

# Bounds on the Species Scale

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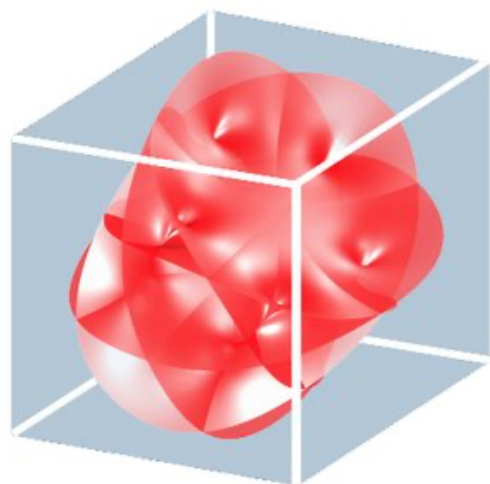
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based on:

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**HARVARD UNIVERSITY**  
**CENTER OF MATHEMATICAL**  
**SCIENCES AND APPLICATIONS**



String Phone 2023  
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# Introduction

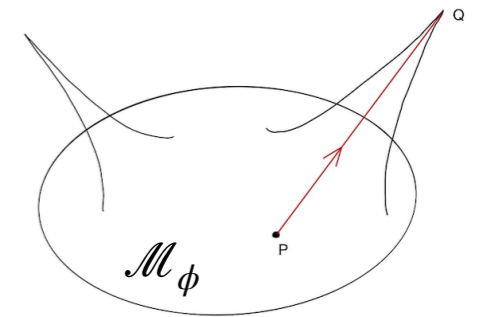
Common feature of many theories of Quantum Gravity:

1. **light scalar fields**  $\phi^i$  determining couplings, masses, etc.
2. **towers of massive states** beyond EFT.

**Distance Conjecture** [Ooguri, Vafa '06]

Along paths in scalar field space traversing distances  $d \gg l_p$   
an infinite **tower of states** becomes **light in Planck units** as

$$\frac{M(Q)}{M_{pl}} \sim e^{-Ad(P,Q)}$$



Tested in string theory in great detail: Cf. reviews [Palti '19, van Beest, Calderon-Infante, Mirfendereski, Valenzuela '21; Agmon, Bedroya, Kang, Vafa '22]

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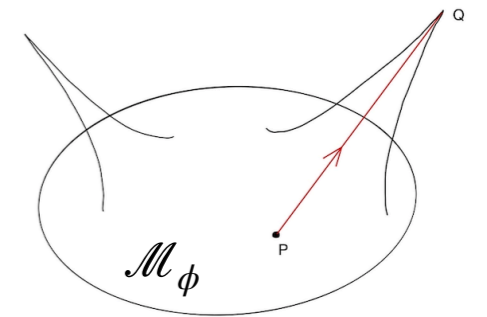
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(For more on infinite distance regions, see Timo and Ben's talk)

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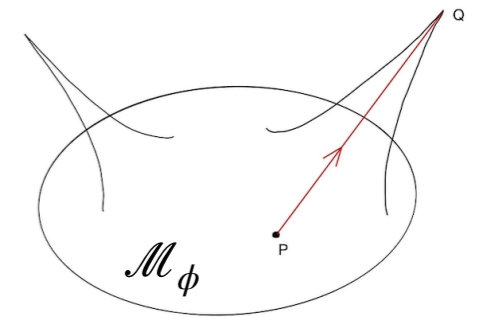
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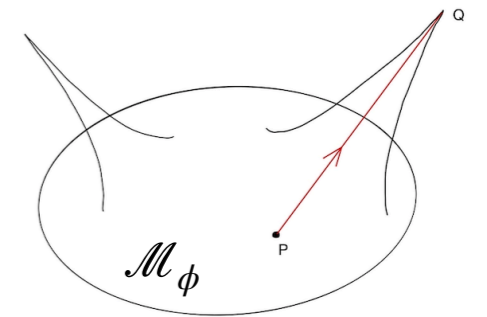
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(For more on infinite distance regions, see Timo and Ben's talk)

**Problem:** Would need to know the exact spectrum of the theory at **any point in field space**.

**Way out:** Instead of exact spectrum of states study the **variation** of the **Quantum Gravity cut-off  $\Lambda$**   
**over field space  $\mathcal{M}_\phi \rightarrow$  Species Scale!**

## What is the species scale?

(See talks by David Andriot, Alvaro Herrera, Alberto Castellano, Niccolò Cribiori, Dieter Lüst, Marco Scalisi, Irene Valenzuela, ...)

- In the presence of  $N$  effective light degrees of freedom:  
entropy of any black hole needs to satisfy:  $S > N$

- Compare to Schwarzschild black hole  $S_{\text{Schwarzschild}} = \left(r_H M_{\text{pl}}\right)^{d-2}$   
→ radius of minimal black hole describable within EFT

$$r_{\text{H,min}} M_{\text{pl}} \sim N^{1/(d-2)}$$

- Interpretation: Higher derivative corrections break down for curvatures  $R \sim \mathcal{O}(r_{\text{H,min}}^{-2})$

$$S = \int d^d x \sqrt{-g} \left[ \frac{M_{\text{pl}}^{d-2}}{2} \left( R + \sum_n \frac{\mathcal{O}_n(R)}{\Lambda_s^{n-2}} + \dots \right) \right].$$

$$\Lambda_s = \frac{M_{\text{pl}}}{N^{\frac{1}{d-2}}}$$

- Distance Conjecture:  $N$  varies over field space  $\Rightarrow \Lambda_s = \Lambda_s(\phi)$

- Questions:
1. From a pure EFT perspective: Can we give a bound on the variation of  $\Lambda_s(\phi)$ ?
  2. Can we give a closed expression for  $\Lambda_s(\phi)$ ?
  3. Can we use explicit form for  $\Lambda_s(\phi)$  to bound potentials?

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**Answers:**

1. Consistency of the perturbative expansion of a theory of Einstein gravity + higher derivative corrections requires:  $\frac{|\nabla \Lambda_s|}{\Lambda_s} \lesssim \mathcal{O}(1)$

2. In theories with Type II compactifications the moduli dependence of  $\Lambda_s$  is captured by the topological genus-one free energy  $F_1$ .

3. Slowly varying positive potentials bounded by  $V(\phi) < A \exp\left(\frac{2}{\sqrt{(d-1)(d-2)}} \Delta\phi\right)$

Can be fixed in explicit examples

→ can bound the maximal field range — including interior parts of field space)

cf. [Scalisi, Valenzuela '19]

(See Marco's talk on Monday)



First: Bound on the slope of the species scale as a function of moduli.

