

# Long baseline neutrino oscillations

22 June 2023

## Muon Collider Synergies Workshop IJCLab Orsay

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Horizon2020

# *Current status of neutrino parameters: the era of very precise neutrino physics*

NuFIT 5.1 (2021)

	Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 2.6$ )		
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	
without SK atmospheric data	$\sin^2 \theta_{12}$	$0.304^{+0.013}_{-0.012}$	$0.269 \rightarrow 0.343$	$0.304^{+0.012}_{-0.012}$	$0.269 \rightarrow 0.343$
	$\theta_{12}/^\circ$	$33.44^{+0.77}_{-0.74}$	$31.27 \rightarrow 35.86$	$33.45^{+0.77}_{-0.74}$	$31.27 \rightarrow 35.87$
	$\sin^2 \theta_{23}$	$0.573^{+0.018}_{-0.023}$	$0.405 \rightarrow 0.620$	$0.578^{+0.017}_{-0.021}$	$0.410 \rightarrow 0.623$
	$\theta_{23}/^\circ$	$49.2^{+1.0}_{-1.3}$	$39.5 \rightarrow 52.0$	$49.5^{+1.0}_{-1.2}$	$39.8 \rightarrow 52.1$
	$\sin^2 \theta_{13}$	$0.02220^{+0.00068}_{-0.00062}$	$0.02034 \rightarrow 0.02430$	$0.02238^{+0.00064}_{-0.00062}$	$0.02053 \rightarrow 0.02434$
	$\theta_{13}/^\circ$	$8.57^{+0.13}_{-0.12}$	$8.20 \rightarrow 8.97$	$8.60^{+0.12}_{-0.12}$	$8.24 \rightarrow 8.98$
	$\delta_{\text{CP}}/^\circ$	$194^{+52}_{-25}$	$105 \rightarrow 405$	$287^{+27}_{-32}$	$192 \rightarrow 361$
	$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.515^{+0.028}_{-0.028}$	$+2.431 \rightarrow +2.599$	$-2.498^{+0.028}_{-0.029}$	$-2.584 \rightarrow -2.413$

**Esteban et al.,  
2007.14792,  
See also  
Capozzi et al.,  
de Salas et al.**

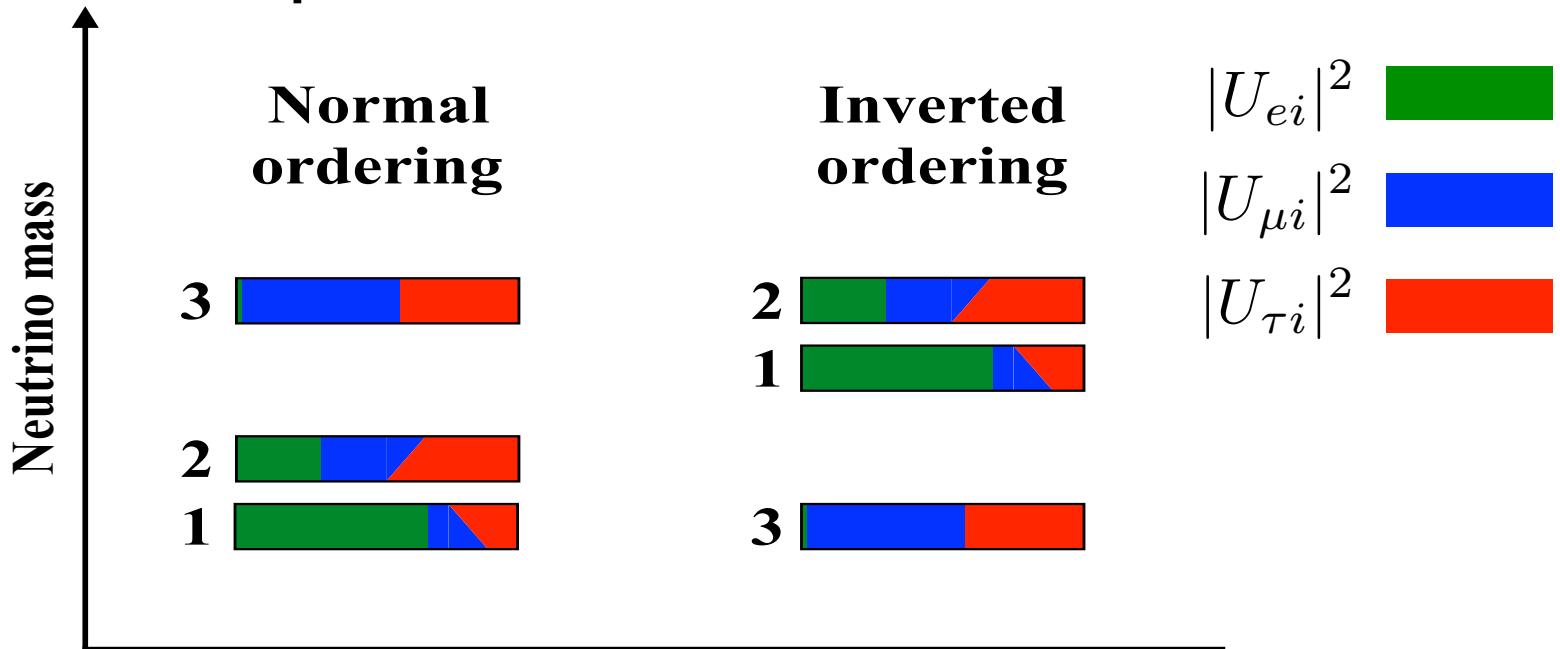
<http://www.nu-fit.org/>

- 2 mass squared differences
- 3 sizable mixing angles (one not too well known)
- mild hints of CPV (not robust)
- mild indications in favour of NO (?)

The past 20 years have seen a remarkable progress in determining neutrino properties! How about the next 20?

# Neutrino masses

$\Delta m_{21}^2 \ll \Delta m_{31}^2$  implies at least 3 massive neutrinos.



Fractional flavour content of massive neutrinos

$$m_1 = m_{\min}$$

$$m_2 = \sqrt{m_{\min} + \Delta m_{21}^2}$$

$$m_3 = \sqrt{m_{\min} + \Delta m_{31}^2}$$

$$m_3 = m_{\min}$$

$$m_1 = \sqrt{m_{\min} + |\Delta m_{32}^2| - \Delta m_{21}^2}$$

$$m_2 = \sqrt{m_{\min} + |\Delta m_{32}^2|}$$

Measuring the masses requires:

- the mass scale:  $m_{\min}$
- the MO: mild preference for NO ( $\Delta\chi^2 \sim 2.7(1.6\sigma)$ ).

# Leptonic Mixing and CP-violation

## The Pontecorvo-Maki-Nakagawa-Sakata matrix

$$\nu_i = U^\dagger \nu_\alpha \longrightarrow \mathcal{L}_{CC} = \frac{g}{\sqrt{2}} (\bar{e}_L, \bar{\mu}_L, \bar{\tau}_L) \gamma^\mu \mathbf{U}_{\text{osc}} \begin{pmatrix} \nu_{1L} \\ \nu_{2L} \\ \nu_{3L} \end{pmatrix} W_\mu$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \\ c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{-i\delta} & 0 & c_{13} \\ 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix}$$

CPV?

- Mixings very different from quark sector.
- Possibly, large leptonic CPV.  
CPV is a fundamental question, possibly related to the origin of the baryon asymmetry and to the origin of the flavour structure.

# *What do we still need to know in 2022?*

- I. What is the nature of neutrinos?**
- 2. What are the values of the masses? Absolute scale and the ordering.**
- 3. Is there CP-violation?**
- 4. What are the precise values of mixing angles?**
- 5. Is the standard picture correct? Are there NSI? Sterile neutrinos? Non-unitarity? Other effects?**

**Very exciting experimental programme.**

# *Phenomenology questions for the future*

1. What is the nature of neutrinos?

2. What are the values of the masses? Absolute scale  
and the ordering.

3. Is there leptonic CP-violation?

4. What are the precise values of mixing parameters?

5. Is the standard picture correct? Are there NSI?  
Sterile neutrinos? Other effects?

**Long baseline  
neutrino  
oscillation  
experiments**

**Very exciting experimental programme.**

# Neutrino oscillations

Let's assume that at  $t=0$  a **muon neutrino** is produced

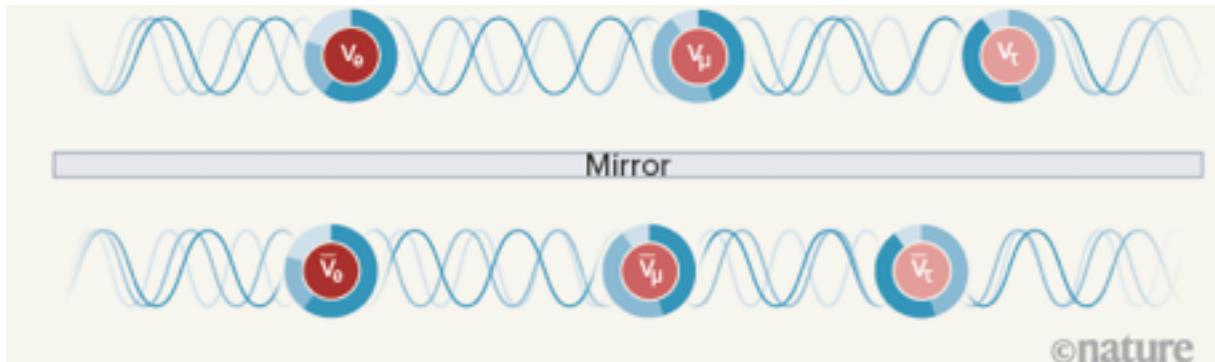
$$|\nu, t = 0\rangle = |\nu_\mu\rangle = \sum_i U_{\mu i} |\nu_i\rangle$$

