

Working towards a gauge-invariant description of the Higgs model: from local composite operators to spectral density functions.

Duifje Maria van Egmond



- 1 Introduction**
 - The textbook Higgs mechanism
 - Gauge fixing
- 2 Ambiguities in the Higgs model**
 - Elitzur's theorem
 - Spectral density functions
- 3 Composite gauge-invariant operators for the $SU(2)$ Higgs model**
 - Local composite gauge-invariant operators in $SU(2)$ Higgs model
 - Renormalization issues
- 4 Phenomenological prospects**
 - Why gauge?
 - Scattering processes
- 5 Conclusion and Outlook**

- The Higgs sector of the Standard Model:

$$\mathcal{L}_\Phi = (\mathcal{D}_\mu \Phi)^\dagger (\mathcal{D}_\mu \Phi) - \frac{\lambda}{2} \left(\Phi^\dagger \Phi - \frac{v^2}{2} \right)^2,$$
$$\mathcal{D}_\mu = \partial_\mu - ig' B_\mu Y - ig W_\mu.$$

- The scalar field is an $SU(2)$ doublet $\Phi = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_1 + i\phi_2 \\ \phi_3 + i\phi_4 \end{pmatrix}$, transforming as $\Phi \rightarrow U_Y(x)\Phi$ & $\Phi \rightarrow U_L(x)\Phi$,
- The potential is minimized by $\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}$, which breaks the local gauge symmetry $SU(2)_L \times U(1)_Y \rightarrow U(1)_{EM}$
- We define $\Phi = \frac{1}{\sqrt{2}} ((v + h)1 + i\rho^a \tau^a) \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, with h the Higgs field and ρ^a the Goldstone bosons, $\langle h \rangle = \langle \rho^a \rangle = 0$.

$$\mathcal{L} = (\mathcal{D}_\mu \Phi)^\dagger (\mathcal{D}_\mu \Phi) - \frac{\lambda}{2} \left(\Phi^\dagger \Phi - \frac{v^2}{2} \right)^2,$$
$$\mathcal{D}_\mu = \partial_\mu - ig' B_\mu Y - ig W_\mu.$$

Mass matrix the basis (W^1, W^2, W^3, B) :

$$M^2 = \frac{v^2}{4} \begin{pmatrix} g^2 & 0 & 0 & 0 \\ 0 & g^2 & 0 & 0 \\ 0 & 0 & g^2 & -gg' \\ 0 & 0 & -gg' & g'^2 \end{pmatrix}$$

for $g' = 0$, invariant under $W_\mu^a \rightarrow W_\mu^a + \varepsilon^{abc} \omega^b W_\mu^c$: custodial symmetry.
Diagonalize M^2 to find the massive W^\pm, Z bosons and the massless photon.

- To go from S to $Z = e^{iS}$, we need to fix the gauge
- For the electroweak Higgs model, the Landau gauge $\partial_\mu A_\mu = 0$ proves renormalizability, while the unitary gauge choice $\phi = (v + h)$ “gauges away” non-physical Goldstone bosons
- The 't Hooft R_ξ gauge class combines both renormalizability and unitarity

$$S_{gf} = \int d^4x \left\{ \frac{1}{2\xi} (\partial_\mu A_\mu + \xi m\rho)^2 \right\},$$

with the Landau gauge for $\xi \rightarrow 0$ and the unitary gauge for $\xi \rightarrow \infty$.

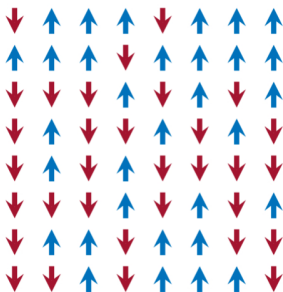
- The propagator for the Goldstone boson is given by

$$\langle \rho(p)\rho(-p) \rangle = \frac{1}{p^2 + \xi m^2}$$

Ambiguities in the Higgs model

Elitzur's theorem

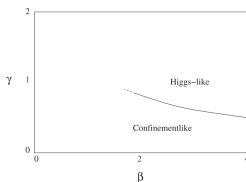
- Elitzur showed that *a local symmetry can never be broken spontaneously*
- A global symmetry can be broken spontaneously, e.g. the 1D Ising model:



- There is an energy barrier between the groundstates (all up or all down)

