



ETH zürich

Summary of CMS Higgs EFT results

Alessandro Calandri - ETH Zürich



LHC Higgs Working Group workshop - Nov 15, 2023

➡ **No evidence of New Physics at the LHC - direct searches of BSM effects continue but focus is shifting to indirect exploration of Higgs sector**

▶ increasing number of Higgs EFT measurements in CMS and ATLAS

➡ **EFT results focus in interpretation of unfolded spectrum in presence of EFT effects or extract coefficients with dedicated analyses using optimal observables**

➡ Kappa parametrisation on effective couplings and extension to Wilson coefficients

➡ EFT interpretations of Higgs STXS measurements

➡ Highlights on recent Higgs EFT results in CMS

▶ $H \rightarrow ZZ \rightarrow 4l$ and off-shell analysis

▶ $H \rightarrow WW$ results

▶ $H \rightarrow \tau\tau$ EFT analysis in CMS and combination with on-shell $H \rightarrow ZZ$ and $H \rightarrow \gamma\gamma$

▶ CP violation in $t\bar{t}H$ multilepton final states

▶ a quick glimpse on double Higgs EFT results

➡ Wrapping-up and conclusions

Kappa parametrisation and Wilson coefficients

➔ **Experimental profile of the Higgs boson with Run 1 / 2 data becoming very precise**

▶ large set of precision measurements performed with Run 2 data

➔ **Precision measurement is key to look for deviations of SM couplings: achieved using low-energy approximation (EFT) to UV complete theory**

Wilson coefficients (if $c=0 \rightarrow$ SM)

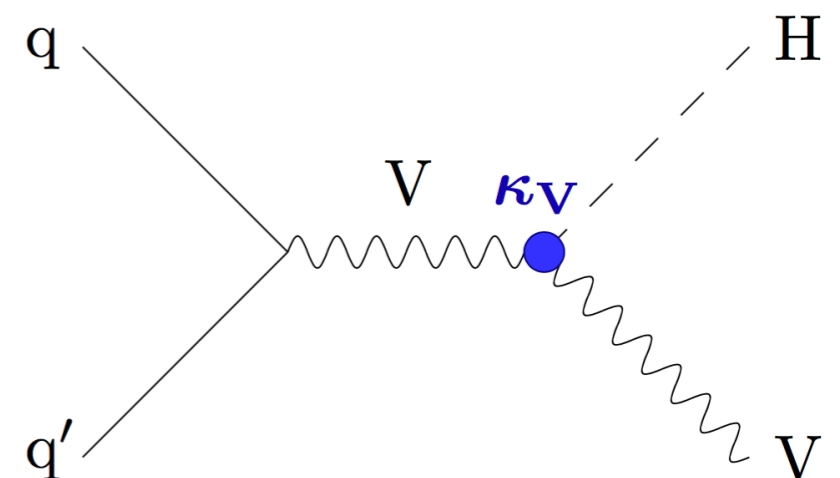
$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM}^4 + \sum_i \frac{c_i^{(5)}}{\Lambda} \mathcal{O}_i^{(5)} + \sum_i \frac{c_i^{(6)}}{\Lambda^2} \mathcal{O}_i^{(6)} + \sum_i \frac{c_i^{(7)}}{\Lambda^3} \mathcal{O}_i^{(7)} + \mathcal{O}(8) + \dots$$

Tensor structure of EFT terms

➔ **Kappa parametrisation scale effective couplings**

▶ BSM effect may not rescale just couplings in Higgs production and decay

▶ need for dedicated probe of additional operators in tensor structure scaled by Wilson coefficients and suppressed by Λ^{d-4} (Λ represent the energy scale of the NP process)



Wilson coefficients & EFT Lagrangian expansion

➔ **Expansion of SM lagrangian in $1/\Lambda$: observables EFT effects are parametrised**

- ▶ with linear term in WC's and a linear+quadratic term in WC's (both are dim-6 operators)
- ▶ difference between linear and linear+quadratic used to get hints of components beyond $1/\Lambda^2$

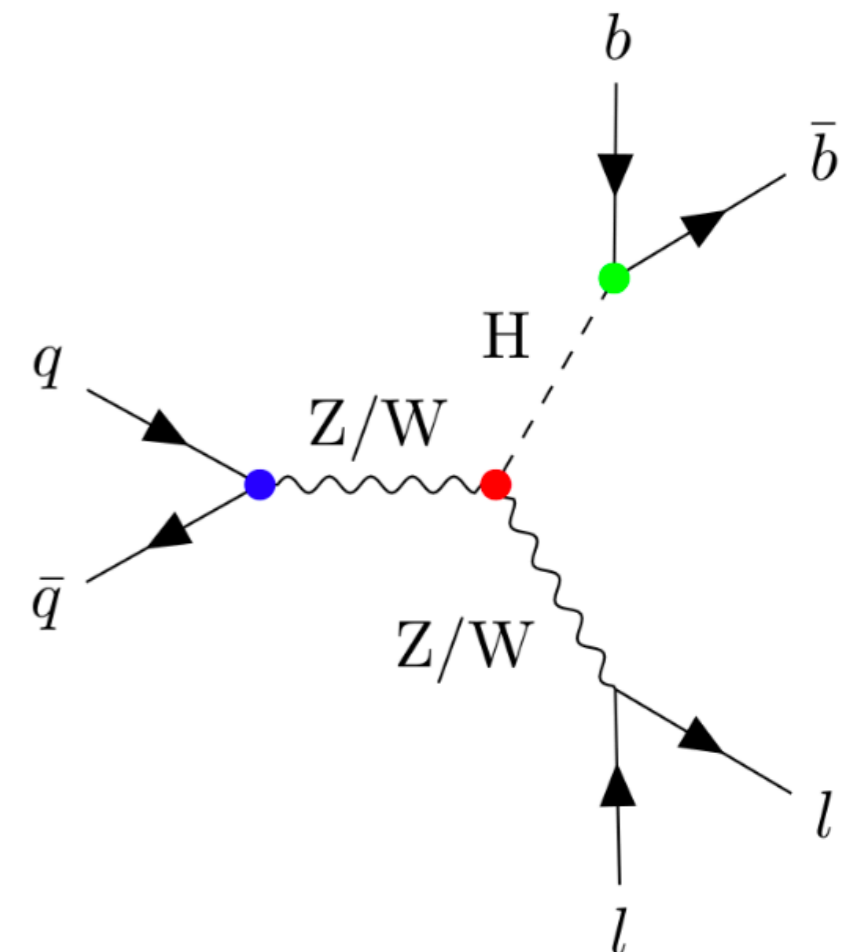
➔ **SMEFT [[link](#)] is a popular model for EFT interpretation using dim-6 operators**

- ▶ with linear term in WC's and a linear+quadratic term in WC's

➔ **Some EFT contributions are CP-odd operators: access on those operators is relevant as non vanishing components indicate CP violation**

Example of $VH \rightarrow bb$ channel

Operator	Wilson coefficient	Lagrangian modification	Channels
$\mathcal{O}_{Hq}^{(1)} = iH^\dagger \overleftrightarrow{D}_\mu H \bar{q} \gamma^\mu q$	cHj1	qqV vertex, HVqq contact term	Zll
$\mathcal{O}_{Hq}^{(3)} = iH^\dagger \sigma^i \overleftrightarrow{D}_\mu H \bar{q} \sigma^i \gamma^\mu q$	cHj3	qqV vertex, HVqq contact term	Zll, Wlv
$\mathcal{O}_{Hu} = iH^\dagger \overleftrightarrow{D}_\mu H \bar{u}_R \gamma^\mu u_R$	cHu	qqV vertex, HVqq contact term	Zll
$\mathcal{O}_{Hd} = iH^\dagger \overleftrightarrow{D}_\mu H \bar{d}_R \gamma^\mu d_R$	cHd	qqV vertex, HVqq contact term	Zll
$\mathcal{O}_{HW} = H^\dagger H W_{\mu\nu}^i W^{i\mu\nu}$	cHW	HVV vertex	Zll, Wlv
$\mathcal{O}_{H\tilde{W}} = H^\dagger H \tilde{W}_{\mu\nu}^i W^{i\mu\nu}$	cHWtil	HVV vertex	Zll, Wlv
$\mathcal{O}_{HB} = H^\dagger H B_{\mu\nu} B^{\mu\nu}$	cHB	HVV vertex	Zll
$\mathcal{O}_{H\tilde{B}} = H^\dagger H \tilde{B}_{\mu\nu} B^{\mu\nu}$	cHBtil	HVV vertex	Zll
$\mathcal{O}_{HWB} = H^\dagger \sigma^i H W_{\mu\nu}^i B^{\mu\nu}$	cHWB	HVV vertex, Wlv vertex	Zll
$\mathcal{O}_{H\tilde{W}B} = H^\dagger \sigma^i H \tilde{W}_{\mu\nu}^i B^{\mu\nu}$	cHWBtil	HVV vertex, Wlv vertex	Zll
$\mathcal{O}_{H\Box} = (H^\dagger H) \Box (H^\dagger H)$	cHbox	HVV vertex, hbb coupling	Zll, Wlv
$\mathcal{O}_{HD} = (D^\mu H^\dagger H)(H^\dagger D_\mu H)$	cHDD	HVV vertex, hbb coupling, qqV vertex	Zll, Wlv
$\mathcal{O}_{bH} = (H^\dagger H)(\bar{q} b H)$	cbHRe + cbHIm	hbb coupling	Zll, Wlv

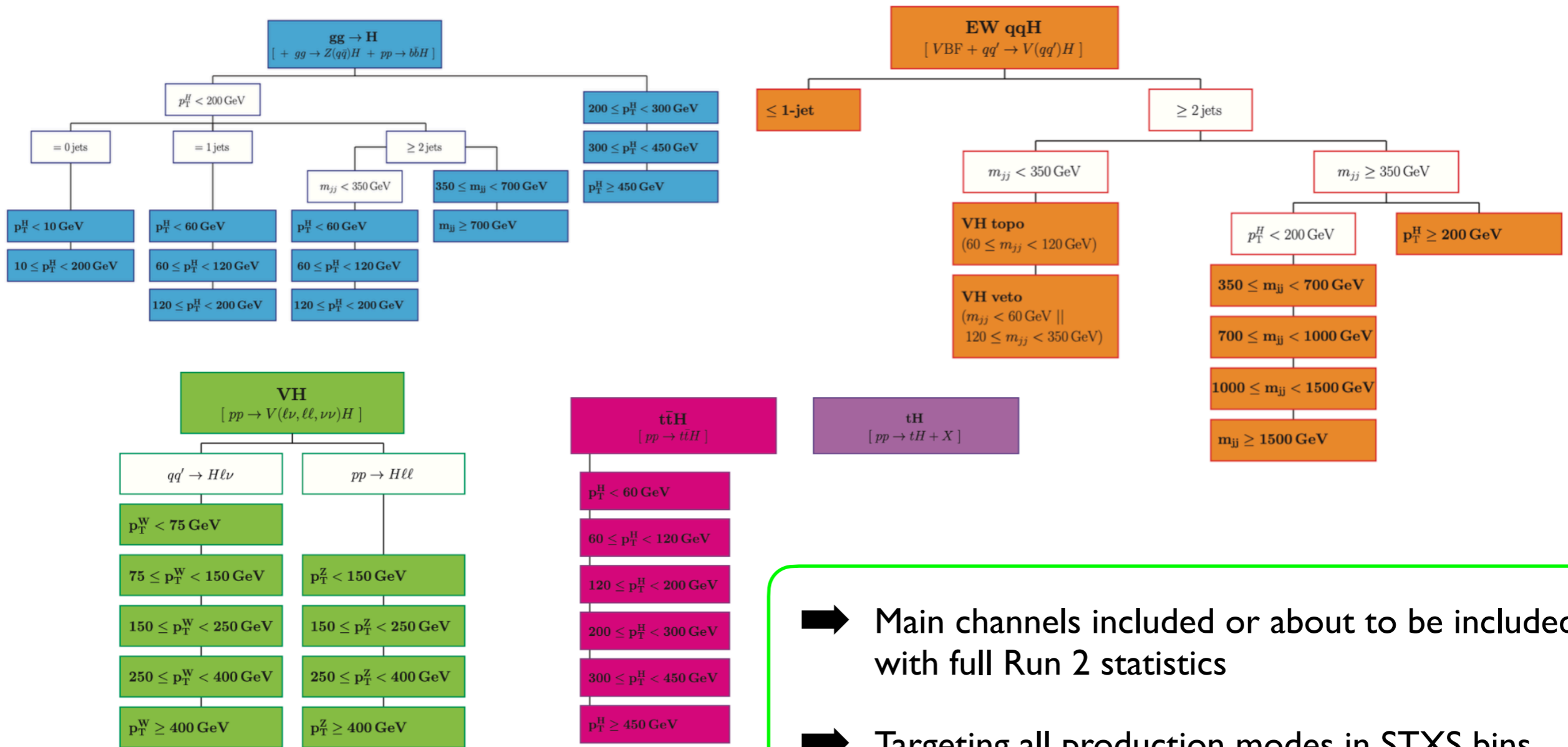


EFT interpretation using STXS

➔ Fundamental to keep all operators in interpretation due to correlation effects

➔ No single measurement constraints all operators - need for global approach

▶ EFT interpretation of STXS fit using STXS categorisation for Higgs production modes - no sensitivity to CP given lack of dedicated CP-sensitive observables ($\Delta\Phi(jj)$ for VBF production)



