



52nd International Symposium on Multiparticle dynamics (ISMD 2023)
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***The p^\uparrow and ${}^3\text{He}^\uparrow$ beam polarization measurements at the RHIC
and future EIC using the Polarized Hydrogen Gas Jet Target***

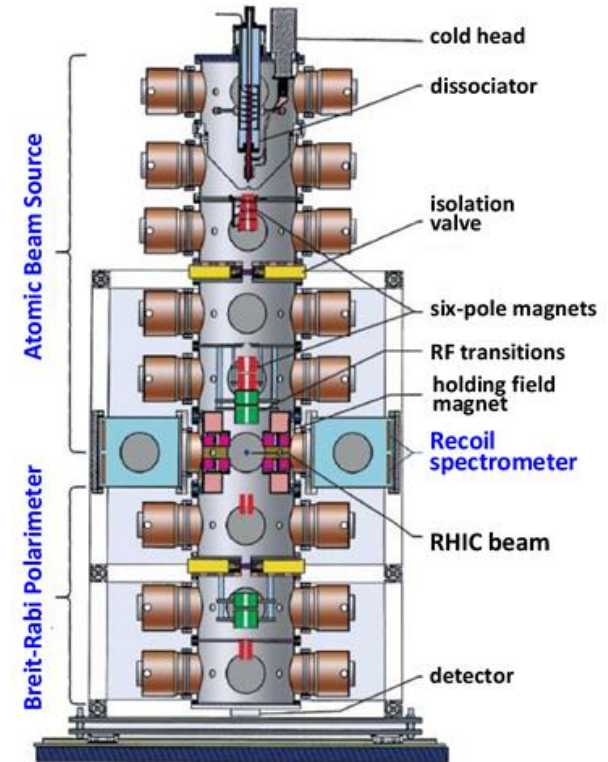
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The Atomic Polarized Hydrogen Gas Jet Target (HJET)

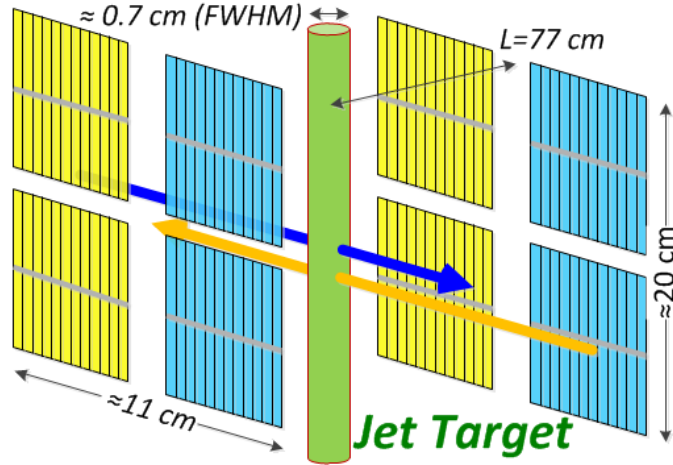
- At RHIC, HJET is utilized to measure absolute polarization of the proton beams.
- For the future EIC, HJET is planned for the proton beam polarimetry with low systematic uncertainties of $\sigma_P/P \lesssim 1\%$.
- HJET is also considered for ^3He beam polarimetry at EIC.
- The jet target polarization is $P_{\text{jet}} \approx 96 \pm 0.1\%$.
- The hydrogen gas target allows us to measure spin asymmetry in CNR region $0.0013 < -t < 0.018 \text{ GeV}^2$ (where analyzing power is well predictable) with low background and low systematic uncertainties.
- Actually, HJET is a standalone fixed target experiment to measure $p^\uparrow p$ and $p^\uparrow A$ transverse analyzing powers $A_N(t)$. The measurements are carried out in parasitic mode during RHIC operations with proton p or ion A beams.

[A. Zelenski et al., Nucl. Instrum. Meth. A 536, 248 \(2005\)](#)



The Atomic Polarized Hydrogen Gas Jet Target (HJET)

[A. P. et al., Nucl. Instrum. Meth. A 976, 164261 \(2020\)](#)



- The vertically polarized proton beams are scattered from the vertically polarized gas jet target.
- The recoil protons are detected in the vertically oriented Si strip detectors.
- For elastic events $\frac{z_R - z_{jet}}{L} \approx \sqrt{\frac{T_R}{2m_p}} \times \left(1 + \frac{m_p}{E_{beam}}\right)$
- $T_R = -t/2m_p$ is (measured) kinetic energy of the recoil proton

$$a_{beam}(T_R) = \frac{N_R^\uparrow - N_R^\downarrow}{N_R^\uparrow + N_R^\downarrow} = A_N(t)P_{beam}$$

$$a_{jet}(T_R) = \frac{N_R^+ - N_R^-}{N_R^+ + N_R^-} = A_N(t)P_{jet}$$

$$P_{beam} = \frac{\langle a_{beam}(T_R) \rangle}{\langle a_{jet}(T_R) \rangle} P_{jet}$$

The beam polarization can be precisely determined with no detailed knowledge of the analyzing power

Typical results for an 8-hour store in RHIC Run 17 (255 GeV)

$$P_{beam} \approx (56 \pm 2.0_{stat} \pm 0.3_{syst})\%$$

$$\sigma_P^{syst}/P_{beam} \lesssim 0.5\%$$

Elastic single spin proton-proton analyzing power $A_N(s, t)$

For CN1 elastic scattering, analyzing power is defined by the interference of the *spin-flip* $\phi_5(s, t)$ and *non-flip* $\phi_+(s, t)$ helicity amplitudes:

$$A_N(s, t) \approx -2 \operatorname{Im}(\phi_5^* \phi_+) / |\phi_+|^2$$

$$\phi = \phi^h + \phi^{\text{em}} e^{i\delta_C}$$

B. Kopeliovich and L. Lapidus, *Yad. Fiz.* 19, 218 (1974)
 N. Buttimore et al., *Phys. Rev. D* 18, 694 (1978)
 N. Buttimore et al., *Phys. Rev. D* 59, 114010 (1999)

$$A_N(t) = \frac{2 \operatorname{Im}[\phi_5^{\text{em}} \phi_+^h + \phi_5^h \phi_+^{\text{em}} + \phi_5^h \phi_+^h]}{|\phi_+^h + \phi_+^{\text{em}} e^{i\delta_C}|^2}$$

$$\kappa_p = \mu_p - 1 = 1.793$$

$$t_c = -8\pi\alpha/\sigma_{\text{tot}} = -1.86 \times 10^{-3} \text{ GeV}^2$$

$$\rho = -0.079$$

$$\delta_C = 0.024 + \alpha \ln t_c/t$$

(for 100 GeV beam)

$$= \frac{\sqrt{-t} \kappa_p t_c/t - 2I_5 t_c/t - 2R_5}{m_p (t_c/t)^2 - 2(\rho + \delta_C) t_c/t + 1}$$

The primary goal of the experimental study of the elastic pp analyzing power in the CN1 region is an evaluation of the hadronic spin-flip amplitude, parameterized by

$$r_5 = \frac{m_p \phi_5^{\text{had}}(s, t)}{\sqrt{-t} \operatorname{Im} \phi_+^{\text{had}}(s, 0)} = R_5 + iI_5, \quad |r_5| \sim 2\%$$

$$\phi_5^{\text{had}}(s, t) = \frac{\sqrt{-t}}{m_p} \frac{r_5}{i + \rho} \phi_+^{\text{had}}(s, 0)$$

Some important corrections to $A_N(t)$

- The following parametrization of $A_N(t)$ [N. Buttimore et al., Phys. Rev. D 59, 114010 (1999)] was standardly used in experimental data analysis's

$$A_N(t) = \frac{\sqrt{-t} [\kappa_p(1-\rho\delta_C)-2(I_5-R_5\delta_C)]t_c/t - 2(R_5-\rho I_5)}{m_p \frac{(t_c/t)^2 - 2(\rho+\delta_C)t_c/t + 1 + \rho^2}{}}$$

- However, it was pointed out [B. Kopeliovich and M. Krelina (2017)] that
 - ✓ The difference between hadronic $B = 11.2 \text{ GeV}^{-2}$ ($p_{Lab} = 100 \text{ GeV}$) and electromagnetic $B_{em} = \frac{2}{3}\langle r_p^2 \rangle = 12.1 \text{ GeV}^{-2}$ slopes was neglected. The following correction may be needed

$$t_c/t \rightarrow t_c/t + (B_{em} - B)/2$$

- ✓ The electromagnetic form factor was determined in ep scattering. For pp scattering it is modified by the absorption effect $B_{em} \rightarrow B_{em} + a$, which results in

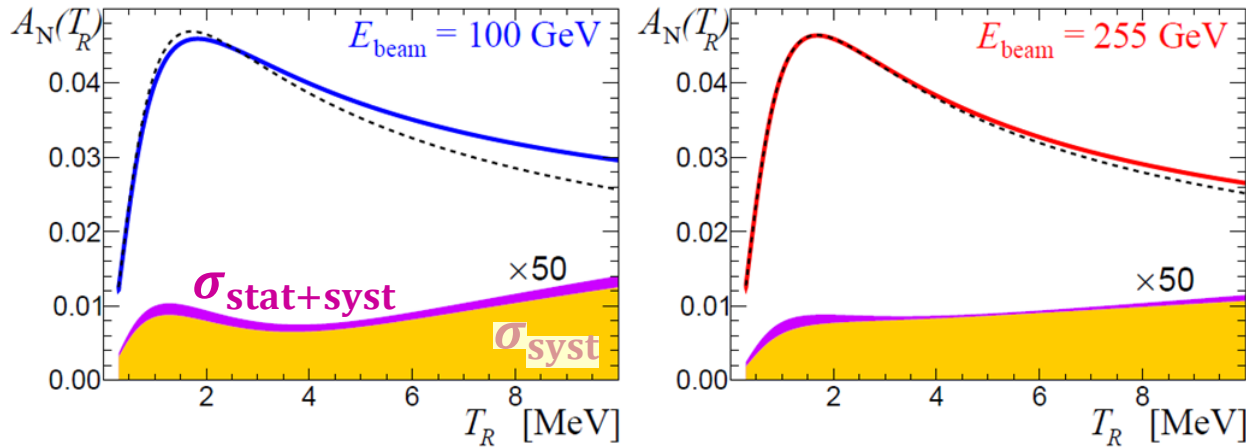
$$R_5 \rightarrow R_5 - \frac{\alpha \kappa_p}{2} \frac{B}{B+B_{em}^{sf}} \approx R_5 - 0.003$$

The corrections

- are essential for the HJET experimental accuracy.
- may alter interpretation of the STAR results for elastic pp $A_N(t)$ at $\sqrt{s} = 200 \text{ GeV}$.
- are critically important for understanding p^\uparrow Au analyzing power.

