

# Smearing and Unsmearing KKLT AdS Vacua Part II

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Based on arXiv:2212.05074 with Mariana Graña and Nicolas Kovensky



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# Motivation

- KKLT is one of the leading ways to construct metastable de Sitter vacua in String Theory

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- The first step is done at the ten-dimensional level, but for the second step we have to resort to an effective four-dimensional theory.
  - Can we understand it from a ten-dimensional viewpoint?

# Supersymmetry conditions for type II SUSY 4d vacua

- The Generalized Complex Geometry conditions for type IIB flux compactifications to four-dimensional flat and AdS vacua preserving N=1 SUSY read:

[Graña, Minasian, Petrini, Tomasiello '05]

$$d_H \left( e^{3A-\phi} \Psi_- \right) = 2i\mu e^{2A-\phi} \text{Im} \Psi_+$$

$$d_H \left( e^{2A-\phi} \text{Im} \Psi_+ \right) = 0$$

$$d_H \left( e^{4A-\phi} \text{Re} \Psi_+ \right) = 3e^{3A-\phi} \text{Re} [\bar{\mu} \Psi_-] + e^{4A} *_6 \alpha(F)$$

where  $d_H = d + H \wedge$  ,  $\tilde{F} = *_6 \alpha(F)$  ,  $*_6 \alpha(\Psi_{\pm}) = i\Psi_{\pm}$  ,  $\alpha(\omega_q) = (-1)^{\frac{q(q-1)}{2}} \omega_q$

- and the internal geometry is characterized by the polyforms  $\Psi_{\pm}$  :

$$\Psi_{\pm} \equiv -\frac{8i}{\|\eta\|^2} \sum_p \frac{1}{p!} \eta_{\pm}^{2\dagger} \gamma_{m_1 \dots m_p} \eta_{\pm}^1 dy^{m_1} \wedge \dots \wedge dy^{m_p}$$

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- These can be also understood as D- and F-flatness conditions for

[Koerber, Martucci '07, '08]

$$W_{\text{GCG}} = \pi \int_{M_6} \langle Z, dT \rangle, \quad \langle A, B \rangle \equiv [A \wedge \alpha(B)]_6 \quad \longrightarrow \quad \langle W_{\text{GCG}} \rangle = \mu \mathcal{N}$$

where the holomorphic fields are

$$Z = e^B e^{3A-\phi} \Psi_-, \quad T = e^B (C + ie^{-\phi} \text{Re} \Psi_+)$$

and the Kähler potential is given by

$$\mathcal{K} = -3 \log \mathcal{N}, \quad \mathcal{N} = 4\pi \int_{M_6} e^{2A-2\phi} \text{vol}_6$$

# Supersymmetry conditions with localized terms

- We include a stack of space-time filling D7-branes wrapping an internal four-cycle  $\Sigma$  and undergoing gaugino condensation. The supersymmetry conditions are modified as follows

$$d_H \left( e^{3A-\phi} \Psi_- \right) = 2i\mu e^{2A-\phi} \text{Im} \Psi_+ - 2i\langle S \rangle \delta^{(2)} [\Sigma_4] ,$$

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- Here  $\langle S \rangle$  is the VEV of the condensate superfield related to the gaugino bilinear by

$$\langle S \rangle = \frac{1}{16\pi^2} \langle \lambda\lambda \rangle$$

- $\delta^{(2)}[\Sigma_4]$  is the localized 2-form Poincaré dual to the four-cycle wrapped by the branes and

$$\delta^{(0)}[\Sigma_4] \text{vol}_6 = \langle \text{Re} \Psi_+, \delta^{(2)}[\Sigma_4] \rangle$$

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- The on-shell value of the GCG superpotential is still

$$\langle W_{\text{GCG}} \rangle = \mu \mathcal{N}$$

- It encodes all ingredients relevant to the effective action, including non-perturbative terms.

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- For example: for SU(3) structure  $\Psi_- = \Omega$ ,  $\Psi_+ = \exp(iJ)$ , the first equation becomes

$$d_H \left( e^{3A-\phi} \Omega \right) = 2i\mu e^{2A-\phi} \left( J - \frac{1}{6} J \wedge J \wedge J \right) - 2i\langle S \rangle \delta^{(2)} [\Sigma_4]$$

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- The two-form component cannot be satisfied.
  - We need dynamic SU(2) structure.
- Hard to do in practice and so we can either:
  - Study small perturbations in the deviation from SU(3) structure on top of the flat solution
  - Or simplify the problem by smearing the source