- Consider general Einstein theory of gravity + scalar field:

$$S = \int d^d x \sqrt{-g} \left[ \frac{M_{\text{pl}}^{d-2}}{2} R - \frac{1}{2} (\partial\phi)^2 + \dots \right].$$

- Step 1: Integrate out heavy states  $\rightarrow$  generate higher-derivative terms suppressed by  $\Lambda_s$ .

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- Step 2: Integrate out small-distance modes of  $\phi$  above  $\Lambda_s$ .
- Consistency requirement: Integrating out small-distance modes of a single field  $\phi$  should not considerably change the perturbative expansion!

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- Get effective interaction through operator:

$$\frac{\mathcal{O}_n(R)}{\Lambda_s(\phi)^{n-2}} \rightarrow \frac{\mathcal{O}_n(R)}{\Lambda_s(\phi_0)^{n-2}} - \frac{(n-2)\Lambda'_s(\phi_0)}{\Lambda_s(\phi_0)^{n-1}} \delta\phi \mathcal{O}_n(R)$$

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- Generate effective point-interaction:

$$S \supset M_{\text{pl}}^{d-2} \int d^d x \sqrt{-g} M_{\text{pl}}^{d-2} \frac{(\Lambda'_s(\phi_0))^2}{\Lambda_s(\phi_0)^{n+m}} \tilde{\mathcal{O}}_{m+n}(R),$$



- Consistency of effective higher-derivative expansion leads:

$$\left| \frac{\Lambda'_s(\phi_0)}{\Lambda_s(\phi_0)} \right|^2 \leq \frac{c}{M_{\text{pl}}^{(d-2)}},$$

# Species Scale and EFT Consistency

[van de Heisteeg, Vafa, MW '23]

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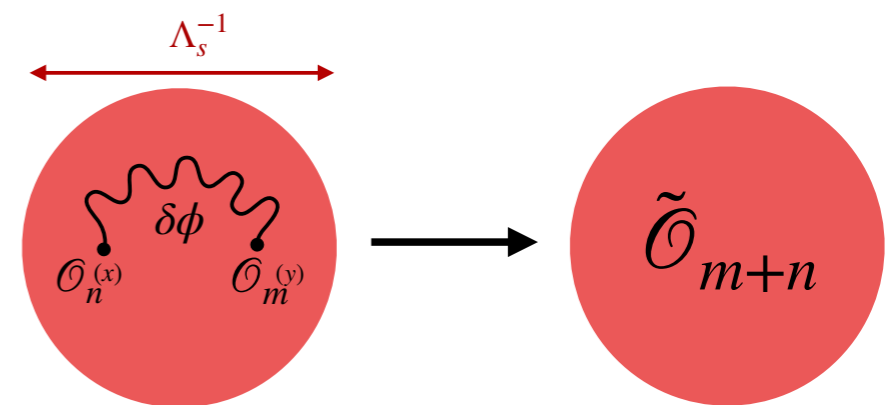
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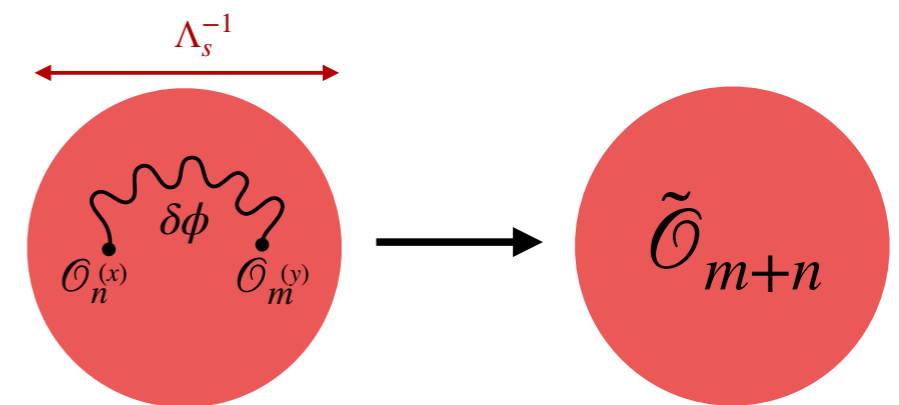
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- Asymptotic regions in field space seem to saturate the bound.
- Since  $\Lambda_s \sim m_{\text{tower}}^\gamma$ : **masses of towers predicted by distance conjecture should not decay faster than exponential!!**

Similar results in [Calderon-Infante, Castellano, Herraez, Ibanez '23]

→ see also Alberto and Alvaro's talks

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- According to Emergent string conjecture can have: [Lee, Lerche, Weigand '19]

Emergent string limits in  $d$  dimensions:

$$\frac{|\Lambda'_s|}{\Lambda_s} \rightarrow \frac{1}{\sqrt{d-2}}$$

Decompactification limits from  $d \rightarrow D$  dimensions:

$$\frac{|\Lambda'_s|}{\Lambda_s} \rightarrow \sqrt{\frac{D-d}{(d-2)(D-2)}}$$

cf. also [Etheredge, Heidenreich, Kaya, Qiu, Rudelius'22]

# Asymptotic Behavior

[van de Heisteeg, Vafa, MW '23]

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$\rightarrow$  **Universal bound?**

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cf. also [Etheredge, Heidenreich, Kaya, Qiu, Rudelius'22]

$$c \stackrel{?}{=} \frac{1}{\sqrt{d-2}}$$

$\rightarrow$  need to have an explicit expression for  $\Lambda_s$

# Explicit Expression for $\Lambda_s$ ?

[van de Heisteeg, Vafa, MW '23]

Question: Can we determine the constant  $c$ ?

→ need to have an explicit expression for  $\Lambda_s$

Consider again effective action:

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In  $d = 4$  focus on term: 
$$S = \int d^d x \sqrt{-g} \frac{M_{\text{pl}}^2}{\Lambda_s^2} R^2.$$

How can we calculate this term? → can compute it, e.g., in string perturbation theory but what about corrections?

→ cannot be trusted in at strong coupling!

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$$S = \int d^d x \sqrt{-g} \frac{M_{\text{pl}}^2}{\Lambda_s^2} R^2.$$

How can we calculate this term? → can compute it, e.g., in string perturbation theory but what about corrections?

→ cannot be trusted in at strong coupling!

However ... in Type II string compactifications there exists an  $R^2$  correction that can be computed in perturbation theory and that does not get corrected!

