

Constraining ALPs with Higgs data via SMEFT

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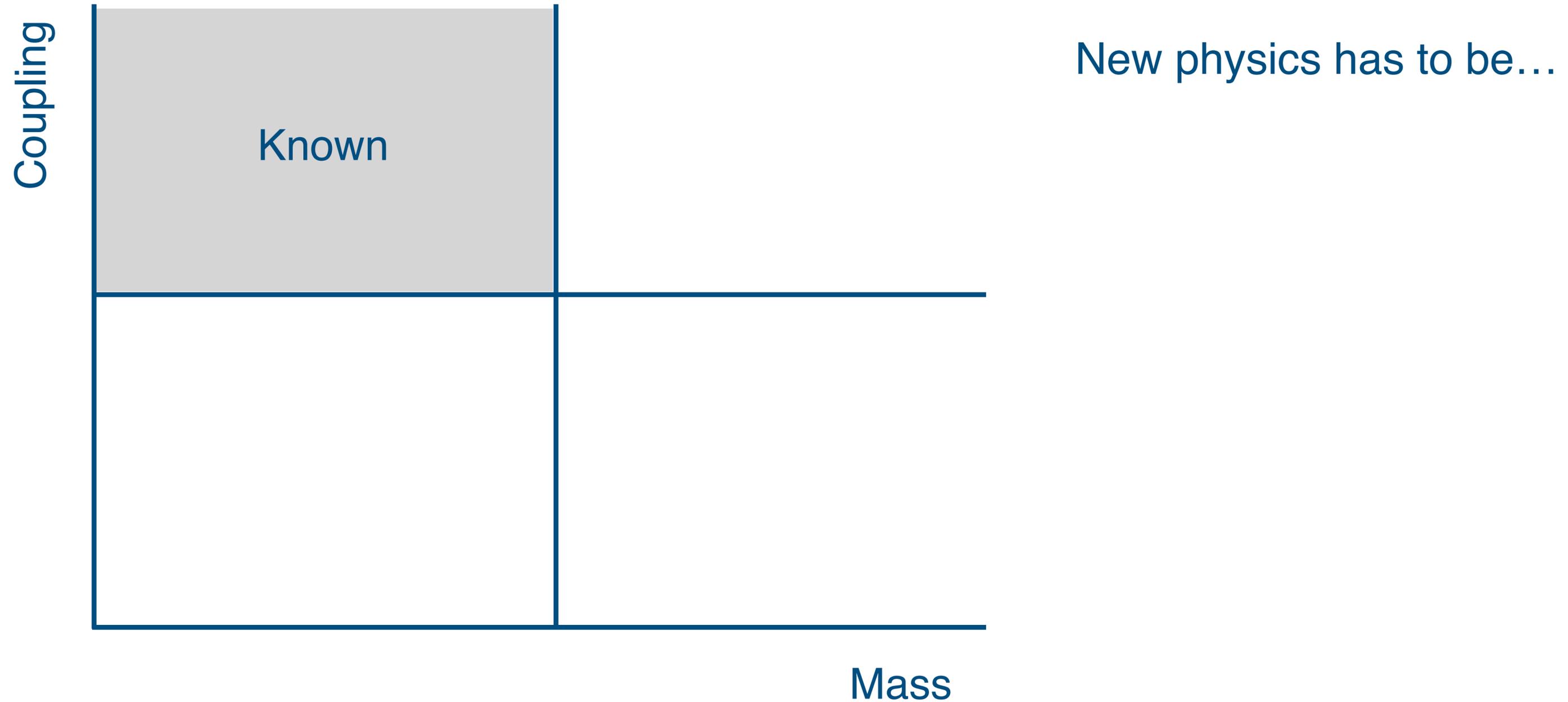
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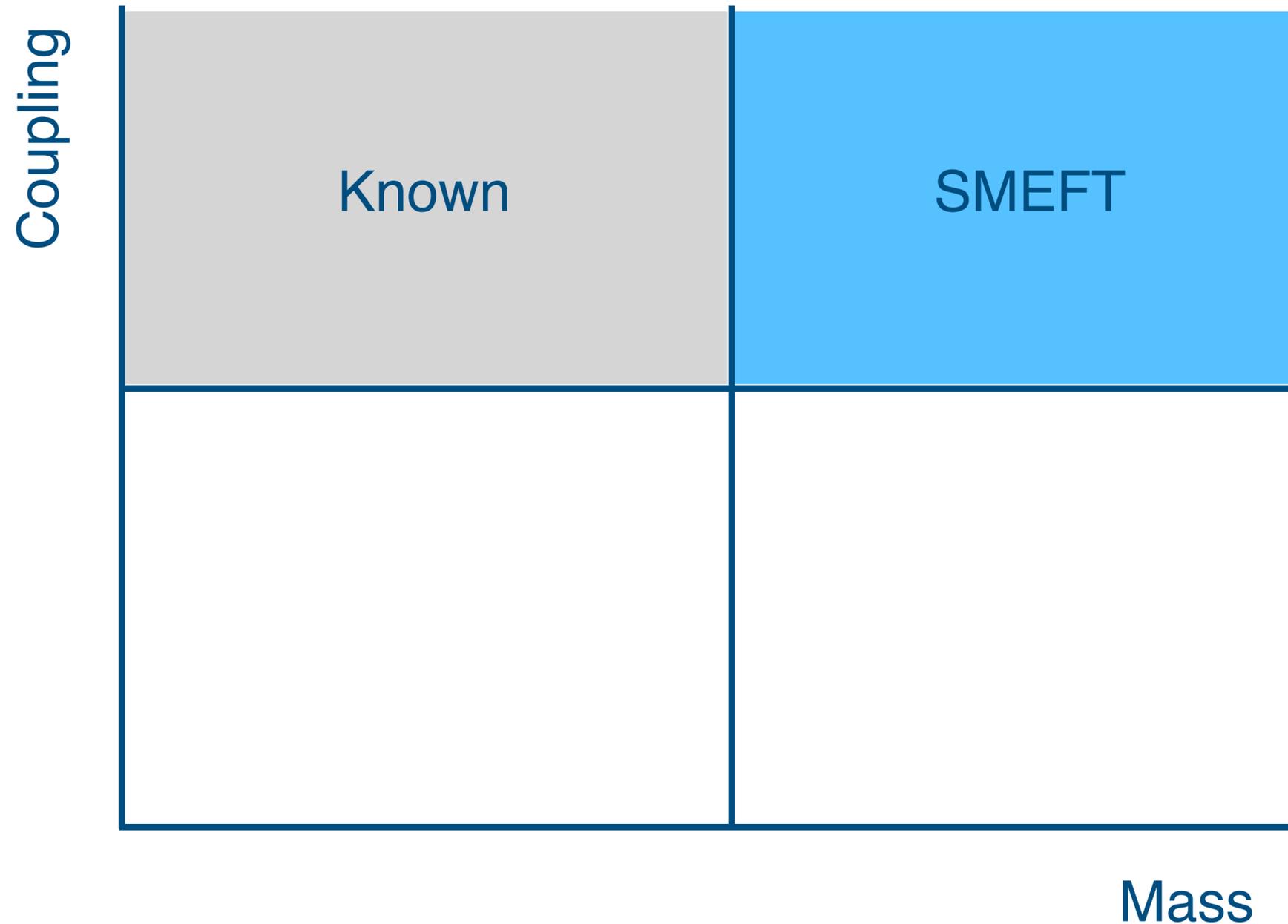
Alexander von Humboldt
Stiftung/Foundation

20th Workshop of the LHC Higgs WG - 13 November 2023

The Landscape of (new) physics



The Landscape of (new) physics



New physics has to be...

... very heavy

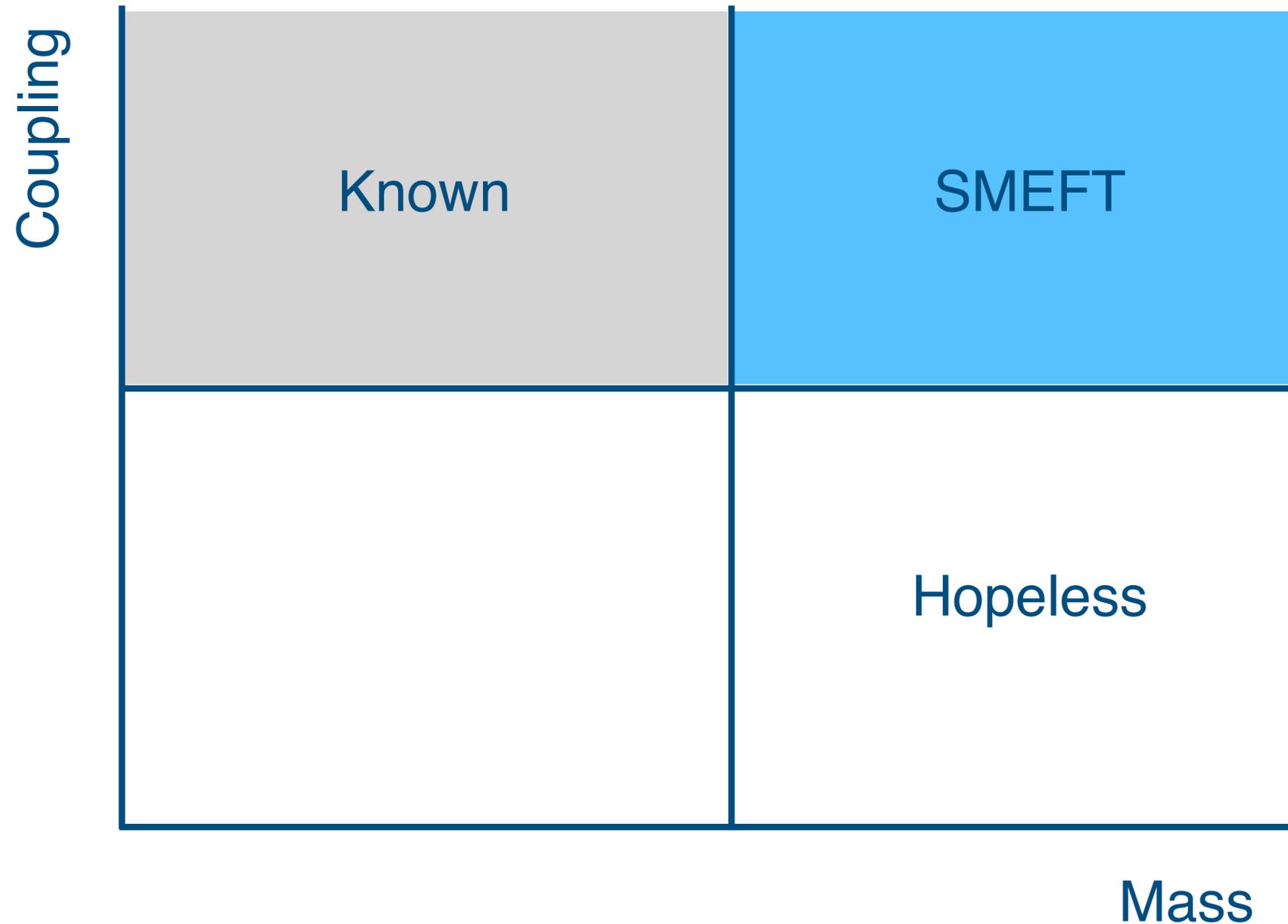
SMEFT

Leptoquarks

Z' bosons

Supersymmetry

The Landscape of (new) physics



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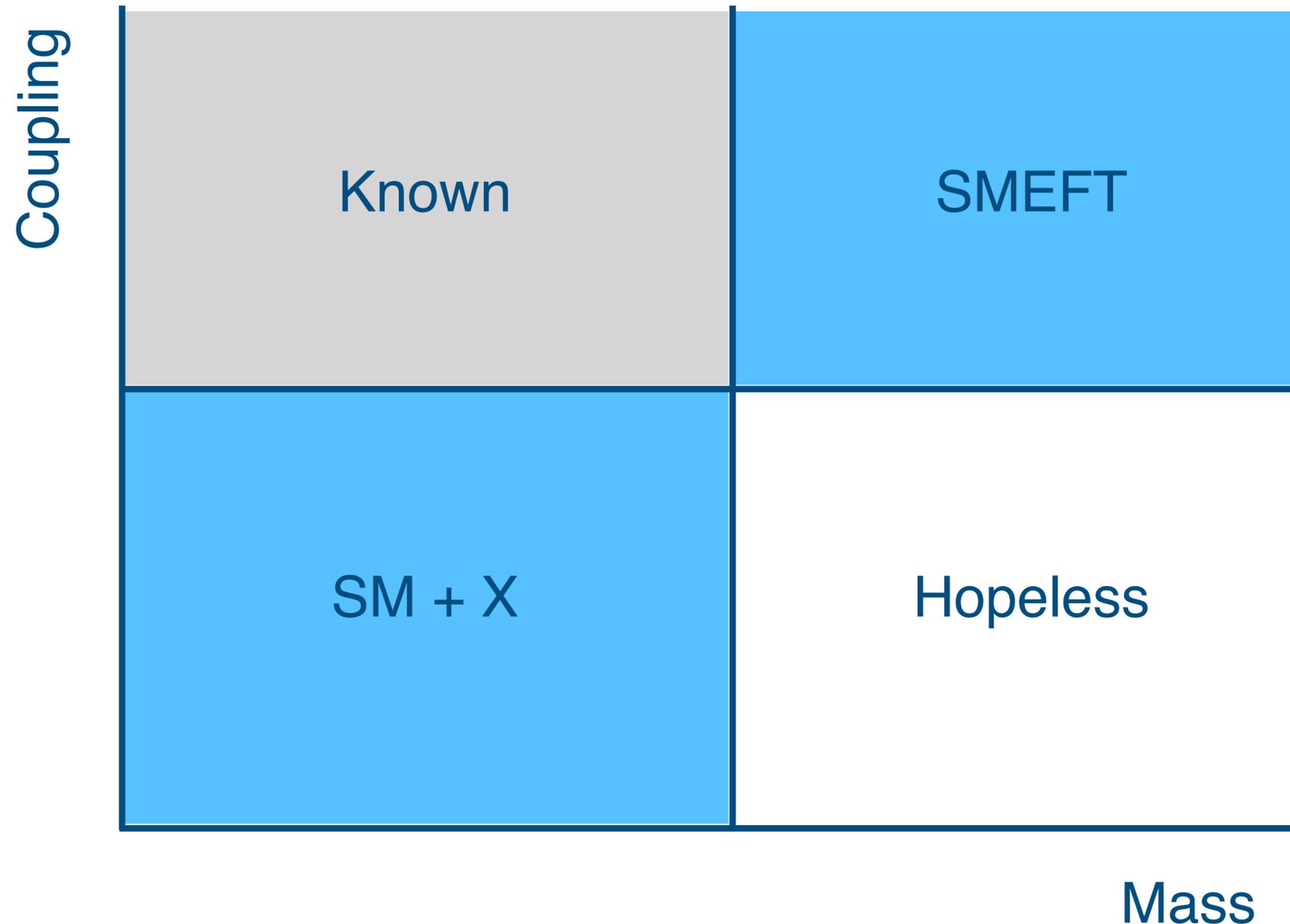
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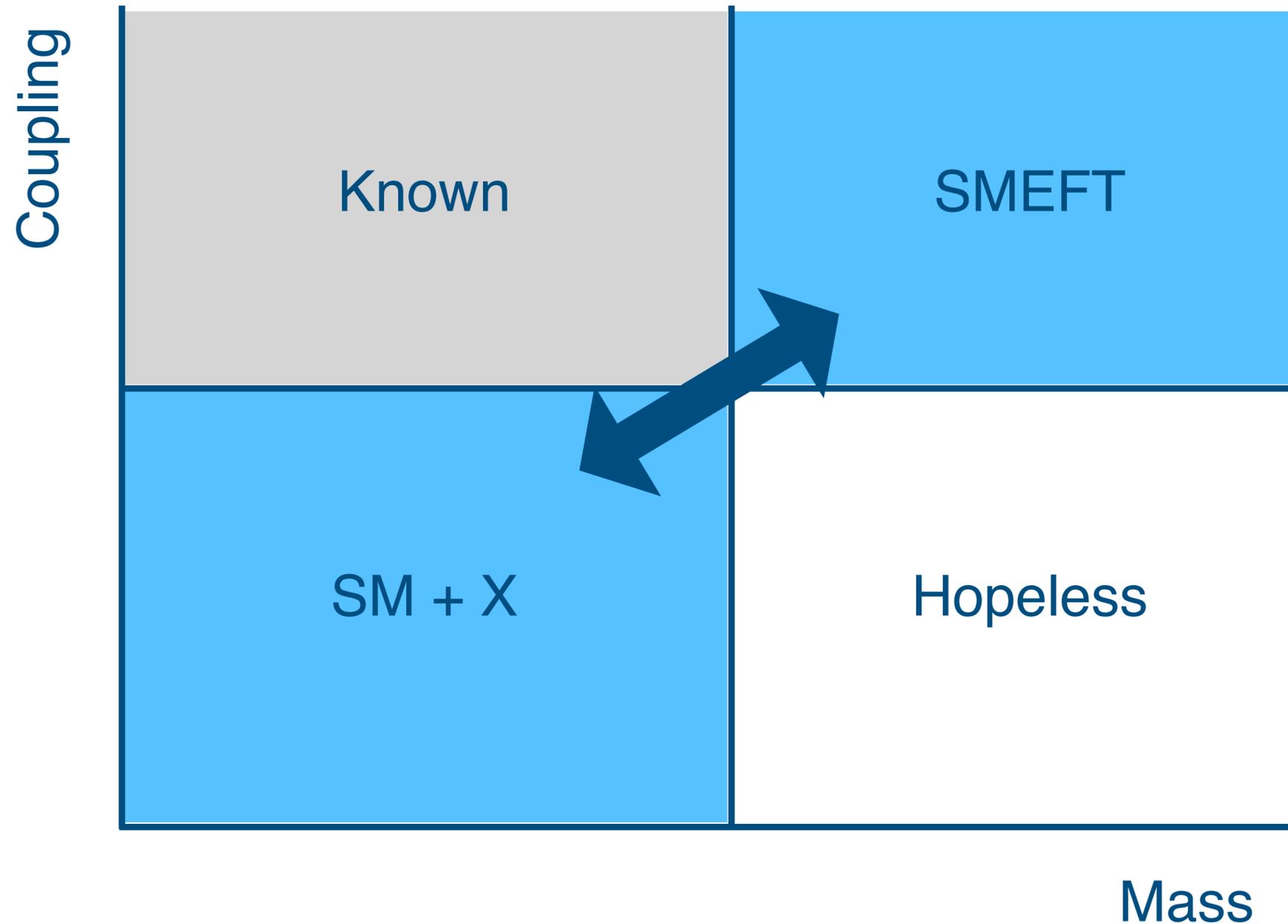
SMEFT

Leptoquarks Z' bosons
Supersymmetry

... (light and) very weakly
interacting with the SM

Axion-like
particles

The Landscape of (new) physics



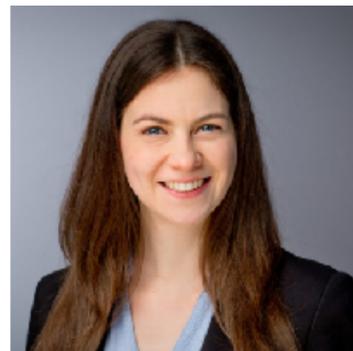
Can we reuse (Higgs) SMEFT results to constrain light new physics?

