

# Hairy Black Holes by Spontaneous Symmetry Breaking<sup>1 2</sup>

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**“String Phenomenology 2023”**

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July 06, 2023

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<sup>1</sup>2205.00907, Phys.Rev.D 106 (2022) 8, 084024

<sup>2</sup>2305.19814

# Test general relativity

## Detection of gravitational waves

- The direct detection of gravitational waves from the merger of binary black holes was a major breakthrough in physics in recent decades.
- One of the important missions of LIGO or gravitational waves is to test general relativity
- general relativity alone struggles to explain the presence of dark matter, dark energy, and inflationary expansion.
- To improve general relativity, many alternative theories of gravity have been proposed.

# Einstein-scalar-Gauss-Bonnet Theory

Let us consider EsGB theory

$$S = \int d^4x \sqrt{-g} \left[ \frac{R}{2\kappa^2} - \frac{1}{2} \nabla_\alpha \varphi \nabla^\alpha \varphi + f(\varphi) \mathcal{G} \right], \quad (1)$$

$$\mathcal{G} = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2 \quad (2)$$

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- **evasion of no-hair theorem**<sup>3 4 5</sup>

**If  $f(\varphi_\infty) = 0$  or  $\varphi_1 = 0$ , the no-hair theorem is evaded**


when  $f(\varphi) > 0$

**If  $f(\varphi_\infty) \neq 0$  and  $\varphi_1 \neq 0$ , the no-hair theorem fails. Solutions might exist**

when  $f(\varphi) > 0$  and  $f(\varphi) < 0$

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# Hairy Black Holes for in EsGB

- Our metric ansatz

$$ds^2 = -A(r)dt^2 + \frac{1}{B(r)}dr^2 + r^2d\Omega_2,$$

- Boundary conditions

$$\text{Near horizon : } A(r) \sim A_h \epsilon, \quad B(r) \sim B_h \epsilon, \quad \varphi(r) \sim \varphi_h + \varphi_{h,1} \epsilon$$

$$\text{Near infinity : } A(r) \sim 1, \quad B(r) \sim 1, \quad \varphi(r) \sim \varphi_\infty$$

where

$$\varphi'(r_h) = \varphi_{h,1} = -\frac{r_h}{4\dot{f}_h} \left( 1 \mp \sqrt{1 - \frac{96}{r_h^4} \dot{f}_h^2} \right), \quad B_h = \frac{2}{r_h} \left( 1 \pm \sqrt{1 - \frac{96}{r_h^4} \dot{f}_h^2} \right)^{-1}$$

- To avoid  $\varphi''(r_h)$  being divergent the inside of the root should not be zero, namely

$$\dot{f}_h^2 < \frac{r_h^4}{96}.$$

# Hairy Black Holes for $f = \alpha e^{\gamma\varphi}$ in EsGB

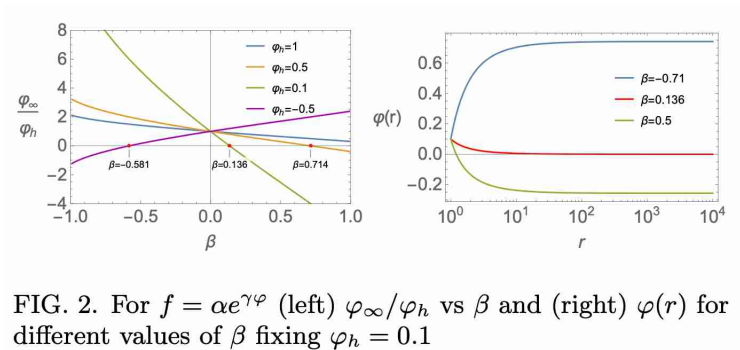


FIG. 2. For  $f = \alpha e^{\gamma\varphi}$  (left)  $\varphi_\infty/\varphi_h$  vs  $\beta$  and (right)  $\varphi(r)$  for different values of  $\beta$  fixing  $\varphi_h = 0.1$



