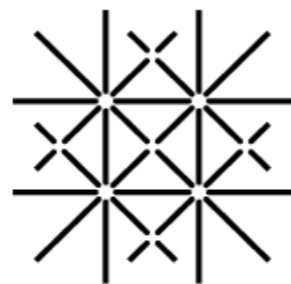


# Global analyses of rare $b \rightarrow d$ and $b \rightarrow s$ decays

Aleks Smolkovic

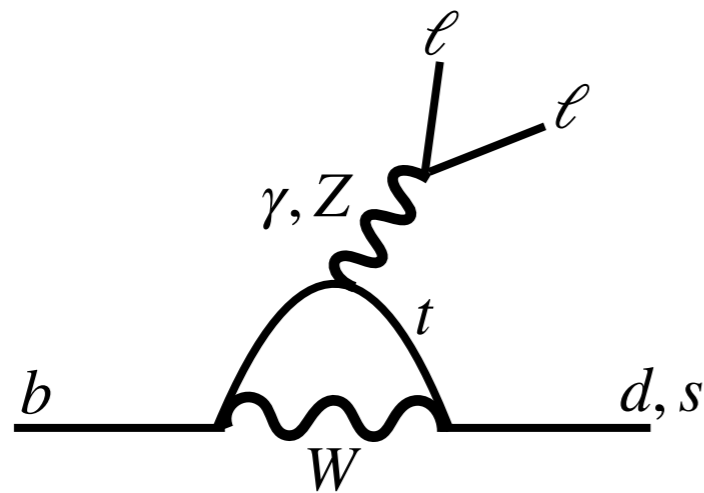


University  
of Basel

# Rare $b$ decays

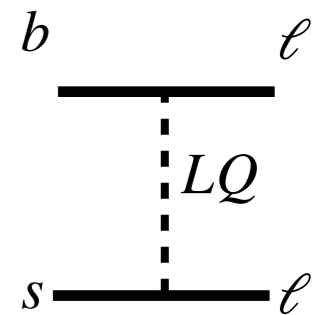
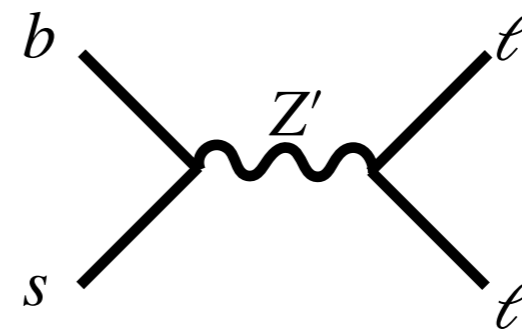
## SM:

FCNCs: loop and CKM suppressed,  
breakdown of GIM due to  $t$



## NP:

Could enter already at tree-level,  
highly sensitive to short-distance NP



**precision tests of both SM and BSM physics**

# Rare $b$ decays

Weak effective Hamiltonian:

$$\mathcal{H}_{\text{eff}} = \mathcal{H}_{\text{eff}}^{\text{SM}} - \frac{4G_F}{\sqrt{2}} \frac{\alpha}{4\pi} \sum_q \sum_\ell \sum_i V_{tb} V_{tq}^* C_i^{bq\ell\ell} O_i^{bq\ell\ell} + \text{h.c.}$$

# Rare $b$ decays

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$$\mathcal{H}_{\text{eff}} = \mathcal{H}_{\text{eff}}^{\text{SM}} - \frac{4G_F}{\sqrt{2}} \frac{\alpha}{4\pi} \sum_q \sum_\ell \sum_i V_{tb} V_{tq}^* C_i^{bq\ell\ell} O_i^{bq\ell\ell} + \text{h.c.}$$

Focus on semileptonic operators:

$$O_9^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)} b)(\bar{\ell}\gamma^\mu \ell) \quad O_{10}^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)} b)(\bar{\ell}\gamma^\mu \gamma_5 \ell)$$

$$O_S^{(')bq\ell\ell} = m_b(\bar{q}P_{R(L)} b)(\bar{\ell}\ell) \quad O_P^{(')bq\ell\ell} = m_b(\bar{q}P_{R(L)} b)(\bar{\ell}\gamma_5 \ell)$$

# Rare $b$ decays

Weak effective Hamiltonian:

$$\mathcal{H}_{\text{eff}} = \mathcal{H}_{\text{eff}}^{\text{SM}} - \frac{4G_F}{\sqrt{2}} \frac{\alpha}{4\pi} \sum_q \sum_\ell \sum_i V_{tb} V_{tq}^* C_i^{bq\ell\ell} O_i^{bq\ell\ell} + \text{h.c.}$$

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$C_i$  encode short-distance physics: computed perturbatively

$\langle O \rangle$  encode long-distance physics: computed using non-perturbative techniques

$$\text{observables} \sim \langle \mathcal{H}_{\text{eff}} \rangle^2 = f(V_{\text{ckm}}, C, \langle O \rangle, \dots)$$

- NP could shift the values of WCs from  $C_i^{\text{SM}}$
- This assumes other quantities entering are under control:  
CKM values, matrix elements, ...

[2104.09521, 2112.03437...]

# Global analyses of $b \rightarrow d\ell\ell$

# Rare $b \rightarrow d\ell\ell$

- Differential branching ratio (and CPA) of  $B \rightarrow \pi\mu\mu$  [LHCb 2015]  
Upper limit on  $B \rightarrow \pi ee$  [Belle 2008]
  - Evidence of  $B_s \rightarrow K^*\mu\mu$  [LHCb 2018]
  - Upper limit on  $B^0 \rightarrow \mu\mu$  [ATLAS 2018, LHCb 2021, CMS 2022]  
Upper limit on  $B^0 \rightarrow ee$  [LHCb 2020]
- Semileptonic  
 $C_9^{(\prime)}, C_{10}^{(\prime)}$
- Fully leptonic  
 $C_{10}^{(\prime)}, C_S^{(\prime)}, C_P^{(\prime)}$

Matrix elements: LCSR, LQCD

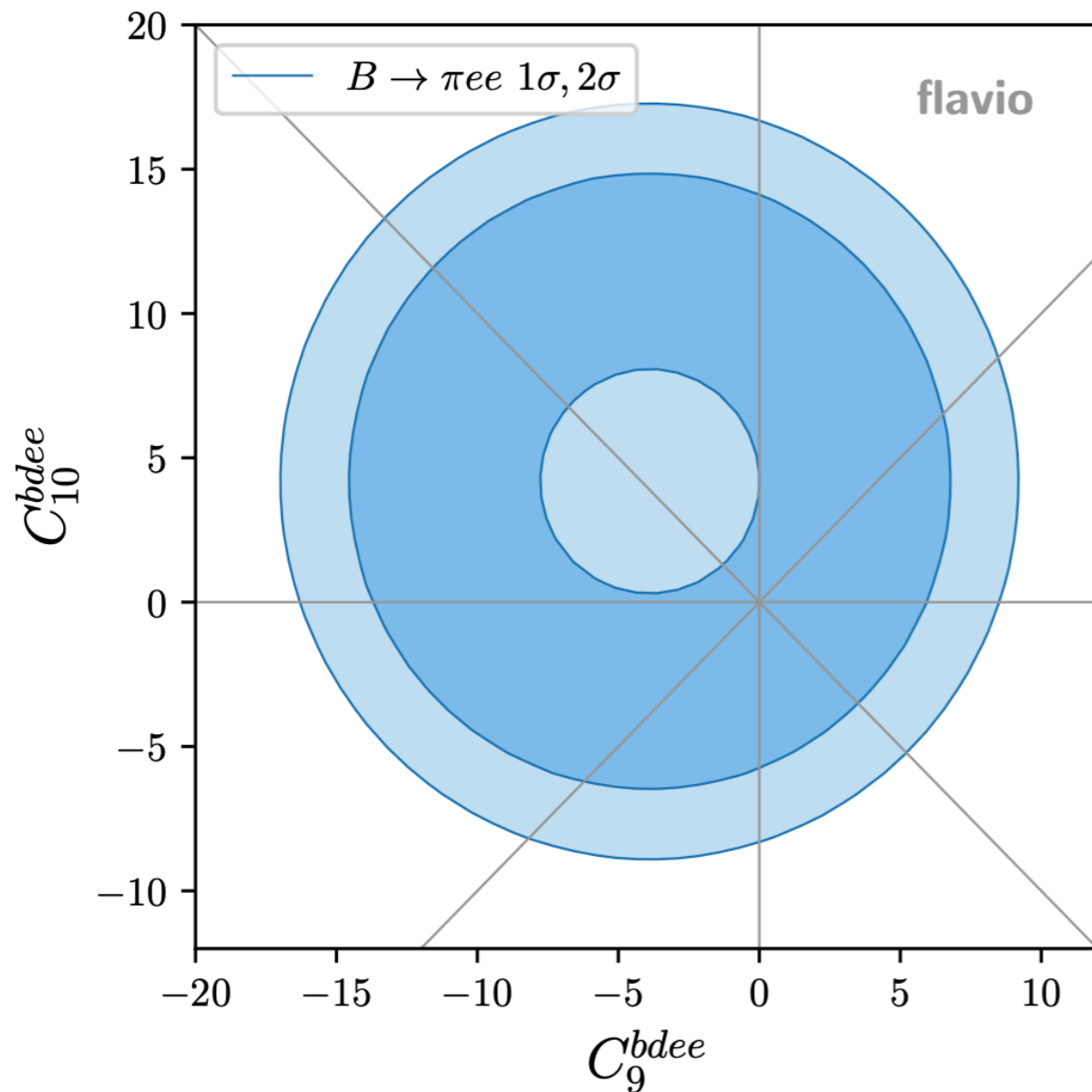
[1501.00367, 1501.05373, 1503.05534, 1507.01618, 1712.09262, 1811.00983, 2102.07233, 2111.09849, 2310.06734]  
[see also talk by A. M. Marshall]

**With this knowledge, we can start constraining heavy NP**

R. Bause, H. Gisbert, M. Golz, G. Hiller, 2209.04457

A. Greljo, J. Salko, AS, P. Stangl, 2212.10497

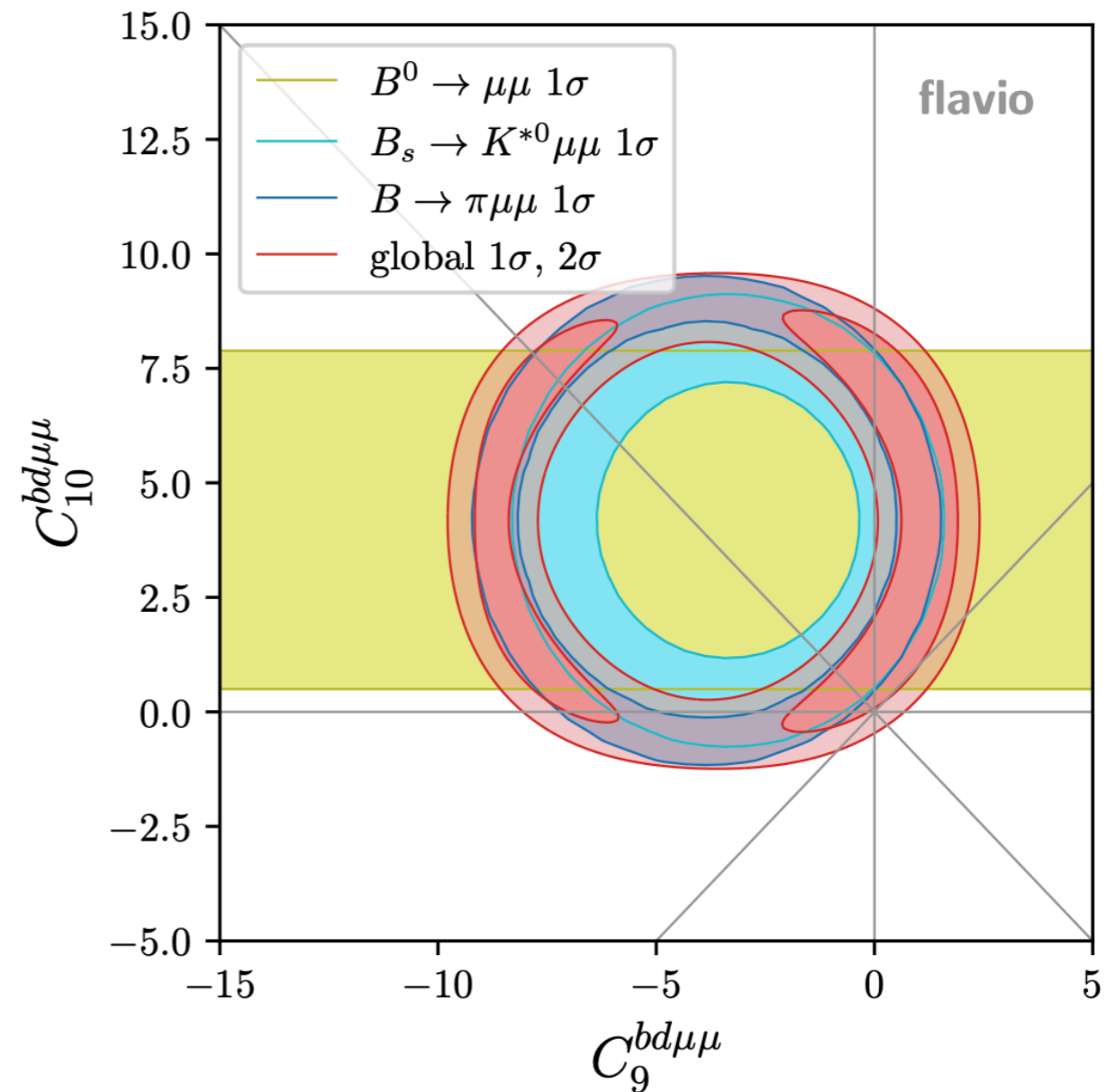
$(C_9, C_{10})$  for  $b \rightarrow dee$  and  $b \rightarrow d\mu\mu$