- For a local symmetry, there is no energy barrier: two ground states are connected by a sequence of local transformations
- In the case of a local gauge symmetry, ϕ can move around the gauge orbit, averaging to zero
- Elitzur's theorem can be stated as *all gauge non-invariant operators must have a vanishing vev*

- Fradkin-Shenker: no strict order parameter between "Higgs phase" and "confinement phase": Higgs-confinement complementarity



- Caudy-Greensite: Are (remnant) global gauge symmetries a way out? Different for each gauge, e.g. in Landau gauge complete global symmetry, in unitary gauge no global symmetry. Not connected to a physical transition.
- Elitzur's theorem: *all gauge non-invariant operators must have a vanishing vev.* Do we need gauge-invariant operators?

The propagator for the electroweak gauge boson

$$\langle W_\mu^a(x) W_\mu^a(y) \rangle,$$

is not gauge-invariant under

$$W_\mu^a \rightarrow U_L(x) W_\mu U_L^{-1}(x) + \frac{i}{g} U_L(x) \partial_\mu U_L^{-1}(x),$$

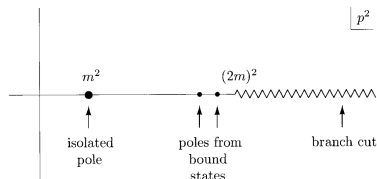
Yet, we find ξ -independent results, such as the W, Z masses, from the gauge-dependent propagator. This is guaranteed by Nielsen Identities.

Composite gauge-invariant operators for the Higgs Model

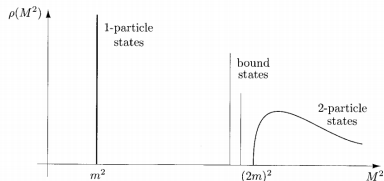
Spectral density functions

Are other quantities that we can derive from the elementary field propagators, in particular the spectral density function, also gauge invariant?

$$G(p^2) = \frac{1}{p^2 + m^2 - \Pi(p^2)} = \int_0^\infty \frac{\rho(t)}{t + p^2} dt,$$



(a) Analytical structure of the two-point function for typical theory



(b) Typical spectral density function

Some remarks on the spectral functions of the Abelian Higgs Model

Results

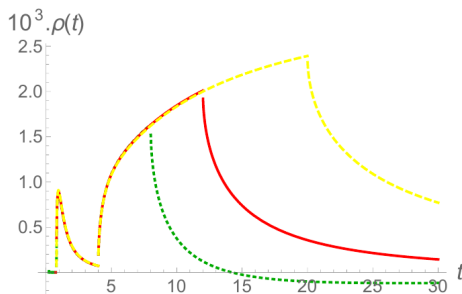


Figure: Spectral function for the reduced Higgs propagator $\langle h(p)h(-p) \rangle$, for gauge parameters $\xi = 2$ (Green, dotted), $\xi = 3$ (Red, Solid), $\xi = 5$ (Yellow, Dashed), with t given in units of μ^2 , for the parameter values $e = 1$, $v = 1 \mu$, $\lambda = \frac{1}{5}$.

Conclusion: we need gauge-invariant operators to access the spectral function!

- 't Hooft, and later Fröhlich-Morchio-Strocchi (FMS), found that the Higgs model provides a natural way to formulate gauge-invariant extensions $\mathcal{O}(x)$ of the elementary fields $\varphi(x) = \{\Phi, A_\mu, \Psi_L\}$

$$\begin{aligned} Z \text{ boson} & : \Phi^\dagger D_\mu \Phi \\ W^\pm \text{ boson} & : \varepsilon_{ij} \Phi_i D_\mu \Phi_j \text{ \& c.c.} \\ Higgs \text{ boson} & : \Phi^\dagger \Phi \\ Fermion & : \Phi^\dagger \Psi_L \end{aligned} \tag{1}$$

- The operators are constructed in such a way that for a constant value of the Higgs field, we regain the elementary field $\varphi(x)$.
- 't Hooft explained Higgs-confinement complementarity through composite operators.

We can achieve the usual Higgs dynamics by taking Φ around $\langle \Phi \rangle$ in the propagator of \mathcal{O} , so that

$$\langle \mathcal{O}(x)\mathcal{O}(y) \rangle = \langle \varphi(x)\varphi(y) \rangle + \dots,$$

and it was found on the lattice that they share the same pole mass.

