

# Progress in $\epsilon$ s' et al and in Unitarity Triangles

Amarjit Soni  
(BNL-HET)

LHCb-Implications 2023  
10/25/23

Valuable inputs from: Buras, Cirigliano, D'Ambrosio, Isidori,  
Martinelli, Pich.....

Citations Incomplete; apologies

# Outline

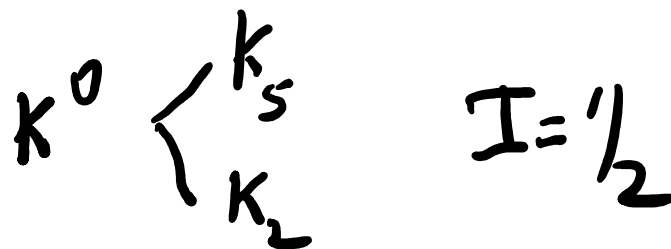
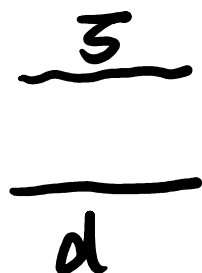
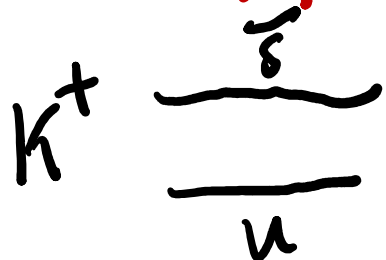
- Motivation: It is exceedingly important to determine UTs as precisely as possible....
- Progress in lattice eps'
- K UT
- B UT: esp gamma
- Summary

# Main point for lattice eps' effort

- **Calculational framework for  $K \Rightarrow \pi\pi$  & eps'**
- **Obstacles aglore and major break-throughs**
- **Lattice chiral symmetry even for a finite non-vanishing lattice spacing! :**  
DWQ *Kaplan; Shamir; Blum + AS*
- **Direct  $K \Rightarrow \pi\pi$  w/o ChPT using finite vol correlation functions** *Lellouch-Lüscher*
- **Non-perturbative renormalization** *Martinelli + Sachrajda... NPR*
- **1<sup>st</sup> [prot-type] demonstration....~2015** *GPBC*
- **Difficulty therein : strong  $I=0$   $\pi\pi$  phase** *CRIS*
- **1<sup>st</sup> complete result with GPBC, 2020** *Kelly*
- **2<sup>nd</sup> independent method developed, 2023** *PBC, MASAAKI TOMII*
- **Lattice applications to Kaon UT**

# Recapitulate: Many fascinating aspects of kaons=> led to several profoundly important discoveries in Particle Physics

**I:  $\Delta I = 1/2$  RULE / PUZZLE**



$$\rightarrow 2\pi \left( I=2, \Delta I=3/2 \right)$$

*only  $K$*

$$\rightarrow 2\pi \left( I=0, 2; \Delta I=1/2, 3/2 \right)$$

$$\tau_{K^+} / \tau_{K_S} \sim 450! \gg 1 \Rightarrow \Delta I = \text{DOMINANCE / RULE / PUZZLE}$$

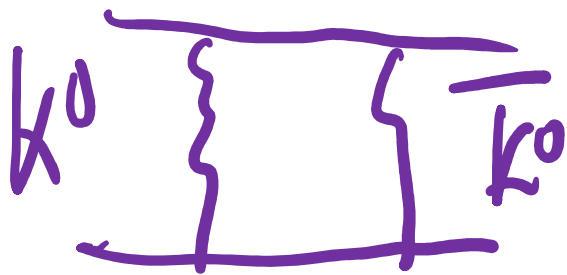
### III Indirect CP violation

BNL 1964 Fitch, Cronin, Christensen + Turlay

$$\frac{A(K_L \rightarrow \pi\pi)}{A(K_S \rightarrow \pi\pi)} \neq 0 !$$
$$\approx 2.23 \times 10^{-3}$$

NOBEL PRIZE  
Cronin + Fitch

$\equiv \epsilon_K$



CPV in state mixing,  $\Delta S=2$  Heff

IV:  $\epsilon' / \epsilon$ : Direct CPV

EXPERIMENTAL  
ROUTE

$$\eta_{+-} = |\eta_{+-}| e^{i\phi_{+-}} = \frac{A(K_L \rightarrow \pi^+ \pi^-)}{A(K_S \rightarrow \pi^+ \pi^-)}$$

$$\eta_{00} = |\eta_{00}| e^{i\phi_{00}} = \frac{A(K_L \rightarrow \pi^0 \pi^0)}{A(K_S \rightarrow \pi^0 \pi^0)}$$

$$\eta_{+-} = \epsilon + \epsilon', \quad \eta_{00} = \epsilon - 2\epsilon'$$

$$\epsilon' = \frac{1}{3} (\eta_{+-} - \eta_{00}) \Rightarrow 0(10^{-3}) - 0(10^{-3}) \Rightarrow 10^{-6}$$

$$\epsilon = \frac{1}{3} (2\eta_{+-} + \eta_{00})$$

conf on NP@LHC 02/29/16; A. L. Con

10

$K \rightarrow 2\pi$

$$\text{Re}\left(\frac{\epsilon'}{\epsilon}\right) = \text{Re}\left\{\frac{i\omega e^{i(\delta_2 - \delta_0)}}{\sqrt{2}\epsilon} \left[ \frac{\text{Im}A_2}{\text{Re}A_2} - \frac{\text{Im}A_0}{\text{Re}A_0} \right]\right\}$$

$\nearrow I=2$        $\nearrow I=0$

Use lattice to calculate 6 quantities:  
 ReA0, ReA2 known from expt;  $\delta_0, \delta_2$  via  
 ChPT etc.. So very good checks;  
 ImA, ImA2 unknown

$\omega \equiv \text{Re}A_2 / \text{Re}A_0$   
 $\sim 0.045$

Indirect CP

→

$$|\epsilon| = 2.228(11) \times 10^{-3}$$

DIRECT CP

$$\text{Re}(\epsilon'/\epsilon) = 1.65(26) \times 10^{-3}$$

$\epsilon' \ll \epsilon$

$\epsilon' \sim 10^{-6} \epsilon!$

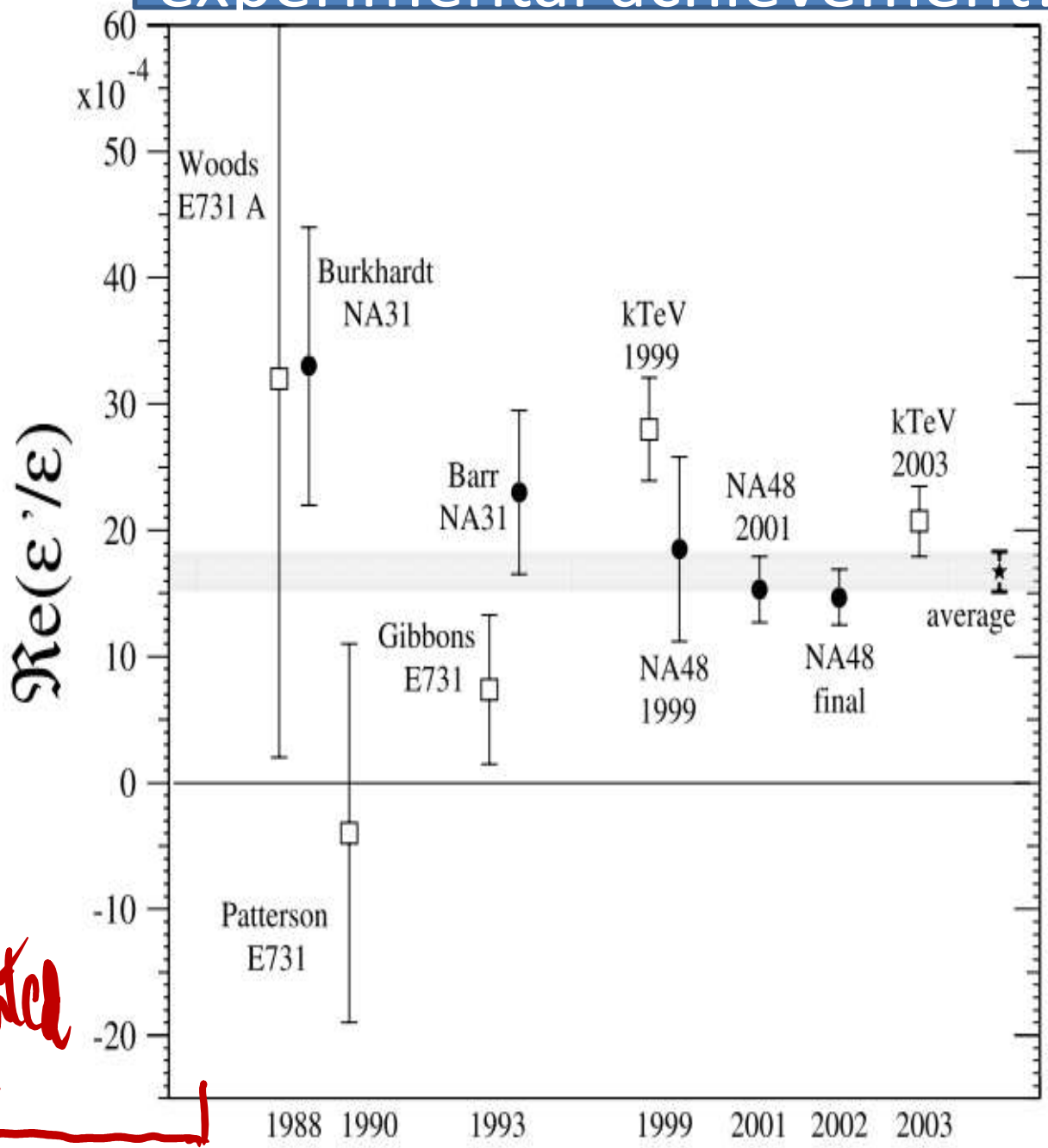
# A.S. in Proceedings of Lattice '85 (FSU)..1<sup>st</sup> Lattice meeting ever attended

The matrix elements of some penguin operators control in the standard model another CP violation parameter, namely  $\epsilon'/\epsilon$ .<sup>6,8)</sup> Indeed efforts are now underway for an improved measurement of this important parameter.<sup>10)</sup> In the absence of a reliable calculation for these parameters, the experimental measurements, often achieved at tremendous effort, cannot be used effectively for constraining the theory. It is therefore clearly important to see how far one can go with MC techniques in alleviating this old but very difficult

With C. Bernard  
[UCLA]



# A monumental experimental achievement!



Komrad  
kleinknecht  
"Uncertainty CPV"

16.6(2.3) x 10<sup>-4</sup>  
PDG 2014

LATTICE  
WORK STARTED

### QCD with domain wall quarks

T. Blum\* and A. Soni†

Department of Physics, Brookhaven National Laboratory, Upton, New York 11973

(Received 27 November 1996)

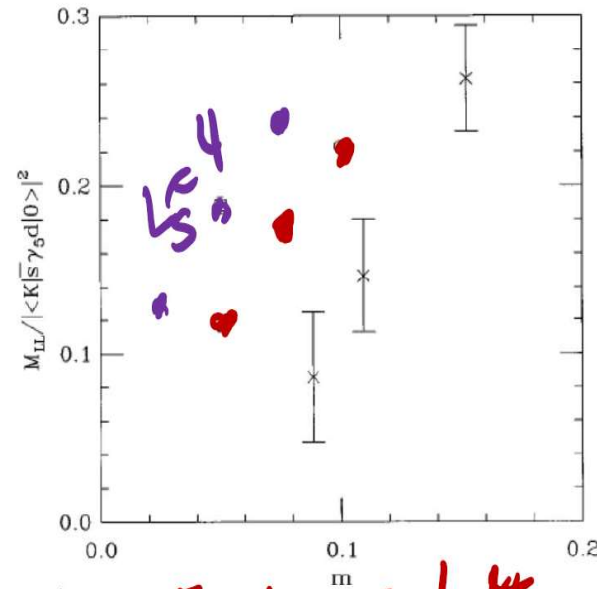
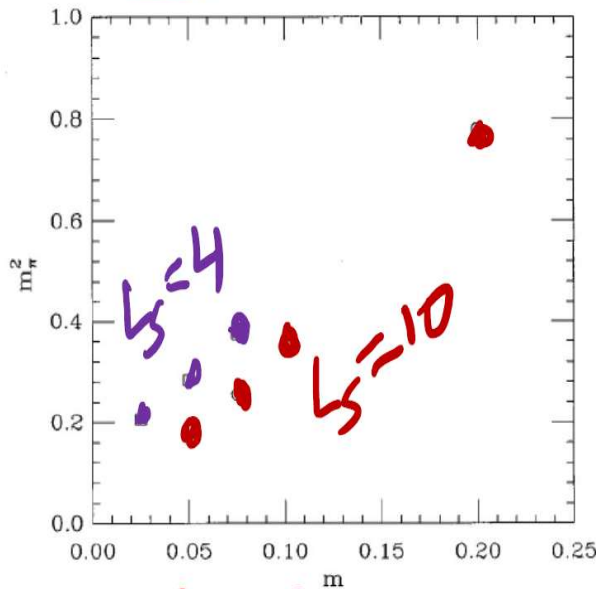
We present lattice calculations in QCD using Shamir's variant of Kaplan fermions which retain the continuum  $SU(N)_L \times SU(N)_R$  chiral symmetry on the lattice in the limit of an infinite extra dimension. In particular, we show that the pion mass and the four quark matrix element related to  $K_0-K_0$  mixing have the expected behavior in the chiral limit, even on lattices with modest extent in the extra dimension, e.g.,  $N_5 = 10$ . [S0556-2821(97)00113-6]

Two key papers

BYPASS  
NIELSEN  
(VI) NOMIYA

1st Simulation  
with DWA

Ph '97



Excellent  
Chiral  
Symmetry  
with 10  
sites in  
5th dim.

MAJOR BREAKTHROUGH FOR  $K \rightarrow \pi\pi$  Lattice Calculations

12/20/2017

# Weak Transition Matrix Elements from Finite-Volume Correlation Functions\*

Laurent Lellouch<sup>1, \*\*</sup>, Martin Lüscher<sup>2, \*\*\*</sup>

<sup>1</sup> LAPTH, Chemin de Bellevue, B.P. 110, 74941 Annecy-Le-Vieux Cedex, France

<sup>2</sup> CERN, Theory Division, 1211 Geneva 23, Switzerland

Received: 29 March 2000 / Accepted: 10 April 2000

*Dedicated to the memory of Harry Lehmann*

**Abstract:** The two-body decay rate of a weakly decaying particle (such as the kaon) is shown to be proportional to the square of a well-defined transition matrix element in finite volume. Contrary to the physical amplitude, the latter can be extracted from finite-volume correlation functions in euclidean space without analytic continuation. The  $K \rightarrow \pi\pi$  transitions and other non-leptonic decays thus become accessible to established numerical techniques in lattice QCD.