Sizeable room for NP!

$$O_9^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\ell)$$

$$O_{10}^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\gamma_5\ell)$$



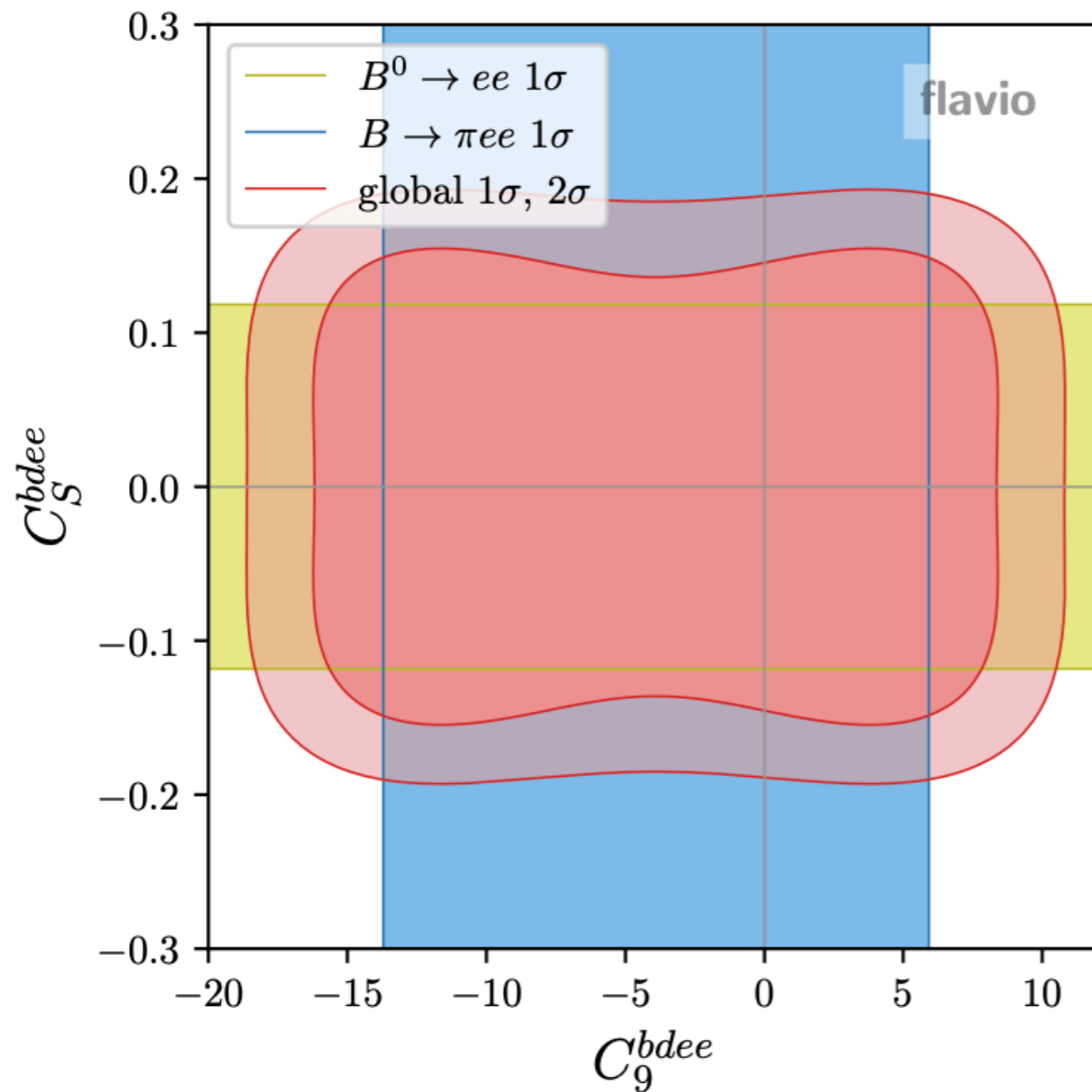
Slight preference for negative  $C_9$  ( $= -C_{10}$ )



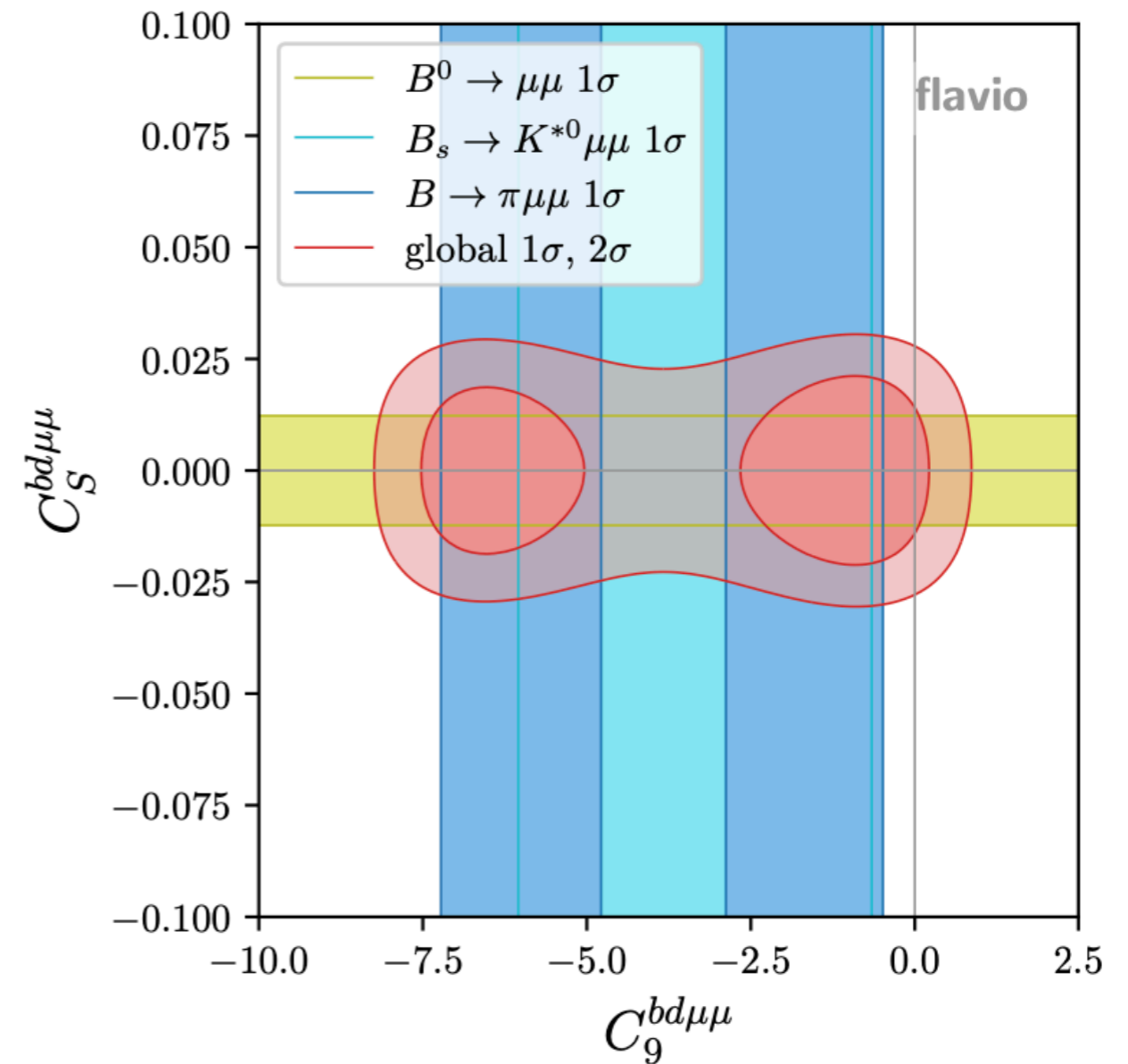
Purely leptonic decays importantly constrain (pseudo) scalar operators

$$O_9^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\ell)$$

$$O_S^{(')bq\ell\ell} = m_b(\bar{q}P_{R(L)}b)(\bar{\ell}\ell)$$



Sizeable room for NP!

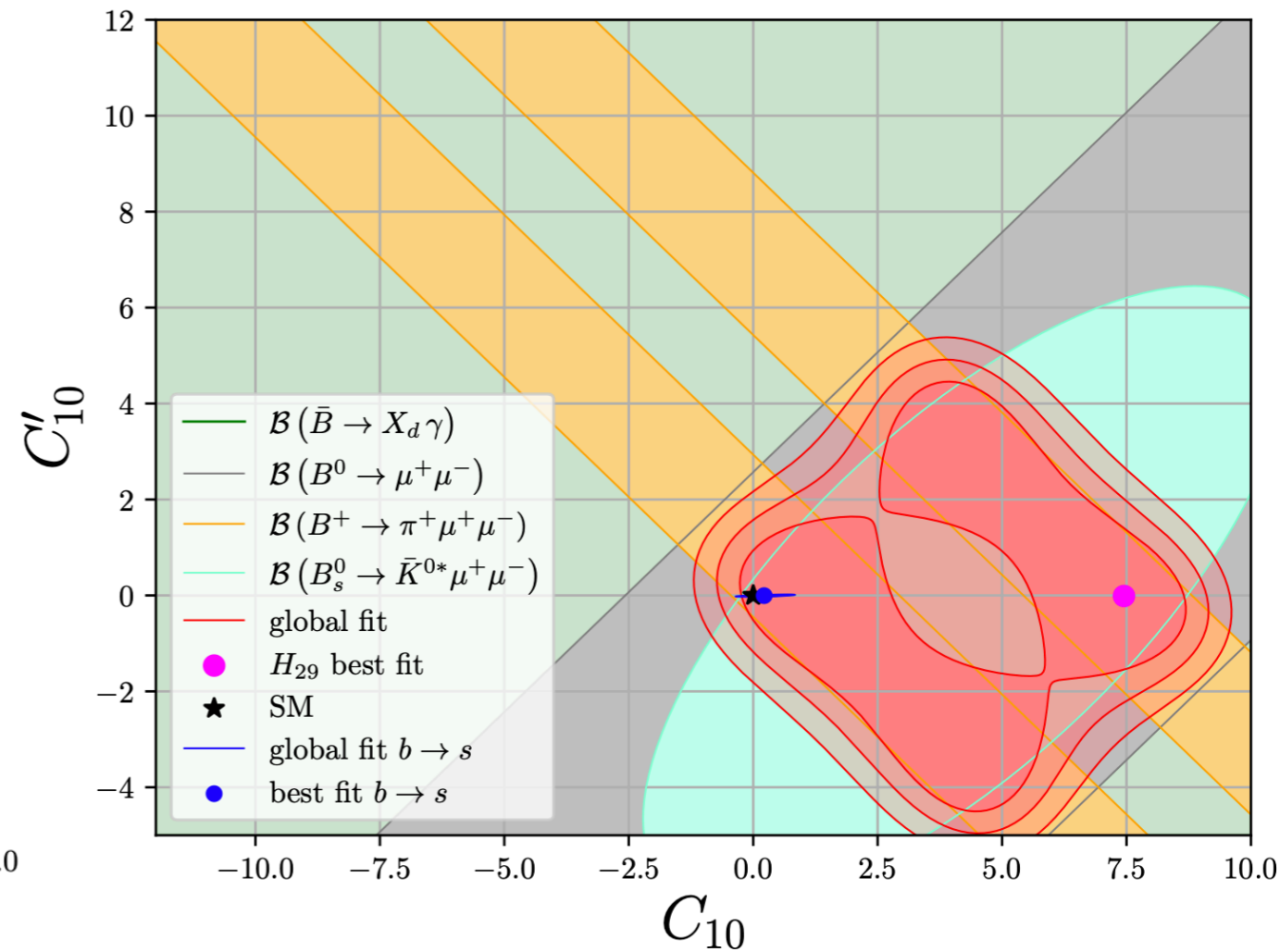
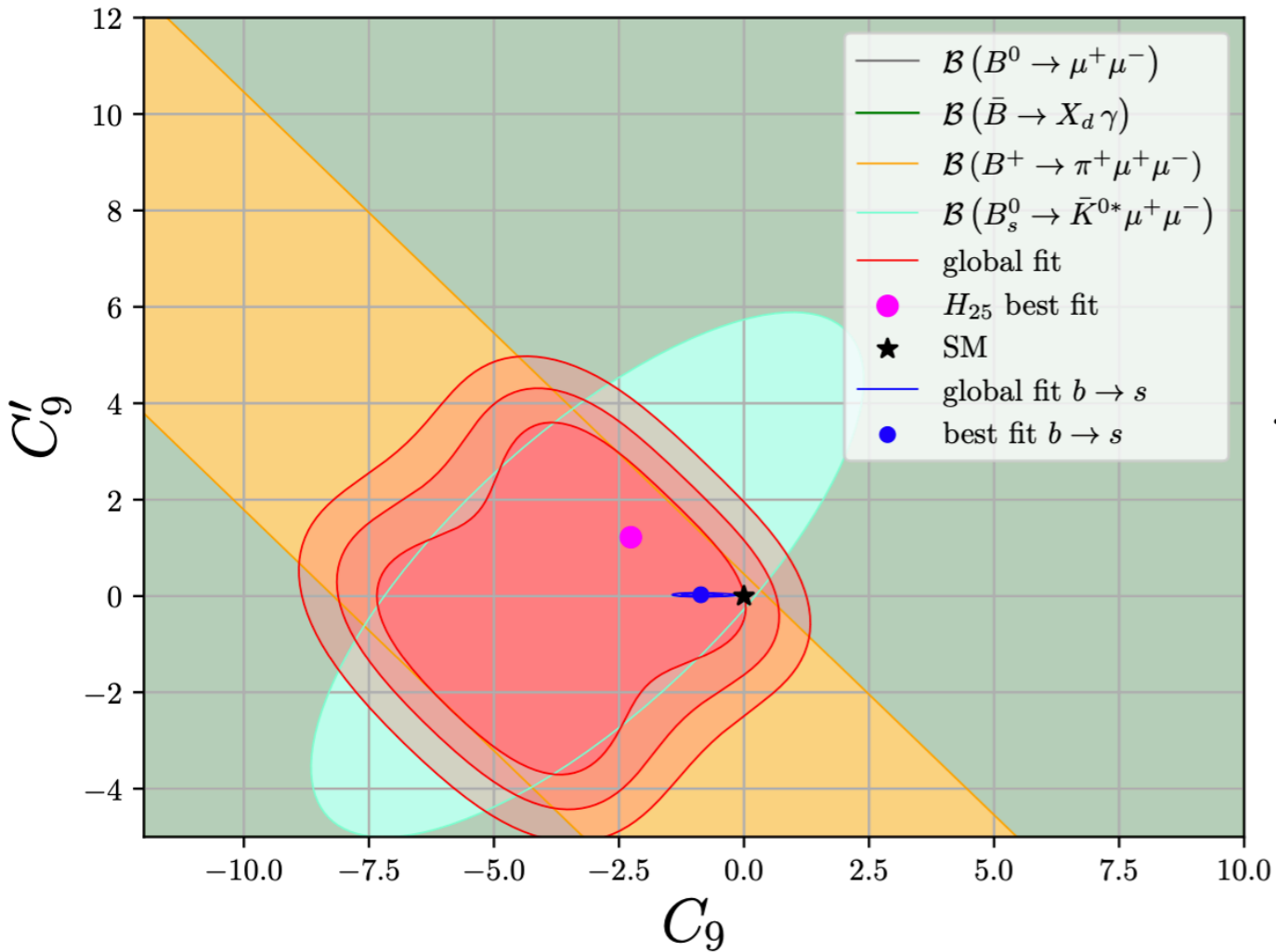