# Hairy Black Holes for $f = \alpha\varphi^2$ in EsGB

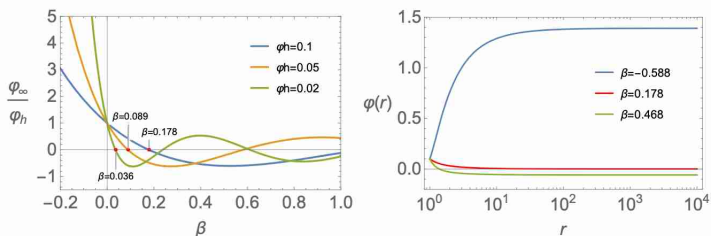


FIG. 4. For  $f = \alpha\varphi^2$ , (left)  $\varphi_\infty/\varphi_h$  vs  $\beta$  and (right)  $\varphi(r)$  for different values of  $\beta$  fixing  $\varphi_h = 0.1$

# Formation of Hairy Black Holes<sup>6 7 8</sup>

*“How hairy black holes acquire their hair from non-hairy ones?”*

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<sup>6</sup>H. O. Silva, J. Sakstein, L. Gualtieri, T. P. Sotiriou, and E. Berti, “Spontaneous scalarization of black holes and compact stars from a gauss-bonnet coupling,” Phys. Rev. Lett., vol. 120, p. 131104, Mar 2018.

<sup>7</sup>Blázquez-Salcedo, D. D. Doneva, J. Kunz, and S. S. Yazadjiev, “Radial perturbations of the scalarized Einstein-Gauss-Bonnet black holes,” Phys. Rev. D, vol. 98, no. 8, p. 084011, 2018.

<sup>8</sup>Boris Latosh, Miok Park, 2305.19814

# Spontaneous Symmetry Breaking (SSB)

“The underlying theory has a symmetry while the underlying vacuum state does not share the same symmetry with the theory.”

- Global symmetry :  $\varphi(r) \rightarrow \varphi(r)e^{i\chi}$  for global  $U(1)$

$$\mathcal{L} = \nabla^\mu \varphi^* \nabla_\mu \varphi - V(\varphi), \quad V(\varphi) = -\mu^2 \varphi^* \varphi + \lambda(\varphi^* \varphi)^2$$

- Gauge symmetry :  $\varphi(r) \rightarrow \varphi(r)e^{i\chi(r)}$  for local  $U(1)$

$$\mathcal{L} = D^\mu \varphi^* D_\mu \varphi - V(\varphi) - \frac{1}{4}F^2, \quad V(\varphi) = -\mu^2 \varphi^* \varphi + \lambda(\varphi^* \varphi)^2$$

: Ginzburg–Landau theory, superconductivity

- Higgs mechanism in standard model : responsible for giving mass to elementary particles in standard model.

# Hairy Black Holes by SSB in EsGB with global $U(1)$

- We are interested in the situation that

*“Scalar fields are about to grow from non-hairy black holes. Finally the non-hairy evolves to hairy black holes.”*

- physical fields are the excitation above the vacuum

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- " $V = -f(\varphi)\mathcal{G}$ " as an "interacting potential" :
  - effective near the black hole horizon
  - not effective at infinity ( $V \rightarrow 0$  as  $r \rightarrow \infty$ , since  $\mathcal{G} \rightarrow 0$  as  $r \rightarrow \infty$ )

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- scalar hair is about to form from the non-hairy ones :  $\varphi_h$  is small ( $|\varphi_h| < \frac{3}{10}$ )

# EsGB theory with global $U(1)$ : $\alpha < 0$

The following Lagrangian respects the global  $U(1)$  symmetry :  $\varphi(r) \rightarrow e^{i\chi}\varphi(r)$

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R - \nabla_\alpha \varphi^* \nabla^\alpha \varphi + f(\varphi) \mathcal{G} \right], \quad (3)$$

$$\mathcal{L}_\varphi = -\nabla_\alpha \varphi^* \nabla^\alpha \varphi + f(\varphi) \mathcal{G} = T - V, \quad V = -f(\varphi) \mathcal{G}, \quad (4)$$

$$f(\varphi) = \alpha \varphi^*(r) \varphi(r) - \lambda (\varphi^*(r) \varphi(r))^2, \quad (\lambda > 0) \quad (5)$$



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$$\partial_\alpha J^\alpha = 0, \quad J_\alpha = i g (\varphi^* \partial_\alpha \varphi - \varphi \partial_\alpha \varphi^*).$$

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- The flux for a timelike hypersurface near the horizon is given by