➔ Main channels included or about to be included with full Run 2 statistics

➔ Targeting all production modes in STXS bins

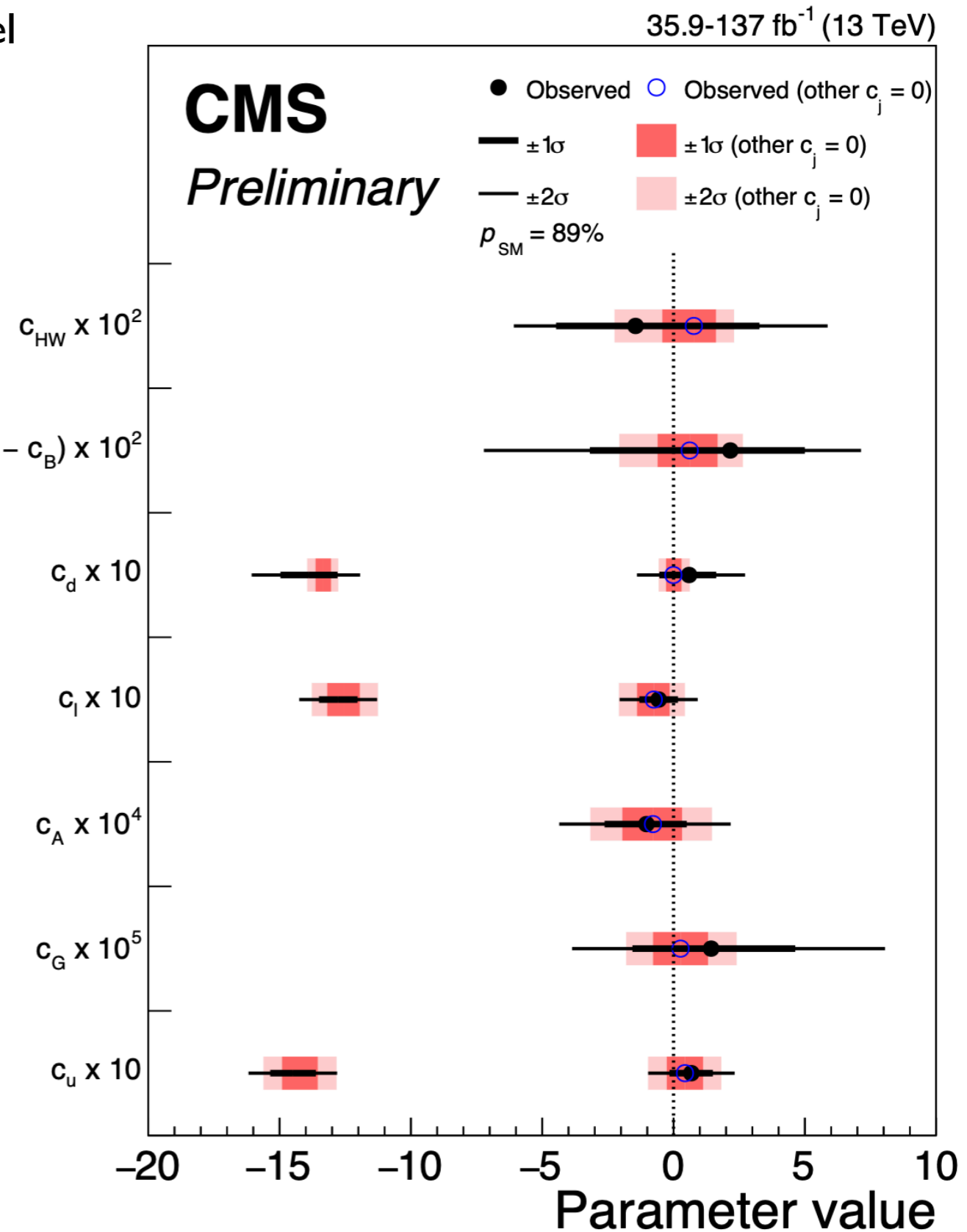
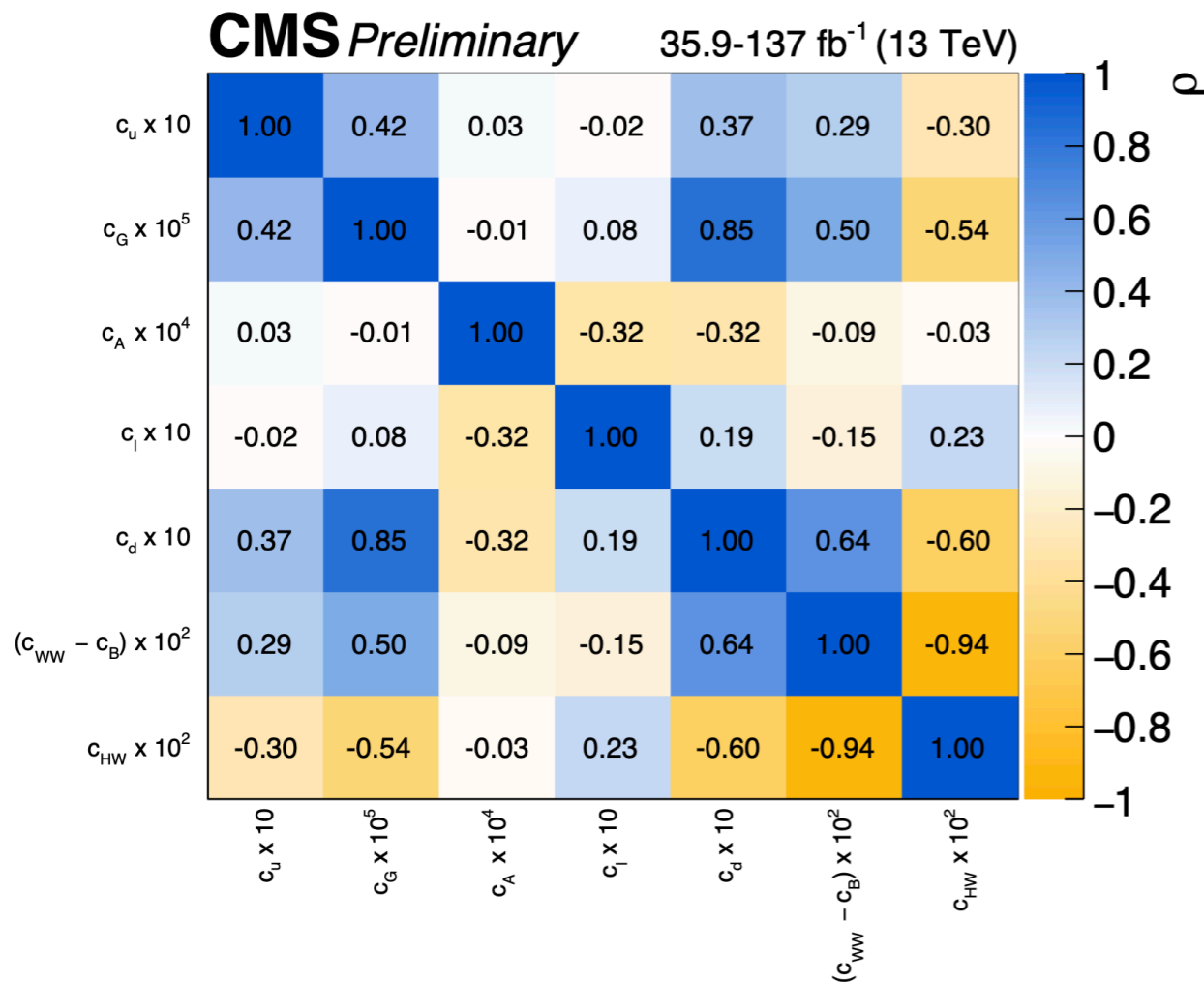
➔ **Assumption of EFT interpretation in STXS bins: no EFT effects on background components - acceptance corrections in STXS bins to account for EFT effects**

➔ EFT coupling constraints extracted in HEL model

➔ No optimal observables (angles, kinematics) to enhance analysis sensitivity to EFT effects

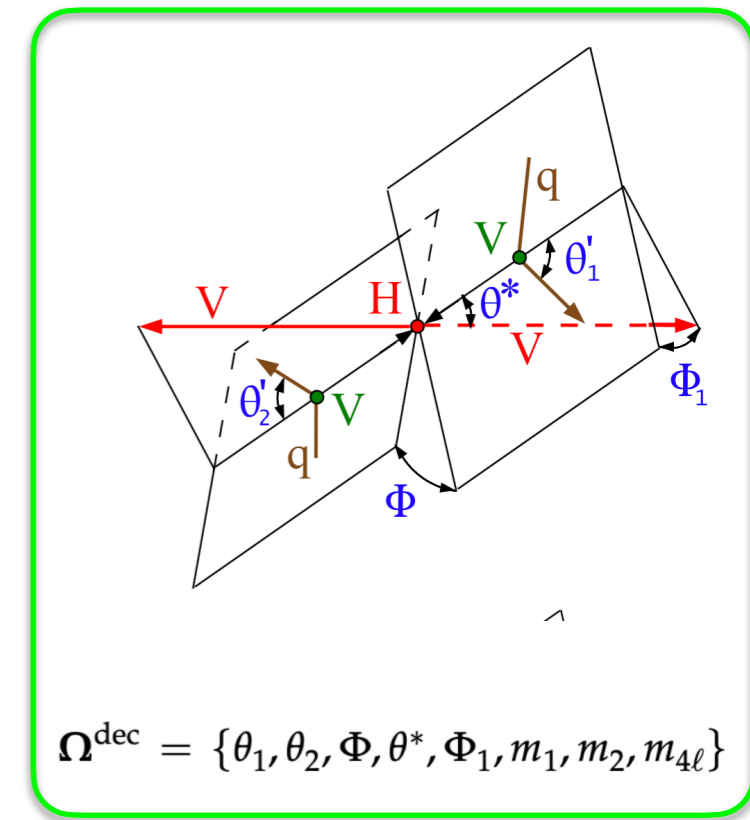
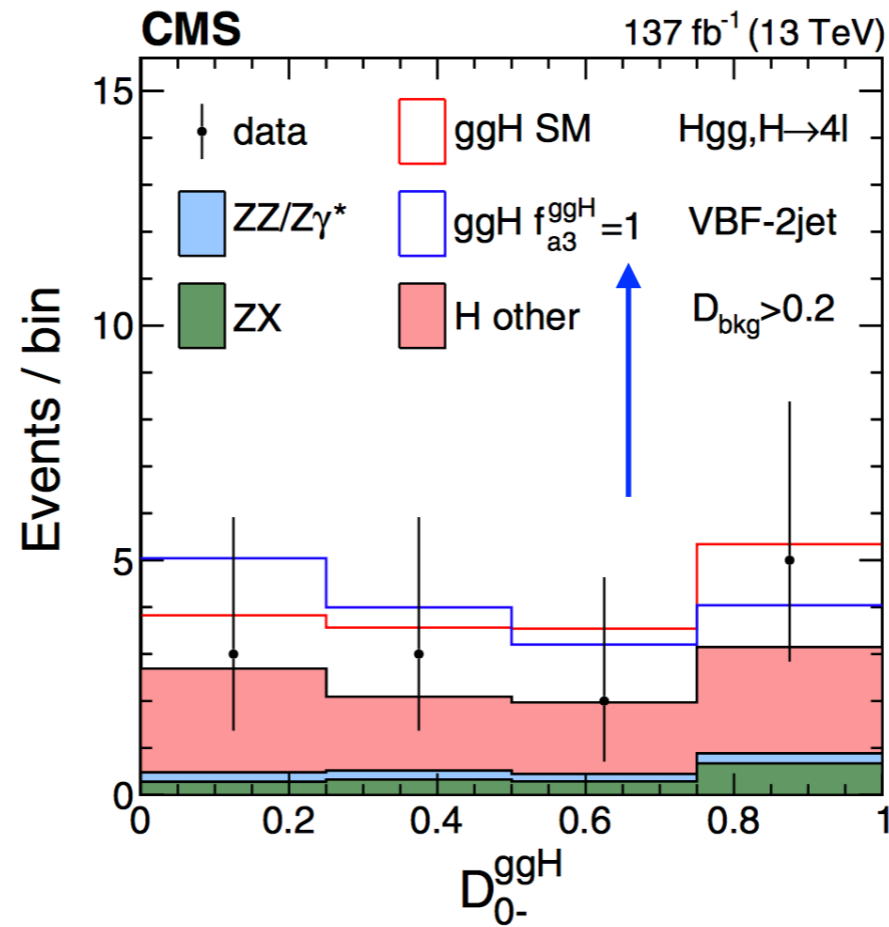
➔ $c(WW)$ and $c(B)$ fit together - constrained from EWK data

➔ Results provided with all freely-floating Wilson coefficients and profiling one at a time