Slight preference for negative  $C_9$  ( $= -C_{10}$ )

Regarding helicity-flipped operators:

$$O_9^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\ell)$$

$$O_{10}^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\gamma_5\ell)$$



Nice complementarity between different modes

# Global analyses of $b \rightarrow s\ell\ell$

# Rare $b \rightarrow s \ell \ell$

[see talk by D. Moise]

## $b \rightarrow s \mu \mu$ infamously well explored

Various branching ratios, angular observables, CP asymmetries, measured in many modes:

$$B \rightarrow K^{(*)} \mu \mu, B_s \rightarrow \phi \mu \mu, B_s \rightarrow \mu \mu, \Lambda_b \rightarrow \Lambda \mu \mu$$

**anomalous!**

## $b \rightarrow see$ so-far under-represented

Upper limit on  $B_s \rightarrow ee$  [LHCb 2020]

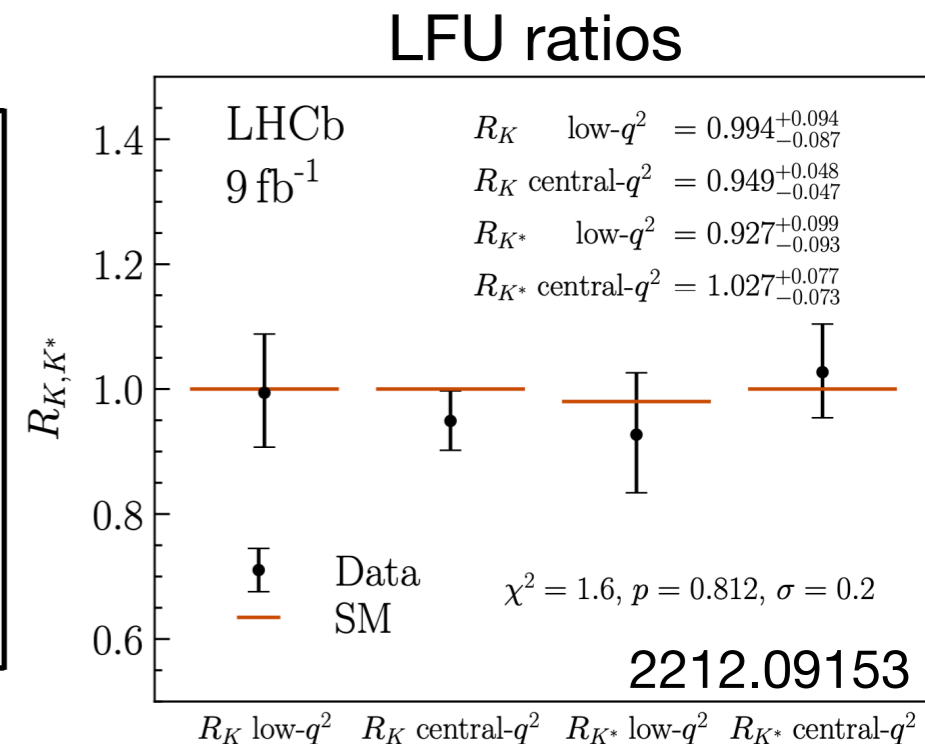
Inclusive  $B \rightarrow X_s ee$  [BaBar 2013]

$B \rightarrow K^* ee$  at very low  $q^2$  - [LHCb 2020]

**anomalous?**

Excellent progress in understanding local and non-local matrix elements

[1501.00367, 1503.05534, 1509.06235, 1707.07305, 1811.00983, 2011.09813, 2206.03797, 2207.12468, 2305.06301, ...]



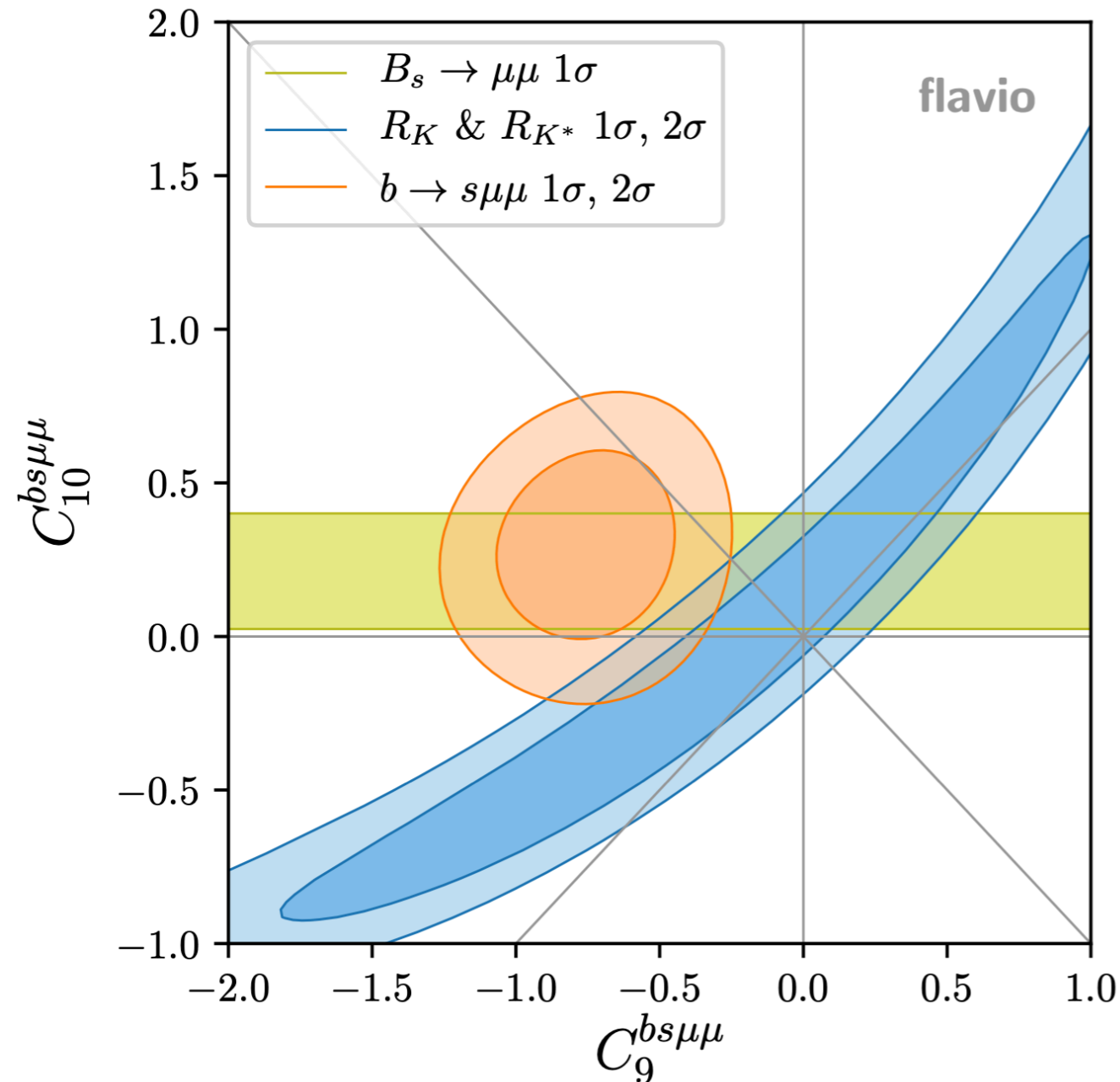
**!anomalous**

# What's the situation with muonic ( $C_9, C_{10}$ )?

A. Greljo, J. Salko, AS, P. Stangl, 2212.10497

$$O_9^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\ell)$$

$$O_{10}^{(')bq\ell\ell} = (\bar{q}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\gamma_5\ell)$$



## Main updates:

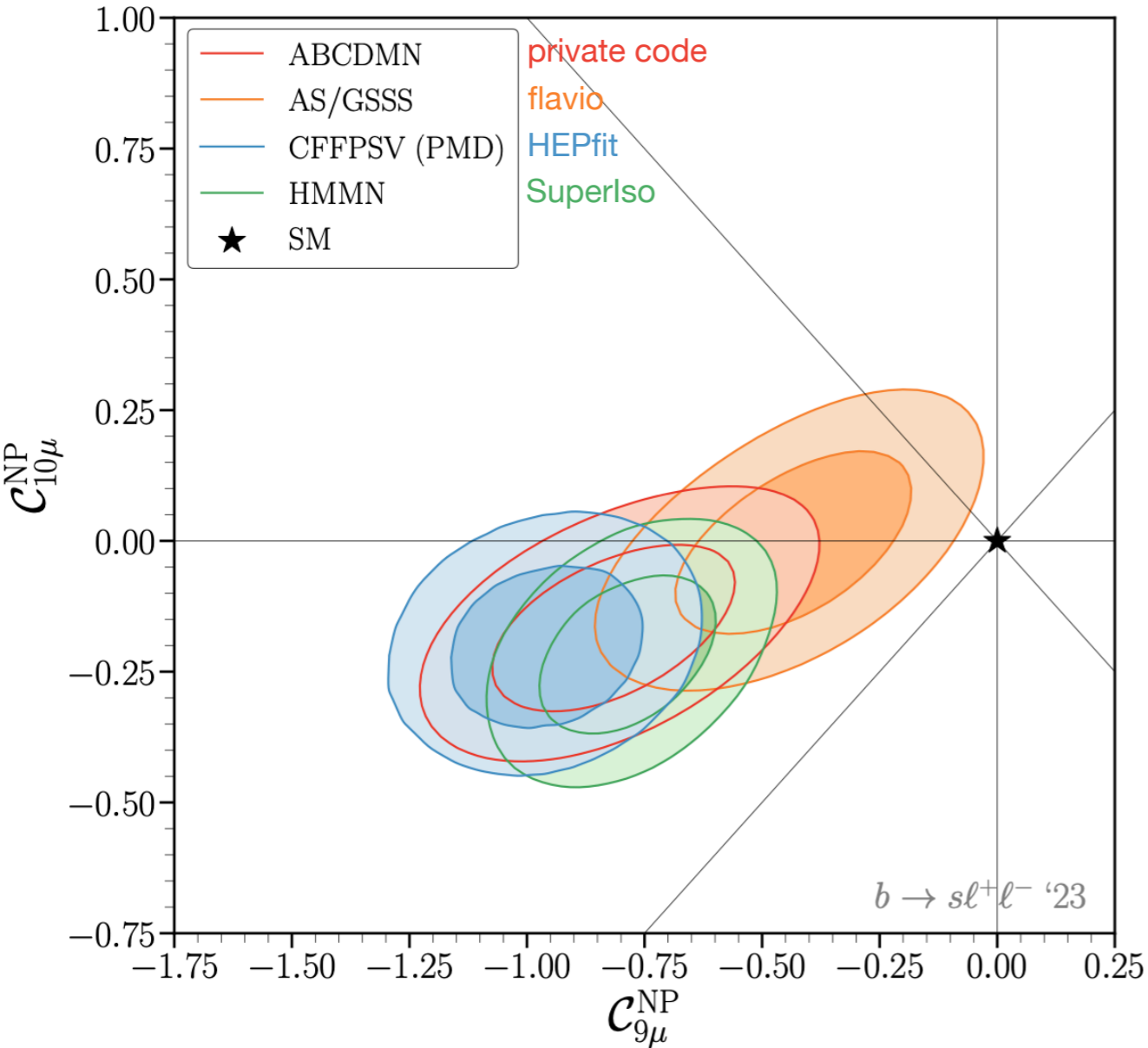
- $B_s \rightarrow \mu\mu$  now more compatible with SM  
[ATLAS 2018, LHCb 2021, CMS 2022]
- $R_K, R_{K^*}$  now SM-like  
[LHCb 2022]

