Modular weights of matter fields in LEEFT from type II string theory Tatsuo Kobayashi

1. Introduction

2. Modular weights of massless models

3. Massive modes

4. Osp(1|2) algebra

5. Summary

Collaboration with Kikuchi, Nasu, Otsuka, Takada, Uchida, arXiv:2301.10356

One of important purposes in string phenomenology is

to derive 4D low energy effective field theory from string theory, and to discuss its aspects in particle physics and cosmology.

Properties of 4D low energy effective field theory are related with geometrical aspects of string compactification and
4D LEEFT is controlled by geometrical symmetries of compact space.

Recently,

Modular symmetries of compact spaces such as torus, torodidal orbifolds, and Calabi-Yau manifolds are studied very actively from both top-down and bottom-up approaches.

SL(2,Z) and Sp(2g,Z) and their finite groups, S3, A4, S4, A5 as well as covering groups.

Nilles's talk, Kawamura's talk.

In modular symmetric LEEFT, modular weights of matter fields are important properties.

$$K = (T_i + \bar{T}_i)^{k^i} |C|^2$$

Here, we study modular weights.

## Heterotic string on CY manifolds

Witten, 1985

dimensional reduction from 10D theory

$$K = -3\ln(T + \bar{T} - 2|C|^2)$$

Untwisted matter fields (of orbifolds)

$$K \sim \frac{1}{T + \bar{T}} |C|^2$$

modular weight -1

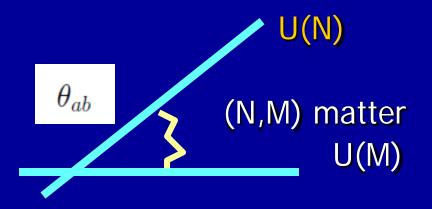
### Heterotic string on orbifolds Dixon, Kaplunovsky, Louis, 1990 Ibanez, Lust, 1992 String amplitude computation by CFT twisted matter fields on ZN orbifolds

$$K = (T_i + \bar{T}_i)^{k^i} |C|^2$$

$$k^i = -(1-\theta^i), \qquad \theta^i = \text{twist angle on } i - \text{plane}$$

weights decrease/increase in oscillators by one.

### Intersecting D-brane models matter fields



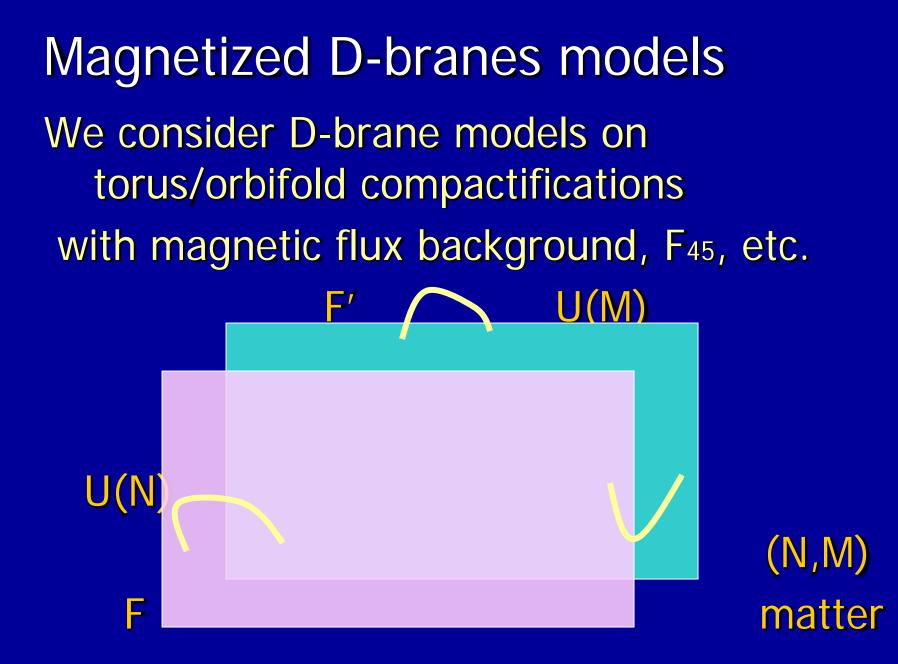
Modular weights depend on intersecting angles. Kors, Nath, 03, Lust, Mayr, Richter, Stieberger, '04 Akerblom, Blumenhagen, Lust, Schmidt-Sommerfeld, '07 Blumenhagen, Schmidt-Sommerfeld, '07