➔ **Full production and decay kinematic to constrain Wilson coefficients**

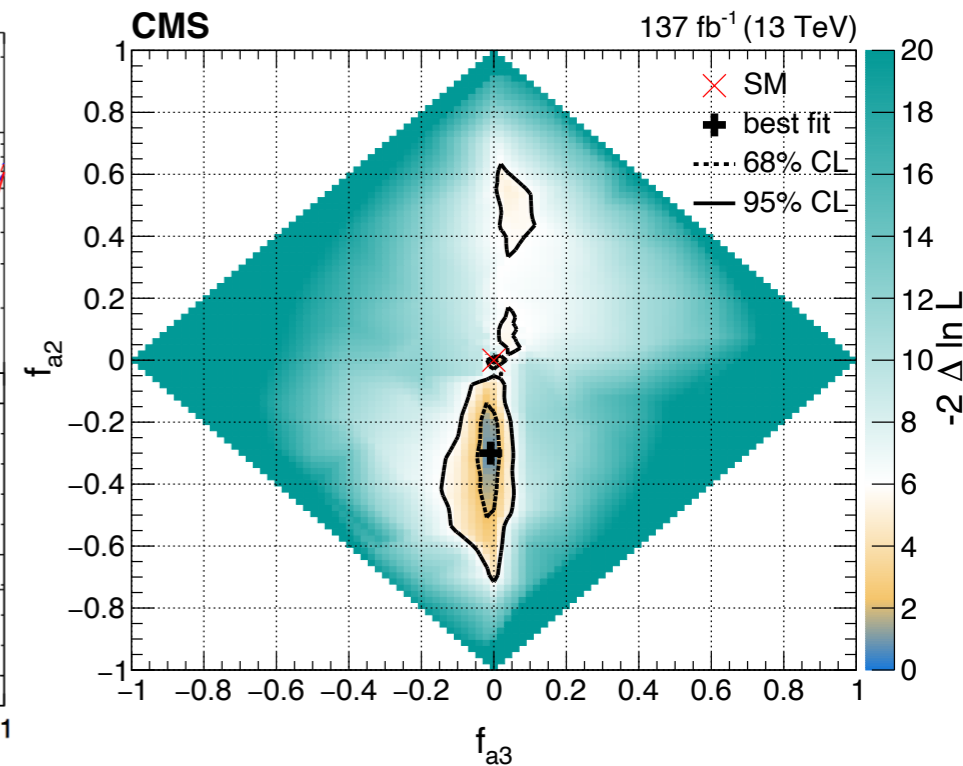
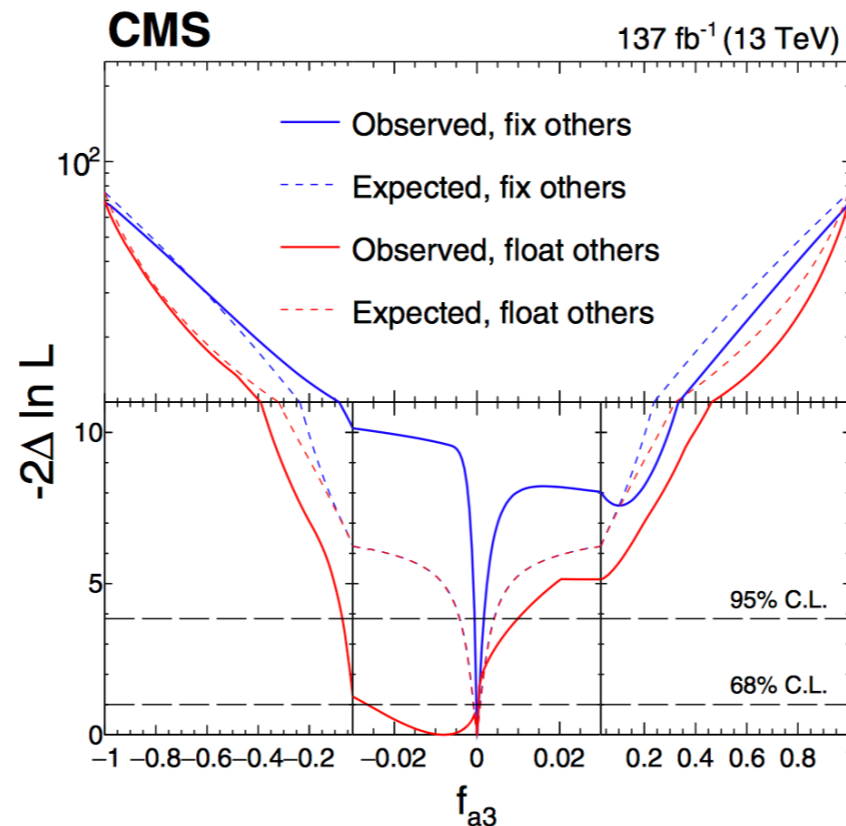
- ▶ MEM (MELA) employed to separate production modes/ discriminate signal vs backgrounds
- ▶ using optimal observables included in MELA to tackle EFT tensor structure



➔ **Constraints HVV using Anomalous Coupling: extended to WC constraints (SMEFT)**

➔ **Various hypotheses on combined AC fit**

- ▶ fixing all couplings but one to SM expectations or all couplings profiled
- ▶ access sensitivity to CP structure in HZZ decay

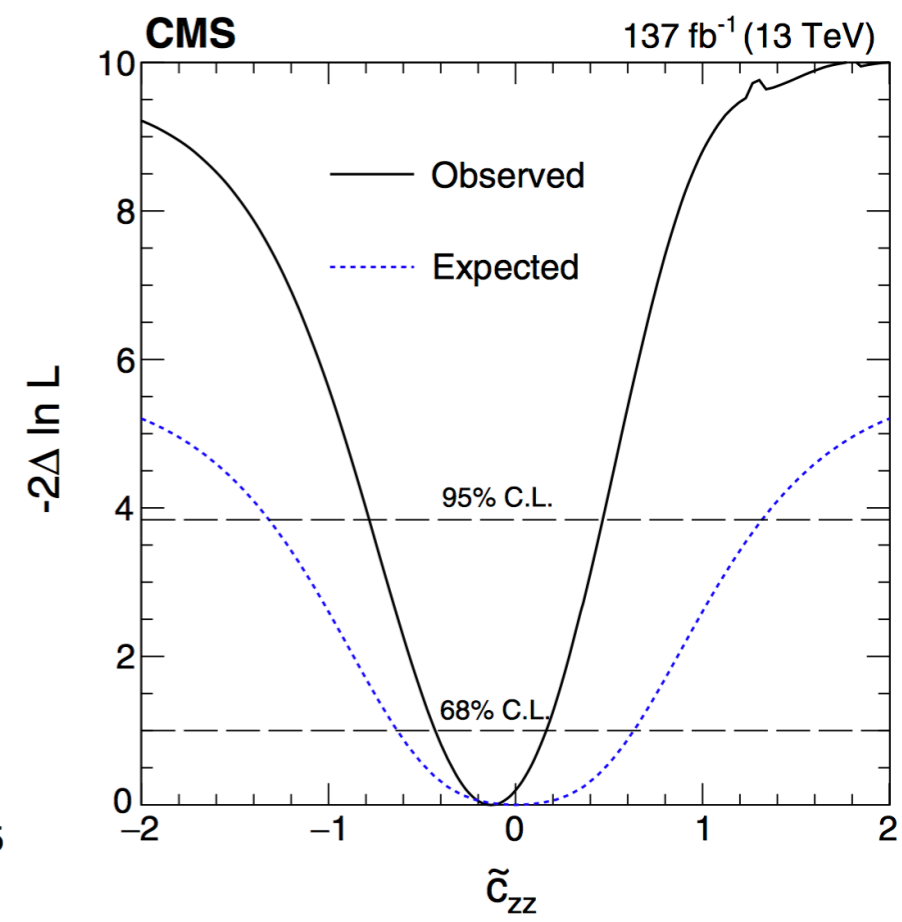
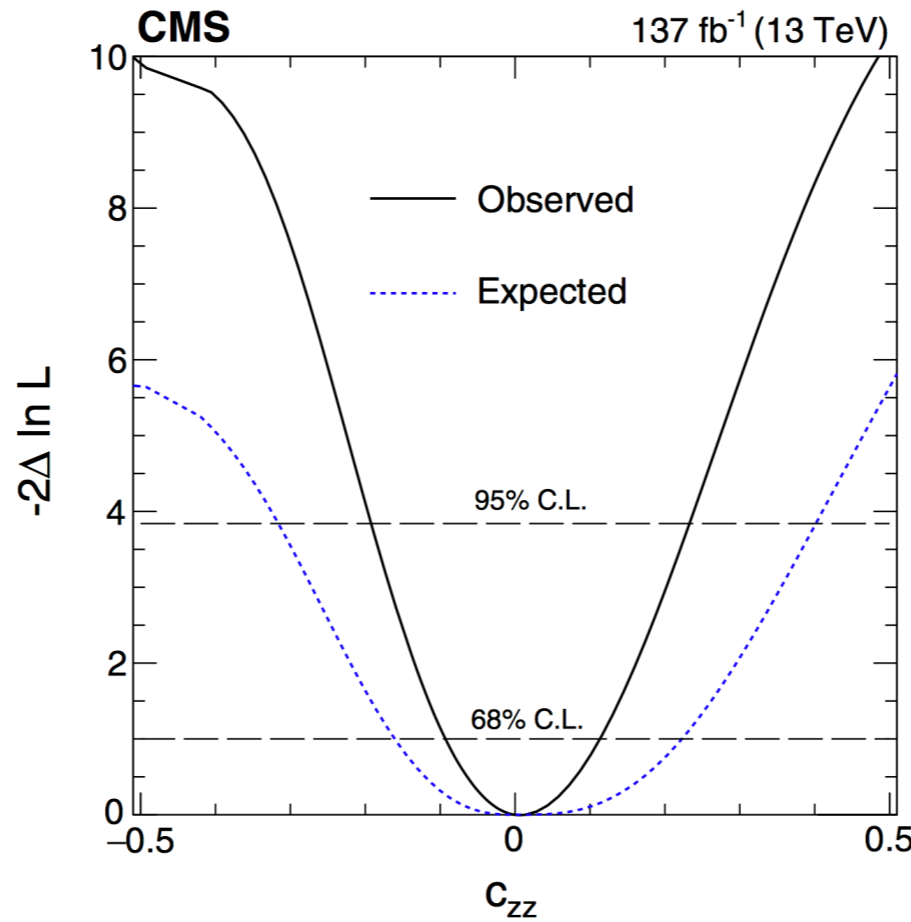


➔ **Performing simultaneous fit to all Wilson coefficients: targeting HZZ couplings using VBF and VH modes**

- ▶ both linear and quadratic terms considered
- ▶ largest precision for $c(HW)$, also access with good precision on CP-odd EFT WC

Coupling	Observed	Expected
$C_{H\Box}$	$0.04^{+0.43}_{-0.45}$	$0.00^{+0.75}_{-0.93}$
C_{HD}	$-0.73^{+0.97}_{-4.21}$	$0.00^{+1.06}_{-4.60}$
➔ C_{HW}	$0.01^{+0.18}_{-0.17}$	$0.00^{+0.39}_{-0.28}$
C_{HWB}	$0.01^{+0.20}_{-0.18}$	$0.00^{+0.42}_{-0.31}$
C_{HB}	$0.00^{+0.05}_{-0.05}$	$0.00^{+0.03}_{-0.08}$
CP-odd ➔ $C_{H\tilde{W}}$	$-0.23^{+0.51}_{-0.52}$	$0.00^{+1.11}_{-1.11}$
$C_{H\tilde{W}B}$	$-0.25^{+0.56}_{-0.57}$	$0.00^{+1.21}_{-1.21}$
$C_{H\tilde{B}}$	$-0.06^{+0.15}_{-0.16}$	$0.00^{+0.33}_{-0.33}$

➔ Also provided constraints for $c(ZZ)$ and CP-odd $c(ZZ)$ coupling components using results on Warsaw basis

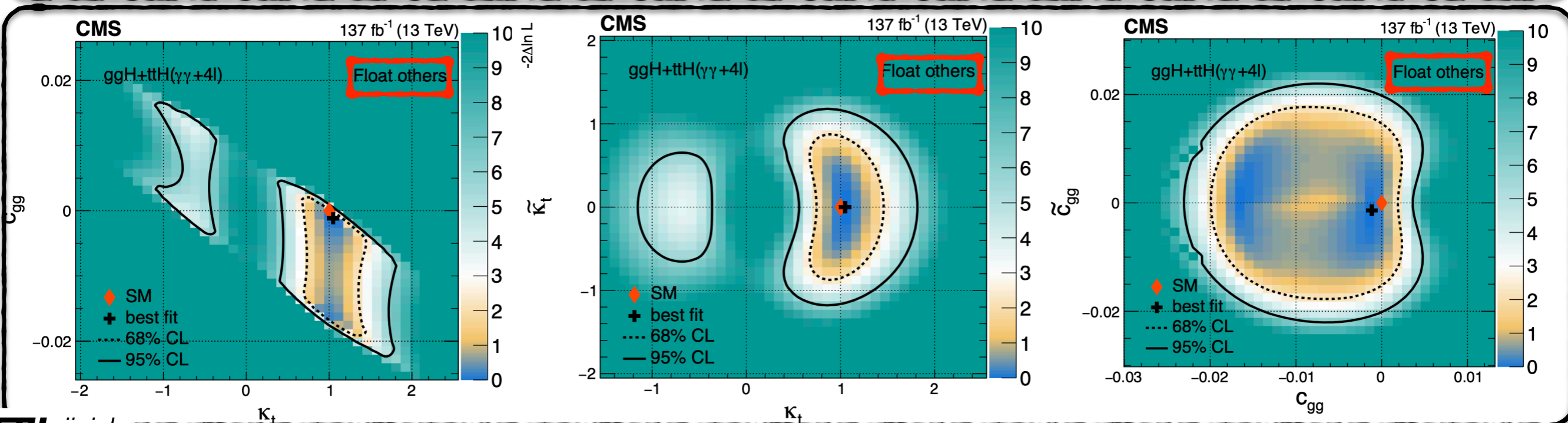
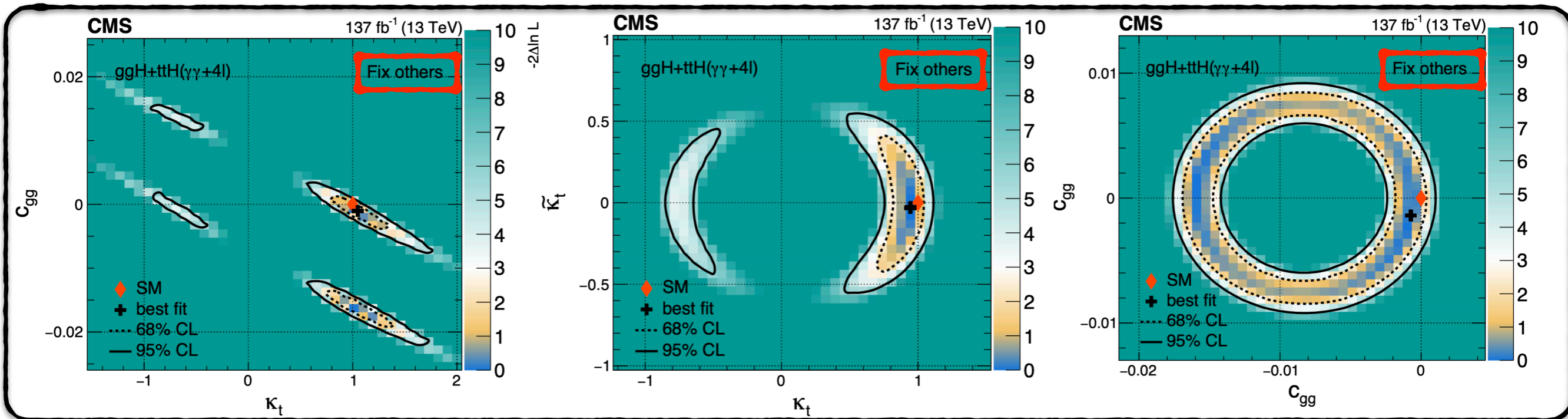