## Upshot:

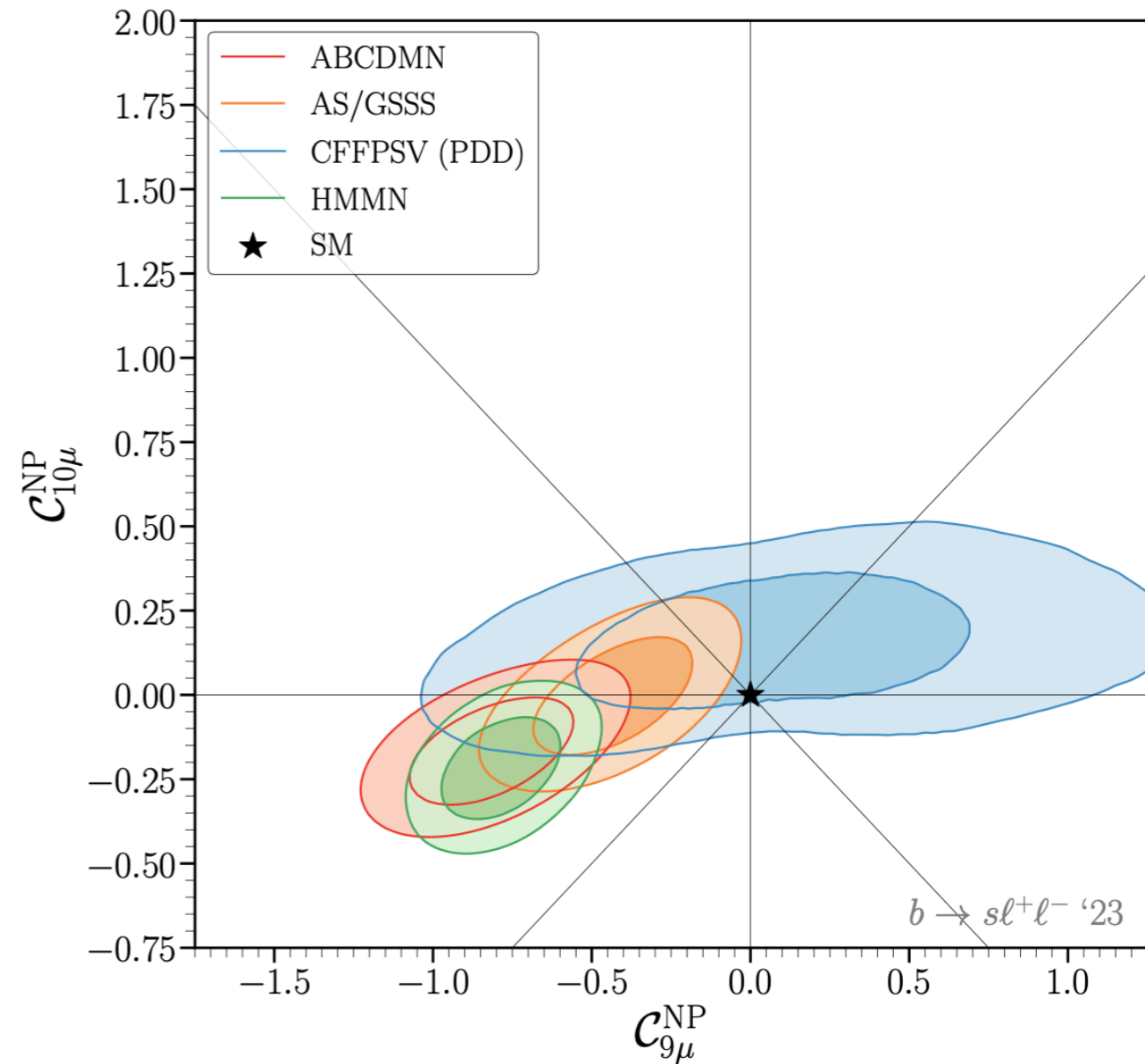
- $b \rightarrow s\mu\mu$  still pulling  $C_9^{bs\mu\mu}$
- this is now in (slight) tension with  $R_{K^{(*)}}$
- $C_9^{\text{univ.}}$  alleviates this tension

Many fits produced in the literature,  
recently nicely reviewed in [B. Capdevila, A. Crivellin, J. Matias, 2309.01311]

2304.07330, 2212.10497, 2212.10516, 2310.05585, also 2306.15017, 2308.13426, ...



Good agreement between fits even with different statistical frameworks, observable sets, parameterisations and parameters used



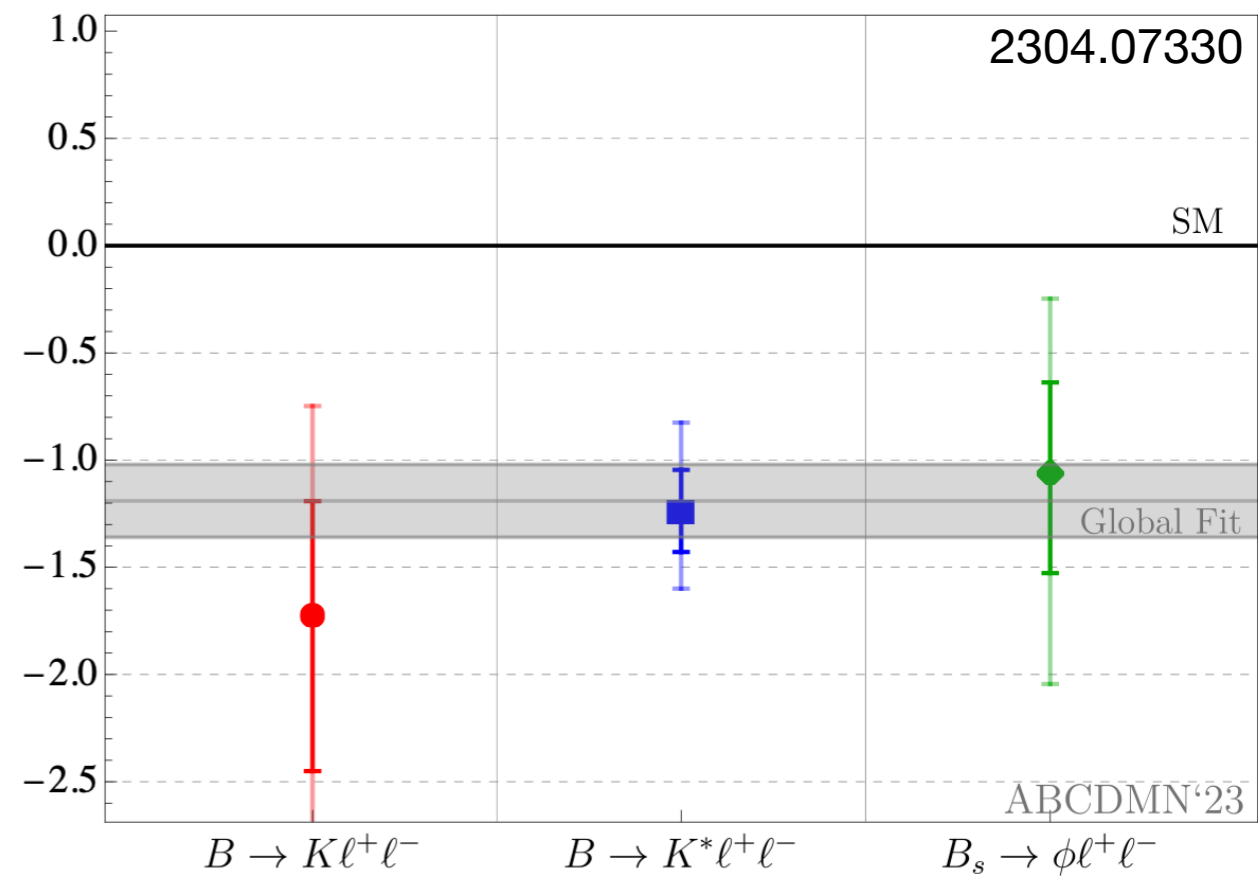
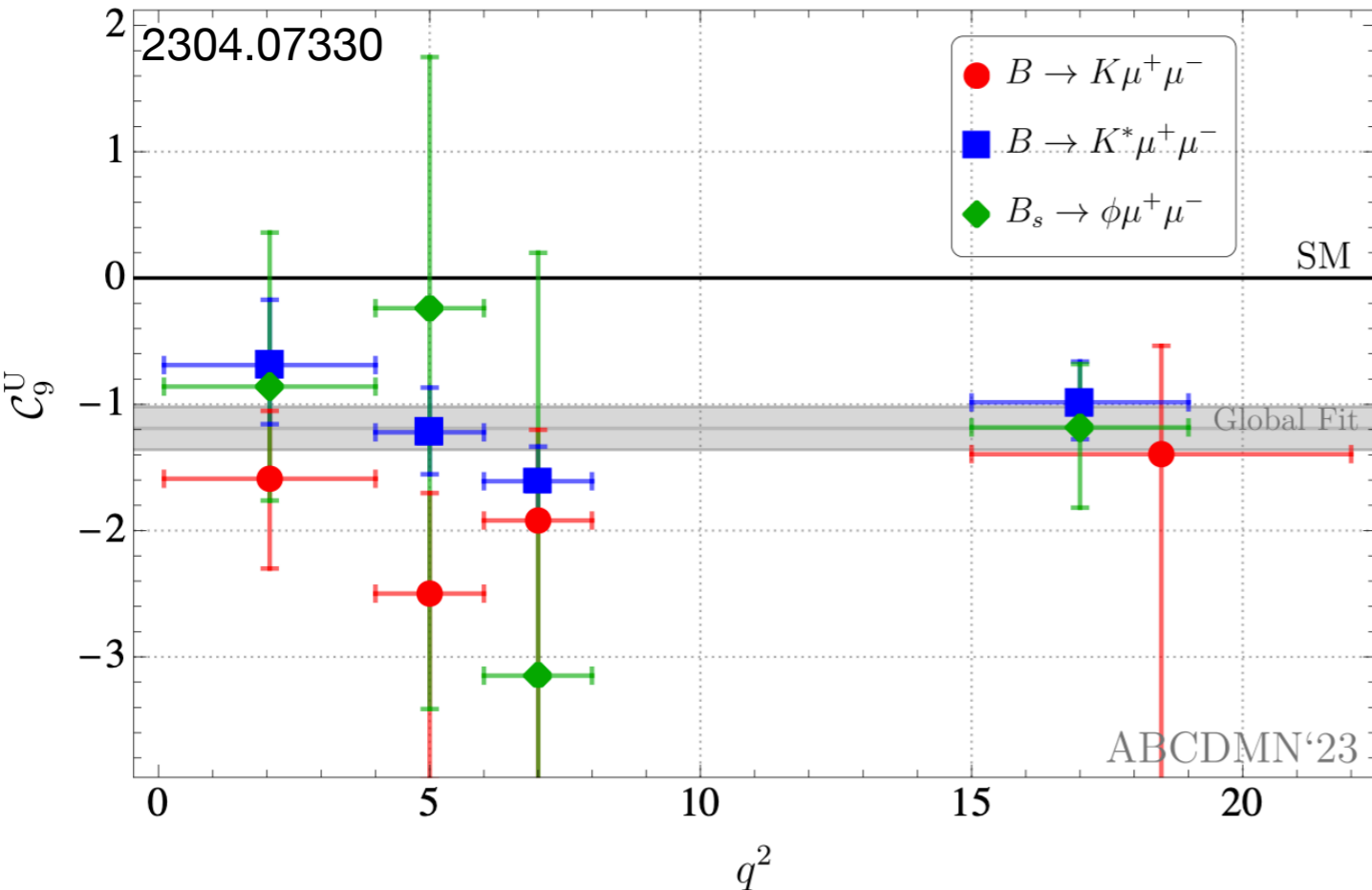
Non-local, non-perturbative effects under control?

