

# Inflation beyond slow roll and primordial black holes

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2023.11.8 Beyond Standard Model: From Theory to Experiment (BSM-2023),  
Hurghada, Egypt



No go of slow roll for PBH production

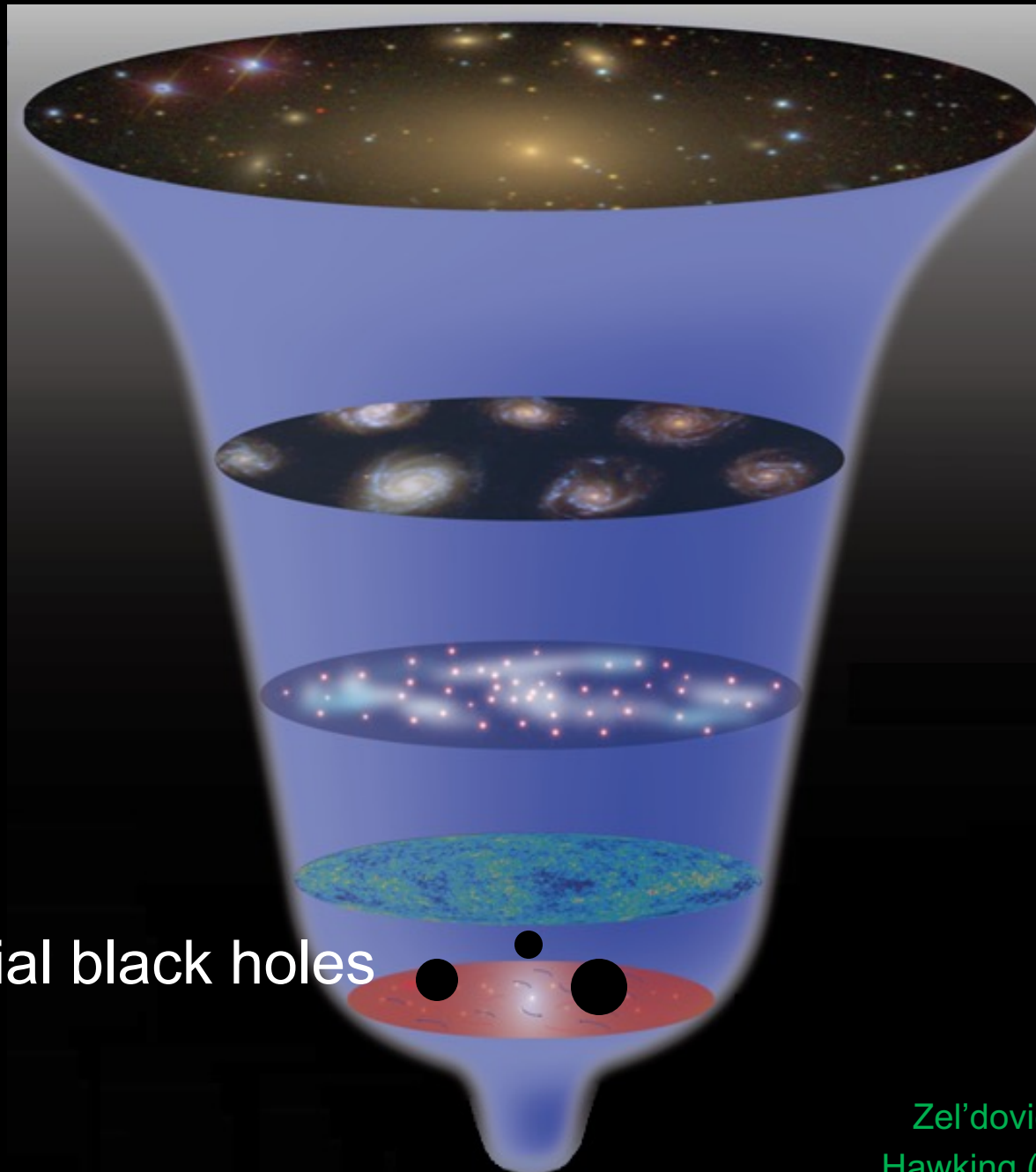


Simple model:

Constant-roll inflation



Bispectrum and  
one-loop corrections



Primordial black holes

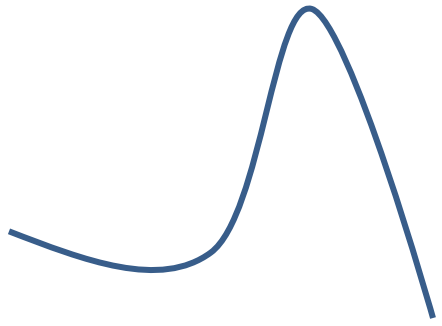
Zel'dovich, Novikov (1967)  
Hawking (1971), Carr (1974)