The **time-evolution** is given by the solution of the Schroedinger equation with free Hamiltonian:

$$|\nu, t\rangle = \sum_i U_{\mu i} e^{-i E_i t} |\nu_i\rangle$$

At **detection**, projecting over the flavour state :

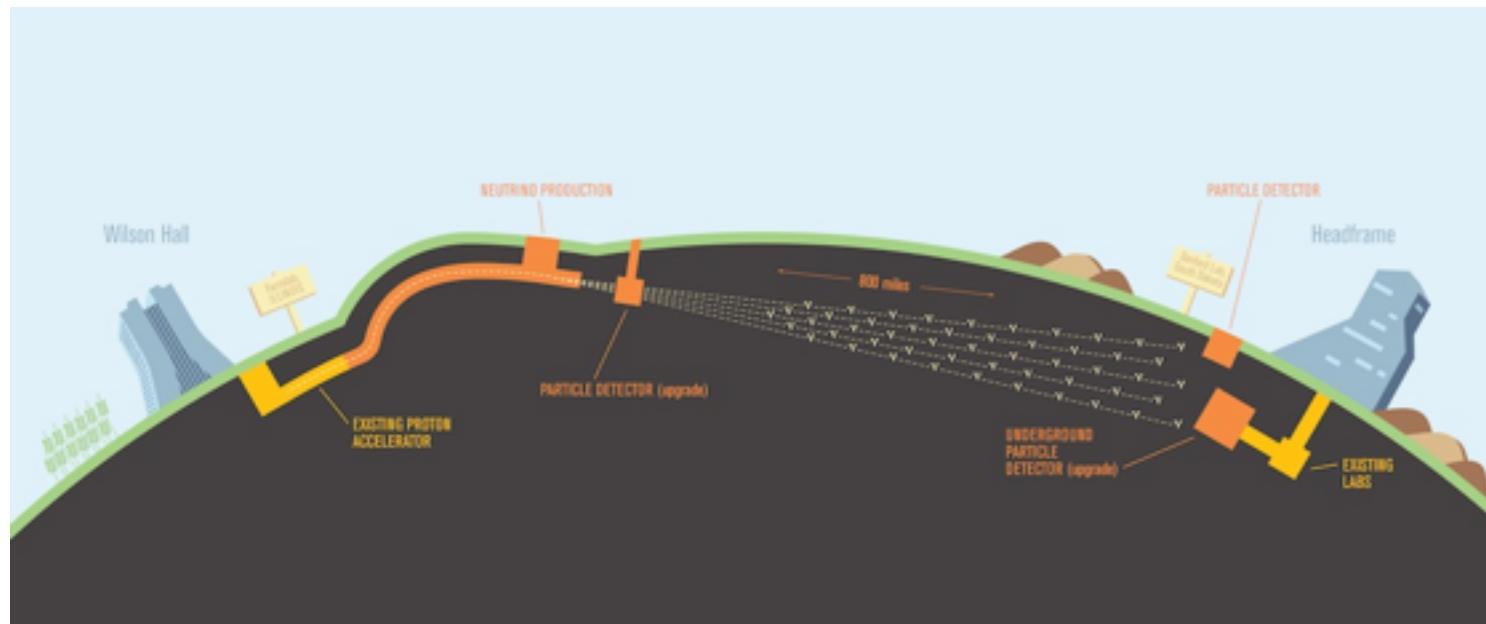
$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_i U_{\alpha 1} U_{\beta 1}^* e^{-i \frac{\Delta m_{i1}^2}{2E} L} \right|^2 = \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E_\nu}$$



Nature, SP and J.  
Turner, News and  
views, 15 April 2020

# **Long-baseline neutrino oscillations**

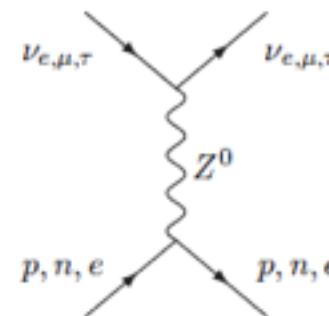
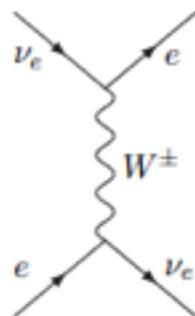
- LBL experiments search for neutrino oscillations, for neutrinos that are produced at an accelerator complex and travel 100s Km before scattering in large detectors.



Credit:  
Symmetry  
magazine

- When neutrinos travel through a medium, they interact with the background of e, p and n. The background is CP and CPT violating, and the resulting oscillations are as well.

- Neutrinos undergo forward elastic scattering via CC and NC interactions.



- Matter effects are described by a potential  $V$  in the effective Hamiltonian which determines the time evolution.

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos(2\theta) + \sqrt{2} G_F N_e & \frac{\Delta m^2}{4E} \sin(2\theta) \\ \frac{\Delta m^2}{4E} \sin(2\theta) & \frac{\Delta m^2}{4E} \cos(2\theta) \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

**Effective Hamiltonian**

$$\begin{pmatrix} \text{Blue Box} & \text{Red Box} \\ \text{Red Box} & \cdot \end{pmatrix}$$

$$\tan 2\theta \sim \frac{2 \text{ Red Box}}{\text{Blue Box}}$$

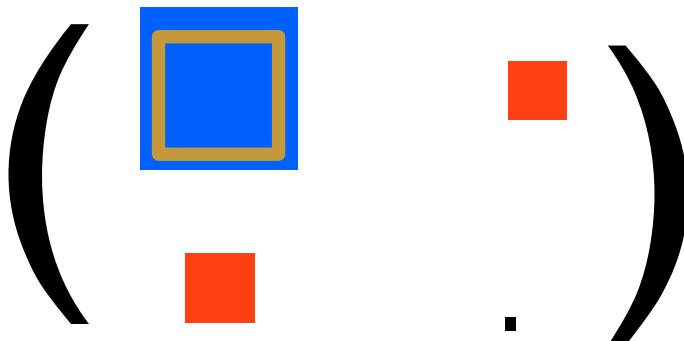
# In long baseline experiments

$$-\frac{\Delta m^2}{2E} \cos(2\theta)$$

$$\nu + \sqrt{2}G_F N_e$$

$$\bar{\nu} - \sqrt{2}G_F N_e$$

For neutrinos

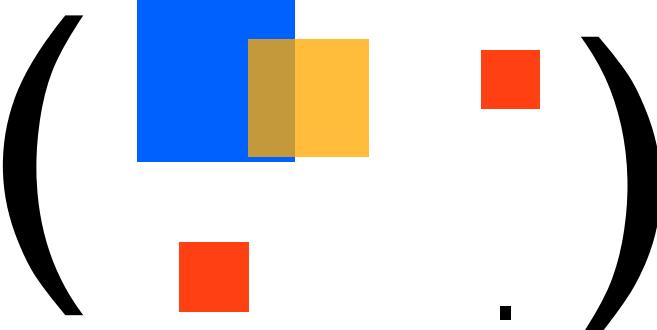


$$\Delta m^2 > 0$$

enhancement

$$\tan 2\theta^M \sim \frac{2 \textcolor{red}{\square}}{\textcolor{blue}{-} + \textcolor{orange}{+}}$$

For antineutrinos



$$\Delta m^2 > 0$$

suppression

$$\tan 2\theta^M \sim \frac{2 \textcolor{red}{\square}}{\textcolor{blue}{-} + \textcolor{orange}{-}}$$

# The 3-neutrino probability can be approximated as

$$P_{\mu e} \simeq 4c_{23}^2 s_{13}^2 \frac{1}{(1 - r_A)^2} \sin^2 \frac{(1 - r_A)\Delta_{31}L}{4E}$$

*A. Cervera et al., hep-ph/0002108;  
K. Asano, H. Minakata, 1103.4387;  
S. K. Agarwalla et al., 1302.6773;  
P. Denton, S. Parke and X. Zhang,  
1907.02534...*

