Neutrino physics II

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The Known Unknowns

Next generation Long-Baseline experiments (such as DUNE) can address three of these questions:

- Are neutrinos Dirac or Majorana ?
 - Is there a connection to the GUT scale?
- Are there light sterile neutrino states ? —— Breaks 3-flavor
 - No clear theoretical guidance on mass scale, M, ... paradigm

What is the neutrino mass hierarchy ?

An important question in flavor physics, e.g. CKM vs. PNMS



- Is CP violated in the leptonic sector ?
 - Are vs key to understanding the matter-antimatter asymmetry?

We determined that $m(K_L) > m(K_S)$ by •Passing kaons through matter (regenerator)

•Beating the unknown sign[m(K_L) –m(K_S)] against the known sign[reg. ampl.]

We will determine the sign(Δm_{31}^2) by

•Passing neutrinos through matter (Earth)

•Beating the unknown sign(Δm_{31}^2) against the known sign[forward $v_e e \longrightarrow v_e e$ ampl]

$$L \approx \frac{2 \pi}{G_F n_e} \approx 1.16 \ 10^4 \ \text{km} \left(\frac{1.69 \ 10^{24} \ cm^3}{n_e}\right)$$

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In principle, it is straightforward

★ CPV ⇒ different oscillation rates for \mathbf{V} s and \mathbf{V} s $P(\mathbf{v}_{\mu} \rightarrow \mathbf{v}_{e}) - P(\overline{\mathbf{v}}_{\mu} \rightarrow \overline{\mathbf{v}}_{e}) = 4s_{12}s_{13}c_{13}^{2}s_{23}c_{23}\sin\delta$ $\times \left[\sin\left(\frac{\Delta m_{21}^{2}L}{4E}\right) \times \sin\left(\frac{\Delta m_{23}^{2}L}{4E}\right) \times \sin\left(\frac{\Delta m_{31}^{2}L}{4E}\right)\right]$

★ Requires $\{\theta_{12}, \theta_{13}, \theta_{23}\} \neq \{0, \pi\}$

- now know that this is true, $\theta_{13} \approx 9^{\circ}$
- but, despite hints, don't yet know "much" about δ

★ So "just" measure $P(v_{\mu} \rightarrow v_{e}) - P(\overline{v}_{\mu} \rightarrow \overline{v}_{e})$? ★ Not quite, there is a complication...

Neutrino Oscillations in Matter

 Accounting for this potential term, gives a Hamiltonian that is not diagonal in the basis of the mass eigenstates

$$\mathcal{H}\begin{pmatrix} |\mathbf{v}_1\rangle\\ |\mathbf{v}_2\rangle\\ |\mathbf{v}_3\rangle \end{pmatrix} = i\frac{\mathrm{d}}{\mathrm{d}t}\begin{pmatrix} |\mathbf{v}_1\rangle\\ |\mathbf{v}_2\rangle\\ |\mathbf{v}_3\rangle \end{pmatrix} = \begin{pmatrix} E_1 & 0 & 0\\ 0 & E_2 & 0\\ 0 & 0 & E_3 \end{pmatrix} \begin{pmatrix} |\mathbf{v}_1\rangle\\ |\mathbf{v}_2\rangle\\ |\mathbf{v}_3\rangle \end{pmatrix} + V|\mathbf{v}_e\rangle \longleftarrow \mathsf{ME}$$

★ Complicates the simple picture !!!!

$$P(v_{\mu} \rightarrow v_{e}) - P(\overline{v}_{\mu} \rightarrow \overline{v}_{e}) =$$

$$ME \quad \frac{16A}{\Delta m_{31}^{2}} \sin^{2} \left(\frac{\Delta m_{31}^{2}L}{4E}\right) c_{13}^{2} s_{13}^{2} s_{23}^{2} (1 - 2s_{13}^{2})$$

$$ME \quad -\frac{2AL}{E} \sin \left(\frac{\Delta m_{31}^{2}L}{4E}\right) c_{13}^{2} s_{13}^{2} s_{23}^{2} (1 - 2s_{13}^{2})$$

$$CPV \quad -8 \frac{\Delta m_{21}^{2}L}{2E} \sin^{2} \left(\frac{\Delta m_{31}^{2}L}{4E}\right) \sin \delta : s_{13} c_{13}^{2} c_{23} s_{23} c_{12} s_{12}$$

$$with A = 2\sqrt{2}G_{F}n_{e}E = 7.6 \times 10^{-5} eV^{2} \cdot \frac{\rho}{g cm^{-3}} \cdot \frac{E}{GeV}$$