Inflation



- Model building

Primordial curvature



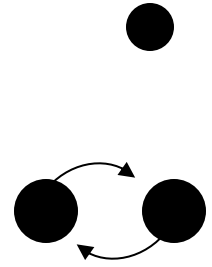
- Induced GWs

PBH



- DM
- Lensing
- Accretion
- Evaporation

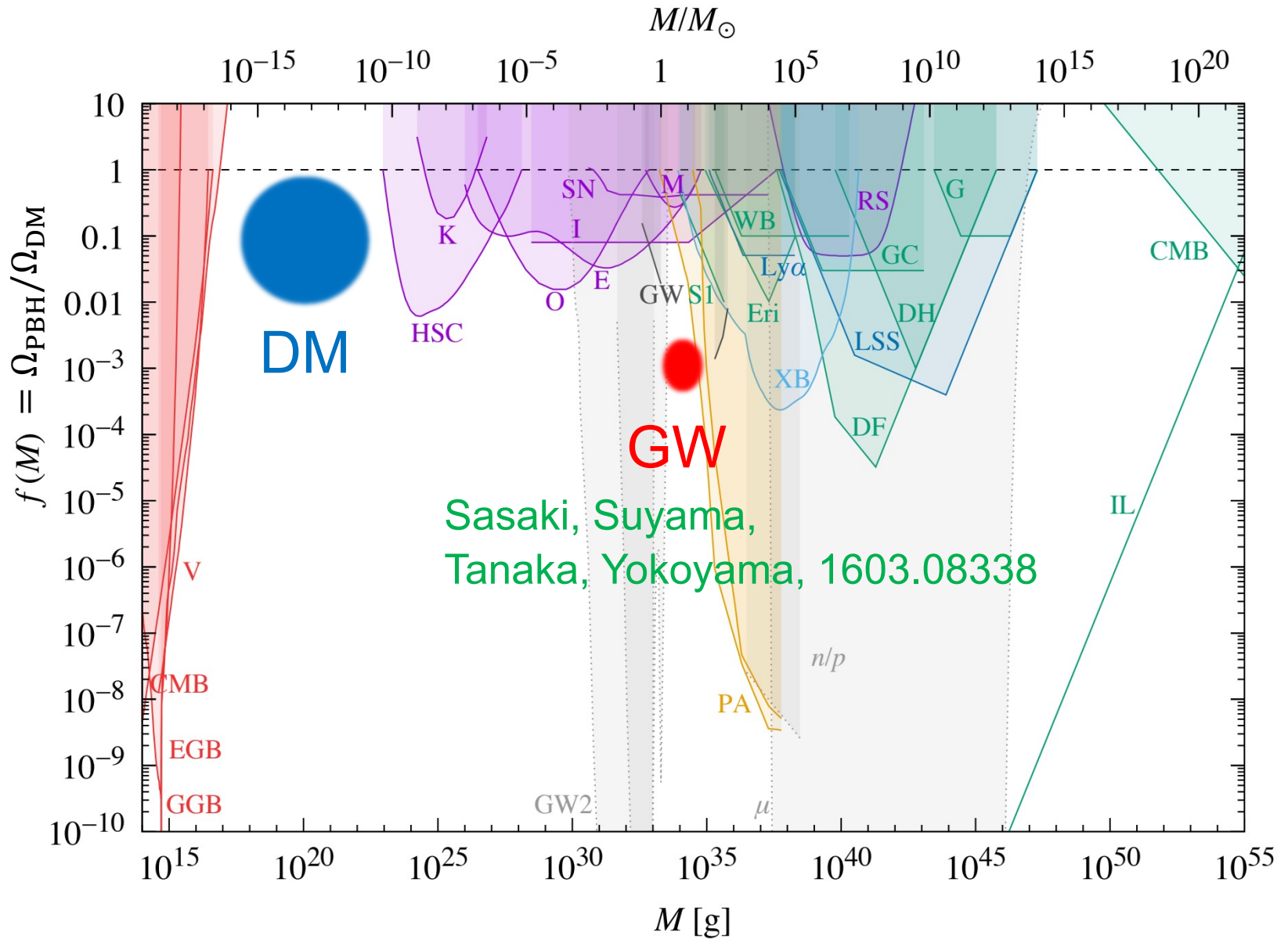
Binary



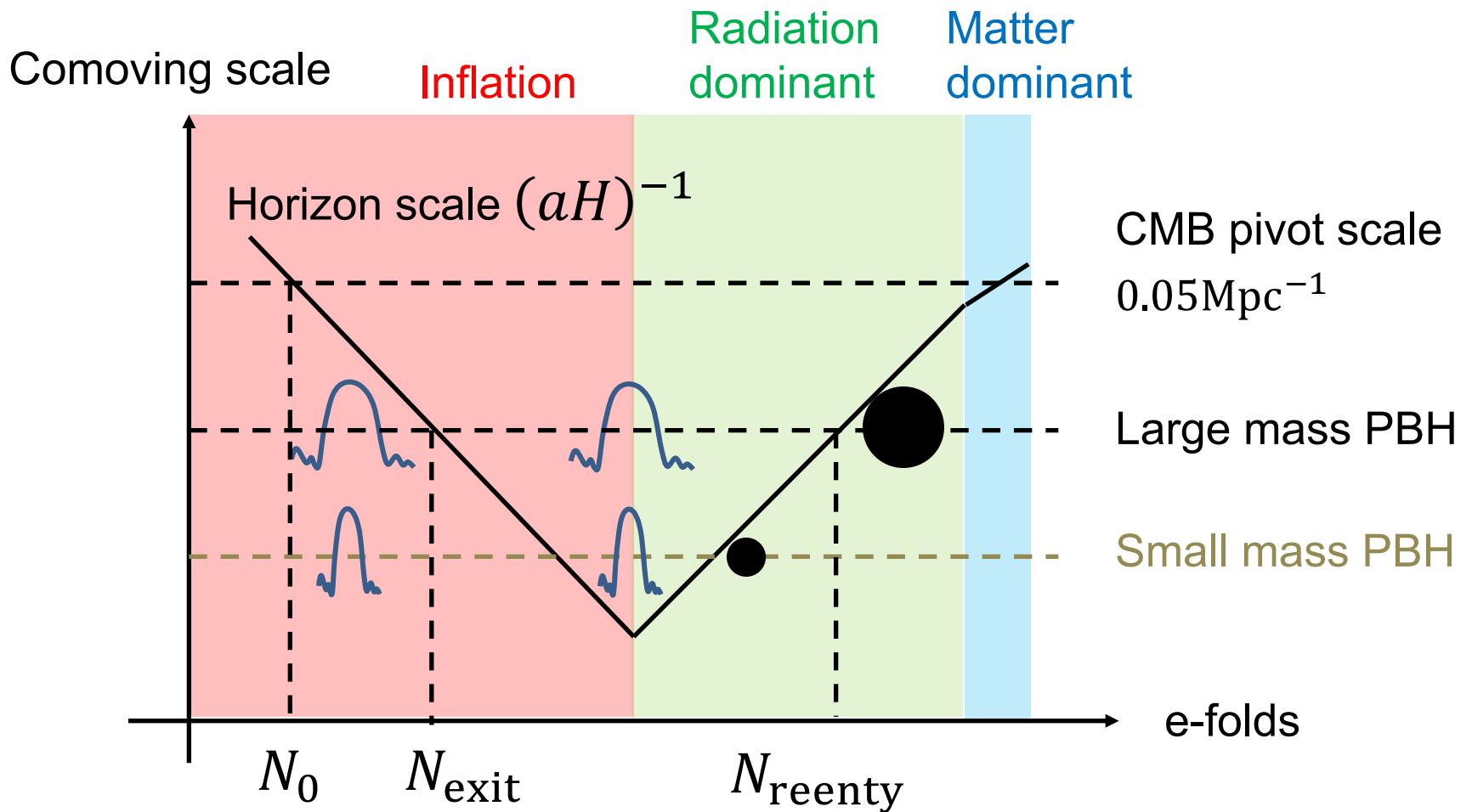
- GWs

Recent reviews:

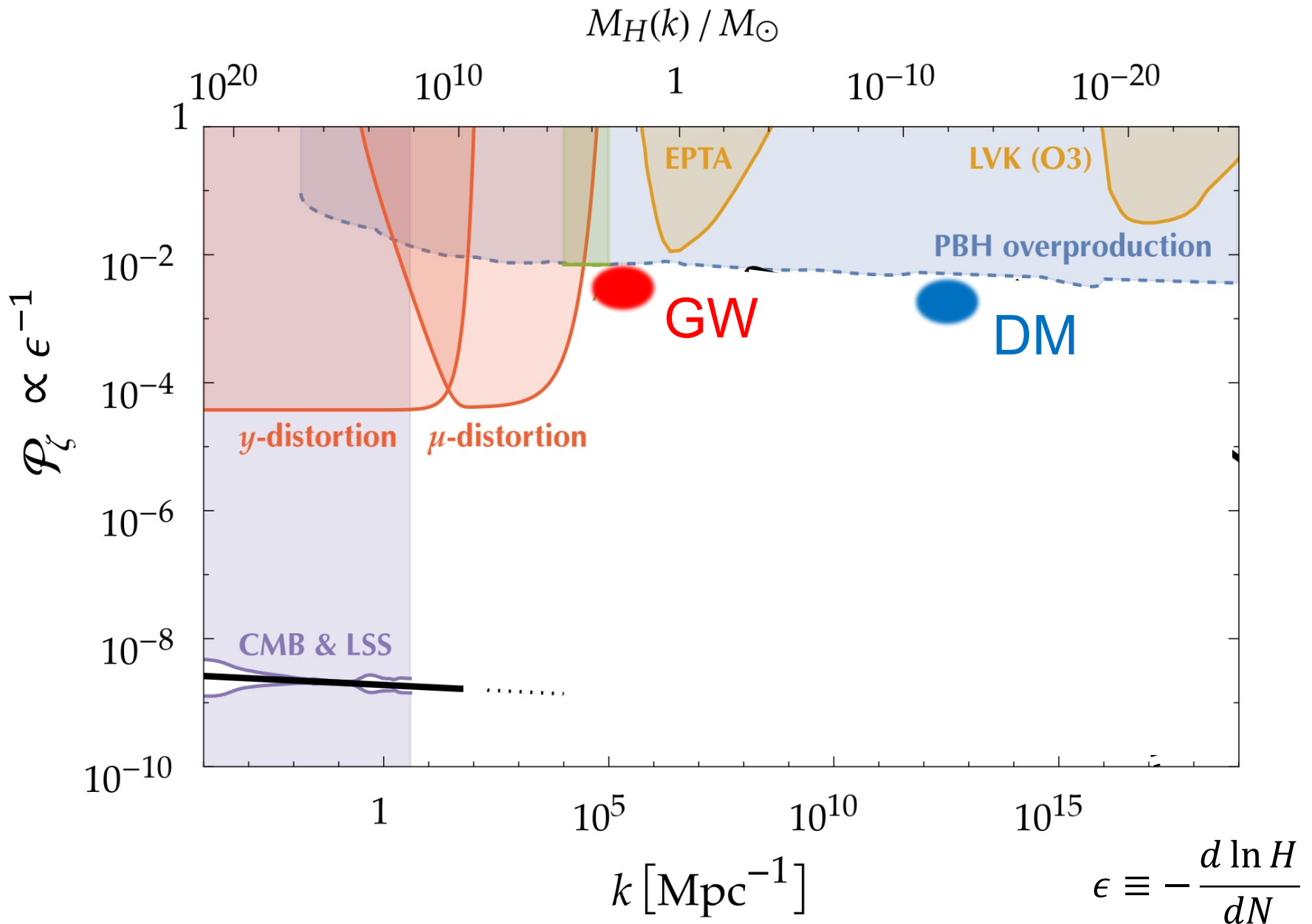
Khlopov 0801.0116, Sasaki+ 1801.05235, Carr+ 2002.12778, 2006.02838,  
Green+ 2007.10722, Escrivá+ 2211.05767, Ozsoy+ 2301.03600

