

Lecture 2: running coupling, scale uncertainties, NLO



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Outline

- strong CP problem
- from amplitudes to cross sections
- tree level amplitudes
- polarisation sums
- running coupling
- scale uncertainties
- basics of NLO

The strong CP problem

complete QCD Lagrangian: $\mathcal{L}_{QCD} = \mathcal{L}_{YM} + \mathcal{L}_q + \mathcal{L}_{GF} + \mathcal{L}_{FP}$

The strong CP problem

complete QCD Lagrangian: $\mathcal{L}_{QCD} = \mathcal{L}_{YM} + \mathcal{L}_q + \mathcal{L}_{GF} + \mathcal{L}_{FP}$

complete?

- from a theory point of view, another term would be allowed because it is gauge invariant and renormalizable

- we can form a dual field strength tensor: $\tilde{F}^{a,\mu\nu} = \frac{1}{2} \epsilon^{\mu\nu\alpha\beta} F_{\alpha\beta}^a$
and a Lagrangian

↑
1 for even permutation
-1 for odd permutation
0 otherwise

$$\mathcal{L}_{\Theta} = \Theta \frac{g_s}{32\pi^2} \sum_a F_{\mu\nu}^a \tilde{F}^{a,\mu\nu}$$

The strong CP problem

$$\mathcal{L}_\Theta = \Theta \frac{g_s}{32\pi^2} \sum_a F_{\mu\nu}^a \tilde{F}^{a,\mu\nu}$$

- this Lagrangian would violate CP invariance and contribute to the electric dipole moment of the neutron
- measurements lead to $\Theta < 10^{-10}$
- often in physics a symmetry is behind if a parameter is very small
- Peccei and Quinn (1977) suggested a spontaneously broken U(1) gauge symmetry
- the corresponding Goldstone boson is called *axion*
- the axion would also be a very good dark matter candidate
- searches for axions are ongoing

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*more in the lectures by
Mikhail Shaposhnikov*

Cross sections

n bunches, f : bunch frequency, F : bunch crossing area

N_a, N_b : number of particles per bunch

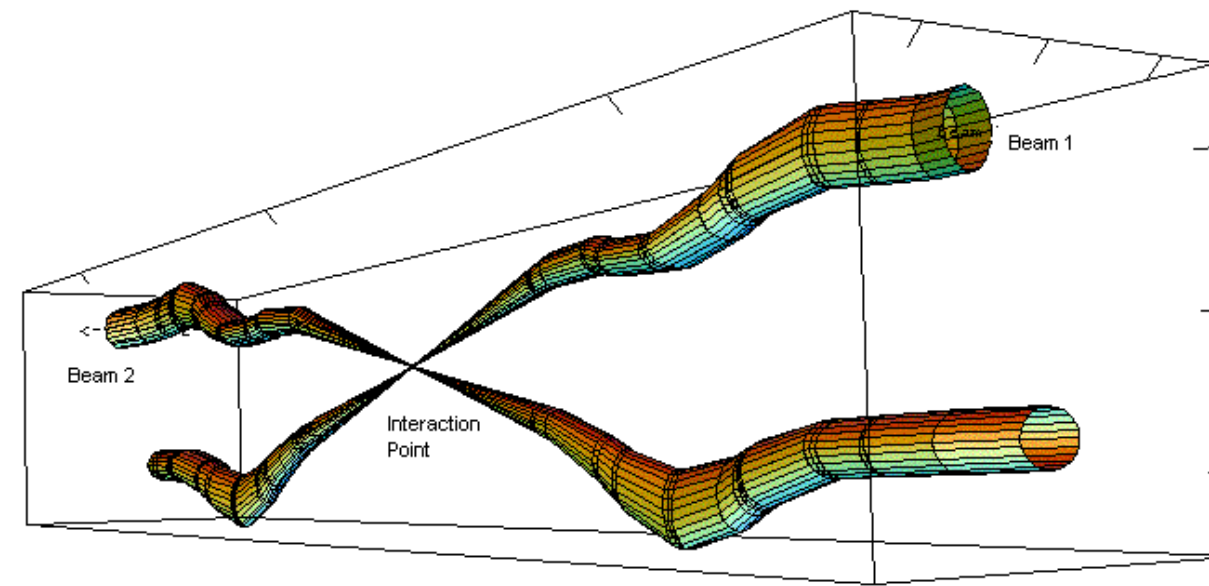
luminosity
$$L = f \cdot n \cdot \frac{N_a N_b}{F}$$

reaction rate R :

$$R = L \cdot \sigma$$

LHC: $n = 2808$ bunches, $f \simeq 11$ kHz

$$N_a = N_b \simeq 10^{11}, F = \pi r^2, r \sim 30 \mu m \quad (\text{at the collision point}) \Rightarrow L \simeq 10^{34} \frac{1}{\text{cm}^2 \text{s}}$$



Relative beam sizes around IP1 (Atlas) in collision

units: 1 barn = 10^{-24}cm^2



Cross sections

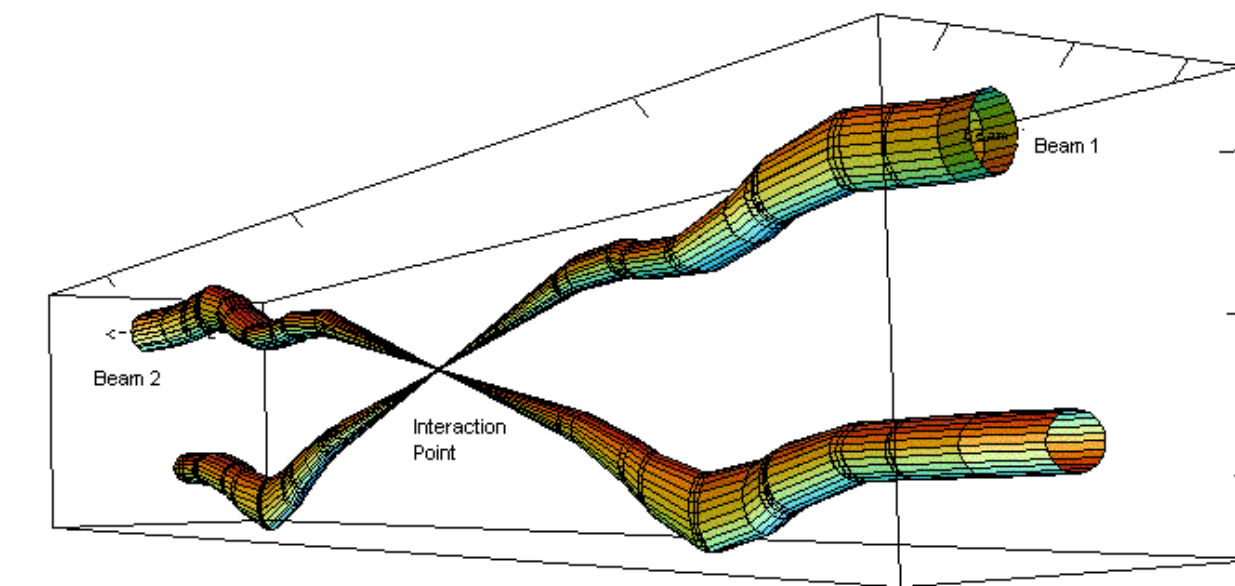
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example: @ $\sqrt{s} = 14 \text{ TeV}$

$$\sigma_{t\bar{t}} \simeq 1 \text{ nb} = 10^{-33} \text{ cm}^2$$

$$R = L \cdot \sigma = 10 \text{ top quark pairs per sec.}$$

From amplitudes to cross sections

- for a scattering process $q_a + q_b \rightarrow p_1 + \dots + p_N$

$$d\sigma = \frac{J}{\text{flux}} \cdot |\mathcal{M}|^2 \cdot d\Phi_N$$

\mathcal{M} : matrix element (derived via Feynman rules)

$d\Phi_N$: phase space of N final state particles

J : statistical factor, $J = 1/j!$ for each group of identical particles in the final state

$$\text{flux} = 4\sqrt{(q_a \cdot q_b)^2 - m_a^2 m_b^2} \longrightarrow 4q_a \cdot q_b = 2\hat{s} \quad (m = 0, \text{cms})$$

unpolarised: $|\mathcal{M}|^2 \rightarrow |\overline{\mathcal{M}}|^2 = \prod_{\text{initial}} \frac{1}{N_{\text{pol}} N_{\text{col}}} \sum_{\text{pol, col}} |\mathcal{M}|^2$ average over initial state, sum over final state pol., col.

Sum over spins/polarisations of external particles

gluons:
$$\sum_{\text{phys. pol. } \lambda} \epsilon_{\lambda}^{\mu}(k) \epsilon_{\lambda}^{\nu,*}(k) = -g^{\mu\nu} + \frac{k^{\mu} n^{\nu} + k^{\nu} n^{\mu}}{k \cdot n}$$

for **photons**, due to $k_1^{\mu_1} \dots k_n^{\mu_n} \mathcal{M}_{\mu_1 \dots \mu_n} = 0$

we can replace the above sum by $-g^{\mu\nu}$

fermions: Γ_1, Γ_2 strings of γ -matrices $\bar{\Gamma} = \gamma^0 \Gamma^{\dagger} \gamma^0$

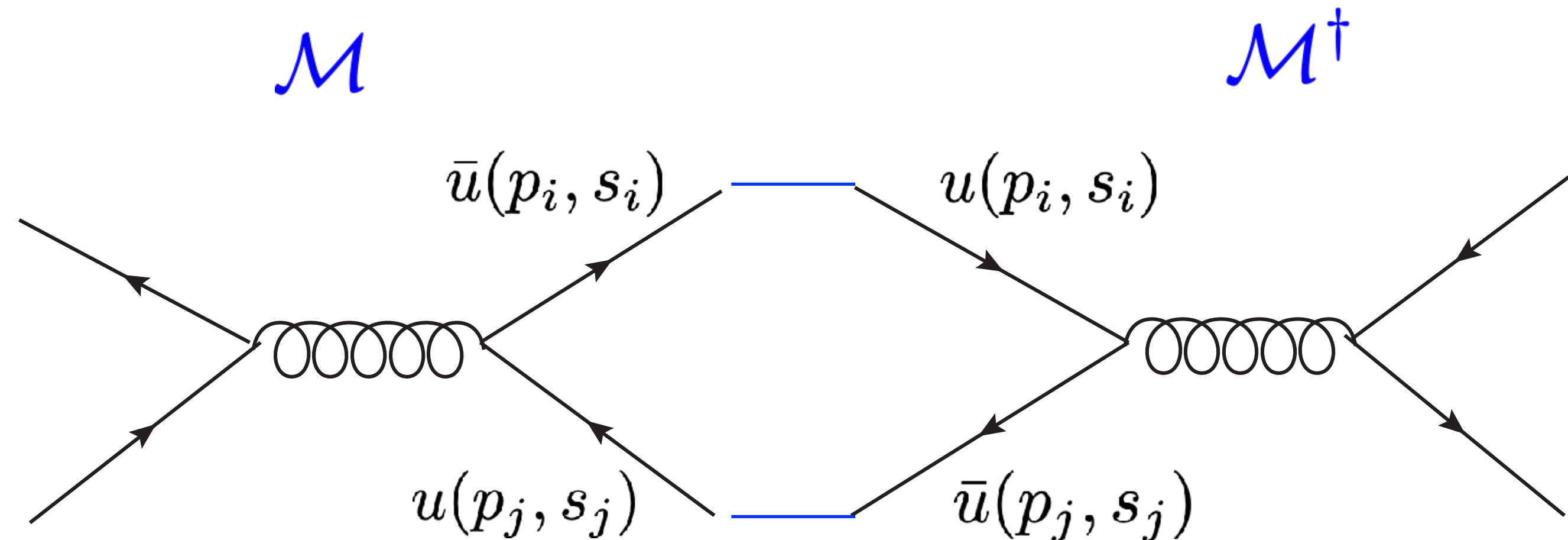
$$\begin{aligned} & \sum_{s_i, s_j} (\bar{u}(p_i, s_i) \Gamma_1 u(p_j, s_j)) (\bar{u}(p_i, s_i) \Gamma_2 u(p_j, s_j))^{\dagger} \\ &= \text{Trace}[\Gamma_1 (\not{p}_j + m_j) \bar{\Gamma}_2 (\not{p}_i + m_i)] \end{aligned}$$

Sum over spins/polarisations of external particles

$$\sum_{s_i, s_j} (\bar{u}(p_i, s_i) \Gamma_1 u(p_j, s_j)) (\bar{u}(p_i, s_i) \Gamma_2 u(p_j, s_j))^\dagger$$

$$= \text{Trace}[\Gamma_1 (\not{p}_j + m_j) \bar{\Gamma}_2 (\not{p}_i + m_i)]$$

graphical representation:



$$\sum_s u(p, s) \bar{u}(p, s) = \not{p} + m$$

$$\sum_s v(p, s) \bar{v}(p, s) = \not{p} - m$$

$$\gamma_0^\dagger = \gamma_0$$

$$\gamma_0 \gamma_i \gamma_0 = \gamma_i$$

closed fermion loop

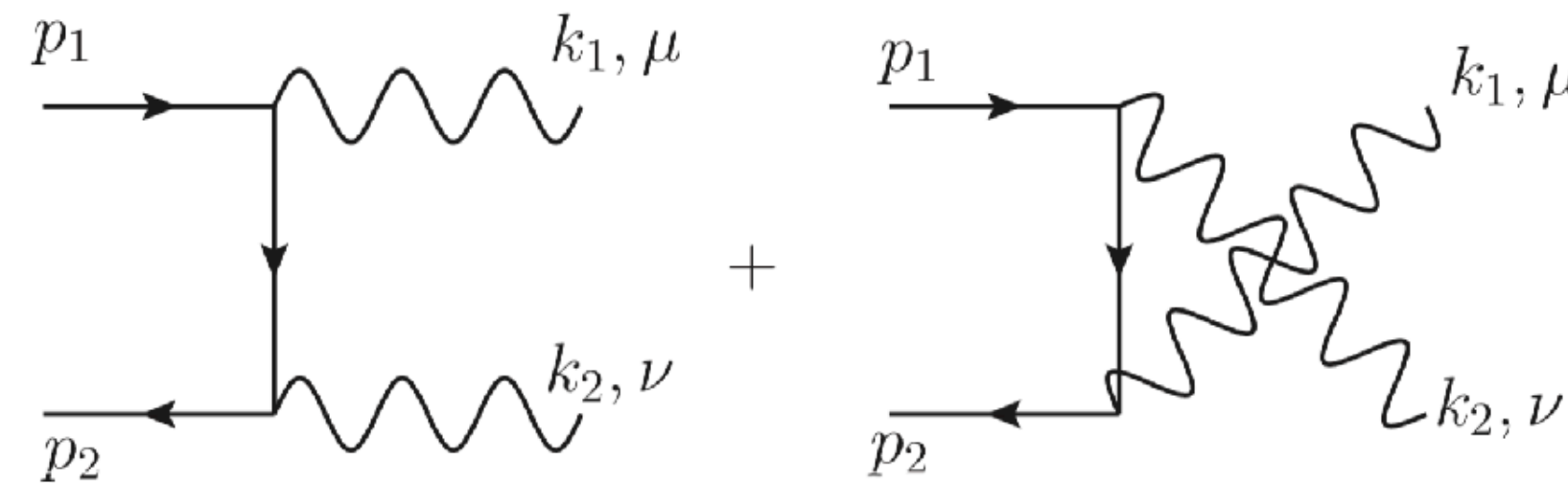
\Rightarrow Trace

see exercise in the appendix

Tree level amplitudes

the non-Abelian structure of QCD leads to important differences compared to QED (unphysical polarisations, beta-function, ...)

consider first a simple QED process: $e^+ e^- \rightarrow \gamma \gamma$



$$\mathcal{M} = -i e^2 \epsilon_1^\mu(k_1) \epsilon_2^\nu(k_2) M_{\mu\nu} \quad , \quad M_{\mu\nu} = M_{\mu\nu}^{(1)} + M_{\mu\nu}^{(2)}$$

$$M_{\mu\nu}^{(1)} = \bar{v}(p_2) \gamma_\nu \frac{\not{p}_1 - \not{k}_1}{(p_1 - k_1)^2} \gamma_\mu u(p_1)$$

$$M_{\mu\nu}^{(2)} = \bar{v}(p_2) \gamma_\mu \frac{\not{p}_1 - \not{k}_2}{(p_1 - k_2)^2} \gamma_\nu u(p_1)$$

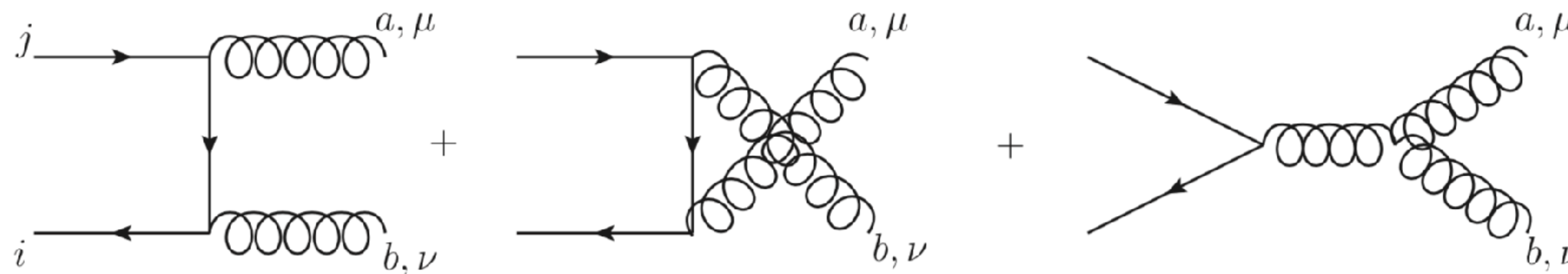
we find:

$$k_i^\mu \left[M_{\mu\nu}^{(1)} + M_{\mu\nu}^{(2)} \right] = 0$$

QED Ward Identity

Tree level amplitudes

QCD analogue: $q\bar{q} \rightarrow gg$



$$\mathcal{M} = -i g_s^2 \epsilon_1^\mu(k_1) \epsilon_2^\nu(k_2) M_{\mu\nu}^{\text{QCD}}$$

$$M_{\mu\nu}^{\text{QCD}} = (t^b t^a)_{ij} M_{\mu\nu}^{(1)} + (t^a t^b)_{ij} M_{\mu\nu}^{(2)} + M_{\mu\nu}^{(3)}$$

Tree level amplitudes

use $(t^b t^a)_{ij} = (t^a t^b)_{ij} - i f^{abc} t_{ij}^c$

$$M_{\mu\nu}^{\text{QCD}} = (t^a t^b)_{ij} \left[M_{\mu\nu}^{(1)} + M_{\mu\nu}^{(2)} \right] - i f^{abc} t_{ij}^c M_{\mu\nu}^{(1)} + M_{\mu\nu}^{(3)}$$

term in square brackets is the same as in QED, so $k_i^\mu \left[M_{\mu\nu}^{(1)} + M_{\mu\nu}^{(2)} \right] = 0$

for the remaining terms we find $k_1^\mu M_{\mu\nu}^{(1)} = -\bar{v}(p_2) \gamma_\nu u(p_1)$

$$k_1^\mu M_{\mu\nu}^{(3)} = \underbrace{-i f^{abc} t_{ij}^c \bar{v}(p_2) \gamma_\nu u(p_1)}_{\text{cancels with contribution from } M_{\mu\nu}^{(1)}} + i f^{abc} t_{ij}^c \bar{v}(p_2) \not{k}_1 u(p_1) \frac{k_{2,\nu}}{2k_1 \cdot k_2}$$

cancels with contribution from $M_{\mu\nu}^{(1)}$

vanishes **only** when contracted with the polarisation vector of a **physical** gluon, i.e. if

$$\epsilon^\nu(k_2) \cdot k_2 = 0$$

Difference QED vs QCD

QCD: $k_1^\mu \epsilon^\nu(k_2) M_{\mu\nu} \sim \epsilon(k_2) \cdot k_2 \Rightarrow$ vanishes only for physical gluons

QED: $k_1^{\mu_1} \dots k_n^{\mu_n} \mathcal{M}_{\mu_1 \dots \mu_n} = 0$ regardless whether $\epsilon(k_j) \cdot k_j = 0$ or not

for cross sections we need $|\overline{\mathcal{M}}|^2$ built as follows:

$$\sum_{\text{pol } \lambda_1, \lambda_2} \epsilon_{\mu_1, \lambda_1}(k_1) \epsilon_{\mu_2, \lambda_2}(k_2) \mathcal{M}^{\mu_1 \mu_2} \epsilon_{\nu_1, \lambda_1}^*(k_1) \epsilon_{\nu_2, \lambda_2}^*(k_2) (\mathcal{M}^{\nu_1 \nu_2})^\dagger$$

Let us consider just the sum over $\lambda_1 \in \{0, 1, 2, 3\}$ (all polarisations, also unphysical ones).

