

# Lecture 1: basics of QCD



**Gudrun Heinrich**

*Karlsruhe Institute of Technology*

[gudrun.heinrich@kit.edu](mailto:gudrun.heinrich@kit.edu)

**ESHEP 2023, Grenaa, Denmark**

# Outline

- Introduction
- Properties of the strong interactions
- Experimental evidence for colour
- QCD Lagrangian
- Colour algebra
- Feynman rules

## Quantum ChromoDynamics is a very rich field!



*asymptotic freedom*  
*strong CP-problem*  
*spectroscopy*  
*lattice gauge theory*  
*confinement*  
*QCD at finite temperature*  
*quark-gluon-plasma*  
*flavour puzzles*

We will focus on **perturbative QCD**

# Importance of QCD corrections

perturbation theory in the strong coupling  $\alpha_s$

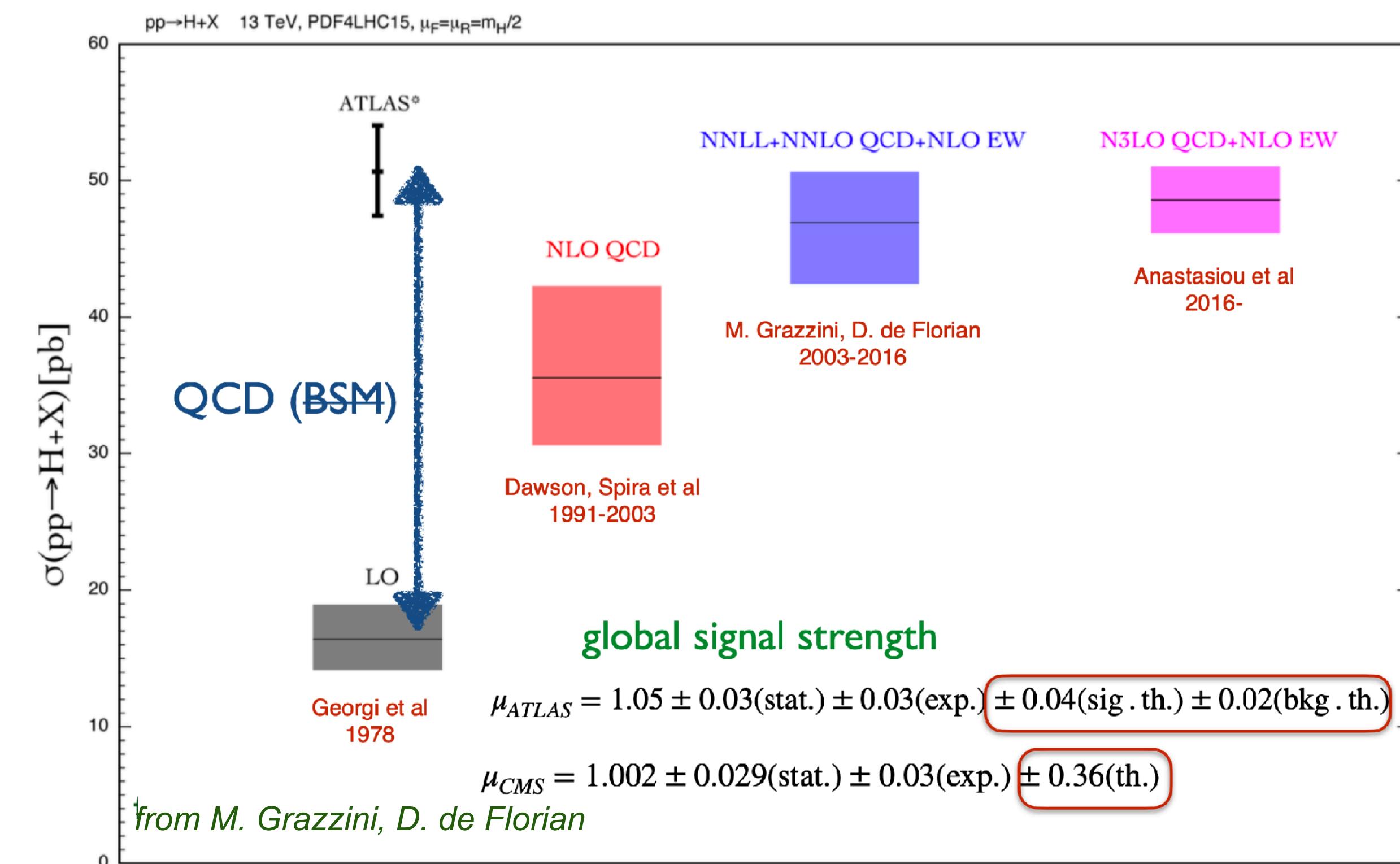
$$\sigma = \sigma^{\text{LO}} + \alpha_s \sigma^{\text{NLO}} + \alpha_s^2 \sigma^{\text{NNLO}} + \mathcal{O}(\alpha_s^3)$$

↑  
leading order      ↑  
next-to-leading order      ↑  
next-to-next-to-leading order

$$\alpha_s(M_Z) \simeq 0.118 \Rightarrow \text{NLO corrections} \sim \mathcal{O}(10\%)$$

NNLO corrections typically a few %

*but there are prominent exceptions,  
e.g. Higgs production in gluon fusion:  
NLO corr.  $\sim 100\%$ , NNLO  $\sim 30\%$*



# Importance of QCD corrections

perturbation theory in the strong coupling  $\alpha_s$

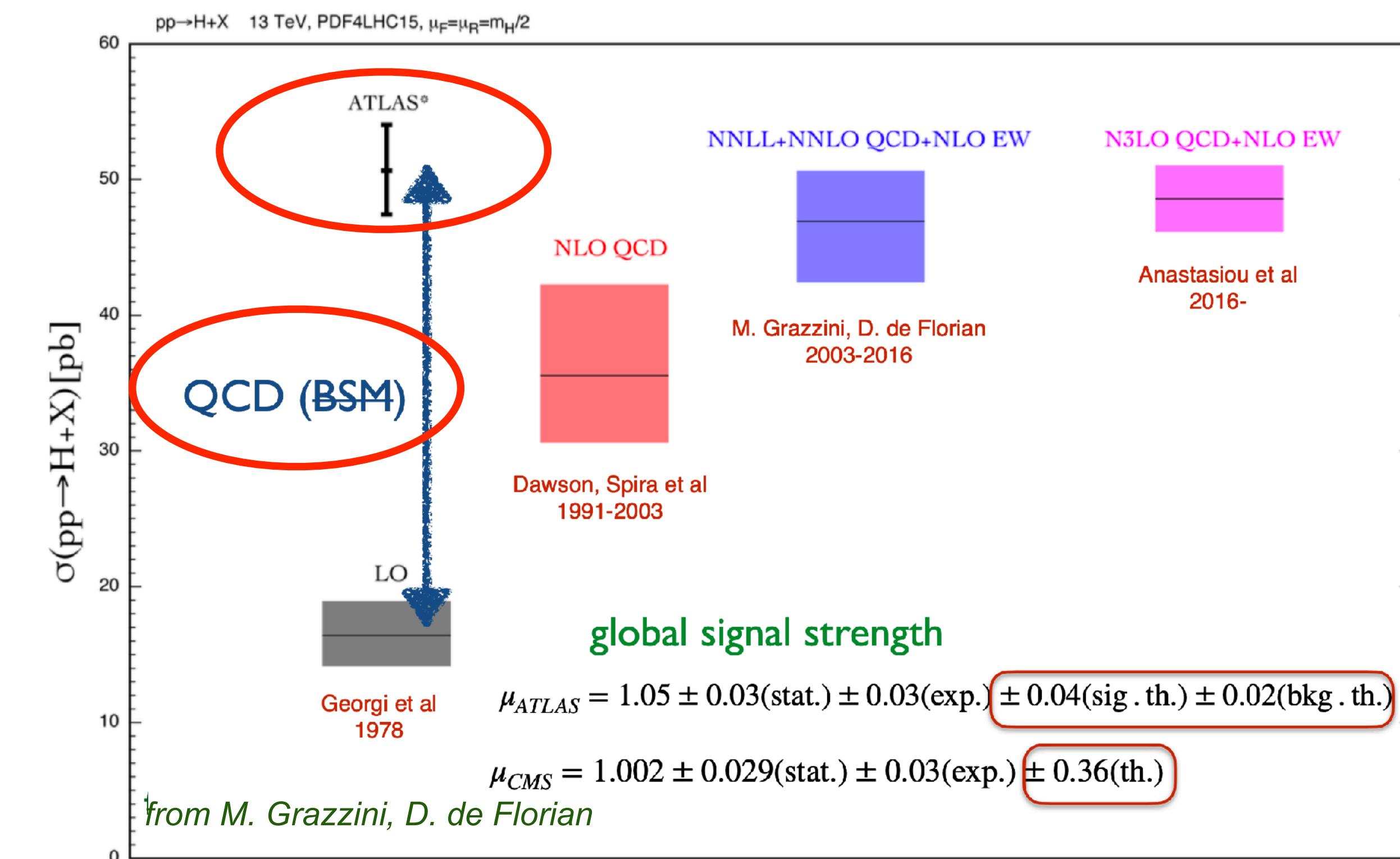
$$\sigma = \sigma^{\text{LO}} + \alpha_s \sigma^{\text{NLO}} + \alpha_s^2 \sigma^{\text{NNLO}} + \mathcal{O}(\alpha_s^3)$$

↑  
leading order      ↑  
next-to-leading order      ↑  
next-to-next-to-leading order

$$\alpha_s(M_Z) \simeq 0.118 \Rightarrow \text{NLO corrections} \sim \mathcal{O}(10\%)$$

NNLO corrections typically a few %

*but there are prominent exceptions,  
e.g. Higgs production in gluon fusion:  
NLO corr.  $\sim 100\%$ , NNLO  $\sim 30\%$*



- QCD is the theory of one of the 4 fundamental forces in nature:  
**the strong interactions**
- it describes the interactions between quarks and gluons, also called *partons*
- what does “strong” interaction mean?  
about  $10^{38}$  times larger than the gravitational force (at length scales of the size of a nucleon,  $\sim 1\text{fm}$ )
- however the strong coupling is not a constant, it depends on the energy
- at high energies, the coupling is small: → **asymptotic freedom**
- at small energies: coupling large, no free quarks and gluons  
→ **confinement (hadrons)**

# Hadronic collisions

- at hadron colliders (e.g. CERN LHC), QCD is everywhere
- need to factorise perturbative from non-perturbative part

