

# GR as a Perturbative QFT

#3/6

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## Effective Field Theory Day

- Download "Dynamics of the Standard Model"
  - free, open access
  - used for today's lecture
- if you want, also 6 hour lecture series Jan 2023 - on Perimeter Institute website

### EFT via two examples

#### 1) QED with heavy (top) particle

$$L_{QED} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{\psi} (i\gamma^\mu - m) \psi$$

$$Z = \int dA \int d\bar{\psi} \int d\psi e^{i \int d^4x L_{QED}(A, \psi)}$$

$$= \int dA \sum_{n=m_e}^{\infty} e^{i \int d^4x L_{eff}(A)}$$

with

$$L_{eff} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{e^2}{240\pi^2 M_p^2} F_{\mu\nu} J^\mu F^{\mu\nu}$$

$\uparrow g^2$

2) Linear  $\sigma$  model

$$\mathcal{L} = \frac{1}{2} \partial_\mu \vec{\phi}^\dagger \partial^\mu \vec{\phi} + \frac{1}{2} (\partial_\mu \vec{\phi} \cdot \partial^\mu \vec{\phi}) + \frac{\mu^2}{2} (\vec{\phi}^\dagger \vec{\phi})^2 - \frac{\lambda}{4} (\vec{\phi}^\dagger \vec{\phi})^4$$

$$SSB \quad \langle \vec{\phi} \rangle = \sigma = \sqrt{\frac{\mu c}{k}}$$

$$\begin{aligned} Z &= \int d\vec{\phi} [d\vec{\phi}] e^{i \int d^4x \mathcal{L}(\vec{\phi}, \vec{f})} \\ &= S[d\vec{\pi}]_{n=\infty} e^{i \int d^4x \mathcal{L}_{\text{eff}}(\vec{\pi})} \end{aligned}$$

$$\mathcal{L}_{\text{eff}} = \frac{\mu^2}{4} \text{Tr} (\vec{\phi}^\dagger \vec{\phi} U^\dagger U) + L_1 [\text{Tr} (\vec{\phi}^\dagger \vec{\phi} U^\dagger U)]^2 + L_2 \{ \quad \}$$

with  $L_1 = \dots$

$L_2 = \dots$  Pauli

$$U = \exp i \frac{\vec{\tau} \cdot \vec{\sigma} \vec{\pi}}{\mu}$$

1) QED

$\frac{e^2}{m^2}$

$$\begin{aligned} \Pi(q^2) &= \frac{\alpha}{3\pi} \left[ \frac{1}{\epsilon} + \log 4\pi - \gamma - \log \frac{m^2}{\mu^2} - \frac{q^2}{5m^2} \right] & (q^2 \ll m^2) \\ &= \frac{\alpha}{3\pi} \left[ \frac{1}{\epsilon} + \log 4\pi - \gamma - \log \frac{-q^2}{\mu^2} \right] & (q^2 \gg m^2) \end{aligned}$$

$$\frac{e^2}{m^2} \rightarrow \frac{e^2}{8^2 [1 + \Pi(q^2)]}$$

QED depends on  $m_e$  ?!

Renormalization  $\Rightarrow$  measurement

$$\frac{e^2}{4\pi} = \frac{1}{137} = \frac{e^2}{\pi [1 + \Pi(0)]} \quad \leftarrow m_e \text{ disappears}$$

only residual is  $\frac{e^2}{m_e^2}$

Applied Carazzone thm - heavy physics  
 $\rightarrow$  renormalized parameters  
+ suppressed by  $1/m^2$

EFT logic -  
- heavy stuff  $\xrightarrow{\frac{\Delta E}{\Delta p} \propto \frac{1}{m}}$  local (Uncertainty principle)  
- constant in local L

$\Rightarrow L_{eff} = \dots \cdot \cdot \cdot$  (shown)

Power expansion  $\sum_{n=0}^{\infty} \frac{L_{eff}(z^n)}{n!} e^{iz \cdot k} \sim \boxed{L(k)}$

but also nonlocal

$\sum_{n=0}^{\infty} \frac{L_{eff}(z^n)}{n!} \ln z^2 e^{iz \cdot k} = \boxed{L(k)}$   
nonlocal

EFT 1.0 - local  $L_{eff}$ .