A. Maas, Mod.Phys.Lett.A 28 (2013), 1350103

Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Setup

- The procedure for $SU(2)$ is much the same as for $U(1)$, but with many more diagrams to calculate.
- We take the $SU(2)$ Higgs model (no quarks) with a single Higgs field in the fundamental representation, fixed in the R_ξ -gauge.
- We now have an additional symmetry: custodial symmetry.
- For $SU(2)$, both $\langle A_\mu^a(x) A_\nu^a(y) \rangle$ and $\langle h(x) h(y) \rangle$ are not gauge-invariant.
- We define two regions:

	Region I	Region II
v	0.8μ	1μ
g	1.2	0.5
λ	0.3	0.205

Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Composite operators

Following 't Hoofts definition, we could define

$$\begin{aligned}O &= \Phi^\dagger \Phi \\V_\mu^3 &= \Phi^\dagger D_\mu \Phi \\V_\mu^\pm &= \varepsilon_{ij} \Phi_i D_\mu \Phi_j \text{ \& c.c.}\end{aligned}$$

but if we use

$$\begin{aligned}O(x) &= \Phi^\dagger(x) \Phi(x) - \frac{v^2}{2} \\R_\mu^1(x) &= \frac{i}{2} \left(V_\mu^+(x) - V_\mu^-(x) \right), \\R_\mu^2(x) &= \frac{1}{2} \left(V_\mu^+(x) + V_\mu^-(x) \right), \\R_\mu^3(x) &= V_\mu^3(x) - \frac{i}{2} \partial_\mu O(x),\end{aligned}$$

Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Composite operators

Taking the usual Higgs expansion $\Phi = \frac{1}{\sqrt{2}} ((v+h)1 + i\rho^a \tau^a) \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, we obtain:

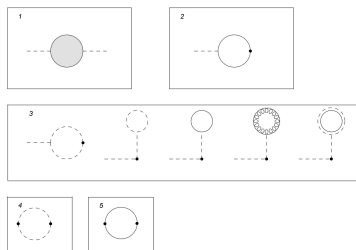
$$\begin{aligned} O(x) &= \frac{1}{2} (h^2(x) + 2vh(x) + \rho^a(x)\rho^a(x)) \\ R_\mu^a &= \frac{1}{2} \left[- (v+h)\partial_\mu \rho^a + \rho^a \partial_\mu h - \varepsilon^{abc} \rho^b \partial_\mu \rho^c + \frac{1}{2} g(v+h)^2 A_\mu^a \right. \\ &\quad \left. - g(v+h)\varepsilon^{abc} (\rho^b A_\mu^c) - \frac{1}{2} g A_\mu^a \rho^m \rho^m + g \rho^a A_\mu^m \rho^m \right], \end{aligned}$$

R_μ^a transforms as a triplet under custodial symmetry

$$\delta R_\mu^a = \varepsilon^{abc} \beta^b R_\mu^c.$$

Gauge-invariant spectral description of the $SU(2)$ Higgs model from local composite operators

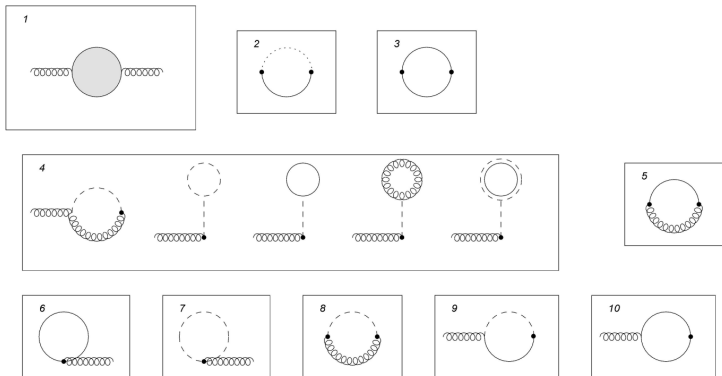
$$\begin{aligned}
 \langle O(x)O(y) \rangle &= v^2 \langle h(x)h(y) \rangle + v \langle h(x)\rho^b(y)\rho^b(y) \rangle + v \langle h(x)h(y)^2 \rangle \\
 &+ \frac{1}{4} \langle h(x)^2\rho^b(y)\rho^b(y) \rangle + \frac{1}{4} \langle h(x)^2h(y)^2 \rangle \\
 &+ \frac{1}{4} \langle \rho^a(x)\rho^a(x)\rho^b(y)\rho^b(y) \rangle.
 \end{aligned}$$