EFT combination across channels & operators

Phys. Rev. D 104 (2021) 052004

➔ Simultaneous measurement of EFT operators, $c(gg)$, $\tilde{c}(gg)$, k_t , \tilde{k}_t impacting gluon-fusion loop - common EFT approach for several channels with additional sensitivity to CP odd operators

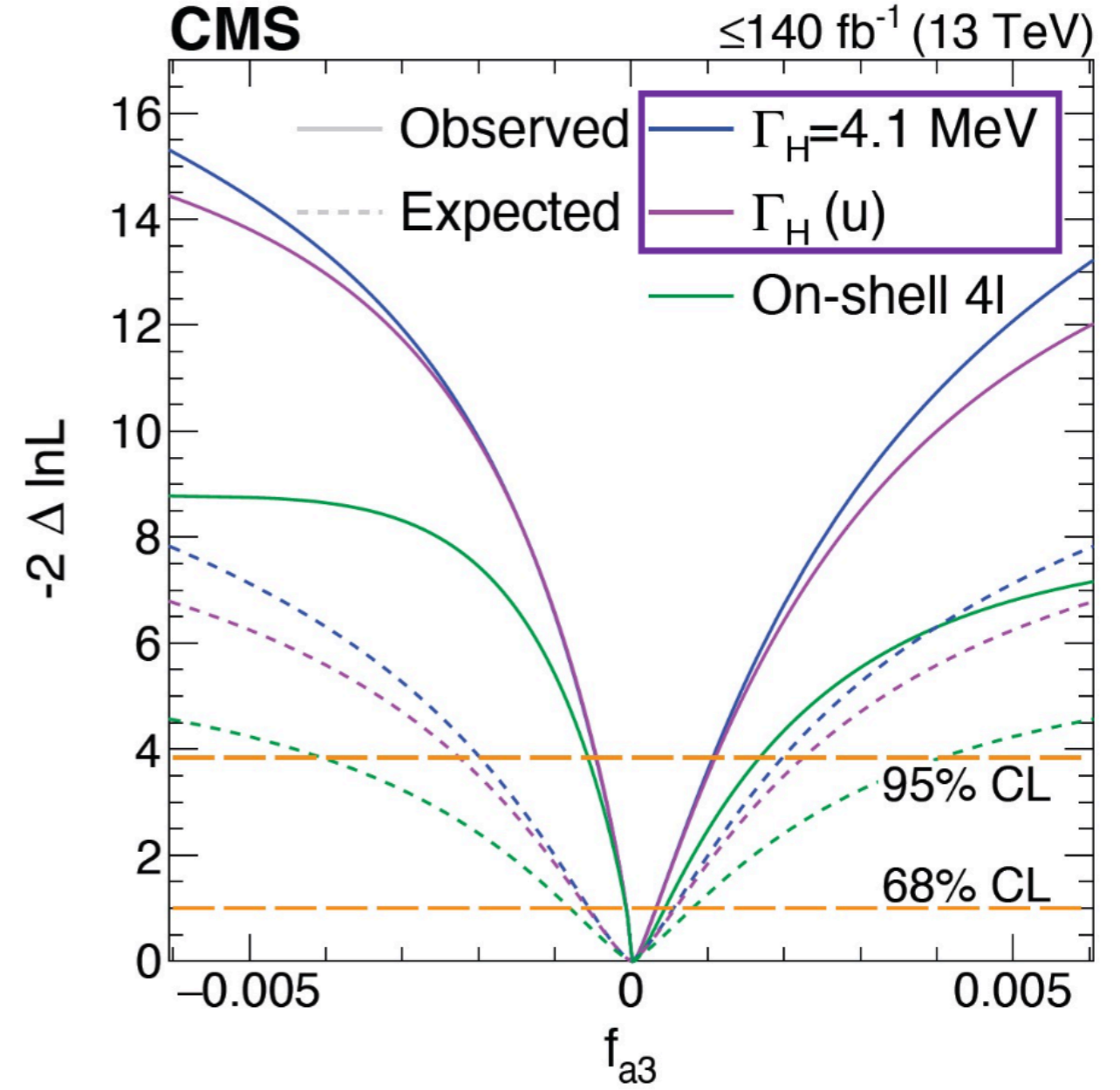
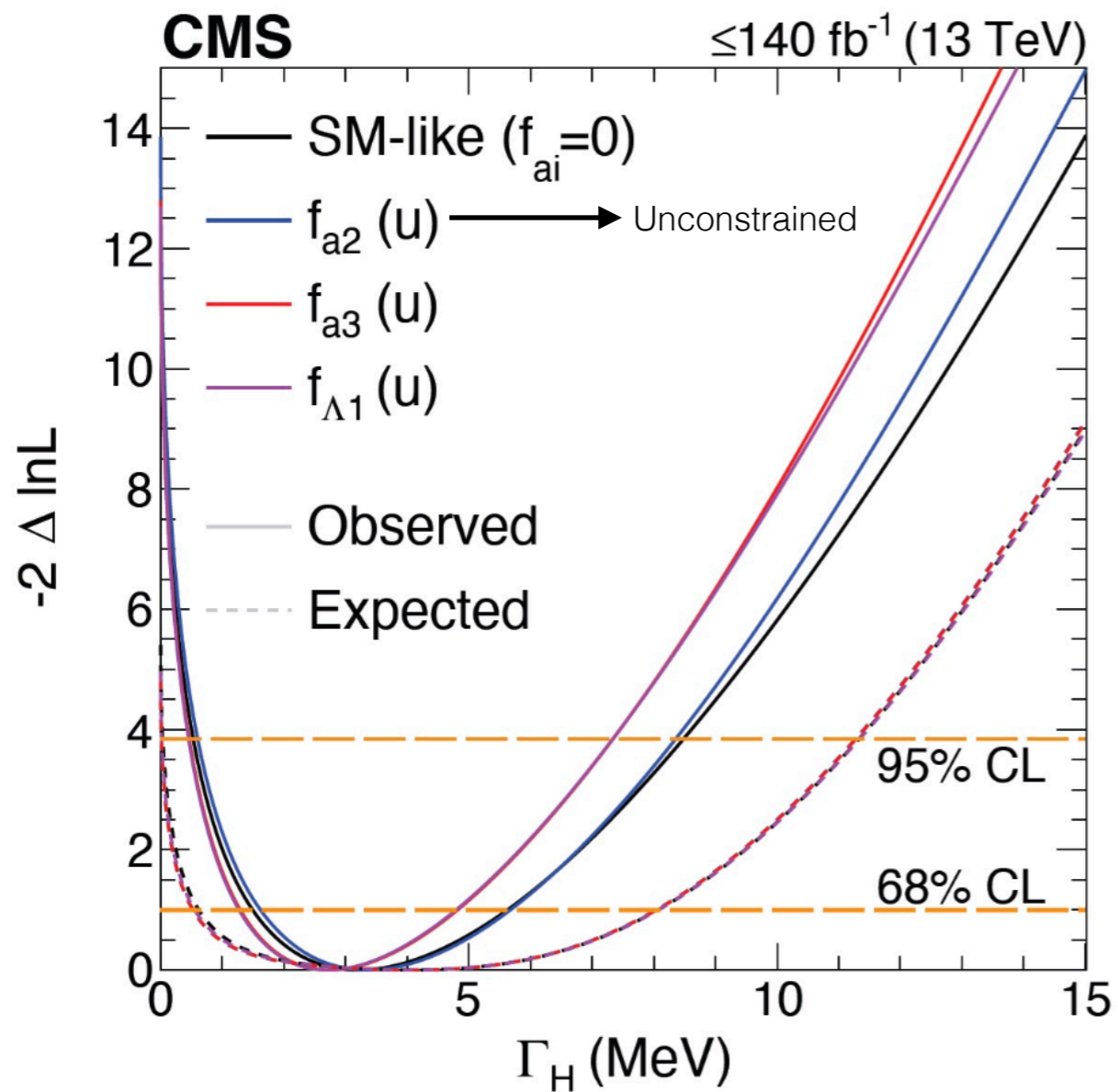
▶ gluon fusion in addition to $ttH/tH (\rightarrow \gamma\gamma/ZZ)$ used to constrain EFT top couplings



- ➔ **Working assumption in off-shell coupling no BSM modifying running coupling in combination of on- and off-shell production → interpretation for Higgs width**
 - ▶ EFT analysis to test assumption for Higgs off-shell/width analysis - MELA sensitive to AC HVV
 - ▶ combination with HZZ off-shell analysis to reach sensitivity on $\Gamma(H)$

$$\sigma^{\text{off-Shell}} \propto \sigma^{\text{on-Shell}} \Gamma_{\text{Higgs}}$$

➔ **No significant dependence on BSM effects in $\Gamma(H)$ when AC included on HVV vertex**



New for Higgs Hunting 2023!

➔ Expanding investigation on AC constraints in HWW channel

➔ Constraints on anomalous effects at the HVV and Hgg vertices following AC and SMEFT interpretations

- ▶ analysis split in categories targeting gluon fusion, VBF-like and VH-like topologies
- ▶ MELO kinematic discriminant: output nodes for Higgs mode discriminator, SM couplings vs BSM, interference vs SM/BSM

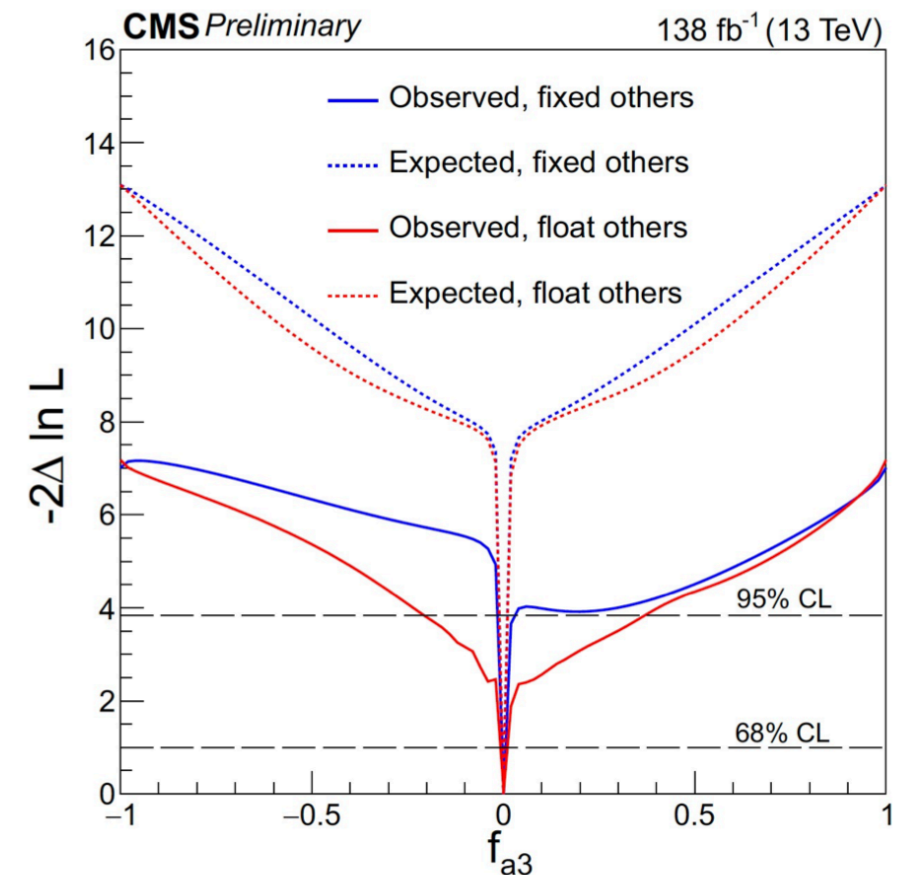
➔ Results provided under two fitting hypotheses

- ▶ POI's are fixed/floating

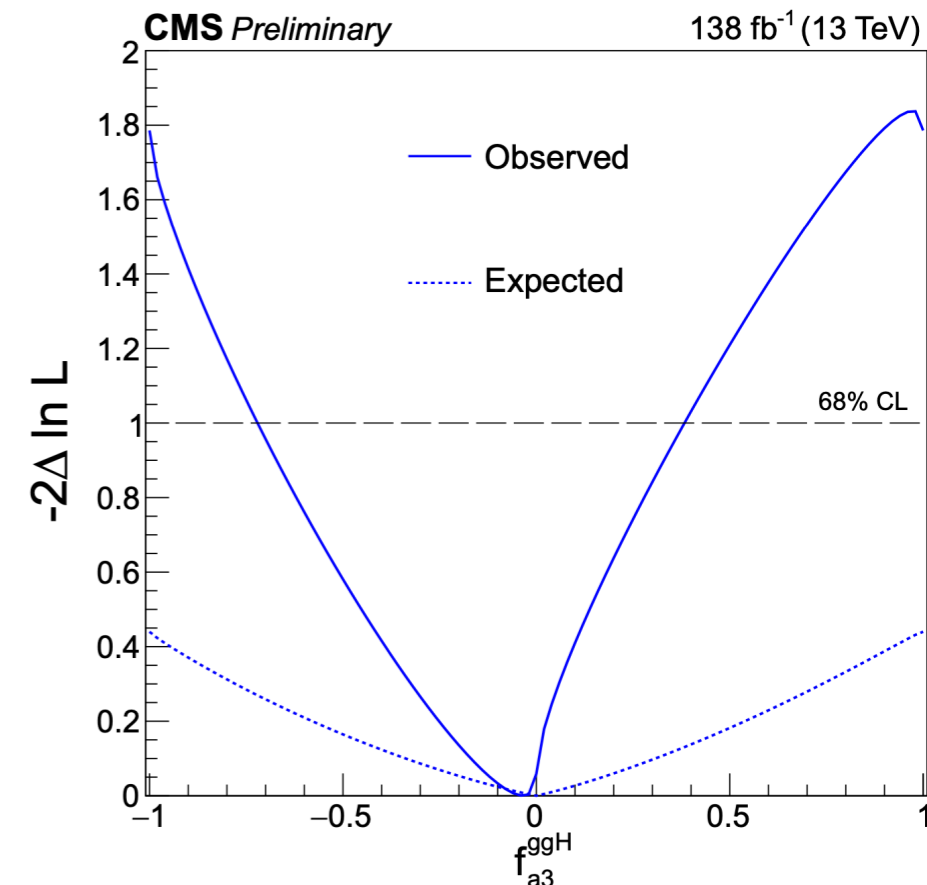
➔ AC and Higgs SMEFT Warsaw basis

➔ Significant improvement in sensitivity/analysis coverage compared to full Run I analysis