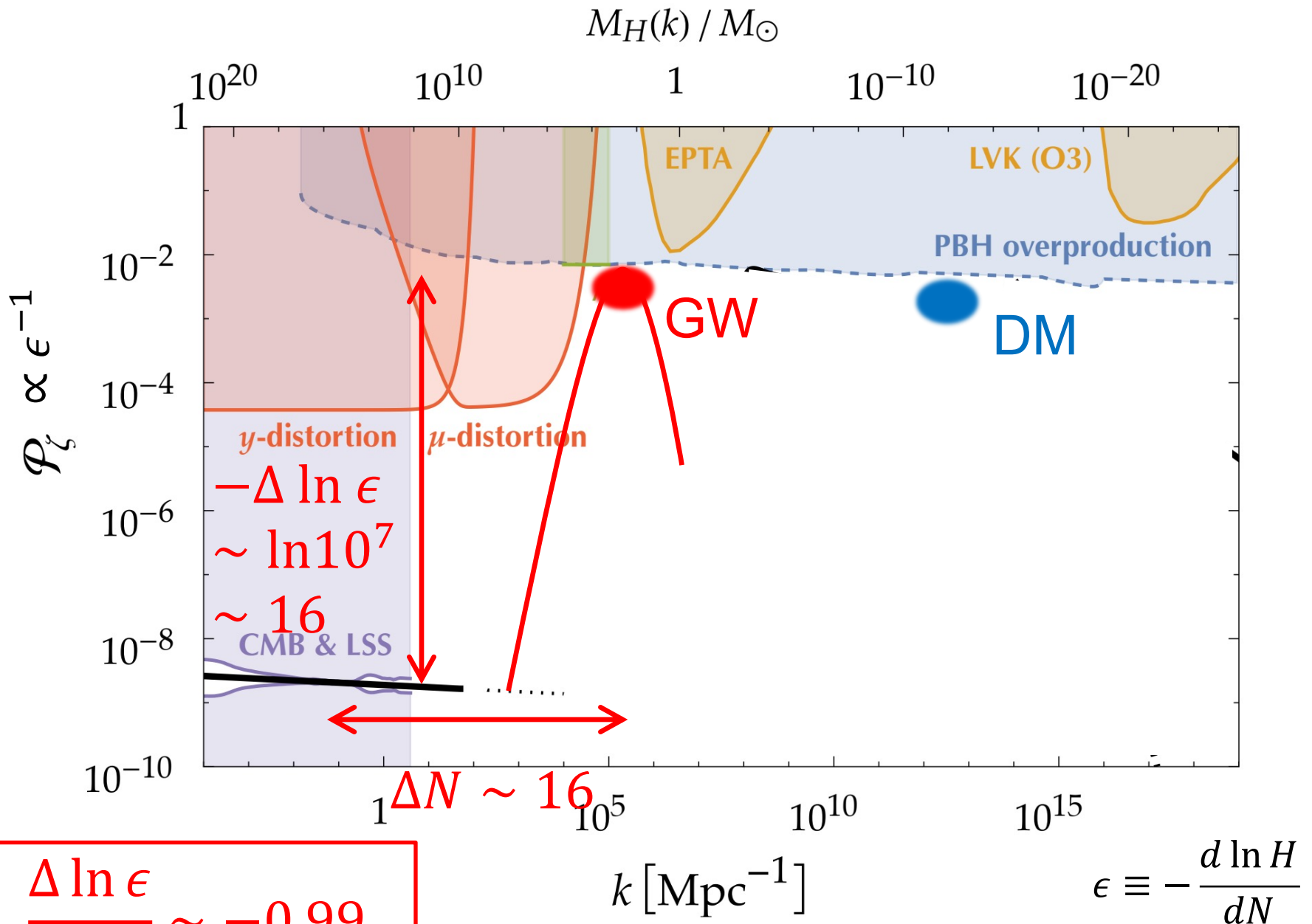


Carr, Kohri, Sendouda, Yokoyama, 2002.12778



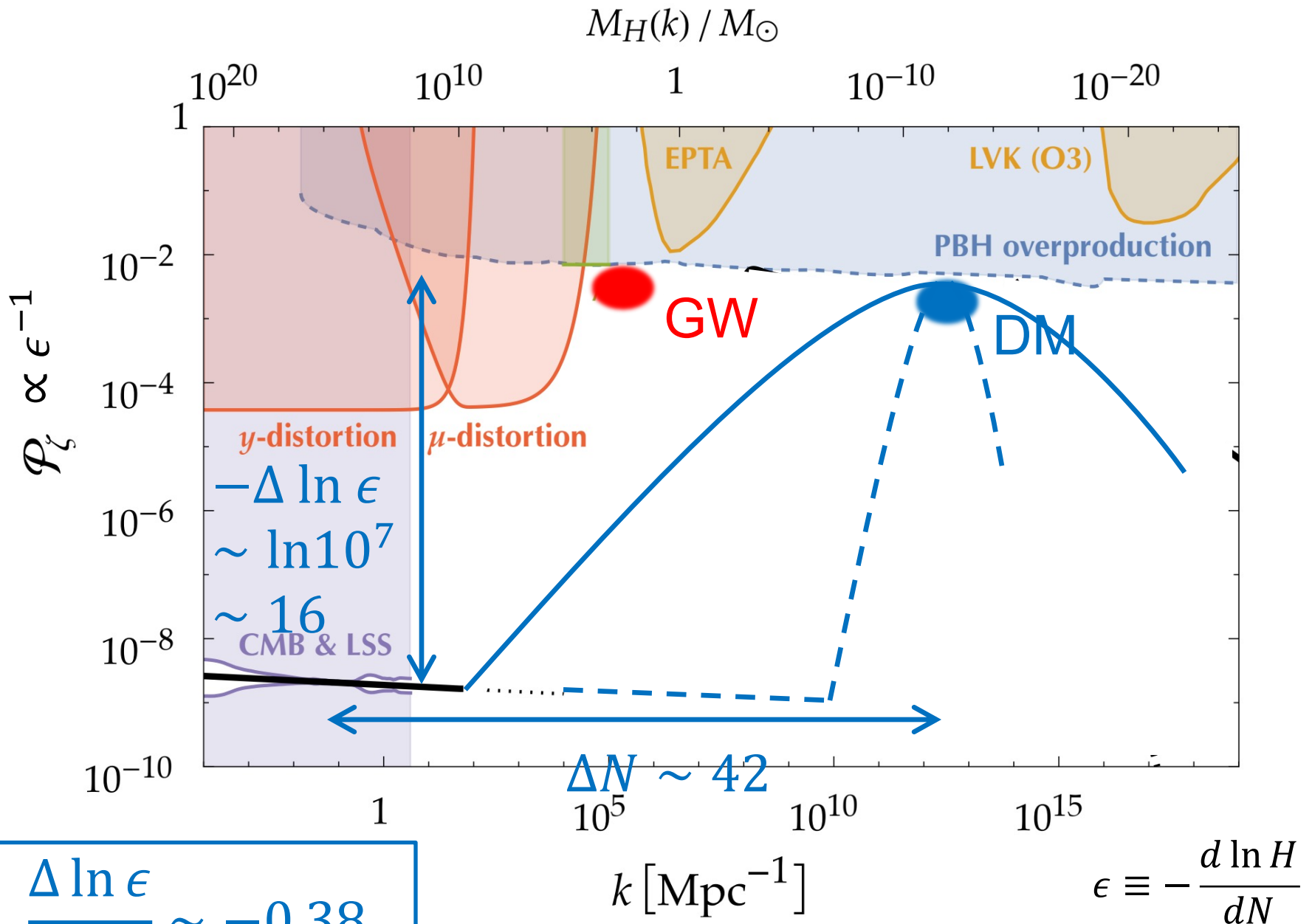
$$\Delta N \approx 18 - \frac{1}{2} \ln \frac{M_{\text{PBH}}}{M_{\odot}} < 60$$





$$\frac{\Delta \ln \epsilon}{\Delta N} \sim -0.99$$





$$\frac{\Delta \ln \epsilon}{\Delta N} \sim -0.38$$

# No go of slow roll for PBH production

HM, Hu, 1706.06784

$$\frac{\Delta \ln \epsilon}{\Delta N} \lesssim -0.4$$

$$\epsilon \equiv -\frac{d \ln H}{dN}, \quad \eta \equiv \frac{d \ln \epsilon}{dN}$$

Slow-roll condition (  $\epsilon \ll 1$ ,  $|\eta| \ll 1$  )

must be violated transiently for

PBH production from canonical single-field inflation.

Potentials with

$$\eta \lesssim -0.4$$

$\eta \neq \text{const.}$

$$\eta = -6 \quad \subset \quad \eta = \text{const.}$$

# Potentials with

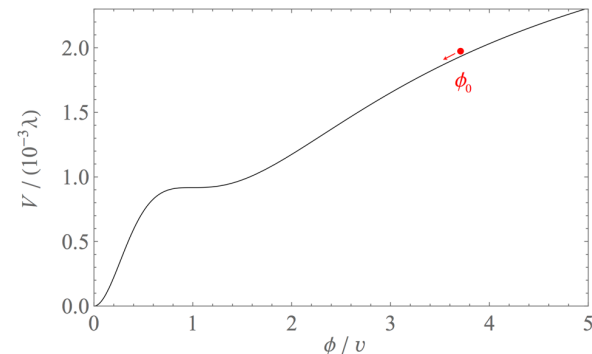
$$\eta \lesssim -0.4$$

Ultra slow roll /  
Inflection model

$$\eta = -6 \subset \eta = \text{const.}$$

$$\eta \neq \text{const.}$$

- Extreme model
- Technically cumbersome



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Ultra slow roll /  
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$$\eta = -6$$

⊂

Constant roll

$$\eta = \text{const.}$$

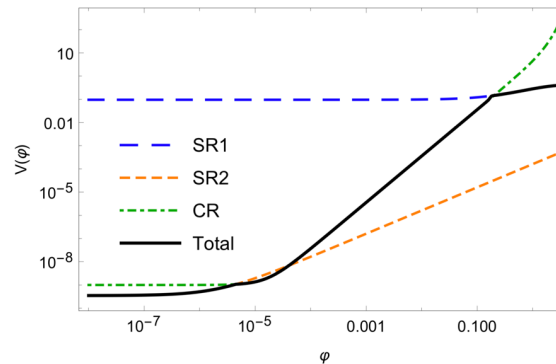
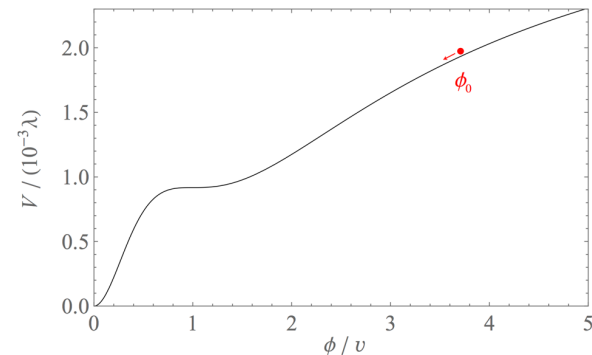
$\eta \neq \text{const.}$

- Extreme model
- Technically cumbersome

- ✓ General model
- ✓ Easy to handle
- ✓ Exact solution!

Martin, Suyama, HM 1211.0083

HM+ 1411.5021, 1910.13235



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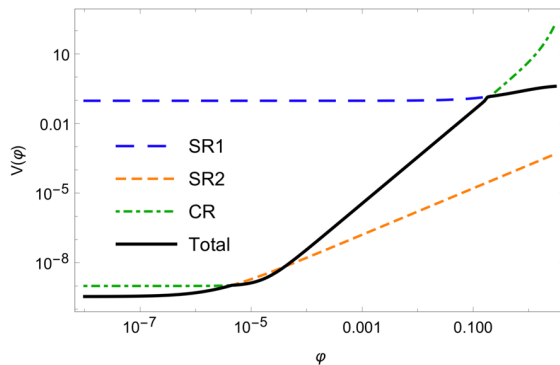
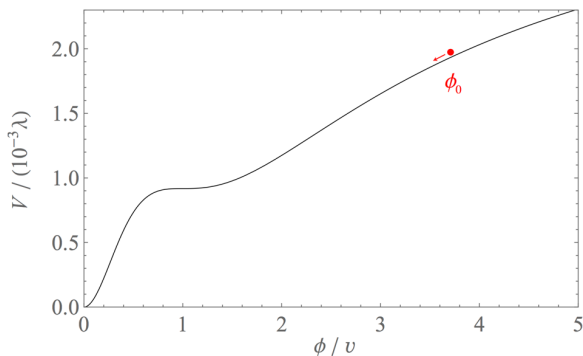
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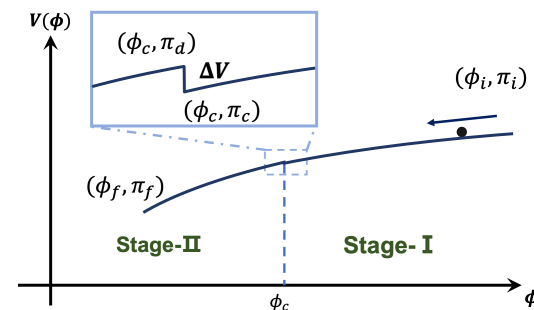
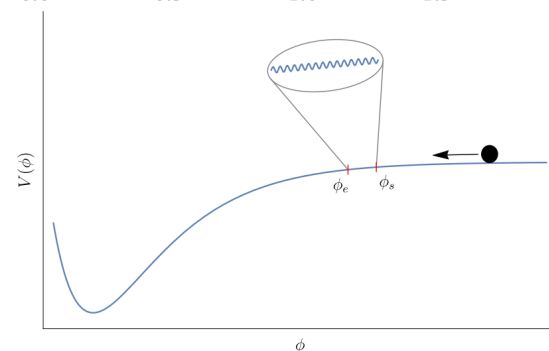
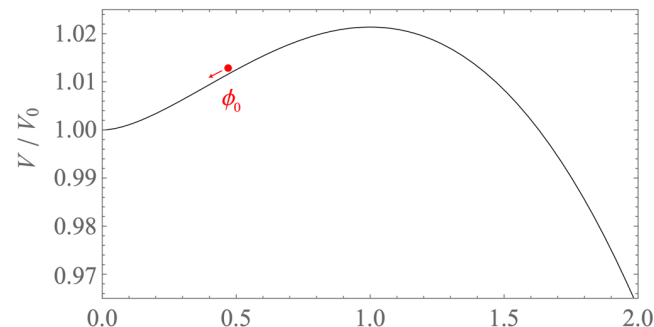
Martin, Suyama, HM 1211.0083  
HM+ 1411.5021, 1910.13235