Outline

- Axion-like particle (ALP) EFT
- ALP-SMEFT interference [Galda, Neubert, Renner ([2105.01078](#))]
- Global analysis of indirect bounds on ALP couplings from the SMEFT
- Comparison to direct bounds



Based on [2307.10372](#) with
Anne Galda, Javier Fuentes-Martín and Matthias Neubert



Axions

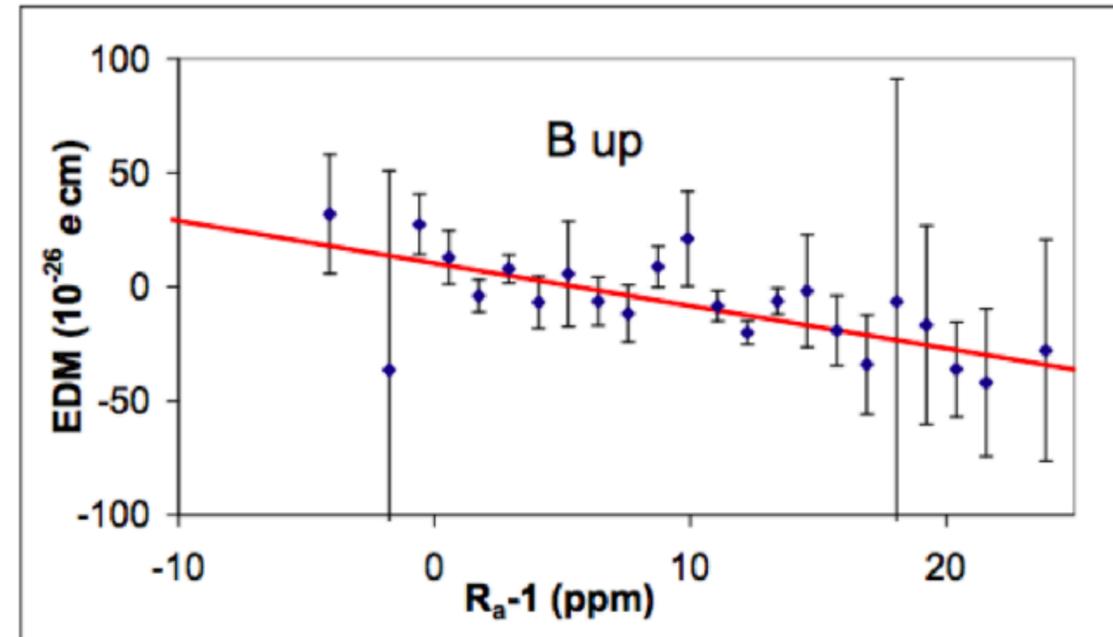
$$\mathcal{L} = \theta \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

Why is the theta term so small?

$$\mathcal{L} = \left(\theta - \frac{a}{f_a} \right) \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

Dynamical solution to the strong CP problem

[Baker et al. ([hep-ex/0602020](#))]



Electric dipole moment of the neutron

[Peccei, Quinn ([ref1](#), [ref2](#))]

[Weinberg] [Wilczek]



Axion-like particles

- Singlet pseudo-scalars
- QCD axion: $m_a f_a = \text{const.}$, but generally a wide range of masses is possible
- Generic ALP with effective Lagrangian
- Motivation: “Higgs portal” dark matter, composite Higgs models, ...

[Peccei, Quinn ([ref1](#), [ref2](#))]

[[Weinberg](#)] [[Wilczek](#)]

[Brivio et al. ([1701.05379](#))]

[Bauer et al. ([1708.00443](#))]

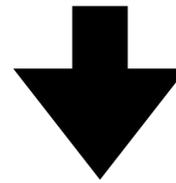
- Shift symmetry $a \rightarrow a + a_0$, Lagrangian terms: $\frac{\partial_\mu a}{f_a} (\text{SM})^\mu$

$$\mathcal{L}_{\text{eff}}^{D \leq 5} = \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + \frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F c_F \gamma_\mu \psi_F + c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i \overleftrightarrow{D}_\mu \phi) \\ + c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} .$$

Dim-5 Lagrangian

ALP Lagrangian

$$\mathcal{L}_{\text{eff}}^{D \leq 5} = \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + \frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F c_F \gamma_\mu \psi_F + c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i \overleftrightarrow{D}_\mu \phi) \\ + c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu}.$$



$$\psi_F \rightarrow \psi_F + i \frac{a}{f} \mathbf{c}_F \psi_F$$

$$\mathcal{L}_{\text{SM+ALP}}^{D \leq 5} = C_{GG} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + C_{WW} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + C_{BB} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} \\ - \frac{a}{f} \left(\bar{Q} \tilde{H} \tilde{Y}_u u_R + \bar{Q} H \tilde{Y}_d d_R + \bar{L} H \tilde{Y}_e e_R + \text{h.c.} \right)$$

$$\tilde{Y}_u = i(Y_u c_u - c_Q Y_u), \quad \tilde{Y}_d = i(Y_d c_d - c_Q Y_d), \quad \tilde{Y}_e = i(Y_e c_e - c_L Y_e)$$

$\tilde{c}_X = c_X \mathbb{1}_3$ Flavor universal

$$\tilde{Y}_u = i(c_u - c_Q)Y_u = -iC_u Y_u, \quad \tilde{Y}_d = i(c_d - c_Q)Y_d = -iC_d Y_d, \quad \tilde{Y}_e = i(c_e - c_L)Y_e = -iC_e Y_e$$

ALP Lagrangian

\mathcal{L}

Six free parameters in the flavor-universal case

$$C_{GG}, C_{WW}, C_{BB}, C_u, C_d, C_e$$

$(\vec{D}_\mu \phi)$

$\tilde{B}^{\mu\nu}$

$\psi_F \rightarrow \psi_F + i \frac{a}{f} \mathbf{c}_F \psi_F$

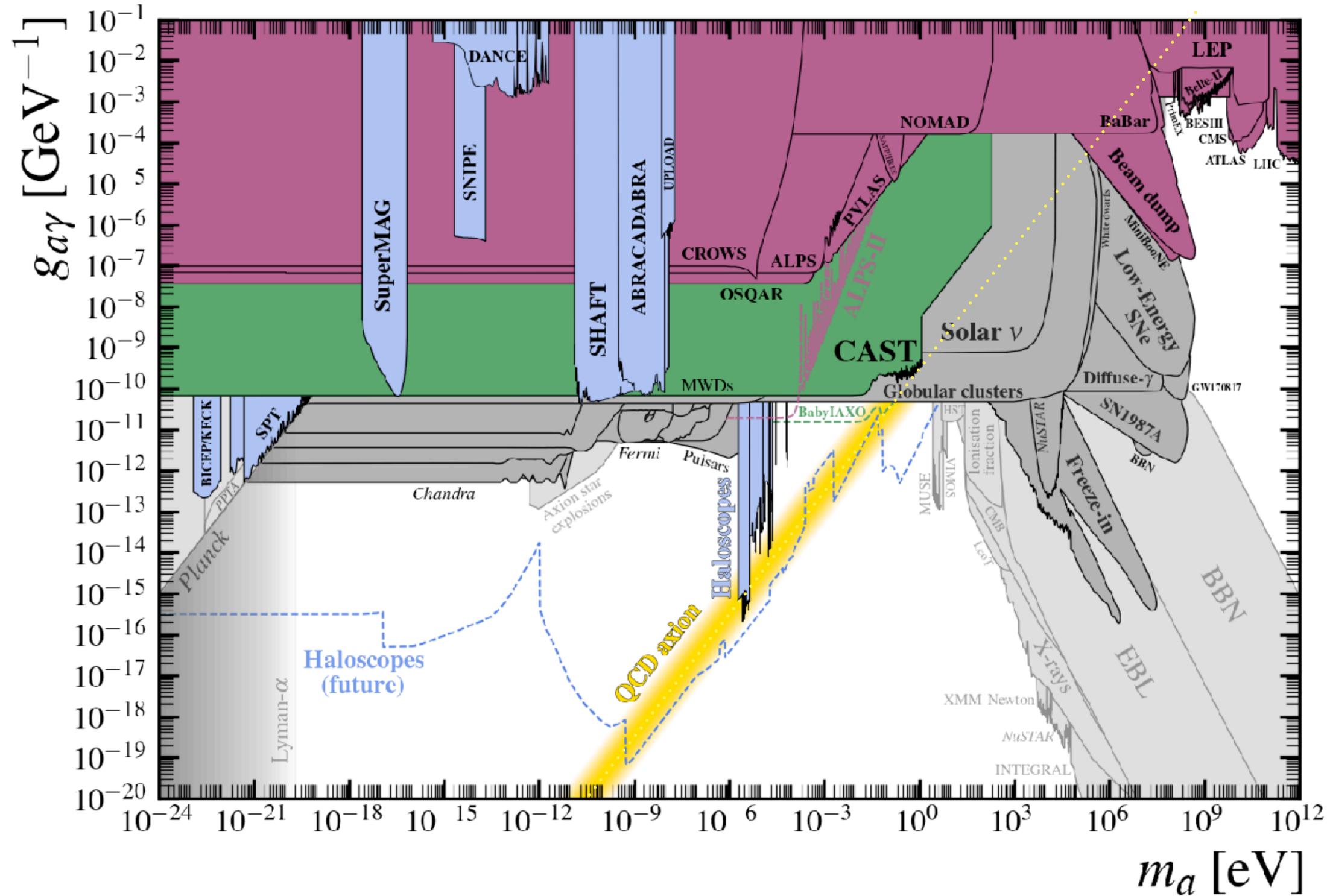
$$\begin{aligned} \mathcal{L}_{\text{SM}+\text{ALP}}^{D \leq 5} = & C_{GG} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + C_{WW} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + C_{BB} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} \\ & - \frac{a}{f} \left(\bar{Q} \tilde{H} \tilde{Y}_u u_R + \bar{Q} H \tilde{Y}_d d_R + \bar{L} H \tilde{Y}_e e_R + \text{h.c.} \right) \end{aligned}$$

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Axion-like particles



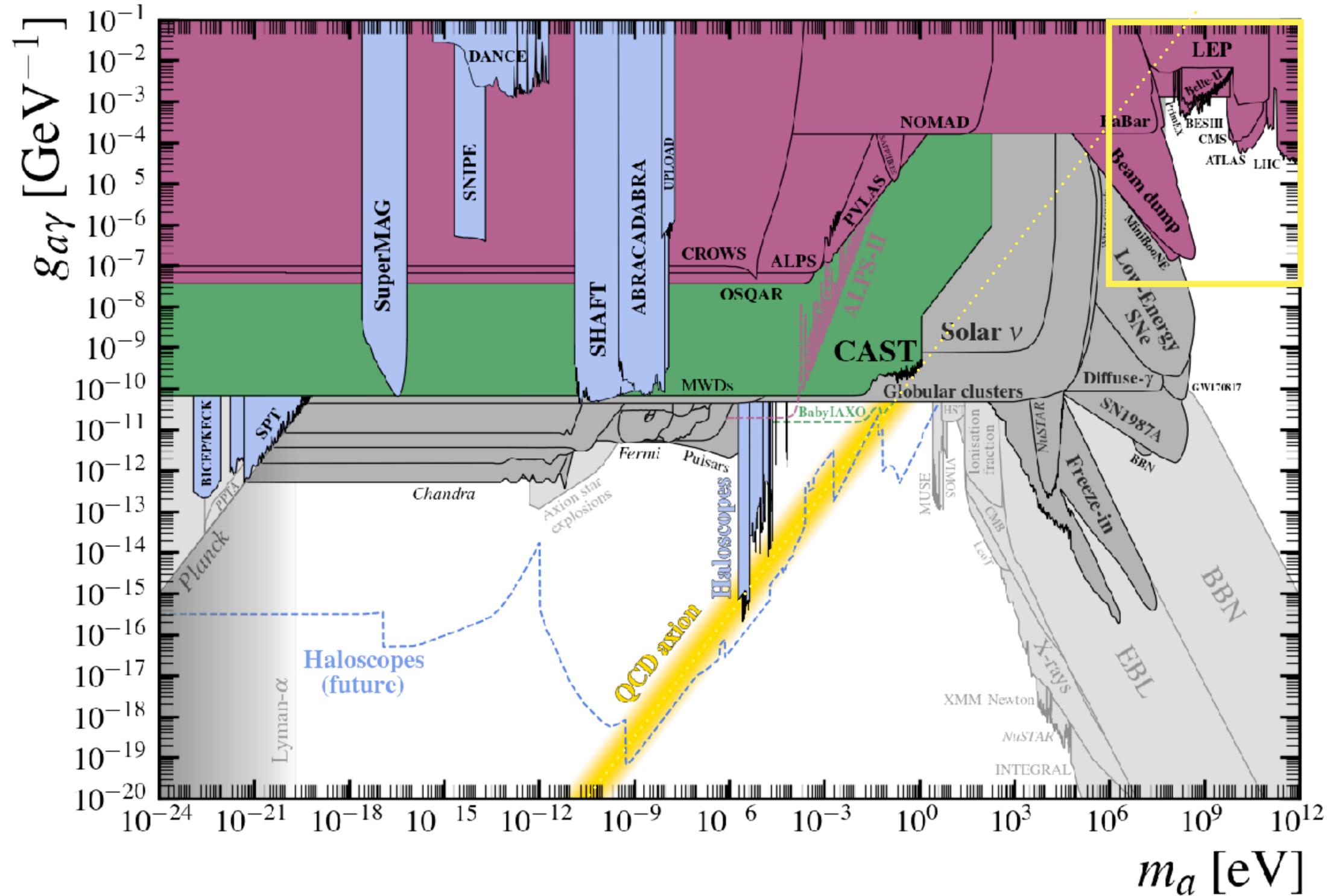
Current status - 2D fits

Assumptions of

- Production mode
- Lifetime
- Branching ratios

[O'Hare (axion limits)]

Axion-like particles



Current status - 2D fits

Assumptions of

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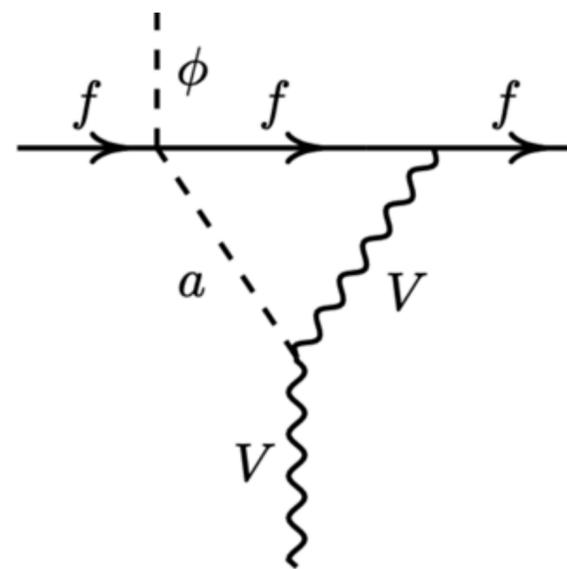
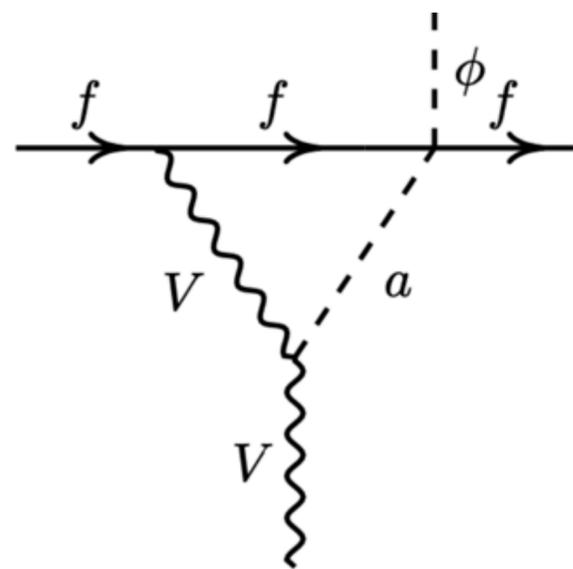
[O'Hare (axion limits)]

ALP-SMEFT interference

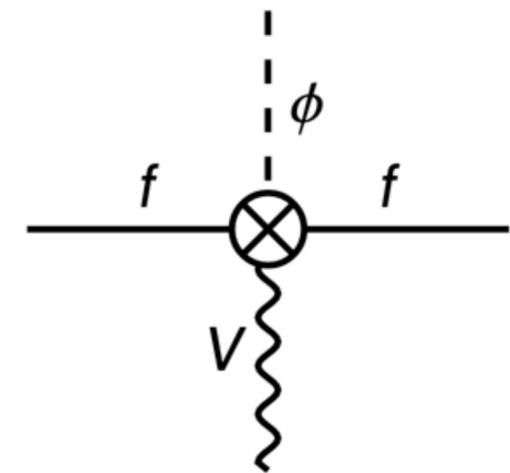
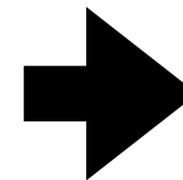
[Marciano, Masiero, Paradisi, Passera ([1607.01022](#))]

[Bauer, Neubert, Thamm ([1704.08207](#))]

- Virtual ALP exchange induces UV-divergent one-loop graphs
- Dimension-6 operators required as counterterms



$\sim 1/\epsilon$



Independent of ALP mass

Renormalisation group running

[Galda, Neubert,
Renner ([2105.01078](#))]

- SMEFT RG running

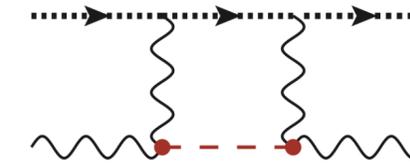
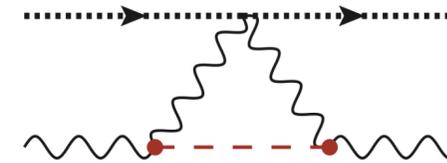
$$\frac{d}{d \log \mu} C_i^{\text{SMEFT}} = \gamma_{ji} C_j^{\text{SMEFT}}$$

Renormalisation group running

[Galda, Neubert,
Renner (2105.01078)]

- SMEFT RG running

$$\frac{d}{d \log \mu} C_i^{\text{SMEFT}} = \gamma_{ji} C_j^{\text{SMEFT}}$$



- ALP contributes source terms for D6 SMEFT
RG running

Source terms are ALP-
mass independent!

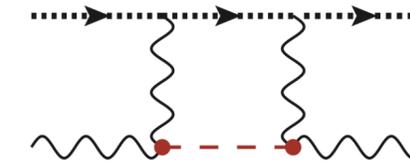
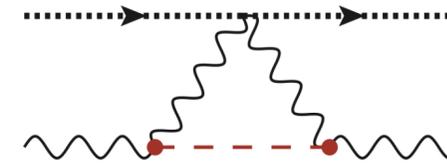
$$\frac{d}{d \log \mu} C_i^{\text{SMEFT}} - \gamma_{ji}^{\text{SMEFT}} C_j^{\text{SMEFT}} = \frac{S_i}{(4\pi f)^2} \quad \text{for } \mu < 4\pi f$$

Renormalisation group running

[Galda, Neubert, Renner (2105.01078)]

- SMEFT RG running

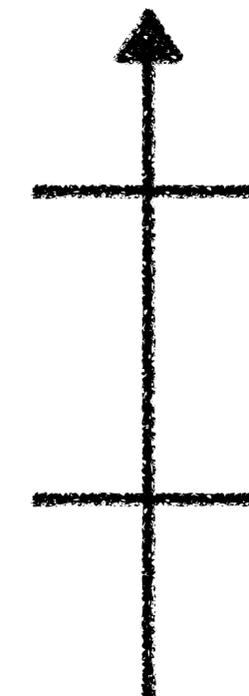
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Source terms are ALP-mass independent!



$$C^{\text{ALP}}(\Lambda) \neq 0, \\ C^{\text{SMEFT}}(\Lambda) = 0$$

$$C^{\text{SMEFT}}(\mu) \neq 0$$

Non-zero ALP couplings at a high scale Λ induce non-zero D6 SMEFT couplings at a lower scale μ

Renormalisation group running

[Galda, Neubert, Renner (2105.01078)]

Can we use SMEFT constraints to obtain mass-independent constraints on the ALP Wilson coefficients?