Gauge-invariant spectral description of the $SU(2)$ Higgs model from local composite operators

$$\begin{aligned}
 \langle R_\mu^a(p) R_\nu^a(-p) \rangle &= \frac{1}{16} g^2 v^4 \langle A_\mu^a(p) A_\nu^a(-p) \rangle - \langle (\rho^a \partial_\mu h)(p) (\partial_\nu \rho^a h)(-p) \rangle + \frac{1}{4} p_\mu p_\nu \langle (\rho^a h)(p) (\rho^a h)(-p) \rangle \\
 &+ \frac{1}{8} p_\mu p_\nu \langle (\rho^a \rho^b)(p) (\rho^a \rho^b)(-p) \rangle - \frac{1}{2} \langle (\rho^a \partial_\mu \rho^b)(p) (\partial_\nu \rho^a \rho^b)(-p) \rangle \\
 &+ \frac{1}{4} g^2 v^3 \langle A_\mu^a(p) (A_\nu^a h)(-p) \rangle - \frac{i}{4} g v^3 p_\nu \langle A_\mu^a(p) \rho^a(-p) \rangle \\
 &+ \frac{1}{4} v^2 p_\mu p_\nu \langle \rho^a(p) \rho^a(-p) \rangle + \frac{1}{6} g^2 v^2 \langle (\rho^a A_\mu^b)(p) (\rho^a A_\nu^b)(-p) \rangle \\
 &- \frac{1}{24} g^2 v^2 \langle (\rho^a \rho^a A_\mu^b)(p) A^b(-p) \rangle + \frac{1}{8} g^2 v^2 \langle (h^2 A_\mu^a)(p) A_\nu^a(-p) \rangle \\
 &+ \frac{1}{4} g^2 v^2 \langle (h A_\mu^a)(p) (h A_\nu^a)(-p) \rangle + \frac{i}{4} v^2 g p_\mu \langle (h \rho^a)(p) A_\nu^a(-p) \rangle \\
 &+ \frac{1}{2} g v^2 \langle (\partial_\mu h \rho^a)(p) A_\nu^a(-p) \rangle + \frac{i}{2} g v^2 p_\mu \langle \rho^a(p) (h A_\nu^a)(-p) \rangle \\
 &- \frac{1}{4} g v^2 \varepsilon^{abc} \langle A^a(p) (\rho^b \partial_\mu \rho^c)(-p) \rangle - \frac{i g v^2}{2} \varepsilon^{abc} p_\mu \langle \rho^a(p) (\rho^b A_\nu^c)(-p) \rangle \\
 &+ \frac{1}{2} v p_\mu p_\nu \langle (h \rho^a)(p) \rho^a(-p) \rangle - i v p_\nu \langle (\partial_\mu h \rho^a)(p) \rho^a(-p) \rangle
 \end{aligned}$$

Gauge-invariant spectral description of the $SU(2)$ Higgs model from local composite operators



Gauge-invariant spectral description of the $SU(2)$ Higgs model from local composite operators

Results

We find that the pole mass of the elementary operators and their gauge invariant extension are the same up to first order in \hbar

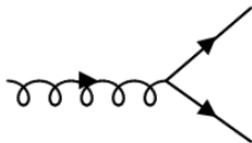
$$m_{\text{pole},\langle hh\rangle} = m_{\text{pole},\langle OO\rangle}$$

$$m_{\text{pole},\langle AA\rangle} = m_{\text{pole},\langle RR\rangle}$$

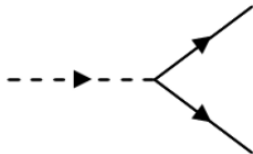
Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Threshold effects

For the elementary particles, we see an unphysical threshold effects for small values of ξ :