Measurements of $A_N(t)$ in Runs 15 (100 GeV) & 17 (255 GeV)

[A.P. et al., Phys. Rev. Lett. **123**, 162001 \(2019\)](#)



- The filled areas specify 1σ experimental uncertainties, **stat.+syst.**, scaled by **x50**.
- The dashed curves are for the leading order approximation predicted in 1974.

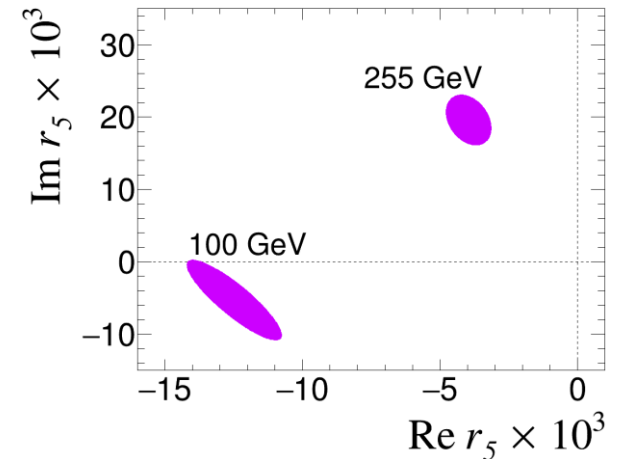
The measured hadronic spin flip amplitudes:

$$\sqrt{s} = 13.76 \text{ GeV} \quad R_5 = (-12.5 \pm 0.8_{\text{stat}} \pm 1.5_{\text{syst}}) \times 10^{-3}$$

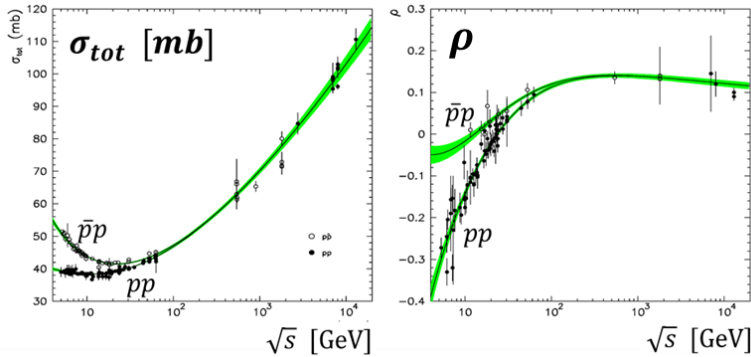
$$I_5 = (-5.3 \pm 2.9_{\text{stat}} \pm 4.7_{\text{syst}}) \times 10^{-3}$$

$$\sqrt{s} = 21.92 \text{ GeV} \quad R_5 = (-3.9 \pm 0.5_{\text{stat}} \pm 0.8_{\text{syst}}) \times 10^{-3}$$

$$I_5 = (19.4 \pm 2.5_{\text{stat}} \pm 2.5_{\text{syst}}) \times 10^{-3}$$



Incorporating spin dependence in a Regge pole analysis



$$R^{\pm}(s) \propto (1 \pm e^{-i\pi\alpha_{R^{\pm}}}) \left(\frac{s}{4m_p^2} \right)^{\alpha_{R^{\pm}}-1}$$

$$P(s) \propto \pi\alpha_F \ln \frac{s}{4m_p^2} + i \left(1 + \alpha_F \ln^2 \frac{s}{4m_p^2} \right)$$

$$\alpha_{R^+} = 0.65, \quad \alpha_{R^-} = 0.45, \quad \alpha_F = 0.009$$

D.A. Fagundes et. al., Int. J. Mod. Phys. A 32, 1750184 (2017)

$$\sigma_{tot}(s) \times [i + \rho(s)] = P(s, \alpha_F) + R^+(s, \alpha_{R^+}) + R^-(s, \alpha_{R^-})$$



$$\sigma_{tot}(s) \times r_5(s) = f_5^P P(s, f_F) + f_5^+ R^+(s, \alpha_{R^+}) + f_5^- R^-(s, \alpha_{R^-})$$

The HJET $r_5(s)$ data fit:

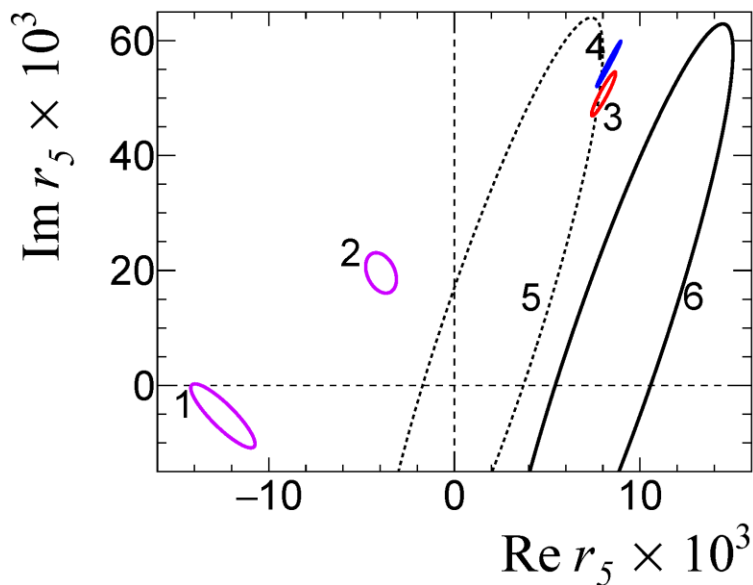
$$\chi^2/\text{ndf} = 0.7/1$$

$$f_5^P = 0.054 \pm 0.002_{\text{stat}} \pm 0.003_{\text{sys}}$$

Pomeron single spin-flip coupling is well determined and found to be significantly different from zero.

- Although, the model used to fit $r_5(s)$ is oversimplified, it is in good consistent with the HJET measurements.
- Any improvements cannot be statistically significant if only HJET data is used.
- The HJET results cannot be explained by Regge poles $R^{\pm}(s)$ only.

Extrapolation to $\sqrt{s} = 200$ GeV



1- σ contours (stat+syst)

1. HJET, $\sqrt{s} = 13.76$ GeV
2. HJET, $\sqrt{s} = 21.92$ GeV
3. Extrapolation (Froissaron) to 200 GeV
4. Extrapolation (simple pole) to 200 GeV
5. STAR, $\sqrt{s} = 200$ GeV (as published)
6. STAR, $\sqrt{s} = 200$ GeV (corrected, used in the fit)

- **Froissaron** ($\alpha_{R^+} = 0.65$, $\alpha_{R^-} = 0.45$, $\alpha_F = 0.009$)

$$\chi^2/\text{ndf} = 0.7/1$$

HJET

$$\chi^2/\text{ndf} = 4.8/3$$

HJET+STAR

- **Simple pole** ($\alpha_{R^\pm} = 0.5$, $\alpha_P = 1.1$)

$$\alpha_P = 1.10_{-0.03}^{+0.04} \quad \chi^2/\text{ndf} = 0/0 \quad \text{HJET}$$

$$\alpha_P = 1.13_{-0.03}^{+0.04} \quad \chi^2/\text{ndf} = 2.8/2 \quad \text{HJET+STAR}$$

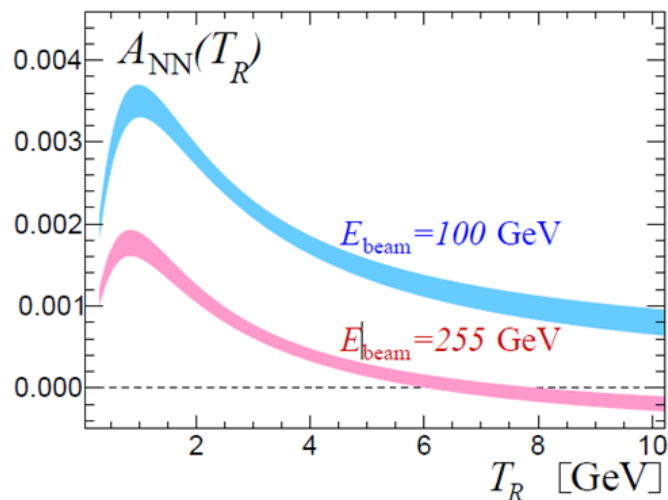
$$\alpha_P^{\text{nf}} = 1.096_{-0.009}^{+0.012} \quad (\text{global fit of the unpolarized data})$$

- Some discrepancy between HJET (extrapolated) and STAR (corrected) values of r_5 is statistically equivalent to *1.8 standard deviations*.
- The correction applied to the STAR value of r_5 is mainly due to the difference between $B_{\text{em}}^{\text{eff}}$ (including absorption) and hadronic slope B . There was **no revision of the measured $A_N(t)$** .
- Theoretical estimates of Pomeron contribution to r_5 at $\sqrt{s} = 200$ GeV should be compared with the corrected STAR value rather than with the published one.