# Moduli dependent species scale

[van de Heisteeg, Vafa, MW, Wu '23]

Consider topological string with target space CY threefold:

- Effective action contains term:  $S \supset \int F_1 (R^-)^2$   
Topological genus-1 free energy
- Worldsheet theory described by CFT with  $\mathcal{N} = (2,2)$  supersymmetry in two dimensions.
- For such a CFT can define moduli-dependent index

[Cecotti, Vafa '92, Bershadsky, Cecotti, Ooguri, Vafa '93]

$$F_1 = \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{\tau_2} \text{Tr} \left[ (-1)^F F_L F_R q^{H_0} \bar{q}^{\bar{H}_0} \right]$$

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- Satisfied holomorphic anomaly equation:

$$\partial_{\bar{j}} \partial_i F_1 = \text{Tr}(-1)^F C_i \bar{C}_{\bar{j}} - \frac{1}{12} G_{i\bar{j}} \text{Tr}(-1)^F, \quad [\text{Cecotti, Vafa '92}]$$

Chiral ring structure constants

Zamolodchikov metric on field space

- Holomorphic anomaly equation can be integrated to

$$F_1 = \frac{1}{2} \left( 3 + h^{1,1} - \frac{\chi}{12} \right) K - \frac{1}{2} \log \det G_{i\bar{j}} + \log |f|^2,$$

Euler characteristic of mirror  $Y_3$

Kähler potential

Holomorphic ambiguity

- Holomorphic ambiguity can be fixed by matching the behavior of  $F_1$  at boundary of moduli space.

# $F_1$ and the number of species

[van de Heisteeg, Vafa, MW, Wu '22]

**Question:** Why can  $F_1$  be used to estimate the number of light species?

A first look:

- $N_{\text{sp}}$  measures the accumulation of light modes in the spectrum of the theory.
- Spectrum of Laplacian  $\Delta$  gives a measure for number of light states.  
→ growth of  $|\log(\det \Delta)|$  would measure accumulation of light states.
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→ growth of  $|\log(\det \Delta)|$  would measure accumulation of light states.
- Problem: spectrum of  $\Delta$  difficult to compute!
- However: exists combination of Laplacian  $\Delta_{p,q}$  acting on  $(p, q)$ -forms that is computable since it is an index-like quantity.

$$\frac{1}{2} \sum_{p,q} (-1)^{p+q} \binom{p - \frac{3}{2}}{} \binom{q - \frac{3}{2}}{} \log(\det \Delta_{(p,q)})$$

[Bershadsky, Cecotti, Ooguri, Vafa '93]

- This combination can be identified with  $F_1$ !

- General expectation:

$$\frac{\Lambda_s}{M_{\text{pl}}} \sim F_1^{-1/2} \quad \rightarrow \text{reproduces right behavior in asymptotic regions}$$

black hole perspective in [Cribiori, Lust, Staudt '22]

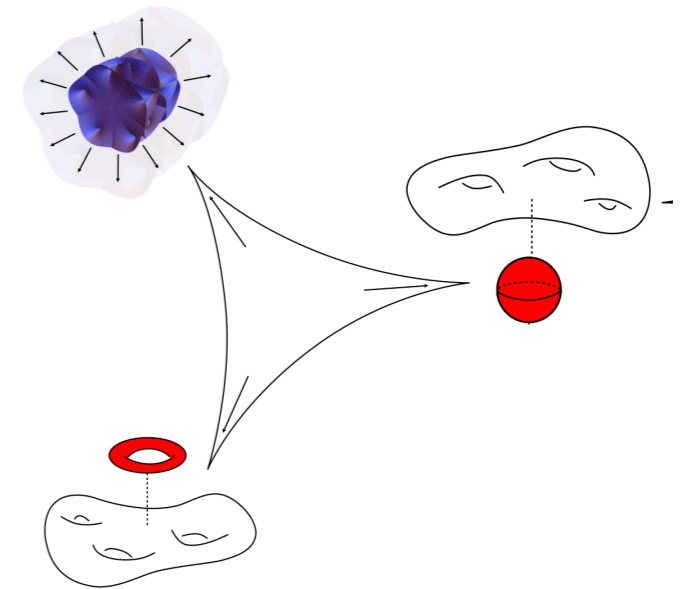
# Asymptotic behavior of $F_1$

[van de Heisteeg, Vafa, MW '23]

How does  $F_1$  behave asymptotically?

Three kinds of infinite distance limits: [Lee, Lerche, Weigand '19]

1. Large volume limit (decompactification to 5d M-theory)
2. Emergent String limit (requires K3-fibration for CY)
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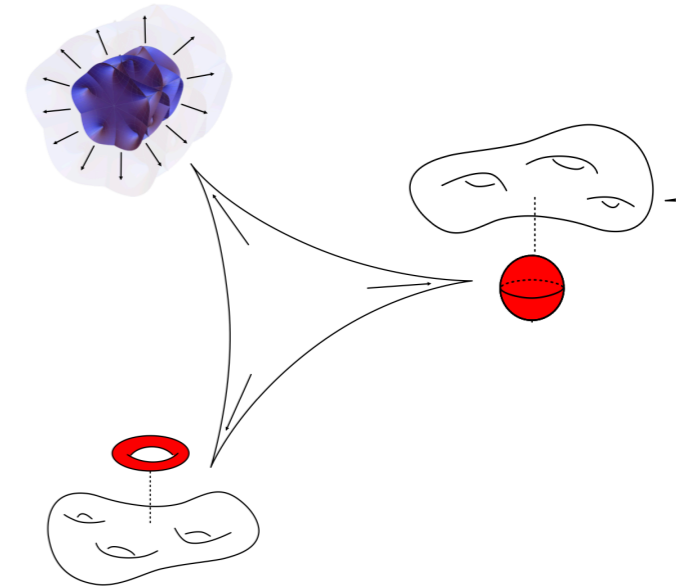
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Parametrize all limits by  $s \rightarrow \infty$ :  $F_1$  has asymptotic form  $F_1 = c_2 s - \beta \log s$

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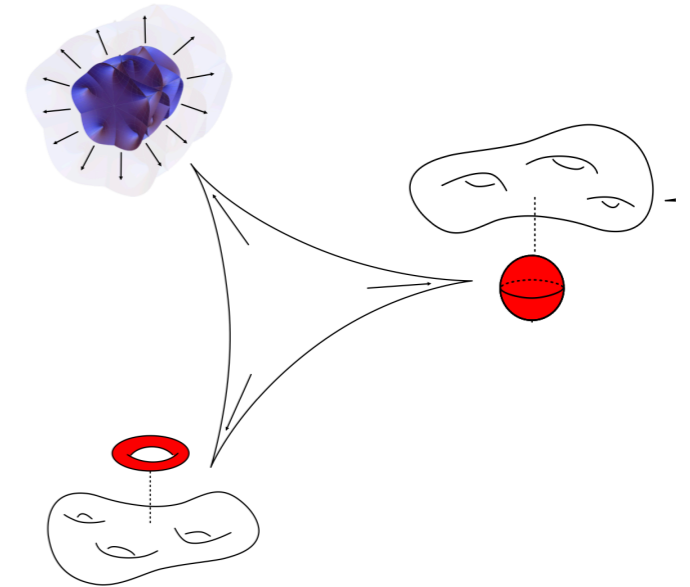
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$$\alpha_{\text{dec}}^{d \rightarrow D} = \sqrt{\frac{(D-d)}{(D-2)(d-2)}}$$