# Smearred D7-branes

- We solve the modified supersymmetry equations for type IIB AdS4 compactifications with gaugino condensates on smeared D7-branes. The smearing procedure amounts to replacing

$$\delta^{(2)}[\Sigma_4] \rightarrow \gamma e^{2A-\phi} J, \quad \delta^{(0)}[\Sigma_4] \rightarrow 3\gamma e^{2A-\phi}$$

where  $\gamma$  is fixed by requiring

$$\sigma_4 = \int_{M_6} \langle e^{-\phi} \text{Re } \Psi_+, -\delta^{(2)}[\Sigma_4] \rangle = - \int_{M_6} \langle e^{-\phi} \text{Re } \Psi_+, \gamma e^{2A-\phi} J \rangle \quad \Rightarrow \quad \gamma = -\frac{4\pi\sigma_4}{3\mathcal{N}}$$

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- The problematic two-form component of the 1<sup>st</sup> SUSY condition now reads

$$d(e^{3A-\phi}\Psi_-|_1) = 2i(\mu - \gamma\langle S \rangle)e^{2A-\phi} J$$

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- We can have an SU(3) structure solution with  $\mu = \gamma\langle S \rangle$ .

# Smearred D7-branes

- The rest of the supersymmetry conditions give

- First SUSY equation

$$d_H \left( e^{3A-\phi} \Omega \right) = 2\mu e^{2A-\phi} \left( J - \frac{1}{6} J \wedge J \wedge J \right) - 2i\gamma \langle S \rangle e^{2A-\phi} J \quad \longrightarrow \quad \begin{cases} d(e^{3A-\phi} \Omega) & = 0 \\ H \wedge \Omega & = -\frac{\mu}{4} e^{-A} \bar{\Omega} \wedge \Omega \end{cases}$$

- Second SUSY equation

$$d_H \left( e^{2A-\phi} \left( J - \frac{1}{6} J \wedge J \wedge J \right) \right) = 0 \quad \longrightarrow \quad \begin{cases} d(e^{2A-\phi} J) & = 0 \\ H \wedge J & = 0 \end{cases}$$

- Third SUSY equation

$$d_H \left( e^{4A-\phi} \text{Re} \Psi_+ \right) = 3e^{3A-\phi} \text{Re} [\bar{\mu} \Psi_-] + e^{4A} *_6 \alpha(F) - e^A \delta^{(0)} [\Sigma_4] \text{Re} [\langle \bar{S} \rangle \Psi_-] \quad \longrightarrow \quad e^{-\phi} H = F_3$$

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- The KKLT relation between the total and the non-perturbative superpotentials is precisely reproduced

$$\langle W \rangle = \mu \mathcal{N} = \gamma \mathcal{N} \langle S \rangle = -\frac{4\pi}{3} \sigma_4 \langle S \rangle = -\frac{2}{3} \left( \frac{2\pi}{N} \right) \sigma_4 \langle W_{NP} \rangle$$

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- There is no obstruction to scale separation if small (0,3) flux is possible.

# Localized solution

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  - we have to leave the realm of  $SU(3)$ -structure compactifications
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- Possible issue: the evaluation of the on-shell action can contain divergent terms  $\sim (\delta^{(2)})^2$   
[Dymarsky, Martucci '11]
  - E.g. :
$$G_3^{(0,3)} \sim \langle S \rangle \delta^{(0)}[\Sigma_4] \bar{\Omega} \longrightarrow \int_{M_6} G_3 \wedge \star_6 \bar{G}_3 \sim \int_{M_6} |S|^2 \left( \delta^{(0)}[\Sigma_4] \right)^2 \text{vol}_6 + \dots$$

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- One can evaluate the action without knowing the solution: [Lüst, Marchesano, Martucci, Tsimpis '08]

$$S_{\text{eff}} = \int_{X_4} d^4x \sqrt{-g} \left( \frac{1}{2} \mathcal{N} R_4 - 2\pi V_{\text{eff}} \right)$$

# On-shell action

- The effective potential is given by

$$\begin{aligned} V_{\text{eff}} = & -\frac{1}{2} \int_{M_6} \text{vol}_6 e^{4A} [\tilde{F} - e^{-4A} d_H(e^{4A-\Phi} \text{Re } \Psi_+)]^2 \\ & + \frac{1}{2} \int_{M_6} \text{vol}_6 [d_H(e^{2A-\Phi} \text{Im } \Psi_+)]^2 + \frac{1}{2} \int_{M_6} \text{vol}_6 e^{-2A} |d_H(e^{3A-\Phi} \Psi_-)|^2 \\ & - \frac{1}{4} \int_{M_6} e^{-2A} \left( \frac{|\langle \Psi_+, d_H(e^{3A-\Phi} \Psi_-) \rangle|^2}{\text{vol}_6} + \frac{|\langle \bar{\Psi}_+, d_H(e^{3A-\Phi} \Psi_-) \rangle|^2}{\text{vol}_6} \right) \end{aligned}$$

