

Event-by-event hadron correlations in ALICE experiment

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“MPI@LHC”, Manchester, 21/11/2023



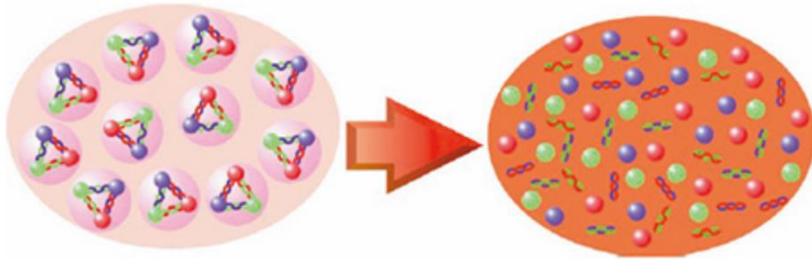
Outline

- Introduction
 - QCD phase diagram and criticality
- Fluctuations and correlations
 - EbyE physics
- Recent EbyE publications in ALICE

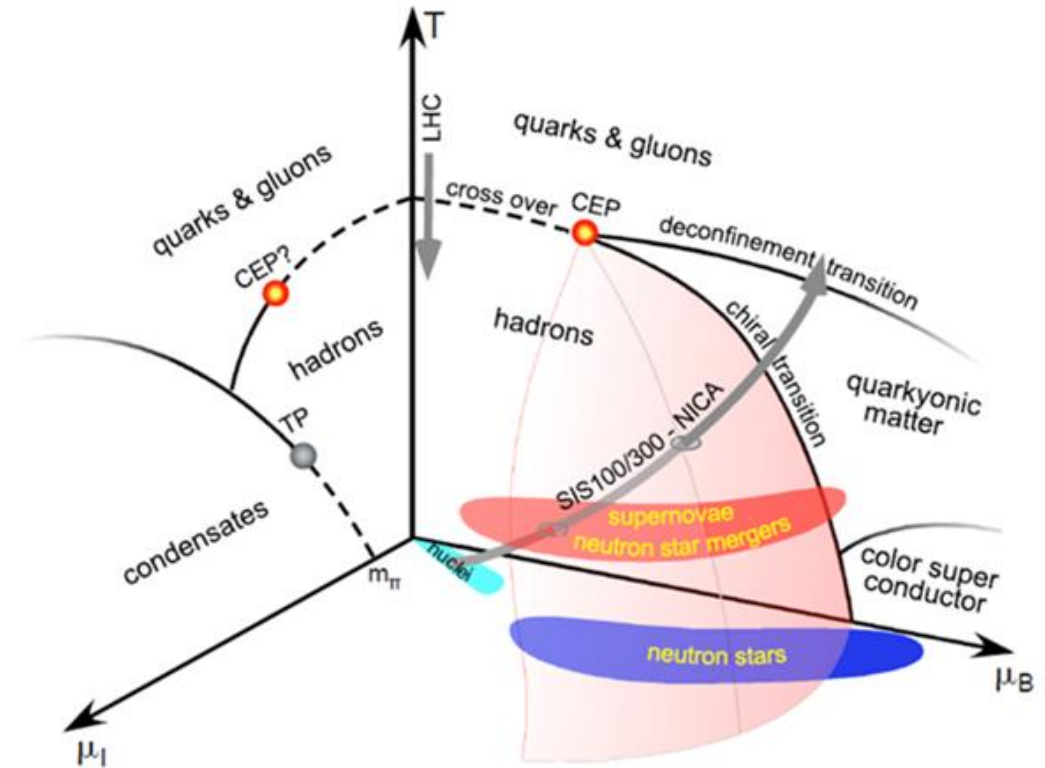


Quark-Gluon Plasma and QCD

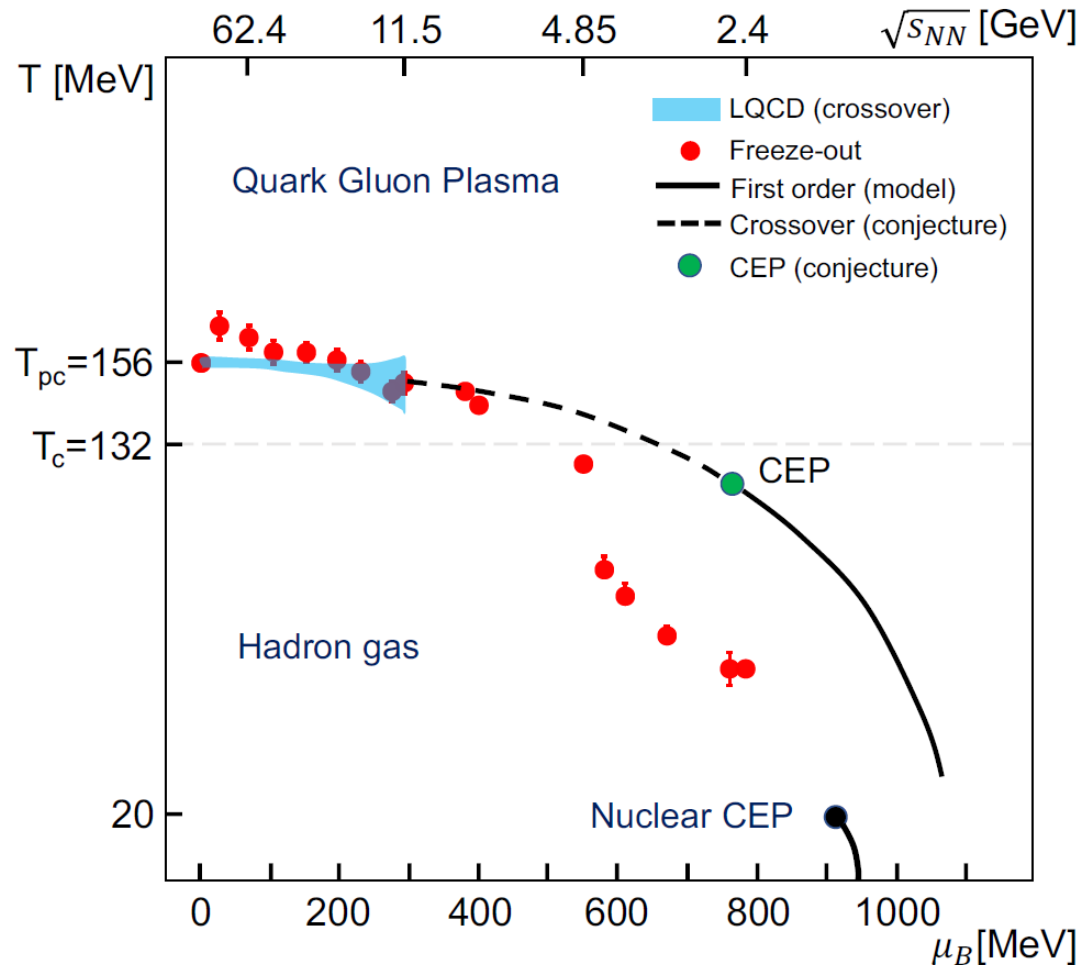
- Extreme state of matter in which quarks and gluons can move freely over distances comparable to the size of hadrons