## Others

(Running mass,  
resonance, bump, etc)

$$\eta \neq \text{const.}$$



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Slow roll violation



Simple model:  
Constant-roll inflation

$$\eta = 2\beta = \text{const.}$$



Bispectrum and  
one-loop corrections

# Constant-roll inflation

$$\eta = 2\beta = \text{const.}$$

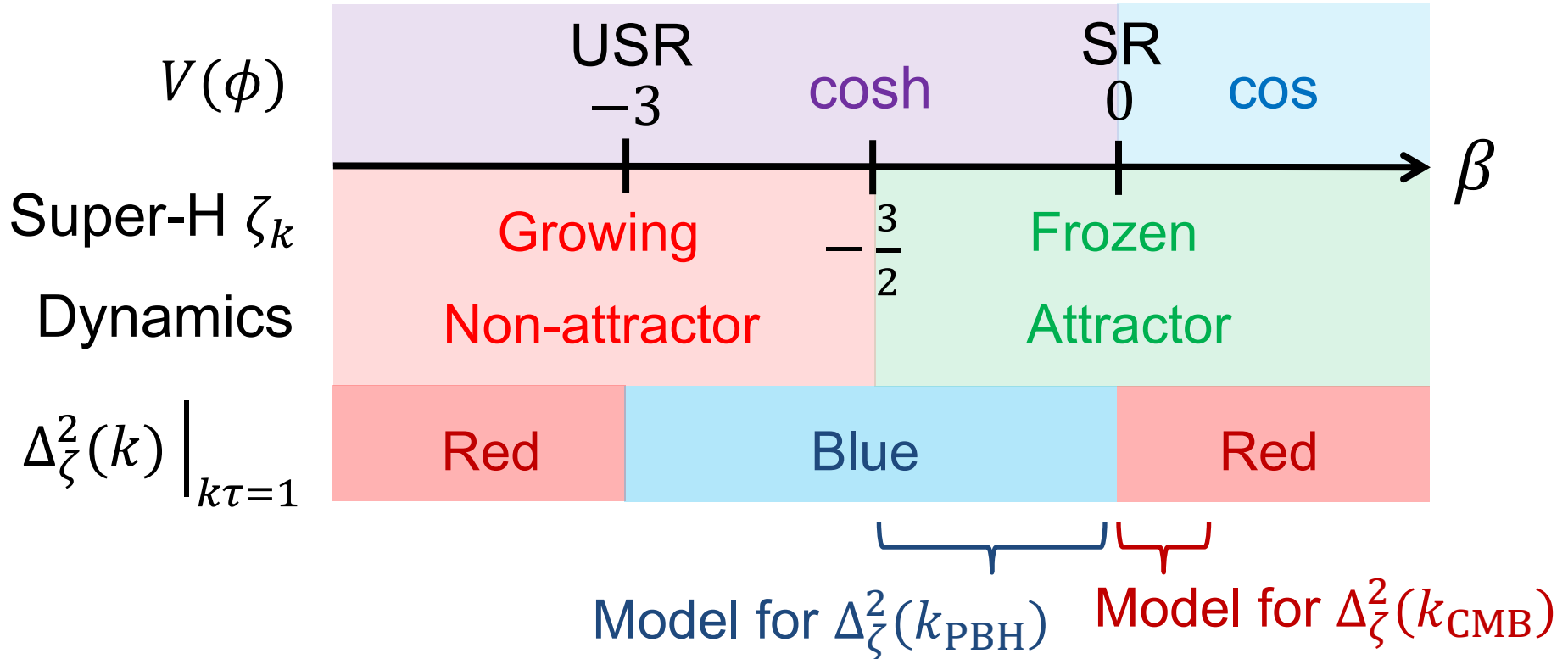
$$\eta \equiv \frac{d \ln \epsilon_H}{dN} \simeq \frac{2\ddot{\phi}}{H\dot{\phi}}$$

- ✓  $V(\phi)$  = simple functions (cos / cosh)
- ✓ Exact solution for  $\phi(t), H(t), a(t)$
- ✓ Can create red spectrum (for CMB) and blue spectrum (for PBH) by adjusting  $\beta$

Model	HM, Starobinsky, Yokoyama, 1411.5021
CMB	HM, Starobinsky, 1702.05847
PBH	HM, Mukohyama, Olios, 1910.13235 HM, Tada, 2303.16035



$$\ddot{\phi}/(H\dot{\phi}) = \beta \text{ (constant)}$$



HM, Mukohyama, Ollosi, 1910.13235

$$\beta \approx 0.015$$

HM, Starobinsky, Yokoyama, 1411.5021

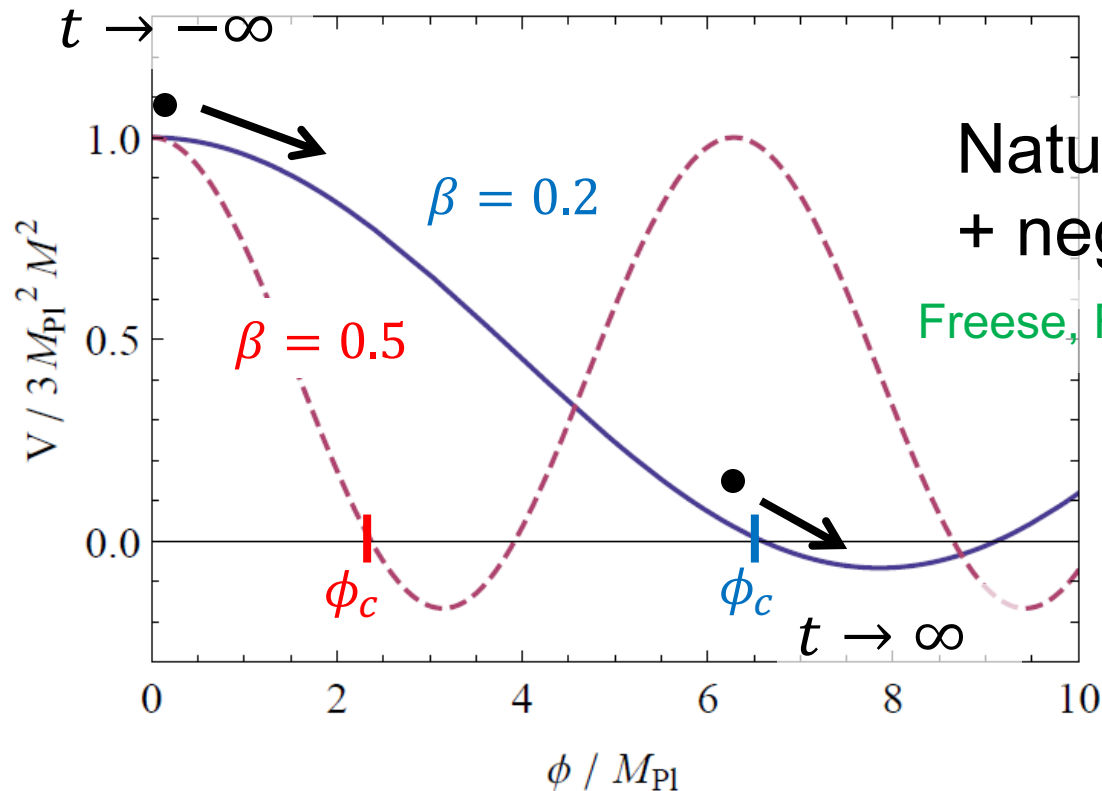
HM, Starobinsky, 1702.05847

$$n_s - 1 \big|_{k\tau=1} = 3 - |2\beta + 3|$$

# $\beta > 0$ : cos potential

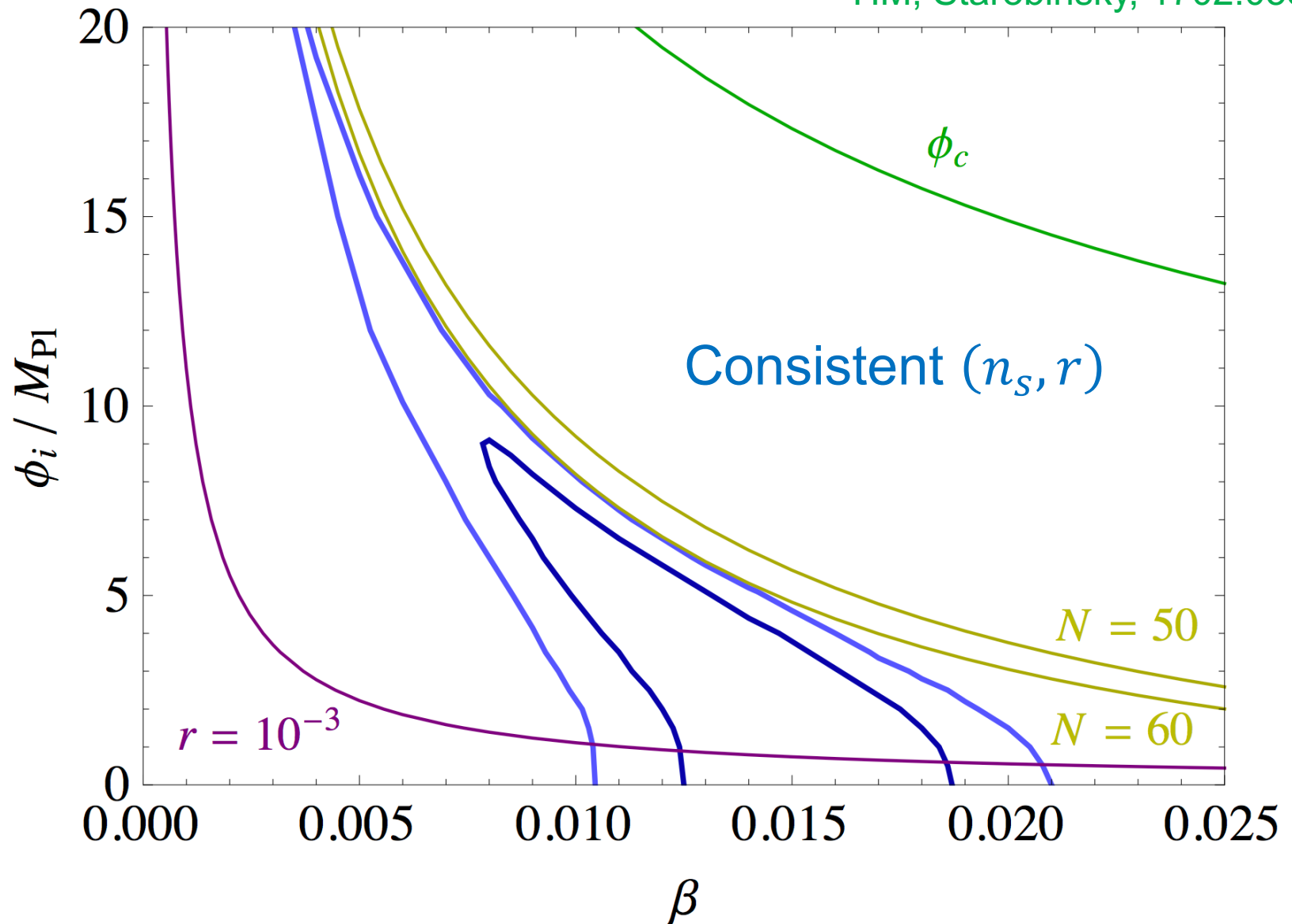
$$\frac{V(\phi)}{M^2 M_{Pl}^2} = 3 \left[ 1 - \frac{3 + \beta}{6} \left\{ 1 - \cos \left( \sqrt{2\beta} \frac{\phi}{M_{Pl}} \right) \right\} \right]$$

Assume a transition to reheating at  $\phi < \phi_c$ .