BY PWS  
MAIANI  
TESTA

# The RBC & UKQCD collaborations

## UC Berkeley/LBNL

Aaron Meyer

## BNL and BNL/RBRC

Yasumichi Aoki (KEK)

**Peter Boyle (Edinburgh)**

**Taku Izubuchi**

**Chulwoo Jung**

**Christopher Kelly**

Meifeng Lin

Nobuyuki Matsumoto

Shigemi Ohta (KEK)

**Amarjit Soni**

Tianle Wang

## CERN

Andreas Jüttner (Southampton)

Tobias Tsang

## Columbia University

**Norman Christ**

Yikai Huo

Yong-Chull Jang

Joseph Karpie

Bob Mawhinney

Bigeng Wang (Kentucky)

Yidi Zhao

## University of Connecticut

**Tom Blum**

**Luchang Jin (RBRC)**

Douglas Stewart

Joshua Swaim

**Masaaki Tomii**

## Edinburgh University

Matteo Di Carlo

Luigi Del Debbio

Felix Erben

Vera Gülpers

Tim Harris

Ryan Hill

Raoul Hodgson

Nelson Lachini

Michael Marshall

Fionn Ó hÓgáin

Antonin Portelli

James Richings

Azusa Yamaguchi

Andrew Z.N. Yong

## Liverpool Hope/Uni. of Liverpool

Nicolas Garron

## Michigan State University

**Dan Hoying**

## University of Milano Bicocca

**Mattia Bruno**

## Nara Women's University

Hiroshi Ohki

## Peking University

Xu Feng

## University of Regensburg

Davide Giusti

**Christoph Lehner (BNL)**

## University of Siegen

Matthew Black

Oliver Witzel

## University of Southampton

Alessandro Barone

Jonathan Flynn

Nikolai Husung

Rajnandini Mukherjee

Callum Radley-Scott

Chris Sachrajda

## Stony Brook University

Jun-Sik Yoo

Sergey Syritsyn (RBRC)

# Relating lattice ME to physical amplitudes

$$A_{2/0} = F \frac{G_F}{\sqrt{2}} V_{ud} V_{us} \sum_{i=1}^{10} \sum_{j=1}^7 \left[ \left( z_i(\mu) + \tau y_i(\mu) \right) Z_{ij}^{\text{lat} \rightarrow \overline{\text{MS}}} M_j^{\frac{3}{2}/\frac{1}{2}, \text{lat}} \right]$$

F is the Lellouch-Luscher factor which relates finite volume ME to the infinite volume

$$A = \frac{1}{\pi q} \sqrt{\frac{\partial \phi}{\partial q} + \frac{\partial \delta}{\partial q}} \sqrt{m_K} E_{\pi\pi} L^{2/3} M$$

↗ Phase shift

A/M is LL factor F

↘ ∝  $\frac{\delta}{L}$  for small p

$q = \frac{pL}{2\pi}$  ;

φ is a somewhat complicated function of q and boundary Conditions [See Daiqian Zhang thesis]

## Ensemble USED for $A_0$

- $32^3 \times 64$  Mobius DWF ensemble with IDSDR gauge action at  $\beta=1.75$ . Coarse lattice spacing ( $a^{-1}=1.378(7)$  GeV) but large,  $(4.6 \text{ fm})^3$  box.
- Using Mobius params  $(b+c)=32/12$  and  $L=12$  obtain same explicit  $\chi$ SB as the  $L_s=32$  Shamir DWF + IDSDR ens. used for  $\Delta I=3/2$  but at reduced cost.
- Utilized USQCD 512-node BG/Q machine at BNL, the DOE "Mira" BG/Q machines at ANL and the STFC BG/Q "DiRAC" machines at Edinburgh, UK.
- Performed 216 independent measurements (4 MDTU sep.).
- Cost is  $\sim 1$  BG/Q rack-day per complete measurement (4 configs generated + 1 set of contractions).
- G-parity BCs in 3 spatial directions results in close matching of kaon and  $\pi\pi$  energies:

$$32^3 \times 64 \times 12$$

$$m_{\pi_2} = 0.018$$

$$m_s = 0.045$$

PHYSICAL MASSES  
& Kinematics!

$$m_K = 490.6(2.4) \text{ MeV}$$

$$E_{\pi\pi}(I=0) = 498(11) \text{ MeV}$$

$$E_{\pi\pi}(I=2) = 573.0(2.9) \text{ MeV}$$

$$E_{\pi} = 274.6(1.4) \text{ MeV} \quad (m_{\pi} = 143.1(2.0) \text{ MeV})$$

IMSC; HET-BNL;soni

12/20/2017

45

$Q_2$        $Re A_0$        $Im A_0$

$Q_1$

i	Re( $A_0$ )		Im( $A_0$ )	
	$(q, q) (\times 10^{-7} \text{ GeV})$	$(\gamma^\mu, \gamma^\mu) (\times 10^{-7} \text{ GeV})$	$(q, q) (\times 10^{-11} \text{ GeV})$	$(\gamma^\mu, \gamma^\mu) (\times 10^{-11} \text{ GeV})$
1	0.383(77)	0.335(64)	0	0
2	2.89(30)	2.81(28)	0	0
3	0.0081(58)	0.0050(42)	0.20(14)	0.12(10)
4	0.081(23)	0.088(17)	1.24(35)	1.34(27)
5	0.0380(68)	0.0339(53)	0.552(99)	0.492(77)
6	-0.410(28)	-0.398(27)	-8.78(60)	-8.54(57)
7	0.001863(56)	0.001900(56)	0.02491(75)	0.02540(75)
8	-0.00726(14)	-0.00708(13)	-0.2111(40)	-0.2060(39)
9	$-8.7(1.5) \times 10^{-5}$	$-8.5(1.4) \times 10^{-5}$	-0.133(22)	-0.128(21)
10	$2.37(38) \times 10^{-4}$	$2.13(32) \times 10^{-4}$	-0.0304(49)	-0.0273(41)
Total	2.99(32)	2.86(31)	-7.15(66)	-6.93(64)

TABLE XVIII: The contributions of each of the ten four-quark operators to  $Re(A_0)$  and  $Im(A_0)$  for the two different RI-SMOM intermediate schemes. The scheme and units are listed in the column headers. The errors are statistical, only.

Christophers et al PRD 2020

Error source	Value
Excited state	-
Unphysical kinematics	5%
Finite lattice spacing	12%
Lellouch-Lüscher factor	1.5%
Finite-volume corrections	7%
Missing $G_1$ operator	3%
Renormalization	4%
Total	15.7%

TABLE XXV: Relative systematic errors on the infinite-volume matrix elements of  $\overline{\text{MS}}$ -renormalized four-quark operators  $Q'_j$ .

Re  $A_0$   
 $\downarrow$   
 $\approx 20\%$

Systematic errors

Error source	Value	
	Re( $A_0$ )	Im( $A_0$ )
Matrix elements	15.7%	15.7%
Parametric errors	0.3%	6%
Wilson coefficients	12%	12%
Total	19.8%	20.7%

Im  $A_0$   
 $\downarrow$   
 $\approx 21\%$

TABLE XXVI: Relative systematic errors on Re( $A_0$ ) and Im( $A_0$ ).



EXPT

$3.32 \times 10^{-7}$  GeV

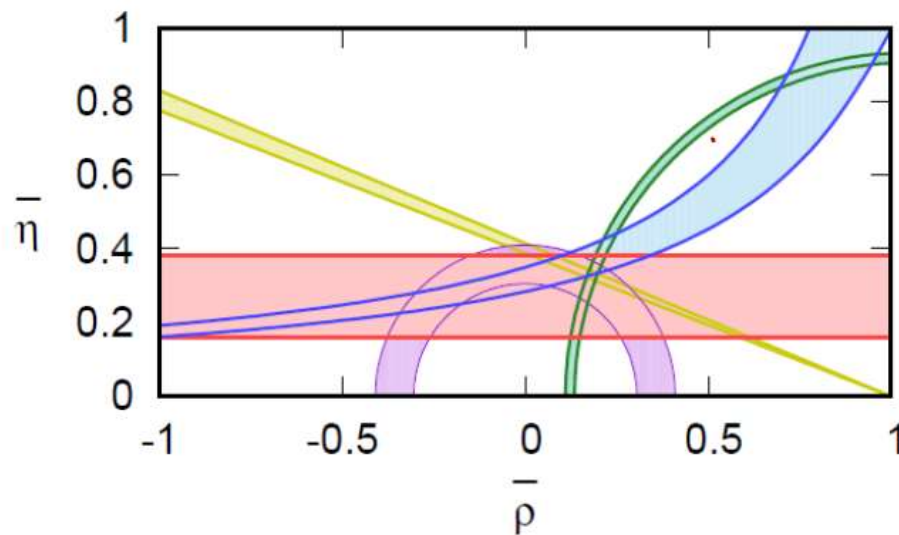
0.00166

Quantity	Value
$\text{Re}(A_0)$	$2.99(0.32)(0.59) \times 10^{-7}$ GeV
$\text{Im}(A_0)$	$-6.98(0.62)(1.44) \times 10^{-11}$ GeV
$\text{Re}(A_0)/\text{Re}(A_2)$	19.9(2.3)(4.4)
$\text{Re}(\epsilon'/\epsilon)$	0.00217(26)(62)(50)

→ due IB  
see  
full  
pages

TABLE I: A summary of the primary results of this work. The values in parentheses give the statistical and systematic errors, respectively. For the last entry the systematic error associated with electromagnetism and isospin breaking is listed separately as a third error contribution.

IB based on CIRIELIANO et al JHEP 2020



$\Delta M_s / \Delta M_d$  (green)  
 $\epsilon_K + |V_{cb}|$  (blue)  
 $\sin 2\beta$  (yellow)  
 $|V_{ub}/V_{cb}|$  (purple)  
 $\epsilon'$  (red)

→ current systematic ~35%  
 Aim to reduce this  
 in N3 M to ~15%

FIG. 12: The horizontal-band constraint on the CKM matrix unitarity triangle in the  $\bar{\rho} - \bar{\eta}$  plane obtained from our calculation of  $\epsilon'$ , along with constraints obtained from other inputs [6, 70, 71]. The error bands represent the statistical and systematic errors combined in quadrature. Note that the band labeled  $\epsilon'$  is historically (e.g. in Ref. [72]) labeled as  $\epsilon'/\epsilon$ , where  $\epsilon$  is taken from experiment.

## Motivations for independent calculation of $\epsilon_{S'}$ with PBC

- For the first time RBC-UKQCD calculated  $\epsilon_{S'}$  from 1<sup>st</sup> principles with a modest accuracy of  $\sim 35\%$ . Because of naturalness reasoning, continuing to search for a BSM-CP odd phase with  $\epsilon_{S'}$  is important and therefore **continuing to calculate  $\epsilon_{S'}$  with better accuracy is highly desirable.**
- With GPBC configs have to be specially created making it very expensive to use multiple lattice spacings for taking a continuum limit.
- With PBC no need for special configs and in fact two different lattice spacings with  $\sim$ physical pions already exist, so taking the continuum limit seems a lot more viable
- Given the importance of the result on  $\epsilon_{S'}$  and the complexity of the calculation, an independent calculation of  $K \Rightarrow 2$  pion and  $\epsilon_{S'}$  with possibly using PBC seems highly desirable
- With GPBC a lattice calculation of corrections on  $\epsilon_{S'}$  due to EM+isospin appears very difficult, with PBC this may be less problematic
- **Driving force behind current RBC/UKQCD-PBC effort is Masaaki Tomii**

$a^2$  [GeV<sup>-2</sup>]

- Ensembles already generated for periodic BC

- ▶  $24^3 \times 64$ ,  $a^{-1} = 1.0$  GeV: measurements w 258 confs done → soon 440 confs
- ▶  $32^3 \times 64$ ,  $a^{-1} = 1.4$  GeV: measurements w 107 confs done → ~250 confs in a year
- ▶  $48^3 \times 96$ ,  $a^{-1} = 1.7$  GeV &  $64^3 \times 128$ ,  $a^{-1} = 2.4$  GeV: future work

# Precision performance

**Error %  
(statistical)**

	32 <sup>3</sup> G-parity BC (previous work)	24 <sup>3</sup> Periodic BC	32 <sup>3</sup> Periodic BC (w/o AMA correction)
# of configurations	741	258	107
$\Delta I = 1/2$ ME via $Q_2^{\text{lat}}$	10%	14%	14%
$\Delta I = 1/2$ ME via $Q_6^{\text{lat}}$	6.5%	8.9%	11%
Re $A_0$	11%	13%	14%

Preliminary

- Good precision performance of PBC (ME with excited-state  $\pi\pi$ ) compared to G-parity BC calculation (ME with ground-state  $\pi\pi$ )

analysis: 2306.06791 CYBA on day 258 g.c

Masera, T et al

Quantity	This work	Experiment
$\text{Re}(A_2)$	$1.74(15)(48) \times 10^{-8} \text{ GeV}$	$1.479(4) \times 10^{-8} \text{ GeV}$
$\text{Im}(A_2)$	$-5.91(13)(1.75) \times 10^{-13} \text{ GeV}$	...
$\text{Re}(A_0)$	$3.13(69)(95) \times 10^{-7} \text{ GeV}$	$3.3201(18) \times 10^{-7} \text{ GeV}$
$\text{Im}(A_0)$	$-9.3(1.5)(2.8) \times 10^{-11} \text{ GeV}$	...
$\text{Re}(A_0)/\text{Re}(A_2)$	$18.0(4.4)(7.4)$	$22.45(6)$
$\omega = \text{Re}(A_2)/\text{Re}(A_0)$	$0.056(14)(23)$	$0.04454(12)$
$\text{Re}(\varepsilon'/\varepsilon)$	$31.8(6.3)(11.8)(5.0) \times 10^{-4}$	$16.6(2.3) \times 10^{-4}$

PBL

EXPLORATORY

TABLE I. A summary of the primary results of this work shown in the middle column. The values in parentheses give the statistical and systematic errors, respectively. For the last entry the systematic error associated with electromagnetic and isospin breaking effects is listed separately as the third error, which we inherit from the estimation in Ref. [2] based on the large- $N_c$  expansion of QCD and ChPT [49]. The corresponding experimental values are shown in the right column if applicable.