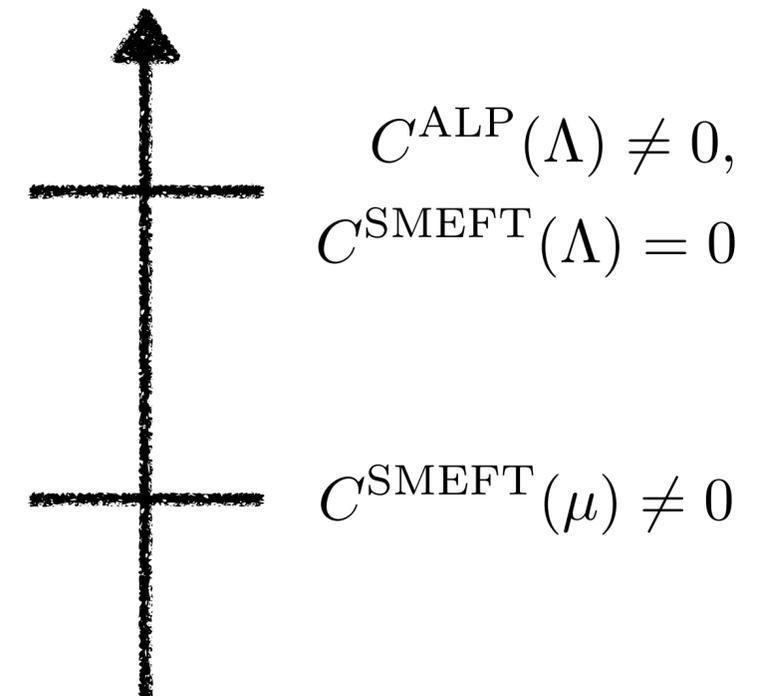


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Non-zero ALP couplings at a high scale Λ induce non-zero D6 SMEFT couplings at a lower scale μ



Exploiting the ALP-SMEFT interference

Observables used

- Low-energy observables
- Higgs
- Top

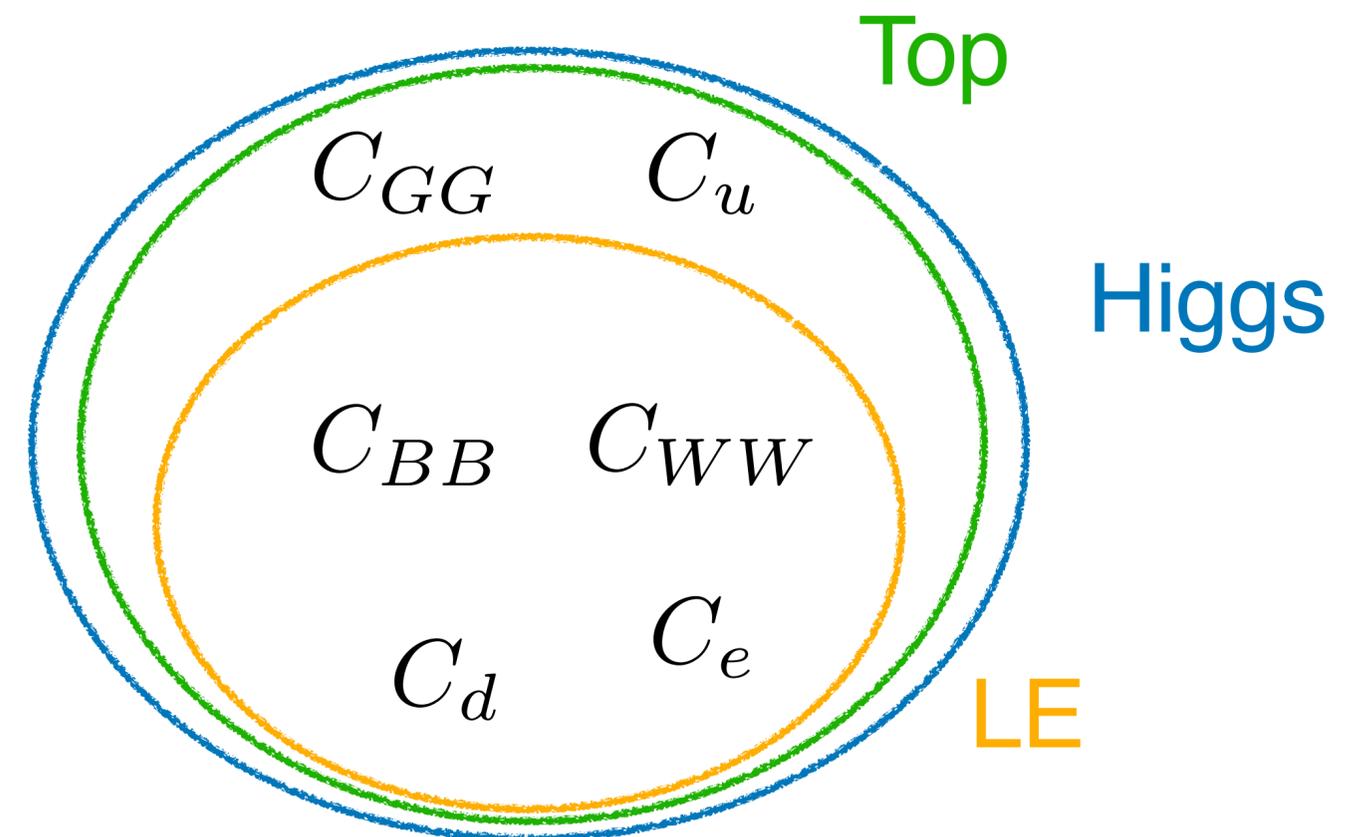
[Falkowski et al. (1706.03783)]

[Ellis et al. (2012.02779)]

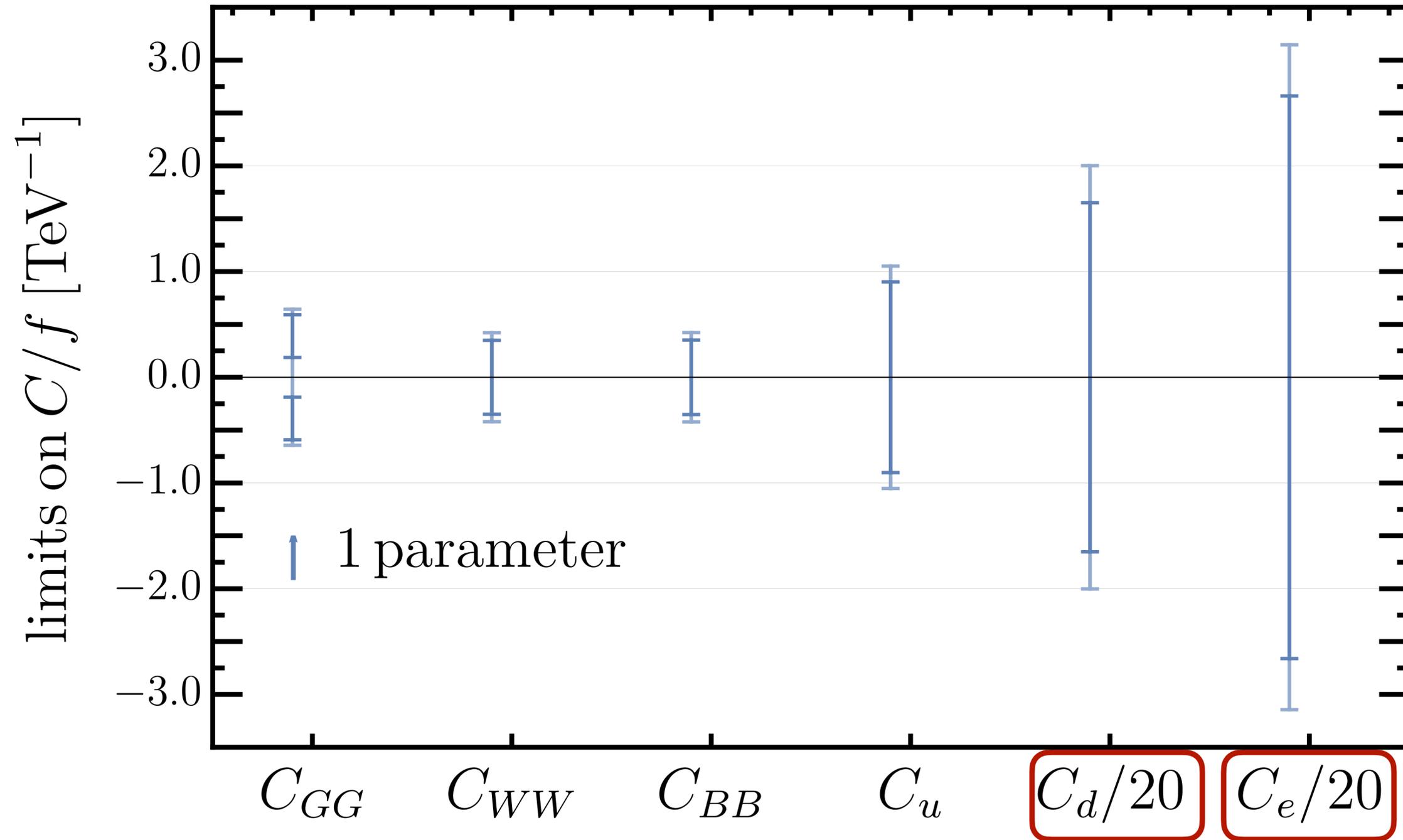
[Anisha et al. (2111.05876)]

Six free parameters

$$C_{GG}, C_{WW}, C_{BB}, C_u, C_d, C_e$$



A global analysis

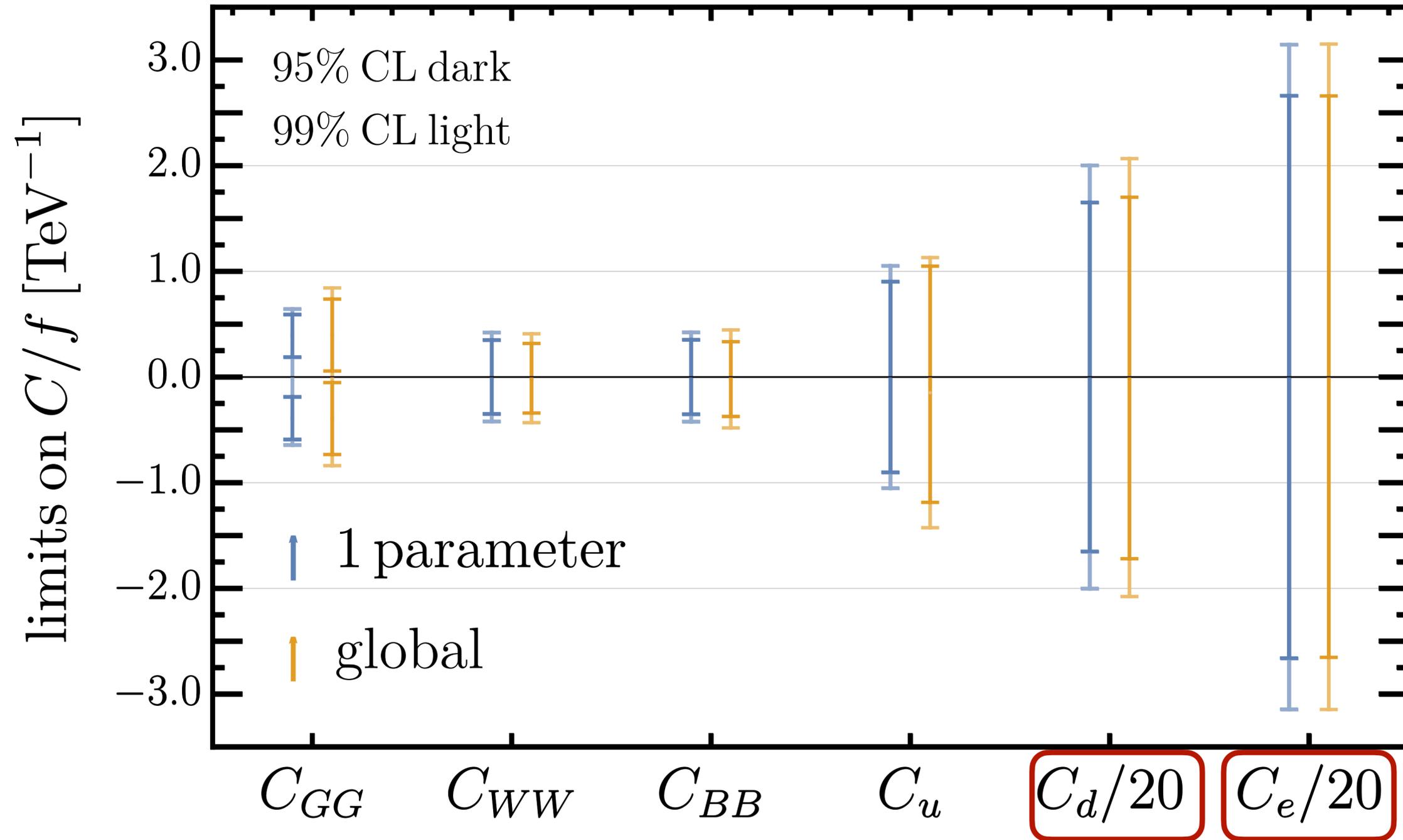


$$\Lambda = 4\pi f$$

$\mathcal{O}(1)$ limits on ALP

couplings for $f = 1$ TeV

A global analysis



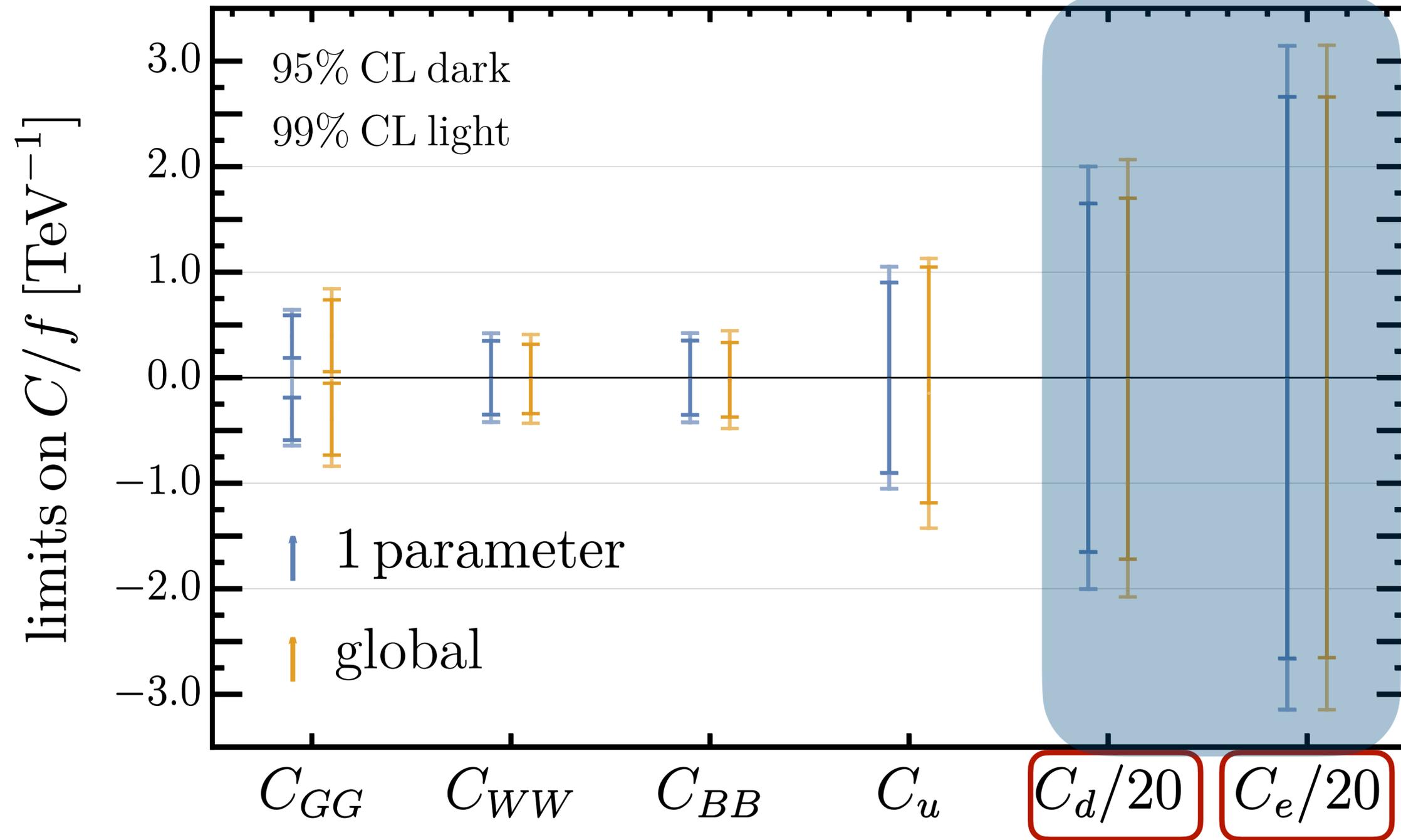
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Interplay between couplings is relatively small

A global analysis



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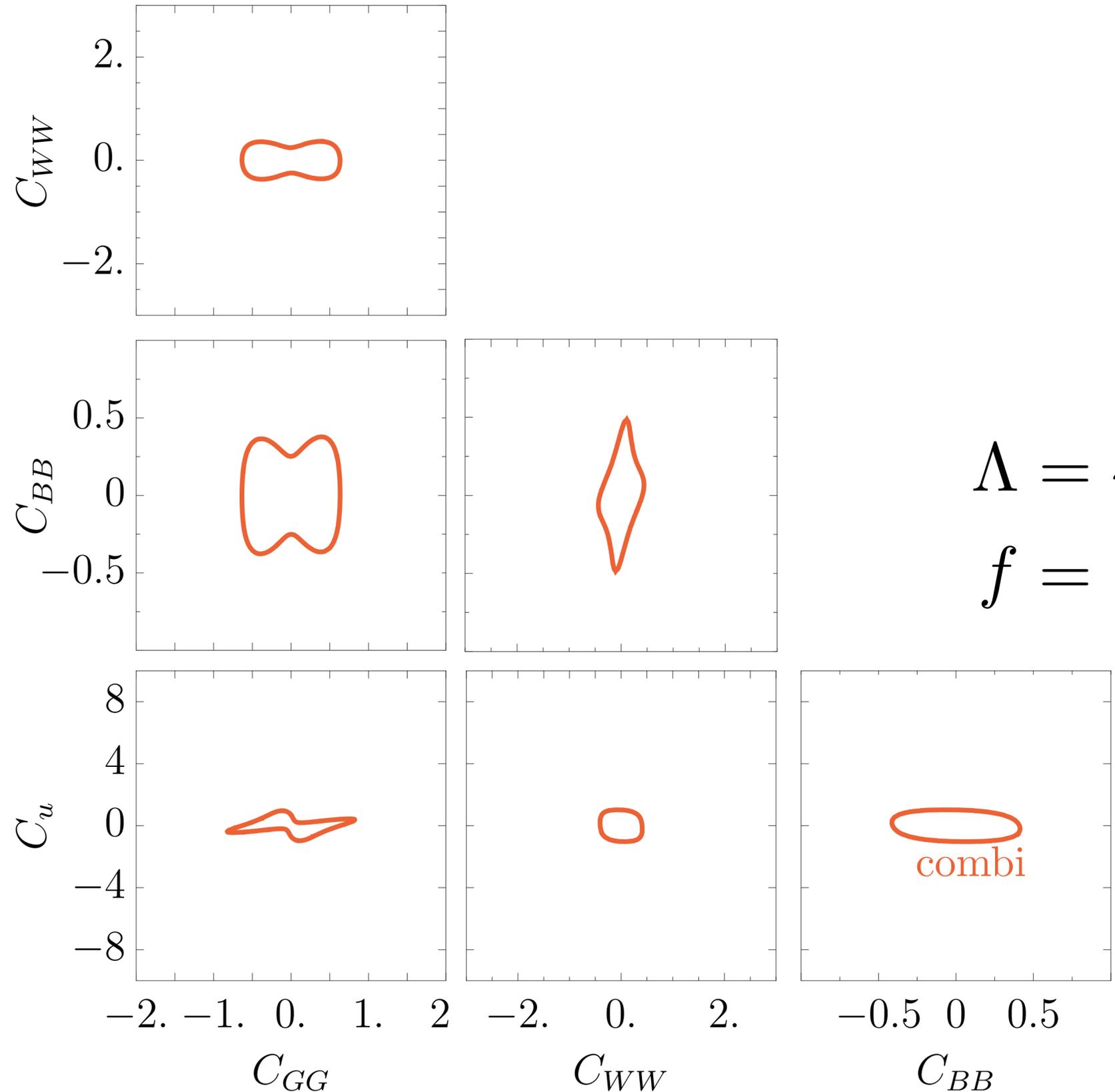
couplings for $f = 1$ TeV