Double spin-flip analyzing power $A_{NN}(s, t)$

[A.P. et al., Phys. Rev. Lett. **123**, 162001 \(2019\)](#)

$$\frac{d^2\sigma}{dt d\varphi} \propto \left[1 + A_N(t) \sin \varphi (\mathbf{P}_b + \mathbf{P}_j) + A_{NN}(t) \sin^2 \varphi \mathbf{P}_b \mathbf{P}_j \right] \quad (\text{at HJET, } \sin \varphi = \pm 1)$$



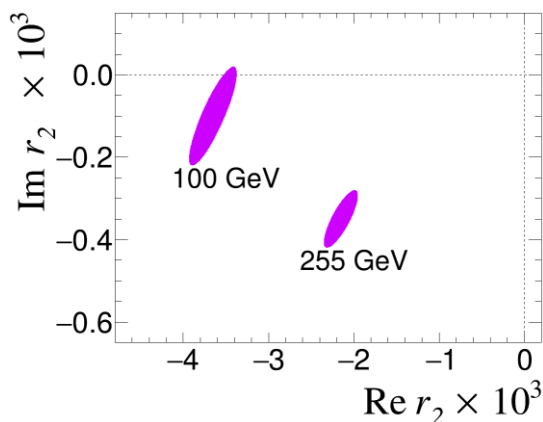
Double spin-flip amplitude parameter $r_2 = \frac{\phi_2^{had}(s, t)}{2 \text{Im } \phi_+^{had}(s, 0)} = R_2 + iI_2$

$$\sqrt{s} = 13.76 \text{ GeV} \quad R_2 = (-3.65 \pm 0.28_{\text{stat}}) \times 10^{-3}$$

$$I_2 = (-0.10 \pm 0.12_{\text{stat}}) \times 10^{-3}$$

$$\sqrt{s} = 21.92 \text{ GeV} \quad R_2 = (-2.15 \pm 0.20_{\text{stat}}) \times 10^{-3}$$

$$I_2 = (-0.35 \pm 0.07_{\text{stat}}) \times 10^{-3}$$



- The hadronic double spin-flip amplitudes are well isolated
- The Regge fit suggests non-zero double spin-flip Pomeron coupling

$$\chi^2 / \text{ndf} = 1.6 / 1$$

$$f_2^P = -0.0020 \pm 0.0002_{\text{stat}}$$

- The sensitivity of $A_{NN}(t)$ to the Odderon was discussed in E Leader and T. Trueman, Phys. Rev. D 61, 077504 (2000). The measured $A_{NN}(t)$ noticeably disagrees with the theoretical estimate without Odderon contribution.

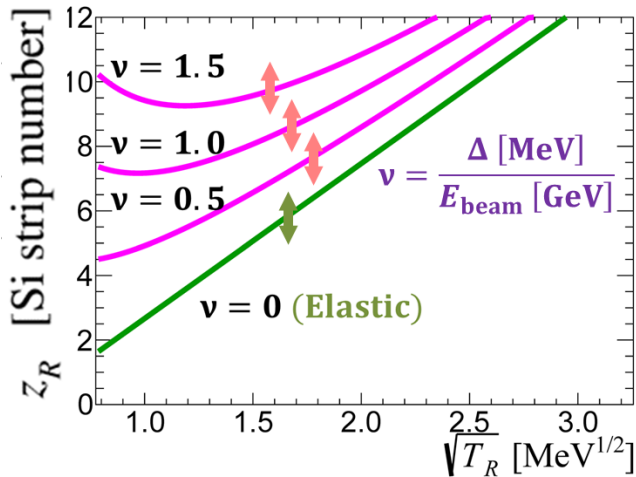
Inelastic scattering in HJET

At the HJET, the elastic and inelastic events can be separated by comparing recoil proton energy and z coordinate (i.e. the Si strip location). For $A + p \rightarrow X + p$ scattering:

$$\frac{z_R - z_{\text{jet}}}{L} = \sqrt{\frac{T_R}{2m_p}} \times \left[1 + \frac{m_p}{E_{\text{beam}}} + \frac{m_p \Delta}{T_R E_{\text{beam}}} \right]$$

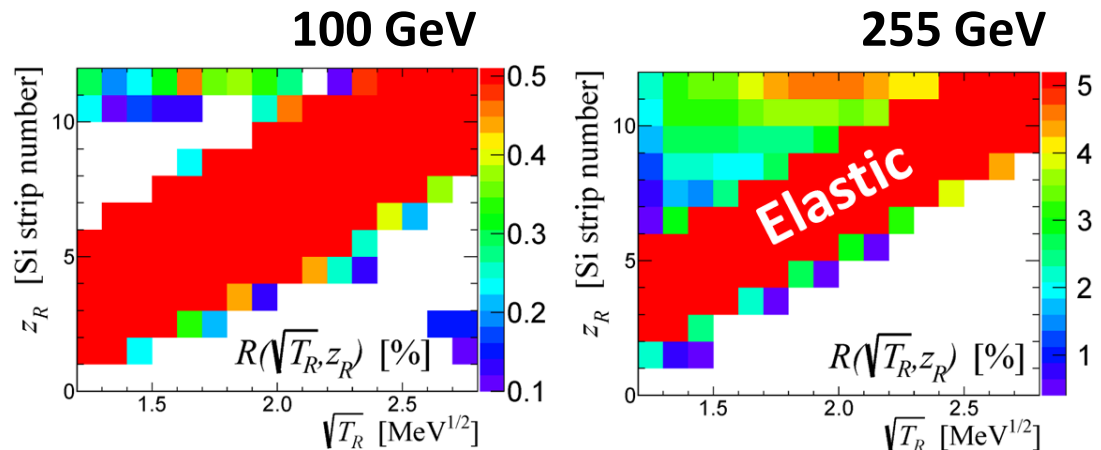
$$\Delta = M_X - m_p > m_\pi$$

E_{beam} is the beam energy per nucleon



- The **inelastic events** occupy the area above the **elastic line**.
- For the 100 GeV beam, the inelastic event detection in HJET is strongly suppressed.
- For 255 GeV elastic events are well detected (but not overlapped with the elastic ones)

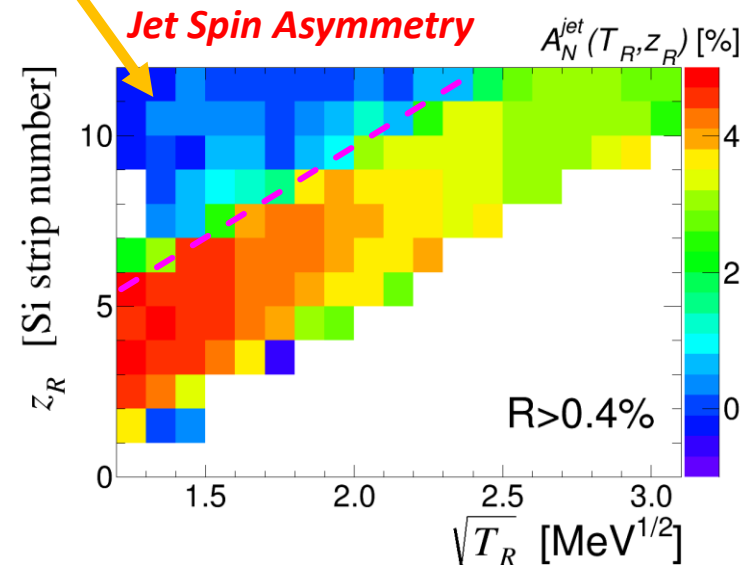
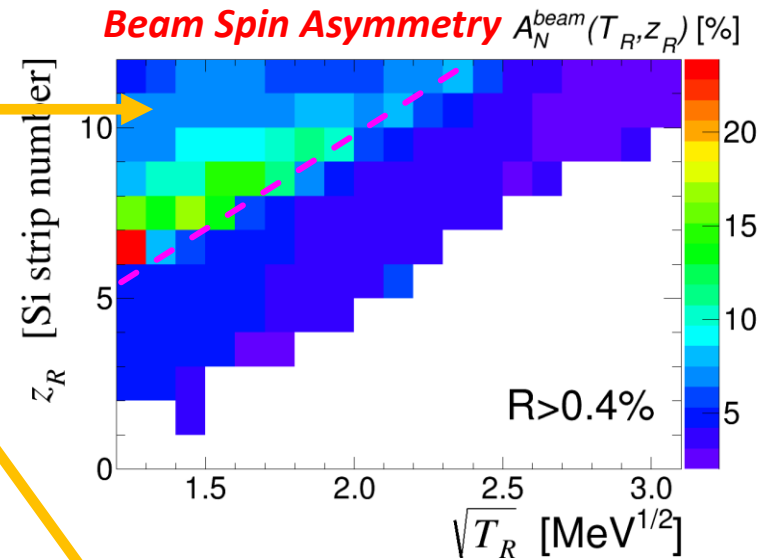
$$R(T_R, z_R) = N_{\text{bin}}(T_R, z_R) / N_{\text{max}}^{\text{el}}$$