# Key points (so far) on our PBC effort

SOMMER et al JHEP '09

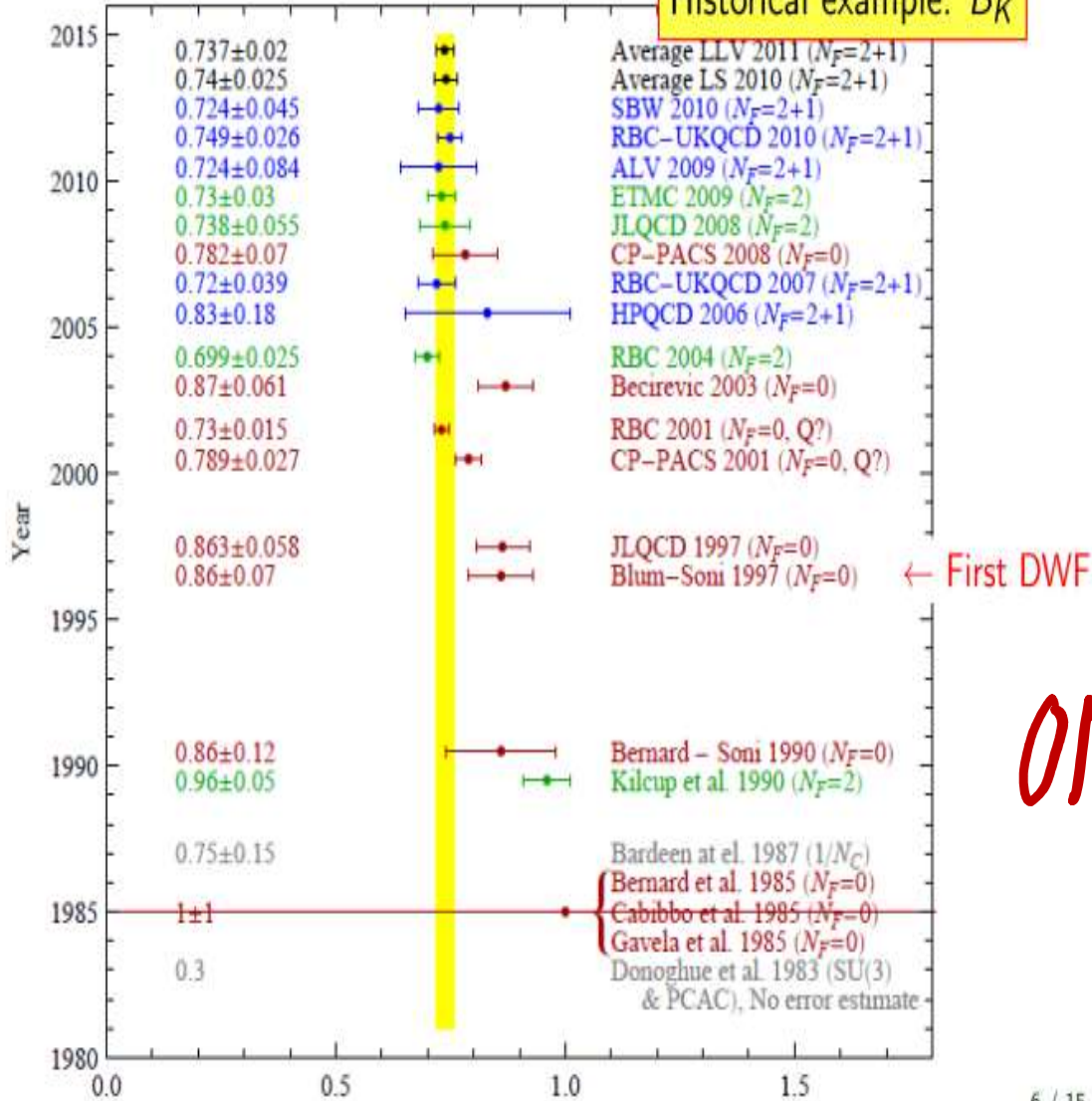
- Demonstrated that with **GEVP** matrix elements of ground and 1<sup>st</sup> two excited states can be extracted quite well
- Good quality of signals with PBC obtained rather efficiently
- On our way to get results from 2 lattice spacings
- Optimistic that we can get epsilon' in the continuum limit (for the iso-symmetric) case in the next year or two... That should appreciably reduce one of the major source of systematic errors.
- PBC and other methods being studied to deal with EM+IB

←  $t = 0, 2$  string physics already done

see M. Tomz et al PRD/23

Power of the lattice: Only method to systematically reduce the NP error!

Historical example:  $B_K$



AB-initio Calculations

$$B_K = \frac{\langle \bar{s} \gamma_5 s \rangle^2}{\langle \bar{s} \gamma_5 s \rangle \langle \bar{s} \gamma_5 s \rangle}$$

ONE ILLUSTRATION



**K-UT**

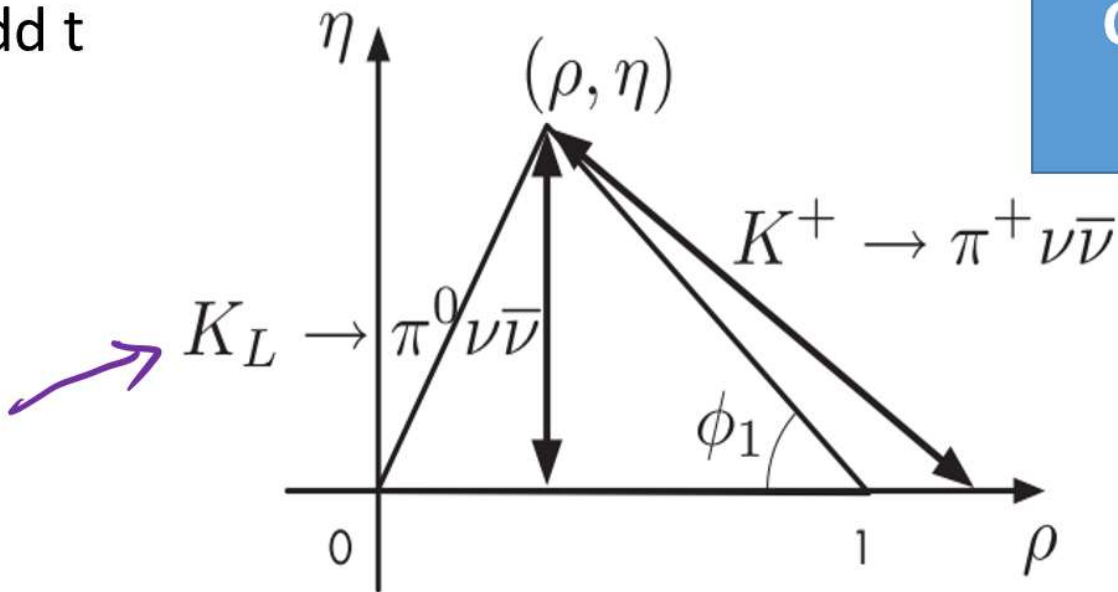
# K-UT: A dream for some

Blucher, Winstein and Yamanaka '09; see also Buras

- Click to add t

Construction of a Kaon UT

LITTENBERG  
PRD '89



Lehner + Lunghi + AS  
PLB '2016

Fig. 14. Unitarity triangle.

Instead of [ $\sigma$  in addition to]  $K_L \rightarrow \pi^0 \nu \bar{\nu}$  can now plan on using  $\epsilon'/\epsilon$

Also constrain  $K_L \rightarrow \pi^0 \nu \nu$  via  $K^0 \rightarrow \pi^0 \mu^+ \mu^-$  (c AS in Lat23)

$K^0 \Rightarrow \pi^0 \mu^+ \mu^-$  *→ also ASim  
LAT23*

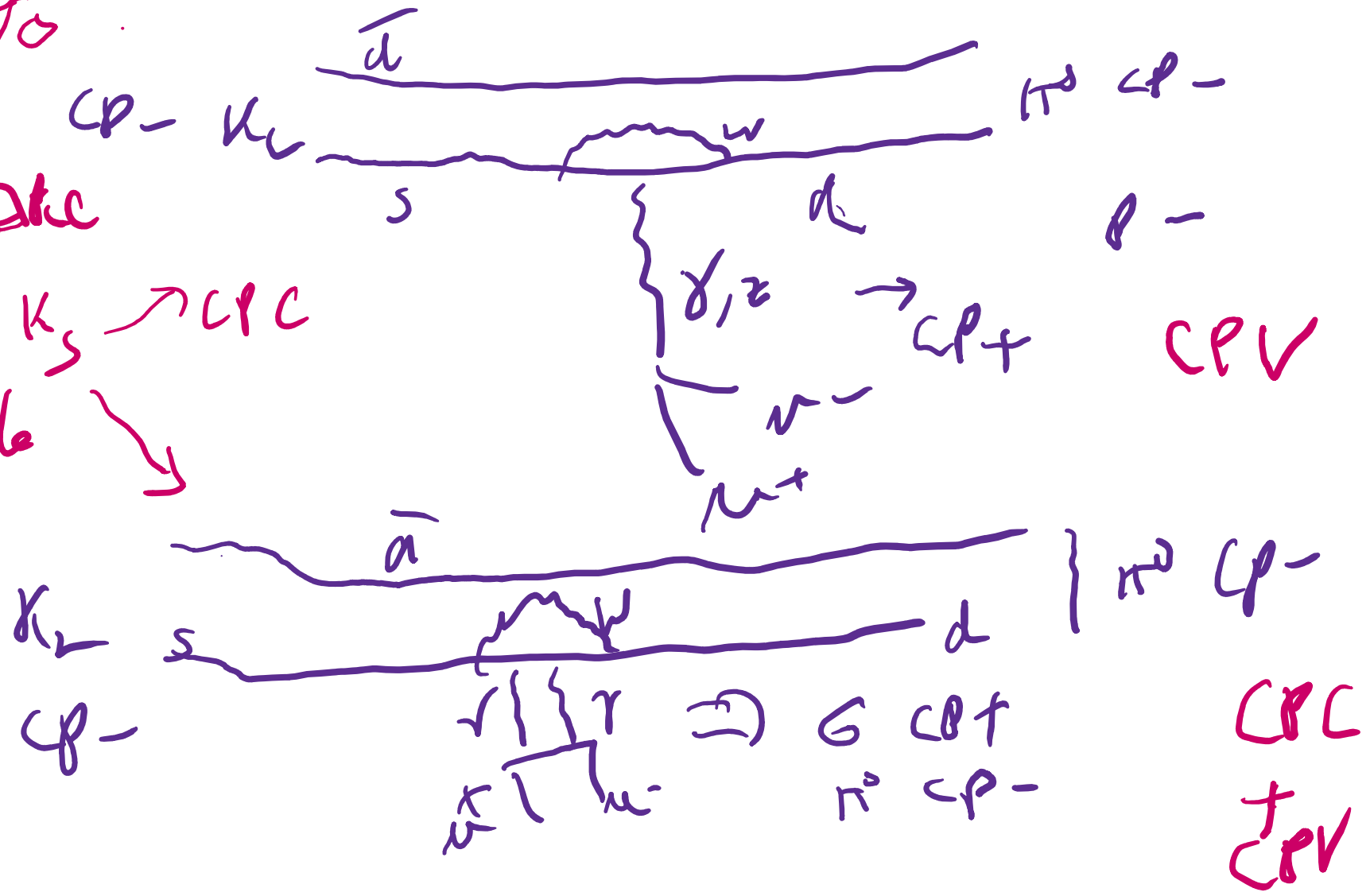
- LHCb:  $K_s$
- JPARC:  $K_L$
- Pheno: Isidori et al...; D'Ambrosio et al; Schacht + AS (WIP)
- Lattice: RBC+UKQCD many papers on closely related rare K-decays

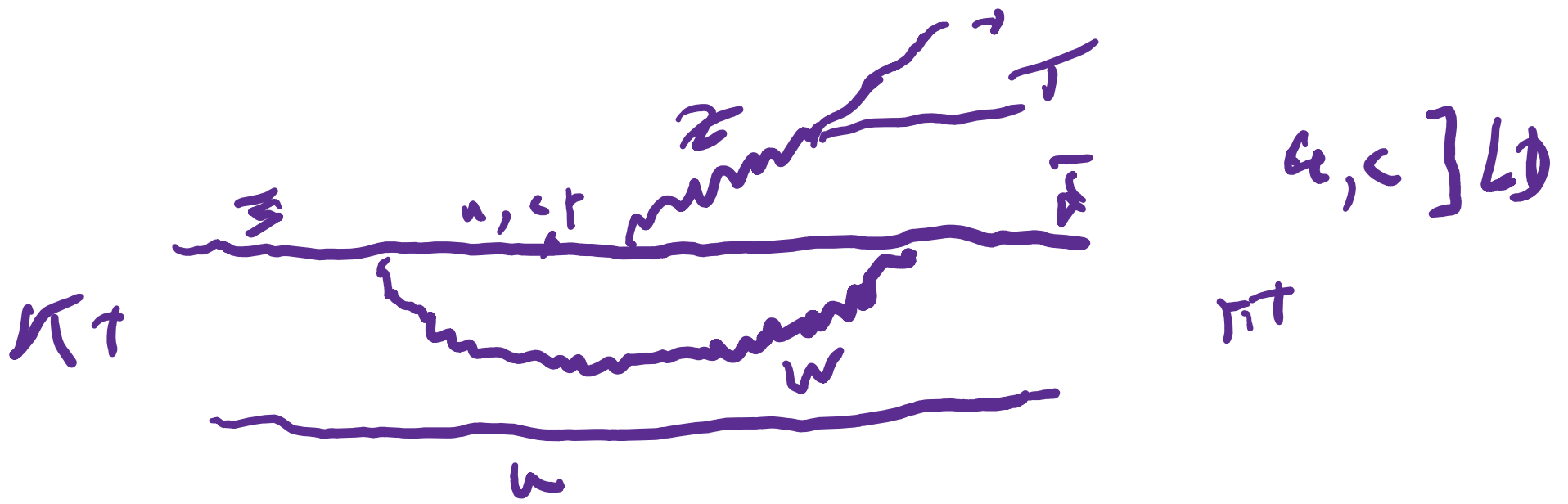
*→*  
*1910.10644*  
*1806.11520*  
*1701.08258*

KOTO

$\pi^0$  CP-  
JPARC

$K_S \rightarrow$  CPC  
LHCb





WITH ED/RICO LUNGI  
 TRY Reduce LD uncertainty  
 WIP

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.39 \pm 0.30) \times 10^{-11} \left[ \frac{|V_{cb}|}{40.7 \times 10^{-3}} \right]^{2.8} \left[ \frac{\gamma}{73.2^\circ} \right]^{0.74}.$$

In the above formula, the explicit numerical uncertainty is the theoretical one originating from QCD and electroweak uncertainties, which amounts to 3.6%. Taking the latest values (28) for  $|V_{cb}|_{\text{avg}} = (41.0 \pm 1.4) \times 10^{-3}$  and  $\gamma = (72.1_{-4.5}^{+4.1})^\circ$ , one finds the following:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{SM}} = (8.5 \pm 1.0) \times 10^{-11}.$$

The predictions are currently dominated by the parametric uncertainty that will plausibly be reduced by new measurements of  $|V_{cb}|$  and  $\gamma$  by LHCb and Belle II.

cannot be detected. A long series of decay-at-rest searches for  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  have culminated with the final results of the BNL E787/E949 experiments, which found the following (50):

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{E787/E949}} = (17.3_{-10.5}^{+11.5}) \times 10^{-11}.$$