## 2) Linear O model

a) Usual notation  $\sigma = \nu + \tilde{\sigma}$

$$\mathcal{L} = \dots - \lambda \nu \tilde{\sigma} (\tilde{\tau}^2 + \tilde{\phi}^2) - \frac{\lambda}{4} (\tilde{\tau}^2 - \tilde{\phi}^2)^2$$

b) Better notation

$$\Sigma = \sigma + i \vec{\tau} \cdot \vec{\phi} \Rightarrow \text{Tr} [\Sigma \Sigma^+] = 2(\sigma^2 - \vec{\phi}^2)$$

$$\mathcal{L} = \frac{1}{4} \text{Tr} (\partial_\mu \Sigma \partial^\mu \Sigma^+) + \frac{\mu^2}{4} \text{Tr} (\Sigma \Sigma^+) - \frac{\lambda}{16} \text{Tr} (\Sigma \Sigma^+)^2$$

shows symmetry  $\Sigma \xrightarrow{\text{L}} \widehat{\text{SU}(2) \text{matrices}}$

c) Best notation

$$\Sigma = (\nu + S) U \quad U = e^{i \frac{\vec{\tau} \cdot \vec{\phi}}{\nu}}$$

$$\mathcal{L}_0 = \frac{1}{2} \left[ (\partial_\mu S)^2 - M_0^2 S^2 \right] + \underbrace{\left( \frac{\nu + S}{\nu} \right)^2 \text{Tr} (\partial_\mu U \partial^\mu U^T)}_{-\lambda \nu S^3 - \frac{\lambda}{4} S^4}$$

no approximation

Haag's theorem — Names do not matter

$$\frac{S}{\nu} = \frac{\tilde{\sigma}}{\tilde{\tau}} + \dots \quad \left. \right\} \text{KE same}$$

In DSM:

$$a) \quad \cancel{\frac{\partial}{\partial t}} \cancel{\frac{\partial}{\partial \tilde{\tau}}} + \cancel{\frac{\partial}{\partial \tilde{\phi}}} \cancel{\frac{\partial}{\partial \phi}} = \underbrace{1 - 1}_{\cancel{\nu}} \propto E^2 + E^4$$

$$c) \quad X \sim E^2 \quad \left( \cancel{\text{Tr}} \sim E^4 \right)$$

Now EFT

- integrate out  $S$

$$\cancel{X} + \frac{\cancel{T}_S(\partial_\mu u)^\mu u^+}{\cancel{S}} \left( \cancel{+} \cancel{S} \right)$$
$$L_{\text{eff}} = \frac{v^2}{4} T_S (\partial_\mu u)^\mu u^+ + \frac{v^2}{8M_P^2} [T_S (\partial_\mu u)^\mu u^+]^2$$

$\xrightarrow{\text{local}}$   $\xrightarrow{\frac{1}{\delta^2 - M_P^2}} \xrightarrow{-\frac{1}{M_P^2}}$

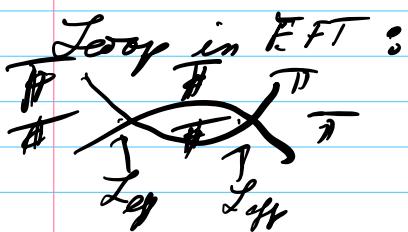
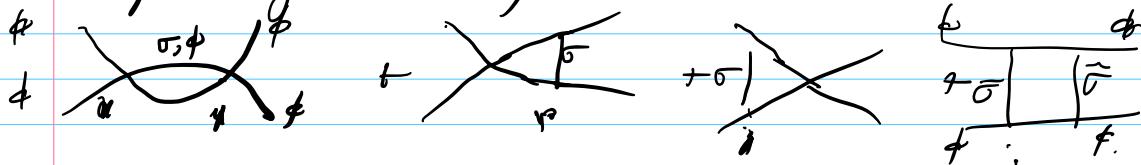
EFT is full QFT

- How can loops work out

$$\cancel{X} + \cancel{\frac{T_S}{S}} \rightarrow \cancel{X} \quad \text{at low } E$$

$L_{\text{eff}}$

Loops in full theory:



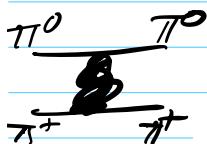
At low energy these are the same

At high  $E$  they are diff.  $\Rightarrow$  Look like local  $L$   
correct difference

Example:

