

QCD and top-quark physics

Current status from the experiment

CORFU 2023
Workshop on Future Accelerators

25th April 2023

Javier Jiménez Peña

IFAE Barcelona

beatriu
de pinós **bp'**

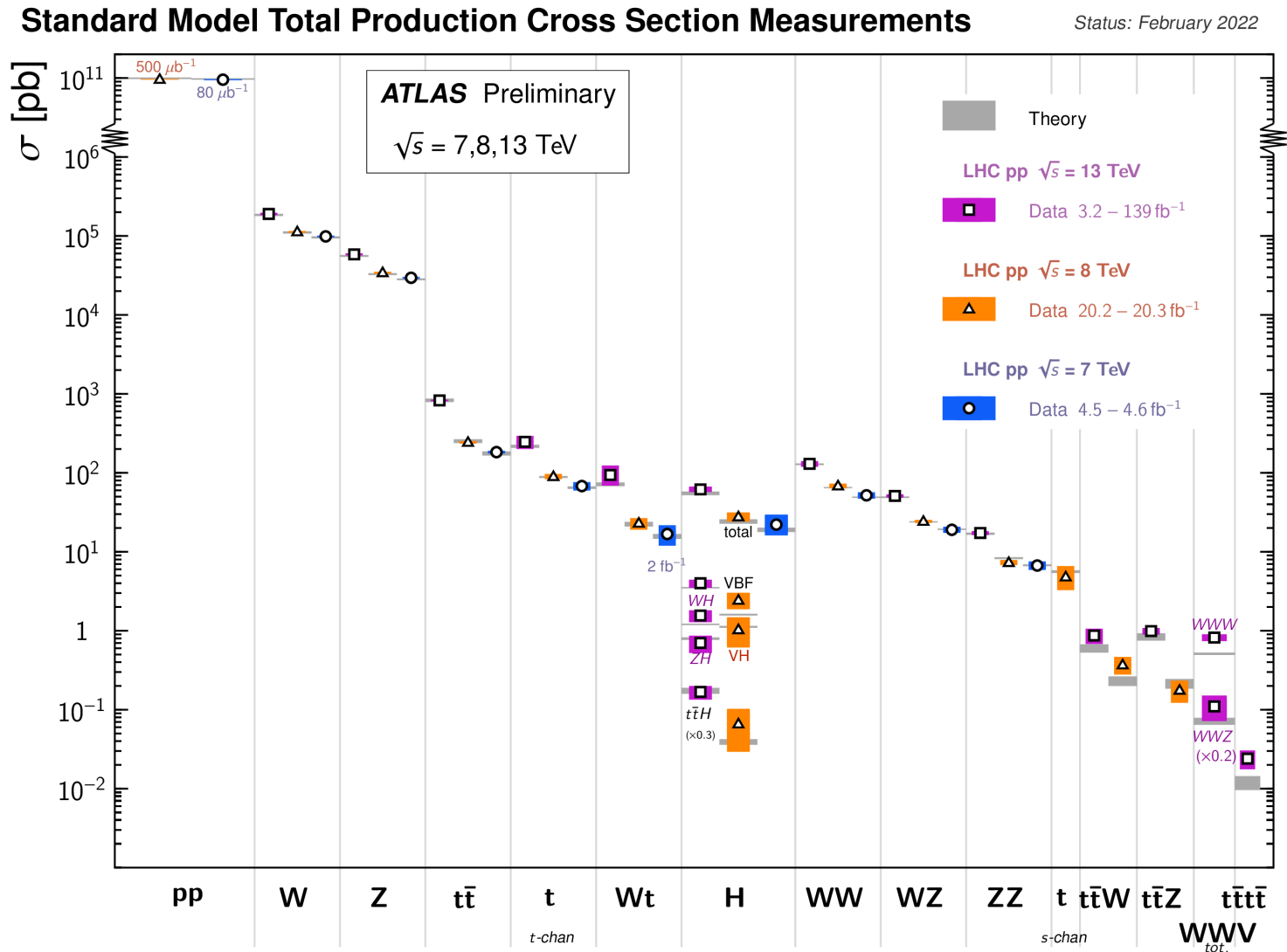
On behalf of ATLAS and CMS Collaborations



Introduction

Introduction

- ATLAS and CMS are testing EW and QCD predictions in a wide range of processes.
- Exploring process rates over 9 orders of magnitude, from Z, W and top pair to rare processes as multiboson or four tops.
- Precise experimental results allow to test higher order calculations in QCD.



Further information

- Many results by **ATLAS** and **CMS** in the topic to cover them all.
- What follows is a selection of recent results focused in **QCD** or involving **top quarks**.
- Apologies for not covering other LHC experiments as ALICE

ATLAS and CMS are further testing the validity of the Standard Model through:

Differential
cross-sections

Rare
processes

Properties
measurements

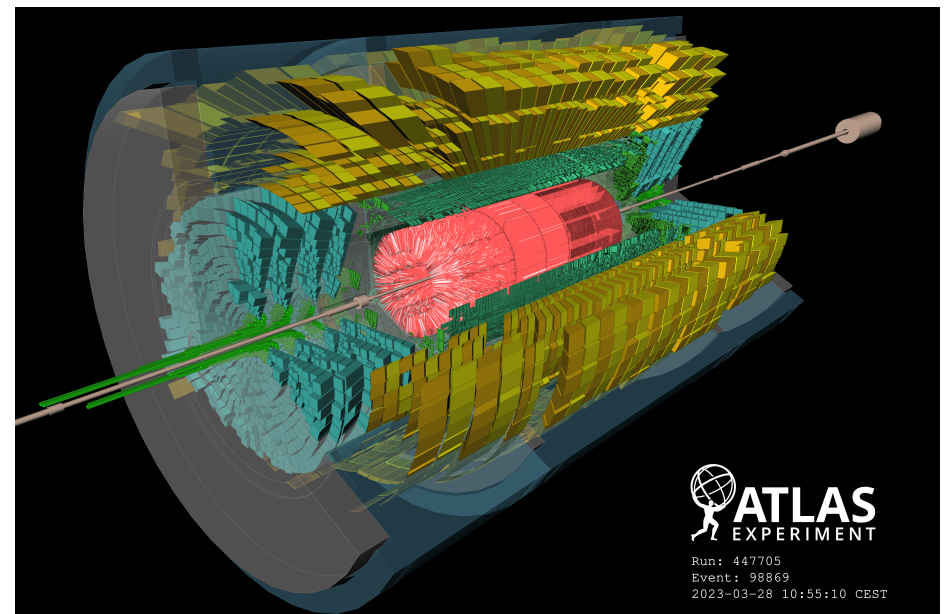
Tests of new physics
with SM EFT

Searches

For further results, visit:

[ATLAS Public page \(Physics briefings\)](#)

[CMS Public page \(Physics briefings\)](#)



Splash event from March 23

QCD

QCD production at the LHC

Hard and **Soft QCD** are the dominant production methods at the LHC.

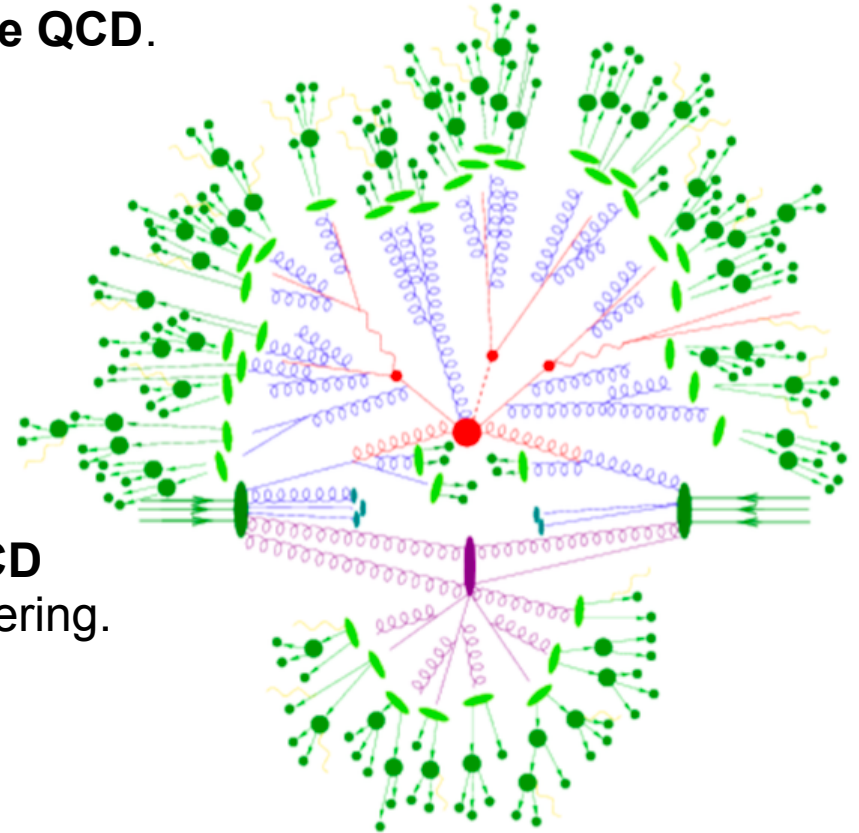
All LHC observations depend on the **modeling of the QCD**.

Large momentum QCD measurements are versatile and can tackle many fundamental aspects:

- Strong coupling (α_s), PDFs, test Resummation/PS, background for BSM physics

However, is also fundamental to understand **soft QCD** phenomena: Diffraction, low mu events, parton scattering.

Dedicated measurements with ALPHA and TOTEM.



Both **ATLAS** and **CMS** have a deep program for both hard and soft **QCD**



Lund jet plane density

Full Run-2 measurement of **Lund jet plane density** in dijet events:

LJP = **2D representation** of phase space of **emission inside jets**.

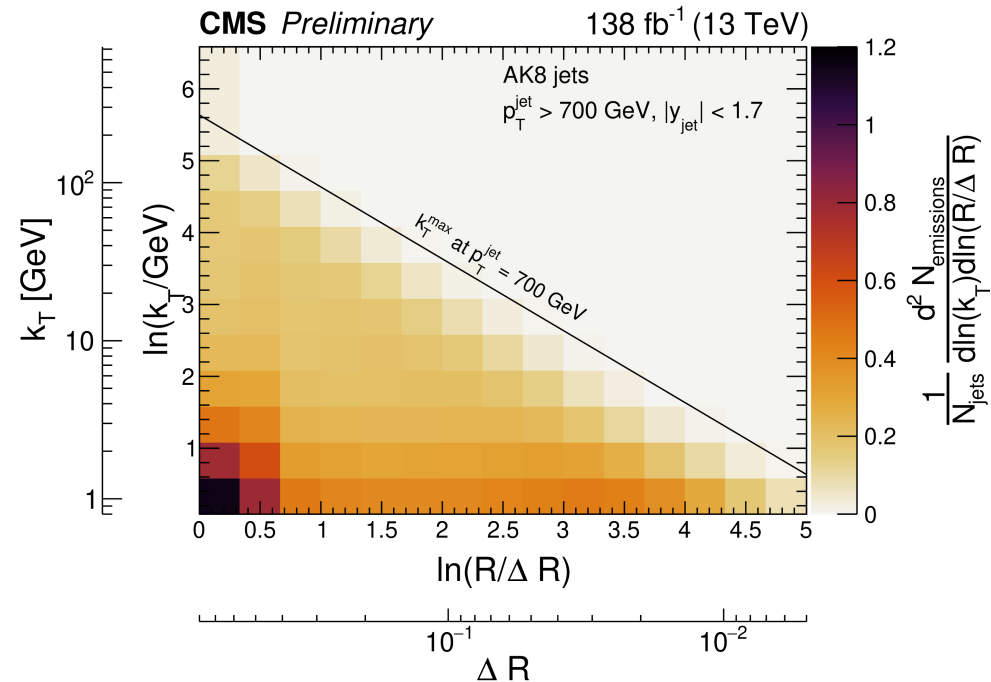
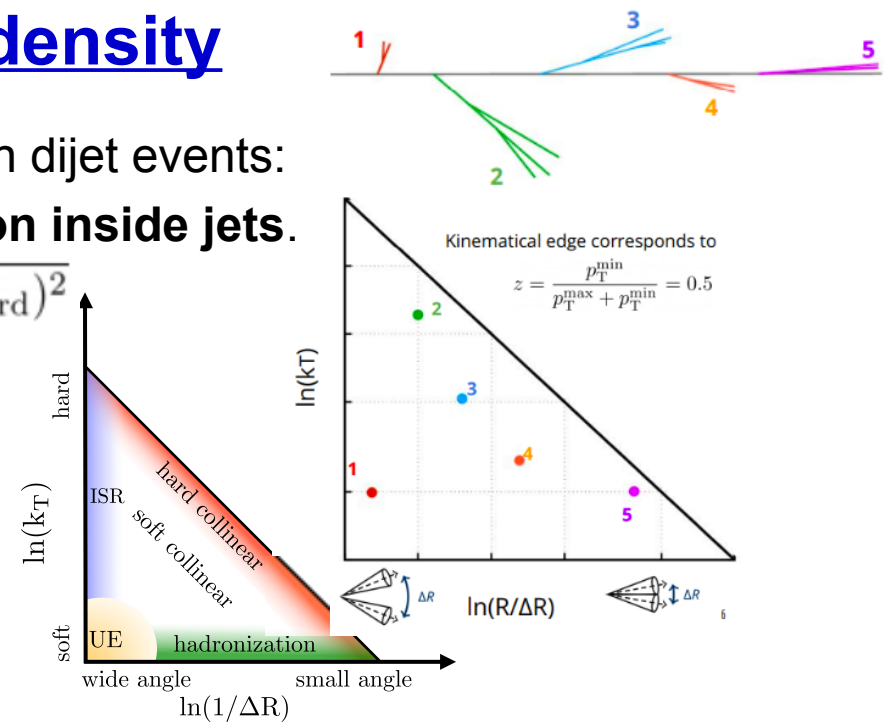
- Splitting angle: $\Delta R = \sqrt{(y_{\text{soft}} - y_{\text{hard}})^2 + (\phi_{\text{soft}} - \phi_{\text{hard}})^2}$
- Relative transverse momentum: $k_T = p_T \Delta R$

Measurement of jet-averaged density of emissions

$$\frac{1}{N_{\text{jets}}} \frac{d^2 N_{\text{emissions}}}{d \ln(k_T) d \ln(R/\Delta R)} \approx \frac{2}{\pi} C_R \alpha_S(k_T)$$

- Done for **anti-kT jets with R = 0.4 and 0.8**
- Reclustered with Cambridge/Aachen
- Includes charged constituents with $p_T > 1$ GeV
 - better angular and momentum resolution
 - better PU control

Inclusive dijet selection: $p_T > 700$ GeV, $|y| < 1.7$

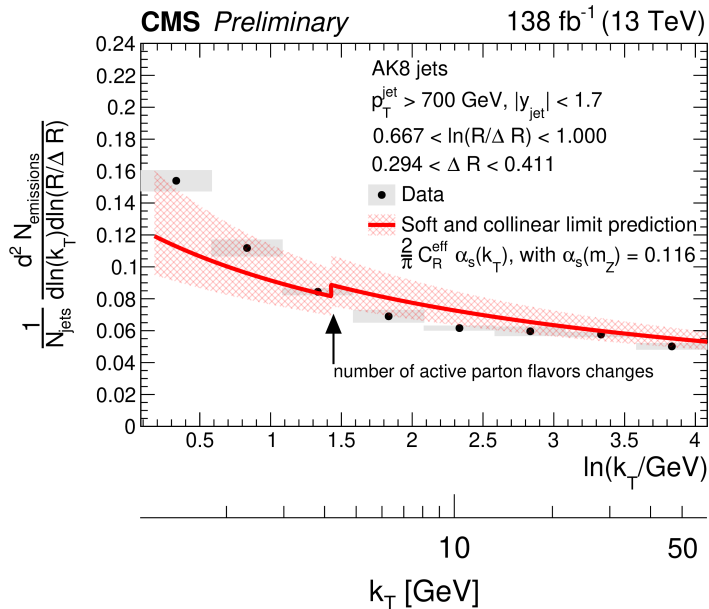


Measurement compared with different theory predictions:

- Project Lund jet plane in slices of ΔR and k_T to test:
 - Parton shower calculations
 - Jet substructure technique developments.
 - PDFs and running of strong coupling constant.

Tested various showers models in Pythia8, Sherpa, and various recoil schemes in Herwig.

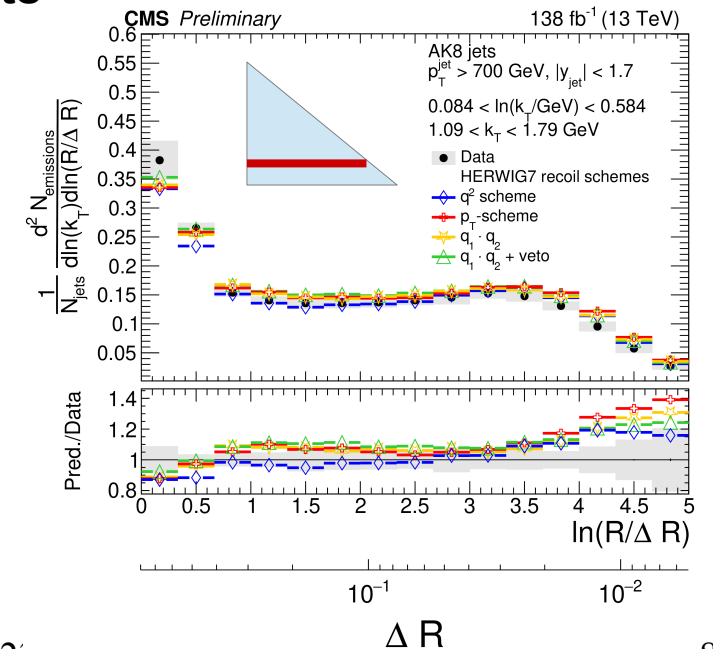
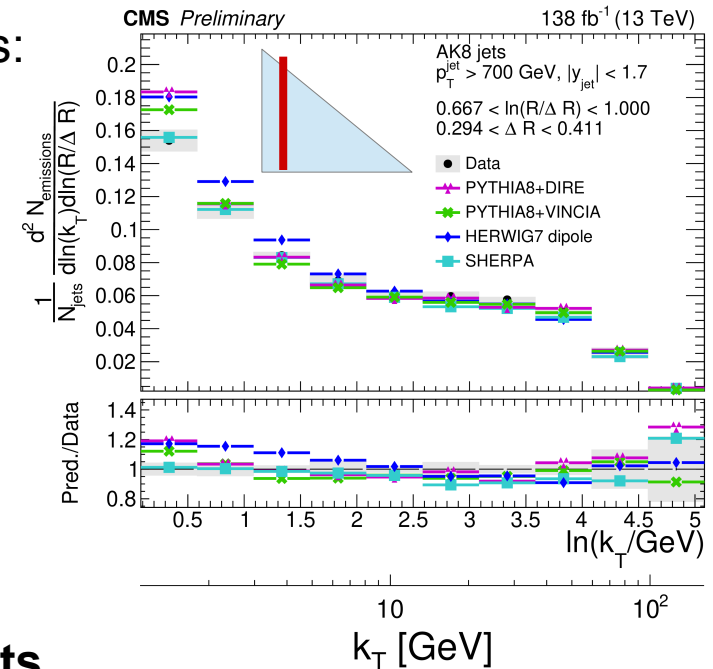
In the soft and collinear limit, the running of $\alpha_s(Q^2)$ sculpts the Lund jet plane density:



Achieved precision:
~2-7% (20% at edge)

Major uncertainties:

- Modeling of parton shower, hadronization.
- Track resolution.



Strong coupling estimation in multijet events

Full Run-2 estimation of the running of the strong coupling (α_s) in multijet events.

Event shape observables are used:

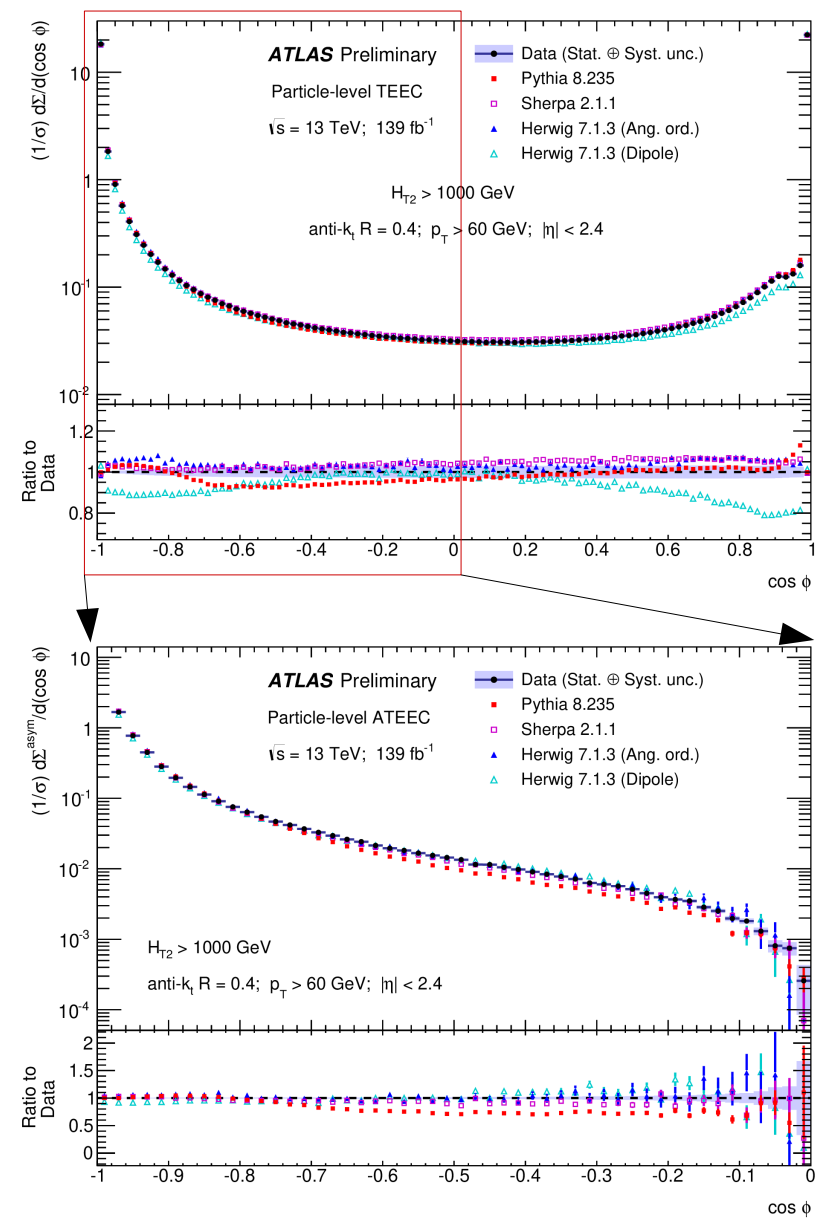
- **Transverse Energy-Energy Correlation (TEEC):**
transverse-energy-weighted azimuthal angular distribution of produced jet pairs in the final state.

$$\frac{1}{\sigma} \frac{d\Sigma}{d \cos \phi} = - \frac{1}{N} \sum_{A=1}^N \sum_{ij} \frac{E_{Ti}^A E_{Tj}^A}{(\sum_k E_{Tk}^A)^2} \delta(\cos \phi - \cos \phi_{ij})$$

- **Associated Azimuthal asymmetries (ATEEC):**
TEEC forward-backward asymmetry in Φ

$$\frac{1}{\sigma} \frac{d\Sigma^{asym}}{d \cos \phi} = \frac{1}{\sigma} \frac{d\Sigma}{d \cos \phi} \Big|_{\phi} - \frac{1}{\sigma} \frac{d\Sigma^{asym}}{d \cos \phi} \Big|_{\pi-\phi}$$

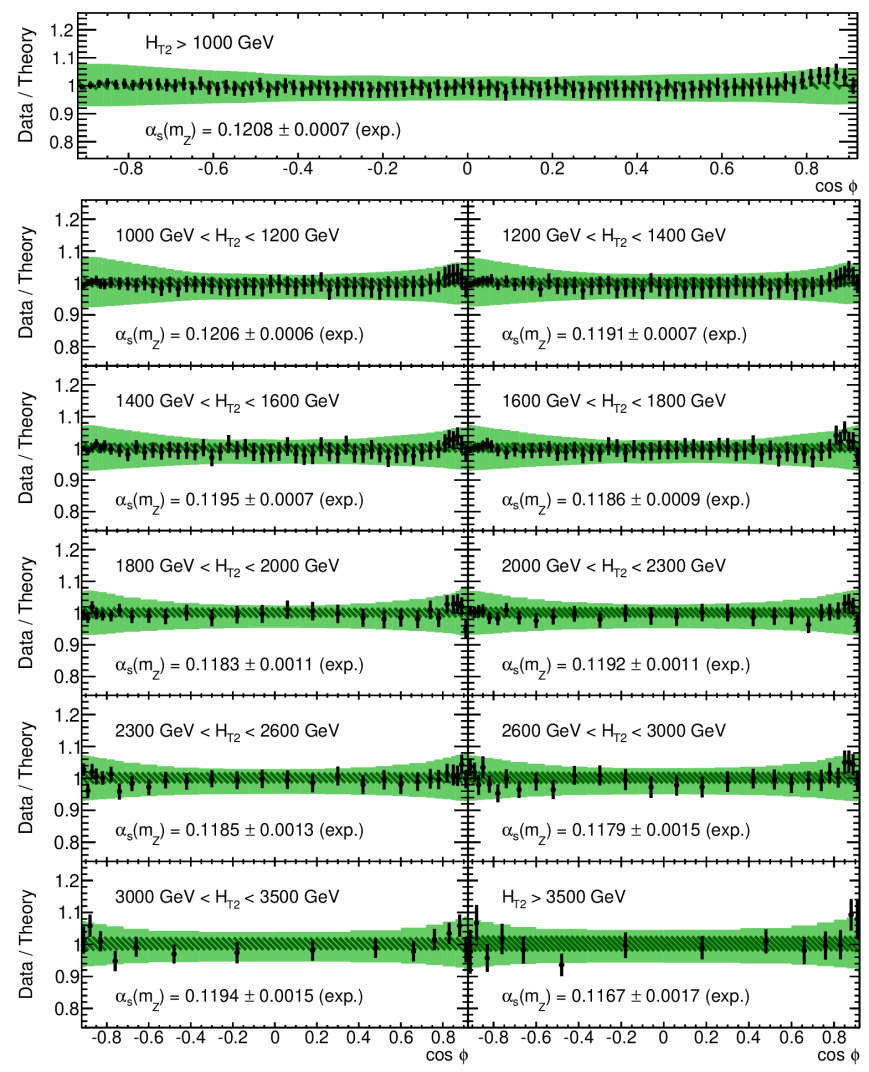
- **Large sensitivity** to QCD radiation and $\alpha_s(Q^2)$.
- **Reduced sensitivity to Infra Red** divergences compared to alternative observables.