## important concepts:

- factorisation
- asymptotic freedom

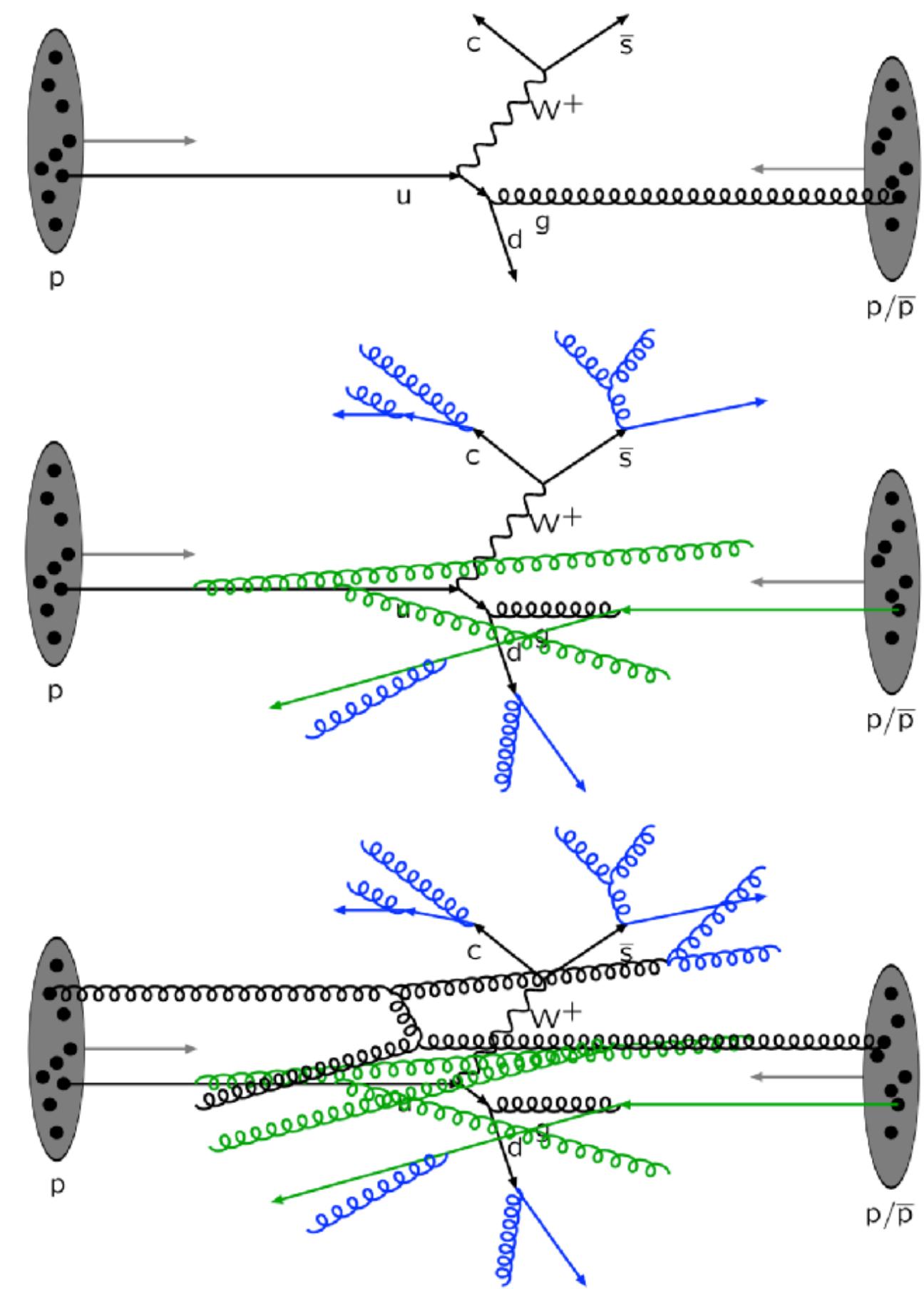
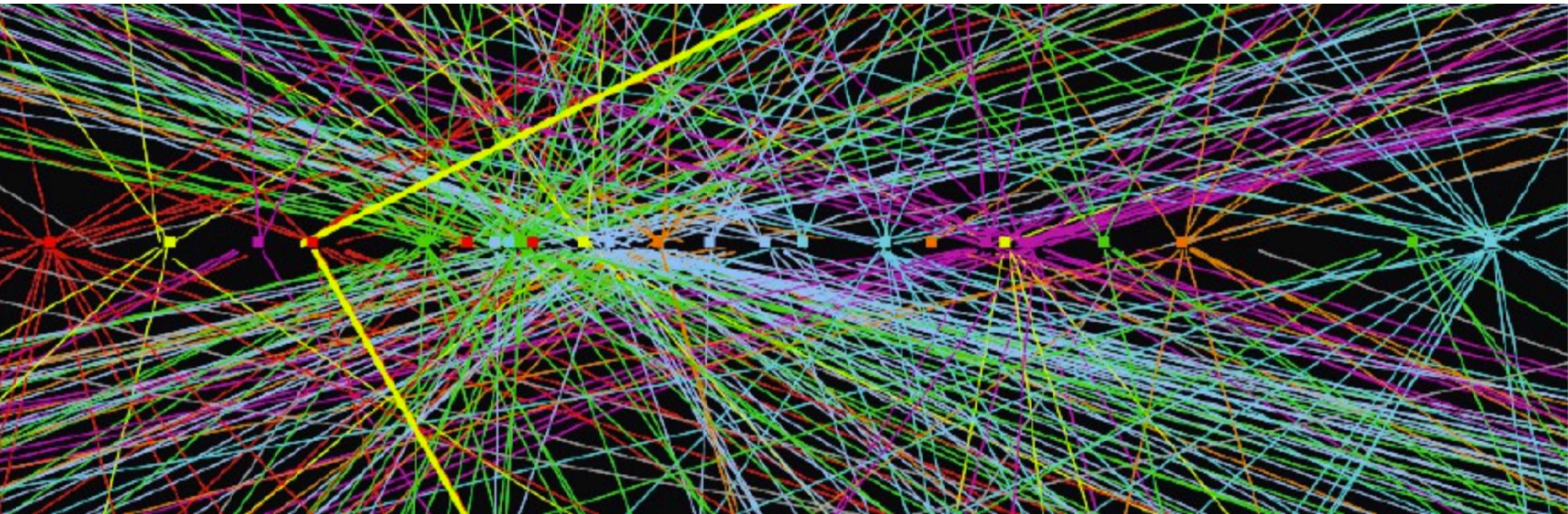


figure: T. Sjøstrand

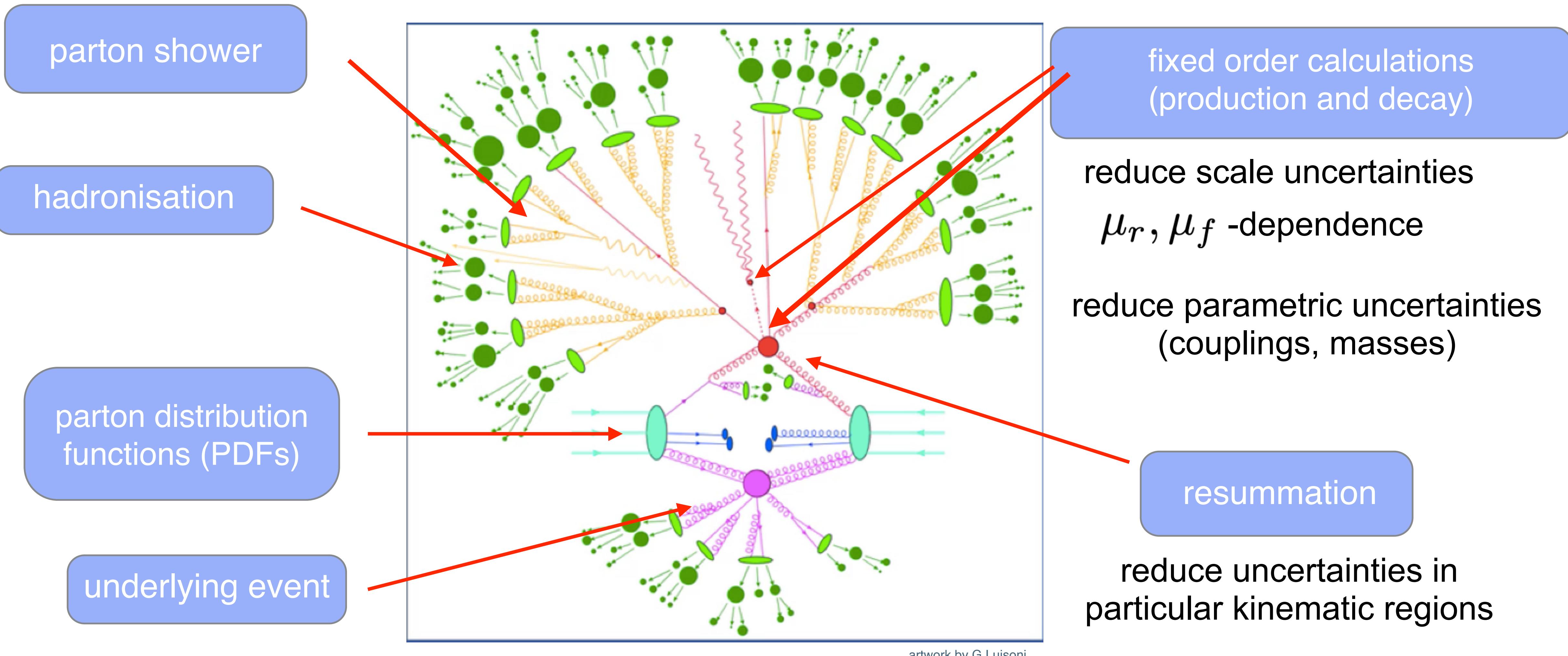
# non-perturbative stuff



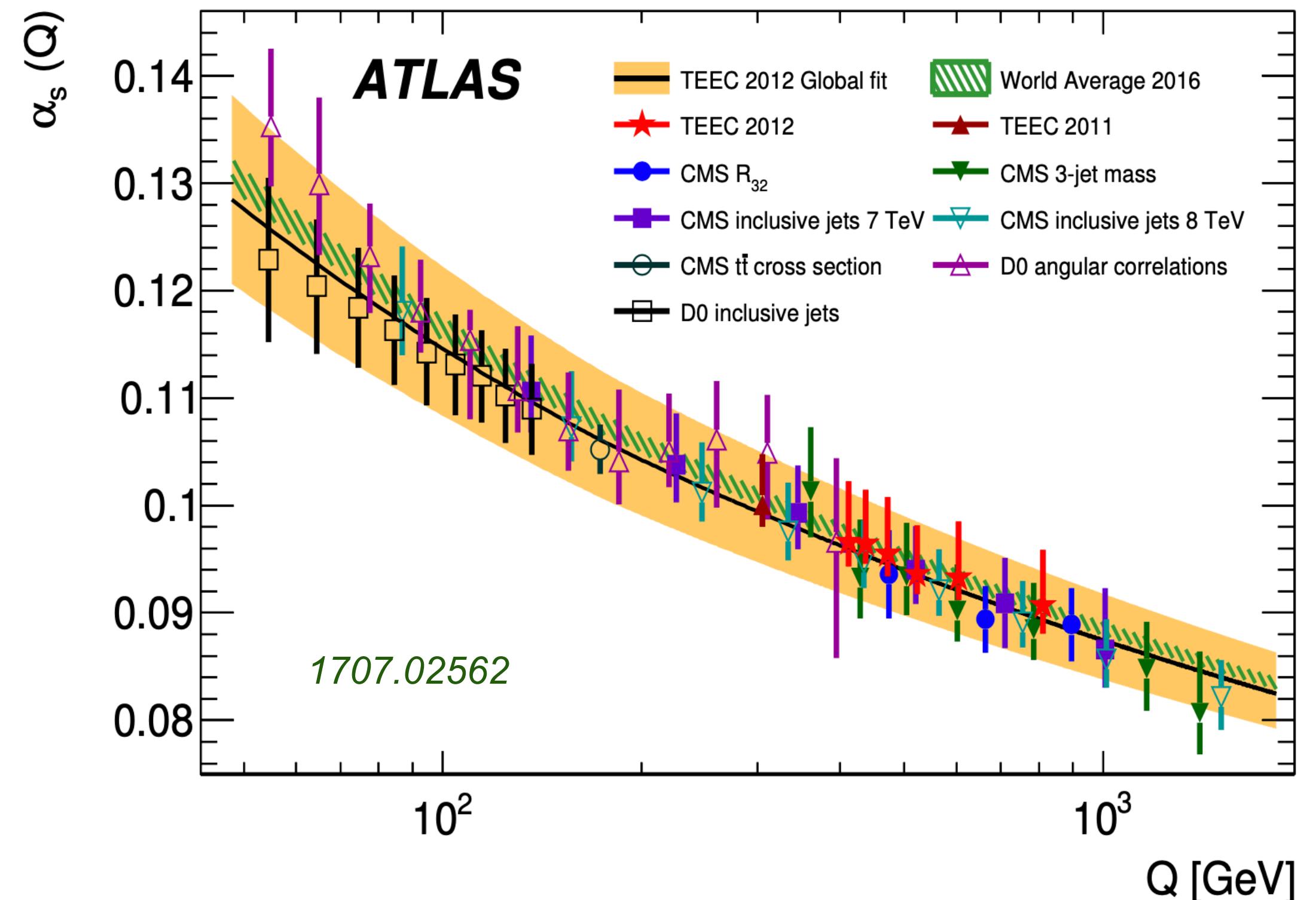
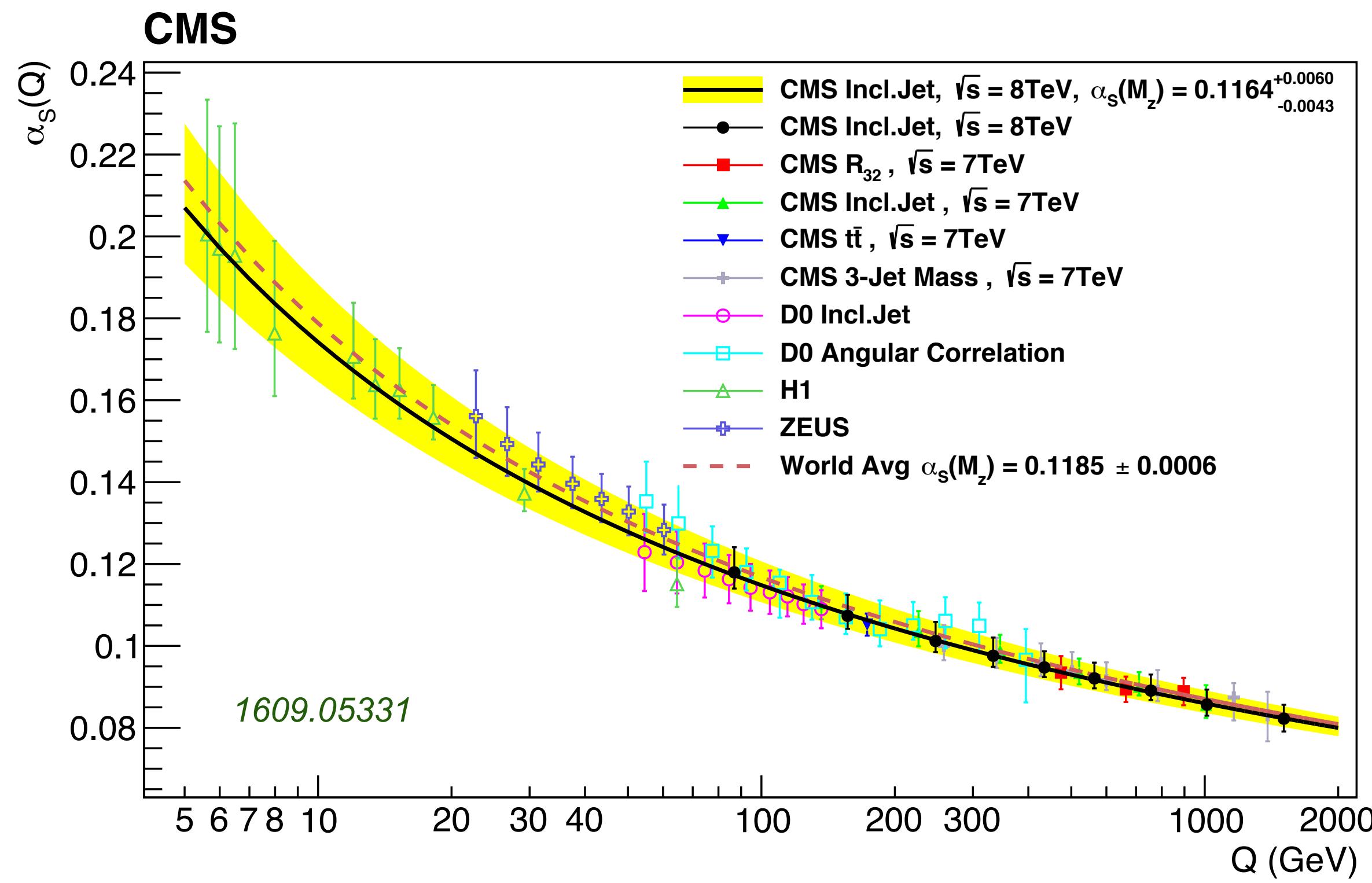
<https://indico.cern.ch/event/505613/contributions/2230824/>  
*visualisation of pile-up (multiple soft collisions in each bunch crossing)  
in the ATLAS tracker (Run I)*