- Phase diagram of Quantum Chromodynamics



Nature of QCD phase transitions

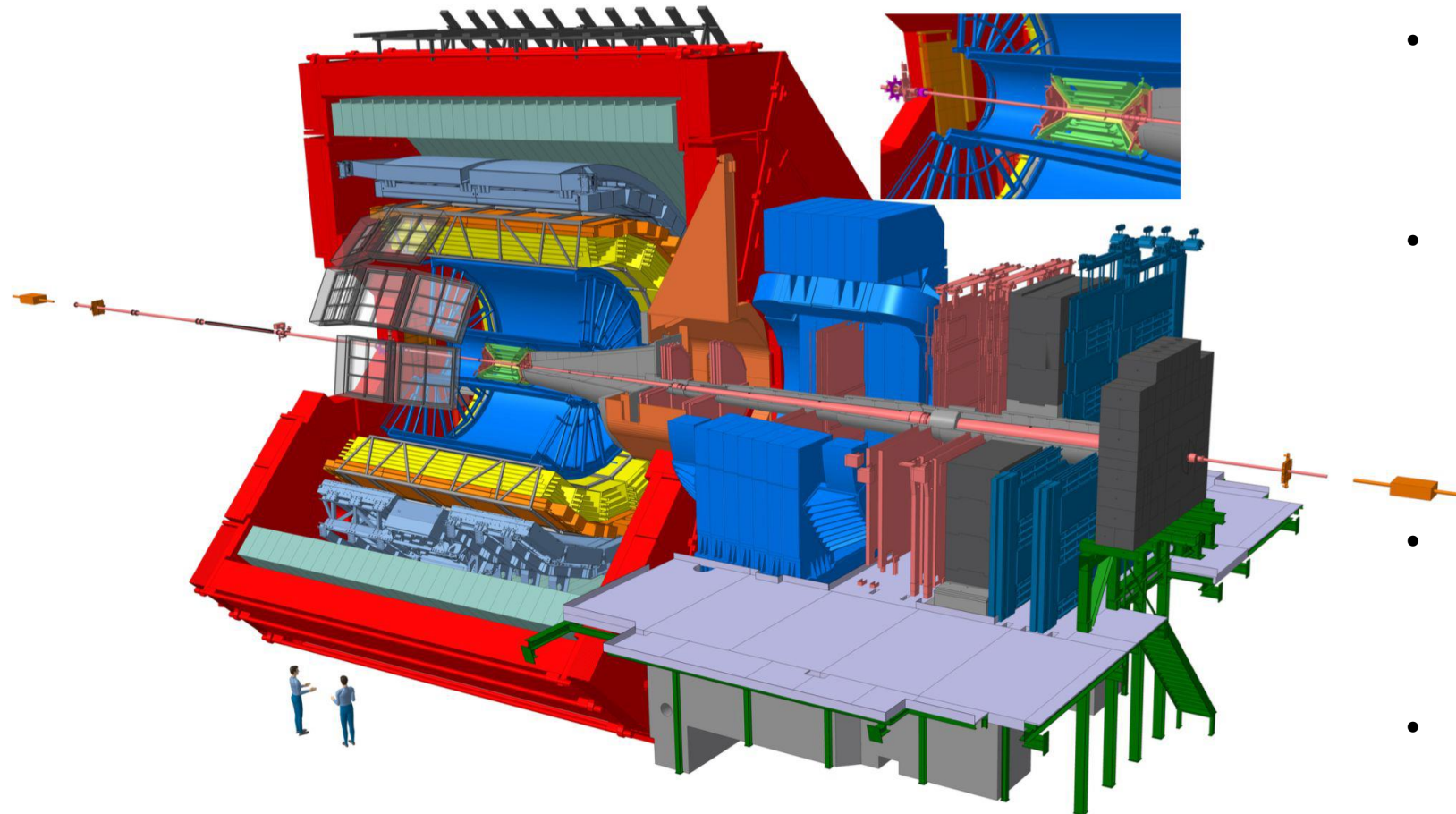


- QCD phase diagram of strongly interacting nuclear matter can be explored in ultrarelativistic heavy-ion collisions.
- The state of quark–gluon plasma (QGP) is probed as a function of temperature and baryon chemical potential.
- What is the nature of phase transitions in QCD phase diagram (smooth crossover, 1st or 2nd order phase transition, etc.)?
- Existence of critical point?

Event-by-event (EbyE) physics

- Physical quantities are expected to display a qualitatively different behaviour in case of a phase transition, and can be signalled by anomalous fluctuations and correlations in a number of observables. [H. Heiselberg *Phys.Rept.* 351 \(2001\) 161-194](#)
- EbyE fluctuations of multiplicity, net-charge, mean transverse momentum, etc., can be used to probe dynamical fluctuations due to production QGP.

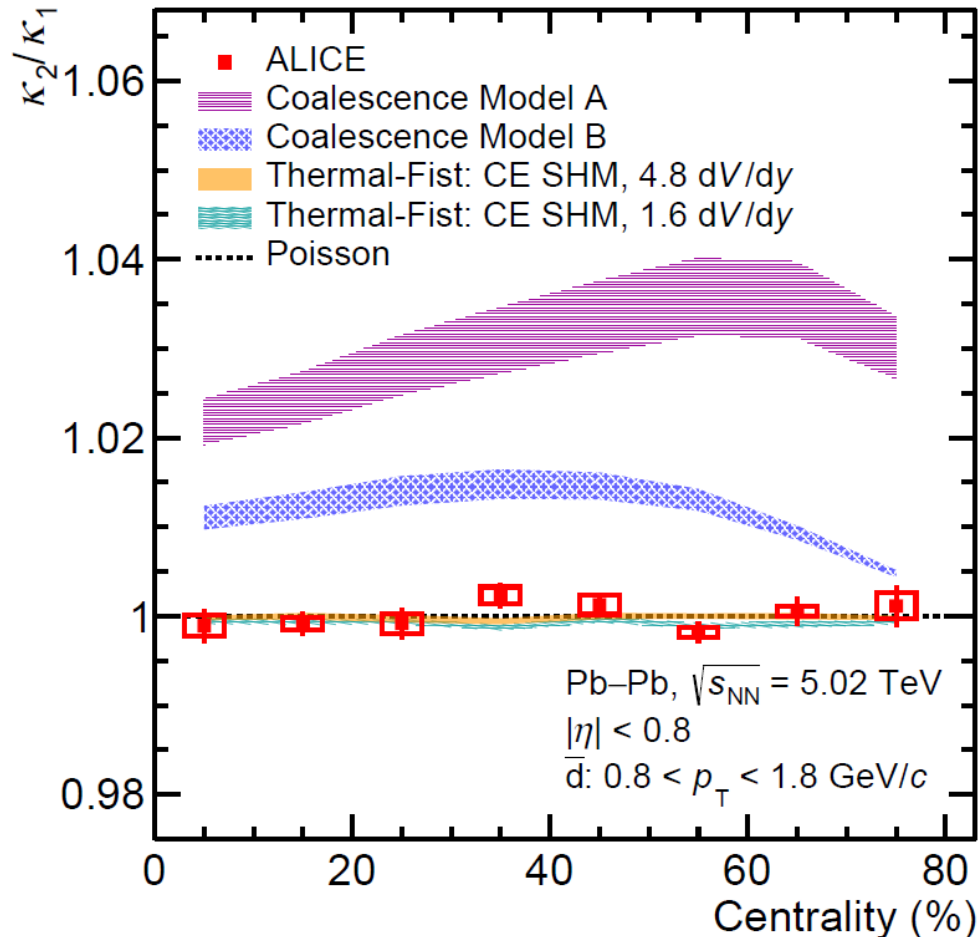
ALICE detector in Run 2



- **Time Projection Chamber (TPC)**
 - Main tracking detector
 - Particle identification (PID) via dE/dx
- **Inner Tracking System (ITS)**
 - 6 layers of silicon detectors (SPD, SDD, SSD)
 - Primary vertex reconstruction
 - Tracking and PID via dE/dx
- **V0 detectors**
 - Centrality estimator
 - Trigger
- **Time-Of-Flight**
 - PID via particle velocity

