

Building model of processing and identifying engine vibration signal

Phuong X. Nguyen

*School of Electrical and Electronics Engineering
Hanoi University of Science and Technology
Hanoi, Vietnam*

Linh H. Tran

*School of Electrical and Electronics Engineering
Hanoi University of Science and Technology
Hanoi, Vietnam*

September 12th, 2023

Presentation contents

1. Introduction
2. The data sets
3. Fault Classification based on Spectrogram of Vibration Signals and Deep Neural Networks
4. Conclusion

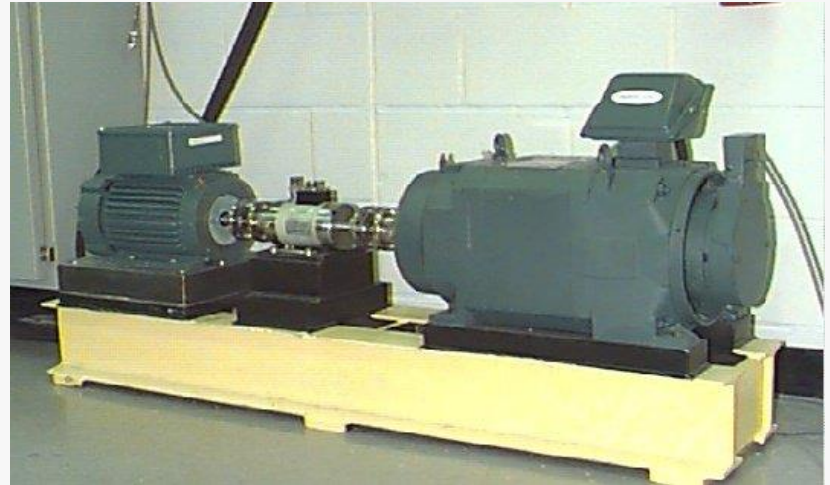
Introduction

- Bearings are one of the most important parts in motors.
- Bearing's and motor's vibrations patterns depend on operation modes, changes in electrical circuits, and/or mechanical installations and conditions.
 - ⇒ Vibration patterns can be used for state classification, but lots of unwanted noises.
- Vibration patterns can be monitored and detected using different measurement solutions.
 - ⇒ Propose to use the Convolution Neural Network to process the data to detect the states of the machines.

The data sets

Case Western Reserve University Bearing Data Center

- 2-HP reliance electric motor, a torque transducer/encoder, a dynamo-meter, and a measurement device using accelerometer.



<https://engineering.case.edu/bearingdatacenter>

The data sets

Fault types: Ball (rolling - B), outer raceway (OR), inner raceway (IR).



Li, S., Liu, G., Tang, X., Lu, J., & Hu, J. (2017). An Ensemble Deep Convolutional Neural Network Model with Improved D-S Evidence Fusion for Bearing Fault Diagnosis. *Sensors*, 17(8), 1729.

The data sets

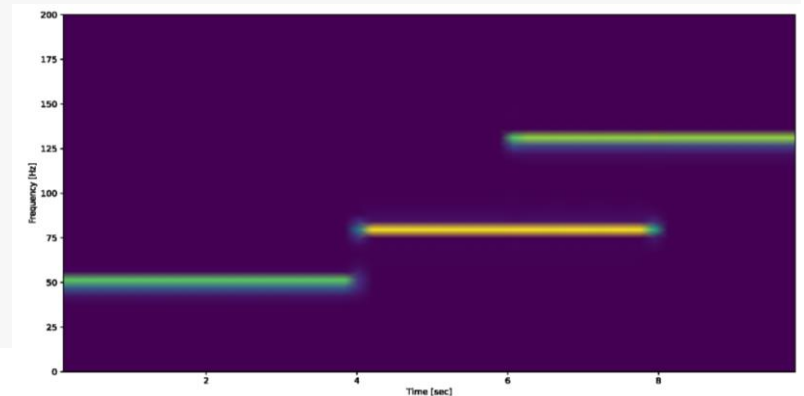
- Data was sampled at 12 kHz, ~10s each record.
- A total of 49 records for 4 types: normal and 3 listed faults.
- Each record was split into 12 segments to form the samples, making 5853 data samples in total.
- 4100 samples (~70%) of the samples were randomly selected for training process.
- 1753 samples (~30%) of the rest are used for testing purpose.