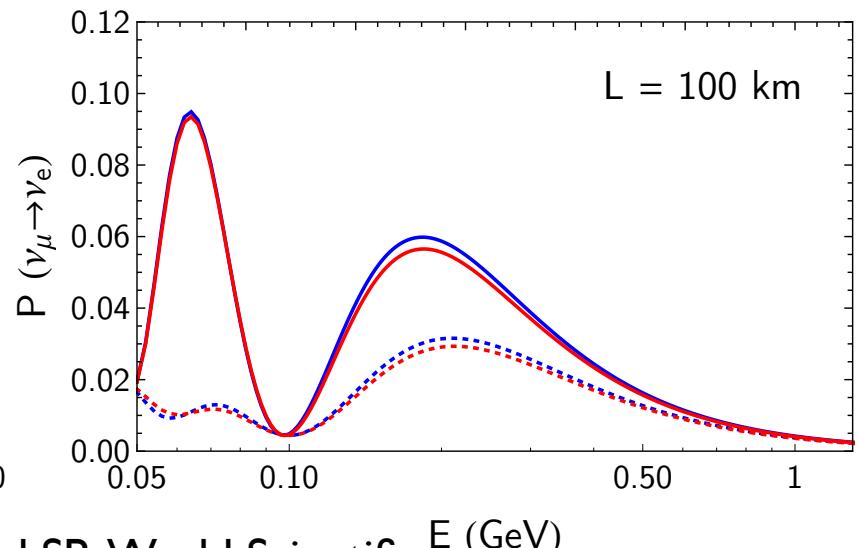
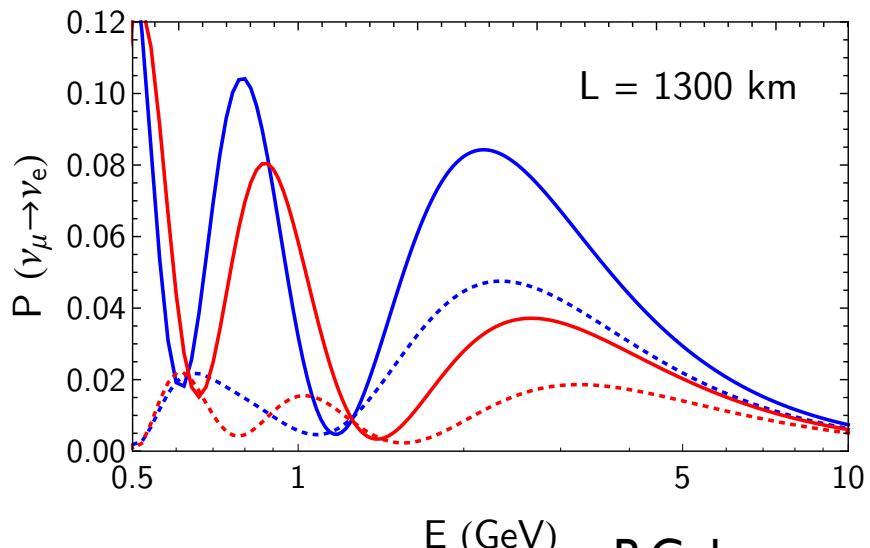
$$+ \sin 2\theta_{12} \sin 2\theta_{23} s_{13} \frac{\Delta_{21}L}{2E} \sin \frac{(1 - r_A)\Delta_{31}L}{4E} \cos \left( \delta - \frac{\Delta_{31}L}{4E} \right)$$

$$+ s_{23}^2 \sin^2 2\theta_{12} \frac{\Delta_{21}^2 L^2}{16E^2} - 4c_{23}^2 s_{13}^4 \sin^2 \frac{(1 - r_A)\Delta_{31}L}{4E}$$

with

$$\Delta_{31} \equiv \Delta m_{31}^2 / (2E_\nu)$$

$$r_A \simeq \frac{\sqrt{2}G_F N_e}{\Delta m_{31}^2 / (2E_\nu)}$$

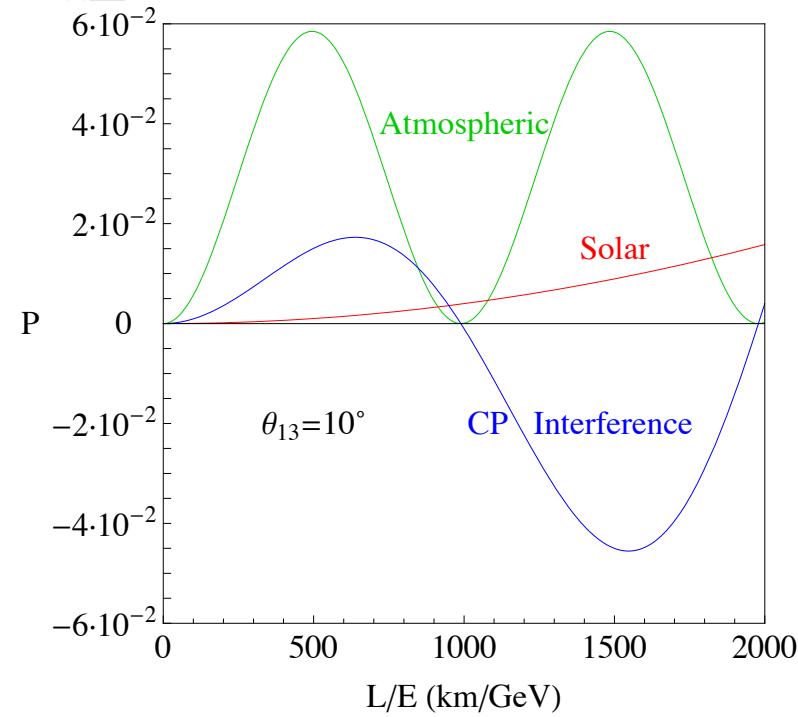


# Long-baseline neutrino oscillations and leptonic CP violation

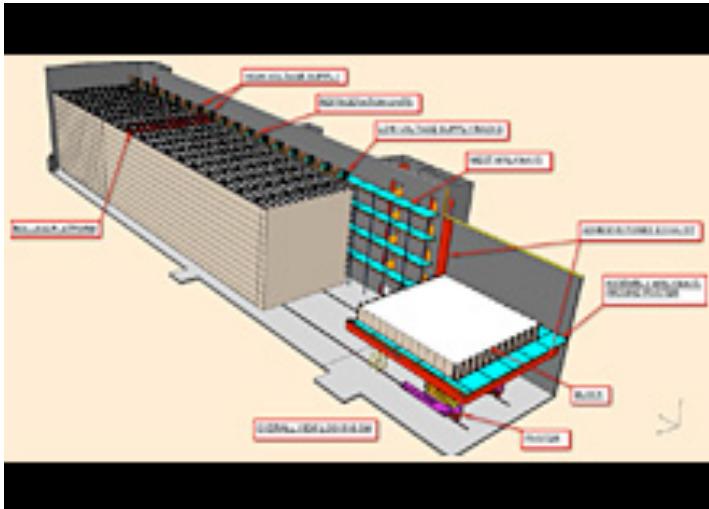
$$\begin{aligned}
 P_{\mu e} \simeq & 4c_{23}^2 s_{13}^2 \frac{1}{(1 - r_A)^2} \sin^2 \frac{(1 - r_A)\Delta_{31}L}{4E} \\
 & + \sin 2\theta_{12} \sin 2\theta_{23} s_{13} \frac{\Delta_{21}L}{2E} \sin \frac{(1 - r_A)\Delta_{31}L}{4E} \cos \left( \delta - \frac{\Delta_{31}L}{4E} \right) \\
 & + s_{23}^2 \sin^2 2\theta_{12} \frac{\Delta_{21}^2 L^2}{16E^2} - 4c_{23}^2 s_{13}^4 \sin^2 \frac{(1 - r_A)\Delta_{31}L}{4E}
 \end{aligned}$$

A. Cervera et al., hep-ph/0002108;  
 K. Asano, H. Minakata, 1103.4387;  
 S. K. Agarwalla et al., 1302.6773...

- The determination of CPV and of the mass ordering are entangled.
- Matter effects increase with energy and distance.
- CPV effects more pronounced at low energy.