# CMB constraint on constant-roll inflation

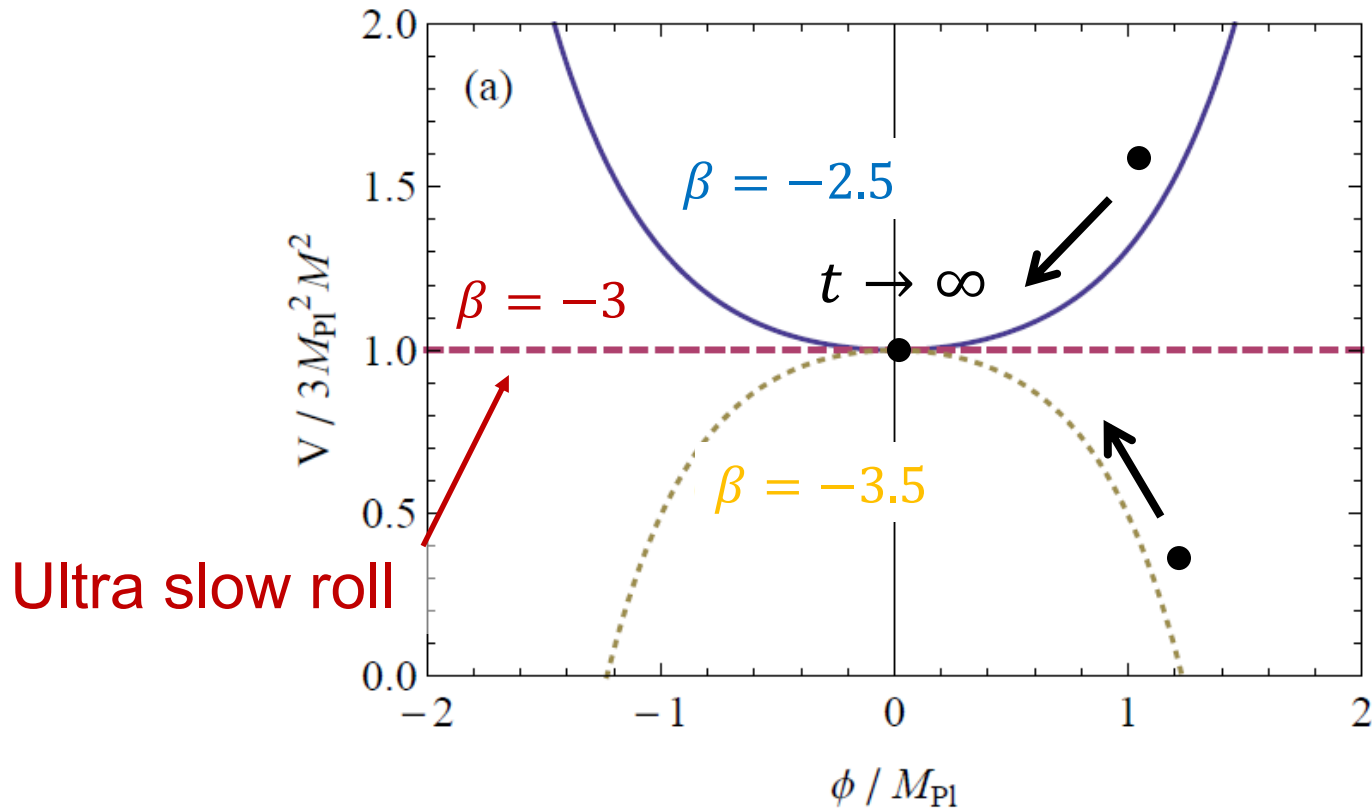
HM, Starobinsky, 1702.05847



# $\beta < 0$ : cosh potential

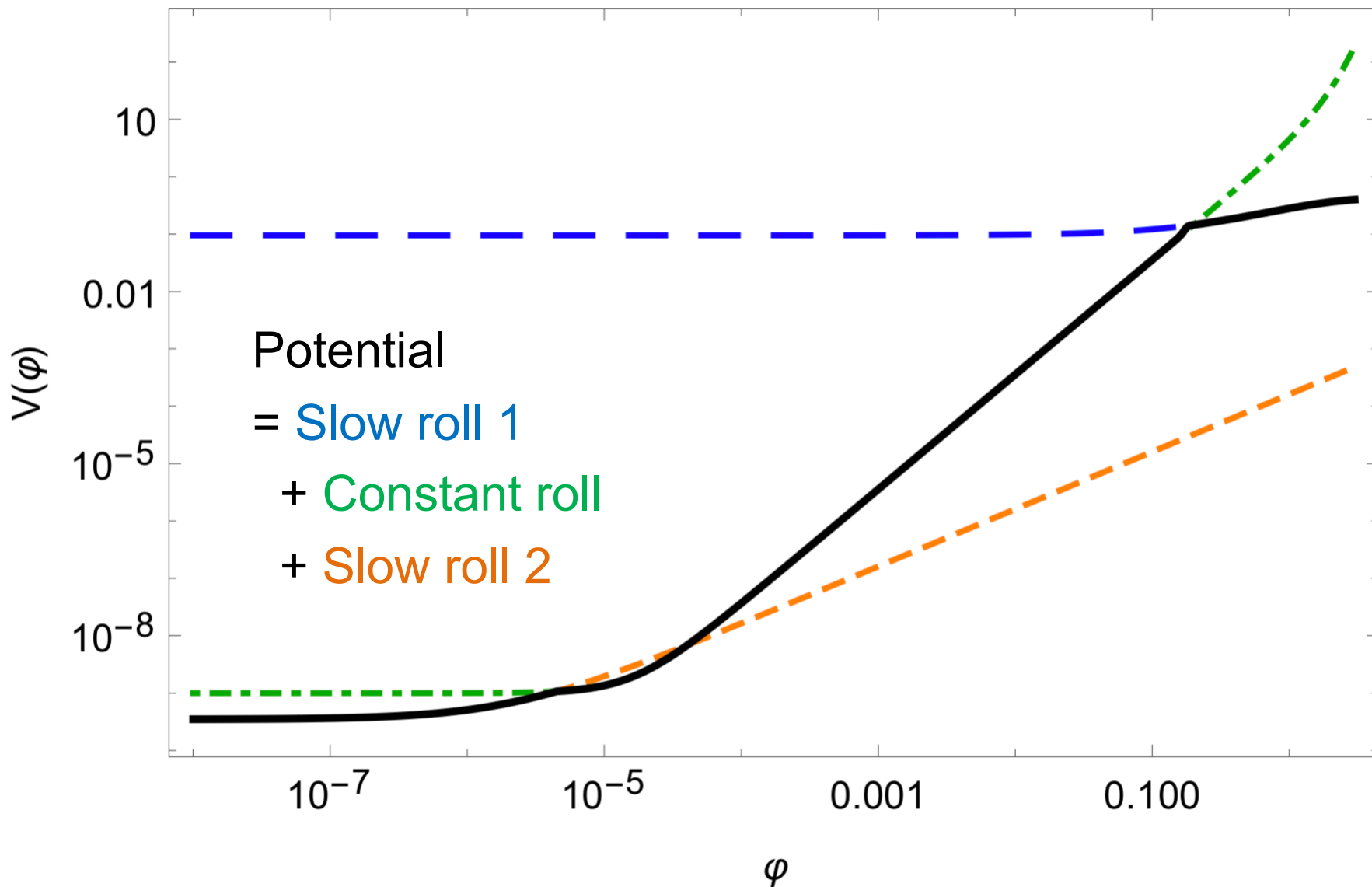
$$\frac{V(\phi)}{M^2 M_{Pl}^2} = 3 \left[ 1 - \frac{3 + \beta}{6} \left\{ 1 - \cosh \left( \sqrt{-2\beta} \frac{\phi}{M_{Pl}} \right) \right\} \right]$$

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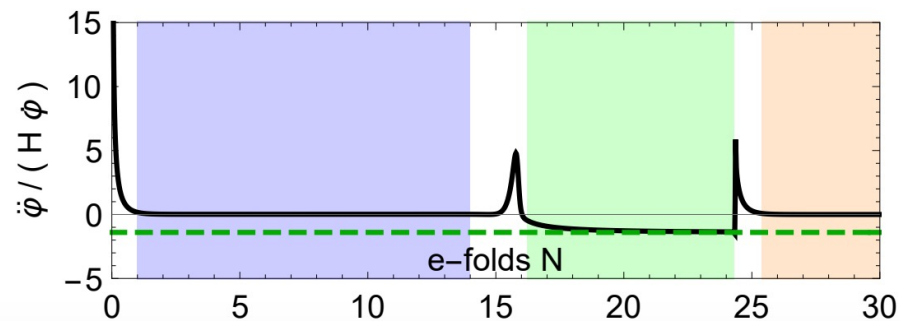
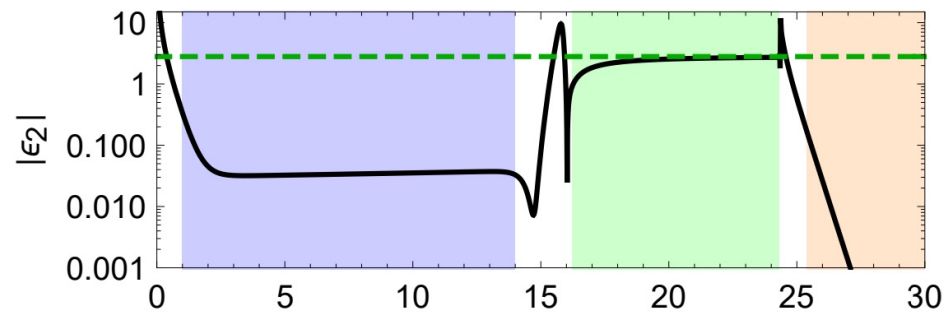
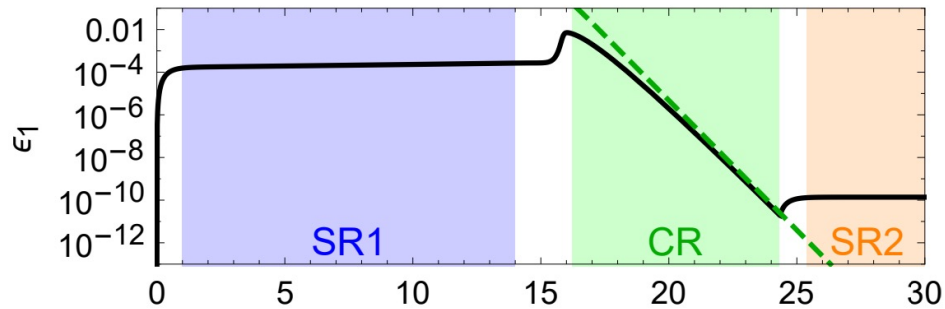
# PBH from constant-roll inflation

