

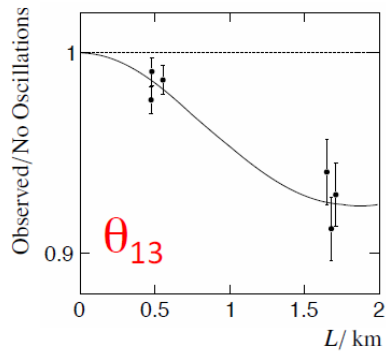
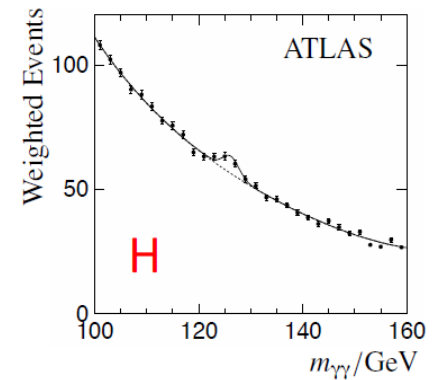
# Neutrino physics I

Gabriela Barenboim  
U.Valencia and IFIC

ESHEP, Grenna  
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~~Two~~ 2012 One major discovery ~~ies~~ in particle physics

- A SM-like Higgs boson (ATLAS, CMS)  
The key to EWSB and a possible window to



- $\theta_{13} \sim 10^\circ$  (T2K, MINOS, Daya Bay, RENO)  
about as large as it could have been !  
The door to CP Violation in the leptonic sector

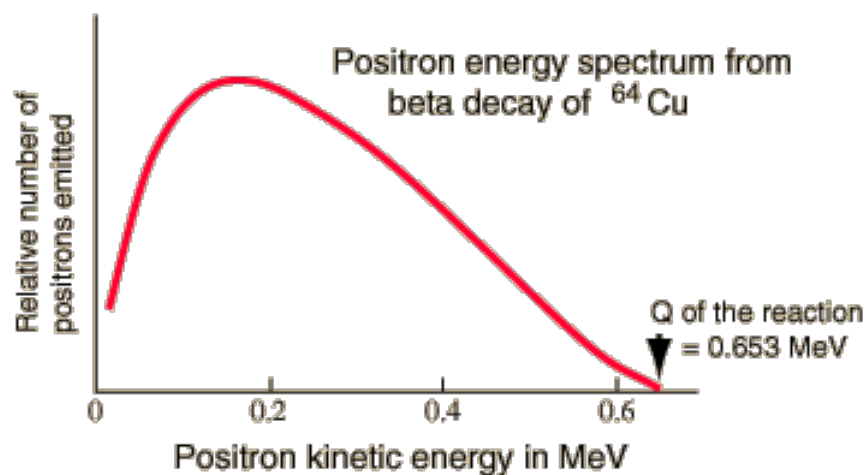
Summer Schools (if existed) were VERY short .....

$\beta$  decay was supposed to be a two body decay



$$E_e = \frac{m_n^2 + m_e^2 - m_p^2}{2 m_n}$$

Studies of  $\beta$  decay revealed a continuous energy spectrum.

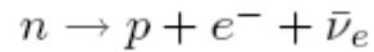


Another anomaly was the fact that the nuclear recoil was not in the direction opposite to the momentum of the electron.

The emission of another particle was a probable explanation of this behaviour, but searches found no evidence of either mass or charge.

*...desperate remedy to save the law of conservation of energy...*

Neutron Decay:



Fermi postulated a theory for  $\beta$  decay in terms of spinors

$$H_{ew} = \frac{G_F}{\sqrt{2}} \bar{\Psi}_p \gamma_\mu \Psi_n \bar{\Psi}_e \gamma^\mu \Psi_\nu$$

A Dirac field is described by a four component spinor

$$\begin{pmatrix} e_L \\ e_R \\ \hat{e}_L \\ \hat{e}_R \end{pmatrix}$$

# Standard Model of Particle Physics

Gauge Theory based on the group:

$$SU(3) \times SU(2) \times U(1)$$

$SU(3) \Rightarrow$  Quantum Chromodynamics

Strong Force (Quarks and Gluons)

$SU_L(2) \times U(1) \Rightarrow$  ElectroWeak Interactions broken to  $U_{EM}(1)$

by HIGGS

$$\underline{SU_L(2) \times U_Y(1) \Rightarrow U_{EM}(1)}$$

Force Carriers:  $W^\pm$ ,  $Z^0$  and  $\gamma$  masses: 80, 91 and 0 GeV

quark, SU(2) doublets:  $\begin{pmatrix} u \\ d \end{pmatrix}_L$ ,  $\begin{pmatrix} c \\ s \end{pmatrix}_L$ ,  $\begin{pmatrix} t \\ b \end{pmatrix}_L$

up-quark, SU(2) singlets:  $u_R, c_R, t_R$

down-quark, SU(2) singlets:  $d_R, s_R, b_R$

lepton, SU(2) doublets:  $\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L$ ,  $\begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L$ ,  $\begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$

neutrino, SU(2) singlets: — — —

charge lepton, SU(2) singlets:  $e_R, \mu_R, \tau_R$



## Electron mass

comes from a term of the form

$$\bar{L}\phi e_R$$

Absence of  $\nu_R$

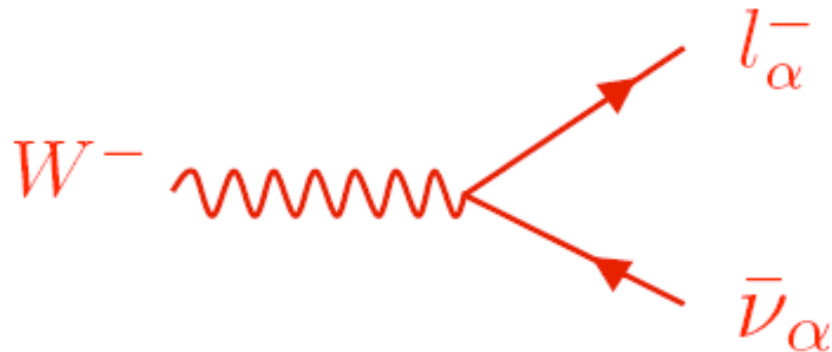
forbids such a mass term (dim 4)

for the Neutrino

Therefore in the SM neutrinos are massless  
and hence travel at speed of light.

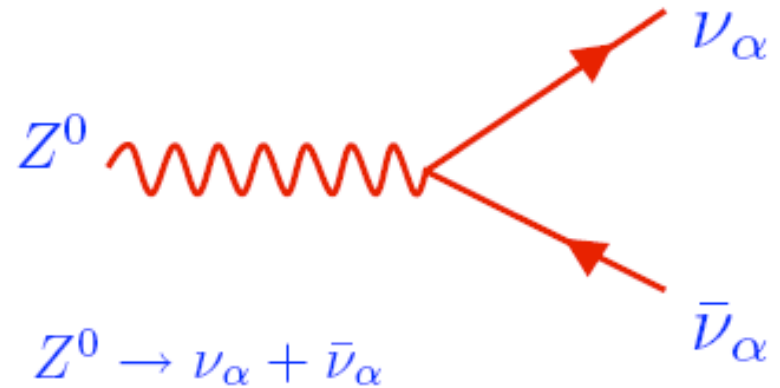
# Interactions:

Charge Current (CC)

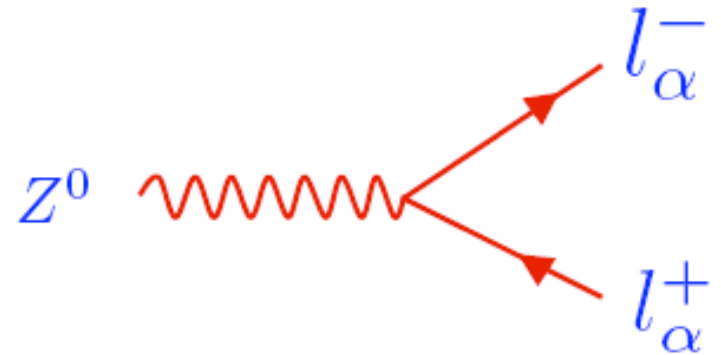


$$W^- \rightarrow l_{\alpha}^{-} + \bar{\nu}_{\alpha}$$

Neutral Current (NC)



$$Z^0 \rightarrow \nu_{\alpha} + \bar{\nu}_{\alpha}$$



$$Z^0 \rightarrow l_{\alpha}^{-} + l_{\alpha}^{+}$$

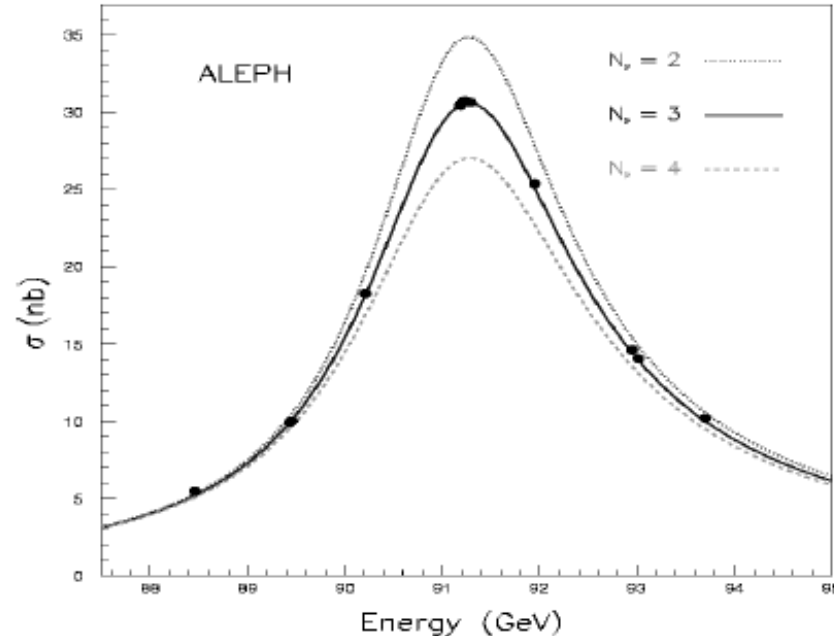
$$\Gamma(Z^0 \rightarrow f + \bar{f}) = K \frac{g_Z^2 M_Z}{48\pi} [ |c_V^f|^2 + |c_A^f|^2 ]$$

$\alpha = e, \mu, \text{ or } \tau$

Invisible width of Z plus other data from LEP:

$$Z^0 \rightarrow \nu\bar{\nu}$$

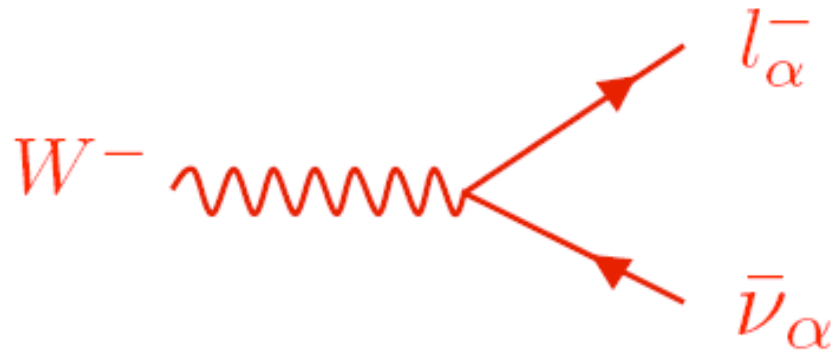
Implies  $N_\nu = 2.99 \pm 0.01$



Three Active Neutrinos!!!

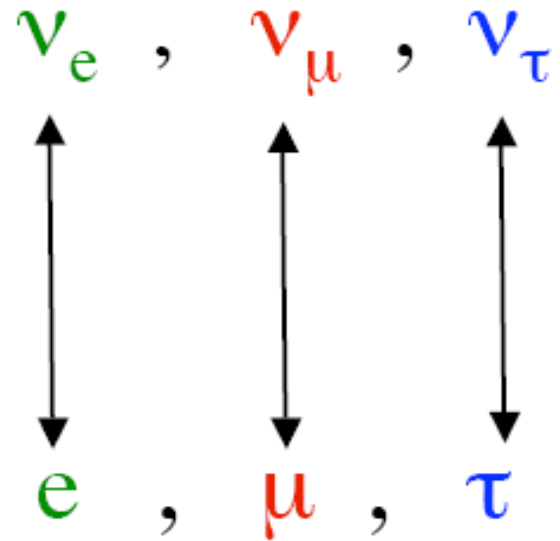
Sterile Neutrinos don't couple to  $Z^0$

## Note That

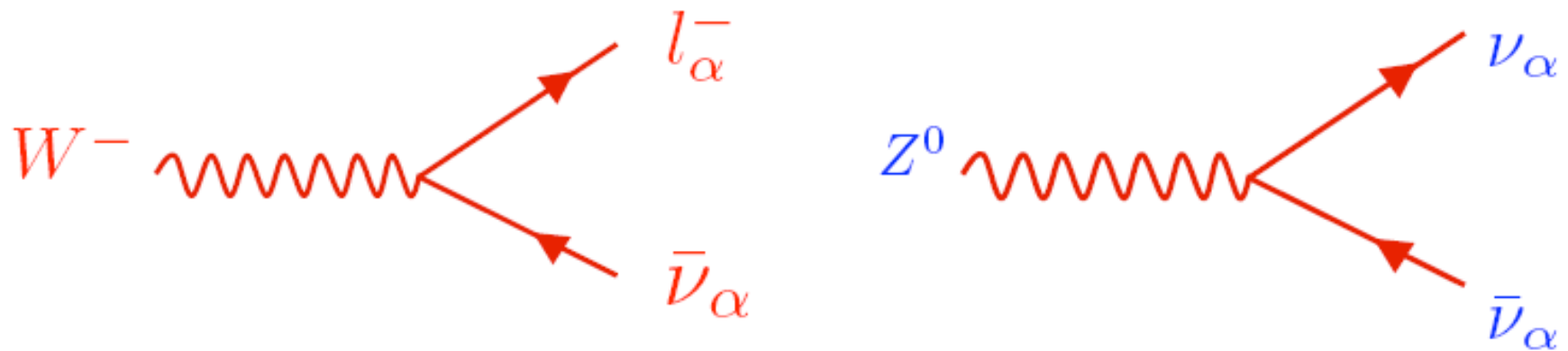


$$W^- \rightarrow l_\alpha^- + \bar{\nu}_\alpha$$

Implies



# Standard Model

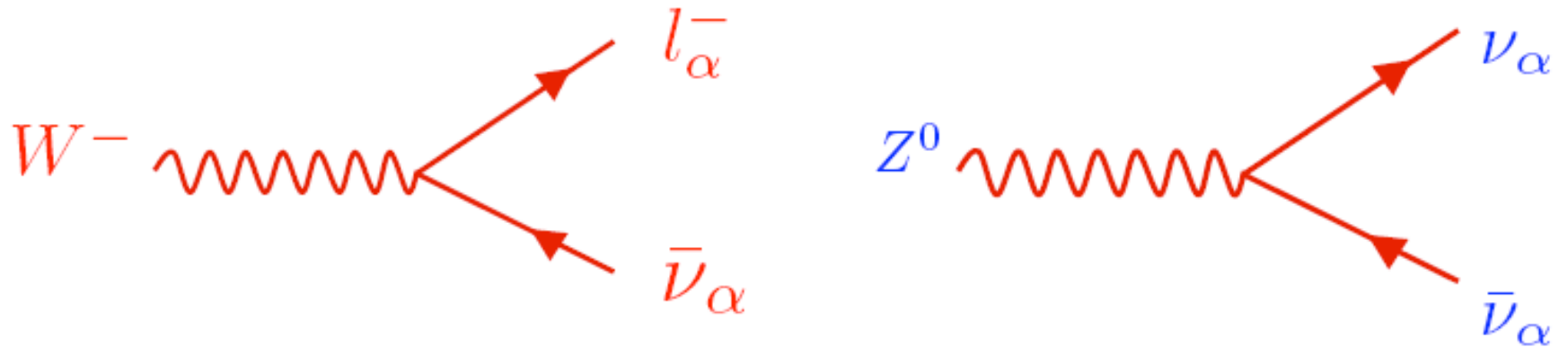


couplings conserve the **Lepton Number L**  
defined by—

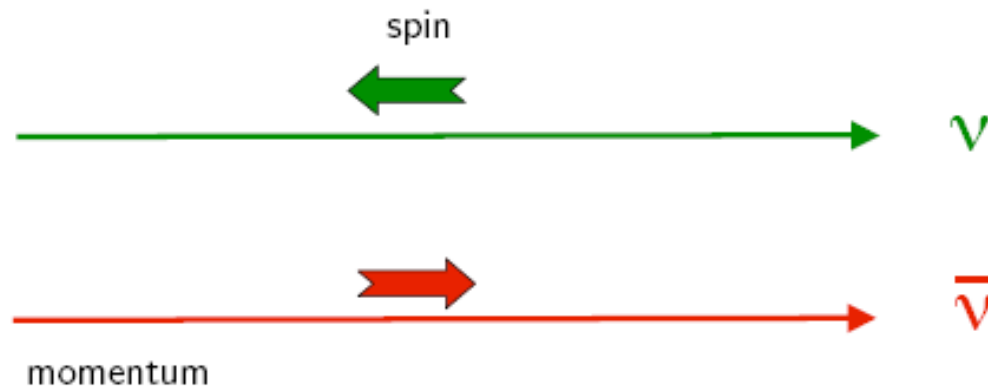
$$L(\nu) = L(l^-) = -L(\bar{\nu}) = -L(l^+) = 1.$$

Actually  $L_e$ ,  $L_\mu$ , and  $L_\tau$   
separately

# Left Handed Nature of The Neutrino



Produce Left-Handed Neutrinos  
and Right-Handed Anti-Neutrinos



What about the RH neutrinos and LH anti-neutrino ????