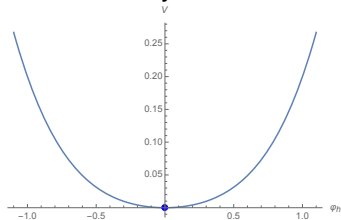
$$\int_{\Sigma} J_\alpha n^\alpha \sqrt{-h} d^3y = \int_{\Sigma} \left[ g(\varphi_2 \partial_r \varphi_1 - \varphi_1 \partial_r \varphi_2) \right] \left[ \sqrt{A(r)B(r)} r^2 \sin \theta d\theta d\phi dt \right] = 0$$

where

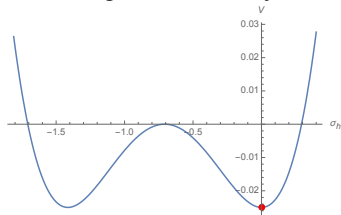
$$\varphi(r) = \frac{1}{\sqrt{2}} (\varphi_1(r) + i \varphi_2(r))$$

# EsGB theory with global $U(1)$

“When  $\alpha < 0$ , vacuum is symmetric under global  $U(1)$ ”



“When  $\alpha > 0$ , vacuum is changed and not symmetric under global  $U(1)$ ”



# EsGB theory with global $U(1)$ : $\alpha > 0$

When  $\alpha > 0$  :  $V$  forms degenerate vacuums and the stable minima are described by

$$\langle \varphi \rangle = v e^{i\beta}, \quad v = \sqrt{\frac{\alpha}{2\lambda}} \quad (6)$$

We expand a field around a ground state  $v$  by reparameterizing it as follows

$$\varphi(r) = \left( v + \frac{\sigma(r)}{\sqrt{2}} \right) e^{i\theta(r)}. \quad (7)$$

the new Lagrangian is written as

$$\mathcal{L}_\varphi = -\frac{1}{2} \nabla_\alpha \sigma(r) \nabla^\alpha \sigma(r) - \left( v + \frac{\sigma(r)}{\sqrt{2}} \right)^2 \nabla_\alpha \theta(r) \nabla^\alpha \theta(r) + f(\sigma) \mathcal{G} \quad (8)$$

where

$$f(\sigma) = -\alpha \sigma(r)^2 - \sqrt{\alpha\lambda} \sigma(r)^3 - \frac{\lambda}{4} \sigma(r)^4. \quad (9)$$

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Field  $\theta(r)$  is decoupled from the system, and the solution for  $\theta'(r)$  reads

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$$\int_{\Sigma} J_{\alpha} n^{\alpha} \sqrt{-h} d^3y = \int_{\Sigma} \left[ -2g \left( v + \frac{\sigma(r)}{\sqrt{2}} \right)^2 \theta'(r) \right] \left[ \sqrt{A(r)B(r)} r^2 \sin \theta d\theta d\phi dt \right] = -8\pi g c_2$$

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*"The hairy black holes in this theory can only possess trivial Goldstone bosons hair."*

# *symmetric and symmetry-broken phase*

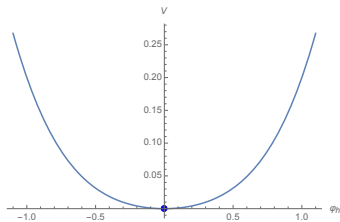


Figure: *symmetric* (left) and *symmetry-broken phase* (right)