Coupling	Observed	Expected
$c_{H\Box}$	$-0.76^{+1.43}_{-3.43}$	$0.0^{+1.37}_{-1.84}$
c_{HD}	$-0.12^{+0.93}_{-0.32}$	$0.0^{+0.43}_{-0.30}$
c_{HW}	$0.08^{+0.43}_{-0.87}$	$0.0^{+0.37}_{-0.48}$
c_{HWB}	$0.17^{+0.88}_{-1.79}$	$0.0^{+0.77}_{-0.96}$
c_{HB}	$0.03^{+0.13}_{-0.26}$	$0.0^{+0.11}_{-0.14}$
$c_{H\tilde{W}}$	$-0.26^{+0.67}_{-0.50}$	$0.0^{+0.48}_{-0.52}$
$c_{H\tilde{W}B}$	$-0.54^{+1.37}_{-1.03}$	$0.0^{+0.99}_{-1.07}$
$c_{H\tilde{B}}$	$-0.08^{+0.20}_{-0.15}$	$0.0^{+0.15}_{-0.16}$



CMS PAS HIG-22-008

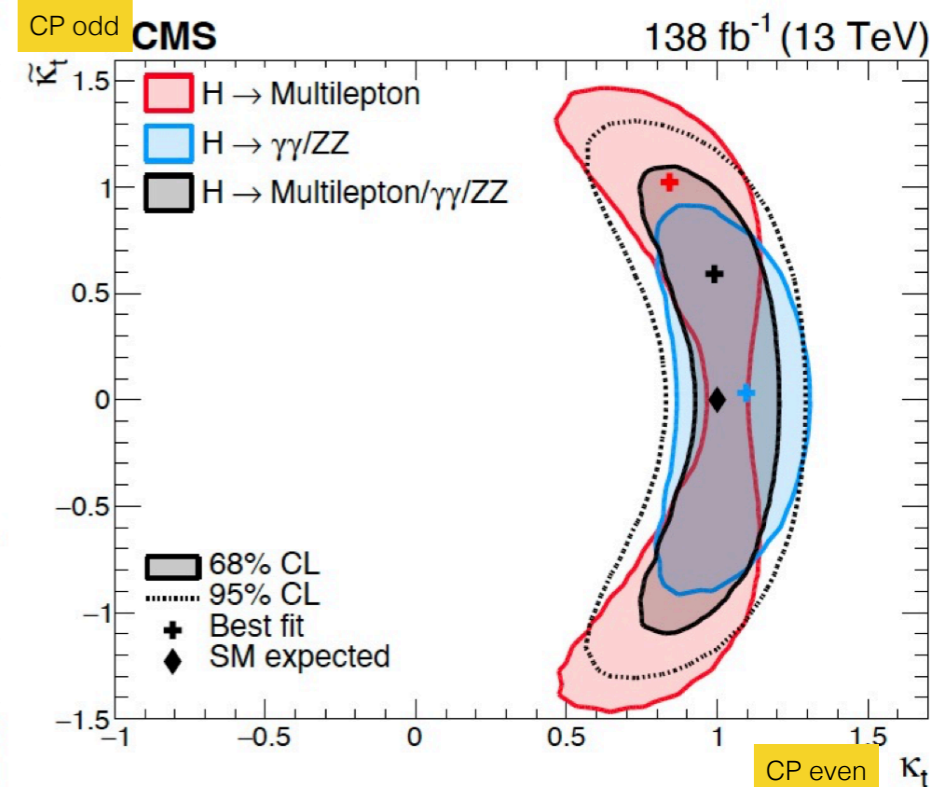
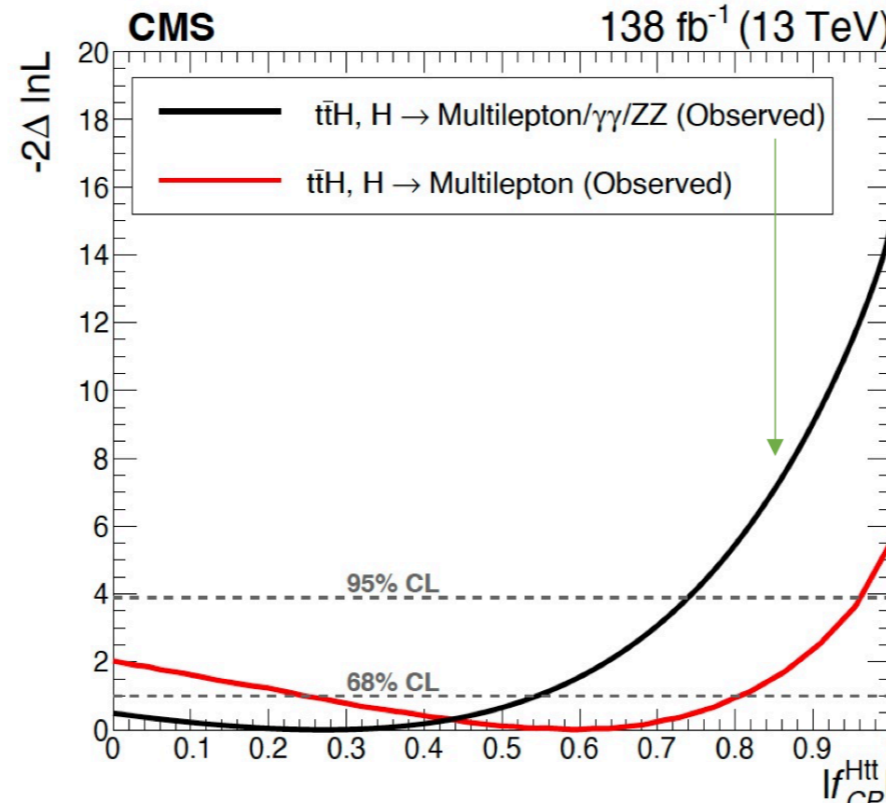
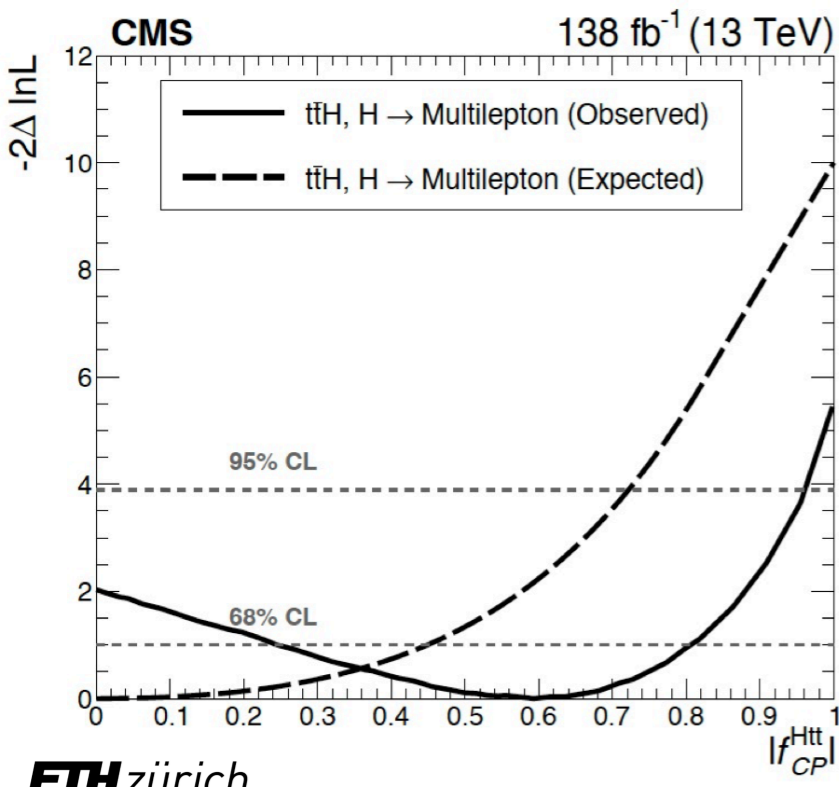
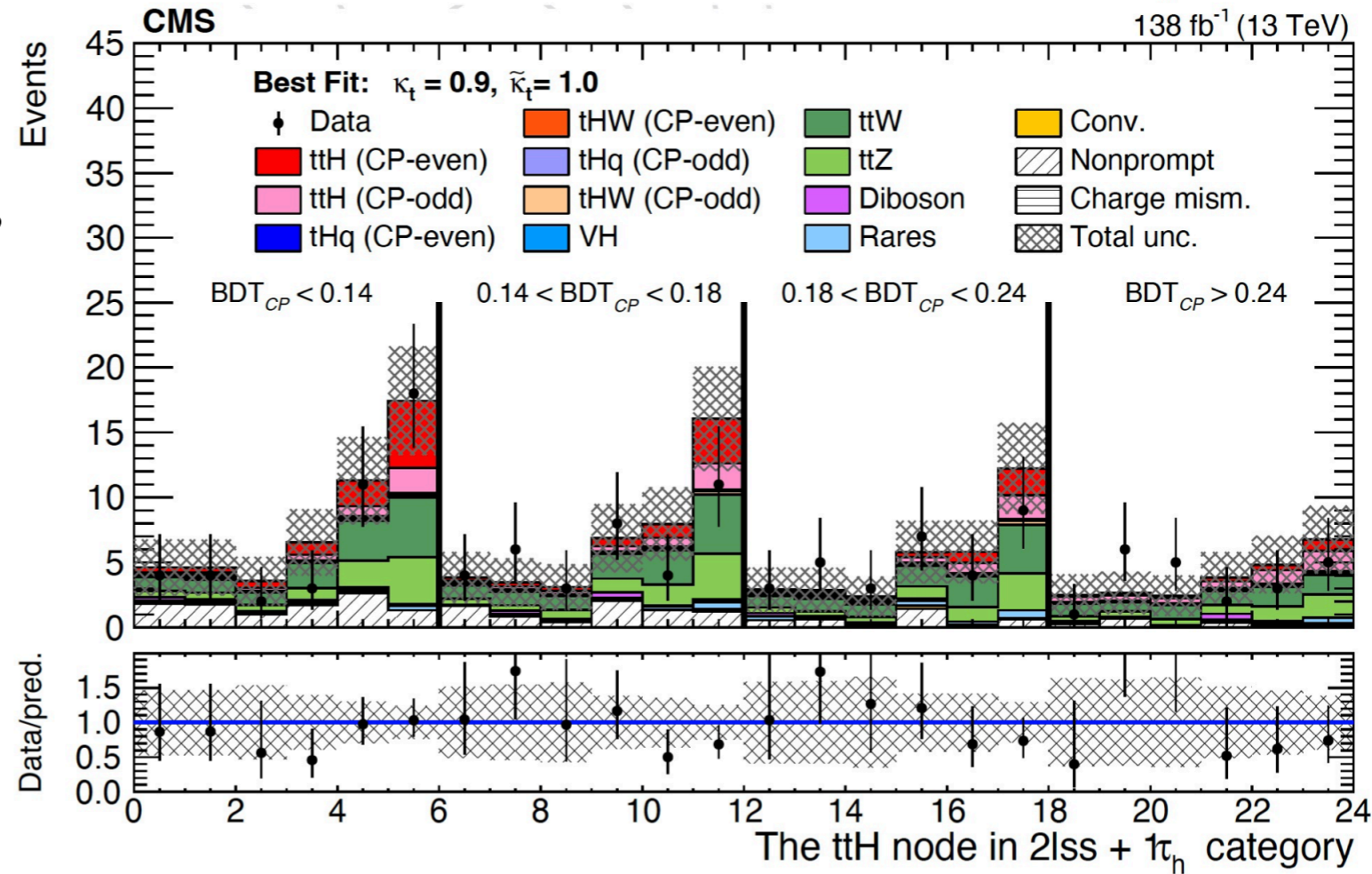


➔ Targeting HWW and $H\tau\tau$ final states - CP even/odd operators

- ▶ BDT sensitive to CP observables (ΔR_{jj} , $\Delta\eta_{jj}$, ...)

