

# Status of Dual-Readout calorimetry for future HEP experiments

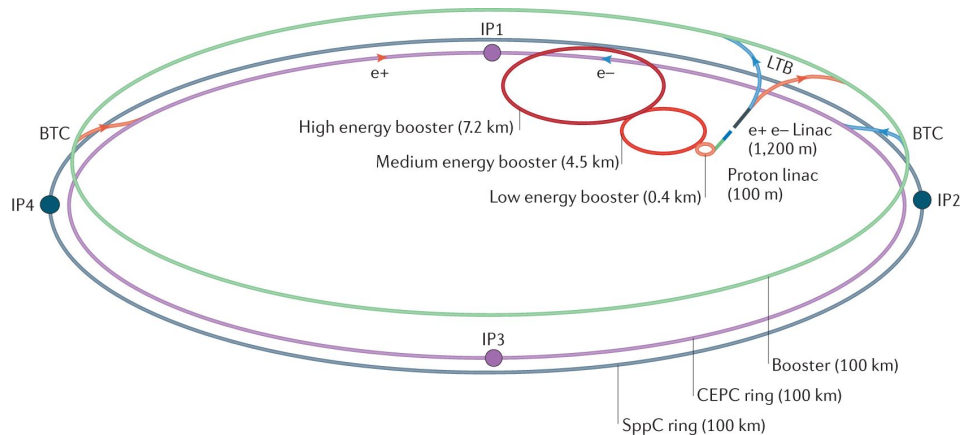
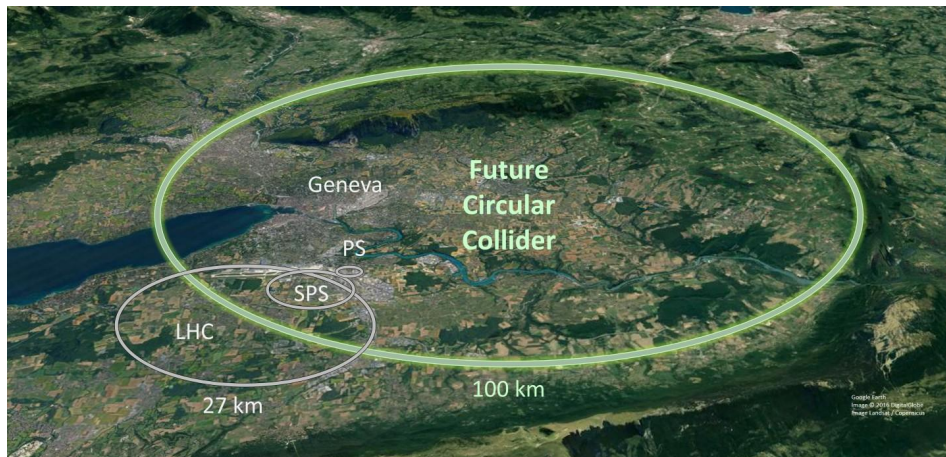
Andrea Pareti - INFN and Università di Pavia  
IPRD23 Siena - 26/09/2023

# Searches at $e^+e^-$ colliders

Two main projects for future  $e^+e^-$  colliders  
FCC at CERN, CepC (China)

Energies in the center-of-mass frame:  
[90, 160, 240, 365] GeV

Up to 100 TeV in hadron-hadron phase



# Searches at $e^+e^-$ colliders

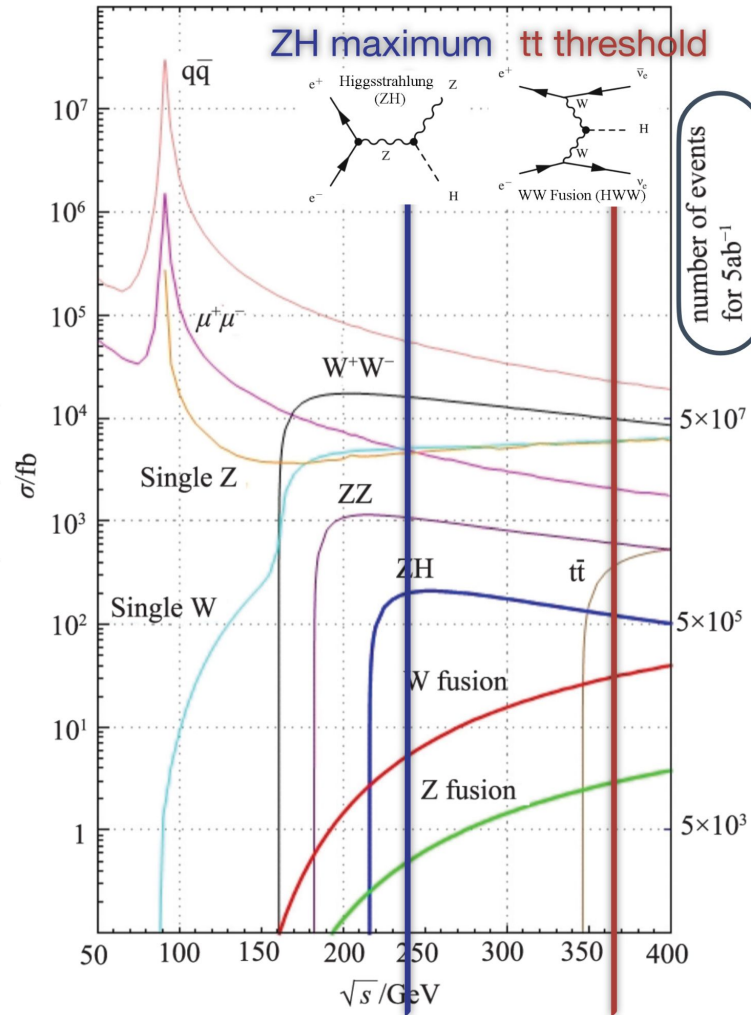
Two main projects for future  $e^+e^-$  colliders  
 FCC at CERN, CepC (China)

Energies in the center-of-mass frame:  
 [90, 160, 240, 365] GeV

Up to 100 TeV in hadron-hadron phase

Broad physics potential:

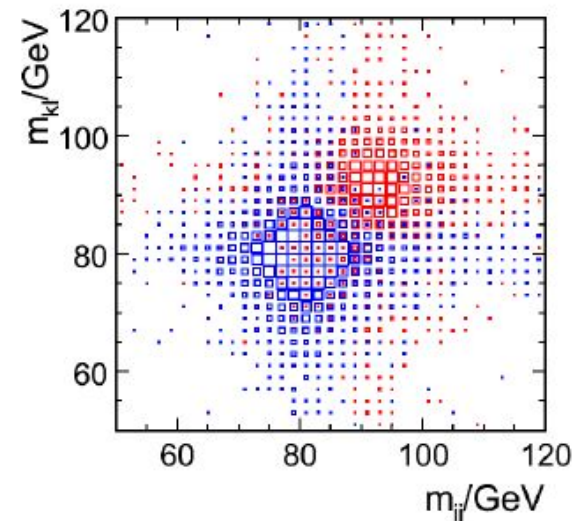
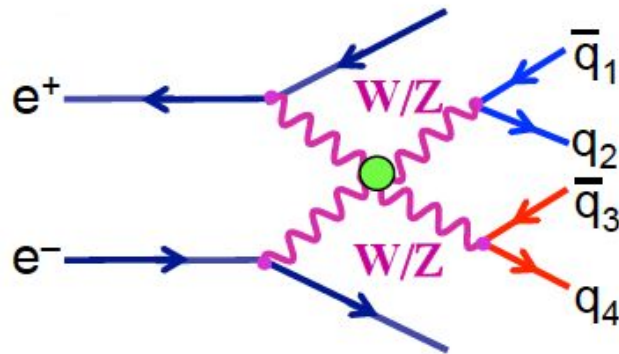
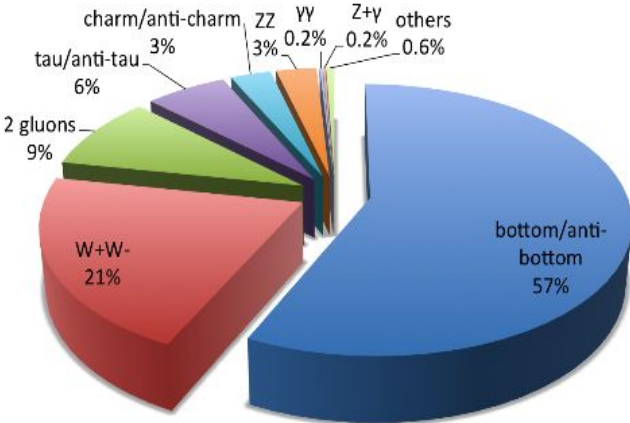
- ElectroWeak physics at Z pole and  $W^+W^-$  threshold
- Higgs precision measurements
- Direct searches for new physics
- Heavy Flavour Physics



ZH maximum tt threshold

number of events for 5ab<sup>-1</sup>

## Decays of a 125 GeV Standard-Model Higgs boson



# Jet measurement benchmarks

Large W/Z/H hadronic branching ratio:  
90% of events will contain at least one hadronic jet

Main benchmark:  
distinguish W and Z boson hadronic decay through jet invariant mass

Target resolution: 
$$\frac{\sigma}{E} = \frac{30\%}{\sqrt{E}}$$

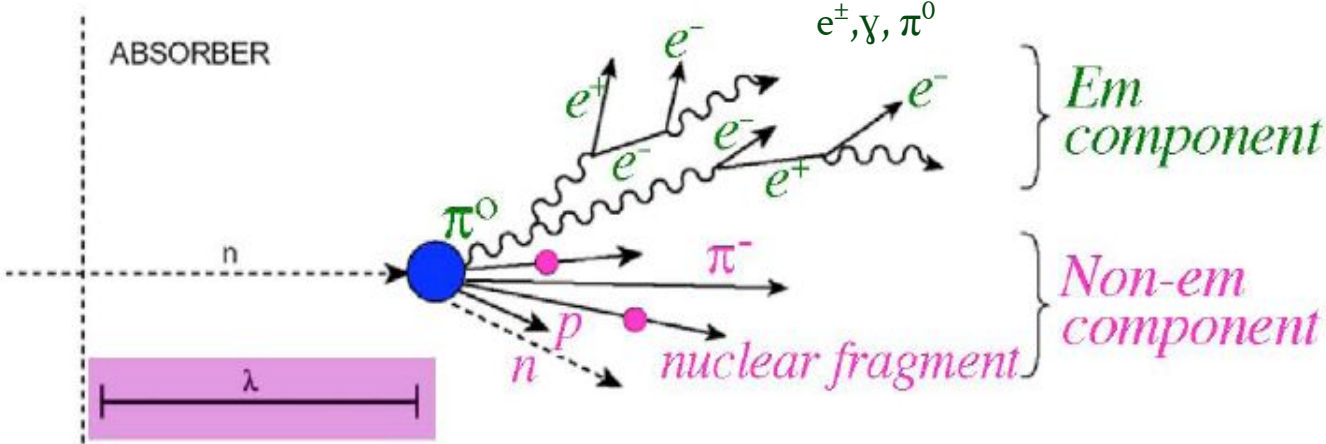
IDEA detector @ FCC/CepC:  
Reach target resolution through a Dual-Readout, highly granular, fibre-based calorimeter



# Dual-Readout Calorimetry

Electromagnetic fraction  $f_{em}$  : fraction of the primary jet energy carried by the em component particles

Different response of calorimeters to *em* and *non-em* components:  $\frac{e}{h} \neq 1$



Charged hadrons ( $\pi^\pm, K\dots$ ), nuclear fragments, neutrons, neutrinos, breakup of nuclei (invisible energy)

**Hadronic jet reconstruction problems:**

1. Event-based fluctuations in the  $f_{em}$
2.  $f_{em}$  increases with energy (non-linearity)
3. Event-based fluctuations in the invisible energy

