DRD1 plans on gaseous detectors for PID

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To evaluate the **requirements of PID**, one must provide examples of relevant physics processes

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From: Guy Wilkinson - Particle identification at FCC-ee - Eur. Phys. J. Plus (2021) 136:835 - https://doi.org/10.1140/epjp/s13360-021-01810-4

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To estimate the **performance** of a particular **PID system**, one may consider improvement factors with respect to **no-PID** (efficiency?) vs purity of PID for benchmark channels

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B⁰→K'π' (b

 $B^{0} \rightarrow K'\pi$ $B^{0}_{0} \rightarrow K'K'$ $B^{0}_{0} \rightarrow K'K'$ $B^{0}_{0} \rightarrow \mu K'$ $\Lambda^{0}_{0} \rightarrow \mu K'$ $A^{0}_{0} \rightarrow \mu \pi$ $B \rightarrow 3$ -bod

	misidentification	$\pi^{+}\pi^{-}$	K^+K^-	$K^+\pi^-$	$p\pi^{-}$	pK
	$B^0 \rightarrow \pi^+\pi^-$	(43.1)	0.33	28.6	1.53	0.13
	$B_s^0 \rightarrow K^+K^-$	0.05	(55.0)	(15.4)	0.05	1.63
	$B^0_{(s)} \rightarrow K^+\pi^-$	1.40	4.17	(67.9)	0.72	0.0
	$\bar{B}^{0}_{(s)} \rightarrow \pi^{+}K^{-}$	1.40	4.17	2.09	0.02	0.8
	$\Lambda_b^0 \rightarrow p\pi^-$	1.93	0.92	(16.8)	35.4	3.1
1	$\bar{\Lambda}_{b}^{0} \rightarrow \pi^{+}\bar{p}$	1.93	0.92	0.95	0.03	0.1
	$\Lambda_b^0 \rightarrow pK^-$	0.06	12.2	1.92	1.18	(40.:
5.9	$\bar{\Lambda}_{b}^{0} \rightarrow K^{+}\bar{p}$	0.06	12.2	4.51	0.03	0.1
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To evaluate the **requirements of PID**, one must provide examples of relevant physics processes

To estimate the **performance** of a particular **PID system**, one may consider improvement factors with respect to **no-PID** (efficiency?) vs purity of PID for benchmark channels

Consider all possible options

dE/dx $\frac{m^2}{p^2} = \frac{1}{\gamma^2 - 1} = \frac{1 - \beta^2}{\beta^2}$ $\frac{dE}{dx} = Kz^2 \frac{Z}{A} \frac{1}{\beta^2} \left[\ln \frac{2m_e c^2 \beta^2 \gamma^2 T_{max}}{I^2} \right]$ 0E/dx ($1 + \frac{2\gamma m_e}{M} + \left(\frac{m_e}{M}\right)$ $\frac{dE}{dx}$ 10 10² nentum (GeV/c dN_{cluster}/dx TR + dE/dx π/K separation $\overline{w(x)} \, dx$ 30 PID detector length [m] Rich $\left(\sqrt{1+m_1^2c^2/p^2} - \sqrt{1+m_2^2c^2/p^2}\right) \approx \frac{Lc}{2n^2} \left(m_1^2 - m_2^2\right)$ 2m - $\Delta t =$ β_1 Gases C 1 ∆ †[ns] Δt for L = 1 m path length dE/dx of-flight difference $\cos \theta_{a} =$ TOF Aerogel **Rich** 10^{-1} Liquid/solid $\sigma_t = 300 \text{ ps}$ π/K separation up to TOF C, F, gas radiat 1 GeV/c E ы FWHM = 100 ps10 0.1 momentum p[GeV/c] 10⁻² 10⁻¹ 10³ 102 10 1 TR Forty R. and Ullaland O. °, $W = \frac{1}{3} \alpha \hbar \omega_p \gamma$ p [GeV/c]https://doi.org/10.1007/978-3-030-35318-6 7 (adapted from B. Dolgoshein NIM A433 (1999) 533) $N_e e^2$ $\hbar \omega_p = 20 \, eV$ $\omega_p = v$ 5/4/23 7 16 18 20 10 12 E , KeV