The second boson is treated analogously

Polarisation sums

In QED, we can make the replacement $\sum_{\lambda_1} \epsilon_{\mu_1, \lambda_1}(k_1) \epsilon_{\nu_1, \lambda_1}^*(k_1) \rightarrow -g_{\mu_1 \nu_1}$

In QCD, this will in general lead to the wrong result. Why?

sum over *physical* polarisations:

$$\sum_{i=L,R} \epsilon_i^\mu(k) \epsilon_i^{\nu,*}(k) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = -g^{\mu\nu} + \frac{k^\mu n^\nu + k^\nu n^\mu}{k \cdot n}$$

$$\epsilon_{L,R} = (0, 1, \pm i, 0)/\sqrt{2} \quad k = (k^0, 0, 0, k^0) \quad n = (k^0, 0, 0, -k^0)$$

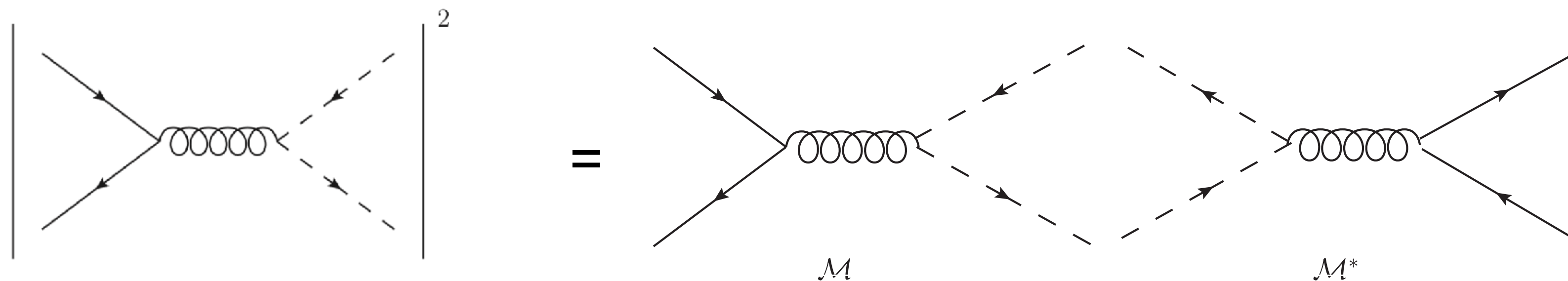
In QED $k^\mu \mathcal{M}_{\mu\nu} = 0 \Rightarrow$ only $g^{\mu\nu}$ part of polarisation sum will contribute

Polarisation sums

In QCD: $k_1^\mu \mathcal{M}_{\mu\nu} \epsilon^\nu(k_2) \sim \epsilon(k_2) \cdot k_2$

therefore, if $\epsilon(k_2) \cdot k_2 \neq 0$ we can **not** just use $-g^{\mu\nu}$ for the polarisation sum

- either we use
$$\sum_{\text{phys. pol. } \lambda} \epsilon_\lambda^\mu(k) \epsilon_{\lambda}^{\nu,*}(k) = -g^{\mu\nu} + \frac{k^\mu n^\nu + k^\nu n^\mu}{k \cdot n}$$
- or we use $-g^{\mu\nu}$ and also include the ghost contributions in $|\mathcal{M}|^2$

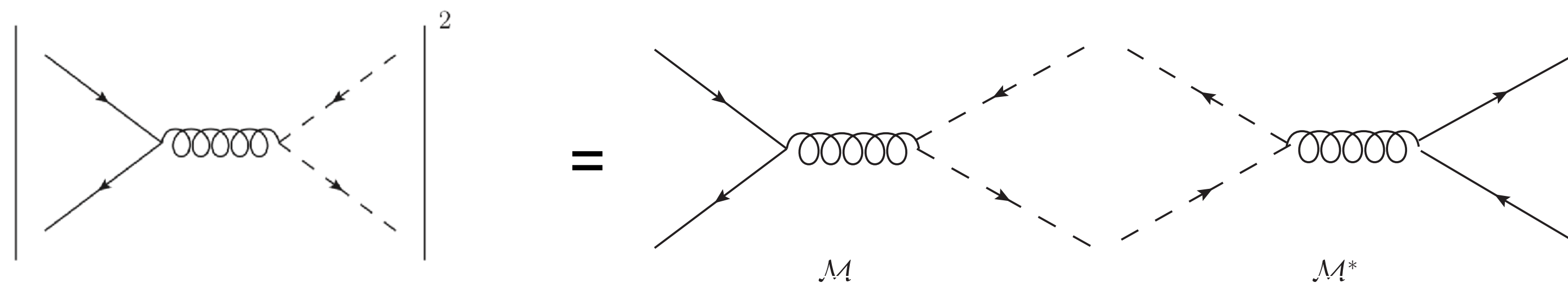


Polarisation sums

it can be shown that

$$S_{\text{unphys.}} \equiv \sum_{\text{unphysical pol.}} |\epsilon_\mu(k_1)\epsilon_\nu(k_2)\mathcal{M}^{\mu\nu}|^2 = \left| i g_s^2 f^{abc} t^c \bar{v}(p_2) \frac{k_1}{(k_1 + k_2)^2} u(p_1) \right|^2$$

calculating the ghost contribution



results in $-S_{\text{unphys.}}$ (minus sign from Feynman rules for closed fermion loop)

\Rightarrow ghost degrees of freedom cancel the unphysical gluon polarisations!

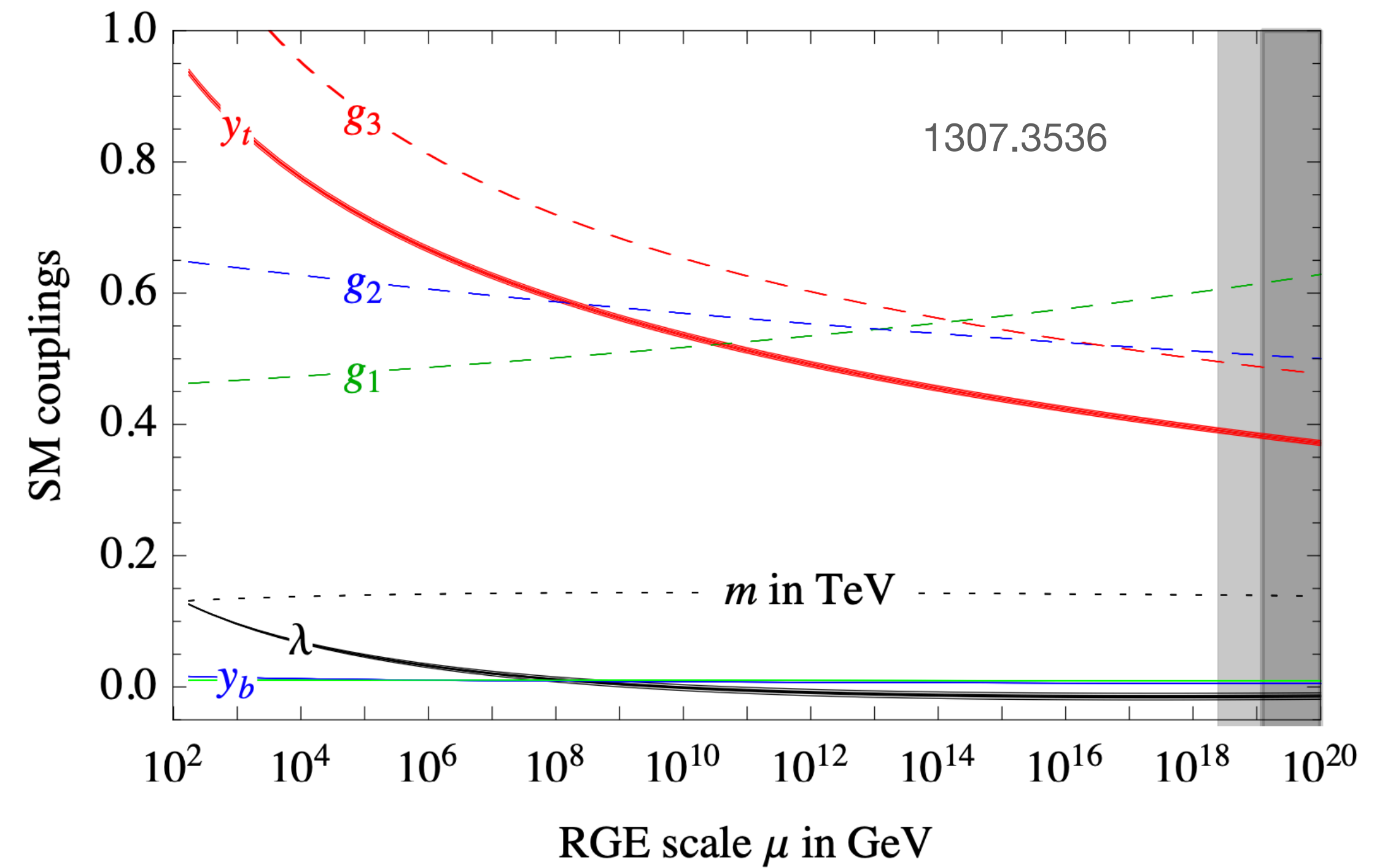
Running coupling



Karlsruhe Marathon



Mols Bjerge



QCD beta-function

- couplings are not constant, depend on the scale at which the interaction takes place

- strong coupling, running at leading order: $\alpha_s(Q^2) = \frac{1}{b_0 \log(Q^2 / \Lambda_{QCD}^2)}$

$$b_0 = \frac{1}{4\pi} \left(\frac{11}{3} C_A - \frac{4}{3} T_R N_f \right) \quad \Lambda_{QCD} : \text{scale where perturbative description breaks down}$$



 number of quark flavours

$$b_0 > 0 \text{ for } N_f < 11/2 C_A$$

- where does the running come from? \longrightarrow **renormalisation** introduces a scale μ

QCD beta-function

- to get an idea how this arises, consider the hadronic R-ratio

$$R(s) = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

perturbative expansion:

$$R(s) = K_{QCD}(s) R_0, \quad R_0 = N_c \sum_f Q_f^2 \theta(s - 4m_f^2)$$

$$K_{QCD}(s) = 1 + \frac{\alpha_s(\mu^2)}{\pi} + \sum_{n \geq 2} C_n \left(\frac{s}{\mu^2} \right) \left(\frac{\alpha_s(\mu^2)}{\pi} \right)^n$$

known up to 5 loops

QCD beta-function

$$K_{QCD}(s) = 1 + \frac{\alpha_s(\mu^2)}{\pi} + \sum_{n \geq 2} C_n \left(\frac{s}{\mu^2} \right) \left(\frac{\alpha_s(\mu^2)}{\pi} \right)^n$$



explicit calculation: integrate over loop momentum k , diverges for $k \rightarrow \infty$ **ultraviolet divergence**

for now regulate with cutoff Λ_{UV} : $\int_0^{\Lambda_{UV}} d|k|$

$n=1$: cutoff dependence cancels due to Ward Identity

$n=2$, i.e. up to order α_s^2 :

$$K_{QCD}(s) = 1 + \frac{\alpha_s}{\pi} + \left(\frac{\alpha_s}{\pi} \right)^2 \left[c + b_0 \pi \log \frac{\Lambda_{UV}^2}{s} \right] + \mathcal{O}(\alpha_s^3) \rightarrow \text{result is infinite for } \Lambda_{UV} \rightarrow \infty ?$$

QCD beta-function

however α_s is not the measured coupling but the “bare” coupling α_s^0

redefine coupling: $\alpha_s(\mu) = \alpha_s^0 + b_0 \log \frac{\Lambda_{UV}^2}{\mu^2} \alpha_s^2$

$\alpha_s(\mu)$: **renormalised coupling**

insert into K_{QCD} , expand consistently to order α_s^2

$$K_{QCD}^{\text{ren}}(\alpha_s(\mu), \mu^2/s) = 1 + \frac{\alpha_s(\mu)}{\pi} + \left(\frac{\alpha_s(\mu)}{\pi}\right)^2 \left[c + b_0 \pi \log \frac{\mu^2}{s} \right] + \mathcal{O}(\alpha_s^3)$$

finite, but now depends on μ , explicitly and implicitly through $\alpha_s(\mu)$

QCD beta-function

physical quantity $R^{\text{ren}} = R_0 K_{QCD}^{\text{ren}}$ cannot depend on unphysical scale

$$\Rightarrow \mu^2 \frac{d}{d\mu^2} R^{\text{ren}}(\alpha_s(\mu), \mu^2/Q^2) = 0 = \left(\mu^2 \frac{\partial}{\partial \mu^2} + \mu^2 \frac{\partial \alpha_s}{\partial \mu^2} \frac{\partial}{\partial \alpha_s} \right) R^{\text{ren}}(\alpha_s(\mu), \mu^2/Q^2)$$

define $t = \ln \frac{Q^2}{\mu^2}$, $\beta(\alpha_s) = \mu^2 \partial \alpha_s / \partial \mu^2 \Rightarrow \left(-\frac{\partial}{\partial t} + \beta(\alpha_s) \frac{\partial}{\partial \alpha_s} \right) R = 0$

renormalisation group equation

solve by ansatz $t = \int_{\alpha_s}^{\alpha_s(Q^2)} \frac{dx}{\beta(x)}$ with $\alpha_s \equiv \alpha_s(\mu^2)$

QCD beta-function

$$t = \int_{\alpha_s}^{\alpha_s(Q^2)} \frac{dx}{\beta(x)} \quad \text{differentiate both sides w.r.to } t$$

$$1 = \frac{1}{\beta(\alpha_s(Q^2))} \frac{\partial \alpha_s(Q^2)}{\partial t} \Rightarrow \boxed{\frac{\partial \alpha_s(Q^2)}{\partial t} = \beta(\alpha_s(Q^2))}$$

solve iteratively in perturbation theory

$$\beta(\alpha_s) = -b_0 \alpha_s^2 \left[1 + \sum_{n=1}^{\infty} b_n \alpha_s^n \right]$$

leading order:

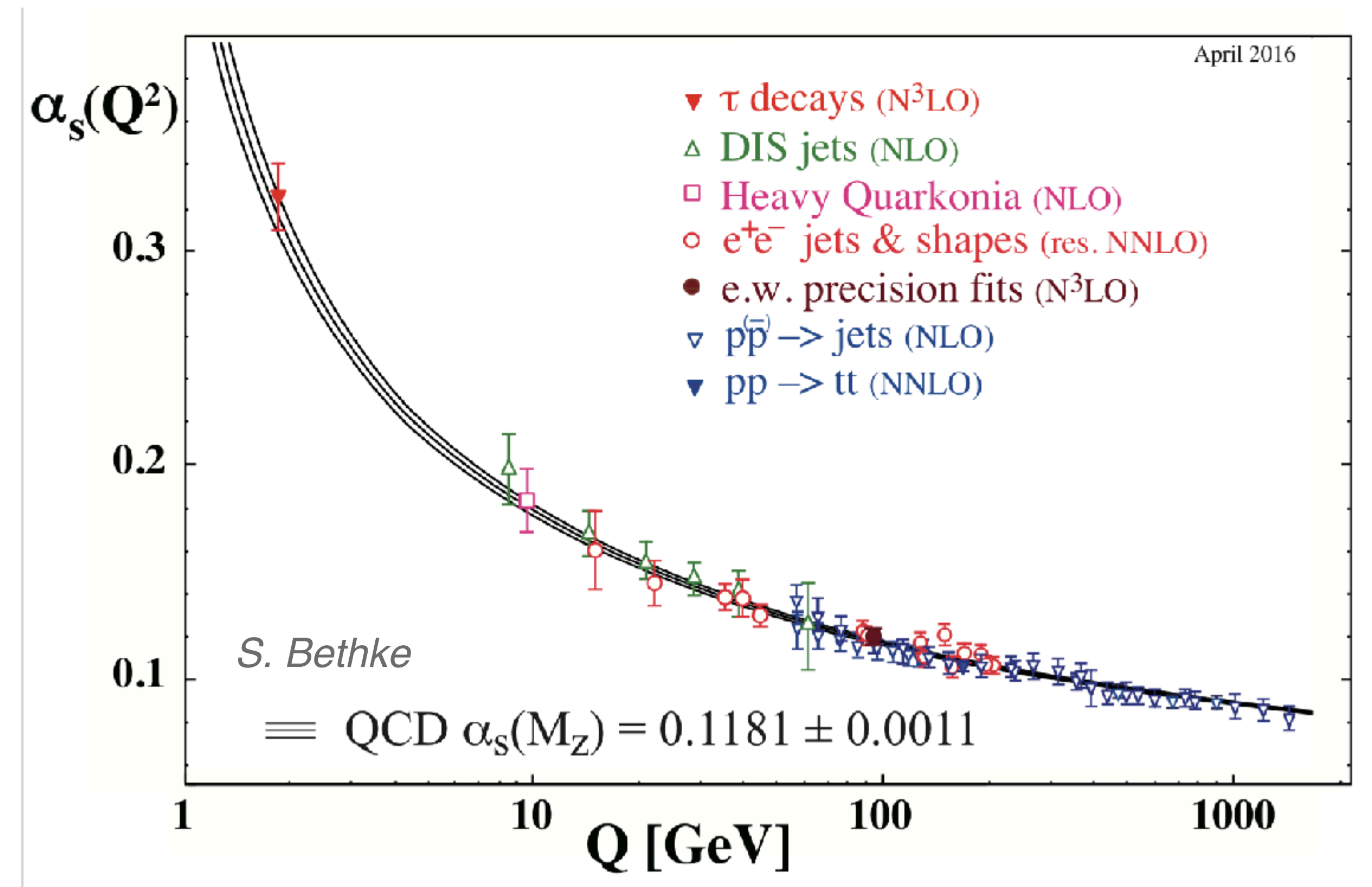
$$Q^2 \frac{\partial \alpha_s}{\partial Q^2} = \frac{\partial \alpha_s}{\partial t} = -b_0 \alpha_s^2 \Rightarrow -\frac{1}{\alpha_s(Q^2)} + \frac{1}{\alpha_s(\mu^2)} = -b_0 t$$
$$\Rightarrow \alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + b_0 t \alpha_s(\mu^2)}$$

running coupling

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + b_0 t \alpha_s(\mu^2)} \quad t = \ln \frac{Q^2}{\mu^2}$$

$$\Rightarrow \alpha_s(Q^2) \xrightarrow{Q^2 \rightarrow \infty} \frac{1}{b_0 t} \xrightarrow{Q^2 \rightarrow \infty} 0$$

asymptotic freedom



QCD lambda parameter

It can be useful to define a dimensionful parameter Λ (integration constant)