Strong coupling estimation in multijet events

Extract α_s from fit of theoretical predictions of TEEC and ATEEC:

- Fit in bins of $H_T^2 = p_T^1 + p_T^2$ and extract α_s in each bin.

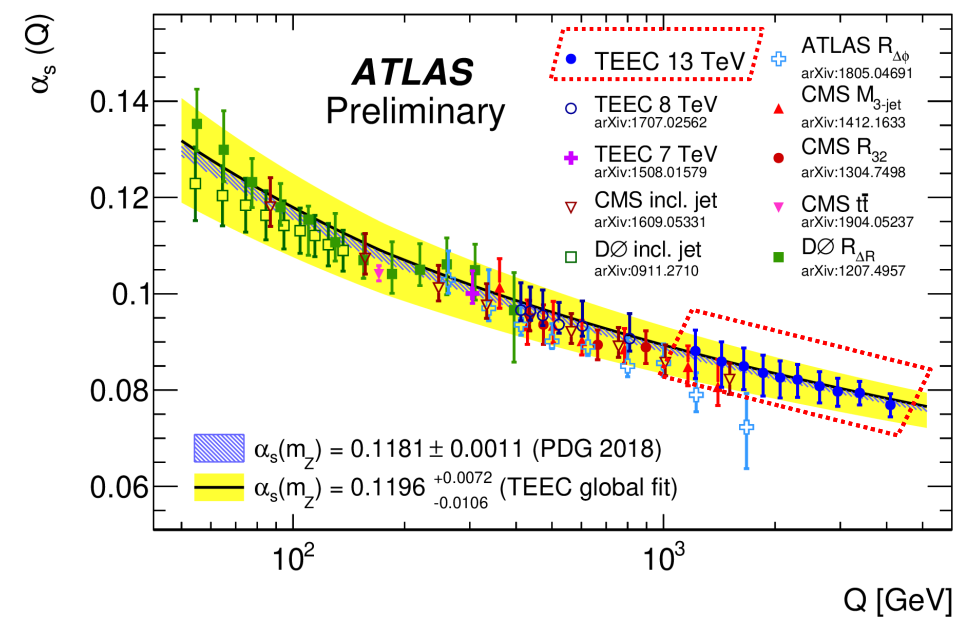


ATLAS Preliminary
 Particle-level TEEC
 $\sqrt{s} = 13 \text{ TeV}; 139 \text{ fb}^{-1}$
 NLO pQCD
 MMHT 2014 (NNLO)
 — Exp. unc.
 Non-scale unc.
 Theo. unc.

- $H_T^2 > 1 \text{ TeV}$: trigger efficiency $\approx 100\%$
- Excellent agreement between data and theory.
- Theoretical predictions obtained with NNLO calculations (3-jets XS)

$$\alpha_s(m_Z) = 0.1196 \pm 0.0004 \text{ (exp.) } \begin{matrix} +0.0072 \\ -0.0105 \end{matrix} \text{ (theo.)}$$

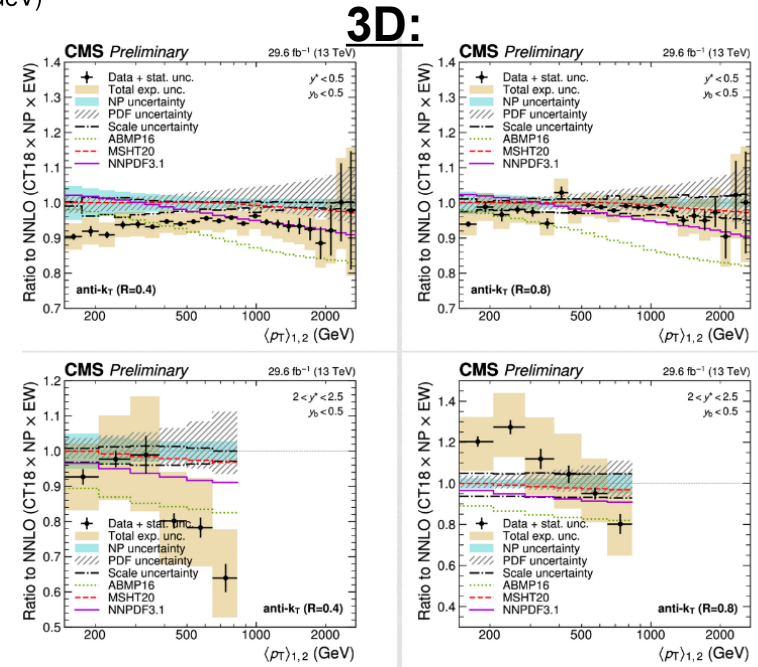
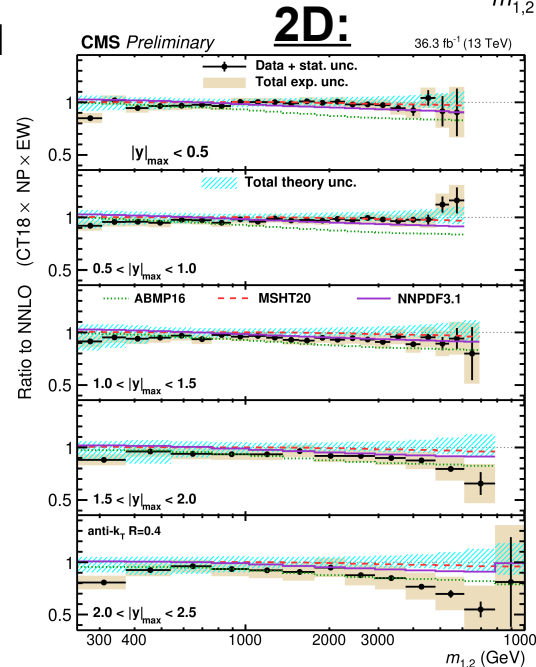
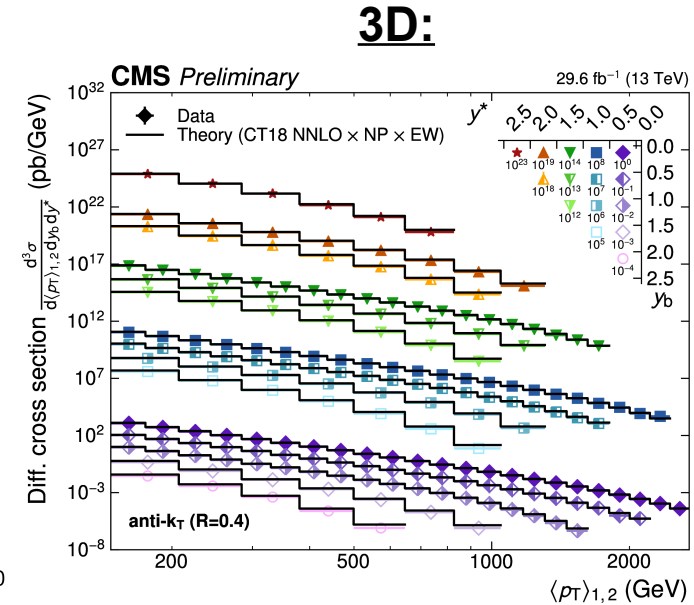
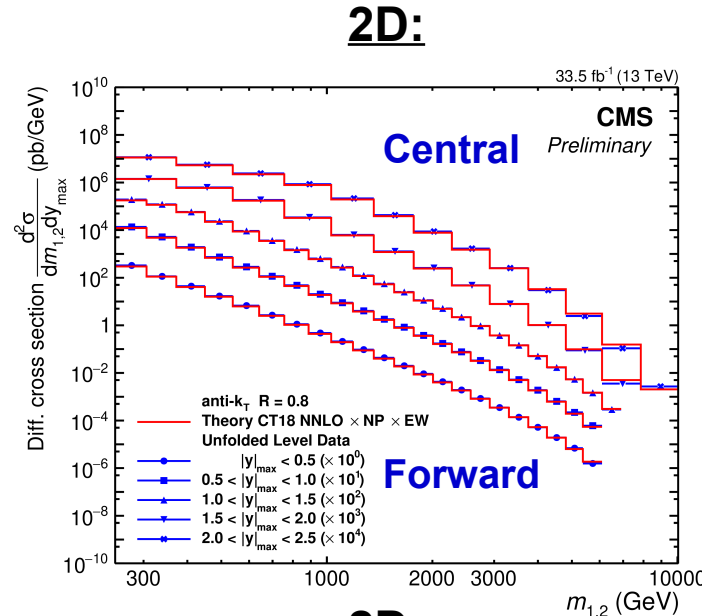
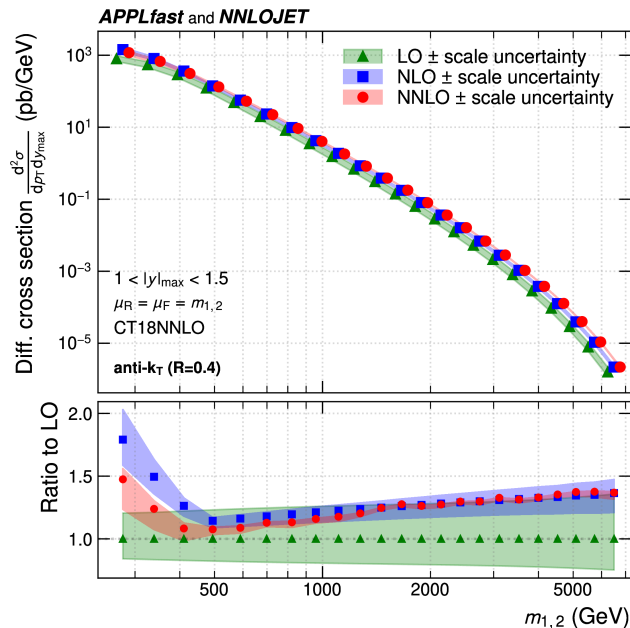
$|\eta| < 2.4$



2D and 3D dijet production measured in 2016 data.

- **2D:** in rapidity y_{\max} and invariant mass $m_{1,2}$.
- **3D:** rapidity separation y^* , total boost y_b and $m_{1,2}$ or the average dijet $\langle p_T \rangle$.
- Done both for $R=0.4$ and $R=0.8$ anti-kT jets.

Unfolded to particle level & compared to fixed order NNLO calculations of pQCD from NNLOJET:



Extract PDFs and α_s from measurement.

HERA DIS data used in combination with this measurement for PDF extraction:

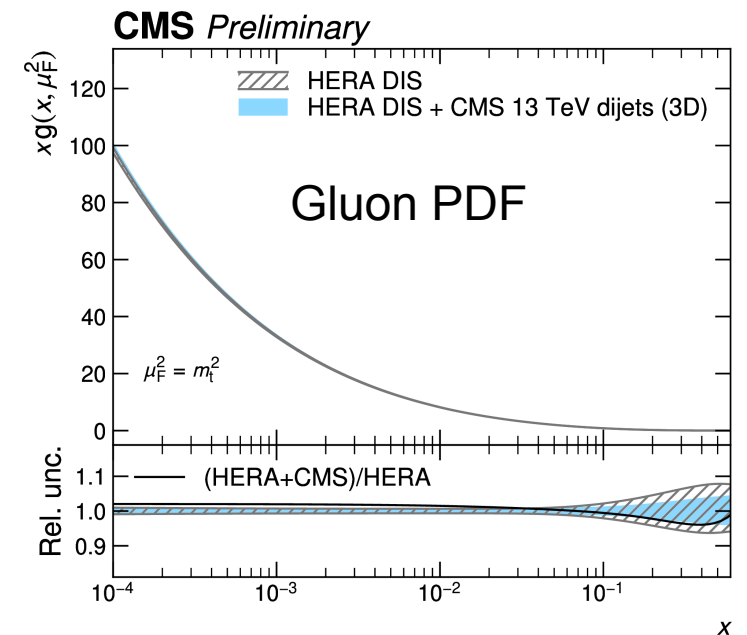
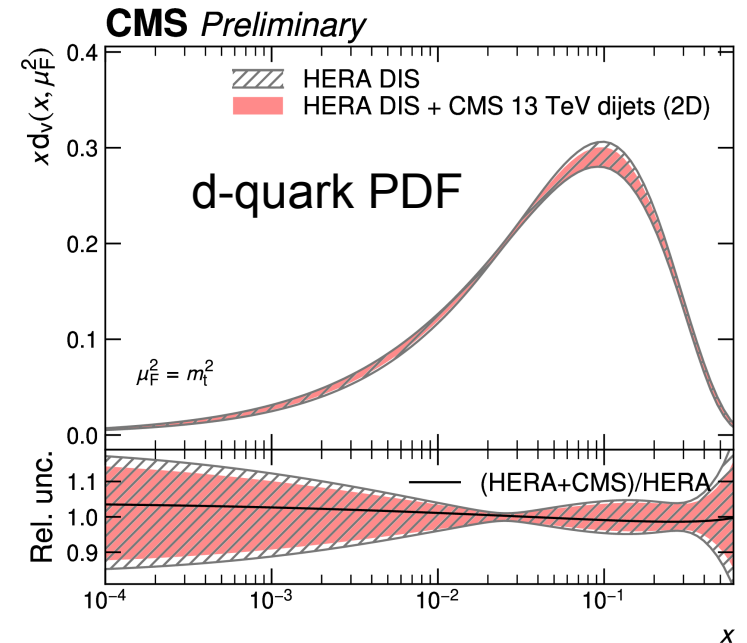
- Use only $R=0.8$ data, more reliable predictions.
- α_s fixed to $\alpha_s(m_Z) = 0.118$
- Hera data restricted to Q_{\min}^2 of 10 GeV.
- **General reduction of systematic uncertainties with the use of CMS data.**
- Good agreement between predictions with and without CMS data.

Both 2D and 3D results are used to measure $\alpha_s(m_Z)$:

2D: $\alpha_s(m_Z) = 0.1201 \pm 0.0012$ (fit) ± 0.0008 (scale) $\pm \pm 0.0008$ (model) ± 0.0005 (param.)

3D: $\alpha_s(m_Z) = 0.1201 \pm 0.0010$ (fit) ± 0.0005 (scale) $\pm \pm 0.0008$ (model) ± 0.0006 (param.)

Within 1 sigma of global average



Full Run-2 measurement of the inclusive isolated photon production.

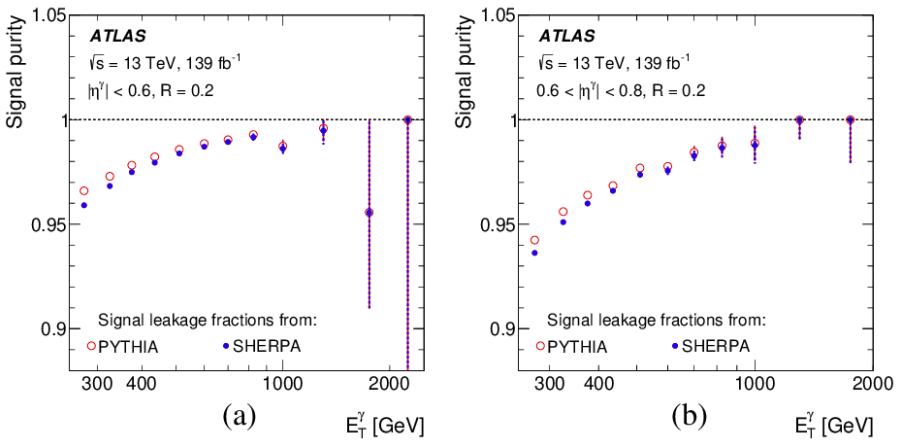
Important test of perturbative QCD:

- Constraints on the PDF (especially for gluon-PDF, thanks to $qg \rightarrow q\gamma$)

Select isolated photons to **remove photons inside jets**:

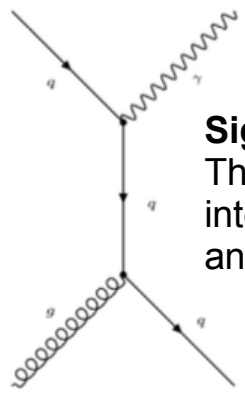
- Cone-based isolation: $R = 0.2$ and 0.4

Dependence of XS on photon isolation studied as function of R , η^γ and E_T^γ :



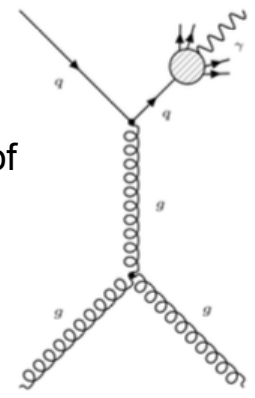
Photon produced through two main processes

Direct process

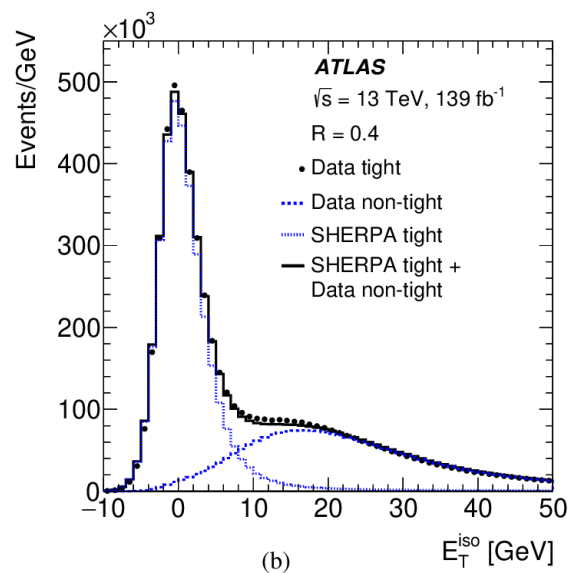
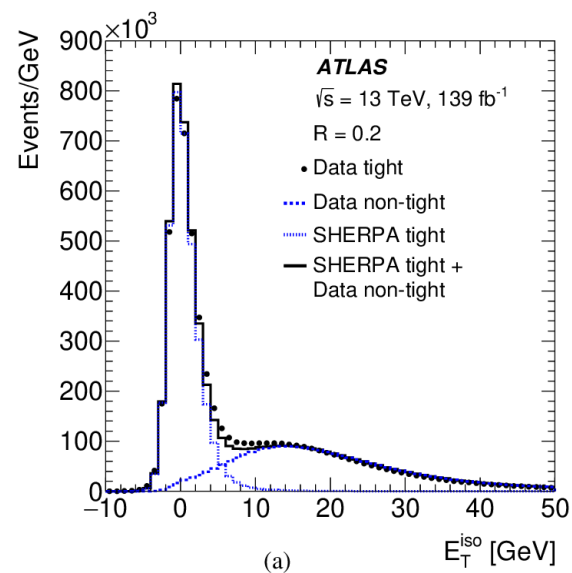


Signal:
The process of interest of the analysis.

Fragmentation process



Background:
Photons produced inside jets due to neutral mesons decays.

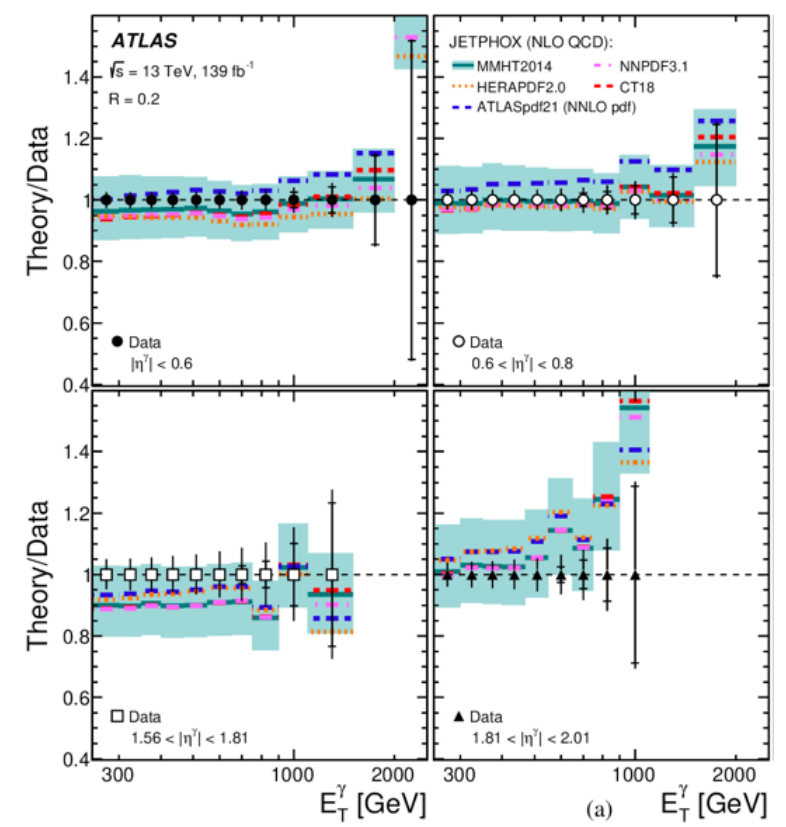
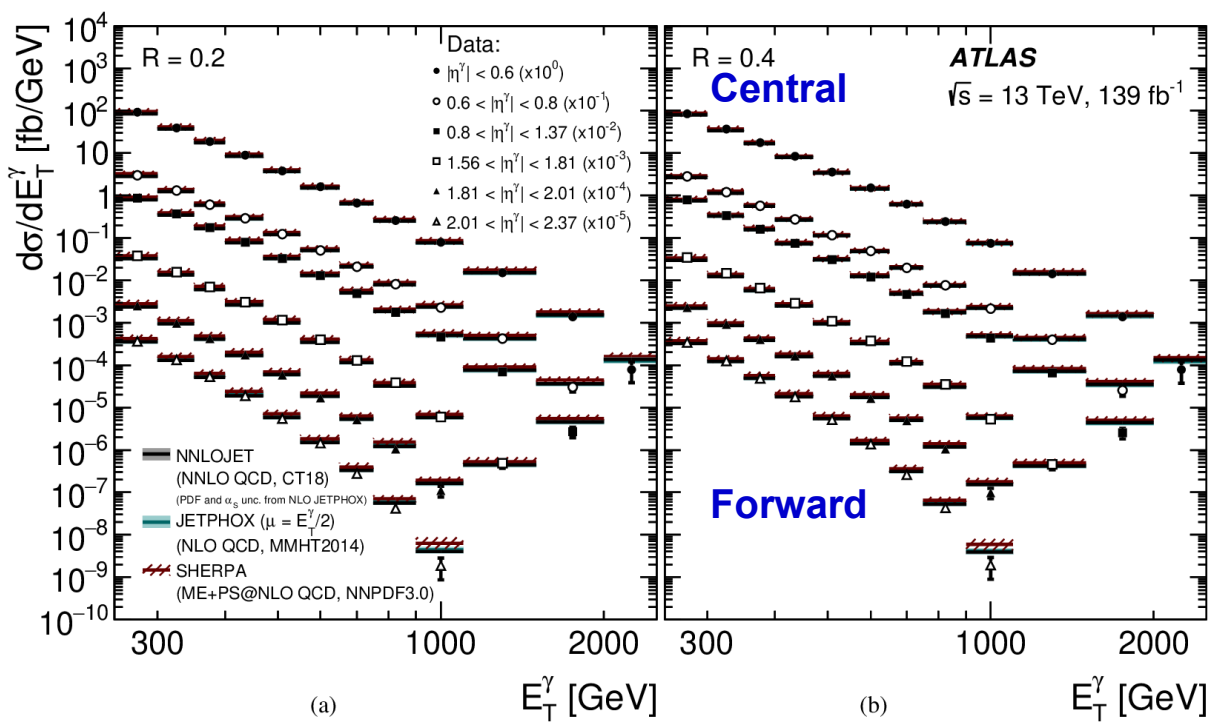


Unfold results in bins of $|\eta^\gamma|$ and compare results with different pQCD predictions:

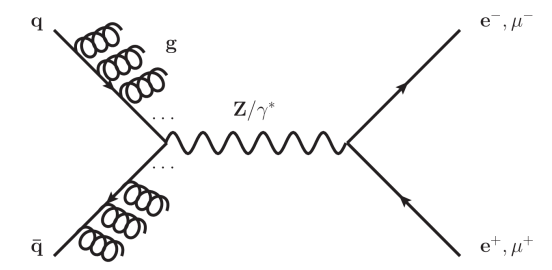
- SHERPA 2.2.2: $z + 0, 1, 2j$ @NLO + $3, 4j$ @LO
- JETPHOX (NLO) and NNLOJET (NNLO)
- Predictions using different PDF sets.