# symmetric and symmetry-broken phase

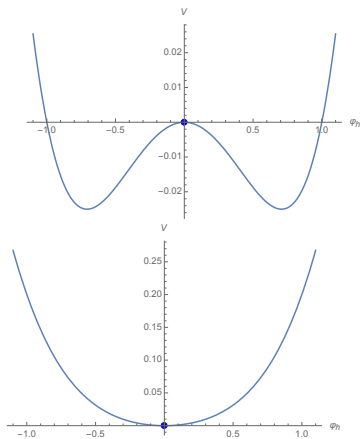


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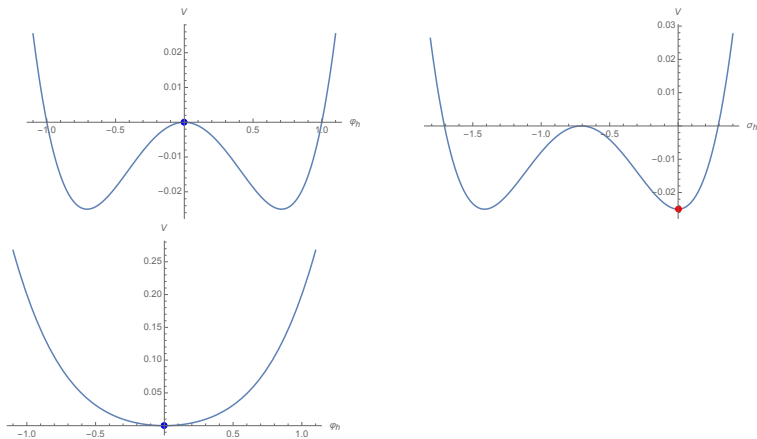


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# scalar field perturbation in EsGB : instability

The linearized equation then becomes

$$\left( \nabla_\alpha \nabla^\alpha + f_{\varphi^* \varphi} \mathcal{G} \right) \delta\varphi(r) = 0, \quad m_{\text{eff}}^2 = -f_{\varphi^* \varphi} \mathcal{G}$$

$$\delta\varphi(t, r, \theta, \phi) = \sum_{l, m} \frac{\Phi(r) Y_{lm}(\theta, \phi)}{r} e^{-i\omega t}$$

the perturbation equation is written as

$$\Phi''(r_*) - (V_{\text{eff}} - \omega^2)\Phi(r_*) = 0, \quad dr_* = \frac{1}{\sqrt{AB}} dr,$$

$$V_{\text{eff}}(r) = \frac{l(l+1)A}{r^2} + \frac{1}{2r} \left( A'B + AB' \right) - f_{\varphi^* \varphi} A \mathcal{G},$$

The system becomes unstable if the following condition is met

$$\int_{r_h}^{\infty} dr \frac{1}{\sqrt{AB}} V_{\text{eff}}(r) < 0.$$

# Instability for Schwarzschild black hole

$$ds^2 = -A(r)dt^2 + \frac{1}{B(r)}dr^2 + r^2(d\theta^2 + \sin^2(\theta)d\phi^2), \quad A = B = 1 - \frac{2M}{r} \quad (10)$$

Instability check yields

$$\alpha > \frac{5}{6}(2l(l+1) + 1)M^2 = \alpha_{\text{Sch.}} \quad (11)$$

When  $M = \frac{1}{2}$  and  $l = 0$ ,

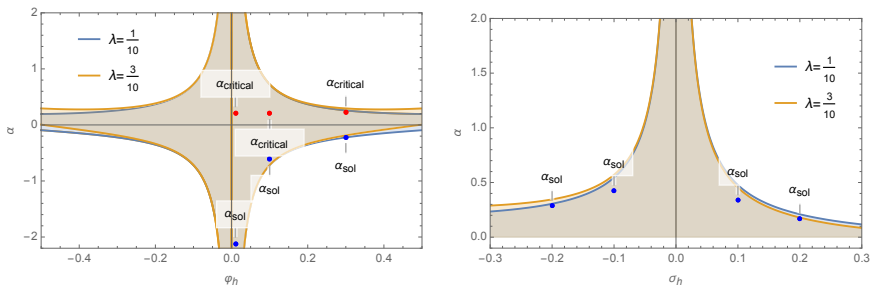
$$\alpha_{\text{Sch.}} = \frac{5}{24} \approx 0.2083 \quad (12)$$

“Schwarzschild black holes are unstable if  $\alpha > \alpha_{\text{Sch.}}$ .”

