

Higgs- R^2 inflation and cosmological collider physics

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Based mainly on [2002.11739](#), [2102.12501](#), [2309.10841](#)
with R. Jinno, K. Nakayama, K. Mukaida, J. van de Vis, S. Verner
See also [1609.05209](#), [1701.07665](#), [1907.00993](#) and [2008.01096](#).

Outline

1. Higgs inflation, unitarity and R^2
2. Cosmological collider signatures
3. Summary

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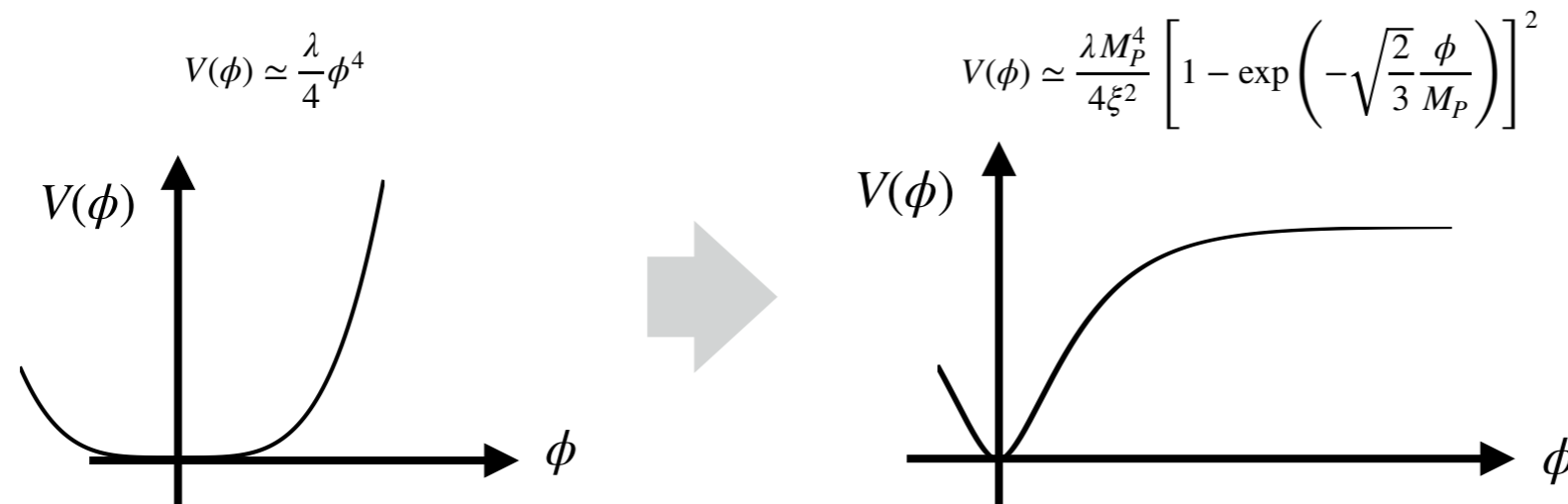
Higgs inflation

- Higgs inflation: standard model Higgs = inflaton.

[Bezrukov, Shaposhnikov 07; ...]

$$\mathcal{L} = \frac{M_P^2}{2}R + |D_\mu \Phi|^2 + \xi |\Phi|^2 R - \lambda |\Phi|^4 \quad \text{with } \Phi: \text{Higgs doublet.}$$

- Non-minimal Higgs-gravity coupling " ξ " flattens the potential.



$$\text{CMB: } \xi \simeq 4\sqrt{\lambda} \times 10^4 \gg 1.$$

(Focusing on metric formalism)

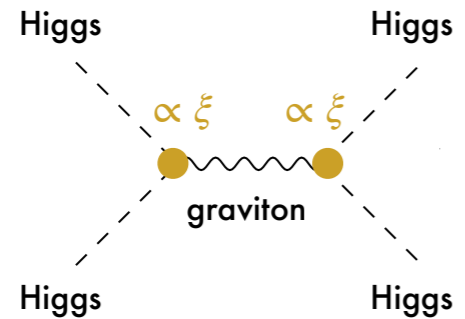
- Inflationary predictions: $n_s = 1 - \frac{2}{N_e}$, $r = \frac{12}{N_e^2}$.

Reheating determines N_e , essential for inflationary prediction,

e.g. to distinguish between Higgs and R^2 inflation.

Unitarity issue

- Higgs inflation is not consistent for $E > M_P/\xi \sim 10^{14}$ GeV. [Burgess+ 09,10; Barbon+09, Hertzberg10; Bezrukov+10]

$i\mathcal{M} =$

 $\sim \frac{\xi^2 E^2}{M_P^2}, \quad |\mathcal{M}|^2 \sim (\text{scattering probability}) > 1 \text{ for } E > M_P/\xi.$

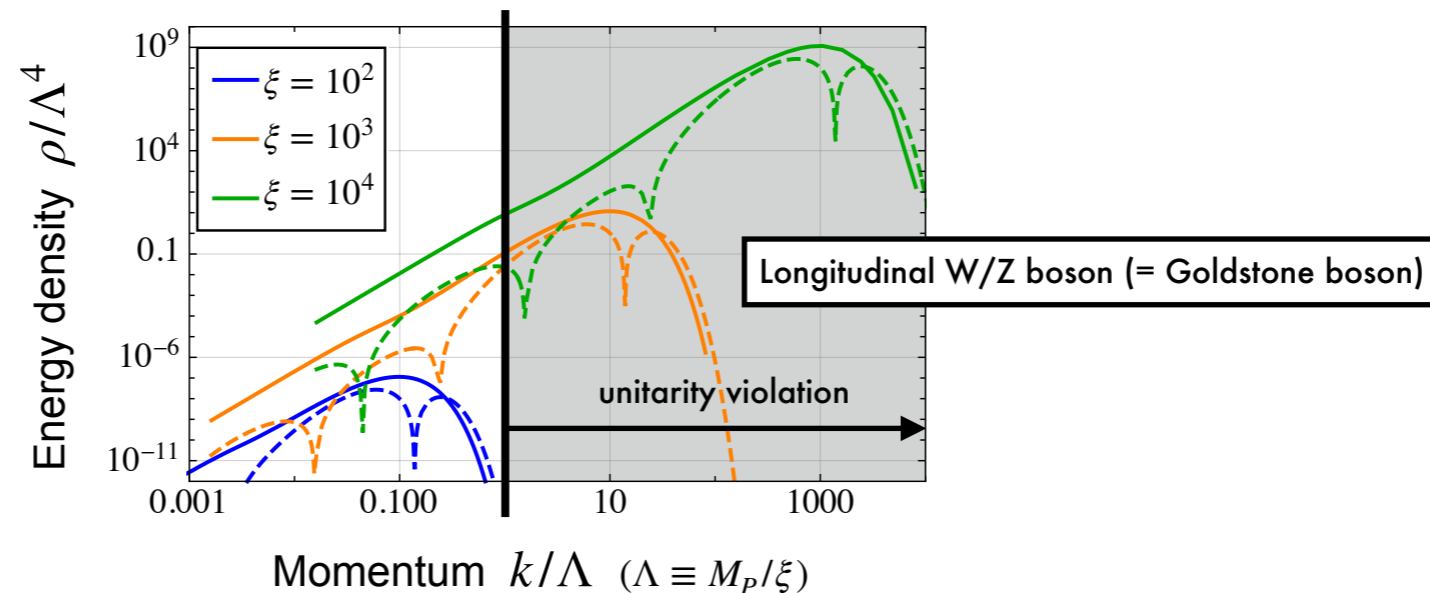
- Inflation energy scale exceeds this scale

$$\frac{M_P}{\xi} \sim 10^{14} \text{ GeV} < V_{\text{inf}}^{1/4} \sim 10^{16} \text{ GeV} \quad (\text{fixed from CMB}),$$

although this by itself does not necessarily mean unitarity violation.

- Higgs inflation indeed violates unitarity **during (p)reheating**.

[YE, Jinno, Mukaida, Nakayama 16; Sfakianakis+ 18; YE, Jinno, Nakayama, van de Vis 21]



➔ theoretically inconsistent, UV completion necessary to determine T_R .

Higgs- R^2 inflation

- Unitarity issue of Higgs inflation healed by R^2 term : "Higgs- R^2 inflation".

[YE 17, 19; Gorbunov+ 18]

$$\mathcal{L} = \frac{M_P^2}{2}R + \alpha R^2 + |D_\mu \Phi|^2 + \xi |\Phi|^2 R - \lambda |\Phi|^4.$$

$R^2 \sim (\partial^2 g)^2$ introduces new degree of freedom "scalaron." $\left\{ \begin{array}{l} \partial^2 \phi = 0 \rightarrow 2 \text{ initial conditions,} \\ \partial^4 \phi = 0 \rightarrow 4 \text{ initial conditions.} \end{array} \right.$
(no ghost here)

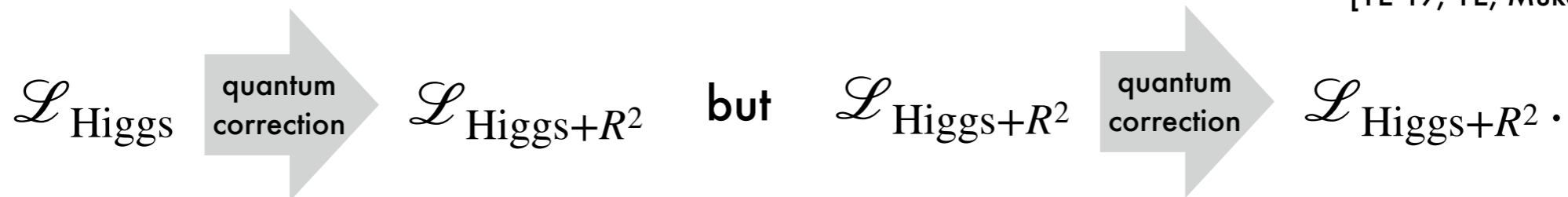
$$i\mathcal{M}_{\text{Higgs}} = \text{[Feynman diagram: Higgs exchange]} \sim \frac{\xi^2 E^2}{M_P^2} > 1 \text{ for } E > M_P/\xi : \text{unitarity violation.}$$

$$i\mathcal{M}_{\text{Higgs}+R^2} = \text{[Feynman diagram: Higgs exchange]} + \text{[Feynman diagram: scalaron exchange]} \sim \frac{\xi^2 m_s^2}{M_P^2} \frac{E^2}{m_s^2 - E^2} < 1 : \text{unitarity always conserved}$$

where scalaron mass $m_s^2 = \frac{M_P^2}{12\alpha} \lesssim \frac{M_P^2}{\xi^2}$, or $\alpha \gtrsim \xi^2$, needed.

- Higgs- R^2 inflation: no other operators generated below M_P (except for Higgs mass and CC).