separation of perturbative from non-perturbative parts highly non-trivial!

# Stages of an event



# Asymptotic freedom

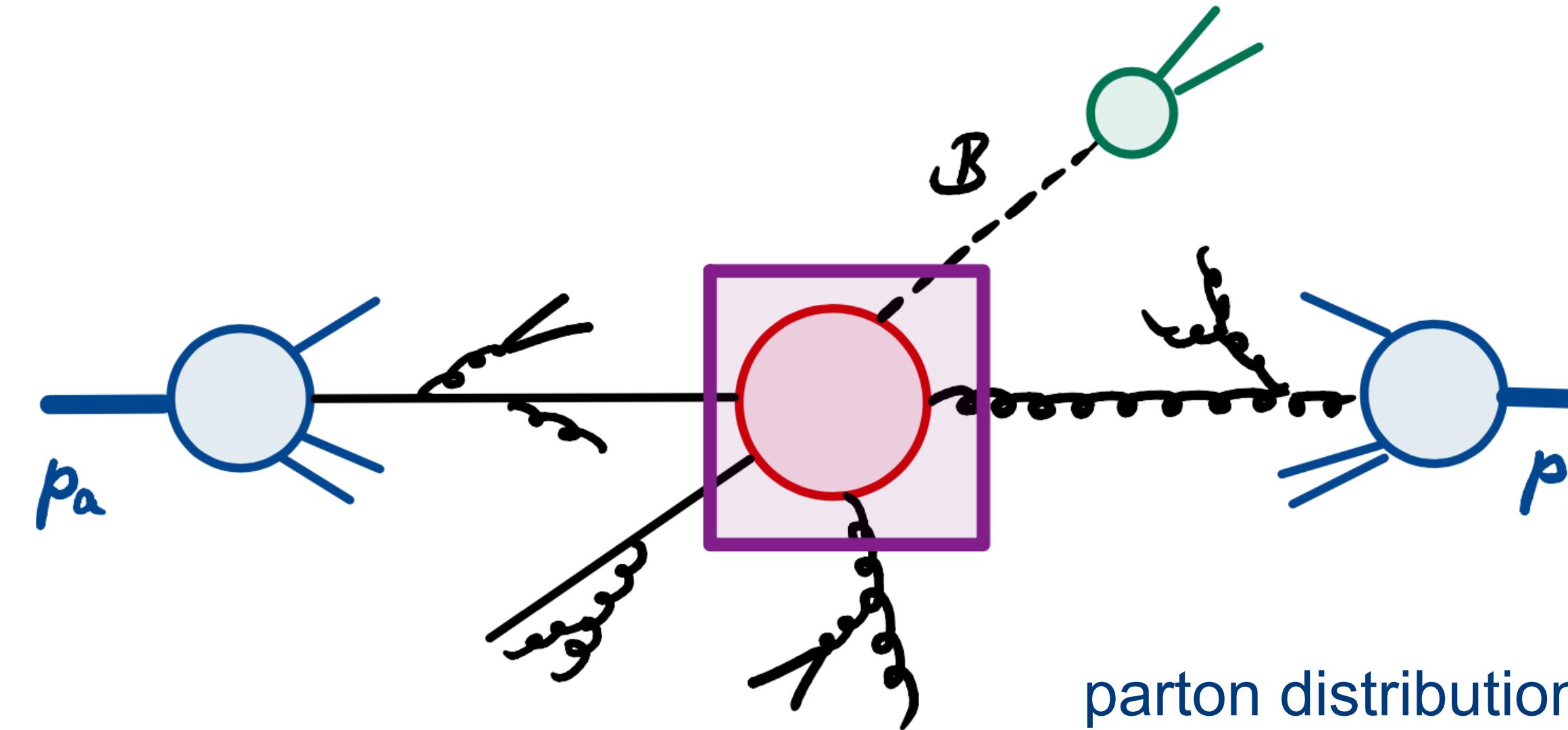


strong coupling is not constant

- becomes weaker as energy scale increases
- at very large energies quarks and gluons are almost free particles

*will be discussed in  
more detail later*

# Factorisation



$$d\sigma_{pp \rightarrow B+X} = \sum_{i,j} \int_0^1 dx_1 f_{i/p_a}(x_1, \alpha_s, \mu_f) \int_0^1 dx_2 f_{j/p_b}(x_2, \alpha_s, \mu_f)$$

**high energy scattering**, calculable as a series in perturbation theory  
can be (mostly) separated from non-perturbative components

factorisation scale

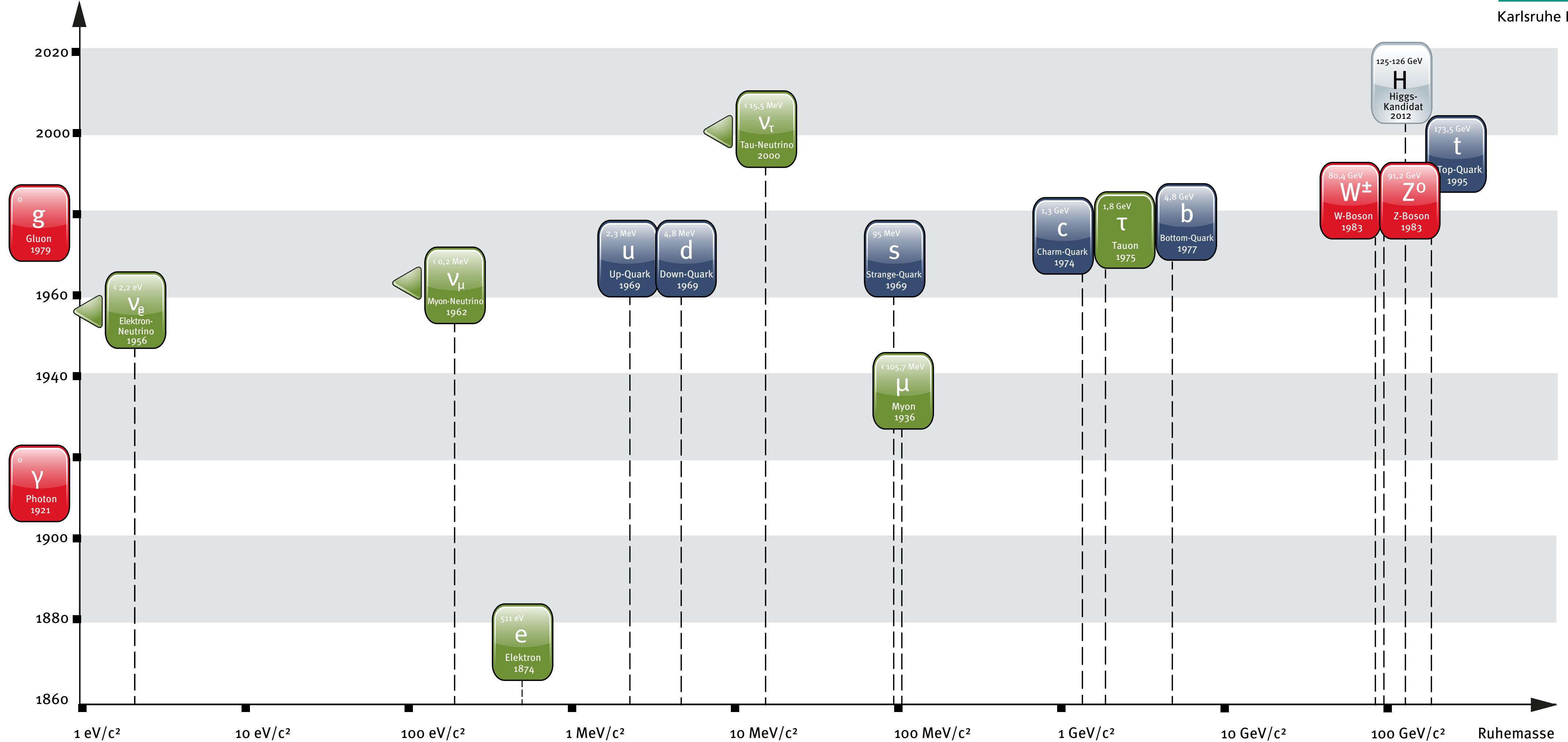
power corrections

$\times d\hat{\sigma}_{ij \rightarrow B+X}(\{p\}, x_1, x_2, \alpha_s(\mu_r), \mu_r, \mu_f) J(\{p\}) + \mathcal{O}\left(\frac{\Lambda}{Q}\right)^p$

# Properties of quarks

- quarks are fermions (spin 1/2)
- they are the constituents of hadrons
- they come in **6 flavours**, forming 3 generations of up-type and down-type quarks
$$\begin{pmatrix} u \\ d \end{pmatrix}, \begin{pmatrix} c \\ s \end{pmatrix}, \begin{pmatrix} t \\ b \end{pmatrix}$$
(doublets under weak interactions and strong isospin)
- charges: up-type: 2/3, down-type: -1/3 (opposite for antiquarks)
- additional quantum number: **colour** charge: SU(3) local gauge theory
- the masses of the different quark flavours are very different, we do not know why

## Experimenteller Nachweis



# Evidence for colour

how do we know the colour quantum number exists ?

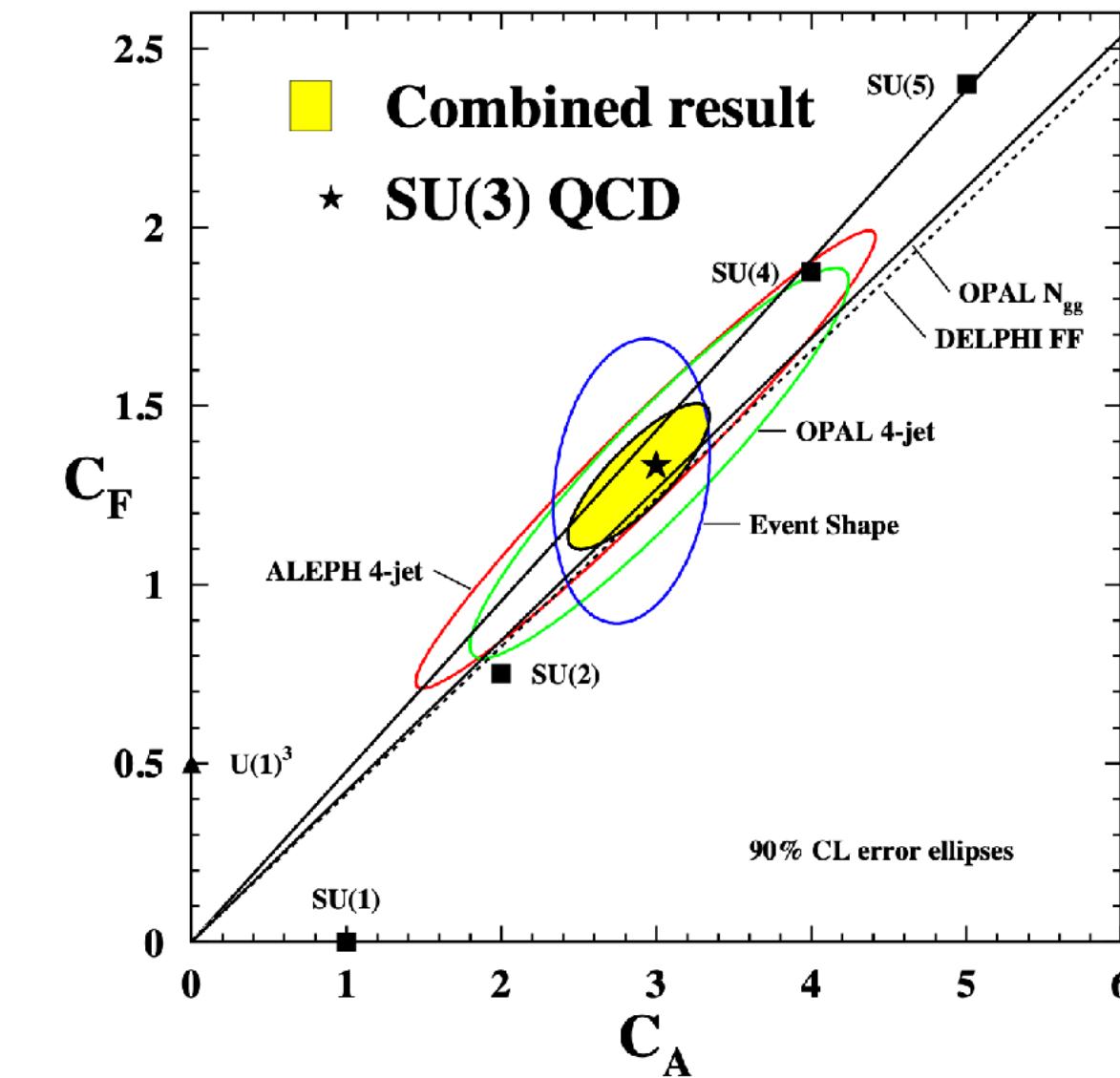
## examples:

- jet measurements
- hadronic R-ratio
- existence of doubly charged baryons, e.g.  $\Delta^{++} = |uuu\rangle$

*would violate Pauli's exclusion principle without additional quantum number*

- Pion decay  $\Gamma(\pi^0 \rightarrow \gamma\gamma) \sim \alpha^2 \frac{m_\pi^3}{f_\pi^2} (e_u^2 - e_d^2)^2 N_c^2$  N<sub>c</sub><sup>2</sup> ← number of colours

note however that with  $e_u = \frac{1}{2}(\frac{1}{N_c} + 1), e_d = \frac{1}{2}(\frac{1}{N_c} - 1)$  the width would be independent of  $N_c$

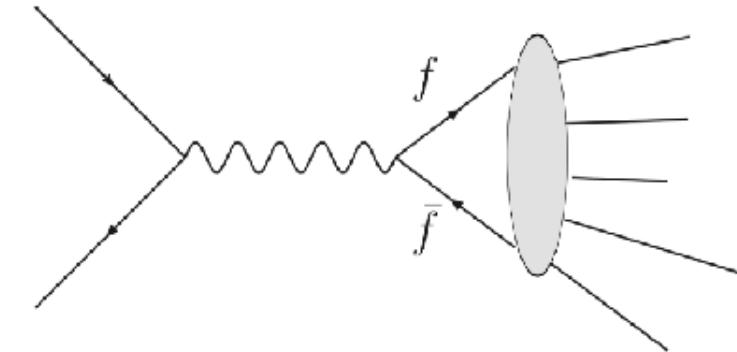


hep-ex/0603011

# Evidence for Colour

hadronic R-ratio:

$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$



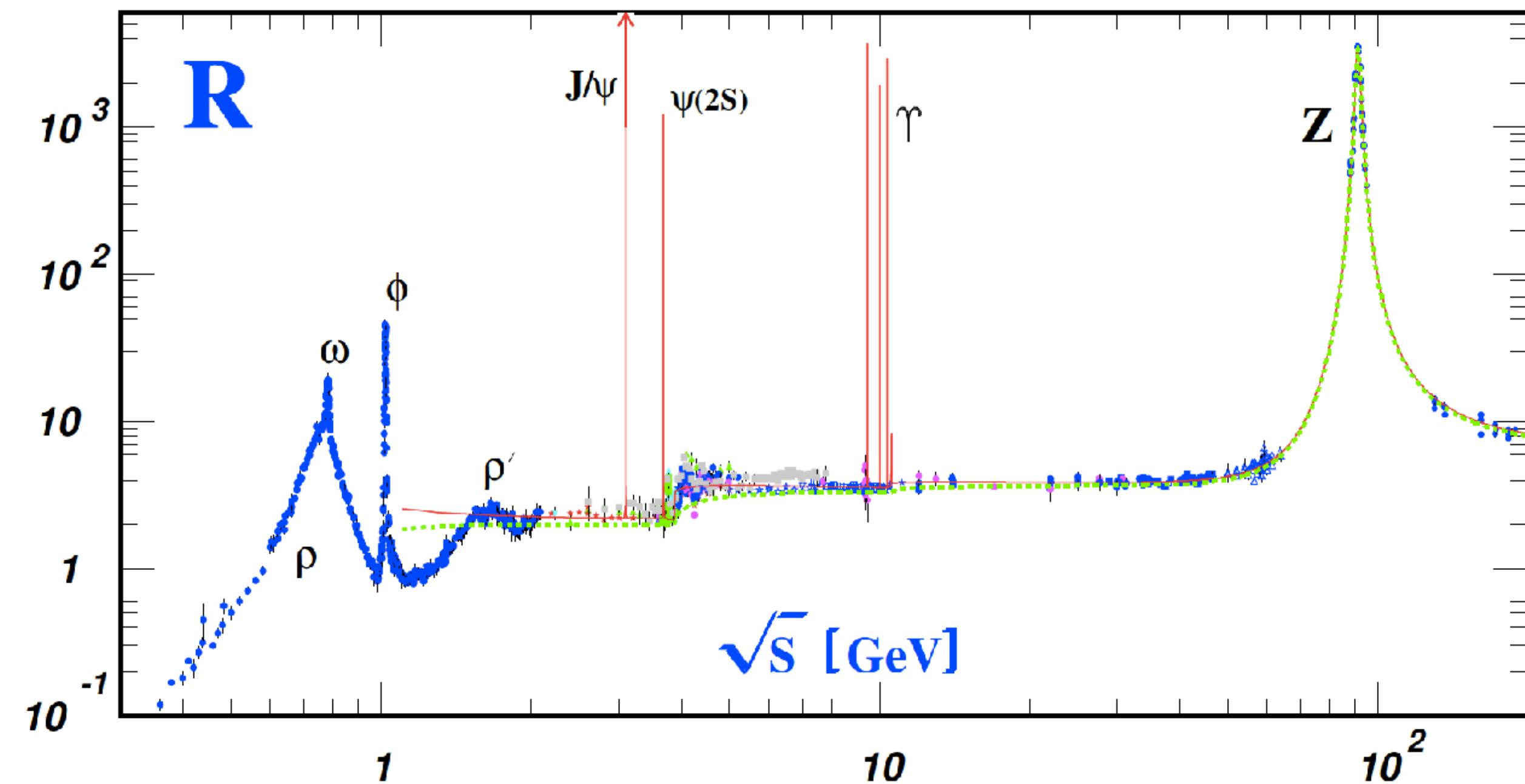
$$R(s) = N_c \sum_{f=u,d,s,c,\dots} e_f^2 \theta(s - 4m_f^2)$$

$$R = 3 \left( \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \dots \right)$$

u

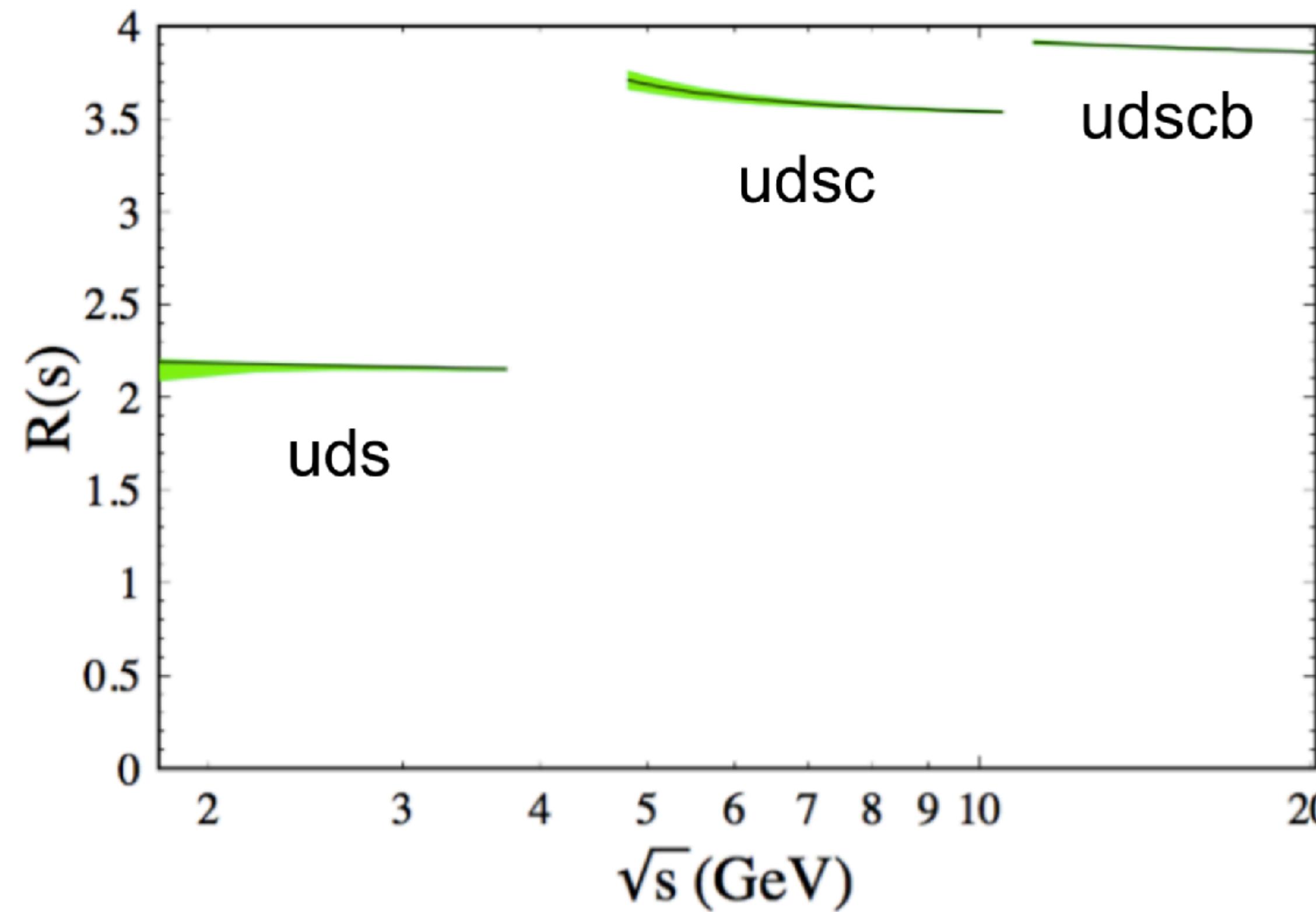
d

s



threshold energy  
to produce quark-antiquark-pair  
of mass  $m_f$

# R-ratio



resonances removed,  
higher order corrections included

*Harlander, Steinhauser,  
hep-ph/0212294*

$$m_c \simeq 1.3 \text{ GeV}$$

$$m_b \simeq 4.5 \text{ GeV}$$

$$N_c \sum_{f=u,d,s,c,b} e_f^2 \theta(s - 4m_f^2) = 3 \left( \frac{4}{9} + \frac{1}{9} + \frac{1}{9} + \frac{4}{9} + \frac{1}{9} \right) = \frac{11}{3}$$

u    d    s    c    b

# QCD Lagrangian

strong interactions:  $SU(N_c)$  gauge theory,  $N_c = 3$  “colours” of quarks

fermionic part: quark fields for flavour  $f$ :  $q_f^i(x)$   $i = 1, 2, 3$  colour index

for free quark fields:

$$\mathcal{L}_q^{(0)}(q_f, m_f) = \sum_{j,k=1}^{N_c} \bar{q}_f^j(x) (i \gamma_\mu \partial^\mu - m_f)_{jk} q_f^k(x)$$

apply  $SU(N)$  group transformation:  $q_i \rightarrow q'_i = U_{ij} q^j$ ,  $\bar{q}_i \rightarrow \bar{q}'_i = \bar{q}^j U_{ji}^{-1}$

$$U_{ij} = \exp \left\{ i \sum_{a=1}^{N_c^2-1} t^a \theta^a \right\}_{ij} = \delta_{ij} + i \sum_{a=1}^{N_c^2-1} t^a \theta^a + \mathcal{O}(\theta^2)$$

↑  
generator

group transformation parameter

# QCD Lagrangian

$t_{ij}^a = \lambda_{ij}^a/2$  generators of SU(3) in fundamental representation (3x3 matrices)