There exist three fundamental and discrete transformations in nature:

- **Parity**  $\mathcal{P}$   $\vec{x} \rightarrow -\vec{x}$
- **Time reversal**  $\mathcal{T}$   $t \rightarrow -t$
- **Charge conjugation**  $\mathcal{C}$   $q \rightarrow -q$

$\mathcal{P}$ ,  $\mathcal{T}$  and  $\mathcal{C}$  are conserved in the classical theories of mechanics and electrodynamics!

$CPT \leftrightarrow$  Lorentz invariance  $\oplus$  unitarity: is an essential building block of field theory

$CPT$  : L particle  $\leftrightarrow$  R antiparticle

Neutrinos in the MSM are massless and exist only in two states: particle with negative helicity and antiparticle with positive one: **Weyl fermion**

## Summary of $\nu$ 's in SM:

Three flavors of massless neutrinos

$$W^- \rightarrow l_\alpha^- + \bar{\nu}_\alpha$$

$$W^+ \rightarrow l_\alpha^+ + \nu_\alpha$$

$$\alpha = e, \mu, \text{ or } \tau$$

Anti-neutrino,  $\bar{\nu}_\alpha$ , has +ve helicity, Right Handed

Neutrino,  $\nu_\alpha$ , has -ve helicity, Left Handed

$\nu_L$  and  $\bar{\nu}_R$  are CPT conjugates

massless implies helicity = chirality



# Beyond the SM

What if Neutrino have a MASS?

speed is less than  $c$  therefore time can pass

and

Neutrinos can change character!!!

What are the stationary states?

How are they related to the interaction states?

# NEUTRINO OSCILLATIONS:

Two Flavors

flavor eigenstates  $\neq$  mass eigenstates

$$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

W's produce  $\nu_\mu$  and/or  $\nu_\tau$ 's

but  $\nu_1$  and  $\nu_2$  are the states

that change by a phase over time, mass eigenstates.

$$|\nu_j\rangle \rightarrow e^{-ip_j \cdot x} |\nu_j\rangle \quad p_j^2 = m_j^2$$

$\alpha, \beta \dots$  flavor index

$i, j \dots$  mass index

Production:

$$|\nu_\mu\rangle = \cos\theta|\nu_1\rangle + \sin\theta|\nu_2\rangle$$

Propogation:

$$\cos\theta e^{-ip_1 \cdot x}|\nu_1\rangle + \sin\theta e^{-ip_2 \cdot x}|\nu_2\rangle$$

Detection:

$$|\nu_1\rangle = \cos\theta|\nu_\mu\rangle - \sin\theta|\nu_\tau\rangle$$

$$|\nu_2\rangle = \sin\theta|\nu_\mu\rangle + \cos\theta|\nu_\tau\rangle$$

$$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$P(\nu_\mu \rightarrow \nu_\tau) = |\cos\theta(e^{-ip_1 \cdot x})(-\sin\theta) + \sin\theta(e^{-ip_2 \cdot x})\cos\theta|^2$$

$$P(\nu_\mu \rightarrow \nu_\tau) = |\cos \theta (e^{-ip_1 \cdot x})(-\sin \theta) + \sin \theta (e^{-ip_2 \cdot x}) \cos \theta|^2$$

$$\text{Same } E, \text{ therefore } p_j = \sqrt{E^2 - m_j^2} \approx E - \frac{m_j^2}{2E}$$

$$e^{-ip_j \cdot x} = e^{-iEt} e^{-ip_j L} \approx e^{-i(Et - EL)} e^{-im_j^2 L / 2E}$$

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 \theta \cos^2 \theta |e^{-im_2^2 L / 2E} - e^{-im_1^2 L / 2E}|^2$$

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

$$\delta m^2 = m_2^2 - m_1^2 \text{ and } \frac{\delta m^2 L}{4E} \equiv \Delta \text{ kinematic phase:}$$

$$P(\nu_\mu \rightarrow \nu_\tau) = |\cos \theta (e^{-ip_1 \cdot x}) (-\sin \theta) + \sin \theta (e^{-ip_2 \cdot x}) \cos \theta|^2$$

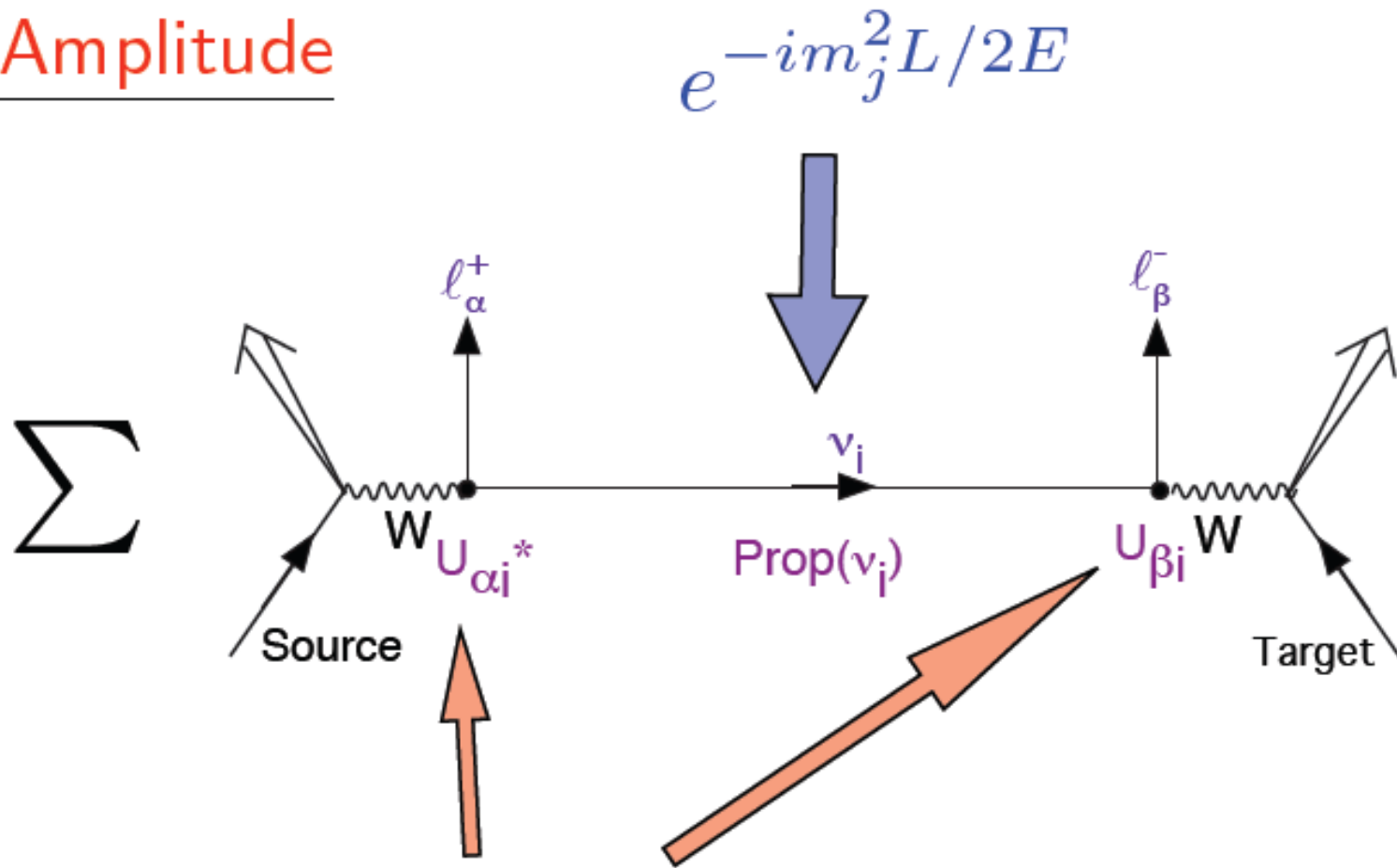
Same E, therefore  $p_j = \sqrt{E^2 - m_j^2} \approx E - \frac{m_j^2}{2E}$

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$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 \theta \cos^2 \theta |e^{-im_2^2 L / 2E} - e^{-im_1^2 L / 2E}|^2$$

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta \sin^2 \left( \frac{\delta m^2 L}{4E} \frac{c^4}{\hbar c} \right)$$

# Amplitude



$$U_{\alpha j} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

**Appearance:**

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

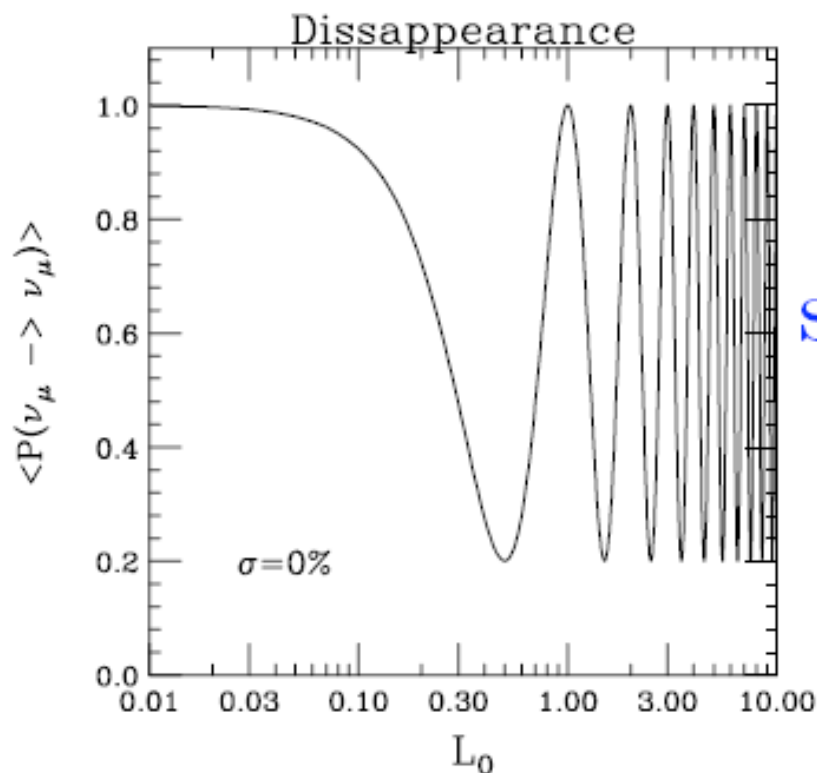
**Disappearance:**

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

Oscillation Length  $L_0 = 4\pi E / \delta m^2$

Fixed  $E_\nu$



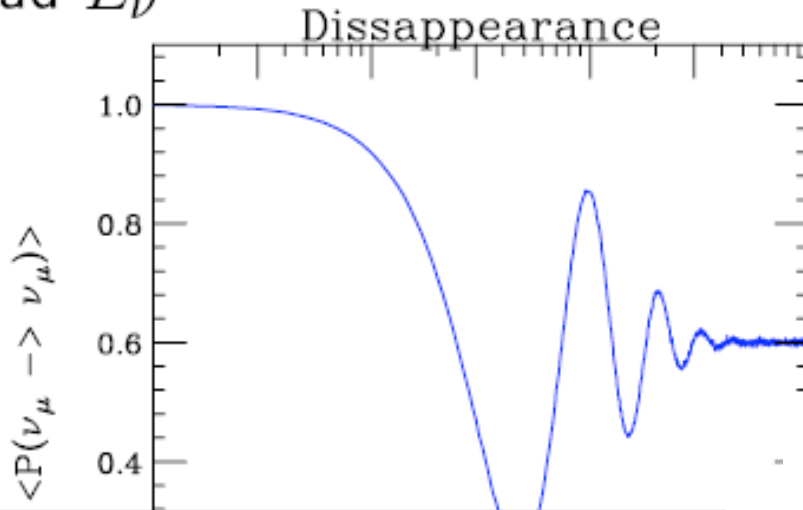
Amplitude of Oscillation

↑  
 $\sin^2 2\theta$   
 ↓



$$\langle P(\nu_\mu \rightarrow \nu_\mu) \rangle = 1 - \sin^2 2\theta \left\langle \sin^2 \frac{\delta m^2 L}{4E} \right\rangle$$

Spread  $E_\nu$



effectively incoherent  
mass eigenstates

$$1 - \sin^2 2\theta \left\langle \sin^2 \left(\frac{1}{2}\right) \right\rangle = \cos^4 \theta + \sin^4 \theta$$

$W^+ \rightarrow \mu^+ + \nu_1$  probability  $\cos^2 \theta$

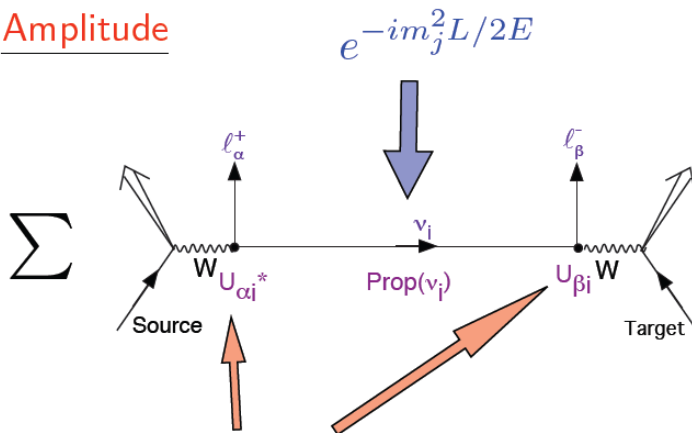
$W^+ \rightarrow \mu^+ + \nu_2$  probability  $\sin^2 \theta$

flavour fractions  $|\nu_1\rangle$  and  $|\nu_2\rangle$  during propagation remain unchanged

probability  $\nu_1$  contains  $\nu_\mu$  is  $\cos^2 \theta$

probability  $\nu_2$  contains  $\nu_\mu$  is  $\sin^2 \theta$

Amplitude



$$U_{\alpha j} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

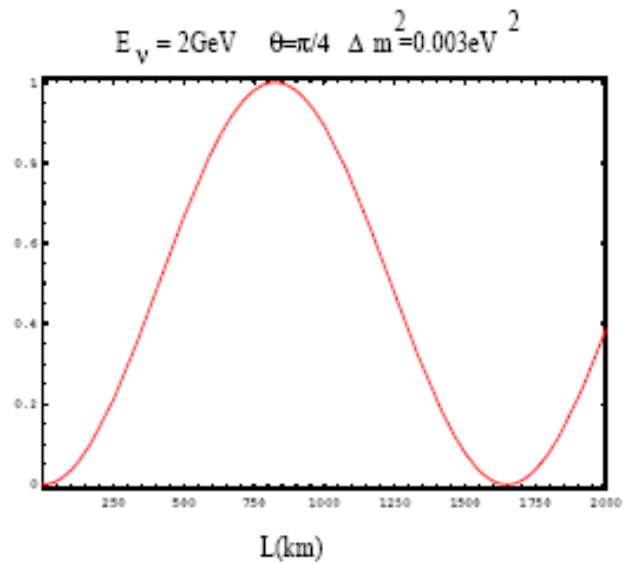
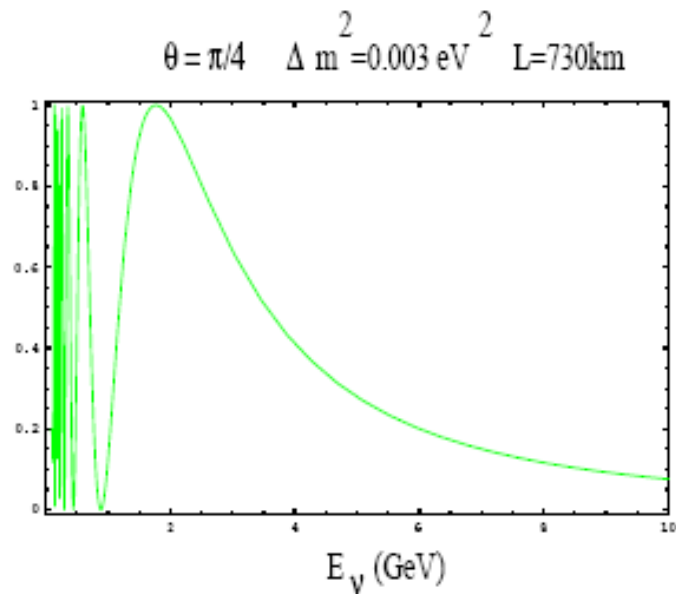
Using the unitarity of the mixing matrix: (  $W_{\alpha\beta}^{jk} \equiv [V_{\alpha j} V_{\beta j}^* V_{\alpha k} V_{\beta k}]$  )

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{k>j} \text{Re}[W_{\alpha\beta}^{jk}] \sin^2 \left( \frac{\Delta m_{jk}^2 L}{4E_\nu} \right) \\ \pm 2 \sum_{k>j} \text{Im}[W_{\alpha\beta}^{jk}] \sin \left( \frac{\Delta m_{jk}^2 L}{2E_\nu} \right)$$

For 2 families:  $V_{MNS} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$

$$P_{\alpha\beta} = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E_\nu} \right) \rightarrow \text{appearance}$$

$$P_{\alpha\alpha} = 1 - P_{\alpha\beta} < 1 \rightarrow \text{disappearance}$$



Oscillation probabilities show the expected **GIM** suppression of any flavour changing process: they vanish if the neutrinos are degenerate

# Probability for Neutrino Oscillation in Vacuum

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\text{Amp}(\nu_\alpha \rightarrow \nu_\beta)|^2 =$$

$$P_{\alpha\beta} = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E\nu} \right) \rightarrow \text{appearance}$$

$$P_{\alpha\alpha} = 1 - P_{\alpha\beta} < 1 \rightarrow \text{disappearance}$$

# Probability for Neutrino Oscillation in Vacuum

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$$P_{\alpha\beta} = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4 E} \right)$$

$$P_{\alpha\alpha} = 1 - P_{\alpha\beta}$$

$$\left( 1.27 \frac{\Delta m^2 (eV^2) L(km)}{E(GeV)} \right)$$

L/E becomes crucial !!!