Results given in two isolation cone-radii and with a finer η^γ binning.:

Well described by the fixed-order QCD predictions in most of the investigated phase-space region.



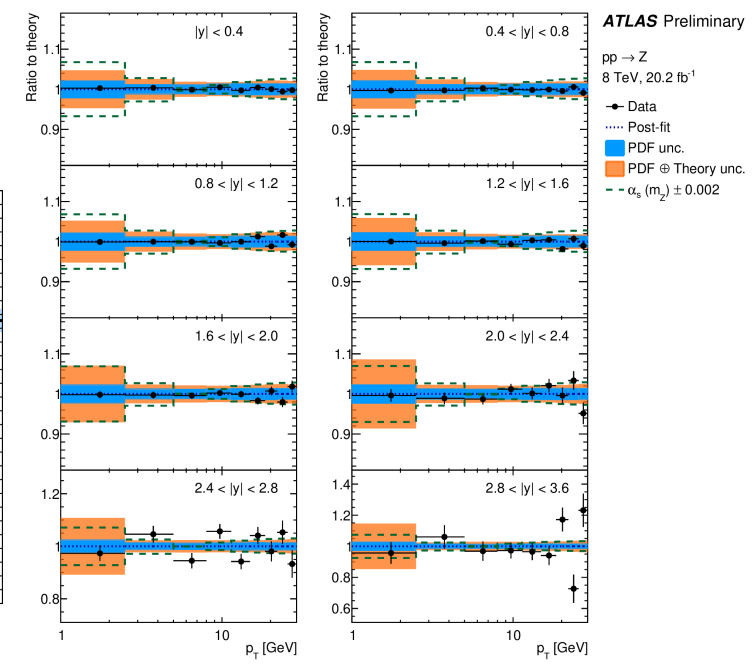
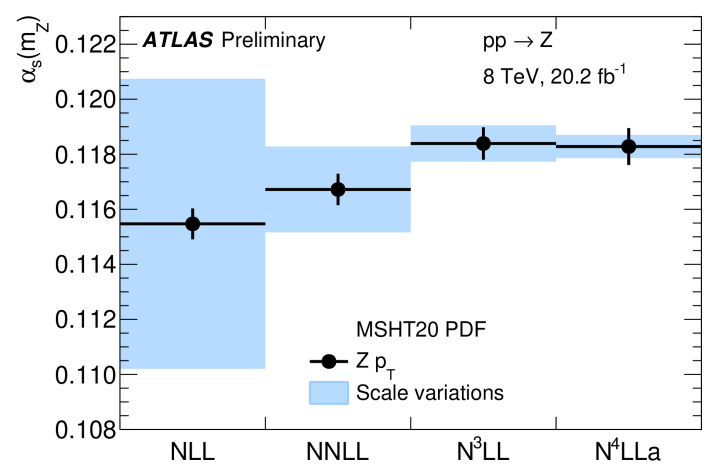
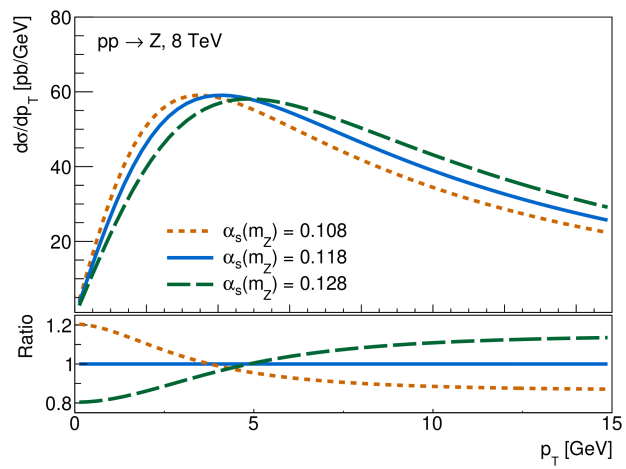
- Full **Run-1** dataset (20.2 ifb) at 8 TeV used for **precise determination of $\alpha_s(m_Z)$** .
- Extracted from **transverse-momentum** distribution of Z bosons: [ATLAS-CONF-2023-013](#).
- Observable: low-momentum Sudakov region $p_T(Z)$ by DY.
- **Not included in PDF fits**: No problem with correlations.
- Non-zero $p_T(Z)$ caused by ISR of partons (strong force).



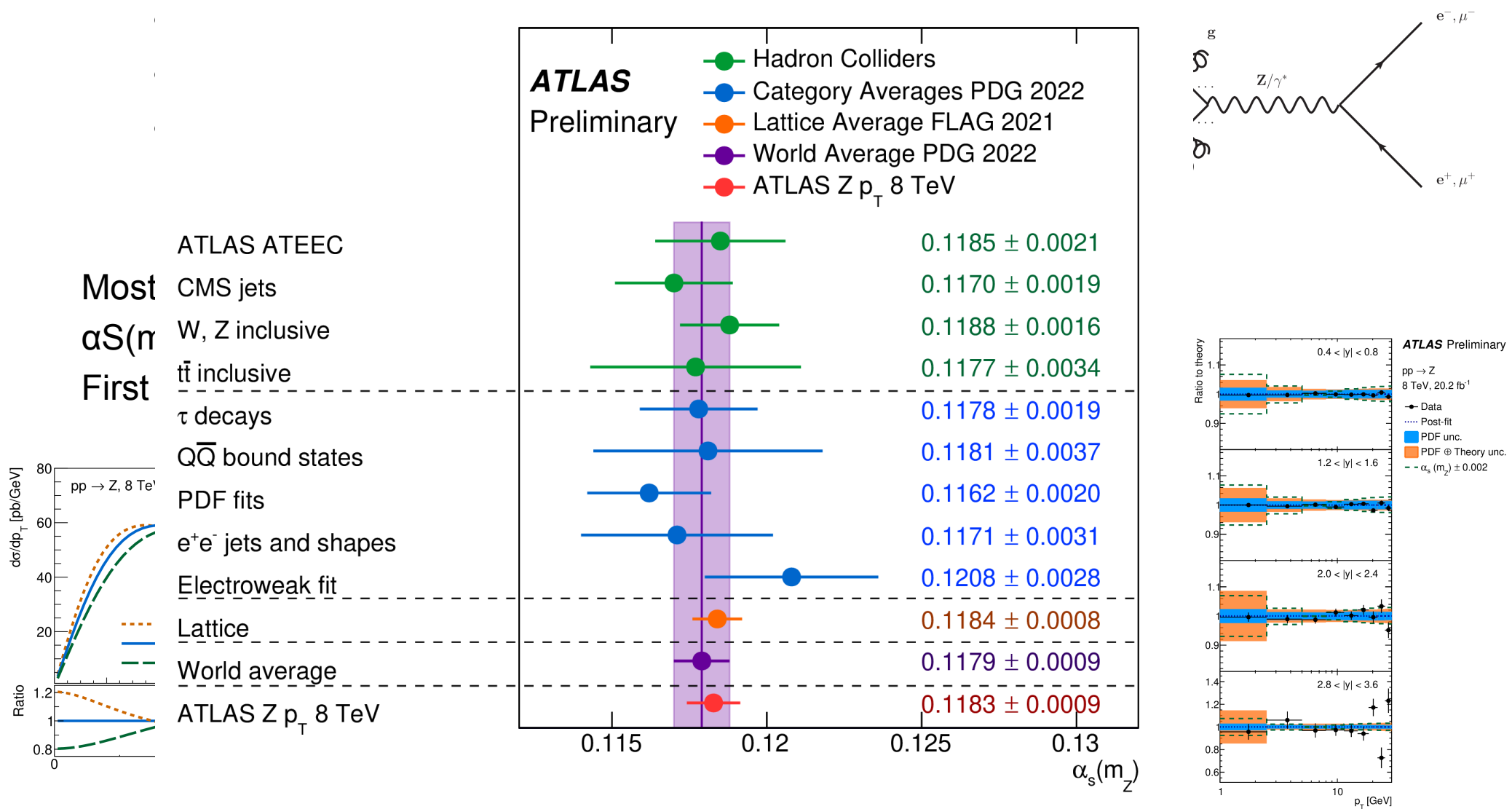
Most precise experimental measurement of the strong coupling.

$$\alpha_s(m_Z) = 0.11828 + 0.00084 - 0.00088 \text{ (0.74\%)}$$

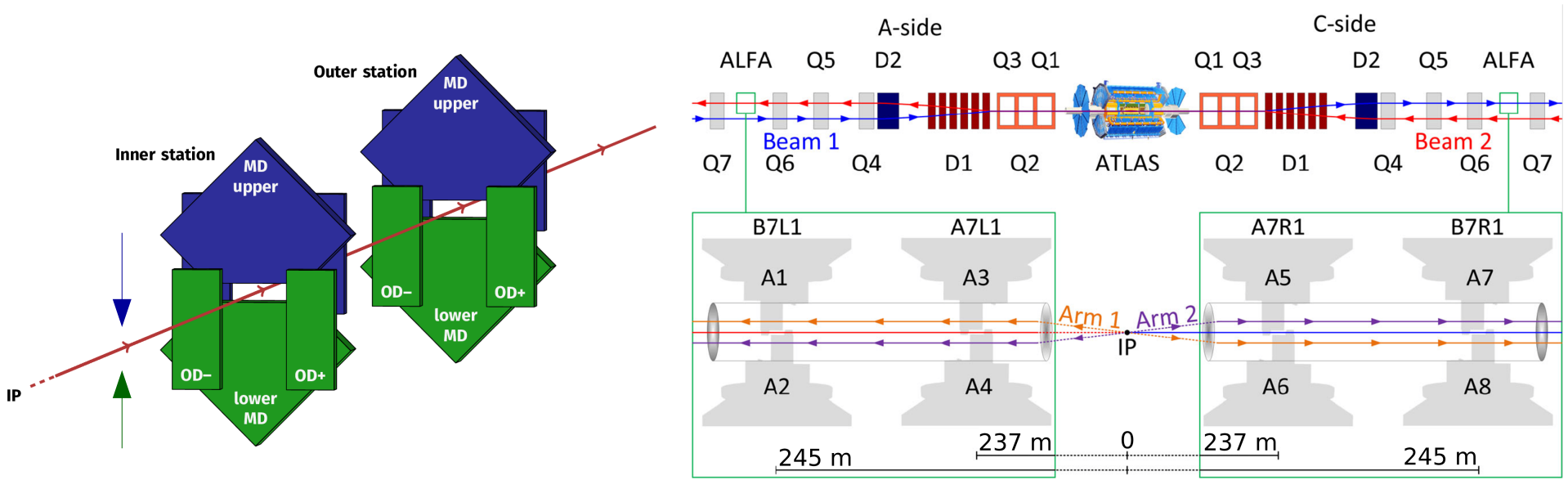
First based on N4LLa+N3LO pred. in perturbative QCD.



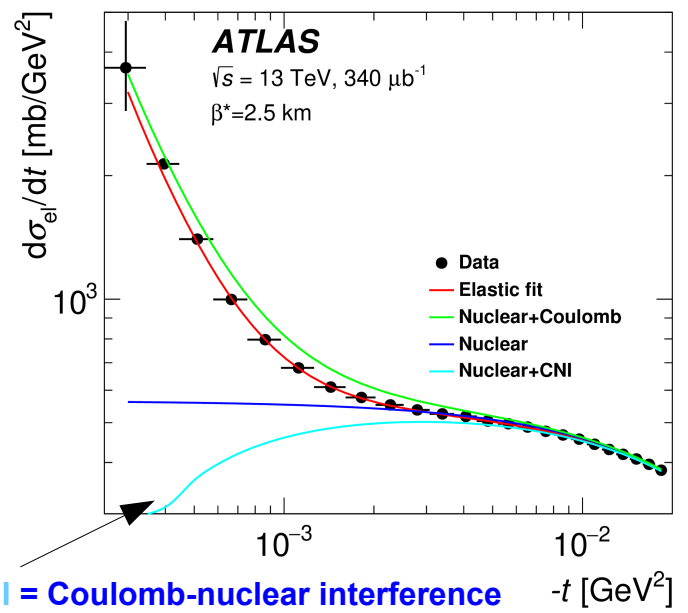
- Full **Run-1** dataset (20.2 fb) at 8 TeV used for **precise determination of $\alpha_s(m_Z)$** .
- Extracted from **transverse-momentum** distribution of Z bosons: [ATLAS-CONF-2023-013](#).



- ATLAS is also testing **low energy QCD** predictions with the use of **ALFA**.
- ALFA subdetector is a pair of tracking detectors **situated at 240 m from the IP**.
- Each set is housed in a movable Roman Pot that can approach beam up to 1 mm.



- **ALFA: Designed to measure proton-proton elastic scattering.**
- Done with a LHC special run of high β^* : gives the proton beams a very small angular spread.
- Measured differentially in the Mandelstam t variable



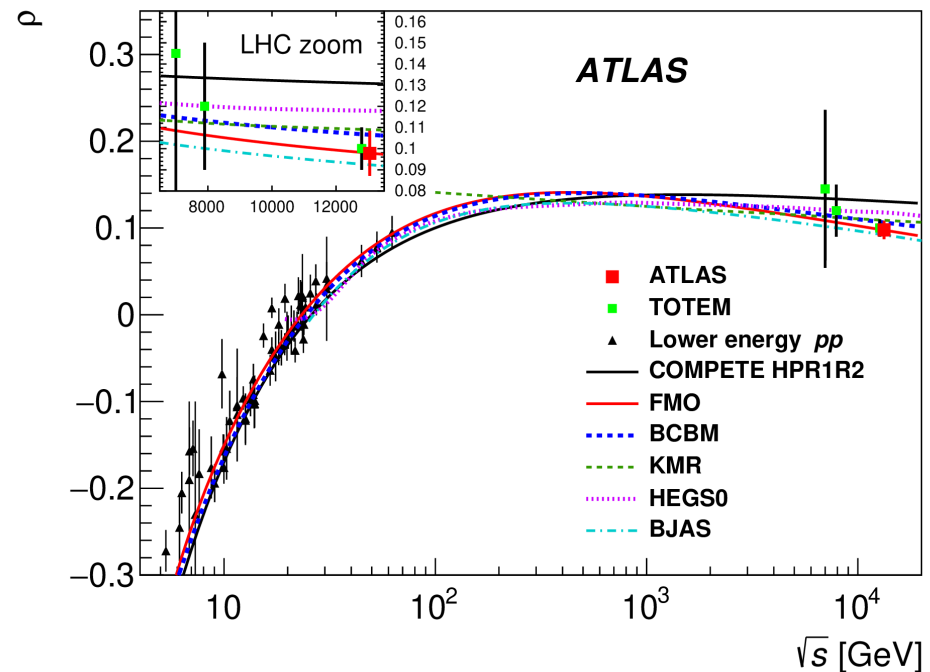
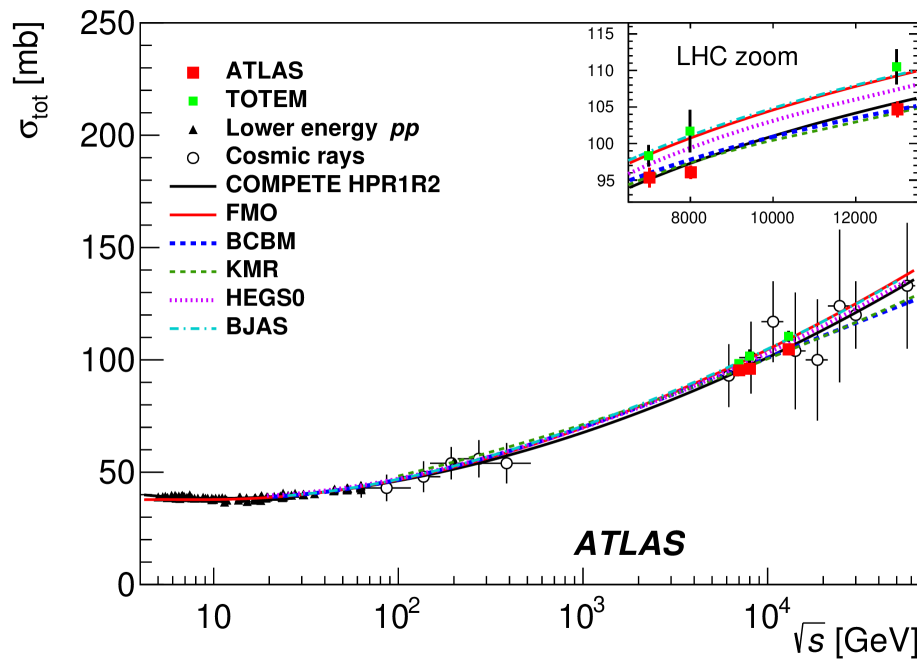
Javier Jiménez Peña - CORF¹

*CNI = Coulomb-nuclear interference

- Elastic scattering linked to other processes occurring on proton-proton interactions.
- Total proton-proton cross section (σ_{tot}) measured through optical theorem:**

$$\sigma_{tot}^2 = \frac{16\pi}{1 + \rho^2} \frac{d\sigma_{el}}{dt} \Big|_{t \rightarrow 0} \quad t = \text{Mandelstam Variable}$$

- Ratio of the real vs imaginary parts** of the elastic-scattering amplitude (ρ).
- Complex phase between the Coulomb and the nuclear amplitudes, **directly affecting the interference contribution.** (CNI)
- Needs the high β^* configuration for sensitivity.



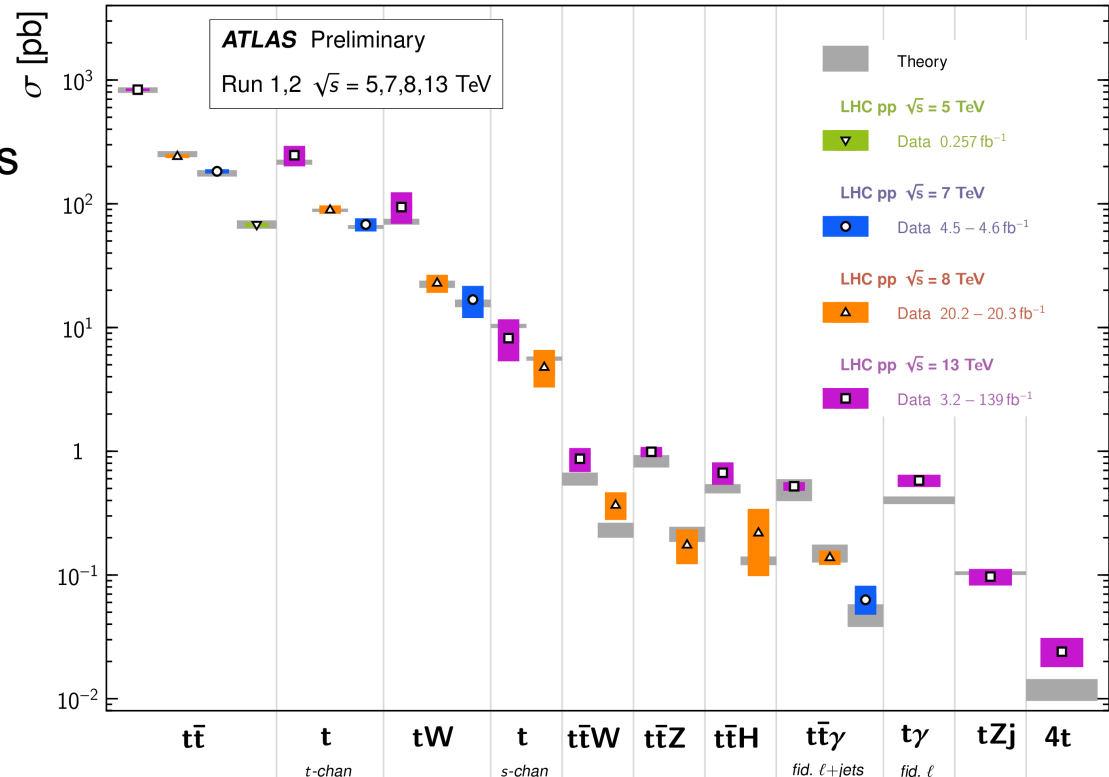
Top quark physics

Top quark intro

- The top quark is the heaviest elementary particle known.
- The only quark that largely affects the stability of the Higgs mass.
- The only particle with $y_t \sim 1$: strongly coupled to Higgs sector.
- The only quark that decays before hadronizing: ~free quark
- It does it ~100% through Wtb vertex: allows accessing top quark polarization.
- Large number of production mechanisms: pair production (QCD), single top (EW) and many associated production mechanism.
- Cross-section ranges several order of magnitudes.

Top Quark Production Cross Section Measurements

Status: November 2022



LHC is a top quark factory

Extensively studied by

ATLAS and CMS

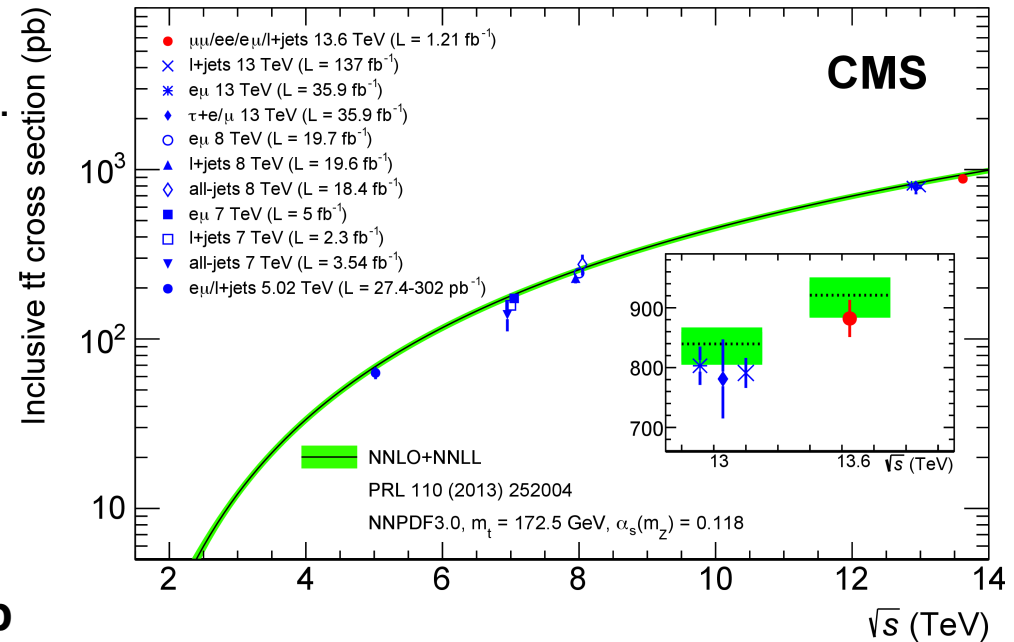
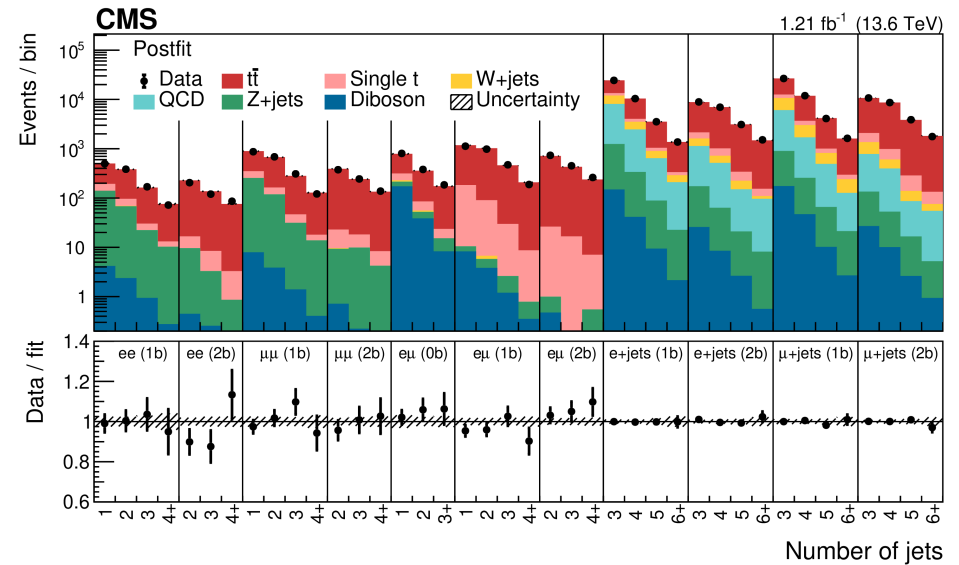
New Run-3 data!