Particle Identification technologies

To evaluate the **requirements of PID**, one must provide examples of relevant physics processes

To estimate the **performance** of a particular **PID system**, one may consider improvement factors (efficiency?) vs purity of PID for benchmark channels

Consider all possible options

Concentrate attention on dE/dx and Cluster Counting in gaseous detectors

PID with dE/dx: the task



PID with dE/dx: the straggling function

Definitions and iterative application of convolution integral

 $d\sigma(E,\beta)/dE$ collision cross section for an energy transfer E by a particle of velocity β $\lambda = \lambda(\beta) = 1/(n_e\sigma)$ mean free path between collisions (n_e = linear density of electrons) $N_c = x/\lambda$ mean number of collisions over a length x

 $\mathcal{F}_{(1)}(E) = 1/\sigma \, d\sigma(E,\beta)/dE = n_e \lambda \, d\sigma(E,\beta)/dE$

probability to transfer energy E in a single collision

 $\mathcal{F}_{(k)}(\Delta) = \int_{0}^{\Delta} \mathcal{F}_{(1)}(E) \ \mathcal{F}_{(k-1)}(\Delta - E) \ dE$ $\mathcal{P}(k, N_{c}) = N_{c}^{k}/k! \ exp(-N_{c})$ $f(\Delta, x) = \sum_{k=0}^{\infty} \mathcal{P}(k, N_{c}) \ \mathcal{F}_{(k)}(\Delta)$

probability to transfer energy Δ in **k** collisions **k-fold convolution of** $\mathcal{F}_{(1)}(E)$

probability of **k** collisions with mean **N**_c (Poisson)

probability density function for energy loss Δ over **x** (straggling function)

for a rigorous treatment see:

H. Bichsel A method to improve tracking and particle identification in TPCs and silicon detectors NIM A562 (2006) 154





parameters describing the straggling function: most probable energy loss $\Delta_p(x,\beta\gamma)$ and FWHM $W(x,\beta\gamma)$

PID with dE/dx: maximum likelihood measurement

There exist several different approaches to calculate the energy loss distribution (the straggling function) besides the convolution method (iterative application of convolution integral):

Laplace transform method*, Monte Carlo method**, empirical fit to data*** and a plethora of different models based on different parameterization of the collision cross section σ with *ad-hoc* corrections

*L. Landau, J. Phys. USSR 8, 201 (1944), **Cobb et al., Nucl. Instr. Meth. 133, 315 (1976), ***Blum, Riegler, Rolandi, Springer-Verlag 2008 - doi: 10.1007/978-3-540-76684-1 10

- > The energy loss distribution (straggling function) $f(\Delta)$ for a single sample is made of **a broad peak** due to low energy transfer (**soft**) **collisions** with the gas molecules and **a long tail** due to large energy transfer (**hard**) **collisions** which cause the release of **more than one electron** and/or **δ rays**
- Typical FWHM of the energy loss distribution is in the range of 60-100% Δ_p (very slowly dependent from βγ except for very small sample lengths), which makes necessary to measure many samples (n) along the ionizing track in order to get a good enough estimate of the energy loss.



With the assumption that the shape of the straggling function doesn't depend on βγ, one can construct a likelihood function:

$$\mathcal{L}(\lambda) = \prod_{i=1}^{n} f(\Delta_i/\lambda).$$

The λ_0 (with its error $\delta(\lambda_0)$) which maximizes $\mathcal{L}(\lambda)$ is normally distributed and represents the measured value of the most probable energy loss by the track under scrutiny.

The mass assignment may then be calculated by comparing the expected ionization with λ_0 and $\delta(\lambda_0)$ using normal error statistics.