## Evidence for Flavor Change:

\*\*\* Atmospheric and Accelerator Neutrinos with  $L/E = 500 \text{ km/GeV}$

\*\*\* Solar and Reactor Neutrinos with  $L/E = 15 \text{ km/MeV}$

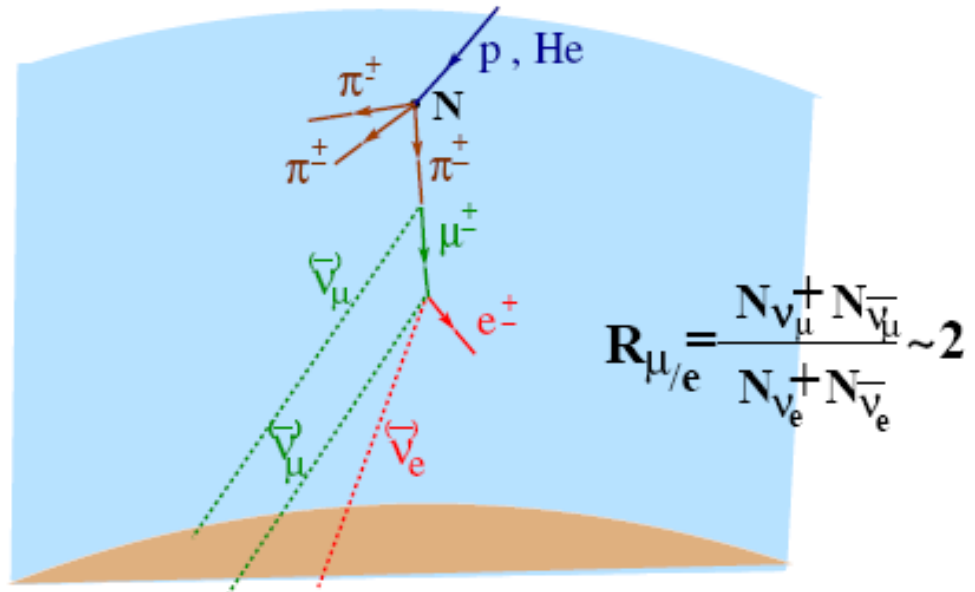
Neutrinos from Stopped muons  $L/E = 2 \text{ m/MeV}$  (Unconfirmed)

## Atmospheric neutrinos

- Atmospheric neutrinos are produced by the interaction of *cosmic rays* ( $p, \text{He}, \dots$ ) with the Earth's atmosphere:

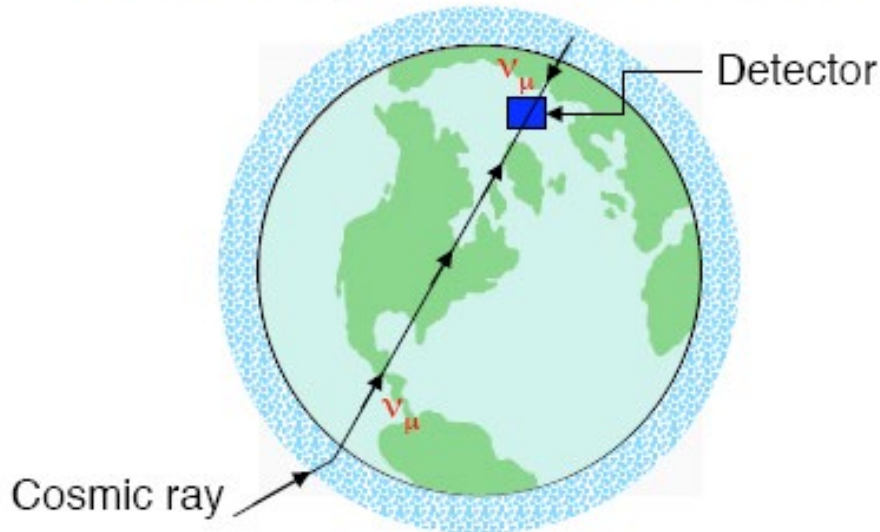
- 1  $A_{\text{cr}} + A_{\text{air}} \rightarrow \pi^{\pm}, K^{\pm}, K^0, \dots$
- 2  $\pi^{\pm} \rightarrow \mu^{\pm} + \nu_{\mu},$
- 3  $\mu^{\pm} \rightarrow e^{\pm} + \nu_e + \nu_{\mu};$

- at the detector, some  $\nu$  interacts and produces a **charged lepton**, which is observed.



A deficit was observed in the ratio  $\mu/e$  events: **Soudan2, IMB, Kamiokande**

# Atmospheric Neutrinos

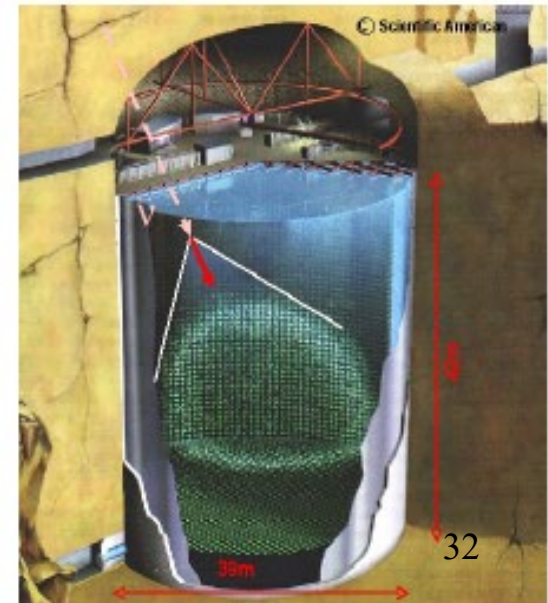


Isotropy of the  $\geq 2$  GeV cosmic rays + Gauss' Law + No  $\nu_\mu$  disappearance

$$\Rightarrow \frac{\phi_{\nu_\mu}(\text{Up})}{\phi_{\nu_\mu}(\text{Down})} = 1 .$$

But Super-Kamiokande finds for  $E_\nu > 1.3$  GeV

$$\frac{\phi_{\nu_\mu}(\text{Up})}{\phi_{\nu_\mu}(\text{Down})} = 0.54 \pm 0.04 .$$

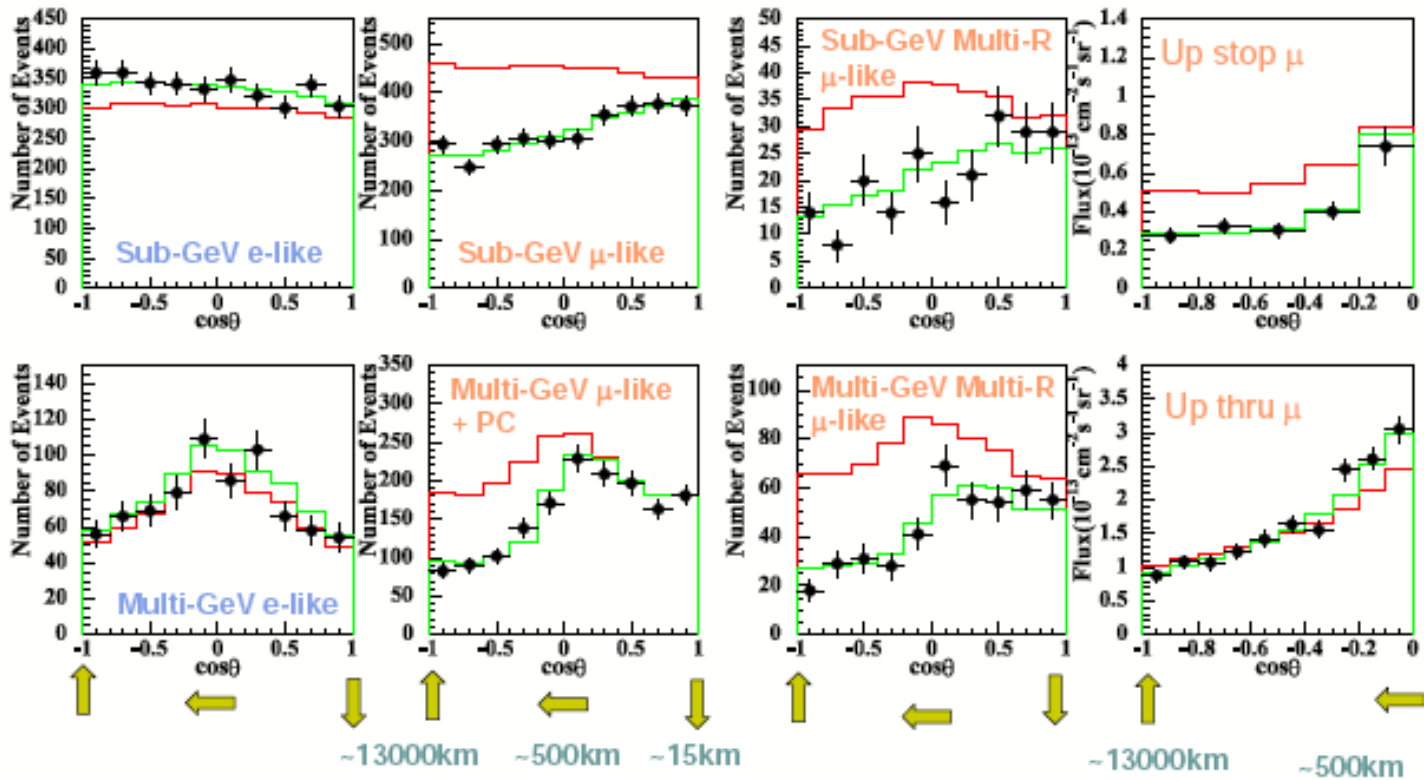




# Zenith angle distributions

$\nu_\mu \leftrightarrow \nu_\tau$   
2-flavor oscillations

Best fit  
 $\sin^2 2\theta = 1.0, \Delta m^2 = 2.0 \times 10^{-3} \text{ eV}^2$   
Null oscillation



Half of the upward-going, long-distance-traveling  $\nu_\mu$  are disappearing.