HM, Mukohyama, Ollosi, 1910.13235



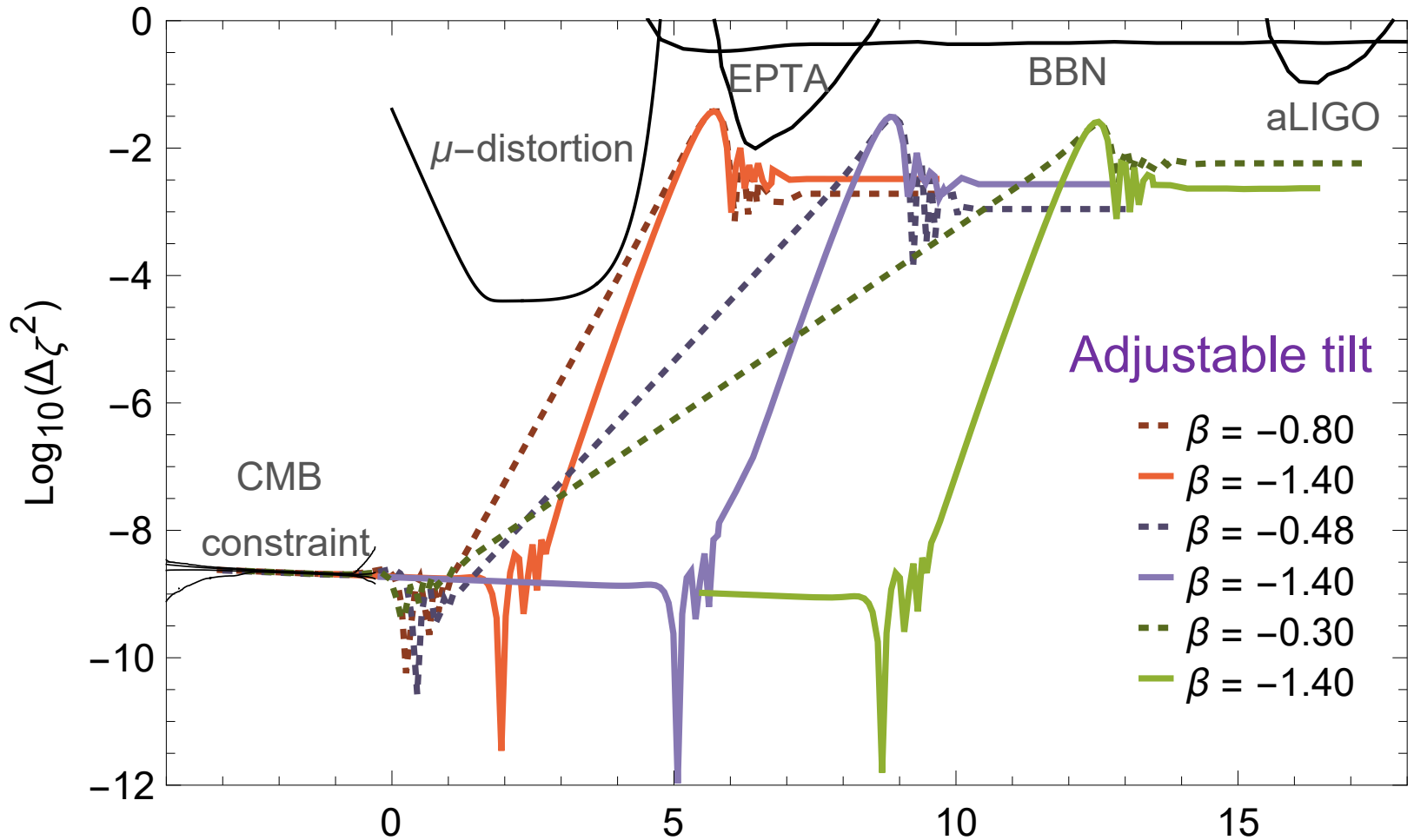
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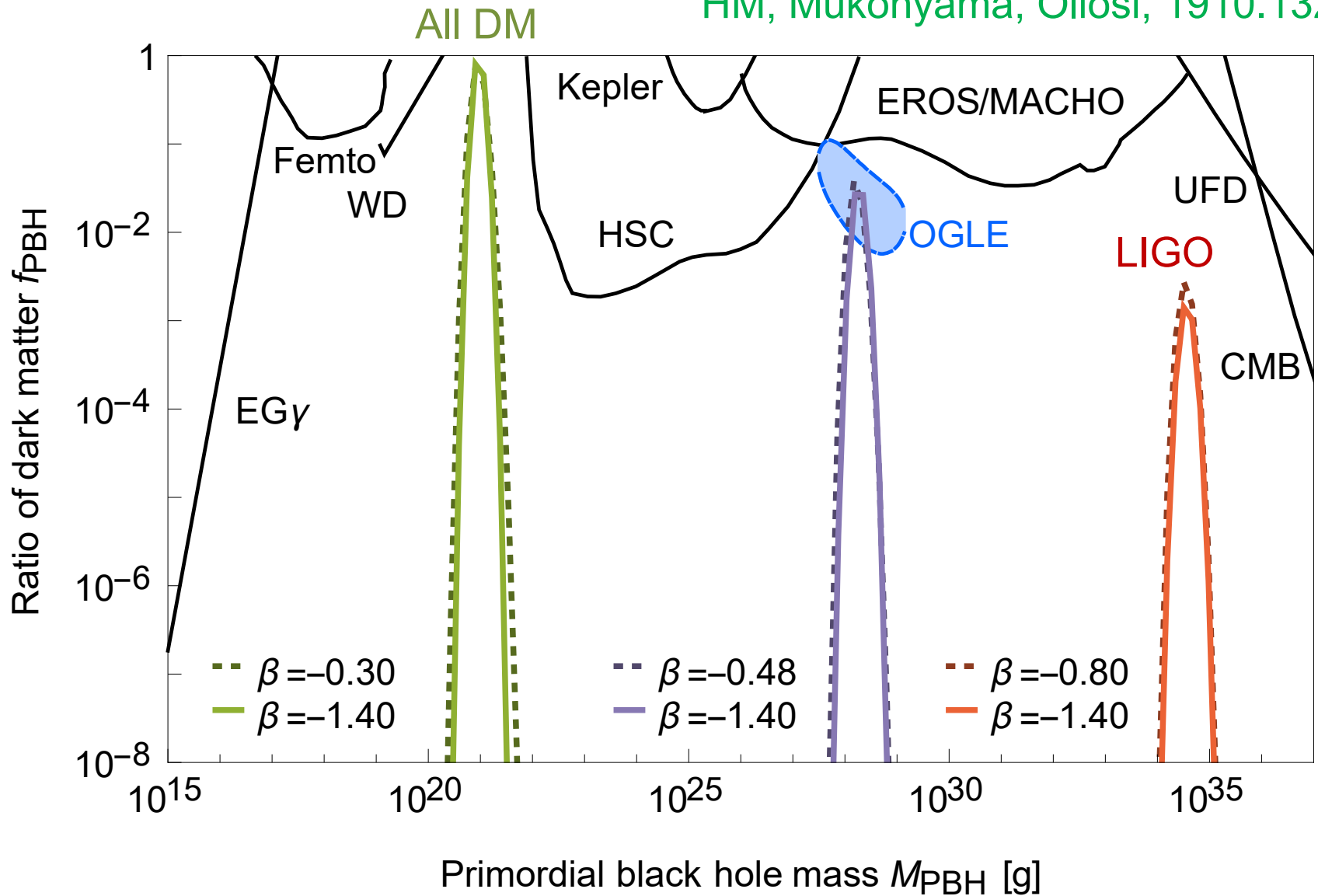


$$n_s - 1 = 3 - |2\beta + 3|$$

$\text{Log}_{10}(k / 1 \text{ Mpc}^{-1})$

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( $\beta \approx 0$ : SR,  $\beta = -3$ : USR)



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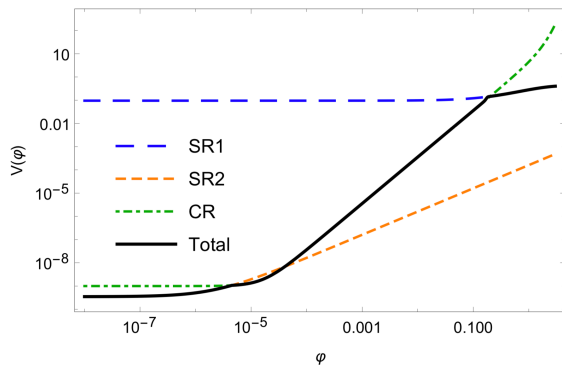
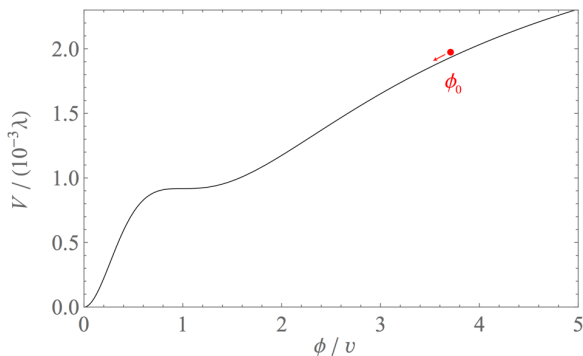
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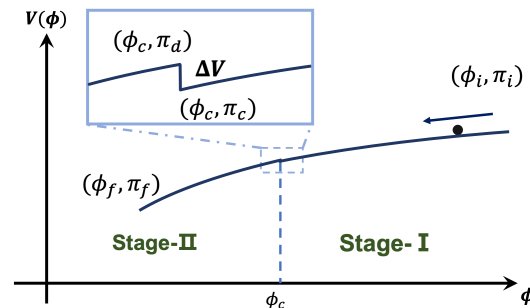
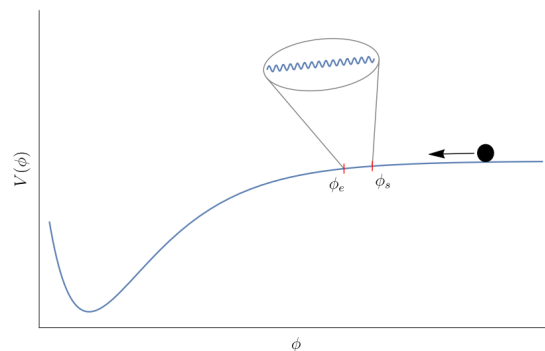
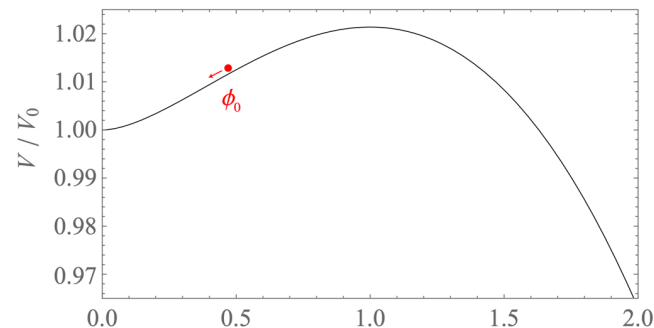
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Recent arguments:

PBH formation from single-field inflation is  
“ruled out” due to  $\mathcal{P}_{1\text{-loop}} \gg \mathcal{P}_{\text{tree}}$ ?

Kristiano, Yokoyama, 2211.03395

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- Instantaneous transitions
- Considering only  $\dot{\eta}$  term in  $H_{\text{int}}$

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Too general  
conclusions from  
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\* Breakdown of perturbation theory does not necessarily mean the model is ruled out.