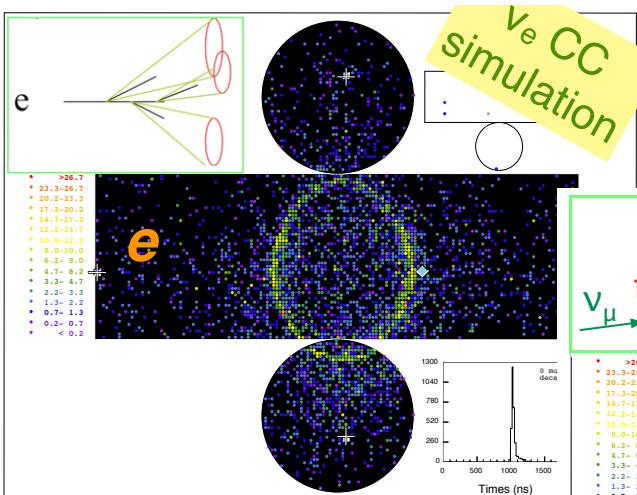


# Present/Future LBL exp DUNE: 1300 km

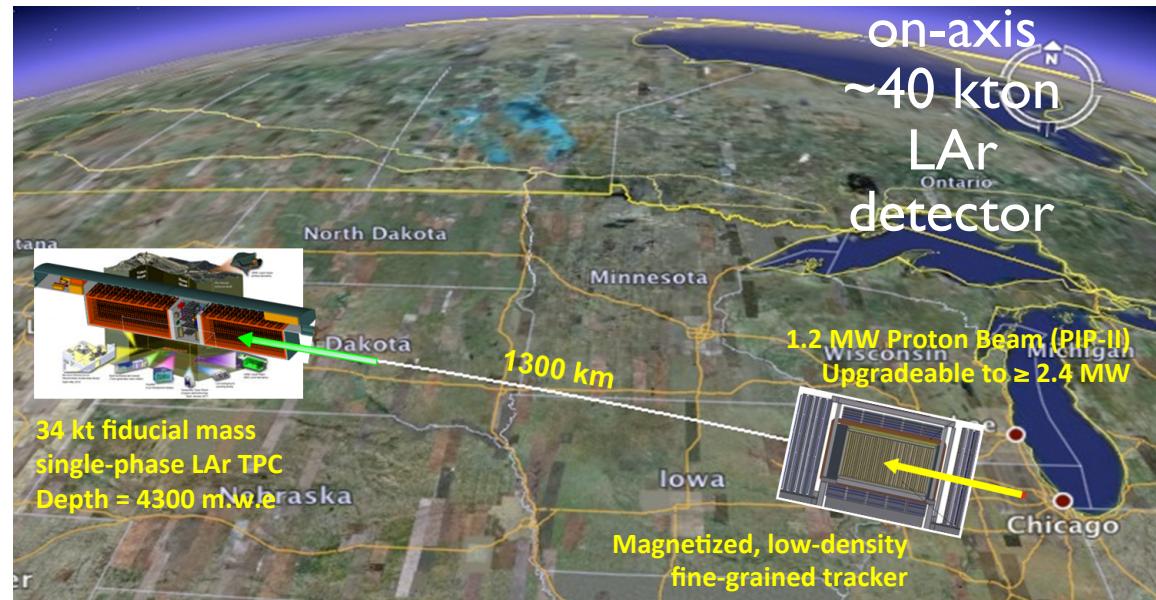


**NOvA:** 810 km off-axis  
~14 kton plastic scintillator detector

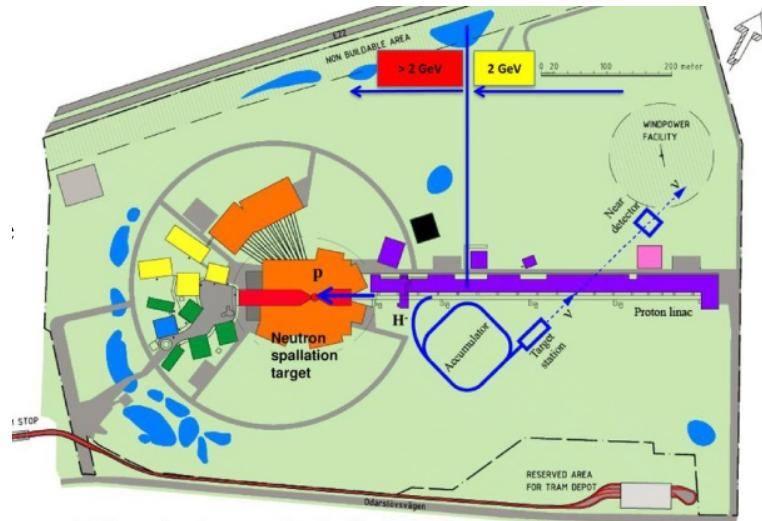
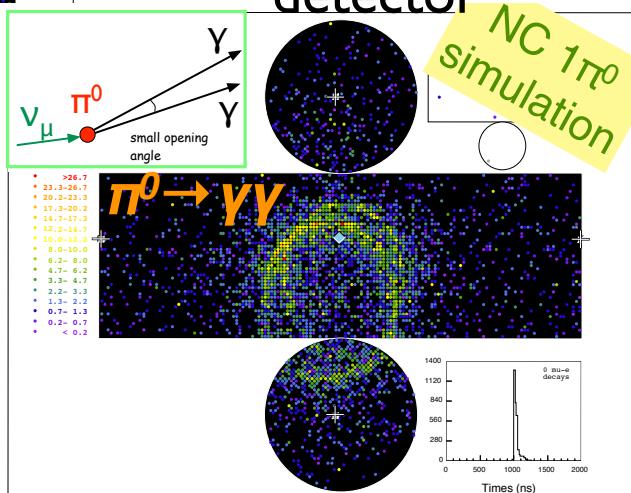
**T2K:** 295 km off-axis  
~22.5 kton WC detector



M. Shiozawa, for  
T2HK coll., NuPhys  
2014



**T2HK:** 295 km  
off-axis  
~1 Mton WC  
detector

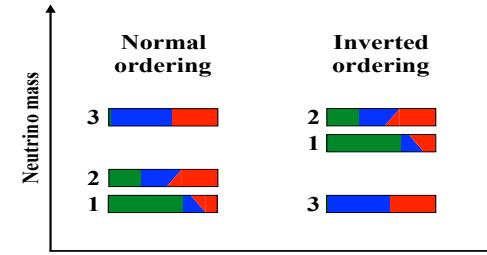


~1 BEuros for the neutrino facility including detector

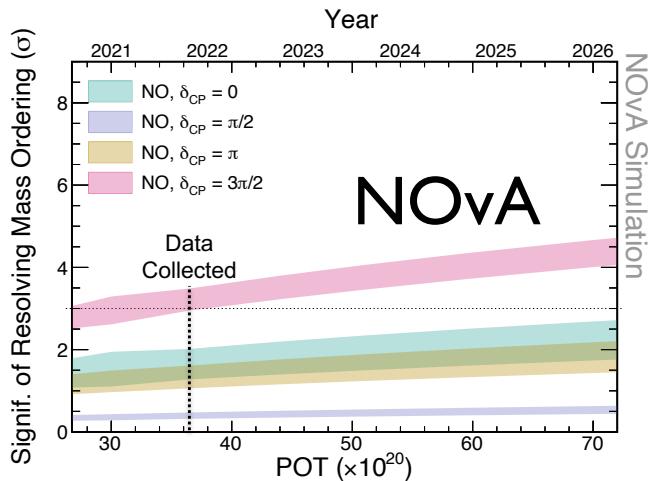
**ESSnuSB:** 300-500 km  
~0.5 Mton WC detector  
second osc. maximum

*Question:*  
*Neutrino mass*  
*ordering*

# Mass ordering sensitivity



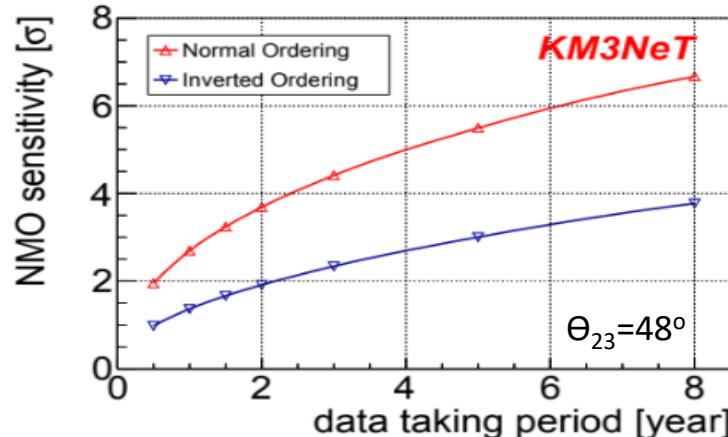
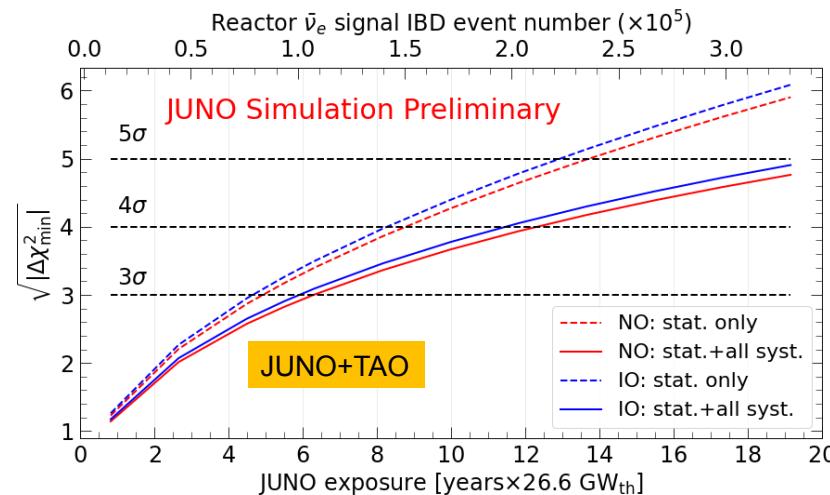
The current situation is still rather uncertain.  
We know we will know the ordering by 2035ish.