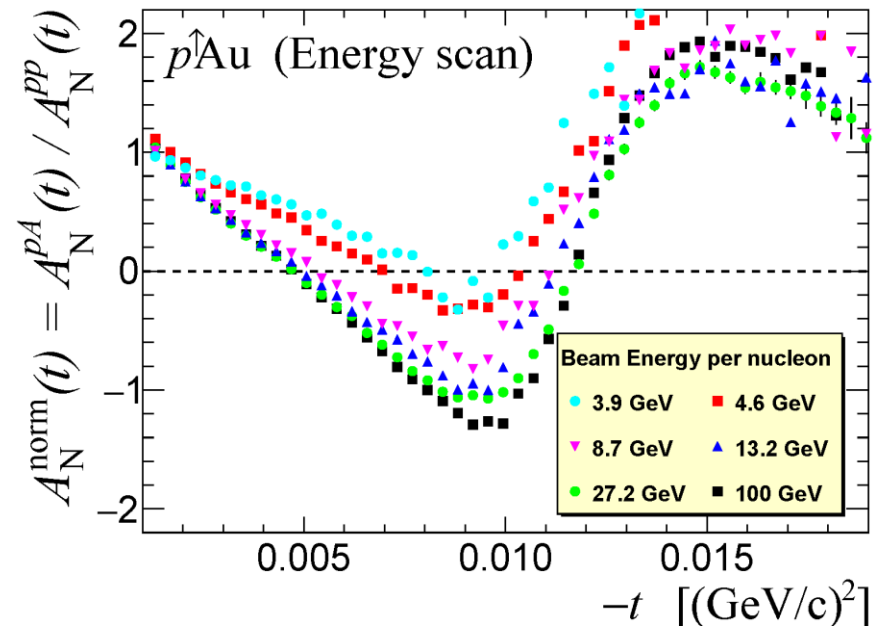
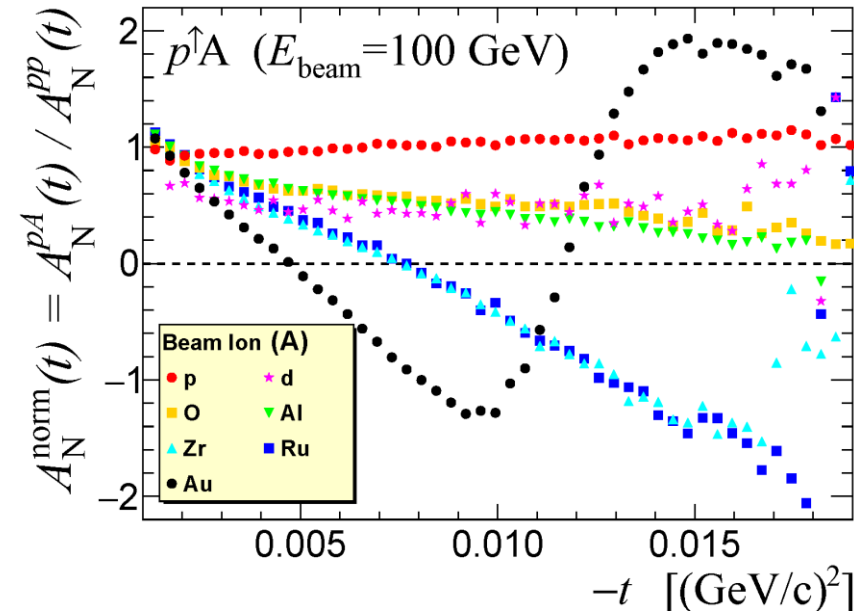
$p_{beam}^\uparrow + p_{jet}^\uparrow \rightarrow X + p_{jet}$ at 255 GeV (Run 2017)

- The inelastic events can be isolated.
- $A_N^{jet(in.)} < A_N^{elastic} < A_N^{beam(in.)}$
- $A_N^{(in.)}(t, \Delta)$ grows with decreasing Δ .
- $A_N^{beam(in.)}(t, \Delta) \sim 20\%$ is observed in the data.

Similar result (qualitatively) was also observed for 100 GeV beam, but the statistics was much lower.



Proton-nucleus Scattering at HJET



- Since $r_5^{pA} \approx r_5^{pp}$, proton-nucleus A_N for 100 GeV may allow us to study nonflip pA amplitudes for wide range of A .
- If the result can be extrapolated to the 4-30 GeV/nucleon Au beam, r_5^{pp} can be evaluated in this energy range.

In HJET measurements, the breakup contamination of the elastic data is strongly suppressed.

$$\left\langle \frac{d\sigma_{\text{brk}}^{p\text{Au}}(T_R, \Delta)}{d\sigma_{\text{el}}^{p\text{Au}}(T_R)} \right\rangle_{1.7 < T_R < 4.4 \text{ MeV}}$$

3.85 GeV/n:	$0.20 \pm 0.12\%$	$[3.6 < \Delta < 8.5 \text{ MeV}]$
26.5 GeV/n:	$-0.08 \pm 0.06\%$	$[20 < \Delta < 60 \text{ MeV}]$

How to measure the EIC ^3He beam polarization with HJET

$$\begin{aligned}
 P_{\text{meas}}^h(T_R) &= P_{\text{jet}} \frac{a_{\text{beam}}(T_R)}{a_{\text{jet}}(T_R)} \times \frac{A_N^{p^{\uparrow}h}(T_R)}{A_N^{h^{\uparrow}p}(T_R)} \\
 &= \frac{a_{\text{beam}}}{a_{\text{jet}}} P_{\text{jet}} \times \frac{\kappa_p - 2I_5^{ph} - 2R_5^{ph} T_R/T_c}{\kappa_h - 2I_5^{hp} - 2R_5^{hp} T_R/T_c} \\
 &\approx P_{\text{beam}}^h \times (1 + \xi_0 + \xi_1 T_R/T_c)
 \end{aligned}$$

[AP, Phys. Rev. C **106**, 065202 \(2022\)](#)

$$\begin{aligned}
 \kappa_p &= \mu_p - 1 = 1.793 \\
 \kappa_h &= \mu_h/Z_h - m_p/m_h = -1.398 \\
 T_c &\approx 0.7 \text{ MeV}
 \end{aligned}$$

The systematic uncertainties in value of P_{beam}^h are defined by ξ_0 ,

$$\xi_0 = 2\delta I_5^{hp} / \kappa_h - 2\delta I_5^{ph} / \kappa_p,$$

ξ_1 - can be determined in the measurements

Since $r_5^{pA} = r_5^{pp} \frac{i+\rho^{pA}}{i+\rho^{pp}} \approx r_5^{pp}$ [B. Kopeliovich and T. Trueman, Phys. Rev. **D 64**, 034004 (2001)],

$$r_5^{ph} \approx r_5^{pp}$$

$$r_5^{hp} \approx r_5^{pp} \langle P_{p,n} \rangle \approx r_5^{pp} / 3$$



Systematic error in the ^3He beam polarization measurements due to possible uncertainties in values of r_5^{ph} and r_5^{hp} is expected to be small

$$\frac{\sigma_P^{\text{syst}}(r_5^{ph}/r_5^{pp}, r_5^{hp}/r_5^{pp})}{P} \ll 1\%$$

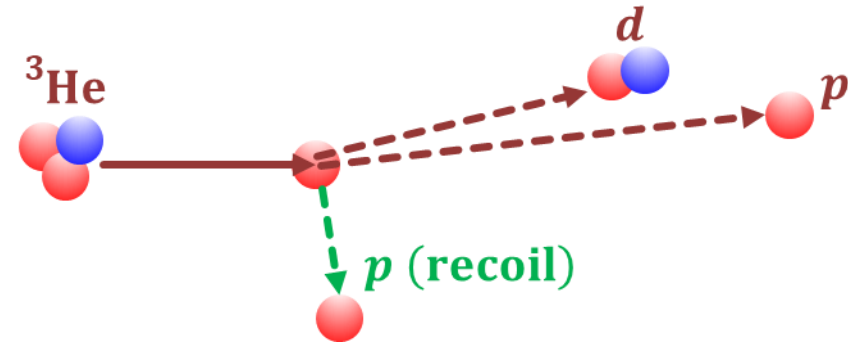
^3He breakup

[AP, Phys. Rev. C **106**, 065203 \(2022\)](#)

$$d\sigma_{el}(T_R) \rightarrow d\sigma_{el}(T_R) + \int d\Delta \frac{d\sigma_{brk}(T_R, \Delta)}{d\Delta} \varepsilon_{acc.}(T_R, \Delta)$$

$$= d\sigma_{el}(T_R) \times [1 + \omega(T_R)]$$

$$T_R = -t/2m_p, \quad \Delta = M_X - m_h$$



Similar corrections,

$$1 + \omega_{\text{int}}(T_R), \quad \omega_{\text{int}} \in \{\omega_{\kappa}^p, \omega_I^p, \omega_R^p, \omega_{\kappa}^h, \omega_I^h, \omega_R^h\}$$

modify the interference terms in the analyzing power ratio

$$\frac{\kappa_p - 2I_5^{ph} - 2R_5^{ph} T_R/T_c}{\kappa_h - 2I_5^{hp} - 2R_5^{hp} T_R/T_c} \Rightarrow \frac{\kappa_p [1 + \omega_{\kappa}^p] - 2I_5^{ph} [1 + \omega_I^p] - 2R_5^{ph} [1 + \omega_R^p] T_R/T_c}{\kappa_h [1 + \omega_{\kappa}^h] - 2I_5^{hp} [1 + \omega_I^h] - 2R_5^{hp} [1 + \omega_R^h] T_R/T_c}$$

Since for all $\omega(T_R)$ and $\omega_{\text{int}}(T_R)$,

$$\omega(T_R \rightarrow 0) = 0,$$

The breakup corrections cancel in the extrapolation of the measured ^3He beam polarization

$$P_{\text{meas}}^h(T_R \rightarrow 0).$$

The breakup corrections

[AP, Phys. Rev. C **108**, 025202 \(2023\)](#)