$\lambda_{ij}^a$  : Gell-Mann matrices (traceless, hermitian)

$$\lambda^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad \lambda^5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \quad \lambda^6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\lambda^7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad \lambda^8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}.$$

*more about colour algebra coming soon*

# QCD Lagrangian

QCD is a *local* gauge theory

*local* means that the gauge transformation parameter *depends on the space-time point*:

$$\theta = \theta(x) \quad \text{therefore} \quad U = U(x) \quad \text{and}$$

$$\partial_\mu q'(x) = \partial_\mu (U(x)q(x)) = U(x)\partial_\mu q(x) + (\partial_\mu U(x)) q(x)$$

how can we keep  $\mathcal{L}_q$  invariant despite this additional term?

introduce coupling to a gauge field  $A_a^\mu$  (gluon) through covariant derivative

$$(D^\mu[A])_{ij} = \delta_{ij}\partial^\mu + i g_s t_{ij}^a A_a^\mu$$

# QCD Lagrangian

define  $\mathbf{A}^\mu = \sum_{a=1}^{N_c^2-1} t^a A_a^\mu$  then in index-free notation  $\mathbf{D}^\mu[\mathbf{A}] = \partial^\mu + i g_s \mathbf{A}^\mu$

quark Lagrangian with “minimal coupling” of gluon:

$$\mathcal{L}_q(q_f, m_f) = \sum_{j,k=1}^{N_c} \bar{q}_f^j(x) (i \gamma_\mu \mathbf{D}^\mu[\mathbf{A}] - m_f)_{jk} q_f^k(x)$$

invariant under local gauge transformations if we have

$$\mathbf{D}^\mu[\mathbf{A}] q(x) \stackrel{!}{\rightarrow} U(\mathbf{D}^\mu[\mathbf{A}] q(x))$$

# SU(3) gauge invariance

This gives a condition on the transformed gluon field  $\mathbf{A}'_\mu$

$$\mathbf{D}^\mu[\mathbf{A}'] \stackrel{!}{=} U(\mathbf{D}^\mu[\mathbf{A}])U^{-1} \Rightarrow \partial_\mu + ig_s \mathbf{A}'_\mu \stackrel{!}{=} U(\partial_\mu + ig_s \mathbf{A}_\mu)U^{-1}$$

$$\mathbf{A}'_\mu = U(x)\mathbf{A}_\mu U^{-1}(x) + \frac{i}{g_s}(\partial_\mu U(x))U^{-1}(x)$$

# Yang-Mills Lagrangian

purely gluonic part: Yang-Mills Lagrangian (C.N.Yang, R.Mills, 1954)

$$\mathcal{L}_{\text{YM}} = -\frac{1}{4} F_{\mu\nu}^a F^{a,\mu\nu}$$

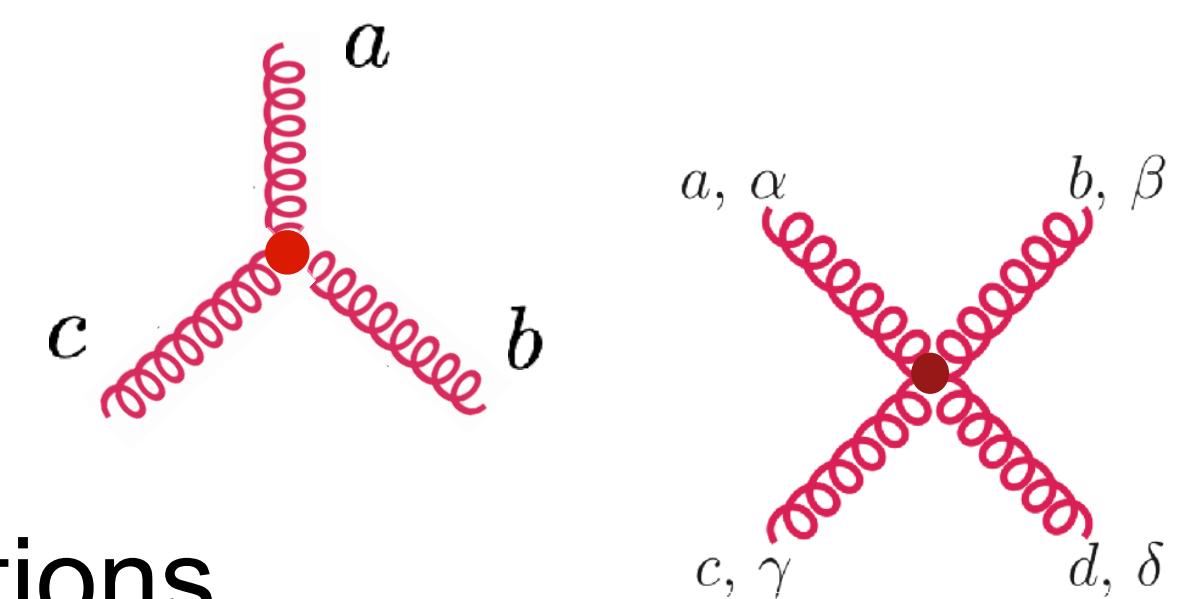
field strength tensor  $F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g_s f^{abc} A_\mu^b A_\nu^c$

$f^{abc}$  : structure constants of SU(3) (totally antisymmetric)

$$[T^a, T^b] = i f^{abc} T^c \quad a, b, c = 1, \dots, N_c^2 - 1$$

$\Rightarrow$  8 gluons (in the adjoint representation of SU(3))

non-Abelian structure of SU(3) is related to gluon-self-interactions



# QCD Lagrangian

so far we have  $\mathcal{L} = \mathcal{L}_q + \mathcal{L}_{\text{YM}}$

$$\mathcal{L}_q(q_f, m_f) = \sum_{j,k=1}^{N_c} \bar{q}_f^j(x) (i \gamma_\mu \mathbf{D}^\mu[\mathbf{A}] - m_f)_{jk} q_f^k(x)$$

$$\mathcal{L}_{\text{YM}} = -\frac{1}{4} F_{\mu\nu}^a F^{a,\mu\nu}$$

$\mathcal{L}_q + \mathcal{L}_{\text{YM}}$  is gauge invariant, but:

path integral contains physically equivalent configurations (by gauge transformations)

⇒ path integral over the action is not well defined due to this redundancy

# Gauge fixing

- the gluon propagator  $\Delta_{\mu\nu}^{ab}(p) = \Delta_{\mu\nu}(p)\delta^{ab}$  is constructed from the

inverse of the bilinear term in the gluon fields  $\sim A_\mu^a A_\nu^b$  in the action

$$S_{\text{YM}} = i \int d^4x \left\{ -\frac{1}{4} F_{\mu\nu}^a F^{a,\mu\nu} \right\} \supset \frac{i}{2} \int d^4x A_\mu^a(x) (\partial^2 g^{\mu\nu} - \partial^\mu \partial^\nu) A_\nu^b(x) \delta_{ab}$$

- in momentum space:  $\sim \frac{1}{2} \int \frac{d^4k}{(2\pi)^4} \tilde{A}_\mu^a(p) (p^2 g^{\mu\nu} - p^\mu p^\nu) \tilde{A}_\nu^b(p) \delta_{ab}$

# Gauge fixing

in momentum space the propagator should fulfill (cf QED Green's function to solve e.o.m.)

$$i \Delta_{\mu\rho}(p) [p^2 g^{\rho\nu} - p^\rho p^\nu] = g_\mu^\nu \quad (1)$$

however as  $[p^2 g^{\rho\nu} - p^\rho p^\nu] p_\nu = 0$

Eq. (1) has *zero modes*, so the matrix in the square brackets is *not invertible*

reason:  $\mathcal{L}_q + \mathcal{L}_{\text{YM}}$  contains redundant, physically equivalent configurations

⇒ need ***gauge fixing:*** add constraint on gluon fields with a Lagrange multiplier

# QCD Lagrangian

covariant gauges: add condition  $\partial_\mu A^\mu(x) = 0$

$$\Rightarrow \mathcal{L}_{\text{GF}} = -\frac{1}{2\lambda} (\partial_\mu A^\mu)^2, \quad \lambda \in \mathbb{R}$$

leads to bilinear term of the form  $i \left( p^2 g^{\mu\nu} - \left(1 - \frac{1}{\lambda}\right) p^\mu p^\nu \right)$

with inverse  $\Delta_{\mu\nu}(p) = \frac{-i}{p^2 + i\varepsilon} \left[ g_{\mu\nu} - (1 - \lambda) \frac{p_\mu p_\nu}{p^2} \right]$  (colour part  $\delta^{ab}$  omitted)

$\lambda = 1$  : propagator in Feynman gauge

$\lambda = 0$  : propagator in Landau gauge

$i\varepsilon$  shifts the poles of the propagator slightly away from the real axis

# QCD Lagrangian

- however in covariant gauges unphysical (non-transverse) degrees of freedom can propagate
- their effect is cancelled by *ghost fields*  $\eta^a$  [L. Faddeev, V. Popov 1967]  
*(coloured complex scalars obeying Fermi statistics, do not occur as external states)*

$$\mathcal{L}_{FP} = \eta_a^\dagger M^{ab} \eta_b$$

Faddeev-Popov matrix in covariant gauge:  $M^{ab} = \delta^{ab} \partial_\mu \partial^\mu + g_s f^{abc} A_\mu^c \partial^\mu$

complete QCD Lagrangian:

$$\boxed{\mathcal{L}_{QCD} = \mathcal{L}_{YM} + \mathcal{L}_q + \mathcal{L}_{GF} + \mathcal{L}_{FP}}$$

# Physical gauges

- unphysical degrees of freedom and the ghost fields can be avoided by choosing
- axial (physical) gauges:** condition  $n_\mu A^\mu = 0$ ;  $n^\mu$  vector with  $p \cdot n \neq 0$

in axial gauges:  $\mathcal{L}_{GF} = -\frac{1}{2\alpha} (n^\mu A_\mu)^2$

gluon propagator:  $\Delta_{\mu\nu}(p, n) = \frac{-i}{p^2 + i\varepsilon} \left( g_{\mu\nu} - \frac{p_\mu n_\nu + n_\mu p_\nu}{p \cdot n} + \frac{n^2 p_\mu p_\nu}{(p \cdot n)^2} \right)$

*special case*  $n^2 = 0$ : *light-cone gauge*

no propagating ghost fields:  $M_{axial}^{ab} = \delta^{ab} n_\mu \partial^\mu + g_s f^{abc} \underbrace{n_\mu A_c^\mu}_{\text{zero}}$

# Physical gauges

in light-cone gauge we have

$$\Delta_{\mu\nu}(p, n) = \frac{i}{p^2 + i\varepsilon} d_{\mu\nu}(p, n)$$

$$d_{\mu\nu}(p, n) = -g_{\mu\nu} + \frac{p_\mu n_\nu + n_\mu p_\nu}{p \cdot n} = \sum_{\lambda=1,2} \epsilon_\mu^\lambda(p) (\epsilon_\nu^\lambda(p))^*$$

$\epsilon_\nu^\lambda(p)$  : polarisation vector  $\epsilon_1 = (0, 1, 0, 0), \epsilon_2 = (0, 0, 1, 0)$  or  $\epsilon_{L,R} = (0, 1, \pm i, 0)/\sqrt{2}$

⇒ only the two physical polarisations propagate

e.g. choose  $p = (p^0, 0, 0, p^0), n = (p^0, 0, 0, -p^0)$  then

$$-g^{\mu\nu} + \frac{p^\mu n^\nu + p^\nu n^\mu}{p \cdot n} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

# Colour algebra



# Colour Algebra

SU(N): Lie group (*elements depend on a finite number of continuous parameters*)