Recent EbyE publications in ALICE

Antideuteron number fluctuations



Higher order cumulants and Pearson correlation coefficient

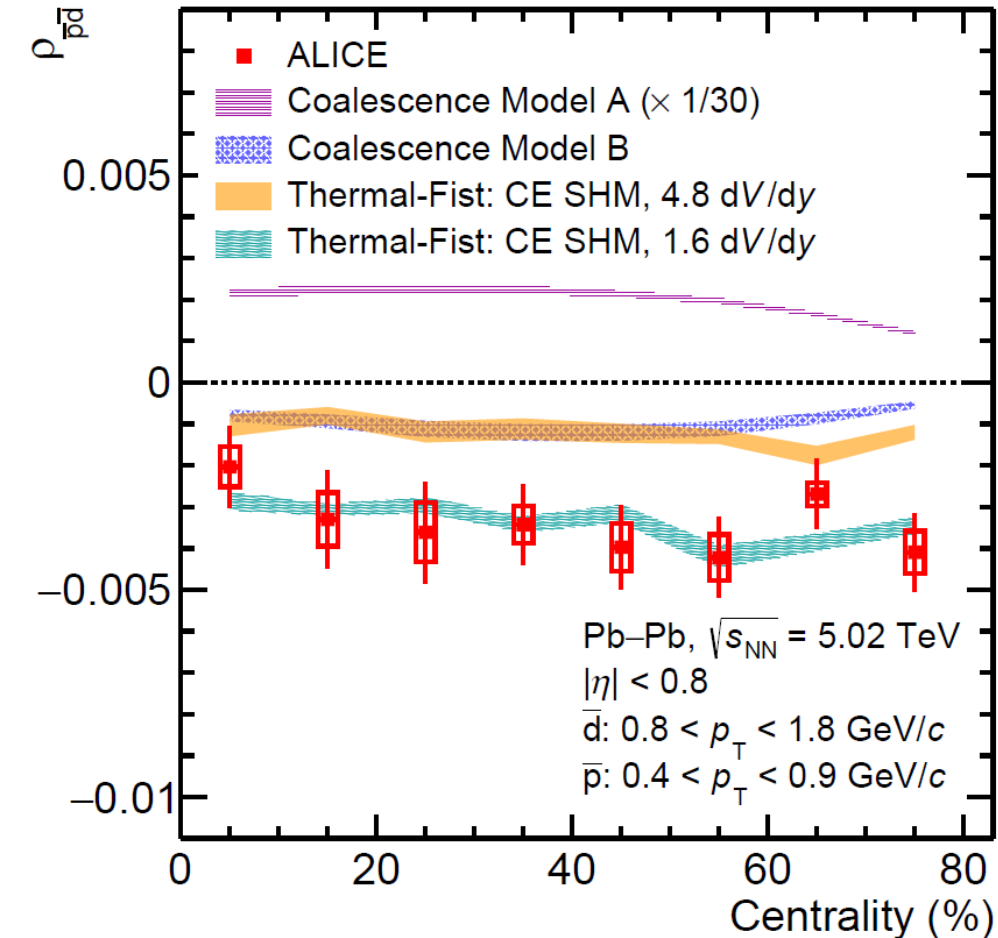
$$\kappa_1 = \langle n \rangle,$$

$$\kappa_m = \langle (n - \langle n \rangle)^m \rangle,$$

$$\rho_{ab} = \langle (n_a - \langle n_a \rangle)(n_b - \langle n_b \rangle) \rangle / \sqrt{\kappa_{2a} \kappa_{2b}},$$

- Ratio of the second to the first order cumulant for antideuterons is found to be consistent with unity within uncertainties as expected from a Poisson distribution.
- Measurements consistent with statistical hadronisation models (SHM).
- Deviations from coalescence models.

Antideuteron number fluctuations



Higher order cumulants and Pearson correlation coefficient

$$\kappa_1 = \langle n \rangle,$$

$$\kappa_m = \langle (n - \langle n \rangle)^m \rangle,$$

$$\rho_{ab} = \langle (n_a - \langle n_a \rangle)(n_b - \langle n_b \rangle) \rangle / \sqrt{\kappa_{2a} \kappa_{2b}},$$

- A significant negative correlation between antiprotons and antideuterons is observed in all collision centralities.
- CE version of SHM with correlation volume for baryon number conservation of $V_c = 1.6 dV/dy$ captures data.

Net-baryon fluctuations

- Fluctuations of conserved charges are sensitive probes for the equation of state and are related to the thermodynamic susceptibilities – calculable in the framework of LQCD:

$$\chi_{klmn}^{B,S,Q,C} = \frac{\partial^{(k+l+m+n)} (P(\hat{\mu}_B, \hat{\mu}_S, \hat{\mu}_Q, \hat{\mu}_C) / T^4)}{\partial \hat{\mu}_B^k \partial \hat{\mu}_S^l \partial \hat{\mu}_Q^m \partial \hat{\mu}_C^n} \Big|_{\vec{\mu}=0}$$

- Transition from chiral crossover to a second-order transition – signs of criticality expected to show up starting only with the 6th order cumulants of net-charge distributions.
- Currently available in terms of statistics: 2nd and 3rd order cumulants of net-proton distributions:

$$\Delta N_B = X = N_B - N_{\bar{B}} \quad \kappa_n \rightarrow \text{cumulants (i.e. } \kappa_2 \equiv \langle X^2 \rangle - \langle X \rangle^2)$$

$$\hat{\chi}_2^B = \frac{\kappa_2(\Delta N_B)}{VT^3} \quad \rightarrow \quad \frac{\kappa_4(\Delta N_B)}{\kappa_2(\Delta N_B)} = \frac{\hat{\chi}_4^B}{\hat{\chi}_2^B}$$

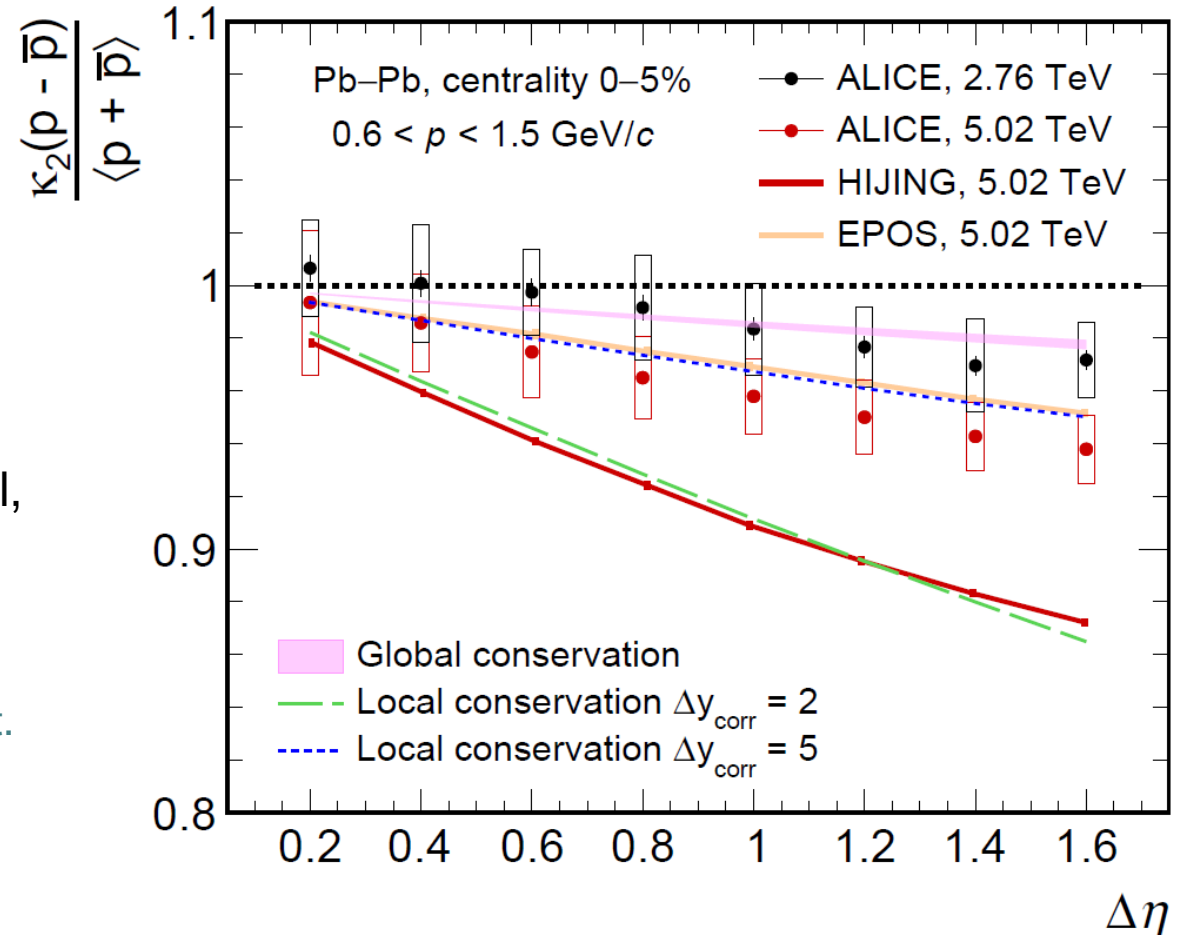
ALICE Collaboration, “Closing in on critical net-baryon fluctuations at LHC energies: cumulants up to third order in Pb–Pb collisions”, Phys. Lett. B 844 (2023) 137545, [2206.03343](https://arxiv.org/abs/2206.03343)