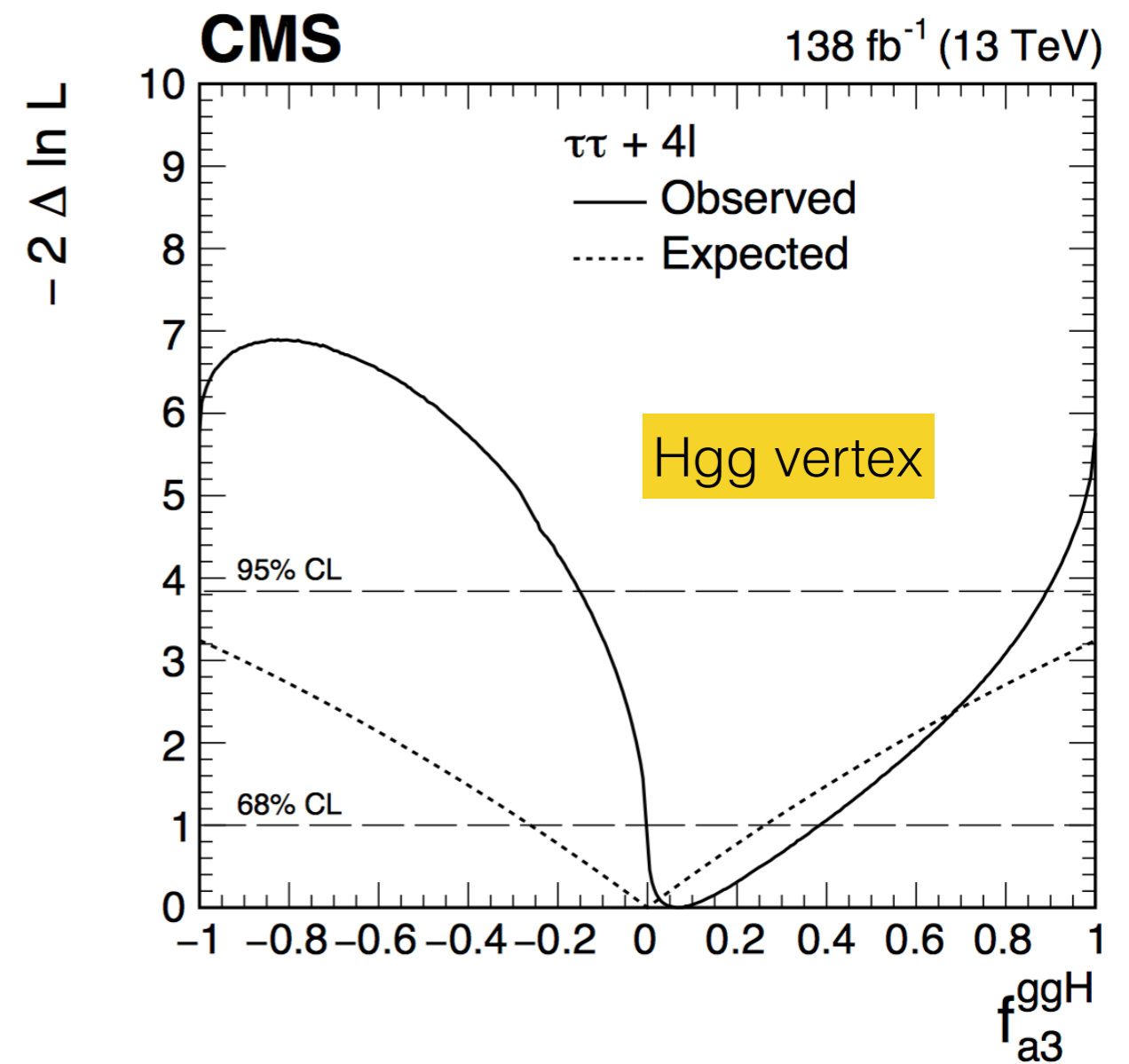
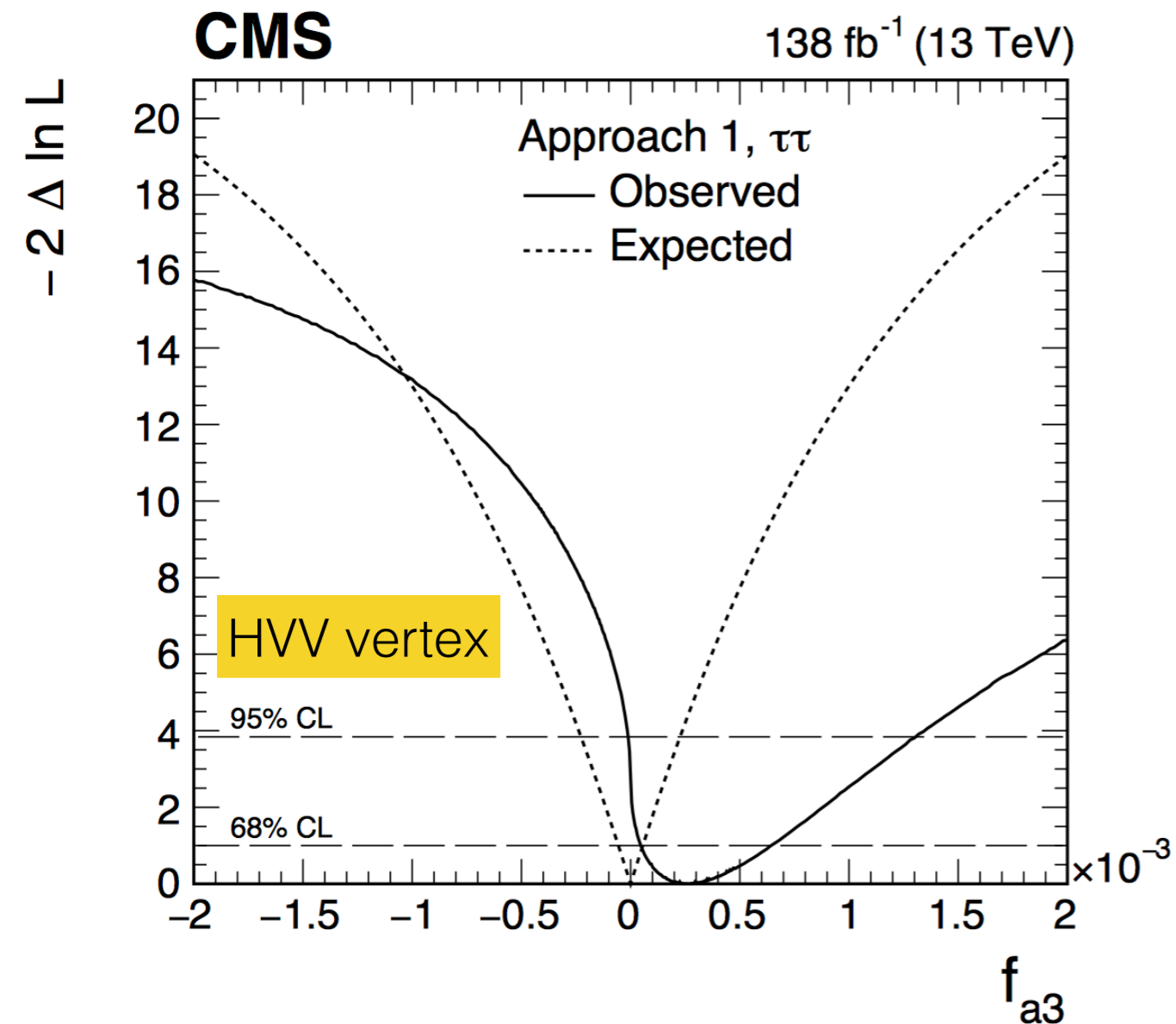
➔ Results including combination of multi-lepton and $\gamma\gamma/ZZ$

- ▶ $f(\text{CP})=0$ SM expectation; $f(\text{CP})=0.28$; <0.55 at 68% CL interval
- ▶ results compatible to CP even scenario: CP odd contribution not favoured at 3.7σ



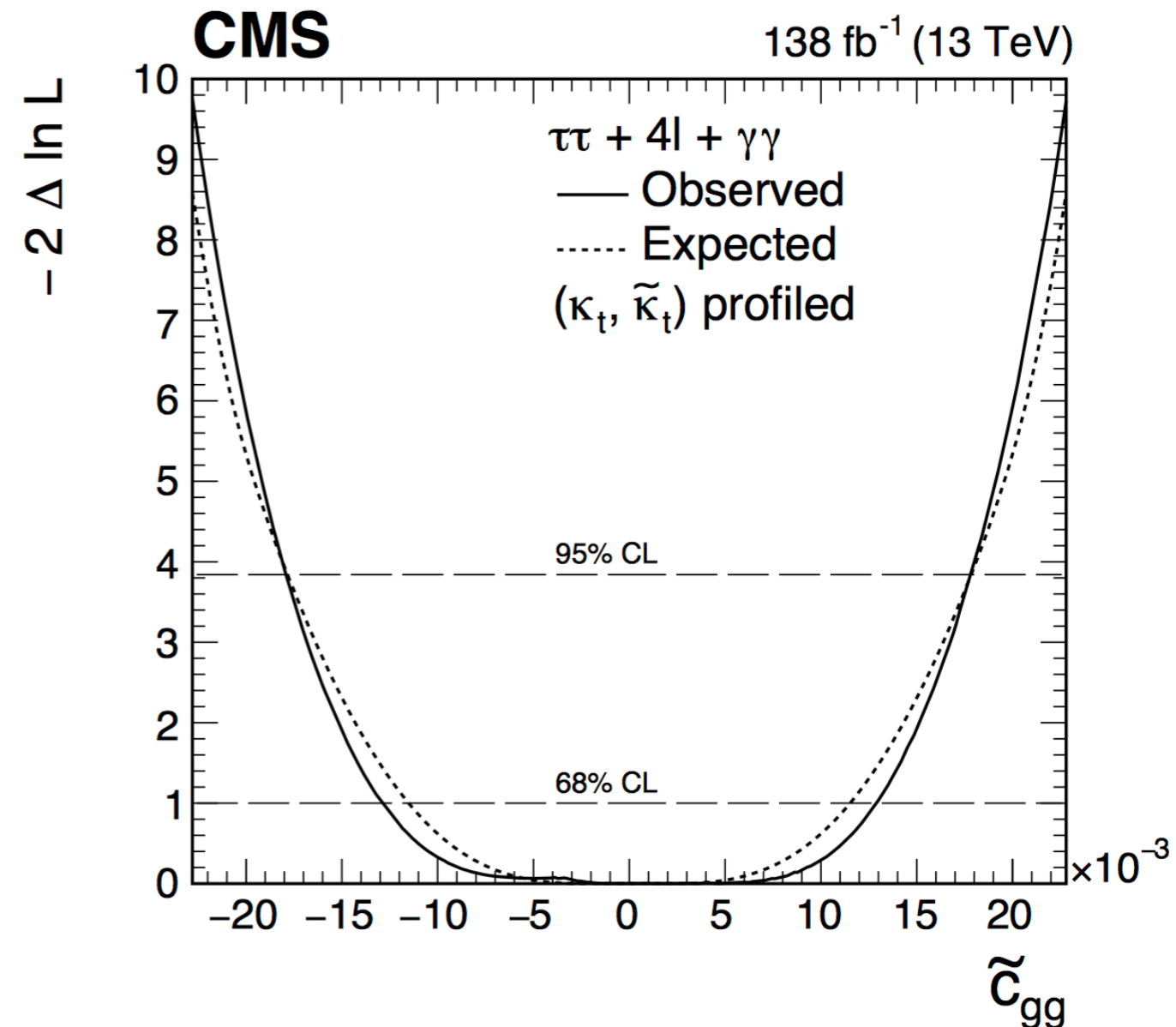
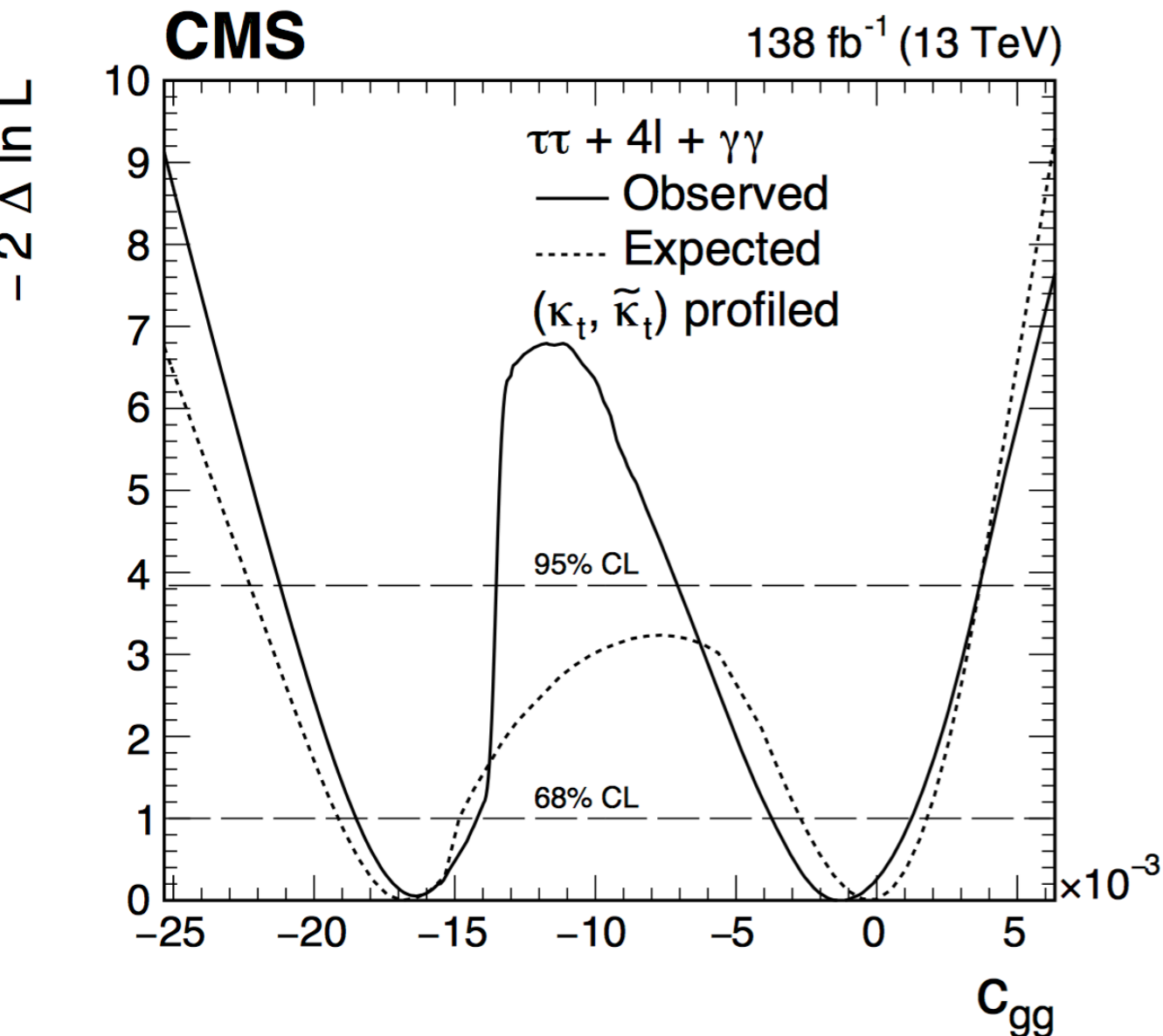
➔ Targeting measurement of several EFT vertices