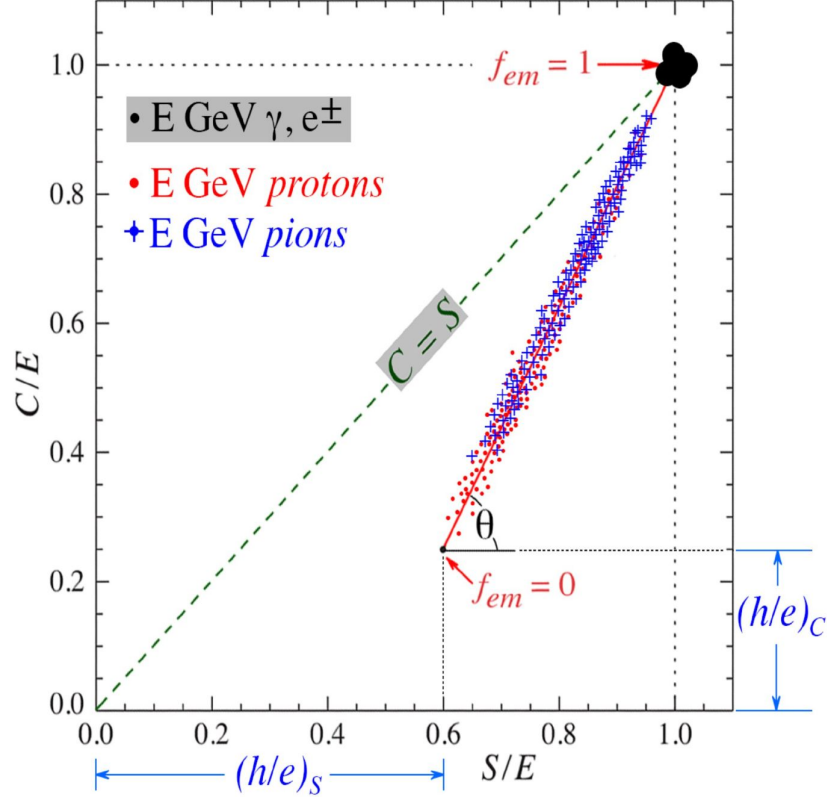
# Dual-Readout Calorimetry

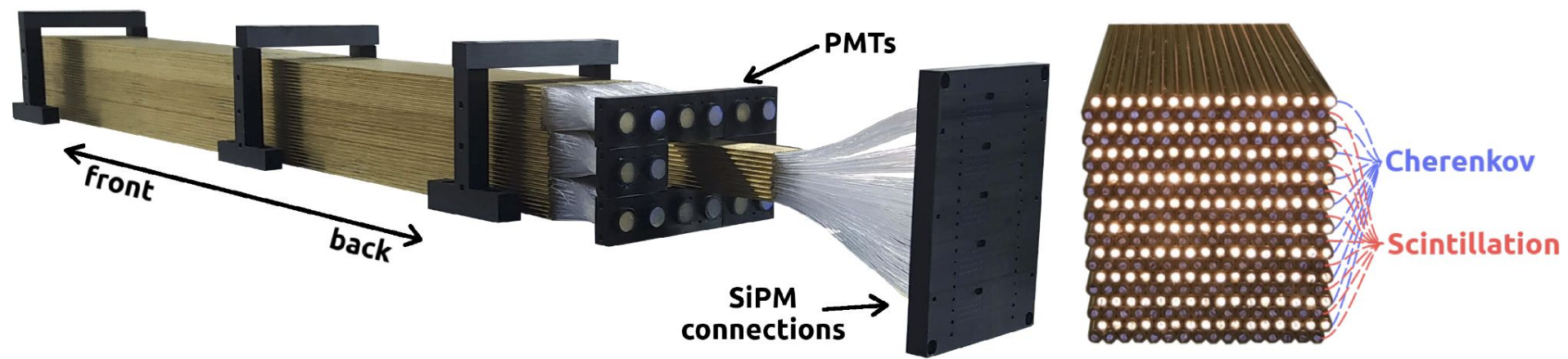
Idea: use two different physical processes to measure shower  $f_{em}$

- Scintillation light:
  - measure total energy deposition
- Cherenkov light:
  - mostly emitted by  $e^\pm$  ( $em$  component)

Use  $f_{em}$  to correct the reconstructed energy:

$$E = \frac{S - \chi C}{1 - \chi}$$





# Dual-Readout fibre calorimeter

## Drive towards highly-granular design:

- Particle Flow-friendly
- Particle Identification
- Heavy-Flavour jet tagging

## Fibre Calorimeter:

Longitudinally unsegmented fiber calorimeter  
 Modular design with alternating rows of Scintillating or Cherenkov fibers

One calorimeter for both electromagnetic and hadronic showers

- Only one calibration with electron is required
- Excellent spatial and angular resolution

# EM shower-sized prototype

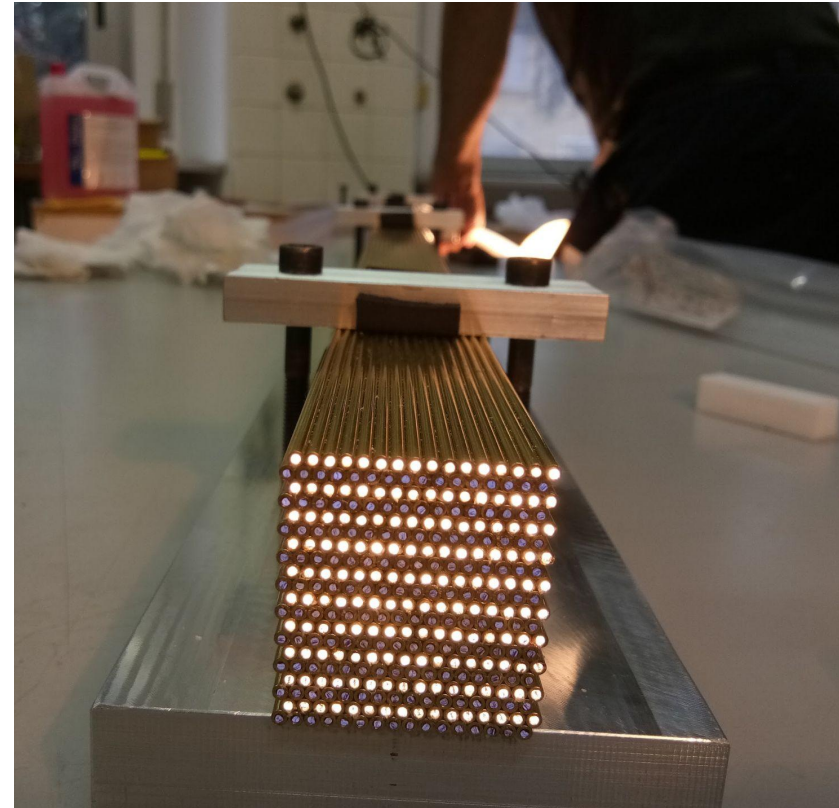
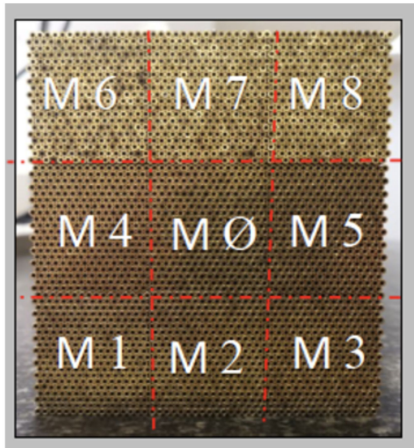
First prototype built in 2021 and tested at DESY and CERN's SPS

9 modules made of 16x20 capillaries

M0 readout with SiPMs, M1-M8 with PMTs

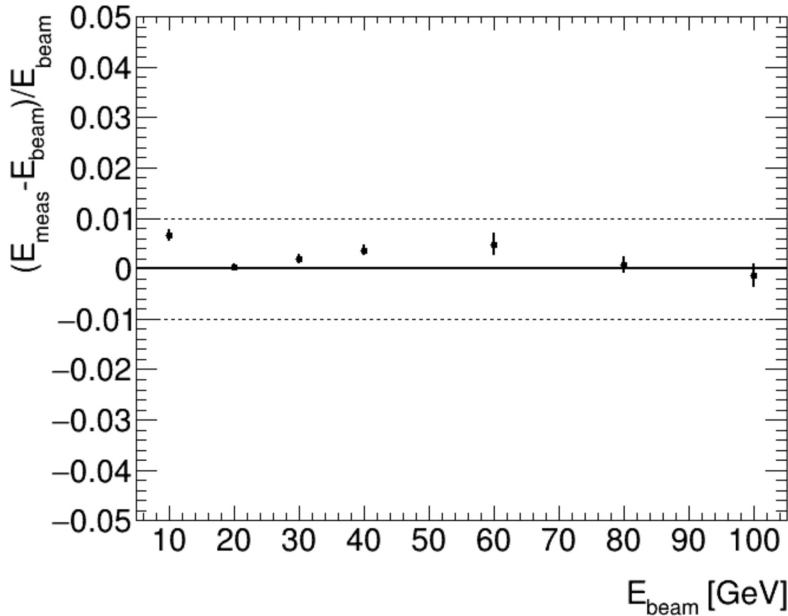
→ 320 SiPMs

SiPMs with packages small enough were not ready at the time of production, fibers in M0 leaking out from the back of the calorimeter

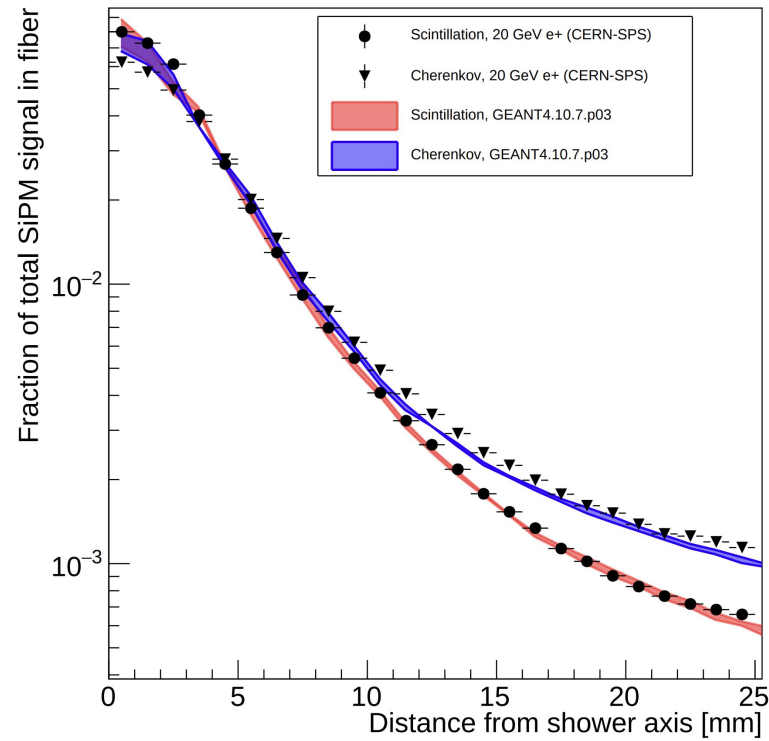


# EM shower-sized prototype

Energy well reconstructed within 1% range



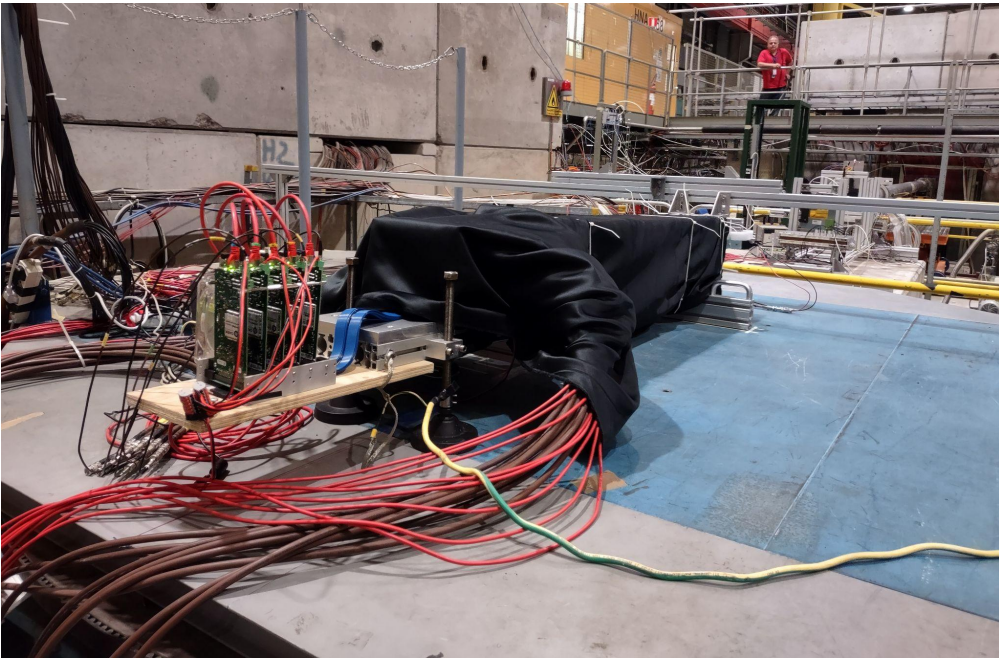
Lateral shower profile measured through independent SiPM information