Net-baryon fluctuations

- Deviation from Skellam baseline (i.e. statistically independent Poisson limit) is consistent with baryon number conservation:

$$\kappa_n^{\text{Skellam}}(p - \bar{p}) = \langle p \rangle + (-1)^n \langle \bar{p} \rangle$$

- As a function of the width of the pseudorapidity interval, the fluctuations are increasingly reduced
 - Larger interval – increasing relevance of baryon number conservation;
 - Narrowest interval – statistically independent Poisson limit.

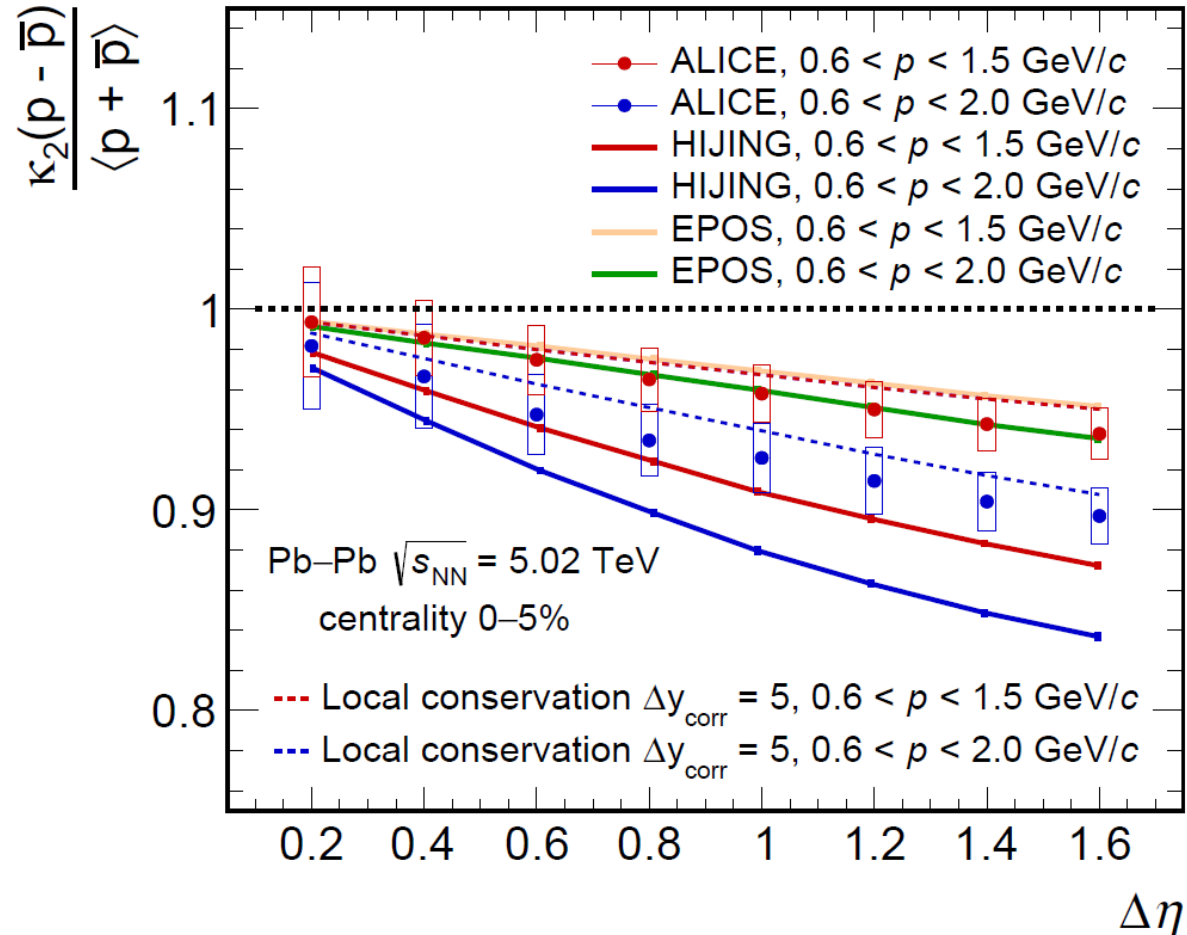


Net-baryon fluctuations

- Comparison to models: EPOS and HIJING.
- ALICE data suggest long-range correlations, $\Delta y = \pm 2.5$ unit or longer \rightarrow earlier in time.

A. Dumitru et al., Nucl. Phys. A 810 (2008) 91

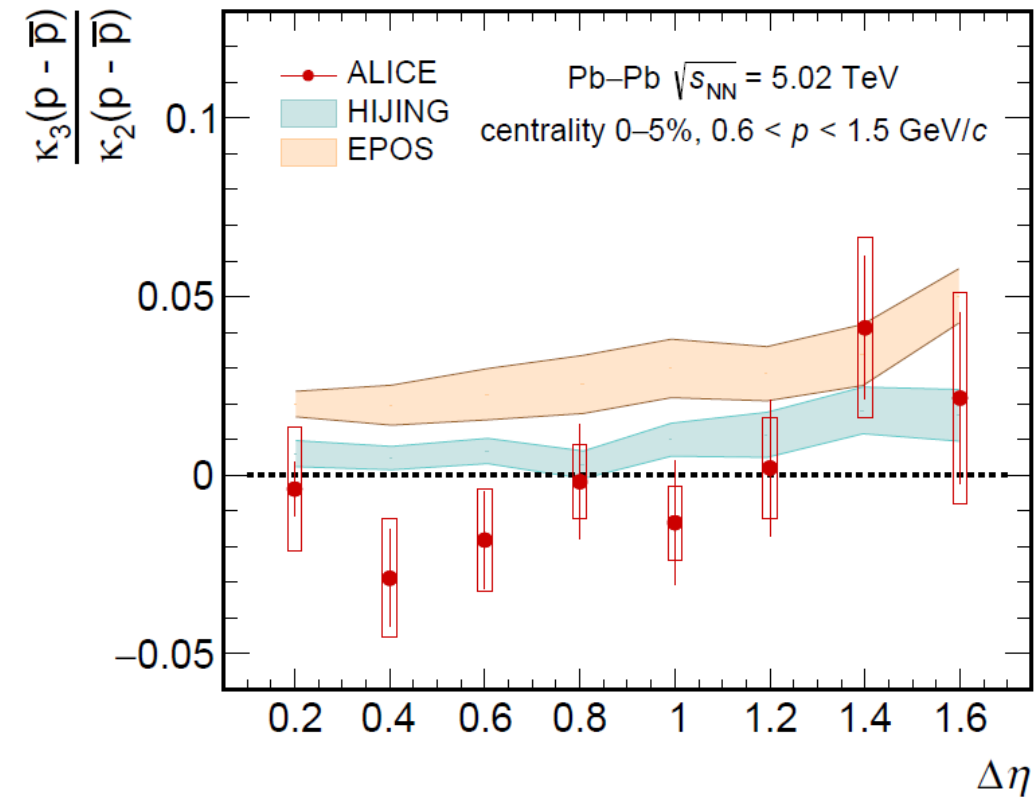
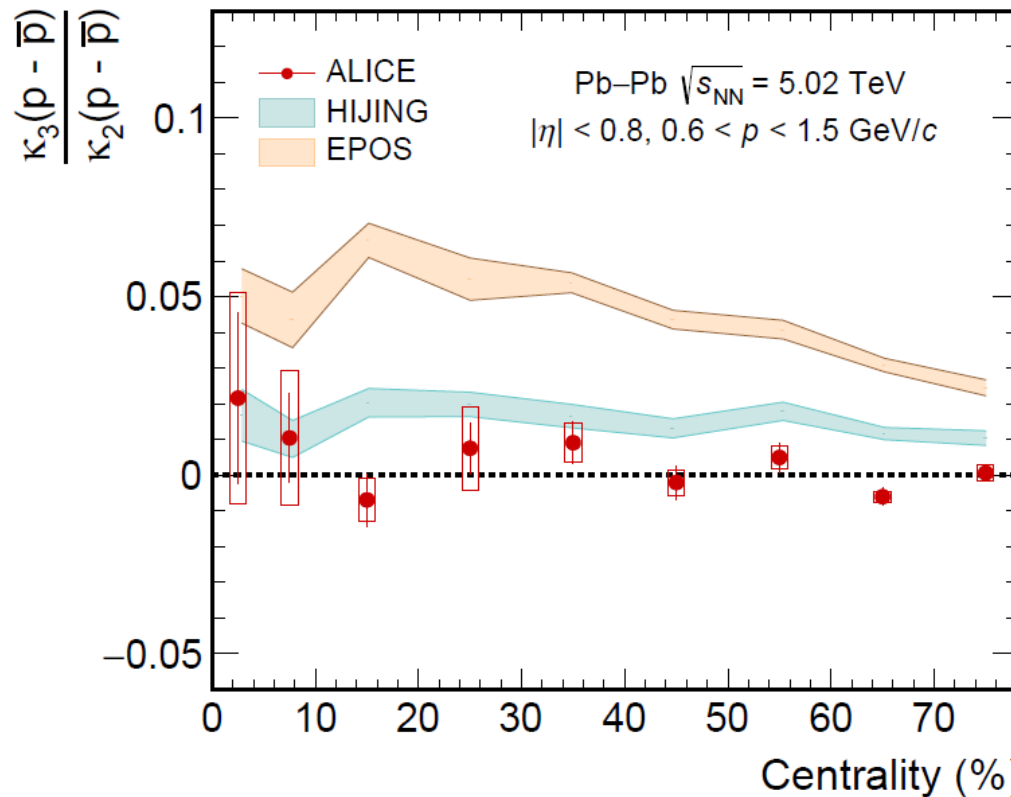
- EPOS agrees with ALICE data but HIJING deviates significantly.
- Event generators based on string fragmentation (HIJING) conserve baryon number over $\Delta y = \pm 1$ unit.