LHC Run 3: $\sigma(t\bar{t})$ at 13.6 TeV

- Combined analysis: **dilepton and lep+jets**
- **Split** by lepton flavour & jet/b-jet multiplicity.
- Data from 27 Jul to 03 Aug 2022: 1.21 fb
- Normalization of **Z-jets** obtained in **sideband CR** with $|m_{ll} - m_Z| < 15$ GeV.
- **Two CR for QCD** multijet in lep+jets: non-isolated leptons to derive template, region with only 1 b-jet to extract normalization.
- Simultaneous extraction of **b-tag efficiency**.
- Shift in W mass in l+jets to crosscheck JES.
- **Lepton ID efficiencies: T&P** from Z+jets events as function of p_T and $|\eta|$
- **Luminosity uncertainty is the dominant uncertainty**, followed by lepton identification and b-tagging efficiency

$$\sigma(t\bar{t}) = 882 \pm 23 \text{ (stat+syst)} \pm 20 \text{ (lumi)} \text{ pb}$$

Precision = **3.5%**



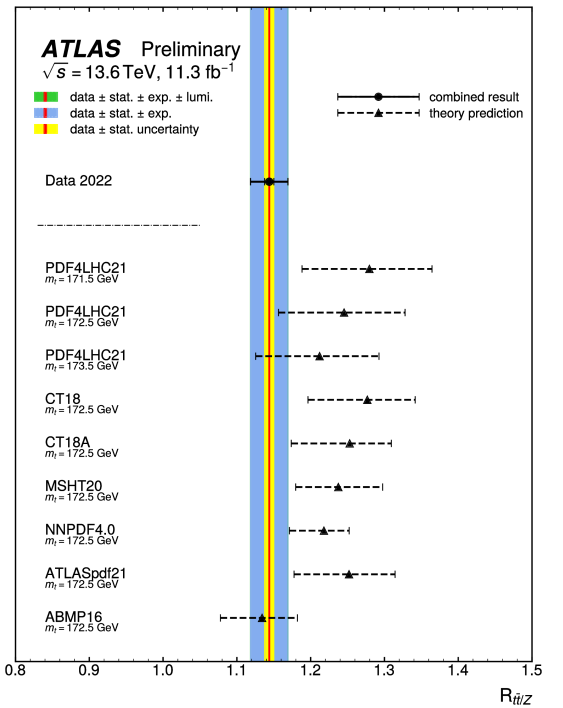
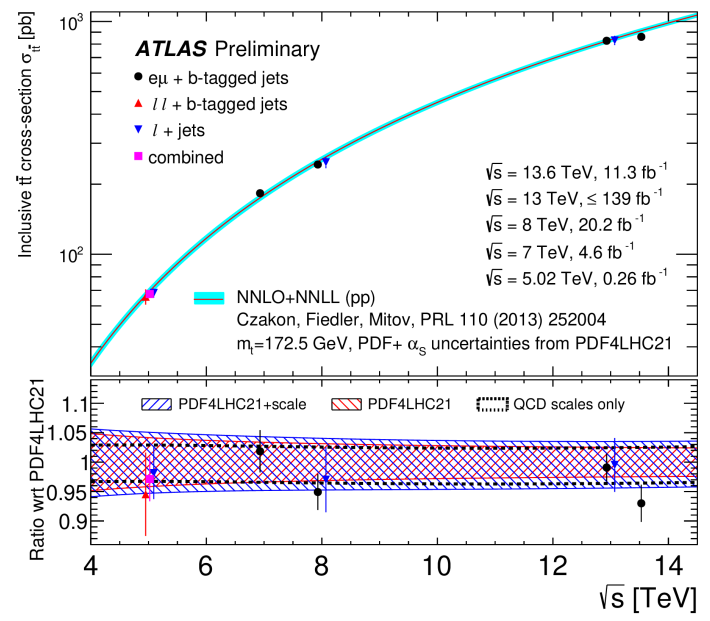
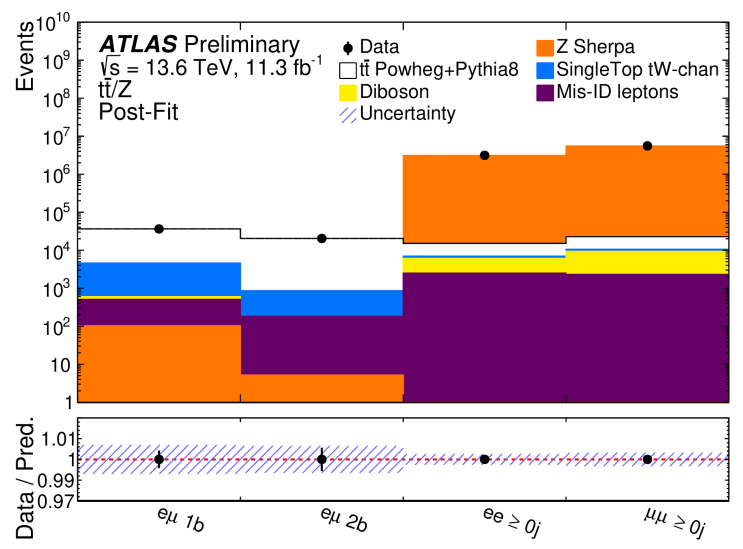
- Combined extraction of $\sigma(Z \rightarrow ll)$ and $\sigma(t\bar{t})$: **ee and $\mu\mu$ channels for Z, emu for $t\bar{t}$ (1b,2b).**
- Also **$t\bar{t}/Z$ XS ratio**: reduction of uncertainties and sensitivity to gluon/quark PDFs.
- **In situ measurement of ϵ_b** : efficiency to reconstruct +tag exactly 1 b-jet.
- Two profile likelihood fits: either $\sigma(t\bar{t})$ or $R(t\bar{t}/Z)$.

$\sigma(t\bar{t}) = 859 \pm 4$ (stat.) ± 22 (syst.) ± 19 (lumi.) pb. **(3.4%)**

$\sigma(Z \rightarrow ll) = 751 \pm 0.3$ (stat.) ± 15 (syst.) ± 17 (lumi.) pb.

$R(t\bar{t}/Z) = 1.144 \pm 0.006$ (stat.) ± 0.022 (syst.) ± 0.003 (lumi.)

Category		Uncert. [%]			
		$\sigma_{t\bar{t}}$	$\sigma_{Z \rightarrow \ell\ell}^{fid.}$	$R_{t\bar{t}/Z}$	
$t\bar{t}$	<u>$t\bar{t}$ parton shower/hadronisation</u>	1.1	0.01	1.0	
	$t\bar{t}$ scale variations	0.2	< 0.01	0.2	
	Top quark p_T reweighting	0.6	0.02	0.5	
Z	Z scale variations	0.2	0.5	0.3	
	Bkg.	Single top modelling	0.4	0.01	0.4
		Diboson modelling	0.1	0.06	< 0.01
Lept.	Mis-Id leptons	0.5	0.1	0.5	
	<u>Electron reconstruction</u>	1.0	1.1	0.5	
	<u>Muon reconstruction</u>	1.5	1.2	0.8	
Jets/tagging	Lepton trigger	0.4	0.7	0.8	
	Jet reconstruction	0.4	0.1	0.3	
	Flavour tagging	0.2	0.01	0.2	
PDFs		0.4	0.2	0.4	
Pileup		1.1	1.1	< 0.01	
<u>Luminosity</u>		2.3	2.2	0.3	
Systematic Uncertainty		3.5	3.0	2.0	
Statistical Uncertainty		0.5	0.03	0.5	
Total Uncertainty		3.5	3.0	2.0	

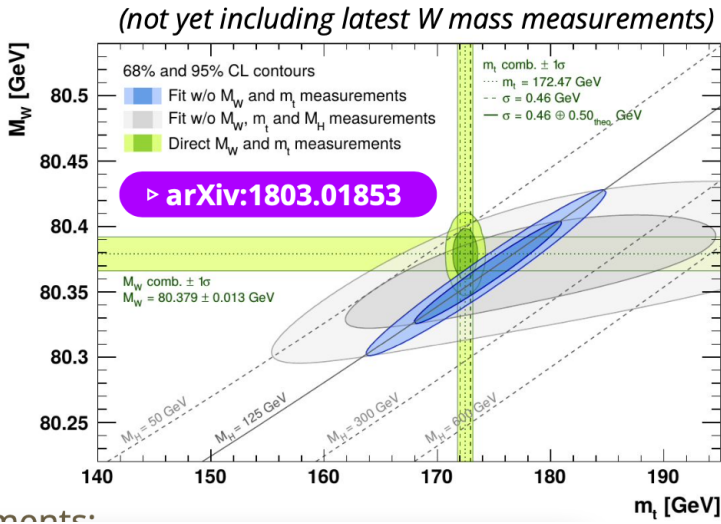


Top quark mass

Top quark mass intro

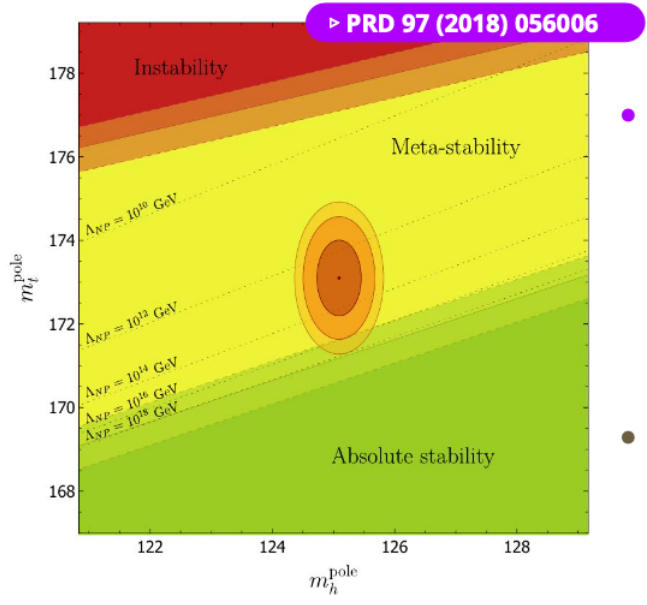
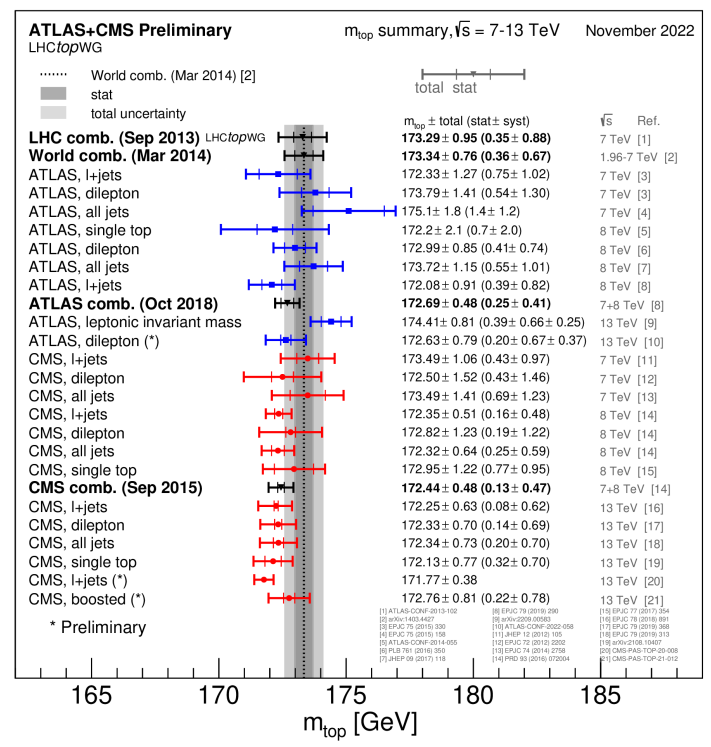
- $m_{top} + m_W + m_H$ measurements: probe the validity of the SM
- Important to determine SM vacuum stability

Latest summary plots: ATL-PHYS-PUB-2022-050



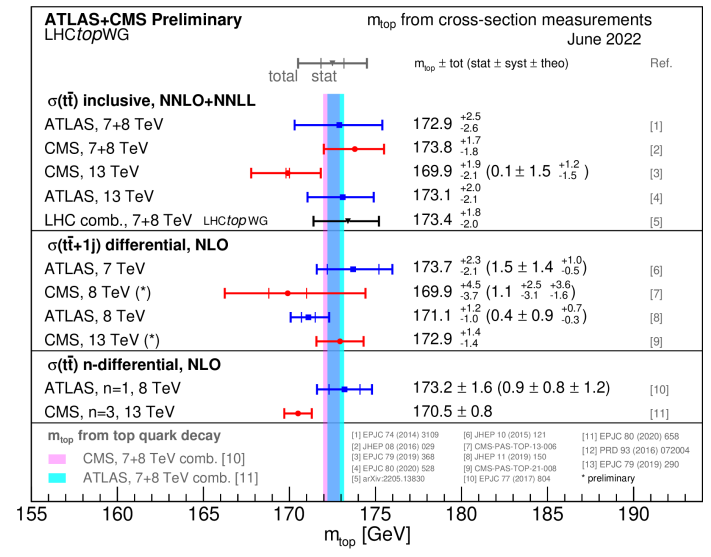
Direct measurements:

Fixed parameter in the generator: **MC mass**.
 Relies on jet, parton shower, non-perturbative effects.
 Total or partial invariant mass of top decay products.

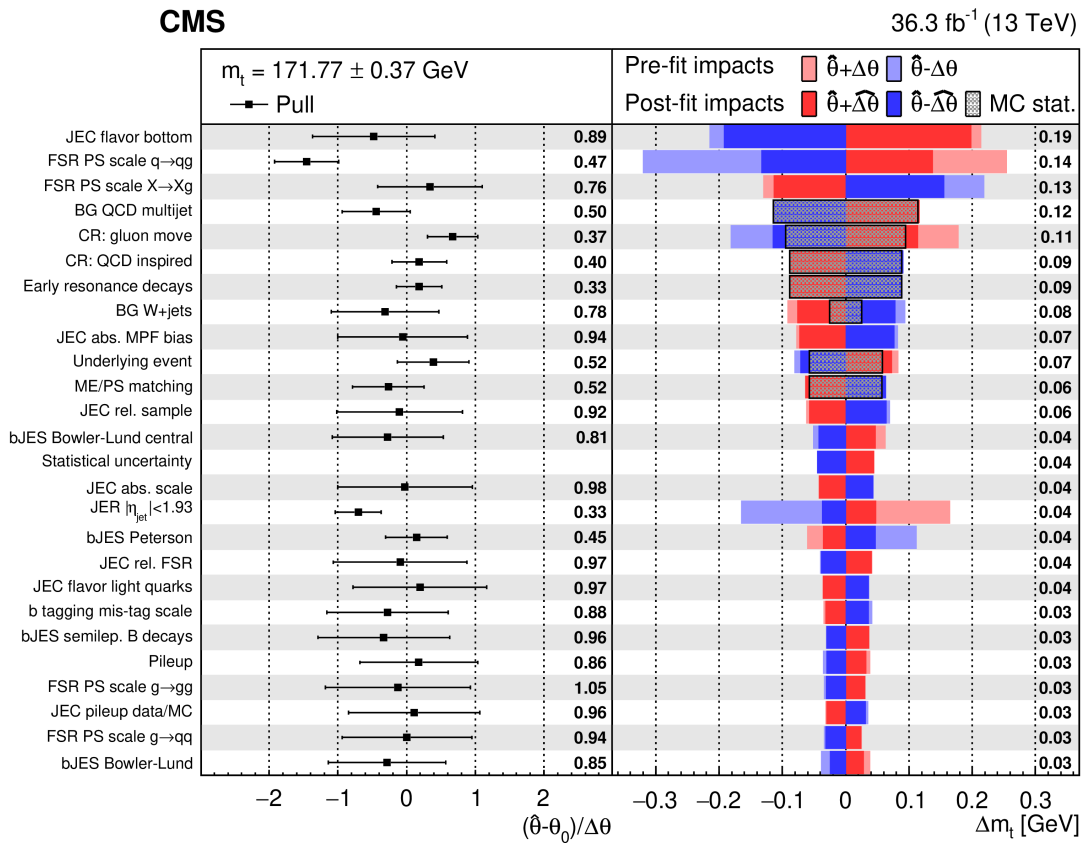
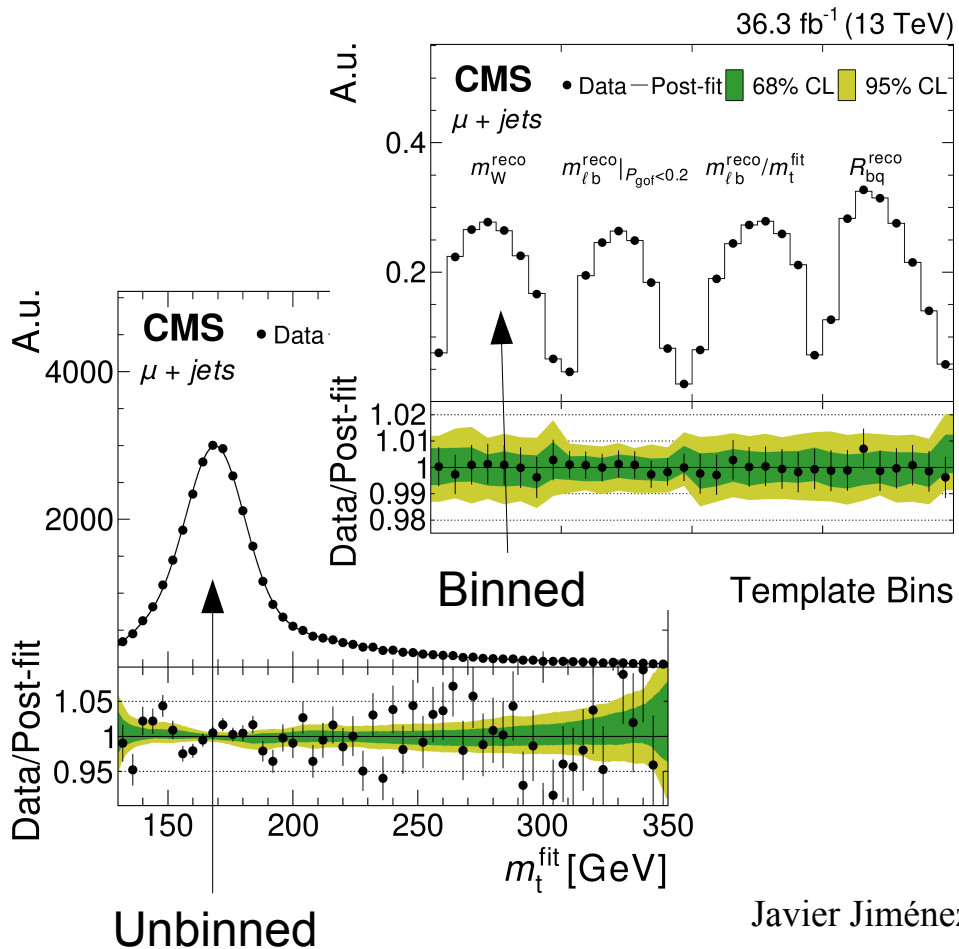


Indirect measurements:

Well defined renormalization scheme: e.g. **pole mass**
 From cross sections (inclusive or differential)

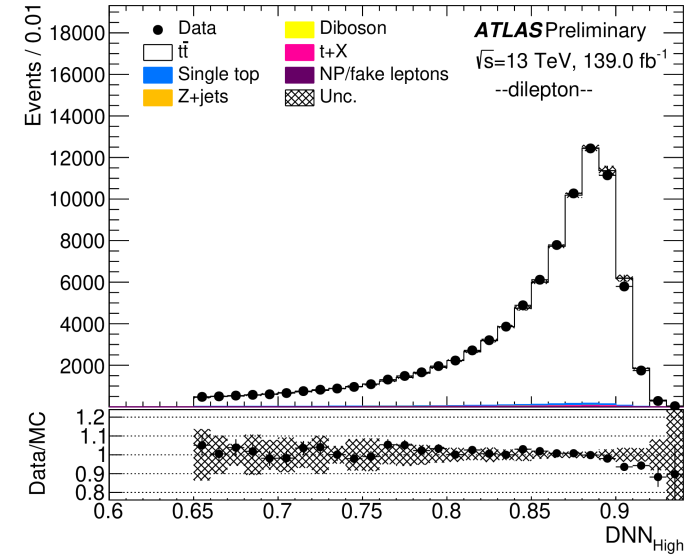


- For each event, m_t^{fit} is extracted from a kinematic fit.
- $m(\text{top})$ extracted from simultaneous fit to **5 observables** with a profile likelihood:
In situ constraints of systematic uncertainties: m_t^{fit} , m_W , m_{l_b} , m_{l_b}/m_t^{fit} , R_{bq} .
- Most precise measurement of the top quark mass to date:
- **$m(\text{top}) = 171.77 \pm 0.37 \text{ GeV}$**



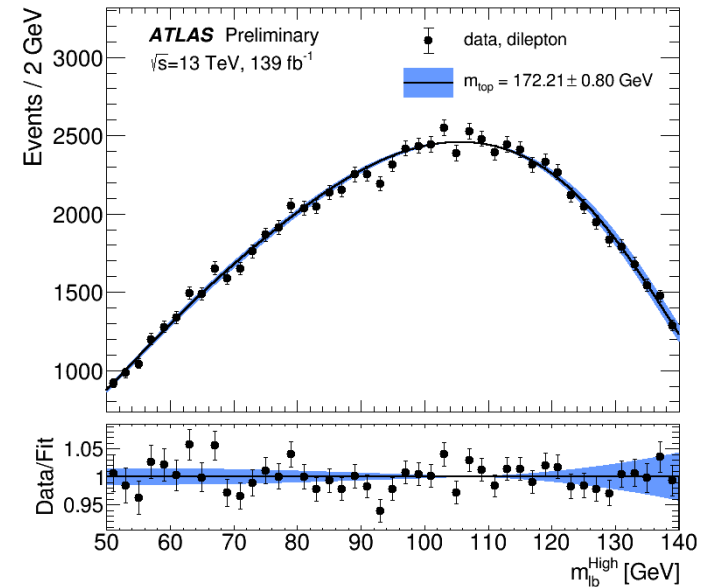
Template fit of $m(\text{top})$ in dilepton

- **DNN for event reconstruction:** selects right pairing of leptons and b-jets.
- From each event, **use only lb pair with largest p_{T}^{lb} .**
- Further selection: $\text{DNN}_{\text{High}} > 0.65$, $p_{\text{T}}^{\text{lb}} > 160$ GeV and selected lb pair contains b-jet with largest p_{T} .
- Unbinned likelihood fit calibrated with MC (Powheg+Pythia)
 $m_{\text{top}} = 172.21 \pm 0.20$ (stat) ± 0.67 (syst) ± 0.39 (recoil)



	m_{top} [GeV]
Result	172.21
Statistics	0.20
Method	0.05 ± 0.04
Matrix-element matching	0.40 ± 0.06
Parton shower and hadronisation	0.05 ± 0.05
Initial- and final-state QCD radiation	0.17 ± 0.02
Underlying event	0.02 ± 0.10
Colour reconnection	0.27 ± 0.07
Parton distribution function	0.03 ± 0.00
Single top modelling	0.01 ± 0.01
Background normalisation	0.03 ± 0.02
Jet energy scale	0.37 ± 0.02
b-jet energy scale	0.12 ± 0.02
Jet energy resolution	0.13 ± 0.02
Jet vertex tagging	0.01 ± 0.01
b-tagging	0.04 ± 0.01
Leptons	0.11 ± 0.02
Pile-up	0.06 ± 0.01
Recoil effect	0.39 ± 0.09
Total systematic uncertainty (without recoil)	0.67 ± 0.05
Total systematic uncertainty (with recoil)	0.77 ± 0.06
Total uncertainty (without recoil)	0.70 ± 0.05
Total uncertainty (with recoil)	0.80 ± 0.06

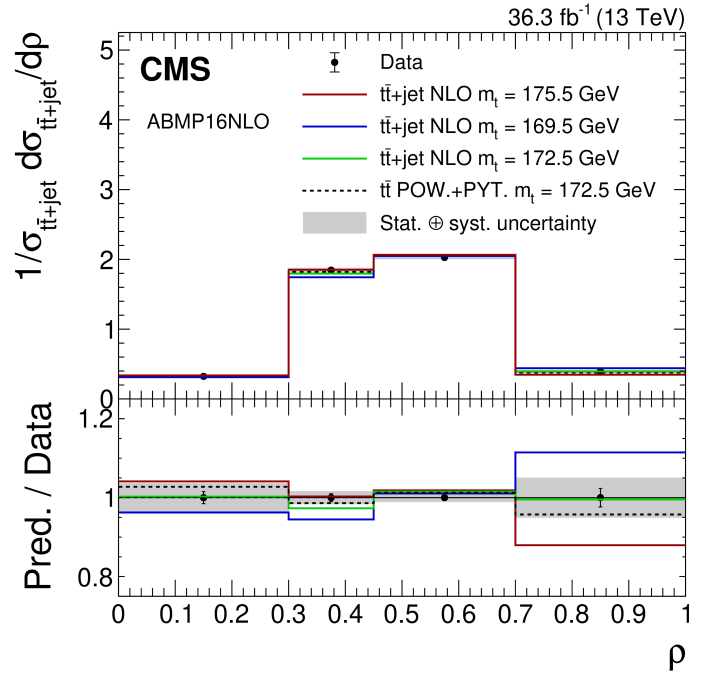
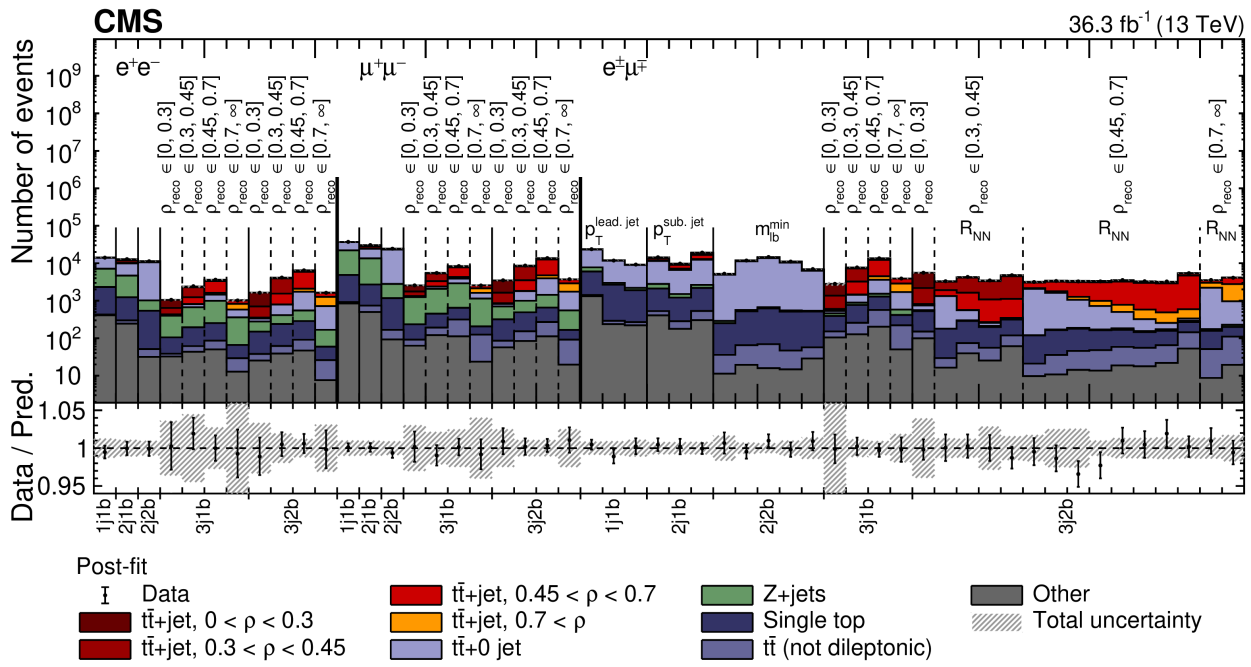
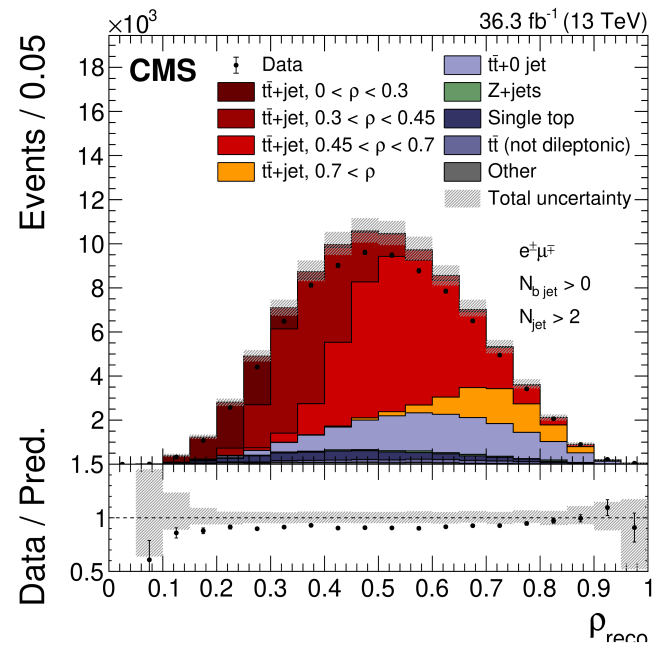
- New measurement improves Run-1 result.
- Precision limited by modelling and Jet Energy Scale unc.
- New significant unc: radiation recoil scheme