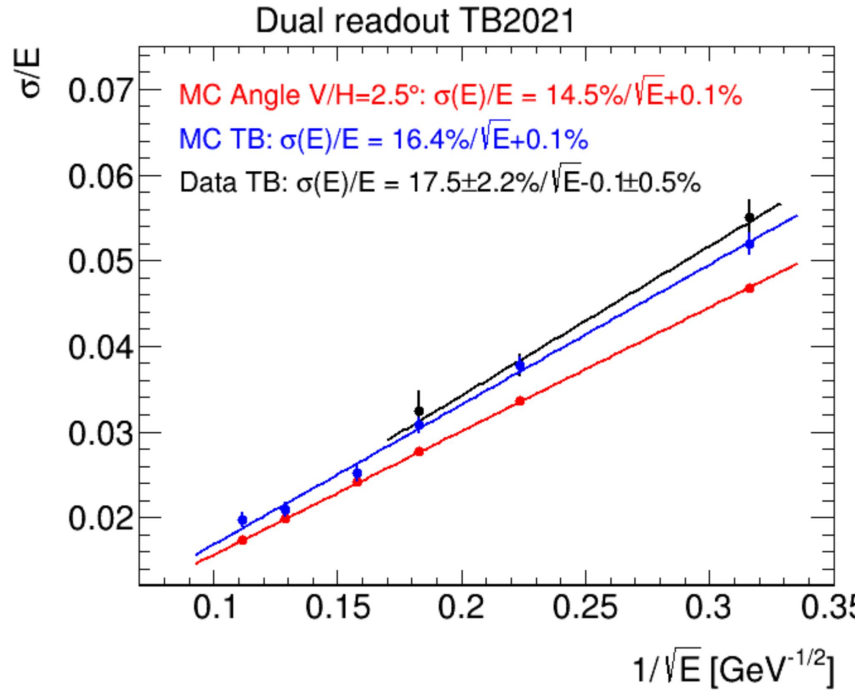


# EM shower-sized prototype

Second round in July 2023 for further characterization  
→ Data analysis ongoing



Agreement between measured and simulated energy resolution



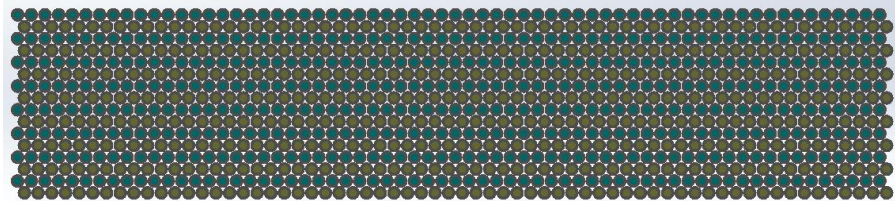
[More information on test beam here](#)

# HiDRa Prototype

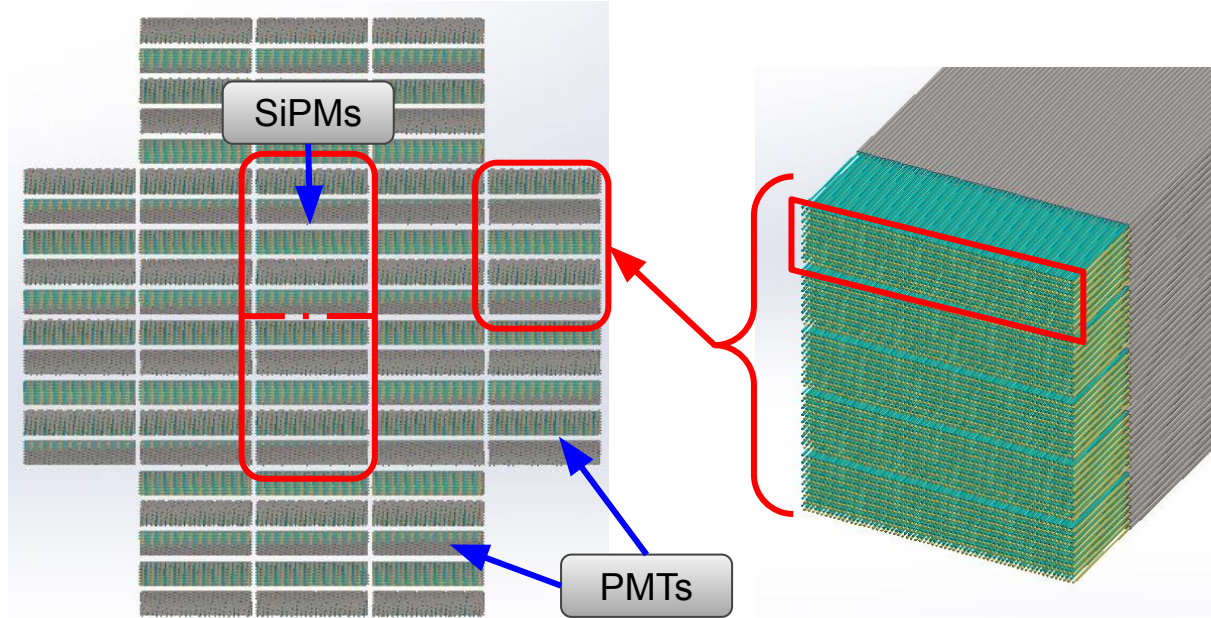
Demonstrate the feasibility of the Dual Readout technique in association with SiPM readout, with high-energy test beams

Build an almost fully-containing hadron shower calorimeter:  
80 mini-modules, each one made of 16x64 capillaries

10 mini-modules readout with SiPMs, all others with PMTs  
→ Cost/Performance optimization  
→ Gradual increase in DAQ complexity (10240 SiPMs)



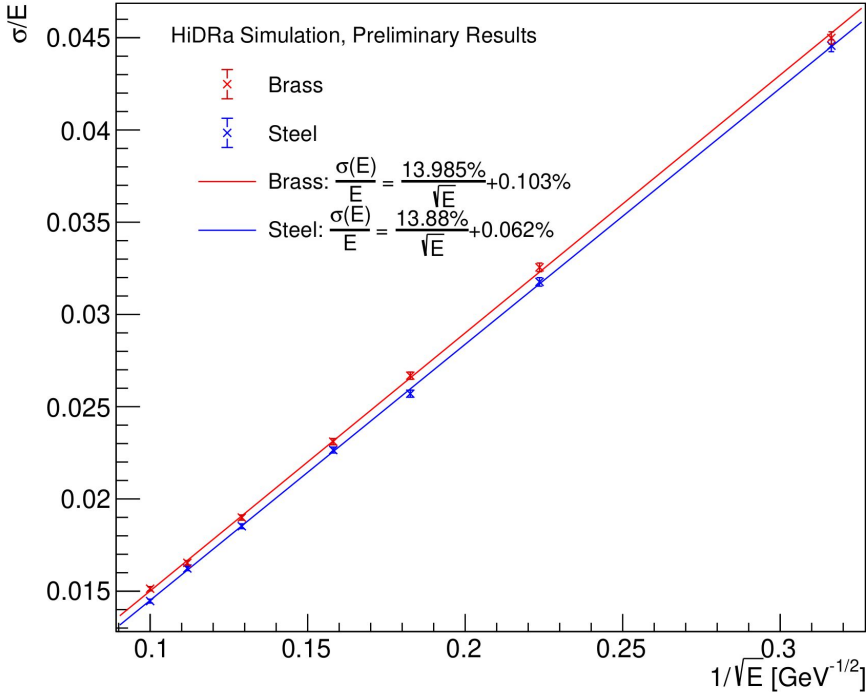
Each module is readout by two photodetectors, one for S fibers and the other for C fibers



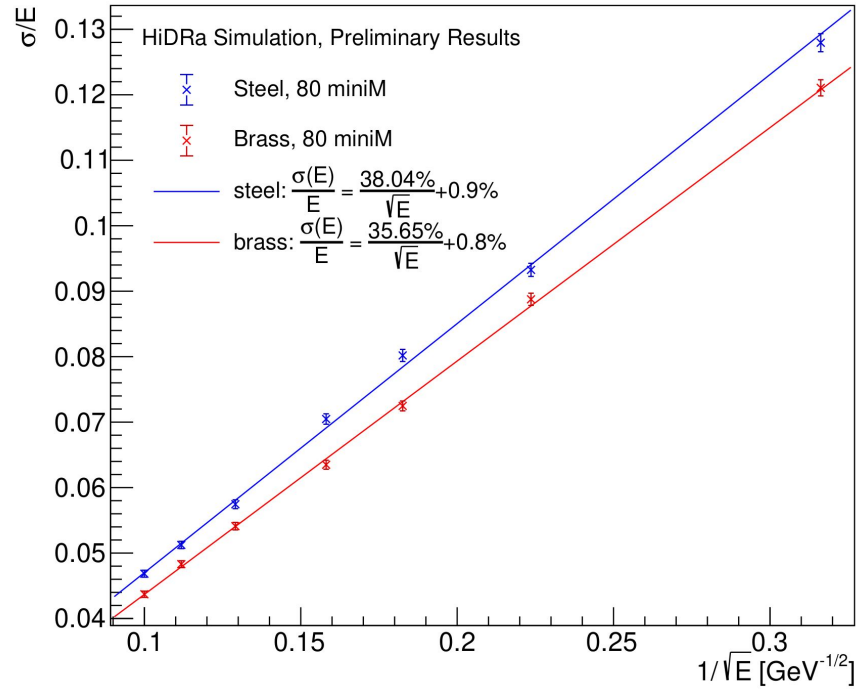
# HiDRa energy resolution

Brass absorber seems to slightly improve resolution, but more expensive to produce and use for a smaller-scale prototype

Geant4 simulation-based resolution, for electrons and pions  
 Electron resolution in [10, 100] GeV Range



Pion resolution in [10, 100] GeV Range



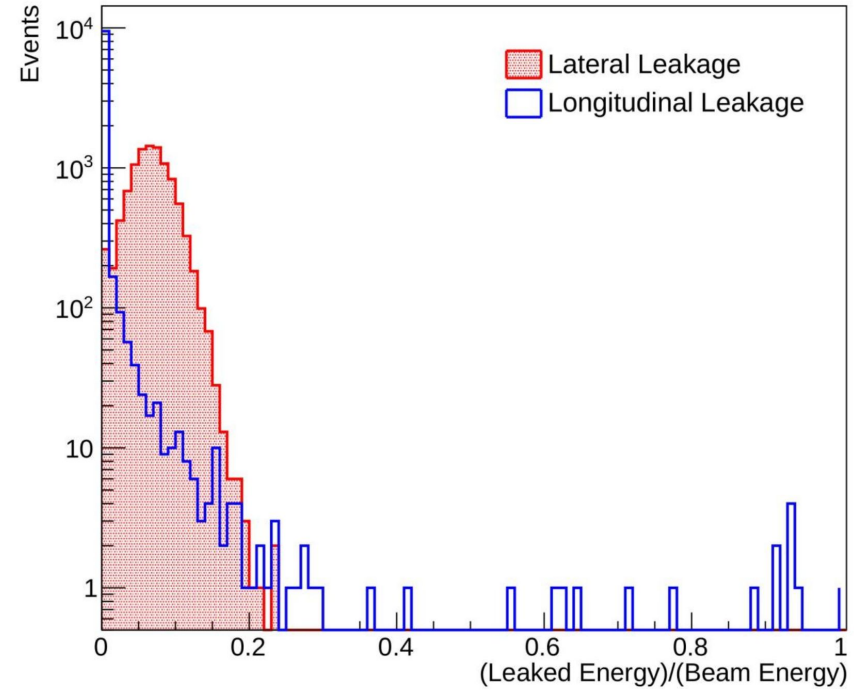
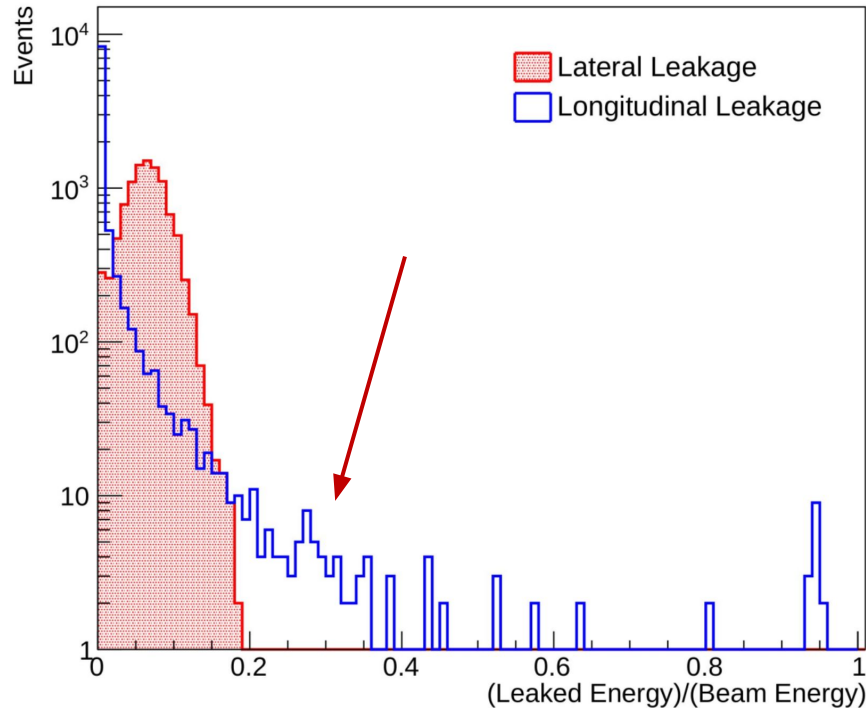
# Leakage studies

Distribution of leaked energy outside calorimeter in lateral and longitudinal directions (hadron beam)