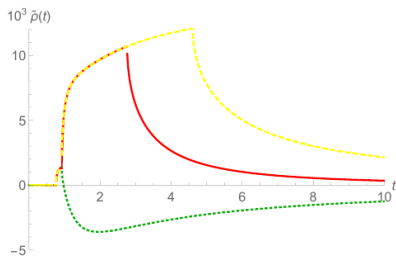
$$m > 2\sqrt{\xi}m.$$



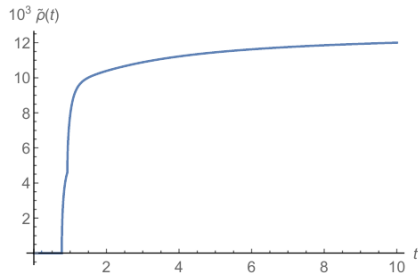
$$m_h > 2\sqrt{\xi}m.$$

Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Results - Region I



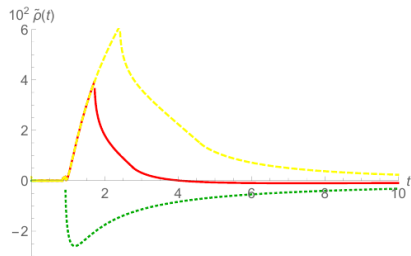
(a) Spectral function for $\langle h(x)h(y) \rangle$,
 $\xi = 2$ (Green), $\xi = 3$ (Red), $\xi = 5$ (Yellow)



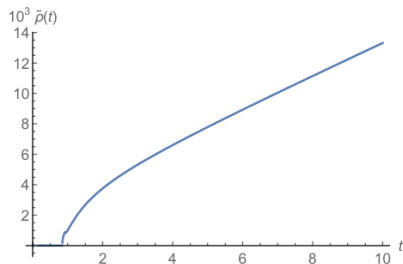
(b) Spectral function for $\langle O(x)O(y) \rangle$

Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Results - Region I



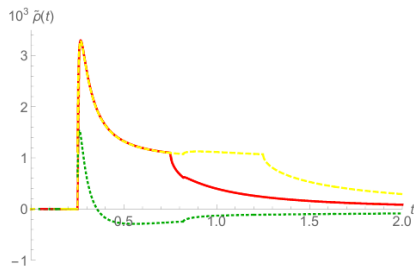
(a) Spectral function for $\langle A_\mu^a(x) A_\nu^a(y) \rangle^T$, $\xi = 2$ (Green), $\xi = 3$ (Red), $\xi = 5$ (Yellow)



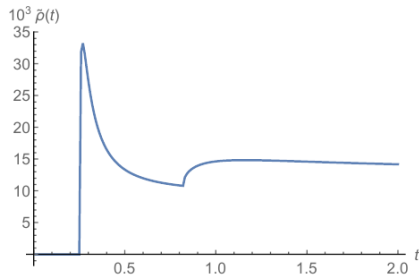
(b) Spectral function for $\langle R_\mu^a(x) R_\nu^a(y) \rangle^T$

Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Results - Region II



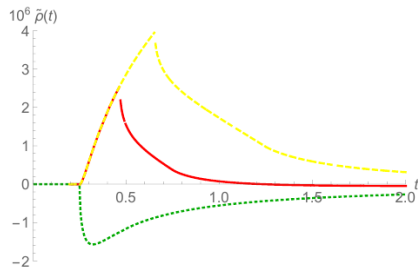
(a) Spectral function for $\langle h(x)h(y) \rangle$,
 $\xi = 2$ (Green), $\xi = 3$ (Red), $\xi = 5$ (Yellow)



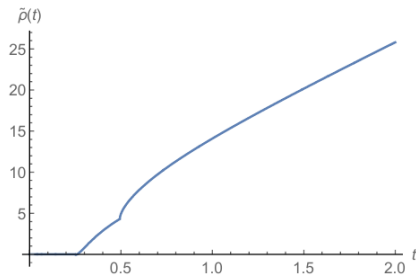
(b) Spectral function for $\langle O(x)O(y) \rangle$

Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Results - Region II



(a) Spectral function for $\langle A_\mu^a(x) A_\nu^a(y) \rangle^T$,
 $\xi = 2$ (Green), $\xi = 3$ (Red), $\xi = 5$ (Yellow)



(b) Spectral function for $\langle R_\mu^a(x) R_\nu^a(y) \rangle^T$

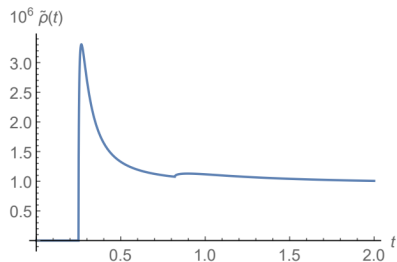
- The gauge-invariant composite operators are renormalizable to all orders, checked by means of Algebraic Renormalization.
- In $SU(2)$, R_μ^a is the conserved Noether current associated to the custodial symmetry.
- As a consequence, R_μ^a does not get renormalized.