Experimental Strategy

- ★ Keep L small (~200 km): so that matter effe
 - First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \Longrightarrow \quad E_{\nu} < 1 \, \text{GeV}$$



Want high flux at oscillation maximum

Off-axis beam: narrow range of neutrino energies

★ Make L large (>1000 km): measure the matter effects (i.e. MH)

• First oscillation maximum:

OR:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \Longrightarrow \quad E_{\nu} > 2 \,\mathrm{GeV}$$

Unfold CPV from Matter Effects through E dependence

On-axis beam: wide range of neutrino energies





Non unitarity



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Non standard neutrino interactions

They can be described by effective four-fermion operators of the form

$$2\sqrt{2}G_{F}\varepsilon_{\alpha\beta}\left(\overline{\nu}_{\beta}\gamma^{\mu}P_{L}l_{\alpha}\right)\left(\overline{f}\gamma_{\mu}P_{L,R}f'\right)$$

normalizing the operator with the Fermi constant

$$\mathcal{E}_{\alpha\beta} = \frac{M_{W}^{2}}{M_{NSNI}^{2}}$$

NSNI can appear at every step. It is therefore necessary to break down the analysis in three stages

- the production process
- the time evolution
- the detection process

We are left "only" with neutral current NSNI

$$2\sqrt{2}G_{F}\varepsilon_{\alpha\beta}\left(\overline{\nu}_{\beta}\gamma^{\mu}P_{L}\nu_{\alpha}\right)\left(\overline{f}\gamma_{\mu}P_{L,R}f\right)$$

$$i\frac{d}{dt}\begin{pmatrix}\nu_e\\\nu_\mu\\\nu_\tau\end{pmatrix} = \frac{1}{2E} \left[U \begin{pmatrix} 0 & 0 & 0\\ 0 & \Delta m_{21}^2 & 0\\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix} U^{\dagger} + a \begin{pmatrix} 1+\varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau}\\\varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau}\\\varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix}\nu_e\\\nu_\mu\\\nu_\tau\end{pmatrix}$$

$$H = \frac{1}{2E} \left[U \begin{pmatrix} 0 \\ \Delta m_{32}^2 \end{pmatrix} U^{\dagger} + a \begin{pmatrix} \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix} \right] \qquad a \equiv 2\sqrt{2}G_F n_e E$$

$$P(v_{\mu} \rightarrow v_{\mu}) = 1 - \sin^2(2\theta) \sin^2(1.27\Delta m^2 L/E)$$





$$\label{eq:expansion} \begin{split} \epsilon_{\mu\tau} \mbox{ changes the disspearence} \\ \mbox{probability at large energies} \\ \mbox{shifts the position of the} \\ \mbox{minimum in energy} \end{split}$$



 $\epsilon_{\tau\tau}$ modifies the dissapearence probability near the first oscillation minimum, especially the depth of the minimum

 sin^2 (2 θ_{23})

CPT violation



$$\frac{\mid m(K_0) - m(\overline{K_0}) \mid}{m_{K-av}} < 10^{-18}$$
$$m_{K-av} \approx \frac{1}{2} \ 10^9 \ \text{eV}$$

 $(m(K_0) - m(\overline{K_0}))(m(K_0) + m(\overline{K_0})) < 2 \ 10^{-18} m_{K-av}^2$

$$\left|m^{2}(K_{0}) - m^{2}\left(\overline{K_{0}}\right)\right| \approx \frac{1}{2} \text{ eV}^{2}$$

CPT tests



Several experiments at the Antiproton Decelerator and ELENA(Extra Low Energy Antiproton) @CERN

E. Widmann, arXiv:2111.04056 [hep-ex]

Current bounds

- We can use data of various experiments to calculate the neutrino and antineutrino oscillation parameters:
 - Solar neutrino data: $_{ heta_{12},\,\Delta m^2_{21},\, heta_{13}}$
 - Neutrino mode in LBL: $heta_{23}, \Delta m^2_{31}, heta_{13}$
 - KamLAND data: $\overline{ heta}_{12},\Delta\overline{m}^2_{21},\overline{ heta}_{13}$
 - SBL reactors: $\overline{ heta}_{13},\Delta\overline{m}_{31}^2$
 - Antineutrino mode in LBL: $\overline{\theta}_{23}, \Delta \overline{m}_{31}^2, \overline{\theta}_{13}$
- No bounds on CP-phases since all values are allowed