[YE 19; YE, Mukaida, van de Vis 20]



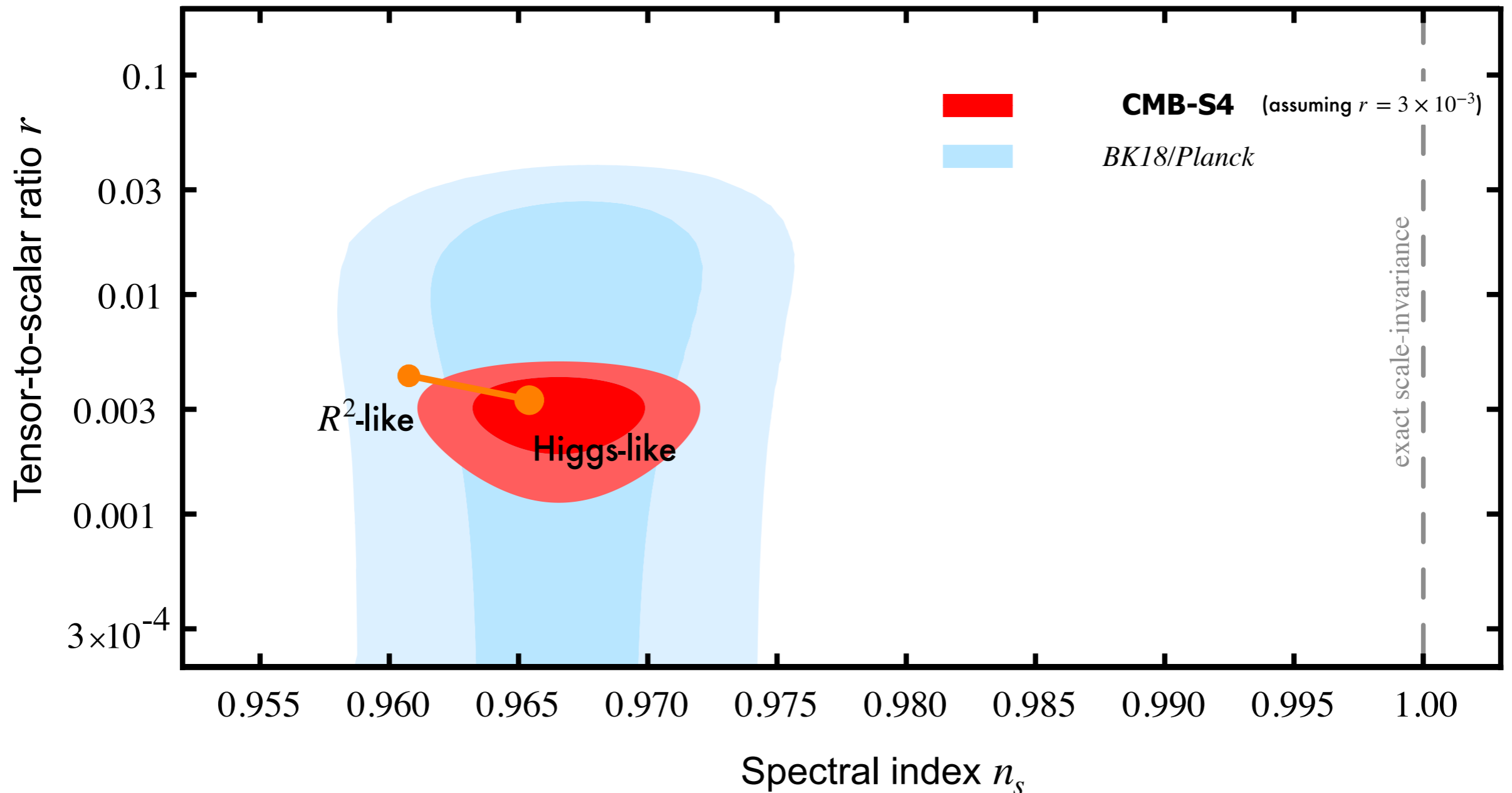
($M_P \rightarrow \infty$ with M_P/ξ : fixed, renormalizability of (spin-0 part of) quadratic gravity)

Higgs- R^2 inflation: prediction

CMB requires $\frac{\xi^2}{\lambda} + 4\alpha \simeq 2 \times 10^9$.

$$\left\{ \begin{array}{l} \xi^2/\lambda \gg \alpha \rightarrow \text{inflaton: Higgs-like,} \\ \xi^2/\lambda \ll \alpha \rightarrow \text{inflaton: scalaron-like.} \end{array} \right.$$

[Snowmass2021 Cosmic Frontier: CMB Measurements White Paper] (adapted by YE)



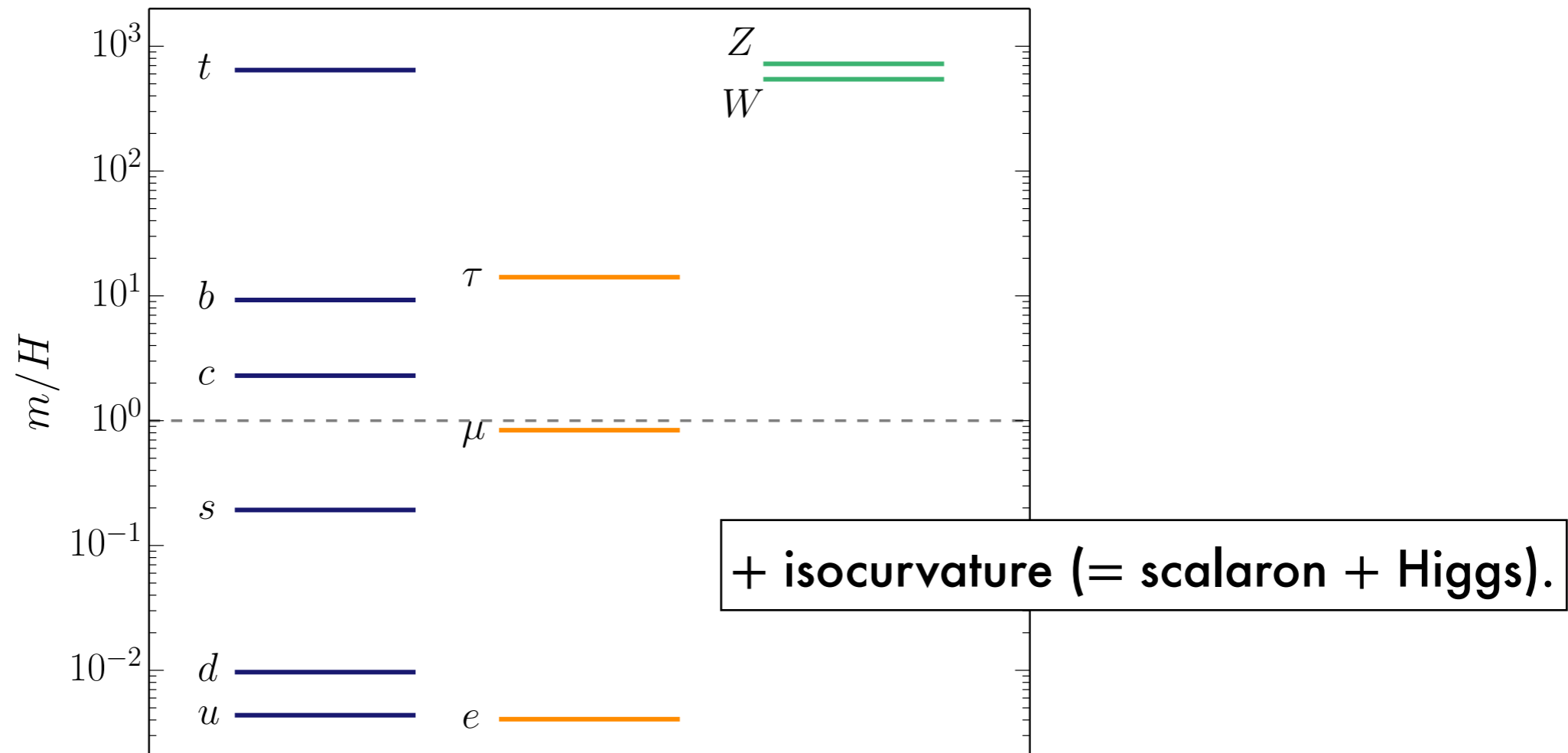
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1. Higgs inflation, unitarity and R^2
- 2. Cosmological collider signatures**
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SM mass spectrum

- SM mass spectrum rich and some as light as H even though $h_0 \gg H$ during inflation.

[Chen+ 16]



- Inflaton couples to SM particles through Higgs/conformal factor in Higgs- R^2 inflation.



Any observable cosmological collider signatures?

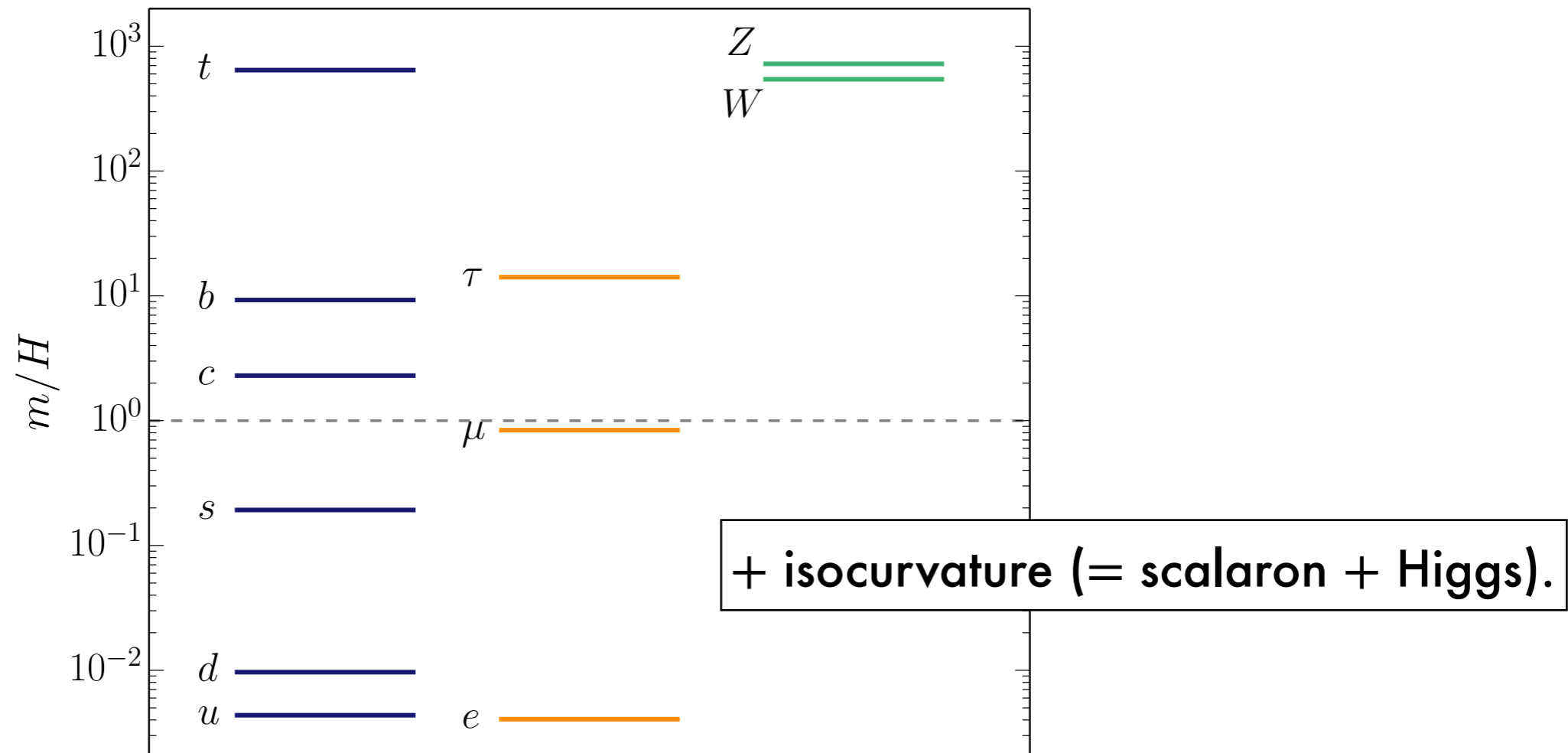
SPOILER ALERT!

Yes, but only in somewhat a corner of parameter space.

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Any observable cosmological collider signatures?

SPOILER ALERT!

Yes, but only in somewhat a corner of parameter space.

Fermion and gauge boson

- Inflaton couples to SM fermion and gauge boson through the mass term:

$$S_{\text{int}} = \int d^4x \sqrt{-g} \mathcal{O}_{\text{SM}} \left[1 + c_1 \frac{\varphi}{N_e M_P} + c_2 \frac{\varphi^2}{2M_P^2} \right], \quad \mathcal{O}_{\text{SM}} = -m\bar{\psi}\psi, \quad \frac{m^2}{2} g^{\mu\nu} A_\mu A_\nu,$$

with $(c_1, c_2) = (\sqrt{6}/16, 1/6)$ for ψ and $(\sqrt{6}/8, 1/3)$ for A_μ .

- Cosmological collider signatures diagrammatically given by

$$\langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \zeta_{\vec{k}_3} \rangle' = \text{Diagram} \equiv (2\pi)^4 \frac{P_\zeta^2}{k_1^2 k_2^2 k_3^2} \times S_{\text{NG}}.$$

- Squeezed limit given by

$$S_{\text{NG}} = \begin{cases} -\frac{3c_1 c_2 H \dot{\phi}_0}{8\pi^4 N_e M_P^3} \frac{m^2}{H^2} C_{1/2}(\nu_-) \left(\frac{k_3}{k_1}\right)^{4-2\nu_-} + (\nu_- \rightarrow \nu_+) : \text{fermion}, \\ -\frac{3c_1 c_2}{8\pi^4} \frac{H \dot{\phi}_0}{N_e M_P^3} \frac{m^4}{H^4} C_1(\nu) \left(\frac{k_3}{k_1}\right)^{2-2\nu} + (\nu \rightarrow -\nu) : \text{gauge boson}. \end{cases}$$

➔ $S_{\text{NG}} \lesssim \frac{H \dot{\phi}_0}{(2\pi)^4 N_e M_P^3} \sim 10^{-17}$: far too small to be observable.