$$\alpha_{\text{str.}}^d = \sqrt{\frac{1}{d-2}}$$

| Limit                 | $\alpha$             | $\beta$   |
|-----------------------|----------------------|---|
| Large volume          | $\sqrt{\frac{1}{6}}$ | $\frac{1}{4}(18 + h^{1,1} + h^{2,1})$                           |
| Emergent String       | $\sqrt{\frac{1}{2}}$ | $\frac{1}{12}(6 + 5h^{1,1} + h^{2,1} - 6h_{\text{vert}}^{1,1})$ |
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(see also David's talk on Monday)

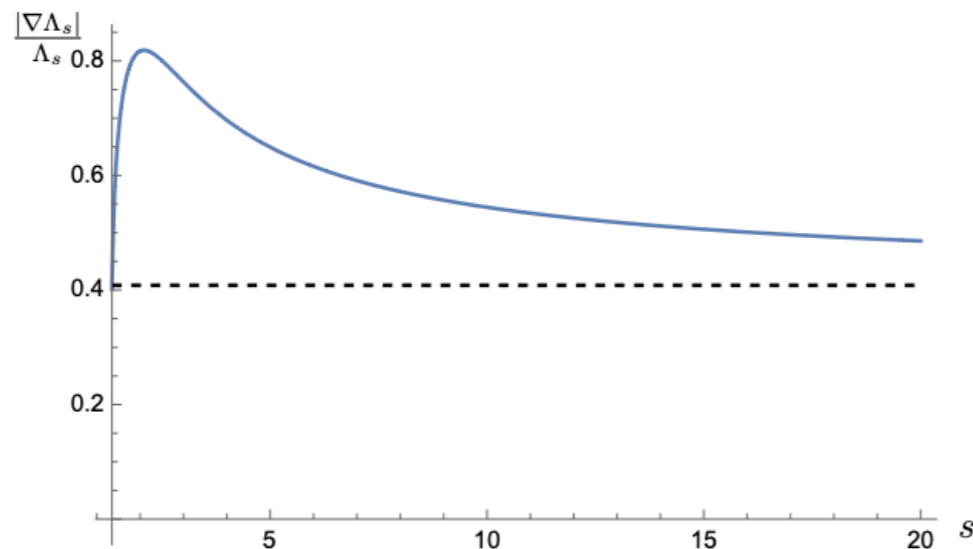
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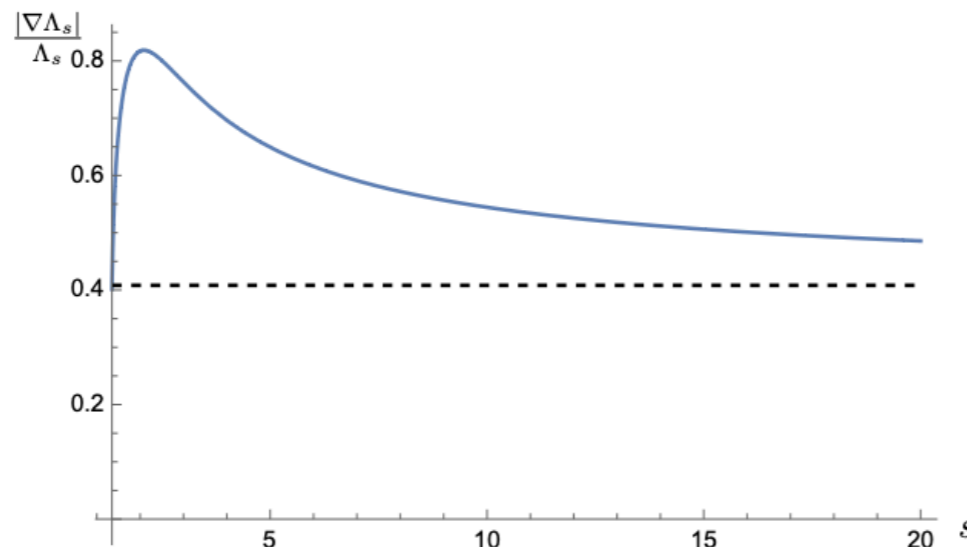
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$\implies$  universal bound  $c = \frac{1}{\sqrt{d-2}}$

**not correct!**

$\implies$  physical interpretation of **sign of log-correction?**

$\implies$  **Deviation from asymptotic behavior away from strict asymptotic regime!**

# Species Scale and Potentials

---

[van de Heisteeg, Vafa, MW, Wu '23]

Use the **species scale** to bound slowly varying potentials with  $V > 0$ !

→ For slowly rolling field bound  $V(\phi) \leq \Lambda_s^2$  cf. [Hebecker, Wrase '18, Scalisi, Valenzuela '18]

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→ dS entropy  $S_{\text{dS}} \sim H^{-2}$  should *at least* account for light species!

→  $H^2 < \Lambda_s^2$ !

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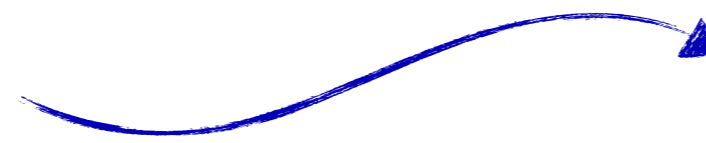
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(Decompactification to  $D = d + 1$ )

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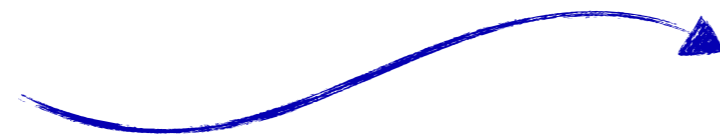
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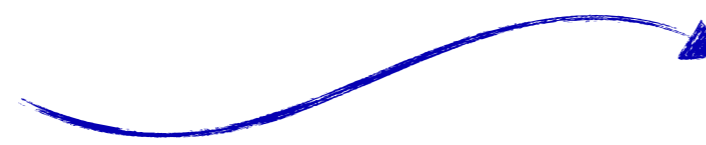
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**Same bound as TCC!!!** (But independent reasoning)

[Bedroya, Vafa '19]

Unlike TCC: species scale argument can be used to fix  $A$ !