- Lateral leakage has major impact on energy resolution
- Longitudinal leakage leads to low-reconstructed energy events

Leakage Components, 2000 mm Depth, 40 GeV

Leakage Components, 2500 mm Depth, 40 GeV





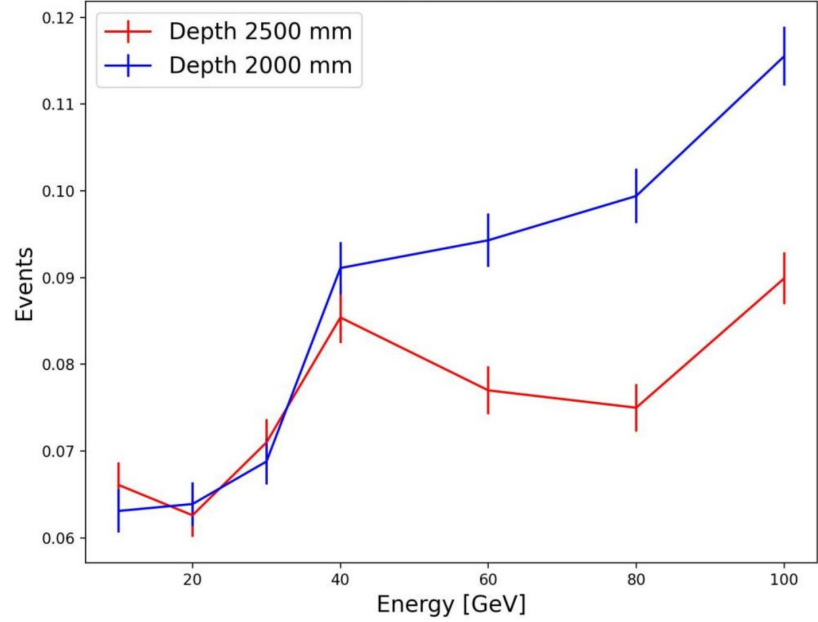
# Leakage studies

Smaller effect of longitudinal leakage  
on energy resolution  
(estimated using a gaussian fit)

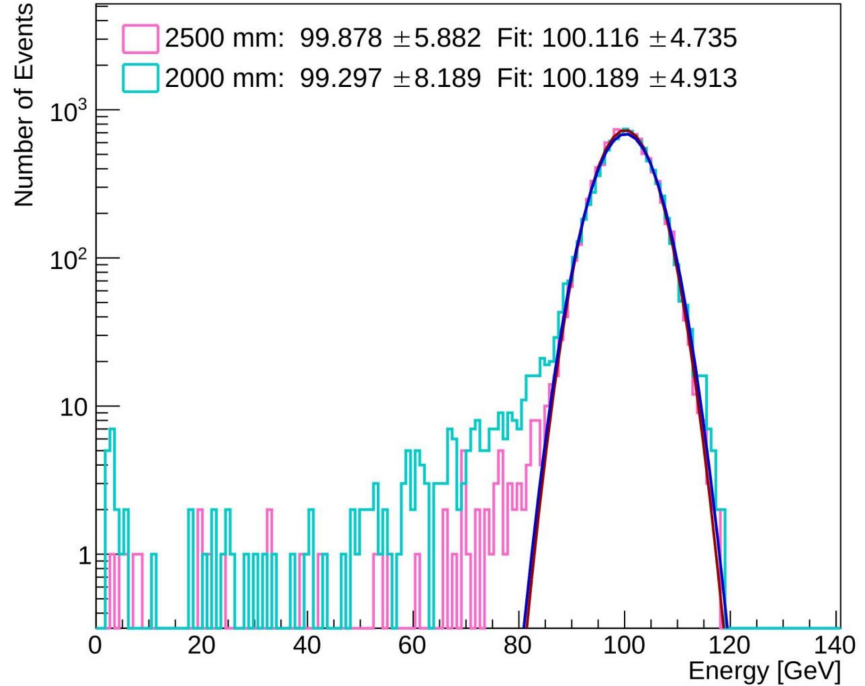
Distribution of leaked energy outside calorimeter in lateral and longitudinal directions (hadron beam)

- Lateral leakage has major impact on energy resolution
- Longitudinal leakage leads to low-reconstructed energy events

Fraction of events with reconstructed energy  $E_{meas} < E_{beam} - 1.5 * \sigma$



Reconstructed Energy

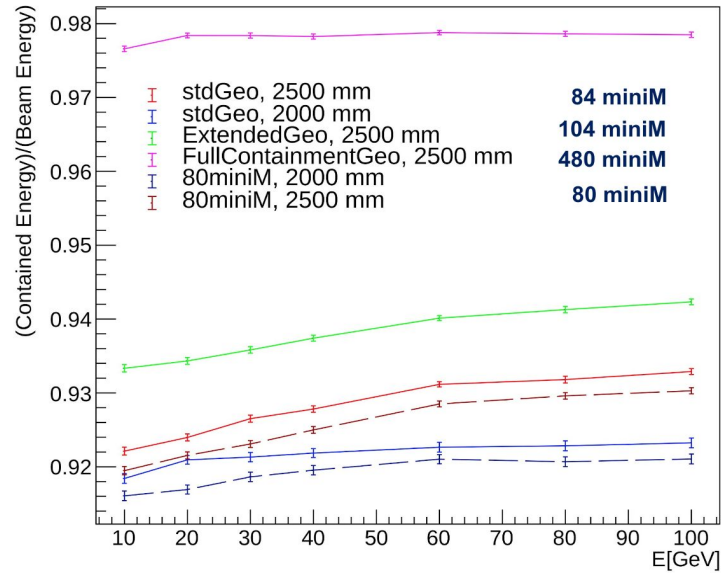




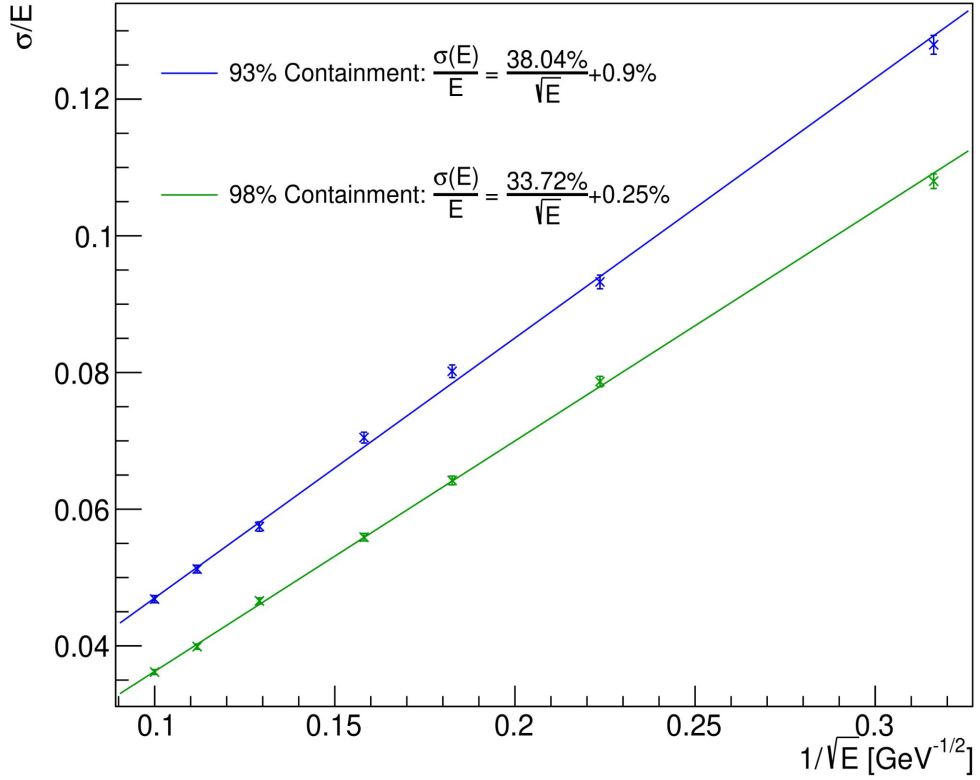
# HiDRa energy resolution

Improvement in resolution by increasing the calorimeter lateral dimension  
(add more modules in simulation)

Pion Containment in [10, 100] GeV Range



Pion resolution in [10, 100] GeV Range

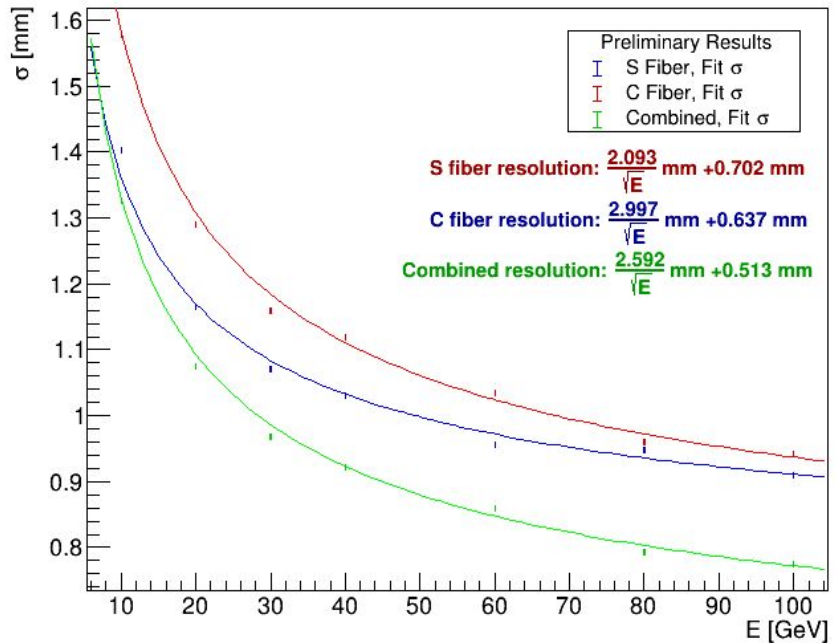


# HiDRa space resolution

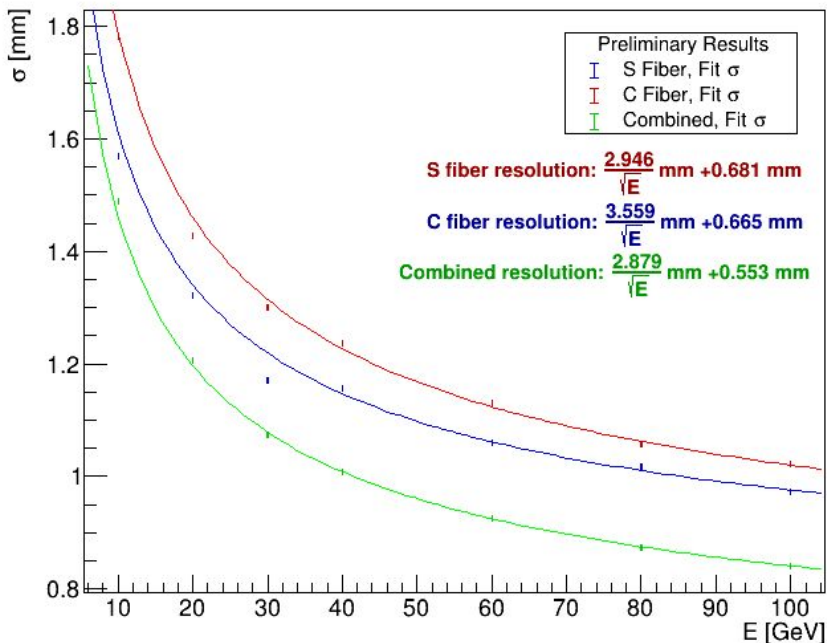
Spatial resolution dependence on energy for electron beams, in the range [10, 100] GeV estimated through center of gravity of shower correlated with beam coordinates  
 Plots obtained with independent SiPM information, study with 8-fiber grouping ongoing



HiDRa Resolution on Y axis



HiDRa Resolution on X axis



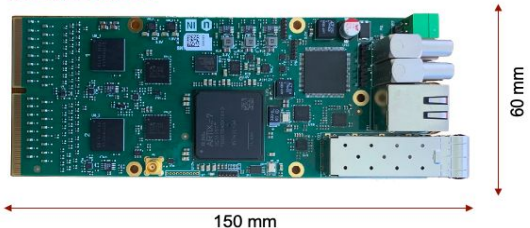
# HiDRa SiPM integration & Readout

Custom designed module with 8 Hamamatsu SiPMs (1x1 mm<sup>2</sup>)  
Two options: 10 and 15 μm pitch (optimize dynamic range/photon detection efficiency for S/C fibers)