5/4/23

From: W. Allison and J. Cobb Rev. Nucl. Part. Sci. 1980. 30: 253-98

PID with dE/dx: truncated mean measurement

- ♦ A much simpler and more robust procedure for obtaining analogous results is the method of truncated mean.
- \diamond It consists in cutting out a fraction (1–η)·n of the largest Δ_i samples and extending the arithmetic mean to the remaining η·n values (m is the closest integer to η·n):

$$\langle \Delta \rangle_{\eta} = 1/m \sum_{j=1}^{m} \Delta_j$$
 $\Delta_j \leq \Delta_{j+1}$ for j = 1, ..., n-1

↓ It can be shown that the range of values of η which minimizes the relative fluctuations of <Δ>_η for Argon is between 0.4 and 0.7 (0.8 for Helium). Moreover, the <Δ>_η distribution behaves like a gaussian distribution.
 ♦ This is equivalent to the maximum likelihood method with: <Δ>_η ≅ λ₀ and σ(<Δ>_η) ≅ δ(λ₀)

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- ↔ It can be shown that the range of values of **η** which minimizes the relative fluctuations of $<\Delta>_{\eta}$ for **Argon** is **between 0.4 and 0.7 (0.8 for Helium)**. Moreover, the $<\Delta>_{\eta}$ distribution behaves like a **gaussian distribution**.
- ♦ This is equivalent to the maximum likelihood method with: $<\Delta>_{\eta} \cong \lambda_0$ and $\sigma(<\Delta>_{\eta}) \cong \delta(\lambda_0)$



13

(BESIII data) From: **M. Hauschild** *Progress in dE/dx techniques used for particle identification* **NIM A379(1996) 436** 5/4/23

PID with dE/dx: particle separation power

♦ The relevant quantity for discriminating between two different particle of masses 1 and 2 of momentum p, rather than $λ_0$ and $δ(λ_0)$ for each of them, is:

$D_{12}(p) = \frac{|\lambda_{0,1}(p) - \lambda_{0,2}(p)|}{[\sigma(\lambda_{0,1}) + \sigma(\lambda_{0,2})]/2}$

(separation measured in numbers of sigma $\sigma(\lambda_0) = \delta(\lambda_0)/\lambda_0$)



- ☆ The number of ionization acts follows Poison distribution (≈10/cm/bar for He based, ≈30/cm/bar for Ar based gases)
- The number of electrons generated in each ionization act (cluster size) is subject to large fluctuations
- ☆ The accuracy of the ionization measurement depends on the mean free path between ionizing collisions λ = 1/(n_eσ) (i.e., on the collision cross section σ and on the electron number density n_e), therefore, on
 - the gas mixture;
 - the sample length x and its density, or the gas pressure p through their product xp;
 - the number of samples n, or, equivalently, the total length of the track L = nx.

♦ Empirical parameterization of resolution

 $\sigma(\lambda_0) = \delta(\lambda_0)/\lambda_0$ ([%] xp in [cm bar]):

$\sigma(\lambda_0) = 41 \text{ n}^{-0.46} (\text{xp})^{-0.32} [\%]$ for Argon

based on max. likel., $-0.46 \rightarrow -0.43$ with trunc. mean (Allison-Cobb Walenta)

♦ Methodology dating back to late '70s. Very little progress in performance since then.

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From: Michael Hauschild - RD51 Workshop on Gaseous Detector Contributions to PID - 17 February 2021

5/4/23

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- Different gases
- Different technologies
- Different geometries

- ♦ Methodology dating back to late '70s. Very little progress in performance since then.
- Using the Allison-Cobb parameterization, a dE/dx resolution between 4.0% and 4.5% is granted for a gaseous detector at FCC-ee (is it sufficient?)
- ♦ An increase in pressure at 2 bar improves the resolution by 20% without jeopardizing too much the momentum resolution (tanks to the very low He density, if it can be used).
- ♦ A further 25% improvement may come at the expensive cost (in terms of money and stability) of a finer (×2) drift cell granularity.
- New techniques (ML?) might mark the difference with respect to maximum likelihood and/or truncated mean methods (but do not expect miracles).
- Only a completely different approach, like cluster counting, will provide a significant step forward.

Cluster counting/timing (CC/T)

The number of primary ions N_{cl} created along the trajectory of a charged particle is distributed according to **Poisson statistics**, as opposed to the total number of ions, proportional to the total energy deposited by ionization, which follows a **long-tailed distribution**.