Parameter	Main contribution	Other contributions
θ_{12}	SOL	KamLAND
θ_{13}	REAC	ATM LBL and SOL+KamLAND
θ_{23}	ATM+LBL	-
δ_{CP}	LBL	ATM
Δm^2_{21}	KamLAND	SOL
$ \Delta m_{31}^2 $	LBL+ATM+REAC	-
MO	LBL+REAC and ATM	-

SOL: Solar ATM: Amtopsheric neutrinos LBL: Long baseline accelerator experiments REAC: Short-baseline reactor experiments

Current bounds

• We use the same data (except atmospheric neutrinos) as for the global fit to obtain

$$\begin{split} |\Delta m_{21}^2 - \Delta \overline{m}_{21}^2| &< 4.7 \times 10^{-5} \text{ eV}^2, \\ |\Delta m_{31}^2 - \Delta \overline{m}_{31}^2| &< 2.5 \times 10^{-4} \text{ eV}^2, \\ |\sin^2 \theta_{12} - \sin^2 \overline{\theta}_{12}| < 0.14, \\ |\sin^2 \theta_{13} - \sin^2 \overline{\theta}_{13}| < 0.029, \\ |\sin^2 \theta_{23} - \sin^2 \overline{\theta}_{23}| < 0.19. \end{split}$$



T2K results, a hint ?

- T2K studied neutrino and anti-neutrino oscillations separated
 - $\sin^2 \theta_{23} = 0.51, \quad \Delta m_{32}^2 = 2.53 \times 10^{-3} \text{eV}^2$ $\sin^2 \overline{\theta}_{23} = 0.42, \quad \Delta \overline{m}_{32}^2 = 2.55 \times 10^{-3} \text{eV}^2$
- · Results are consistent with
- · CPT-conservation



- In experiments and in fits normally you assume CPT-conservation
- If CPT is not conserved this leads to impostor (fake) solutions in the fits
- . To perform the standard fit you would calculate $\chi^2_{\rm total} = \chi^2(\nu) + \chi^2(\overline{\nu})$

and then minimize this function



Obtaining impostor solutions

. This was done for $\sin^2(\theta_{23}) = 0.5, \, \sin^2(\overline{\theta}_{23}) = 0.43$



Combined best fit value is now $\sin^2(\theta_{23}^{\text{comb}}) = 0.467$

Real true values are disfavored at close to 30 and more 50 confidence levels



G.B., C. Ternes and M. Tortola, JHEP 07 (2020) 155

 $\theta_{13} \neq \theta_{13}$ can account for different behavior in neutrino and antineutrino channels



Distinguishing CPT violation from NSNI

The muon neutrino survival probability in matter can be written as

$$P(\nu_{\mu} \rightarrow \nu_{\mu}) = 1 - \sin^{2}2\theta_{\nu}\sin^{2}\left(\frac{\Delta m_{\nu}^{2}L}{4E}\right).$$
in
matter

$$\Delta m_{\nu}^{2}\cos 2\theta_{\nu} = \Delta m^{2}\cos 2\theta + \epsilon_{\tau\tau}A, \qquad \Delta m_{\bar{\nu}}^{2}\cos 2\theta_{\bar{\nu}} = \Delta m^{2}\cos 2\theta - \epsilon_{\tau\tau}A,$$

$$\Delta m_{\nu}^{2}\sin 2\theta_{\nu} = \Delta m^{2}\sin 2\theta + 2\epsilon_{\mu\tau}A. \qquad \Delta m_{\bar{\nu}}^{2}\sin 2\theta_{\bar{\nu}} = \Delta m^{2}\sin 2\theta - 2\epsilon_{\mu\tau}A.$$

$$4\Delta m^{4} = \Delta m_{\nu}^{4} + \Delta m_{\bar{\nu}}^{4} + 2\Delta m_{\nu}^{2}\Delta m_{\bar{\nu}}^{2}\cos(2\theta_{\nu} - 2\theta_{\bar{\nu}})$$

$$\sin^{2}(2\theta) = \frac{(\Delta m_{\nu}^{2}\sin(2\theta_{\nu}) + \Delta m_{\bar{\nu}}^{2}\sin(2\theta_{\bar{\nu}}))^{2}}{\Delta m_{\nu}^{4} + \Delta m_{\bar{\nu}}^{4} + 2\Delta m_{\nu}^{2}\Delta m_{\bar{\nu}}^{2}\cos(2\theta_{\nu} - 2\theta_{\bar{\nu}})}$$

$$2\epsilon_{\tau\tau}^{m}A = \Delta m_{\nu}^{2}\cos(2\theta_{\nu}) - \Delta m_{\bar{\nu}}^{2}\sin(2\theta_{\bar{\nu}})$$

$$2\epsilon_{\mu\tau}^{m}A = \Delta m_{\nu}^{2}\sin(2\theta_{\nu}) - \Delta m_{\bar{\nu}}^{2}\sin(2\theta_{\bar{\nu}})$$