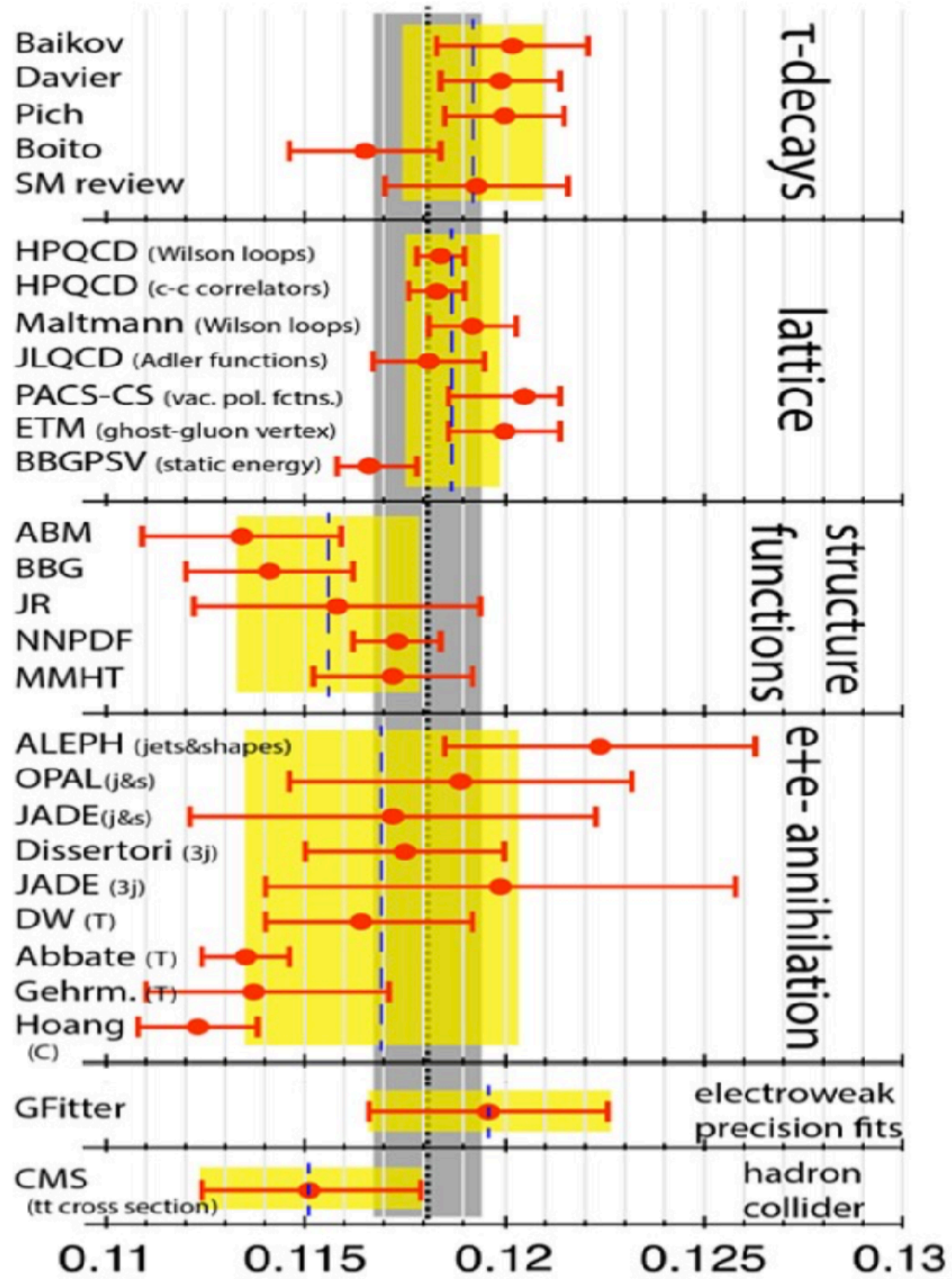
$$\ln \left(\frac{Q^2}{\Lambda^2} \right) = - \int_{\alpha_s(Q)}^{\infty} \frac{dx}{\beta(x)} = \int_{\alpha_s(Q)}^{\infty} \frac{dx}{b_0 x^2 (1 + b_1 x + \dots)}$$

Keeping only b_0 (LO), b_1 (NLO)

$$\alpha_s(Q) = \frac{1}{b_0 \ln \left(\frac{Q^2}{\Lambda^2} \right)} \quad (\text{LO}) \quad \alpha_s(Q) = \frac{1}{b_0 \ln \left(\frac{Q^2}{\Lambda^2} \right)} \left[1 - \frac{b_1 \ln \ln \left(\frac{Q^2}{\Lambda^2} \right)}{b_0 \ln \left(\frac{Q^2}{\Lambda^2} \right)} \right] \quad (\text{NLO})$$

Note that Λ depends on the number of active flavours N_f

Below the scale Λ strong interactions become non-perturbative , $\Lambda \simeq 200 \text{ MeV}$



class averages:

$$\alpha_s(M_Z) = 0.1192 \pm 0.0018 \quad (\pm 1.5\%)$$

$$\alpha_s(M_Z) = 0.1184 \pm 0.0012 \quad (\pm 1.0\%)$$

$$\alpha_s(M_Z) = 0.1156 \pm 0.0021 \quad (\pm 1.8\%)$$

$$\alpha_s(M_Z) = 0.1169 \pm 0.0034 \quad (\pm 2.9\%)$$

$$\alpha_s(M_Z) = 0.1196 \pm 0.0030 \quad (\pm 2.5\%)$$

$$\alpha_s(M_Z) = 0.1151 \pm 0.0028 \quad (\pm 2.5\%)$$

unweighted χ^2 average:

$$\alpha_s(M_Z) = 0.1181 \pm 0.0011 \quad (\pm 0.9\%)$$

World average of

$$\alpha_s(M_Z)$$

is based on observables at different energies and lattice QCD calculations

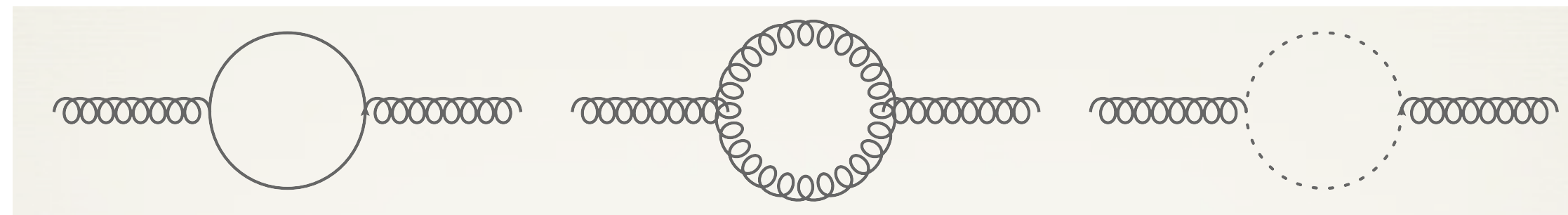
beta-functions

QCD:
$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \alpha_s(\mu^2) b_0 \ln\left(\frac{Q^2}{\mu^2}\right)}$$

coupling decreases with energy

$$b_0 = \frac{1}{12\pi} (11N_c - 2N_f)$$

$$b_0 > 0 \quad \text{for} \quad N_f < \frac{11}{2} N_c$$



(a)

N_f

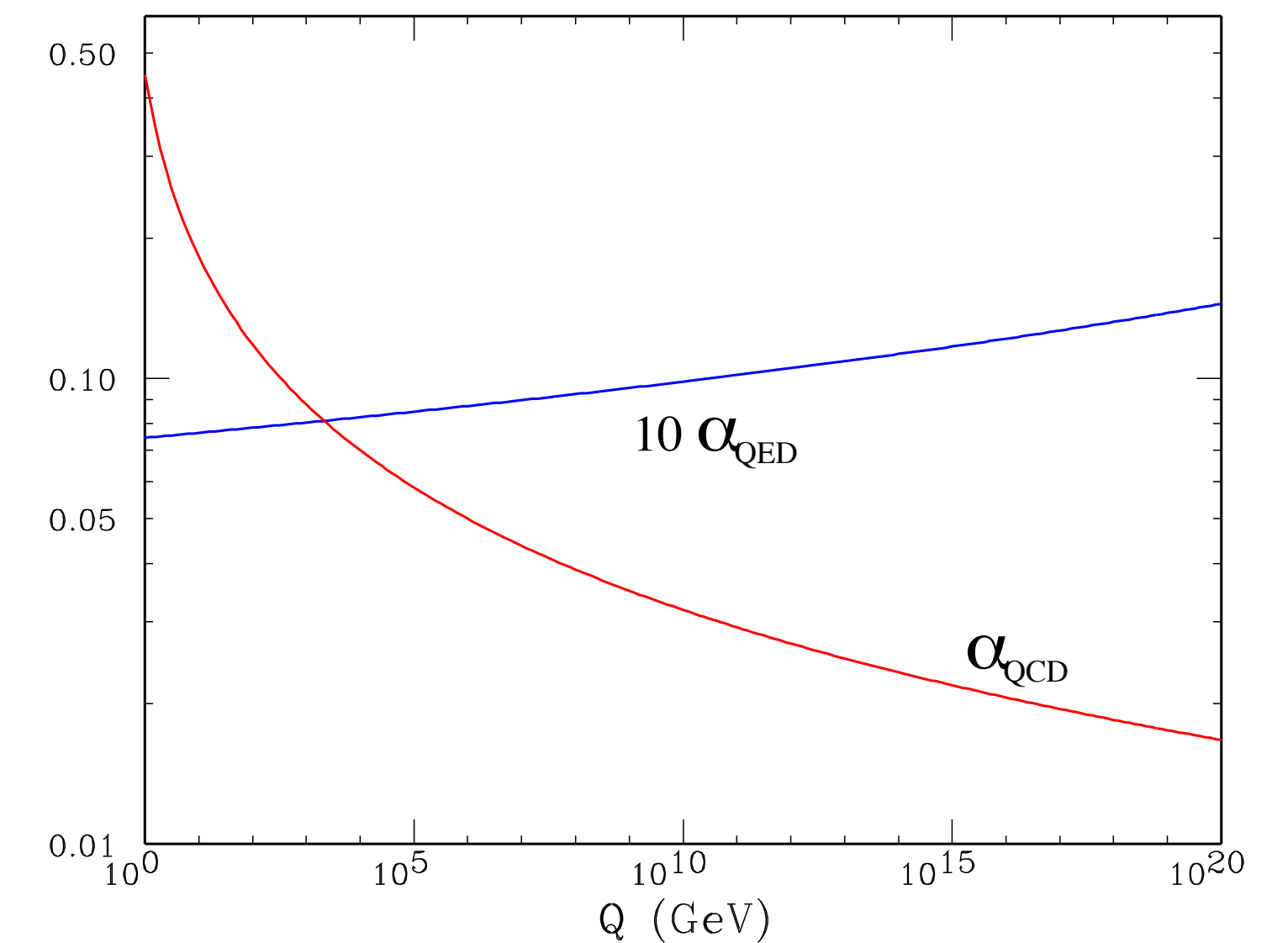
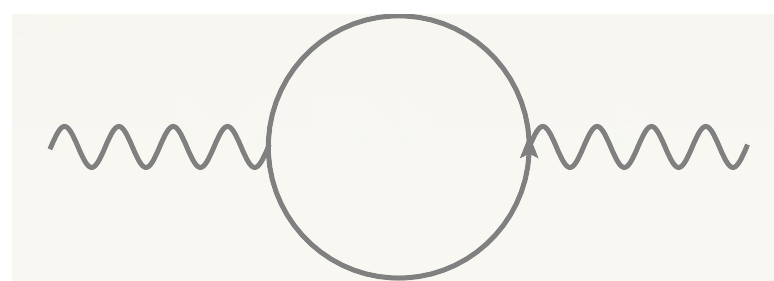
(b)

N_c

(ghost loop)

QED:
$$\alpha(Q^2) = \frac{\frac{1}{137} \alpha_0}{1 - \frac{\alpha_0}{3\pi} \ln\left(\frac{Q^2}{m_e^2}\right)}$$

coupling grows with energy



beta-functions

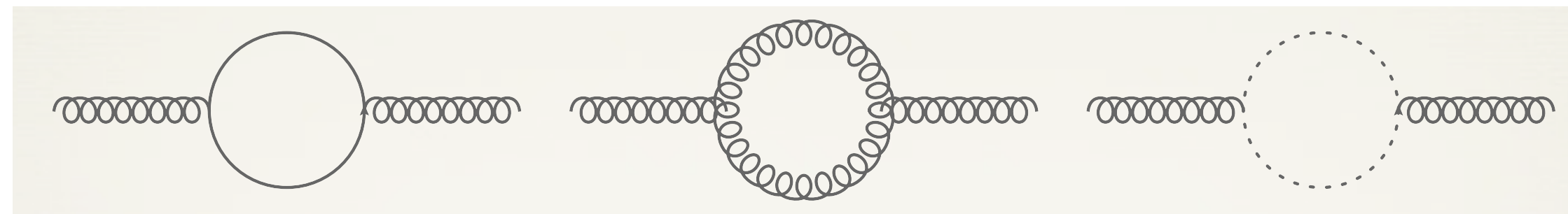
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coupling decreases with energy

$$b_0 = \frac{1}{12\pi} (11N_c - 2N_f)$$

$$b_0 > 0 \quad \text{for} \quad N_f < \frac{11}{2} N_c$$

only non-Abelian gauge theories
can be asymptotically free
(but don't have to)



(a)

(b)

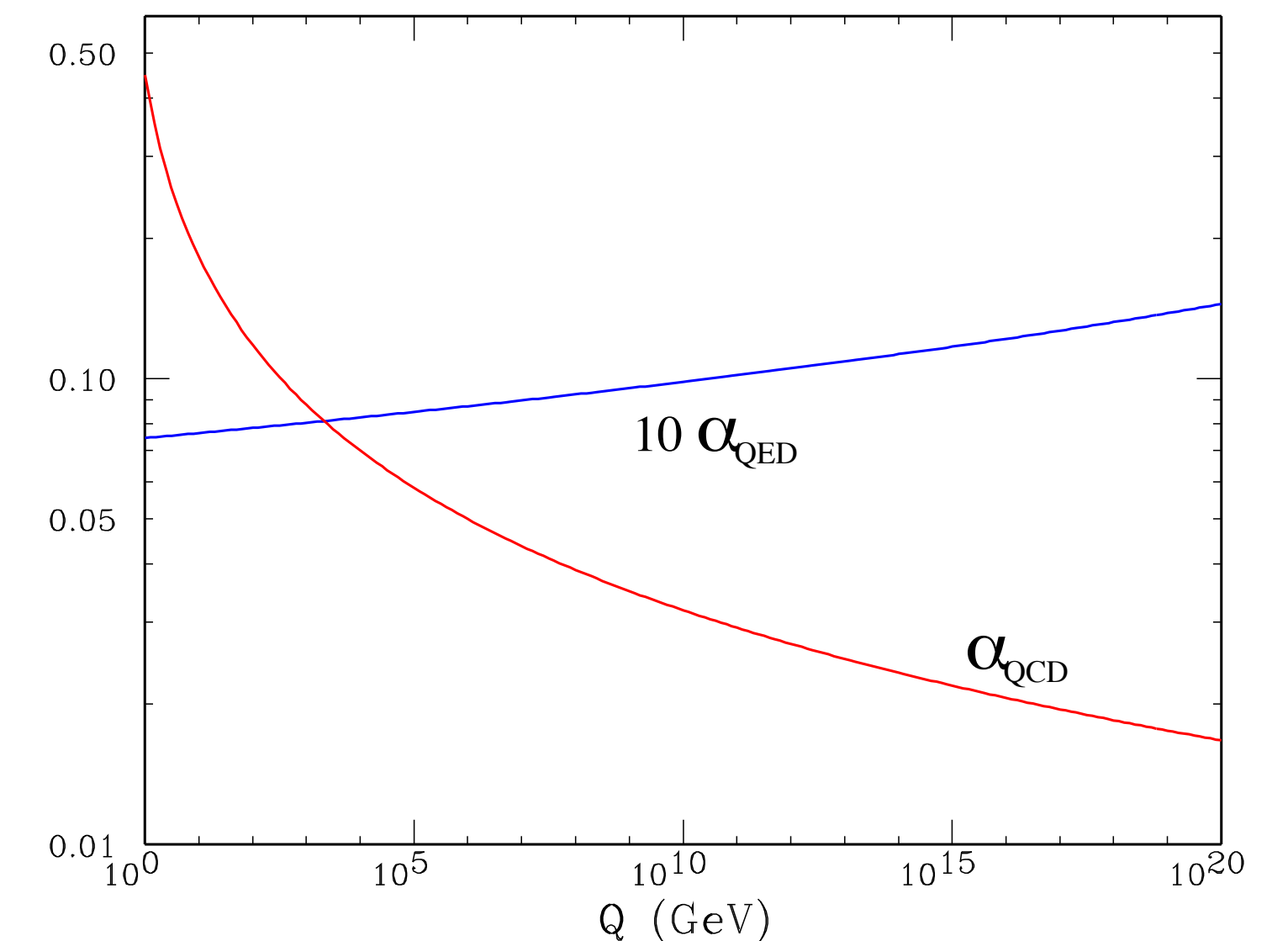
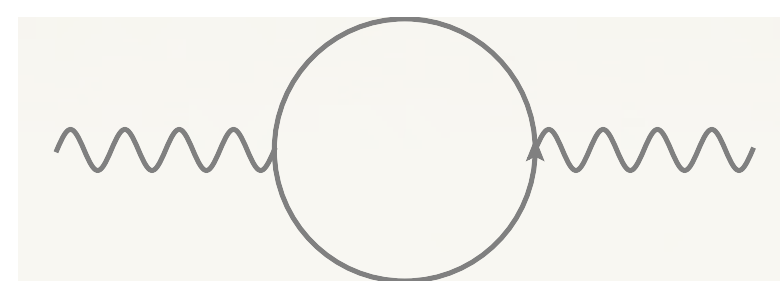
(ghost loop)

N_f

N_c

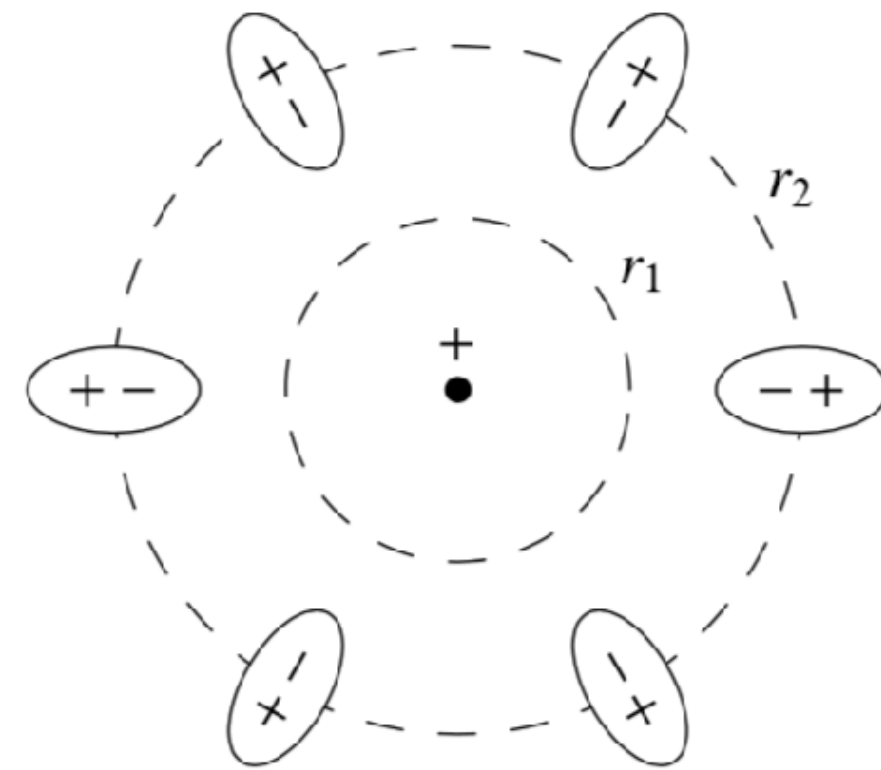
QED:
$$\alpha(Q^2) = \frac{\frac{1}{137} \alpha_0}{1 - \frac{\alpha_0}{3\pi} \ln\left(\frac{Q^2}{m_e^2}\right)}$$

coupling grows with energy



screening/anti-screening

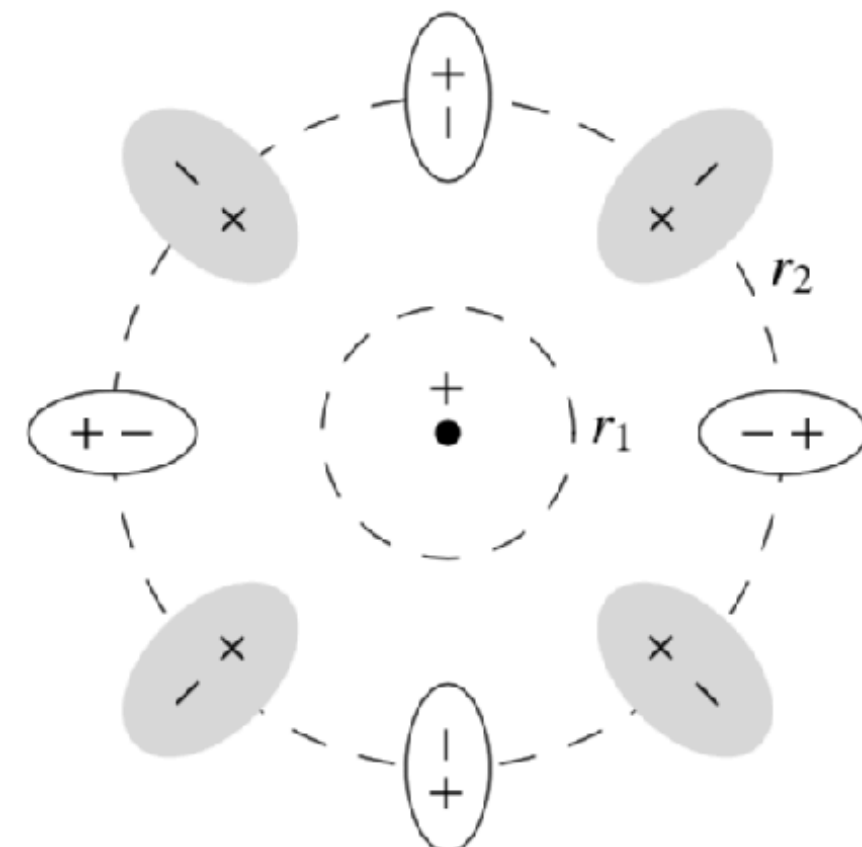
QED:



similar to screening in dielectric material

$$\alpha(r_2) < \alpha(r_1)$$

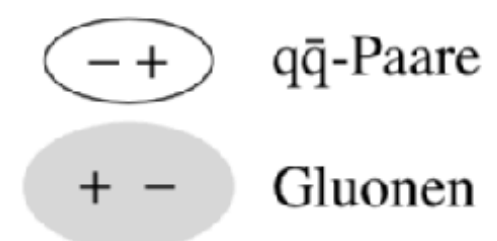
QCD:



quarks: screening

gluons: enforcement of colour charge

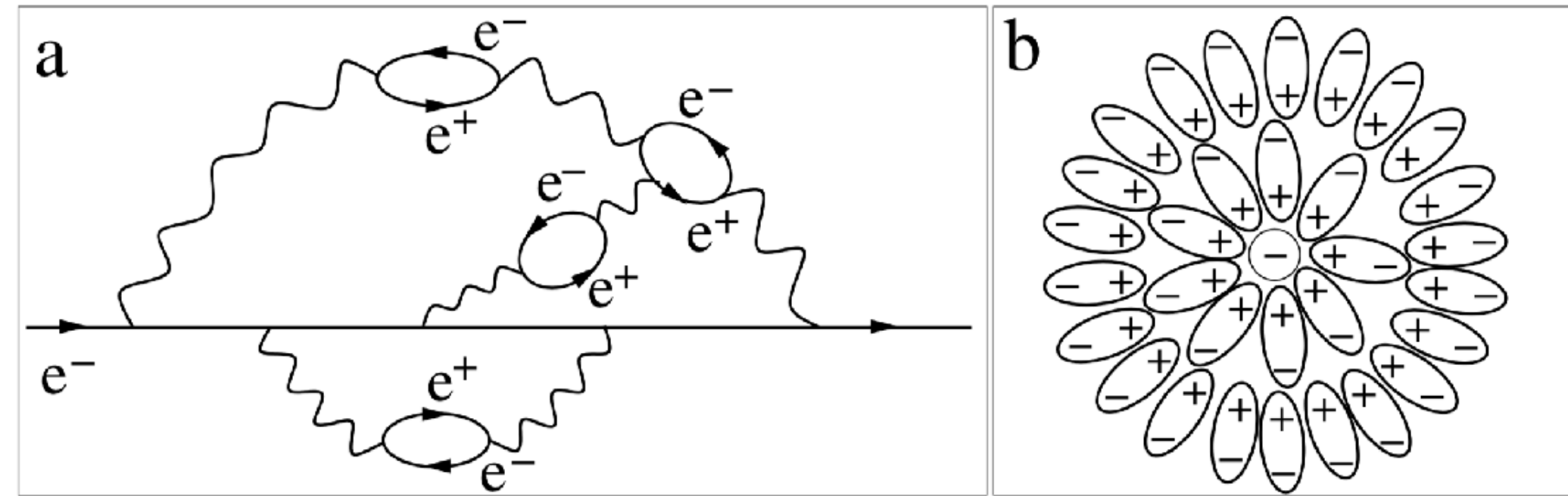
$$\alpha_s(r_2) > \alpha_s(r_1)$$



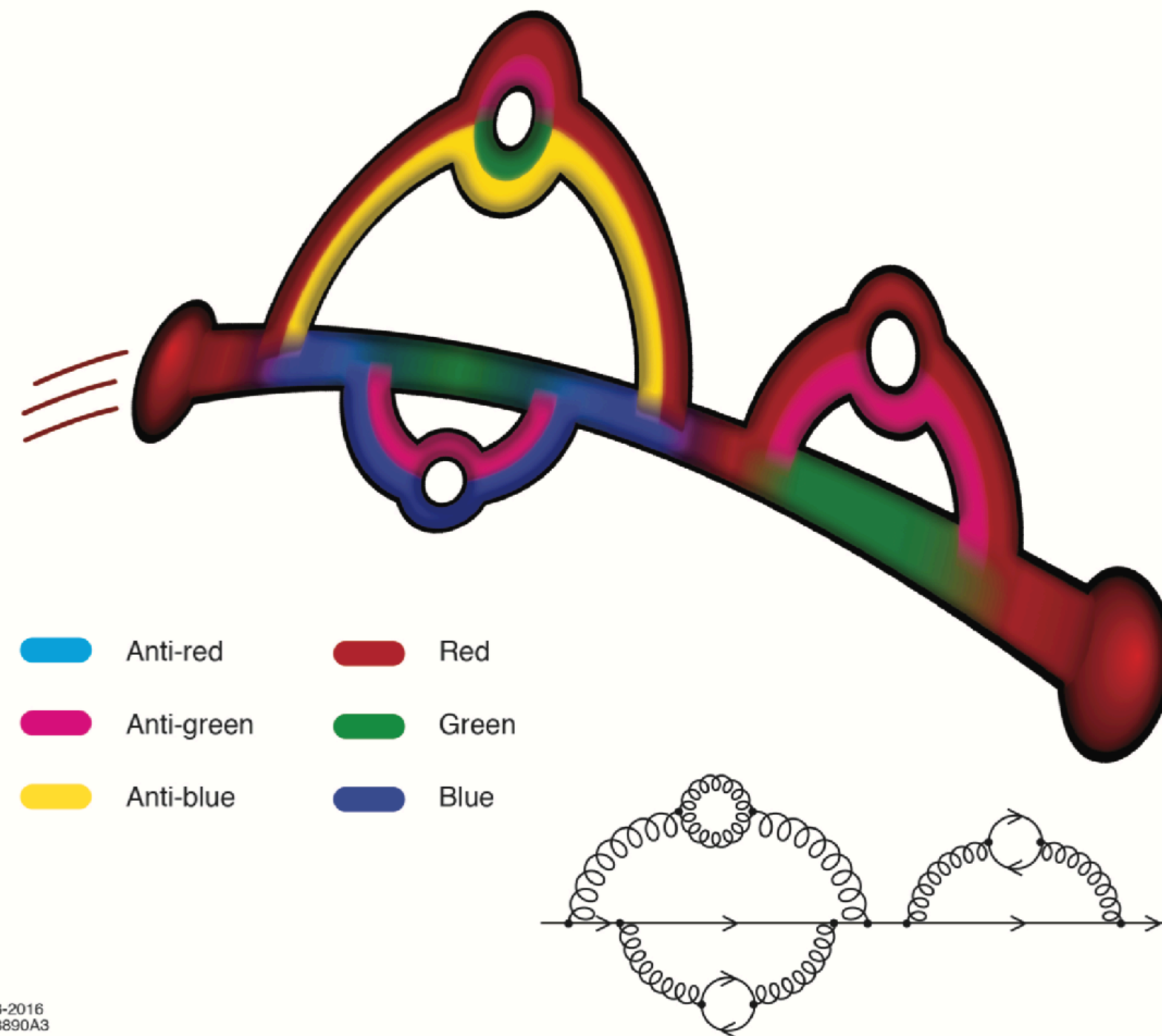
Figures: Paul, Kaiser, Wiese, TUM

screening/anti-screening

QED:



QCD:



$$b_0 = \frac{1}{12\pi} (11N_c - 2N_f) \longrightarrow \text{gluon term dominates}$$

*Deur, Brodsky, Teramond,
arXiv:1604.08082*

Scale uncertainties

$$\sigma = \alpha_s^k(\mu_r) \left(\sigma^{LO}(\mu_f) + \alpha_s(\mu_r) \sigma^{NLO}(\mu_r, \mu_f) + \alpha_s^2(\mu_r) \sigma^{NNLO}(\mu_r, \mu_f) + \dots \right)$$

renormalisation scale factorisation scale

- scale dependence: due to truncation of perturbative series
 → use scale variations as a measure of missing higher orders

- for an observable O calculated up to order N in perturbation theory: $O^{(N)}(\mu) = \sum_n^N c_n(\mu) \alpha_s(\mu^2)^n$

$$\frac{d}{d \log(\mu^2)} O^{(N)}(\alpha_s(\mu)) = \beta(\alpha_s) \frac{\partial O^{(N)}}{\partial \alpha_s} \sim \mathcal{O}(\alpha_s(\mu)^{N+1}) \quad \text{because } \beta(\alpha_s) = -b_0 \alpha_s^2 + \mathcal{O}(\alpha_s^3)$$

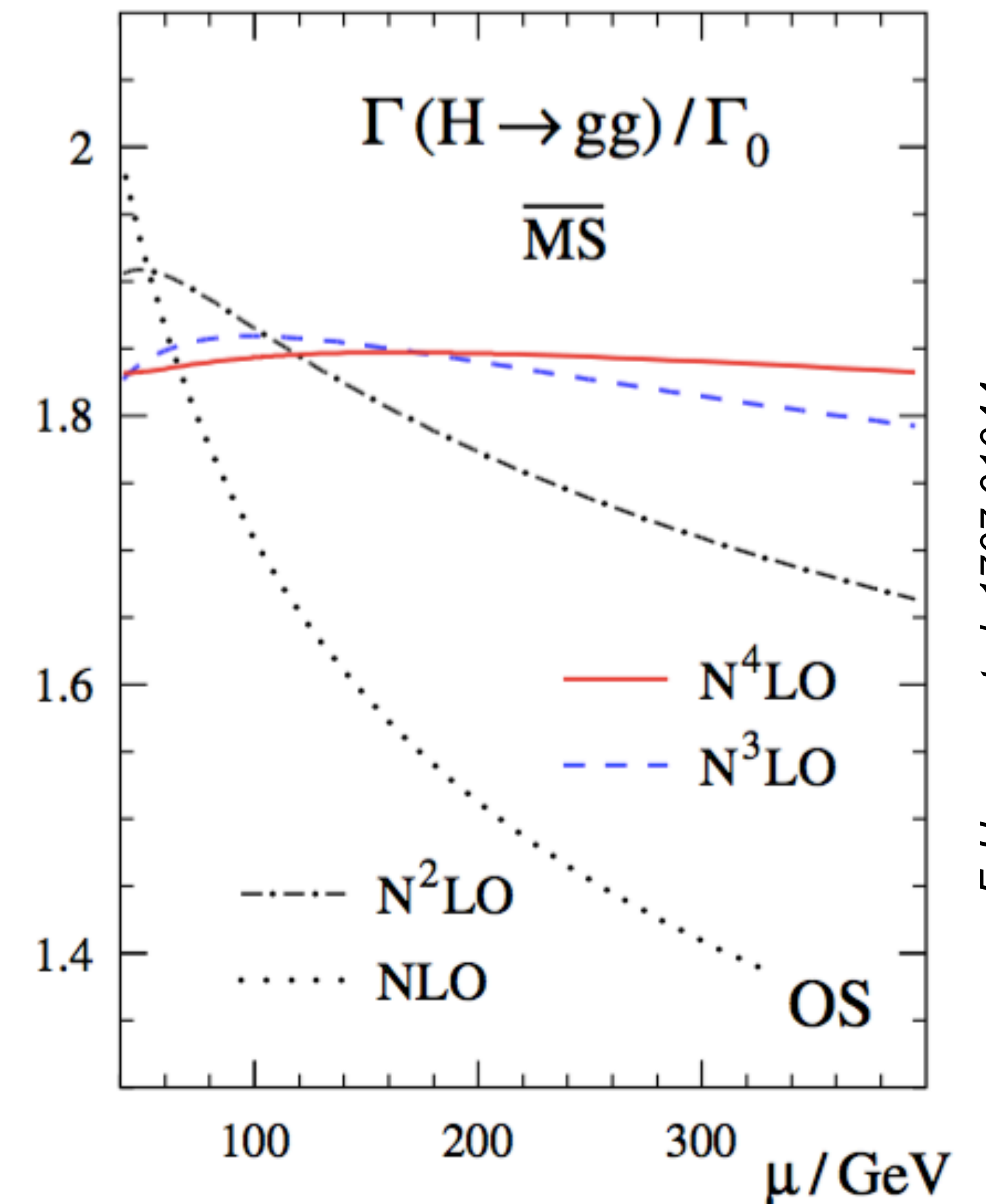
scale uncertainties

⇒ the more orders we calculate the smaller the scale uncertainties

However, there are exceptions!

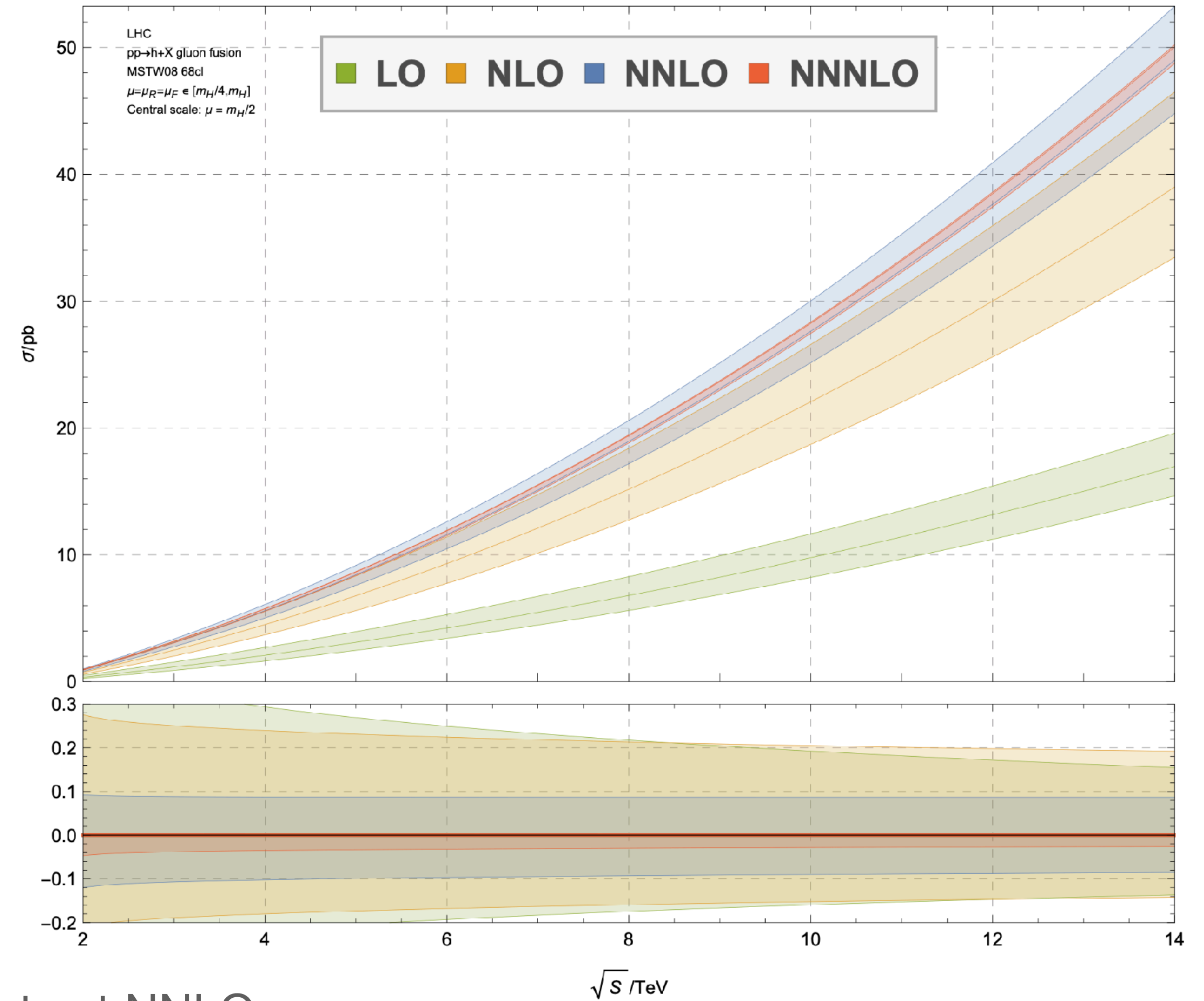
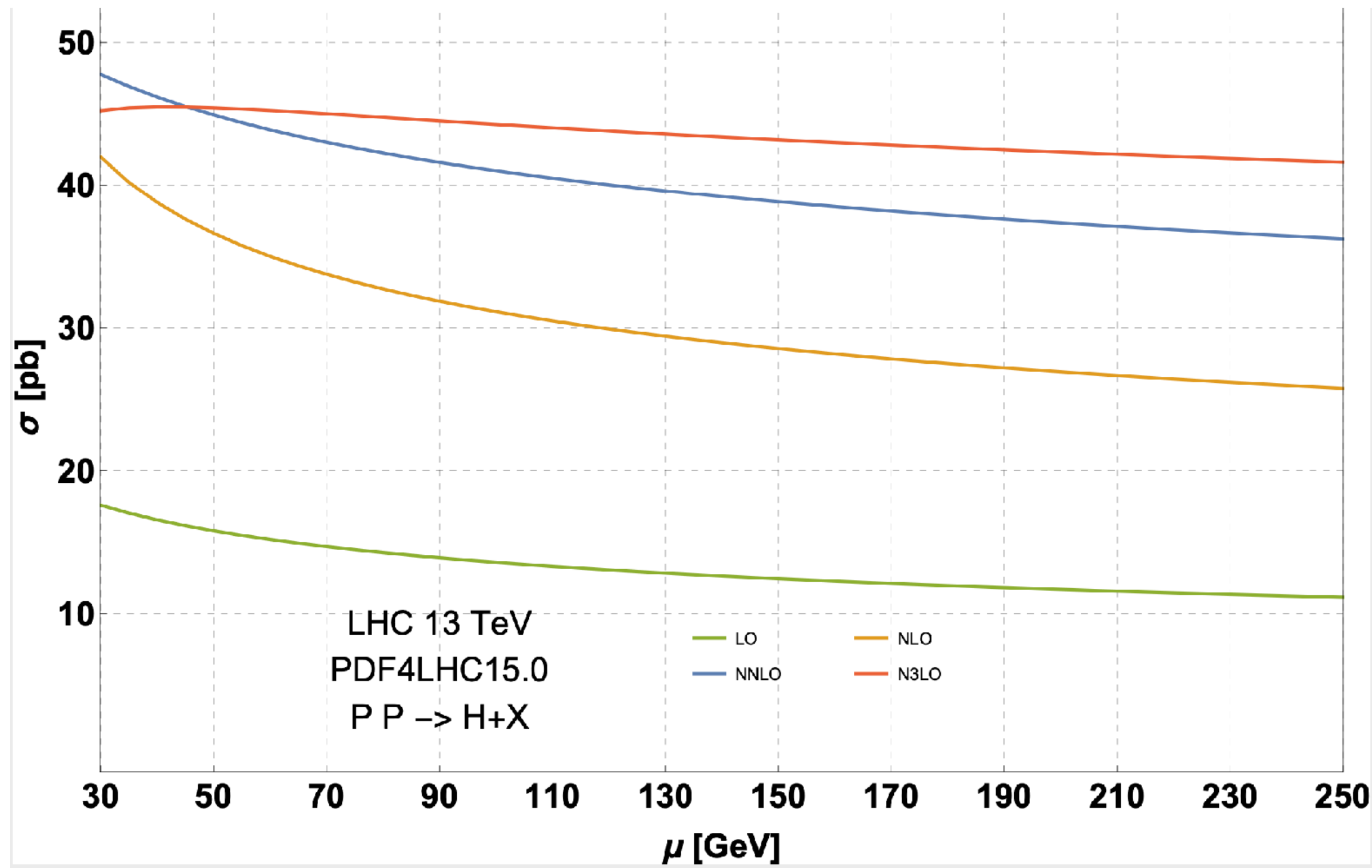
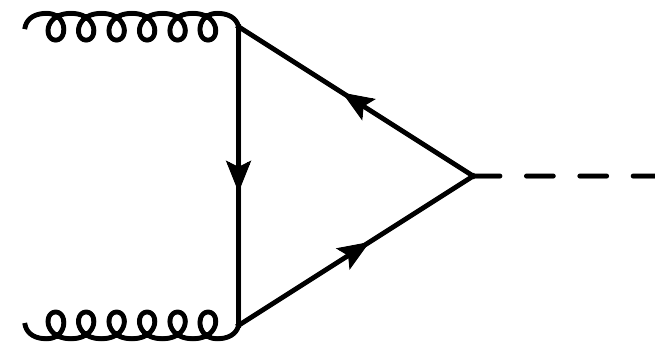
for example,