General local  $\mathcal{L}$

$$\mathcal{L} = \frac{v^2}{4} T_2(\partial_\mu \phi)^\mu \partial^\nu \phi + \ell_1 [T_2(\partial_\mu \phi)^\mu \partial^\nu \phi]^\nu + \ell_2 T_2(\partial_\mu \phi)^\mu T_2(\partial^\nu \phi)^\mu$$



Full theory  $\rightarrow$  low  $E$  limit

$$\begin{aligned} \mathcal{M}_{\text{full}} = & \frac{t}{v^2} + \left[ \frac{1}{m_\sigma^2 v^2} - \frac{11}{96\pi^2 v^4} \right] t^2 \\ & - \frac{1}{144\pi^2 v^4} [s(s-u) + u(u-s)] \\ & - \frac{1}{96\pi^2 v^4} \left[ 3t^2 \ln \frac{-t}{m_\sigma^2} + s(s-u) \ln \frac{-s}{m_\sigma^2} + u(u-s) \ln \frac{-u}{m_\sigma^2} \right]. \quad (3.7) \end{aligned}$$

$$\begin{aligned} t &= (\rho, -\vec{\rho}_3)^2 \\ s &= (\rho, +\vec{\rho}_2)^2 \\ u &= (\rho, -\vec{\rho}_1)^2 \end{aligned}$$

EFT:

$$\begin{aligned} \mathcal{M}_{\text{eff}} = & \frac{t}{v^2} + \left[ 8\ell_1^r + 2\ell_2^r + \frac{5}{192\pi^2} \right] \frac{t^2}{v^4} \\ & + \left[ 2\ell_2^r + \frac{7}{576\pi^2} \right] [s(s-u) + u(u-s)]/v^4 \\ & - \frac{1}{96\pi^2 v^4} \left[ 3t^2 \ln \frac{-t}{\mu^2} + s(s-u) \ln \frac{-s}{\mu^2} + u(u-s) \ln \frac{-u}{\mu^2} \right], \quad (3.8) \end{aligned}$$

where we have defined<sup>6</sup>

$$\begin{aligned} \ell_1^r &= \ell_1 + \frac{1}{384\pi^2} \left[ \frac{2}{4-d} - \gamma + \ln 4\pi \right] \\ \ell_2^r &= \ell_2 + \frac{1}{192\pi^2} \left[ \frac{2}{4-d} - \gamma + \ln 4\pi \right]. \quad (3.9) \end{aligned}$$

Become identical with:

$$\begin{aligned} \ell_1^r &= \frac{v^2}{8m_\sigma^2} + \frac{1}{192\pi^2} \left[ \ln \frac{m_\sigma^2}{\mu^2} - \frac{35}{6} \right] \\ \ell_2^r &= \frac{1}{384\pi^2} \left[ \ln \frac{m_\sigma^2}{\mu^2} - \frac{11}{6} \right]. \quad (3.10) \end{aligned}$$

(close "Dynamics of Standard Model" )  
- JFD, Colowick + Holstein

What have we done?

Low energy DOF are Goldstones "pion"

Renormalizable model

→ Unrenormalizable EFT

→ gives same prediction at low  $E$

- 2 numbers remnant of full theory

What are predictions?

- not parameters  $a$

- structure of amplitudes

- loops - one loop prediction

- "more local"

no unknown coeff.

- other reactions

- same two constants

But techniques hold for other theories also

- same symmetry  $\Rightarrow$  same predictions  
diff  $l_1, l_2$

- QCD has approx  $SU(2)_L \times SU(2)_R$   
chiral symmetry

Power counting

$$M = \frac{t}{\nu^2} \overset{E^2}{+} (l_1 + \text{loop}) \frac{t^2}{\nu^4} \overset{E^4}{+} \dots \overset{E^6}{-}$$

Energy expansion

$$\begin{array}{c} \text{Diagram with two external lines labeled } \frac{E^2}{\nu^2} \text{ and } \frac{E^2}{\nu^2} \\ \text{with internal lines labeled } \frac{E^2}{\nu^2} \end{array} = \frac{1}{\nu^4} \overset{\text{only external momenta}}{\underset{E^4}{\mathcal{I}(P_i)}} \quad \text{Diagram with three external lines labeled } \frac{E^2}{\nu^2} \text{ and } \frac{E^2}{\nu^2} \text{ and one internal line labeled } \frac{E^2}{\nu^2} \text{ with a loop.} \quad \frac{1}{\nu^6} E^2$$

- Rules
- $\mathcal{O}(E^2)$  — only tree level
  - $\mathcal{O}(E^4)$  — trees + 1 loop
  - $\mathcal{O}(E^6)$  — trees + 2 loops

$\underbrace{\text{als gravity}}_{\vdots} \text{ one loop } R^2 \sim E^4$

## Renormalization in EFT

- not the important physics
- but needs to be done

### Issues:

1) Same renorm for all processes

2) Renorm preserve symmetry?