Isocurvature mode

- Action of adiabatic mode ζ and isocurvature mode χ given by

$$S = \int dt d^3x a^3 \left[\frac{1}{2} \frac{\dot{\phi}_0^2}{H^2} \left(\dot{\zeta}^2 - \frac{1}{a^2} (\partial_i \zeta)^2 \right) + \frac{1}{2} \left(\dot{\chi}^2 - \frac{1}{a^2} (\partial_i \chi)^2 - m_\chi^2 \chi^2 \right) - \frac{2\dot{\theta}\dot{\phi}_0}{H} \dot{\zeta}\chi \right]$$

where $m_\chi^2 \simeq \frac{\xi(24\lambda\alpha + \xi(1 + 6\xi))}{\lambda\alpha} H^2 > 24\xi H^2$, $\frac{\dot{\theta}}{H} \simeq \sqrt{\frac{3\xi}{2(4\lambda\alpha + \xi^2)}}$.

- Isocurvature mode heavy in “standard” case $\xi \gg 1$ but light for $\xi \sim \lambda\alpha \lesssim \mathcal{O}(0.1)$.



Realizing the idea of “quasi-single field inflation”: [Chen+ 09]

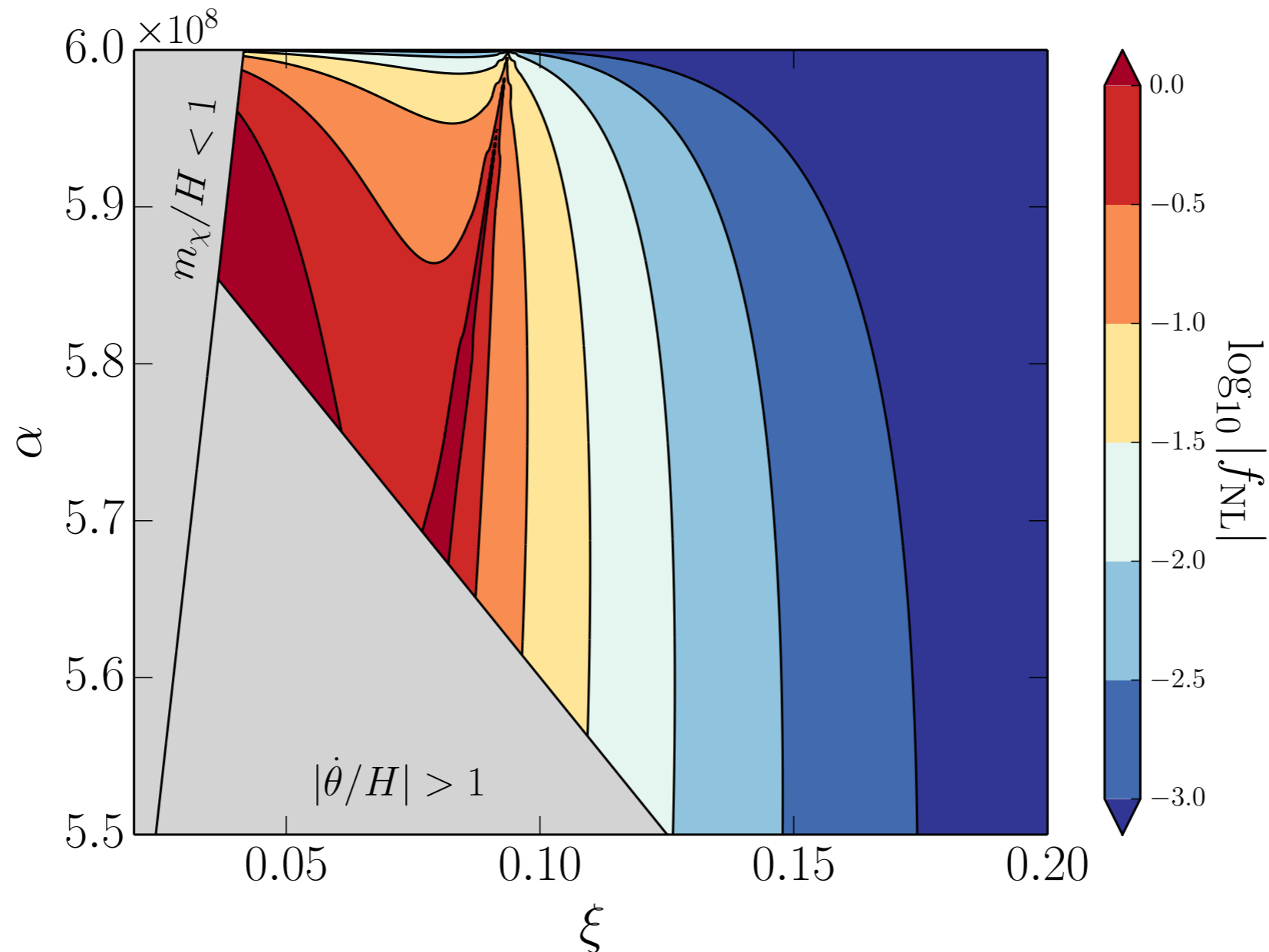
- Three point couplings from potential dominate:

$$S_{\text{cubic}} = \int d\tau d^3x a^4 \left[-\frac{1}{6} V_{N^3} \chi^3 - \frac{1}{2} V_{T^2N} \varphi^2 \chi \right], \quad V_{N^3} \sim V_{T^2N} \sim \frac{H}{\sqrt{\alpha}} \quad (\text{for } \xi \sim \lambda\alpha \sim \mathcal{O}(1)).$$

Signal of isocurvature mode

$$\boxed{-\frac{1}{6}V_{N^3}\chi^3 - \frac{1}{2}V_{T^2N}\phi^2\chi}$$

$$\langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \zeta_{\vec{k}_3} \rangle' = \left[\text{Diagram 1} + \text{Diagram 2} + (\text{perms.}) \right] \sim P_\zeta^{-1/2} \frac{\dot{\theta}^3}{H^3} \frac{V_{N^3}}{H}, \quad P_\zeta^{-1/2} \frac{\dot{\theta}}{H} \frac{V_{T^2N}}{H}$$



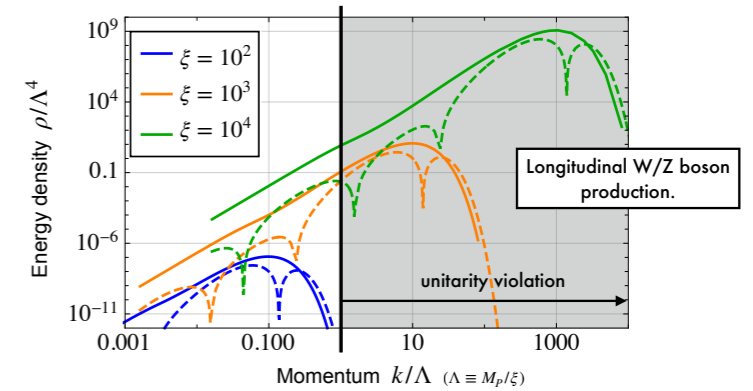
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Summary

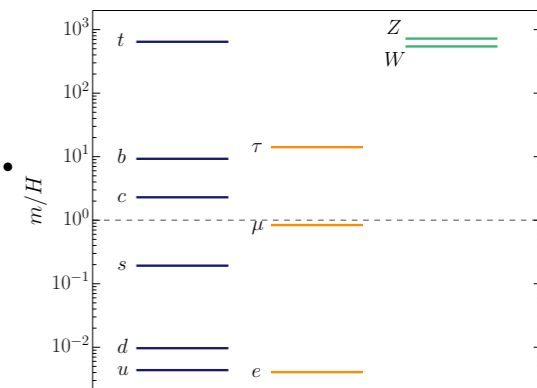
- Higgs inflation is appealing at first sight, as Higgs is the only scalar field within SM.

BUT unitarity violated during reheating:

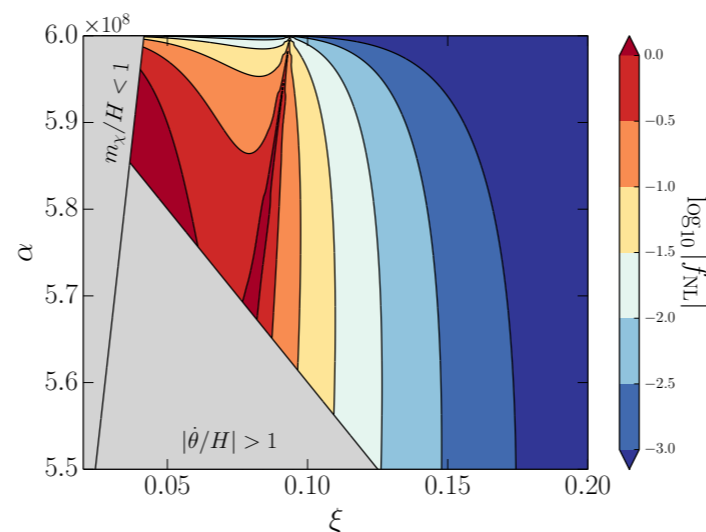


- Higgs- R^2 inflation UV-completes, with scalaron playing the role of “ σ -meson”.

- Rich SM mass spectrum and inflaton naturally couples to SM particles.



- Cosmological collider signature from the isocurvature mode can be sizable:

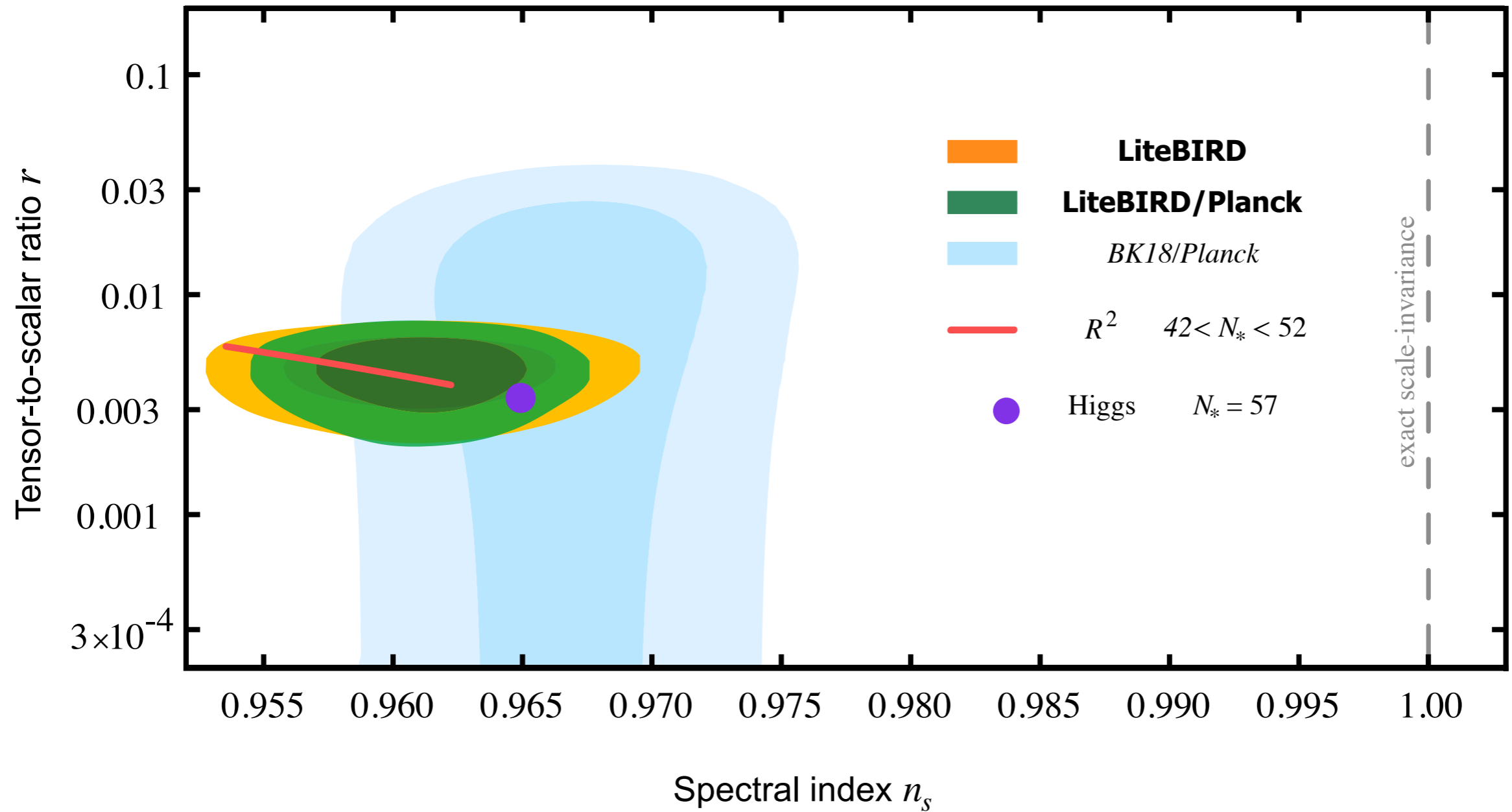


Back up

LiteBIRD

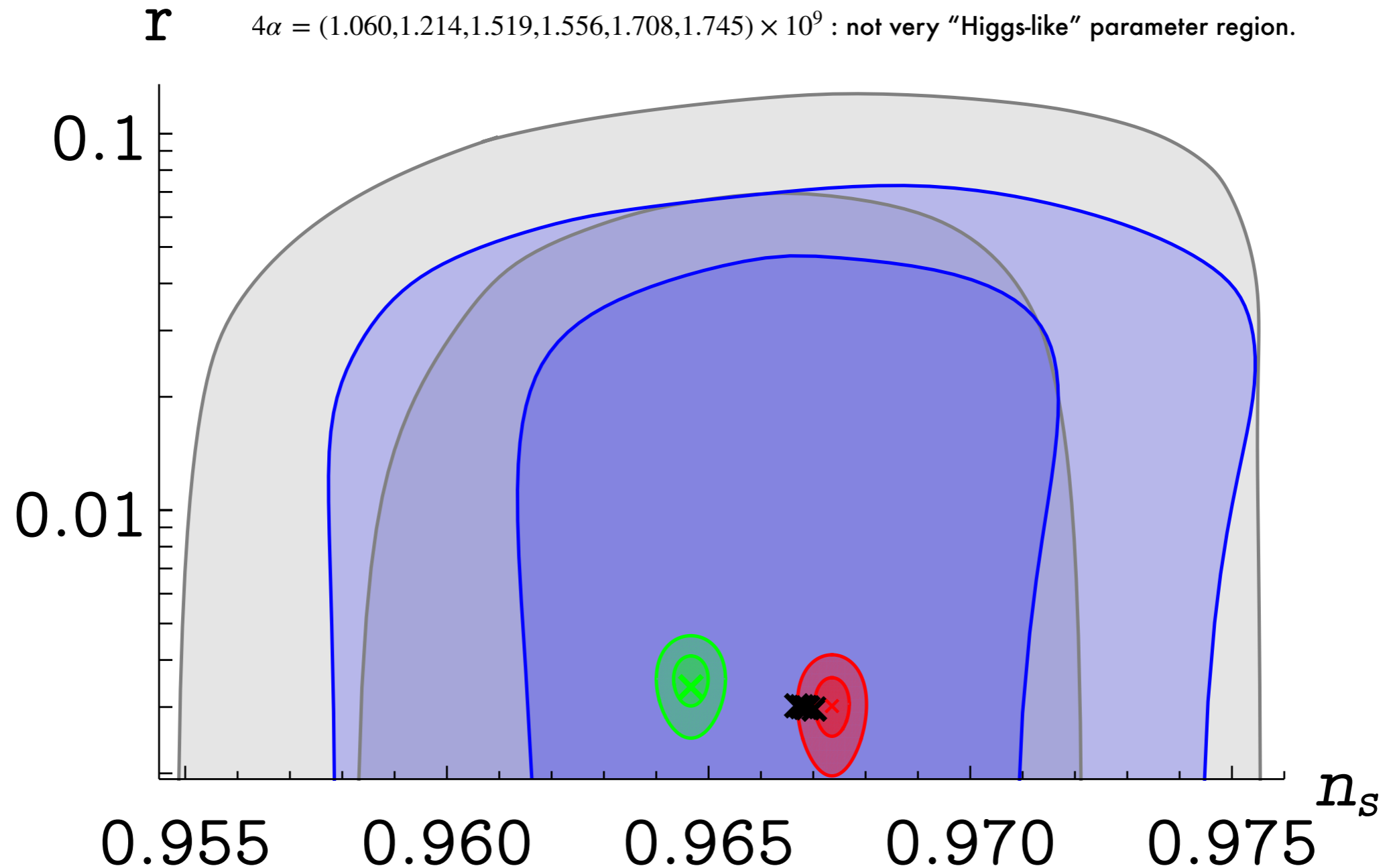
True values: $n_s = 0.961$, $r = 0.0046$ assumed.

[LiteBIRD Collaboration 22] (adapted by YE)

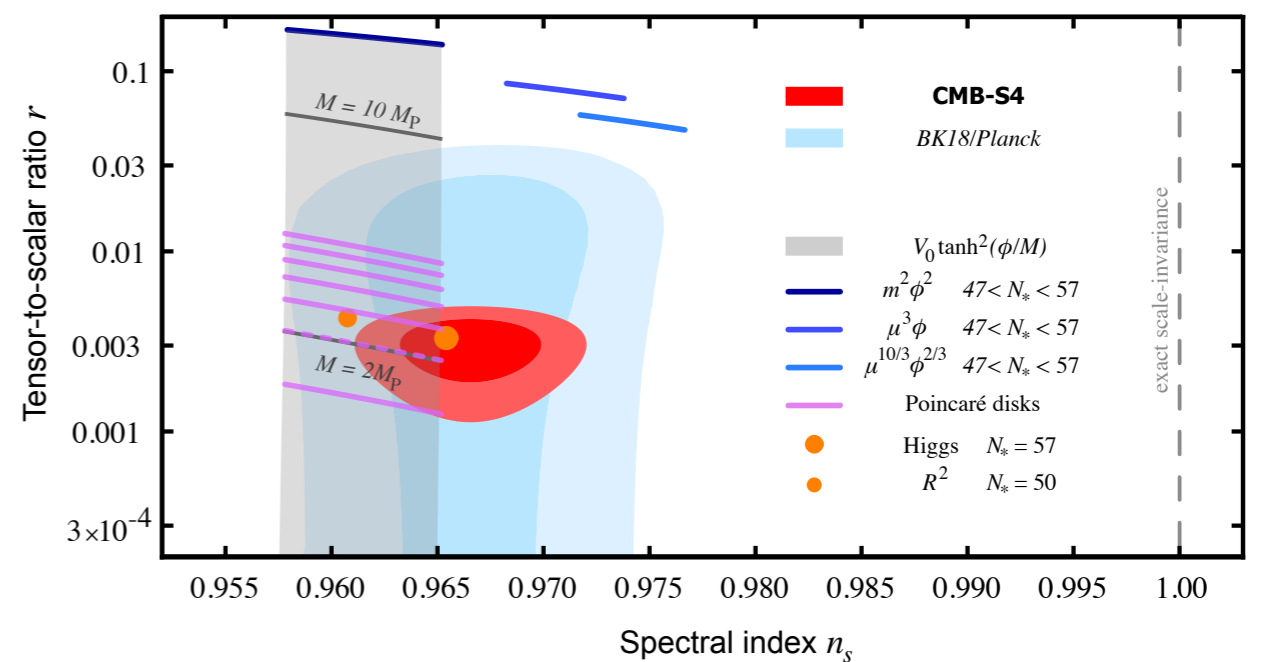
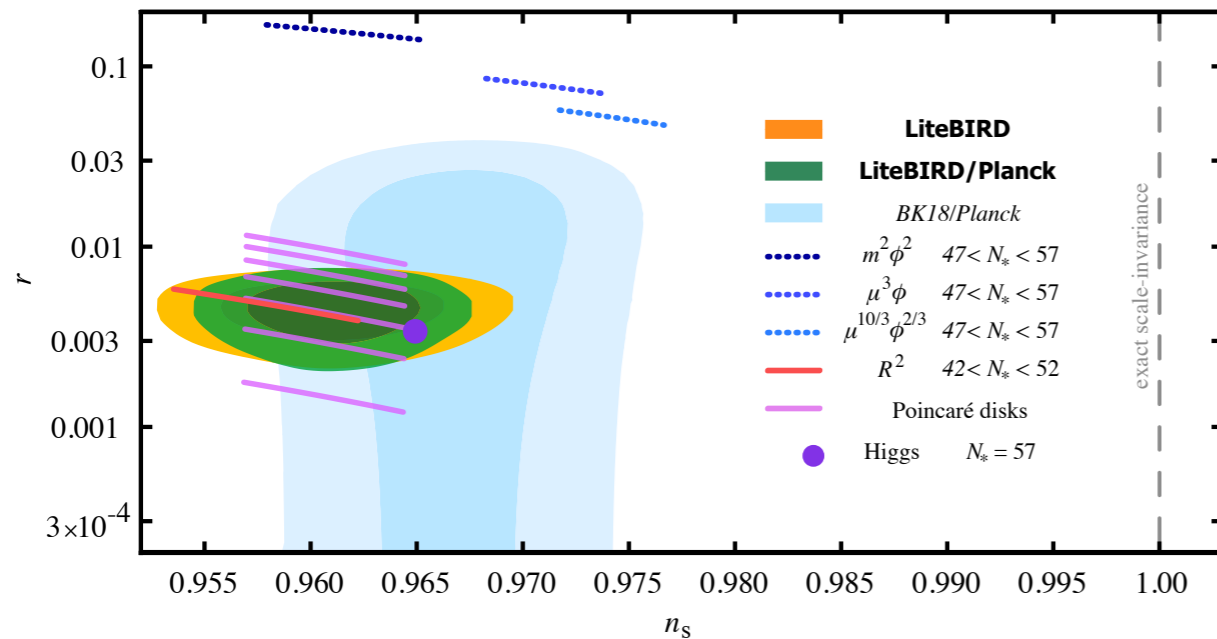
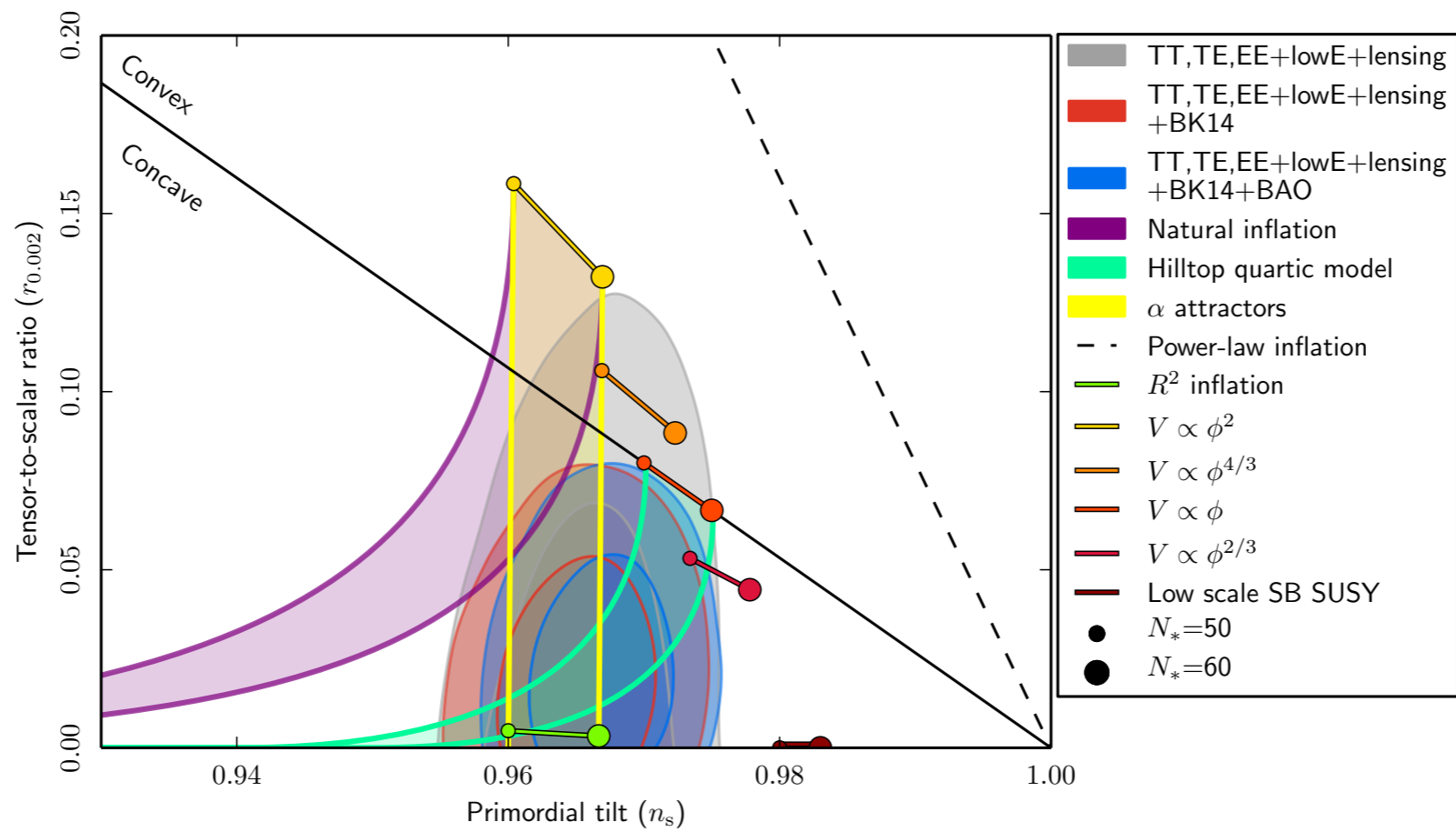