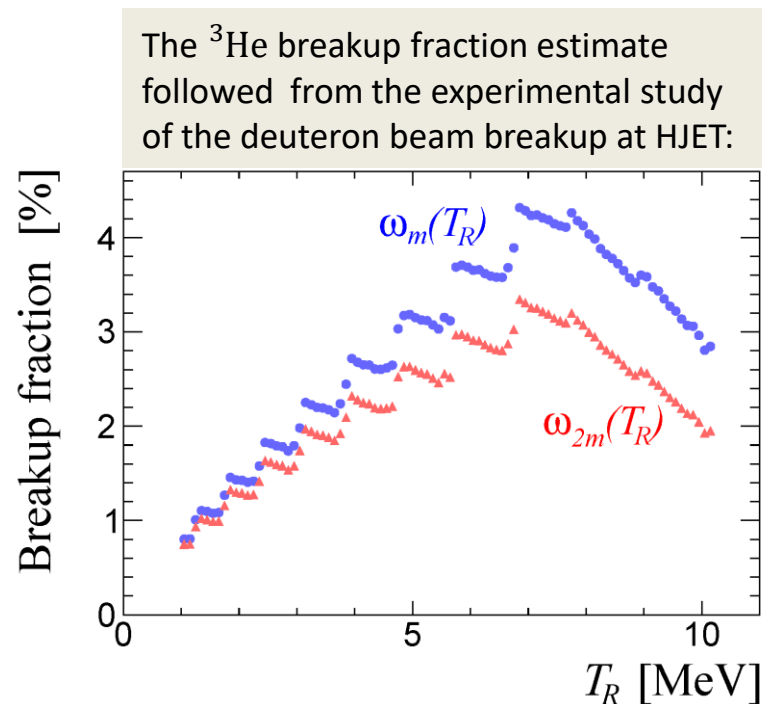
An incoherent scattering of proton from ${}^3\text{He}$ can be approximated by scattering off a nucleon ($m^* = m_p$) or di-nucleon ($m^* = 2m_p$). Thus, the breakup corrections (to the interference terms) are limited by:

$$\omega_{2m}(T_R) \leq \omega_{\text{int}}(T_R) \leq \omega_m(T_R)$$

Assuming linear fit of the measured polarization $P_{\text{meas}}^h(T_R)$, the following estimate can be done :

$$\left| \frac{P_{\text{meas}}^h(T_R)}{P_{\text{beam}}^h} - 1 \right| < \frac{|\omega_m(T_R) - \omega_{2m}(T_R)|}{2} \\ \approx -0.11\% + 0.13\% \frac{T_R}{T_c}$$

Effect of the helion breakup is negligible in the EIC ${}^3\text{He}$ beam polarization measurement using HJET.

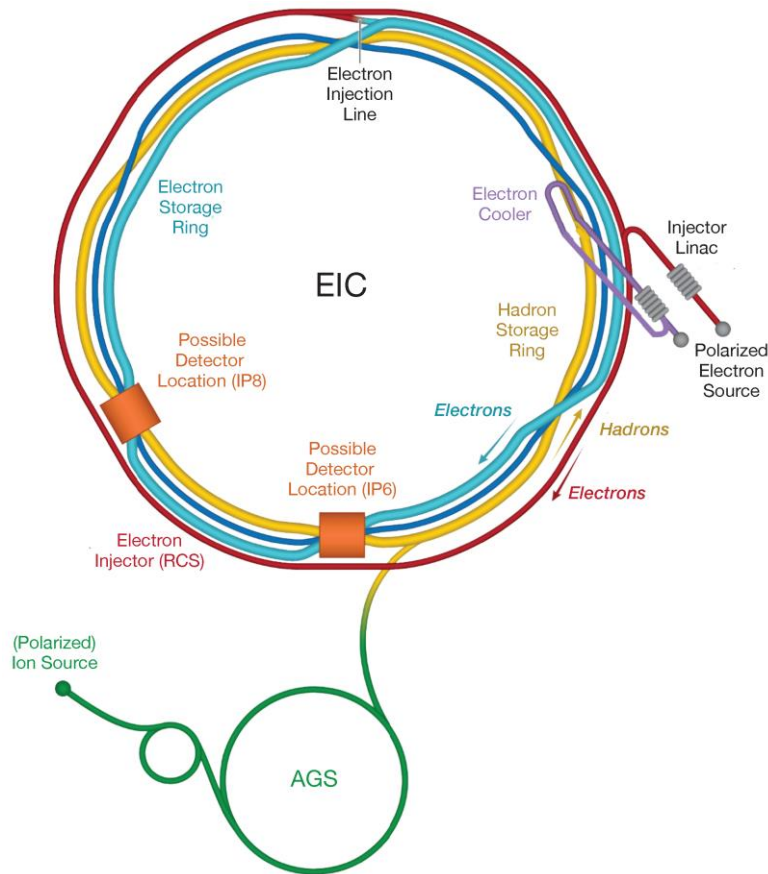


Summary

- HJET, which was designed to measure absolute proton beam polarization at RHIC, can also be used for the proton and ^3He beam polarimetry in the future EIC.
- The HJET performance allows us to study $p^\uparrow p^\uparrow$ and $p^\uparrow A$ transverse analyzing powers in the Coulomb-nuclear interference scattering, $0.0013 < -t < 0.018 \text{ GeV}^2$.
- To complete the HJET experimental data analysis, the following theoretical calculations (studies) are important:
 - ✓ Regge pole, including Pomeron/Froissaron $P(t, s)$ and Odderon $O(t, s)$ functions, which can be used to study single $r_5(s)$ double $r_2(s)$ spin-flip elastic pp amplitude at low t .
 - ✓ The beam and target analyzing power parametrization for the inelastic pp scattering $p_{\text{beam}}^\uparrow + p_{\text{target}}^\uparrow \rightarrow X + p_{\text{recoil}}$.
 - ✓ Parametrization (ready to use in the HJET data) for the forward $p^\uparrow A$ analyzing power $A_N(t, s, A)$ for $|t| < 0.02 \text{ GeV}^2$, $2 < A < 200$, and the proton beam energy $4 < E_p < 100 \text{ GeV}$.
 - ✓ More accurate calculation of the breakup effects in the ^3He beam scattering of the HJET protons is needed.

Backup

Hadronic polarimetry at the EIC



High energy, 40-275 GeV polarized proton and helion ($^3\text{He}^\uparrow$) beams are planned at the future Electron Ion Collider.

The requirement for the EIC beam polarimetry:

$$\sigma_P^{\text{sys}} / P \lesssim 1\%$$

Compared to RHIC, there are new challenges for the hadronic beam polarimetry at EIC

- Much shorter, 10 ns bunch spacing (107 ns at RHIC)
- $^3\text{He}^\uparrow$ beam

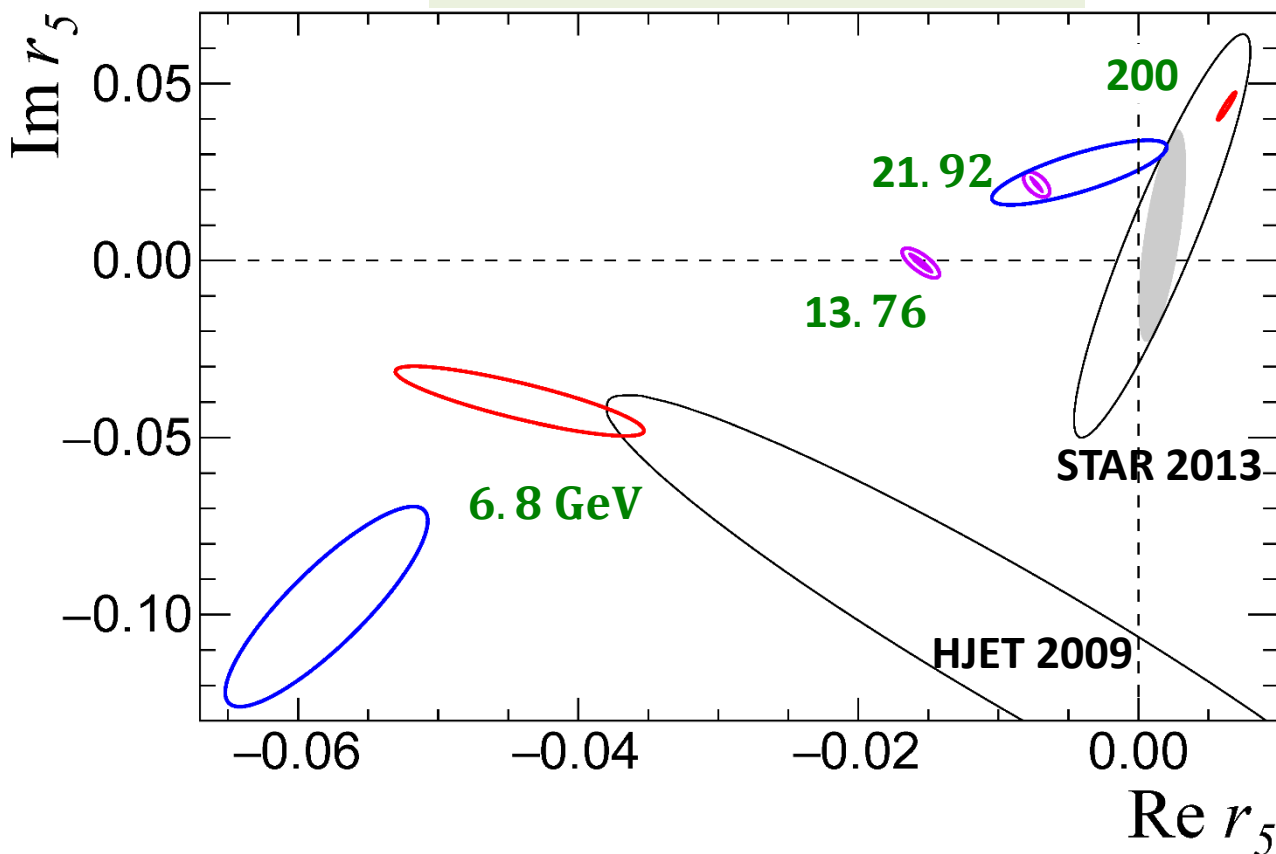
- A complete analysis of the beam polarization includes measurement of the polarization profile, polarization decay time, ...
- The main goal of this presentation is to discuss the RHIC Hydrogen Jet Target (HJET) feasibility to measure the $^3\text{He}^\uparrow$ beam averaged absolute polarization at EIC.