ALICE Collaboration, "Closing in on critical net-baryon fluctuations at LHC energies: cumulants up to third order in Pb-Pb collisions", Phys. Lett. B 844 (2023) 137545, [2206.03343](https://arxiv.org/abs/2206.03343)

Net-baryon fluctuations

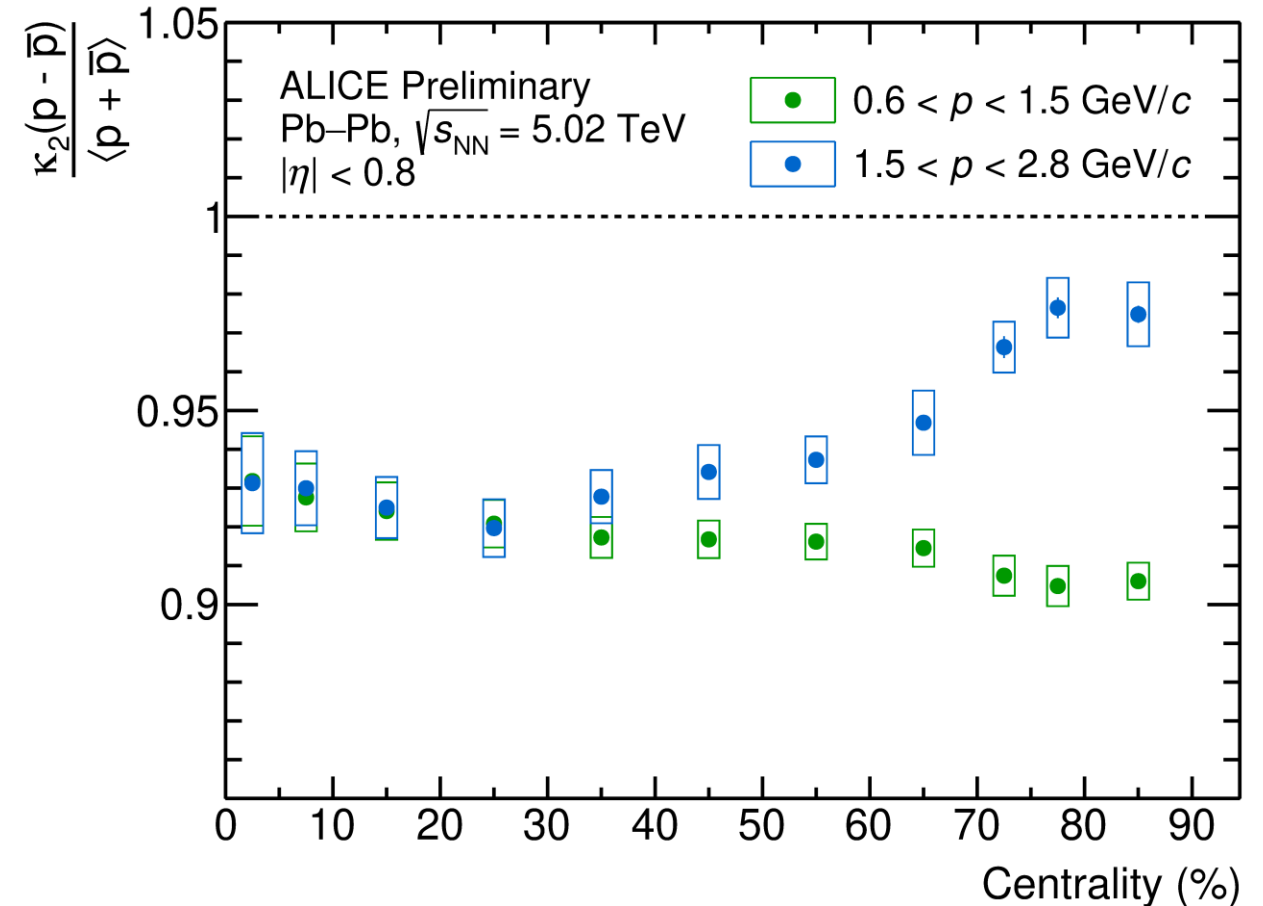
- First results for 3rd order cumulants of net protons:



ALICE Collaboration, "Closing in on critical net-baryon fluctuations at LHC energies: cumulants up to third order in Pb–Pb collisions", Phys. Lett. B 844 (2023) 137545, [2206.03343](https://arxiv.org/abs/2206.03343)

Net-proton fluctuations: larger momenta and more peripheral

- Can we measure the magnetic field produced in peripheral collisions?
- First measurement of net-proton cumulants above $p = 2 \text{ GeV}/c$.
- Low momenta: weak centrality dependence (due to radial flow?)
- High momenta: significant increase towards peripheral collisions
 - Magnetic field effect as expected by the LQCD?
 - Proton clusters?