Fault Classification based on Spectrogram of Vibration Signals and Deep Neural Networks

A. The Spectrogram of a time series signal

- Short-Time Fourier Transform (STFT) creates the time-frequency characteristics of a time series
- Spectrogram as an "image-like" can be recognized by image recognition tools.

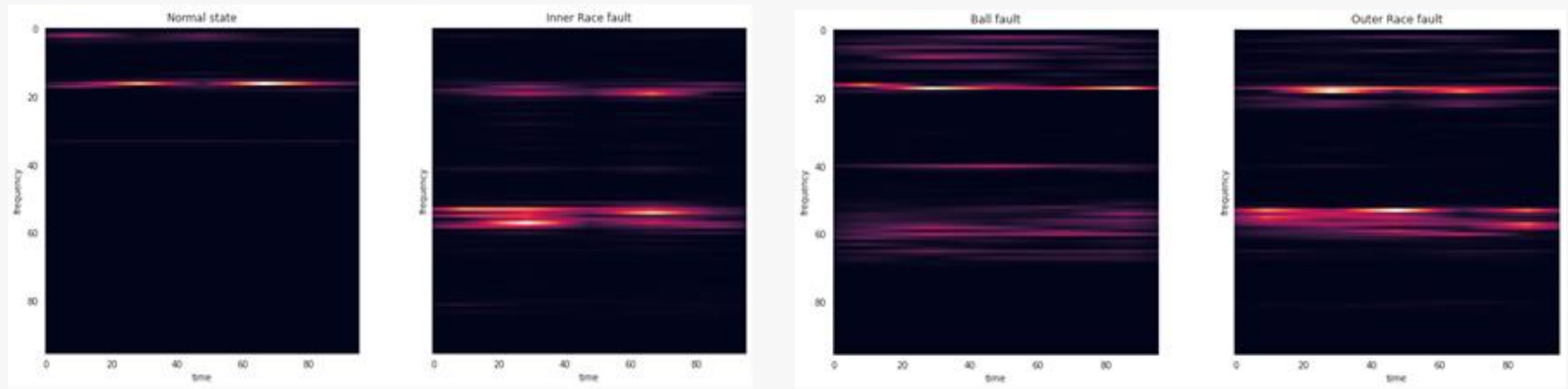
Example: 10s of a time series being a superposition of 3 components: 50Hz in [0s, 4s], 80Hz in [4s, 8s] and 130Hz in [6s, 10s]:



Fault Classification based on Spectrogram of Vibration Signals and Deep Neural Networks

A. The Spectrogram of a time series signal

Example of STFT of different types of vibration signals (*using Tukey window with $r=0.25$, data sampling frequency 12 kHz*)



Normal state

Inner race fault

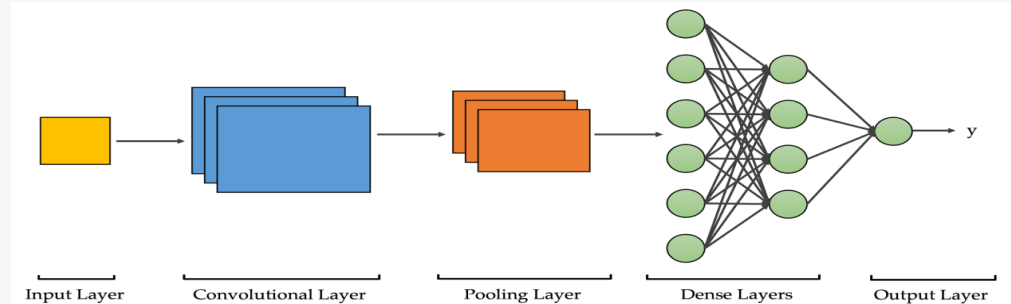
Ball Fault

Outer race fault

Fault Classification based on Spectrogram of Vibration Signals and Deep Neural Networks

B. Convolutional Neural Network (CNN)

- Very popular deep learning methods.
- Successfully used in many image recognition solutions.
- The CNN structure consists of three main types of layers:
 - Convolution layer.
 - Pooling layer.
 - Fully Connected layer.