Higgs- R^2 inflation: reheating

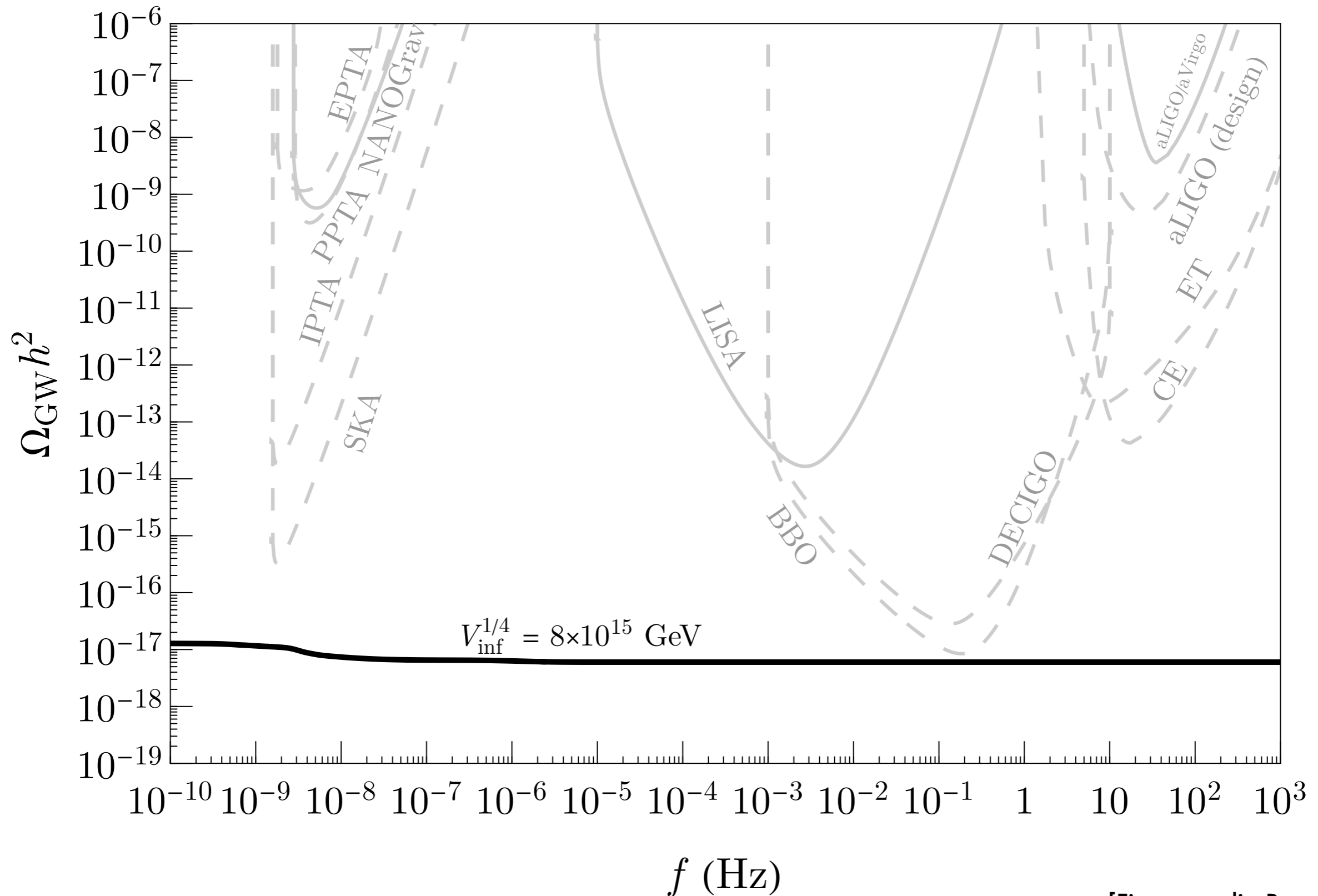
Reheating tends to be efficient \rightarrow predicts large N_e .



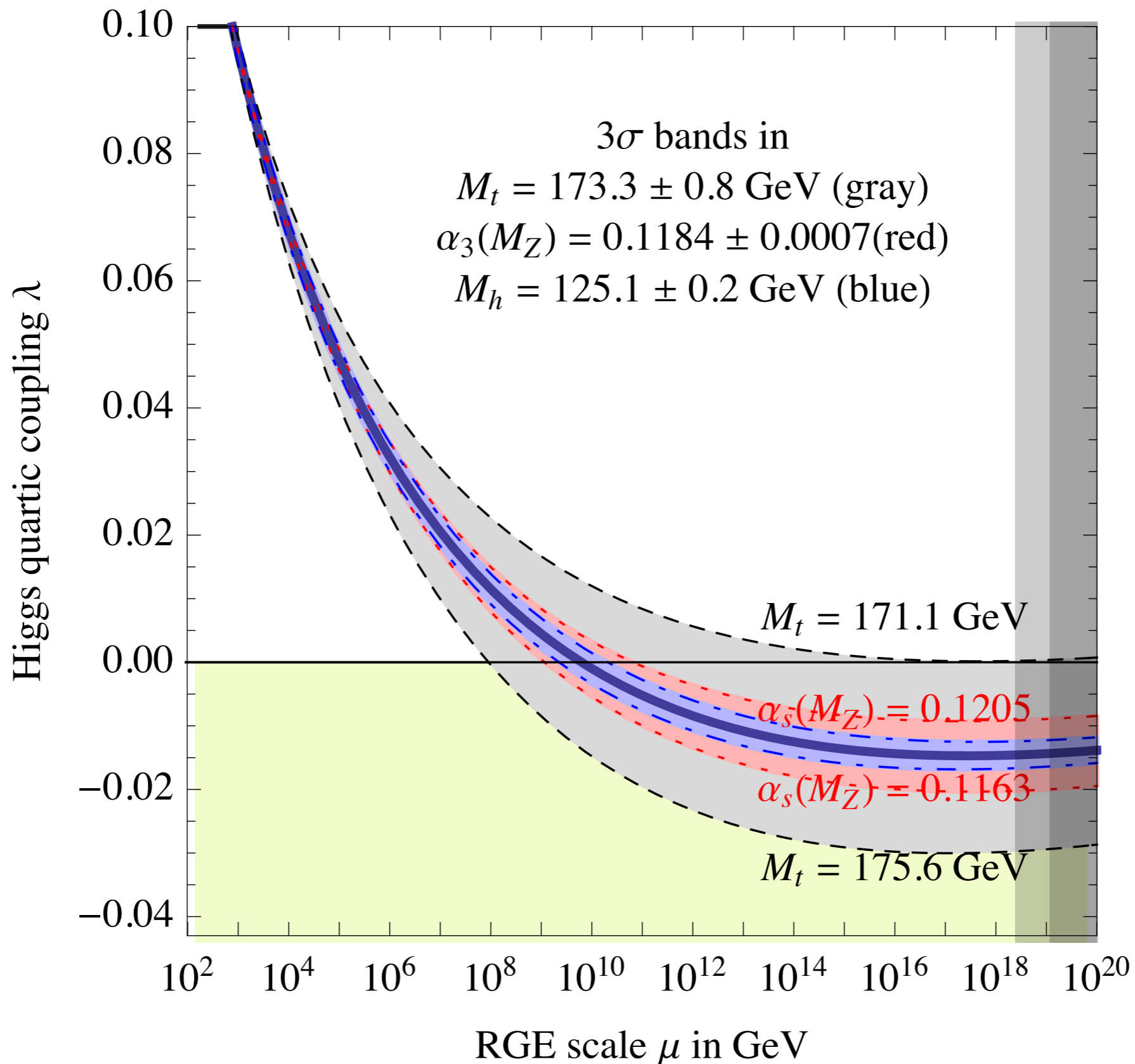
Other inflation models



Gravitational wave experiments

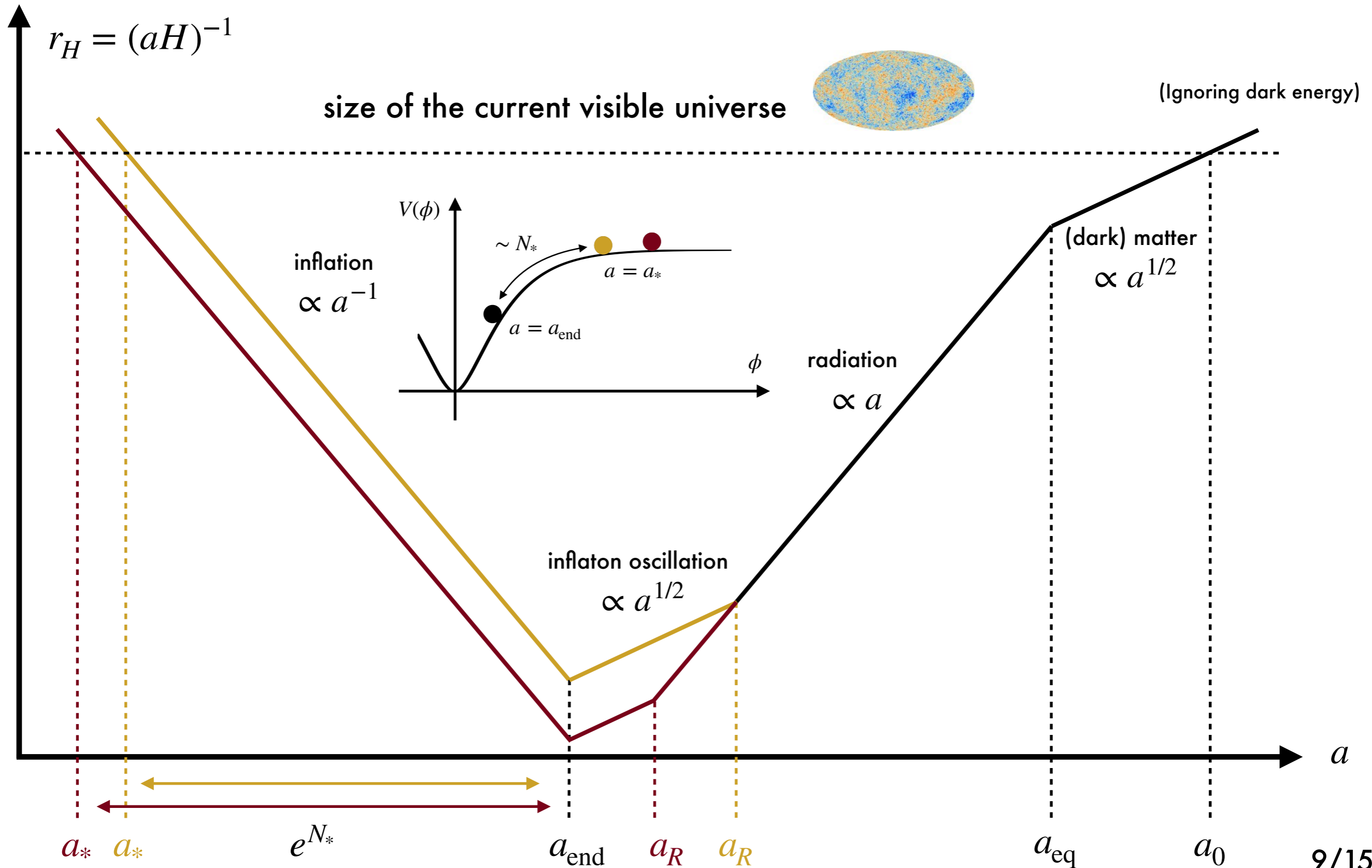


Running of Higgs quartic coupling



Reheating

Cosmological perturbation depends on reheating temperature T_R through N_* .