## Intersecting D-brane models

Akerblom, Blumenhagen, Lust, Schmidt-Sommerfeld, '07 Blumenhagnen, Schmidt-Sommerfeld, '07

Reasonable Ansatz from discussion on gauge threshold corrections modular weights

$$k = -\frac{1}{2} \pm \frac{\theta_{ab}}{2}$$



Magnetized D-brane models Magnetized D-brane models are T-dual of intersecting D-brane models.

Boundary conditions of open string

Intersecting D-brane models  $\partial_{\tau} X^4 + \cot \theta \ \partial_{\tau} X^5 = 0$ 

Magnetized D-brane models

$$\partial_{\sigma} X^4 + \tilde{F}_5^4 \ \partial_{\tau} X^5 = 0$$

T-dual along 4-th direction

$$\cot\theta\leftrightarrow \tilde{F}_5^4$$

Magnetized D-brane models are T-dual of intersecting D-brane models. We may have the same 4D LEEFT.

Its 4D LEFFT has been studied by dimensional reduction of higher dimensional super YM theory,

e.g. Yukawa couplings.

### Magnetized D-brane models We solve Dirac equation on T2 with magnetic background.

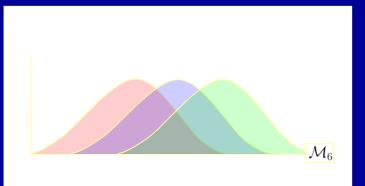
$$D\psi_+(z,\tau) = 0,$$
$$D^{\dagger}\psi_-(z,\tau) = 0.$$

$$\psi^{j,M}_+(z,\tau) = \frac{M^{1/4}}{\mathcal{A}^{1/2}} e^{\pi i M z \frac{\mathrm{Im}z}{\mathrm{Im}\tau}} \, \vartheta \begin{bmatrix} \frac{j}{M} \\ 0 \end{bmatrix} (Mz,M\tau).$$

# Yukawa couplings

Yukawa couplings are obtained by Overlap integral of wavefunctions.

$$Y_{ijk} = \int dy^{D-4} \psi_L^{i,M_1}(y) \psi_R^{j,M_2}(y) (\psi_H^{k,M_3}(y))^*$$



### Normalization

We normalize wavefunctions such that Yukawa couplings are holomorhic functions of moduli.

We use the universal normalization for all of chiral matter fields.

$$\int d^2 z \ \psi^{i,M}(z,\tau) \left( \psi^{j,M}(z,\tau) \right)^* = (2 \mathrm{Im}\tau)^{-1/2} \delta_{i,j}.$$

### wavefunction has modular weight 1/2

### Kahler metric

Normalization

$$\int d^2 z \ \psi^{i,M}(z,\tau) \left( \psi^{j,M}(z,\tau) \right)^* = (2 \mathrm{Im}\tau)^{-1/2} \delta_{i,j}.$$

### **Dimensional reduction**

 $\partial_{\mathcal{M}} \Phi^* \partial^{\mathcal{M}} \Phi$ 

$$\Phi(x,z) = \sum_{j} \phi_j(x) \psi^{j,M}(z,\tau) + \cdots,$$

$$K = K_{i\bar{i}} |\phi_i(x)|^2 = \frac{1}{(2\mathrm{Im}\tau)^{1/2}} |\phi_i(x)|^2.$$

modular weight

$$k = -\frac{1}{2}$$
 (opposite sign for wf.)

Similarly, we study models on orbifolds.

### Weights of w.f. and 4D fields

**Dimensional reduction** 

$$\Phi(x,z) = \sum_{j} \phi_j(x) \psi^{j,M}(z,\tau) + \cdots,$$

Modular transformation is the change of w.f., i.e., the basis change of (KK)mode expansions. The full field is invariant.

modular weights of w.f. in compact space and 4D matter fields have opposite signs.