- if new partonic channels open up beyond LO
e.g. Higgs production in gluon fusion
- if the central scale is chosen inconveniently
see example from single jet inclusive cross sections and W+3jets
- if the observable is very sensitive to extra radiation
see also jet+X, W+3jets; in many cases resummation may be required
- accidental cancellations between μ_r and μ_f dependence or between different partonic channels
see Drell-Yan example



F. Herzog et al. 1707.01044

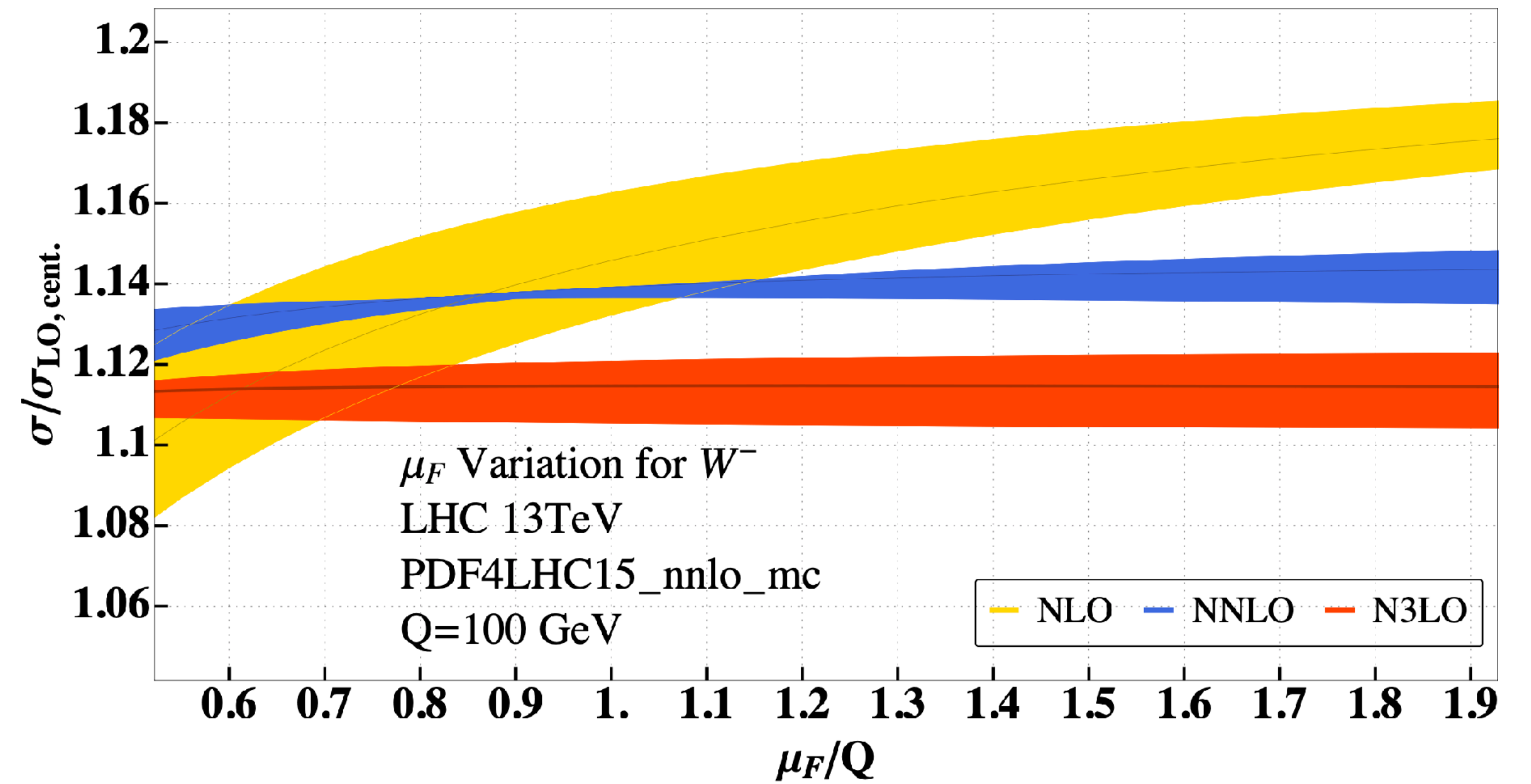
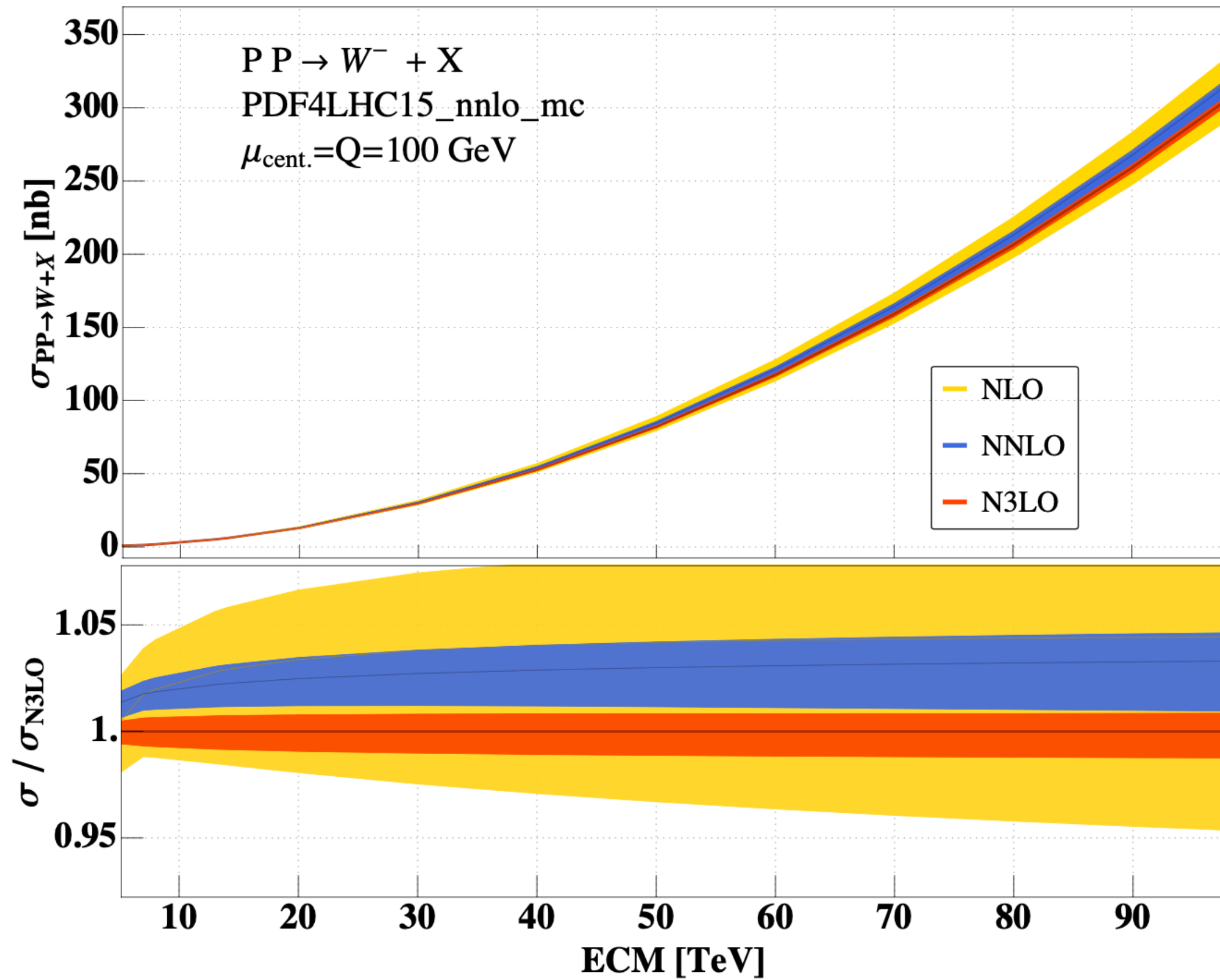
scale uncertainties: Higgs production in gluon fusion



Anastasiou et al. 1503.06056
B. Mistlberger, 1802.00833

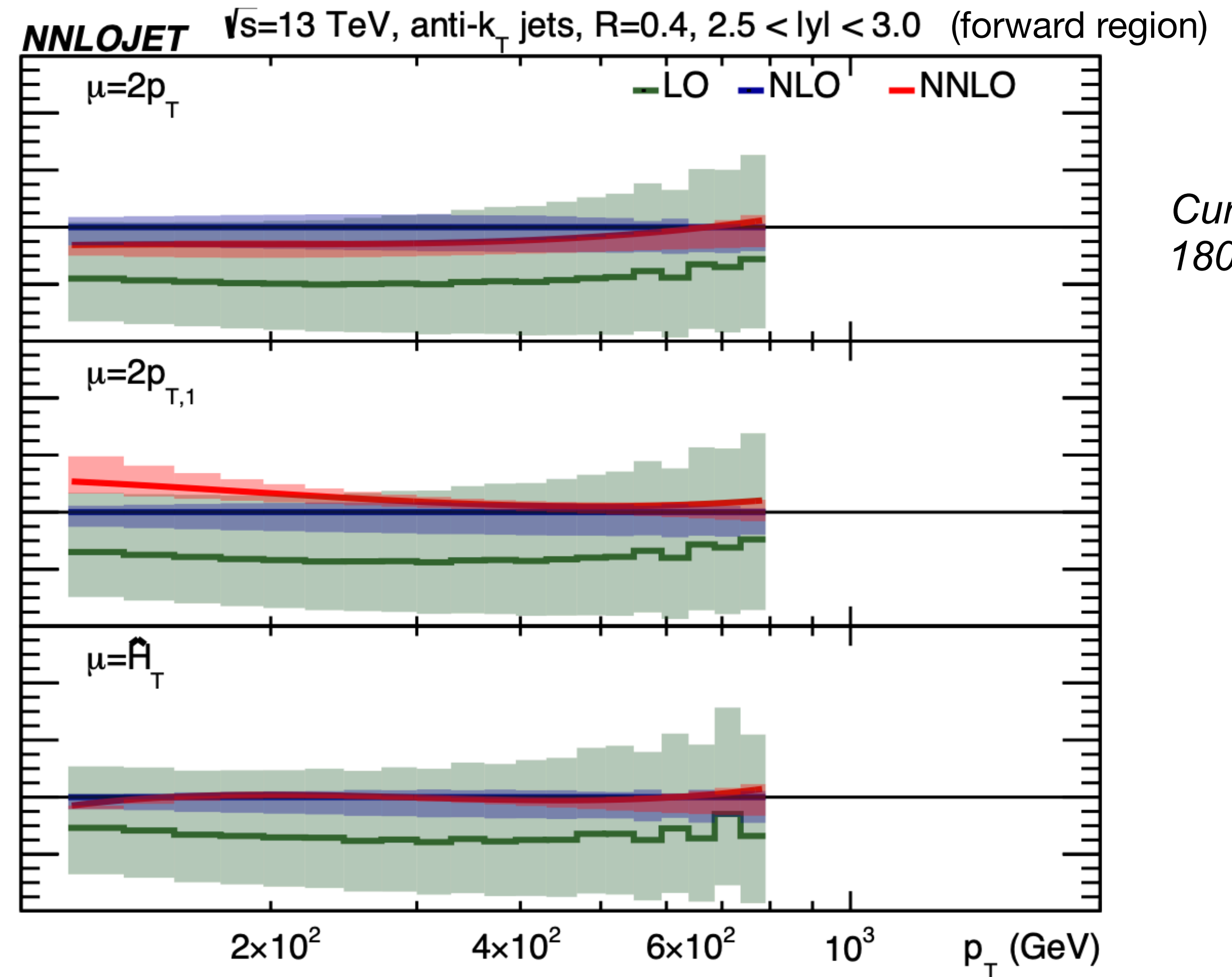
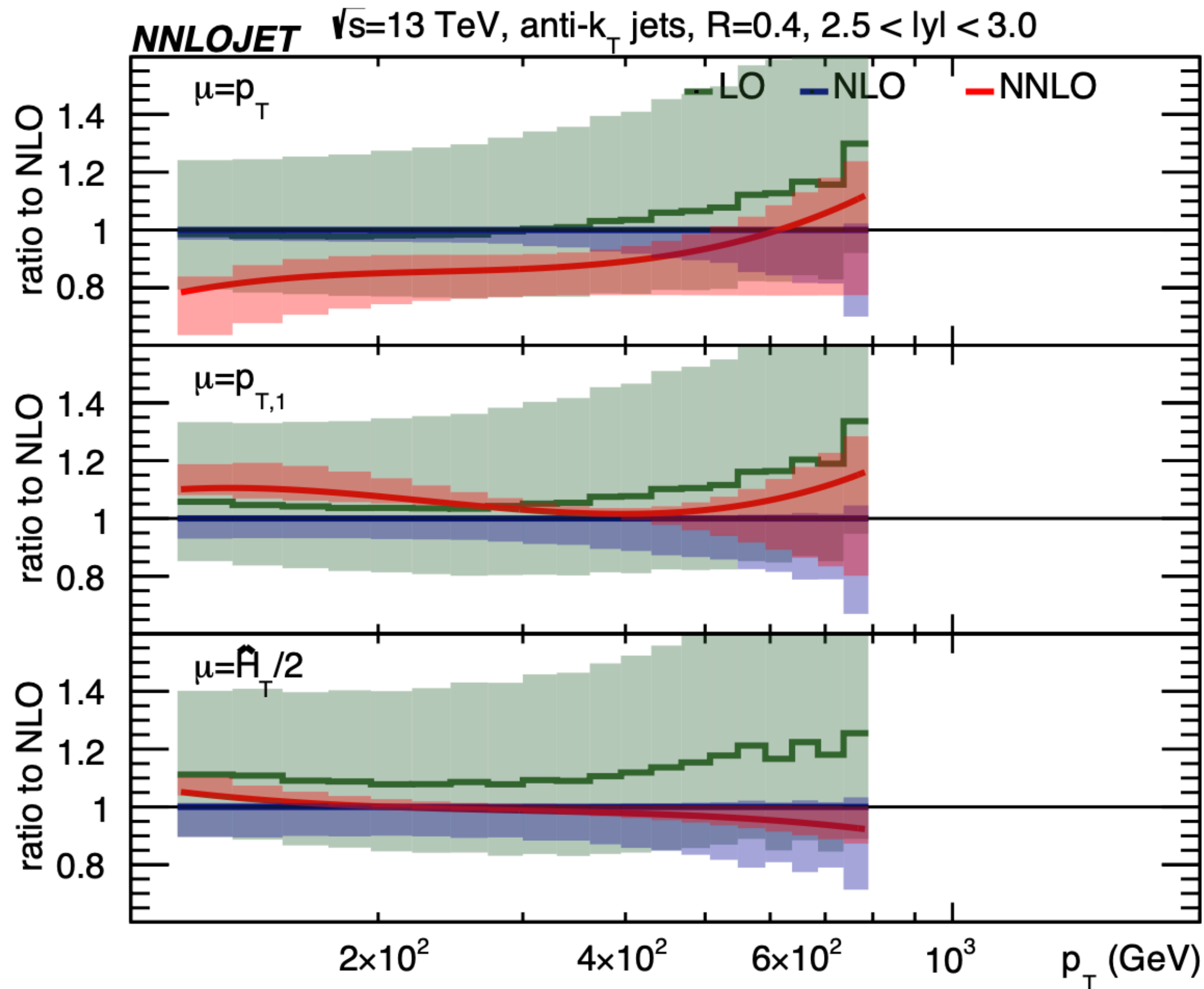
stabilisation starts at NNLO

scale uncertainties: W-production (Drell-Yan)