(At least in cases where species scale is known everywhere and supersymmetry is broken mildly)

→ again in CY compactifications of Type II string theory!

# Species Scale and Potentials

[van de Heisteeg, Vafa, MW, Wu '23]

Simple application: Type II CY compactifications with fluxes!

- Supersymmetry broken to  $\mathcal{N} = 1 \rightarrow$  species scale still given by  $F_1$ ??
- Yes! Since fluxes do not affect the topological string amplitudes!  
[Vafa '00]
- Effective action contains two terms: [Ooguri, Vafa '03]

$$S_{\mathcal{N}=1} \supset \int d^4x d^2\theta \mathcal{F}^{2g} N_i \frac{\partial F_g}{\partial S_i}, \quad S_{\mathcal{N}=1} \supset g \int d^4x d^2\theta \mathcal{W}^2 \mathcal{F}^{2(g-1)} F_g,$$

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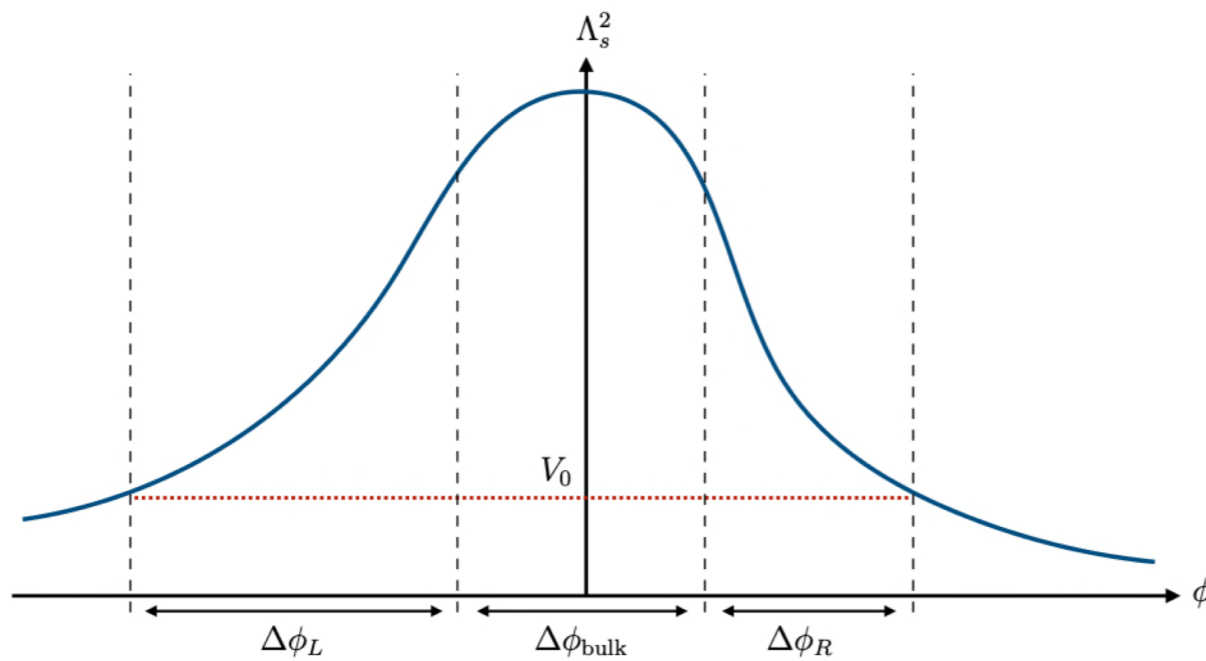
$$\Delta\phi \leq -\sqrt{6} \log \frac{V_0}{M_{\text{pl}}^4} + b$$

Can we get large field distances in the interior?

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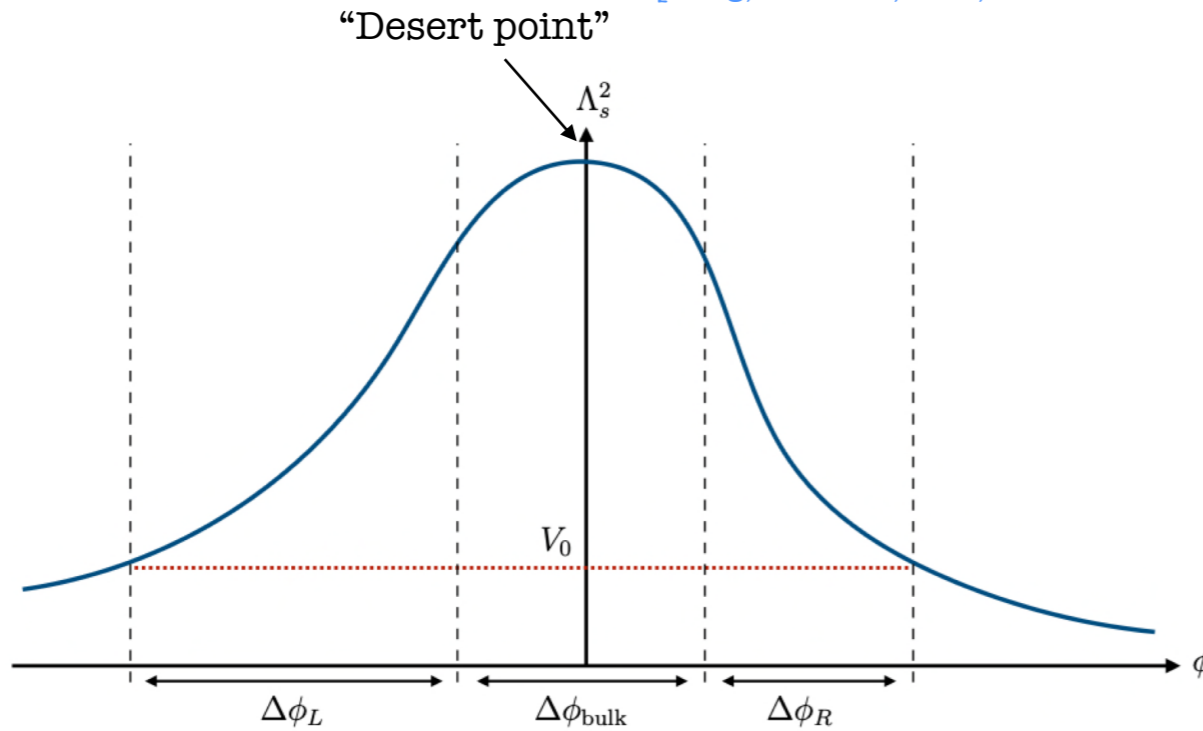