Interplay between couplings is relatively small

Correlations

Dominant constraints

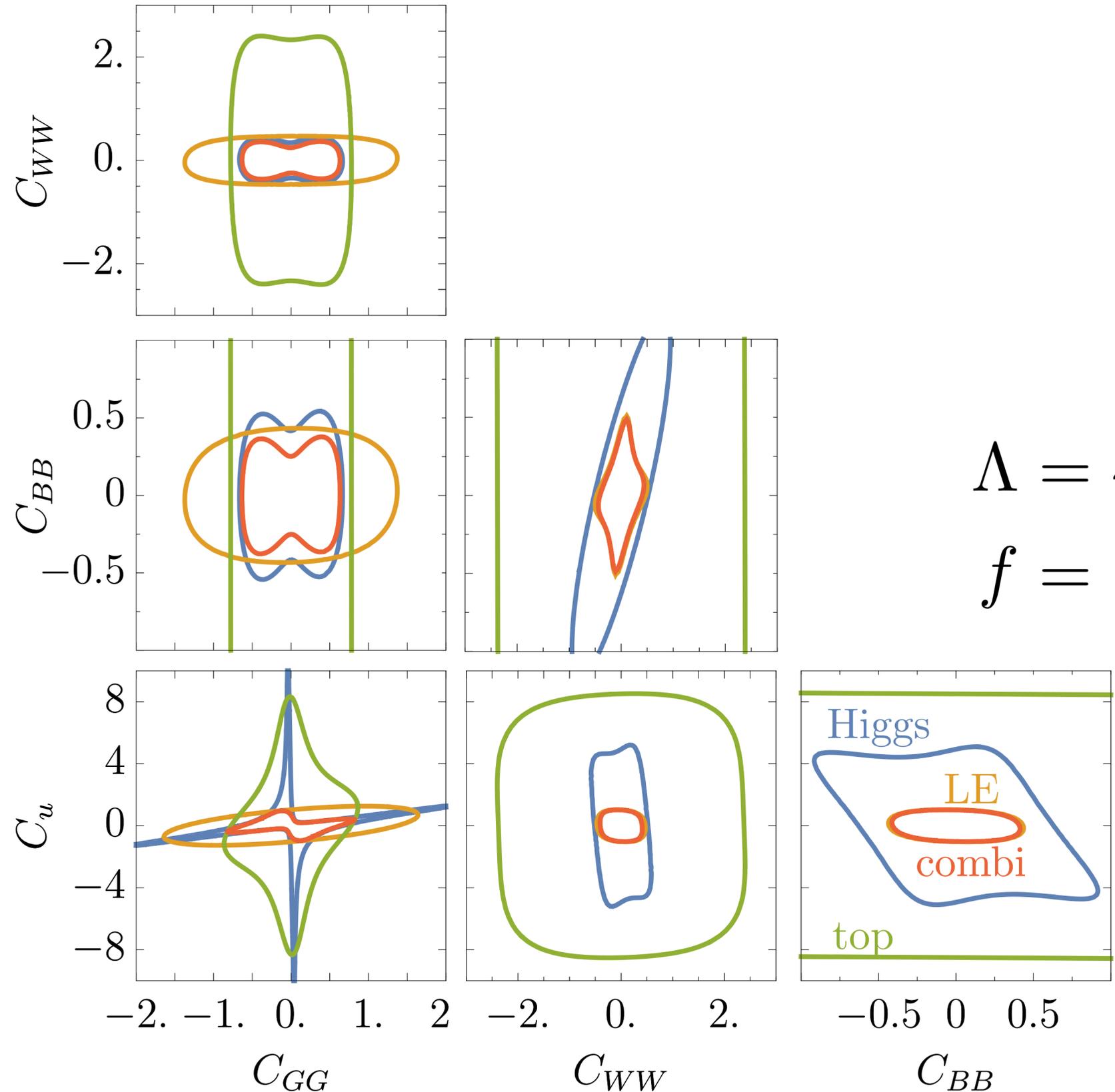
- C_{GG} : Higgs + Top
- C_{WW} : LE + Higgs
- C_{BB} : low energy
- C_u : low energy
- C_d : low energy
- C_e : low energy



Correlations

Dominant constraints

- C_{GG} : Higgs + Top
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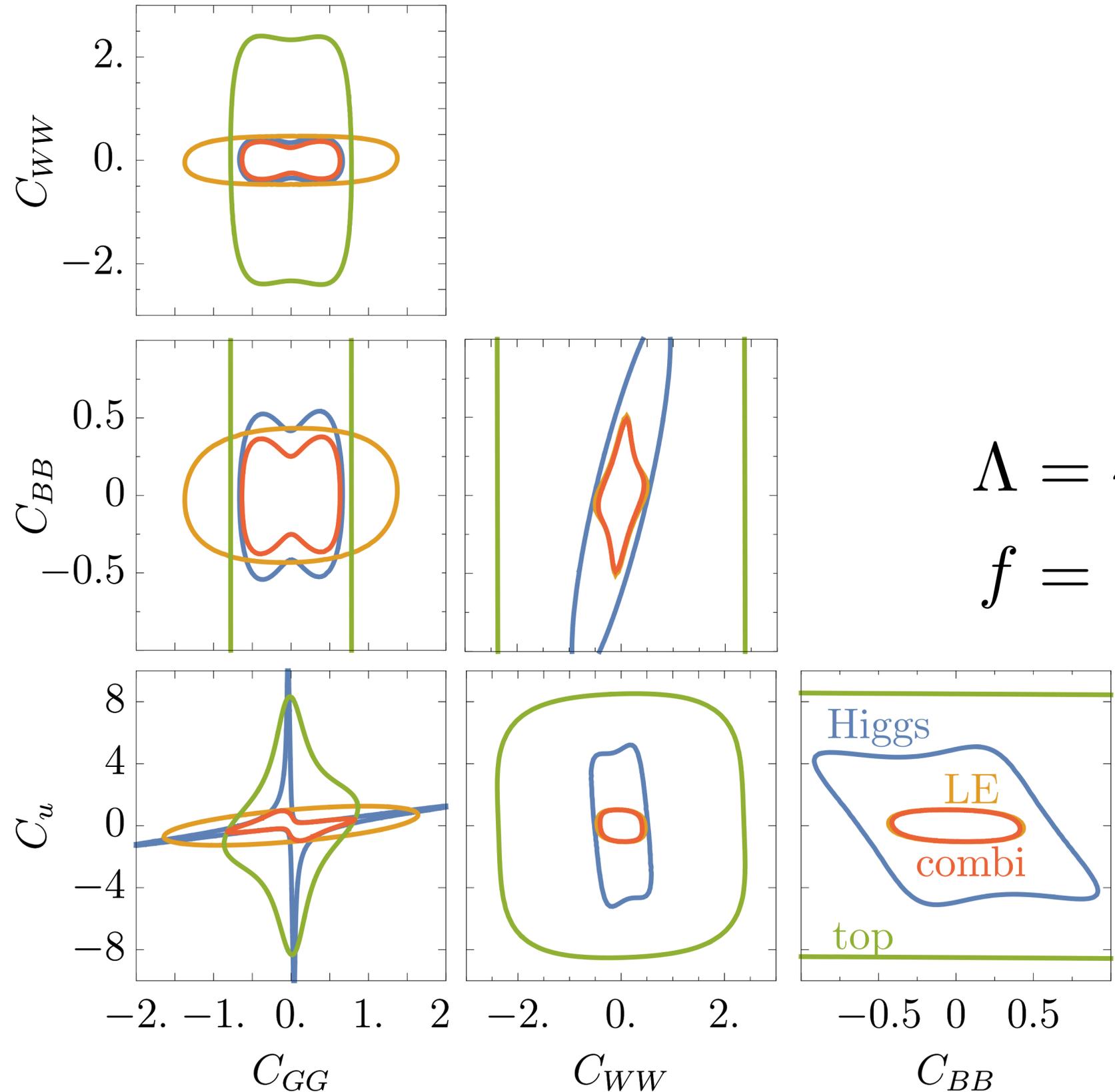
$$f = 1 \text{ TeV}$$

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Why?



$$\Lambda = 4\pi f$$

$$f = 1 \text{ TeV}$$

LL approximation - C_u

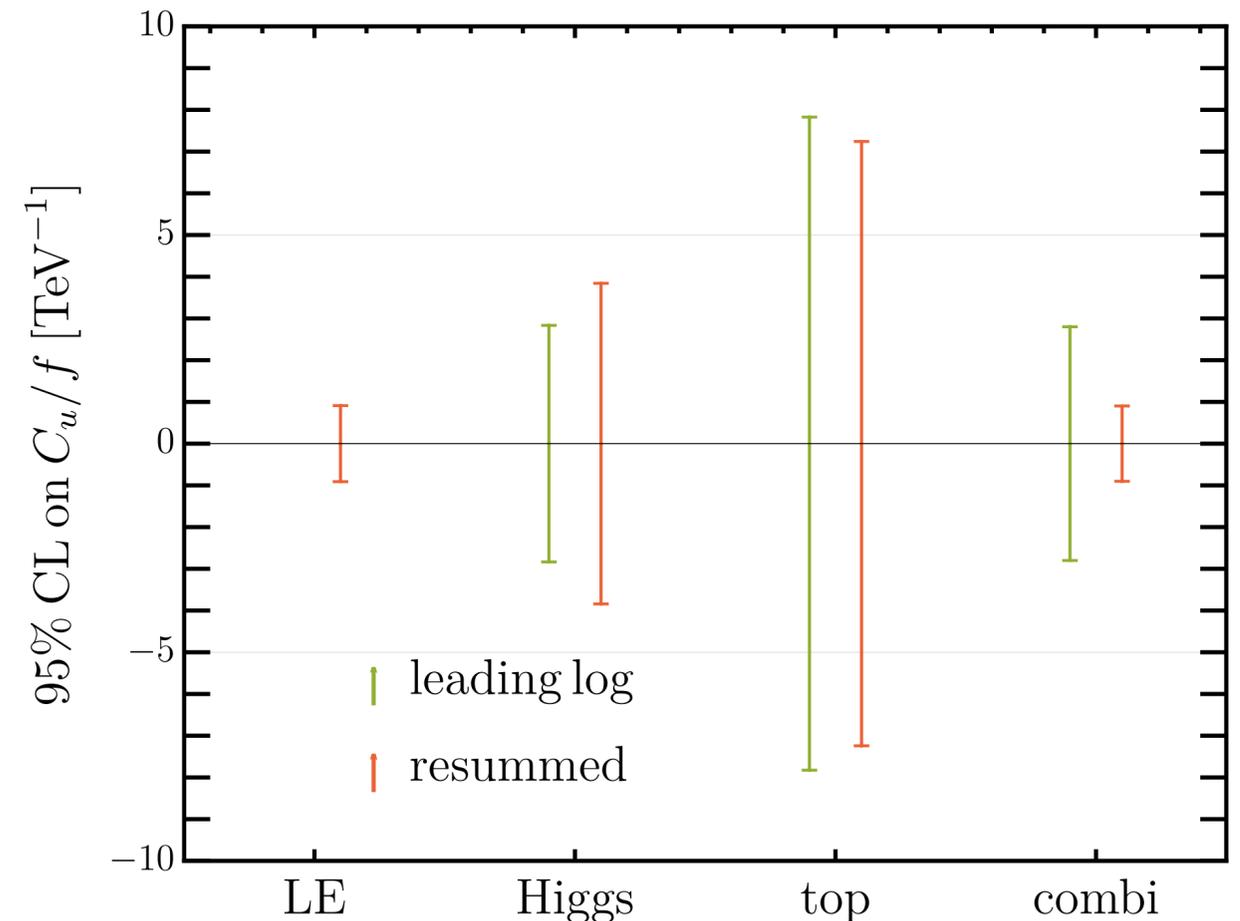
$$\frac{d}{d \ln \mu} C_{HD} = \left(\frac{3 \alpha_t}{\pi} + \frac{3 \lambda}{8 \pi^2} \right) C_{HD} + \frac{6 \alpha_t}{\pi} [C_{Hq}^{(1)}]_{33} - \frac{6 \alpha_t}{\pi} [C_{Hu}]_{33}$$

$$\frac{d}{d \ln \mu} [C_{Hq}^{(1)}]_{33} = -\pi \alpha_t C_u^2 + \dots$$

$$\frac{d}{d \ln \mu} [C_{Hu}]_{33} = 2\pi \alpha_t C_u^2 + \dots$$

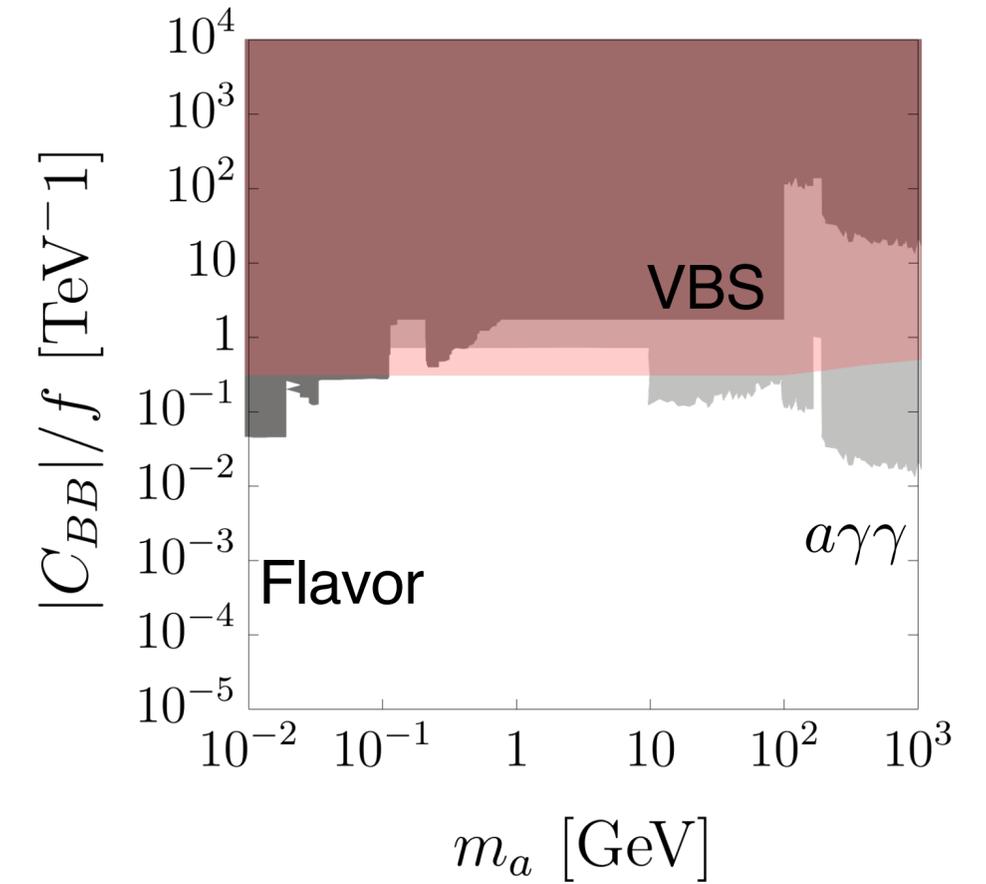
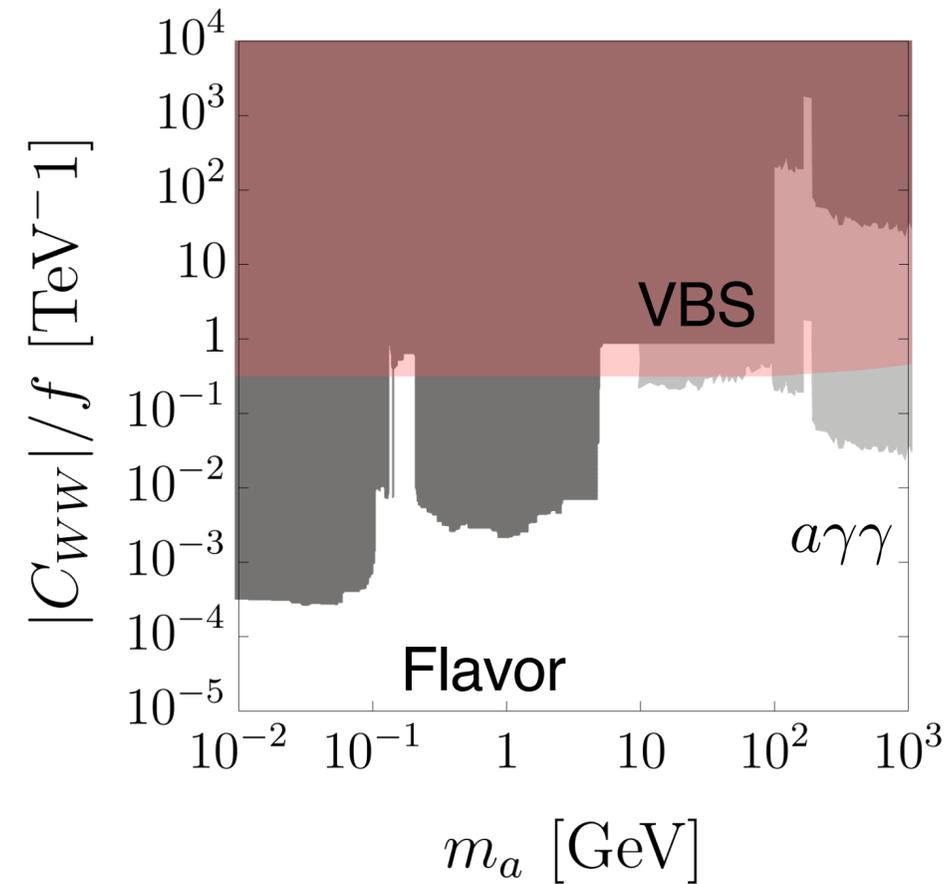
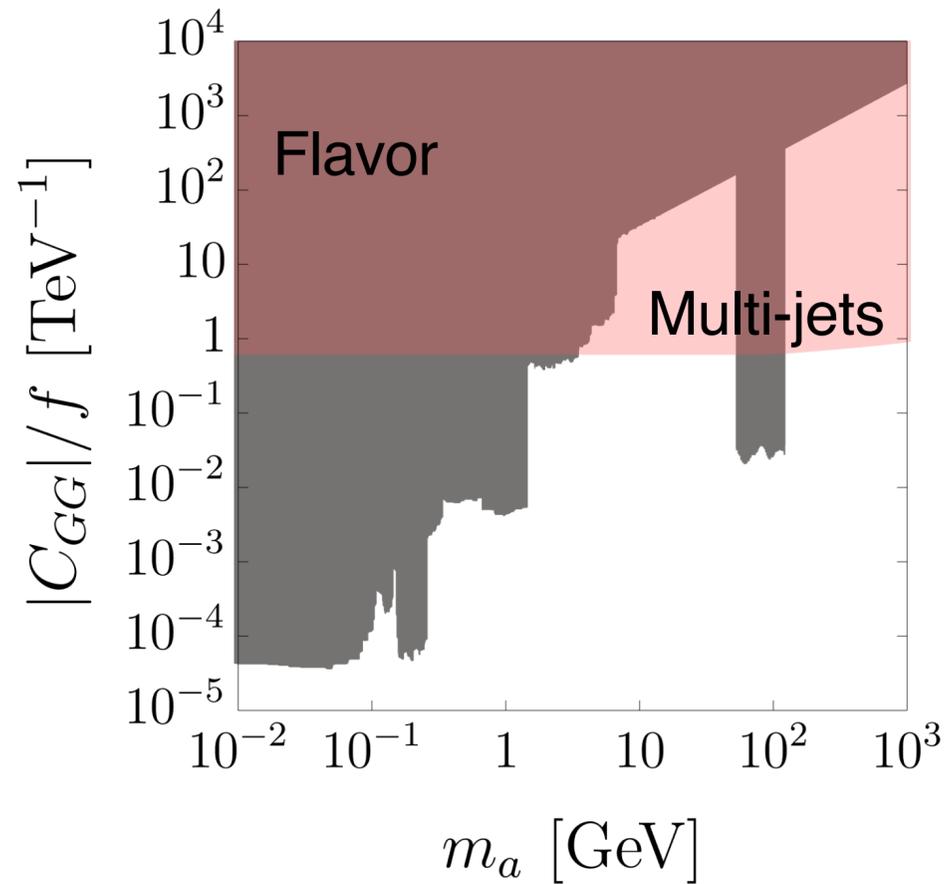
$$C_{HD}(\mu) = -9 \alpha_t^2 C_u^2 \ln^2 \frac{\mu}{\Lambda}$$