# Modular weight

Heterotic orbifold models (without oscillation)

$$k^i = -(1 - \theta^i), \qquad \theta^i = \text{twist angle on } i - \text{plane}$$

**Intersecting D-brane models** 

$$k = -\frac{1}{2} \pm \frac{\theta_{ab}}{2}$$

magnetized D-brane models (massless mode)

$$k = -\frac{1}{2}$$

We see difference.

# Normalization

but in a non-universal way

We may have ambiguity in normalization of wave functions. We do not normalize them in a universal way

$$k=-\frac{1}{2}$$

$$k_i = -\frac{1}{2} + \Delta k_i, \quad k_j = -\frac{1}{2} + \Delta k_j, \quad k_k = -\frac{1}{2} + \Delta k_k,$$

However, we keep

$$\Delta k_i + \Delta k_j + \Delta k_k = 0,$$

 $K_{i\bar{i}}K_{j\bar{j}}K_{k\bar{k}} = (2\mathrm{Im}\tau)^{-3/2},$ 

such that the physical Yukawa couplings do not change.



# Correction

$$k = -\frac{1}{2}$$

Also, we may have some corrections, which keeps

 $K_{i\bar{i}}K_{j\bar{j}}K_{k\bar{k}} = (2\text{Im}\tau)^{-3/2}$ , and do not affect Yukawa

$$Y_{ijk}$$

$$\begin{split} k_i &= -\frac{1}{2} + \Delta k_i, \quad k_j = -\frac{1}{2} + \Delta k_j, \quad k_k = -\frac{1}{2} + \Delta k_k, \\ \Delta k_i + \Delta k_j + \Delta k_k = 0, \end{split}$$

4D LEEFT is the same (except SUSY breaking). That suggests the corrections are proportional to some charges of symmetries.

### Intersecting D-brane models modular weights

$$K = (T + \bar{T})^{k_{ab}} |\phi_{ab}|^2, \qquad k_{ab} = -\frac{1}{2} \pm \operatorname{sign}(I_{ab}) \frac{\theta_{ab}}{2}.$$

### Coupling selection rule of 3-point couplings

$$\operatorname{sign}(I_{ab})\theta_{ab} + \operatorname{sign}(I_{bc})\theta_{bc} + \operatorname{sign}(I_{ca})\theta_{ca} = 0,$$

$$\Delta k_i + \Delta k_j + \Delta k_k = 0,$$

We study localized flux = FI term at fixed points of orbifold in magnetized D-brane models.

Even if no such flux or FI term, that may be induced by loop effects.

Lee, Nilles, Zucker, '03

Singular gauge transformation Dolan, Hunter-McCabe, '01, Buchmuller, Dierigl, Ruehle, Schweizer, '15, Buchmuller, Dierigl, Tatsuta, '18.

 $A \to A + \delta A, \quad \delta A = i U_{\xi F} d U_{\xi F}^{-1},$ 

$$U_{\xi F} = g(z)^{\xi F/2} \overline{g}(z)^{-\xi F/2}, \quad g(z) = e^{\frac{\pi}{2 \mathrm{Im}\tau} z^2} \vartheta \begin{bmatrix} \frac{1}{2} \\ -\frac{1}{2} \end{bmatrix} (z,\tau).$$

At z=0

$$\delta A \simeq -i\frac{1}{2}\frac{\xi^F}{z}dz + i\frac{1}{2}\frac{\xi^F}{\bar{z}}d\bar{z}. \qquad \frac{\delta F}{2\pi} = i\xi^F\delta(z)\delta(\bar{z})dz \wedge d\bar{z},$$

# Magnetized D-brane models Singular gauge transformation

$$A \to A + \delta A, \quad \delta A = i U_{\xi F} d U_{\xi F}^{-1},$$

$$\frac{\delta F}{2\pi} = i\xi^F \delta(z)\delta(\bar{z})dz \wedge d\bar{z},$$

# induces or removes localized flux wavefunction

$$\tilde{\psi}(z,\tau) = U^{q}_{\xi^{F}}\psi(z,\tau) = g(z)^{q\xi^{F}/2}\bar{g}(z)^{-q\xi^{F}/2}\psi(z,\tau)$$