Top quark pole mass in tt+jet dilepton events

- Jet emission in ttbar is sensitive to m_t^{pole} .
- Using $\rho \sim 1/M(tt+j)$ to extract the pole mass.
- MVA to calculate ρ_{reco} and for S/B discrimination with two hypotheses: tt+j and tt. $RNN = s(tt+j)/[s(tt+j)+s(tt)]$
- Profile likelihood unfolding, combining several regions.
- Split by lepton flavour, jet/b-jet multiplicity, ρ_{reco} and RNN.
- $m_t^{pole} = 172.94 \pm 1.37 \text{ GeV}$
- Compared to NLO predictions



SM Effective Field Theory

Effective Field Theory extension of SM

Lack of clear evidence of new physics at the LHC:

- New physics may lie above the experimental energy scale

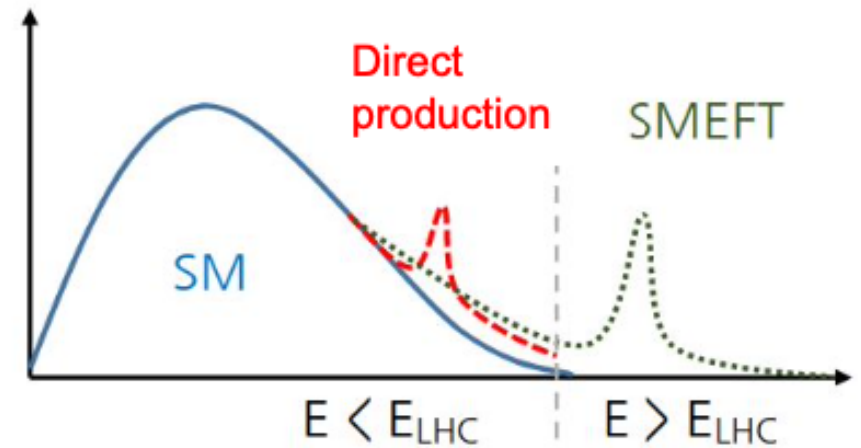
Indirect searches may provide hints!

SMEFT extends the SM Lagrangian

$$\mathcal{L}_{SM}(\varphi) + \mathcal{L}_{Dim6}(\varphi) + \dots$$

$$\mathcal{L}_{\text{Eff}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i^{(6)} O_i^{(6)}}{\Lambda^2} + \mathcal{O}(\Lambda^{-4})$$

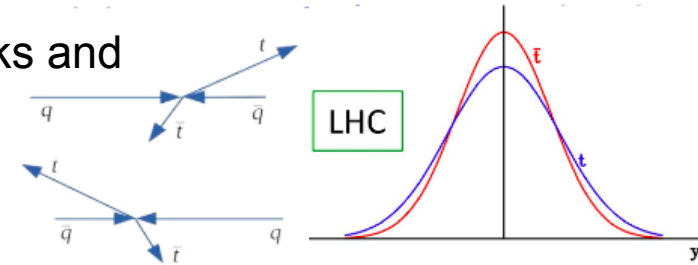
Wilson coefficients $\rightarrow C_i^{(6)}$
 EFT operator $\rightarrow O_i^{(6)}$
 UV scale $\rightarrow \Lambda$



Interesting to study EFT with top quarks:
 Large mass and Yukawa coupling $O(\sim 1)$.
 Rich environment of production modes.
 Access to top quark polarization.

- **Slight central-forward difference** in rapidity between top quarks and antiquarks in top pair production **at the LHC**, quantified as:

$$A_C = \frac{N(|y_t| > |y_{\bar{t}}|) - N(|y_t| < |y_{\bar{t}}|)}{N(|y_t| > |y_{\bar{t}}|) + N(|y_t| < |y_{\bar{t}}|)}$$



Arising from interference effects among **QCD diagrams at NLO**:

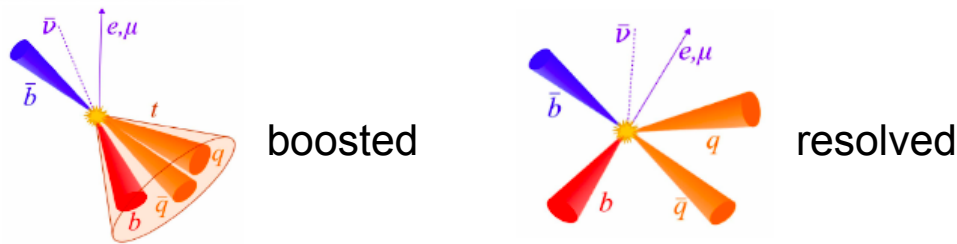
- Introduced by quark-antiquark initiated production, but **diluted by gluon-gluon** production.

Asymmetries exhibit kinematic dependence, **enhanced in BSM**:

- Sensitivity of AC to EFT coefficients **complementary** to cross section measurements

ATLAS measurement with Full Run 2 data: Single and dilepton.

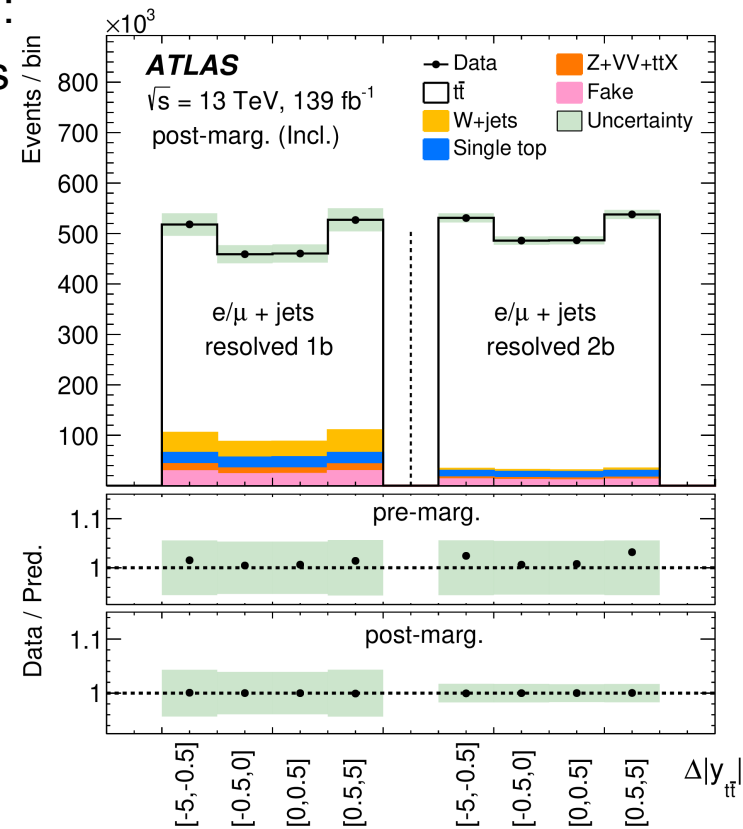
- **Single lepton**: split by b-jet multiplicity and boosted/resolved jet topologies. Use of a BDT for top reconstruction.



- **Dilepton**: split by lepton flavour and b-jet multiplicity. Also measure lepton charge asymmetry.

$$A_C^{\ell\bar{\ell}} = \frac{N(\Delta|\eta_{\ell\bar{\ell}}| > 0) - N(\Delta|\eta_{\ell\bar{\ell}}| < 0)}{N(\Delta|\eta_{\ell\bar{\ell}}| > 0) + N(\Delta|\eta_{\ell\bar{\ell}}| < 0)}$$

$$\Delta|\eta_{\ell\bar{\ell}}| = |\eta_{\bar{\ell}}| - |\eta_{\ell}|$$



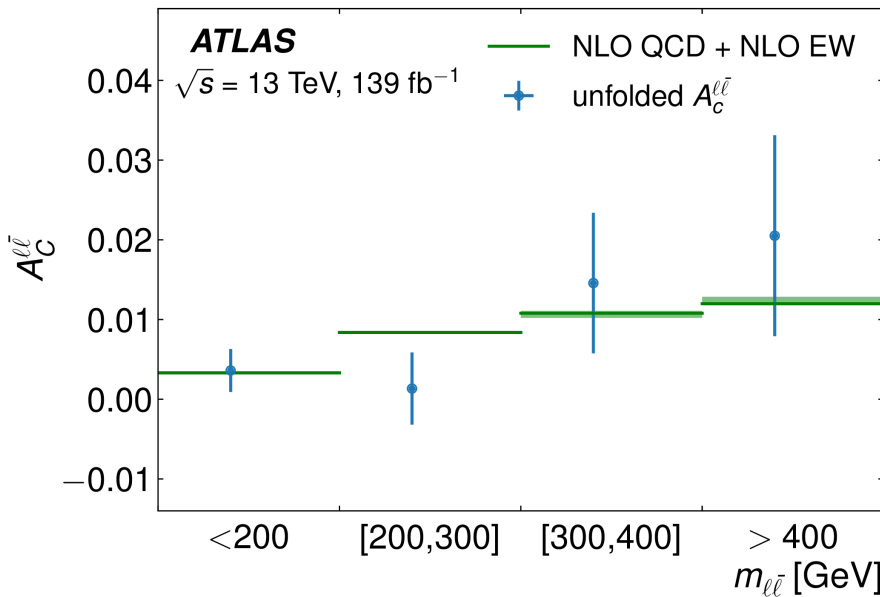
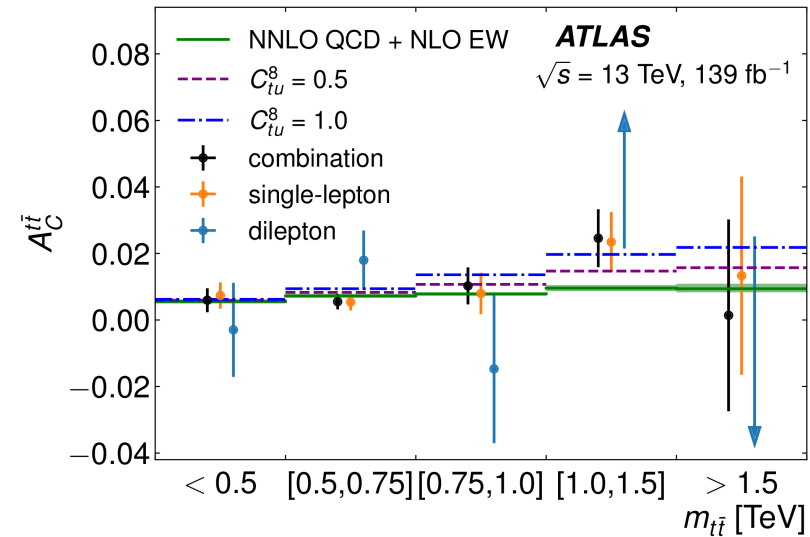
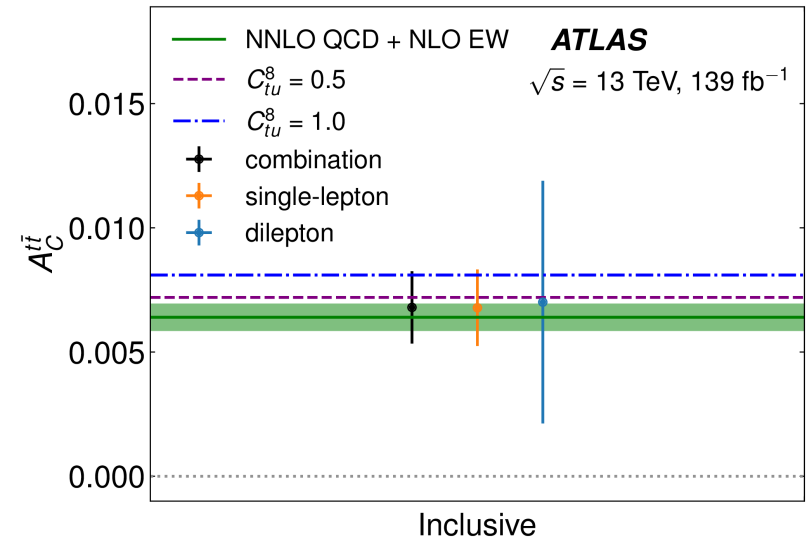
$\Delta|y|$ ($\Delta|\eta|$) distributions corrected to parton level using Fully Bayesian Unfolding approach

A_C measured inclusively and **differentially** as a function of $m_{t\bar{t}}$, $p_{T,t\bar{t}}$, $\beta_{z,t\bar{t}}$ in the dilepton, lepton+jets channel and simultaneously.

$$A_C = 0.0068 \pm 0.0015 \text{ (} 4.7 \sigma \text{ from } A_C = 0 \text{)}$$

$A_C^{\ell\bar{\ell}}$ measured inclusively and differentially as a function of $m_{\ell\bar{\ell}}$, $p_{T,\ell\bar{\ell}}$, $\beta_{z,\ell\bar{\ell}}$.

All results are compatible with SM predictions.



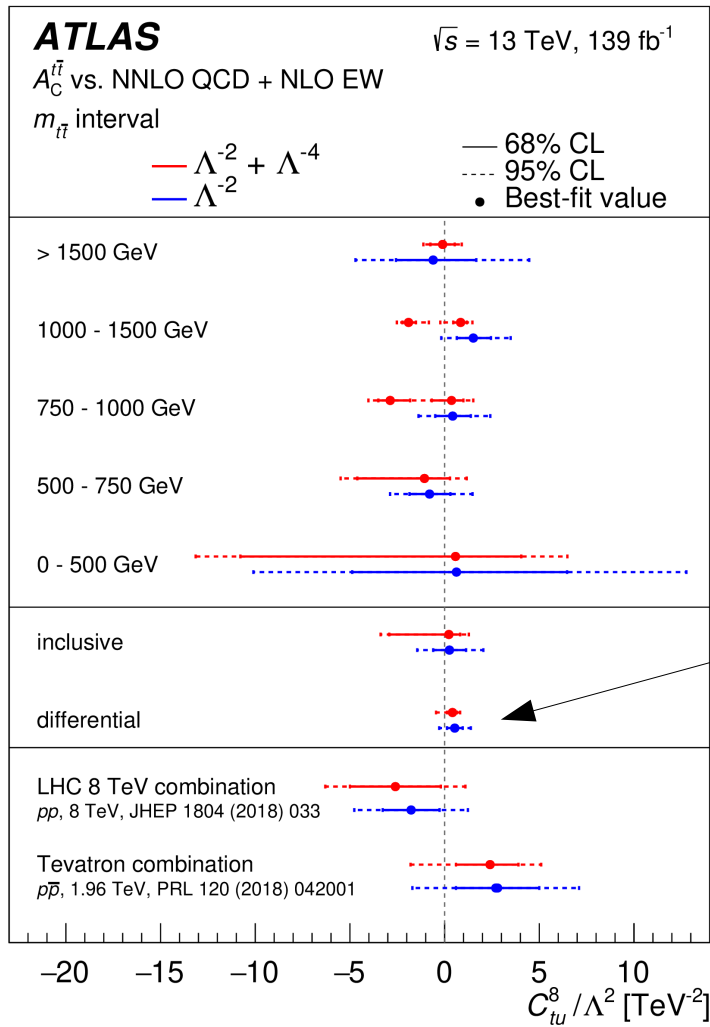
Charge asymmetry also in:

$t\bar{t}+\gamma$: [arXiv:2212.10552](https://arxiv.org/abs/2212.10552)

$t\bar{t}W$: [ATLAS-CONF-2023-019](https://arxiv.org/abs/ATLAS-CONF-2023-019)

Combined results interpreted in the SMEFT framework:

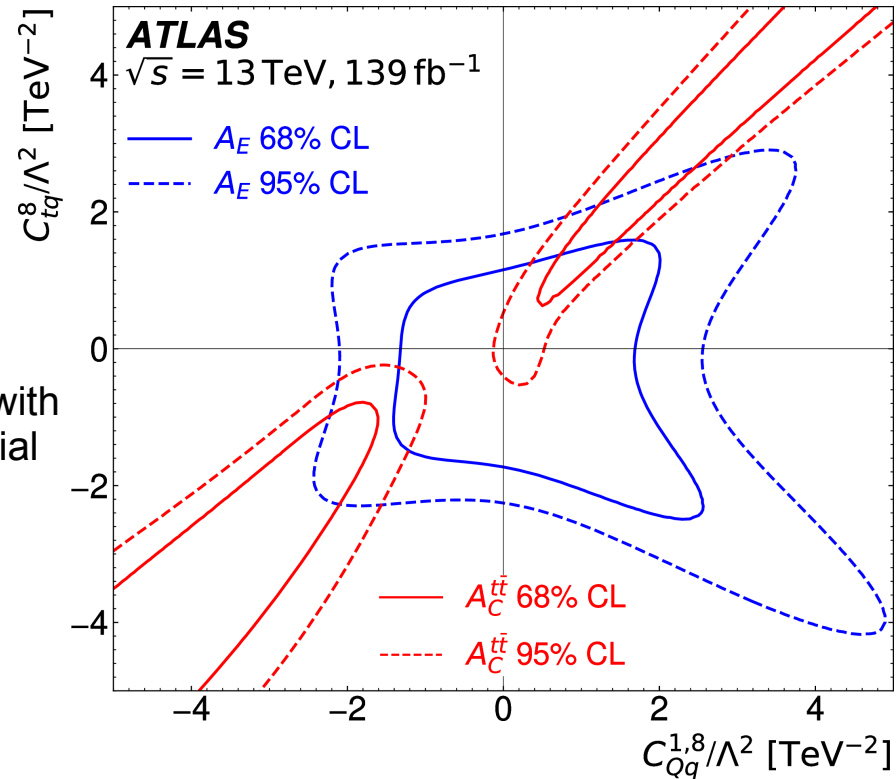
- Constraint from the **differential measurement: factor >2 stronger** than the one from inclusive measurement (sensitivity increases with $m_{t\bar{t}}$)



Big gain with differential

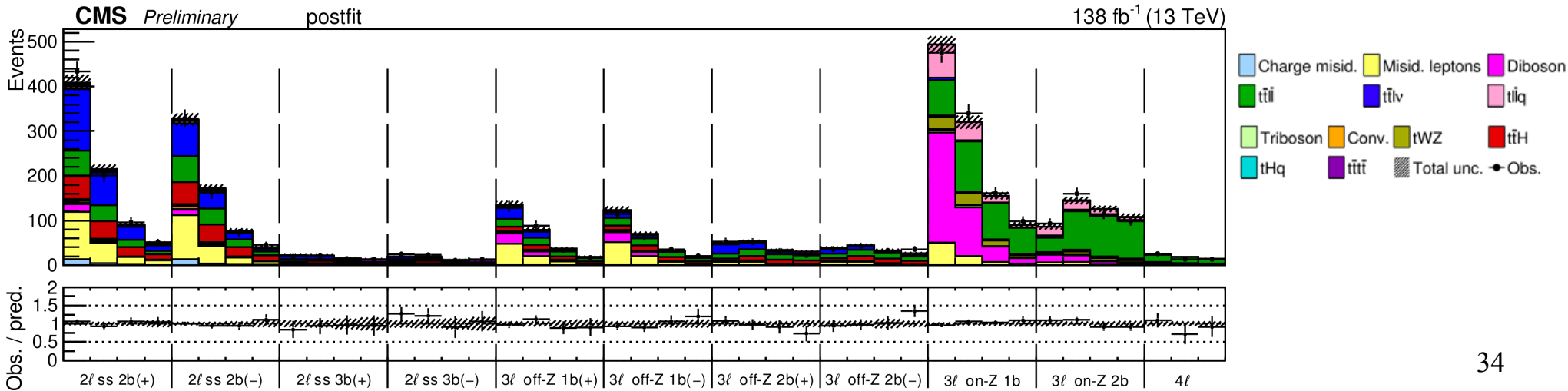
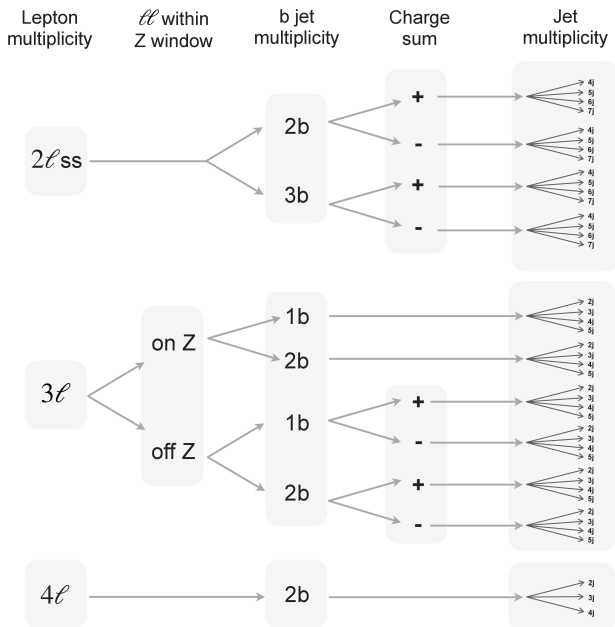
Energy asymmetry, A_E Eur. Phys. J. C 82 (2022) 374

- Complementary measurement.
- Probe blind directions of A_C .



- A search of new physics effects using the EFT framework.
- Targets top quark production **with additional final-state leptons**.
- EFT effects incorporated into event weights of MC samples:
 - **Provides detector level predictions with correlations and interference between EFT operators and SM.**
- **A total of 26 WCs:** 4 heavy quarks and 2 heavy quarks with 2 light quarks, 2 leptons or bosons.
- **Included processes:** ttH , tHq , $t\bar{t}t$, $t\bar{t}l$, $t\bar{t}lv$ & $t\bar{t}lq$ (ttZ , ttW & tZq)
- Data is divided into **several categories** based on lepton, jet and b-jet multiplicities, total lepton charge
- 3-lepton category further divided into On-/Off-shell Z boson.
- A total of **43 event categories**: increases statistical power given the different mixtures of physic processes.

Event categorization



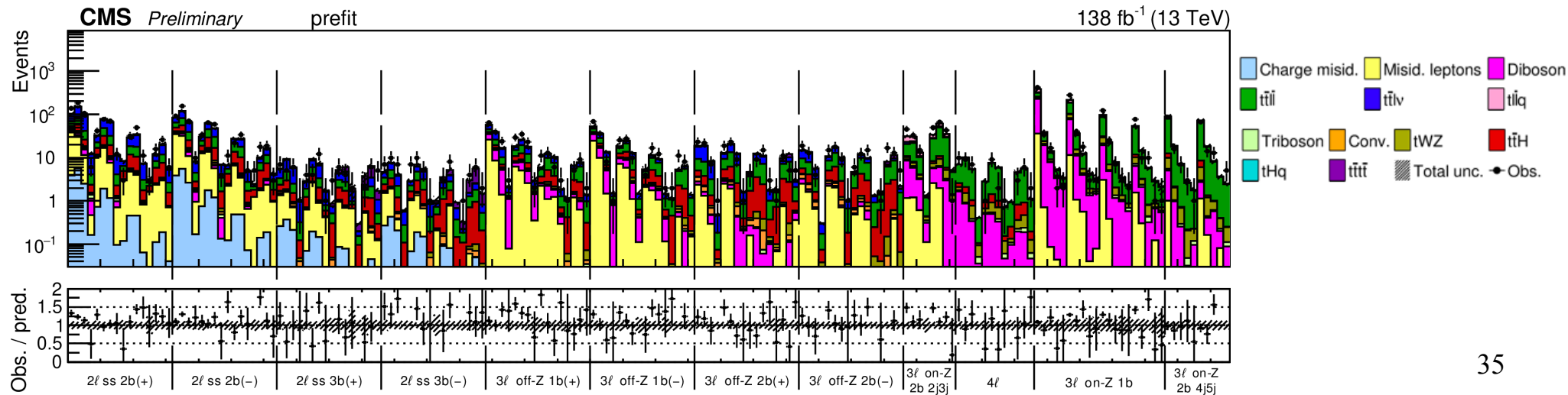
Sensitivity to EFT is given through the **event yields** of each category: **Quadratic with WCs**.
To increase sensitivity to EFT, each of the 43 event categories is **binned into a differential distribution**. About a **factor two improvement in sensitivity**.