G.B., C. Ternes and M. Tortola, Eur.Phys.J.C 79 (2019) 5, 390







 $P(v_{\mu} \rightarrow v_{\mu}) = 1 - \sin^2(2\theta) \sin^2(1.27\Delta m^2 L/E)$

Neutrinos,

In and Beyond the Standard Model:

NEUTRINO MASS:

$$\delta m_{atm}^2 = 2.7^{+0.4}_{-0.3} \times 10^{-3} eV^2$$

 $L/E = 500 \ km/GeV$

 $\delta m^2_{solar} = 8.0\pm0.4\times10^{-5} eV^2$

$$L/E = 15 \ km/MeV$$





Masses:



States 1 and 2 are ν_e rich.





KATRIN Task:

Investigate Tritium endpoint with sub-eV precision

KATRIN Aim:

Improve m, sensitivity 10 x (2eV → 0.2eV)

Requirements:

- Strong source
- Excellent energy resolution
- Small endpoint energy E₀
- Long term stability
- Low background rate



Decay Rate:

 $|\langle^{3}He + e^{-} + \bar{\nu}|T|^{3}H\rangle|^{2} \sim pE(E_{0} - E)\sum_{k}|U_{ek}|^{2}\sqrt{(E_{0} - E)^{2} - m_{k}^{2}}$

if ν 's quasi-degenerate: $m_1 \approx m_2 \approx m_3$ $|\langle {}^3He + e^- + \bar{\nu} |T|^3H \rangle |^2 \sim pE(E_0 - E)\sqrt{(E_0 - E)^2 - m_
u^2}$





CMB: neutrino mass

Spherical harmonics decomposition:

With expansion coefficients:

$$T(\hat{n}) = \sum_{\ell=0}^{\ell_{\max}} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell m}(\hat{n}) \qquad \qquad a_{\ell m} = \int_{4\pi} T(\hat{n}) Y_{\ell m}^{*}(\hat{n}) d\Omega$$

The angular power spectrum measures the amplitude of the expansion coefficients as a function of the wavelength:



CMB: a lot to learn about....



How structures form...



How structures form...

Photons freestream: Inhomogeneities turn into anisotropies



Initial fluctuations seeded

Large scale structure

Matter power spectrum suppression



$\boldsymbol{\Sigma}\boldsymbol{m}_{v}$

Planck TTTEEE+lowT+lowE+lensing $\sum m_{\nu} < 0.24 \text{ eV } 95\%$ CL + BAO $\sum m_{\nu} < 0.12 \text{ eV } 95\%$ CL + BAO + SNIa $\sum m_{\nu} < 0.11 \text{ eV } 95\%$ CL + SDSS-IV (BAO + RSD) + SNIa + BAO + SNIa + Ho=73.45 ±1.66 km/s/Mpc

 $\sum m_{\nu} < 0.0970 \text{ eV} 95\% \text{CL}$

What is Fermion Mass ???



A mass can be thought of as a $L \leftrightarrow R$ transition:

 $m \overline{\psi_L} \psi_R + h.c.$

In the SM fermion masses originate in the interaction with the Higgs field:



$$\lambda_f \psi_L \Phi \psi_R + h.c. \rightarrow m_f = \lambda_f v$$

Fermion Masses:



CPT: $e_L \leftrightarrow \bar{e}_R$ and $e_R \leftrightarrow \bar{e}_L$ Mass couples L to R:

 e_L to e_R AND also \overline{e}_R to \overline{e}_L Dirac Mass terms.