Net- Ξ net-kaon correlation

- Event-by-event strangeness fluctuations – is thermalisation reached in all systems at LHC?
- Charged kaons and Ξ – negligible effects of heavy resonance decays.
- Net-particle fluctuations:

$$\kappa_2(\bar{\Xi}^+ - \Xi^-) = \kappa_2(\bar{\Xi}^+) + \kappa_2(\Xi^-) - 2\kappa_{11}(\bar{\Xi}^+, \Xi^-)$$

$$\kappa_{11}(\Delta\Xi, \Delta K) = \underbrace{\kappa_{11}(\bar{\Xi}^+, K^+) + \kappa_{11}(\Xi^-, K^-)}_{\text{same-sign}} - \underbrace{\kappa_{11}(\bar{\Xi}^+, K^-) + \kappa_{11}(\Xi^-, K^+)}_{\text{opposite-sign}}$$

same-sign

opposite-sign

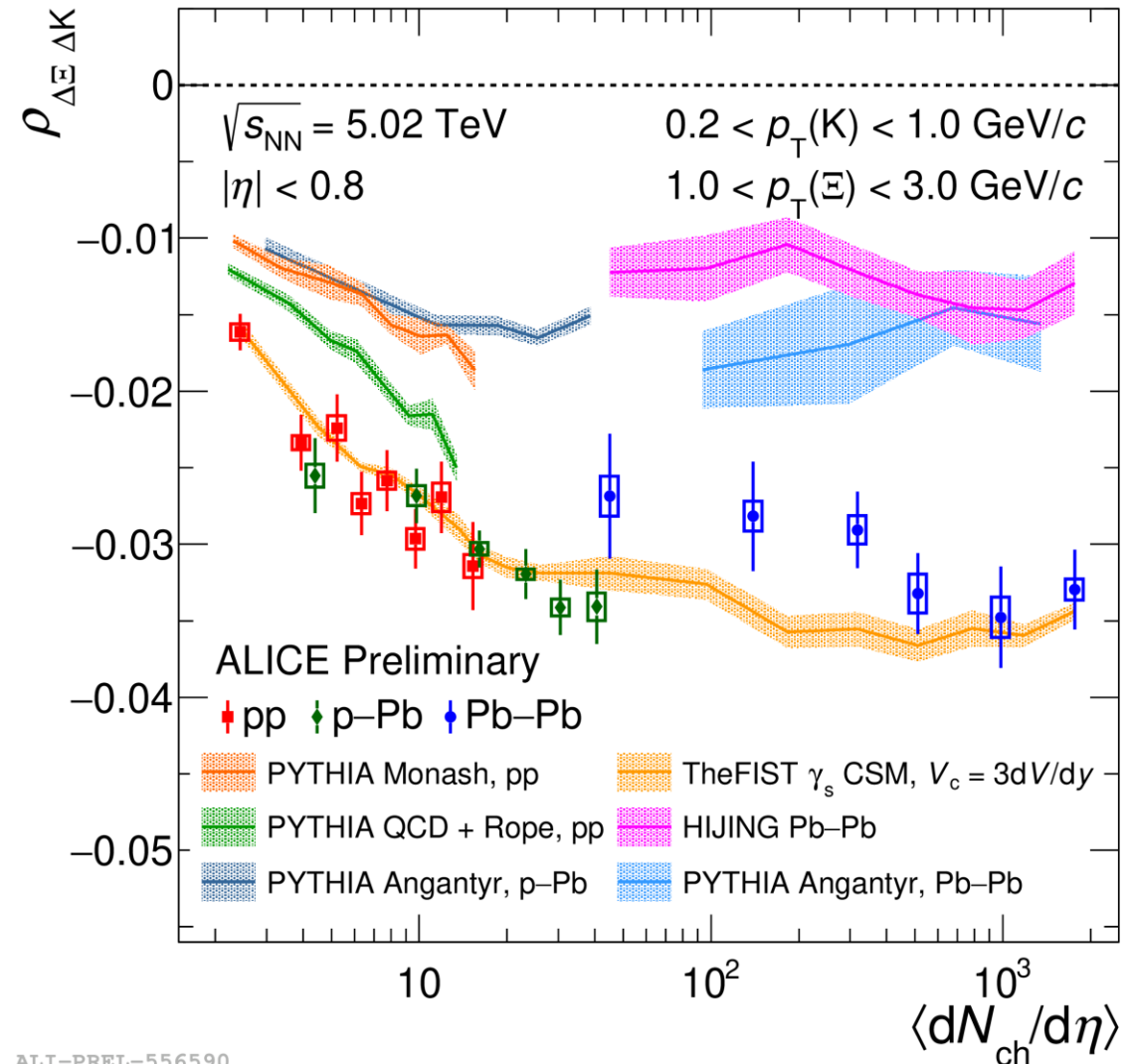
- Net-particle correlation:

$$\rho_{\Delta\Xi\Delta K} = \frac{\kappa_{11}(\Delta\Xi, \Delta K)}{\sqrt{\kappa_{2,\Delta\Xi}\kappa_{2,\Delta K}}}$$

$$\begin{aligned} \Delta\Xi &= n_{\bar{\Xi}^+} - n_{\Xi^-} \\ \Delta K &= n_{K^+} - n_{K^-} \end{aligned}$$

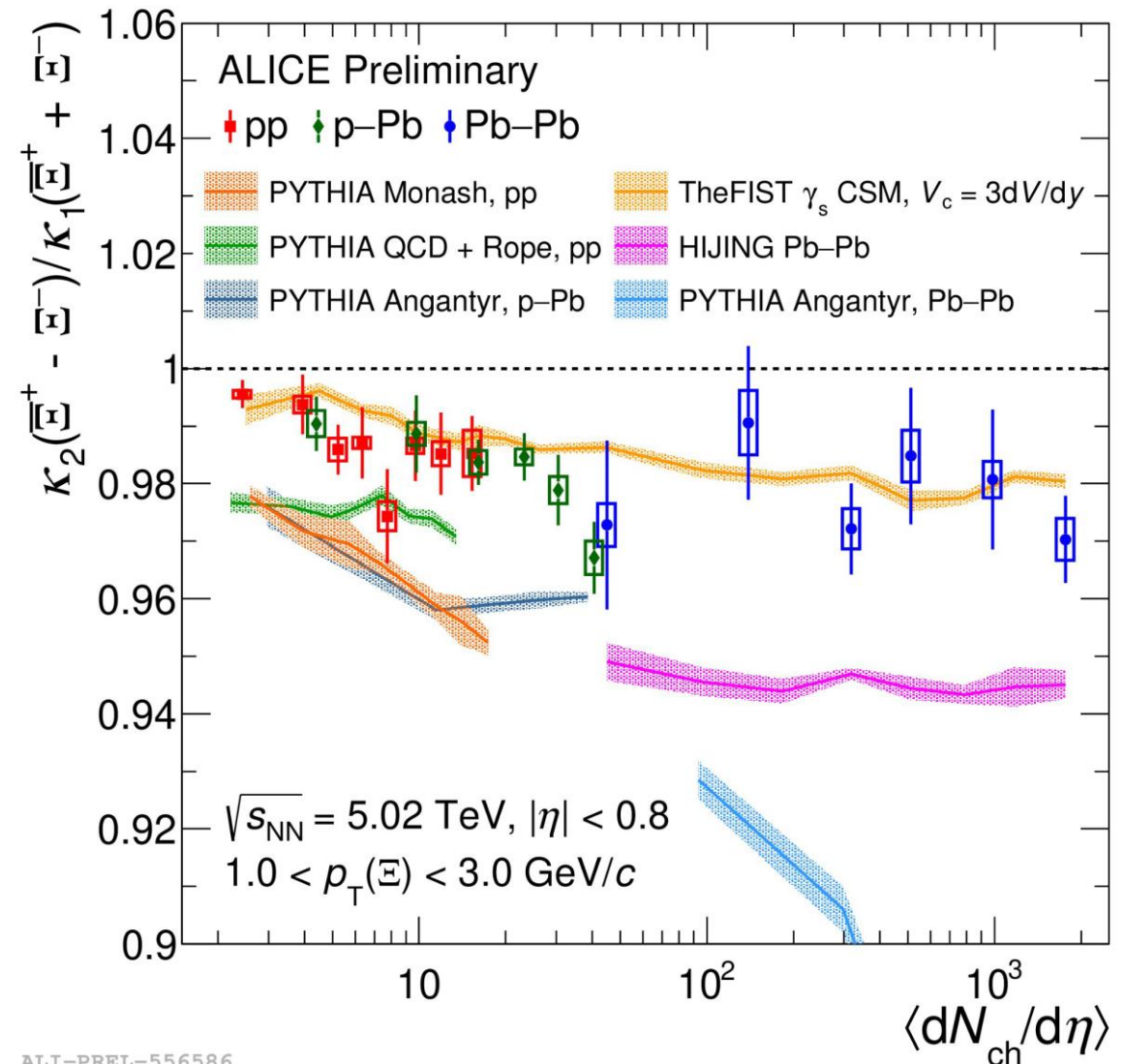
Net- Ξ net-kaon correlation

- Continuity of correlation from small to large systems.
- Predictions from the Thermal-FIST canonical statistical model (CSM) describe the data well, across different colliding systems, while PYTHIA and HIJING fail.
- Large correlation length for strangeness ($\sim 3dV/dy$) is observed.