Two kinematical variables:

- 1) The **maximum transverse momenta** among all possible pairs of leptons and/or jets: $p_T(lj_0)$
 - Sensitivity to a broad range of EFT effect that grow with energy. Used in most categories.
- 2) **Transverse momenta of the on-shell Z boson**: $p_T(Z)$
 - Sensitivity to EFT operators involving Z boson: modify Z kinematics. Used in 3l on-shell.

Background dominated by **WZ production**: estimated simulations and validated in **CRs**.

Non-prompt leptons contribution estimated using data driven techniques.

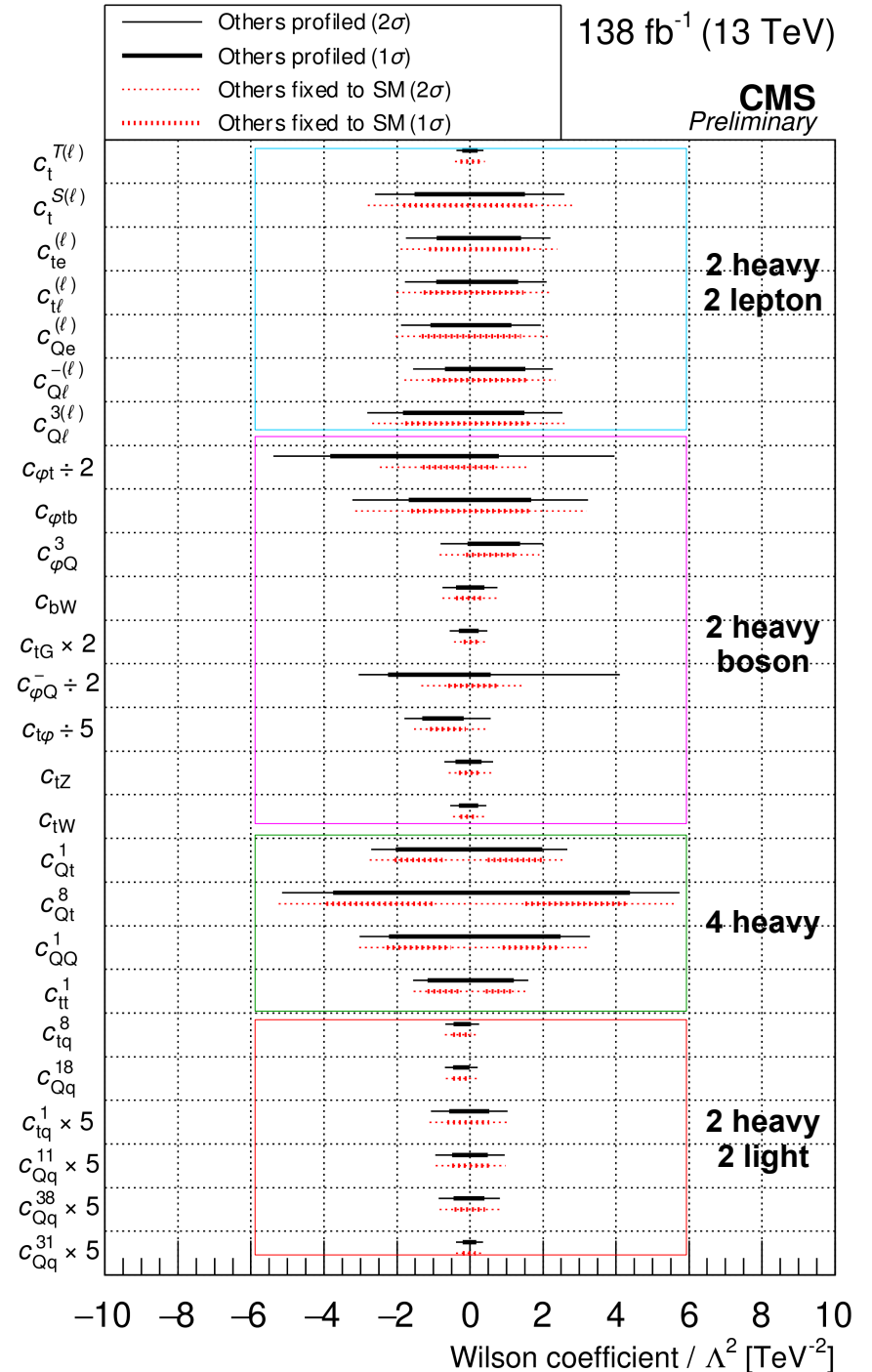
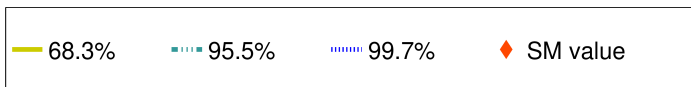
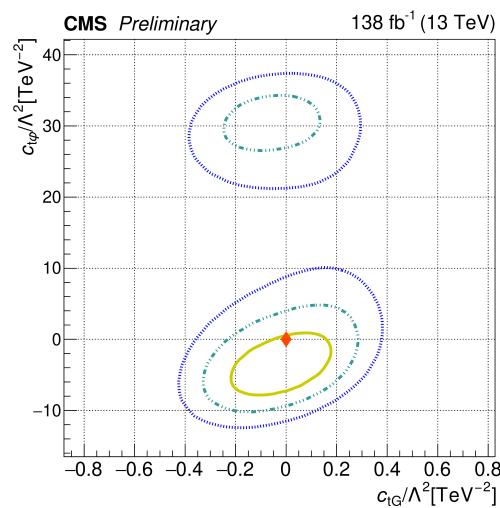
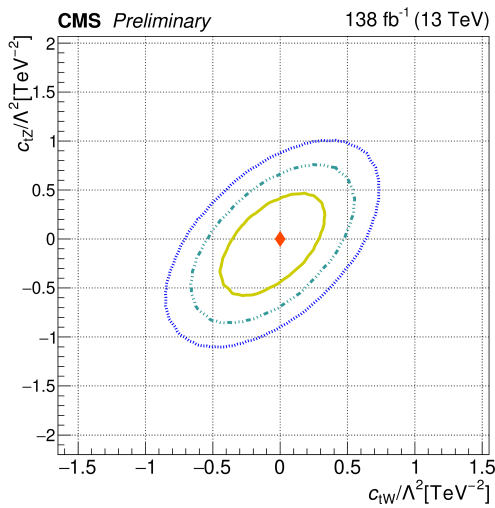




EFT search in top with additional leptons

- **Profile Likelihood fit across all bins**, each treated as an independent Poisson measurement.
- Each bin total yield parametrized quadratically by the **26 WCs**.
- Systematic uncertainties treated as NPs.
- Two approaches for 1σ and 2σ confidence levels:
 - Rest of the WCs profiled.
 - Rest of the WCs fixed to SM value (=0)
- 2D scans for a subset of WCs.

All measured values are in agreement with SM.

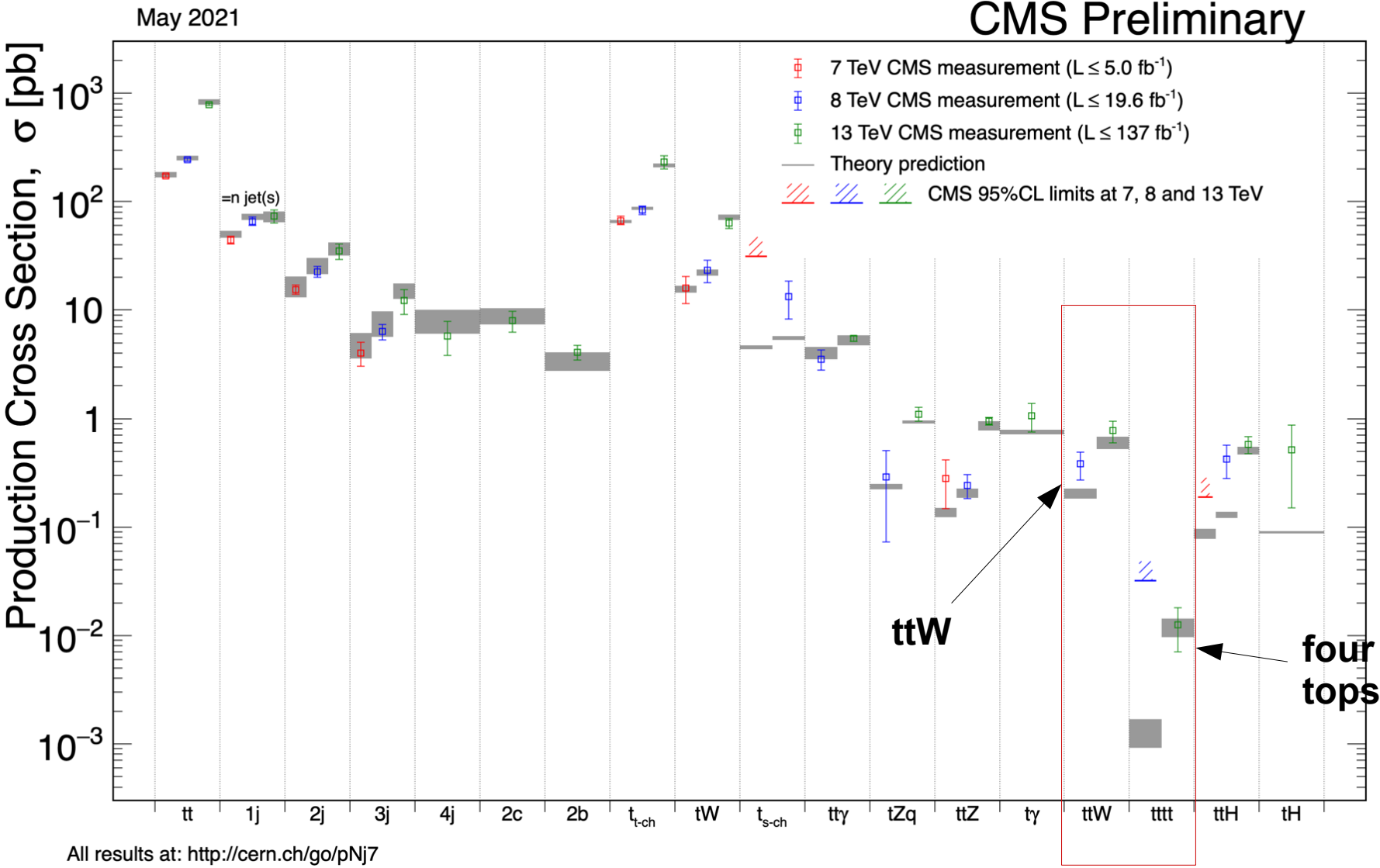


Rare processes



Rare process intro

The study of **rare processes** allow to test **SM predictions** in high energy **regimes** where deviations from the SM could be favored.



Inclusive $\sigma(ttW)$ at 13 TeV

Events selected in **two main regions**:

2 SS leptons (semi-leptonic $t\bar{t}$ decay) :

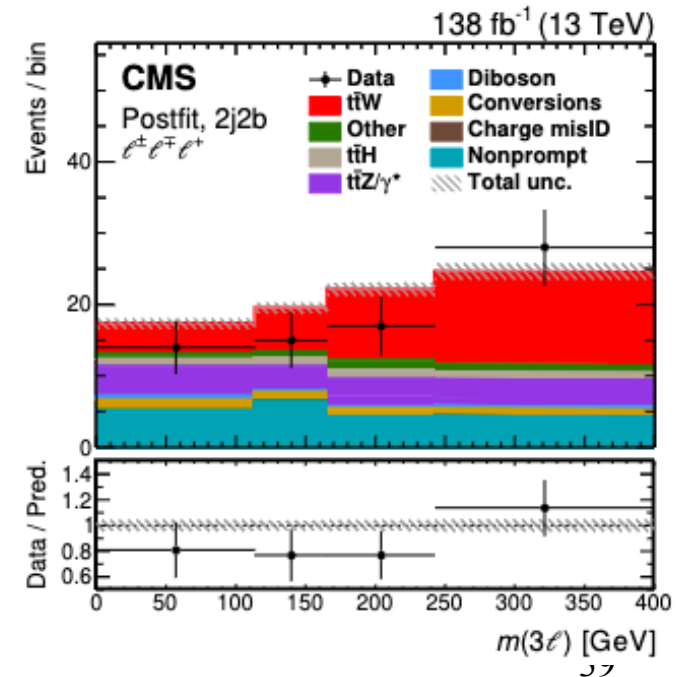
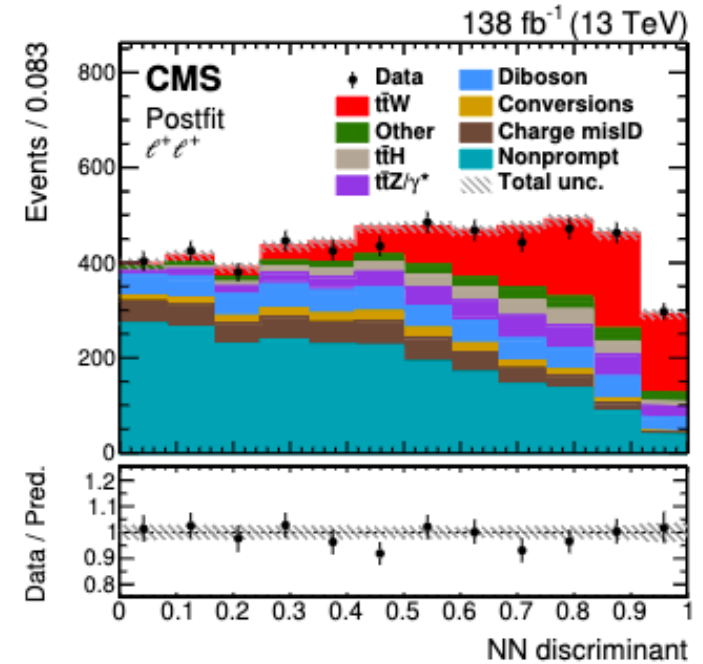
- Dominant bkg from: $t\bar{t}H$ & $t\bar{t}Z$, charge mis-ID, non-prompt lepton.
- Dedicated multiclass NN to separate signal and bkg.

3 leptons (dileptonic $t\bar{t}$ decay) :

- Events categorized based on the number of b jets and lepton charge.

$t\bar{t}W$ extracted doing a fit to all SRs and CR.

- NN score used in 2l, and $m(3l)$ in 3l.
- Dominant uncertainty from e charge mis-ID, lumi, b-tagging and normalization of $t\bar{t}H$, VVV and $t\bar{t}VV$.
- The large number of regions employed in the fit allows to constrain the uncertainty.

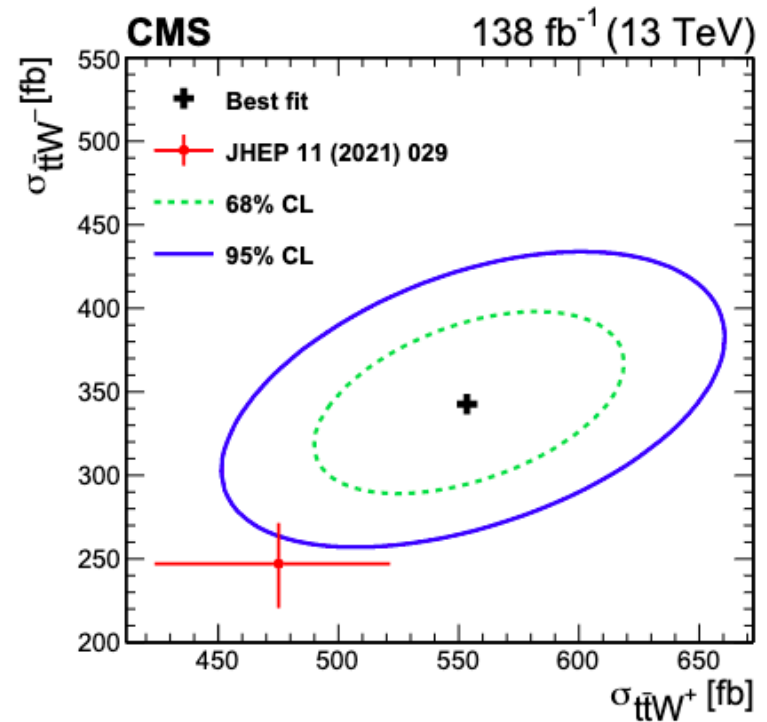
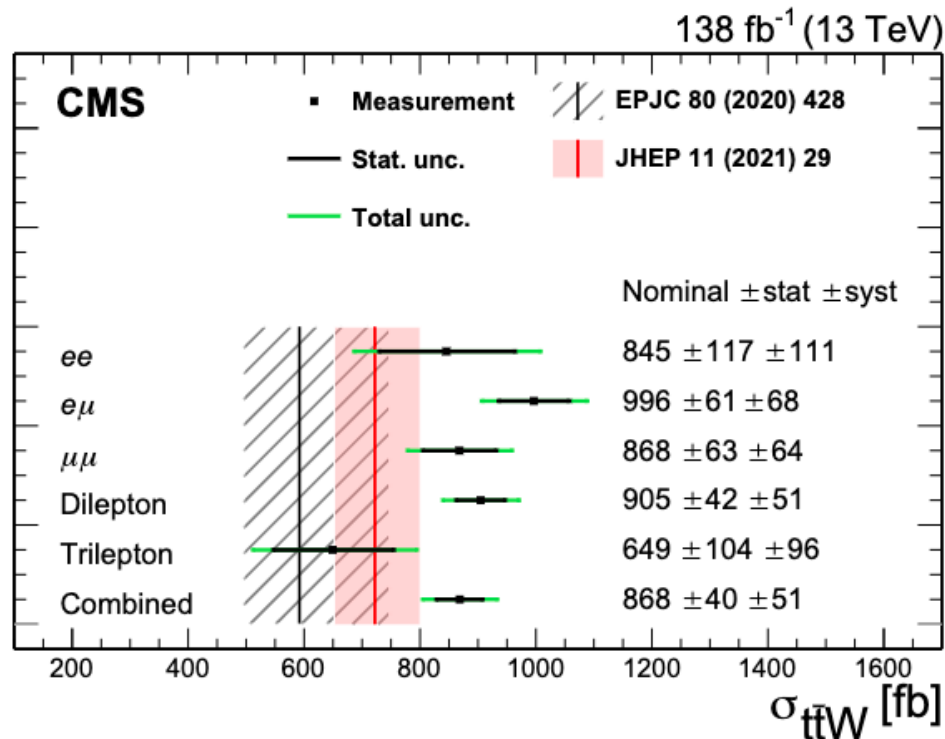


Inclusive $\sigma(ttW)$ at 13 TeV

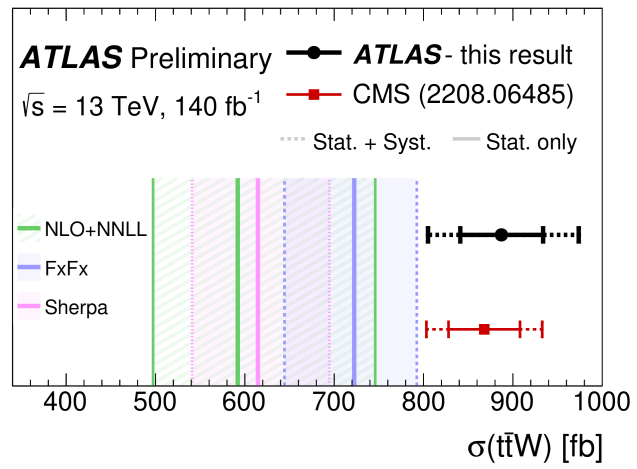
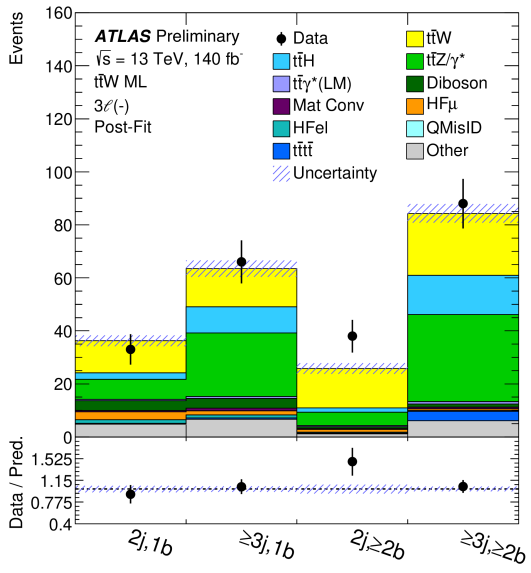
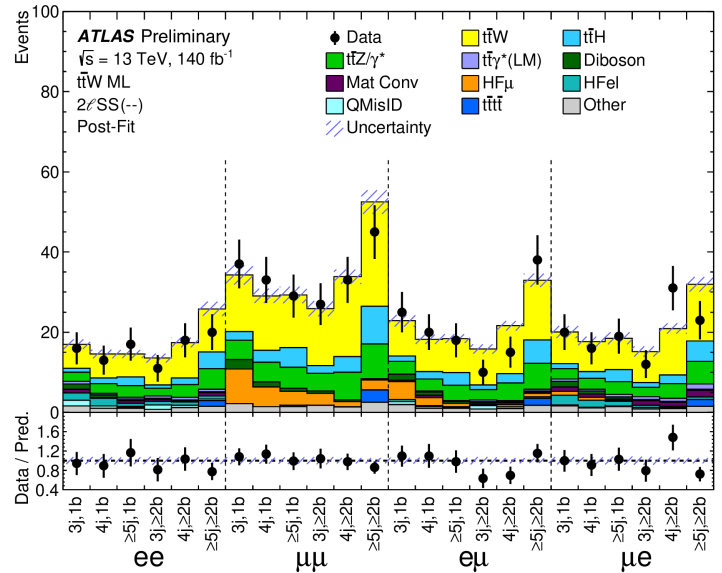
- σ measured for ttW and also for ttW^+ and ttW^- together with the ttW^+ / ttW^- ratio.
- Obtained value of $\sigma(ttW)$ is **slightly higher than the SM expectations**.
- Better compatibility with the NLO (EW&QCD) prediction obtained with an improved NLO FxFx-merging scheme.

$$\sigma(ttW) = 868 \pm 40 \text{ (stat)} \pm 51 \text{ (syst)} \text{ fb. Compatible at } 2\sigma \text{ with SM.}$$

- Main uncertainties: Statistical, ttH norm, luminosity, b-tagging and charge mis-ID.



- Similar measurement from ATLAS: 2LSS or 3L
- Split by lepton charges-flavors, and jet and b-jet multiplicities:
- Simultaneous **profile likelihood fit** to data in 56 Signal and 10 Control Regions.

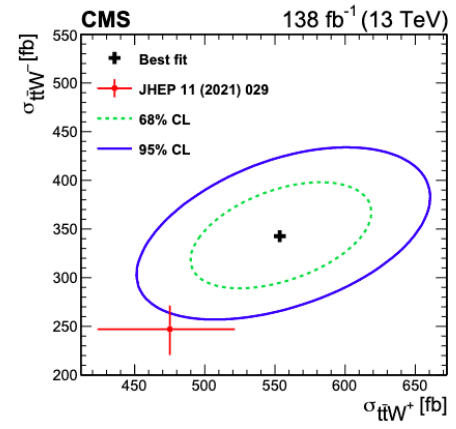
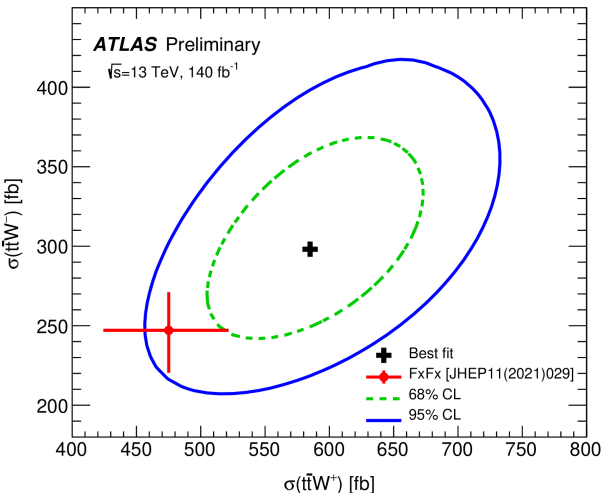


$\sigma(ttW) = 890 \pm 50 \text{ (stat)} \pm 70 \text{ (syst)} \text{ fb.}$

Compatible at 1.5σ with SM.