CHD strongly constrained from EWPO
(measurement of W boson mass)



Comparison with direct bounds

Light gray bounds with additional assumptions



[Mariotti, Redigolo, Sala, Tobiok ([1710.01743](#))]

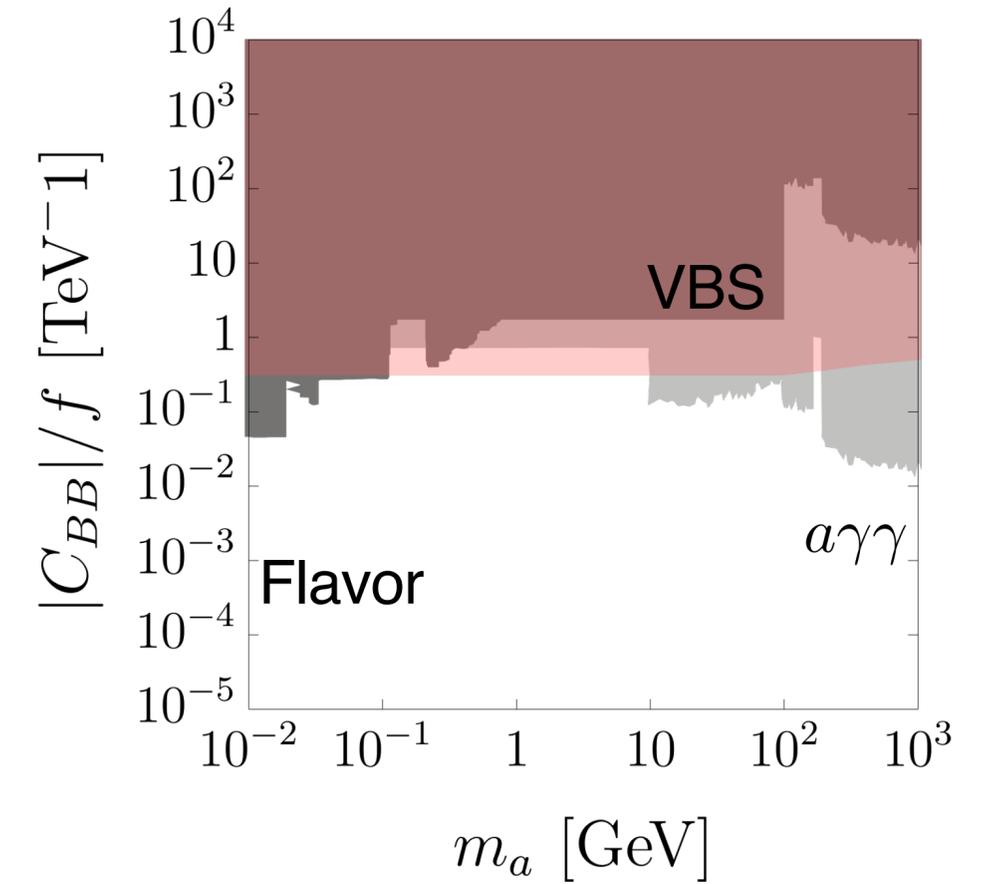
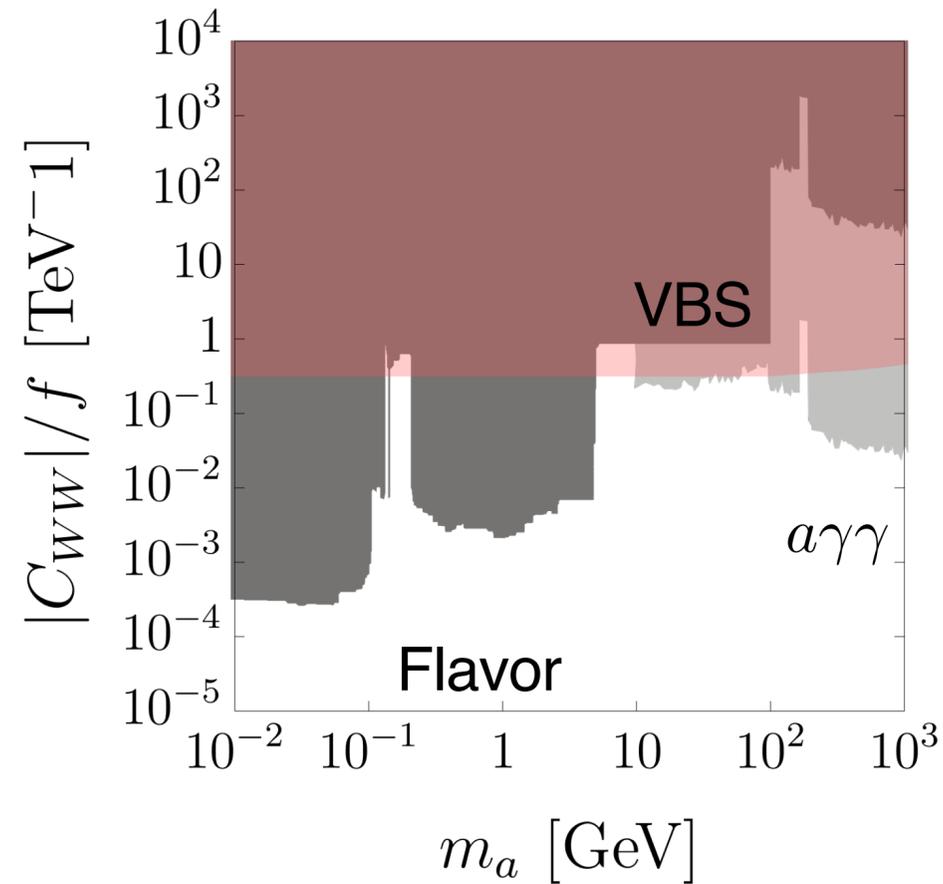
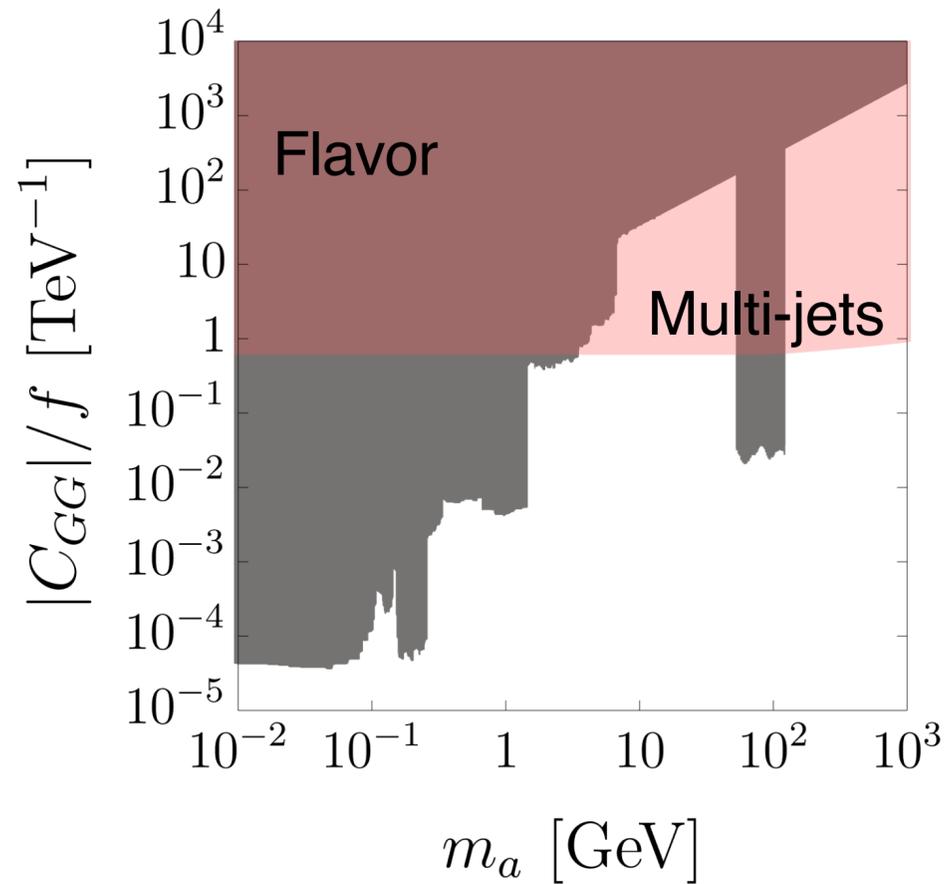
[Bonilla, Brivio, Machado-Rodríguez, de Trocóniz ([2202.03450](#))]

[Bauer, Neubert, Thamm ([1708.00443](#))]

[Bauer, Neubert, Renner, Schnubel, Thamm ([2110.10698](#))]

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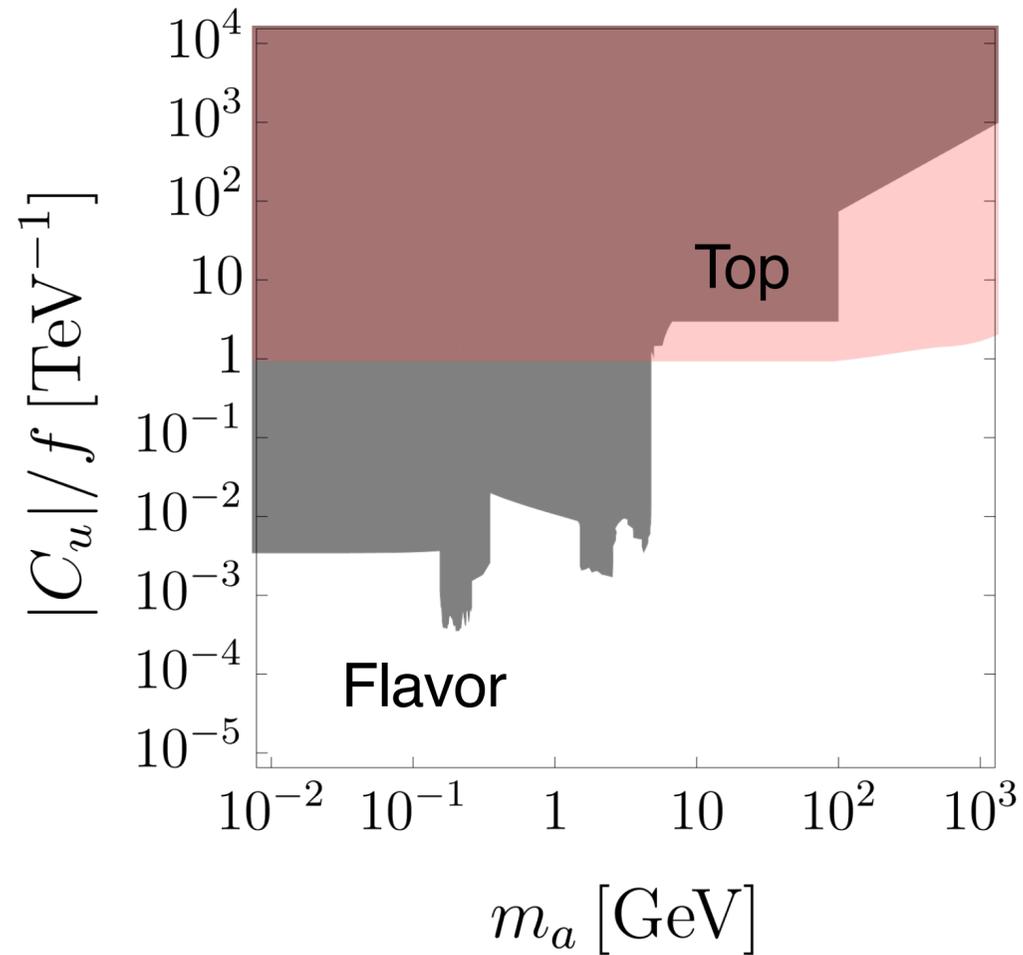
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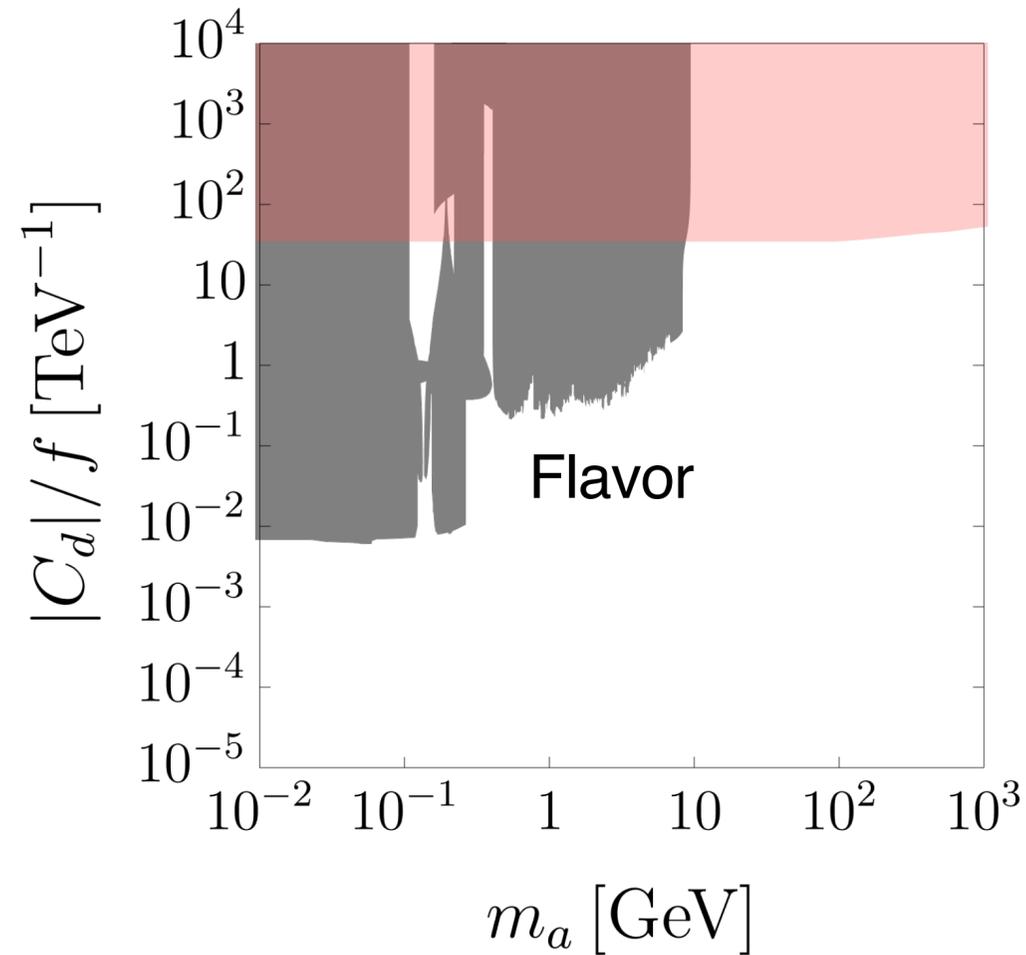
[Bauer, Neubert, Renner, Schnubel, Thamm ([2110.10698](#))]

ALP-SMEFT interference
tests unconstrained parameter
space

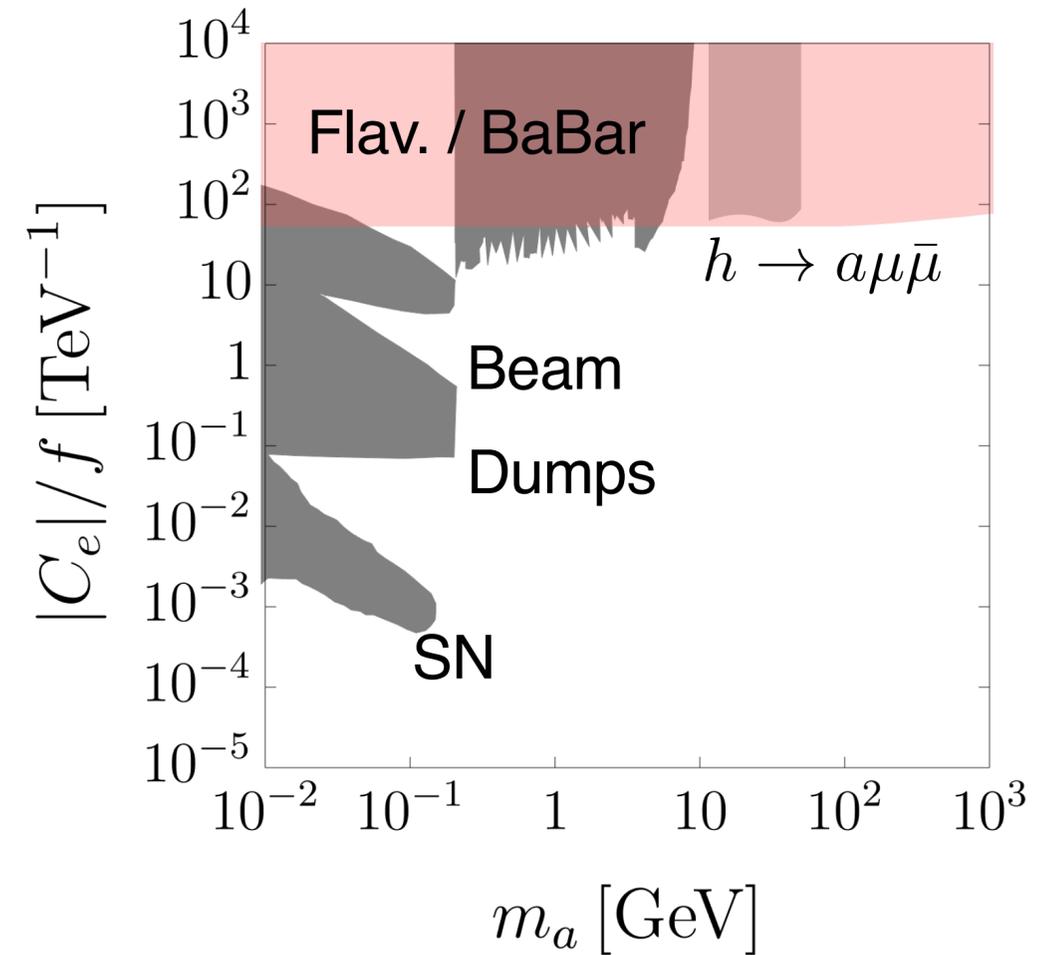
Comparison with direct bounds - fermions



[Esser, Madigan, Sanz, Ubiali ([2303.17634](#))]



[Bauer, Neubert, Renner, Schnubel, Thamm ([2110.10698](#))]



[BaBar ([1406.2980](#))]

