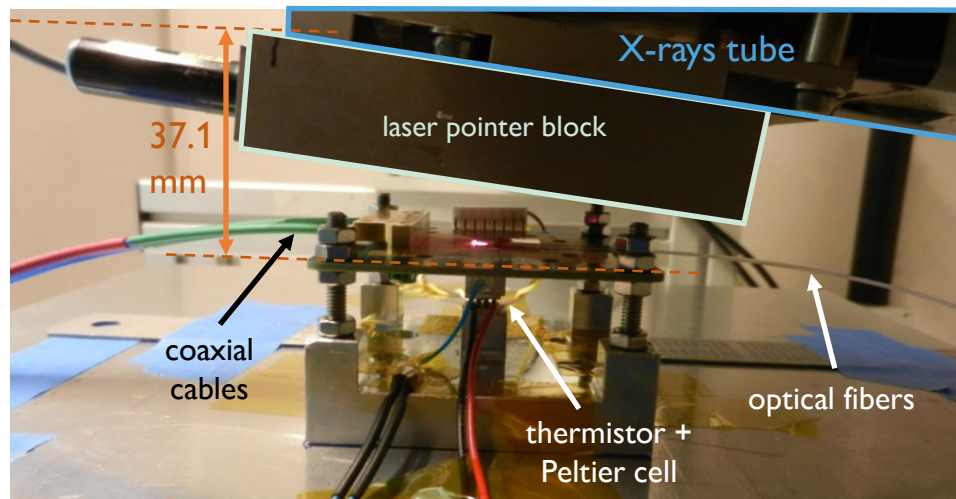
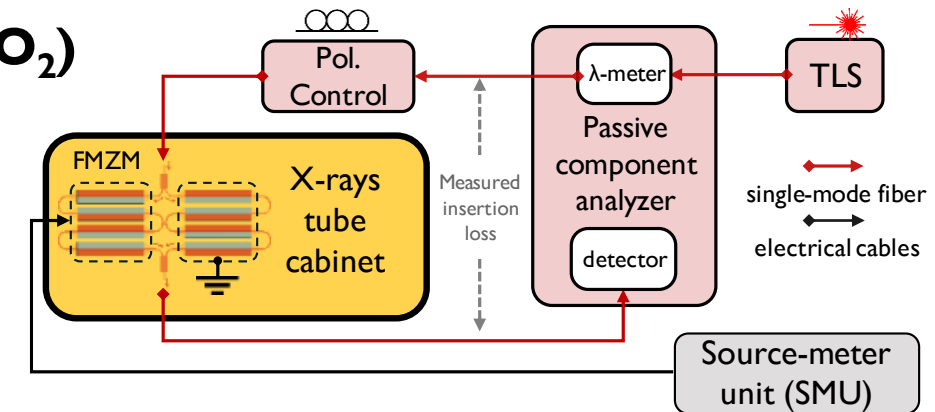
$$E_{\text{bit}} = \frac{1}{4} C_{\text{pn}} V_{\text{pp}}^2$$

$$C_{\text{pn}}(4 V_{\text{bias}}) \sim 250 \text{ fF}$$



TID Irradiation Results Rad-hard FMZM

- Rad-hard FMZM irradiated with 10 keV X-rays to **1.25 Grad(SiO₂)** at 1 V reverse bias
- Phase shift versus TID extracted from optical spectra: phase shift enhancement followed by slow degradation
- No changes captured during room-temperature annealing



Conclusions

- Meandered-layout lumped-element-driven MZMs introduced for HEP applications
- Two device flavors presented:
 - **Standard FMZM**: deep-etch phase shifter design
 - **Rad-hard FMZM**: shallow-etch phase shifter design with reinforced P-side doping
 - Rad-hard version shows higher optical propagation losses and reduced modulation efficiency (trade-off with radiation hardness)
- > **25 Gb/s** NRZ transmission validated for:
 - Both standard and rad-hard FMZM designs with **4.4 V_{pp}** driving with **< 1·10⁻⁹** BER
 - Standard FMZM with **1.4 V_{pp}** driving with **< 2.4·10⁻⁴** BER, i.e., KP4-FEC threshold
- Rad-hard design proved to be radiation-tolerant till **1.25 Grad(SiO₂) TID** with DC electro-optical testing

Thanks Stefano!



dedicated to Stefano Faralli
(Scuola Superiore Sant'Anna and INFN Pisa)



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