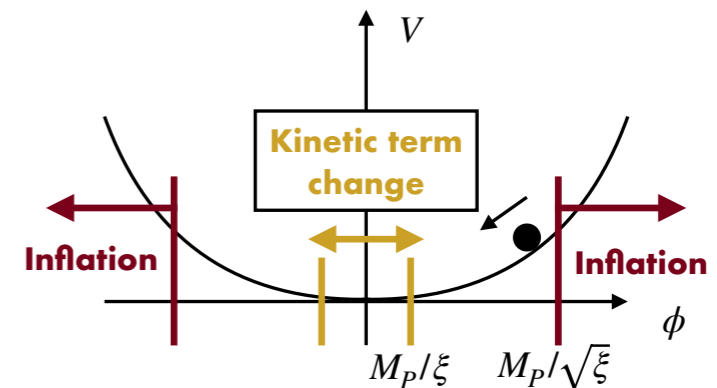
Spiky oscillation after inflation

- Higgs fields have a non-trivial target space in Einstein frame:

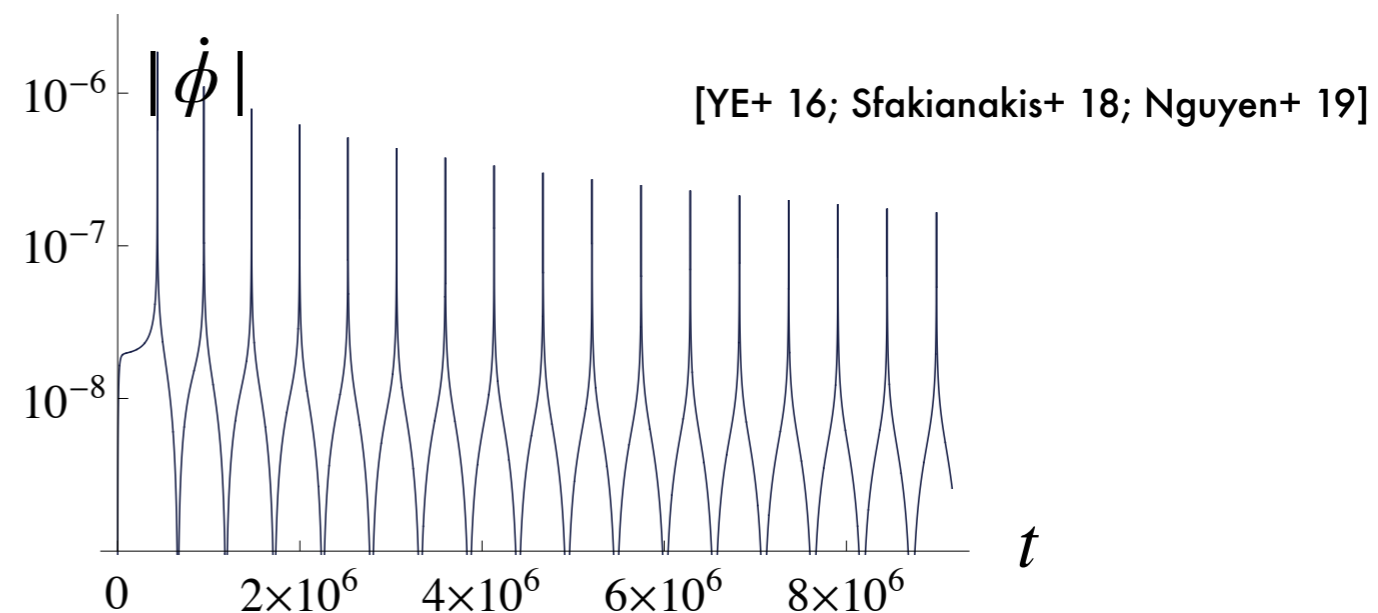
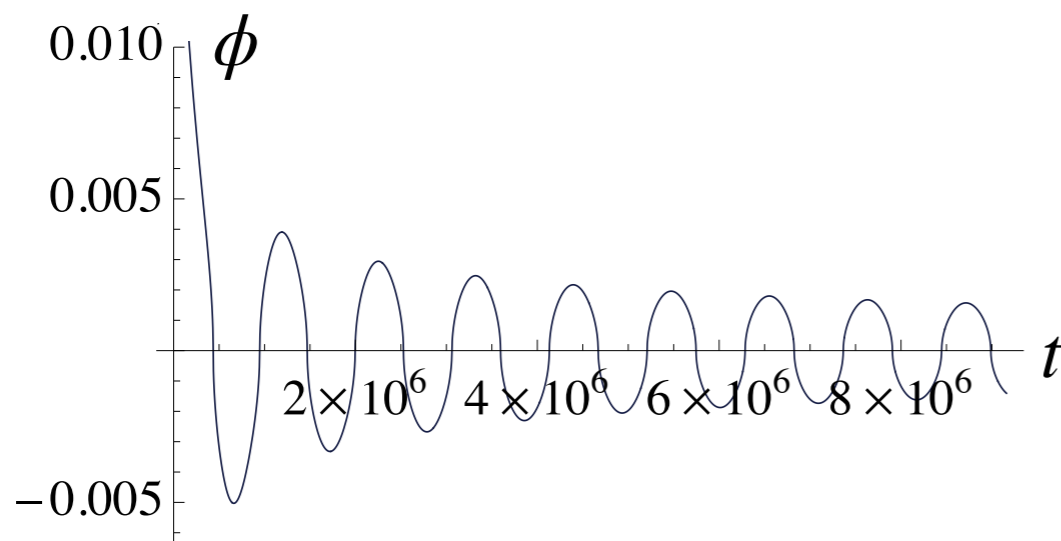
$$S = \int d^4x \sqrt{-g} \left[\frac{M_P^2}{2} R + \frac{1}{2} h_{ab} g^{\mu\nu} \partial_\mu \phi^a \partial_\nu \phi^b - V(\phi) \right]$$

with $h_{ab} = \frac{1}{\Omega^4} \begin{pmatrix} \Omega^2 + \frac{6\xi^2 \phi^2}{M_P^2} & \frac{6\xi^2 \phi \chi}{M_P^2} \\ \frac{6\xi^2 \phi \chi}{M_P^2} & \Omega^2 + \frac{6\xi^2 \chi^2}{M_P^2} \end{pmatrix}$ with χ : NG mode(s) and $\Omega^2 = 1 + \xi \frac{\phi^2 + \chi^2}{M_P^2}$ for HI.

- Kinetic term drastically changes for $|\phi| \lesssim M_P/\xi$,



➔ a "spiky" feature for $|\phi| \lesssim M_P/\xi$, causing unitarity violation.



Target space and unitarity

An easy-to-use condition of unitarity violation from target space

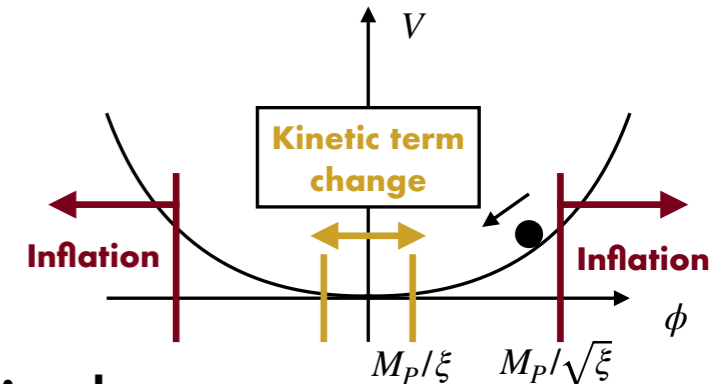
[YE, Jinno, Nakayama, van de Vis 21]

- NG boson has mass from target space curvature \rightarrow feels spikes:

$$m_\chi^2 = \nabla^\chi V_\chi - \dot{\phi}^2 R^\chi_{\phi\phi\chi}, \quad \text{e.g.} \left(1 + \frac{\chi^2}{\Lambda^2}\right) (\partial\phi)^2 \rightarrow m_\chi^2 = -\frac{\dot{\phi}^2}{\Lambda^2}.$$

- Inflaton motion changes for $|\phi| \lesssim \Lambda$ with curvature $R[h] \sim \Lambda^{-2}$ ($\Lambda \sim M_P/\xi$ for HI).

\Rightarrow typical momentum scale: $k_{\text{spike}} \sim (\Lambda/\dot{\phi}_{\text{origin}})^{-1}$.



- Cut-off also $\sim \Lambda$ since the curvature affects e.g. scattering amplitudes.

- With energy cons. $\dot{\phi}_{\text{origin}}^2 \sim V_{\text{inf}}$, unitarity violation $k_{\text{spike}} \gtrsim \Lambda$ translates to

$V_{\text{inf}} \gtrsim \Lambda^4$: simply compare inflation energy scale and cut-off.

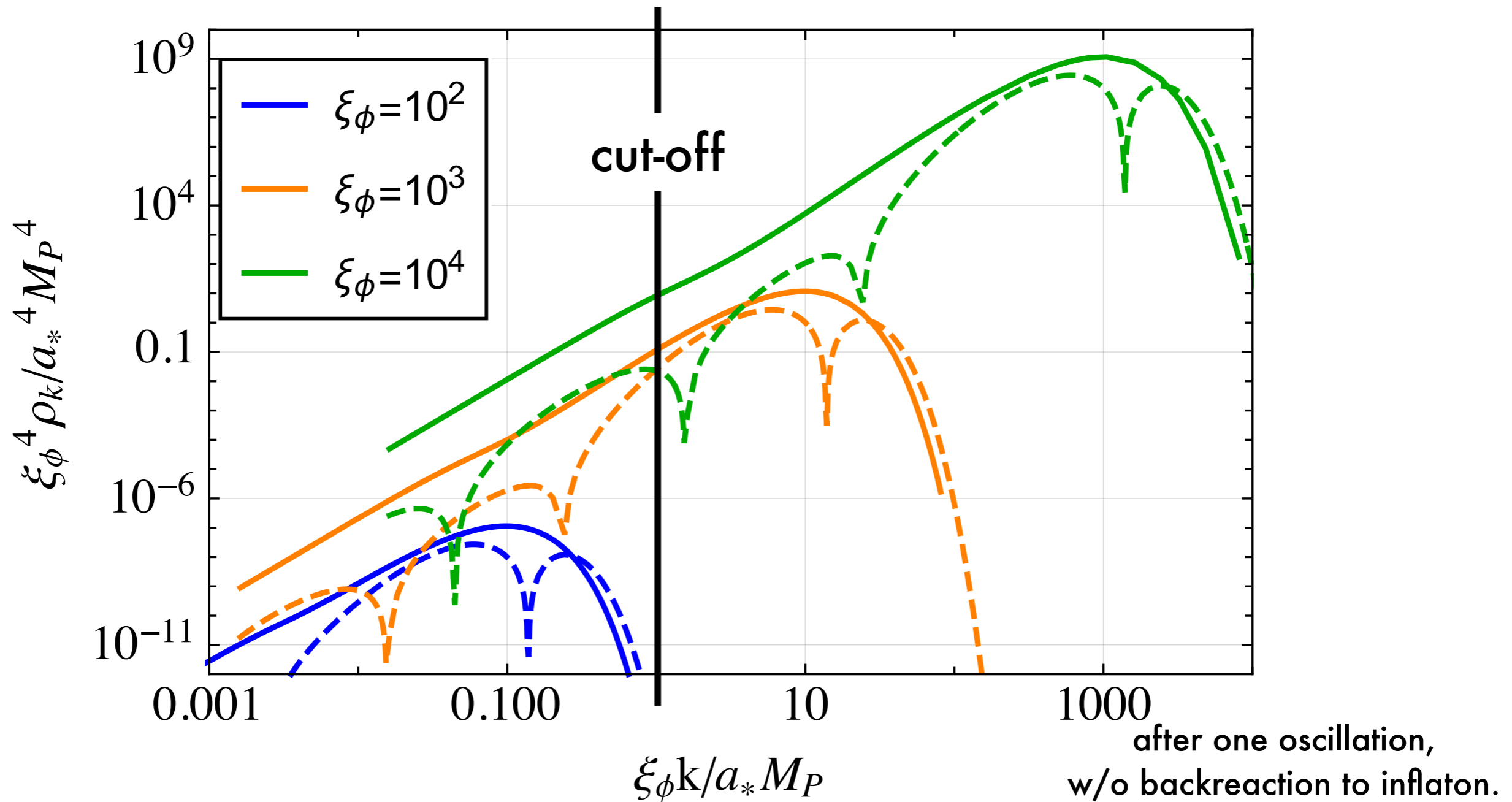
e.g. $V_{\text{inf}}/\Lambda^4 \sim \lambda\xi^2 \sim 10^{-9}\xi^4$ for HI \rightarrow unitarity violation for $\xi \gtrsim 10^2$.