- ▶ VBF production analysis: HVV EFT vertex, ggH production analysis: Hgg EFT vertex
- ▶ HVV vertex constrained using H $\rightarrow\tau\tau$ decay in VBF production while Hgg vertex uses combination of H $\rightarrow\tau\tau$ and H $\rightarrow ZZ\rightarrow 4l$ (on-shell analysis)
- ▶ pure CP-odd hypothesis for Higgs couplings to gluons excluded at 2.4σ



➔ **Access Hff couplings with H \rightarrow ZZ, ttH $\rightarrow\gamma\gamma$ and H $\rightarrow\tau\tau$ in gluon-fusion production mode - combination improves limits on anomalous couplings by around 25%**

▶ achieved constraints on $c(gg)$ and CP-odd $\tilde{c}(gg)$ operators



EFT interpretations in double Higgs analyses

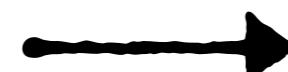
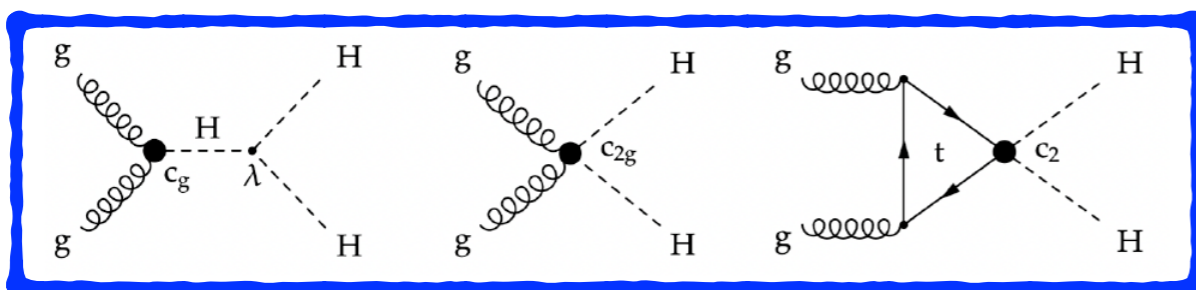
➔ Several benchmark models defined by varying coupling strengths and parameter values spanning dim-6 EFT phase spaces

▶ performed analysis by reweighting signal samples to each EFT benchmark model

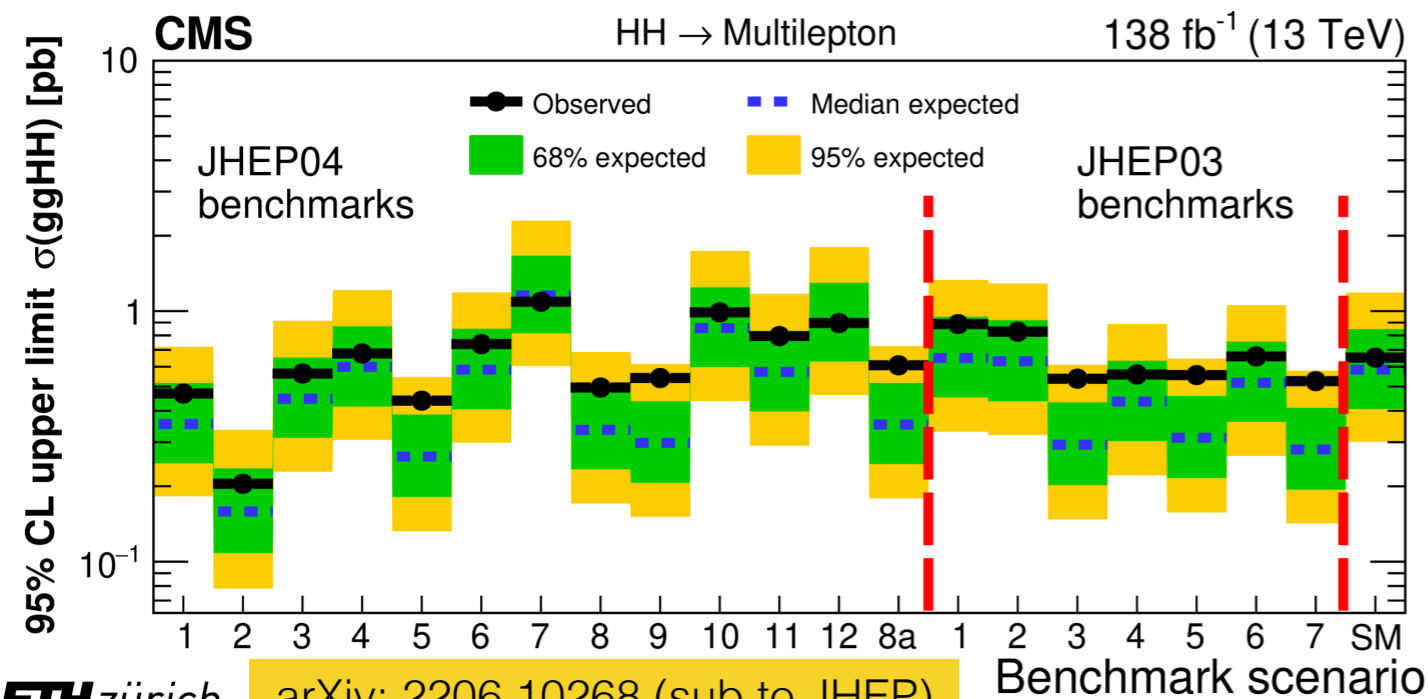
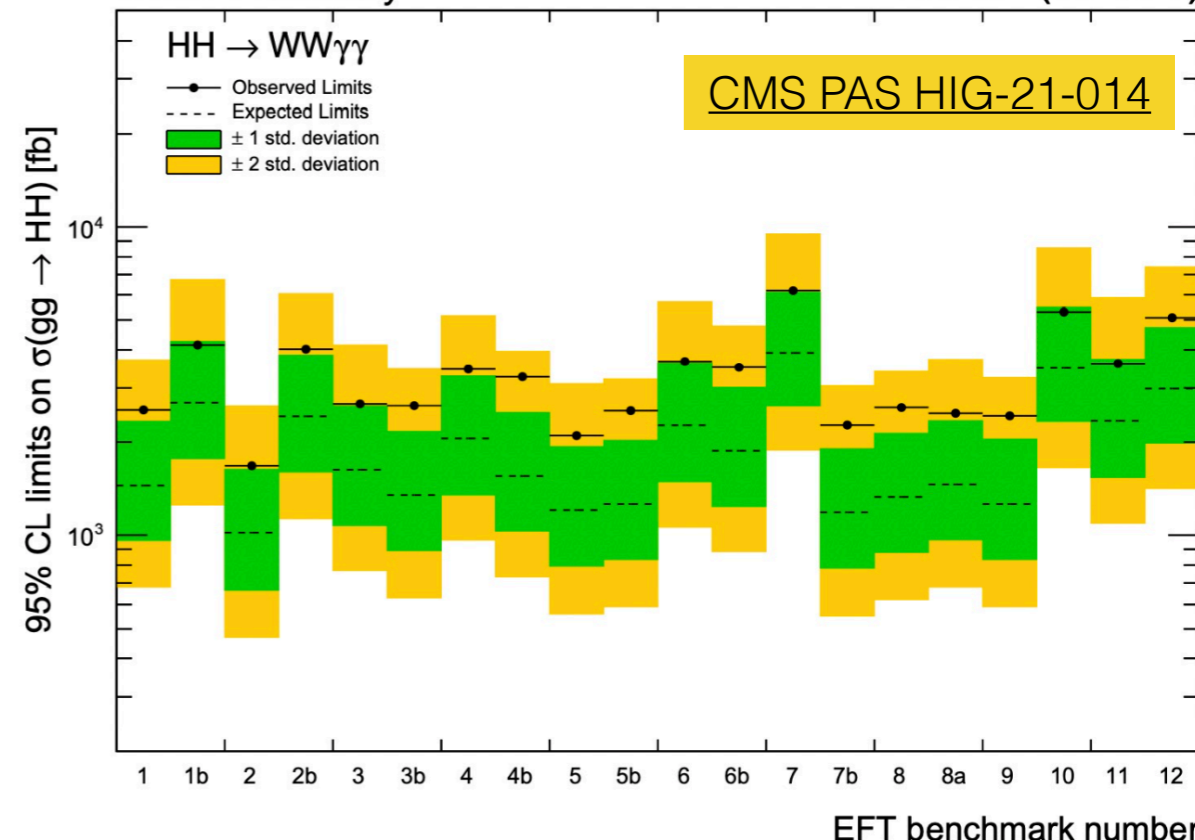
▶ extract limit for each benchmark: $HH \rightarrow WW\gamma\gamma$, $HH \rightarrow bbbb$, $HH \rightarrow$ Multilepton ($WWWW, WW\tau\tau, \tau\tau\tau$)

Benchmark	κ_λ	κ_t	c_2	c_g	c_{2g}
SM	1.0	1.0	0.0	0.0	0.0
1	7.5	1.0	-1.0	0.0	0.0
2	1.0	1.0	0.5	-0.8	0.6
3	1.0	1.0	-1.5	0.0	-0.8
4	-3.5	1.5	-3.0	0.0	0.0
5	1.0	1.0	0.0	0.8	-1
6	2.4	1.0	0.0	0.2	-0.2
7	5.0	1.0	0.0	0.2	-0.2
8	15.0	1.0	0.0	-1	1
9	1.0	1.0	1.0	-0.6	0.6
10	10.0	1.5	-1.0	0.0	0.0
11	2.4	1.0	0.0	1	-1
12	15.0	1.0	1.0	0.0	0.0

$HH \rightarrow WW\gamma\gamma$



CMS Preliminary 138 fb⁻¹ (13 TeV)



Wrapping-up & conclusions

- ➔ **Precision measurements is key to look for deviations on SM couplings: several Effective Field Theory interpretations of Higgs measurements in CMS**
 - ▶ beyond kappa framework and complementary to direct searches for New Physics
- ➔ **EFT interpretation of STXS results allows to probe EFT parameters using various Higgs production modes**
 - ▶ EFT effects parametrised in STXS bins and dedicated acceptance corrections in analysis phase-space
 - ▶ main drawback(s)/assumptions:
 - ▶ no dedicated sensitivity to CP and no optimal observables to improve EFT effect sensitivity
 - ▶ assuming no modifications of background shapes/normalisation due to EFT effects
- ➔ **Dedicated measurements of EFT effects in CMS analyses: $H \rightarrow ZZ/WW$, $H \rightarrow \tau\tau$, started exploring double Higgs analyses**
- ➔ **Developing PCA analyses to tackle large combinations and simultaneous constraints on Wilson coefficients**
 - ▶ very relevant for global EW+Higgs EFT combination and to select non flat directions in EFT space
- ➔ **Ongoing effort in CMS+ATLAS to provide common STXS+SMEFT parameterisation in the context of the LHC EFT WG [LHC EFT workshop, Dec 2022]**
- ➔ **Several more EFT interpretation Run 2 results will be released soon - stay tuned!**

Additional slides

EFT interpretation using STXS (2)

➡ Constraints on main WC's in STXS bins affecting following vertices

- ▶ EW+Higgs boson interactions, boson couplings to fermions and 4-fermion interactions