J. Hartnell's talk at Neutrino 2022

JUNO

J. Zhao's talk  
at Neutrino  
2022

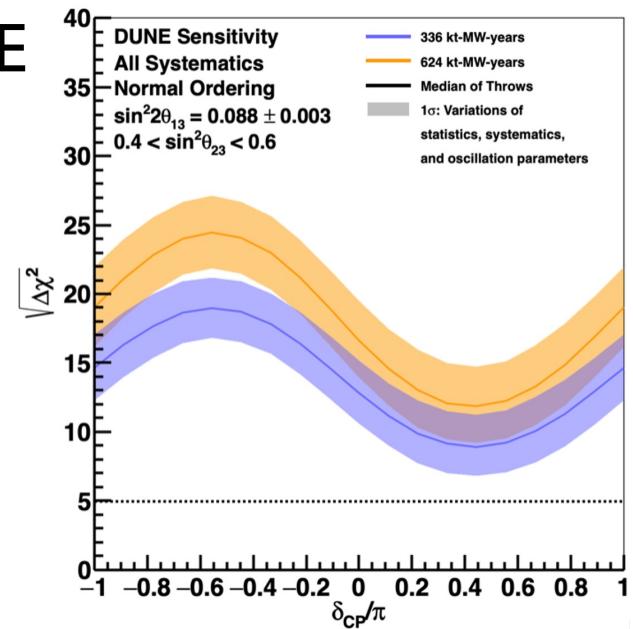


SK, HK,  
IceCube

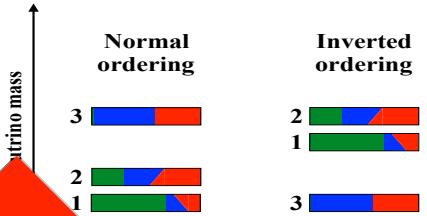
A. Heijboer's talk  
at Neutrino 2022

DUNE Coll.,  
2006.16043

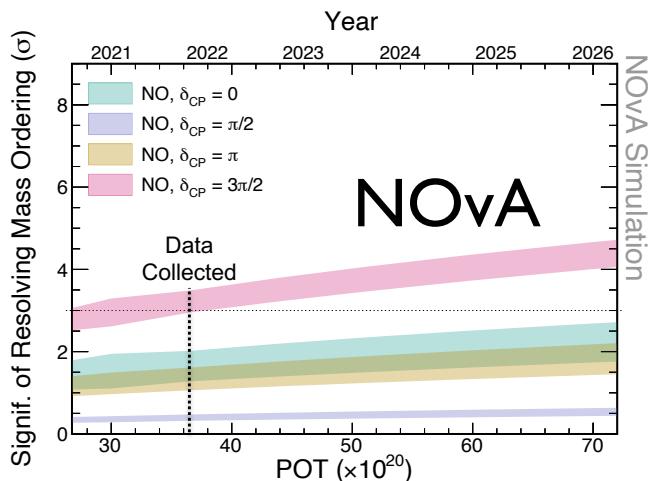
DUNE



# Mass ordering sensitivity



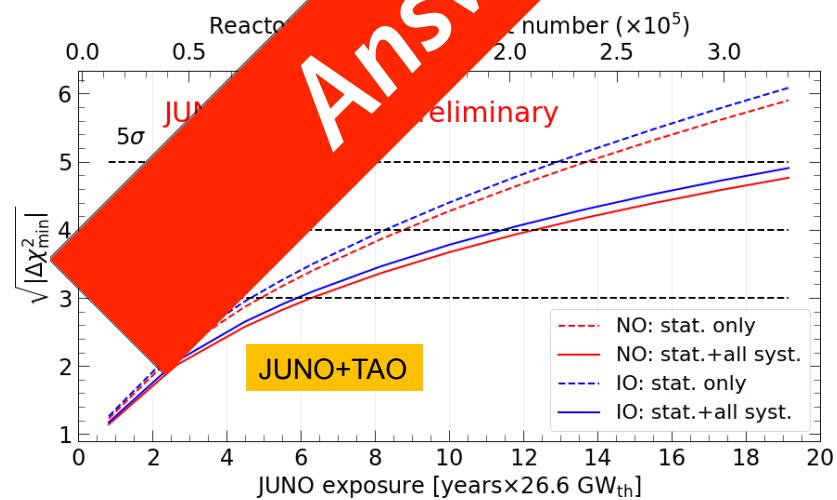
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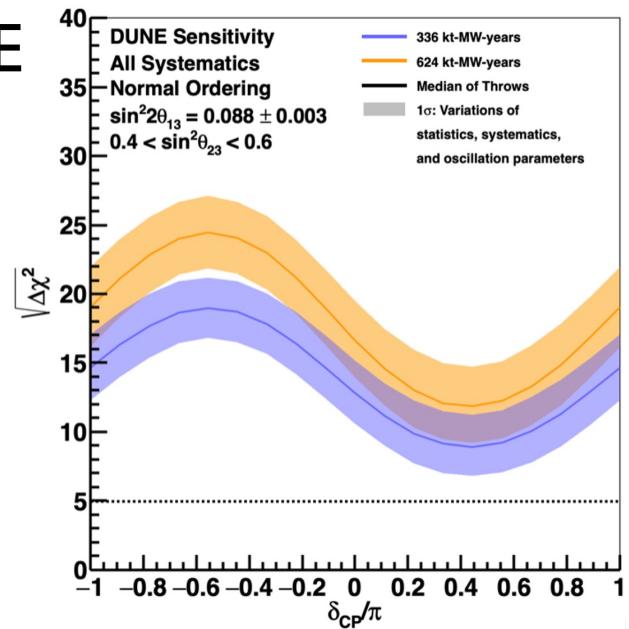


SK, HK,  
IceCube

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DUNE Coll.,  
2006.16043

DUNE

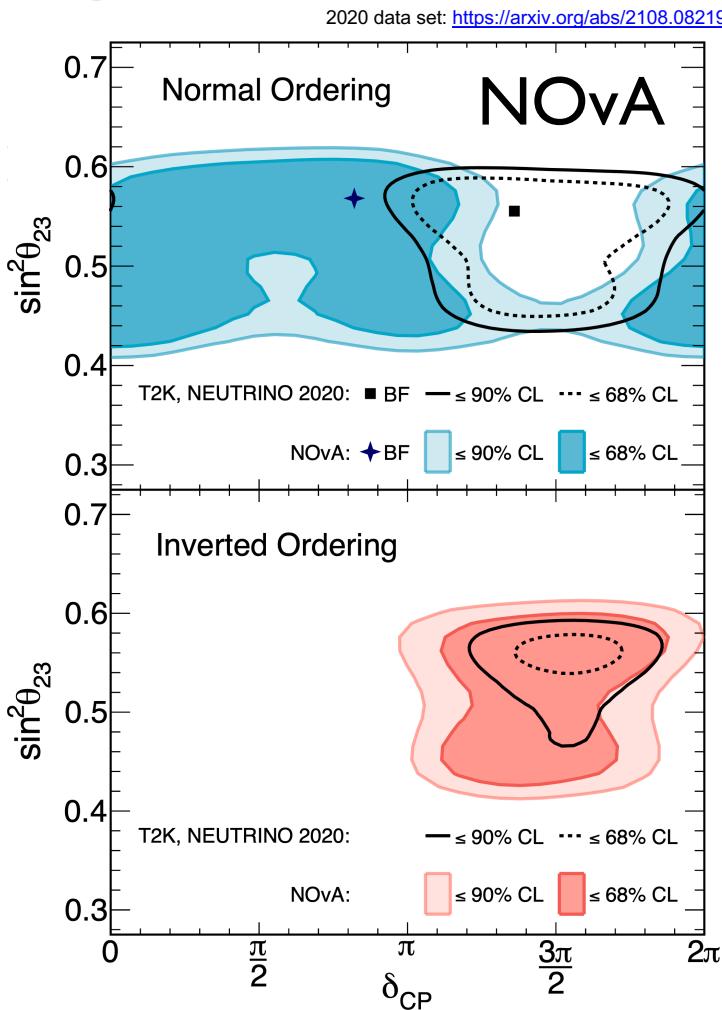


*Question:*  
*Leptonic CP violation*

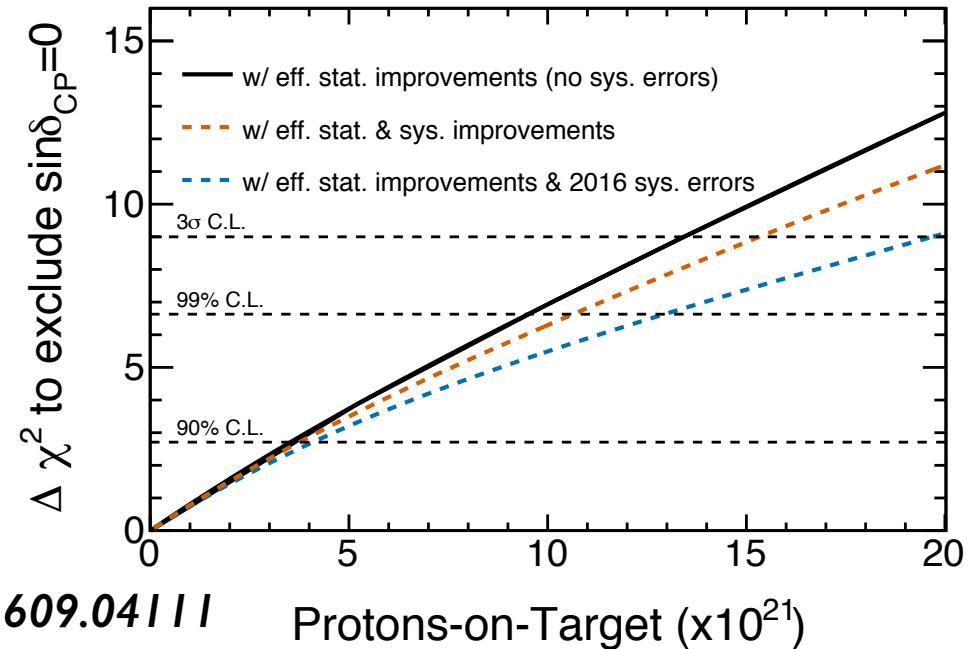
# CPV sensitivity

Hints of leptonic CPV?  
 Situation remains unclear.  
 Expect soon T2K-NOvA joint analysis.