- The different contributions to the on-shell action in the absence of the gaugino condensate

	$ \mu ^2 e^{2A-2\phi} \text{vol}_6$
1st	18
2nd	8
3rd	-32
<b>Total</b>	<b>-6</b>



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$$V_{\text{eff}} = -6|\mu|^2 \int_{M_6} e^{2A-2\phi} \text{vol}_6 \quad \Rightarrow \quad 2\pi V_{\text{eff}} = \Lambda \mathcal{N}$$

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- The different contributions to the on-shell action including the effect of the localized gaugino condensate

	$ \mu ^2 e^{2A-2\phi} \text{vol}_6$	$\delta^{(0)} [\Sigma_4] \text{Re } [\bar{\mu} S] e^{-\phi} \text{vol}_6$	$(\delta^{(0)} [\Sigma_4])^2  S ^2 e^{-2A} \text{vol}_6$
1st	18	-12	2
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- We should also consider the brane action, which however does not contain terms with a square of delta functions.

[Graña, Kovensky, Retolaza '20]

$$S_{\lambda\lambda} = \int d^4x \left( \frac{i}{2} f \bar{\lambda}_+ \gamma^\mu \nabla_\mu \lambda_+ + \frac{1}{2} m_\lambda \bar{\lambda}_- \lambda_+ + c.c. \right), \quad m_\lambda = -\frac{i}{8\pi} \int_{M_6} \delta^{(0)}[\Sigma_4] e^A \langle \Psi_-, F + i d_H (e^{-\phi} \text{Re } \Psi_+) \rangle$$

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- Four fermion terms should add up to: 
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- Putting everything together, the effective potential should be:

$$V_{\text{eff}}^{\text{bulk}} + V_{\text{eff}}^{\lambda\lambda} + \frac{\gamma}{64\pi^4} \int_{M_6} \delta^{(0)}[\Sigma_4] e^{-\phi} |\lambda\lambda|^2 \text{vol}_6 - \frac{2}{3} \int_{M_6} e^{-2A} |\langle S \rangle|^2 (\delta^{(0)}[\Sigma_4])^2 \text{vol}_6$$

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- Putting everything together, the effective potential should be:

[Hamada, Hebecker, Shiu, Soler '21]

$$V_{\text{eff}}^{\text{bulk}} + V_{\text{eff}}^{\lambda\lambda} + \frac{\gamma}{64\pi^4} \int_{M_6} \delta^{(0)}[\Sigma_4] e^{-\phi} |\lambda\lambda|^2 \text{vol}_6 - \frac{2}{3} \int_{M_6} e^{-2A} |\langle S \rangle|^2 (\delta^{(0)}[\Sigma_4])^2 \text{vol}_6$$



# On-shell action

- The terms proportional to  $\delta^{(0)}[\Sigma_4]$  cancel out, while the terms proportional to  $(\delta^{(0)}[\Sigma_4])^2$  don't.

- Gaugino mass contribution: 
$$V_{\text{eff}}^{\lambda\lambda} = -\frac{1}{4\pi} (m_\lambda \bar{\lambda}_- \lambda_+ + c.c.) = -4 \int_{M_6} \delta^{(0)}[\Sigma_4] e^{-\phi} \text{Re} [\mu \langle \bar{S} \rangle] \text{vol}_6$$

- Four fermion terms should add up to: 
$$V_{\text{eff}}^{\lambda^4+c.t.} = \frac{\gamma}{64\pi^4} \int_{M_6} \delta^{(0)}[\Sigma_4] e^{-\phi} |\lambda\lambda|^2 \text{vol}_6$$

- Putting everything together, the effective potential should be:

[Hamada, Hebecker, Shiu, Soler '21]

$$V_{\text{eff}}^{\text{bulk}} + V_{\text{eff}}^{\lambda\lambda} + \frac{\gamma}{64\pi^4} \int_{M_6} \delta^{(0)}[\Sigma_4] e^{-\phi} |\lambda\lambda|^2 \text{vol}_6 - \frac{2}{3} \int_{M_6} e^{-2A} |\langle S \rangle|^2 (\delta^{(0)}[\Sigma_4])^2 \text{vol}_6$$

- No perfect square structure arises.

Thank you!