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Kristiano, Yokoyama, 2211.03395

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⇒ Counterexample satisfying  $\mathcal{P}_{1\text{-loop}} \ll \mathcal{P}_{\text{tree}}$

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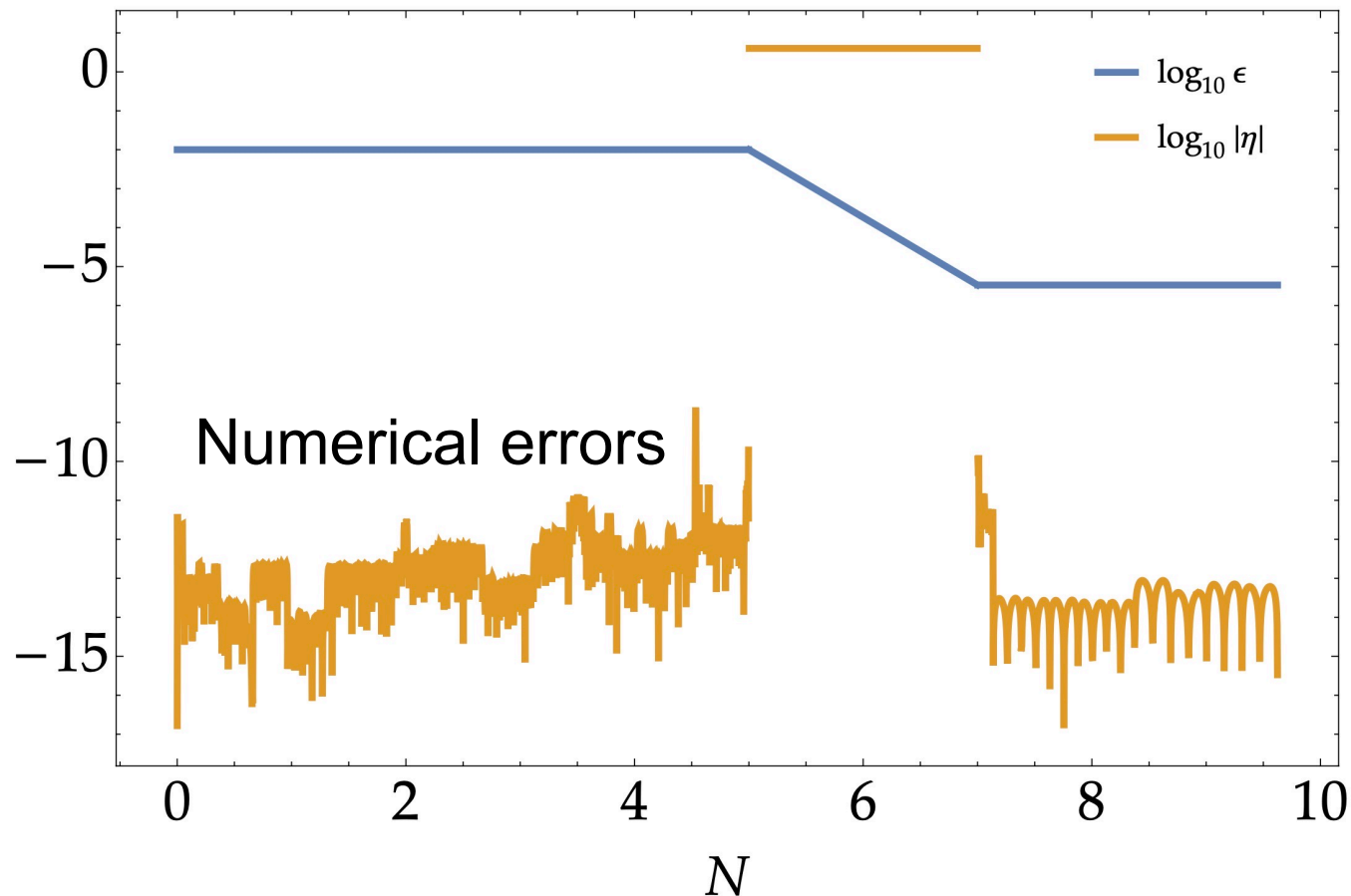
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HM, Tada, 2303.16035

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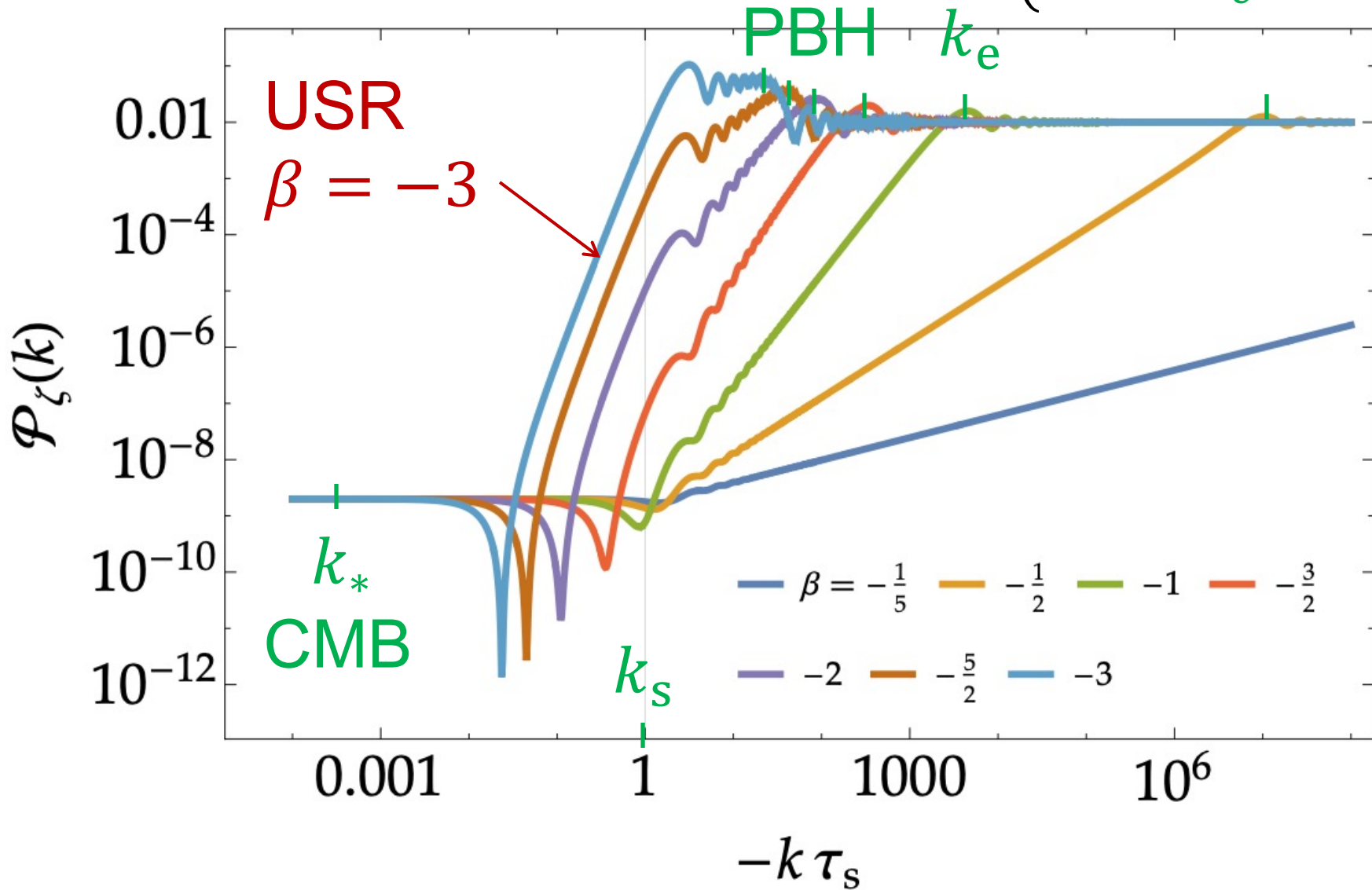
$$\eta = \begin{cases} 0, & \tau < \tau_s \\ 2\beta, & \tau_s \leq \tau < \tau_e \\ 0, & \tau_e \leq \tau \end{cases}$$

We have derived a potential to realize transient constant-roll evolution.



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# Squeezed bispectrum

Non-Gaussianity parameter for  $k_L \ll k_S$

$$f_{\text{NL}}(k_L, k_S) = \frac{5}{12} \frac{B(k_L, k_S, k_S)}{P(k_L)P(k_S)}$$

Consistency relation

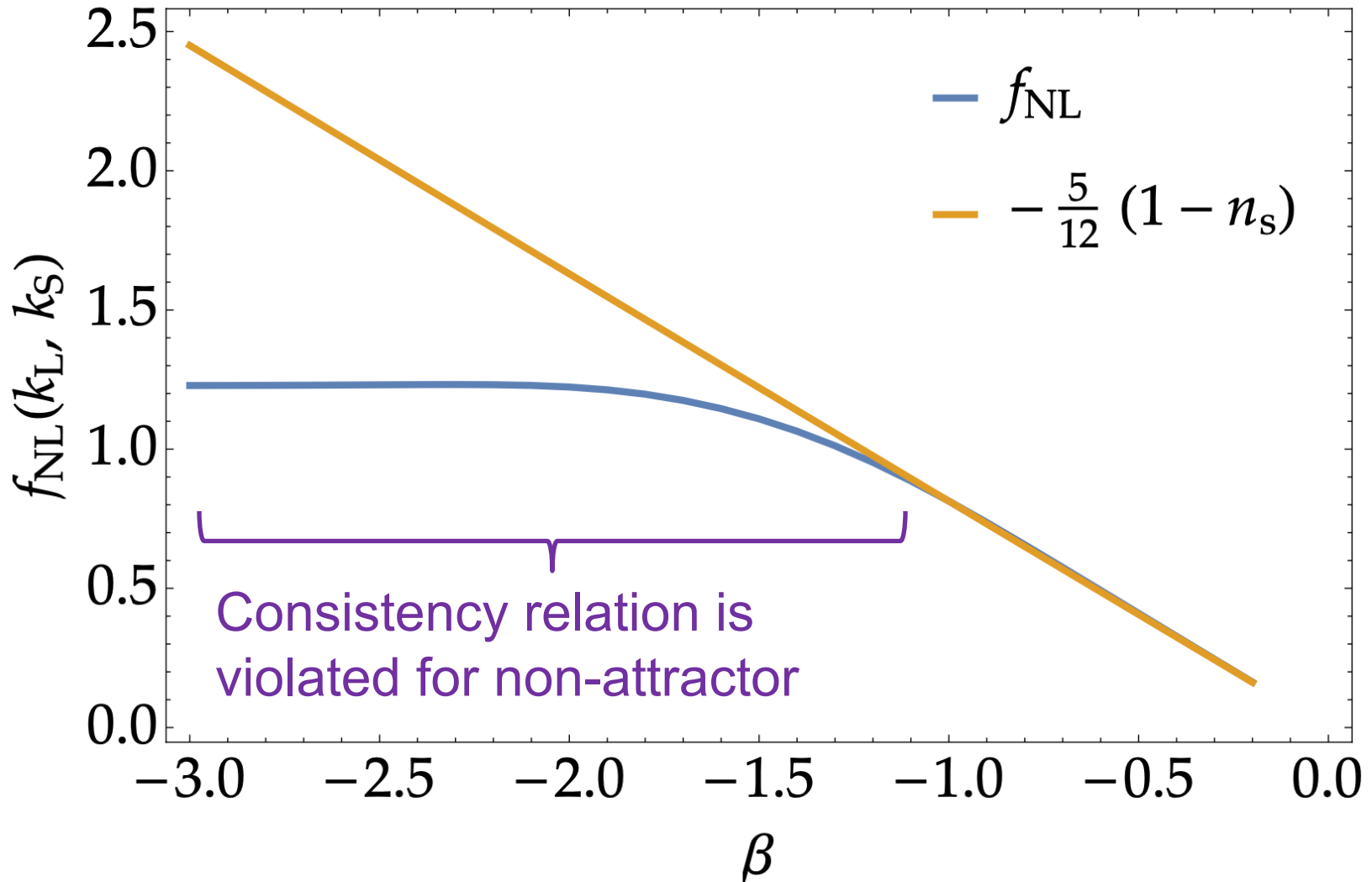
$$f_{\text{NL}}(k_L, k_S) = \frac{5}{12} (n_s - 1)$$