# Phase space

The regularity condition yields

$$1 - 96(\dot{f}_h)^2 > 0$$



**Figure:** Phase space for symmetric phase (left) and symmetry-broken phase (right)

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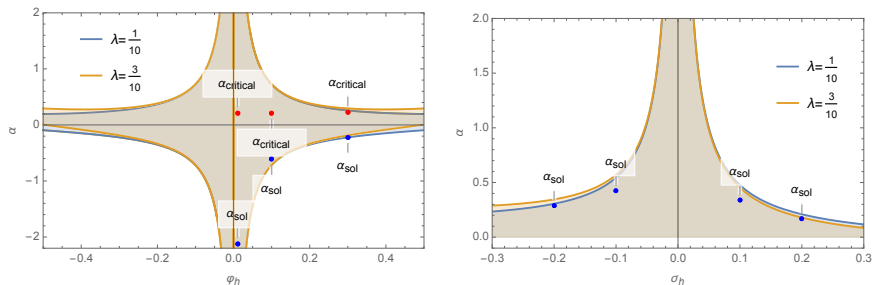
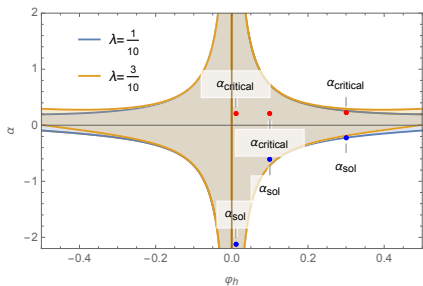


Figure: Phase space for symmetric phase (left) and symmetry-broken phase (right)

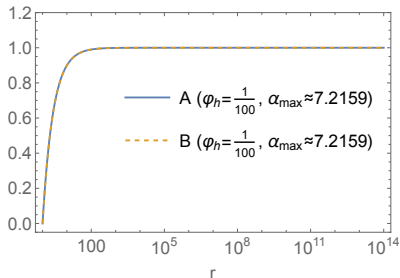
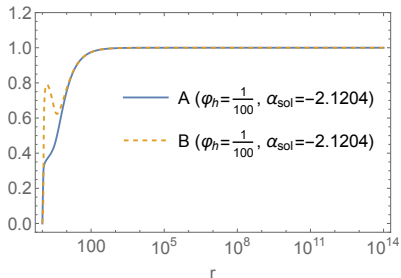
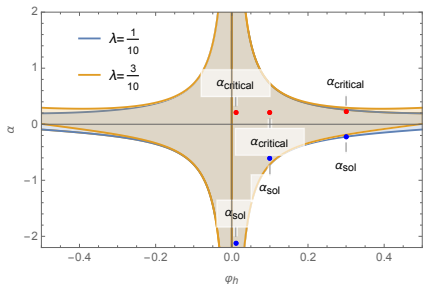
$$\alpha_{\text{critical}} \approx \alpha_{\text{Sch.}}$$



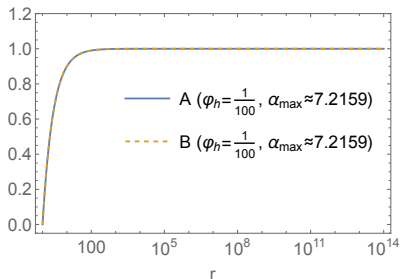
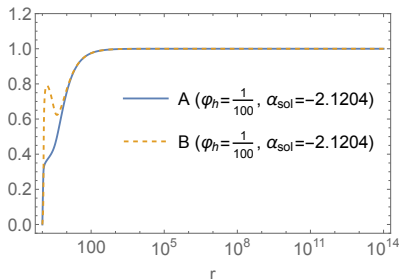
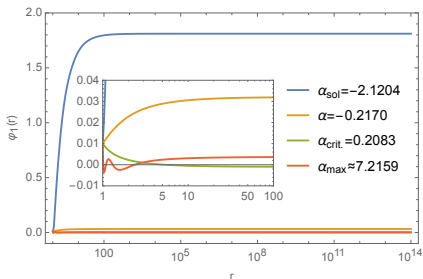
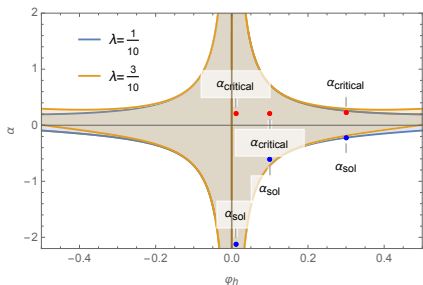
# Hairy black holes in *symmetric phase*