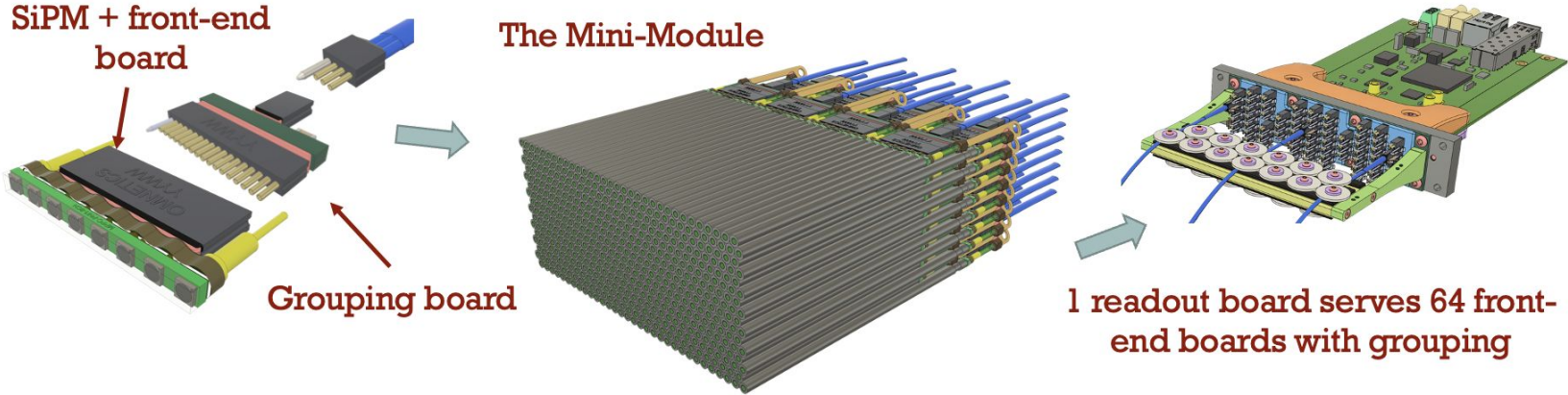
Baseline solution:

- Signals from 8 SiPMs summed up on grouping board
- 2 FERS operate 1 full minimodule
- 20 FERS operate high-granularity core of HiDRa prototype

**FERS: A5202**



- Two Citiroc1A for reading out up to 64 SiPMs
- One (20 – 85V) HV power supply with temperature compensation
- Two 12-bit ADCs to measure the charge in all channels
- Timing measured with 64 TDCs implemented on FPGA (LSB = 500 ps)
- 2 High resolution TDCs (LSB = 50 ps)
- Optical link interface for readout (6.25 Gbit/s)

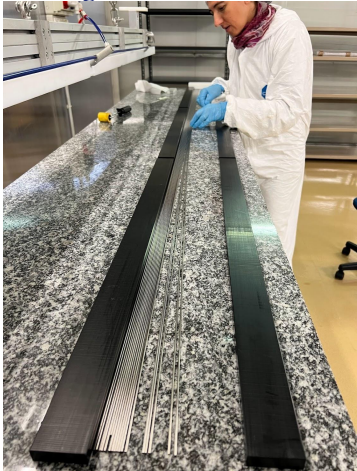




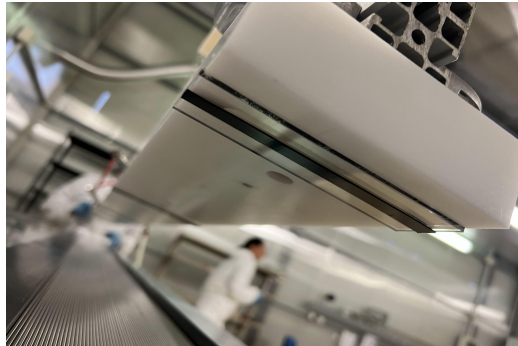
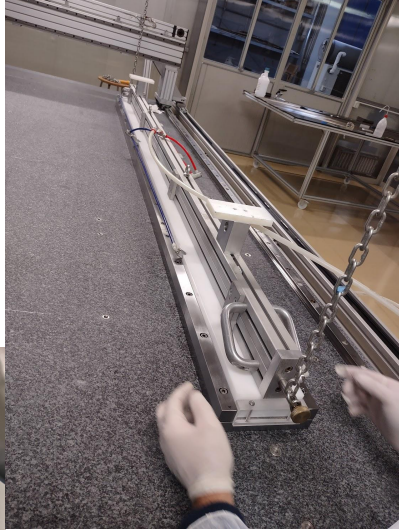
# HiDRa first module construction

Definition of constructing technique and quality assessment on the modules geometry

Tube aligned in a reference tool



Stiffback-like technique for tube handling, glueing and positioning in the assembly tool



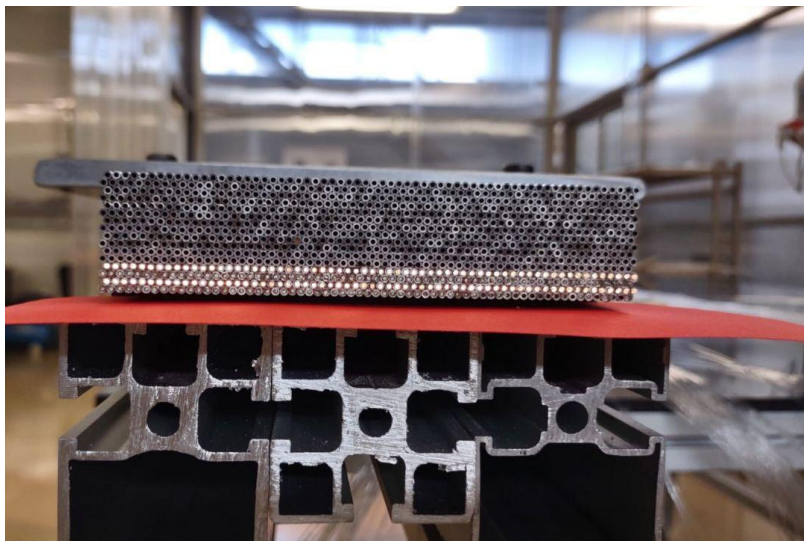
Vacuum + double-sided tape for tube handling



Semi-automatic system for planarity QA/QC

## Conclusions:

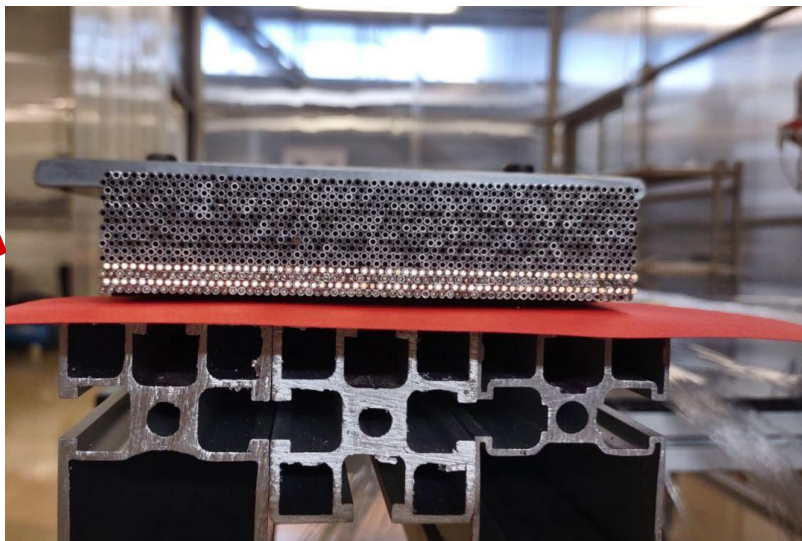
- Dual-Readout is a promising technique for precision studies at future colliders
- Proof of principle demonstrated in 20 years of R&D
- Highly granular prototypes construction and characterization ongoing
- Target: have a fully-understood calorimeter for the IDEA detector at FCC/CepC





## Conclusions:

- Dual-Readout is a promising technique for precision studies at future colliders
- Proof of principle demonstrated in 20 years of R&D
- Highly granular prototypes construction and characterization ongoing
- Target: have a fully-understood calorimeter for the IDEA detector at FCC/CepC

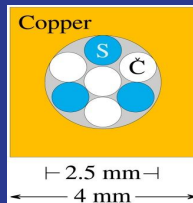


# BACKUP

# Long story short

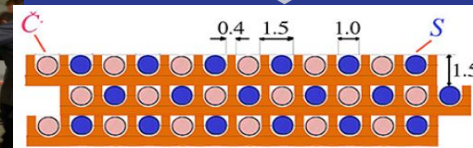
DREAM (2003),  
Texas Tech Uni

Copper, 2m long, 16.2 cm wide  
19 towers, 2 PMT each  
Sampling fraction: 2%



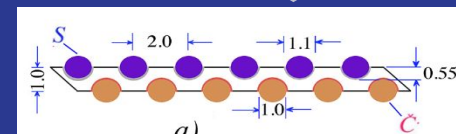
RD52 (2012),  
INFN Pisa

Copper, 2 modules,  
Each module:  $9.3 * 9.3 * 250 \text{ cm}^3$   
Fibers: 1024 S + 1024 C, 8 PMT.  
Sampling fraction: 4.5%,  $10 \lambda_{\text{int}}$



RD52 (2012)  
INFN Pavia

Lead, 9 modules,  
Each module:  $9.3 * 9.3 * 250 \text{ cm}^3$   
Fibers: 1024 S + 1024 C, 8 PMT  
Sampling fraction: 5%,  $10 \lambda_{\text{int}}$

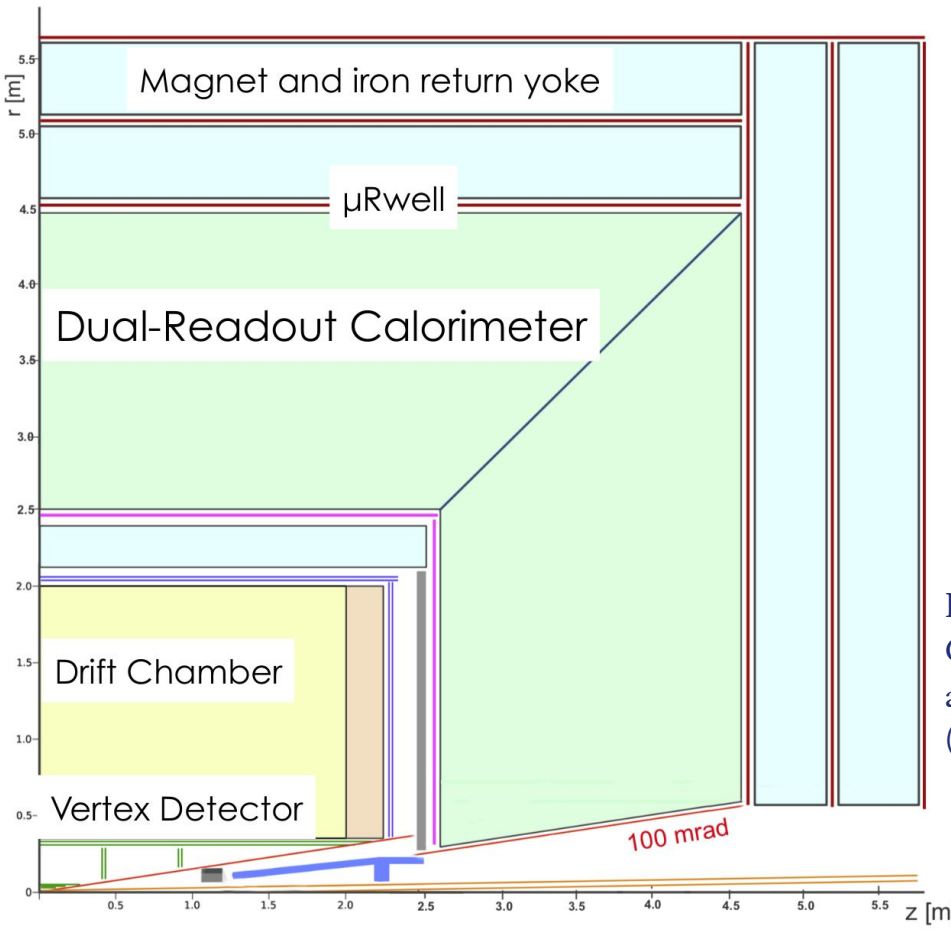


# IDEA Detector

2T magnetic field solenoid located between tracking and calorimeter volumes

Dual-Readout Calorimeter for both EM and hadronic showers  
Also crystal based DR ECAL taken into consideration

Vertex detector based on pixel sensors, targeting few micron resolution



$\mu$ -RWELL MicroPattern Gas Detector stages for muon ID and momentum measurement located before and after the calorimeter