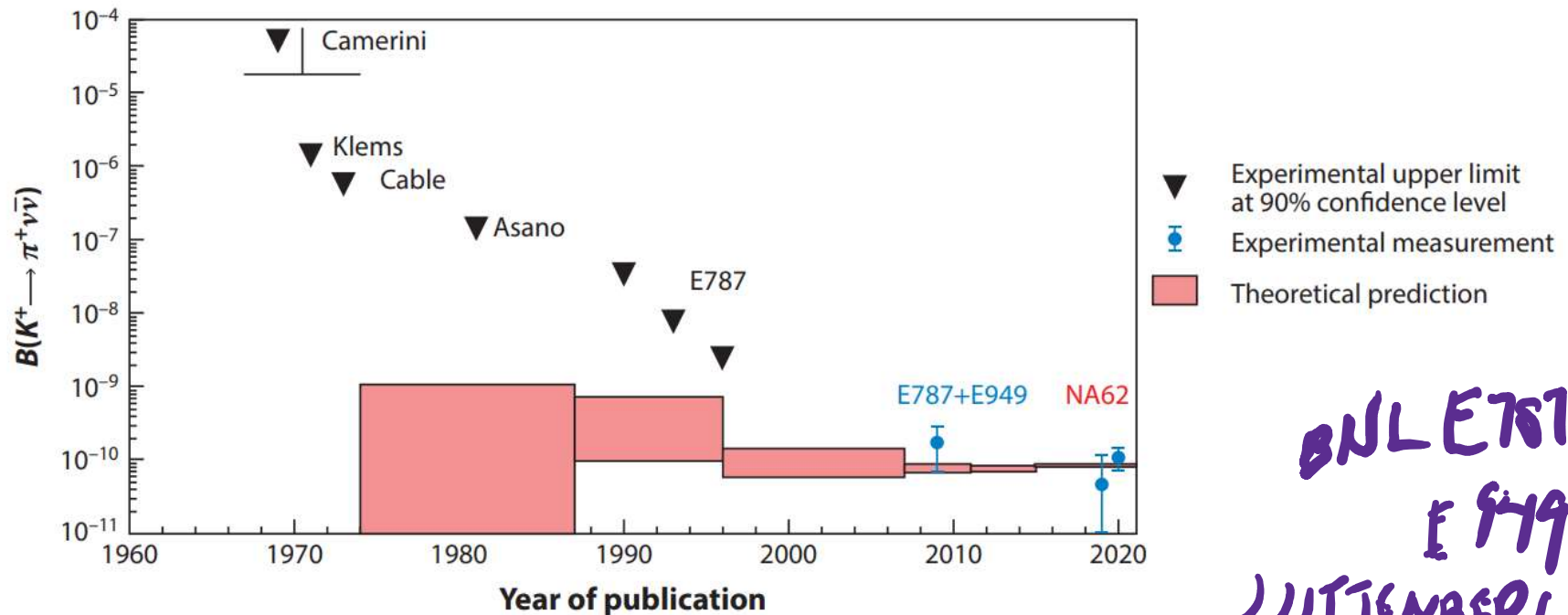
From these analyses, the best upper limit, at 90% confidence level (CL), has been obtained:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{NA62(2016-2017)}} \leq 17.8 \times 10^{-11}.$$

The 2016–2017 data also allow one to set a 68% CL mean value for the branching ratio:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{NA62(2016-2017)}} = (4.8_{-4.8}^{+7.2}) \times 10^{-11}.$$

# CECCUCCI Rev.



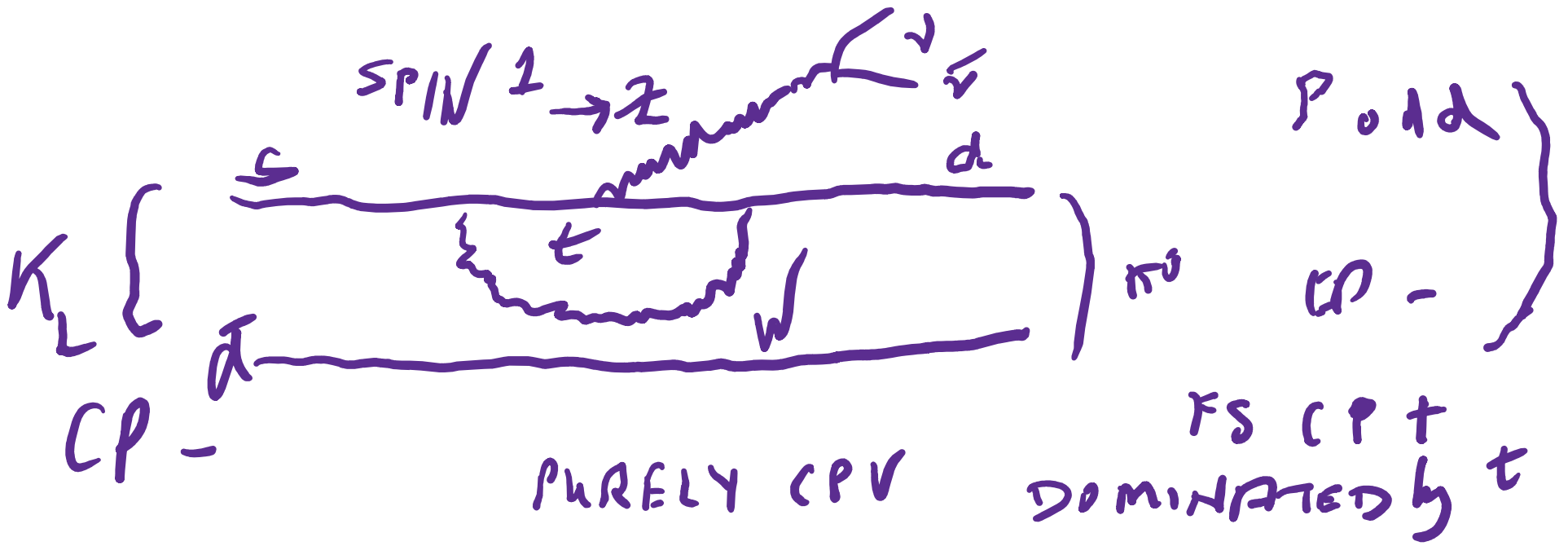
BNL E787 +  
E 949  
LITTEMBERG  
PANOFSKY  
PRIZE

Figure 4

Timeline of theoretical predictions and experimental results for  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  (10, 51, 57–64). Figure adapted with permission from Reference 58; copyright 2020 CERN for the benefit of the NA62 Collaboration.

the NA62 Collaboration reported the following:

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{NA62(2016-2018)}} = (11.0_{-3.5}^{+4.0} \text{stat} \pm 0.3_{\text{syst}}) \times 10^{-11},$$



LITTENBERG PRP 1989

GOLD PLATED

KOTO, JPARC



$$B(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = (3.36 \pm 0.05) \times 10^{-11} \left[ \frac{|V_{ub}|}{3.88 \times 10^{-3}} \right]^2 \left[ \frac{|V_{cb}|}{40.7 \times 10^{-3}} \right]^2 \left[ \frac{\sin \gamma}{\sin 73.2^\circ} \right]^2,$$

which, taking the latest values (28) for  $|V_{cb}|_{\text{avg}} = (41.0 \pm 1.4) \times 10^{-3}$ ,  $|V_{ub}|_{\text{avg}} = (3.82 \pm 0.24) \times 10^{-3}$ , and  $\gamma = (72.1_{-4.5}^{+4.1})^\circ$ , leads to the following numerical prediction:

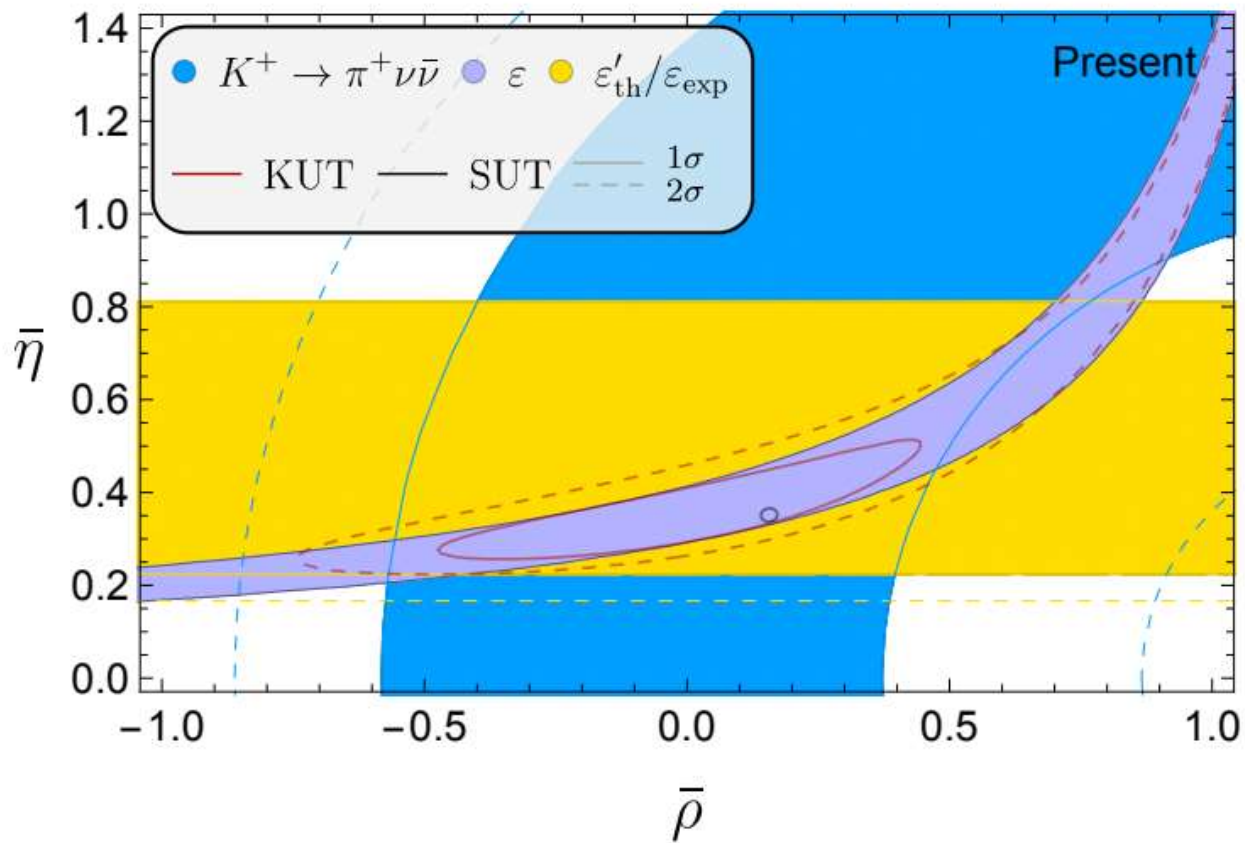
$$B(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = (3.2 \pm 0.6) \times 10^{-11}.$$

While the experimental situation for  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  shows that we have two independent experimental techniques that can reach SM sensitivities, with the NA62 experiment on the way to making a precise measurement, the situation for the neutral mode is more complex. Progress has been hampered by the lack of a clean experimental signature because no redundancy is available once the  $\pi^0$  mass is used as a constraint to reconstruct the decay vertex. The KOTO experiment at J-PARC builds on the experience of the predecessor experiment E391a (67), which was performed at KEK. It is based on the technique of letting a well-collimated “pencil” beam enter the decay region surrounded by high-performance photon vetoes. By vetoing extra photons and applying a transverse momentum cut (150 MeV/c) to eliminate residual  $\Lambda \rightarrow n\pi^0$  decays, KOTO is expected to reach SM sensitivities by the mid-2020s. The KOTO experiment has published the best upper limit (68):

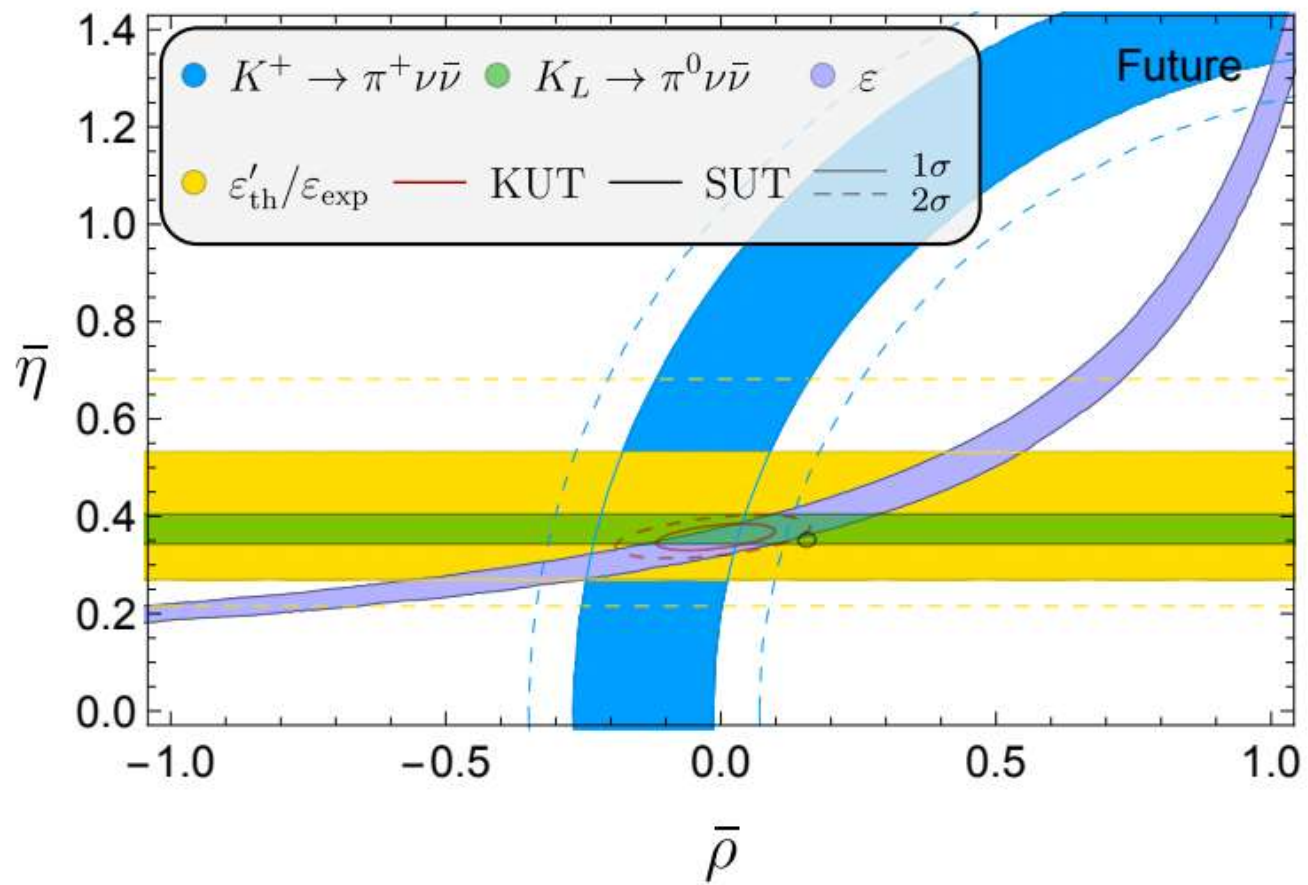
$$B(K_L^0 \rightarrow \pi^0 \nu \bar{\nu})_{\text{KOTO}} < 3.0 \times 10^{-9} \text{ (90\% CL)}.$$

*~ about*

*2 orders of magnitude to go*



LLS PLB'16



# UT ANGLE GAMMA

# Dalitz analysis: Giri, Grossman, Soffer & Zupan PRD '03; Atwood, Dunietz + AS, PRD'01

- Both emphasize model independent (different approaches) analysis via the Dalitz plot



See Section VI

- Following the then existing experimental data from F637 Collaboration analysis using  $K^+ \rho^-$  and  $K^{*+} \pi^-$  (though it does not include  $K_s^+ \pi^+ \pi^-$ ). It should be realized that three body states  $K^+ \rho^-$ ,  $K_s^+ \rho^{0\prime}$  and  $K^{*+} \pi^-$  can all lead to the common final state  $K^+ \pi^+ \pi^-$ . If one examines the distribution in phase space,

- Briefly ADS uses local regions of DP to look for minimum values of gamma; followed by searches globally
- The crucial point is that it then uses A+S method of "optimized observables" (PRD92) and demonstrates that solution to gamma thus obtained are just as good as the optimal construction gives

# Optimised observables (Atwood+AS, PRD 45,'92); see esp sec III

*→ you are using explicit data to determine  $\lambda$*

expand the total differential cross section in terms of  $\lambda$  we have

$$\Sigma = \Sigma_0 + \lambda \Sigma_1 . \quad (6)$$

$$f = f_{\text{opt}} = \frac{\Sigma_1}{\Sigma_0} .$$

Construction is used extensively these days in ML applications

*The simple proof is given in the paper*

# The ultimate theoretical error on $\gamma$ from $B \rightarrow DK$ decays

→ Because  $\beta$  this is only a  $\beta$  scale higher order connection  $\gamma$  is the STANDARD LANDLE in the SM-KM to a  $\beta$  degree of CPV

**Joachim Brod and Jure Zupan**

*Department of Physics, University of Cincinnati,  
Cincinnati, Ohio 45221, U.S.A.*

*E-mail:* [brodjm@ucmail.uc.edu](mailto:brodjm@ucmail.uc.edu), [zupanje@ucmail.uc.edu](mailto:zupanje@ucmail.uc.edu)

**ABSTRACT:** The angle  $\gamma$  of the standard CKM unitarity triangle can be determined from  $B \rightarrow DK$  decays with a very small irreducible theoretical error, which is only due to second-order electroweak corrections. We study these contributions and estimate that their impact on the  $\gamma$  determination is to introduce a shift  $|\delta\gamma| \lesssim \mathcal{O}(10^{-7})$ , well below any present or planned future experiment.