[see talk by M. Reboud]

# Important check:

NP effects from local operators: mode and  $q^2$  independence

$C_9^U$ : universal for electrons and muons

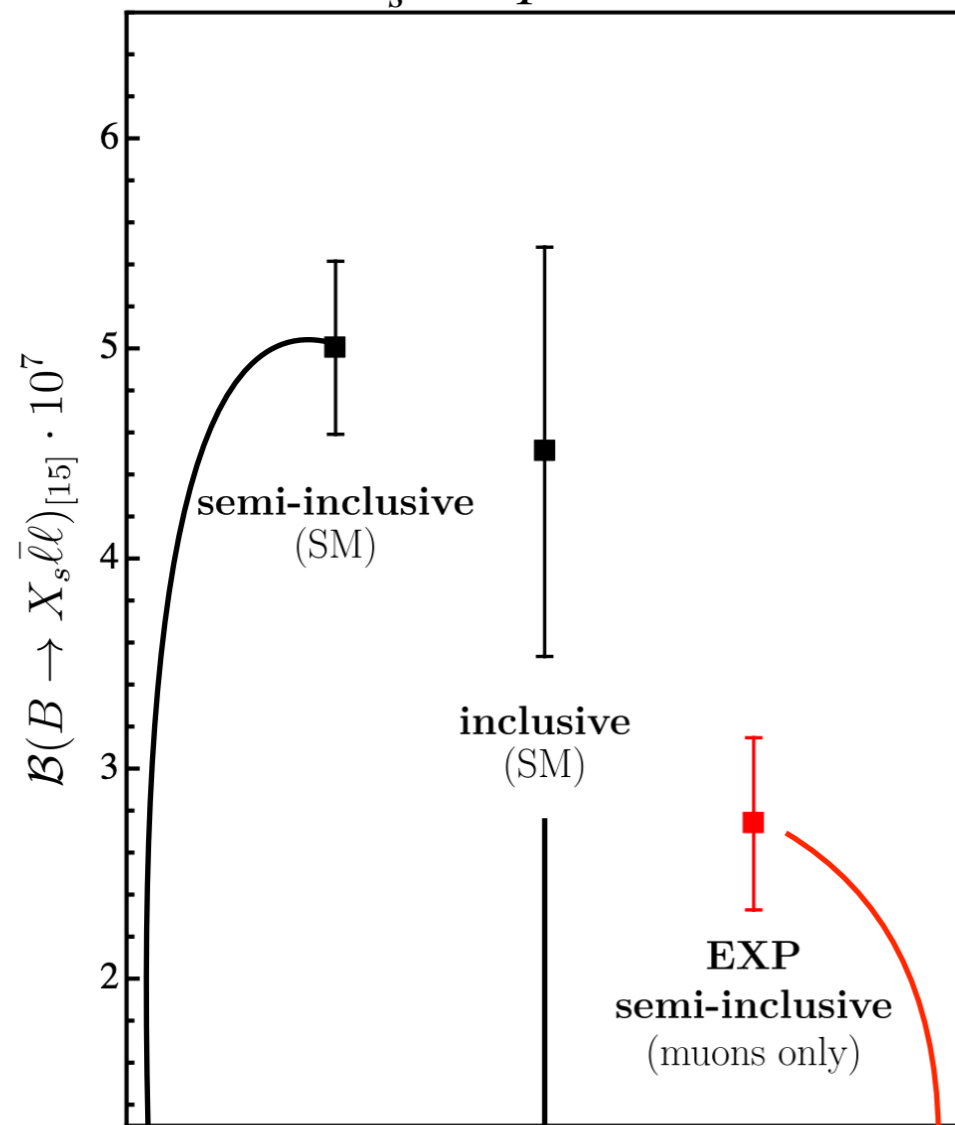


Data supports short-distance source of  $C_9$ , improvable with more statistics

# Tension not exclusive to exclusive

G. Isidori, Z. Polonsky, A. Tinari, 2305.03076

$$B \rightarrow X_s \ell \bar{\ell}, q^2 > 15 \text{ GeV}^2$$



Prediction, leading 1- and 2-body modes

Exp., leading 1- and 2-body modes, LHCb

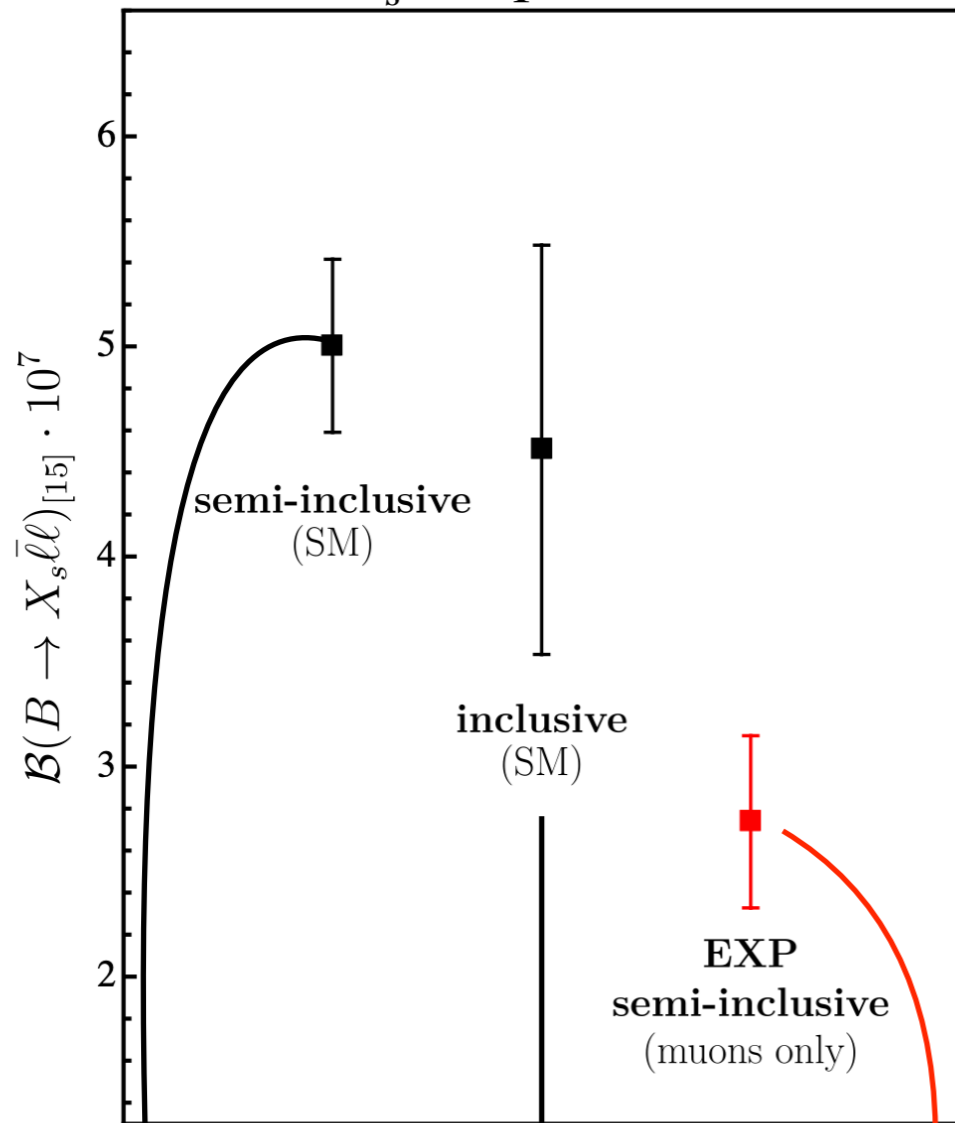
Prediction, through ratio with inclusive  $B \rightarrow X_u \ell \nu$  [Belle 2021]  
Significant cancellation of non-perturbative uncertainties [0707.1694]



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G. Isidori, Z. Polonsky, A. Tinari, 2305.03076

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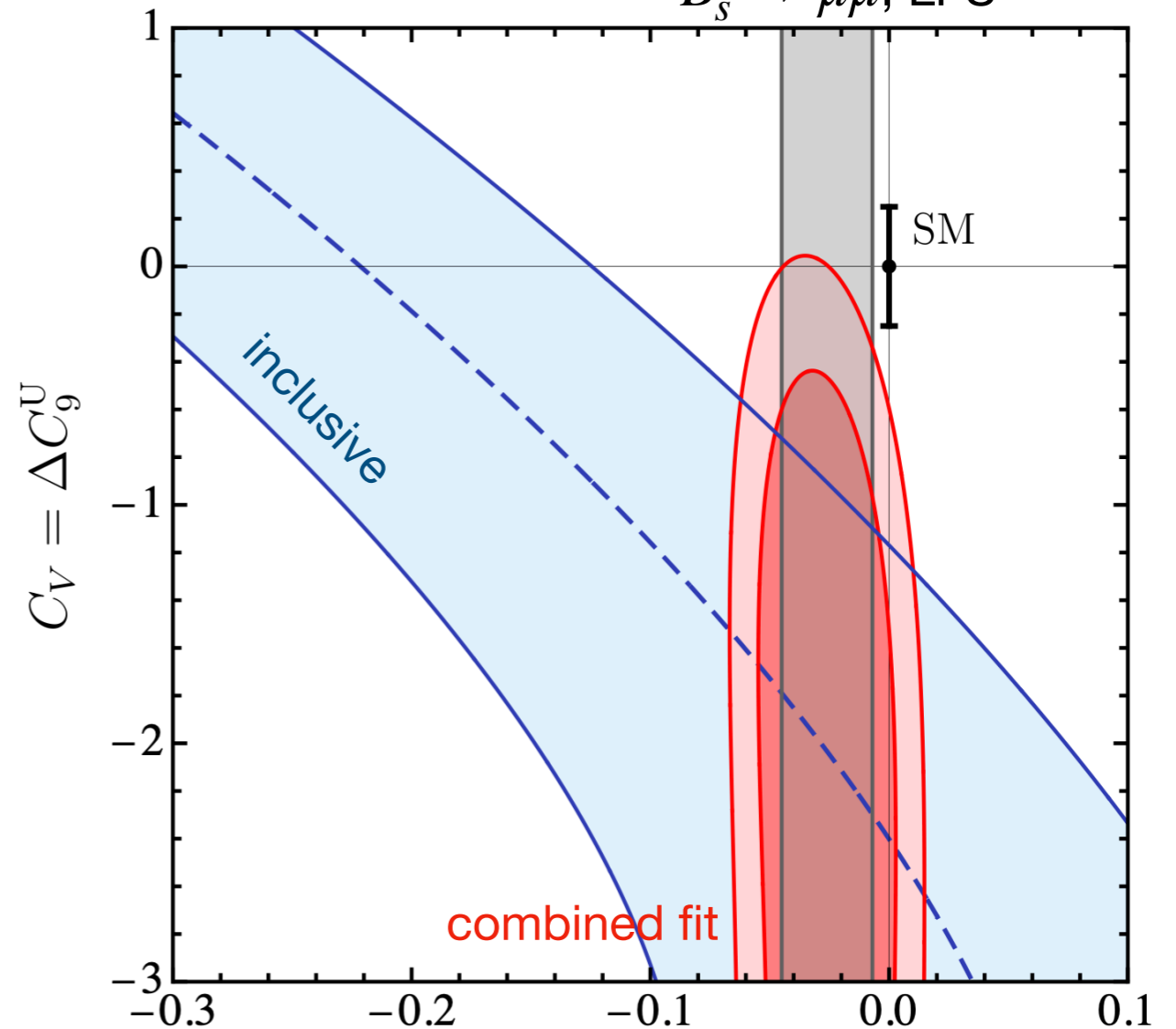