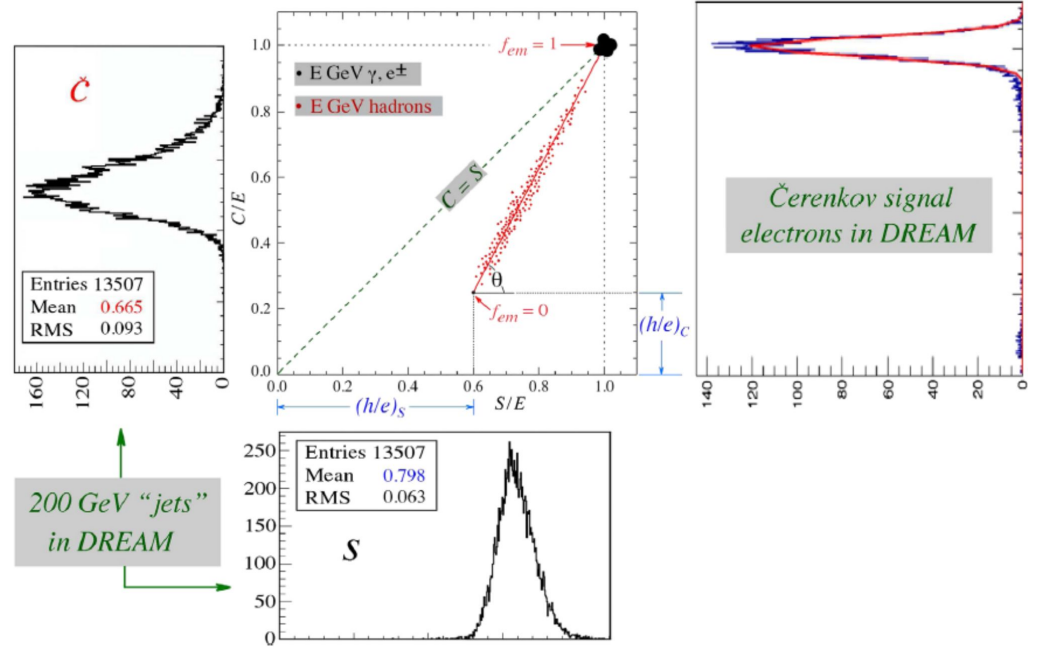
High-transparency Drift Chamber for excellent PID and spatial resolution ( $\sigma < 100 \mu\text{m}$ )

# Dual-Readout Calorimetry

**Before Dual-Readout correction:**  
 Scintillating and Čerenkov signals do not match the correct energy for hadron showers

$$\frac{S}{E} \neq 1, \frac{C}{E} \neq 1$$

Non-linearity of the reconstructed energy due to the dependence of the electromagnetic fraction  $f_{em}$  on energy E





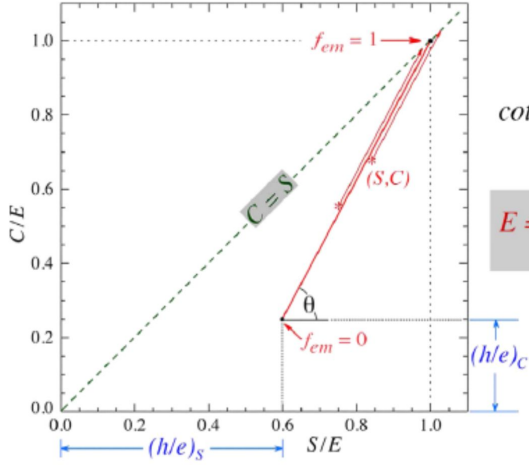
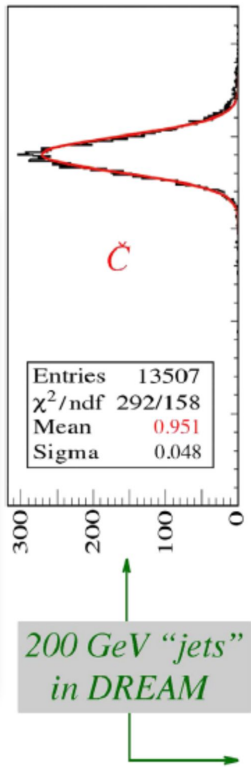
# Dual-Readout Calorimetry

**After Dual-Readout correction:**  
 Estimating the  $f_{em}$  on event basis we can restore the linearity of the calorimeter response

$$\frac{S}{E} \simeq 1, \frac{C}{E} \simeq 1$$

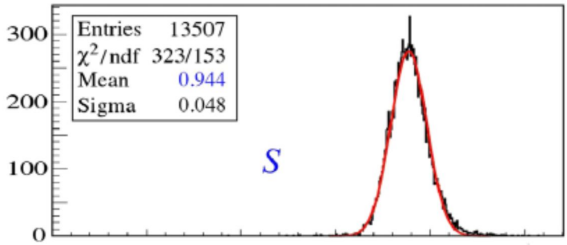
Reconstructed energy closer to the correct one

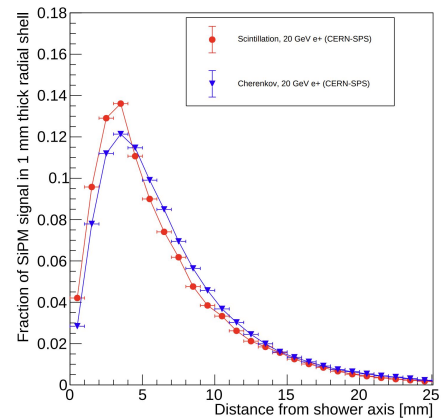
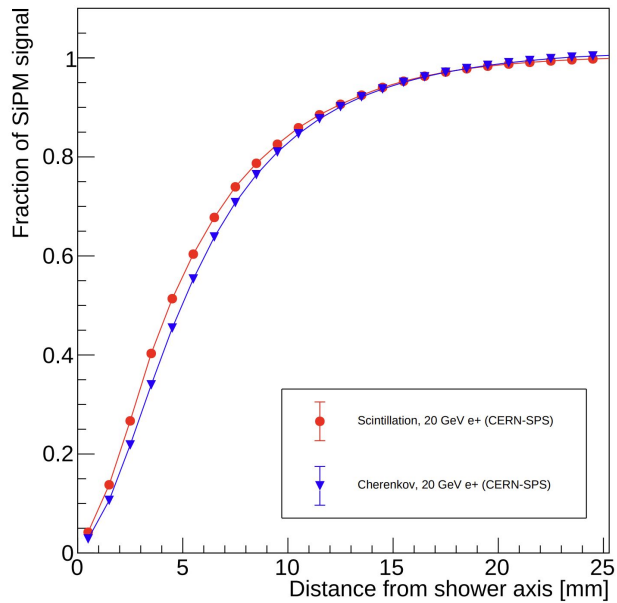
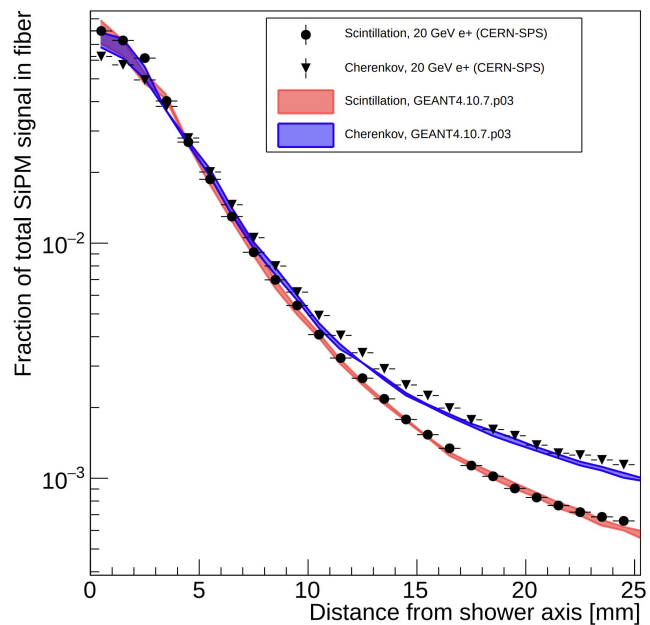
Proof of principle prototypes built and tested within the DREAM/RD52 collaboration



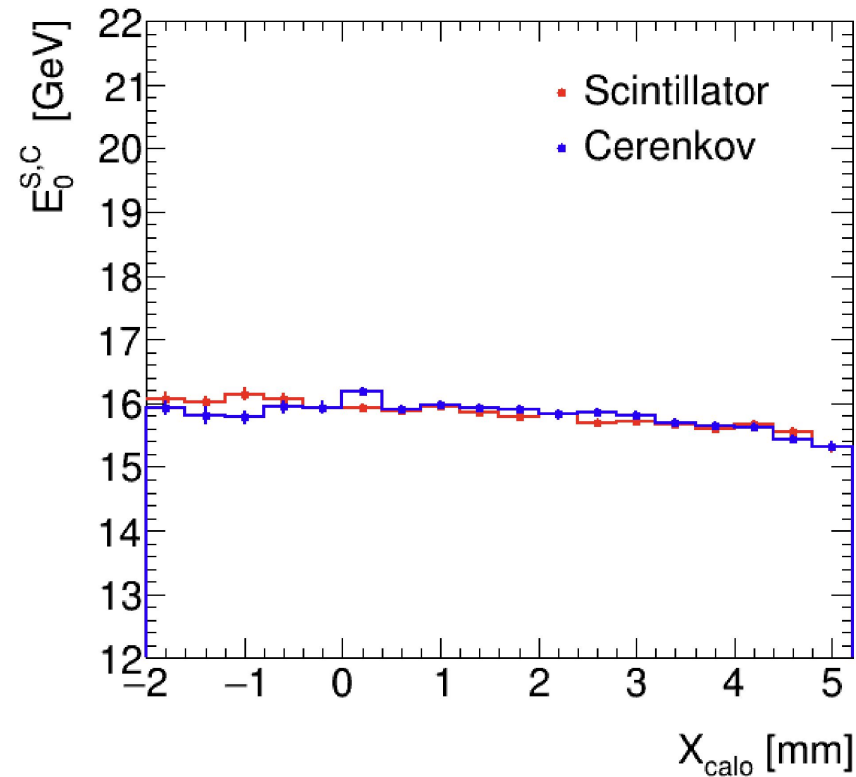
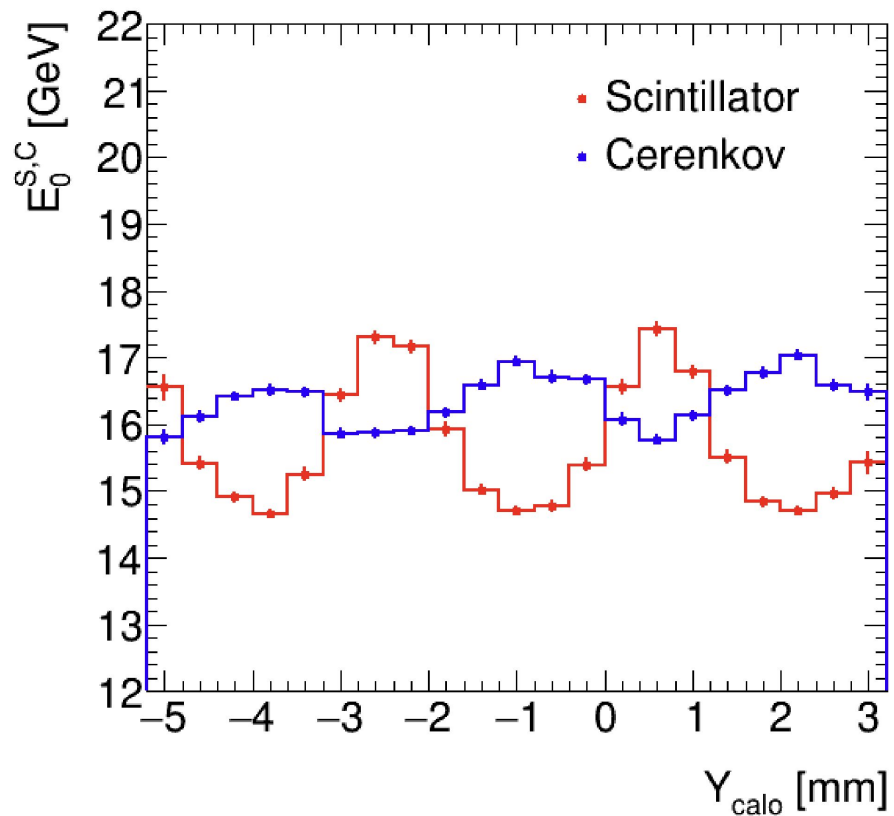
$$\cot \theta = \frac{1 - (h/e)_s}{1 - (h/e)_c} = \chi$$