# Summary + Outlook

- After decades of development and effort, using DWQ, and GPBC in 2020 completed the 1<sup>st</sup> calculation of  $\epsilon_{\text{S}}$  with a modest accuracy of 35% at a single lattice spacing  $\sim 1.38$  GeV; resulting  $\epsilon_{\text{S}}$  is compatible with experiment within 1 sigma
- We are well on our way to get  $\epsilon_{\text{S}}$  along with scattering phases again, in a completely independent set up using PBC. **Driving force for this effort is MASAAKI TOMII.** With this method we are hopeful to get  $\epsilon_{\text{S}}$  for the 1<sup>st</sup> time in the continuum limit
- Showed how using  $\epsilon_{\text{S}}$  +  $\epsilon_{\text{P}}$  +  $\text{Br}(K^+ \Rightarrow \pi^+ \nu \nu)$  can construct the K-UT
- Also  $K^0 \Rightarrow \pi^0 \mu^+ \mu^-$  input from LHCb, JPARC, pheno and lattice should provide important constraints for the gold plated  $KL \Rightarrow \pi^0 \nu \nu$  mode being pursued by the KOTO expt @ JPARC
- UT gamma: D0 Dalitz decays with 1  $\pi^0$  in FS ....Belle-II, LHCb
- UT gamma: ADS PRD method should also be used
- It is exceedingly important to determine/constrain UTs as precisely as possible **as it is highly unlikely to be just a triangle**

done see 2306  
06781  
Tom II  
etc



# EXTRA'S

Numerical results will be superseded by the higher stat imp<sup>now</sup> calculation in a few months

Results for  $\epsilon'$

- Using  $\text{Re}(A_0)$  and  $\text{Re}(A_2)$  from experiment and  $\text{Im}(A_0)$  and  $\text{Im}(A_2)$  and the phase shifts

and our lattice value for  $\omega$  **EWP** **QCDP** **sig.**

$$\text{Re} \left( \frac{\epsilon'}{\epsilon} \right) = \text{Re} \left\{ \frac{i\omega e^{i(\delta_2 - \delta_0)}}{\sqrt{2}\epsilon} \left[ \frac{\text{Im}A_2}{\text{Re}A_2} - \frac{\text{Im}A_0}{\text{Re}A_0} \right] \right\}$$

LARGE CANCELLATION!!

RBC-UKQCD PRL'15 EDITOR'S CHOICE

$$= \frac{1.38(5.15)(4.43) \times 10^{-4}}{16.6(2.3) \times 10^{-4}}$$

2/6 9 yr config

Bearing in mind the largish errors in this first calculation, we interpret that our result are consistent with experiment at  $\sim 2\sigma$  level

$$\omega = \frac{\text{Re}A_2}{\text{Re}A_0} \sim 0.045$$

or  
 Computed  $\text{Re}A_2$  excellent agreement w  
 Computed  $\text{Re}A_0$  good agreement with  
 expt  
 Offered an "explanation" of the Delta I=1/2  
 enhancement

Error source	Value
Excited state	-
Unphysical kinematics	5%
Finite lattice spacing	12%
Lellouch-Lüscher factor	1.5%
Finite-volume corrections	7%
Missing $G_1$ operator	3%
Renormalization	4%
Total	15.7%

TABLE XXV: Relative systematic errors on the infinite-volume matrix elements of  $\overline{\text{MS}}$ -renormalized four-quark operators  $Q'_j$ .

Re  $A_0$   
 ↓  
 $\approx 20\%$

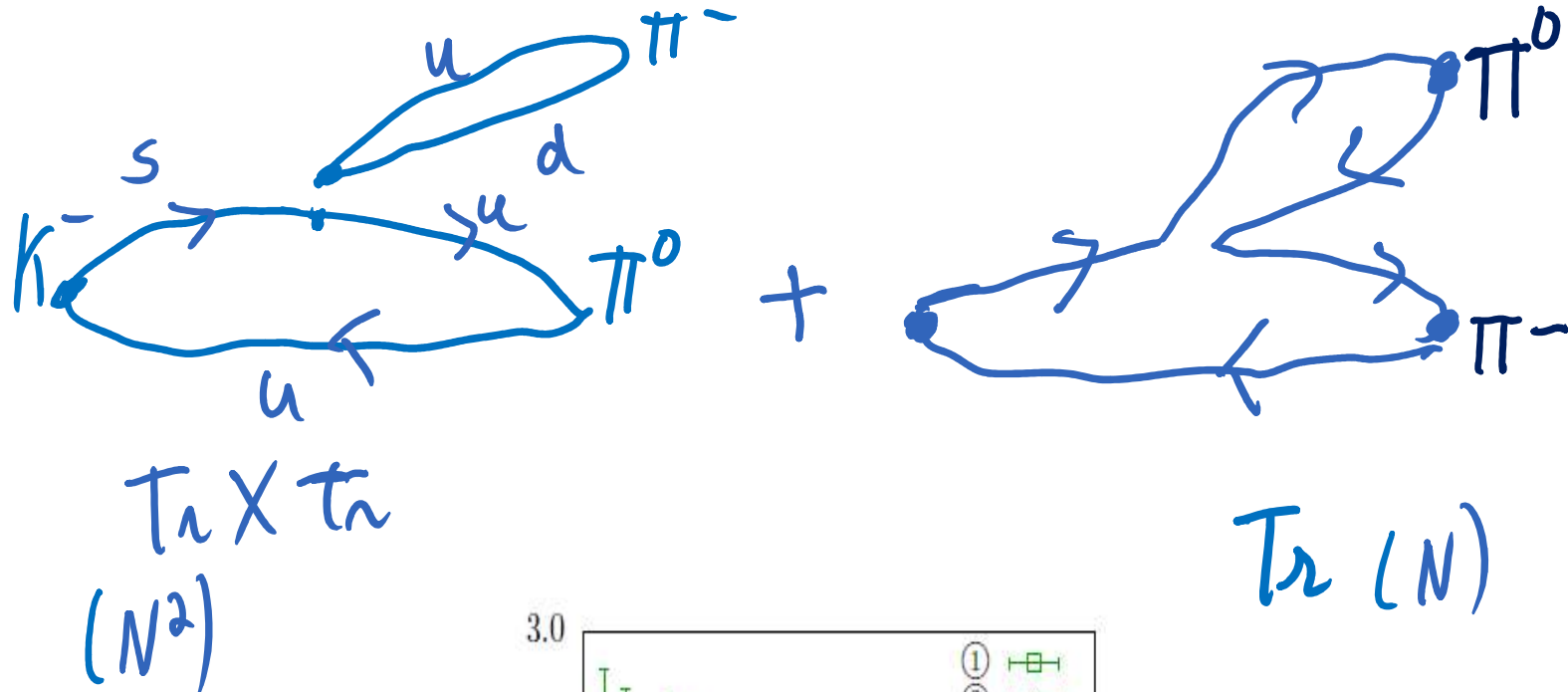
Systematic errors

Error source	Value	
	Re( $A_0$ )	Im( $A_0$ )
Matrix elements	15.7%	15.7%
Parametric errors	0.3%	6%
Wilson coefficients	12%	12%
Total	19.8%	20.7%

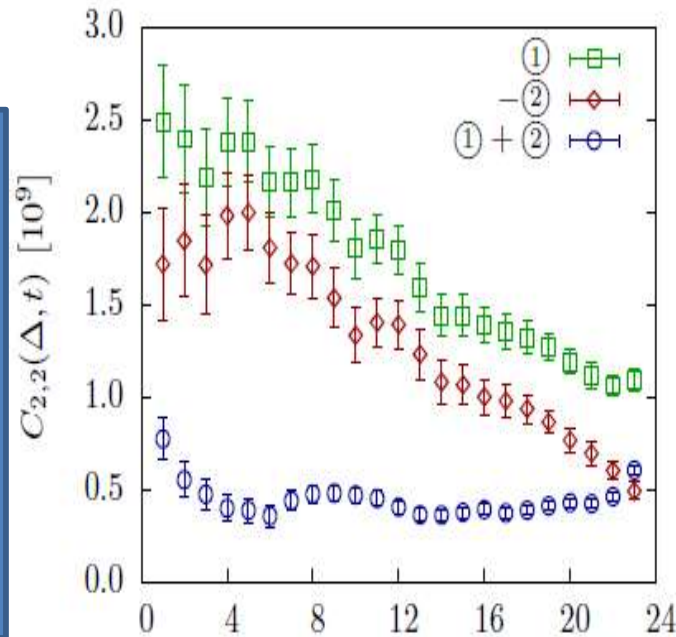
Im  $A_0$   
 ↓  
 $\approx 21\%$

TABLE XXVI: Relative systematic errors on  $\text{Re}(A_0)$  and  $\text{Im}(A_0)$ .

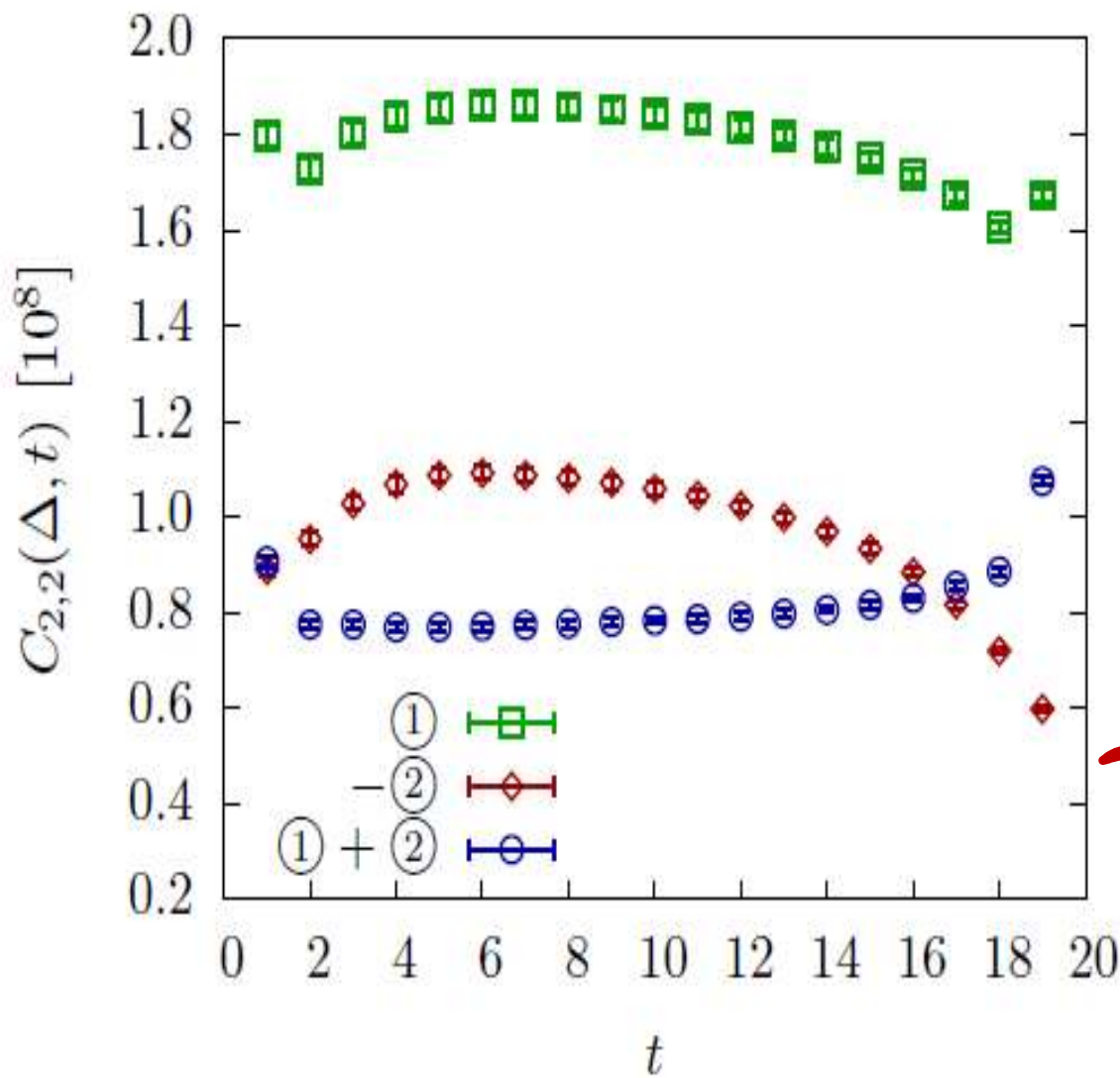
**Dissecting (the much easier)  $\Delta I=3/2$  [ $I=2$   $\pi\pi$ ] Amp on the lattice: 2 contributing topologies only**



Simplest basic step is significantly different from phenomenological Expectations!



**DRAMATIC CANCELLATION!**  
 $(m_\eta \approx 140 \text{ MeV})$



For heavier  $\pi$ ,  
 $m_\pi \simeq 330 \text{ MeV}$   
 less cancellation  
 bet.  $N^2$  &  $N$   
 Large  $N$  begins  
 to improve!