Hadronic Single Spin-Flip Amplitude $r_5(\sqrt{s})$

Color Legend: This work (13.76 and 21.92 GeV)
 $R^\pm P$ extrapolation to 6.8 and 200 GeV
 $R^\pm PO$ extrapolation to 6.8 and 200 GeV
 Other measurements (6.8 and 200 GeV)

Very-very preliminary
 (shown at SPIN 18)

Combined Fit



$R^\pm P$
$f_5^+ = -0.037 \pm 0.010$
$f_5^- = 0.588 \pm 0.028$
$f_5^P = 0.046 \pm 0.004$
$f_5^O = 0$ (fixed)
$\chi^2 = 2.2/5$

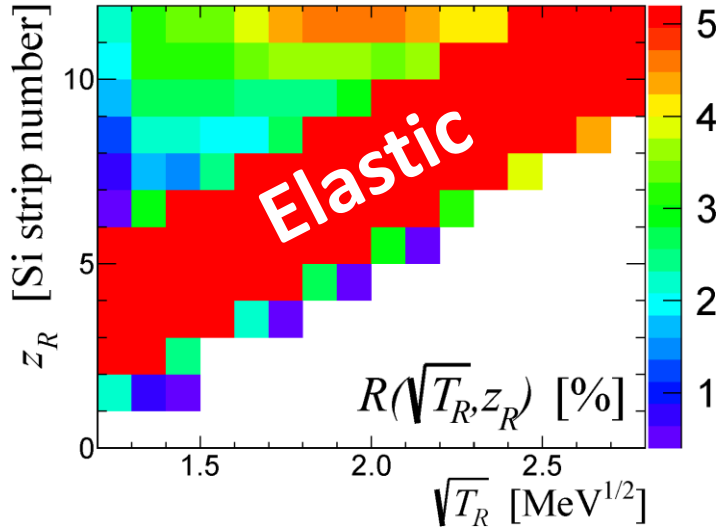
$R^\pm P O$
$f_5^+ = 0.057 \pm 0.034$
$f_5^- = 1.141 \pm 0.239$
$f_5^P = 0.032 \pm 0.009$
$f_5^O = -0.107 \pm 0.252$
$\chi^2 = 7.7/4$

STAR 2013: ($\sqrt{s} = 200$ GeV), L. Adamczyk et al, Phys. Lett. B **719** (2013) 62.

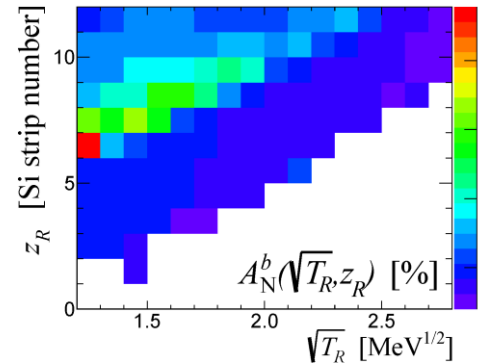
HJET 2009: ($\sqrt{s} = 6.8$ GeV), I.G. Alekseev et. al., Phys. Rev. D **79**, 094014 (2009)

$$\mathbf{p}_{\text{beam}}^\uparrow + \mathbf{p}_{\text{jet}}^\uparrow \rightarrow X_{\text{beam}} + \mathbf{p}_{\text{jet}}$$

$$R(T_R, z_R) = N_{\text{bin}}(T_R, z_R) / N_{\text{max}}^{\text{el}}$$

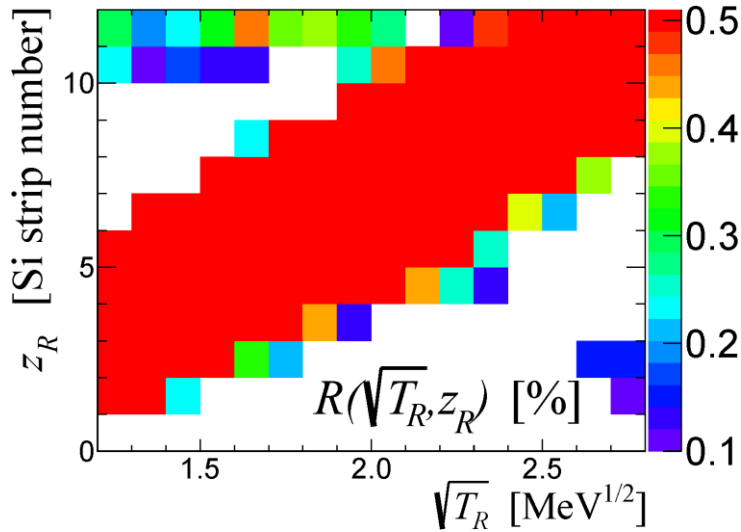
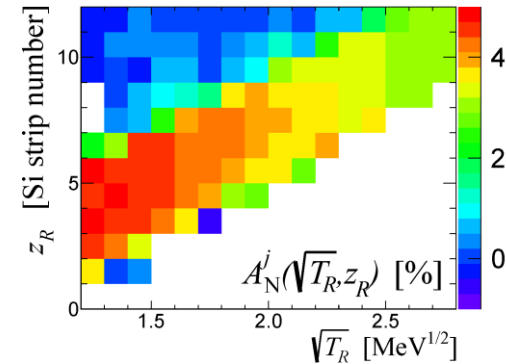


255 GeV

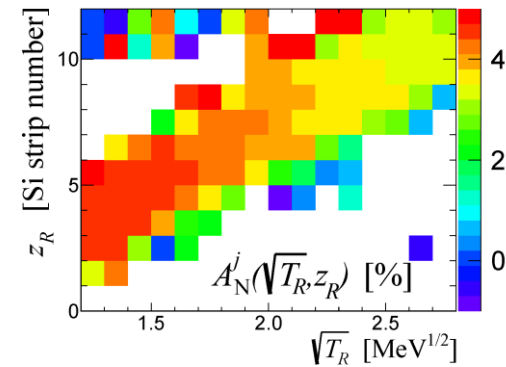
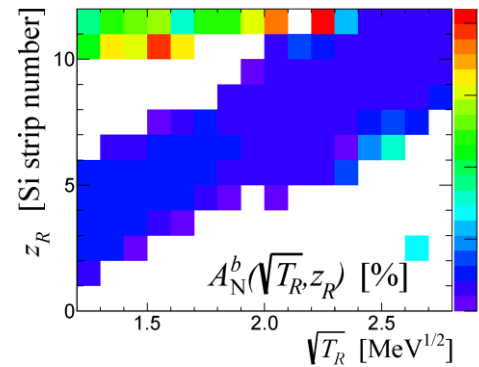


For the inelastic scattering

$$\Delta > m_\pi$$



100 GeV



Hadronic spin-flip amplitude in $p^\uparrow A$ scattering

According to B. Kopeliovich and T. Trueman, Phys. Rev. D **64**, 034004 (2001),
for high energy elastic scattering to a very good approximation

$$\Phi_{sf}^{pA}(t)/\Phi_{nf}^{pA}(t) = \Phi_{sf}^{pp}(t)/\Phi_{nf}^{pp}(t)$$



$$r_5^{pA} = r_5^{pp} \frac{i + \rho^{pA}}{i + \rho^{pp}} \approx r_5^{pp}$$

The result can be easily reproduced in the Glauber theory. For example, elastic proton-deuteron (pd) scattering can be approximated by the proton-nucleon collisions (pN):

$$F_{ii}(\mathbf{q}) = S\left(\frac{\mathbf{q}}{2}\right) f_n(\mathbf{q}) + S\left(\frac{\mathbf{q}}{2}\right) f_p(\mathbf{q}) + \frac{i}{2\pi k} \int S(\mathbf{q}') f_n\left(\frac{\mathbf{q}}{2} + \mathbf{q}'\right) f_p\left(\frac{\mathbf{q}}{2} - \mathbf{q}'\right) d^2\mathbf{q}'$$

Since the pN spin-flip amplitude is small (at HJET),

$$f_N^{sf}(\mathbf{q}) = \frac{qn}{m_p} \frac{r_5}{i + \rho} f_N(\mathbf{q}), \quad |f_N^{sf}(\mathbf{q})/f_N(\mathbf{q})| \leq 0.003,$$

to calculate the spin-flip pd amplitude, one should replace in the right-hand side

$$f_n \rightarrow f_n^{sf}, \quad f_p \rightarrow f_p^{sf}, \quad \text{and} \quad f_n f_p \rightarrow f_n^{sf} f_p + f_n f_p^{sf}$$

$$F_{ii}^{sf}(\mathbf{q}) \equiv \frac{qn}{m_p} \frac{r_5^{pA}}{i + \rho^{pA}} F_{ii}(\mathbf{q}) = \frac{qn}{m_p} \frac{r_5}{i + \rho} F_{ii}(\mathbf{q})$$

More general consideration of the elastic $p \uparrow A$ scattering

The hadronic amplitude for a proton-nucleus elastic and/or breakup scattering can be approximated (R.J Glauber and Matthiae, Nucl. Phys. B21 (1970) 135) by

$$F_{fi}(\mathbf{q}_T) = \frac{ik}{2\pi} \int e^{i\mathbf{b}\mathbf{q}_T} \Psi_f^* (\{\mathbf{r}_j\}) \Gamma(\mathbf{b}, \mathbf{s}_1 \dots \mathbf{s}_A) \Psi_i (\{\mathbf{r}_j\}) \prod_{j=1}^A d^3r_j d^2b$$

and can be calculated if initial $\Psi_i(\{\mathbf{r}_j\})$ and final $\Psi_f(\{\mathbf{r}_j\})$ state wave functions are known.