Maldacena, astro-ph/0210603

# Squeezed bispectrum

HM, Tada, 2303.16035

$$-k_L \tau_e = 0.1, \quad -k_S \tau_e = 97.5$$



- SR-CR-SR model
- Instantaneous transitions
- In-in formalism
- Considering only  $\dot{\eta}$  term in  $H_{\text{int}}$  at  $\tau = \tau_e$

$$\eta = \begin{cases} 0, & \tau < \tau_s \\ 2\beta, & \tau_s \leq \tau < \tau_e \\ 0, & \tau_e \leq \tau \end{cases}$$

Perturbativity requirement:

$$\frac{\mathcal{P}_{(1)}(k_*)}{\mathcal{P}_{\text{SR}}(k_*)} \approx \beta^2 \mathcal{P}_{\text{SR}}(k_*) I\left(\beta, \frac{k_e}{k_s}\right) \ll 1$$

$$\Rightarrow \frac{k_e}{k_s} \ll \ell_{\text{crit}}(\beta)$$

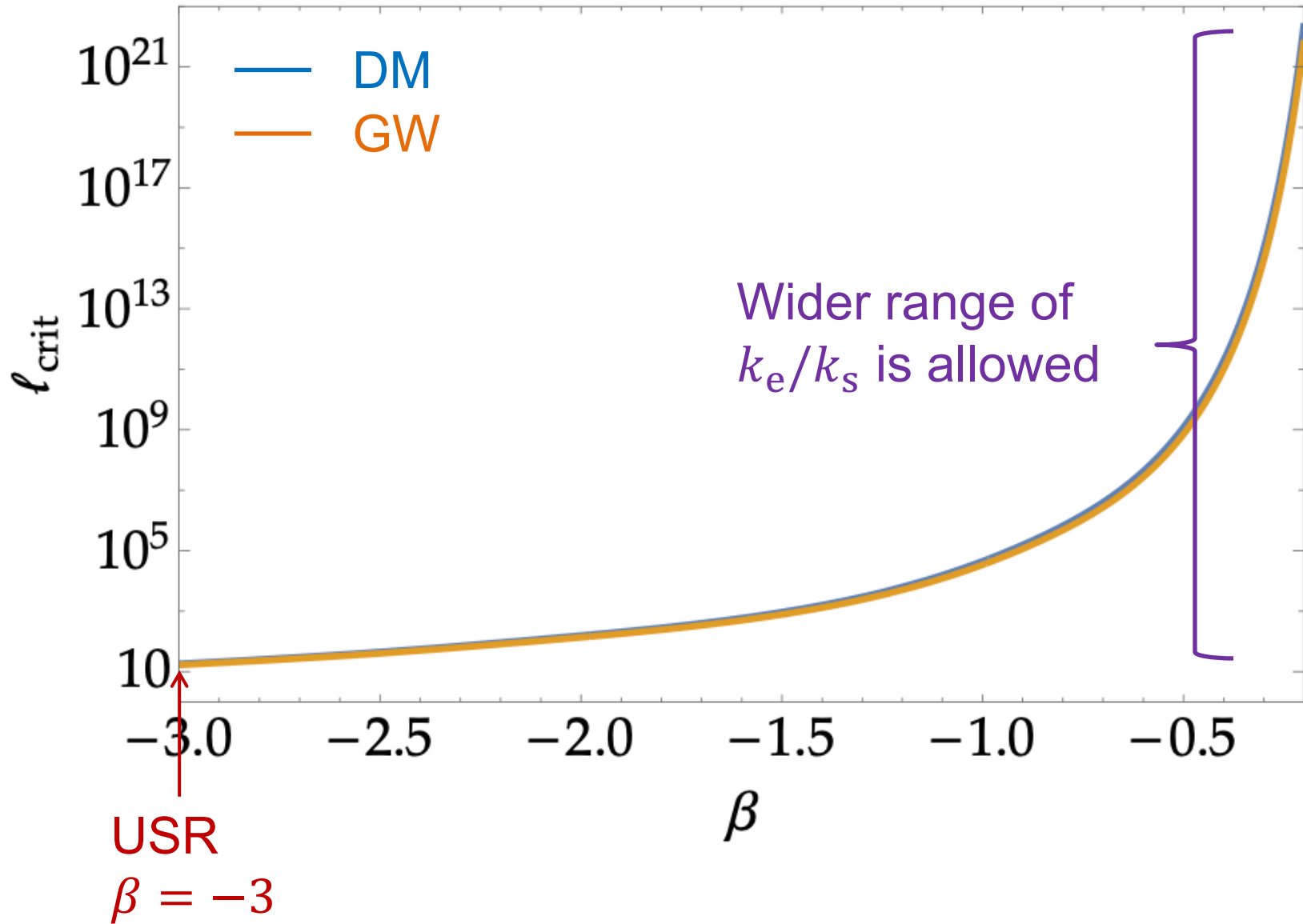
$$\Rightarrow \mathcal{P}_{\text{PBH}} \ll \mathcal{P}_{\text{crit}}(\beta)$$

If  $\mathcal{P}_{\text{crit}} = O(10^{-2})$ , PBH formation is not compatible with perturbativity.

If  $\mathcal{P}_{\text{crit}} > 1$ , there is no constraint from perturbativity.

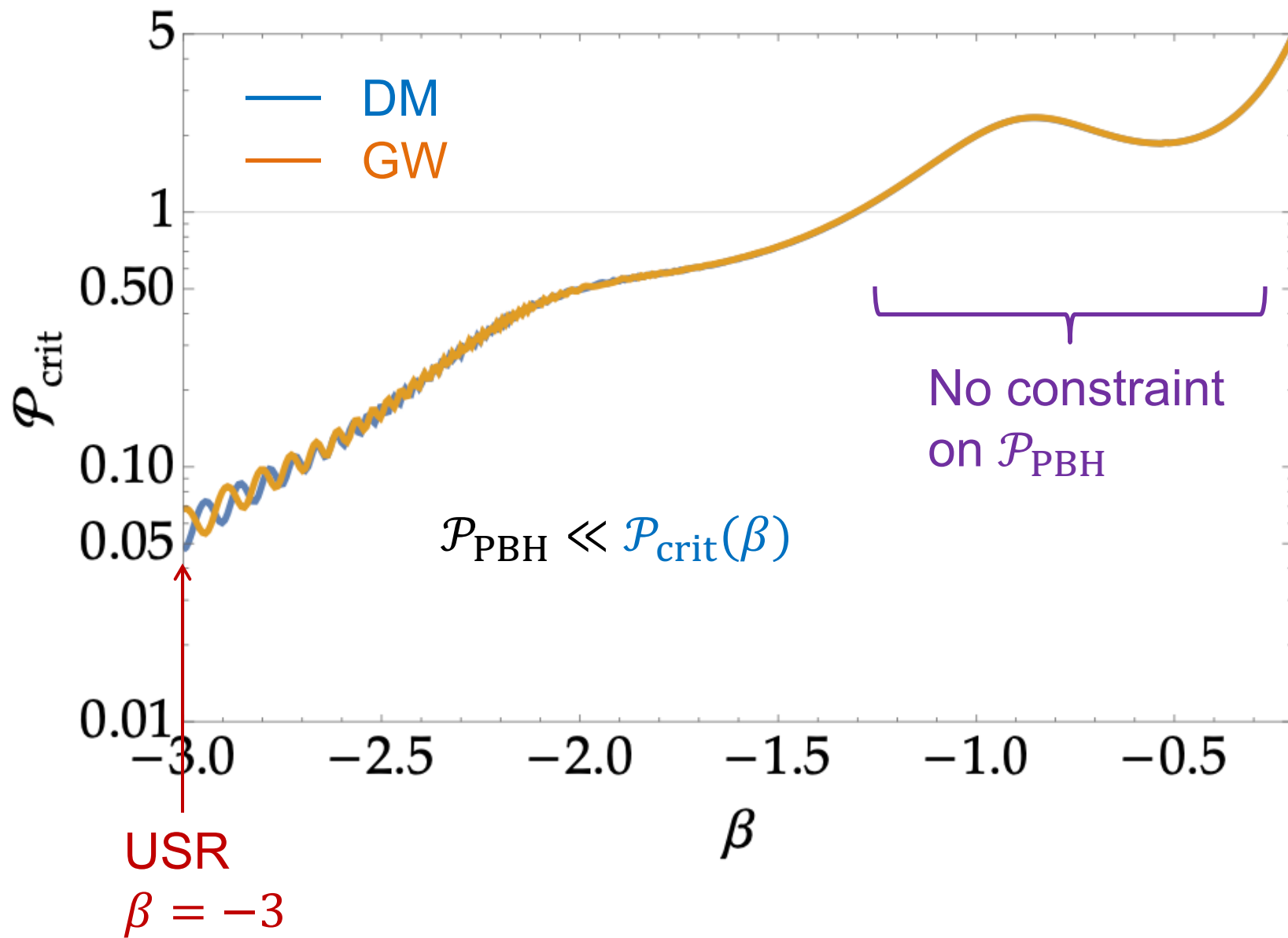
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HM, Tada, 2303.16035



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HM, Tada, 2303.16035



# PBH production from single-field inflation

One-loop corrections seem to be large if we focus on:

- SR-**USR**-SR model
- **Instantaneous** transitions
- Considering **only  $\dot{\eta}$  term** in  $H_{\text{int}}$

They are actually subdominant if we relax one of the assumptions:

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- SR-**CR**-SR model HM, Tada, 2303.16035
- **Smooth** transitions Firouzjahi, Riotto, 2304.07801
- Considering **all terms** in  $H_{\text{int}}$  Fumagalli, 2305.19263  
Tada, Terada, Tokuda, 2308.04732

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