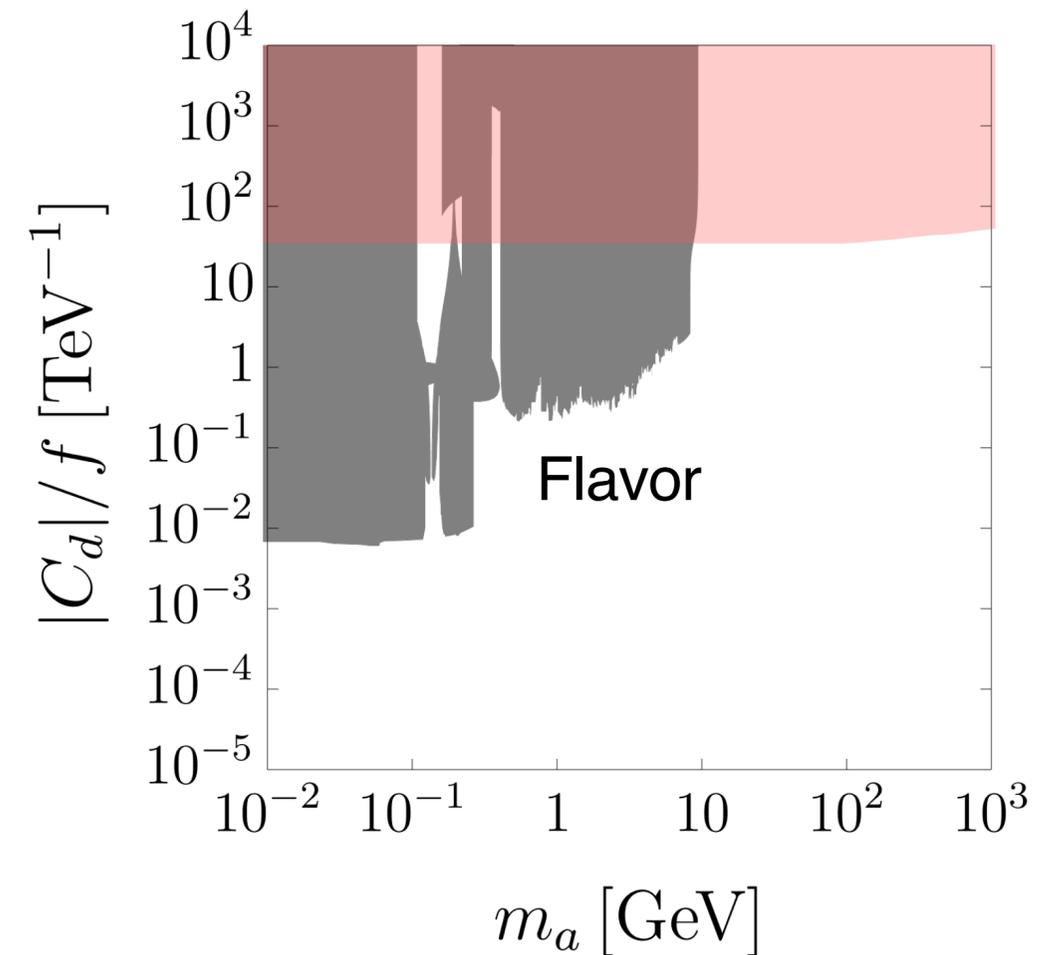
[AB, Chala, Spannowski ([2203.14984](#))]

[Lucente, Carena ([2107.12393](#))]

[Essig, Harnik, Kaplan, Toro ([1008.0636](#))]

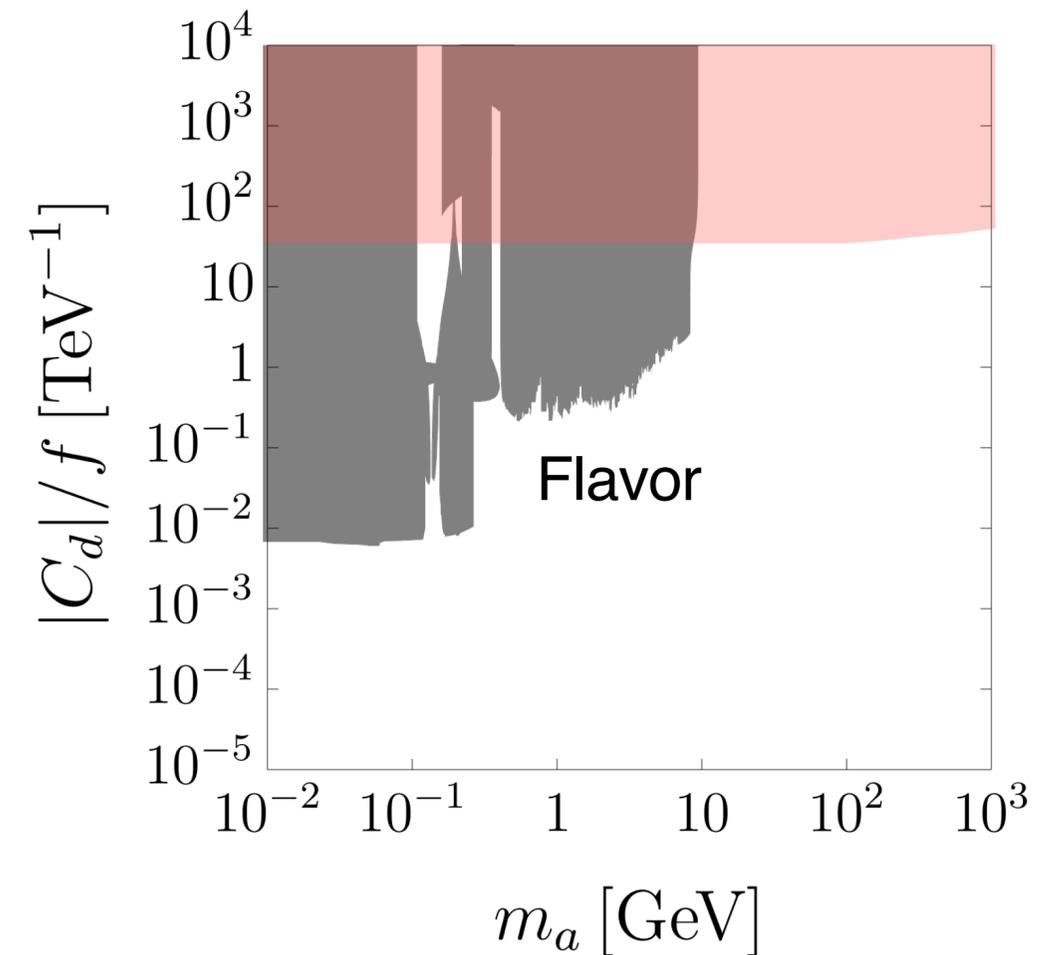
Conclusions

- (Almost) **mass-independent** bounds on ALP couplings
- Interesting reuse of (Higgs) SMEFT analyses
- Complementary with direct bounds and competitive for high ALP masses
- Backup: interpretation in terms of UV models



Conclusions

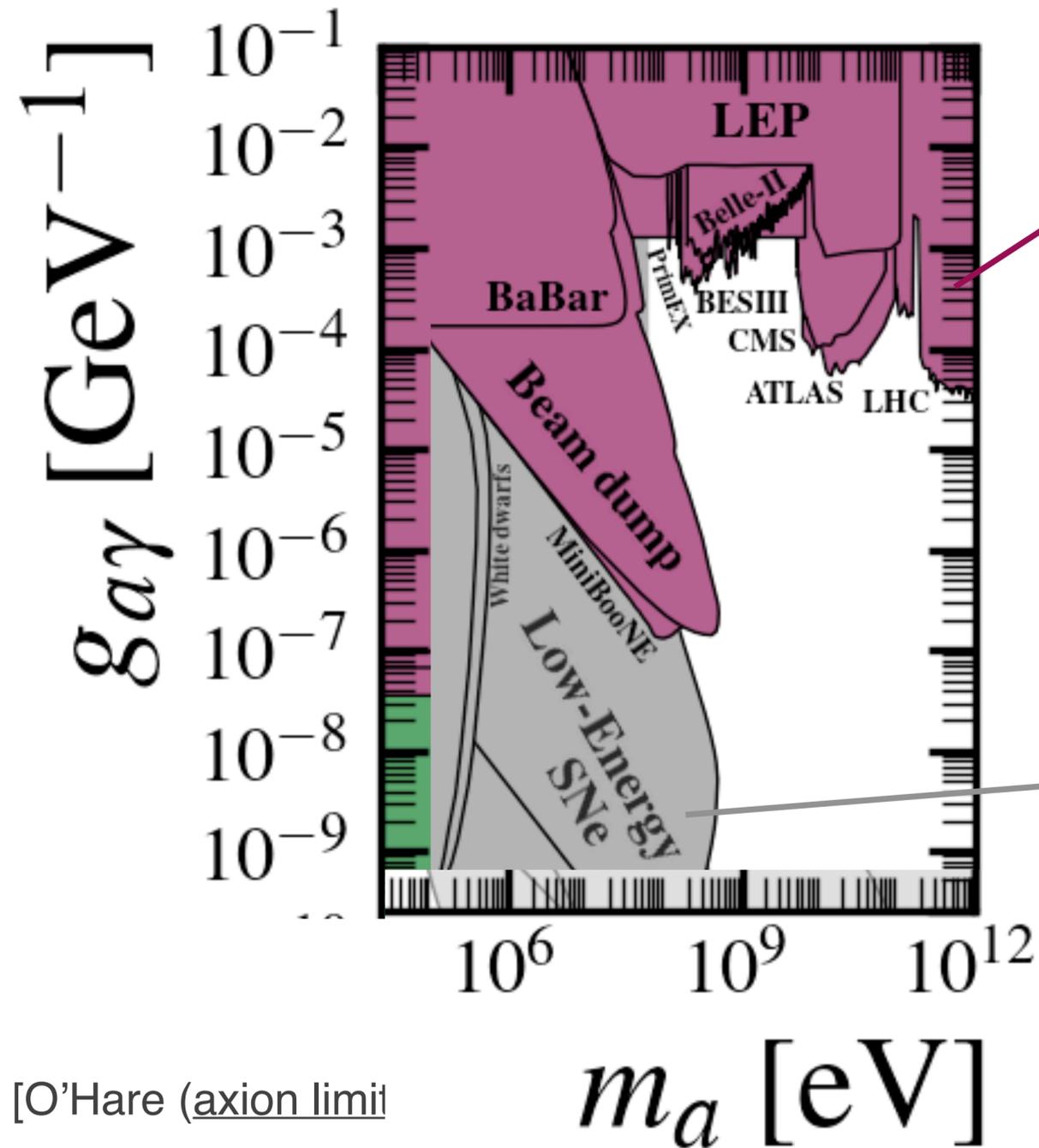
- (Almost) mass-independent bounds on ALP couplings
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- Backup: interpretation in terms of UV models



Thank you for your attention!

Backup

2D ALP bounds



[O'Hare (axion limit

LHC limits

$$pp \rightarrow a \rightarrow \gamma\gamma$$

Mass-dependent (resonance search)

Assuming $\text{BR}(a \rightarrow \gamma\gamma) = 100\%$

$\text{BR}(a \rightarrow ZZ)?$

$\text{BR}(a \rightarrow Z\gamma)?$

Supernova limits

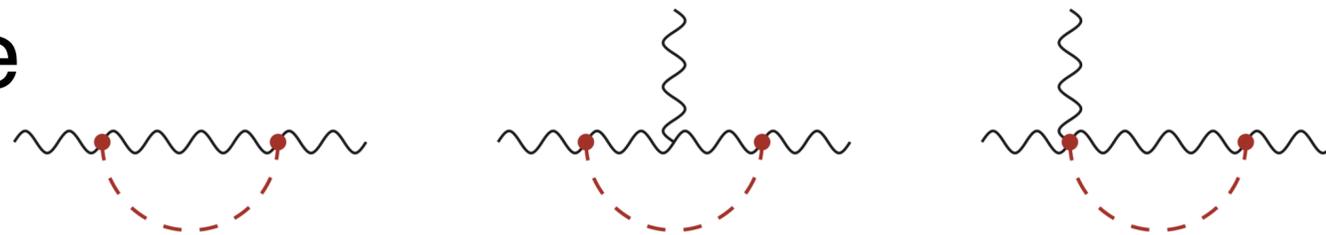
Can be changed (or invalidated) if
 $a \rightarrow e^+e^-$ decay possible

ALP-SMEFT interference

[Galda, Neubert, Renner ([2105.01078](#))]

Virtual ALP exchanges contribute to (almost) all D6 SMEFT operators

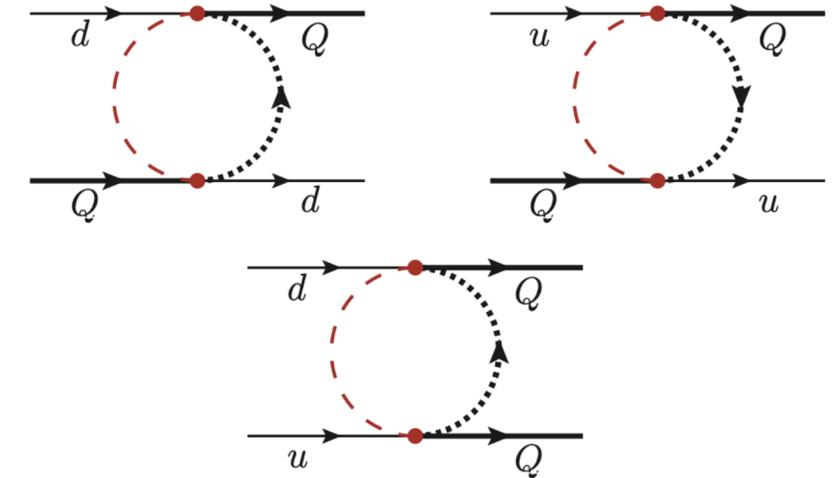
Gauge



Gauge-Higgs



Fermion-Higgs



Four-fermion

Operator Q	Source Term D
Q_G	$g_3 f^{abc} G_\mu^{\nu,a} G_\nu^{\rho,b} G_\rho^{\mu,c}$
$Q_{\bar{G}}$	$g_3 f^{abc} \bar{G}_\mu^{\nu,a} \bar{G}_\nu^{\rho,b} \bar{G}_\rho^{\mu,c}$
Q_W	$g_2 \epsilon^{IJK} W_\mu^{\nu,I} W_\nu^{\rho,J} W_\rho^{\mu,K}$
$Q_{\bar{W}}$	$g_2 \epsilon^{IJK} \bar{W}_\mu^{\nu,I} \bar{W}_\nu^{\rho,J} \bar{W}_\rho^{\mu,K}$
$Q_{\phi G}$	$g_3^2 \phi^\dagger \phi G_{\mu\nu}^a G^{\mu\nu,a}$
$Q_{\phi \bar{G}}$	$g_3^2 \phi^\dagger \phi \bar{G}_{\mu\nu}^a G^{\mu\nu,a}$
$Q_{\phi W}$	$g_2^2 \phi^\dagger \phi W_{\mu\nu}^I W^{\mu\nu,I}$
$Q_{\phi \bar{W}}$	$g_2^2 \phi^\dagger \phi \bar{W}_{\mu\nu}^I W^{\mu\nu,I}$
$Q_{\phi B}$	$g_1^2 \phi^\dagger \phi B_{\mu\nu} B^{\mu\nu}$
$Q_{\phi \bar{B}}$	$g_1^2 \phi^\dagger \phi \bar{B}_{\mu\nu} B^{\mu\nu}$
$Q_{\phi WB}$	$g_1 g_2 \phi^\dagger \phi W_{\mu\nu}^I B^{\mu\nu}$
$Q_{\phi \bar{W}B}$	$g_1 g_2 \phi^\dagger \phi \bar{W}_{\mu\nu}^I B^{\mu\nu}$
$Q_{\phi \square}$	$(\phi^\dagger \phi) \square (\phi^\dagger \phi)$
$Q_{\Delta D}$	$(\phi^\dagger D_\mu \phi)^* (\phi^\dagger D^\mu \phi)$

Operator Q	Source Term D
Q_{eW}_{ij}	$g_2 (\bar{L}_L^i \sigma^{\mu\nu} e_R^j) \tau^I \phi W_{\mu\nu}^I$
Q_{eB}_{ij}	$g_1 (\bar{L}_L^i \sigma^{\mu\nu} e_R^j) \phi B_{\mu\nu}$
Q_{uG}_{ij}	$g_3 (\bar{Q}_L^i \sigma^{\mu\nu} t^a u_R^j) \phi G_{\mu\nu}^a$
Q_{uW}_{ij}	$g_2 (\bar{Q}_L^i \sigma^{\mu\nu} u_R^j) \tau^I \phi W_{\mu\nu}^I$
Q_{uB}_{ij}	$g_1 (\bar{Q}_L^i \sigma^{\mu\nu} u_R^j) \phi B_{\mu\nu}$
Q_{dG}_{ij}	$g_3 (\bar{Q}_L^i \sigma^{\mu\nu} t^a d_R^j) \phi G_{\mu\nu}^a$
Q_{dW}_{ij}	$g_2 (\bar{Q}_L^i \sigma^{\mu\nu} d_R^j) \tau^I \phi W_{\mu\nu}^I$
Q_{dB}_{ij}	$g_1 (\bar{Q}_L^i \sigma^{\mu\nu} d_R^j) \phi B_{\mu\nu}$
$Q_{e\phi}_{ij}$	$(\phi^\dagger \phi) (\bar{L}_L^i e_R^j \phi)$
$Q_{u\phi}_{ij}$	$(\phi^\dagger \phi) (\bar{Q}_L^i u_R^j \phi)$
$Q_{d\phi}_{ij}$	$(\phi^\dagger \phi) (\bar{Q}_L^i d_R^j \phi)$
$Q_{\phi L}_{ij}^{(1)}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{L}_L^i \gamma^\mu L_L^j)$
$Q_{\phi L}_{ij}^{(3)}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu^I \phi) (\bar{L}_L^i \sigma^I \gamma^\mu L_L^j)$
$Q_{\phi e}_{ij}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{e}_R^i \gamma^\mu e_R^j)$
$Q_{\phi Q}_{ij}^{(1)}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{Q}_L^i \gamma^\mu Q_L^j)$
$Q_{\phi Q}_{ij}^{(3)}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu^I \phi) (\bar{Q}_L^i \sigma^I \gamma^\mu Q_L^j)$
$Q_{\phi u}_{ij}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{u}_R^i \gamma^\mu u_R^j)$
$Q_{\phi d}_{ij}$	$(\phi^\dagger i \overleftrightarrow{D}_\mu \phi) (\bar{d}_R^i \gamma^\mu d_R^j)$
$Q_{\phi u} + \text{h.c.}_{ij}$	$i (\phi^\dagger D_\mu \phi) (\bar{u}_R^i \gamma^\mu d_R^j)$

Operator Q	Source Term D
Q_{LL}_{ijkl}	$(\bar{L}_L^i \gamma_\mu L_L^j) (\bar{L}_L^k \gamma^\mu L_L^l)$
$Q_{QQ}_{ijkl}^{(1)}$	$(\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{Q}_L^k \gamma^\mu Q_L^l)$
$Q_{QQ}_{ijkl}^{(3)}$	$(\bar{Q}_L^i \gamma_\mu \sigma^I Q_L^j) (\bar{Q}_L^k \gamma^\mu \sigma^I Q_L^l)$
$Q_{LQ}_{ijkl}^{(1)}$	$(\bar{L}_L^i \gamma_\mu L_L^j) (\bar{Q}_L^k \gamma^\mu Q_L^l)$
$Q_{LQ}_{ijkl}^{(3)}$	$(\bar{L}_L^i \gamma_\mu \sigma^I L_L^j) (\bar{Q}_L^k \gamma^\mu \sigma^I Q_L^l)$
Q_{ee}_{ijkl}	$(\bar{e}_R^i \gamma_\mu e_R^j) (\bar{e}_R^k \gamma^\mu e_R^l)$
Q_{uu}_{ijkl}	$(\bar{u}_R^i \gamma_\mu u_R^j) (\bar{u}_R^k \gamma^\mu u_R^l)$
Q_{dd}_{ijkl}	$(\bar{d}_R^i \gamma_\mu d_R^j) (\bar{d}_R^k \gamma^\mu d_R^l)$
Q_{eu}_{ijkl}	$(\bar{e}_R^i \gamma_\mu e_R^j) (\bar{u}_R^k \gamma^\mu u_R^l)$
Q_{ed}_{ijkl}	$(\bar{e}_R^i \gamma_\mu e_R^j) (\bar{d}_R^k \gamma^\mu d_R^l)$
$Q_{ud}_{ijkl}^{(1)}$	$(\bar{u}_R^i \gamma_\mu u_R^j) (\bar{d}_R^k \gamma^\mu d_R^l)$
$Q_{ud}_{ijkl}^{(8)}$	$(\bar{u}_R^i \gamma_\mu t^a u_R^j) (\bar{d}_R^k \gamma^\mu t^a d_R^l)$
Q_{Le}_{ijkl}	$(\bar{L}_L^i \gamma_\mu L_L^j) (\bar{e}_R^k \gamma^\mu e_R^l)$
Q_{Lu}_{ijkl}	$(\bar{L}_L^i \gamma_\mu L_L^j) (\bar{u}_R^k \gamma^\mu u_R^l)$
Q_{Ld}_{ijkl}	$(\bar{L}_L^i \gamma_\mu L_L^j) (\bar{d}_R^k \gamma^\mu d_R^l)$
Q_{Qe}_{ijkl}	$(\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{e}_R^k \gamma^\mu e_R^l)$
$Q_{Qu}_{ijkl}^{(1)}$	$(\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{u}_R^k \gamma^\mu u_R^l)$
$Q_{Qu}_{ijkl}^{(8)}$	$(\bar{Q}_L^i \gamma_\mu t^a Q_L^j) (\bar{u}_R^k \gamma^\mu t^a u_R^l)$
$Q_{Qd}_{ijkl}^{(1)}$	$(\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{d}_R^k \gamma^\mu d_R^l)$
$Q_{Qu}_{ijkl}^{(8)}$	$(\bar{Q}_L^i \gamma_\mu t^a Q_L^j) (\bar{d}_R^k \gamma^\mu t^a d_R^l)$

Operator Q	Source Term D
Q_{LedQ}_{ijkl}	$(\bar{L}_L^i e_R^j) (\bar{d}_R^k Q_L^l)$
$Q_{QuQd}_{ijkl}^{(1)}$	$(\bar{Q}_L^i, m u_R^j) \epsilon_{mn} (\bar{Q}_L^k, n d_R^l)$
$Q_{QuQd}_{ijkl}^{(8)}$	$(\bar{Q}_L^i, m t^a u_R^j) \epsilon_{mn} (\bar{Q}_L^k, n t^a d_R^l)$
$Q_{LeQu}_{ijkl}^{(1)}$	$(\bar{L}_L^i, m e_R^j) \epsilon_{mn} (\bar{Q}_L^k, n u_R^l)$
$Q_{LeQu}_{ijkl}^{(3)}$	$(\bar{L}_L^i, m \sigma_{\mu\nu} e_R^j) \epsilon_{mn} (\bar{Q}_L^k, n \sigma^{\mu\nu} u_R^l)$

Nearly the whole Warsaw basis is sourced by the ALP at one-loop order!