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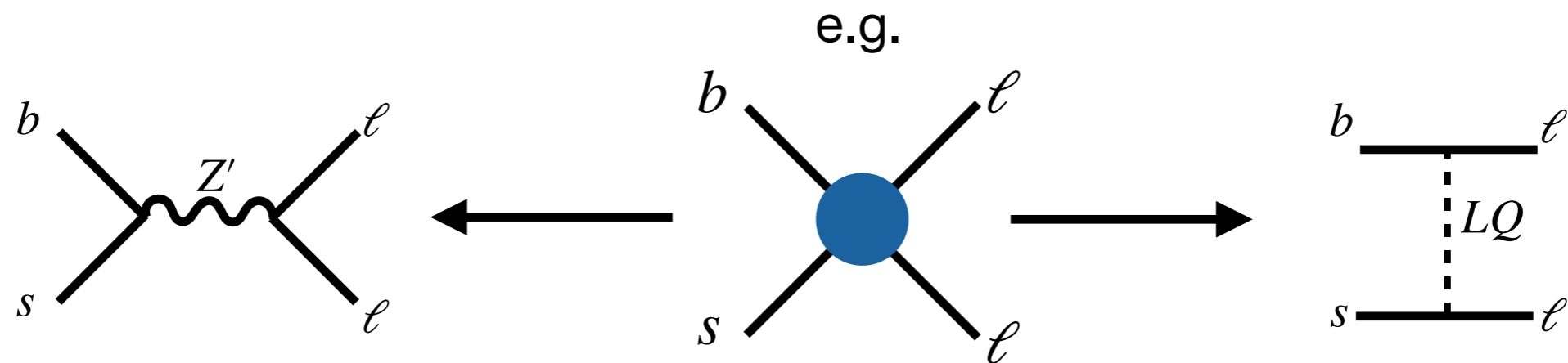
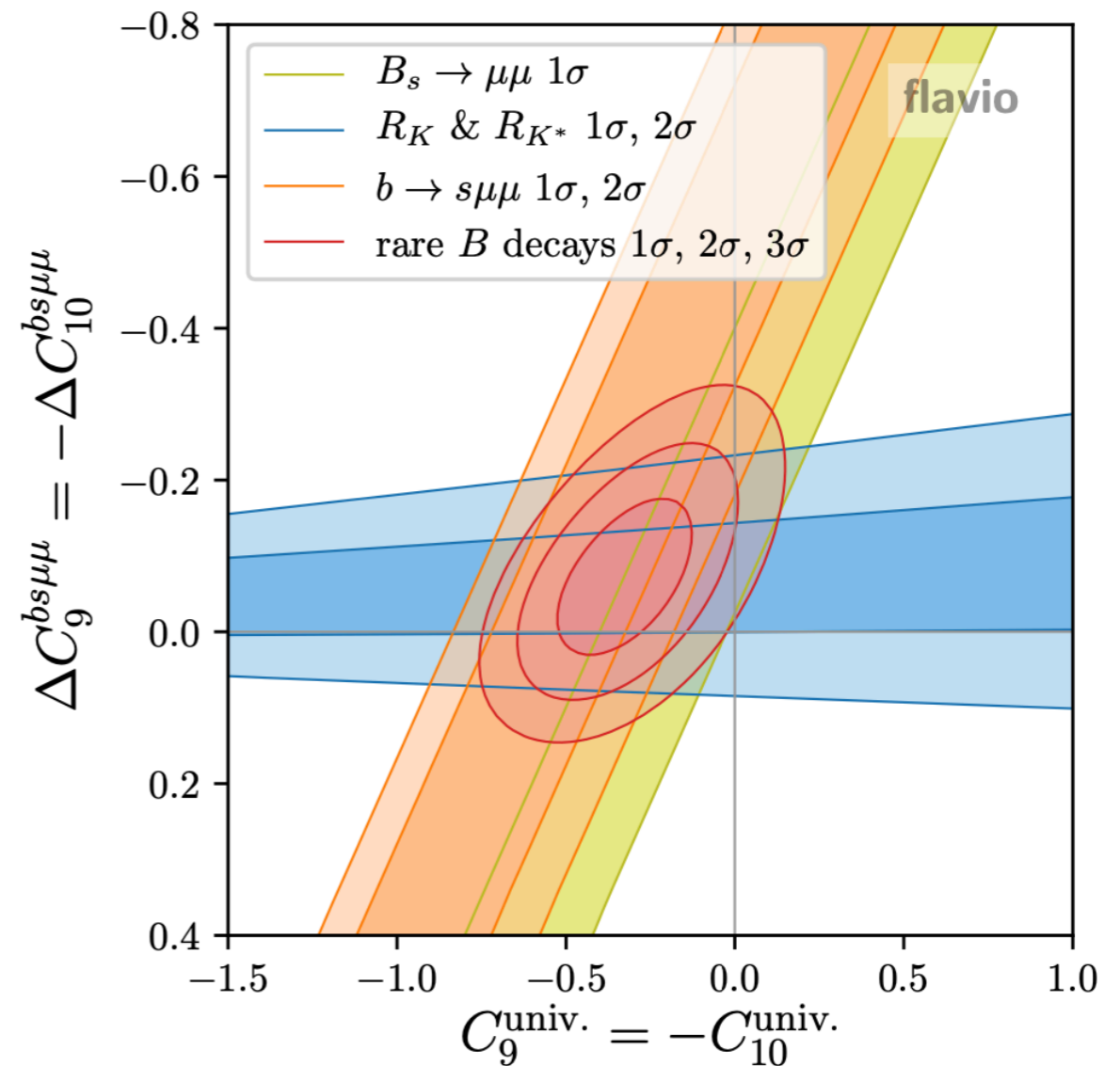
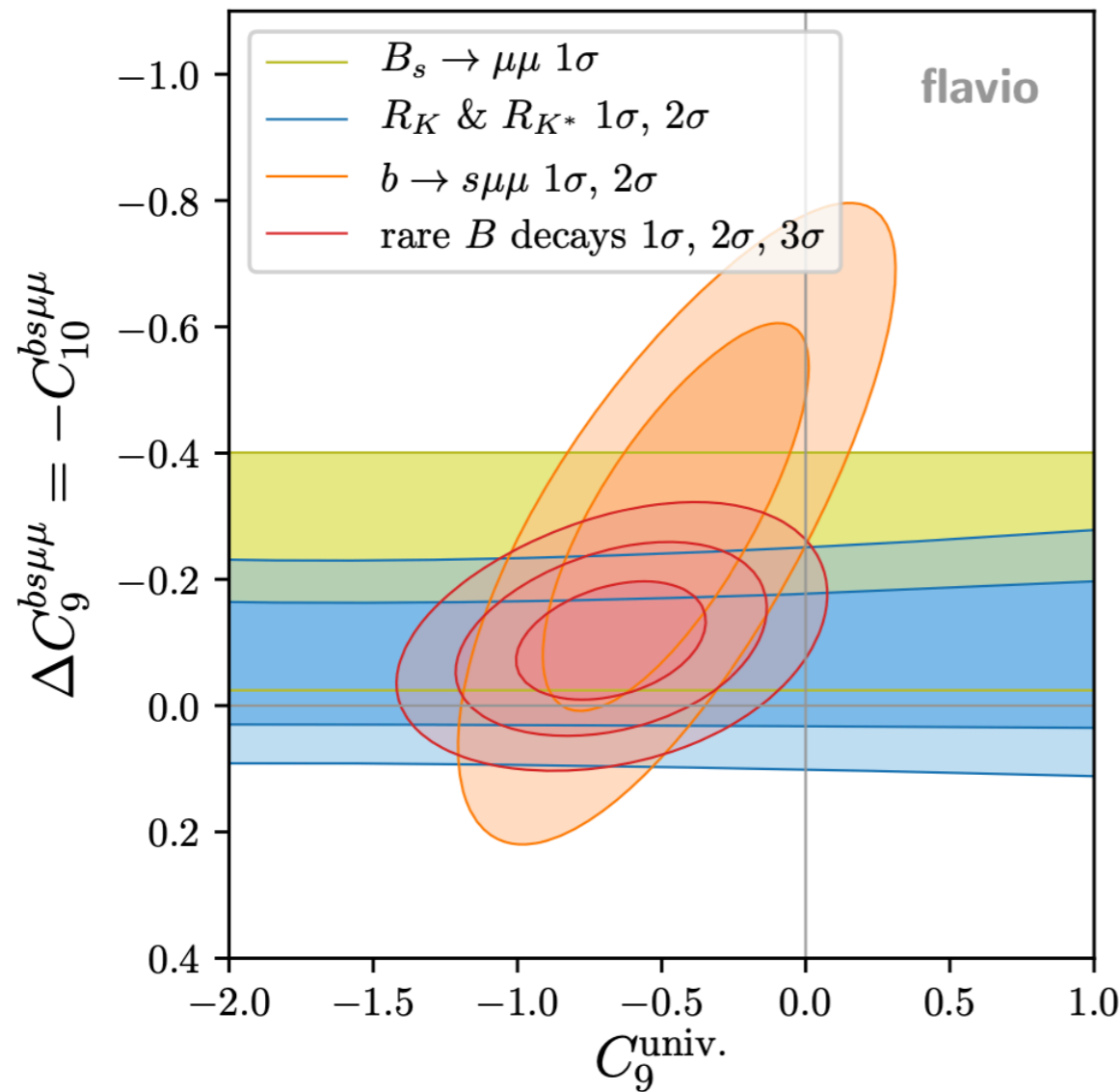
$$B_s \rightarrow \mu\mu, \text{LFU}$$



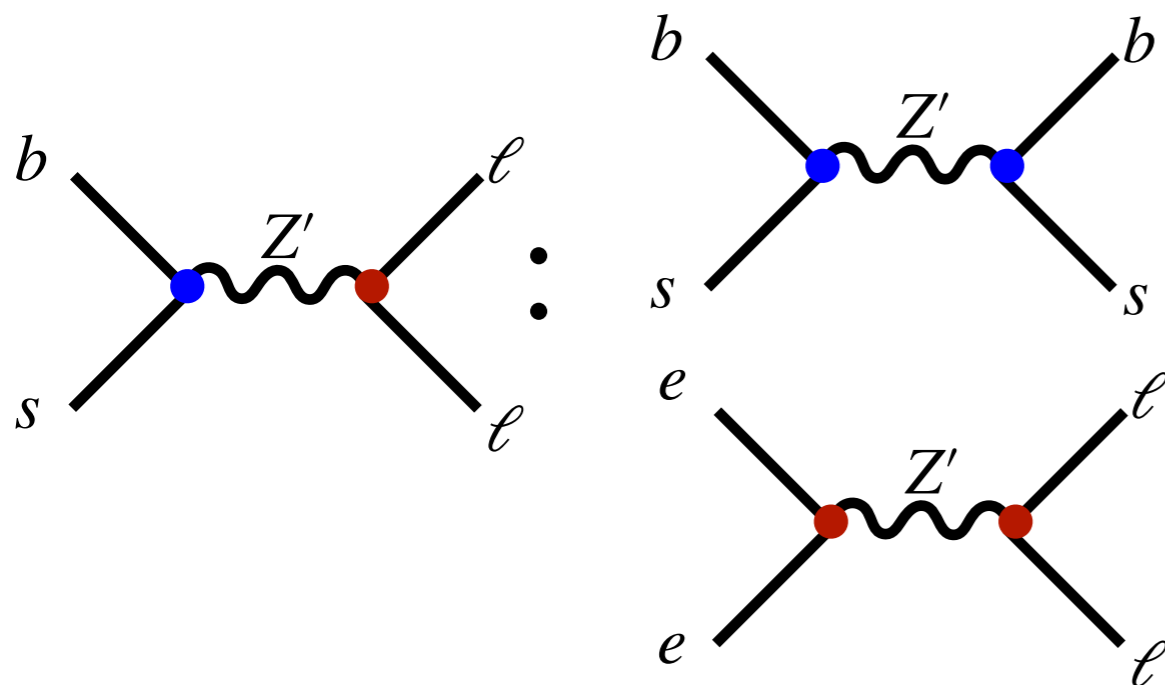
$$\Delta C_L^\mu / C_L^{\text{SM}} = -\Delta C_{10}^\mu / C_{10}^\mu = \Delta C_9^\mu / C_9^\mu$$

- Tension even with inclusive prediction, improvable with  $B \rightarrow X_u \ell \nu$  data
- Independent of hadronic form factors

# $R_K$ & $R_{K^*}$ now prefer universal NP $\rightarrow$ implications for models

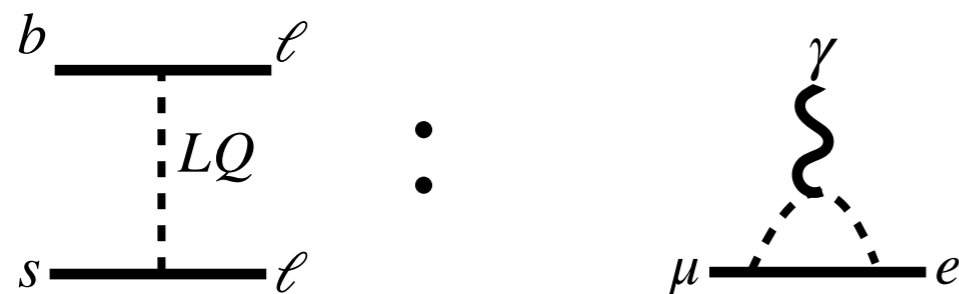


# Implications for (simplified) models in a nutshell



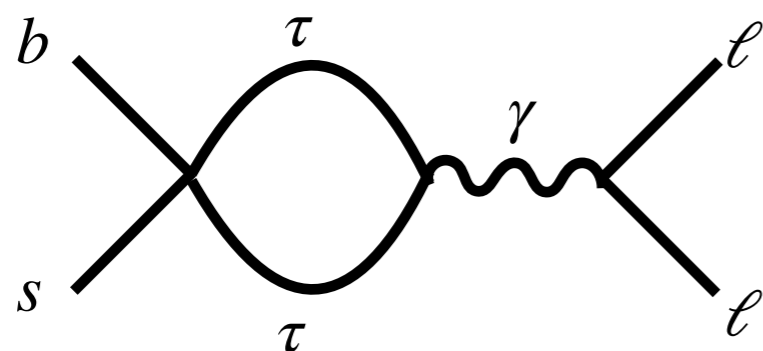
Can easily be LFU (e.g.  $U(1)_{B-L}$ ), stringent complementary constraints (there is wiggle room)

[2211.11766, 2212.10497, 2306.08669, ...]



Not that easily LFU because of stringent cLFV constraints, can be done if LQ e.g. lepton-flavored

[1503.01084, 1706.08511, 2212.10497, 2307.15117, ...]



RG effect, connection with  $R_{D^{(*)}}$  with  $\tau$ , also possible through 4q operator

[1109.1826, 1701.09183, 1712.01919, 1807.02068, 1809.08447, 1903.09578, 1910.12924, 2210.13422, 2304.07330, 2308.00034, 2309.01311, 2309.07205, ...]