Voluminous atmospheric neutrino data are well described by —

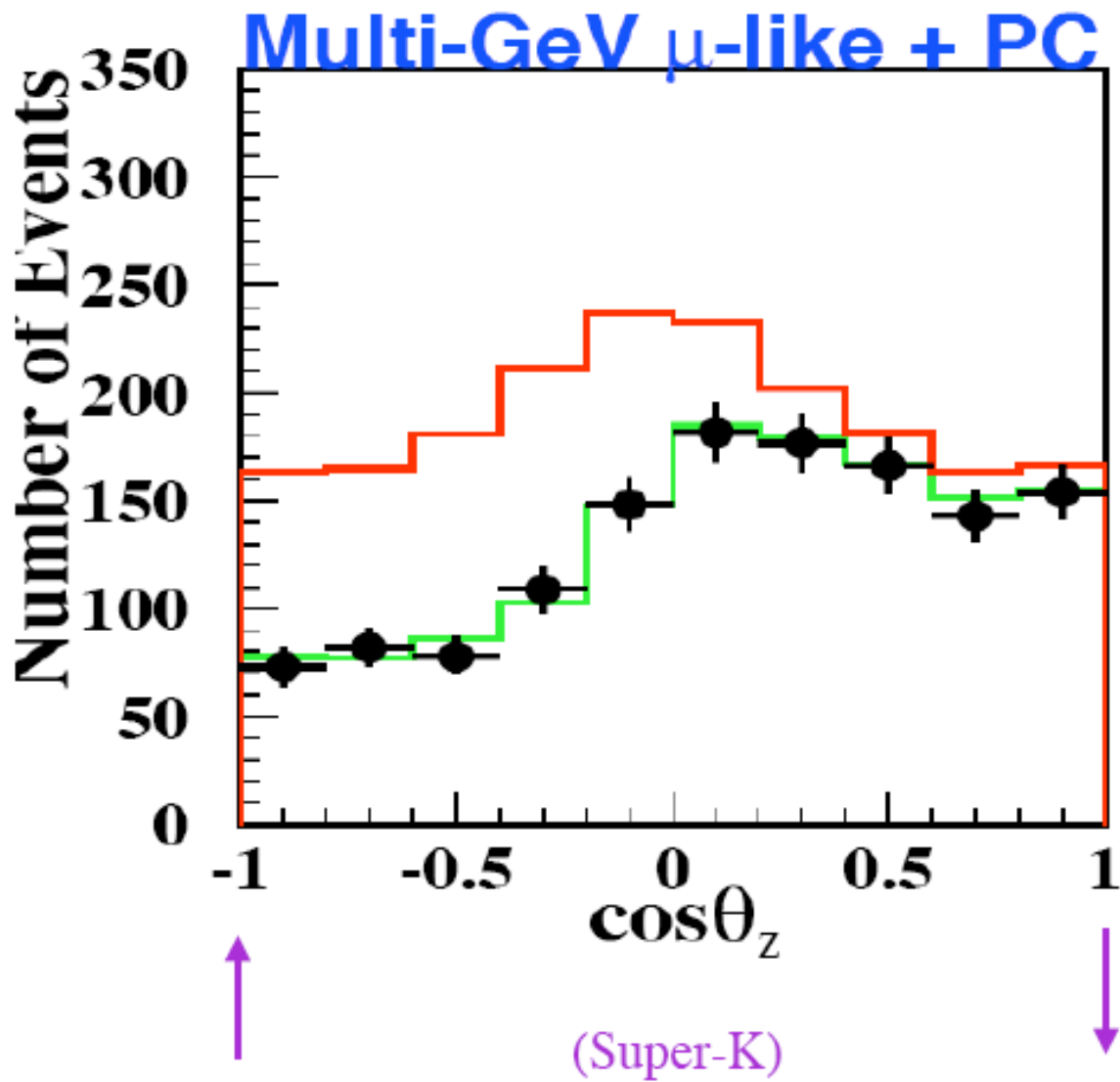
$$\nu_\mu \longrightarrow \nu_\tau$$

with —

$$\Delta m_{\text{atm}}^2 \cong 2.4 \cdot 10^{-3} \text{ eV}^2$$

and —

$$\sin^2 2\theta_{\text{atm}} \cong 1$$



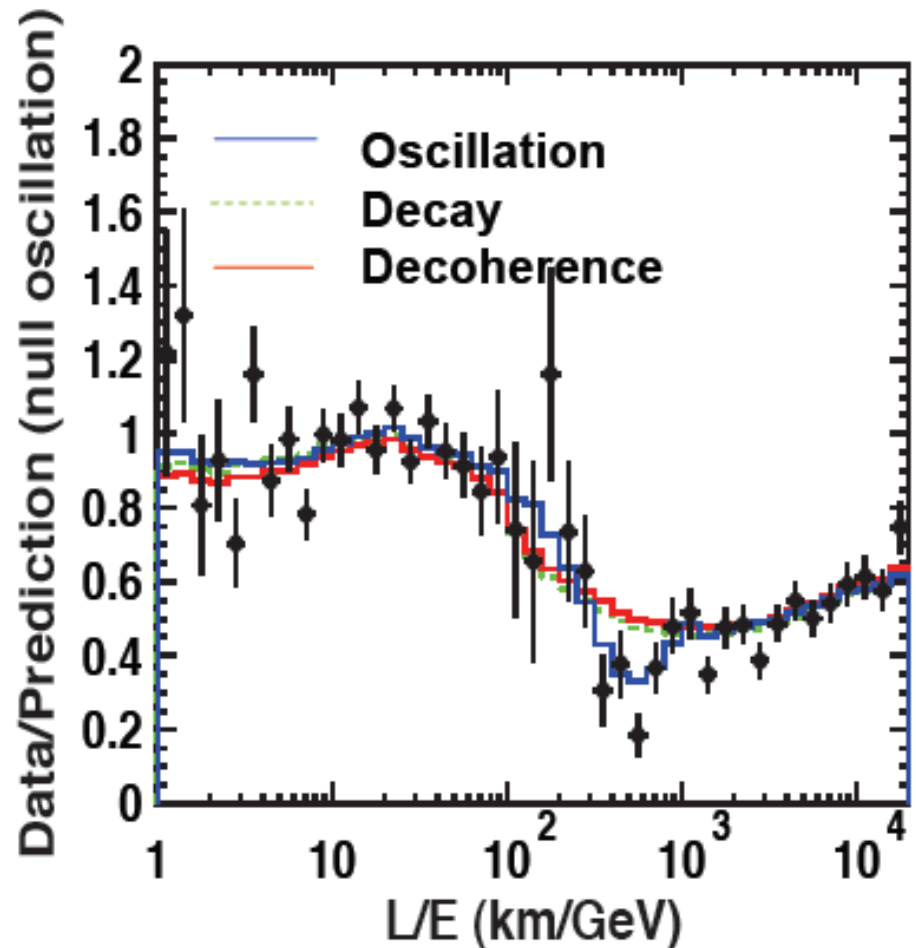
# L/E Analysis

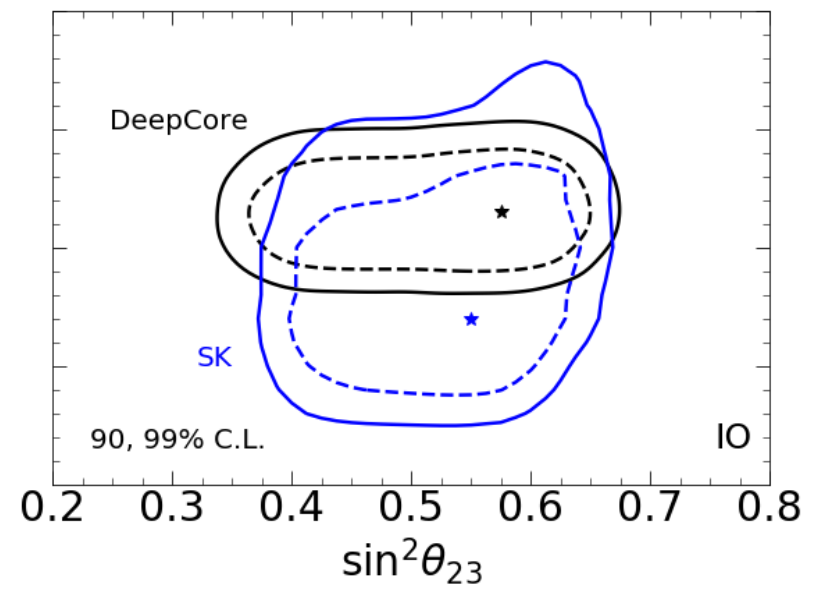
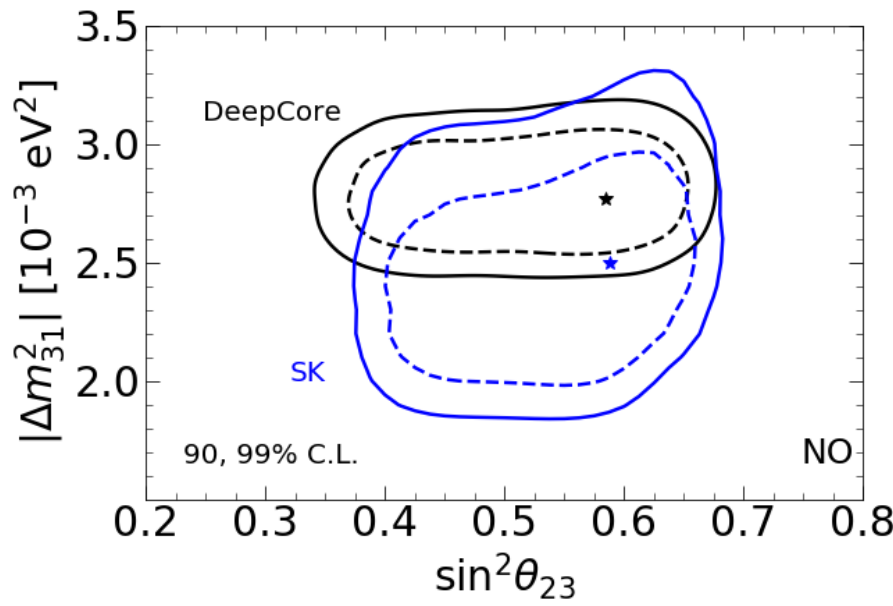
❖ Oscillation, decay and decoherence models tested

$$\chi^2_{\text{osc}} = 83.9/83$$

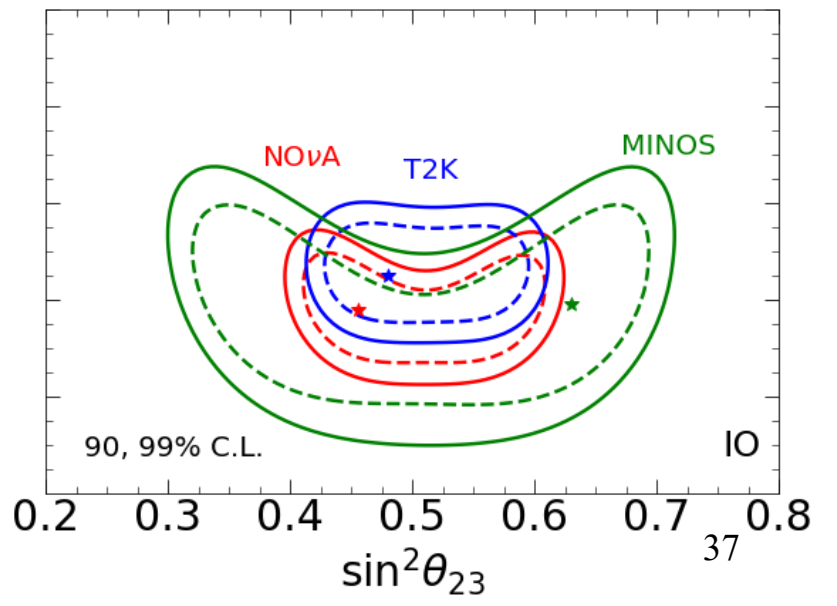
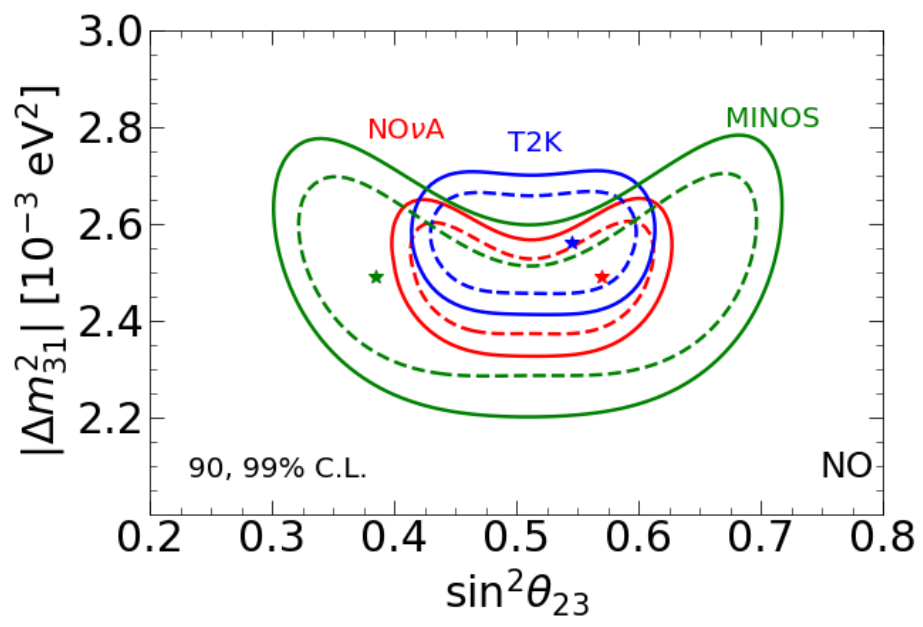
$$\chi^2_{\text{dcy}} = 107.1/83, \Delta\chi^2 = 23.2(4.8\sigma)$$

$$\chi^2_{\text{dec}} = 112.5/83, \Delta\chi^2 = 27.6(5.3\sigma)$$



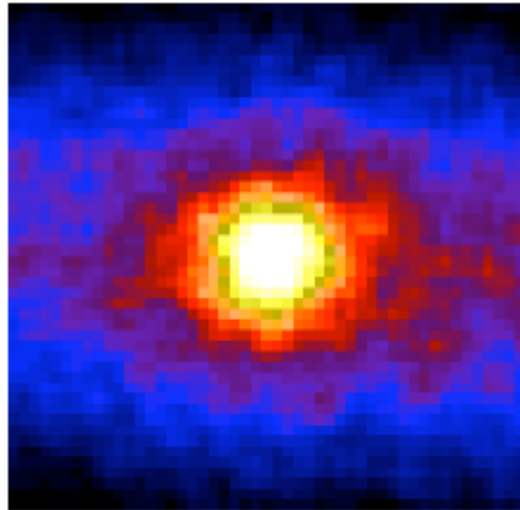


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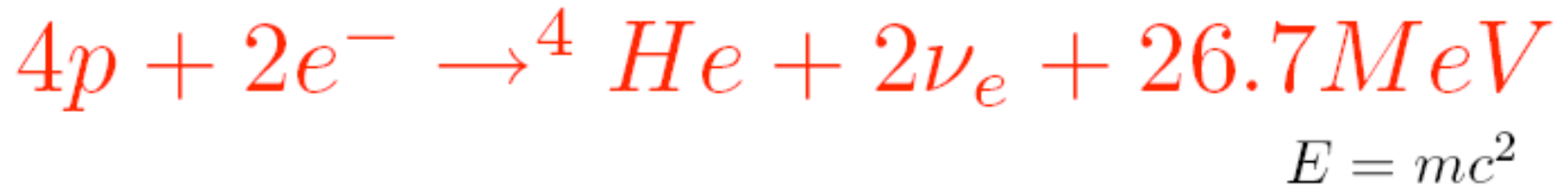


# Solar $\delta m^2$

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## Solar Engine:

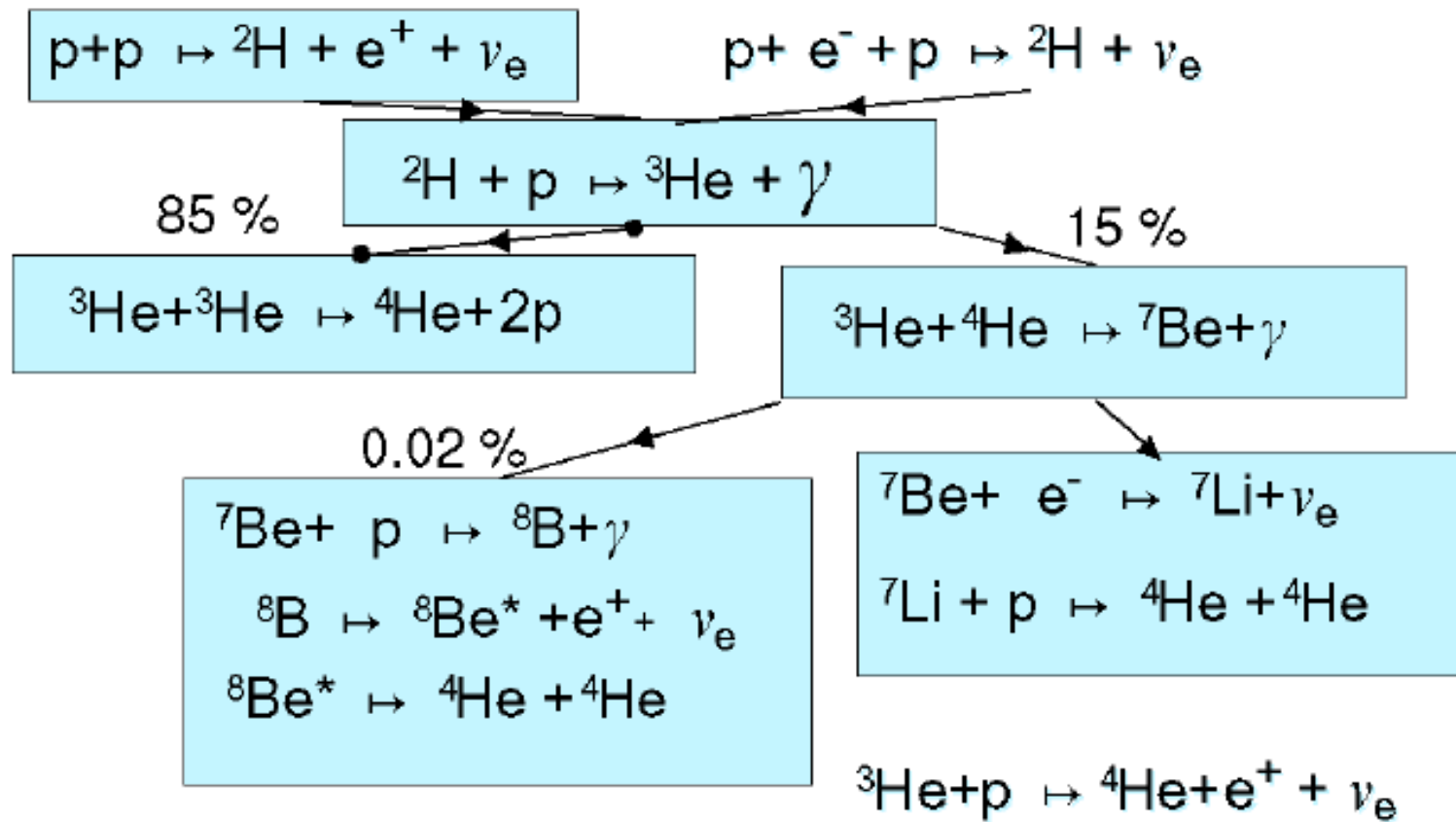


1  $\nu_e$  for every 13.4 MeV ( $=2.1 \times 10^{-12}$  J)

$\mathcal{L}_{\odot}$  at earth's surface 0.13 watts/cm<sup>2</sup>

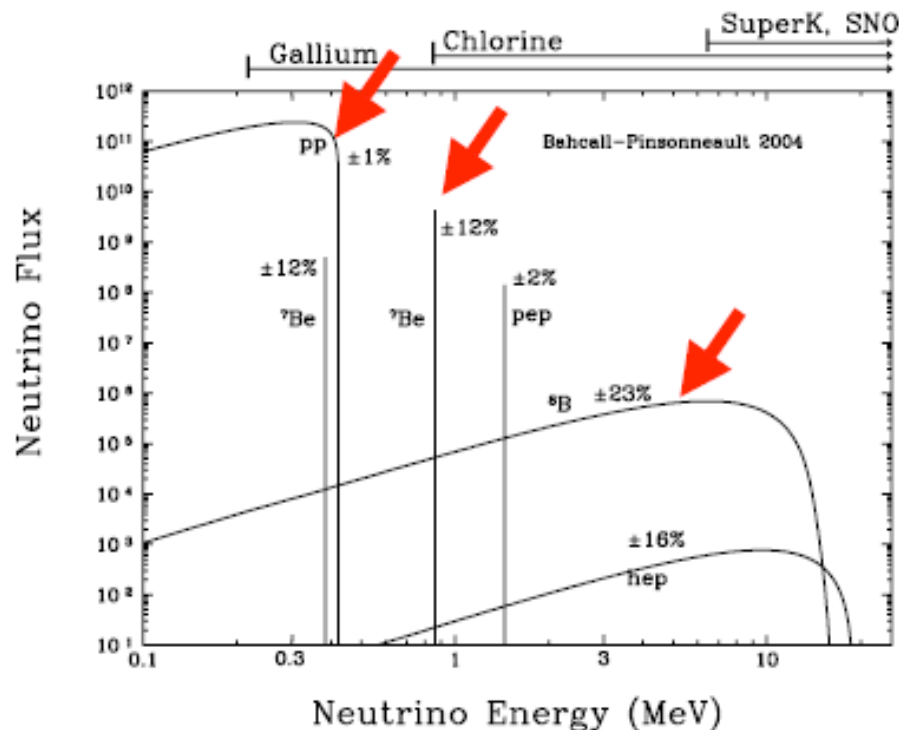
$$\phi_{\nu} = \frac{0.13}{2.1 \times 10^{-12}} = 6 \times 10^{10} / \text{cm}^2 / \text{sec}$$

This corresponds to an average of 2  $\nu$ 's per cm<sup>3</sup>  
since they are going at speed  $c$ .