Advantages of dN_{cl}/dx over dE/dx

N_{cl} number of primary ionizations

- independent from cluster size fluctuations
- insensitive to highly ionizing δ -rays
- independent from gas gain fluctuations
- independent from electronics gain (calibration)
- a 2 m track in a He mix gives N_{cl} > 2400 (for a m.i.p.):

$\sigma_{dNcl/dx}/(dN_{cl}/dx) = N_{cl}^{-1/2} < 2.0\%$

- (at 100% counting efficiency)
- a factor > 2 better than dE/dx
- resolution scales with L^{-0.5} (not L^{-0.37} as in dE/dx)

Advantages of Helium over Argon

- lower primary ionization density (1/5)
 → larger spatial separation
- lower drift velocity (1/2)
 → larger time separation
- lower average cluster size
- lower singe electron diffusion

Recipe in time domain

Front end bandwidth (≈ 1 GHz) Sampling > 2 GSa/s, ≥ 12 bit S/N ratio > 8

Recipe in space domain

High readout granularity High spatial resolution Very low transverse diffusion

Cluster counting: not a new idea!

Wilson chambers (30's)

E. J. Williams and F. R. Terroux - Proc. R. Soc. A 1930 126

Observations.—Every ion produced in the cloud chamber acts as a nucleus for the condensation of water and can be recorded on a photograph. A primary ion may be accompanied by a number of secondary electrons so that the track of a β -particle consists of clusters of ions, each cluster signifying the production of one primary ion. The measurement of the primary ionisation therefore consists of counting the numbers of clusters or groups produced by a β -particle in a given distance. The size of the clusters depends on the diffusion of the secondary ions and this depends on the nature and density of the gas in the chamber. In the present experiments the gases in the chamber



Streamer chambers (60's)

V. A. Davidenko, B. A. Dolgoshein, V. K. Semenov and S. V. Somov, *Nucl.Instrum.Meth. 67 (1969) 325*



Low efficiency G-M (40's) F L Hereford, Phys. Rev. 72, 982 (1947)

The method employed in <u>the determination</u> of the primary ionization in hydrogen utilizes the dependence of the efficiency of a <u>Geiger</u> counter upon the primary ionization. This dependence is as follows:

 $Eff. = 1 - e^{-iJp}.$



(3)

Spark chambers (70's)

V. S. Asokov, G. I. Merzon et al. Sov. Phys. JETP 46(1), July 1977

FIG. 1. Construction of the low pressure spark chamber: 1-glass case, 2-chamber cover, 3-vacuum cement, 4-wire electrodes, 5-teflon rings, 6-radioactive source (Sr_{38}^{66}) , 7-high-voltage lead.

5/4/23



PID with dN_{cl}/dx in the time domain: the task

40000

35000

30000

25000

20000

30000

25000

20000

15000

10000

5000



Determine, in the signal, the ordered sequence of the electron arrival times:

 $\{t_i^{el}\}$ $j = 1, n_{el}$

Based on the dependence of the average time separation between consecutive clusters and on the time spread due to diffusion. as a function of the drift time. define the probability function, that the *j*th electron belongs to the *i*th cluster:





from this derive the most probable time ordered sequence of the original ionization clusters:

$$\left\{t_i^{cl}\right\} \qquad i=1, n_c$$

and the total number of clusters



time distance between different cls Entries 1343456 18.04 18.84 Mean BMS t_{i+1} – t_i $i = 1, n_{cl}$ 90 10

Moreover.

for any given first cluster (FC) drift time t₁, the **cluster timing technique** exploits the drift time distribution of all successive clusters to statistically (MPS) or using ML techniques, determine, hit by hit, the most probable impact parameter, thus reducing the bias and improving the average spatial resolution with respect to that obtainable with the FC method alone:

over a 1 cm drift cell, spatial resolution may improve by $\gtrsim 20\%$ down to $\lesssim 80 \, \mu m$.

Fringe benefits of the cluster timing technique are:

- event time stamping (at the level of ≈ 1 ٠ ns):
- improvements on charge division:
- Improvements on left-right time difference.