# Flavorful connections in the SMEFT

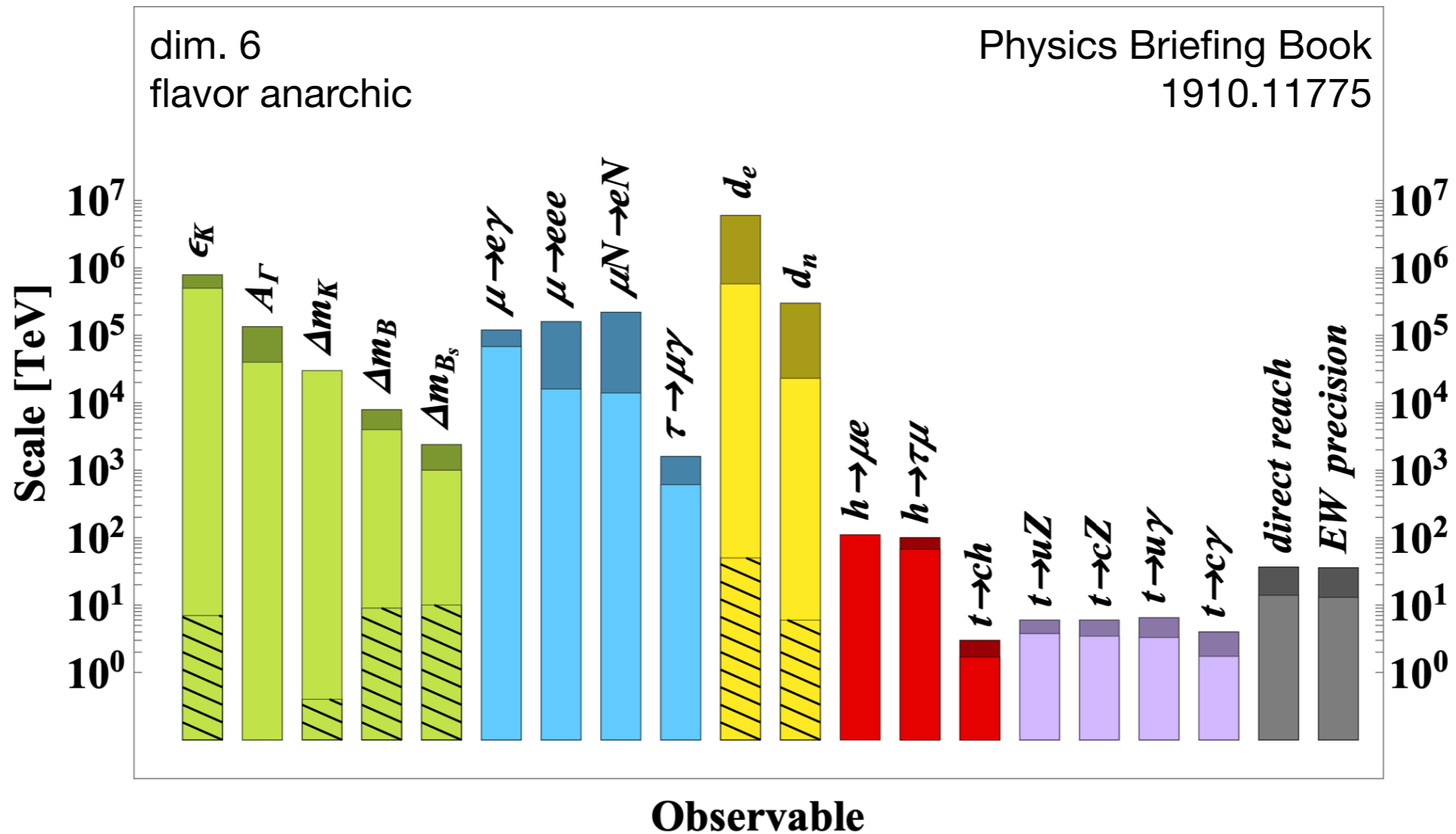
# Flavorful connections in the SMEFT

- SMEFT: 
$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_Q \frac{C_Q}{\Lambda_Q^{[Q]-4}} Q$$

implies correlations between various observable sectors  
(important to build a *global* SMEFT likelihood, e.g. *smelli*)

- NP is expected to have some kind of flavor protection, e.g. MFV

hep-ph/0207036, 2005.05366, 2203.09561



# Flavorful connections in the SMEFT

Consider the  $Q_{lq}^{(1)}$  operator:

$$[C_{lq}^{(1)}]_{st} (\bar{l} \gamma_\mu l) (\bar{q}_s \gamma^\mu q_t)$$

# Flavorful connections in the SMEFT

Consider the  $Q_{lq}^{(1)}$  operator:

$$[C_{lq}^{(1)}]_{st} (\bar{l} \gamma_\mu l) (\bar{q}_s \gamma^\mu q_t) \rightarrow [C_{lq}^{(1)}]_{st} = \delta_{st} [C_{lq}^{(1)}]_\delta + (Y_u Y_u^\dagger)_{st} [C_{lq}^{(1)}]_{Y_u Y_u^\dagger}$$



flavor conserving

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

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flavor conserving

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

flavor violating

$$\sim y_t^2 \begin{pmatrix} V_{td}^2 & V_{ts} V_{td}^* & V_{tb} V_{td}^* \\ V_{td} V_{ts}^* & V_{ts}^2 & V_{tb} V_{ts}^* \\ V_{td} V_{tb}^* & V_{ts} V_{tb}^* & V_{tb}^2 \end{pmatrix} \begin{matrix} b \rightarrow d \ell \ell \\ b \rightarrow s \ell \ell \end{matrix}$$

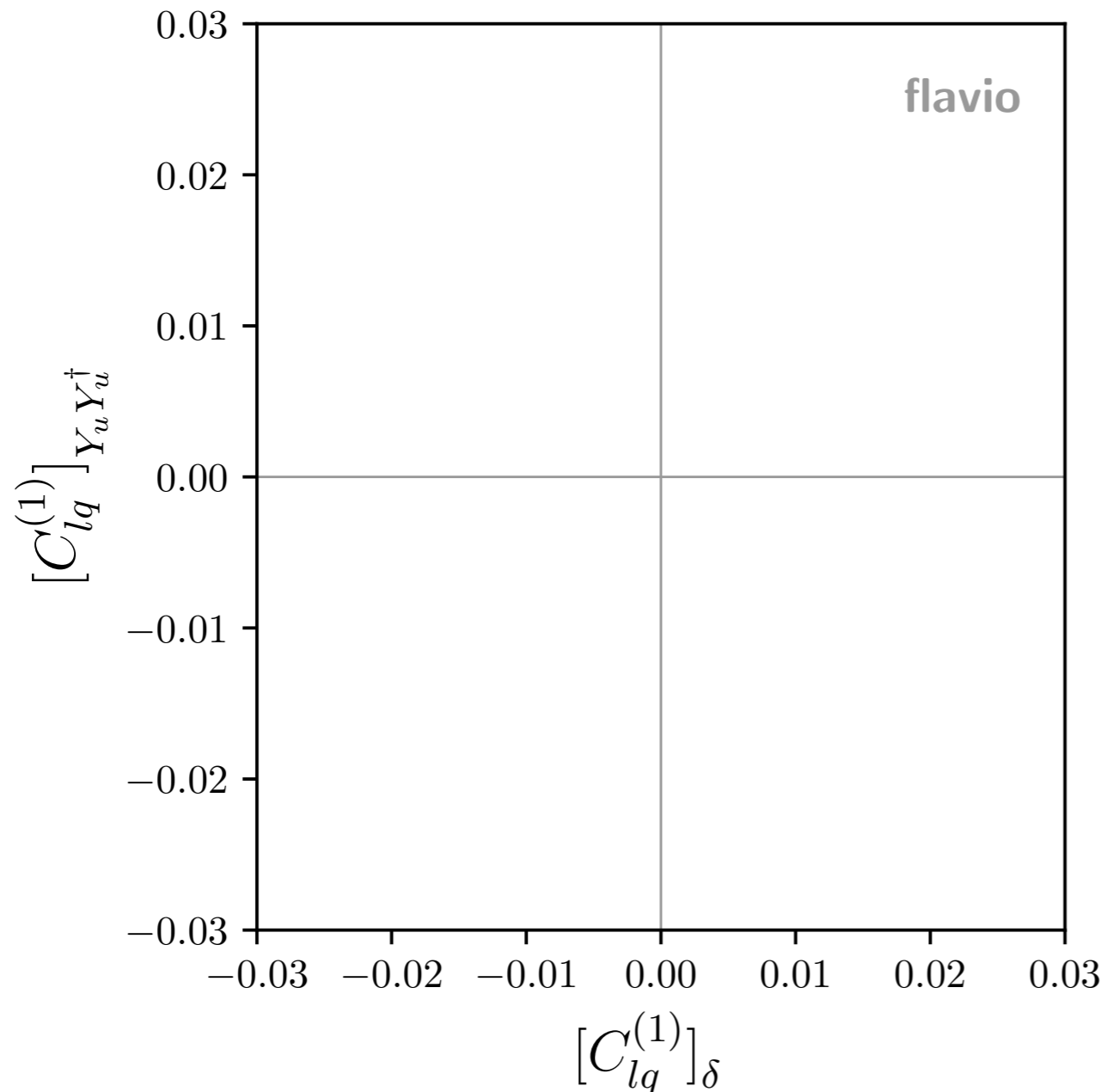
Two UV parameters:  $[C_{lq}^{(1)}]_\delta$  and  $[C_{lq}^{(1)}]_{Y_u Y_u^\dagger}$



# Flavorful connections in the SMEFT

Consider the  $Q_{lq}^{(1)}$  operator:

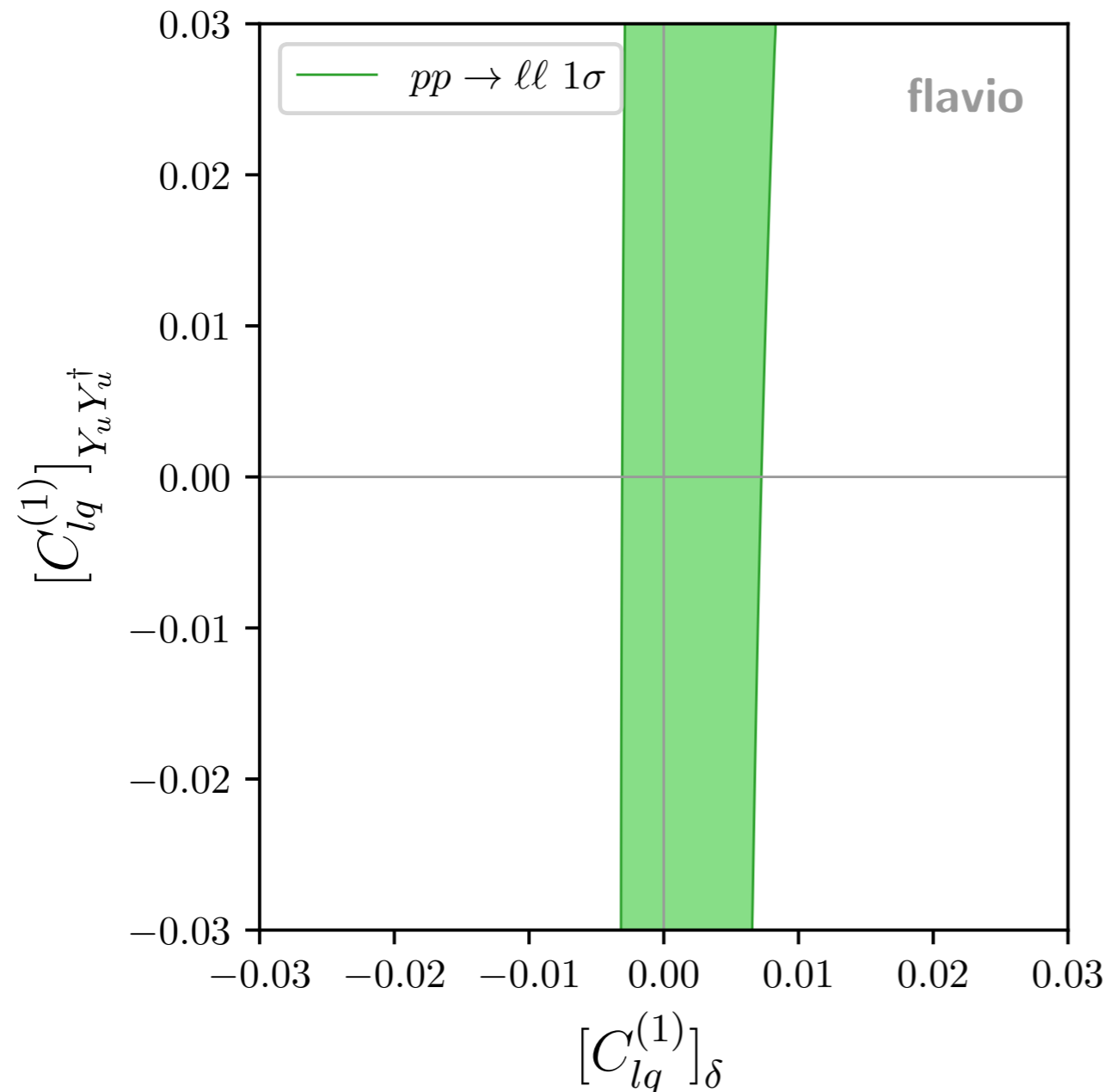
$$[C_{lq}^{(1)}]_{st} (\bar{l} \gamma_\mu l) (\bar{q}_s \gamma^\mu q_t) \rightarrow [C_{lq}^{(1)}]_{st} = \delta_{st} [C_{lq}^{(1)}]_\delta + (Y_u Y_u^\dagger)_{st} [C_{lq}^{(1)}]_{Y_u Y_u^\dagger}$$