Main uncertainties:

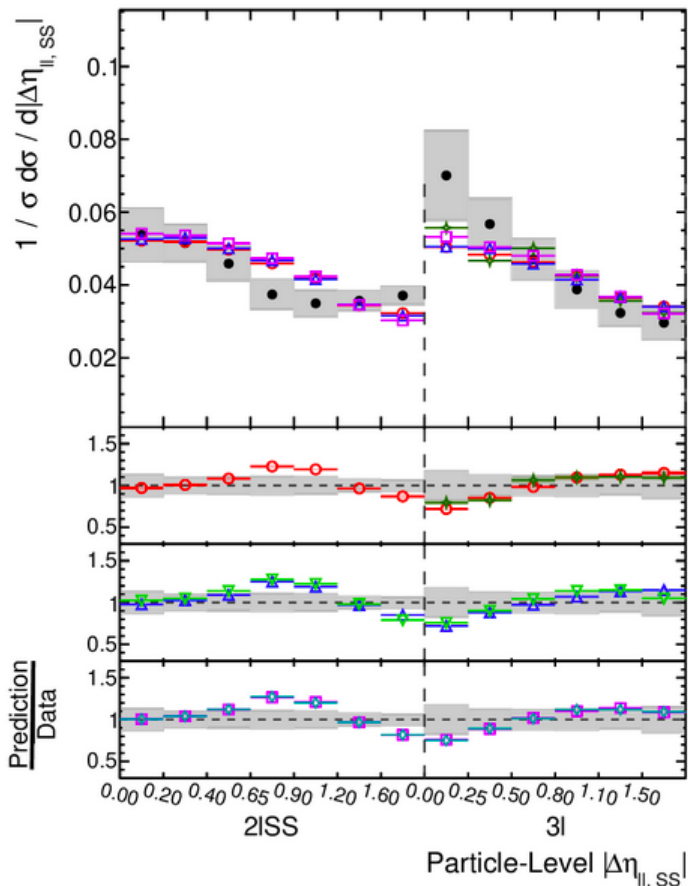
Statistical, ttW ME and PS modeling, prompt-lepton norm and lepton isolation



Javier Jiménez

Differential $\sigma(ttW)$ at 13 TeV

- **Differential XS extracted:** Unfolded to particle-level with a profile likelihood method.
- **Several observables** are compared to theoretical predictions: e.g. $|\Delta\eta_{ll,ss}|$
- Generally in **good agreement** with the normalised differential cross-section results.
- Some tensions observed in normalised XS, but overall agreement is good when considering statistical and systematic correlation: **p-value > 0.5**



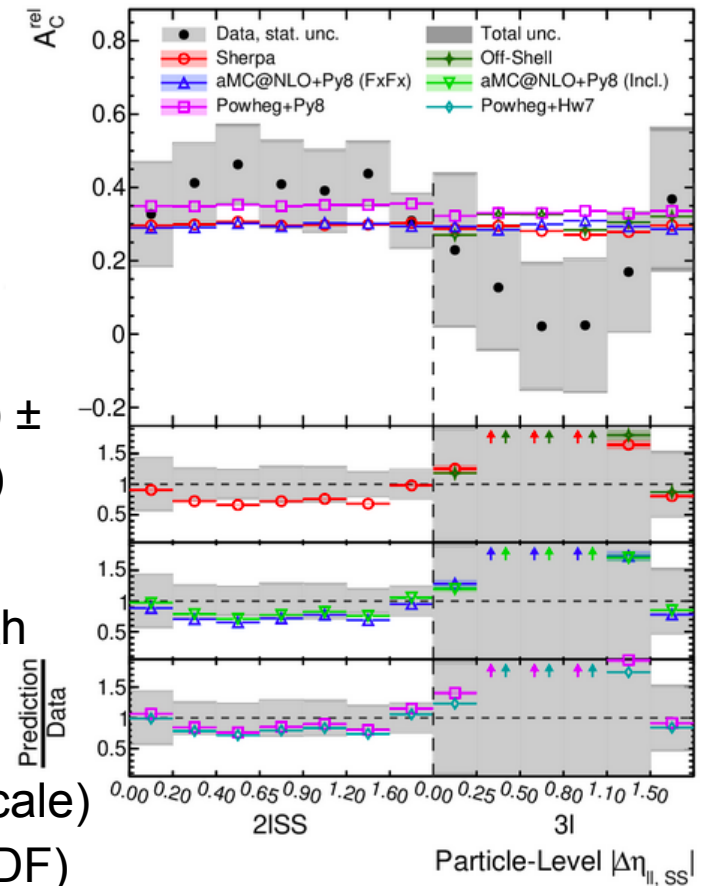
- The **relative charge asymmetry** has been measured:

$$A_C^{\text{rel}} = \frac{\sigma(tt\bar{W}^+) - \sigma(tt\bar{W}^-)}{\sigma(tt\bar{W}^+) + \sigma(tt\bar{W}^-)}$$

$$A_C^{\text{rel}} = 0.32 \pm 0.05 \text{ (stat)} \pm 0.03 \text{ (syst)}$$

- In **good agreement** with Sherpa prediction:

$$A_C^{\text{rel}} = 0.322 \pm 0.003 \text{ (scale)} \pm 0.007 \text{ (PDF)}$$

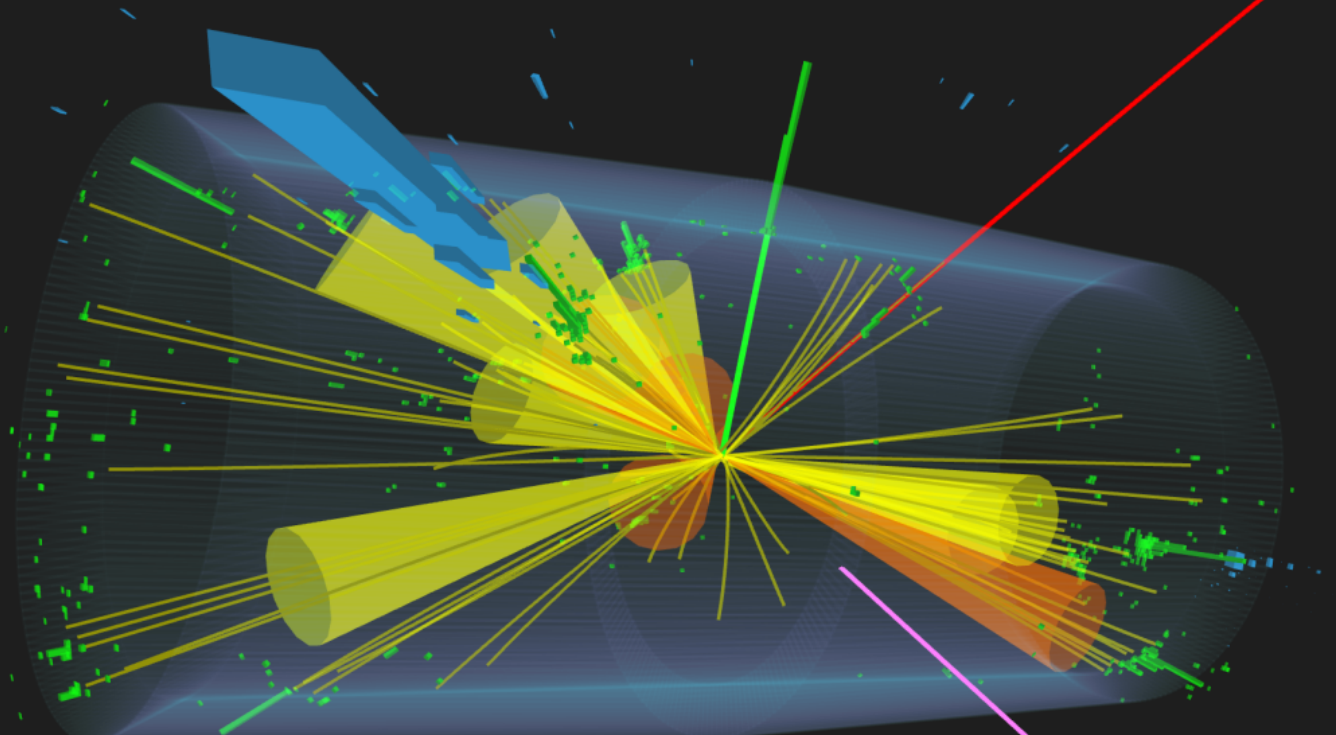
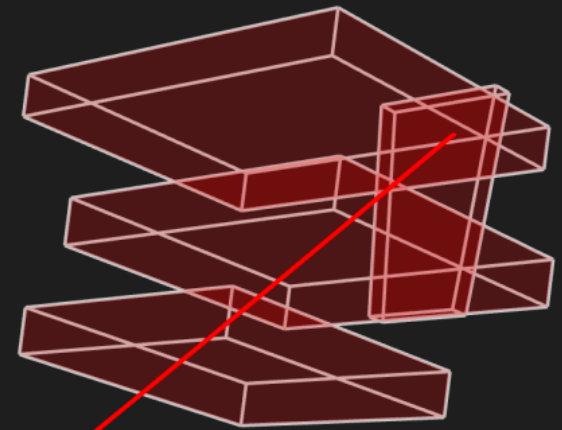




CMS Experiment at the LHC, CERN

Data recorded: 2018-Sep-07 02:15:53.337408 GMT

Run / Event / LS: 322356 / 153159025 / 79



CMS Briefing
ATLAS Briefing

First observation of 4 tops



Four top production at the LHC

One of the heaviest final states accessible at the LHC:

- NLO QCD: $\sigma(\bar{t}\bar{t}) = 12 \text{ fb} \pm 20\%$ [JHEP 02 (2018) 031]
- NLO+NLL: $\sigma(\bar{t}\bar{t}) = 13.4 \text{ fb} \pm 11\%$ [arXiv:2212.03259]

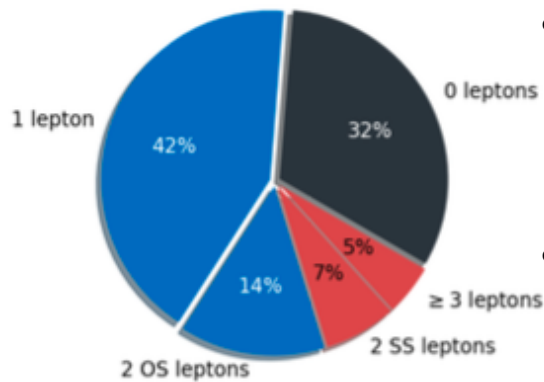
$$\sigma(tttt)/\sigma(tt) \approx 10^{-5}$$

Natural sensitivity to many BSM models

- Top quark-higgs Yukawa coupling and its CP properties.
- EFT four heavy fermion operators.

Final state: **high multiplicity** of jets and b-jets

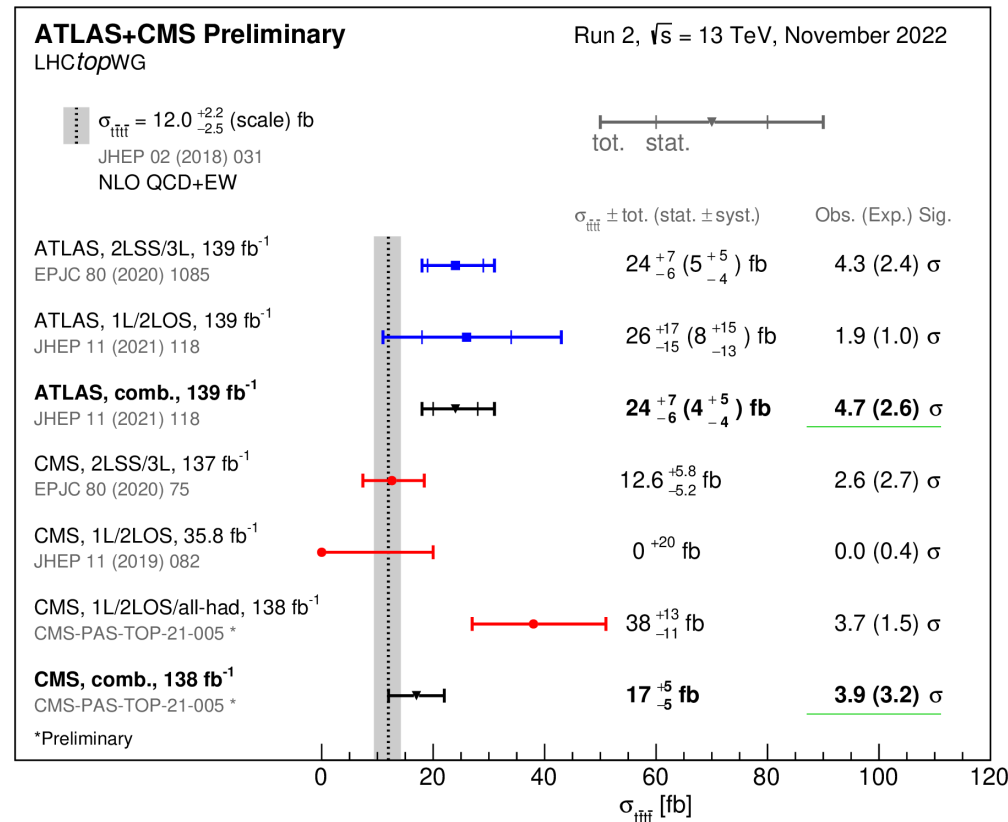
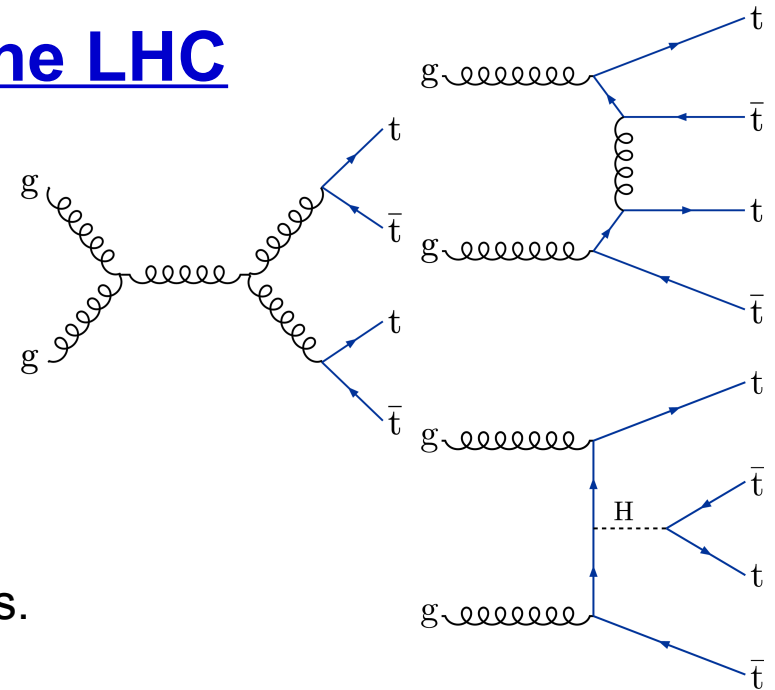
- Analysis strategy varies with the number of leptons from top quark decays



Golden channel

- Evidence observed both by ATLAS and CMS in preliminary analyses
- Motivated a re-analysis of Run 2 dataset

Javier Jiménez P



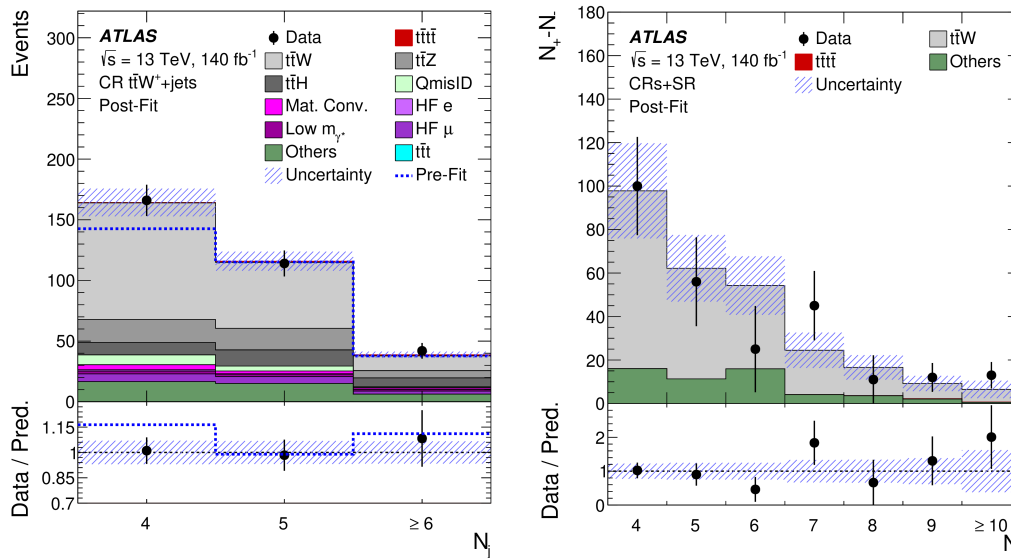
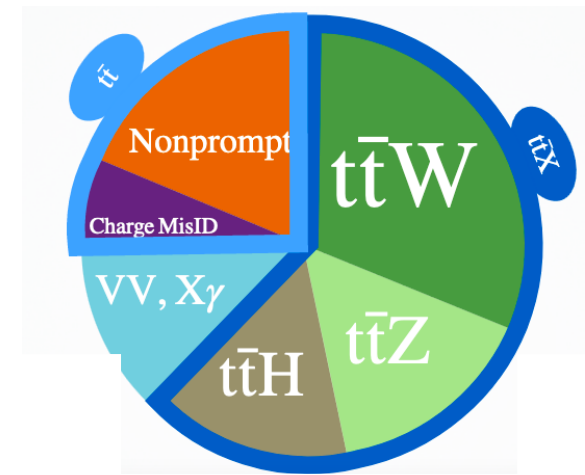
Multilepton final state is the most sensitive channel:

- Re-analyze various channels in ATLAS and CMS: **2LSS, 3L and 4L.**

Physical backgrounds: mainly $t\bar{t}X$: $t\bar{t}W$, $t\bar{t}H$ and $t\bar{t}Z$ + jets.

- CMS: Simultaneous fit of $\sigma(t\bar{t}t)$, $\sigma(t\bar{t}Z)$ and $\sigma(t\bar{t}W)$. MC for $t\bar{t}H$.
- ATLAS: MC for $t\bar{t}Z$ and $t\bar{t}H$. A combination of simulation and **data driven approach for $t\bar{t}W$ +jets** (hard to model)

Backgrounds



Instrumental backgrounds:

Non prompt / fake leptons:

- CMS: e/μ p_T and $|\eta|$ parametrization.
- ATLAS: Templates from MC to extract normalization.

Charge Mis-Identification:

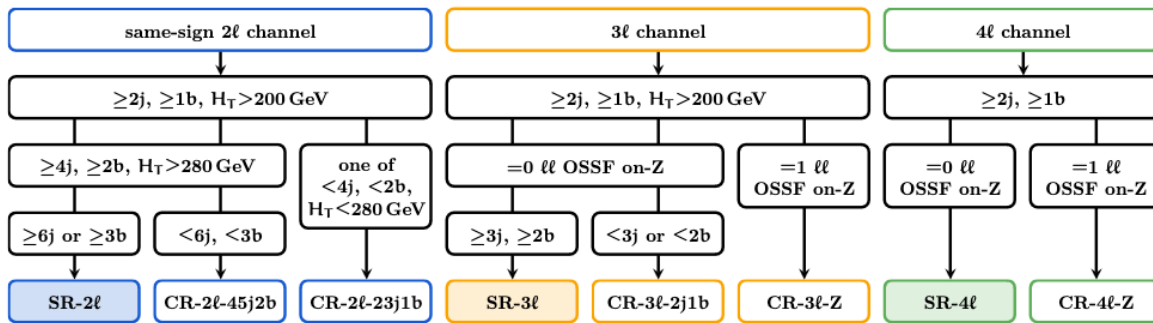
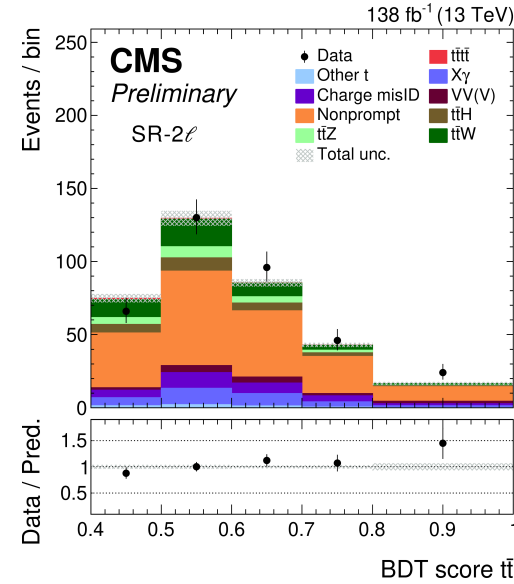
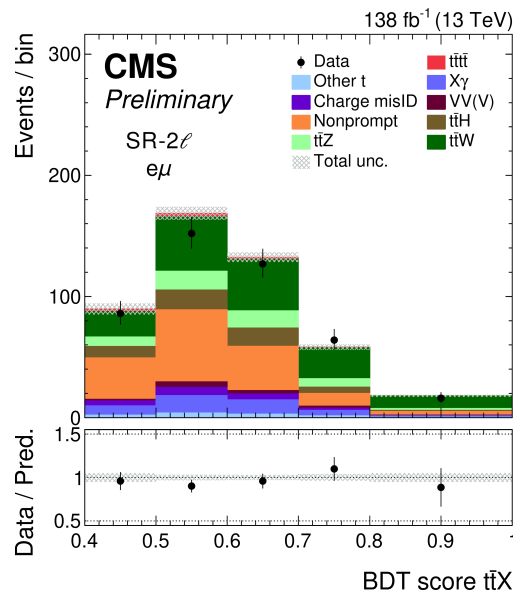
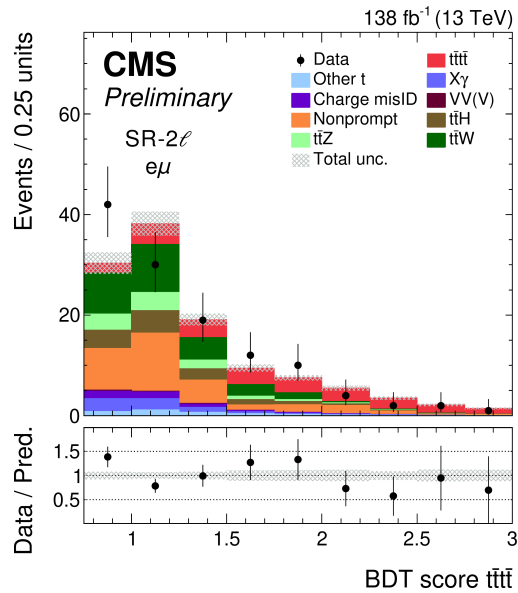
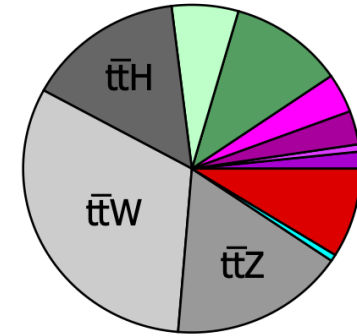
- Using same sign $Z \rightarrow ll$ sideband.

$t\bar{t}W$ background	a_0	a_1	$NF_{t\bar{t}W^+(4jet)}$	$NF_{t\bar{t}W^-(4jet)}$
Value	0.51 ± 0.10	$0.22^{+0.25}_{-0.22}$	$1.27^{+0.25}_{-0.22}$	$1.11^{+0.31}_{-0.28}$

CMS: Event categorization depending of jet and b-jet multiplicities and $H_T = \sum_{lep,jet} p_T$

Use of a **multiclass BDT** to split events in tttt, ttX and tt

ATLAS signal region:
 ≥ 6 jets, ≥ 2 b-jets,
and $H_T \geq 500$ GeV



ATLAS uses **Graph Neural Network**:

- Nodes: jets, e, μ and MET 4 momenta, flavour, charge, type...
- Edges: nodes angular separation.
- Global: jet multiplicity.