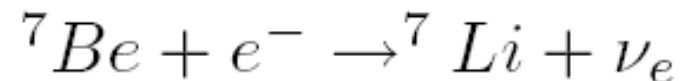
# Solar Spectrum:



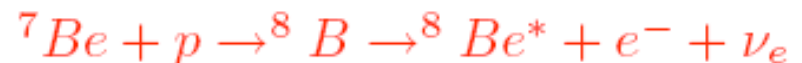
**Figure 1.** The predicted solar neutrino energy spectrum. The figure shows the energy spectrum of solar neutrinos predicted by the BP04 solar model [22]. For continuum sources, the neutrino fluxes are given in number of neutrinos  $\text{cm}^{-2} \text{s}^{-1} \text{MeV}^{-1}$  at the Earth's surface. For line sources, the units are number of neutrinos  $\text{cm}^{-2} \text{s}^{-1}$ . Total theoretical uncertainties taken from column 2 of table 1 are shown for each source. To avoid complication in the figure, we have omitted the difficult-to-detect CNO neutrino fluxes (see table 1).



$$\phi_{pp} = 5.94(1 \pm 0.01) \times 10^{10} \text{cm}^{-2} \text{sec}^{-1}$$



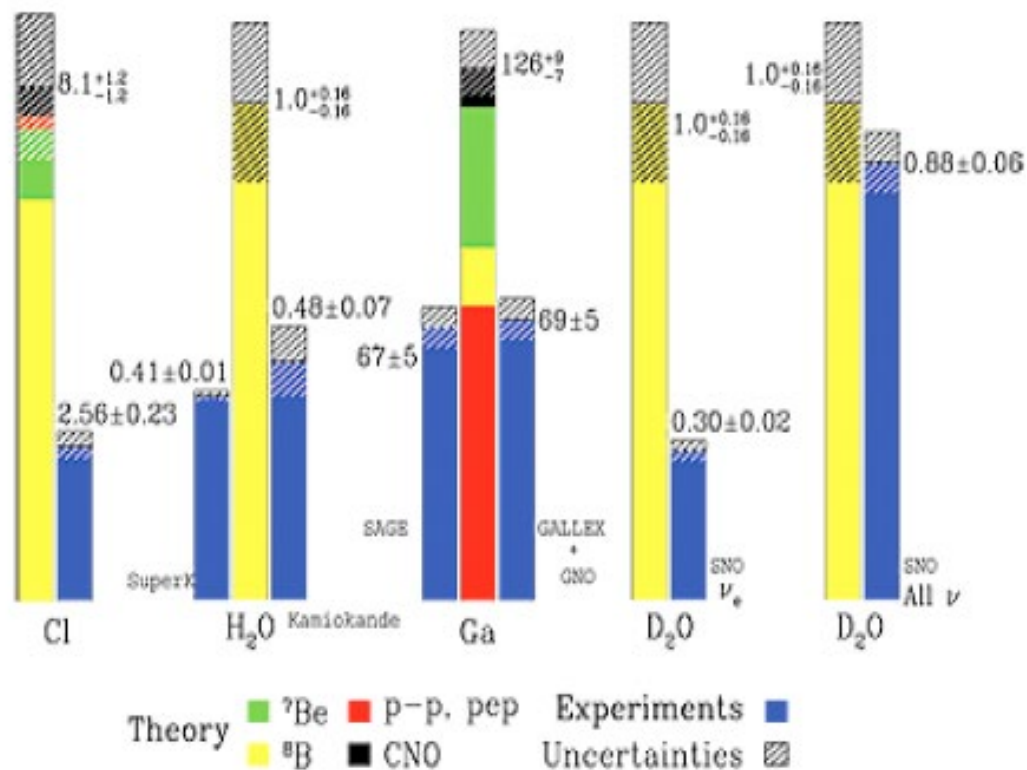
$$\phi_{{}^7\text{Be}} = 4.86(1 \pm 0.12) \times 10^9 \text{cm}^{-2} \text{sec}^{-1}$$



$$\phi_{{}^8\text{B}} = 5.82(1 \pm 0.23) \times 10^6 \text{cm}^{-2} \text{sec}^{-1}$$



Total Rates: Standard Model vs. Experiment  
Bahcall-Serenelli 2005 [BS05(OP)]



Ray Davis & John Bahcall

Theory v Exp.

Neutrino Flavor Transitions!!!

Kinematical Phase:

$$\delta m_{\odot}^2 = 8.0 \times 10^{-5} eV^2$$

$$\sin^2 \theta_{\odot} = 0.31$$

$$\Delta_{\odot} = \frac{\delta m_{\odot}^2 L}{4E} = 1.27 \frac{8 \times 10^{-5} eV^2 \cdot 1.5 \times 10^{11} m}{0.1 - 10 MeV}$$

$$\Delta_{\odot} \approx 10^{7 \pm 1}$$

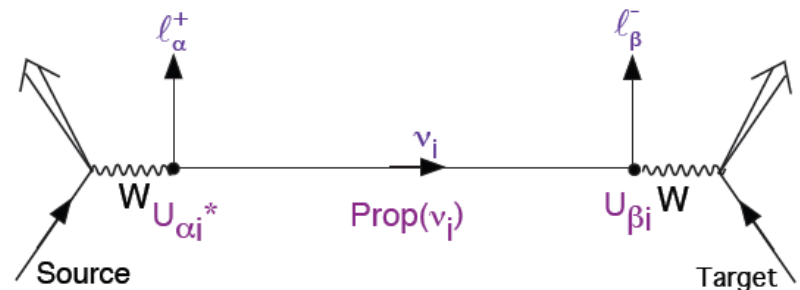
**Effectively Incoherent !!!**

Vacuum  $\nu_e$  Survival Probability:

$$\langle P_{ee} \rangle = f_1 \cos^2 \theta_{\odot} + f_2 \sin^2 \theta_{\odot}$$

where  $f_1$  and  $f_2$  are the fraction of  $\nu_1$  and  $\nu_2$  at production.

In vacuum  $f_1 = \cos^2 \theta_{\odot}$

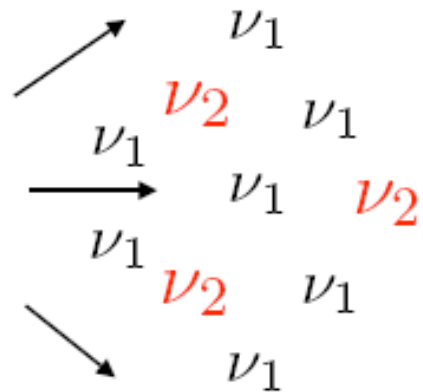
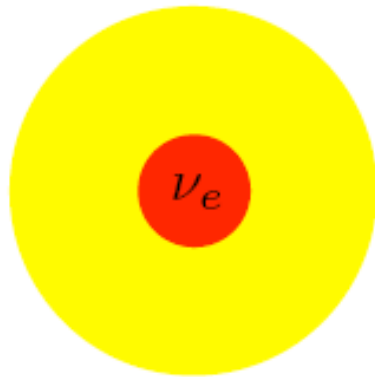


$$\langle P_{ee} \rangle = \cos^4 \theta_{\odot} + \sin^4 \theta_{\odot} = 1 - \frac{1}{2} \sin^2 2\theta_{\odot}$$

for pp and  ${}^7\text{Be}$  this is approximately THE ANSWER.

$$f_1 \sim 69\% \text{ and } f_2 \sim 31\% \text{ and } \langle P_{ee} \rangle \approx 0.6$$

# pp and ${}^7\text{Be}$



$$f_1 \sim 69\%$$

$$f_2 \sim 31\%$$

$$\langle P_{ee} \rangle \approx 0.6$$

$$f_3 = \sin^2 \theta_{13} < 4\%$$

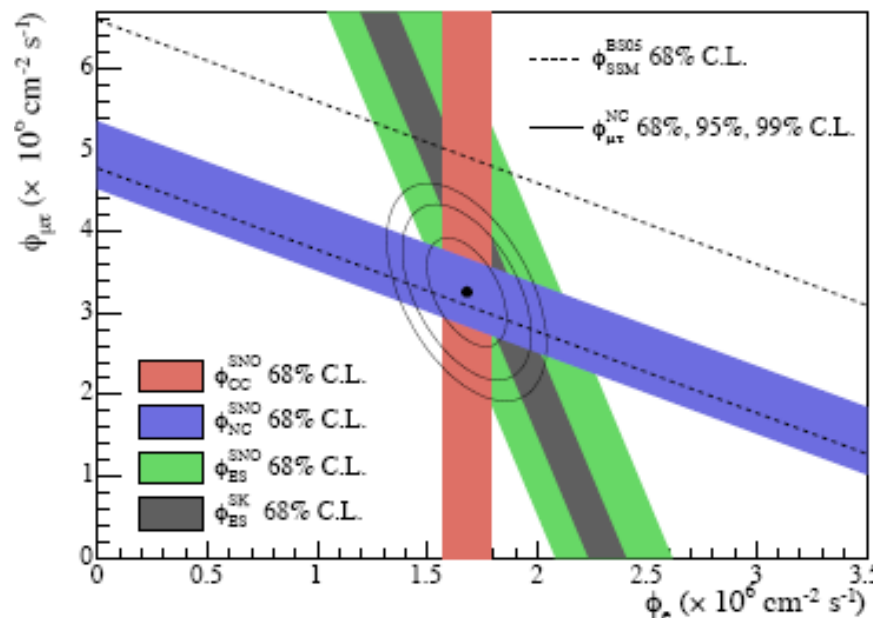
What about  ${}^8B$  ?

## SNO's CC/NC

CC:  $\nu_e + d \rightarrow e^- + p + p$

NC :  $\nu_x + d \rightarrow \nu_x + p + n$

ES:  $\nu_\alpha + e^- \rightarrow \nu_\alpha + e^-$

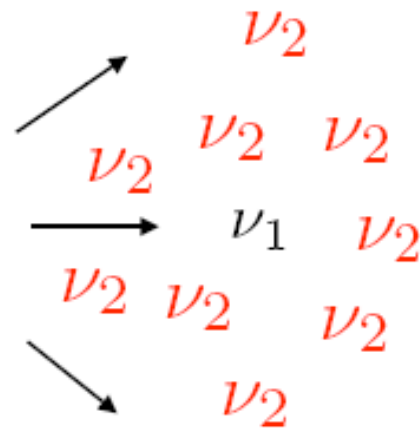
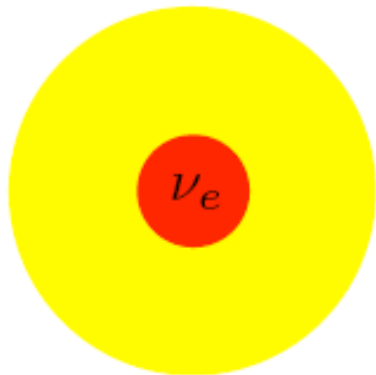


$$\frac{CC}{NC} = \langle P_{ee} \rangle = f_1 \cos^2 \theta_\odot + f_2 \sin^2 \theta_\odot$$

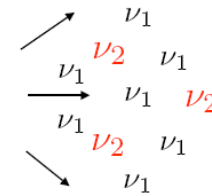
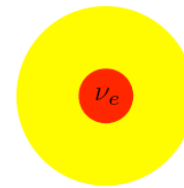
$$f_1 = \left( \frac{CC}{NC} - \sin^2 \theta_\odot \right) / \cos 2\theta_\odot$$

$$= (0.35 - 0.31) / 0.4 \approx 10$$

$^8B$



pp and  $^7Be$



$f_1 \sim 69\%$

$f_2 \sim 31\%$

$f_2 \sim 90\%$

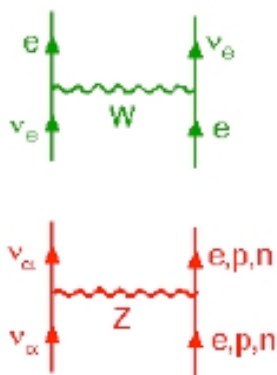
$f_1 \sim 10\%$

$$\langle P_{ee} \rangle = \sin^2 \theta + f_1 \cos 2\theta_{\odot} \approx \sin^2 \theta_{\odot} = 0.31$$

Wow!!! How did that happen???

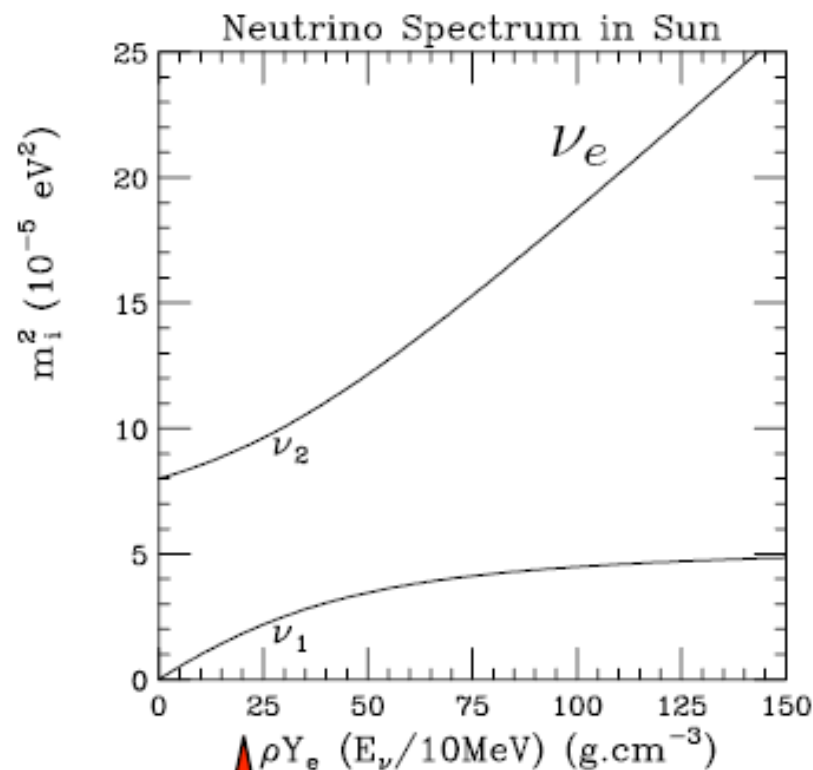
# MSW

## Coherent Forward Scattering:



Wolfenstein '78

MATTER EFFECTS  
CHANGE THE NEUTRINO  
MASSES AND MIXINGS



Mikheyev + Smirnov Resonance WIN '85



## Neutrino Evolution:

$$-i \frac{\partial}{\partial t} \nu = H \nu$$

in the mass eigenstate basis

$$\nu = \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} \text{ and } H = \begin{pmatrix} \sqrt{p^2 + m_1^2} & 0 \\ 0 & \sqrt{p^2 + m_2^2} \end{pmatrix}$$

$$E = \sqrt{p^2 + m^2}$$

$$H = \left( p + \frac{m_1^2 + m_2^2}{4p} \right) I + \frac{1}{4E} \begin{pmatrix} -\delta m^2 & 0 \\ 0 & \delta m^2 \end{pmatrix}$$

$$\delta m^2 = m_2^2 - m_1^2 > 0$$

in the flavor basis

$$\nu \rightarrow U\nu \text{ and } H \rightarrow UHU^\dagger$$

$$\text{where } \nu = \begin{pmatrix} \nu_e \\ \nu_\sigma \end{pmatrix} \text{ and } U = \begin{pmatrix} \cos \theta_\odot & \sin \theta_\odot \\ -\sin \theta_\odot & \cos \theta_\odot \end{pmatrix}$$

and therefore in flavor basis

$$0 < \theta_\odot < \frac{\pi}{2}$$

$$H = \frac{\delta m^2}{4E} \begin{pmatrix} -\cos 2\theta_\odot & \sin 2\theta_\odot \\ \sin 2\theta_\odot & \cos 2\theta_\odot \end{pmatrix}$$

$$\text{i.e. } \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix}_{mass} \Rightarrow \frac{\delta m^2}{4E} \begin{pmatrix} -\cos 2\theta_\odot & \sin 2\theta_\odot \\ \sin 2\theta_\odot & \cos 2\theta_\odot \end{pmatrix}_{flavor}$$