Net- Ξ net-kaon correlation

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Net-charge fluctuations

- EbyE fluctuations of conserved quantities in a finite phase space window, like net charge, baryon number and strangeness, are considered to be sensitive indicators for de-confined phase transition.
- Dynamical net-charge fluctuations observable is defined as:

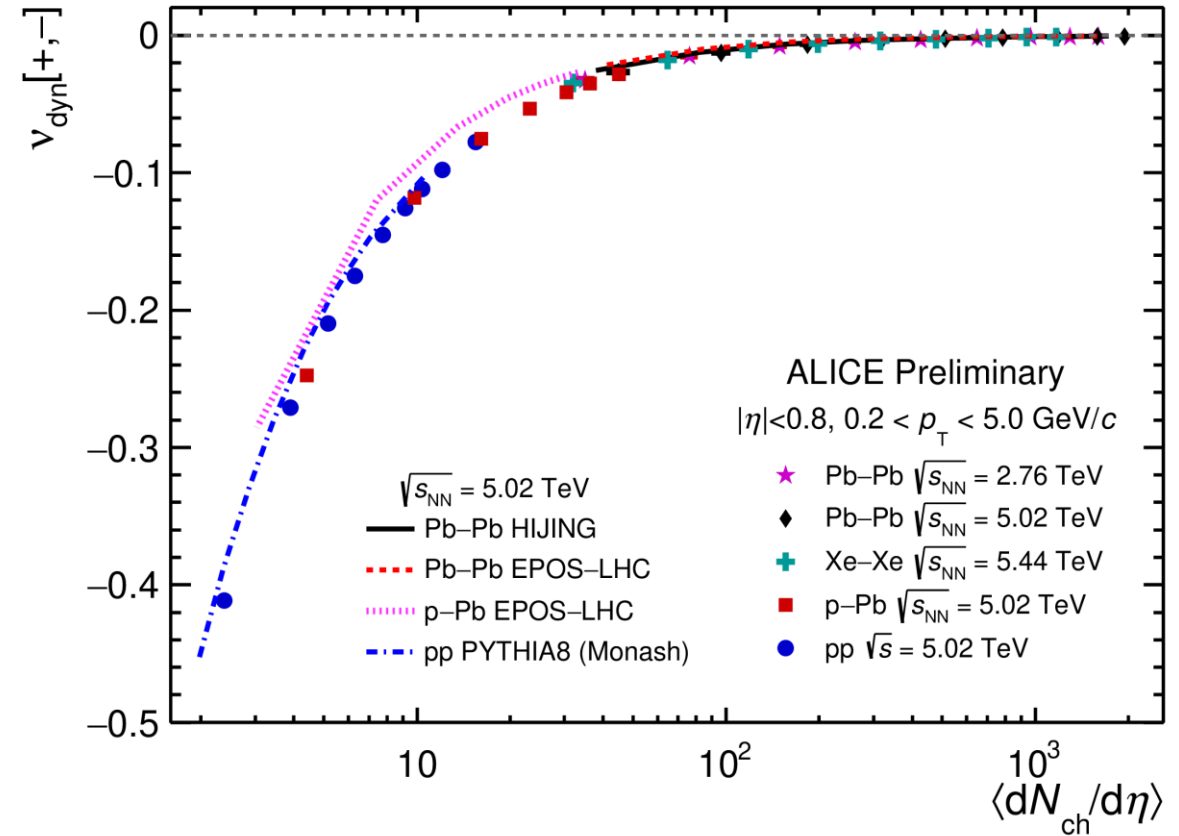
$$v_{[+-,dyn]} = \frac{\langle N_+(N_+ - 1) \rangle}{\langle N_+ \rangle^2} + \frac{\langle N_-(N_- - 1) \rangle}{\langle N_- \rangle^2} - 2 \frac{\langle N_+ N_- \rangle}{\langle N_+ \rangle \langle N_- \rangle}$$

C. Pruneau et al., Phys. Rev. C 66, 044904 (2002)

- N_+ and N_- – number of charged particles in the phase space of interest.
- This observable measures deviation from Poissonian behaviour.
- Robust against detection efficiency losses.

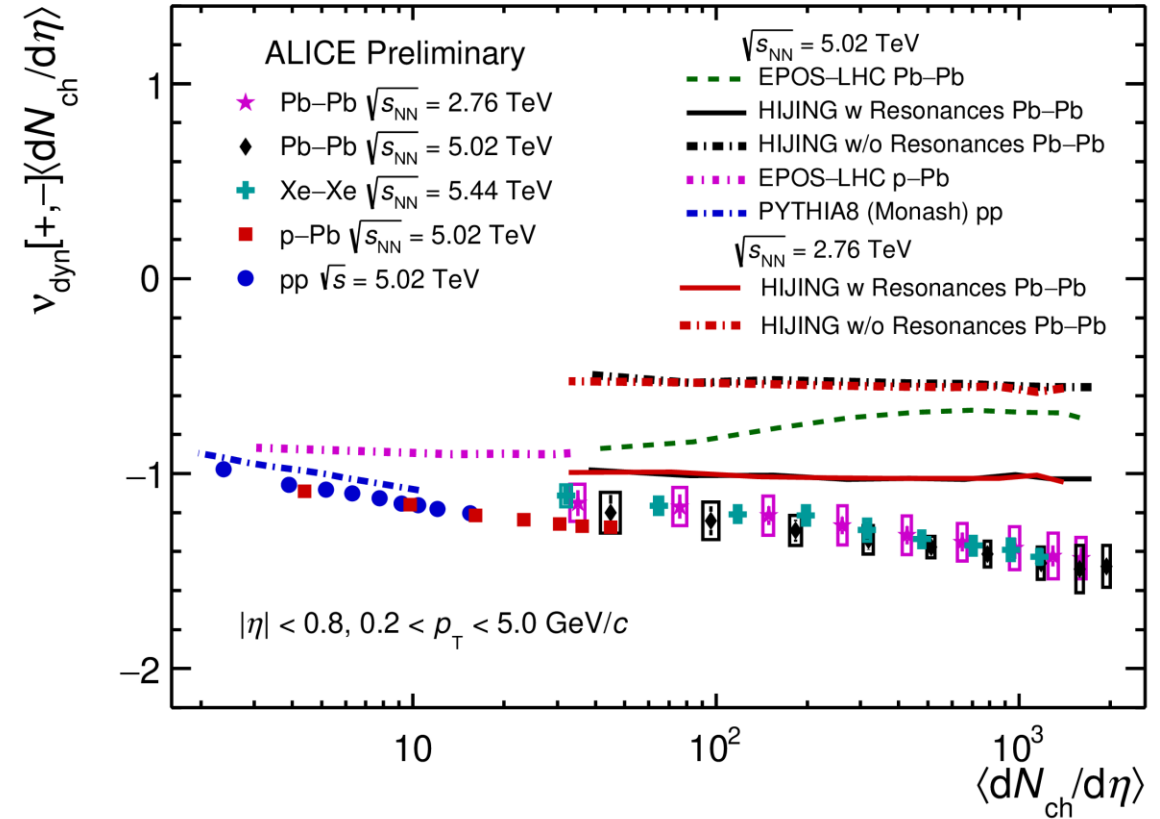
Net-charge fluctuations

- **Negative** $v_{\text{dyn}}[+,-]$ indicates the dominance of correlation between positive and negative charged particles.
- Smooth evolution with multiplicity across various collision systems.
- MC event generators show similar centrality dependence as data.