Fault Classification based on Spectrogram of Vibration Signals and Deep Neural Networks

B. Convolutional Neural Network (CNN)

The proposed CNN architecture:

Input[96 × 96] → Conv[32 × 3 × 3] → Conv[32 × 3 × 3]
→ MaxPool[32 × 2 × 2] → Conv[64 × 3 × 3]
→ Conv[64 × 3 × 3] → MaxPool[64 × 2 × 2]
→ Conv[128 × 3 × 3] → Conv[128 × 3 × 3]
→ MaxPool[128 × 2 × 2] → FC[100 × 1]
→ FC[100 × 1]

Fault Classification based on Spectrogram of Vibration Signals and Deep Neural Networks

C. Testing Results of the proposed CNN

N	1013	260	0	0	0	0	0	0	0	0
B 0.007 inch	62	153	40	3	0	0	6	41	58	0
B 0.014 inch	0	4	310	19	0	5	5	0	14	8
B 0.021 inch	4	4	10	232	2	0	66	19	25	1
IR 0.007 inch	5	5	2	4	184	0	1	93	70	0
IR 0.014 inch	0	0	138	0	0	212	0	0	0	13
IR 0.021 inch	0	3	8	35	8	0	146	53	109	1
OR 0.007 inch	0	107	2	74	28	0	21	465	269	126
OR 0.014 inch	0	1	7	3	1	0	0	19	423	0
OR 0.021 inch	0	0	140	33	10	33	20	60	0	66
N		B 0.007 inch	B 0.014 inch	B 0.021 inch	IR 0.007 inch	IR 0.014 inch	IR 0.021 inch	OR 0.007 inch	OR 0.014 inch	OR 0.021 inch

Overall accuracy: 85.8%

Fault Classification based on Spectrogram of Vibration Signals and Deep Neural Networks

D. Conclusions

- The proposed architecture exhibits an expected good quality result at 85.8% of accuracy.
- The model can be extended to train with different features extracted from the vibration signals and modified loss functions to achieve better accuracy.
- This model can be combined with other classification models to improve the reliability.

Fault Classification based on Spectrogram of Vibration Signals and Deep Neural Networks

D. Conclusions

Future developments:

- Hardware implementation of a measurement device with integrated classification models (in testing mode).
- Research on solution for simultaneous vibration signals classification (for machines in a production line)
- Research on predictive maintenance solution based on vibration signals.

References

- [1] Case Western Reserve University Bearing Data Center Website, Apr. 2022,
[online] Available: <https://engineering.case.edu/bearingdatacenter>.
- [2] Venkatesan, Ragav; Li, Baoxin (2017). Convolutional Neural Networks in Visual Computing: A Concise Guide. CRC Press. ISBN 978-1-351- 65032-8.
- [3] David Verstraete et al. (2017). Deep Learning Enabled Fault Diagnosis Using Time-Frequency Image Analysis of Rolling Element Bearings. Shock and Vibration. vol. 2017, Article ID 5067651.
- [4] Kehtarnavaz, N. (2008). Frequency Domain Processing. Digital Signal Processing System Design, 175–196. doi:10.1016/b978-0-12-374490- 6.00007-6.
- [5] Bloomfield, P. (2000). Fourier Analysis of Time Series: An Introduction. NY, Wiley.
- [6] Hua Su, Kil To Chong, R. Ravi Kumar (2011). Vibration signal analysis for electrical fault detection of induction machine using neural networks. Neural Computing and Applications. vol. 20, pp. 183–194.
- [7] Maruthi G. S., Vishwanath Hegde (2016). Application of MEMS Accelerometer for Detection and Diagnosis of Multiple Faults in the Roller Element Bearings of Three Phase Induction Motor. IEEE Sensors Journal, vol. 16, no. 1, pp. 145 – 152.

Thank you
for your attention!