# Coherent Forward Scattering:

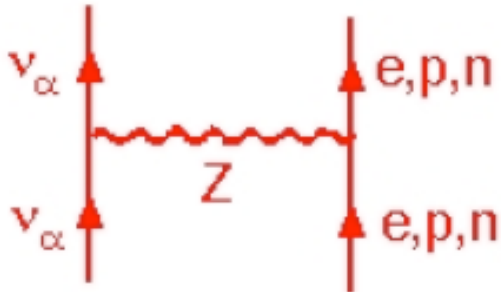
dimensions  $[G_F N_e] = M^{-2}L^{-3} = M$



$$\pm \sqrt{2} G_F N_e \delta_{ee}$$

$N_e$  is number density of electrons  
+(-) for neutrinos (anti-neutrinos)

Wolfenstein '78



Same for all active flavors,  
therefore overall phases

$$\begin{pmatrix} +\sqrt{2}G_F N_e & 0 \\ 0 & 0 \end{pmatrix} \rightarrow \frac{G_F N_e}{\sqrt{2}} I_2 + \frac{1}{2} \begin{pmatrix} +\sqrt{2}G_F N_e & 0 \\ 0 & -\sqrt{2}G_F N_e \end{pmatrix}$$

Including Matter Effects in the Flavor Basis:

$$H_{flavor} = \frac{1}{4E\nu} \begin{pmatrix} -\delta m^2 \cos 2\theta_{\odot} + 2\sqrt{2}G_F N_e E\nu & \delta m^2 \sin 2\theta_{\odot} \\ \delta m^2 \sin 2\theta_{\odot} & \delta m^2 \cos 2\theta_{\odot} - 2\sqrt{2}G_F N_e E\nu \end{pmatrix}$$

Diagonalize by identifying with

$$H_{flavor} = \frac{1}{4E\nu} \begin{pmatrix} -\delta m_N^2 \cos 2\theta_{\odot}^N & \delta m_N^2 \sin 2\theta_{\odot}^N \\ \delta m_N^2 \sin 2\theta_{\odot}^N & \delta m_N^2 \cos 2\theta_{\odot}^N \end{pmatrix}$$

Masses and Mixings in MATTER:  $\delta m_N^2$  and  $\theta_{\odot}^N$

$$\begin{aligned} \delta m_N^2 \cos 2\theta_{\odot}^N &= \delta m^2 \cos 2\theta_{\odot} - 2\sqrt{2}G_F N_e E\nu \\ \delta m_N^2 \sin 2\theta_{\odot}^N &= \delta m^2 \sin 2\theta_{\odot} \end{aligned}$$

Notice:

- (1) Possible zero when  $\delta m^2 \cos 2\theta_{\odot} = 2\sqrt{2}G_F N_e E\nu$
- (2) the invariance of the product  $\delta m^2 \sin 2\theta_{\odot}$

$\nu_e$  disappearance in Looooong Block of Lead:

$$1 - P(\nu_e \rightarrow \nu_e) = \sin^2 2\theta_{\odot}^N \sin^2 \Delta_N$$

$$\Delta_N = \frac{\delta m_N^2 L}{4E}$$

same form as vacuum

The Solution:

$$\delta m_N^2 = \sqrt{(\delta m^2 \cos 2\theta_\odot - 2\sqrt{2}G_F N_e E_\nu)^2 + (\delta m^2 \sin 2\theta_\odot)^2}$$

$$\sin^2 \theta_\odot^N = \frac{1}{2} \left( 1 - \frac{(\delta m^2 \cos 2\theta_\odot - 2\sqrt{2}G_F N_e E_\nu)}{\delta m_N^2} \right) \quad \theta_\odot^N > \theta_\odot$$

**Quasi-Vacuum:**  $2\sqrt{2}G_F N_e E_\nu \ll \delta m^2 \cos 2\theta_\odot$

pp and  ${}^7\text{Be}$

$$\delta m_N^2 = \delta m^2 \text{ and } \theta_\odot^N = \theta_\odot$$

**Resonance (Mikheyev + Smirnov '85):**  $2\sqrt{2}G_F N_e E_\nu = \delta m^2 \cos 2\theta_\odot$

$$\delta m_N^2 = \delta m^2 \sin 2\theta_\odot \text{ and } \theta_\odot^N = \pi/4$$

**Matter Dominated:**  $2\sqrt{2}G_F N_e E_\nu \gg \delta m^2 \cos 2\theta_\odot$

$$\delta m_N^2 \rightarrow 2\sqrt{2}G_F N_e E_\nu \text{ and } \theta_\odot^N \rightarrow \pi/2$$

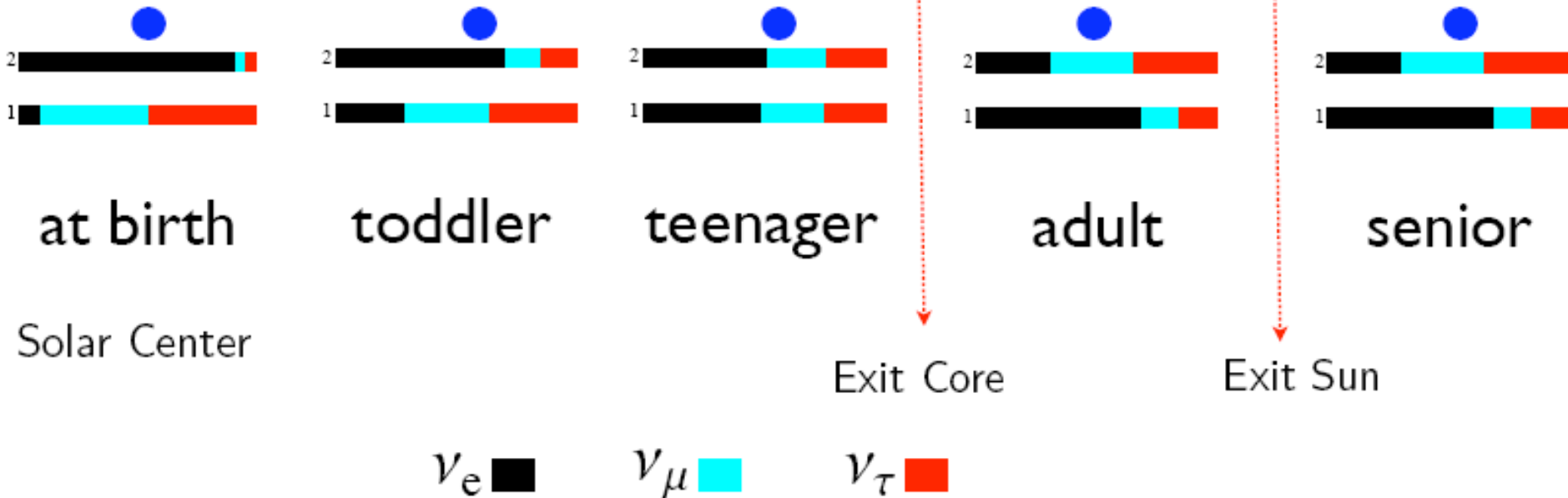
${}^8\text{B}$  54

# Life of a Boron-8 Solar Neutrino:

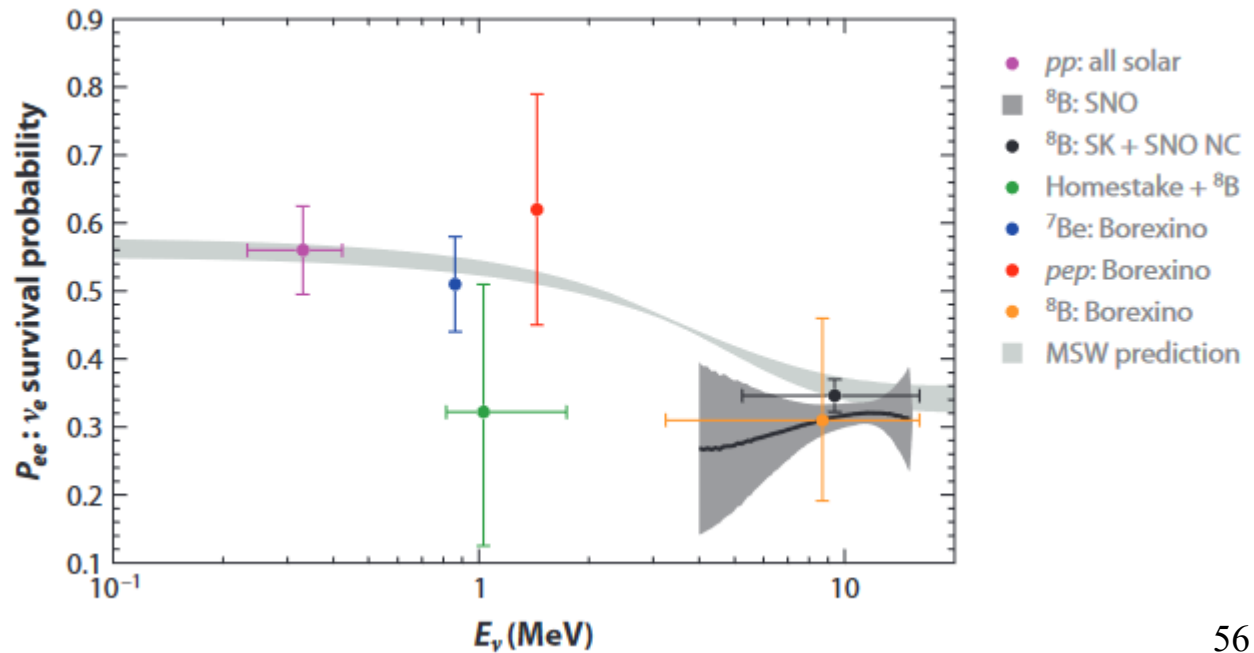
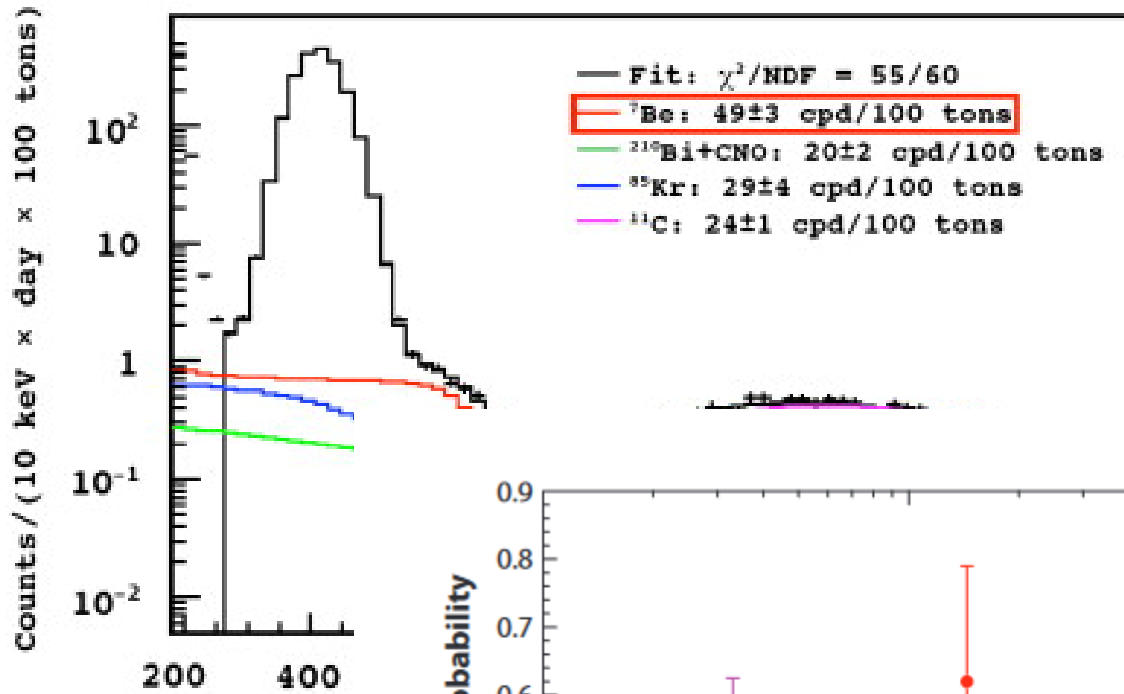
$\nu_e \approx \nu_2$   
for  ${}^8\text{B}$

Once a  $\nu_2$  always a  $\nu_2$ !

In Vac  
 $\nu_2 \approx \frac{1}{3}\nu_e$

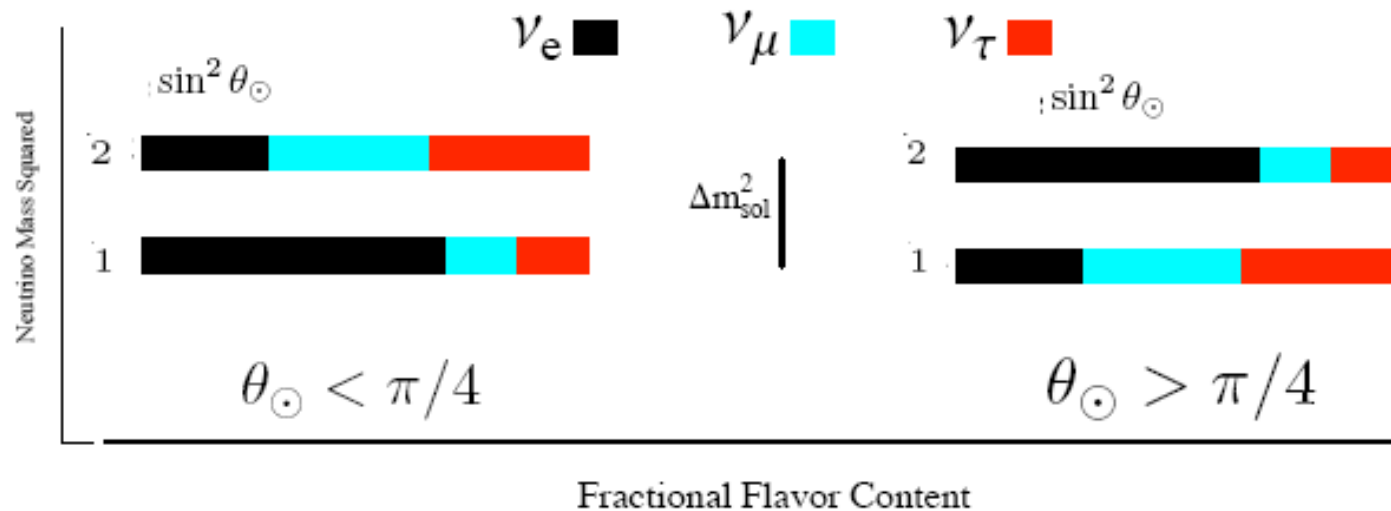


# Borexino results





# Solar Pair Mass Hierarchy:



Who cares ?  
SNO does !!!

for neutrino in matter  
 $\theta_\odot^N > \theta_\odot$

$$\langle P_{ee} \rangle = \cos^2 \theta_\odot^N \cos^2 \theta_\odot + \sin^2 \theta_\odot^N \sin^2 \theta_\odot = \frac{1}{2} + \frac{1}{2} \cos 2\theta_\odot^N \cos 2\theta_\odot$$

if  $\theta_\odot < \pi/4$   
 $\langle P_{ee} \rangle \geq \sin^2 \theta_\odot$

if  $\theta_\odot > \pi/4$   
 $\langle P_{ee} \rangle \geq \frac{1}{2}(1 + \cos^2 2\theta_\odot) \geq \frac{1}{2}$

SNO:  $\langle P_{ee} \rangle_{\text{day}} = 0.347 \pm 0.038$

Solar Hierarchy  
Determined !!!

# Day/Night Asymmetry:

$$\sin^2 \theta_{\odot} \rightarrow \sin^2 \theta_{\oplus} = \sin^2 \theta_{\odot} + \frac{1}{2} \sin^2 2\theta_{\odot} \left( \frac{A_{\oplus}}{\delta m_{\odot}^2} \right) \text{ in the earth.}$$

$A=2(D-N)/(D+N)$  expected to be few %

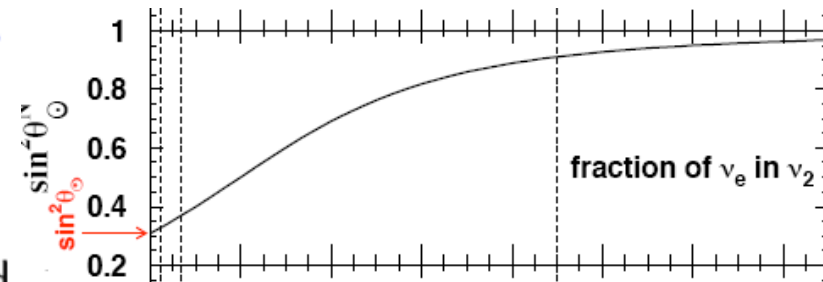
	Amplitude fit		separate D, N: (D-N)/((D+N)/2)
	$\Delta m$	$\Delta m$	
SK-I	-2.0±1.8±1.0%	-1.9±1.7±1.0%	-2.1±2.0±1.3%
SK-II	-4.4±3.8±1.0%	-4.4±3.6±1.0%	-5.5±4.2±3.7%
SK-III	-4.2±2.7±0.7%	-3.8±2.6±0.7%	-5.9±3.2±1.3%
SK-IV	-3.6±1.6±0.6%	-3.3±1.5±0.6%	-4.9±1.8±1.4%
comb	<b>-3.3±1.0±0.5%</b>	<b>-3.1±1.0±0.5%</b>	<b>-4.1±1.2±0.8%</b>
non-zero signif.	3.0 $\sigma$	2.8 $\sigma$	2.8 $\sigma$

## Spectral Distortion:

A characteristic of matter effects is that the Fraction of  $\nu_2$  is energy dependent .