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix}$$

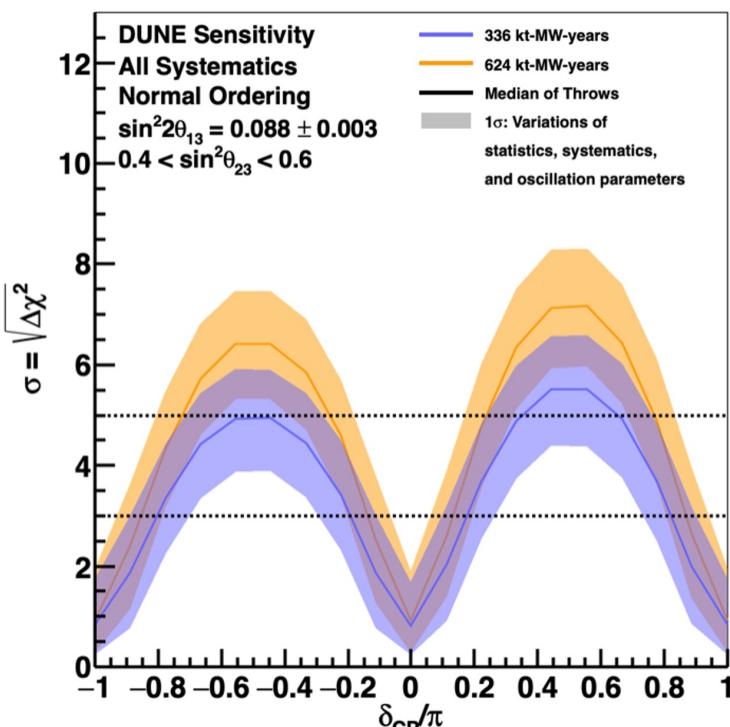


T2K phase 2 extension aims at reaching 1.3 MW by 2026 (20x10<sup>21</sup> pot).

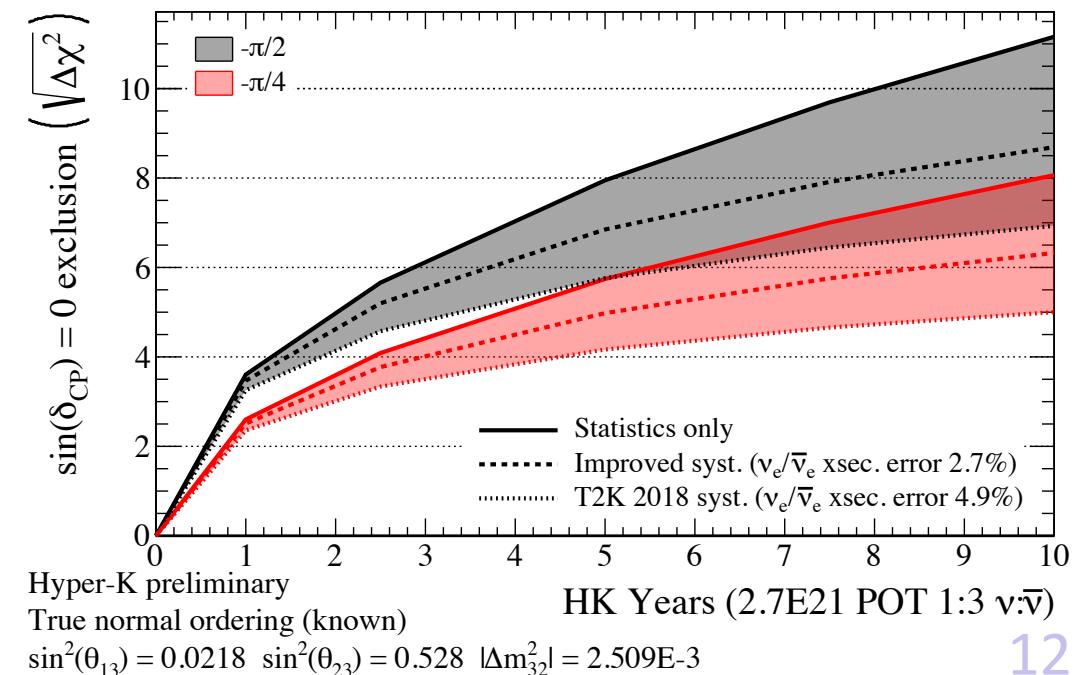


DUNE and T2HK will get to 5 sigma for a large range of values of delta by 2040 (possibly 2035??). Whether we discover it or not depends on the true delta.

DUNE



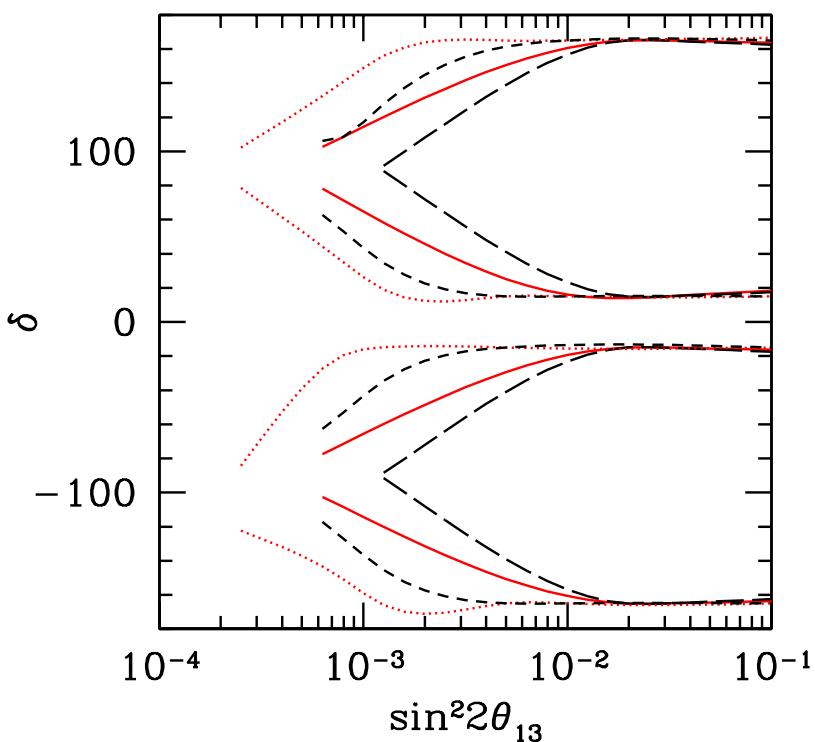
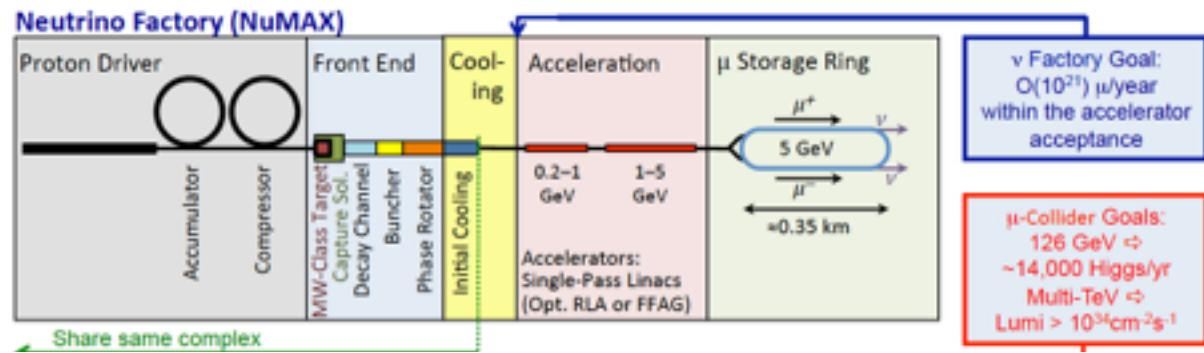
T2HK



J. Wilson's talk at Neutrino 2022

# Scenario I: no leptonic CPV discovery

If the delta phase is too close to 0, pi, DUNE and T2HK will not be able to discover leptonic CPV.



J.-P. Delahaye et al., 1803.07431

It is essential to pursue this measurement with a more sensitive experiment: neutrino factory.