# the boundary condition around z=0 and modular transformation behavior change.

Boundary condition around z=0 and Modular transformation behavior change.

$$\tilde{\psi}(z,\tau) = U^{q}_{\xi^{F}}\psi(z,\tau) = g(z)^{q\xi^{F}/2}\bar{g}(z)^{-q\xi^{F}/2}\psi(z,\tau)$$

$$g(z) \to (-\tau)^{1/2} e^{3\pi i/4} g(z),$$

$$\Delta k = q\xi^F$$

#### We normalize

$$\int d^2 z \ \tilde{\psi}_m^{i,M}(z,\tau) \left( \tilde{\psi}_m^{j,M}(z,\tau) \right)^* = (2\mathrm{Im}\tau)^{-1/2-\Delta k} \delta_{i,j},$$

S-transformation of new wavefunction

$$\tilde{\psi}^{i,M}(z,\tau) \to (-\tau)^{1/2+\Delta k} \sum_{j} \tilde{\rho}(S)_{ij} \tilde{\psi}^{j,M}(z,\tau),$$

#### For 3-point couplings

$$\Delta k_i = q_i \frac{\xi^F}{2}, \quad \Delta k_j = q_j \frac{\xi^F}{2}, \quad \Delta k_k = q_k \frac{\xi^F}{2}.$$

gauge invariance

$$q_i + q_j + q_k = 0,$$

$$\Delta k_i + \Delta k_j + \Delta k_k = 0,$$

Localized flux is one of possible corrections on modular weights in magnetized D-brane models

correspondence between magnetized models and intersecting models

$$\Delta k_i = q_i \frac{\xi^F}{2} \to \pm \operatorname{sign}(I_{ab}) \frac{\theta_{ab}}{2}.$$

## Higher modes in Het. orbifold Twisted ground states

$$k^i = -(1 - \theta^i), \qquad \theta^i = \text{twist angle on } i - \text{plane}$$

Oscillator decreases modular weights by one.



We have a similar behavior in intersecting D-brane models, because its CFT behavior is very similar to heterotic string on orbifolds.

## Higher modes in Het. orbifold Twisted ground states

$$k^i = -(1 - \theta^i), \qquad \theta^i = \text{twist angle on } i - \text{plane}$$

Oscillator decreases modular weights by one.

 $\alpha_{-1}$ 

Is there a similar behavior in magnetized D-brane models ?



### Magnetized D-brane models We solve Dirac equation on T2 with magnetic background.

# Magnetized D-brane models Massive modes

$$(i \not\!\!D)^2 \Psi_n = \begin{pmatrix} D^{\dagger} D & 0 \\ 0 & D D^{\dagger} \end{pmatrix} \begin{pmatrix} \psi_{+,n} \\ \psi_{-,n} \end{pmatrix} = m_n^2 \begin{pmatrix} \psi_{+,n} \\ \psi_{-,n} \end{pmatrix}.$$

$$\Delta = \frac{1}{2} \{ D, D^{\dagger} \}.$$

$$\Delta = D^{\dagger}D + \frac{2\pi M}{\mathcal{A}}, \quad [D, D^{\dagger}] = \frac{4\pi M}{\mathcal{A}},$$
$$[\Delta, D^{\dagger}] = \frac{4\pi M}{\mathcal{A}}D^{\dagger}, \quad [\Delta, D] = -\frac{4\pi M}{\mathcal{A}}D.$$

$$a^{\dagger} = \sqrt{\frac{\mathcal{A}}{4\pi|M|}}D^{\dagger}, \quad a = \sqrt{\frac{\mathcal{A}}{4\pi|M|}}D,$$

### looks like harmonic oscillator

### Magnetized D-brane models Massive modes

$$\psi_{n,+}^{j,M} \propto (\nabla_z)^n \psi_+^{j,M},$$
  
Eigenvalues of 
$$\Delta = \frac{1}{2} \{D, D^{\dagger}\}.$$
$$\lambda_n = \frac{2\pi |M|}{\mathcal{A}} (2n+1)$$

### mass spectrum of spinor

$$m_n^2 = \frac{4\pi |M|}{\mathcal{A}} n.$$

Magnetized D-brane models We solve Dirac equation on T2 with magnetic background.

$$\psi_{n,+}^{j,M} \propto (\nabla_z)^n \psi_+^{j,M}, \qquad \nabla_z \to (-\tau) \nabla_z,$$

Modular weight of w.f. increases by one

modular weight of higher mode wavefunction

$$k^{(n)} = \frac{\mathcal{A}}{4\pi M} \lambda_n = n + \frac{1}{2},$$

that is nothing but energy spectrum of Laplacian=harmonic oscillator.
<sup>1</sup>/<sub>2</sub> is the zero-point energy.