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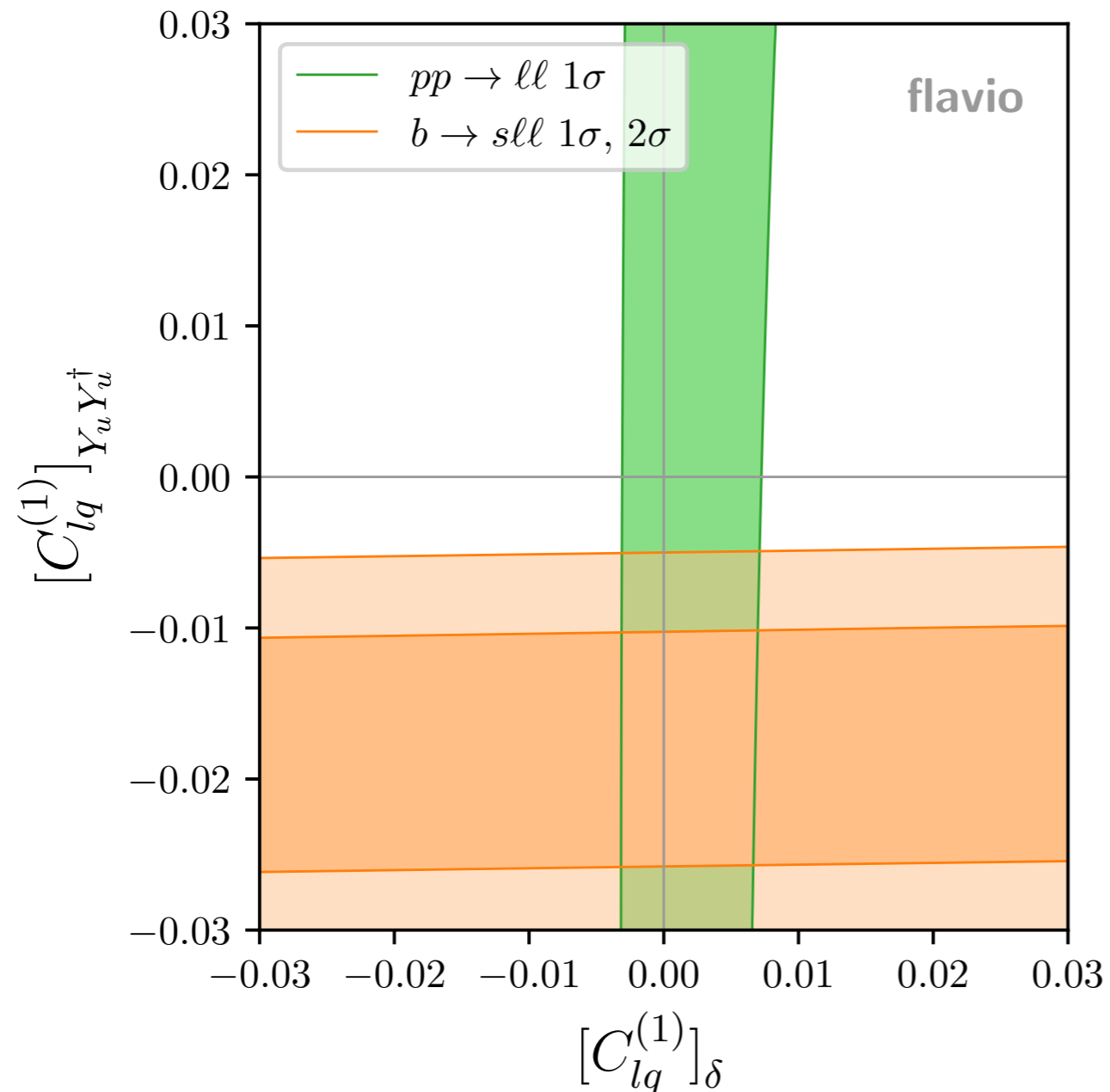
$$[C_{lq}^{(1)}]_{st} (\bar{l} \gamma_\mu l) (\bar{q}_s \gamma^\mu q_t) \rightarrow [C_{lq}^{(1)}]_{st} = \delta_{st} [C_{lq}^{(1)}]_\delta + (Y_u Y_u^\dagger)_{st} [C_{lq}^{(1)}]_{Y_u Y_u^\dagger}$$



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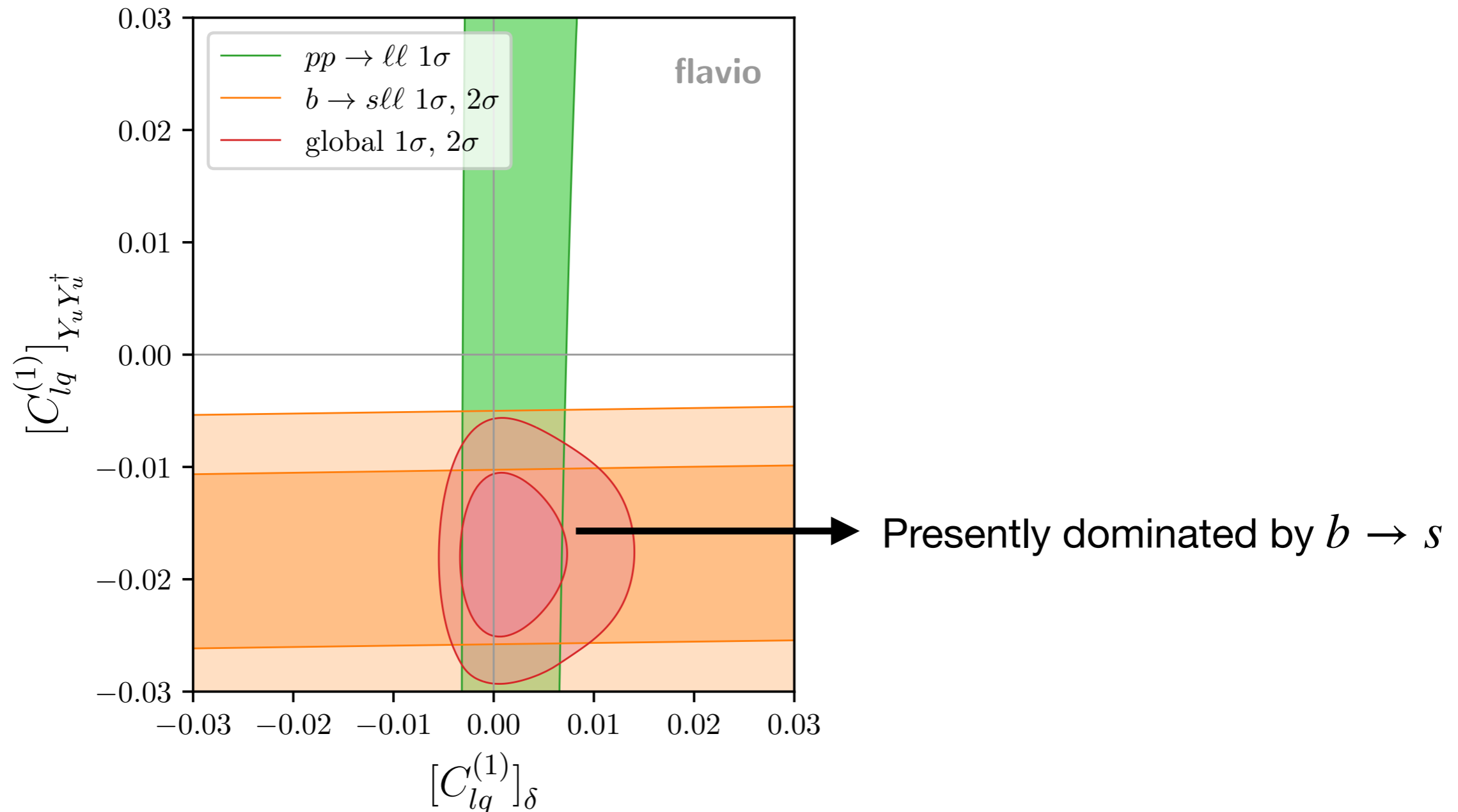
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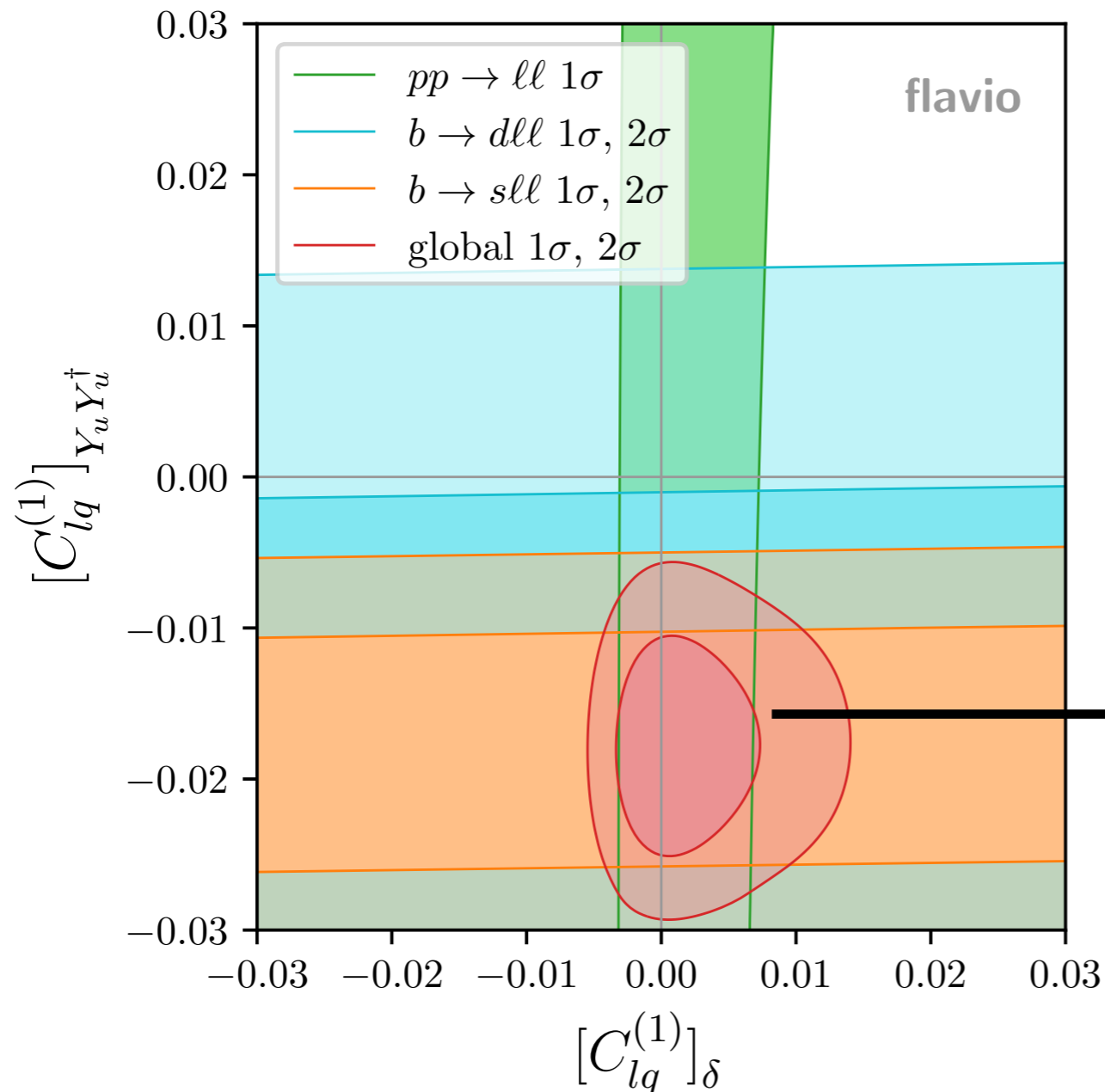
$$[C_{lq}^{(1)}]_{st} (\bar{l} \gamma_\mu l) (\bar{q}_s \gamma^\mu q_t) \rightarrow [C_{lq}^{(1)}]_{st} = \delta_{st} [C_{lq}^{(1)}]_\delta + (Y_u Y_u^\dagger)_{st} [C_{lq}^{(1)}]_{Y_u Y_u^\dagger}$$



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Presently dominated by  $b \rightarrow s$

Improvements in  $b \rightarrow d$  will allow to test flavorful BSM hypotheses

A. Greljo, J. Salko, AS, P. Stangl, 2212.10497

[see also 2209.04457]

# Future prospects

[see also talk by M. Vieites Díaz]

$$b \rightarrow d$$

- Excellent prospects at LHCb, Belle II, especially with higher luminosities
- Increased statistics should improve the already explored channels, clear candidate is  $B_s \rightarrow K^* \mu \mu$  with differential BR in theory-friendly bins [see discussion in 2209.04457]
- Complementary observables: angular observables, baryonic modes, LFU ratios, ...
- $b \rightarrow d$  to become the new  $b \rightarrow s$ , improvements will allow to test BSM flavor structure

$$b \rightarrow s$$

- LFU established in  $R_{K^{(*)}}$ , can we see the same pattern of deviations in electrons? What about taus?
- NP effects should be universal for all processes, helicities, independent of  $q^2$  [e.g. 2304.07330]
- Plenty of complementary tests, e.g. semi-inclusive  $b \rightarrow s \ell \ell$  and  $B_s \rightarrow \mu \mu \gamma$  at high  $q^2$ , unbinned analyses... [e.g. 2008.08000, 2102.13390, 2305.03076, 2308.00034, ...]
- NP could be CPV: updates and improved measurements of CPV observables anticipated [e.g. 2008.08000, 2008.09064, 2212.09575, 2308.00034, ...]

**Thank you**