A. Bross et al., 0709.3889

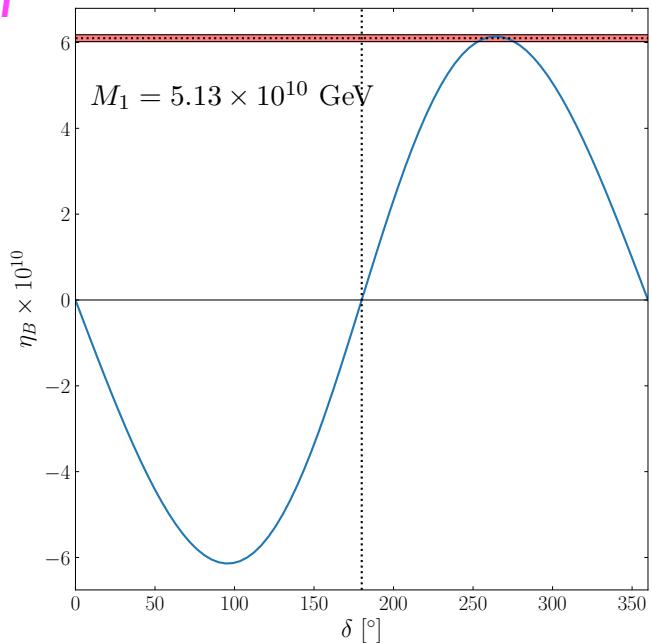
- CP violation is a key aspect of the SM and it is important to establish if it concerns also the leptonic sector.
- CPV is related to the origin of the leptonic mixing structure.
- The delta phase can explain the baryon asymmetry of the Universe but only if it is sufficiently CP-violating.

$$|\sin \theta_{13} \sin \delta| \gtrsim 0.09$$

*For high E see-saw type I*

*SP, Petcov, Riotto, hep-ph/0609125*

Generically, if  $\delta < 25^\circ - 35^\circ$  and similarly around  $180^\circ$ , delta cannot be responsible for the baryon asymmetry in high E see-saw type I.



**Question:**  
*Precision measurement  
of oscillation  
parameters*

## Scenario 2: leptonic CPV discovered

If discovered, the key physics goal will be the precise measurement of the oscillation parameters.

- The values of the mixing angles seem to indicate an underlying symmetry:  $\theta_{23} \sim 45^\circ$ ,  $\theta_{13}$  not too far from 0.

	2012 NuFIT 1.0	2014 NuFIT 2.0	2016 NuFIT 3.0	2018 NuFIT 4.0	2021 NuFIT 5.1
$\theta_{12}$	15%	14%	14%	14%	14%
$\theta_{13}$	30%	15%	11%	8.9%	9.0%
$\theta_{23}$	43%	32%	32%	27%	27%
$\Delta m_{21}^2$	14%	14%	14%	16%	16%
$ \Delta m_{3\ell}^2 $	17%	11%	9%	7.8%	6.7% [6.5%]
$\delta_{\text{CP}}$	100%	100%	100%	100% [92%]	100% [83%]
$\Delta\chi^2_{\text{IO-NO}}$	$\pm 0.5$	-0.97	+0.83	+4.7 [+9.3]	+2.6 [+7.0]

Gonzalez-Garcia et al.,  
[2111.03086](#)

w/o [w] SK atm data

	Central Value	PDG2020	100 days	6 years	20 years
$\Delta m_{31}^2 (\times 10^{-3} \text{ eV}^2)$	2.5283	$\pm 0.034$ (1.3%)	$\pm 0.021$ (0.8%)	$\pm 0.0047$ (0.2%)	$\pm 0.0029$ (0.1%)
$\Delta m_{21}^2 (\times 10^{-5} \text{ eV}^2)$	7.53	$\pm 0.18$ (2.4%)	$\pm 0.074$ (1.0%)	$\pm 0.024$ (0.3%)	$\pm 0.017$ (0.2%)
$\sin^2 \theta_{12}$	0.307	$\pm 0.013$ (4.2%)	$\pm 0.0058$ (1.9%)	$\pm 0.0016$ (0.5%)	$\pm 0.0010$ (0.3%)
$\sin^2 \theta_{13}$	0.0218	$\pm 0.0007$ (3.2%)	$\pm 0.010$ (47.9%)	$\pm 0.0026$ (12.1%)	$\pm 0.0016$ (7.3%)

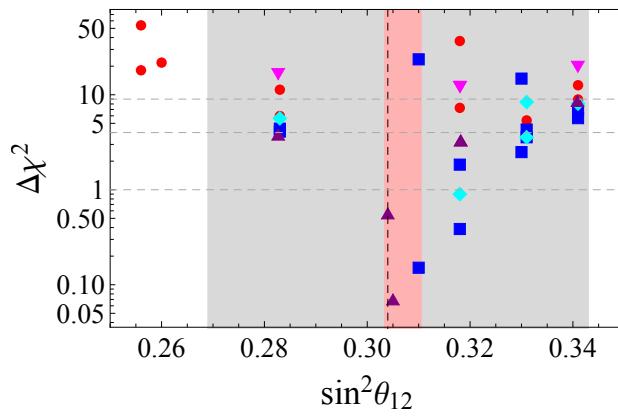
- Predictions for the CPV phase delta and relations among parameters in flavour models (e.g. sum rules), e.g.:

with  $a = \sigma r \cos \delta$        $\sigma = 1, -1/2$

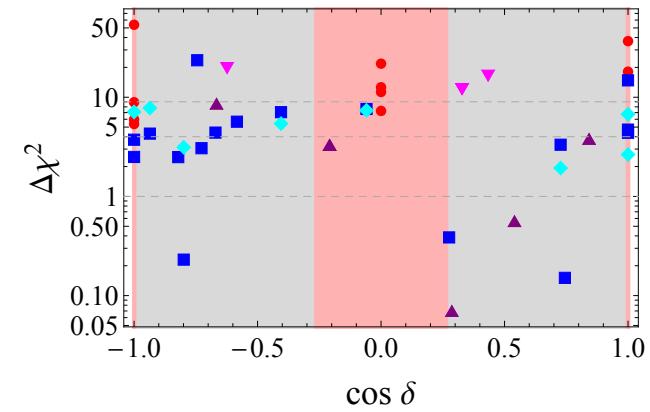
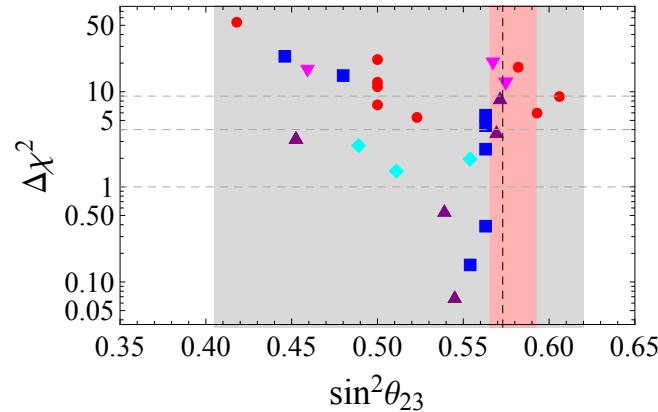
$$\sin \theta_{12} = \frac{1+s}{\sqrt{3}}, \quad \sin \theta_{13} = \frac{r}{\sqrt{2}}, \quad \sin \theta_{23} = \frac{1+a}{\sqrt{2}}$$

King, 0710.0530

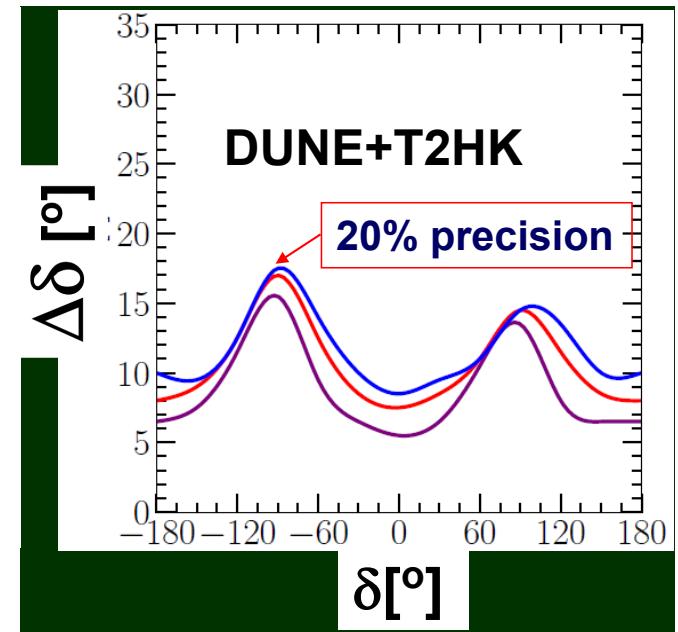
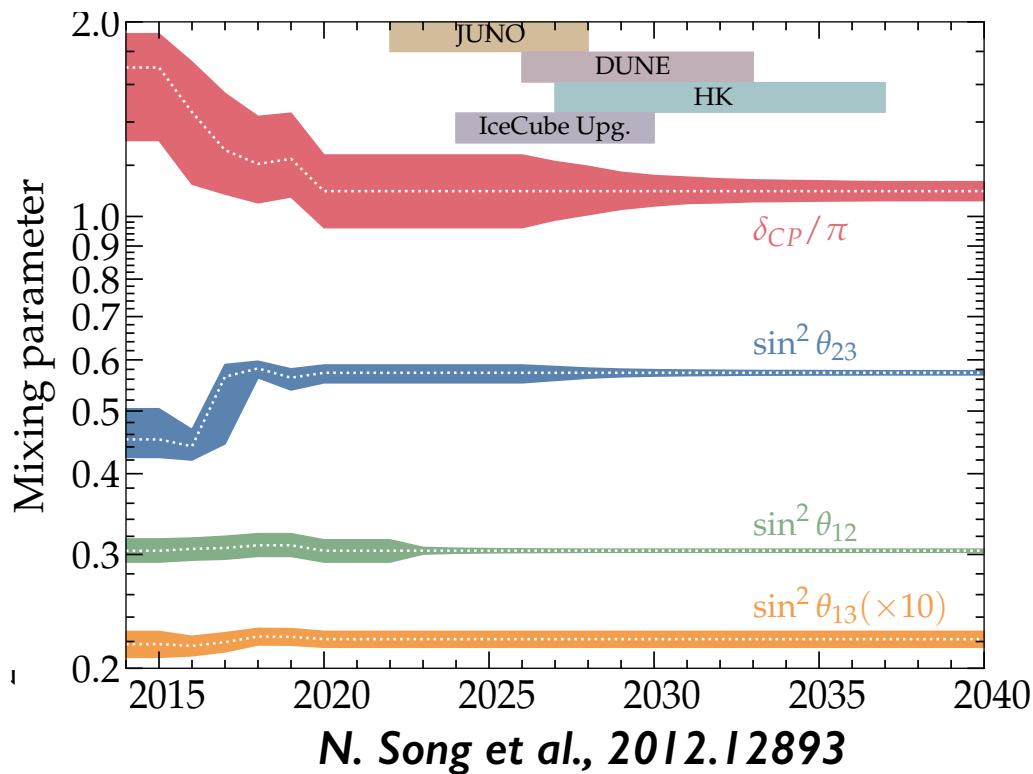
**Crucial information in order to discriminate between different flavour models.**