- Applicable to other inflation models (can see e.g. running kinetic inflation violates unitarity).

Numerical result

Numerical result confirms the previous estimation.

[YE, Jinno, Nakayama, van de Vis 21]



$$\rho_\chi \sim k_{\text{spike}}^4 \sim V_{\text{inf}}^2 / \Lambda^4 > V_{\text{inf}} \text{ for } V_{\text{inf}} > \Lambda^4 \rightarrow \text{this production is fatal.}$$

Linear σ -model

[YE, Mukaida, van de Vis 20]

$$\mathcal{L} = \frac{M_P^2}{2} \left(1 + \frac{\xi \phi_i^2}{M_P^2} \right) R + \alpha R^2 + \frac{1}{2} (\partial \phi_i)^2 - \frac{\lambda}{4} \phi_i^4.$$

Auxiliary field introduction + Weyl transformation

$$\mathcal{L} = \frac{M_P^2}{2} \left(1 - \frac{\sigma^2}{6M_P^2} - \frac{\phi_i^2}{6M_P^2} \right) R + \frac{1}{2} \left[(\partial \phi_i)^2 + (\partial \sigma)^2 \right] - \frac{\lambda}{4} \phi_i^4 - \frac{1}{144\alpha} \left[\frac{3M_P^2}{2} - \left(\sigma + \sqrt{\frac{3}{2}} M_P \right)^2 - \frac{6\xi + 1}{2} \phi_i^2 \right]^2.$$

Flat kinetic term in the conformal frame!

O(N) NLSM

pions π_i

target space:

$$\pi_i^2 + h^2 = v^2, \quad (\pi_i, h) \in \mathbb{R}^{(N+1)}$$

sigma meson σ

Higgs inflation

**Higgs fields ϕ_i
conformal mode of metric Φ**

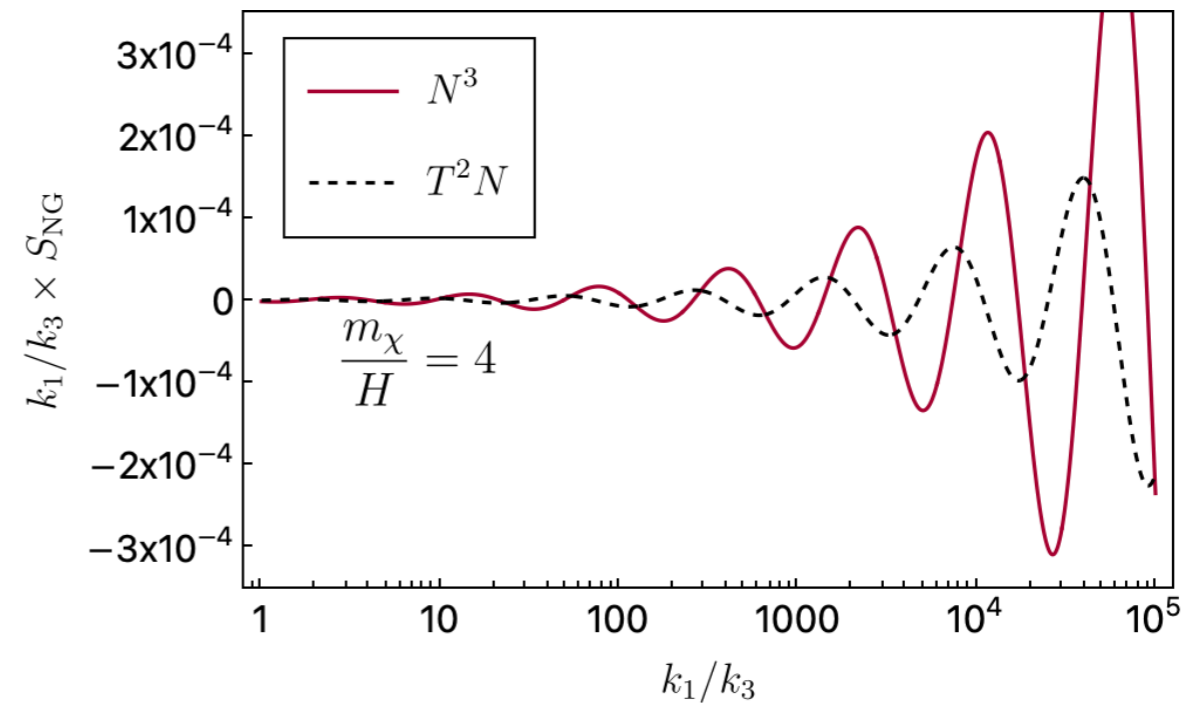
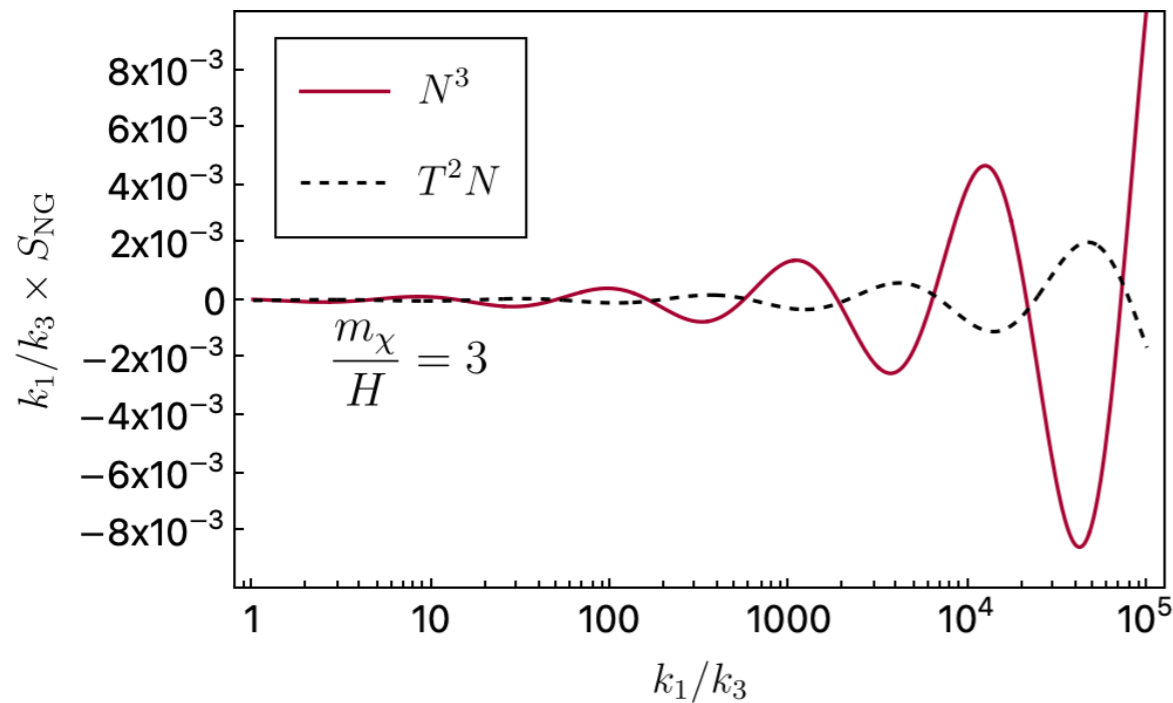
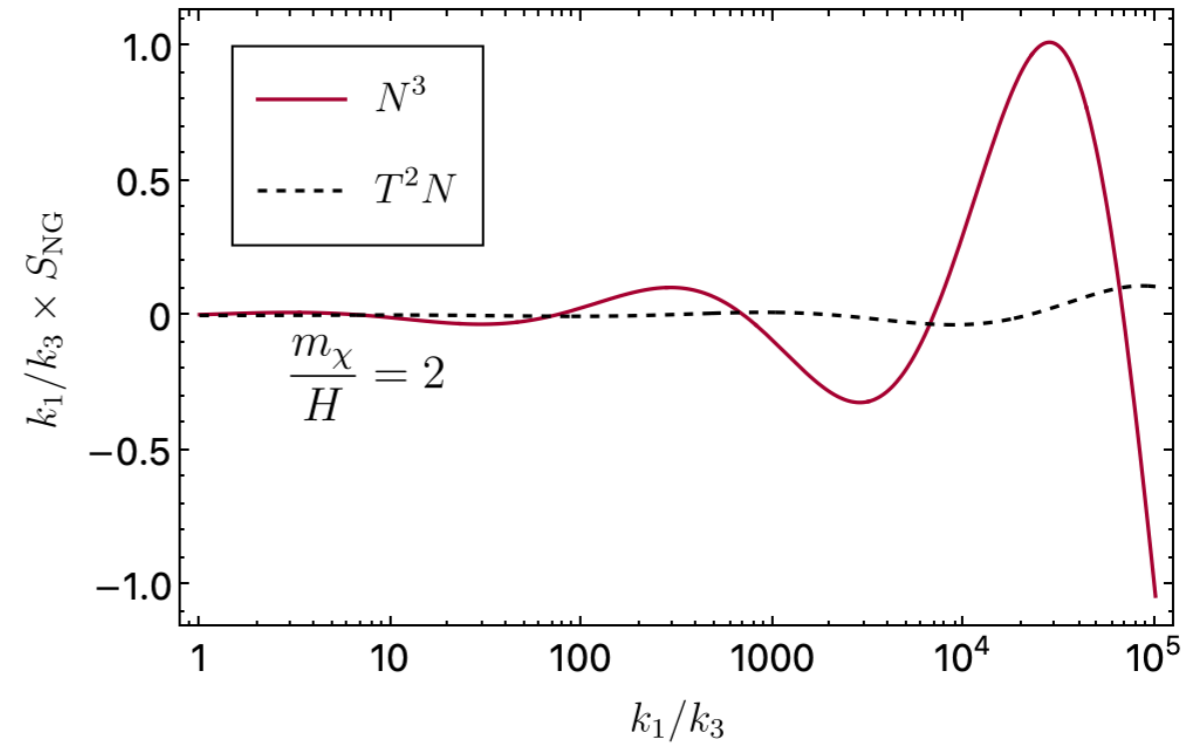
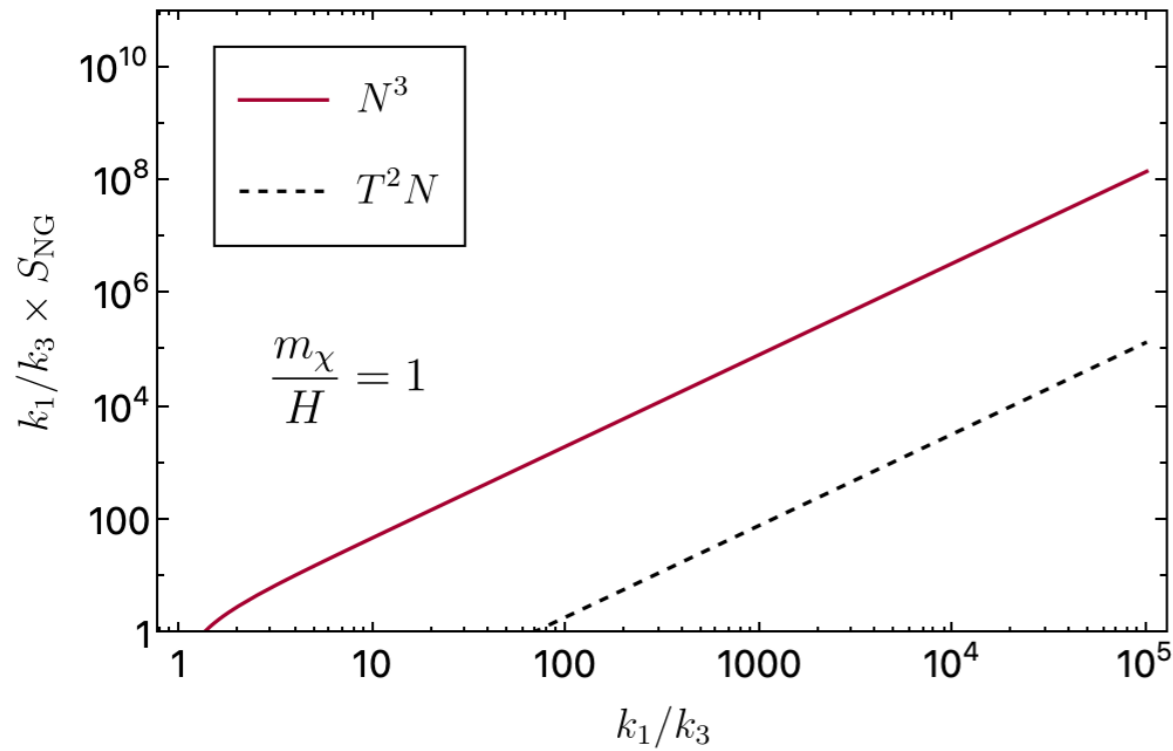
target space:

$$\frac{6\xi + 1}{2} \phi_i^2 + \left(h + \frac{\Phi}{2} \right)^2 = \frac{\Phi^2}{4}, \quad (\Phi, \phi_i, h) \in \mathbb{R}^{(1, N+1)}$$

scalaron σ

Cosmo collider signatures

[YE, Verner 23]



Renormalizability of LSM

[YE, Mukaida, van de Vis 20]

- The LSM with the Higgs mass and the cosmological constant is renormalizable.