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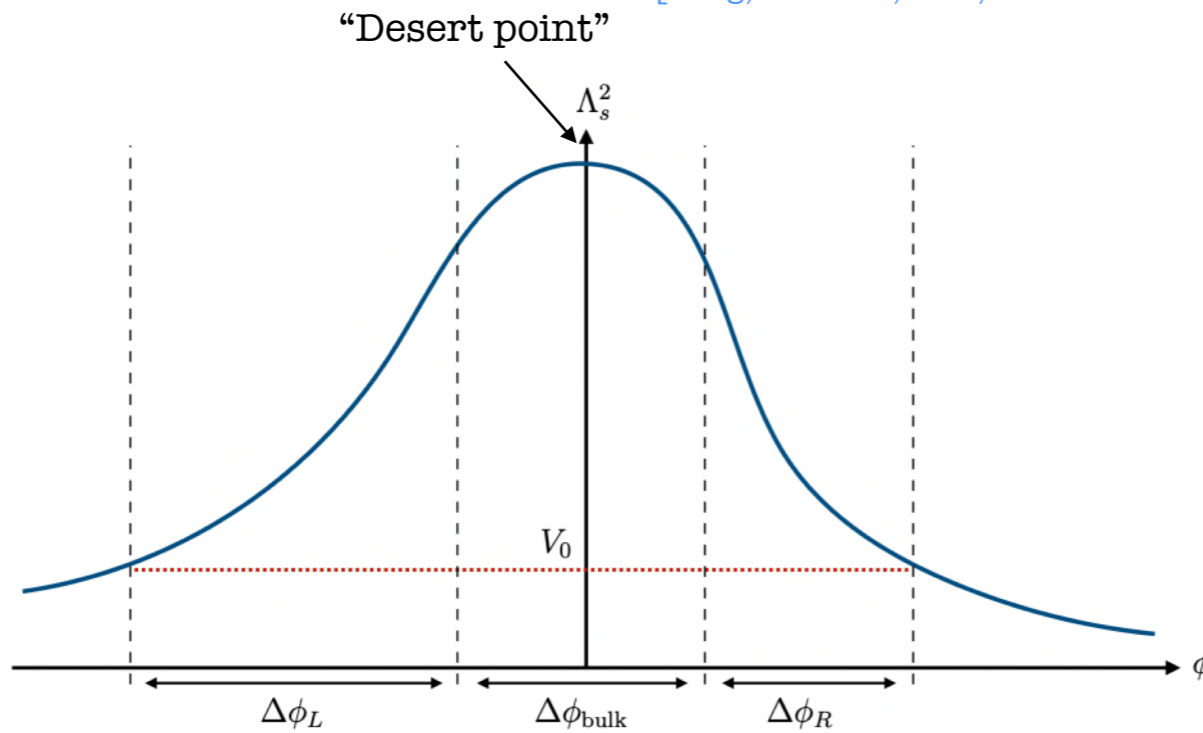
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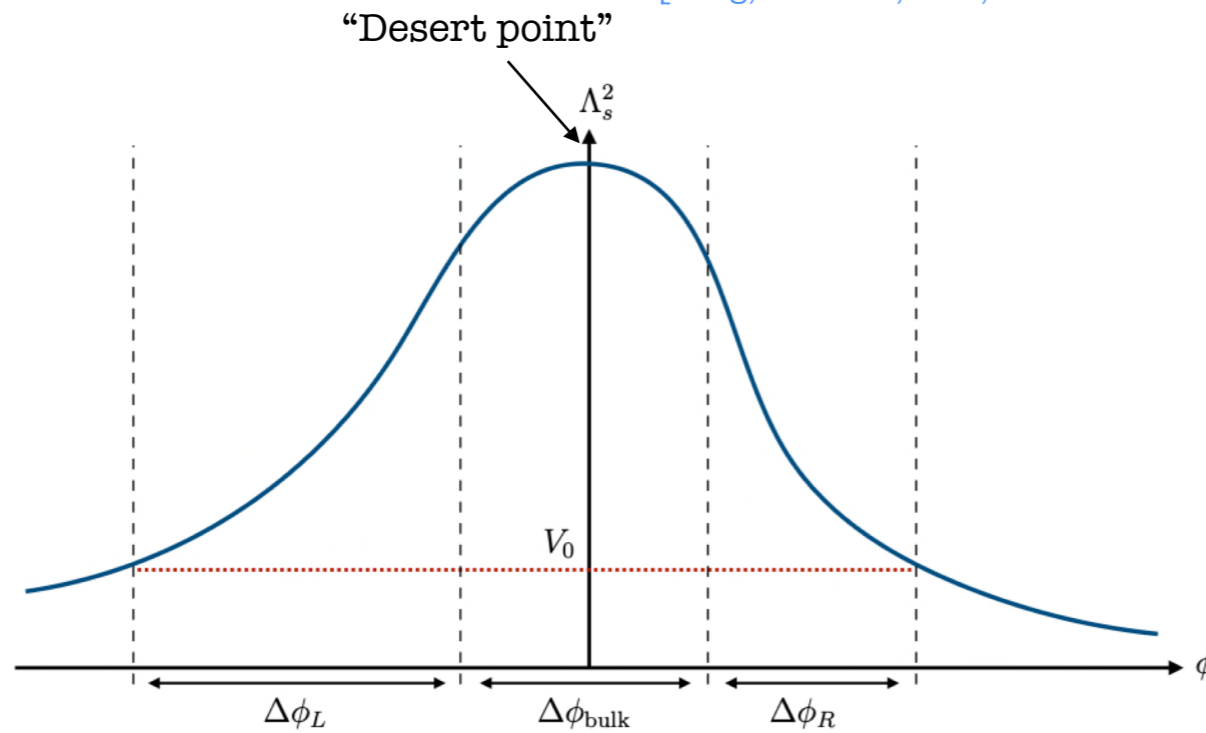
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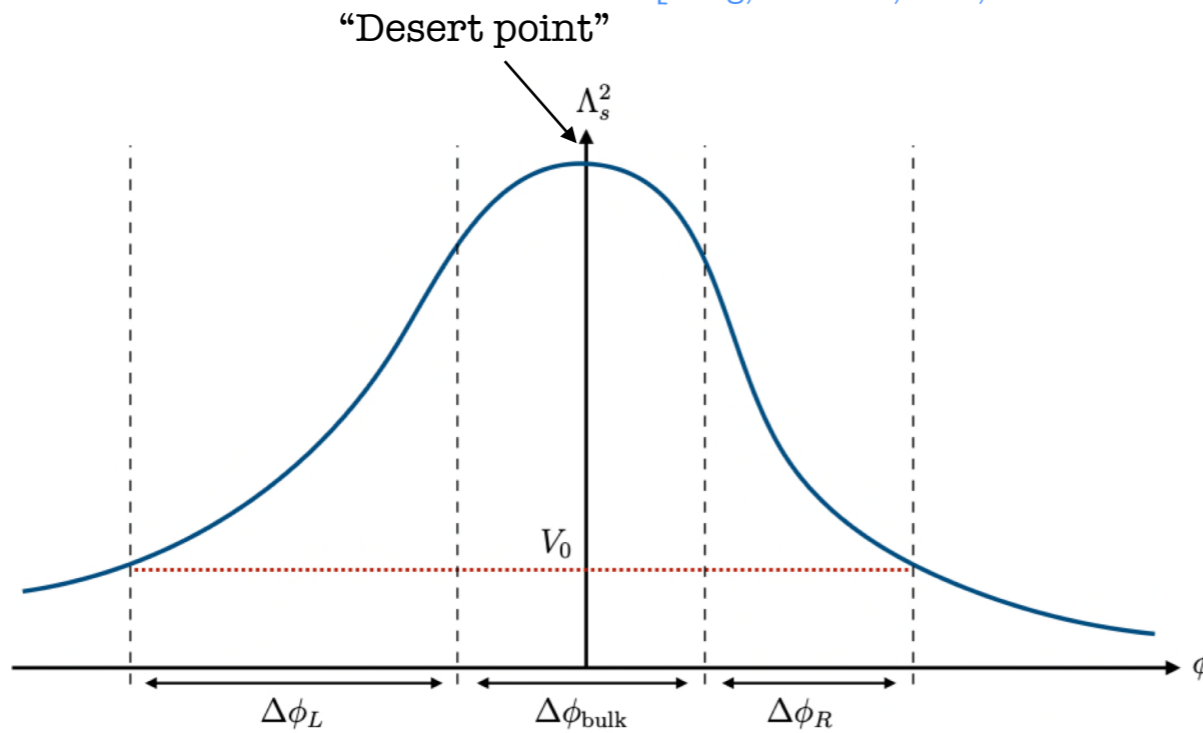
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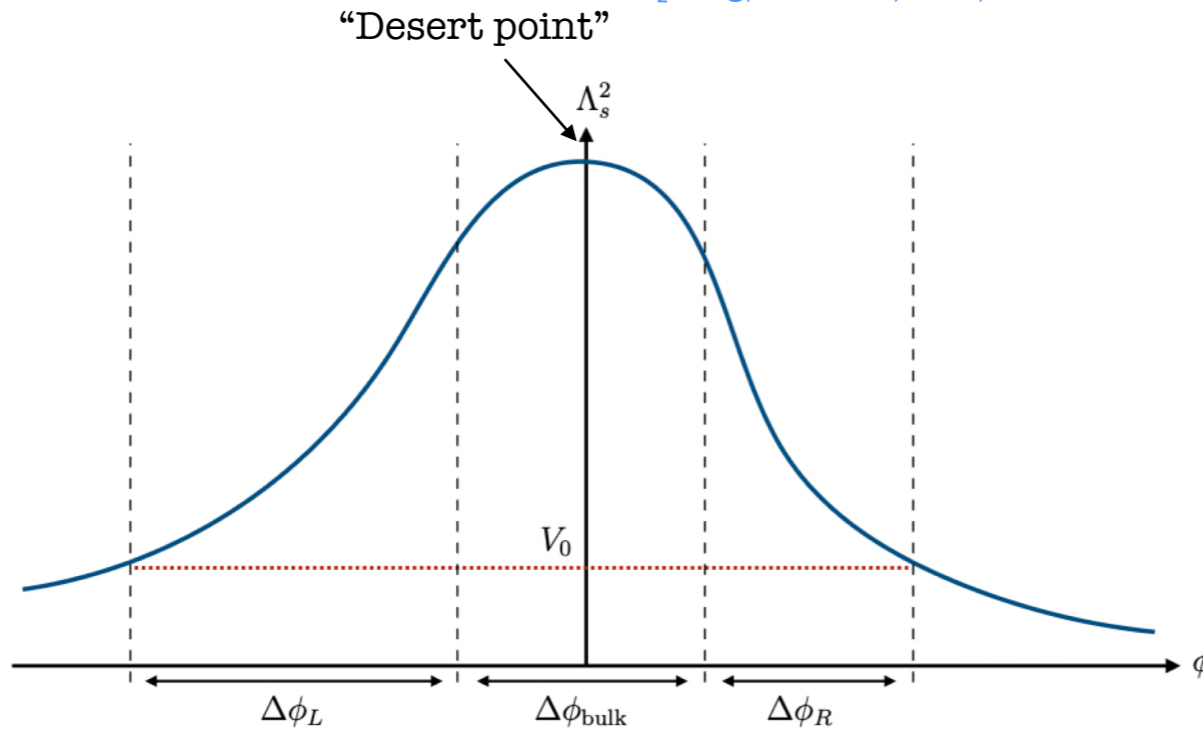