U: unitary  $U U^\dagger = \mathbf{1}$  ; S: “special” ( $\det U=1$ )

representation: mapping of group elements onto matrices, such that group operations translate to matrix operations

associated algebra: generators fulfill

$$[T^a, T^b] = i f^{abc} T^c \quad a, b, c = 1, \dots, N^2 - 1$$

independent of the representation

number of generators = *dimension* of the group

# Colour Algebra

## important representations:

- fundamental representation: generators are  $N \times N$  matrices

$$t_{ij}^a = \lambda_{ij}^a / 2 \quad i, j = 1 \dots N$$

$\lambda_{ij}^a$  : Gell-Mann matrices

- adjoint representation: generators are  $(N^2 - 1) \times (N^2 - 1)$  matrices

i.e. indices run over dimension of the group

generators  $(F^a)_{bc}$  with  $(F^a)_{bc} = -i f^{abc}$

*quarks are in the fundamental representation, gluons in the adjoint*

⇒ 8 gluons, each quark flavour comes in three colours

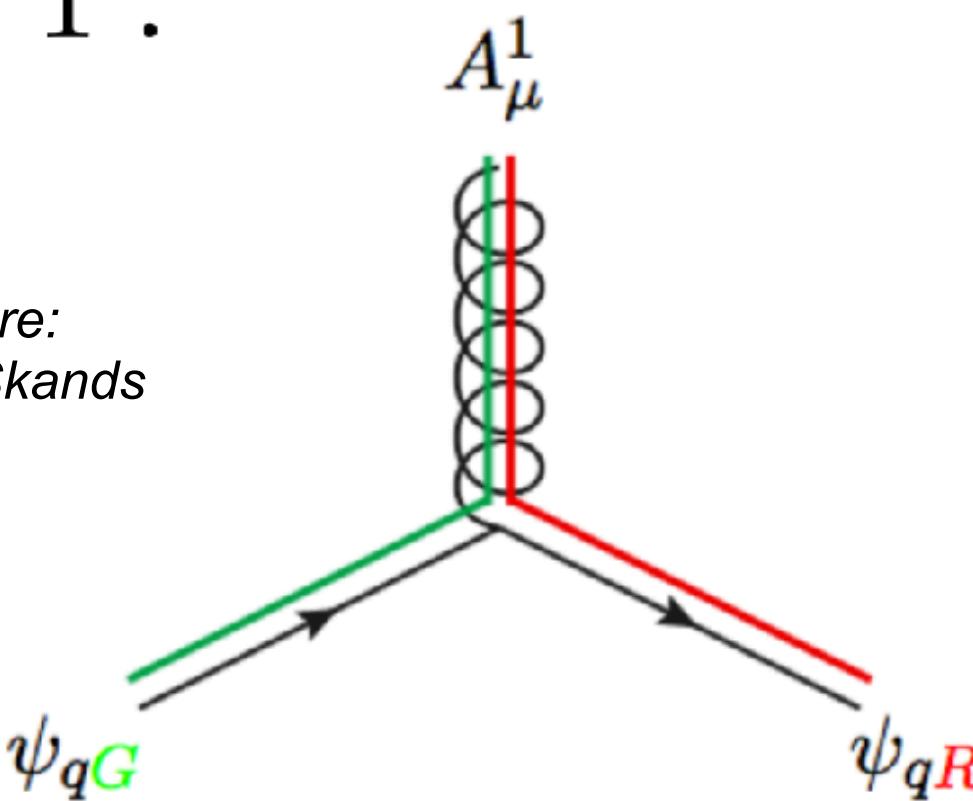
# Colour Algebra

explicit example for a quark-gluon vertex

$$-ig_s \bar{\psi}_i \frac{\lambda_{ij}^a}{2} \psi_j A_\mu^a$$

$i = 1, j = 2, a = 1$ :

*figure:  
Peter Skands*



$$\propto -\frac{i}{2}g_s \quad \bar{\psi}_{q\textcolor{red}{R}} \quad \lambda^1 \quad \psi_{q\textcolor{green}{G}}$$

$$= -\frac{i}{2}g_s \begin{pmatrix} 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

gluons can be represented as double lines of colour-anticolour combinations, e.g.

$$\lambda^1 \rightarrow \frac{1}{\sqrt{2}} \bar{r}g \quad , \quad \lambda^8 \rightarrow \frac{1}{\sqrt{6}} (\bar{r}r + \bar{g}g - 2\bar{b}b)$$

note that the combination  $\frac{1}{\sqrt{3}} (\bar{r}r + \bar{g}g + \bar{b}b)$  does not occur for the gluon

because this would correspond to a colour singlet

# Gell-Mann matrices (again)

$t_{ij}^a = \lambda_{ij}^a/2$  generators of SU(3) in fundamental representation

$\lambda_{ij}^a$  : Gell-Mann matrices (traceless, hermitian)

$$\lambda^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad \lambda^5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \quad \lambda^6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\lambda^7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad \lambda^8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}.$$

# Colour Algebra pictorially

$$i \xrightarrow{\quad} j \text{ colour} = \delta_{ij}$$

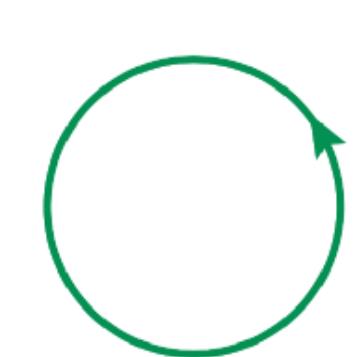
$$i \xrightarrow{\quad} j \text{ colour} = t_{ij}^a$$

$$a \xrightarrow{\text{ooooooo}} b \text{ colour} = \delta_{ab}$$

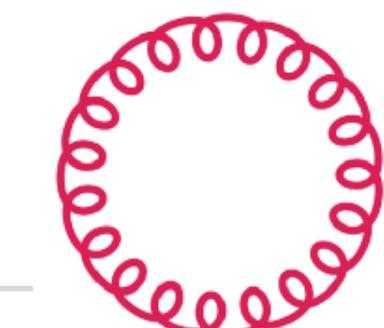
$$c \xrightarrow{\text{ooooo}} a \text{ colour} = if^{abc}$$

$$a \xrightarrow{\quad} b - b \xrightarrow{\quad} a = a \xrightarrow{\quad} b$$

$$[t^a, t^b] = if^{abc}t^c$$



$$\text{colour} = \delta_{ij}\delta^{ij} = N_c$$



$$\text{colour} = \delta_{ab}\delta^{ab} = N_c^2 - 1$$

# Colour “Casimirs”

group invariants:

$$\sum_{j,a} t_{ij}^a t_{jk}^a = C_F \delta_{ik}, \quad \sum_{a,d} F_{bd}^a F_{dc}^a = C_A \delta_{bc}$$

$C_F, C_A$  : eigenvalues of Casimir operators in fundamental/adjoint representation  
 (Casimir operators commute with any element of the Lie algebra)

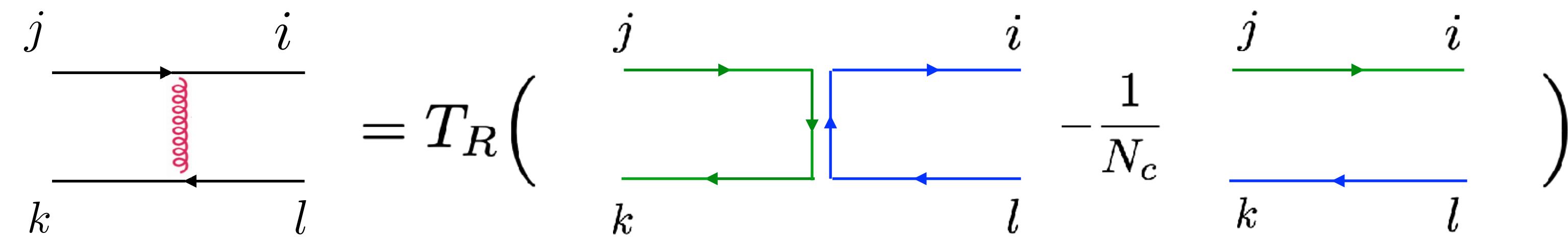
$$C_F = T_R \frac{N_c^2 - 1}{N_c}, \quad C_A = 2 T_R N_c$$

Trace[ $T^a T^b$ ] =  $T_R \delta^{ab}$   
 usually  $T_R = \frac{1}{2}$  (convention)

# Colour “Fierz identities”

the double line representation for the gluons also allows us to derive some identities, for example

$$t_{ij}^a t_{kl}^a = T_R \left( \delta_{il} \delta_{jk} - \frac{1}{N_c} \delta_{ij} \delta_{kl} \right)$$



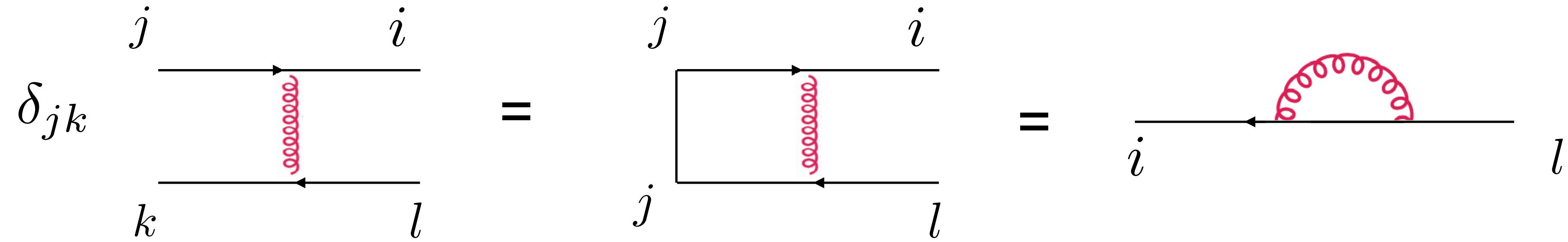
second term is the case where quarks have the same colour  $\rightarrow$  no gluon is exchanged;

normalisation can be seen e.g. by contracting with  $\delta_{jk}$

$$\text{lhs: } t_{ij}^a t_{kl}^a \delta_{jk} = t_{ij}^a t_{jl}^a = C_F \delta_{il} \quad \text{rhs: } T_R \left( \delta_{il} N_c - \frac{1}{N_c} \delta_{il} \right) = T_R \frac{N_c^2 - 1}{N_c} \delta_{il} = C_F \delta_{il}$$

# Colour Algebra

note that contracting with  $\delta_{jk}$  pictorially corresponds to

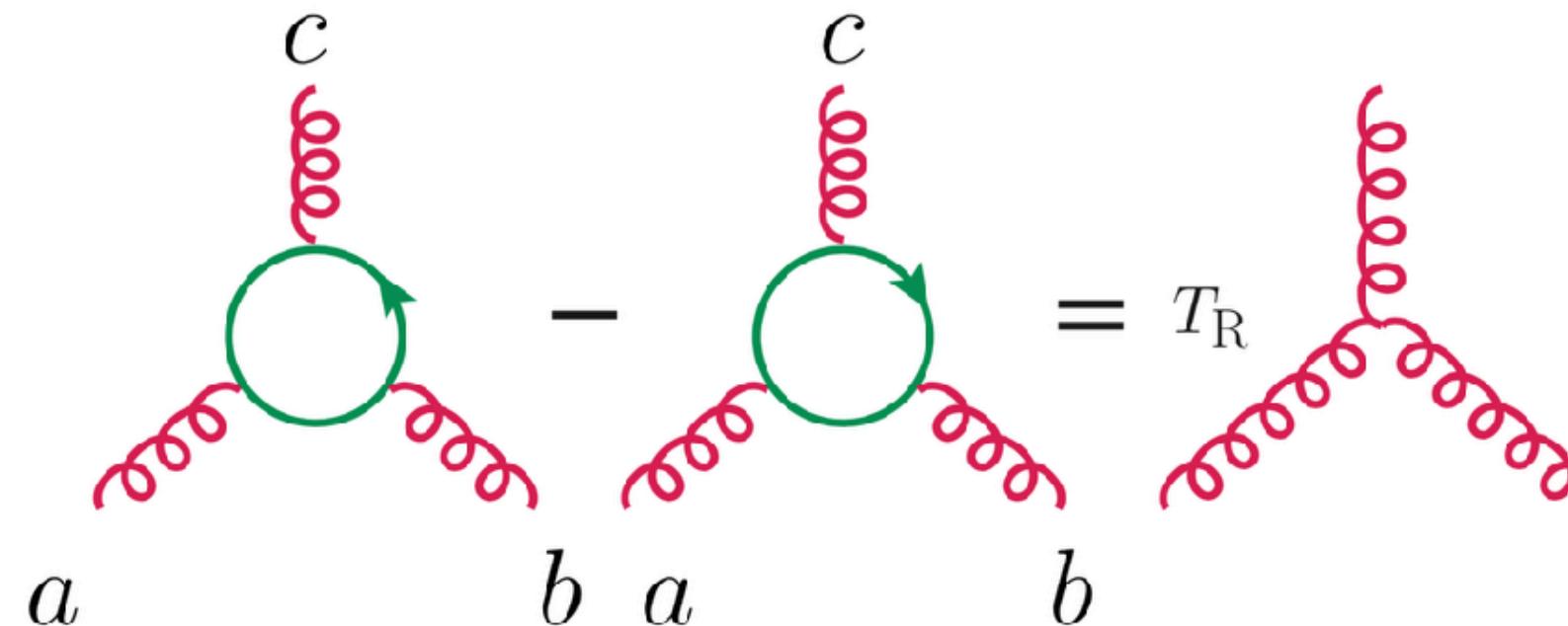


(quark self energy diagram)