FIG. 3: Contractions ①, -② and ① + ② as functions of  $t$  from the simulation at threshold with  $m_\pi \simeq 330 \text{ MeV}$  and  $\Delta = 20$ .

# Why EWK cannot be neglected: 3 Reasons

- Despite  $\alpha_{\text{QED,EWK}} \ll \alpha_{\text{QCD}}$ , EWK contributions are extremely important and CANNOT be neglected:
- EWK are (8,8) and QCD are (8,1), and (8,8) go to constant whereas (8,1) vanish in the chiral limit
- EWK, i.e. those due Z exch have Wilson coeff that go as  $mt^2/mW^2$

- In  $\mathcal{E}'$  they enter as  $\left[ \frac{\text{Im}A_2}{\text{Re}A_2} - \frac{\text{Im}A_0}{\text{Re}A_0} \right]$ .

$\frac{\text{Re}A_0}{\text{Re}A_2} \sim \omega^2$

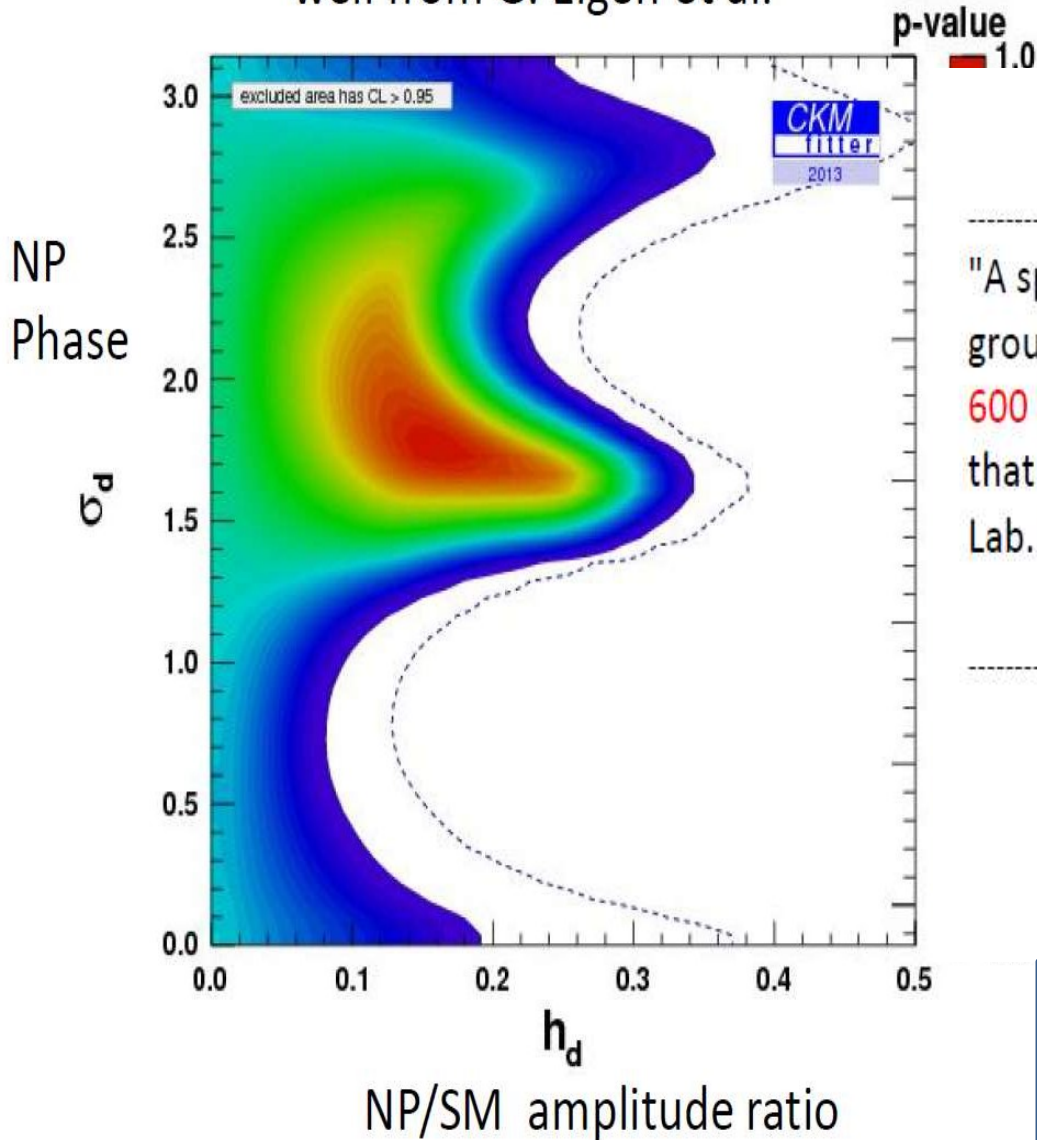
Small ←

→ large

EWP → QCDP

ICHEP2014: Similar results from UTFIT (D. Derkach) as well from G. Eigen et al.

Current O(few%) tests are far away from O(0.1%) asymmetry in  $KL \Rightarrow \pi \pi$



A lesson from history (I)

"A special search at Dubna was carried out by E. Okonov and his group. They did not find a single  $K_L \rightarrow \pi^+ \pi^-$  event among 600 decays into charged particles [12] (Anikira et al., JETP 1962). At that stage the search was terminated by the administration of the Lab. The group was unlucky."

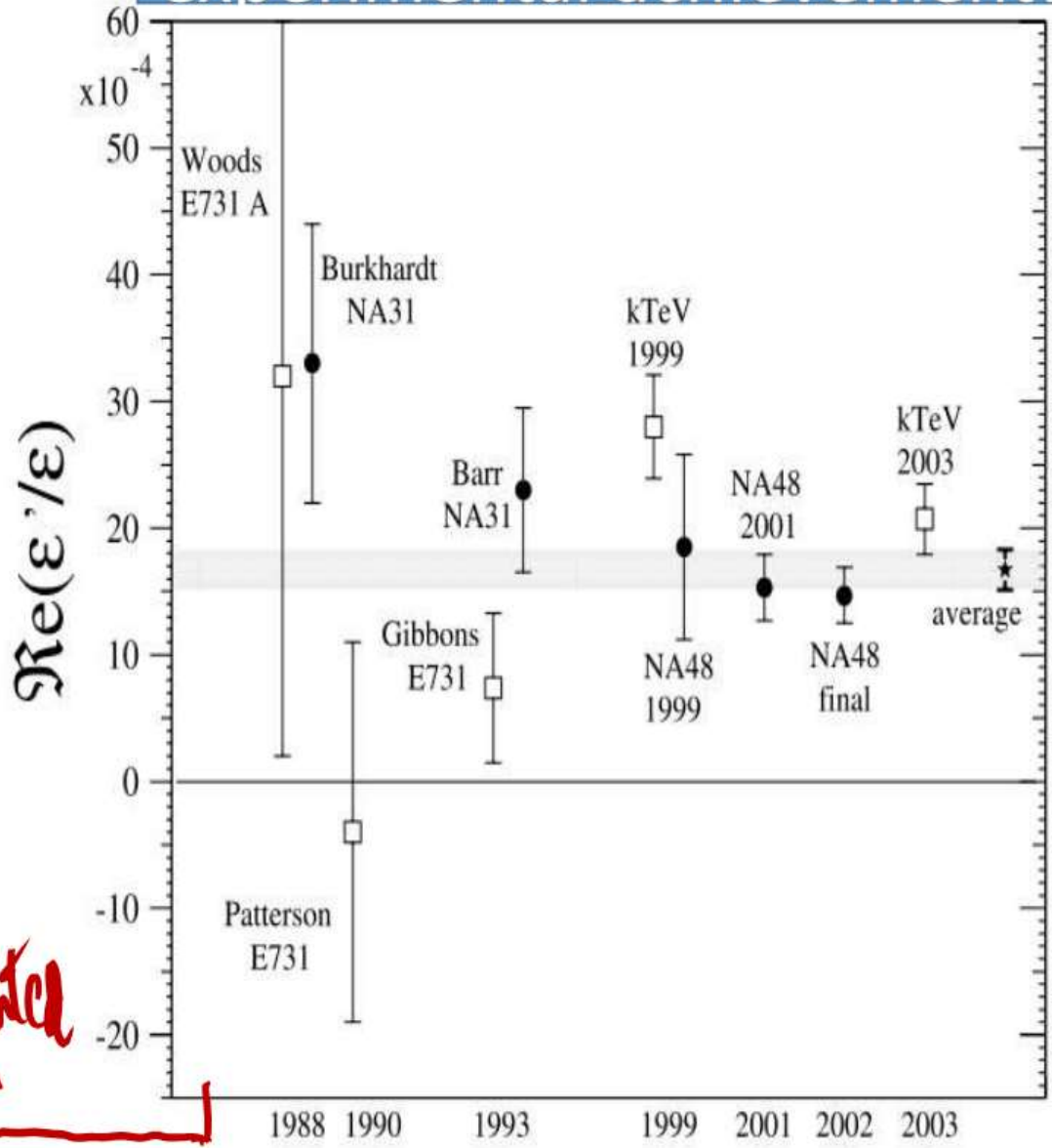
-Lev Okun, "The Vacuum as Seen from Moscow"

1964:  $BF = 2 \times 10^{-3}$

A failure of imagination ? Lack of patience ?

Had  $KL \Rightarrow \pi \pi$  been abandoned, history of Particle Physics would have been significantly different!

# A monumental experimental achievement!



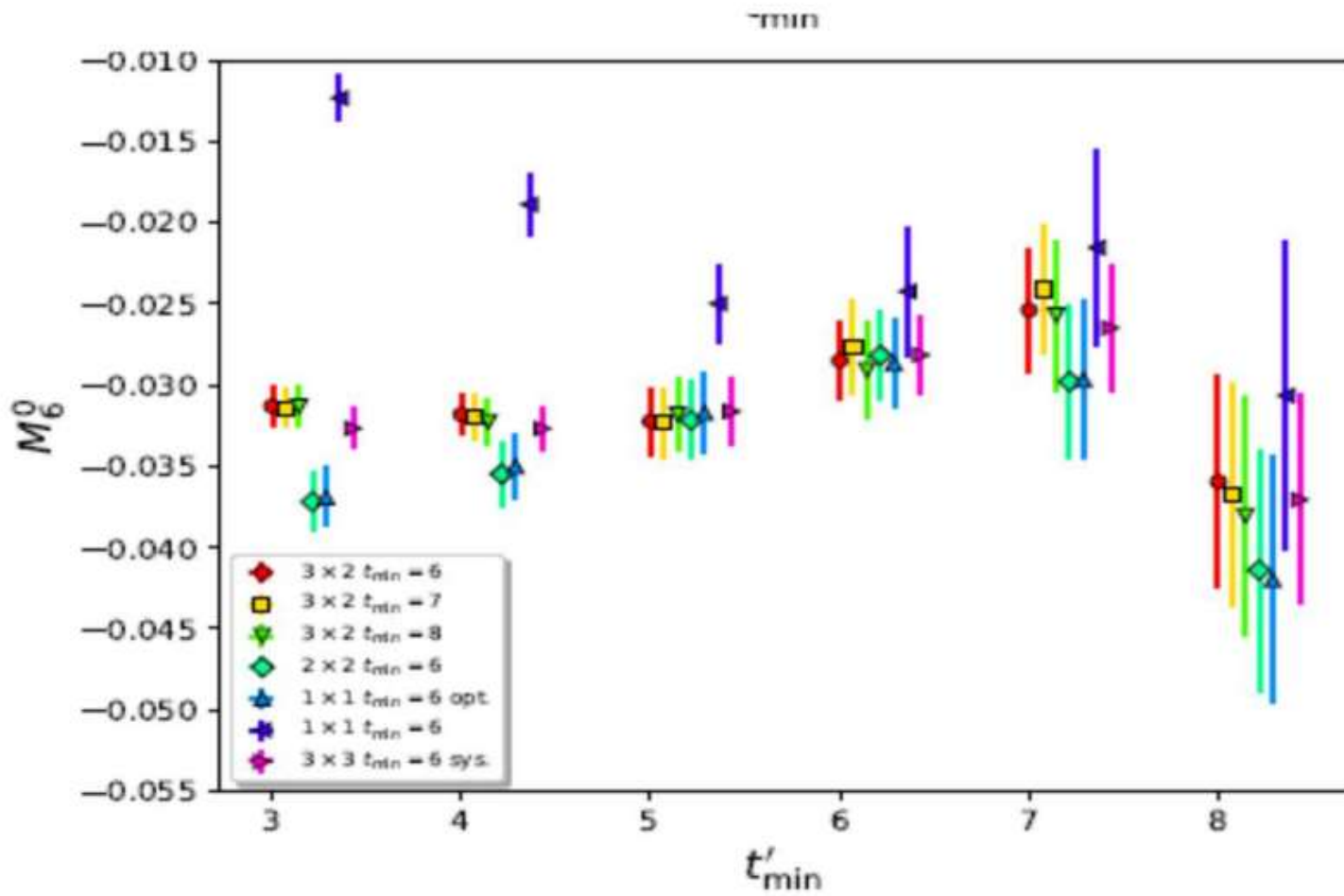
Komrad  
KleinKnecht  
"Uncertainty CPV"