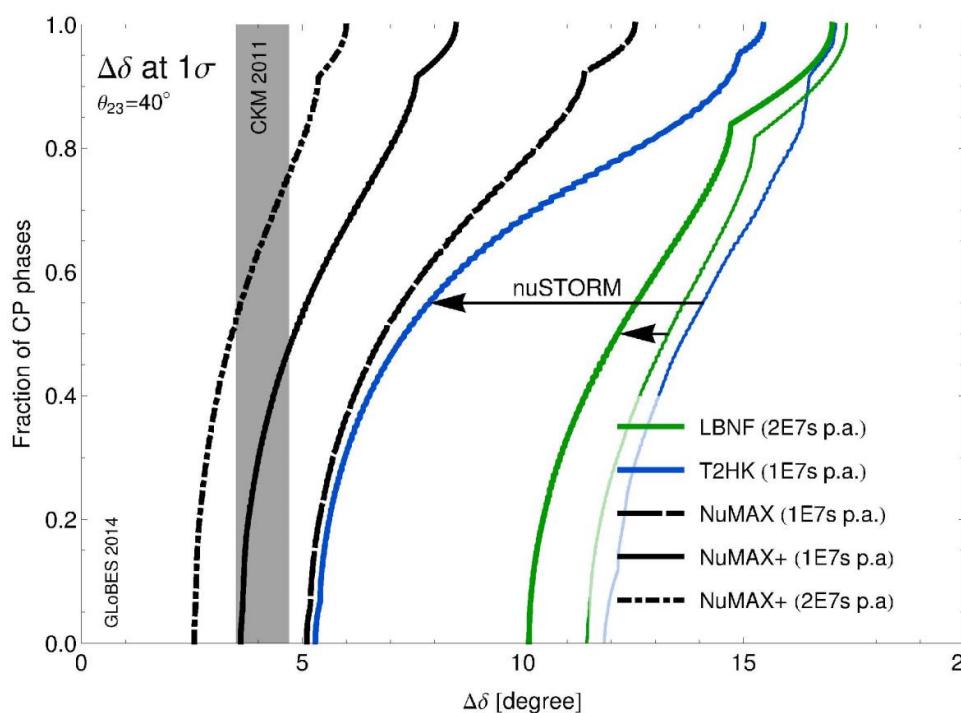
- discrete symmetries w/ CP
- discrete symmetries w/o CP (NO)
- ◆ discrete symmetries w/o CP (IO)
- ▲ modular symmetries (NO)
- ▼ modular symmetries (IO)



See e.g. J. Gehrlein et al., 2203.06219, Snowmass



See O. Yasuda's talk at Neutrino 2022



A neutrino factory would be able to increase the precision on delta very significantly.

J.-P. Delahaye et al., 1803.07431

*Question:*  
*Beyond 3-neutrino*  
*mixing*

# *Is the standard 3-neutrino picture correct?*

Neutrinos are the least known of the SM fermions and could provide a privileged window on new physics BSM.

With great precision of neutrino properties, the search for beyond 3-nu mixing becomes very compelling:

Neutrino 2002: 5 talks mainly on sterile neutrinos

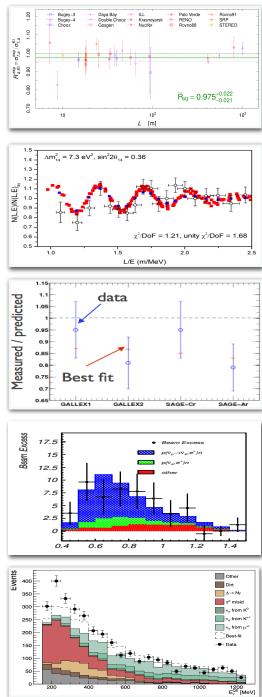
Neutrino 2012: 4 talks mainly on sterile neutrinos

Neutrino 2022: 10 talks with many new results and theory developments

Neutrino 2032: ?????

- Non standard interactions, non-unitarity.
- Dark sector connection (with dark photons, FIPs, DM)
- Exotic properties: decays, decoherence, CPT and Lorentz violation...

- Sterile neutrinos: Current hints for eV sterile neutrinos (LSND, MiniBooNE, BEST?) have not yet been confirmed or disproven. SBL oscillation experiments (SBN, reactor neutrino exp, BEST...) are testing them and will provide a definitive (???) answer by the end of this decade.



reactor flux anomaly  
resolved with new input data  
to flux calculation

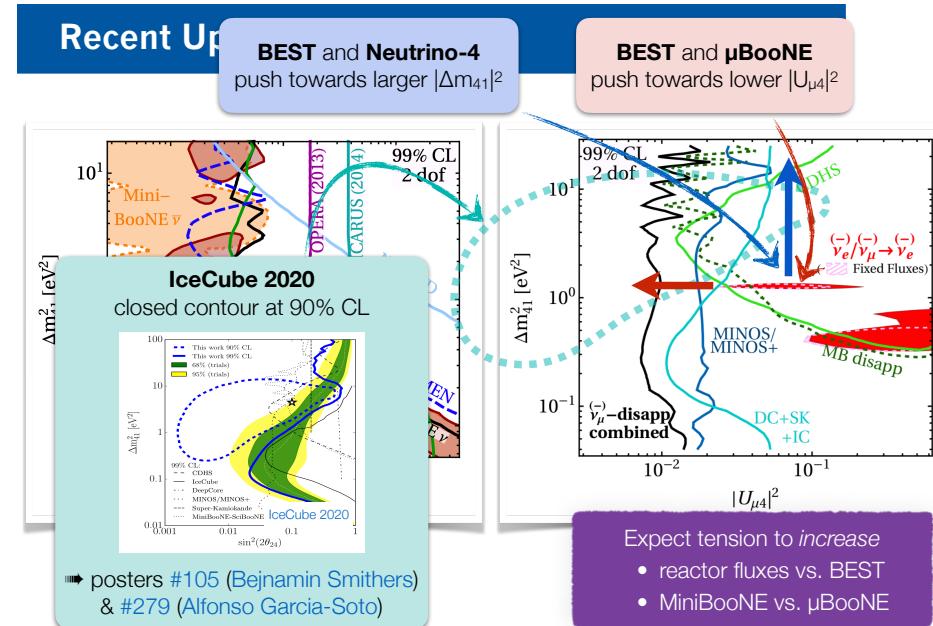
reactor spectra  
is there really an anomaly?

gallium anomaly  
unresolved, recently reinforced

LSND  
unresolved

MiniBooNE  
unresolved

See J. Kopp's  
talk at  
Neutrino 2022



The discovery of any signature beyond 3-neutrinos, would be game-changing for experiments and theory. Need to continue the search even for negative results.

# Conclusions

- Neutrino oscillations imply that neutrinos have mass and mix: First particle physics evidence of physics beyond the SM. They provide a complementary window w.r.t. collider and flavour physics searches.
- The ultimate goal is to understand the origin of neutrino masses and leptonic mixing.
- By the end of the planned LBL programme, it is likely that some questions will remain open.

Question	By ~2040	Caveats
Neutrino mass ordering	Yes	Increasing significance and discovery
Delta CPV	Likely?	Depends on value of delta
Precision in oscillation parameters	Some	t23 and delta with still some uncertainty
Sterile nus, NSIs, and other B3nu	Maybe	Surprises in store?
DSs: dark photons, HNLs,...	Maybe	Surprises in store?
Baryon asymmetry	Not really	Some hints if LNV and CPV