PID with dN_{cl}/dx in the time domain: simulations



 10^{2}

PID with dN_{cl}/dx in the time domain: measurements

IDEA test prototypes (square drift tubes)

• Beam test at CERN-H8 during 2021 and 2022 with Fermi plateau muons (next beam test at CERN-T10 on muons relativistic rise, next month

Amplitude (mV)

- Simulations trained on data
- Peak finding algorithms trained on simulations















PID with dN_{cl}/dx in the space domain: the task

Most promising configuration for separating ionization clusters in space is a **TPC** instrumented with micro pattern devices (multi-GEMs with pad readout or TimePix and MicroMegas with TimePix)



PID with dN_{cl}/dx in the space domain: performance



Conclusions by Ulrich Einhaus at the 4th FCC Physics and Experiments Workshop, 12.11.2020

- Pad-based TPC readout structures with 6 mm granularity achieve the ILD target dE/dx resolution of 5 % (or better).
- Pixelised readout with a 55 µm granularity achieves a resolution of 3.5 % with dE/dx, and of 3.3 % if combined with cluster counting. → This should improve in future analyses!
- Simulation shows: the higher the granularity, the better the performance. Cluster counting kicks in at the pixel level O(200μm).
- PID can contribute to high level reconstruction and a large number of physics analyses, and clear dependencies on the PID performance can be observed.

CONCLUSIONS

- A clear set of **requirements** for **PID** must be established by defining some benchmark physics channels and by stating their relative **performance goals**.
- As far as gaseous detectors are concerned, PID is intrinsically related to tracking and constitutes a valid cheap option, without the need of introducing additional subdetectors.
- The solid traditions of the charge integration (**dE/dx**) technique guarantees a resolution below **5%**. Small improvements are possible to a very limited extent, given the intrinsic fluctuations of the process. **But is 5% sufficient?**
- Cluster counting represents the step forward: a 2.5% resolution is at reach when applied in the time domain (from IDEA beam tests) and 3.3% has already been demonstrated (from ILD TPC studies) in the space domain.
- More progress to come!

Spares



From: Christian Lippmann - Particle identification - arXiv:1101.3276v4 [hep-ex] 12 Jun 2011

 $\phi \rightarrow \mathsf{K}^+\mathsf{K}^-$ LHCb RICH

(preliminary data at 900 GeV p-p collisions)

29

5/4/23

PId with dE/dx: the straggling function

comparison with data



Figure 9 Experimental energy-loss distributions of Harris et al (1973) for π and e at 3 GeV/c in 1.5 cm of argon/7% CH₄ at normal density. The dashed and dotted curves are calculations using the model of Landau (1944) with corrections of Maccabee & Papworth (1969) and Blunck & Leisegang (1950) respectively. The solid curves are the predictions of the PAI model.

W. Allison and J. Cobb Relativistic charged particles identification by energy loss Ann. Rev. Nucl. Part. Sci. 1980. 30: 253-98



(near ionization minimum) in 5 cm of a mixture of Ar (95%) and CH₄ (5%). The histogram is obtained in the experiment by Kopot et al.¹³). The smooth curves are calculated for 5 cm of Ar at NTP without correction for detector resolution. The dash-dotted, dashed and solid curves are Landau, Blunck-Leisegang distributions and present work results respectively. Experimental and calculated data are normalised to the same Δ_{mp} .



Fig. 5. The energy loss distribution for 3 GeV/c electrons (Fermi plateau region) in 1.5 cm of a mixture of Ar (93%) and CH₄(7%). The histogram is taken from a paper by Harris et al.⁹). The smooth curves are calculated for 1.5 cm of Ar at NTP without correction for detector resolution. The dash-dotted, dashed and solid curves are Landau, Blunck-Leisegang predictions and present work results respectively.

V. Ermilova, L. Kotenko, G. Merzon Fluctuations and the most probable values of relativistic charged particle energy loss in thin gas layers NIM 145 (1977) 555

N(a)

5/4/23



\diamond Number of electrons generated per cluster subject to large fluctuations

\Rightarrow Parameterization of resolution $\sigma(\lambda_0)$



- keeping x fixed and increasing n or L improves the resolution
- keeping n fixed and varying L and x improves the resolution (slide)
- what is the optimal sample length for a fixed total length L? the finer the better (n^{-0.14})





5/4/23

\diamond Average number of electrons per cluster increases with sample length



Pld with dE/dx: gas choice