Answer is background field method

## Background field method

- renorm all processes at once

$$u = \bar{u} + \frac{i}{\pi} \vec{\epsilon} \cdot \vec{\Delta}$$

↑ bkgd field      ↑ fluctuation

$$\mathcal{L}(u) = \mathcal{L}(\bar{u}) + \mathcal{L}_1(\bar{u}, \Delta) + \mathcal{L}_2(\bar{u}, \Delta) + \dots$$

↑  
O Reg. EOM

$$\mathcal{L}_2 = \frac{1}{2} \int^a \left[ d_\mu d^\mu + \sigma \int_{a_b}^b \right] \Delta^b$$

$$d_\mu = \partial_\mu + \Gamma_\mu(\bar{u})$$

$$\sigma = \sigma(\bar{u})$$

Pull out divergence

- Feynman diagrams
- Heat kernel

$$\downarrow \bar{U} \rightarrow$$

$$\Delta \mathcal{L} = \frac{1}{16\pi^2} \frac{1}{\epsilon} \text{Tr} \left[ \frac{1}{2} R_{\mu\nu} R^{\mu\nu} + \frac{1}{2} \sigma^2 \right]$$

$$\uparrow R_{\mu\nu} = [d_\mu, d_\nu]$$

B-2 Chiral renormalization and background fields

527

$$S_2^{(0)} = \int d^4x \underbrace{\left\{ \mathcal{L}_2(\bar{U}) - \frac{F_0^2}{2} \Delta^a (d_\mu d^\mu + \sigma)^{ab} \Delta^b + \dots \right\}}, \quad (2.12)$$

where

$$d_\mu^{ab} = \delta^{ab} \partial_\mu + \Gamma_\mu^{ab},$$

$$\Gamma_\mu^{ab} = -\frac{1}{4} \text{Tr} ([\lambda^a, \lambda^b] (\bar{U}^\dagger \partial_\mu \bar{U} + i \bar{U}^\dagger \ell \bar{U} + i r_\mu)),$$

$$\sigma^{ab} = \frac{1}{8} \text{Tr} ((\lambda^a, \lambda^b) (\bar{U}^\dagger \bar{U} + \bar{U} \bar{U}^\dagger) + [\lambda^a, \bar{U}^\dagger D_\mu \bar{U}] [\lambda^b, \bar{U}^\dagger D^\mu \bar{U}]). \quad (2.13)$$

$\Rightarrow$  symmetry  
 $\Rightarrow \bar{U} = e^{i \frac{\pi}{N}}$      $\leftarrow$  all forces  
 $\Rightarrow$  all processes

## Heat Kernel Method

- Read App B. 1 of DSM

$$H(x, \tau) = \langle x | e^{-\frac{i}{\hbar} d\tau} | x \rangle$$

$$D = d_m d^{m-1} + 0 + m^2 \quad d_m = \omega + \Gamma_m$$

$$H(x, \tau) = \frac{i}{(4\pi)^{d/2}} \frac{\partial}{\partial \tau^{d/2}} \left[ a_0 + a_1 \tau + a_2 \tau^2 \right] \underset{S.D. \text{ Dm coeff}}{\underline{\tau}}$$

$$\begin{aligned} \langle N | \partial_\tau H | N \rangle &= \int \frac{dx}{\tau} H(x, \tau) \\ &= \sum_m \tau^{d-2m} \Gamma(m - \frac{d}{2}) a_m(x) + \text{const} \\ &\quad \uparrow \Gamma(\frac{d}{2} - \frac{d}{2}) \rightarrow a_2(x) \end{aligned}$$

$\Rightarrow$  Ref to DSM

The physics  
 $\propto \frac{1}{\tau^2}$

$$a_0 = 1$$

$$a_1 = 0$$



$$a_2 = \text{loop} \rightarrow \text{divergence}$$