Duhr, Dulat, Mistlberger
2007.13313

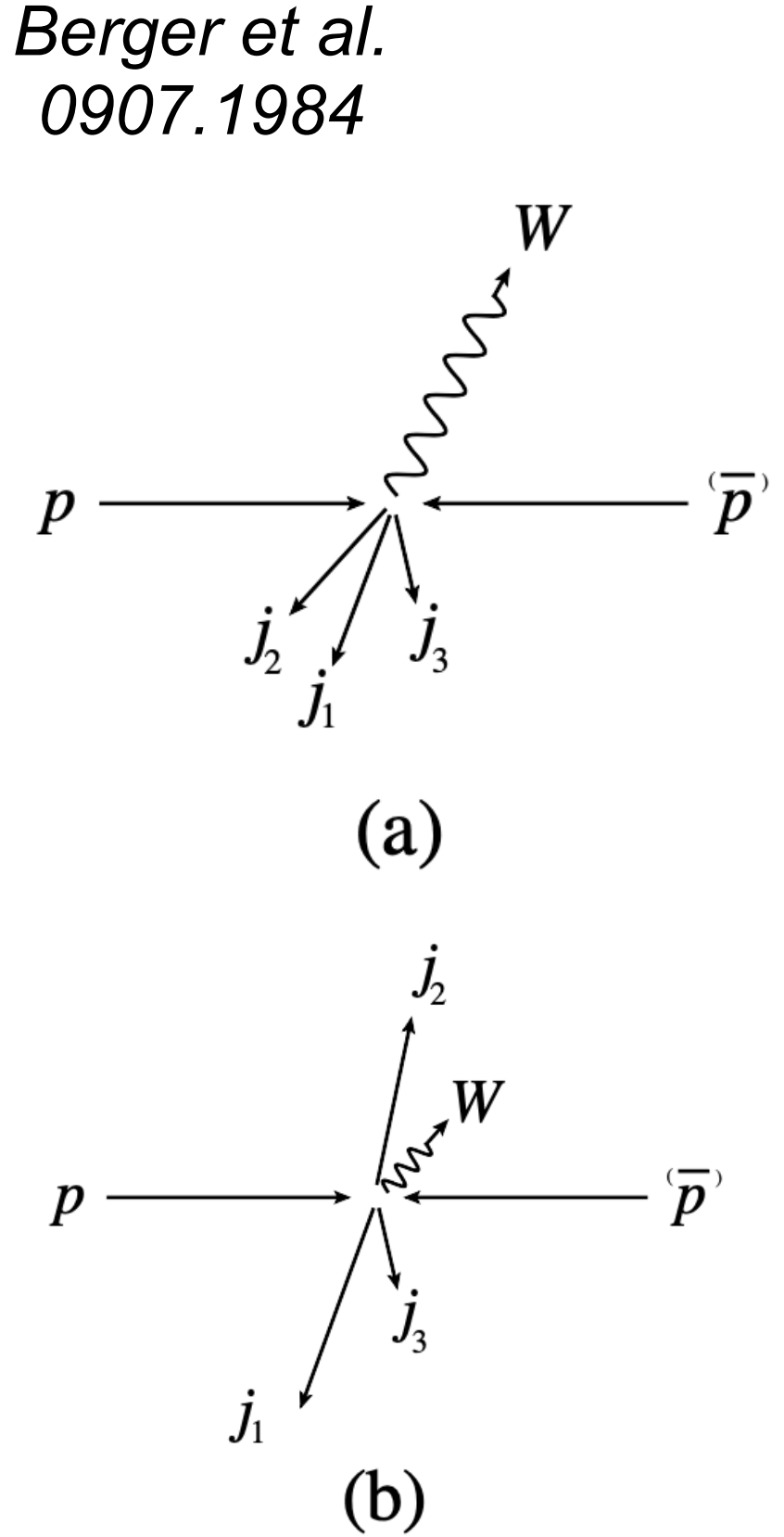
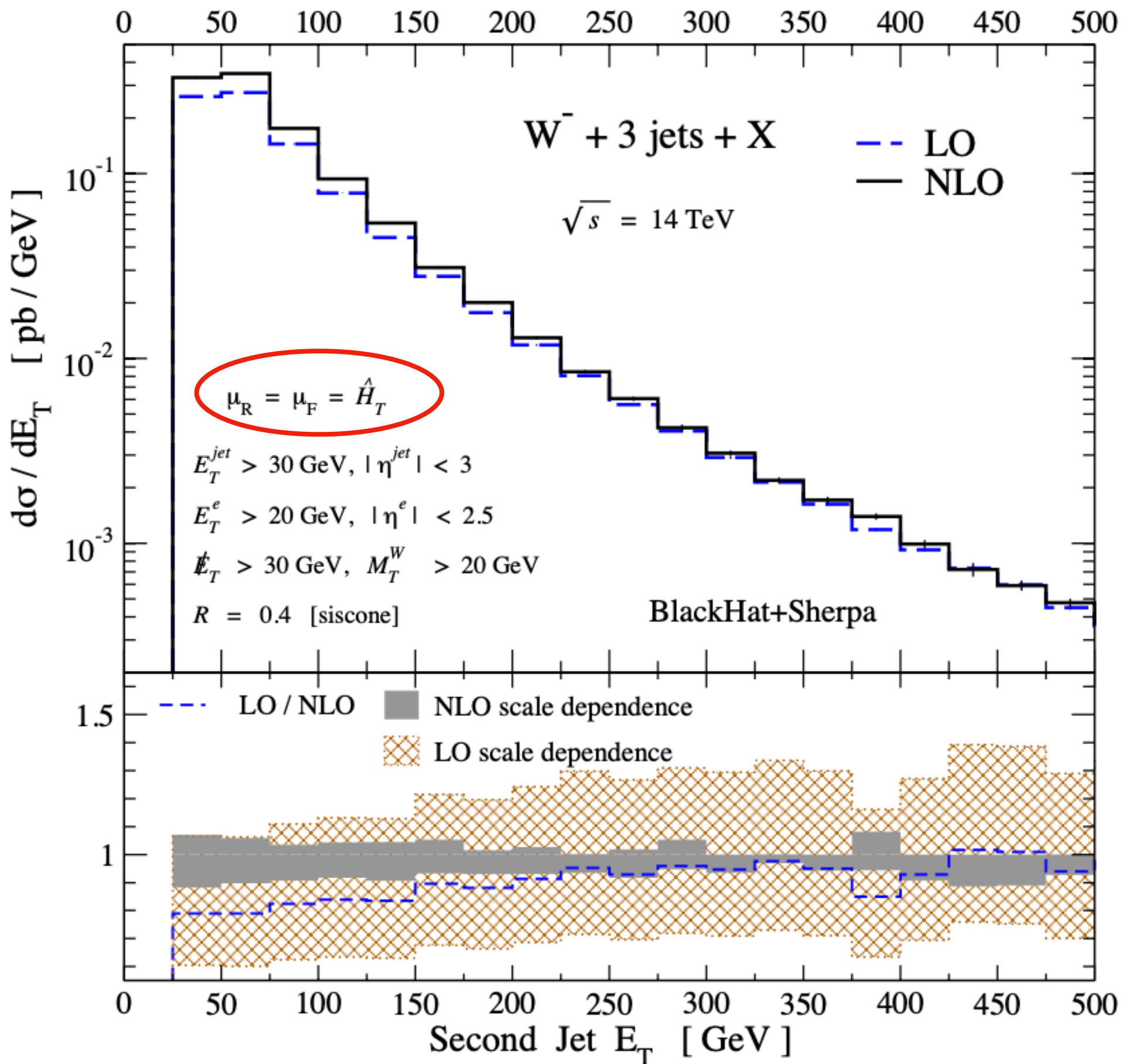
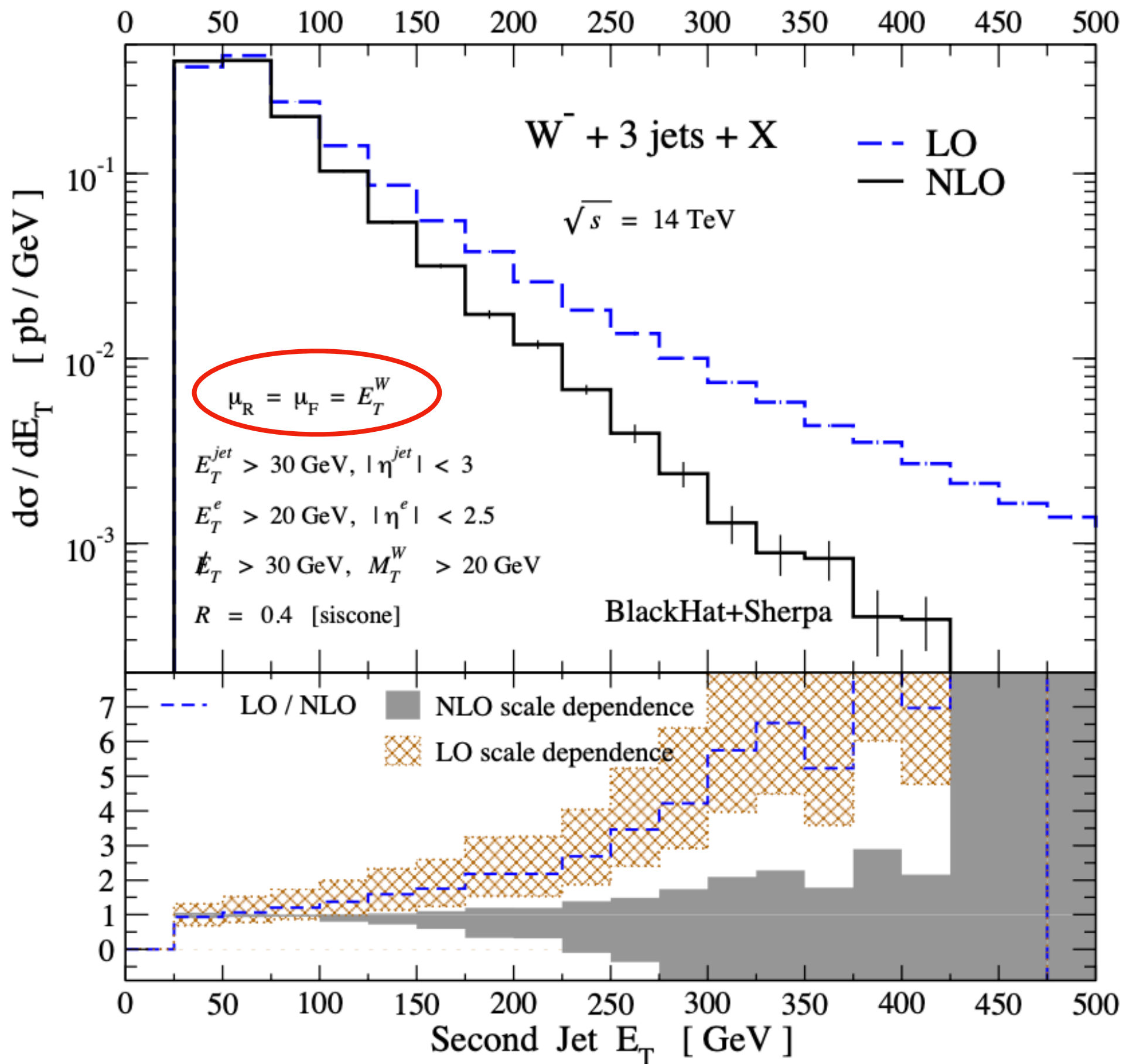
scale uncertainties: single jet inclusive xs



Currie et al.
1807.03692

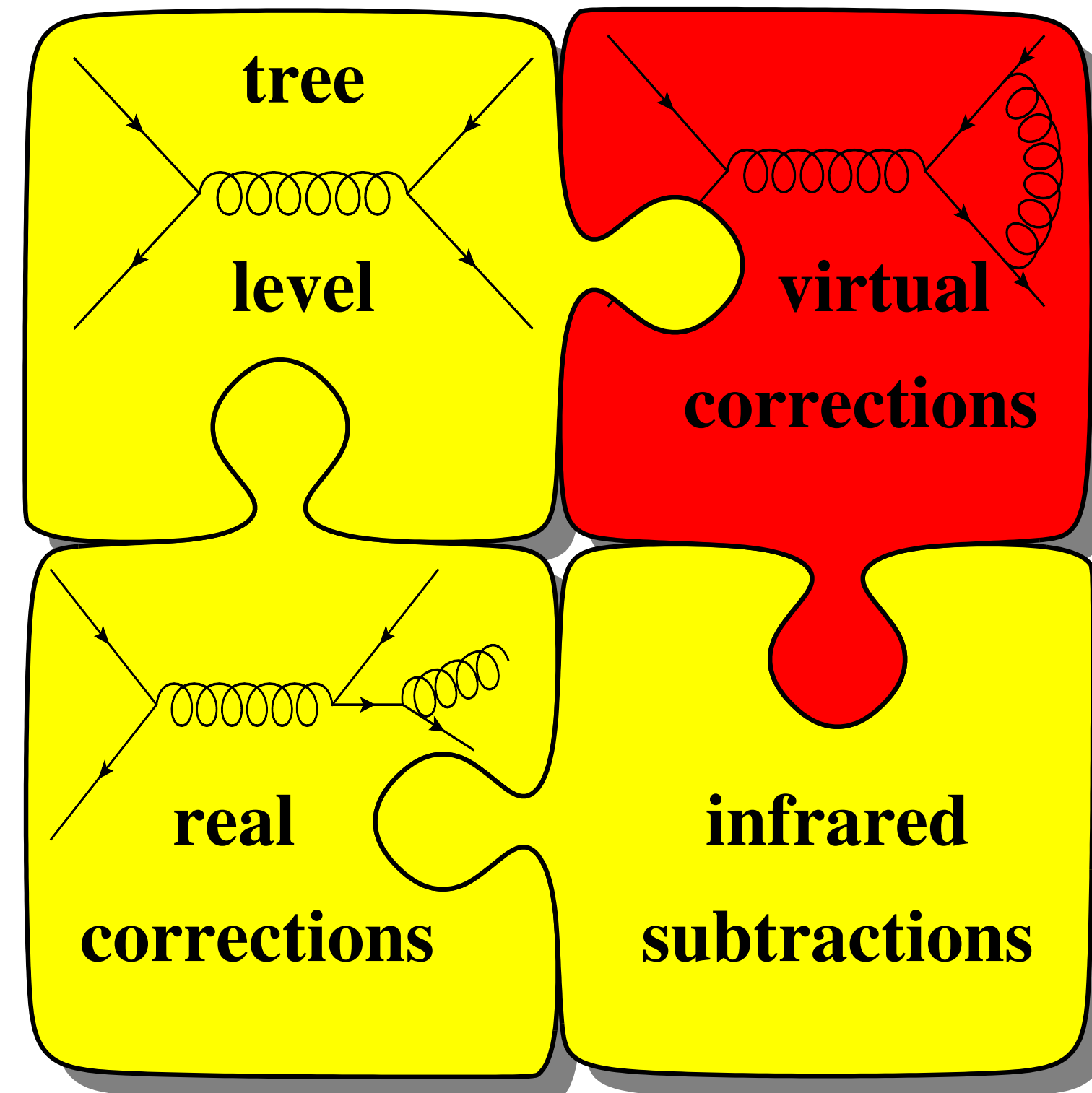
p_T : individual jet transverse momentum, $p_{T,1}$: leading jet transverse momentum, \hat{H}_T : sum of parton transverse momenta

scale uncertainties: W+3jets



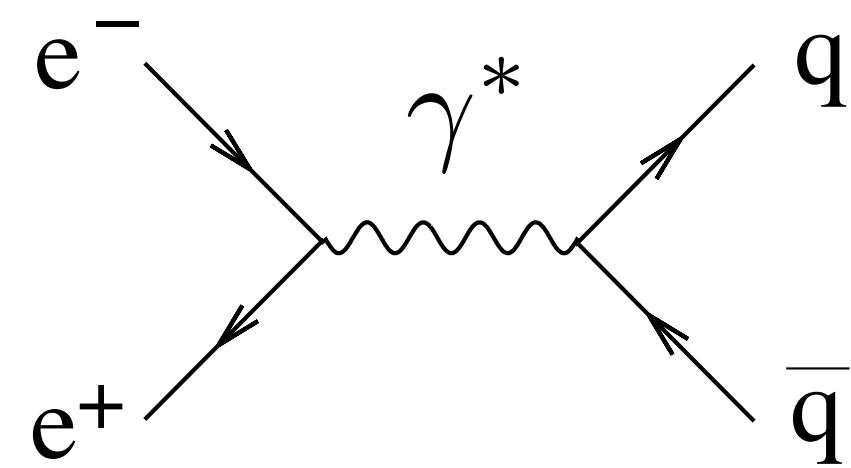
(b) dominates at large jet E_T

Basics of NLO calculations



NLO basics

start with simple example: **e+e- annihilation**

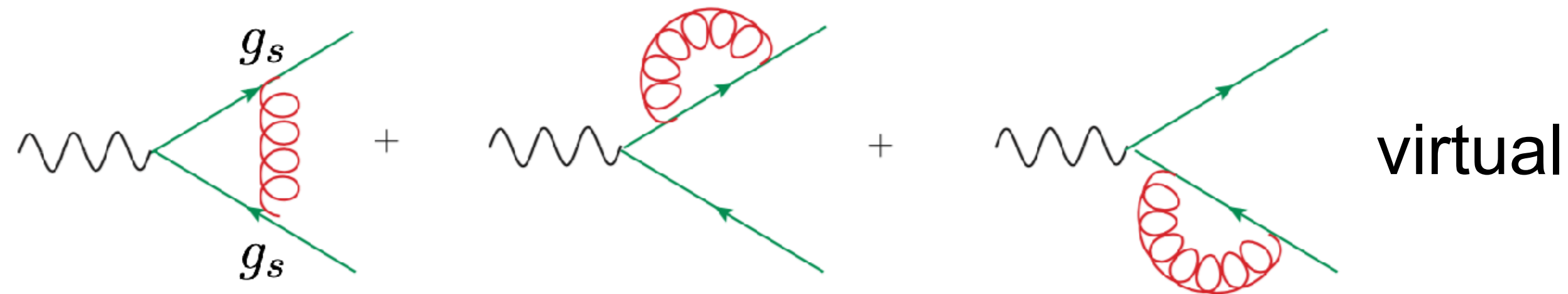


at leading order:
$$\sigma^{LO} = \frac{4\pi\alpha^2}{3s} e_q^2 N_c$$

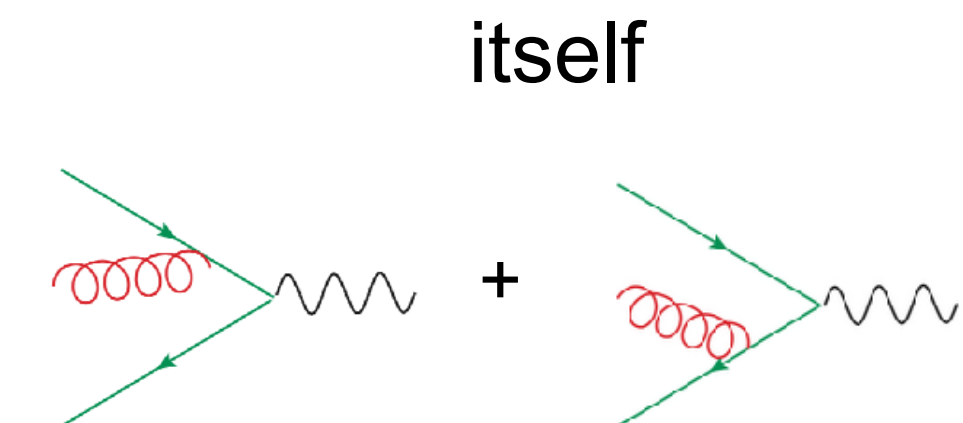
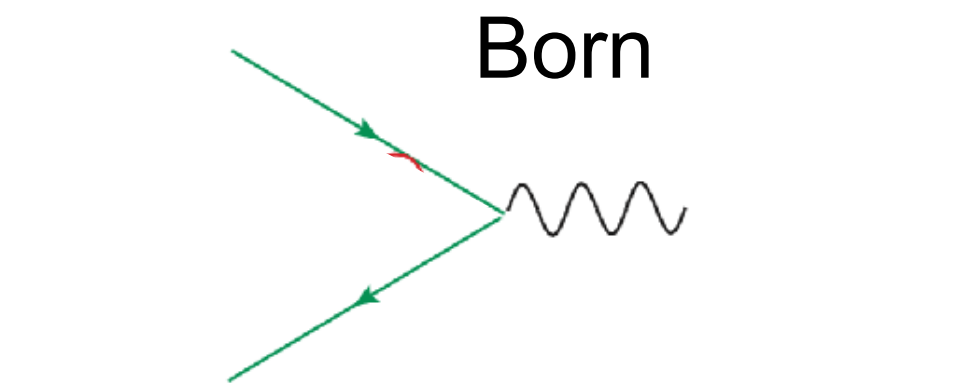
split off leptonic part and consider $\gamma^* \rightarrow q\bar{q}$

(Z exchange not considered)

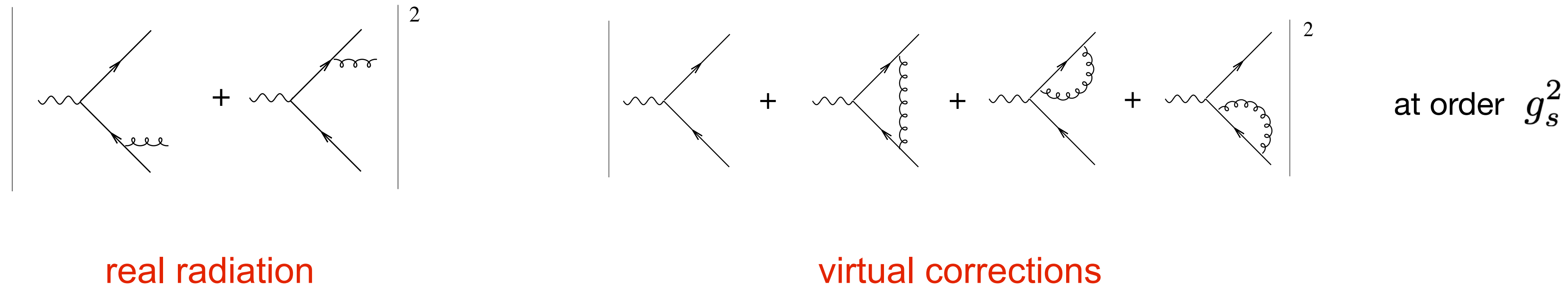
NLO: order α_s corrections at cross section level



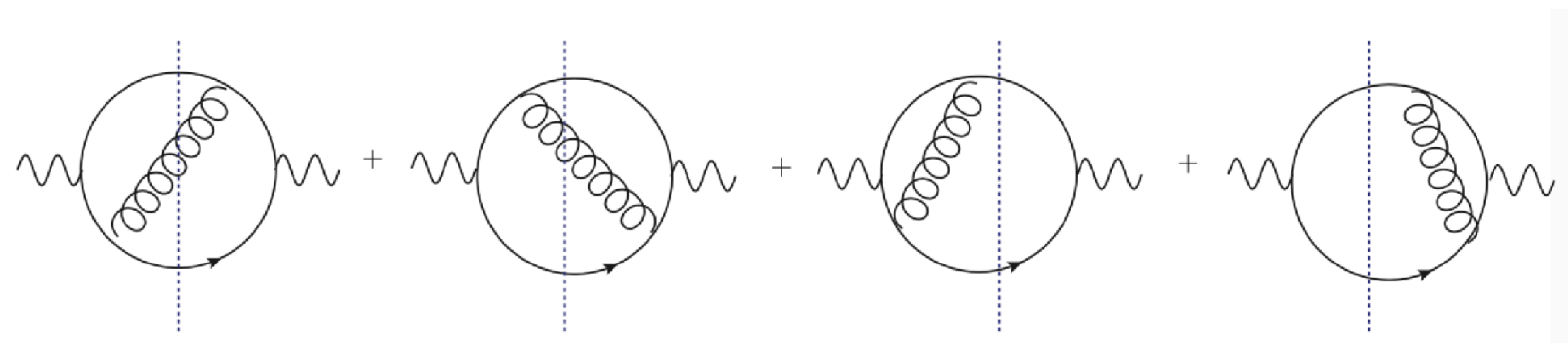
will be interfered with



NLO basics



$|\mathcal{M}|^2$ pictorially: \mathcal{M} left of the cut, \mathcal{M}^\dagger right of the cut



claim: **sum** over all cuts above is **finite** ; individual diagrams contain infrared singularities

must be so due to **KLN-Theorem**

Cancellation of IR singularities

KLN Theorem

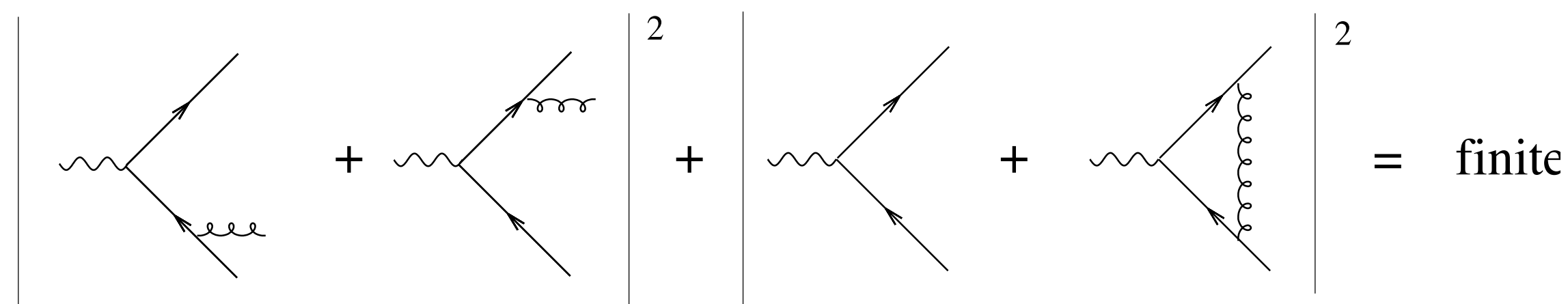
Kinoshita, Lee, Nauenberg, 1962, 1964

Soft and collinear singularities cancel in the sum over degenerate states

what are degenerate states ?