Heterotic orbifold models Oscillator  $i\partial X$  corresponding to  $\alpha_{-1}$ 

decrease the modular weight by one, and increase the conformal dimension by one.

Correspondence between conformal dimension and modular weight in heterotic string, and Laplacian spectrum and modular weight in magnetized D-brane models.

Correspondence between modes of heterotic string coordinates and KK modes in magnetized D-brane models OSp(1|2) in magnetized models Subalgebra of super-Virasoro algebra  $\ell_0 = \frac{A}{8\pi M}\Delta, \quad g_{-1/2} = \frac{1}{\sqrt{2}}\nabla_z, \quad g_{1/2} = \frac{1}{\sqrt{2}}\nabla_{\bar{z}}, \quad \ell_{\pm 1} = (g_{\pm 1/2})^2.$ 

$$[\ell_m, \ell_n] = (m-n)\ell_{m+n}, \qquad [\ell_m, g_r] = \left(\frac{m}{2} - r\right)g_r, \qquad \{g_r, g_s\} = 2\ell_{r+s},$$

Osp(1|2) algebra

### **Correction or redefinition**

 $k=-\frac{1}{2}$ 

We may redefine modular weights in non-universal way or have some corrections.

We keep  $K_{i\bar{i}}K_{j\bar{j}}K_{k\bar{k}} = (2Im\tau)^{-3/2}$ , which is relevant to Yukawa

$$k_i = -\frac{1}{2} + \Delta k_i, \quad k_j = -\frac{1}{2} + \Delta k_j, \quad k_k = -\frac{1}{2} + \Delta k_k,$$
$$\Delta k_i + \Delta k_j + \Delta k_k = 0,$$

4D LEEFT is the same (except SUSY breaking). That suggests the corrections are proportional to some charges of symmetries. SUSY breaking terms Moduli-dominant SUSY breaking  $m_i^2 = m_{3/2}^2 + k_i \frac{|F^U|^2}{(U+\bar{U})^2},$ 

soft scalar mass depends on modular weight.

$$A_{ijk} = A_{ijk}^{0} + A'_{ijk},$$
  

$$A_{ijk}^{0} = (k_i + k_j + k_k - 1) \frac{F^U}{(U + \bar{U})}, \qquad A'_{ijk} = -\frac{F^U}{Y_{ijk}} \frac{dY_{ijk}}{dU}.$$

#### A-term depends only the sum.

$$m_i^2 + m_j^2 + m_k^2 = 3m_{3/2}^2 + (k_i + k_j + k_k) \frac{|F^U|^2}{(U + \bar{U})^2} = 3m_{3/2}^2 - \frac{3}{2} \frac{|F^U|^2}{(U + \bar{U})^2}.$$

### The sum of soft masses also depends only the sum.

SUSY breaking terms Moduli-dominant SUSY breaking  $m_i^2 = m_{3/2}^2 + k_i \frac{|F^U|^2}{(U+\bar{U})^2},$ 

soft scalar mass depends on modular weight.

Is this point important or not?

### Summary

We have studied the modular weight in magnetized D-brane models.

They have corrections due to localized flux. Its behavior is similar to intersecting D-brane models.

Modular weights of massive modes shift by one. This is similar to oscillated modes of heterotic string.

Magnetized D-brane modes have Osp(1|2) algebra, subalgebra of super-Virasoro.

### Summary

Magnetized D-brane modes have Osp(1|2) algebra, subalgebra of super-Virasoro.

We have correspondence between conformal dimension (modular weights) in het. String and Laplacian (harmonic oscillator) spectrum in magnetized D-brane model.

Its deep reason is not clear. We just study effective field theory, but Some aspects of CFT appears. It would be important to study more.