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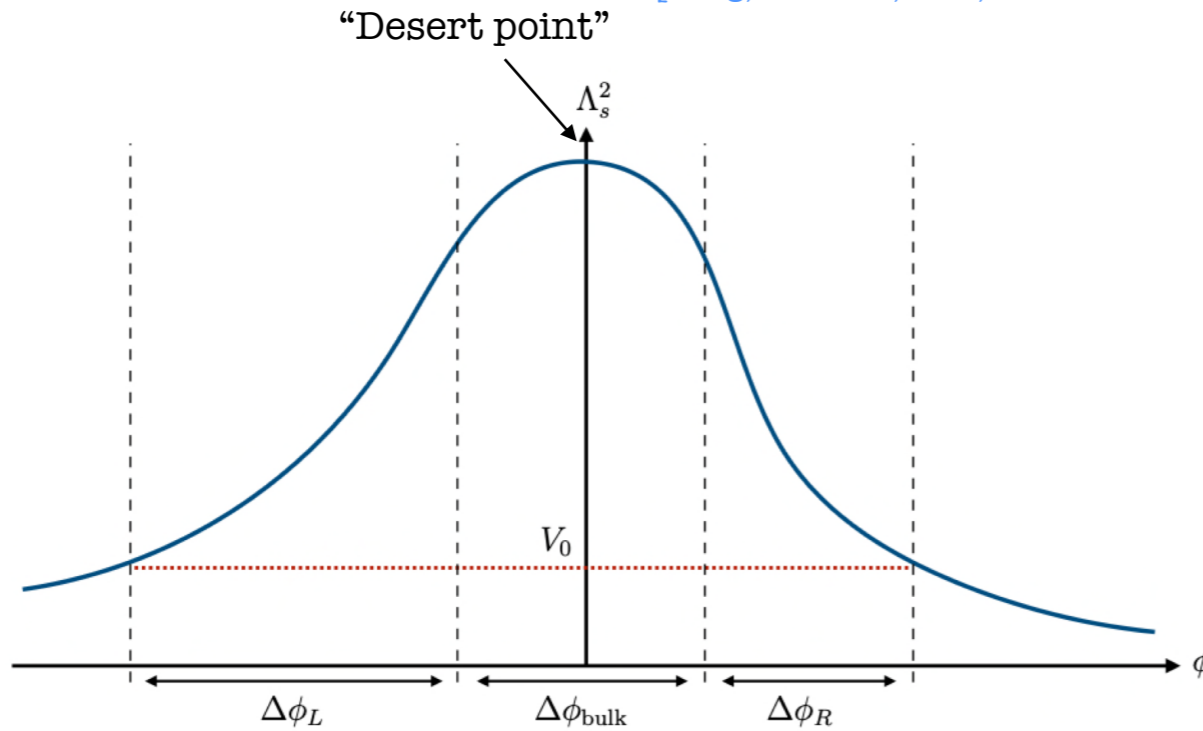
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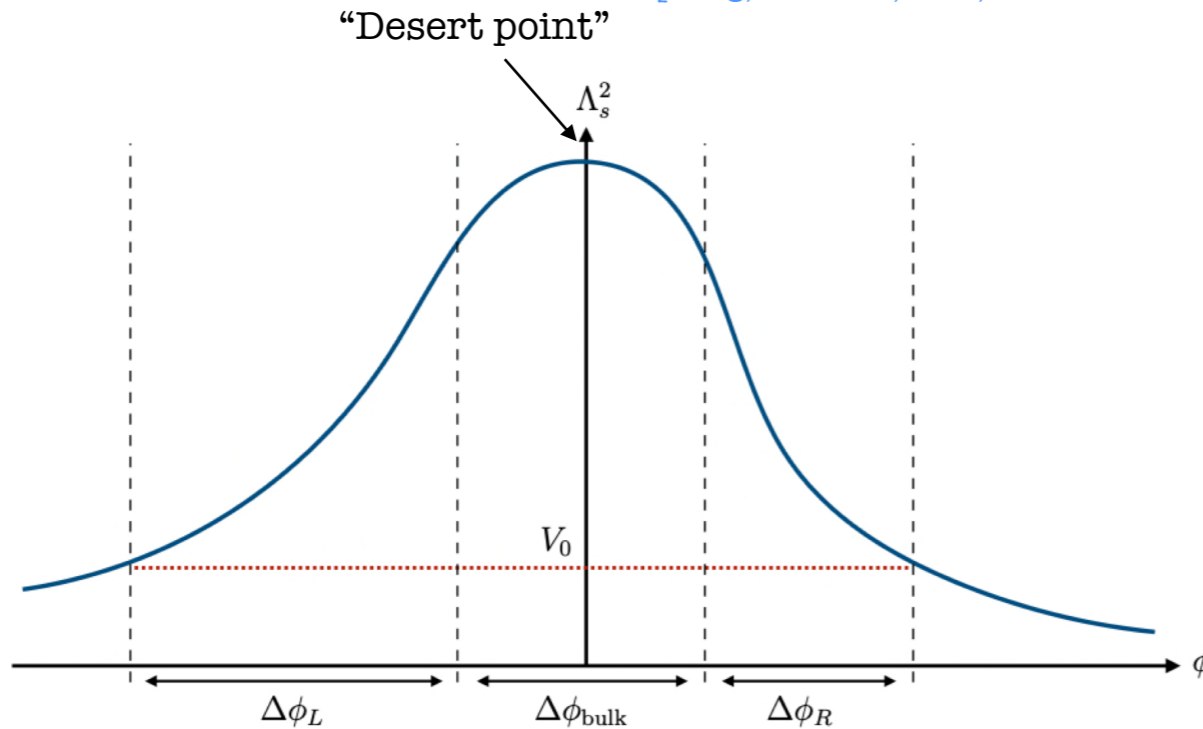
|                     |  |                 |                |                 |
|---------------------|--|-----------------|----------------|-----------------|
| Can check examples: |  | $K3 \times T^2$ | Mirror quintic | Mirror bi-cubic |
| $b =$               |  | -1.198          | -3.798         | -4.815          |