Operator Q	Source Term D
Q_G	$g_3 f^{abc} G_{\mu}^{\nu,a} G_{\nu}^{\rho,b} G_{\rho}^{\mu,c}$
$Q_{\tilde{G}}$	$g_3 f^{abc} \tilde{G}_{\mu}^{\nu,a} G_{\nu}^{\rho,b} G_{\rho}^{\mu,c}$
Q_W	$g_2 \epsilon^{IJK} W_{\mu}^{\nu,I} W_{\nu}^{\rho,J} W_{\rho}^{\mu,K}$
$Q_{\tilde{W}}$	$g_2 \epsilon^{IJK} \tilde{W}_{\mu}^{\nu,I} W_{\nu}^{\rho,J} W_{\rho}^{\mu,K}$
$Q_{\phi G}$	$g_3^2 \phi^\dagger \phi G_{\mu\nu}^a G^{\mu\nu,a}$
$Q_{\phi \tilde{G}}$	$g_3^2 \phi^\dagger \phi \tilde{G}_{\mu\nu}^a G^{\mu\nu,a}$
$Q_{\phi W}$	$g_2^2 \phi^\dagger \phi W_{\mu\nu}^I W^{\mu\nu,I}$
$Q_{\phi \tilde{W}}$	$g_2^2 \phi^\dagger \phi \tilde{W}_{\mu\nu}^I W^{\mu\nu,I}$
$Q_{\phi B}$	$g_1^2 \phi^\dagger \phi B_{\mu\nu} B^{\mu\nu}$
$Q_{\phi \tilde{B}}$	$g_1^2 \phi^\dagger \phi \tilde{B}_{\mu\nu} B^{\mu\nu}$
$Q_{\phi WB}$	$g_1 g_2 \phi^\dagger \phi W_{\mu\nu}^I B^{\mu\nu}$
$Q_{\phi \tilde{W}B}$	$g_1 g_2 \phi^\dagger \phi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$
$Q_{\phi \square}$	$(\phi^\dagger \phi) \square (\phi^\dagger \phi)$
$Q_{\Delta \Gamma}$	$(\phi^\dagger D_{\mu} \phi)^* (\phi^\dagger D^{\mu} \phi)$

Operator Q	Source Term D
Q_{LL}^{ijkl}	$(\bar{L}_L^i \gamma_{\mu} L_L^j) (\bar{L}_L^k \gamma^{\mu} L_L^l)$
$Q_{QQ}^{ijkl(1)}$	$(\bar{Q}_L^i \gamma_{\mu} Q_L^j) (\bar{Q}_L^k \gamma^{\mu} Q_L^l)$
$Q_{QQ}^{ijkl(3)}$	$(\bar{Q}_L^i \gamma_{\mu} \sigma^I Q_L^j) (\bar{Q}_L^k \gamma^{\mu} \sigma^I Q_L^l)$
$Q_{LQ}^{ijkl(1)}$	$(\bar{L}_L^i \gamma_{\mu} L_L^j) (\bar{Q}_L^k \gamma^{\mu} Q_L^l)$
$Q_{LQ}^{ijkl(3)}$	$(\bar{L}_L^i \gamma_{\mu} \sigma^I L_L^j) (\bar{Q}_L^k \gamma^{\mu} \sigma^I Q_L^l)$
Q_{ee}^{ijkl}	$(\bar{e}_R^i \gamma_{\mu} e_R^j) (\bar{e}_R^k \gamma^{\mu} e_R^l)$
Q_{uu}^{ijkl}	$(\bar{u}_R^i \gamma_{\mu} u_R^j) (\bar{u}_R^k \gamma^{\mu} u_R^l)$
Q_{dd}^{ijkl}	$(\bar{d}_R^i \gamma_{\mu} d_R^j) (\bar{d}_R^k \gamma^{\mu} d_R^l)$
Q_{eu}^{ijkl}	$(\bar{e}_R^i \gamma_{\mu} e_R^j) (\bar{u}_R^k \gamma^{\mu} u_R^l)$

Can we use SMEFT constraints to obtain mass-independent constraints on the ALP Wilson coefficients?

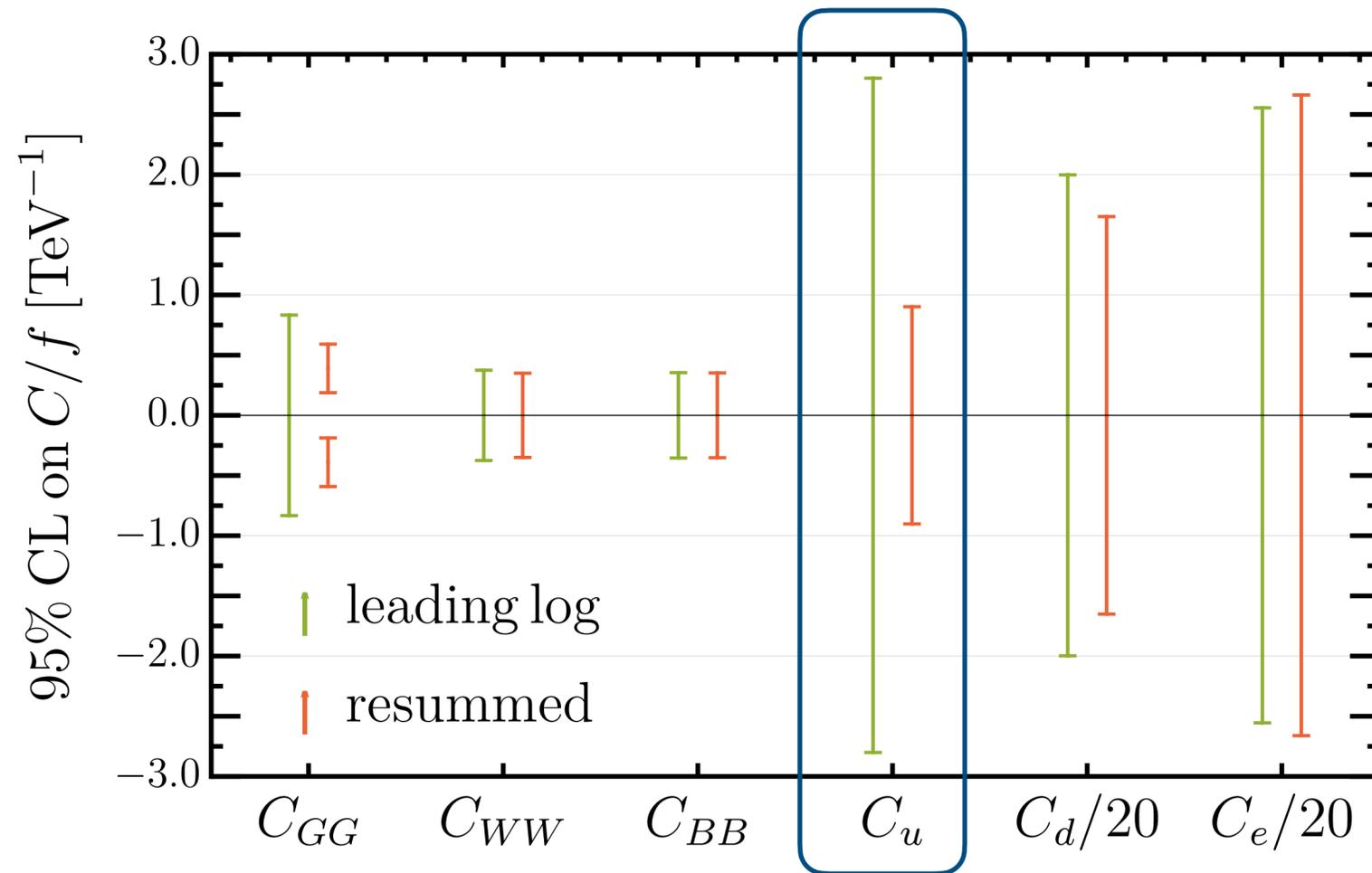
Operator Q	Source Term D
Q_{eW}^{ij}	$g_2 (\bar{L}_L^i \sigma^{\mu\nu} e_R^j) \tau^I \phi W_{\mu\nu}^I$
Q_{eB}^{ij}	$g_1 (\bar{L}_L^i \sigma^{\mu\nu} e_R^j) \phi B_{\mu\nu}$
Q_{uG}^{ij}	$g_3 (\bar{Q}_L^i \sigma^{\mu\nu} t^a u_R^j) \phi G_{\mu\nu}^a$
Q_{uW}^{ij}	$g_2 (\bar{Q}_L^i \sigma^{\mu\nu} u_R^j) \tau^I \phi W_{\mu\nu}^I$
Q_{uB}^{ij}	$g_1 (\bar{Q}_L^i \sigma^{\mu\nu} u_R^j) \phi B_{\mu\nu}$
Q_{dG}^{ij}	$g_3 (\bar{Q}_L^i \sigma^{\mu\nu} t^a d_R^j) \phi G_{\mu\nu}^a$
Q_{dW}^{ij}	$g_2 (\bar{Q}_L^i \sigma^{\mu\nu} d_R^j) \tau^I \phi W_{\mu\nu}^I$
Q_{dB}^{ij}	$g_1 (\bar{Q}_L^i \sigma^{\mu\nu} d_R^j) \phi B_{\mu\nu}$
$Q_{e\phi}^{ij}$	$(\phi^\dagger \phi) (\bar{L}_L^i e_R^j)$
$Q_{u\phi}^{ij}$	$(\phi^\dagger \phi) (\bar{Q}_L^i u_R^j)$
$Q_{d\phi}^{ij}$	$(\phi^\dagger \phi) (\bar{Q}_L^i d_R^j)$
$Q_{\phi L}^{ijkl(1)}$	$(\phi^\dagger i \overleftrightarrow{D}_{\mu} \phi) (\bar{L}_L^i \gamma^{\mu} L_L^j)$
$Q_{\phi L}^{ijkl(3)}$	$(\phi^\dagger i \overleftrightarrow{D}_{\mu}^I \phi) (\bar{L}_L^i \sigma^I \gamma^{\mu} L_L^j)$
$Q_{\phi e}^{ij}$	$(\phi^\dagger i \overleftrightarrow{D}_{\mu} \phi) (\bar{e}_R^i \gamma^{\mu} e_R^j)$
$Q_{\phi Q}^{ijkl(1)}$	$(\phi^\dagger i \overleftrightarrow{D}_{\mu} \phi) (\bar{Q}_L^i \gamma^{\mu} Q_L^j)$
$Q_{\phi Q}^{ijkl(3)}$	$(\phi^\dagger i \overleftrightarrow{D}_{\mu}^I \phi) (\bar{Q}_L^i \sigma^I \gamma^{\mu} Q_L^j)$
$Q_{\phi u}^{ij}$	$(\phi^\dagger i \overleftrightarrow{D}_{\mu} \phi) (\bar{u}_R^i \gamma^{\mu} u_R^j)$
$Q_{\phi d}^{ij}$	$(\phi^\dagger i \overleftrightarrow{D}_{\mu} \phi) (\bar{d}_R^i \gamma^{\mu} d_R^j)$
$Q_{\phi u} + \text{h.c.}$	$i (\phi^\dagger D_{\mu} \phi) (\bar{u}_R^i \gamma^{\mu} d_R^j)$

$Q_{Qd}^{ijkl(1)}$	$(\bar{Q}_L^i \gamma_{\mu} Q_L^j) (\bar{d}_R^k \gamma^{\mu} d_R^l)$	$\frac{1}{N_c}$
$Q_{Qu}^{ijkl(8)}$	$(\bar{Q}_L^i \gamma_{\mu} t^a Q_L^j) (\bar{d}_R^k \gamma^{\mu} t^a d_R^l)$	

Operator Q	Source Term D
Q_{LedQ}^{ijkl}	$(\bar{L}_L^i e_R^j) (\bar{d}_R^k Q_L^l)$
$Q_{QuQd}^{ijkl(1)}$	$(\bar{Q}_L^{i,m} u_R^j) \epsilon_{mn} (\bar{Q}_L^{k,n} d_R^l)$
$Q_{QuQd}^{ijkl(8)}$	$(\bar{Q}_L^{i,m} t^a u_R^j) \epsilon_{mn} (\bar{Q}_L^{k,n} t^a d_R^l)$
$Q_{LeQu}^{ijkl(1)}$	$(\bar{L}_L^i e_R^j) \epsilon_{mn} (\bar{Q}_L^{k,n} u_R^l)$
$Q_{LeQu}^{ijkl(3)}$	$(\bar{L}_L^i \sigma_{\mu\nu} e_R^j) \epsilon_{mn} (\bar{Q}_L^{k,n} \sigma^{\mu\nu} u_R^l)$

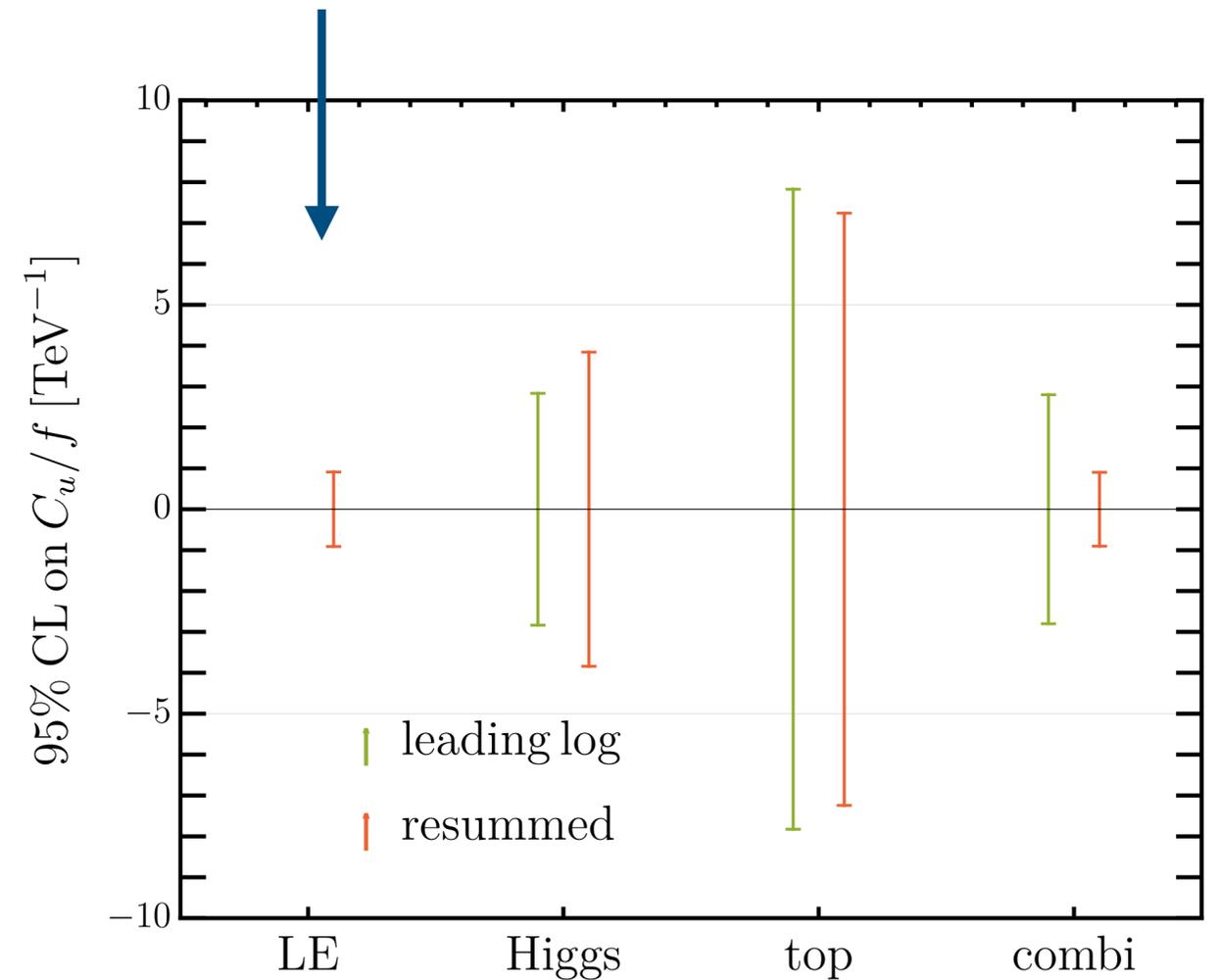
Nearly the whole Warsaw basis is sourced by the ALP at one-loop order!