# colour decomposition

we can express gluon amplitudes entirely in terms of generators  $t_{ij}^a$

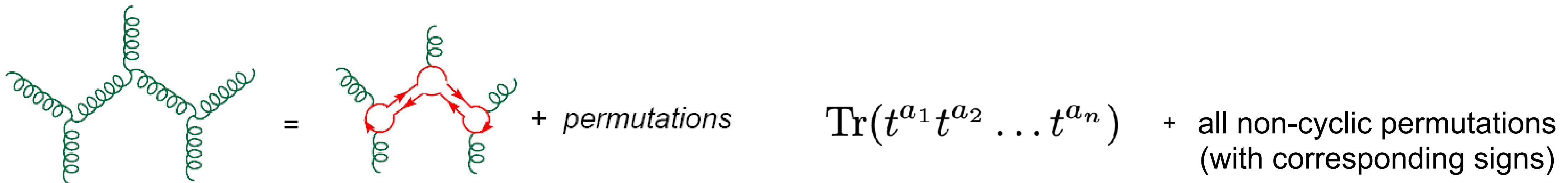
based on



$$\text{Diagram 1} - \text{Diagram 2} = T_R \cdot \text{Diagram 3}$$

we can eliminate  $f^{abc}$

$$\text{Trace}(t^a t^b t^c) - \text{Trace}(t^c t^b t^a) = i T_R f^{abc}$$



$$\text{Diagram 1} = \text{Diagram 2} + \text{permutations} \quad \text{Tr}(t^{a_1} t^{a_2} \dots t^{a_n}) + \text{all non-cyclic permutations (with corresponding signs)}$$

similarly  $q\bar{q}gggg\dots \rightarrow \text{Tr}(t^{a_1} t^{a_2} \dots t^{a_n})_{ij} + \text{permutations}$

# colour decomposition

**idea:** split amplitude into a **colour** part and a ***kinematic*** part

$$\mathcal{A}_n^{\text{tree}} = g_s^{n-2} \sum_{\sigma \in S_{n-2}} (t^{a_{\sigma(3)}} \dots t^{a_{\sigma(n)}})_{j_1 i_2} A_n^{\text{tree}}(1_{\bar{q}}^{\lambda_1}, 2_q^{\lambda_2}, \sigma(3^{\lambda_3}), \dots, \sigma(n^{\lambda_n})),$$

colour

“partial amplitude”  
(kinematics only, permutation of colour labels)

leads to a large reduction of complexity and more manifest IR singularity structure

- there are various ways to do a colour decomposition
  - the “colour flow decomposition” also eliminates the  $t^a$ , based on

$$t_{ij}^a t_{kl}^a = T_R \left( \delta_{il} \delta_{jk} - \frac{1}{N_c} \delta_{ij} \delta_{kl} \right)$$

# n-gluon amplitudes

$n$	# diagrams	
	partial amplitude	full amplitude
4	3	4
5	10	25
6	36	220
7	133	2485
8	501	34300
9	1991	559405
10	7335	10525900
11	28199	224449225
12	108281	5348843500

*table from arXiv:hep-ph/9910563*

# QCD Feynman rules

## Propagators:

gluon     $\Delta_{\mu\nu}^{ab}(p) = \delta^{ab} \Delta_{\mu\nu}(p)$

quark     $\Delta^{ij}(p) = \delta^{ij} \frac{i(\not{p} + m)}{p^2 - m^2 + i\varepsilon}$

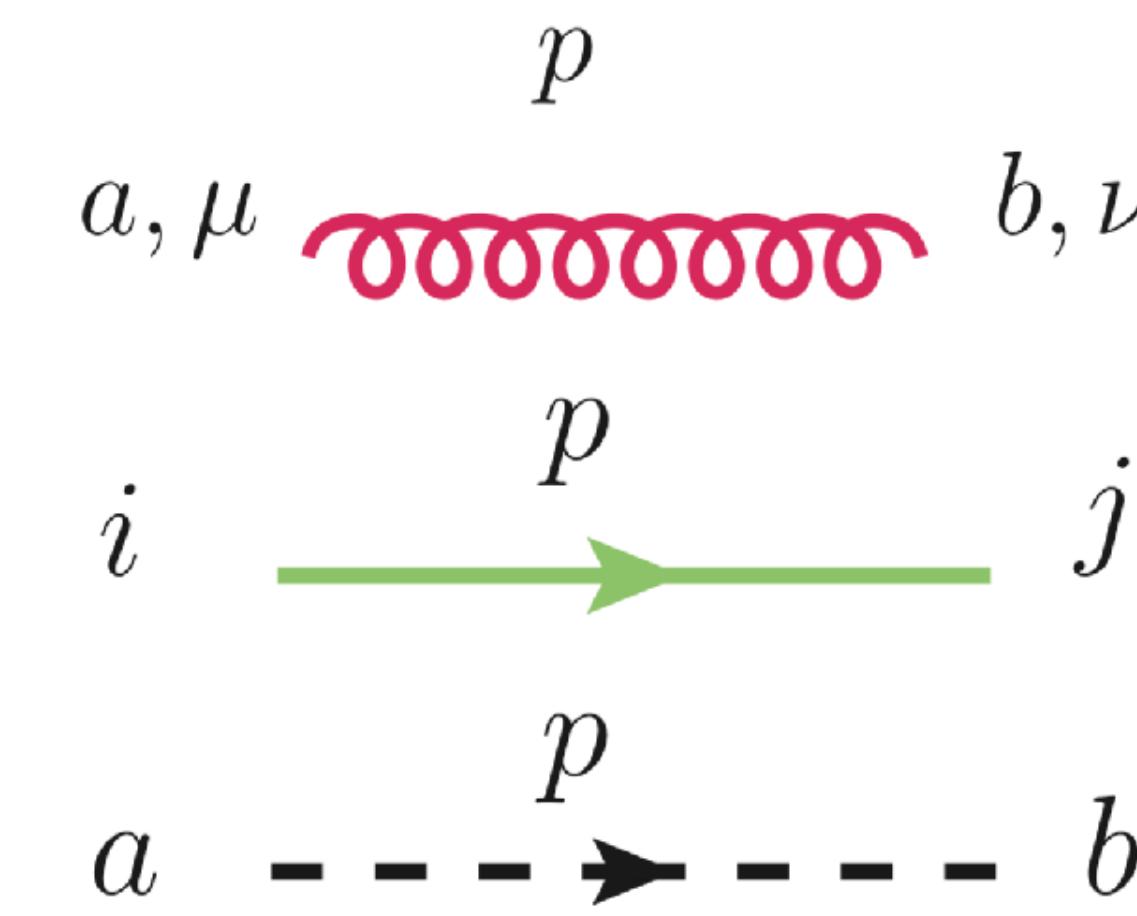
ghost     $\Delta^{ab}(p) = \delta^{ab} \frac{i}{p^2 + i\varepsilon}$

$$\Delta_{\mu\nu}(p) = \frac{-i}{p^2 + i\varepsilon} \left[ g_{\mu\nu} - (1 - \lambda) \frac{p_\mu p_\nu}{p^2} \right]$$

covariant gauge  
 $\lambda = 1$  : Feynman gauge

$$\Delta_{\mu\nu}(p, n) = \frac{-i}{p^2 + i\varepsilon} \left[ g_{\mu\nu} - \frac{p_\mu n_\nu + n_\mu p_\nu}{p \cdot n} \right]$$

light-cone gauge  
 $n^2 = 0$

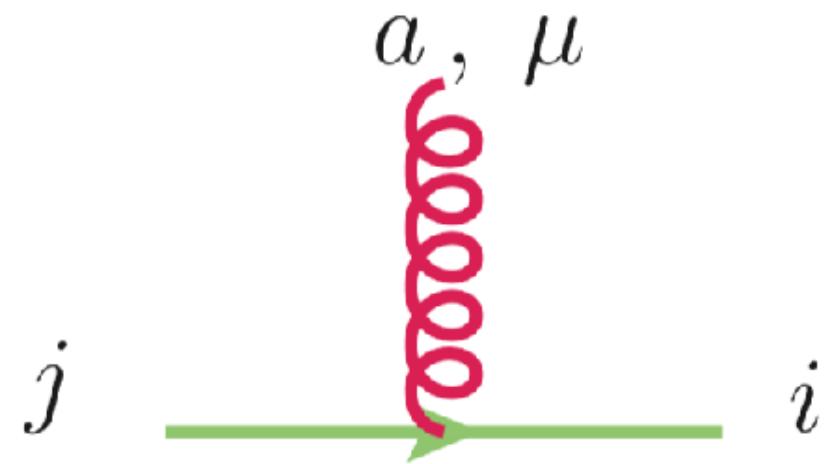


# Feynman rules

## Vertices:

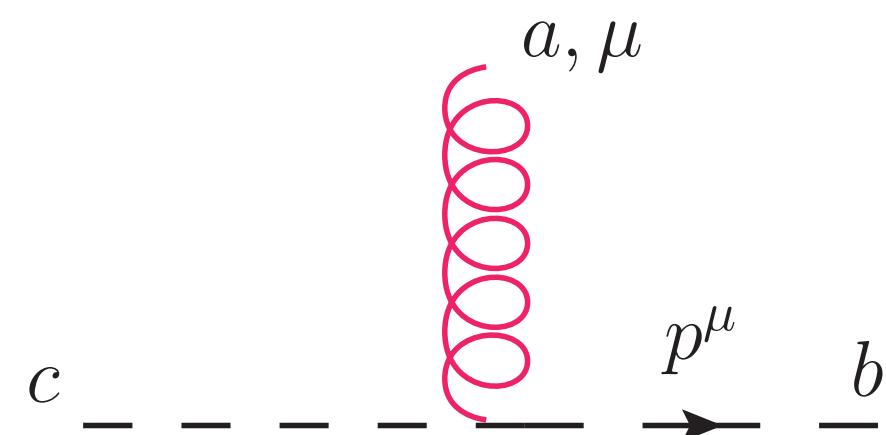
quark-gluon

$$\Gamma_{gq\bar{q}}^{\mu, a} = -i g_s (t^a)_{ij} \gamma^\mu$$



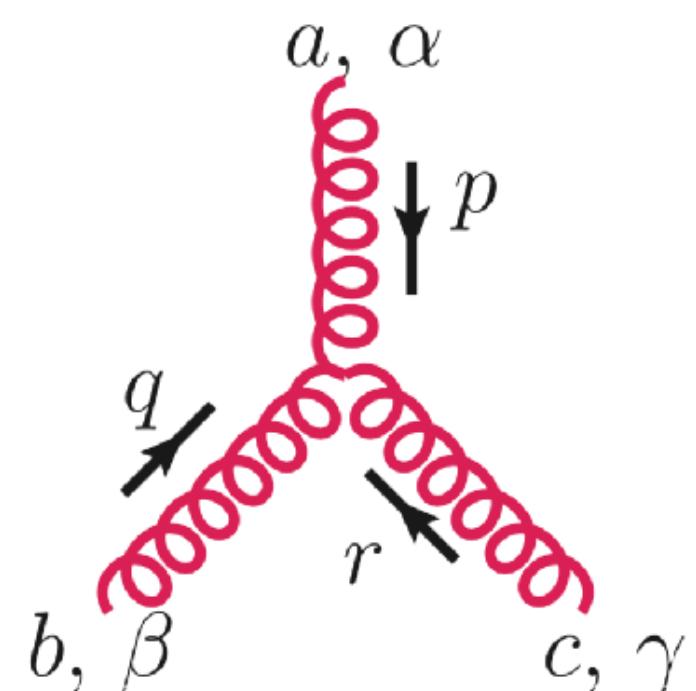
ghost-gluon

$$\begin{aligned} \Gamma_{g\eta\bar{\eta}}^{\mu, a} &= -i g_s (F^a)_{bc} p^\mu \\ &= -g_s f^{abc} p^\mu \end{aligned}$$



# Feynman rules

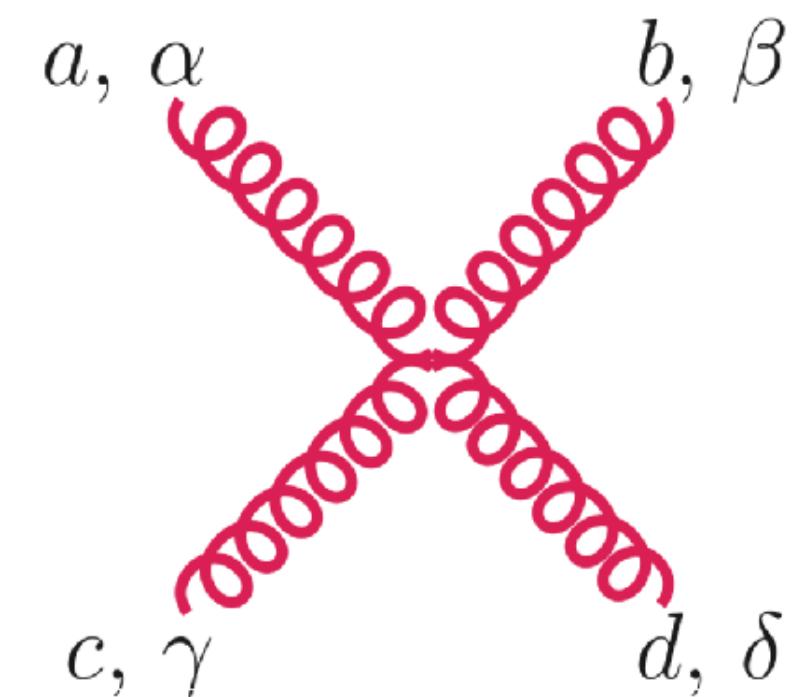
3-gluon       $\Gamma_{\alpha\beta\gamma}^{abc}(p, q, r) = -i g_s (F^a)_{bc} V_{\alpha\beta\gamma}(p, q, r)$



$$V_{\alpha\beta\gamma}(p, q, r) = (p - q)_\gamma g_{\alpha\beta} + (q - r)_\alpha g_{\beta\gamma} + (r - p)_\beta g_{\alpha\gamma}$$