- a quark emitting a soft gluon, or a collinear quark-gluon system cannot be distinguished from simply a quark
- virtual corrections are not directly observable

⇒ in the considered inclusive cross section,
singularities cancel between real and virtual corrections

$$\left| \begin{array}{c} \text{tree} \\ \text{+} \\ \text{tree} \end{array} \right|^2 + \left| \begin{array}{c} \text{tree} \\ \text{+} \\ \text{tree} \end{array} \right|^2 = \text{finite}$$


note:

does **not** hold for
initial state radiation
in hadronic collisions

reason: exact initial
states unknown for
partons in the proton

(see later)

structure of NLO cross sections

$$\mathcal{B}_n = \int d\phi_n |\mathcal{M}_0|^2 = \int d\phi_n B_n$$

$$\mathcal{V}_n = \int d\phi_n 2\text{Re}(\mathcal{M}_{\text{virt}} \mathcal{M}_0^*) = \int d\phi_n \frac{V_n}{\epsilon}$$

$$\mathcal{R}_n = \int d\phi_{n+1} |\mathcal{M}_{\text{real}}|^2 = \int d\phi_n \int_0^1 dx x^{-1-\epsilon} R_n(x)$$

$$\sigma^{NLO} = \int d\phi_n \left\{ \left(B_n + \frac{V_n}{\epsilon} \right) J(p_1 \dots p_n, 0) + \int_0^1 dx x^{-1-\epsilon} R_n(x) J(p_1 \dots p_n, x) \right\}$$

J is called *measurement function* and defines the observable

cancellation of IR singularities can only work if $\lim_{x \rightarrow 0} J(p_1 \dots p_n, x) = J(p_1 \dots p_n, 0)$

structure of NLO cross sections

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cancellation of IR singularities can only work if

$$\lim_{x \rightarrow 0} J(p_1 \dots p_n, x) = J(p_1 \dots p_n, 0)$$

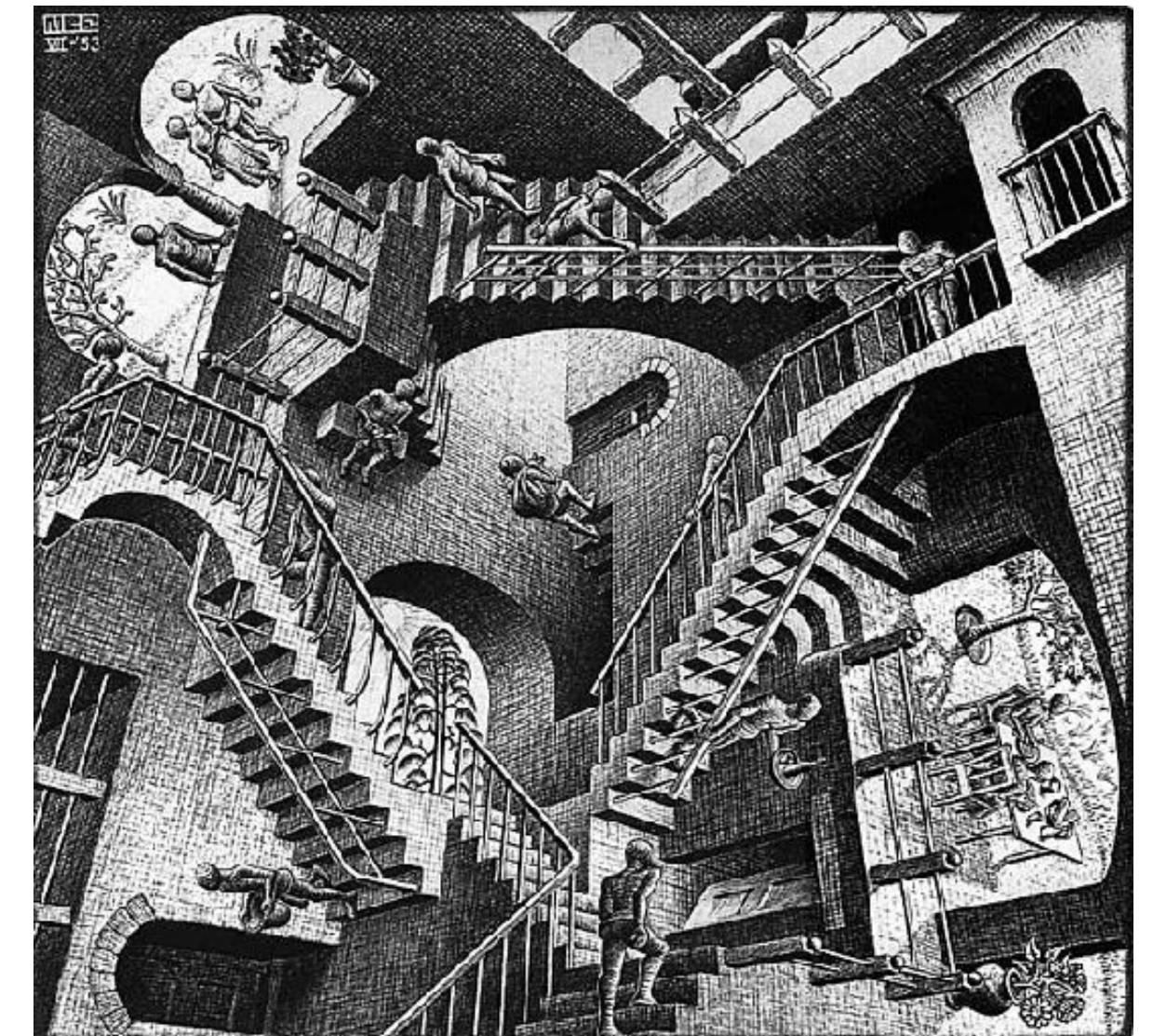
**infrared
safety**

Dimensional regularisation 't Hooft, Veltman '72; Bollini, Giambiagi '72

A convenient way to isolate singularities:

continue space-time from 4 to $D = 4 - 2\epsilon$ dimensions

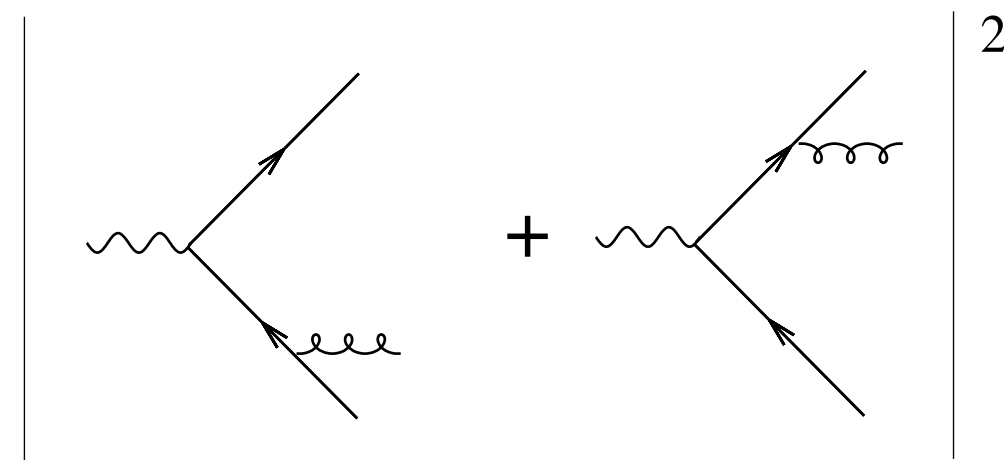
- regulates both UV and IR divergences, formally UV: $\epsilon > 0$, IR: $\epsilon < 0$
- does not violate gauge invariance
- poles can be isolated in terms of $1/\epsilon^b$
 - ➔ need phase space integrals in D dimensions
 - ➔ need integration over virtual loop momenta in D dimensions



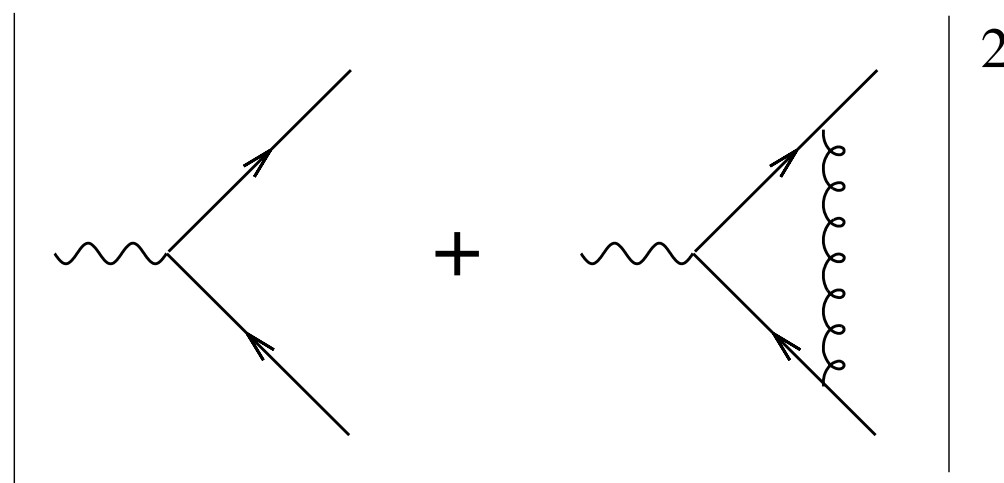
$$g^2 \int_{-\infty}^{\infty} \frac{d^4 k}{(2\pi)^4} \longrightarrow g^2 \mu^{2\epsilon} \int_{-\infty}^{\infty} \frac{d^D k}{(2\pi)^D}, \quad \mu^{2\epsilon} \text{ to keep coupling (mass-)dimensionless in D dim.}$$

Cancellation of IR singularities

real and virtual corrections live on different phase spaces



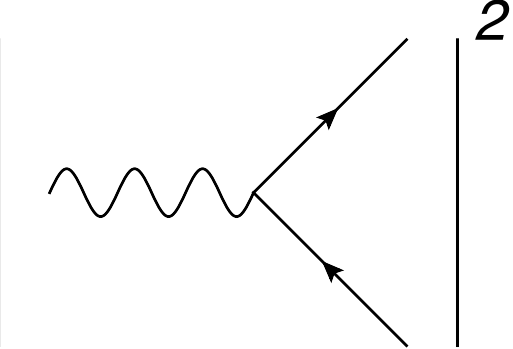
3-particle phase space

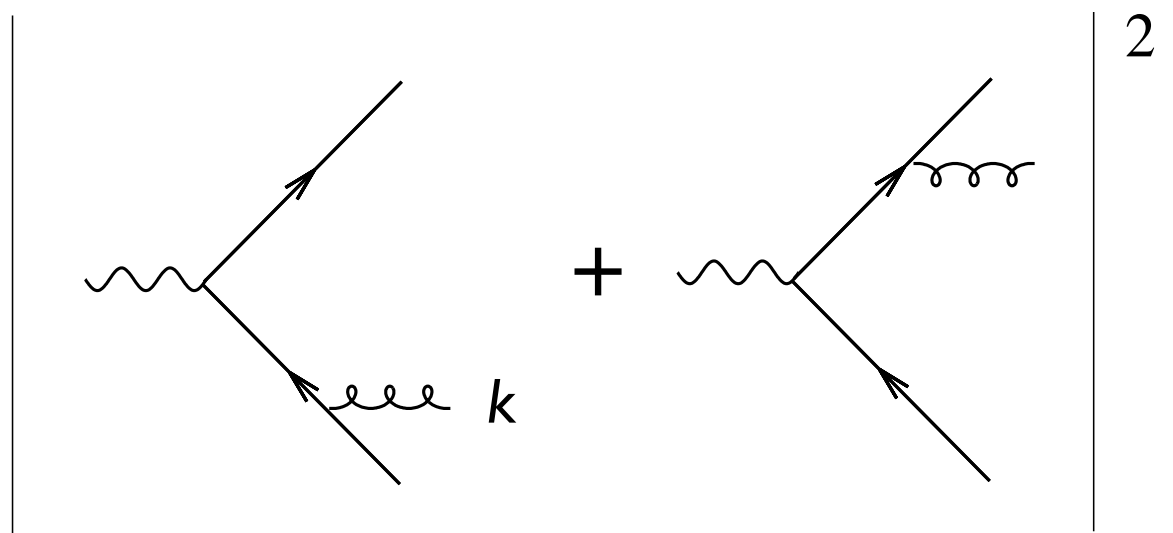


2-particle phase space

$$\sigma^{NLO} = \underbrace{\int d\phi_2 |\mathcal{M}_0|^2}_{\sigma^{LO}} + \int_R d\phi_3 |\mathcal{M}_{\text{real}}|^2 + \int_V d\phi_2 2\text{Re}(\mathcal{M}_{\text{virt}} \mathcal{M}_0^*)$$

real radiation matrix element

at LO: $|\overline{\mathcal{M}}_0|^2 = \frac{1}{3} 4e^2 Q_q^2 N_c s$ 

with extra gluon radiation: 

$$\begin{aligned} p^\gamma &= \sqrt{s} (1, 0, 0, 0) \\ p_1 &= E_1 (1, 0, 0, 1) \\ p_2 &= E_2 (1, 0, \sin \theta, \cos \theta) \\ k &\equiv p_3 = p^\gamma - p_1 - p_2 \end{aligned}$$

in 4 dimensions:

$$|\overline{\mathcal{M}}_{\text{real}}|^2 = |\overline{\mathcal{M}}_0|^2 \frac{2g^2 C_F}{s} \left(\frac{s_{13}}{s_{23}} + \frac{s_{23}}{s_{13}} + 2s \frac{s_{12}}{s_{13}s_{23}} \right) \quad s_{ij} = (p_i + p_j)^2$$

singularity structure

substitute $y_1 = s_{12}/Q^2, y_2 = s_{13}/Q^2, y_3 = s_{23}/Q^2$ and keep D dimensions

$$|\mathcal{M}|_{\text{real}}^2 = C_F e^2 Q_f^2 g_s^2 8 (1 - \epsilon) \left\{ \frac{2}{y_2 y_3} + \frac{-2 + (1 - \epsilon)y_3}{y_2} + \frac{-2 + (1 - \epsilon)y_2}{y_3} - 2\epsilon \right\}$$

limits:

soft: $p_3 \rightarrow 0 \Rightarrow s_{13}, s_{23} \rightarrow 0 \Rightarrow y_2 \text{ and } y_3 \rightarrow 0$

collinear: $p_3 \parallel p_1 \Rightarrow y_2 \rightarrow 0, p_3 \parallel p_2 \Rightarrow y_3 \rightarrow 0$

in these limits the matrix element is singular

- we know that the singularities should cancel with the virtual corrections
- however we first have to isolate them to make the cancellation manifest

phase space in D dimensions

Example $Q \rightarrow p_1 + p_2 + p_3$

$$d\Phi_{1 \rightarrow 3} = \frac{1}{4} (2\pi)^{3-2D} dE_1 dE_2 d\theta_1 (E_1 E_2 \sin \theta)^{D-3} d\Omega_{D-2} d\Omega_{D-3} \\ \Theta(E_1) \Theta(E_2) \Theta(E - E_1 - E_2) \delta((Q - p_1 - p_2)^2) .$$

variable transformation: $E_1, E_2, \theta \rightarrow s_{12}, s_{23}, s_{13}$

dimensionless variables: $y_1 = s_{12}/Q^2, y_2 = s_{13}/Q^2, y_3 = s_{23}/Q^2$

$$d\Phi_{1 \rightarrow 3} = (2\pi)^{3-2D} 2^{-1-D} (Q^2)^{D-3} d\Omega_{D-2} d\Omega_{D-3} dy_1 dy_2 dy_3 \\ (y_1 y_2 y_3)^{D/2-2} \Theta(y_1) \Theta(y_2) \Theta(y_3) \delta(1 - y_1 - y_2 - y_3)$$

$$D/2 - 2 = -\epsilon$$

real radiation in D dimensions

$$d\Phi_{1\rightarrow 3} = (2\pi)^{3-2D} 2^{-1-D} (Q^2)^{D-3} d\Omega_{D-2} d\Omega_{D-3} dy_1 dy_2 dy_3$$

$$(y_1 y_2 y_3)^{D/2-2} \Theta(y_1) \Theta(y_2) \Theta(y_3) \delta(1 - y_1 - y_2 - y_3)$$

substitute $y_1 = 1 - z_1, y_2 = z_1 z_2, y_3 = z_1(1 - z_2)$, $\det J = z_1$

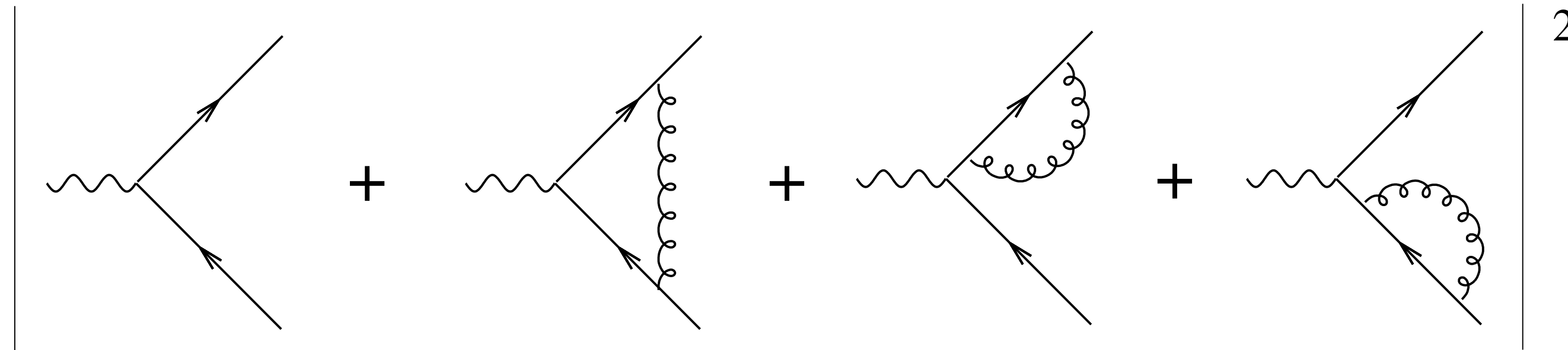
$$\int d\Phi_3 |\mathcal{M}|_{\text{real}}^2 = \alpha C_F \frac{\alpha_s}{\pi} Q_f^2 \tilde{H}(\epsilon) (Q^2)^{1-2\epsilon} \int_0^1 dz_1 \int_0^1 dz_2 z_1^{-2\epsilon} \left(z_2(1 - z_1)(1 - z_2) \right)^{-\epsilon}$$

$$\left\{ \frac{2}{z_1 z_2 (1 - z_2)} + \frac{-2 + (1 - \epsilon) z_1 (1 - z_2)}{z_2} + \frac{-2 + (1 - \epsilon) z_1 z_2}{1 - z_2} - 2\epsilon z_1 \right\}$$

singularities regulated by ϵ

$$\tilde{H}(\epsilon) = 1 + \mathcal{O}(\epsilon) \text{ (combination of } \Gamma \text{-functions)}$$

virtual corrections



we will not go through the calculation but only quote the result:

$$R^{\text{virt}} = R^{LO} \times \frac{\alpha_s}{2\pi} C_F \frac{\Gamma(1 + \epsilon)\Gamma^2(1 - \epsilon)}{\Gamma(1 - 2\epsilon)} \left(\frac{-s}{4\pi\mu^2} \right)^{-\epsilon} \left\{ -\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 8 + \mathcal{O}(\epsilon) \right\}$$

obtained by calculating the loop integrals in D dimensions, $D = 4 - 2\epsilon$

combine real and virtual

$$R^{\text{real}} = R^{LO} \times \frac{\alpha_s}{2\pi} C_F \frac{\Gamma^2(1-\epsilon)}{\Gamma(1-3\epsilon)} \left(\frac{s}{4\pi\mu^2} \right)^{-\epsilon} \left\{ \frac{2}{\epsilon^2} + \frac{3}{\epsilon} + \frac{19}{2} + \mathcal{O}(\epsilon) \right\}$$

gluon both soft and collinear

$$R^{\text{virt}} = R^{LO} \times \frac{\alpha_s}{2\pi} C_F \frac{\Gamma(1+\epsilon)\Gamma^2(1-\epsilon)}{\Gamma(1-2\epsilon)} \left(\frac{-s}{4\pi\mu^2} \right)^{-\epsilon} \left\{ -\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 8 + \mathcal{O}(\epsilon) \right\}$$