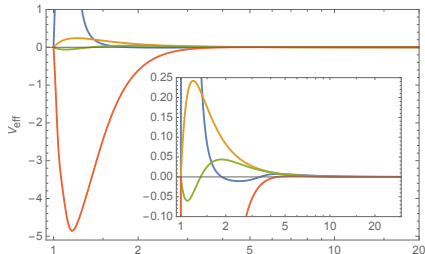
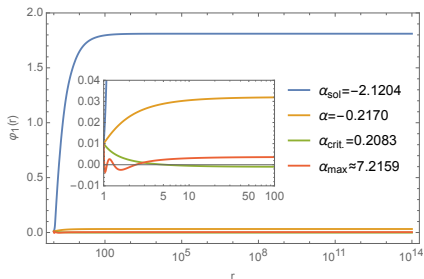
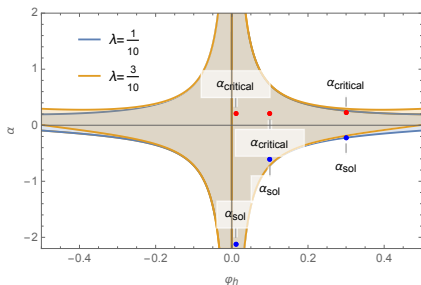
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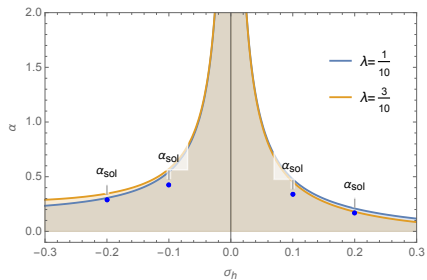
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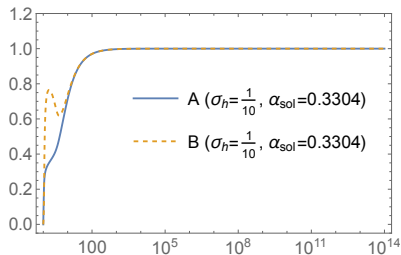
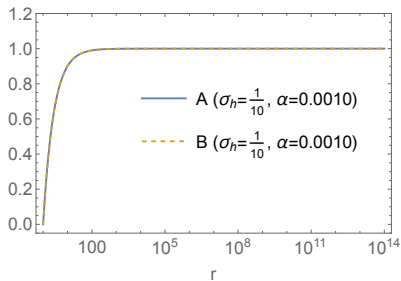
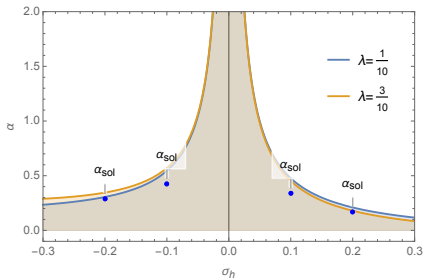
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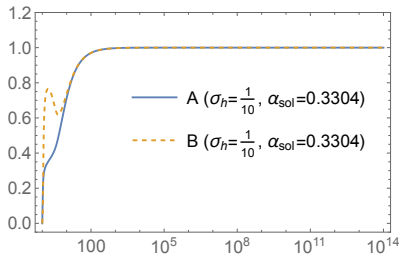
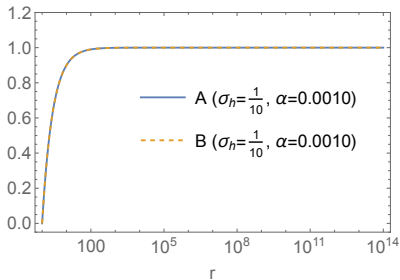
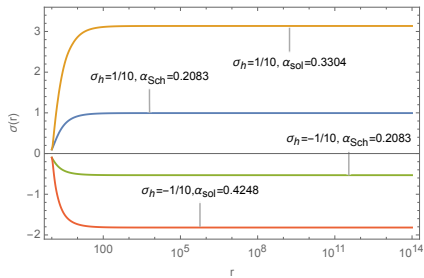
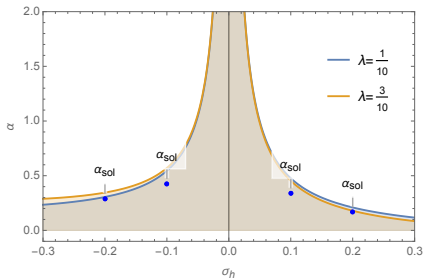
# Hairy black holes in *symmetry broken phase*