In Glauber theory, elastic pA amplitude can be expressed via the proton nucleon ones

$$F_{ii}(q) = \sum_a \{S_a f_a\} + \sum_{a,b} \{S_{ab} f_a f_b\} + \sum_{a,b,c} \{S_{abc} f_a f_b f_c\} + \dots$$

$$\sum_{a,b,c} \{S_{abc} f_a f_b f_c\} = \int S_{abc}(\mathbf{q}'_a, \mathbf{q}'_b, \mathbf{q}'_c) f_a(\mathbf{q}'_a) f_b(\mathbf{q}'_b) f_c(\mathbf{q}'_c) \delta(\mathbf{q} - \mathbf{q}'_a - \mathbf{q}'_b - \mathbf{q}'_c) d^2\mathbf{q}'_a d^2\mathbf{q}'_b d^2\mathbf{q}'_c$$

No knowledge of form factors S_a, S_{ab}, \dots is needed to calculate the elastic spin flip amplitude

$$F_{ii}^{\text{sf}}(\mathbf{q}) = \frac{q_n}{m_p} \frac{r_5}{i + \rho} F_{ii}(\mathbf{q}) \quad \Rightarrow \quad r_5^{pA} = r_5 \frac{i + \rho^{pA}}{i + \rho^{pp}}$$

Elastic $p + h^\uparrow \rightarrow p + h$ hadronic spin-flip amplitude

- The spin-flip proton-nucleon amplitude depends on the nucleon's polarization

$$pN^\uparrow \Rightarrow f^{sf}(q) = \frac{qn r_5 P_N}{m_p i + \rho} f(q)$$

- If all nucleons in a nuclei have the same spatial distributions, i.e., if $S_{a,b,\dots} = S_{b,a,\dots} = S_{b,c,\dots}$, then for unpolarized proton scattering off the polarized nuclei

$$r_5^{Ap} = r_5 \frac{i + \rho^{pA}}{i + \rho^{pp}} \frac{\sum P_i}{A}$$

where P_i are nucleon polarizations in the nuclei.

Since in a fully polarized helium in the ground S state, $P_n = 1$ and $P_p = 0$,

$$r_5^{hp} = r_5/3$$

Considering also S' - and D -wave components, it was found $P_n \approx 0.88$, $P_p \approx -0.02$

[J.L. Friar *et al.*, Phys. Rev. C **42**, 2310 (1990)]

$$r_5^{hp} = (0.27 \pm 0.06)r_5$$

$p^\uparrow + A \rightarrow p + (A_1 + A_2 \dots)$ *hadronic spin-flip amplitude*

For a breakup scattering $p^\uparrow A \rightarrow pX$ (e.g., $ph \rightarrow ppd$), the amplitude can be a function of $\Delta = M_X - M_A$ (and other the breakup internal variables).

It may be convenient to define ratio of the breakup and elastic amplitude,

$$\psi_{fi}(\mathbf{q}, \Delta) = F_{fi}(\mathbf{q}, \Delta) / F_{ii}(\mathbf{q}) = |\psi_{fi}(\mathbf{q}, \Delta)| e^{i\varphi_{fi}(\mathbf{q}, \Delta)},$$

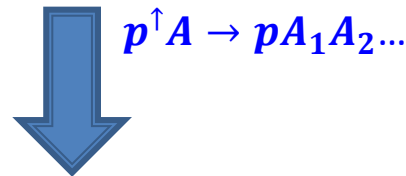
and (redefine) the spin-flip parameter \tilde{r}_5

$$F_{fi}^{sf}(\mathbf{q}) = \frac{\mathbf{q}\mathbf{n}}{m_p} \frac{\tilde{r}_5}{i + \rho} F_{fi}(\mathbf{q})$$

Generally, $\varphi \neq 0$

A breakup pA amplitude can be expresses via proton-nucleon amplitudes in the same way as elastic one, but with some different set of formfactors

$$F_{fi}(\mathbf{q}) = \sum_a \{\tilde{S}_a f_a\} + \sum_{a,b} \{\tilde{S}_{ab} f_a f_b\} + \sum_{a,b,c} \{\tilde{S}_{abc} f_a f_b f_c\} + \dots$$



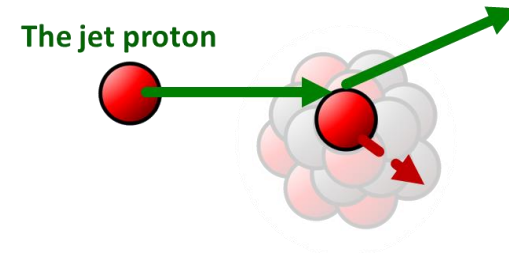
$$\tilde{r}_5^{p^\uparrow A} = r_5$$

A model used to search for the $h \rightarrow pd$ breakup events at HJET

For incoherent proton-nucleus scattering,
a simple kinematical consideration gives:

$$\Delta = \left(1 - \frac{m^*}{M_A}\right) T_R + p_x \sqrt{\frac{2T_R}{m_p}},$$

where $m^* = m_p$ and p_x is the target nucleon transverse momentum



Assuming the following p_x distribution,

$$f_{\text{BW}}(p_x, \sigma_p) = \frac{\pi^{-1} \sqrt{2} \sigma_p}{p_x^2 + 2\sigma_p^2}, \quad \int f_{\text{BW}}(p_x, \sigma_p) dp_x = 1,$$

one finds for a two-body breakup (for given T_R)

$$dN/d\Delta \propto f_{\text{BW}}(\Delta - \Delta_0, \sigma_\Delta) \Phi_2(\Delta), \quad \Delta_0 = \left(1 - m_p/M_A\right) T_R, \quad \sigma_\Delta = \sigma_p \sqrt{2T_R/m_p}$$

phase space factor

$$\frac{d^2 \sigma_{h \rightarrow pd}(T_R, \Delta)}{d\sigma_{h \rightarrow h}(T_R) d\Delta} = |(\psi_0 T_R, \Delta)|^2 \omega(T_R, \Delta) = |\psi_0|^2 f_{\text{BW}}(\Delta - \Delta_0, \sigma_\Delta) \frac{\sqrt{2m_p m_d}}{4\pi m_h} \sqrt{\frac{\Delta - \Delta_{\text{thr}}^h}{m_h}}$$

$p^\uparrow A \rightarrow p + A_1 A_2$ scattering

nonflip amplitudes

Elastic: $f_{el}(T_R)$

Breakup: $f_{brk}(T_R, \Delta) = f_{el}(T_R) \tilde{f}_{brk}(T_R, \Delta)$

spin-flip amplitudes

$$f_{el}^{sf}(T_R) = f_{el}(T_R) \frac{k_p n}{m_p} \frac{r_5^{pA}}{i + \rho^{pA}}$$

$$f_{brk}^{sf}(T_R, \Delta) = f_{el}(T_R) \tilde{f}_{brk}(T_R, \Delta) \frac{k_p n}{m_p} \frac{\tilde{r}_5^{pA}}{i + \rho^{pA}}$$

$$T_R = -t/2m_p$$

$$\Delta = M_X - M_A \approx (M_X^2 - M_A^2)/2M_A$$

k_p is the recoil proton momentum

n is unit vector perpendicular the beam spin an momentum

Similarly to the elastic pA scattering:

$$\tilde{r}_5^{pA} = r_5^{pp} \frac{i + \rho^{pA}}{i + \rho^{pp}} = r_5^{pA}$$

Using the previous page notations:

$$f_{brk}(T_R, \Delta) = f_{el}(T_R) \psi_0(T_R, \Delta) \psi_{BW}(T_R, \Delta)$$

$$|\psi_{BW}(T_R, \Delta)|^2 = f_{BW}(\Delta - \Delta_0, \sigma_\Delta)$$

Explains dependence on T_R

Explains dependence on Δ

For the low $t \rightarrow 0$ scattering,

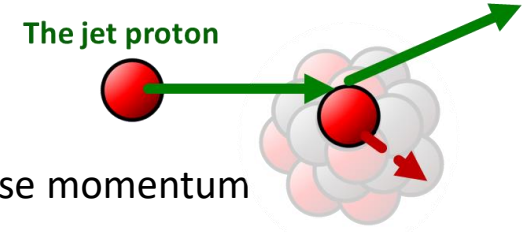
$$\psi_0(T_R, \Delta) \approx \psi_0(0, 0),$$

should be **the same for all, nonflip/spin-flip and hadronic/electromagnetic**, amplitudes of the considered breakup $A \rightarrow A_1 + A_2$