KLN theorem at work!

$$R = R^{LO} \times \left\{ 1 + \frac{3}{4} C_F \frac{\alpha_s(\mu)}{\pi} + \mathcal{O}(\alpha_s^2) \right\}$$

Quiz (instead of summary)

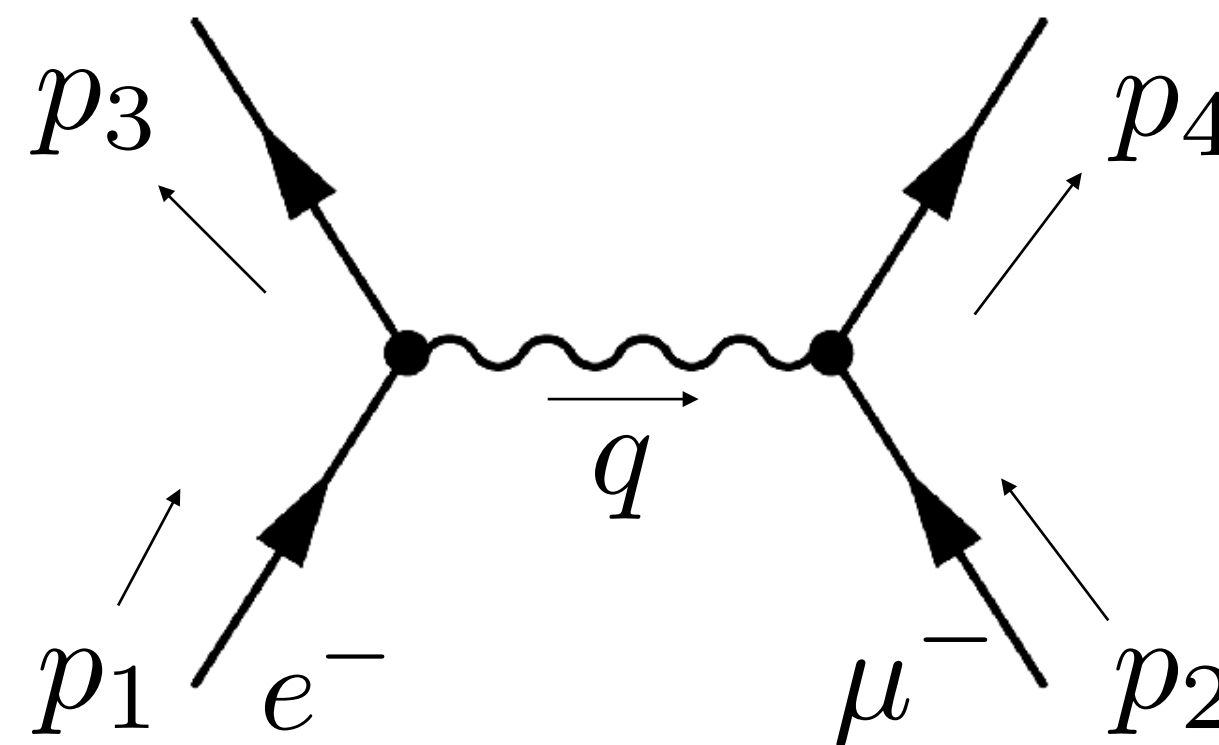
- What is the difference between QED and QCD when performing the sum over polarisations?
- What is the reason for the dependence of a theory prediction on an unphysical scale?
- What would happen if there were more than 16 fermion flavours (with masses near the EW scale)?
- If the scale uncertainties do not decrease significantly at the next order, what could be the reason?
- If IR singularities cancel between real and virtual corrections, why do we need to isolate them (e.g. as $1/\epsilon$ poles in dimensional regularisation)?

Appendix 1: exercise $e\mu \rightarrow e\mu$

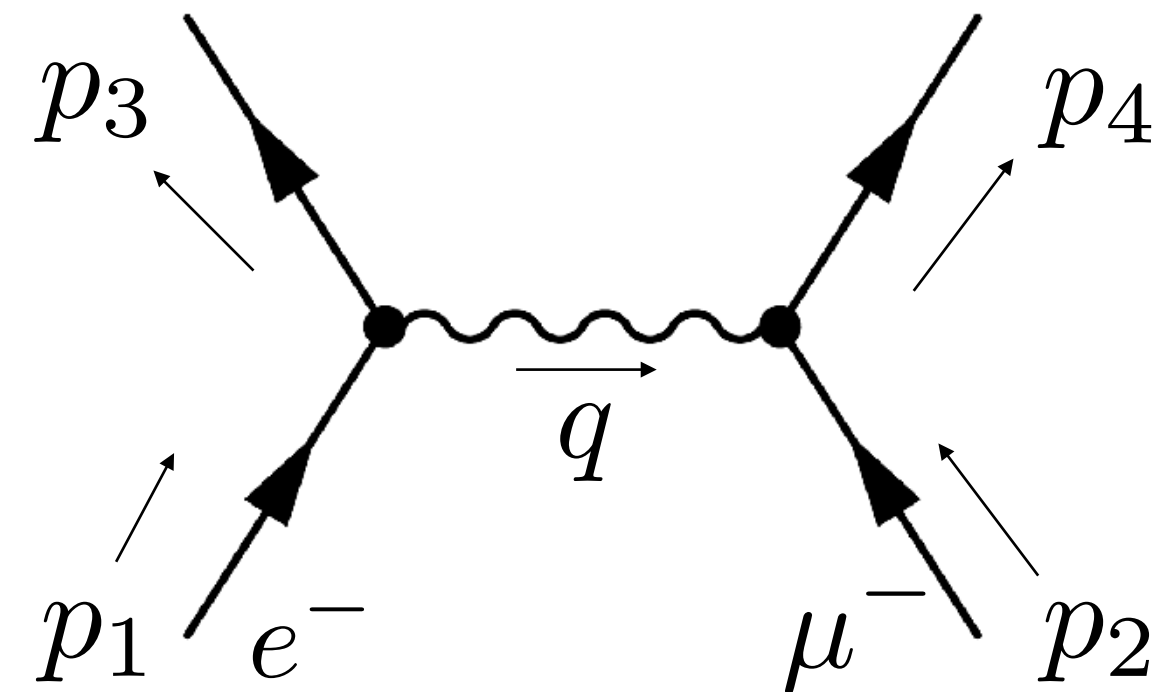
Example for a simple cross section calculation

calculate $d\sigma/d\Omega$ for electron-muon scattering

$$e^-(p_1) + \mu^-(p_2) \rightarrow e^-(p_3) + \mu^-(p_4)$$



example electron-muon-scattering



$$e^-(p_1) + \mu^-(p_2) \rightarrow e^-(p_3) + \mu^-(p_4)$$

$$q = p_1 - p_3 = p_4 - p_2$$

$$\begin{aligned} \mathcal{M} &= \bar{u}(p_3, s_3)_\alpha (-ie\gamma_\mu)_{\alpha\beta} u(p_1, s_1)_\beta \frac{-i g^{\mu\nu}}{q^2 + i\epsilon} \bar{u}(p_4, s_4)_\rho (-ie\gamma_\nu)_{\rho\sigma} u(p_2, s_2)_\sigma \\ &= -\frac{e^2}{q^2 + i\epsilon} \bar{u}(p_3, s_3)_\alpha (\gamma_\mu)_{\alpha\beta} u(p_1, s_1)_\beta \bar{u}(p_4, s_4)_\rho (\gamma^\mu)_{\rho\sigma} u(p_2, s_2)_\sigma \end{aligned}$$

cross section: $\sigma \sim |\mathcal{M}|^2 = \mathcal{M}\mathcal{M}^\dagger = \mathcal{M}(\mathcal{M}^*)^T$

unpolarised: sum over final state spins, average over initial state spins

$$|\overline{\mathcal{M}}|^2 = \frac{1}{n_{s_1} n_{s_2}} \sum_{s_1, s_2, s_3, s_4} |\mathcal{M}|^2, \text{ here } n_{s_1} = n_{s_2} = 2$$

electron-muon-scattering

useful formula: Γ_1, Γ_2 some strings of γ -matrices, $\bar{\Gamma} = \gamma^0 \Gamma^\dagger \gamma^0$

$$\sum_{s_i, s_j} (\bar{u}(p_i, s_i) \Gamma_1 u(p_j, s_j)) (\bar{u}(p_i, s_i) \Gamma_2 u(p_j, s_j))^\dagger$$

$$= \text{Trace}[\Gamma_1 (\not{p}_j + m_j) \bar{\Gamma}_2 (\not{p}_i + m_i)]$$

proof: use

$$\sum_s u(p, s) \bar{u}(p, s) = \not{p} + m \quad \gamma_0^\dagger = \gamma_0$$

$$\sum_s v(p, s) \bar{v}(p, s) = \not{p} - m \quad \gamma_0 \gamma_i \gamma_0 = \gamma_i$$

therefore:

$$\sum_{s_1, s_3} \underbrace{(\bar{u}(p_3, s_3) \gamma^\mu u(p_1, s_1))}_{\mathcal{M}} \underbrace{(\bar{u}(p_3, s_3) \gamma^{\mu'} u(p_1, s_1))^\dagger}_{\mathcal{M}^\dagger}$$

$$= \text{Trace}[\gamma^\mu (\not{p}_1 + m_1) \gamma^{\mu'} (\not{p}_3 + m_3)], \quad \text{analogous for } \sum_{s_2, s_4}$$

$$\Rightarrow |\overline{\mathcal{M}}|^2 = \frac{e^4}{4q^4} \text{Trace}[\gamma_\mu (\not{p}_1 + m_e) \gamma_{\mu'} (\not{p}_3 + m_e)] \text{Trace}[\gamma^\mu (\not{p}_2 + m_\mu) \gamma^{\mu'} (\not{p}_4 + m_\mu)]$$

electron-muon-scattering

$$|\overline{\mathcal{M}}|^2 = \frac{e^4}{4q^4} \text{Trace}[\gamma_\mu(\not{p}_1 + m_e)\gamma_{\mu'}(\not{p}_3 + m_e)] \text{Trace}[\gamma^\mu(\not{p}_2 + m_\mu)\gamma^{\mu'}(\not{p}_4 + m_\mu)]$$

$$\text{Trace}[\gamma_\mu(\not{p}_1 + m_e)\gamma_{\mu'}(\not{p}_3 + m_e)]$$

$$= \text{Trace}[\gamma_\mu \not{p}_1 \gamma_{\mu'} \not{p}_3] + m_e^2 \text{Trace}[\gamma_\mu \gamma_{\mu'}] + m_e \underbrace{\text{Trace}[\gamma_\mu \not{p}_i \gamma_{\mu'}]}_0 + m_e \underbrace{\text{Trace}[\gamma_\mu \not{p}_3 \gamma_{\mu'}]}_0$$

$$= 4 \left(p_1^\mu p_3^{\mu'} + p_3^\mu p_1^{\mu'} - p_1 \cdot p_3 g^{\mu\mu'} \right) + 4m_e^2 g^{\mu\mu'}$$

analogous for second trace \Rightarrow contraction of Lorentz indices

Mandelstam-variables for 2-particle scattering (Lorentz invariant):

$$\begin{aligned} s &= (p_1 + p_2)^2 \\ t &= (p_1 - p_3)^2 \\ u &= (p_2 - p_3)^2 \end{aligned} \quad s + t + u = \sum_{i=1}^4 p_i^2, \quad \text{here } s + t + u = 2m_e^2 + 2m_\mu^2, \quad t = q^2$$

$$\Rightarrow |\overline{\mathcal{M}}|^2 = \frac{2e^4}{t^2} \left(s^2 + u^2 - 4(s + u)(m_e^2 + m_\mu^2) + 6(m_e^2 + m_\mu^2)^2 \right)$$

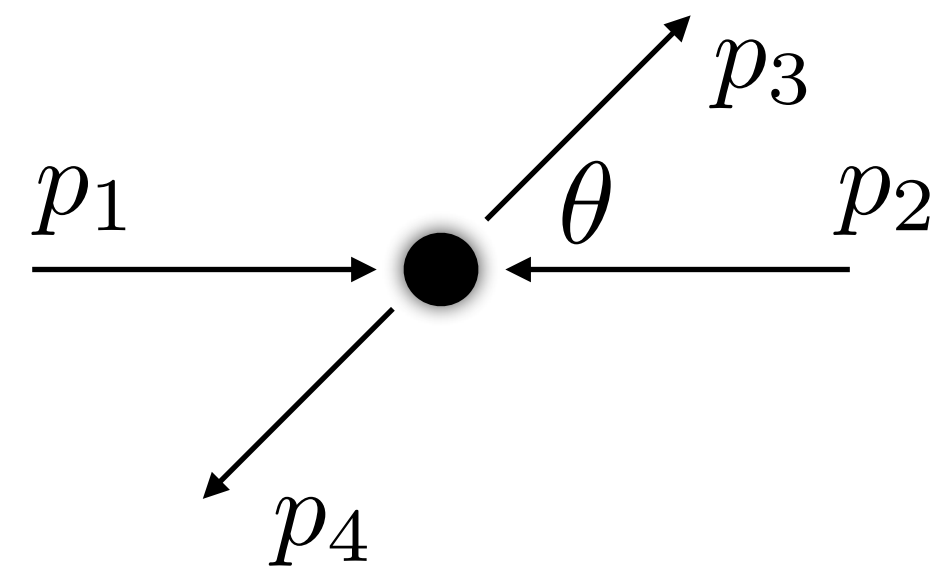
Electron-Muon-Scattering

high energy limit: $s \gg m_e^2, m_\mu^2$

$$|\overline{\mathcal{M}}|^2 = 2e^4 \frac{s^2 + u^2}{t^2}$$

photon-exchange in the t-channel

center-of-mass-system:



define unit vectors $\vec{n} = (0, 0, 1)$, $\vec{n}' = (0, \sin \theta, \cos \theta)$

\Rightarrow
(masses neglected)

$$p_1 = \frac{\sqrt{s}}{2} (1, \vec{n}), \quad p_2 = \frac{\sqrt{s}}{2} (1, -\vec{n})$$

$$p_3 = \frac{\sqrt{s}}{2} (1, \vec{n}'), \quad p_4 = \frac{\sqrt{s}}{2} (1, -\vec{n}')$$

$$t = -2p_1 \cdot p_3 = -\frac{s}{2} (1 - \cos \theta) = -s \sin^2 \frac{\theta}{2}$$

$$u = -2p_2 \cdot p_3 = -\frac{s}{2} (1 + \cos \theta) = -s \cos^2 \frac{\theta}{2}$$

$$\Rightarrow |\overline{\mathcal{M}}|^2 = 2e^4 \frac{1 + \cos^4\left(\frac{\theta}{2}\right)}{\sin^4\left(\frac{\theta}{2}\right)}$$

2-particle-phase space

cross section:
$$d\sigma = \frac{J}{\text{flux}} \cdot |\overline{\mathcal{M}}|^2 \cdot d\Phi_2$$

$d\Phi_2$ 2-particle phase space $p_1 + p_2 \rightarrow p_3 + p_4$

$$d\Phi_2 = \frac{1}{(2\pi)^6} d^4 p_3 \delta(p_3^2 - m_3^2) \Theta(E_3) d^4 p_4 (2\pi)^4 \delta(p_4^2 - m_4^2) \Theta(E_4) (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4)$$

massless case:
$$d^4 p_j \delta(p_j^2) \Theta(E_j) = dE_j d^3 \vec{p}_j \delta(E_j^2 - \vec{p}_j^2) \Theta(E_j) = \frac{1}{2E_j} d^3 \vec{p}_j \Big|_{E_j=|\vec{p}_j|}$$

eliminate \vec{p}_4

$$d\Phi_2 = \frac{1}{(2\pi)^3} \frac{d^3 \vec{p}_3}{2|\vec{p}_3|} \frac{1}{2E_4} (2\pi) \delta(E_1 + E_2 - E_3 - E_4) \Big|_{E_j=|\vec{p}_j|}$$

electron-muon-scattering

$$\begin{aligned}
 d\Phi_2 &= \frac{1}{(2\pi)^3} \frac{d^3\vec{p}_3}{2|\vec{p}_3|} \frac{1}{2E_4} (2\pi) \delta(E_1 + E_2 - E_3 - E_4) \Big|_{E_j=|\vec{p}_j|} \\
 &\stackrel{\text{(spherical coordinates)}}{=} \frac{1}{(2\pi)^3} d\Omega d|\vec{p}_3| \frac{|\vec{p}_3|^2}{2|\vec{p}_3|} \frac{1}{2E_4} (2\pi) \delta(E_{\text{cm}} - E_3 - E_4) \Big|_{E_j=|\vec{p}_j|} \\
 &= \frac{1}{16\pi^2} d\Omega \frac{|\vec{p}_3|}{E_{\text{cm}}}
 \end{aligned}$$

center-of-mass system (CM): $E_{\text{cm}} = E_1 + E_2 = \sqrt{s}$, $\frac{|\vec{p}_3|}{E_{\text{cm}}} = \frac{1}{2}$

$$\Rightarrow \frac{d\sigma}{d\Omega} = \frac{1}{2s} \frac{1}{32\pi^2} 2e^4 \frac{s^2 + u^2}{t^2} = \frac{\alpha^2}{2s} \frac{s^2 + u^2}{t^2} = \frac{\alpha^2}{2s} \frac{1 + \cos^4 \frac{\theta}{2}}{\sin^4 \frac{\theta}{2}}$$

\uparrow flux \uparrow phase space factor \uparrow matrix element

Appendix 2: phase space in D dimensions

1 to N particle phase space:

$$Q \rightarrow p_1 + \dots + p_N$$

$$\int d\Phi_N^D = (2\pi)^{N-D(N-1)} \int \prod_{j=1}^N d^D p_j \delta^+(p_j^2 - m_j^2) \delta^{(D)}\left(Q - \sum_{i=1}^N p_i\right)$$

In the following consider massless case $p_j^2 = 0$. Use for $i = 1, \dots, N - 1$

$$\begin{aligned} \int d^D p_i \delta^+(p_i^2) &\equiv \int d^D p_i \delta(p_i^2) \theta(E_i) = \int d^{D-1} \vec{p}_i dE_i \delta(E_i^2 - \vec{p}_i^2) \theta(E_i) \\ &= \frac{1}{2E_i} \int d^{D-1} \vec{p}_i \Big|_{E_i=|\vec{p}_i|} \end{aligned}$$

and eliminate p_N by momentum conservation

$$\Rightarrow \int d\Phi_N^D = (2\pi)^{N-D(N-1)} 2^{1-N} \int \prod_{j=1}^{N-1} d^{D-1} \vec{p}_j \frac{\Theta(E_j)}{E_j} \delta^+\left(\left[Q - \sum_{i=1}^{N-1} p_i\right]^2\right) \Big|_{E_j=|\vec{p}_j|}$$

phase space in D dimensions

phase space volume of unit sphere in D dimensions

$$\int d\Omega_{D-1} = V(D) = \frac{2\pi^{\frac{D}{2}}}{\Gamma(\frac{D}{2})}, \quad V(D) = \int_0^{2\pi} d\theta_1 \int_0^\pi d\theta_2 \sin \theta_2 \dots \int_0^\pi d\theta_{D-1} (\sin \theta_{D-1})^{D-2}$$

$$\frac{d^{D-1}\vec{p}}{|\vec{p}|} f(|\vec{p}|) = d\Omega_{D-2} d|\vec{p}| |\vec{p}|^{D-3} f(|\vec{p}|)$$

$$d\Phi_{1 \rightarrow n} = (2\pi)^{n-D(n-1)} 2^{1-n} d\Omega_{D-2} \prod_{j=1}^{n-1} d|\vec{p}_j| |\vec{p}_j|^{D-3} \delta\left(\left(Q - \sum_{j=1}^{n-1} p_j\right)^2\right)$$

in the massless case, use $|\vec{p}_j| = E_j$