ATLAS and CMS have observed (individually) the production of **four top quarks for the first time!**

ATLAS: simultaneous profile likelihood fit to data to GNN score and 8 CR.

$$\sigma_{t\bar{t}t\bar{t}} = 22.5^{+4.7}_{-4.3}(\text{stat})^{+4.6}_{-3.4}(\text{syst}) \text{ fb} = 22.5^{+6.6}_{-5.5} \text{ fb.}$$

$$\mu = 1.9 \pm 0.4(\text{stat})^{+0.7}_{-0.4}(\text{syst}) = 1.9^{+0.8}_{-0.5}.$$

CMS: simultaneous profile likelihood fit to data in SR and CR. Fitted distributions include:

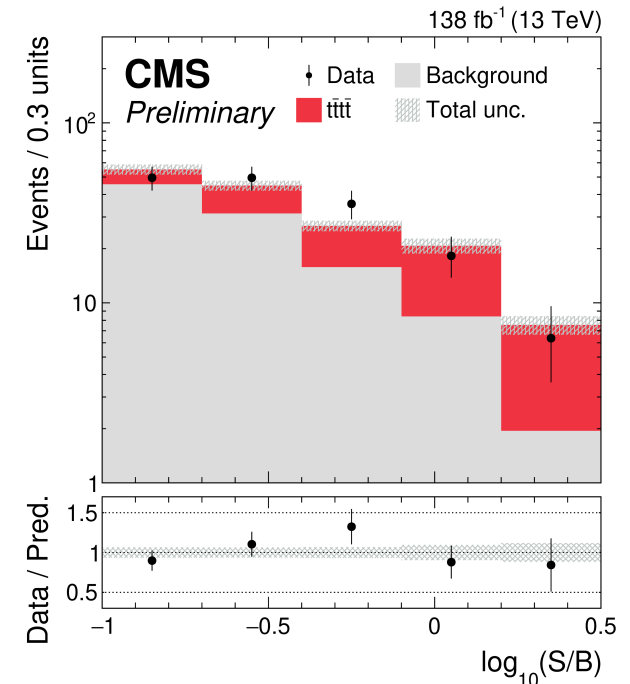
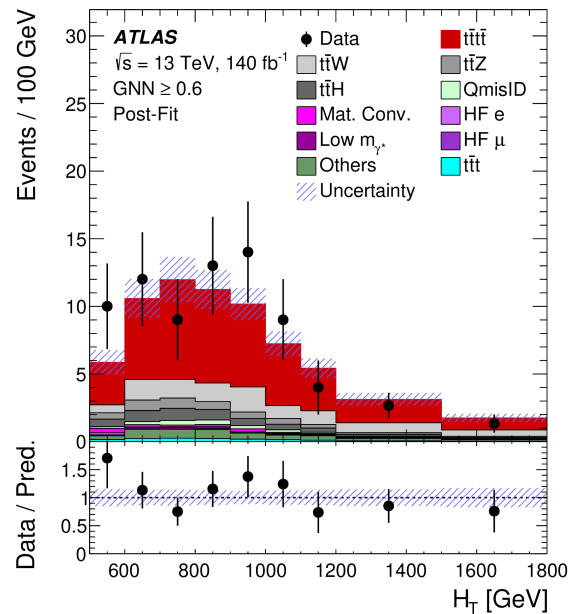
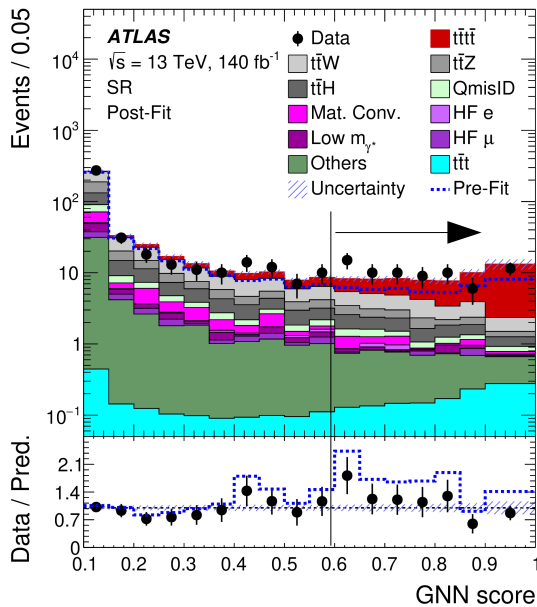
- BDT scores in the SR.
- BDT output score of $t\bar{t}$ in CR
- Jet multiplicity in Z CR (3I and 4I)

SM agreement

$$\sigma(\text{pp} \rightarrow t\bar{t}t\bar{t}) = 17.9^{+3.7}_{-3.5}(\text{stat})^{+2.4}_{-2.1}(\text{syst}) \text{ fb}, \quad 1.1 \sigma$$

$$\sigma(\text{pp} \rightarrow t\bar{t}W) = 997 \pm 58(\text{stat})^{+79}_{-72}(\text{syst}) \text{ fb}, \quad 2.3 \sigma$$

$$\sigma(\text{pp} \rightarrow t\bar{t}Z) = 1134^{+52}_{-43}(\text{stat}) \pm 86(\text{syst}) \text{ fb.} \quad 2.2 \sigma$$



Significance: 6.1 σ (4.3 σ).

Statistical and signal modeling are the largest uncertainties in both measurements. From the experimental side, b-jet tagging and the jet energy scale. Additional important uncertainties are:

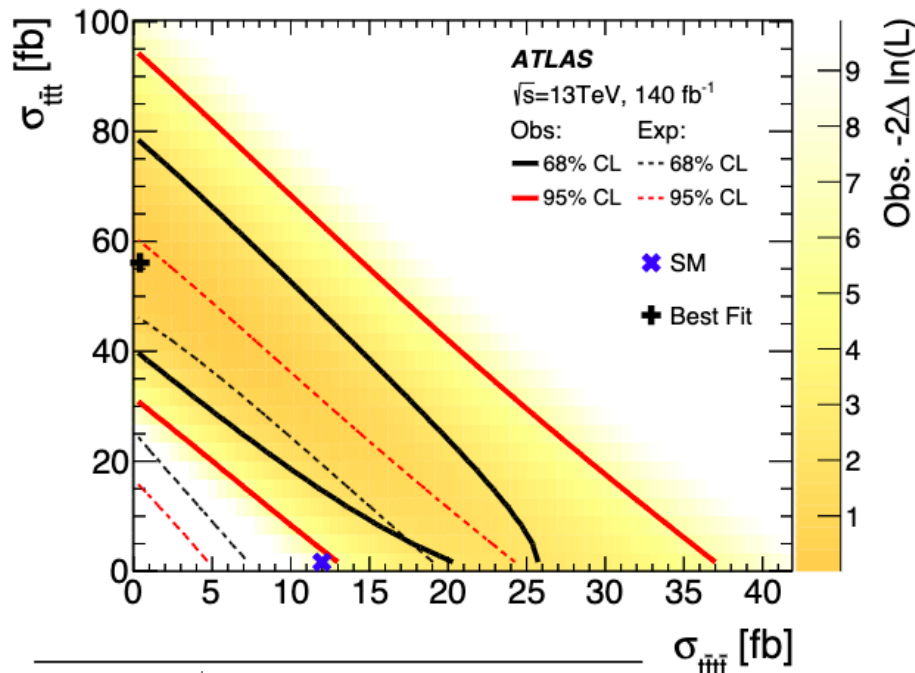
ATLAS: Parton Shower and Hadronization, ttW modeling.

CMS: Modeling of ttX+jets and ttX+b-jets.

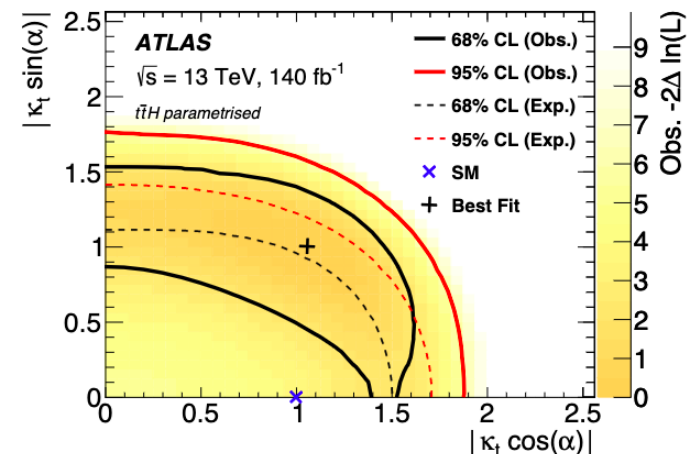
CMS: small 2σ excess for **ttZ** and **ttW** as in dedicated measurements.

ATLAS: derives 95% CL for **ttt** production assuming the SM and measured $\sigma(\text{tttt})$.

Results also used to set BSM limits: **Top Yukawa** for example:



Processes	95% CL cross section interval [fb]	
	$\mu_{t\bar{t}\bar{t}} = 1$	$\mu_{t\bar{t}\bar{t}} = 1.9$
$t\bar{t}$	[4.7, 60]	[0, 41]
$t\bar{t}W$	[3.1, 43]	[0, 30]
$t\bar{t}q$	[0, 144]	[0, 100]



Conclusions

ATLAS and CMS are extensively testing the SM with a wide program of measurements.

A selection of results of QCD and top physics have been presented.

No BSM signs unfortunately.

Larger datasets allow to go differentially (2D and 3D): allow to further test theory predictions and get a better understanding of fundamental QCD.

The properties of the top quark are studied in detail: Mass, XS, Asymmetries, polarization...

EFT SM as tool to find sign BSM signs: more global approaches are been pursued.

Rare events are started to be studied in detail. Some observed for the first time: Four tops.

Machine learning techniques are increasingly important.

And Run-3 is ongoing with plenty of new data to come.

Many thanks for your attention!

Conclusions

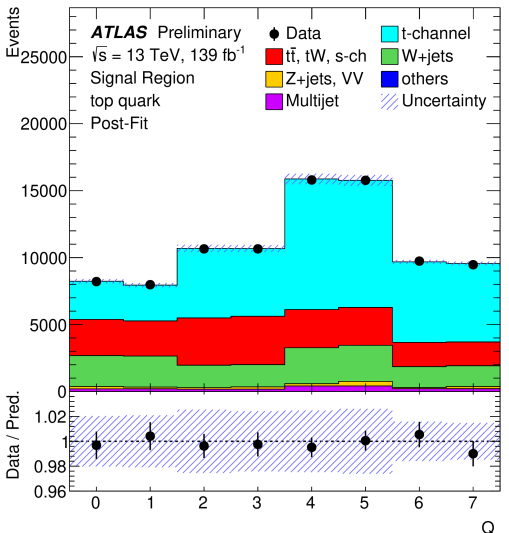
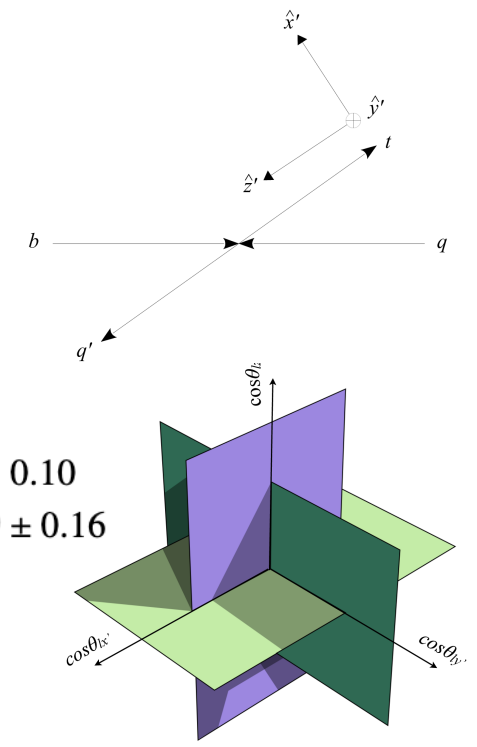
- To be written

Many thanks for your attention!

BACK UP SLIDES

Single top quark polarization

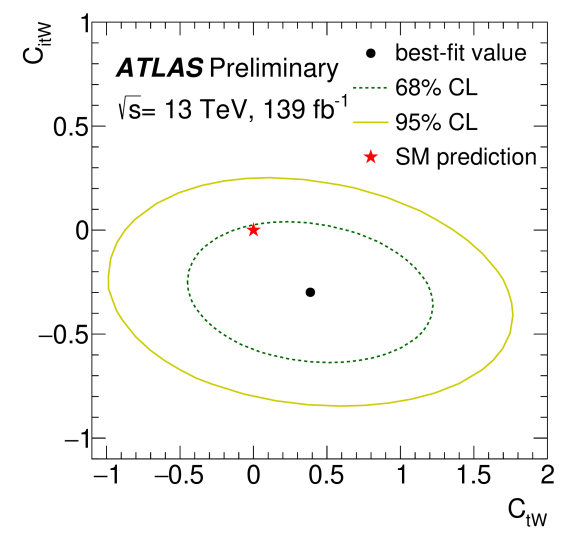
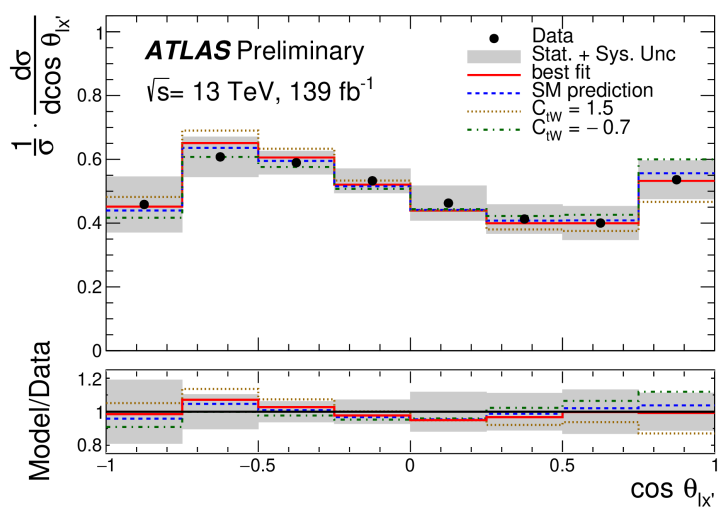
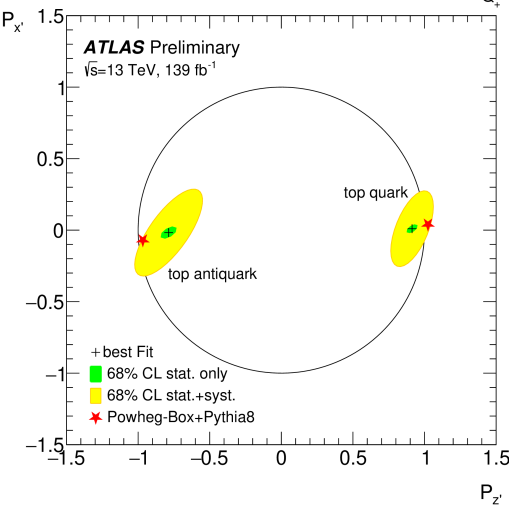
- Full 13 TeV data (139 fb⁻¹) used to measure single top quark polarization.
- In the t-channel at LO, top (antitop) quarks are produced with their spin aligned along the direction of the spectator (incoming) quark.
- The spin information is transferred to the top quark decays.
- 3D space divided into 8 Octants. Polarization vector extracted from ML fit.



top $P_{x'} = 0.01 \pm 0.18, P_{y'} = -0.029 \pm 0.027, P_{z'} = 0.91 \pm 0.10$
 antitop $P_{x'} = -0.02 \pm 0.200, P_{y'} = -0.007 \pm 0.051, P_{z'} = -0.79 \pm 0.16$

- Unfolded angular distributions at particle level used to test SM EFT extension of Wtb vertex.

$$C_{tW} \in [-0.7, 1.5] \text{ and } C_{itW} \in [-0.7, 0.2]$$



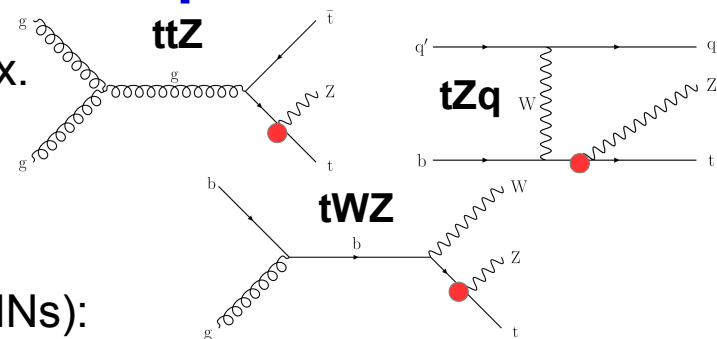
Javier Jiménez Peña - CORFU 2023

In agreement with SM.

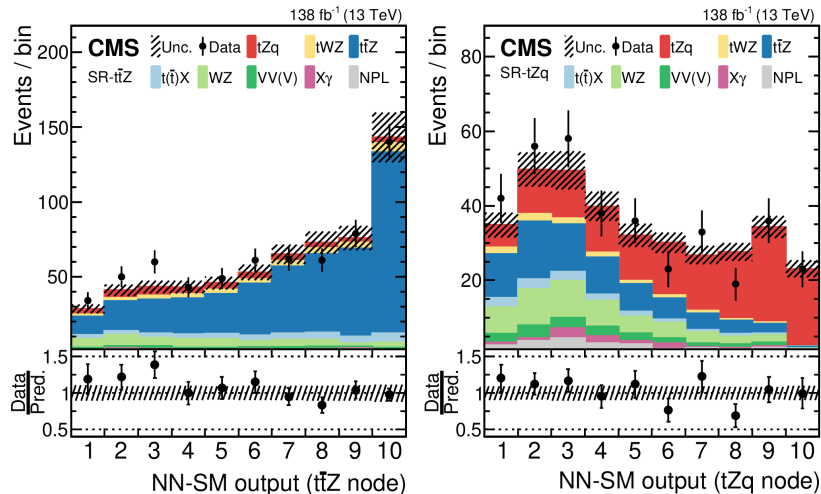
Major syst: JER, JES & signal and background modeling.

Proving EFT with ttZ vertex in multi lepton

- Full 13 TeV data (138 fb⁻¹) to test SM EFT extension of ttZ vertex.
- Five Dim-6 operators considered: O_{tZ} , O_{tW} , $O_{\varphi Q}^3$, $O_{\varphi Q}^-$, $O_{\varphi t}$



Multiclass

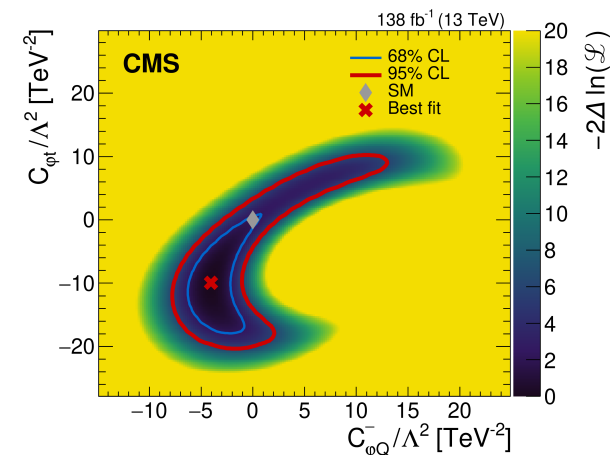
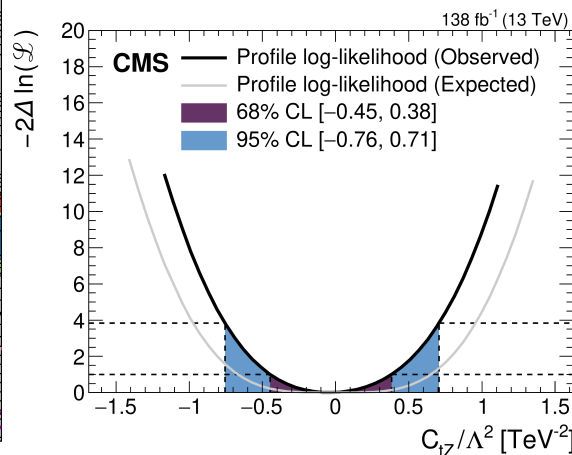
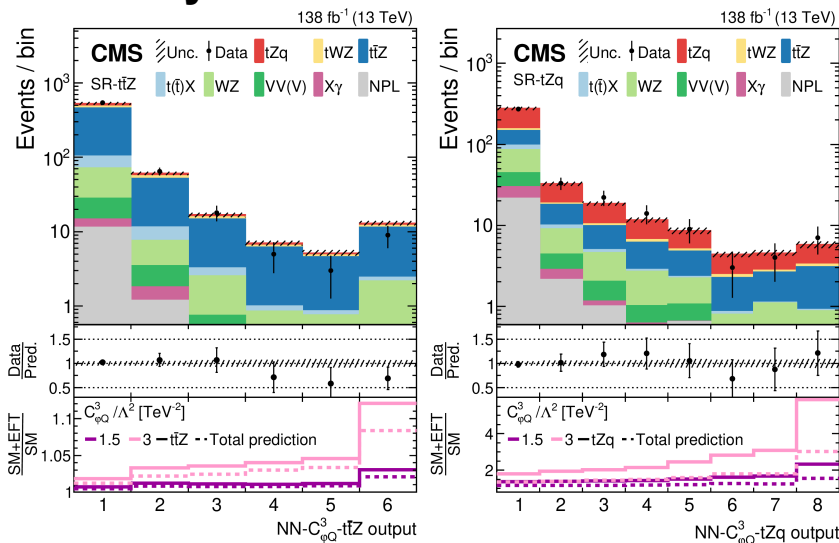


Extensive use of MVA (NNs):

- **Multiclass** classifier divides SR in tZq , ttZ and bckgd SRs.
- **Binary classifiers** separate SM events from WCs $\neq 0$.
- Used to design observables with optimal sensitivity.
- Compatibility of WCs with data from a simultaneous ML fit to data in six categories (4 SR, 2 CR)
- Done for each WC, fixing the rest or for all 5 WCs free.

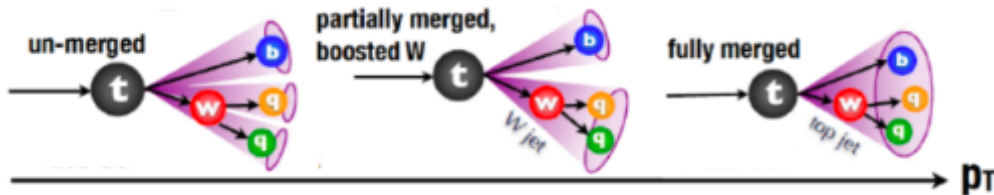
In agreement with SM.

Binary classifiers

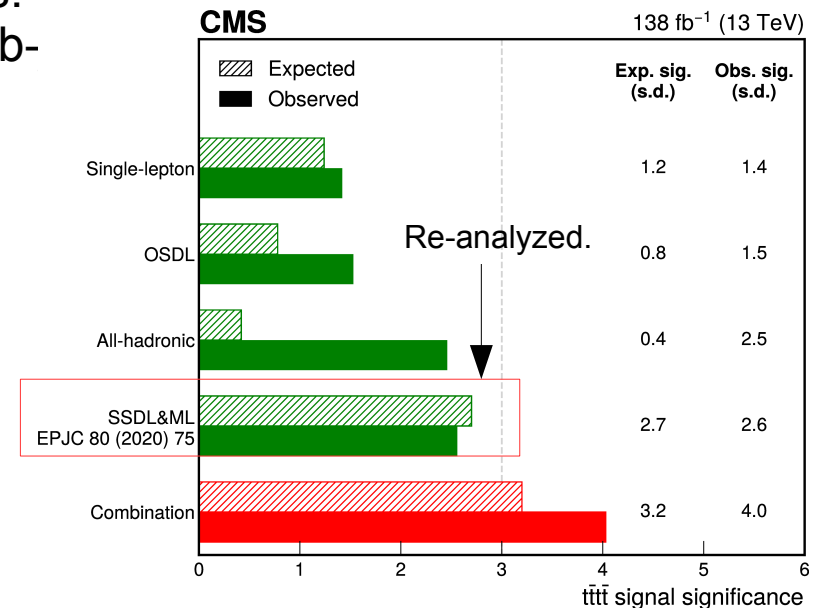
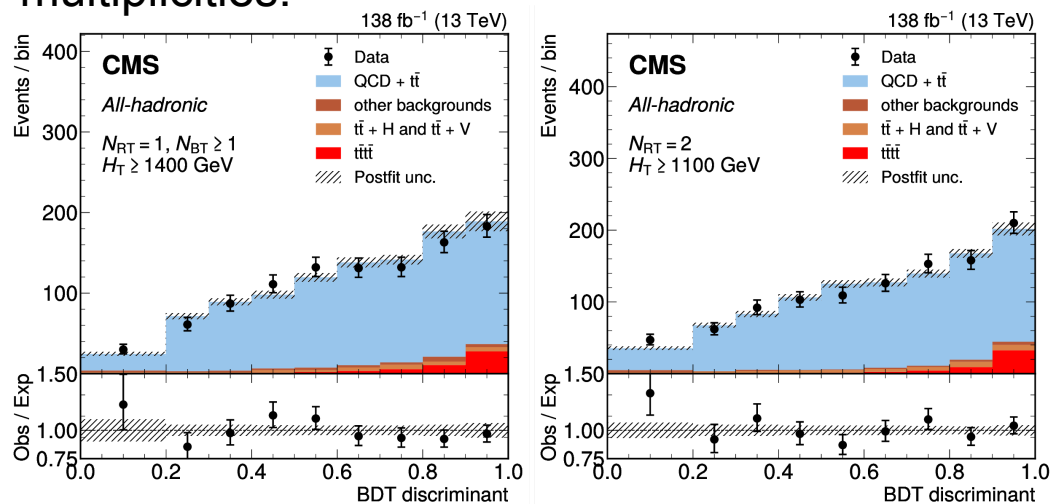
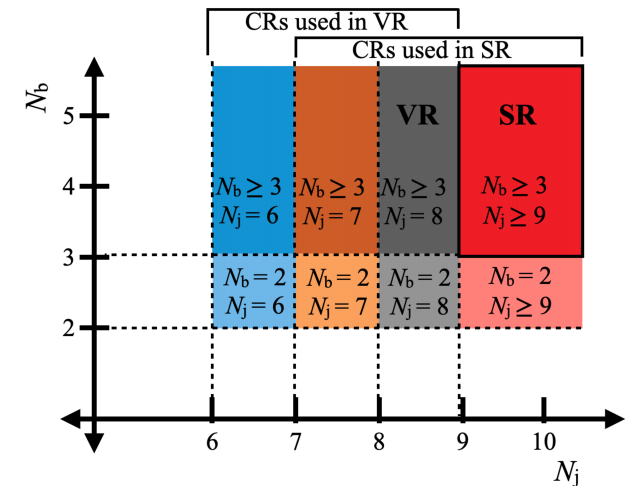


Four top production at CMS: All Hadronic

- First time all hadronic channel used in 4-top searches
- SR divided depending on top topology (boosted and resolved) and in H_T .



- Main backgrounds from QCD multijet and $t\bar{t}$ +jets: Data driven estimated in CRs with different jet and b-multiplicities.



Combination with results from other final states: $\sigma = 17 \pm 4$ (stat) ± 3 (syst) fb