A coupling of e_L to \bar{e}_R OR e_R to \bar{e}_L would be (Majorana) mass term but this violates conservation of electric charge! 45

Seesaw / Dirac Neutrinos / Light Sterile Neutrinos



Coupling of

- ν_L to ν_R AND $\bar{\nu}_R$ to $\bar{\nu}_L$ are the Dirac masses.
- ν_L to $\bar{\nu}_R$ forbidden by weak isospin.
- ν_R to $\bar{\nu}_L$ allowed and coefficient is unprotected. ($\rightarrow M$)



Two Majorana neutrinos with masses m_D^2/M and M

Seesaw: Yanagida, Gell-man-Ramond-Slansky

• Coupling of ν_R to $\bar{\nu}_L$ allowed and coefficient is unprotected. $(\rightarrow M)$ Also applies to sterile neutrinos.

Light Sterile Neutrinos and/or Dirac Neutrinos Unexpected!!! 47

The consequences of this alternative are profound:

- Physics beyond the SM at a scale M!
- Majorana fermions carry no conserved charge: L is violated !

$$u_L \to e^{i\alpha} \nu_L$$

does not leave the Majorana mass term invariant.

 \rightarrow Most welcome for baryogenesis: a mechanism to understand the matter-antimatter asymmetry in the Universe emerges naturally \rightarrow Most welcome by string theory: it is difficult to get global U(1) charges conserved



Baryon Asymmetry is created by a Lepton Asymmetry produced by the decays of super heavy Majorana Neutrinos.

$$\frac{\Gamma(N \to l^+ \phi^-) - \Gamma(N \to l^- \phi^+)}{\Gamma(N \to l^+ \phi^-) + \Gamma(N \to l^- \phi^+)}$$

 $\Gamma(N\to l^\pm\phi^\mp)$ depends on the Majorana Phases in the MNS mixing matrix.

$$B_{now} = \frac{1}{2}(B-L) + \frac{1}{2}(B+L) = \frac{1}{2}(B-L)_{ini} = -\frac{1}{2}L_{ini}$$

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Types of see-saw mechanism

Type I see-saw mechanism Type II see-saw mechanism





How Can We Demonstrate That $\overline{v_i} = v_i$?

We assume neutrino interactions are correctly described by the SM. Then the interactions conserve L ($v \rightarrow \ell^-$; $\overline{v} \rightarrow \ell^+$).

An Idea that Does Not Work [and illustrates why most ideas do not work]



The SM weak interaction causes -



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Minor Technical Difficulties

 $\beta_{\pi}(Lab) > \beta_{\nu}(\pi \text{ Rest Frame})$

 $\Rightarrow \frac{E_{\pi}(Lab)}{m_{\pi}} > \frac{E_{\nu}(\pi \text{ Rest Frame})}{m_{\nu_{i}}}$ $\Rightarrow E_{\pi} \text{ (Lab) > 10^{4} TeV} \text{ if } m_{\nu} \sim 1 \text{ eV}$

Fraction of all π -decay that get helicity flipped

$$\approx \left(\frac{m_{\nu}}{E_{\nu} (\pi \text{ Rest Frame})} \right)^2 \sim 10^{-16} \text{ if } m_{\nu} \sim 1 \text{ eV}$$

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> How we can find out ?



SM double weak process

4 body decay: continuos spectrum for the e energy sum



Only allowed for Majorana ν

2 body decay: e energy sum is a delta 54 $\overline{v_i}$ is emitted (RH + O(m_i/E)LH)



effective mass

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Neutrinoless double beta decay

- Most sensitive (terrestrial) probe of the absolute neutrino mass
- Unique way of proving Majorana nature of v
- If Majorana v is the only mechanism, ===>

$$< m >_{\beta\beta} \equiv \left| \sum_{i=1}^{3} m_i U_{ei}^2 \right|$$
$$= \left| m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{2i\beta} + m_3 s_{13}^2 e^{2i(\gamma - \delta)} \right|$$



$$T_{1/2}^{0\nu} = \frac{m_e}{G_{0\nu} M_{\text{nucl}}^2 m_{ee}^2}$$

$$\sum_{i} \underbrace{\overline{v_i} \quad v_i}_{W^- \quad W^-} \underbrace{v_i}_{W^-}$$
Nucl \Rightarrow Nuclear Process \Rightarrow Nucl' 56







- Light Steriles ???
- Mass Hierarchy $m_3 > m_2 > m_1$ OR $m_2 > m_1 > m_3$ using $|U_{e3}|^2 < |U_{e2}|^2 < |U_{e1}|^2$
- Is CP violated ? $\sin \delta \neq 0$
- Mass of Heaviest Neutrino
- Mass of Lightest Neutrino
- New Interactions, Surprises !!!