$$E = \frac{S - \chi C}{1 - \chi}$$



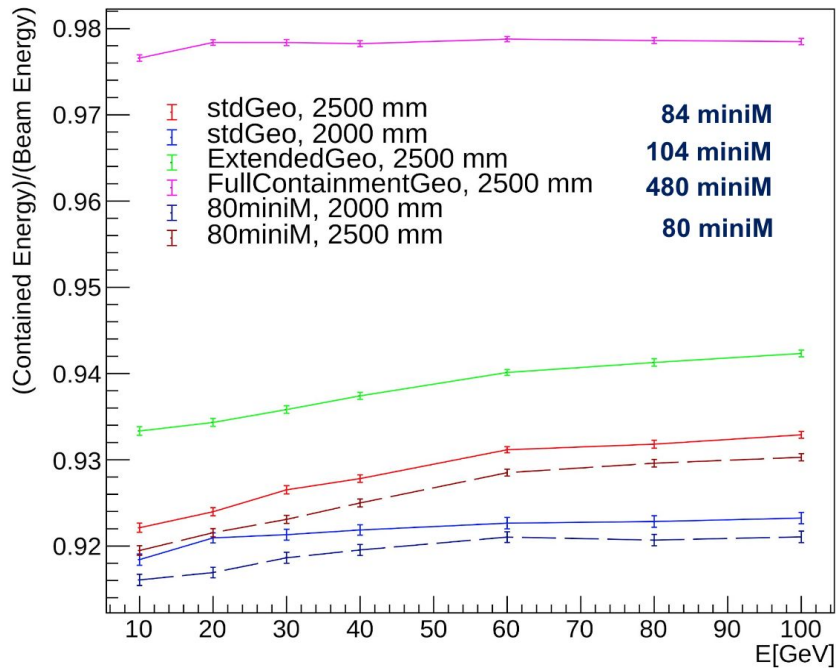


# TB2021

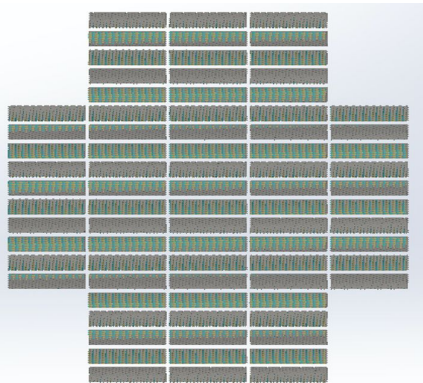


# Containment studies

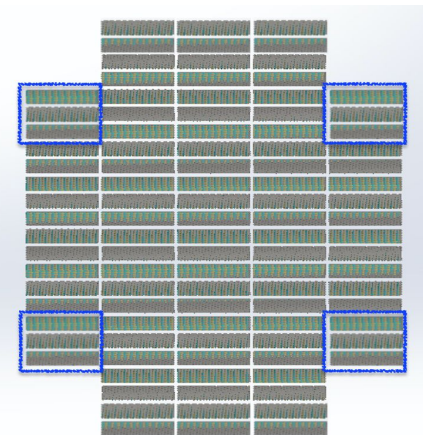
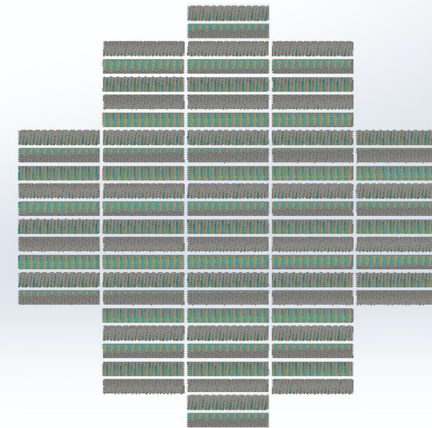
Pion Containment in [10, 100] GeV Range



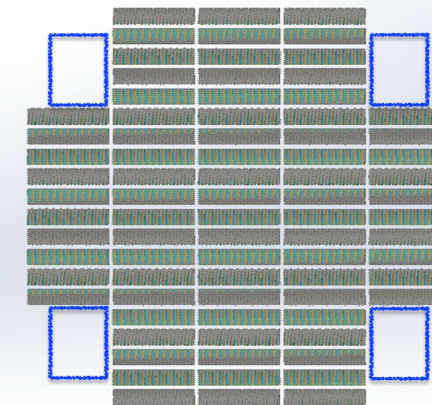
80 miniM



84 miniM

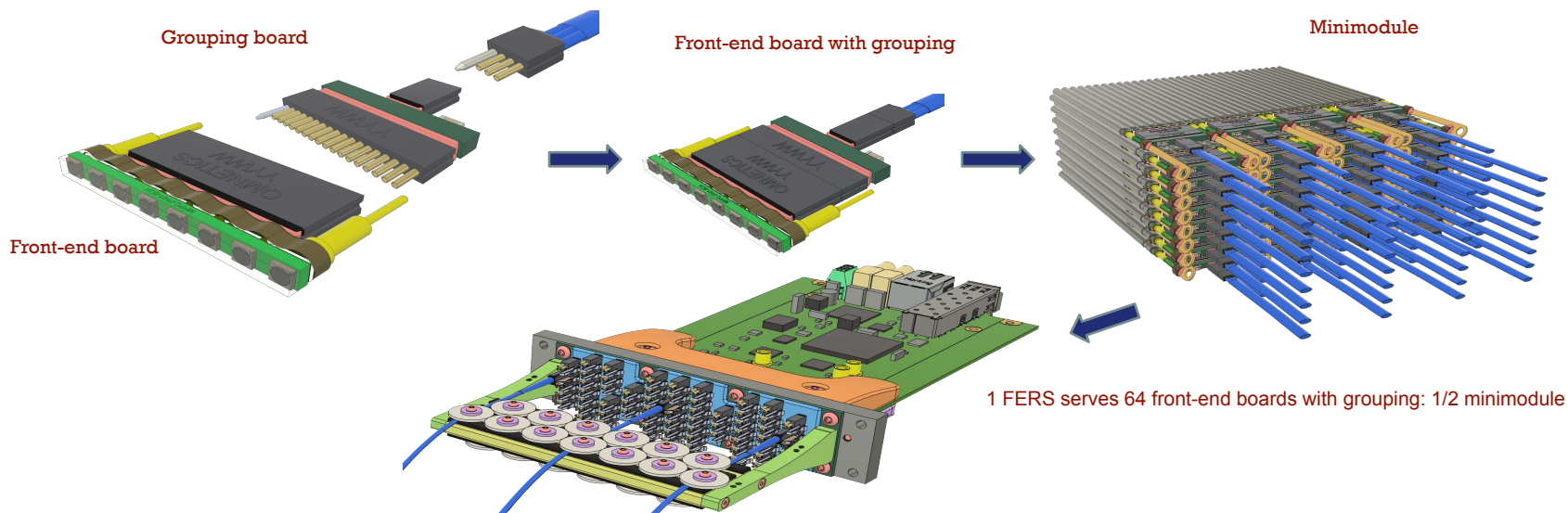


“Extended Geo”: 104 miniM





# FEE-boards and cabling



## Baseline solution

- ❑ Each bar of SiPMs operated at same voltage
- ❑ Signals from 8 SiPMs summed up grouping board
- ❑ 2 FERS operate 1 full minimodule
- ❑ 20 FERS operate high-granularity core of HiDRa prototype

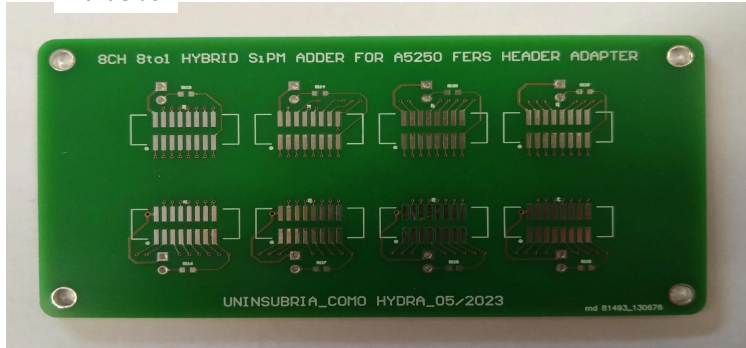
# SiPMs for DR prototypes

**Table 1.** Main figure of the SiPM used for the em-size prototype compared with the SiPMs considered for the had-size prototype. The numbers are extracted from the vendor's specifications and are referred to an operating temperature  $T = 25\text{ }^{\circ}\text{C}$ .

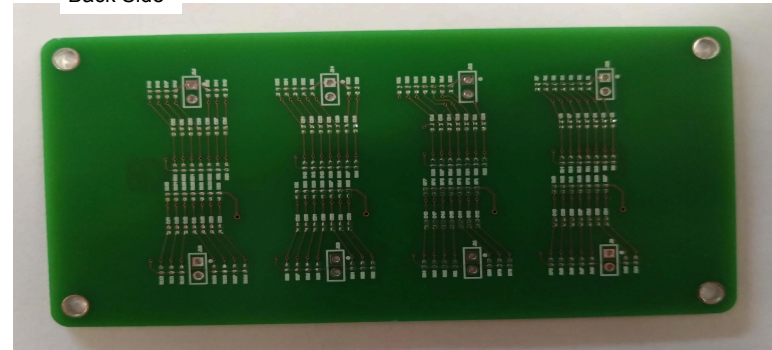
| Parameter                                       | TB2021           | HiDRa, Cerenkov | HiDRa, Scintillator |
|---|------------------|-----------------|---------------------|
|   | S14160-1315PS    | S16676-15(ES1)  | S16676-10(ES1)      |
| Effective photosensitive area ( $\text{mm}^2$ ) | $1.3 \times 1.3$ | $1 \times 1$    | $1 \times 1$        |
| Pixel pitch ( $\mu\text{m}$ )                   | 15               | 15              | 10                  |
| Number of pixels                                | 7284             | 3443            | 7772                |
| Recommended operating voltage (Vop)             | +4 V             | +4 V            | +5 V                |
| PDE at the Vop (%)                              | 32               | 32              | 18                  |
| Direct cross talk at the Vop (%)                | < 1              | < 1             | < 1                 |
| Dark count rate (kHz)                           | 120 (360 max)    | 60 (200 max)    | 60 (200 max)        |
| Gain ( $10^5$ )                                 | 3.6              | 3.6             | 1.8                 |

# Grouping board

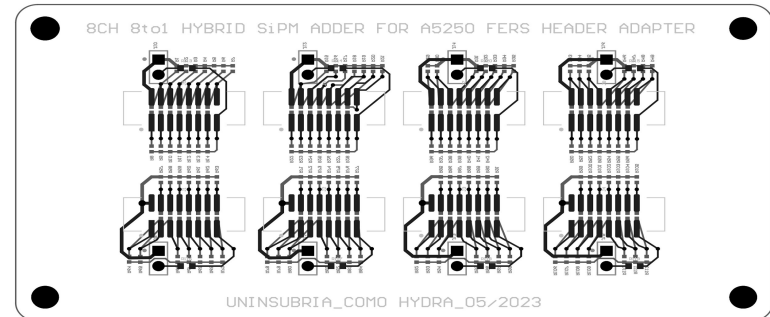
Front Side



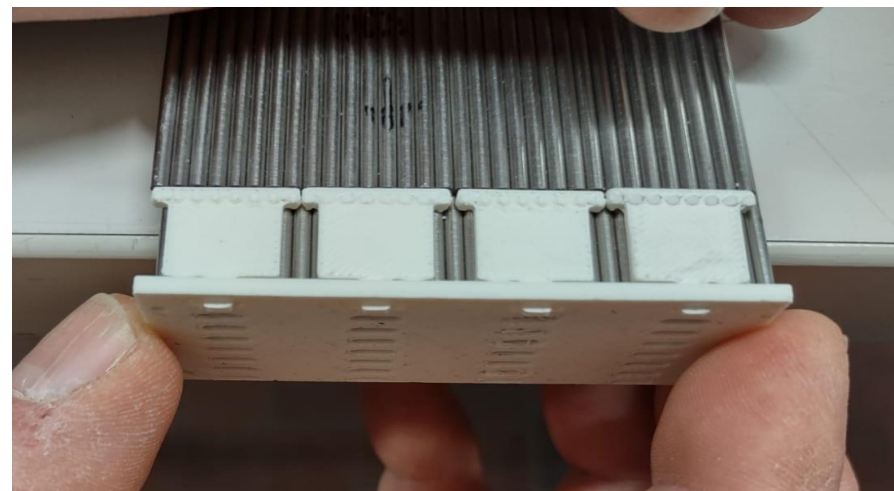
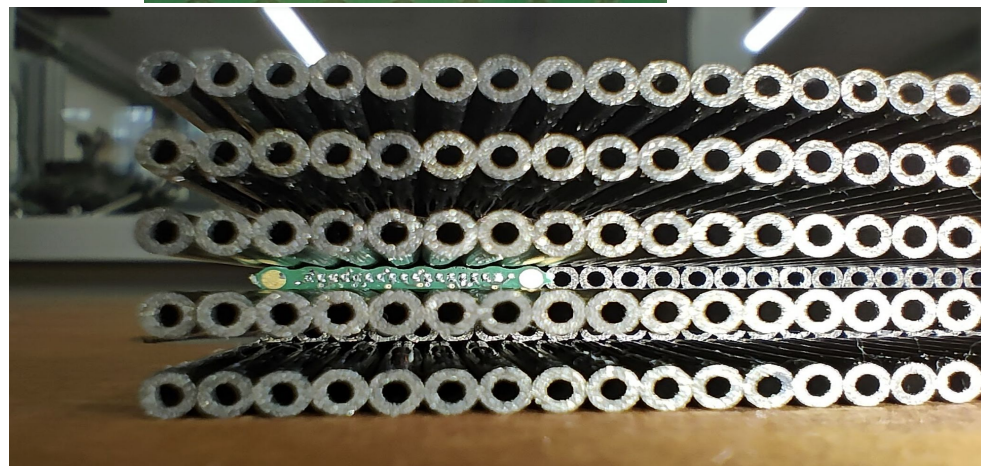
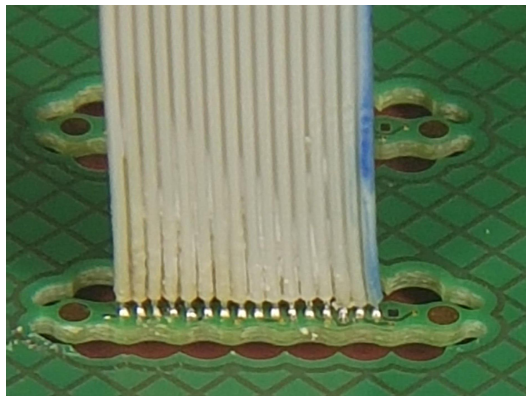
Back Side