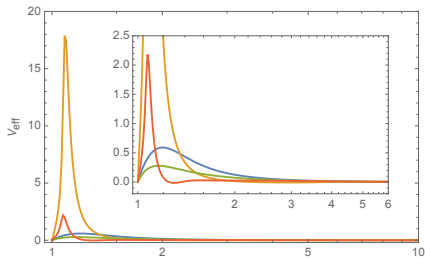
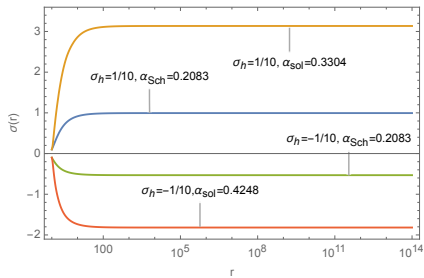
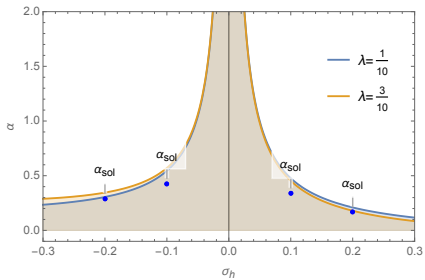
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# SSB in EsGB theory with local $U(1)$

This action is invariant under local  $U(1)$  symmetry :  $\varphi \rightarrow \varphi e^{i\chi(r)}$

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R - \frac{1}{4} F^2 - D_\alpha \varphi^* D^\alpha \varphi + f(\varphi^*, \varphi) \mathcal{G} \right], \quad (13)$$

where  $F = dP$  and  $D_\alpha = \nabla_\alpha - iqP_\alpha$ .

- When  $q = 0$ ,

$$A(r) \sim 1 + \frac{A_1}{r} + \frac{P_1^2}{4r^2} - \frac{A_1 \varphi_1^2}{12r^3} + \dots$$

$$B(r) \sim 1 + \frac{A_1}{r} + \frac{P_1^2 + 2\varphi_1^2}{4r^2} - \frac{A_1 \varphi_1^2}{4r^3} + \dots$$

$$P(r) \sim P_\infty + \frac{P_1}{r} - \frac{P_1 \varphi_1^2}{12r^3} + \dots$$

$$\varphi(r) \sim \varphi_\infty + \frac{\varphi_1}{r} - \frac{A_1 \varphi_1}{2r^2} - \frac{\varphi_1 (-4A_1^2 + P_1^2 + \varphi_1^2)}{12r^3} + \dots$$

- When  $q \neq 0$ , the asymptotic expansions of the gauge field and scalar fields yield  $P_\infty = P_1 = 0$  or  $\varphi_\infty = \varphi_1 = 0$ .
- This may imply that either the gauge field or the scalar field falls off faster than  $1/r^n$  at infinity, or that there are no electrically-charged scalar hairy black hole solutions.

# Hairy Black Holes in EsGB theory with local $U(1)$

## Numerical solutions for $q = 0$ case

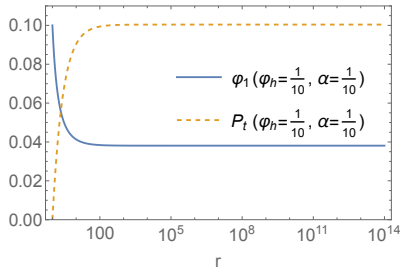
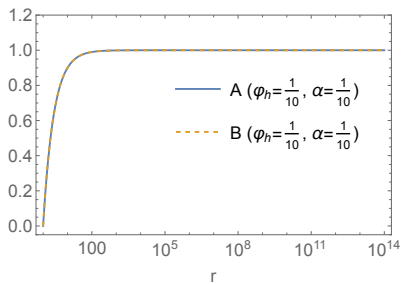


Figure: Hairy black hole solutions when  $q = 0$

\* We were not able to find hairy black hole solutions with charged scalar hairs ( $q \neq 0$ ).

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- In the symmetry-broken phase, the hairy black hole solutions are all stable against the scalar field perturbation.
- Goldstone bosons are decoupled from other equations and only trivial solutions are accepted.
- Thus, we expect that the Schwarzschild black holes in the unstable range of  $\alpha$  ( $\alpha > \alpha_{\text{Sch.}}$ ) would evolve into the hairy black holes in the symmetry-broken phase.
- Spontaneous symmetry breaking associated with local  $U(1)$  cannot be realized in this theory.

















Thank you!