4-gluon

$$\Gamma_{\alpha\beta\gamma\delta}^{abcd} = -i g_s^2 \left[ \begin{array}{l} + f^{xac} f^{xbd} (g_{\alpha\beta} g_{\gamma\delta} - g_{\alpha\delta} g_{\beta\gamma}) \\ + f^{xad} f^{xcb} (g_{\alpha\gamma} g_{\beta\delta} - g_{\alpha\beta} g_{\gamma\delta}) \\ + f^{xab} f^{xdc} (g_{\alpha\delta} g_{\beta\gamma} - g_{\alpha\gamma} g_{\beta\delta}) \end{array} \right]$$

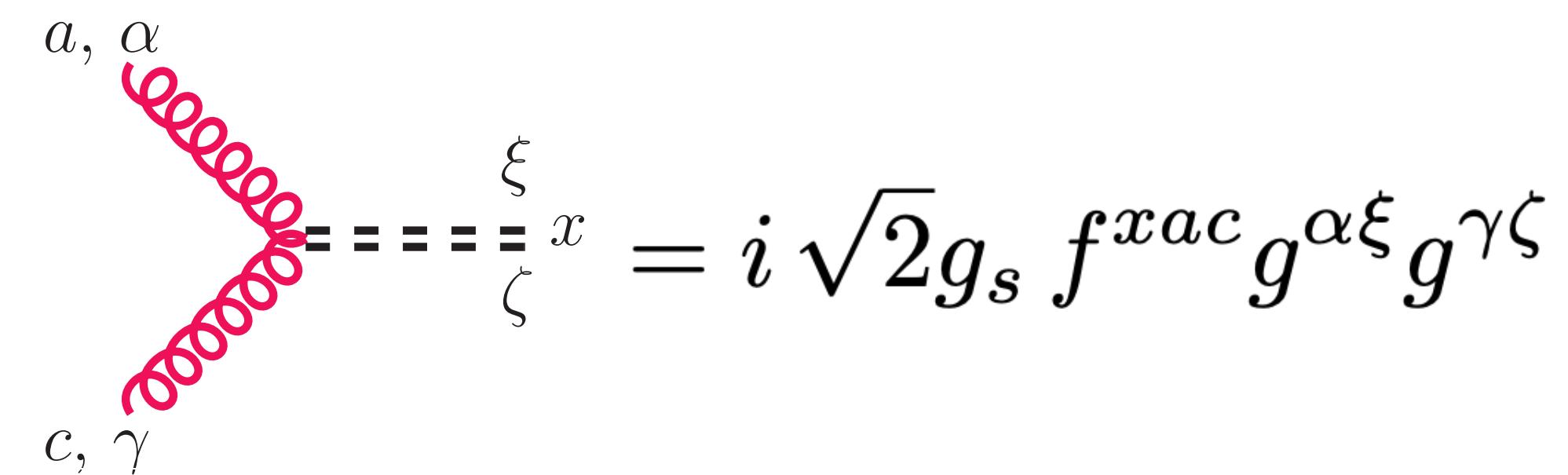


# 4-gluon vertex

- for the 4-gluon vertex the colour and the kinematic part do not factorise
- however one can achieve a factorised form with an auxiliary field carrying two Lorentz indices, with propagator

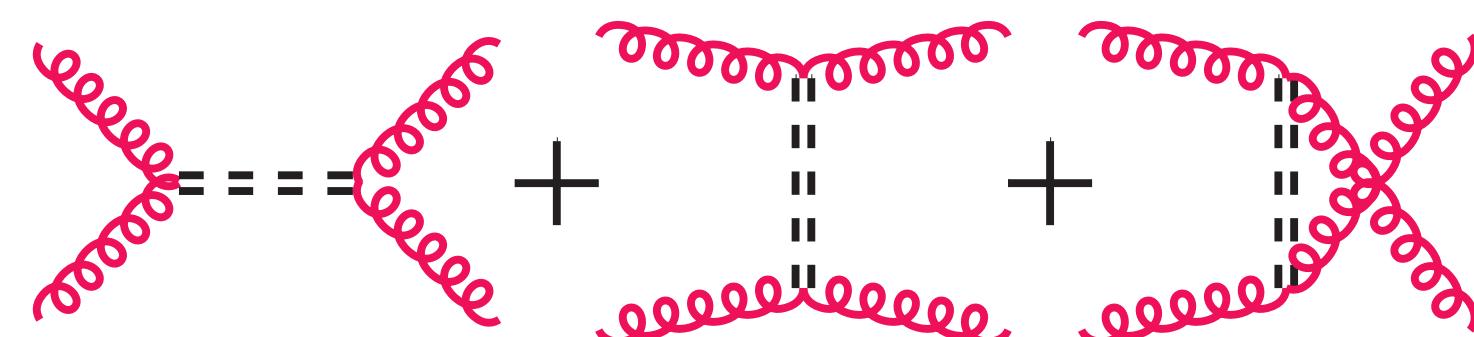
$$a \stackrel{\gamma}{\underset{\alpha}{=}} \stackrel{\delta}{\underset{\beta}{=}} b = -\frac{i}{2} \delta^{ab} (g^{\alpha\beta} g^{\gamma\delta} - g^{\alpha\delta} g^{\beta\gamma})$$

and coupling to the gluons with the rule



$$i \sqrt{2} g_s f^{xac} g^{\alpha\xi} g^{\gamma\zeta}$$

- the 4-gluon vertex then can be written as the sum of 3 diagrams where colour and Lorentz structure factorise

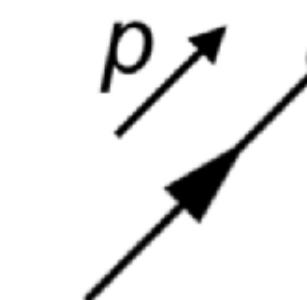


# Feynman rules

## spinors:

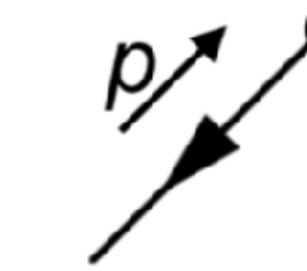
incoming fermion

$u(p, s)$



incoming anti-fermion

$\bar{v}(p, s)$



outgoing fermion

$\bar{u}(p, s)$



outgoing anti-fermion

$v(p, s)$



## polarisation vectors:

incoming vector boson  $\varepsilon_\mu(k, \lambda)$



outgoing vector boson  $\varepsilon_\mu^*(k, \lambda)$



# Feynman rules

## further rules:

- momentum conservation at each vertex
- factor (-1) for each closed fermion loop
- factor (-1) for switching identical external fermions
- integrate over loop momenta with  $\int \frac{d^4 l}{(2\pi)^4}$

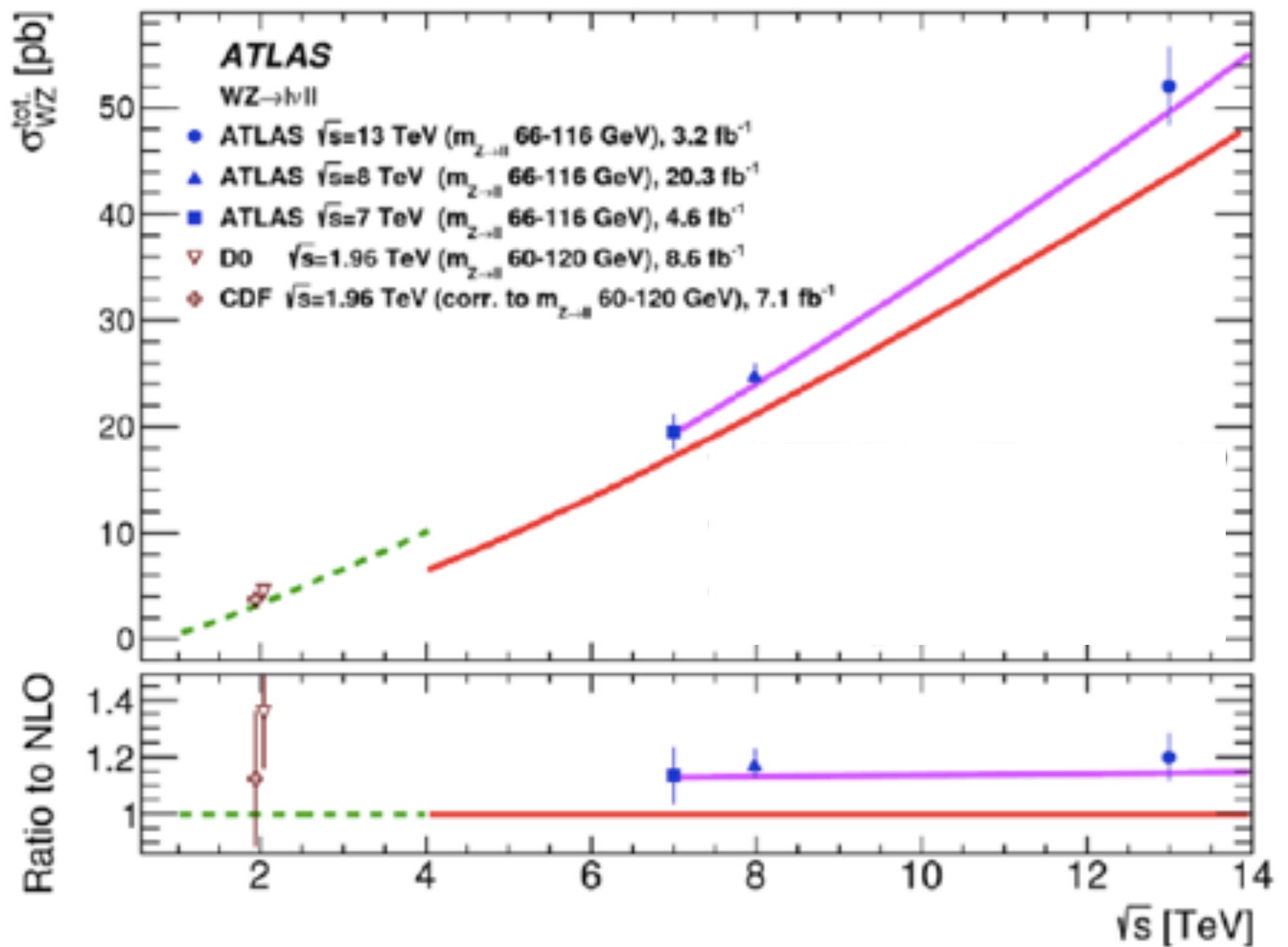
# Summary

- Factorisation and asymptotic freedom are essential to separate short-distance from long-distance (non-perturbative) dynamics
- Without QCD corrections, (most of) the data are not well described
- Description of QCD as SU(3) local gauge theory has important consequences, for example self-interactions between the gluons
- Colour algebra: can be separated from kinematics
- Next: cross sections, running coupling, scale uncertainties

# Appendix

# Quiz

- How many different quarks do we have in the SM?
- Does factorisation always hold?
- How can we represent the colour charge of gluons?
- What is characteristic for physical gauges?
- Why are ghost fields not relevant in QED?
- Which interaction is stronger at  $\sqrt{s} \approx M_Z$ :  
the gluon self-interaction or the Higgs boson self-interaction?



Wiesemann, Grazzini, Kallweit, Rathlev '17

- What are the red and pink curves in the plot above?

# Conventions

we will use so-called “natural units”:

$$\hbar = c = 1$$

in these conventions, energy, mass and momentum have the same units

momentum vector:  $p^\mu = (E, \vec{p}) = (p^0, \vec{p})$

“on-shell” four-momentum:  $p^2 = m^2 = E^2 - \vec{p}^2$

$$(c \neq 1 : E^2 = m^2 c^4 + \vec{p}^2 c^2)$$

proton mass:  $m_p \simeq 1 \text{ GeV} = 10^9 \text{ eV}$

remember Heisenberg:  $\Delta p \Delta x \geq \frac{\hbar}{2}$

therefore with  $\hbar = c = 1$  large energies means small distances



# useful spinor relations

$$\begin{aligned} (\not{p} - m) u(p, s) &= 0 & \bar{u}(p, s)(\not{p} - m) &= 0 \\ (\not{p} + m) v(p, s) &= 0 & \bar{v}(p, s)(\not{p} + m) &= 0 \end{aligned}$$

(Dirac equation)

$$\begin{aligned} \bar{v}(p, r)u(p, s) &= 0 & \bar{u}(p, r)u(p, s) &= 2m \delta_{rs} \\ \bar{u}(p, r)v(p, s) &= 0 & \bar{v}(p, r)v(p, s) &= -2m \delta_{rs} \end{aligned}$$

(orthogonality)

$$\sum_s u(p, s)\bar{u}(p, s) = \not{p} + m$$

(completeness)

$$\sum_s v(p, s)\bar{v}(p, s) = \not{p} - m$$