- ❑ Grouping board only for test purposes. Once design frozen, it will be fully integrated
- ❑ Supports up to 8 front-end boards and is connected to FERS A5202 with patch-panel A5250
- ❑ Two grouping schema will be tested and compared
- ❑ Passive components will be mounted in lab to have maximum flexibility



# Frontend Board

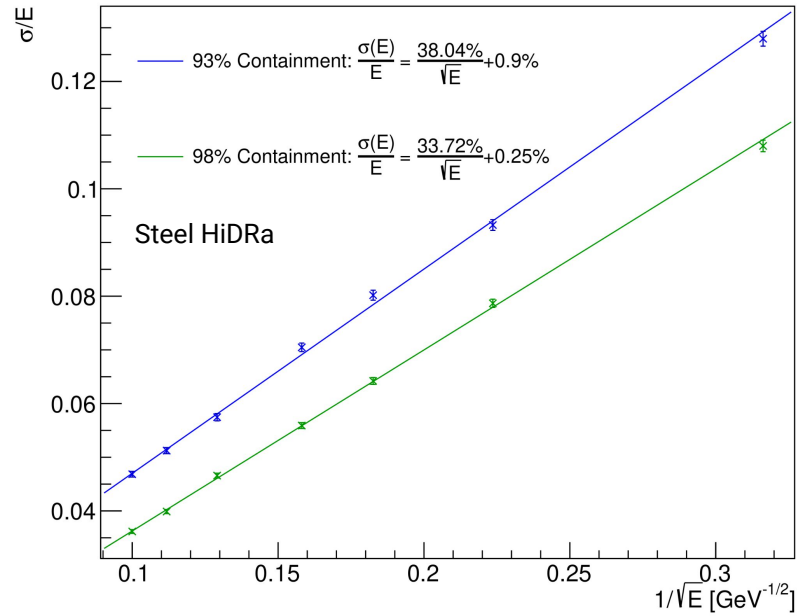




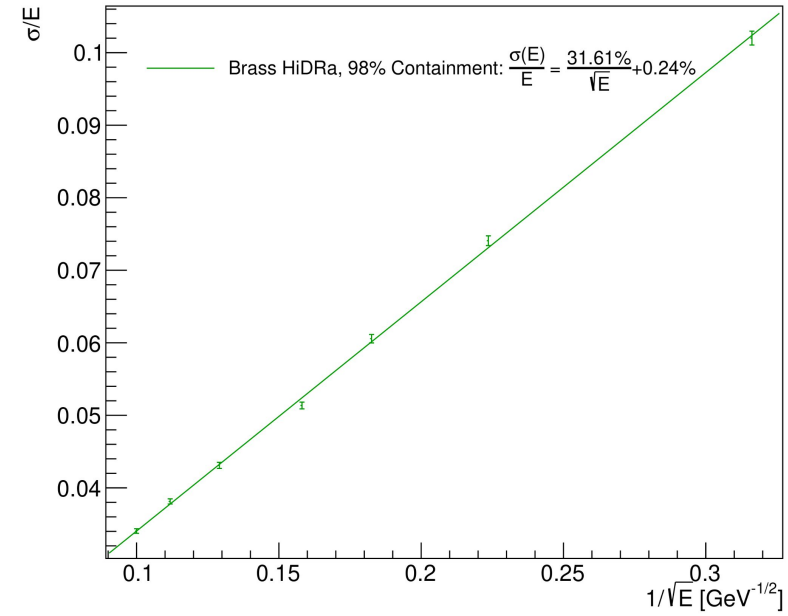
# HiDRa energy resolution

Dependence of the energy resolution for hadrons on the overall containment  
Add mini-modules in the simulation to estimate resolution for larger calorimeters

Pion resolution in [10, 100] GeV Range



Pion resolution in [10, 100] GeV Range

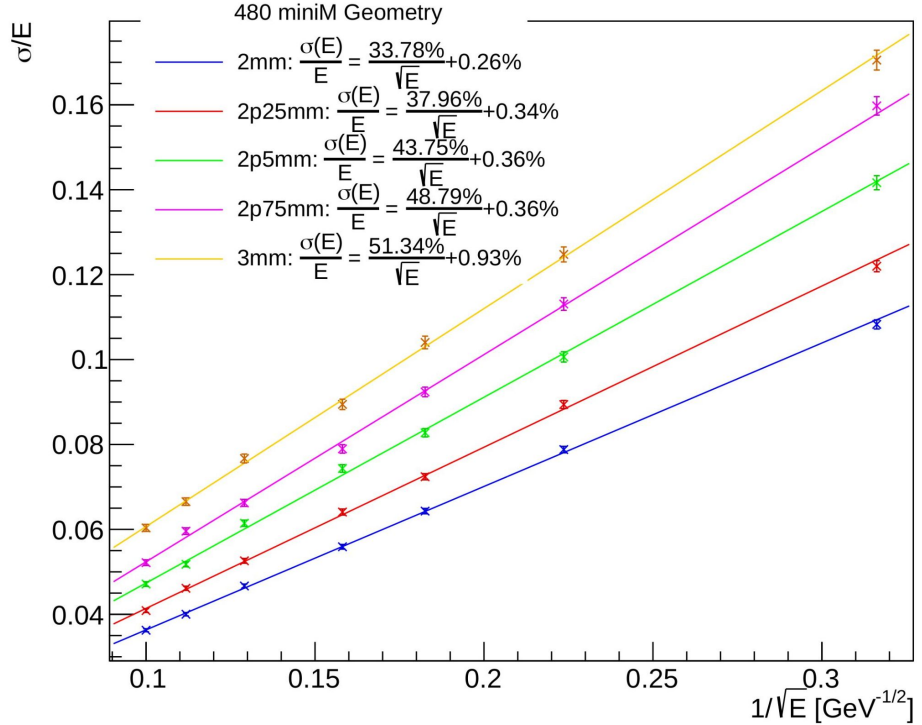


# Resolution Vs Sampling Fraction

See the effect of increasing the capillary absorber outer diameter in the G4 simulation

Using the same geometry (480 mini-modules here) if one increases the outer diameter also the whole prototype containment increases

Pion resolution in [10, 100] GeV Range



# High-Granularity

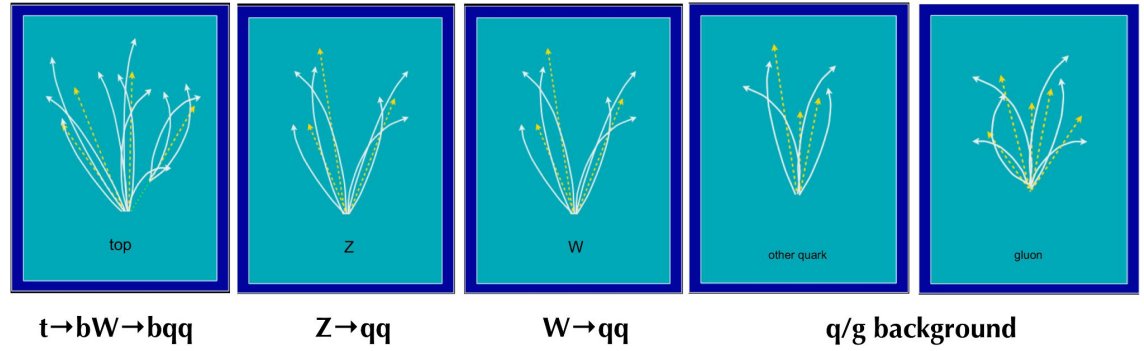
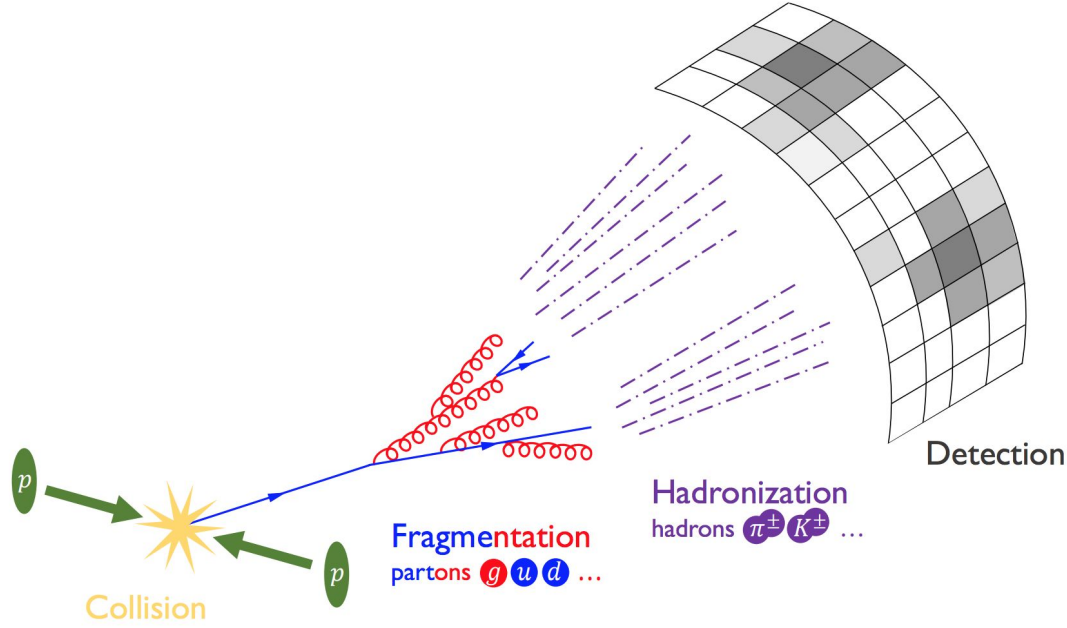
1mm fiber diameter with independent SiPM readout

Exploit  $\sim O(10)$  picosecond SiPM timing information to recover longitudinal segmentation  
 → Particle Flow-friendly calorimeter

Allows 3D event reconstruction and unveiling shower sub-structures

Large amount of data to deal with  
 ~74M channels for IDEA calorimeter

Extensive Deep Learning applications to take advantage of both DR + high granularity



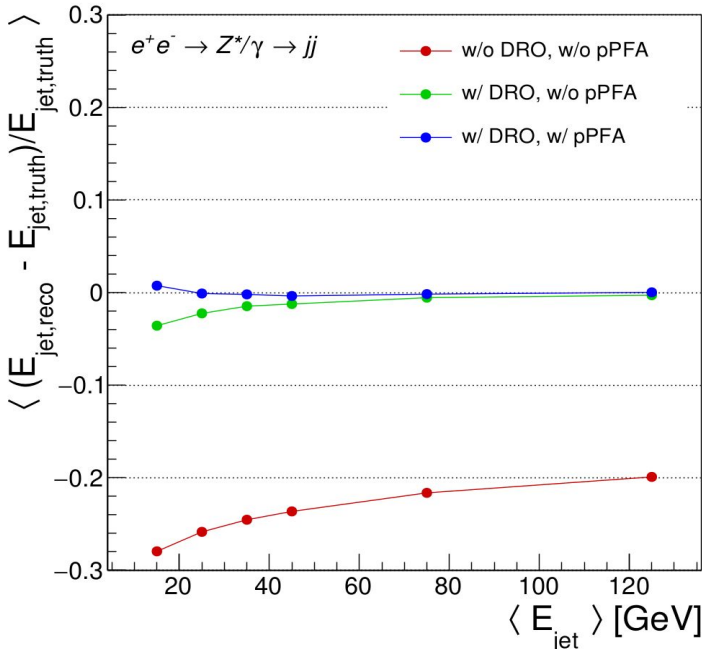
$t \rightarrow bW \rightarrow bqq$        $Z \rightarrow qq$        $W \rightarrow qq$        $q/g$  background

# Future developments on DR

Combined effect of Dual Readout and Particle Flow, taken from <https://arxiv.org/pdf/2202.01474.pdf>

Crystal-based, Dual-Readout ECAL was used here to obtain the plots with Particle Flow

Jet energy scale



Jet energy resolution