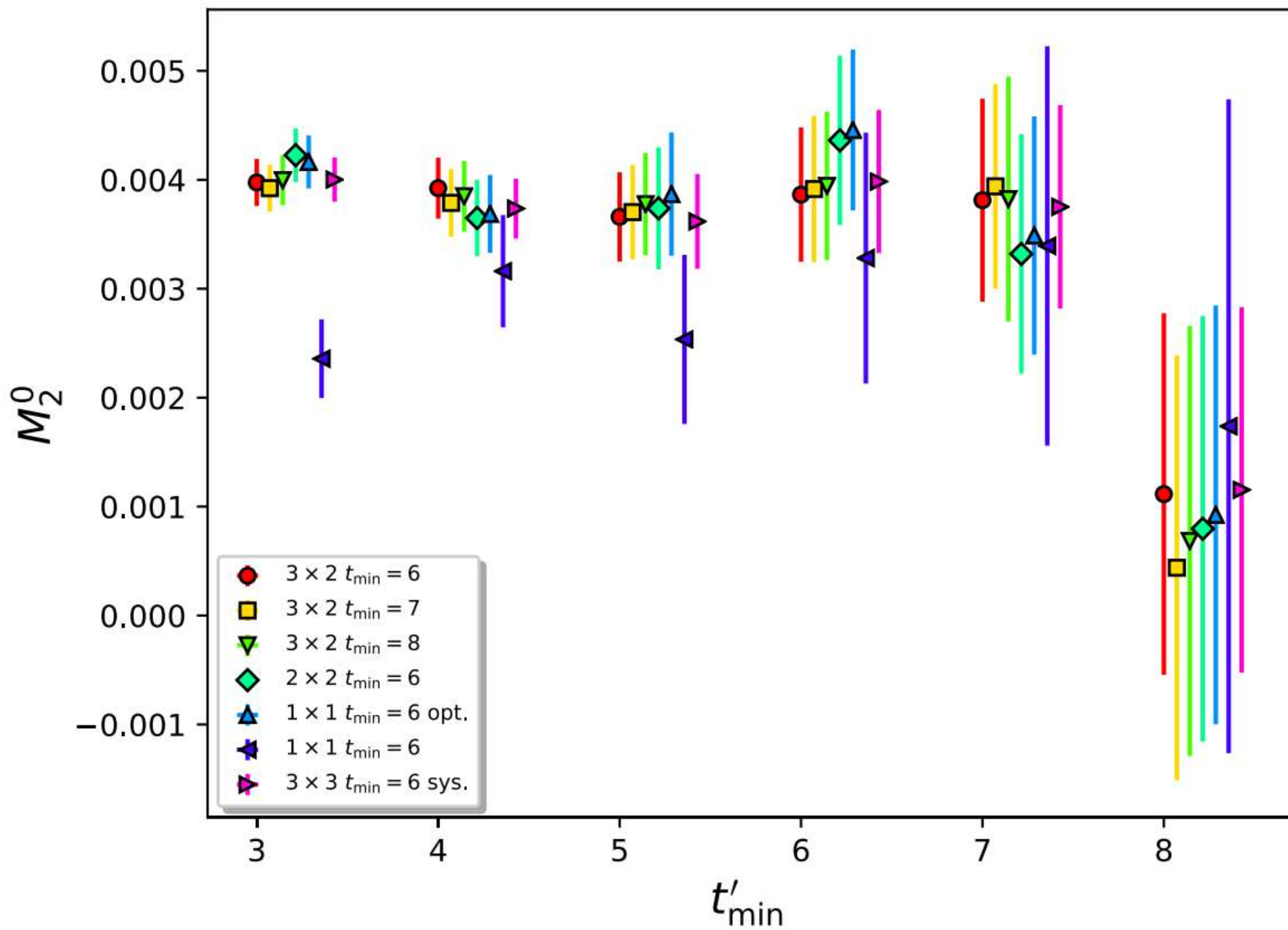
16.6(2.3) x 10<sup>-4</sup>  
PDG 2014

LATTICE  
WORK STARTED



# XTRAS





# Exploring excited-state signals

- $\pi\pi$  energies in PBC
  - $\approx 2m_\pi$  for ground st.
  - **Need excited-state signals to extract kinematics of  $K \rightarrow \pi\pi$**

Picture in non-interacting 2-pion system with rest frame

	$\vec{p}$	$E = 2\sqrt{ \vec{p} ^2 + m_\pi^2}$
ground st.	(0,0,0)	$2m_\pi$
1st excited st.	$2\pi/L \times (1,0,0)$	could be $\approx m_K$
2nd excited st.	$2\pi/L \times (1,1,0)$	

- Variational method useful [Lüscher, 1990]
  - Solving GEVP (Generalized Eigenvalue Problem)

$$C(t)v_n(t, t_0) = \lambda_n(t, t_0)C(t_0)v_n(t, t_0) \quad \left\{ \begin{array}{l} C(t) : N \times N \text{ correlator matrix} \\ C_{ab}(t) = \langle O_a(t)O_b(0)^\dagger \rangle \end{array} \right.$$

- $O'_n = \sum_a v_{n,a}^* O_a$  couples with only n-th, N+1-th & higher states
- $\lambda_n(t, t_0) = e^{-E_n(t-t_0)}$
- We employ 5 independent  $\pi\pi$  operators
  - $O_a \in \Pi_{p=(0,0,0)}\Pi_{p=(0,0,0)}, \Pi_{p=(0,0,1)}\Pi_{p=(0,0,-1)}, \Pi_{p=(0,1,1)}\Pi_{p=(0,-1,-1)}, \Pi_{p=(1,1,1)}\Pi_{p=(-1,-1,-1)}$  &  $\sigma$

Tree

$$Q_1 = (\bar{s}_\alpha d_\alpha)_L (\bar{u}_\beta u_\beta)_L,$$

$$Q_2 = (\bar{s}_\alpha d_\beta)_L (\bar{u}_\beta u_\alpha)_L,$$

$$Q_3 = (\bar{s}_\alpha d_\alpha)_L \sum_{q=u,d,s} (\bar{q}_\beta q_\beta)_L,$$

$$Q_4 = (\bar{s}_\alpha d_\beta)_L \sum_{q=u,d,s} (\bar{q}_\beta q_\alpha)_L,$$

$$Q_5 = (\bar{s}_\alpha d_\alpha)_L \sum_{q=u,d,s} (\bar{q}_\beta q_\beta)_R,$$

$$Q_6 = (\bar{s}_\alpha d_\beta)_L \sum_{q=u,d,s} (\bar{q}_\beta q_\alpha)_R,$$

$$Q_7 = \frac{3}{2} (\bar{s}_\alpha d_\alpha)_L \sum_{q=u,d,s} e_q (\bar{q}_\beta q_\beta)_R,$$

$$Q_8 = \frac{3}{2} (\bar{s}_\alpha d_\beta)_L \sum_{q=u,d,s} e_q (\bar{q}_\beta q_\alpha)_R,$$

$$Q_9 = \frac{3}{2} (\bar{s}_\alpha d_\alpha)_L \sum_{q=u,d,s} e_q (\bar{q}_\beta q_\beta)_L,$$

$$Q_{10} = \frac{3}{2} (\bar{s}_\alpha d_\beta)_L \sum_{q=u,d,s} e_q (\bar{q}_\beta q_\alpha)_L,$$

SM

EWP

~~Eq 2~~

QCD

$I=0$

$m_q \rightarrow 0$

$\rightarrow$  const

$m \rightarrow 0$

SM  
QCD

SM  
 $\bar{s}, \bar{c}, \bar{t}$

EWP

# Indirect CP violation in $KL \Rightarrow 3 \pi$

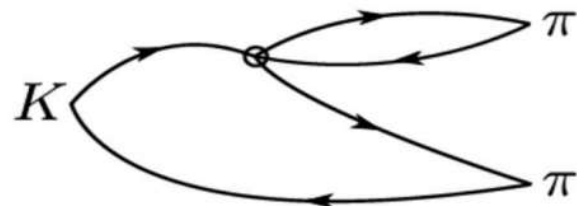
The basic expression for  $\varepsilon$  is

$$\varepsilon = e^{i\phi_\varepsilon} \frac{G_F^2 m_W^2 f_K^2 m_K}{12\sqrt{2}\pi^2 \Delta m_K^{\text{exp}}} \hat{B}_K \kappa_\varepsilon \text{Im} \left[ \eta_1 S_0(x_c) (V_{cs} V_{cd}^*)^2 + \eta_2 S_0(x_t) (V_{ts} V_{td}^*)^2 + 2\eta_3 S_0(x_c, x_t) V_{cs} V_{cd}^* V_{ts} V_{td}^* \right], \quad (41)$$

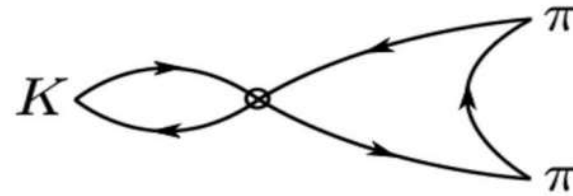
where the numerical inputs we use are summarized in [Table 2](#). The quantity  $\kappa_\varepsilon$  summarizes the impact of long distance effects and can be extracted from the knowledge of  $\text{Im} A_0$  and from an estimate of the long distance contributions to  $\Delta m_K$ . Following [Ref. \[76\]](#), we have:

$$\kappa_\varepsilon = \sqrt{2} \sin(\phi_\varepsilon) \left( 1 + \frac{\rho}{\sqrt{2} |\varepsilon_{\text{exp}}|} \frac{\text{Im}(A_0)}{\text{Re}(A_0)} \right) \quad (42)$$

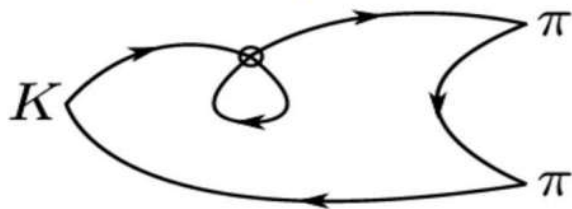
where  $\rho = 0.6 \pm 0.3$ . Using the most recent RBC determination of  $\text{Im}(A_0)$  and  $\phi_\varepsilon$  of [Eq. \(32\)](#), we obtain  $\kappa_\varepsilon = 0.963 \pm 0.014$  (see also the analysis presented in [Ref. \[77\]](#)).



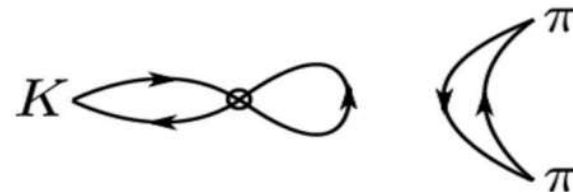
(a) type1



(b) type2



(c) type3




(d) type4

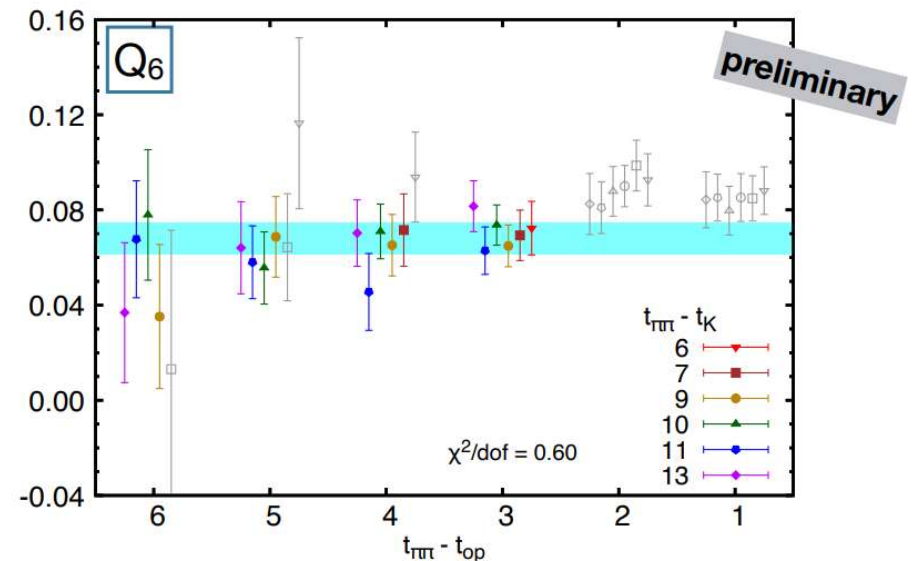
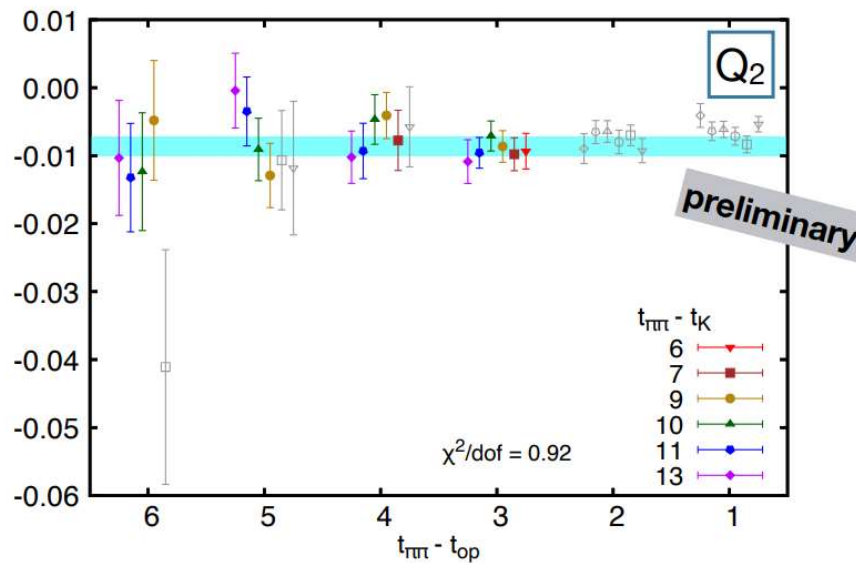
FIG. 2: The four classes of  $K \rightarrow \pi\pi$  Wick contractions.

ALL  
ARE INCLUDED!

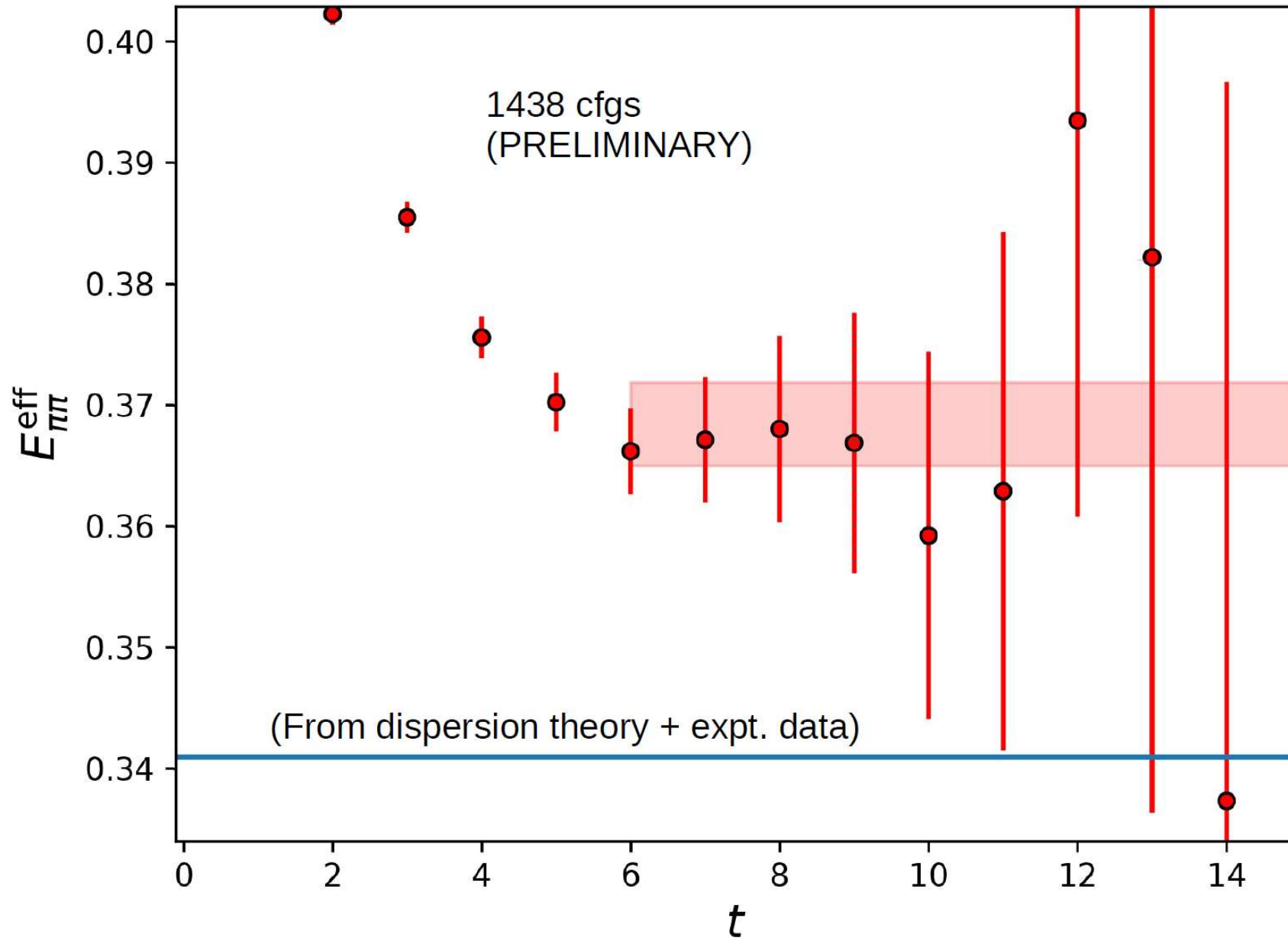
↑ "DISCONNECTED"  
very difficult

# Effective matrix elements ( $\Delta I = 1/2$ )

- Plateau appears
-  : Example of correlated fit result with  $t_{\text{op}} - t_K \geq 3$  &&  $t_{\text{pp}} - t_{\text{op}} \geq 3$  (colored filled data points)







# Back to the core story. . . Confronting $\chi$ Sym on the lattice

*A chance (crucial) meeting: Yigal Shamir visits me in Haifa ~94 summer*

- For  $K \Rightarrow \pi\pi$  project, way to overcome the fine-tuning problem of Wilson Fermions is to use a new formulation of

fermions on the lattice  $\Rightarrow$  **DOMAIN WALL FERMIONS**

[computationally much harder but are continuum-like possessing chiral symmetry]

- Furman + Shamir: hep-lat/9405004

- See also Yigal Shamir, hep-lat 9303005

WAY FORWARD: Adopt DWF for  $K \rightarrow \pi\pi + \epsilon'$ ? 95-96?