A model used to search for the $d \rightarrow pn$ breakup events at HJET

For incoherent proton-nucleus scattering, a simple kinematical consideration gives:

$$\Delta = \left(1 - \frac{m_p}{M_A}\right) T_R + p_x \sqrt{\frac{2T_R}{m_p}}, \quad \text{where } p_x \text{ is the target nucleon transverse momentum}$$



Assuming the following p_x distribution, $f_{BW}(p_x, \sigma_p) = \frac{\pi^{-1}\sqrt{2}\sigma_p}{p_x^2 + 2\sigma_p^2}$, $\int f_{BW}(p_x, \sigma_p) dp_x = 1$,

one finds for a two-body breakup (for given T_R)

$$dN/d\Delta \propto f_{BW}(\Delta - \Delta_0, \sigma_\Delta) \Phi_2(\Delta), \quad \Delta_0 = (1 - m_p/M_A)T_R, \quad \sigma_\Delta = \sigma_p \sqrt{2T_R/m_p}$$

$$\frac{d^2 \sigma_{h \rightarrow pd}(T_R, \Delta)}{d\sigma_{h \rightarrow h}(T_R) d\Delta} = |\psi(T_R, \Delta)|^2 \omega(T_R, \Delta) = |\psi|^2 f_{BW}(\Delta - \Delta_0, \sigma_\Delta) \frac{\sqrt{2m_p m_d}}{4\pi m_h} \sqrt{\frac{\Delta - \Delta_{\text{thr}}^h}{m_h}}$$

- The breakup fraction $\omega(T_R, \Delta)$ dependence is pre-defined by the nucleon momentum distribution in a nuclei.
- In the HJET measurements, $\Delta < 50$ MeV is small.
- The breakup to elastic amplitude ratio, $\psi(T_R, \Delta)$, is about independent of the T_R and Δ .
- The $h \rightarrow pd$ breakup is strongly suppressed by the phase space factor $\omega(T_R, \Delta) \propto \sqrt{\Delta - \Delta_{\text{thr}}^h}$.
- For the $h \rightarrow ppn$ breakup the suppression is much stronger $\omega(T_R, \Delta) \propto (\Delta - \Delta_{\text{thr}}^h)^2$.
- The electromagnetic ph amplitudes are nearly the same for elastic and breakup scattering.

Deuteron beam measurements at HJET

AP, Phys. Rev. 106, 065203 (2022)

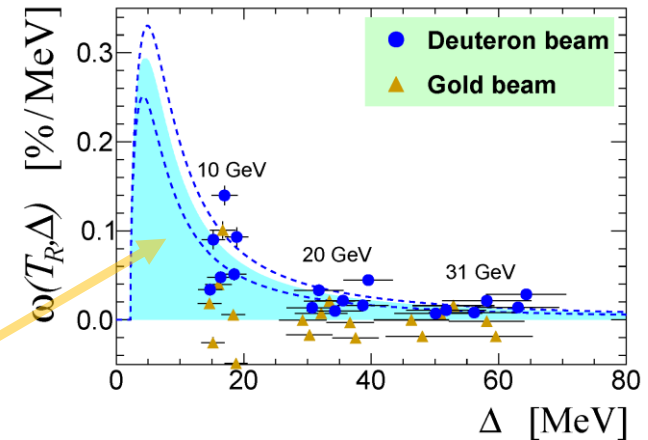
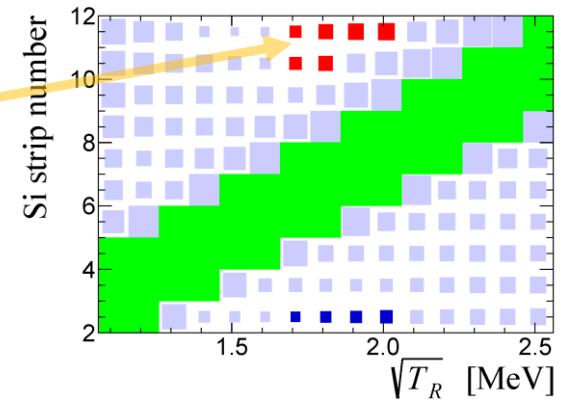
- In RHIC Run 16, deuteron-gold scattering was studied at beam energies 10, 20, 31, and 100 GeV/n.
- In the HJET analysis, the breakup events $d \rightarrow p + n$ ($\Delta_{\text{thr}}^d = 2.2 \text{ MeV}$) were isolated for 10, 20, and 31 GeV data.
- The breakup was evaluated for $2.8 < T_R < 4.2 \text{ MeV}$
- In the data fit, the $d \rightarrow pn$ breakup fraction $\omega(T_R, \Delta)$ was parameterized,

$$|\psi| \approx 5.6, \quad \sigma_p \approx 35 \text{ MeV}$$

- For $T_R \sim 3.5 \text{ MeV}$, the breakup fraction was evaluated to be

$$\begin{aligned} \frac{d\sigma_{d \rightarrow pn}(T_R)}{d\sigma_{d \rightarrow d}(T_R)} &= \omega_{d \rightarrow pn}(T_R) \\ &= |\psi|^2 \int d\Delta \omega_{d \rightarrow pn}(T_R, \Delta) \approx 5.0 \pm 1.4\% \end{aligned}$$

- The result obtained strongly depends on the used parametrization and, thus, a verification is needed.



$d \rightarrow pn$ breakup in the hydrogen bubble chamber

B. S. Aladashvili *et al.*, J. Phys. G **3**, 1225 (1977).

$d\sigma/dt$ @ $-t = 0.0066 \text{ GeV}^2$
($T_R = 3.5 \text{ MeV}$)

$15 \pm ? \text{ mb/GeV}^2$

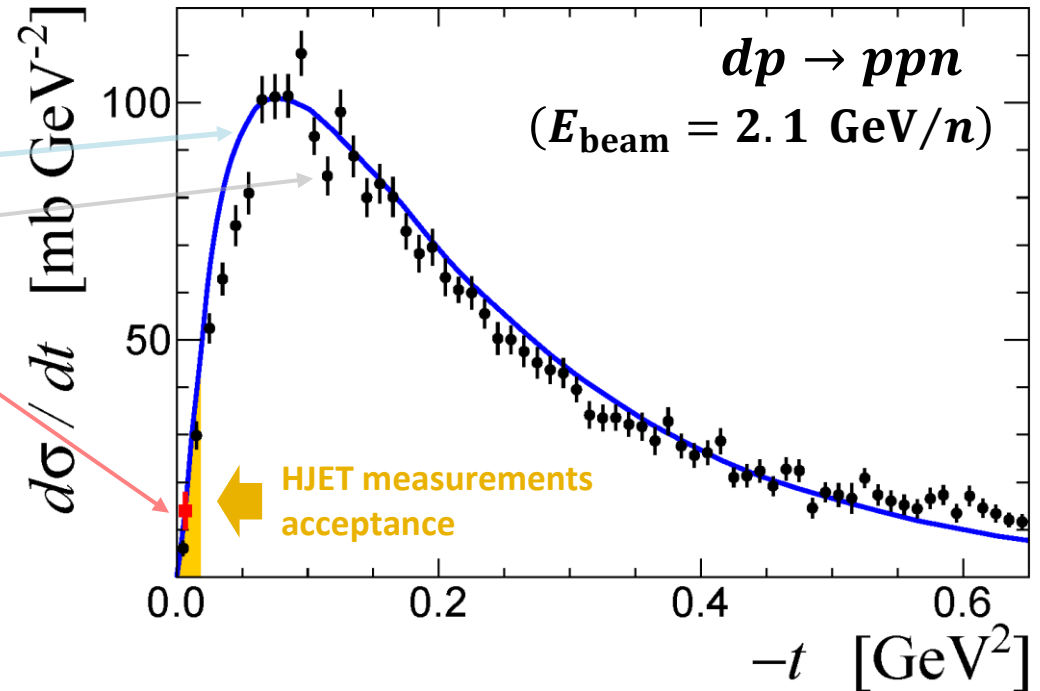
Theory

$8 \pm 2 \text{ mb/GeV}^2$

Experiment

$14 \pm 4 \text{ mb/GeV}^2$

From the HJET deuteron
beam measurements



- The HJET measurement of the deuteron beam breakup is in reasonable agreement with the bubble chamber measurements
- The model used satisfactorily describes the HJET measurements (within the experimental accuracy).
- Only a small fraction, $\sim 1.5\%$, of $d \rightarrow pn$ breakups can be detected at HJET.

^3He breakup measurements in the hydrogen bubble chamber

V.V. Glagolev et al., C **60**, 421 (1993)

$$\sigma_{\text{el}} = 24.2 \pm 1.0 \text{ mb}$$

$$\sigma_{h \rightarrow pd} = 7.29 \pm 0.14 \text{ mb}$$

$$\sigma_{h \rightarrow ppn} = 6.90 \pm 0.14 \text{ mb}$$

J. Stepaniak, Acta Phys. Polon. B **27**, 2971 (1996)



The effective cross sections in HJET measurements:

$$\sigma_{\text{elastic}}^{\text{HJET}} \approx 11 \text{ mb}$$

$$\sigma_{h \rightarrow ppn}^{\text{HJET}} < 0.02 \text{ mb} \quad (\text{bubble chamber})$$

$$\sigma_{h \rightarrow pd}^{\text{HJET}} \sim 0.15 \text{ mb} \quad (\text{bubble chamber})$$

$$\sigma_{h \rightarrow pd}^{\text{HJET}} \approx 0.25 \text{ mb} \quad (\text{deuteron beam in HJET})$$

The ^3He breakup rates $\omega(T_R)$ and $\tilde{\omega}(T_R)$ derived from the deuteron beam measurements at HJET can be interpreted as upper limits.

$$E_{\text{beam}} = 4.6 \text{ GeV/n}$$