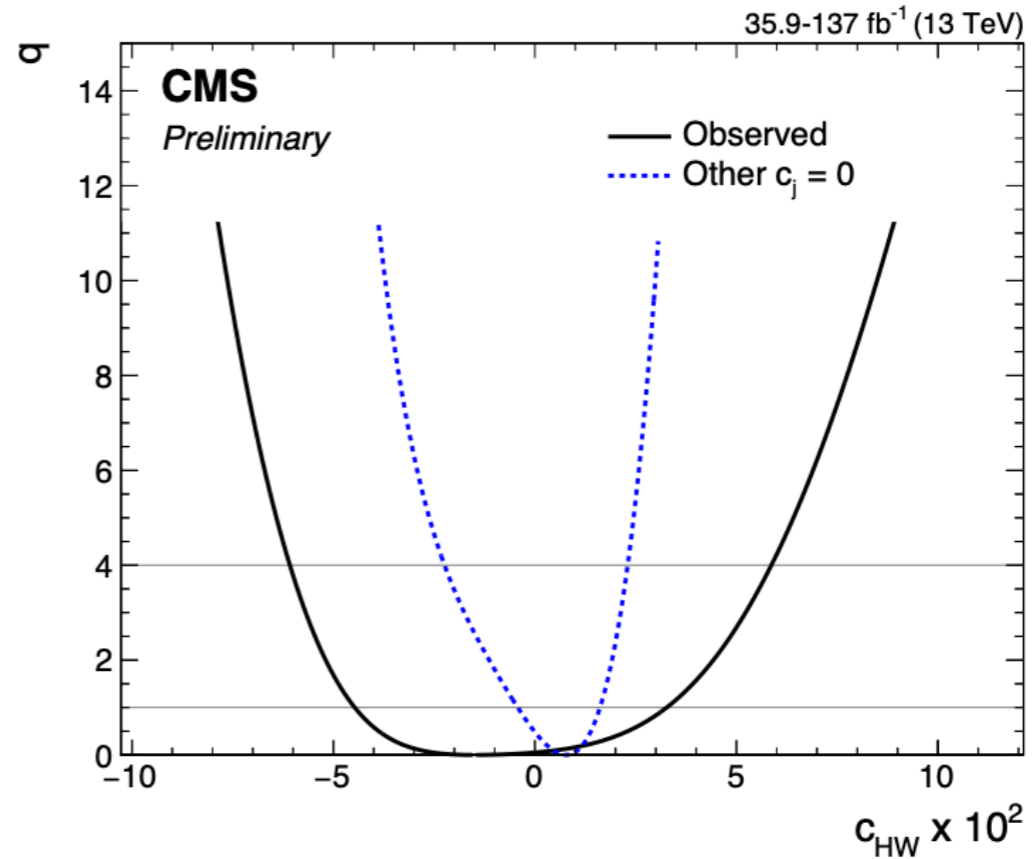
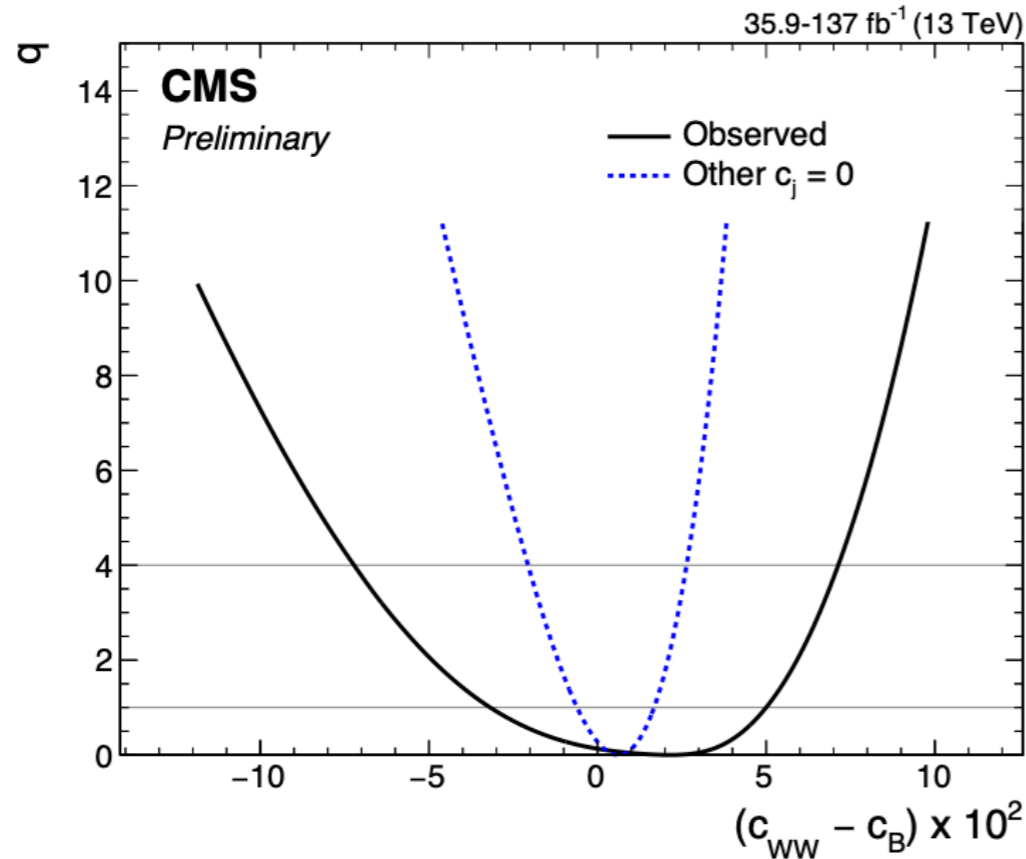
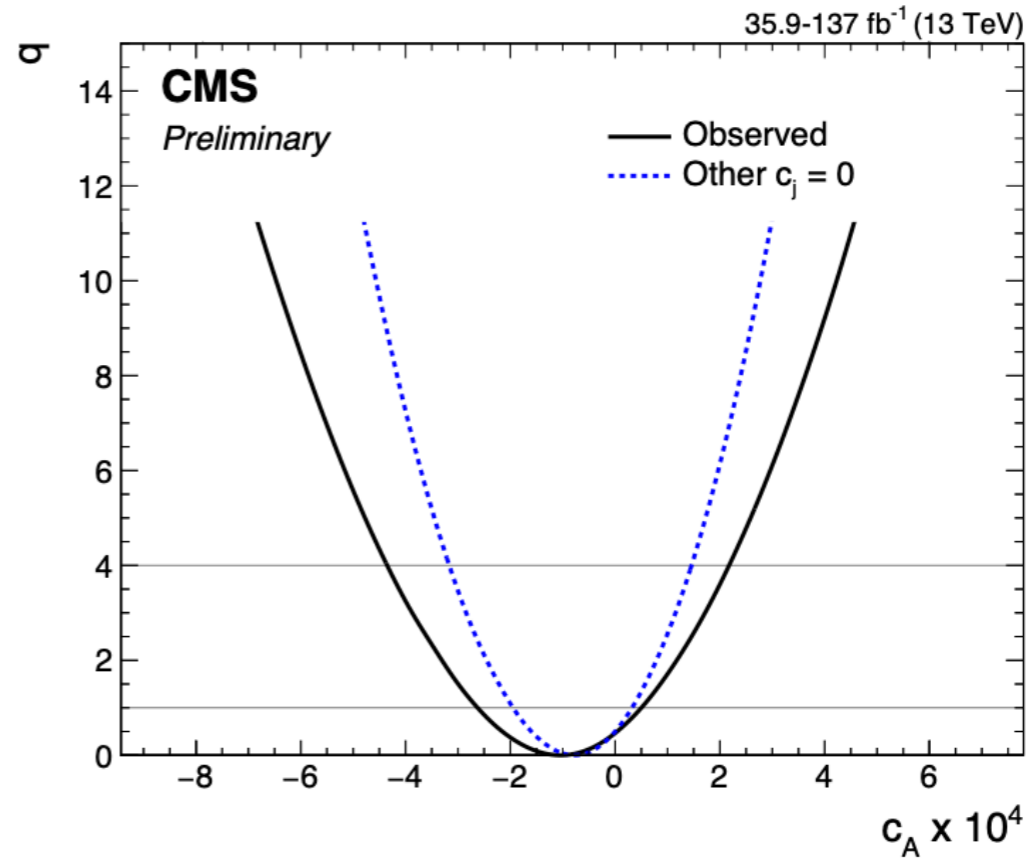
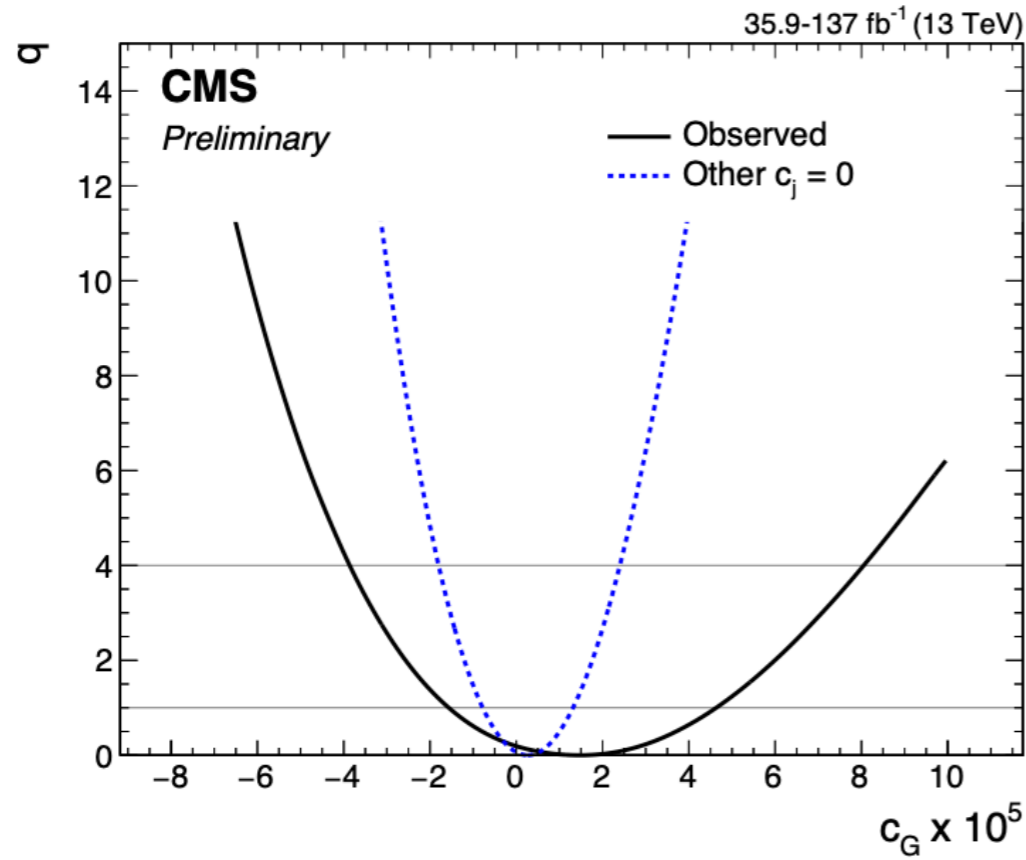
EW+Higgs interactions

Boson couplings to fermions

4-fermion interactions

Wilson coefficient	Operator	Wilson coefficient	Operator
$c_{H\Box}$	$(H^\dagger H)\Box(H^\dagger H)$	c_{uG}	$(\bar{q}_p\sigma^{\mu\nu}T^A u_r)\tilde{H}G_{\mu\nu}^A$
c_{HDD}	$(H^\dagger D^\mu H)^*(H^\dagger D_\mu H)$	c_{uW}	$(\bar{q}_p\sigma^{\mu\nu}u_r)\tau^I\tilde{H}W_{\mu\nu}^I$
c_{HG}	$H^\dagger H G_{\mu\nu}^A G^{A\mu\nu}$	c_{uB}	$(\bar{q}_p\sigma^{\mu\nu}u_r)\tilde{H}B_{\mu\nu}$
c_{HB}	$H^\dagger H B_{\mu\nu}B^{\mu\nu}$	c'_{ll}	$(l_p\gamma_\mu l_t)(l_r\gamma^\mu l_s)$
c_{HW}	$H^\dagger H W_{\mu\nu}^I W^{I\mu\nu}$	$c_{qq}^{(1)}$	$(\bar{q}_p\gamma_\mu q_t)(\bar{q}_r\gamma^\mu q_s)$
c_{HWB}	$H^\dagger\tau^I H W_{\mu\nu}^I B^{\mu\nu}$	$c_{qq}^{(3)}$	$(\bar{q}_p\gamma_\mu\tau^I q_r)(\bar{q}_s\gamma^\mu\tau^I q_t)$
c_{eH}	$(H^\dagger H)(l_p e_r H)$	c_{qq}	$(\bar{q}_p\gamma_\mu q_t)(\bar{q}_r\gamma^\mu q_s)$
c_{uH}	$(H^\dagger H)(\bar{q}_p u_r \tilde{H})$	$c_{qq}^{(31)}$	$(\bar{q}_p\gamma_\mu\tau^I q_t)(\bar{q}_r\gamma^\mu\tau^I q_s)$
c_{dH}	$(H^\dagger H)(\bar{q}_p d_r \tilde{H})$	c_{uu}	$(\bar{u}_p\gamma_\mu u_r)(\bar{u}_s\gamma^\mu u_t)$
$c_{Hl}^{(1)}$	$(H^\dagger i\overleftrightarrow{D}_\mu H)(\bar{l}_p\gamma^\mu l_r)$	$c_{uu}^{(1)}$	$(\bar{u}_p\gamma_\mu u_t)(\bar{u}_r\gamma^\mu u_s)$
$c_{Hl}^{(3)}$	$(H^\dagger i\overleftrightarrow{D}_\mu^I H)(\bar{l}_p\tau^I\gamma^\mu l_r)$	$c_{qu}^{(1)}$	$(\bar{q}_p\gamma_\mu q_t)(\bar{u}_r\gamma^\mu u_s)$
c_{He}	$(H^\dagger i\overleftrightarrow{D}_\mu H)(\bar{e}_p\gamma^\mu e_r)$	$c_{ud}^{(8)}$	$(\bar{u}_p\gamma_\mu T^A u_r)(\bar{d}_s\gamma^\mu T^A d_t)$
$c_{Hq}^{(1)}$	$(H^\dagger i\overleftrightarrow{D}_\mu H)(\bar{q}_p\gamma^\mu q_r)$	$c_{qu}^{(8)}$	$(\bar{q}_p\gamma_\mu T^A q_r)(\bar{u}_s\gamma^\mu T^A u_t)$
$c_{Hq}^{(3)}$	$(H^\dagger i\overleftrightarrow{D}_\mu^I H)(\bar{q}_p\tau^I\gamma^\mu q_r)$	$c_{qd}^{(8)}$	$(\bar{q}_p\gamma_\mu T^A q_r)(\bar{d}_s\gamma^\mu T^A d_t)$
c_{Hu}	$(H^\dagger i\overleftrightarrow{D}_\mu H)(\bar{u}_p\gamma^\mu u_r)$	c_W	$\epsilon^{IJK}W_\mu^{I\nu}W_\nu^{J\rho}W_\rho^{K\mu}$
c_{Hd}	$(H^\dagger i\overleftrightarrow{D}_\mu H)(\bar{d}_p\gamma^\mu d_r)$	c_G	$f^{ABC}G_\mu^{A\nu}G_\nu^{B\rho}G_\rho^{C\mu}$

EFT interpretation using Higgs STXS framework



$$f_{a3}^{ggH} = \frac{|a_3^{gg}|^2}{|a_2^{gg}|^2 + |a_3^{gg}|^2} \text{sign} \left(\frac{a_3^{gg}}{a_2^{gg}} \right)$$

Hff couplings - CP-even

$$|f_{CP}^{Hff}| = \left(1 + 2.38 \left[\frac{1}{|f_{a3}^{ggH}|} \right] \right)^{-1} = \sin^2 \alpha^{Hff}$$

Hff couplings - CP-odd

$$f_{a3} = \frac{|a_3^{gg}|^2}{|a_2^{gg}|^2 + |a_3^{gg}|^2} \text{sign} \left(\frac{a_3^{gg}}{a_2^{gg}} \right)$$

HVV couplings - gg couplings (only non zero contributions are a2 and a3)

$$c_{zz} = -\frac{s_w^2 c_w^2}{2\pi\alpha} a_2,$$

$$\tilde{c}_{zz} = -\frac{s_w^2 c_w^2}{2\pi\alpha} a_3.$$

$$c_{gg} = -\frac{1}{2\pi\alpha_s} a_2^{gg},$$

$$\tilde{c}_{gg} = -\frac{1}{2\pi\alpha_s} a_3^{gg},$$

EFT interpretation