LL approximation



$$C_i^{\text{SMEFT}}(\mu) \approx \frac{S_i}{(4\pi f)^2} \log\left(\frac{\mu}{\Lambda}\right)$$

Strongest bound from low energy
Absent at LL order



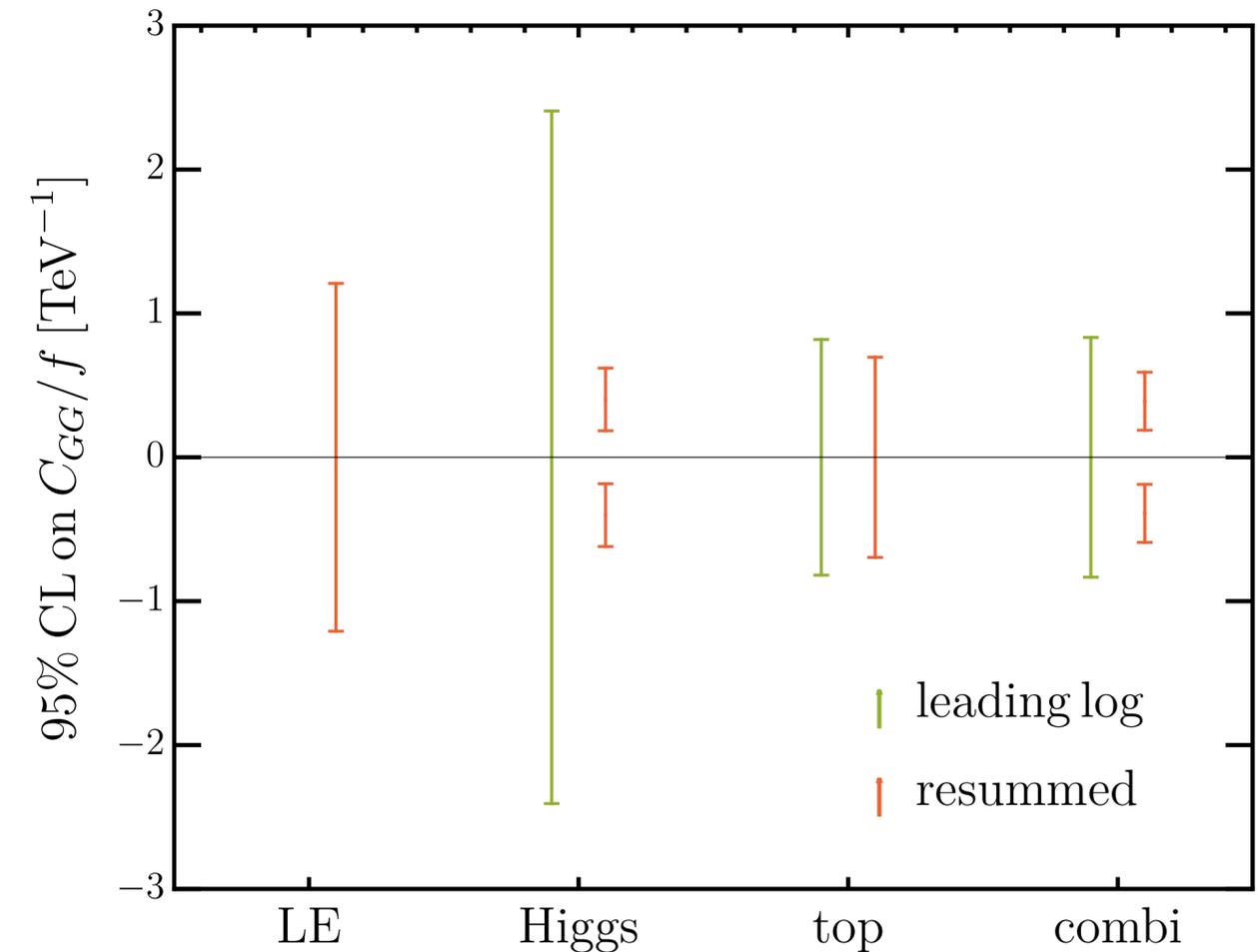
LL approximation - CGG

(Small) experimental anomaly in CMS
Higgs STXS causes deviation at 95% CL

$$[C_{uG}]_{33}(\mu) \supset -\frac{25 g_s y_t \alpha_s}{\pi} C_{GG}^2 \ln^2 \frac{\mu}{\Lambda}$$

$$C_{HG}(\mu) \supset \frac{100 \alpha_s^2 \alpha_t}{3} C_{GG}^2 \ln^3 \frac{\mu}{\Lambda}$$

CHG (Higgs-gluon coupling) and CuG (top-gluon coupling) strongly constrained through gluon-fusion Higgs production



Can I translate these limits for UV axion models?

Matching a UV model onto an EFT would lead to additional SMEFT operators. What is the influence of those?

[Arias-Aragón, Quevillon, Smith ([2211.04489](#))]

KSVZ

[Kim-Shifman-Vainshtein-Zakharov ([1979](#), [1980](#))]

Vector-like quark + Scalar singlet

Boson-philic

DFSZ

[Dine-Fischler-Srednicki-Zhitnitsky ([1980](#), [1981](#))]

2HDM + Scalar singlet

Fermion-philic

KSVZ model

[Kim-Shifman-Vainshtein-Zakharov (1979, 1980)]

$$\mathcal{L}_{\text{KSVZ}} = \mathcal{L}_{\text{SM}} + |\partial_\mu S|^2 + \bar{Q} i \not{D} Q - y_Q (S \bar{Q}_L Q_R + \text{h.c.}) \\ + \mu_S |S|^2 - \frac{\lambda_S}{2} |S|^2 - \lambda_{SH} |S|^2 (H^\dagger H) + \mathcal{L}_{Qq}$$

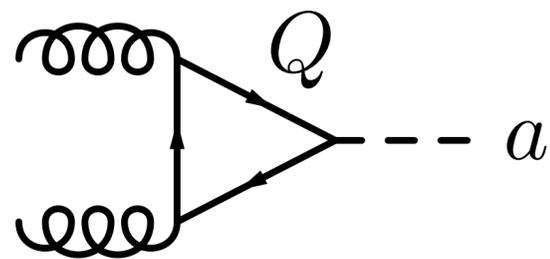
VLQ decay

$$\mathcal{L}_{Qq} = -y_q^p \bar{q}_L^p H Q_R + \text{h.c.}$$

$$Q_{L,R} \sim (\mathbf{3}, \mathbf{1})_{-1/3}$$

Vector-like quark Q

Singlet scalar S $S(x) = \frac{1}{\sqrt{2}} [f + \rho(x)] e^{\frac{ia(x)}{f}}$,



Heavy particles Q and ρ

$$M_Q = y_Q f / \sqrt{2}, \quad M_\rho^2 = \lambda_S f^2$$

Integrate out

KSVZ model - EFT

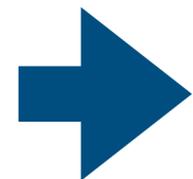
$$\mathcal{L}_{Qq} = -y_q^p \bar{q}_L^p H Q_R + \text{h.c.}$$

$$\mathcal{L}_{\text{EFT}} \supset +\frac{1}{2}(\partial_\mu a)^2 - \frac{1}{2}m_a^2 a^2 \left[-\frac{\alpha_s}{8\pi} \frac{a}{f} G_{\mu\nu}^A \tilde{G}^{\mu\nu A} - \frac{1}{3} \frac{\alpha_Y}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} \right]$$

$$- \frac{\lambda_{SH}^2 f^2}{2M_\rho^4} Q_{H\Box} + \frac{y_q^p y_q^{r*}}{2M_Q^2} \left(\mathbf{Y}_d^{rs} [Q_{dH}]^{ps} - \frac{1}{2} [Q_{Hq}^{(1)}]^{pr} - \frac{1}{2} [Q_{Hq}^{(3)}]^{pr} + \text{h.c.} \right)$$

At scale Λ : **ALP couplings** and **SMEFT contributions**

Limits on f can be obtained for fixed CGG and CBB from



one-parameter ALP fit

Additional Limits on scalar parameters and portal

$$\lambda_S^2 f / \lambda_{SH} > 2.8 \text{ TeV}$$

$$|y_q / M_Q| < 0.1 \text{ TeV}^{-1}$$

DFSZ model

Two-Higgs doublet model + scalar singlet

$$S(x) = \frac{1}{\sqrt{2}} [f + \rho(x)] e^{\frac{ia(x)}{f}},$$

Two options for relation to SM Yukawas

$$\begin{aligned} \mathcal{L}_{\text{DFSZ}} \supset & |D_\mu H_1|^2 + |D_\mu H_2|^2 + |\partial_\mu S|^2 - (\bar{q} \tilde{H}_1 \mathbf{\Gamma}_u u_R + \bar{q} H_2 \mathbf{\Gamma}_d d_R + \boxed{\bar{\ell} H_i \mathbf{\Gamma}_e e_R} + \text{h.c.}) \\ & - m_1^2 |H_1|^2 - m_2^2 |H_2|^2 - \frac{\lambda_1}{2} |H_1|^4 - \frac{\lambda_2}{2} |H_2|^4 - \lambda_3 |H_1|^2 |H_2|^2 - \lambda_4 |H_1^\dagger H_2|^2 \\ & + \mu_S |S|^2 - \frac{\lambda_S}{2} |S|^4 - \lambda_{SH_1} |S|^2 |H_1|^2 - \lambda_{SH_2} |S|^2 |H_2|^2 - \lambda_{SH_{12}} \left[(H_1^\dagger H_2) S^2 + \text{h.c.} \right] \end{aligned}$$

Heavy particles Φ and ρ

DFSZ model - EFT

$$|C_u|/f < 1/\text{TeV}$$

$$C_u = -2s_\alpha^2$$

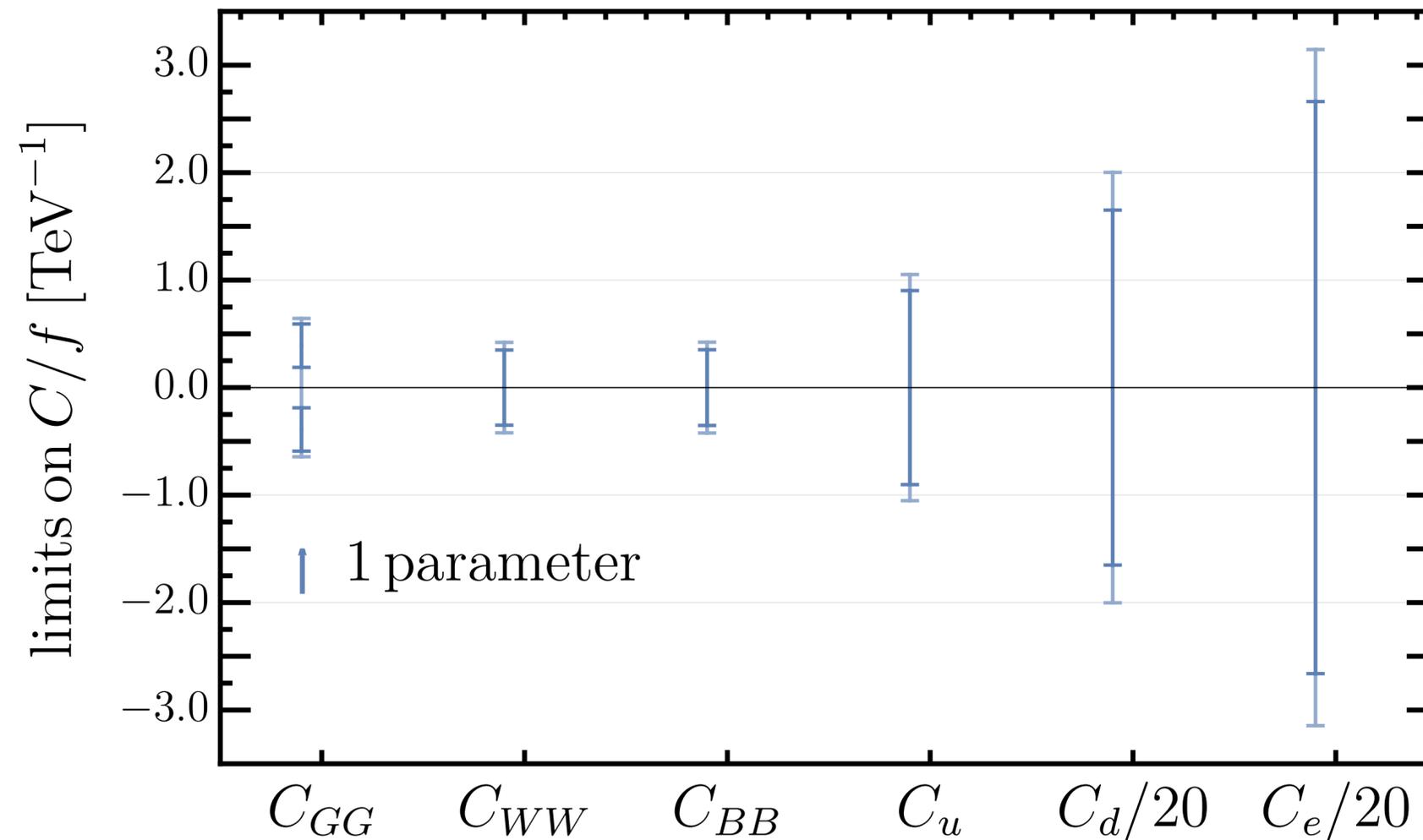
$$C_d = -2c_\alpha^2$$

DFSZ I $C_e = -2s_\alpha^2$

DFSZ II $C_e = -2c_\alpha^2$

Mixing angle α

$$\begin{pmatrix} H_1 \\ H_2 \end{pmatrix} = R(\alpha) \begin{pmatrix} H \\ \Phi \end{pmatrix}$$



DFSZ model - EFT

Mixing angle α

$$|C_u|/f < 1/\text{TeV}$$

$$C_u = -2s_\alpha^2$$

$$C_d = -2c_\alpha^2$$

DFSZ I

$$C_e = -2s_\alpha^2$$

DFSZ II

$$C_e = -2c_\alpha^2$$

$$\begin{pmatrix} H_1 \\ H_2 \end{pmatrix} = R(\alpha) \begin{pmatrix} H \\ \Phi \end{pmatrix}$$

$$\begin{aligned} \mathcal{L}_{\text{EFT}} \supset & -\frac{C_{\psi H}}{M_\Phi^2} (t_\alpha [\mathbf{Y}_u]^{pr} [Q_{uH}]^{pr} - t_\alpha^{-1} [\mathbf{Y}_d]^{pr} [Q_{dH}]^{pr} - \eta_\alpha [\mathbf{Y}_e]^{pr} [Q_{eH}]^{pr} + \text{h.c.}) \\ & -\frac{[\mathbf{Y}_u^*]^{sr} [\mathbf{Y}_u]^{pt} t_\alpha^2}{M_\Phi^2} \left(\frac{1}{6} [Q_{qu}^{(1)}]^{prst} + [Q_{qu}^{(8)}]^{prst} \right) -\frac{[\mathbf{Y}_d^*]^{sr} [\mathbf{Y}_d]^{pt} t_\alpha^{-2}}{M_\Phi^2} \left(\frac{1}{6} [Q_{qd}^{(1)}]^{prst} + [Q_{qd}^{(8)}]^{prst} \right) \\ & -\frac{[\mathbf{Y}_e^*]^{sr} [\mathbf{Y}_e]^{pt} \eta_\alpha^2}{2M_\Phi^2} [Q_{le}]^{prst} -\frac{1}{M_\Phi^2} \left([\mathbf{Y}_u]^{pr} [\mathbf{Y}_d]^{st} [Q_{quqd}^{(1)}]^{prst} - [\mathbf{Y}_u]^{st} [\mathbf{Y}_e]^{pr} t_\alpha \eta_\alpha [Q_{lequ}^{(1)}]^{prst} \right. \\ & \left. - [\mathbf{Y}_d^*]^{st} [\mathbf{Y}_e]^{pr} t_\alpha^{-1} \eta_\alpha [Q_{ledq}]^{prst} + \text{h.c.} \right) + \frac{C_H}{M_\Phi^2} Q_H - \frac{\lambda_{SH}^2 f^2}{2M_\rho^4} Q_{H\Box}, \end{aligned}$$

Yukawa suppressed

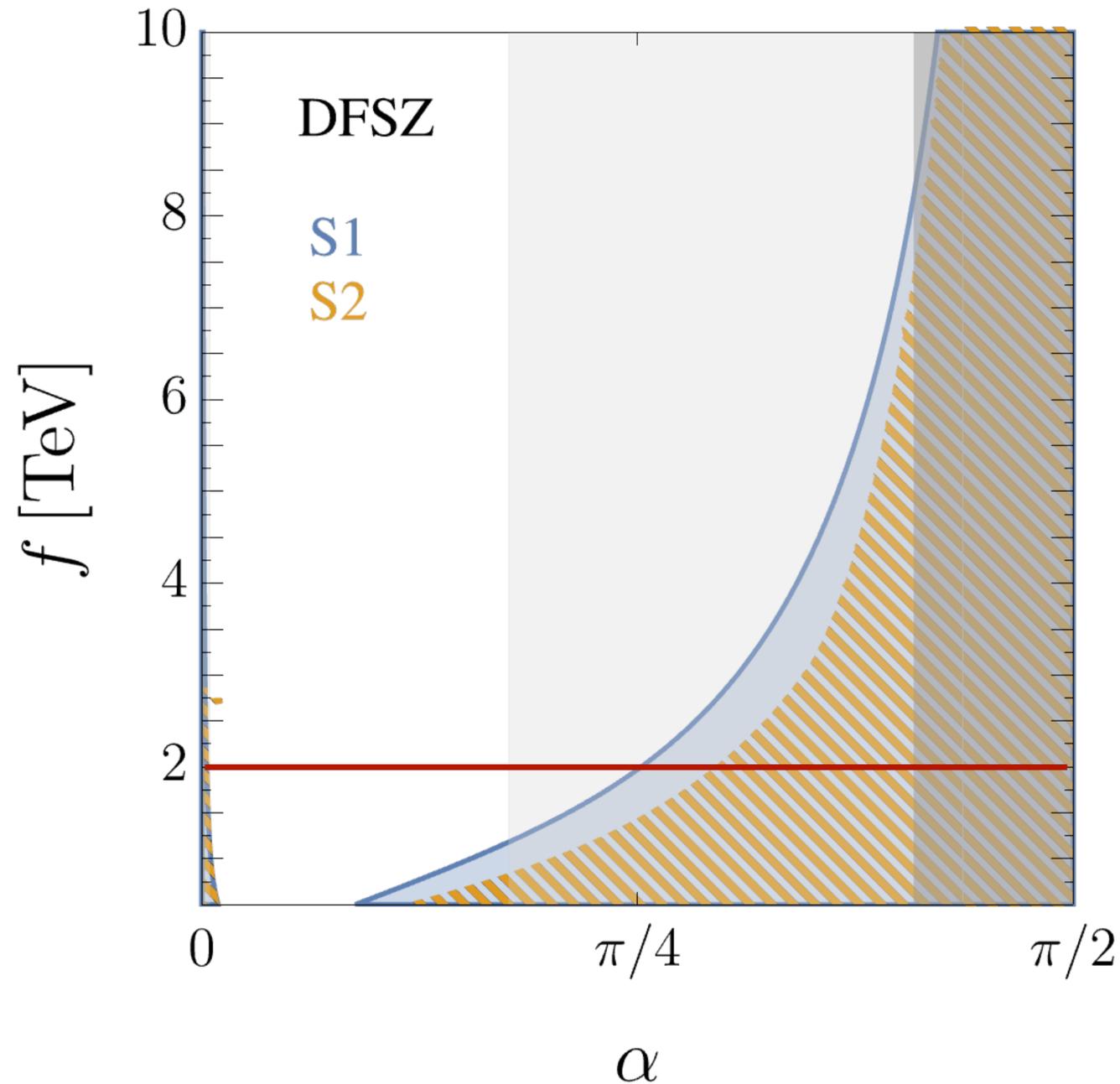
ALP couplings and SMEFT operators depend on same parameters α and f

DFSZ models - results

$$C_u = -2s_\alpha^2$$

$$|C_u|/f < 1/\text{TeV}$$

$$\Gamma_u^{33} \gtrsim 1 \quad \Gamma_u^{33} \gtrsim 3$$



S1: negligible scalar parameters
 S2: profiling of scalar parameters

Limits on f dominated by
 SMEFT contributions

Warsaw basis

[Grzadkowski et al. (1008.4884)]

1 : X^3		2 : H^6		3 : $H^4 D^2$		5 : $\psi^2 H^3 + \text{h.c.}$	
Q_G	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	Q_H	$(H^\dagger H)^3$	$Q_{H\Box}$	$(H^\dagger H)\Box(H^\dagger H)$	Q_{eH}	$(H^\dagger H)(\bar{l}_p e_r H)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$			Q_{HD}	$(H^\dagger D_\mu H)^* (H^\dagger D_\mu H)$	Q_{uH}	$(H^\dagger H)(\bar{q}_p u_r \tilde{H})$
Q_W	$\epsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$					Q_{dH}	$(H^\dagger H)(\bar{q}_p d_r H)$
$Q_{\tilde{W}}$	$\epsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$						
4 : $X^2 H^2$		6 : $\psi^2 XH + \text{h.c.}$		7 : $\psi^2 H^2 D$			
Q_{HG}	$H^\dagger H G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I H W_{\mu\nu}^I$	$Q_{Hl}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \gamma^\mu l_r)$		
$Q_{H\tilde{G}}$	$H^\dagger H \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) H B_{\mu\nu}$	$Q_{Hl}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{l}_p \tau^I \gamma^\mu l_r)$		
Q_{HW}	$H^\dagger H W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{H} G_{\mu\nu}^A$	Q_{He}	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$		
$Q_{H\tilde{W}}$	$H^\dagger H \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{H} W_{\mu\nu}^I$	$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$		
Q_{HB}	$H^\dagger H B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{H} B_{\mu\nu}$	$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$		
$Q_{H\tilde{B}}$	$H^\dagger H \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) H G_{\mu\nu}^A$	Q_{Hu}	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$		
Q_{HWB}	$H^\dagger \tau^I H W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I H W_{\mu\nu}^I$	Q_{Hd}	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$		
$Q_{H\tilde{W}B}$	$H^\dagger \tau^I H \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$	$Q_{Hud} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$		
8 : $(\bar{L}L)(\bar{L}L)$							
$Q_{\ell\ell}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$						

Plus another 24 four-fermion operators