D. Dudal, DMvE, I. Justo, G. Peruzzo, and S. Sorella, Phys.Rev.D 105 (2022) 6, 065018

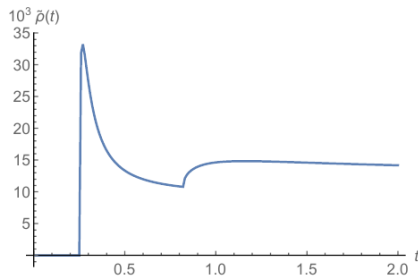
Spectral properties of local BRST invariant composite operators in the $SU(2)$ Yang-Mills-Higgs model

Unitary gauge

In the unitary gauge, neglecting unrenormalizable terms, the elementary operators resemble their gauge-invariant extensions.



(a) Spectral function for $\langle h(x)h(y) \rangle_{\xi \rightarrow \infty}$



(b) Spectral function for $\langle O(x)O(y) \rangle$

- The spectral density functions of the gauge-invariant operators resemble the unitary gauge. Why not use this gauge and be done with it? Is FMS a victim of its own success?
- In this context, two interesting papers by C. Rovelli: *Why gauge?* and *Gauge is more than a mathematical redundancy*.
- If gauge is only a mathematical redundancy, then why are gauge symmetries so important?
- *“Gauge variables are the handles through which the two subsystems couple.”*

- For example, look at the simplified Lagrangians of two systems

$$L_x = \underbrace{\frac{1}{2} \sum_{n=1}^{N-1} (\dot{x}_{n+1} - \dot{x}_n)^2}_{\text{invariant under } x_n \rightarrow x_n + \lambda}$$
$$L_y = \underbrace{\frac{1}{2} \sum_{n=1}^{N-1} (\dot{y}_{n+1} - \dot{y}_n)^2}_{\text{invariant under } y_n \rightarrow y_n + \lambda'}$$

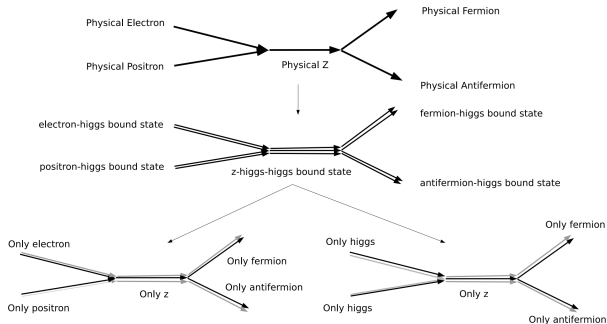
- When we couple the systems, we immediately see that the interaction

$$L_{int} = \frac{1}{2} (\dot{y}_1 + \dot{x}_N)^2$$

is left invariant if $\lambda = \lambda'$. If we would have fixed the gauge, or worked purely with gauge-invariant variables, this information would have been lost.

- Focusing on the unitary (or any other) gauge might throw away important information about the coupling between the Higgs sector and the gauge/fermion sector.

Simplest possible process in which FMS could play a role:



This would be detectable at a scale above $2m_h$, possibly in (near) future colliders.

A. Maas, Prog.Part.Nucl.Phys. 106 (2019), 132-209

- Gauge-invariant composite operators can be employed to access the physical spectrum for gauge-Higgs theories.
- The spectral function of the elementary operators are gauge-dependent and sometimes even violate positivity.
- The spectral properties of the Higgs model do not show any unphysical behaviour when analyzed through gauge-invariant 't Hooft/FMS operators. However, we have not been able to access the non-perturbative region.
- Violation of positivity seems to be connected to the gauge-dependence of the propagator. Careful with interpretation of confinement in QCD.
- The gauge-invariant composite operators are renormalizable to all orders.

- Implement a confinement/deconfinement order parameter through the Gribov-Zwanziger model or finite temperature models.
- Compare different gauge classes, e.g. the R_ξ -gauge and Linear Covariant Gauge.
- Explore the gauge-invariant local composite operators in the $SU(2) \times U(1)$ electroweak theory.
- Renormalizable extension of the Abelian Higgs model with a dimension-six operator (with A. Quadri and D. Binosi).
- Hopefully, we will be able to know more about these operators through non-perturbative techniques, e.g. lattice simulations.