**Smaller at smaller E.**

Implies an increase in  $P_{ee}$  near threshold.



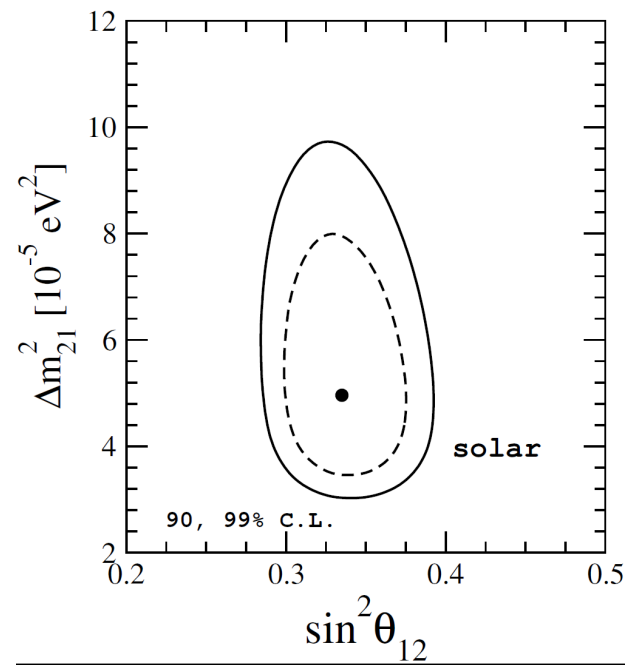
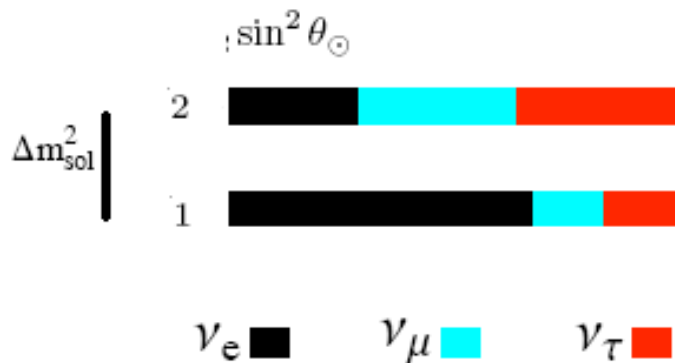
# Summary:

The low energy pp and  ${}^7\text{Be}$  Solar Neutrinos exit the sun as two thirds  $\nu_1$  and one third  $\nu_2$  due to (quasi-) vacuum oscillations.

$$f_1 = 65 \pm 2\%, f_2 = 35 \mp 2\% \text{ with } P_{ee} \approx 0.56$$

The high energy  ${}^8\text{B}$  Solar Neutrinos exit the sun as "PURE"  $\nu_2$  mass eigenstates due to matter effects.

$$f_2 = 91 \pm 2\% \text{ and } f_1 = 9 \mp 2\% \text{ with } P_{ee} \approx 0.35.$$



## Testing solar neutrino oscillations with reactors

$$1 - P(\nu_e \rightarrow \nu_e) = \sin^2 2\theta_{\odot} \sin^2 \Delta$$

$$10^{-5} \text{ eV}^2$$

$$\Delta = \frac{\delta m^2 L}{4E}$$

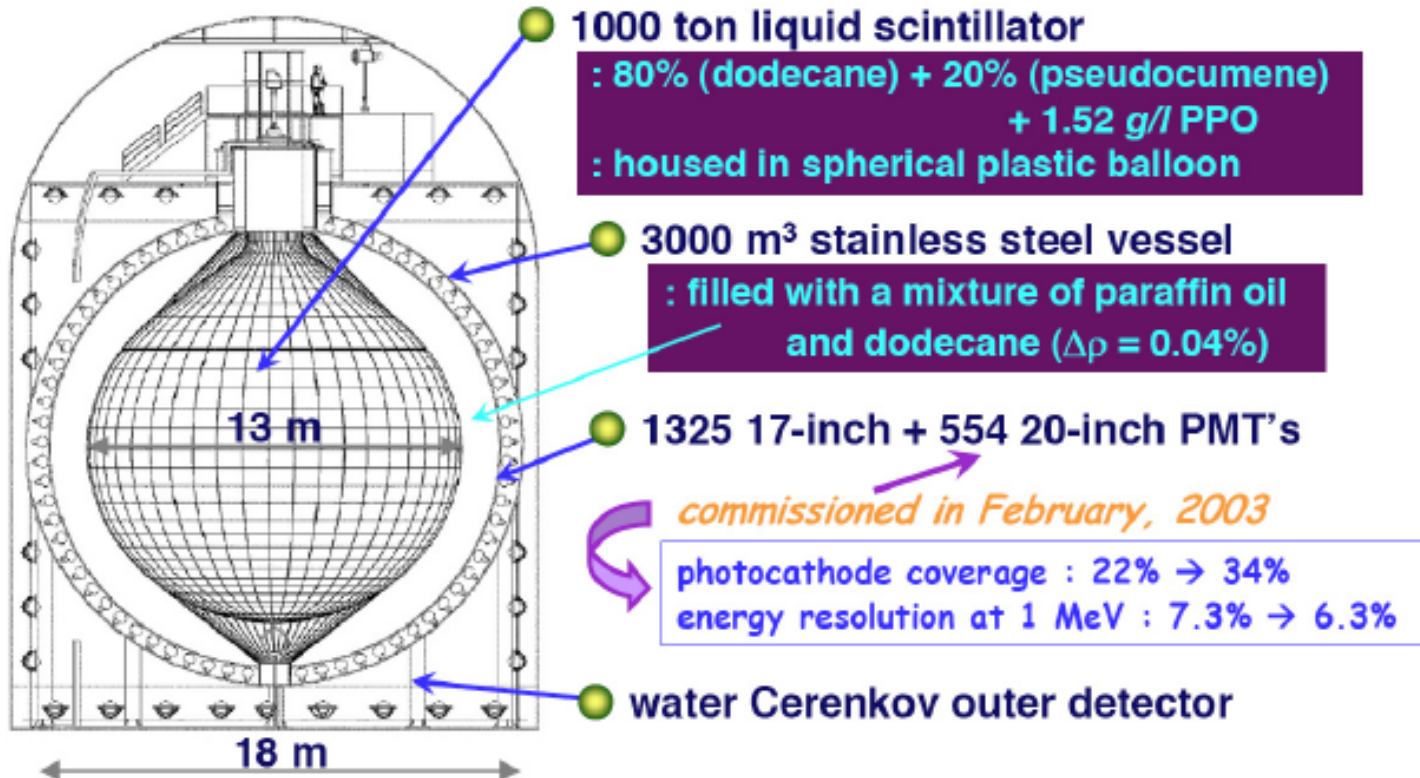
$$10^5 \text{ m} = 100 \text{ km}$$

$$1 \text{ MeV}$$

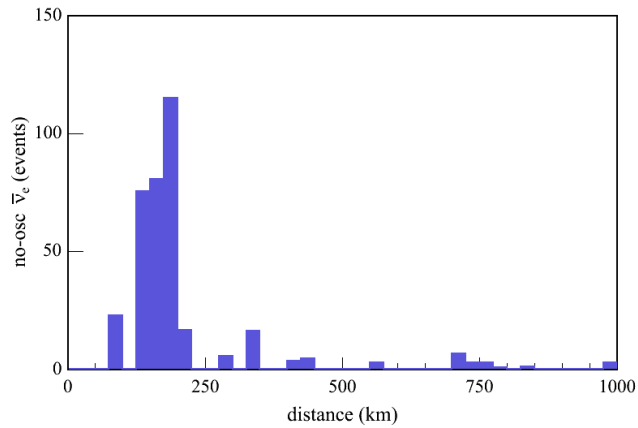
# Reactor Neutrinos

## KamLAND Detector

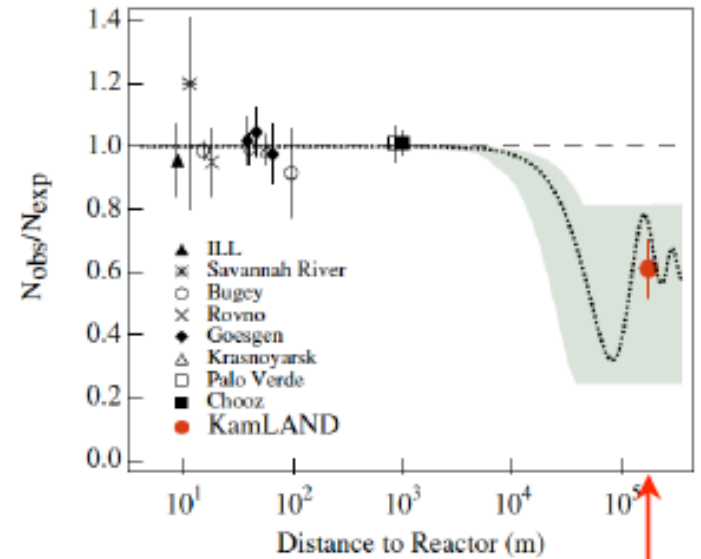
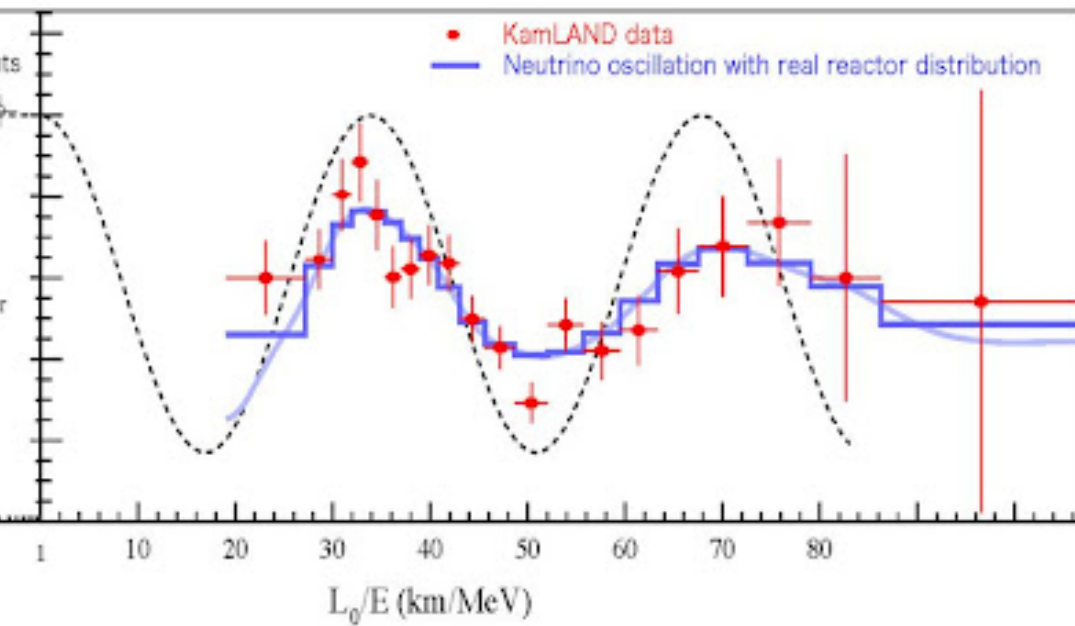
- detector location: old Kamiokande site  
: 2700 m.w.e.



# expected no-oscillation neutrino event rate at KamLAND



180 km



180 km

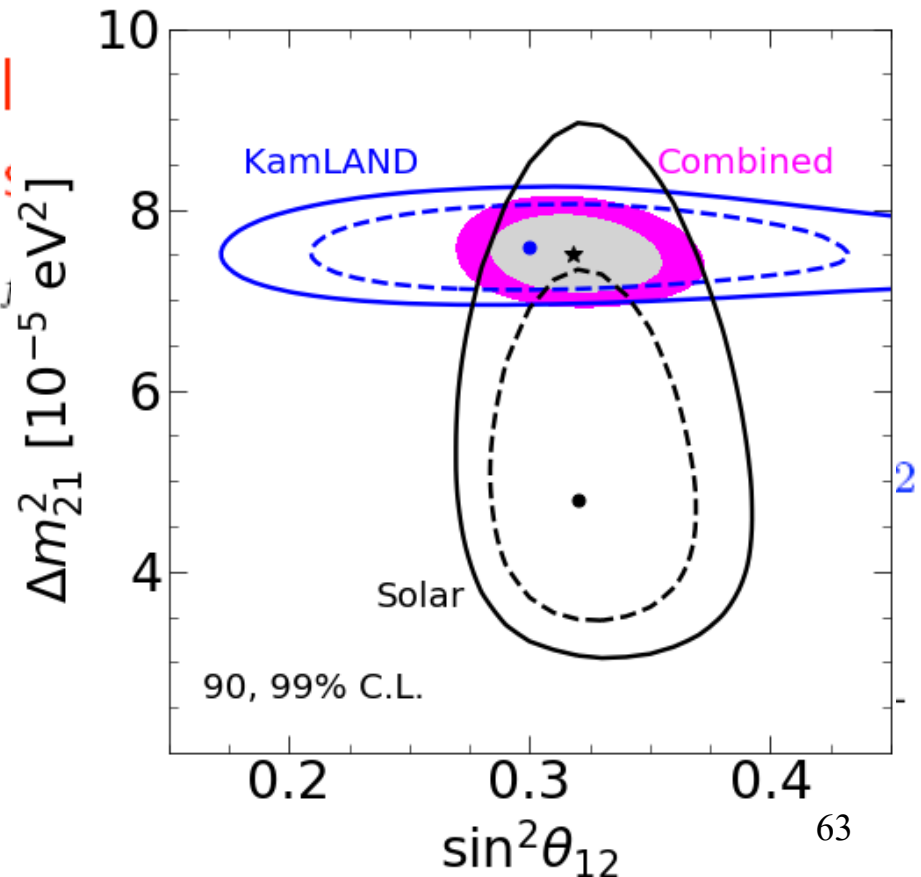
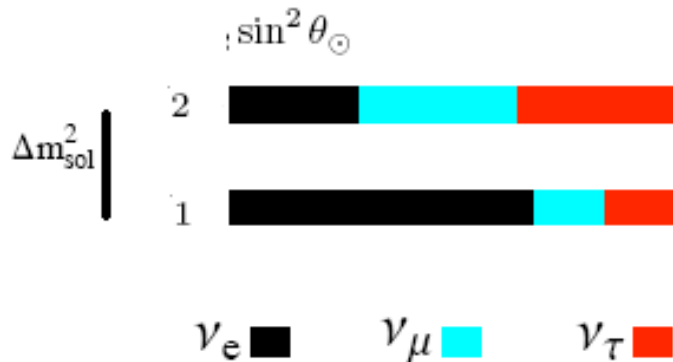
# Summary:

The low energy pp and  ${}^7\text{Be}$  Solar Neutrinos exit the sun as two thirds  $\nu_1$  and one third  $\nu_2$  due to (quasi-) vacuum oscillations.

$$f_1 = 65 \pm 2\%, f_2 = 35 \mp 2\% \text{ with } P_{ee} \approx 0.56$$

The high energy  ${}^8\text{B}$  Sol  
"PURE"  $\nu_2$  mass eigen:

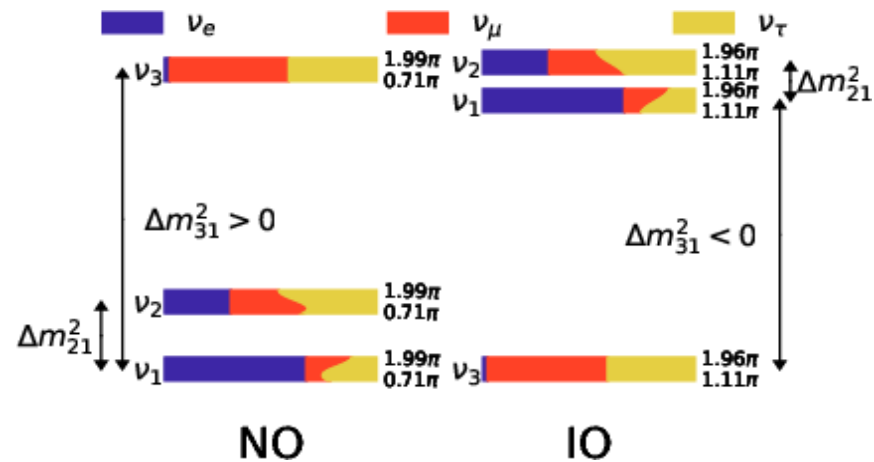
$$f_2 = 91 \pm 2\% \text{ and } j$$



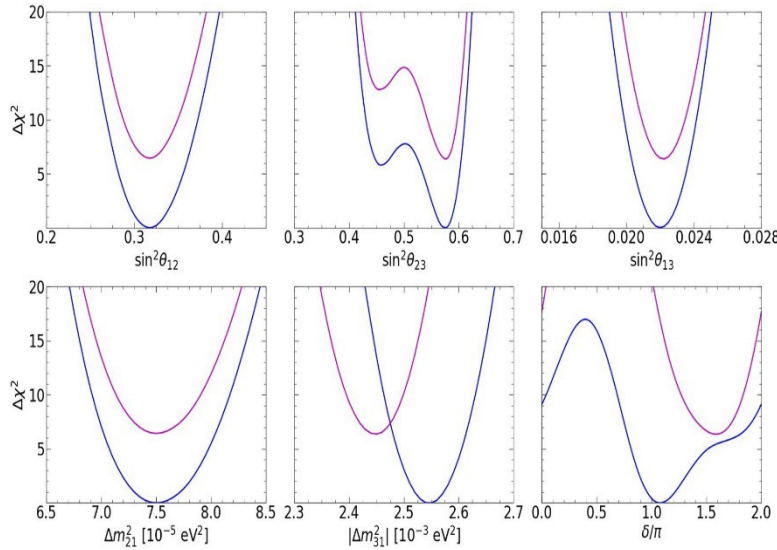
## Three-neutrino oscillations

Neutrino mixing matrix

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$







de Salas et al, JHEP 02 (2021) 071[arXiv:2006.11237]

parameter	best fit $\pm 1\sigma$	$2\sigma$ range	$3\sigma$ range
$\Delta m_{21}^2 [10^{-5} \text{eV}^2]$	$7.50^{+0.22}_{-0.20}$	7.12–7.93	6.94–8.14
$ \Delta m_{31}^2  [10^{-3} \text{eV}^2]$ (NO)	$2.55^{+0.02}_{-0.03}$	2.49–2.60	2.47–2.63
$ \Delta m_{31}^2  [10^{-3} \text{eV}^2]$ (IO)	$2.45^{+0.02}_{-0.03}$	2.39–2.50	2.37–2.53
$\sin^2 \theta_{12} / 10^{-1}$	$3.18 \pm 0.16$	2.86–3.52	2.71–3.69
$\sin^2 \theta_{23} / 10^{-1}$ (NO)	$5.74 \pm 0.14$	5.41–5.99	4.34–6.10
$\sin^2 \theta_{23} / 10^{-1}$ (IO)	$5.78^{+0.10}_{-0.17}$	5.41–5.98	4.33–6.08
$\sin^2 \theta_{13} / 10^{-2}$ (NO)	$2.200^{+0.069}_{-0.062}$	2.069–2.337	2.000–2.405
$\sin^2 \theta_{13} / 10^{-2}$ (IO)	$2.225^{+0.064}_{-0.070}$	2.086–2.356	2.018–2.424
$\delta / \pi$ (NO)	$1.08^{+0.13}_{-0.12}$	0.84–1.42	0.71–1.99
$\delta / \pi$ (IO)	$1.58^{+0.15}_{-0.16}$	1.26–1.85	1.11–1.96

Relative  $1\sigma$  uncertainty

- 2.7% **PRECISION**
- 1.1% **ORDERING?**
- 5.2% **PRECISION**
- 5.1% **OCTANT?**
- 3.0% **PRECISION**
- 20% **CPV?**
- 9.0%



Parameter	Main contribution	Other contributions
$\theta_{12}$	SOL	KamLAND
$\theta_{13}$	REAC	ATM+LBL and SOL+KamLAND
$\theta_{23}$	ATM+LBL	-
$\delta_{CP}$	LBL	ATM
$\Delta m_{21}^2$	KamLAND	SOL
$ \Delta m_{31}^2 $	LBL+ATM+REAC	-
MO	LBL+REAC and ATM	-

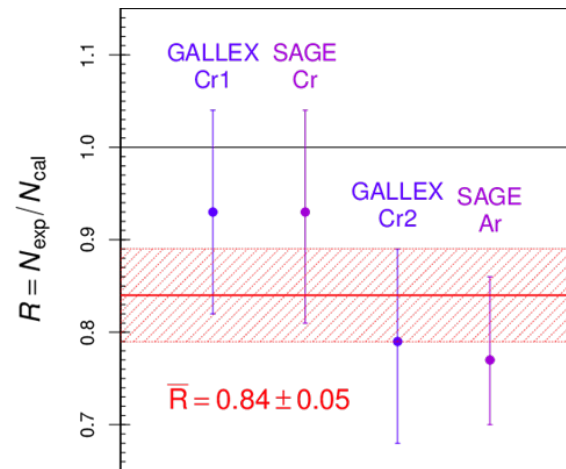
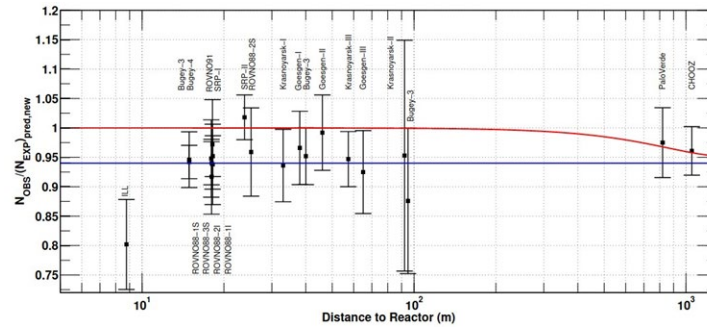
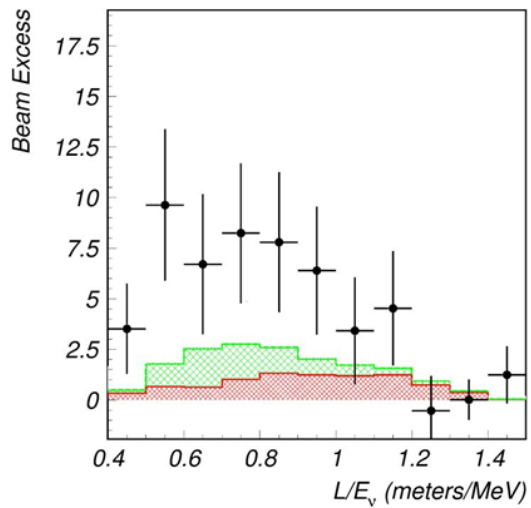
**SOL:** Solar

**ATM:** Amtopsheric neutrinos

**LBL:** Long baseline accelerator experiments

**REAC:** Short-baseline reactor experiments

# Anomalies

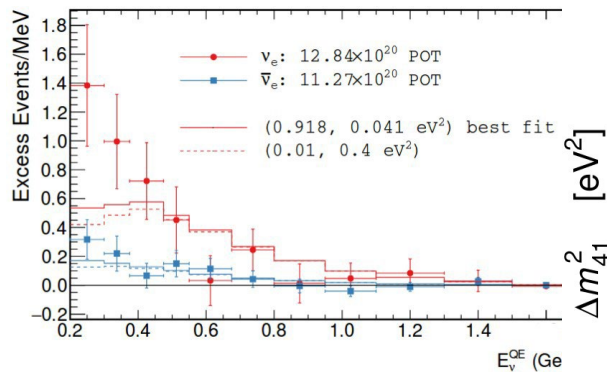


Need extra states !!!

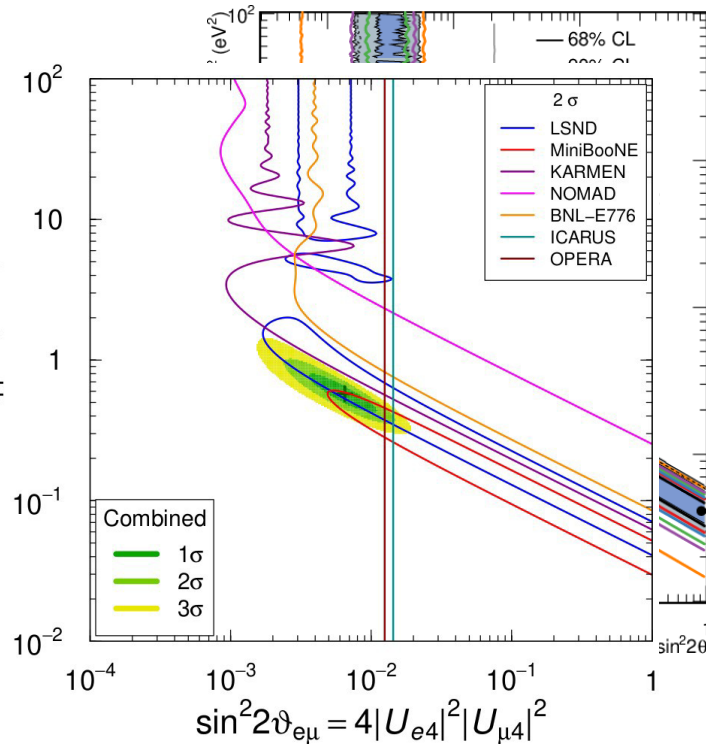
## MiniBooNE

MiniBooNE was built to check the LSND results with a different baseline, but similar L/E

MiniBooNE has no near det



MiniBooNE sees an excess at  $\sim 5\sigma$  at low energies



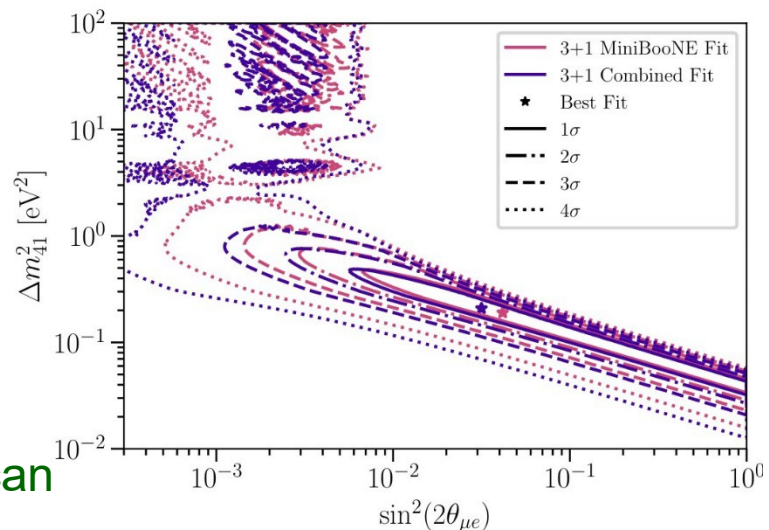
## MicroBooNE

MicroBooNE was built to check the MiniBooNE results!

Looking for signals using several final state channels

The collaboration did not perform an oscillation analysis

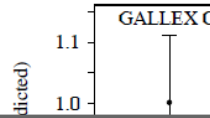
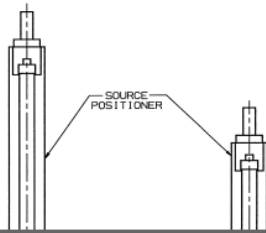
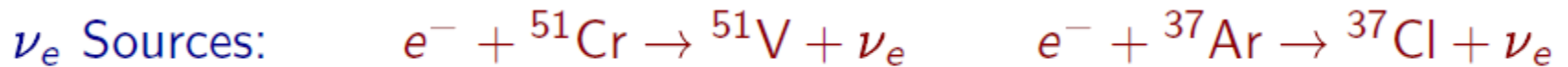
A combined analysis shows that MicroBooNE can not exclude the region of parameter space preferred by MiniBooNE



2201.01724

# The Gallium Anomaly

Tests of the solar neutrino detectors GALLEX (Cr1, Cr2) and SAGE (Cr, Ar)



Deficit could be due to overestimate of  $\sigma(\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-)$

Bahcall:

[Bahcall, PRC 56 (1997) 3391, hep-ph/9710491]

$$\sigma({}^{51}\text{Cr}) = 58.1 \times 10^{-46} \text{ cm}^2 \left( 1_{-0.028}^{+0.036} \right)_{1\sigma} \implies R_{\text{Ga}} = 0.86 \pm 0.05$$

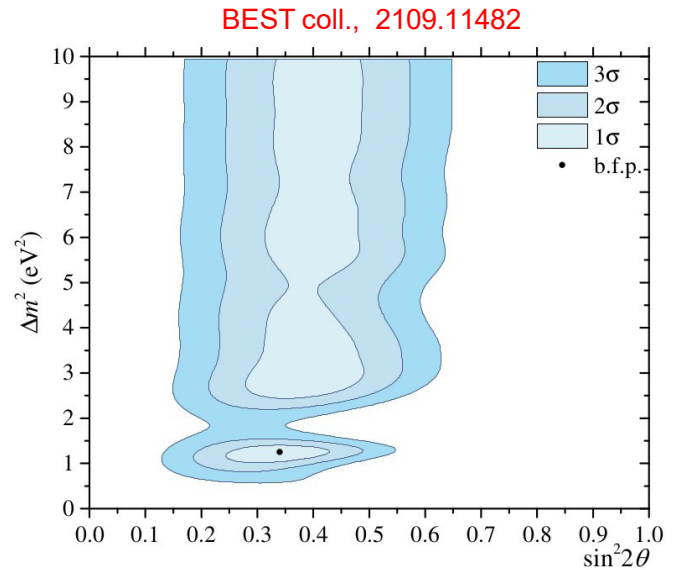
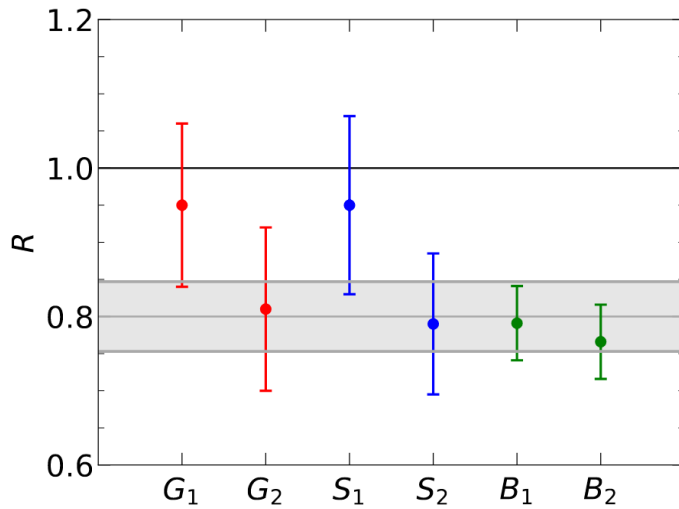
[SAGE, PRC 73 (2006) 045805, nucl-ex/0512041]

Haxton:

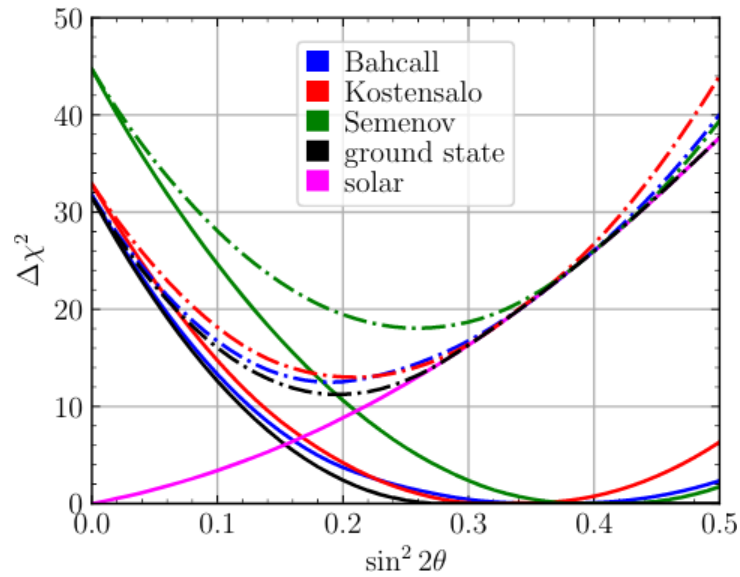
[Hata, Haxton, PLB 353 (1995) 422, nucl-th/9503017; Haxton, PLB 431 (1998) 110, nucl-th/9804011]

$$\sigma({}^{51}\text{Cr}) = 63.9 \times 10^{-46} \text{ cm}^2 (1 \pm 0.106)_{1\sigma} \implies R_{\text{Ga}} = 0.76_{-0.08}^{+0.09}$$

# The Gallium anomaly



The Gallium anomaly is now at more than 5 $\sigma$  significance



Berryman et al, 2111.12530, JHEP 2022

Can not be explained due to cross section mistakes