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Cf. [Long, Montero, Vafa, Valenzuela '21]



Split the field range in three parts (*including the interior*)

$$\Delta\phi(V_0) = \Delta\phi_L(V_0) + \Delta\phi_{\text{bulk}} + \Delta\phi_R(V_0)$$

Can we get large field distances in the interior?

From asymptotic expression:  $\Lambda_s^2 = Ae^{-2\lambda\Delta\phi}$

$$\rightarrow \Delta\phi_R(V_0) = -\frac{1}{2\lambda_R} \log[V_0] + \frac{1}{2\lambda_R} \log[A_R]$$

Since at large distance  $F_1 \rightarrow \frac{1}{12} c_{2,i} \text{Re}t^i$  we can determine  $A = \frac{12}{2\pi \sum_i c_{2,i}} \lesssim \mathcal{O}(1)$

$$\Delta\phi(V_0) = -\left(\frac{1}{2\lambda_L} + \frac{1}{2\lambda_R}\right) \log[V_0] + \underbrace{\frac{1}{2\lambda_L} \log[A_L] + \frac{1}{2\lambda_R} \log[A_R]}_{=:b} + \Delta\phi_{\text{bulk}},$$

Can check examples:

|       | $K3 \times T^2$ | Mirror quintic | Mirror bi-cubic |
|-------|-----------------|----------------|-----------------|
| $b =$ | -1.198          | -3.798         | -4.815          |

→ negative correction to asymptotic result

⇒ no large field ranges hidden in interior!

# Conclusions

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## In this talk:

- Discussed the **moduli-dependence** of the effective quantum gravity cut-off, i.e., the **species scale beyond asymptotic regimes**.
- Showed a **universal bound**  $\frac{|\nabla\Lambda_s|}{\Lambda_s} \leq \mathcal{O}(1)$  just from consistency of the effective action!
- Argued that in **Type II CY compactifications** the **topological genus-1 free energy** reliably computes the species scale everywhere in (vectormultiplet) moduli space.
- Allows to explicitly verify EFT bound in examples  $\rightarrow$  naive asymptotic bound not correct!
- Species scale can be used to bound scalar potentials  $\rightarrow$  leads to **same constraint as TCC!**
- In Type II setup:  $F_1$  robust enough to still give species scale even in  $\mathcal{N} = 1$   
 $\rightarrow$  allows to fix  $\mathcal{O}(1)$  coefficients and to **bound the range for approx. flat, positive potentials** (including the interior)

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**Thank you!!**