- ***As a result, the large accidental cancellations significantly enhances sensitivity of  $\epsilon'$  to NP***

# *More demands on the calculation*

- ~ The 1995 discovery of the huge top mass accentuated the cancellation of  $l=0$  and  $l=2$  contributions to  $\epsilon'$  significantly, putting additional demands on the calculation but also enhancing the potential for discovery of new physics

$\epsilon_8 \propto m_t^2 / M_w^2$



We use

$$\frac{\epsilon'}{\epsilon} = \frac{i\omega e^{i(\delta_2 - \delta_0)}}{\sqrt{2}\epsilon} \left[ \frac{\text{Im}(A_2)}{\text{Re}(A_2)} - \frac{\text{Im}(A_0)}{\text{Re}(A_0)} \right]$$

→ isospin sym formula.

$$\omega (17 \pm 9.1) \times 10^{-2}$$

IB + EM eff



$$\frac{\epsilon'}{\epsilon} = \frac{i\omega_+ e^{i(\delta_2 - \delta_0)}}{\sqrt{2}\epsilon} \left[ \frac{\text{Im}(A_2^{\text{emp}})}{\text{Re}(A_2^{(0)})} - \frac{\text{Im}(A_0^{(0)})}{\text{Re}(A_0^{(0)})} (1 - \hat{\Omega}_{\text{eff}}) \right]$$

See Cirigliano et al 1911.01359

THIS IS NOT our  $\omega$  or  $\omega_K$

WE CHOOSE to include THIS in our system now

# The ultimate theoretical error on $\gamma$ from $B \rightarrow DK$ decays

→ Because  $\beta$  this is only a trivial higher order correction  $\gamma$  is the "STANDARD LANDAU" in the SM - KM to a degree of CPV

**Joachim Brod and Jure Zupan**

*Department of Physics, University of Cincinnati,  
Cincinnati, Ohio 45221, U.S.A.*

*E-mail:* [brodjm@ucmail.uc.edu](mailto:brodjm@ucmail.uc.edu), [zupanje@ucmail.uc.edu](mailto:zupanje@ucmail.uc.edu)

**ABSTRACT:** The angle  $\gamma$  of the standard CKM unitarity triangle can be determined from  $B \rightarrow DK$  decays with a very small irreducible theoretical error, which is only due to second-order electroweak corrections. We study these contributions and estimate that their impact on the  $\gamma$  determination is to introduce a shift  $|\delta\gamma| \lesssim \mathcal{O}(10^{-7})$ , well below any present or planned future experiment.

# A difficulty: strong phases

- The continuum and our lattice determinations of strong phase

differ

$$\phi_{e'} = \delta_2 - \delta_0 + \frac{\pi}{2} = \begin{cases} (42.3 \pm 1.5)^\circ & \text{LHCb [2]} \\ (54.6 \pm 5.8)^\circ & \text{RBC [47, 48]} \end{cases}$$

*Colangelo et al  
ChPT etc*

*RBC-UKQCD*

# Challenges of physical $K \Rightarrow \pi \pi$ kinematics on the lattice

→ "at rest" unphysical kinematics; @ Liu PhD (2012); RBC-UKQCD PRD 2011

- Primary challenge is to assure physical kinematics: For periodic BCs, amplitude with 2 stationary pions in final state dominates. However

$$|\vec{p}_\pi| \sim 205 \text{ MeV}$$

$$2m_\pi \approx \overset{280}{\cancel{210}} \text{ MeV} \ll m_K \approx 500 \text{ MeV}$$

- II
- Desired state with moving pions is next-to-leading term: require 2exp fits? ← New now under study... T Blum, D Hoyer et al

- I
- Avoid 2-exp fits by removing stationary pion state from system through manipulating lattice spatial boundary conditions:

(Kelly et al)

- Antiperiodic BCs on down-quark for  $A_2$
- G-parity BCs on both quarks for  $A_0$

$$p_\pi = 0 \rightarrow \pi/L$$

tune L to match  $E_K$  and  $E_{\pi\pi}$

underway for about 7 years



# Resolving the [I=0] Energy & phase shift in the pi pi channel

- 2015 result has  $2\sigma+$  discrepancy between our I=0  $\pi\pi$  phase shift ( $\delta_0=23.8(4.9)$   $(1.2)^\circ$ ) and dispersion theory prediction ( $\sim 34^\circ$ ).

[RBC&UKQCD PRL 115 (2015) 21, 212001]  
 [Colangelo et al, Nucl.Phys. B603 (2001) 125-179]

- Observed discrepancy more significant ( $\sim 5\sigma$ ) with 6.5x stats.
- Most likely explanation is excited-state contamination.  $\rightarrow \sigma, \pi, \rho$
- To address added scalar ( $\sigma$ )  $\pi\pi$  operator to the 2-pt function calculation.
- Combined fits (or GEVP) to  $\pi\pi \rightarrow \pi\pi$ ,  $\sigma \rightarrow \pi\pi$  and  $\sigma \rightarrow \sigma$  correlators result in considerably lower ground-state energy:

508(5) MeV [1386 cfgs] from  $\pi\pi \rightarrow \pi\pi$  alone

VS

483(1) MeV [501 cfgs] from sim. fit of all 3 correlators.

Fn GEVP see  
 Sommer et al  
 1108.3774

- New phase shift  $\delta_0=30.9(1.5)(3.0)^\circ$  [prelim] compatible with dispersive result.

Colangelo et al

- Strong evidence for nearby excited finite-volume  $\pi\pi$  state. Indeed such a state with  $E \sim 770$  MeV is predicted by dispersion theory.

NOTE:  $\delta_2 = -11.6 \pm 2.5 \pm 1.2^\circ$  &  $E_{\pi\pi}(J=2) = 573.0 \pm 2.9$  MeV  
 see PRL 2015

arXiv:  
2004,  
09440



14 RBL-  
UKQCD  
2020

Parameter	Value	
	2-state fit	3-state fit
Fit range	6-15	4-15
$A_{\pi\pi(111)}^0$	0.3682(31)	0.3718(22)
$A_{\pi\pi(311)}^0$	0.00380(32)	0.00333(27)
$A_{\sigma}^0$	-0.0004309(41)	-0.0004318(42)
$E_0$	0.3479(11)	0.35030(70)
$A_{\pi\pi(111)}^1$	0.1712(91)	0.1748(67)
$A_{\pi\pi(311)}^1$	-0.0513(27)	-0.0528(30)
$A_{\sigma}^1$	0.000314(17)	0.000358(13)
$E_1$	0.568(13)	0.5879(65)
$A_{\pi\pi(111)}^2$	—	0.116(29)
$A_{\pi\pi(311)}^2$	—	0.063(10)
$A_{\sigma}^2$	—	0.000377(94)
$E_2$	—	0.94(10)
p-value	0.314	0.092

TABLE III: Fit parameters in lattice units and the p-values for multi-operator fits to the  $I = 0$   $\pi\pi$  two-point functions. Here  $E_i$  are the energies of the states and  $A_{\alpha}^i$  represents the matrix element of the operator  $\alpha$  between the state  $i$  and the vacuum, given in units of  $\sqrt{1} \times 10^{13}$ . The second column gives the parameters for our primary fit which uses two-states and three operators. The third column shows a fit with the same three operators and one additional state that is used to probe the systematic effects of this third state on the  $K \rightarrow \pi\pi$  matrix element fits.

**Direct  $CP$  violation and the  $\Delta I = 1/2$  rule in  $K \rightarrow \pi\pi$  decay  
from the standard model**

R. Abbott,<sup>1</sup> T. Blum,<sup>2,3</sup> P. A. Boyle,<sup>4,5</sup> M. Bruno,<sup>6</sup> N. H. Christ,<sup>1</sup> D. Hoyer,<sup>3,2</sup> C. Jung,<sup>4</sup> C. Kelly<sup>1b</sup>,<sup>4</sup> C. Lehner,<sup>7,4</sup>  
R. D. Mawhinney,<sup>1</sup> D. J. Murphy,<sup>8</sup> C. T. Sachrajda,<sup>9</sup> A. Soni,<sup>4</sup> M. Tomii,<sup>2</sup> and T. Wang<sup>1</sup>

(RBC and UKQCD Collaborations)

<sup>1</sup>*Physics Department, Columbia University, New York, New York 10027, USA*

<sup>2</sup>*Physics Department, University of Connecticut, Storrs, Connecticut 06269-3046, USA*

<sup>3</sup>*RIKEN-BNL Research Center, Brookhaven National Laboratory, Upton, New York 11973, USA*

<sup>4</sup>*Brookhaven National Laboratory, Upton, New York 11973, USA*

<sup>5</sup>*SUPA, School of Physics, The University of Edinburgh, Edinburgh EH9 3JZ, United Kingdom*

<sup>6</sup>*Theoretical Physics Department, CERN, 1211 Geneve 23, Switzerland*

<sup>7</sup>*Universität Regensburg, Fakultät für Physik, 93040 Regensburg, Germany*

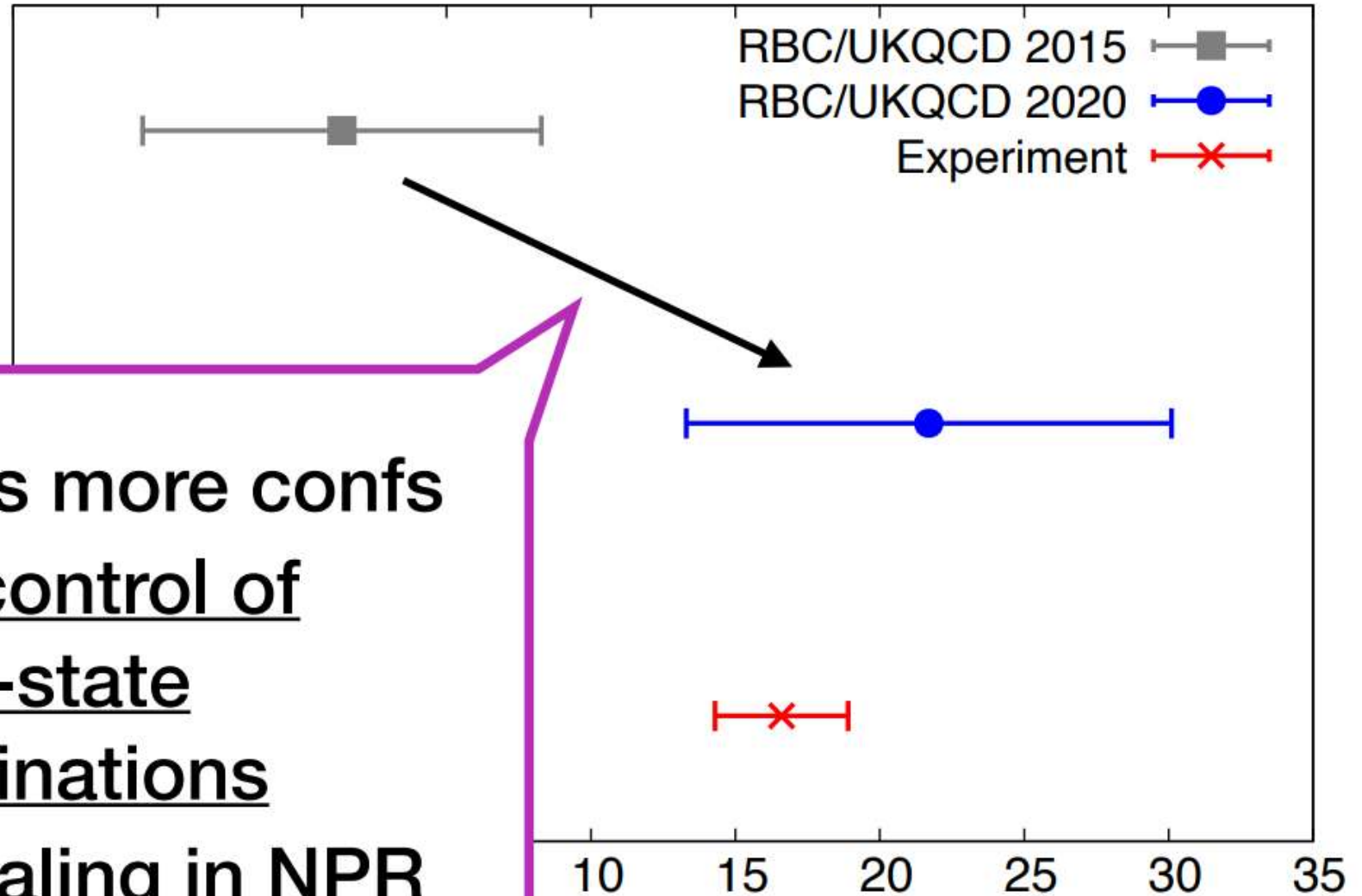
<sup>8</sup>*Center for Theoretical Physics, Massachusetts Institute of Technology,  
Boston, Massachusetts 02139, USA*

<sup>9</sup>*School of Physics and Astronomy, University of Southampton, Southampton SO17 1BJ, United Kingdom*



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$\text{Re}(\varepsilon'/\varepsilon) (\times 10^4)$



- 3+ times more confs
- Better control of excited-state contaminations
- Step scaling in NPR