(= renormalizability of (spin-0 part of) quadratic gravity)

➔ One can compute the RGEs without any ambiguity!

$$\beta_{g_1}^{(1)} = \frac{41}{10}g_1^3, \quad \beta_{g_2}^{(1)} = -\frac{19}{6}g_2^3, \quad \beta_{g_3}^{(1)} = -7g_3^3,$$

$$\beta_{y_t}^{(1)} = y_t \left[\frac{9y_t^2}{2} - \frac{17}{20}g_1^2 - \frac{9}{4}g_2^2 - 8g_3^2 \right],$$

$$\beta_{\lambda}^{(1)} = (8\bar{\xi}^2 - 8\bar{\xi} + 2)\bar{\xi}^2\lambda_\alpha^2 + 24\bar{\xi}^2\lambda\lambda_\alpha + 24\lambda^2 - 6y_t^4 + \frac{27g_1^4}{200} + \frac{9g_2^4}{8} + \frac{9}{20}g_1^2g_2^2 + \left[12y_t^2 - \frac{9g_1^2}{5} - 9g_2^2 \right]\lambda,$$

1-loop:

$$\beta_{\lambda_m}^{(1)} = 2\bar{\xi}(2\bar{\xi} - 1)\lambda_\alpha^2 - 8\bar{\xi}\lambda_m^2 + \lambda_m \left[4\bar{\xi}^2\lambda_\alpha + 8\bar{\xi}\lambda_\alpha - 3\lambda_\alpha + 12\lambda + 6y_t^2 - \frac{9g_1^2}{10} - \frac{9g_2^2}{2} \right],$$

$$\beta_{\bar{\xi}}^{(1)} = \bar{\xi} \left[(4\bar{\xi}^2 + 4\bar{\xi} - 3)\lambda_\alpha + 12\lambda + 6y_t^2 - \frac{9}{10}g_1^2 - \frac{9}{2}g_2^2 \right],$$

$$\beta_{\lambda_\alpha}^{(1)} = (8\bar{\xi}^2 + 5)\lambda_\alpha^2,$$

$$\beta_{\lambda_\Lambda}^{(1)} = \frac{\lambda_\alpha^2}{2} - 2\lambda_\alpha\lambda_\Lambda - 16\bar{\xi}\lambda_\Lambda\lambda_m + 2\lambda_m^2.$$

* See 2008.01096 for an explicit form up to 2-loop.

- The Higgs mass and the CC are naturally at the scalaron mass scale = hierarchy problem.

➔ They do not affect inflationary dynamics, but (p)reheating??

- EW scale parameters can be related to inflationary scale parameters (with ξ and α).

Spin-two sector

- Vacuum pol. diagrams contain divergences.

➔ Renormalized by $\mathcal{L}_{\text{c.t.}} = \alpha R^2 + \alpha_2 \left(R_{\mu\nu} R^{\mu\nu} - \frac{1}{3} R^2 \right)$.

* We have no choice but including these terms.

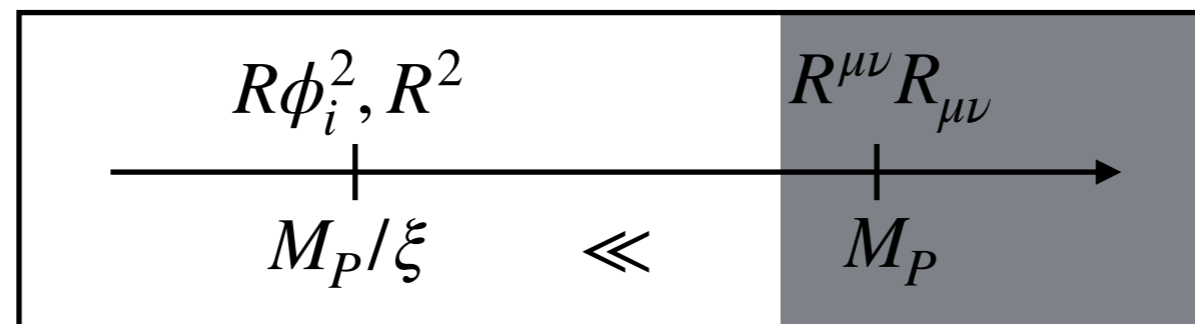
- Renormalization group equations:

$$\beta_\alpha \equiv \frac{d\alpha}{d \ln \mu} = -\frac{N_s}{1152\pi^2} (1 + 6\xi)^2, \quad \beta_{\alpha_2} \equiv \frac{d\alpha_2}{d \ln \mu} = -\frac{N_s}{960\pi^2}.$$

The hierarchy $\alpha \sim \mathcal{O}(\xi^2) \gg \alpha_2 \sim \mathcal{O}(1)$ naturally exits.

- Alternatively, the coupling for the spin-2 is suppressed:

$$T_{\mu\nu} \ni \xi \left(\partial_\mu \partial_\nu - \eta_{\mu\nu} \square \right) \phi_i^2 \quad \rightarrow \quad h_{\mu\nu}^\perp T^{\mu\nu}: \text{independent of } \xi.$$



Frame-independent target space

[YE, Mukaida, van de Vis 20]

- Naive definition solely by scalar fields is frame-dependent.

$$\begin{cases} \mathcal{L}_J = \frac{M_P^2}{2} \Omega^2 R + \frac{1}{2} (\partial\phi_i)^2 + \dots, & \Omega^2 = 1 + \frac{\xi\phi_i^2}{M_P^2}, \\ \mathcal{L}_E = \frac{M_P^2}{2} R + \frac{1}{2\Omega^4} \left(\Omega^2 \delta_{ij} + \frac{6\xi^2\phi_i\phi_j}{M_P^2} \right) \partial\phi_i \partial\phi_j + \dots \end{cases}$$

Physics is frame-independent \rightarrow a frame-independent definition is desirable.

- Frame-independent definition by including the conformal mode.

$$\text{Metric decomposition: } g_{\mu\nu} = e^{2\varphi} \tilde{g}_{\mu\nu}, \quad \text{Det} [\tilde{g}_{\mu\nu}] = -1.$$

$$\Phi = \sqrt{6} M_P e^\varphi: \text{conformal mode.}$$



Target space defined by (ϕ_i, Φ) : frame-independent!

\therefore Weyl transformation = redefinition of Φ = coordinate transf. of target space.

Higgs inflation as NLSM

[YE, Mukaida, van de Vis 20]

- Focus on the conformal mode of the metric as $g_{\mu\nu} = e^{2\varphi}\eta_{\mu\nu}$.

$$\Rightarrow S = \int d^4x \left[-\frac{1}{2} (\partial\Phi)^2 + \frac{1}{2} (\partial\phi_i)^2 + \frac{6\xi + 1}{2} \left(\frac{\square\Phi}{\Phi} \right) \phi_i^2 - \frac{\lambda}{4} \phi_i^4 \right].$$

- Can be simplified by field redefinitions as

$$S = \int d^4x \left[-\frac{1}{2} (\partial\Phi)^2 + \frac{1}{2} (\partial\phi_i)^2 + \frac{1}{2} (\partial h)^2 - \frac{\lambda}{4} \phi_i^4 \right],$$

$$\text{where } h(\Phi, \phi_i) = \frac{1}{2} \left[\sqrt{\Phi^2 - 2(6\xi + 1)\phi_i^2} - \Phi \right].$$

\Rightarrow Interpreted frame-independently as NLSM.

- Φ is ghost-like but harmless.

* Similar to A_0 of U(1) gauge boson in the Lorentz gauge $\partial_\mu A^\mu = 0$:

$$\mathcal{L} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} = -\frac{1}{2} \eta^{\alpha\beta} \partial^\mu A_\alpha \partial_\mu A_\beta = -\frac{1}{2} (\partial A_0)^2 + \frac{1}{2} (\partial A_i)^2.$$

Scalaron as σ -meson

- Higgs inflation as NLSM:

[YE, Mukaida, van de Vis 20]

$$\mathcal{L}_{\text{NLSM}} = -\frac{1}{2}(\partial\Phi)^2 + \frac{1}{2}(\partial\phi_i)^2 + \frac{1}{2}(\partial h)^2 - \frac{\lambda}{4}\phi_i^4, \quad h = \frac{1}{2} \left[\sqrt{\Phi^2 - 2(6\xi + 1)\phi_i^2} - \Phi \right].$$



Naturally imply σ -meson that linearizes the NLSM:

$$\mathcal{L}_{\text{LSM}} = -\frac{1}{2}(\partial\Phi)^2 + \frac{1}{2}(\partial\phi_i)^2 + \frac{1}{2}(\partial\sigma)^2 - \frac{1}{144\alpha} \left[\frac{\Phi^2}{4} - \left(\sigma + \frac{\Phi}{2} \right)^2 - \frac{6\xi + 1}{2}\phi_i^2 \right]^2 - \frac{\lambda}{4}\phi_i^4.$$

- It is identified as the scalaron:

$$\mathcal{L} = \frac{M_P^2}{2} \left(1 + \frac{\xi\phi_i^2}{M_P^2} \right) R + \alpha R^2 + \frac{1}{2}(\partial\phi_i)^2 - \frac{\lambda}{4}\phi_i^4.$$

Auxiliary field introduction + Weyl transformation

$$\mathcal{L} = \frac{M_P^2}{2} \left(1 - \frac{\sigma^2}{6M_P^2} - \frac{\phi_i^2}{6M_P^2} \right) R + \frac{1}{2} \left[(\partial\phi_i)^2 + (\partial\sigma)^2 \right] - \frac{\lambda}{4}\phi_i^4 - \frac{1}{144\alpha} \left[\frac{3M_P^2}{2} - \left(\sigma + \sqrt{\frac{3}{2}}M_P \right)^2 - \frac{6\xi + 1}{2}\phi_i^2 \right]^2.$$

Flat kinetic term in the conformal frame!

$g_{\mu\nu} = e^{2\varphi}\eta_{\mu\nu}$ + rescaling fields

$$\mathcal{L} = -\frac{1}{2}(\partial\Phi)^2 + \frac{1}{2}(\partial\phi_i)^2 + \frac{1}{2}(\partial\sigma)^2 - \frac{1}{144\alpha} \left[\frac{\Phi^2}{4} - \left(\sigma + \frac{\Phi}{2} \right)^2 - \frac{6\xi + 1}{2}\phi_i^2 \right]^2 - \frac{\lambda}{4}\phi_i^4.$$

* Remember this identification is frame-independent.

Why no ghost with R^2 ?

- Higher derivative in general involves ghost:

$$\pm \frac{1}{p^2(1 - p^2/M^2)} = \pm \left(\frac{1}{p^2} - \frac{1}{p^2 - M^2} \right).$$

two choices $\left\{ \begin{array}{l} + : \text{high energy additional pole is ghost-like,} \\ - : \text{low energy pole is ghost-like.} \end{array} \right.$

- In the case of R^2 , it is the low-energy pole that is ghost

This mode is unphysical, thanks to gauge (BRST) symmetry.

c.f. photon propagator in Feynman gauge: $-\frac{\eta_{\mu\nu}}{p^2} = \begin{cases} -\frac{1}{p^2} & (\mu, \nu) = (0,0), \\ +\frac{1}{p^2} & (\mu, \nu) = (i, i). \end{cases}$

- In the case of $R_{\mu\nu}R^{\mu\nu}$, low-energy pole is physical  spin-2 ghost problem.