Net-charge fluctuations

- Scaling of $v_{\text{dyn}}[+,-]$ with respect to charged-particle density at midrapidity.
- HIJING predicts no centrality dependence – heavy-ion collisions are treated as superpositions of independent nucleon-nucleon collisions.
- Significant contribution of net-charge fluctuations can arise due to the resonance decays.



ALI-PREL-493926

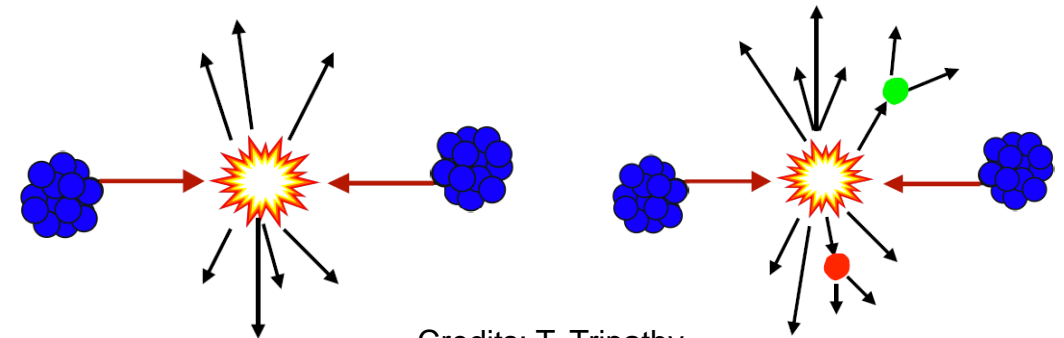
S. Khan, PoS EPS-HEP2021 (2022) 319, [proceedings](#)

Event-by-event fluctuations of $\langle p_T \rangle$

- $\langle p_T \rangle$ is a proxy for the local temperature of the produced system in high-energy nuclear collisions.
- Analyses are performed in 2 different collision systems: Xe–Xe and Pb–Pb.
- Separation of statistical and dynamical fluctuations.
- Observable: two-particle correlator $\langle \Delta p_T \Delta p_T \rangle$

$$\langle \Delta p_{T_i} \Delta p_{T_j} \rangle = \left\langle \frac{\sum_{i,j \neq i} (p_{T_i} - \langle \langle p_T \rangle \rangle) (p_{T_j} - \langle \langle p_T \rangle \rangle)}{N_{ch}(N_{ch} - 1)} \right\rangle$$

$$\langle \Delta p_{T_i} \Delta p_{T_j} \rangle = \left\langle \frac{(Q_1)^2 - Q_2}{N_{ch}(N_{ch} - 1)} \right\rangle - \left\langle \frac{Q_1}{N_{ch}} \right\rangle^2, \text{ where } Q_n = \sum_{i=1}^N (p_{T,i})^n$$



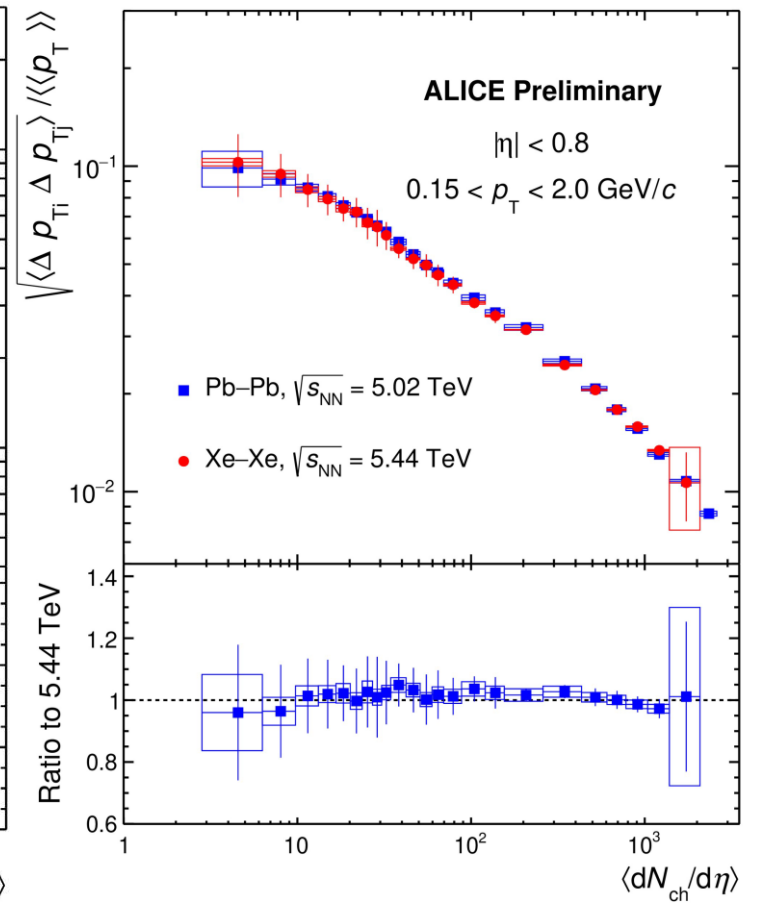
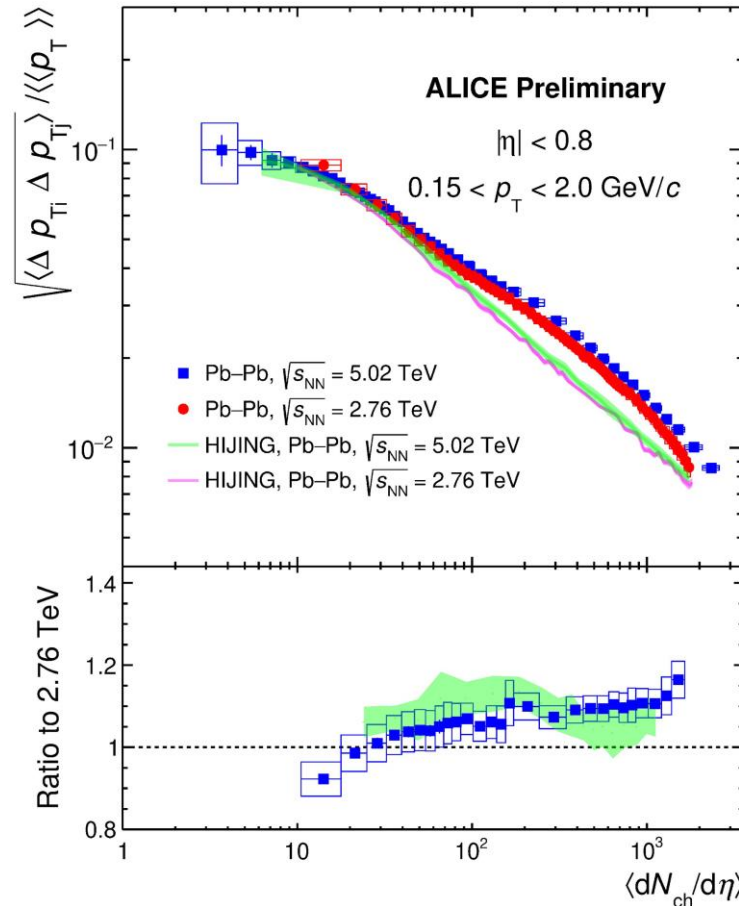
Credits: T. Tripathy

LHS – only trivial statistical fluctuations
 RHS – dynamical fluctuations (mini jets, resonances, etc.)

G. Giacalone, F. G. Gardim, J. Noronha-Hostler, and J-Y. Ollitrault
 Phys. Rev. C **103**, 024910 (2021)

Event-by-event fluctuations of $\langle p_T \rangle$

- Evolution of the correlator strength with charged particle pseudorapidity density as a function of
 - beam energy;
 - collision system size.
- Progressive dilution with multiplicity in all three systems \Leftrightarrow increase of number of correlated particle sources vs $\langle dN_{ch}/d\eta \rangle$.
- Deviation from HIJING suggests the presence of radial flow.

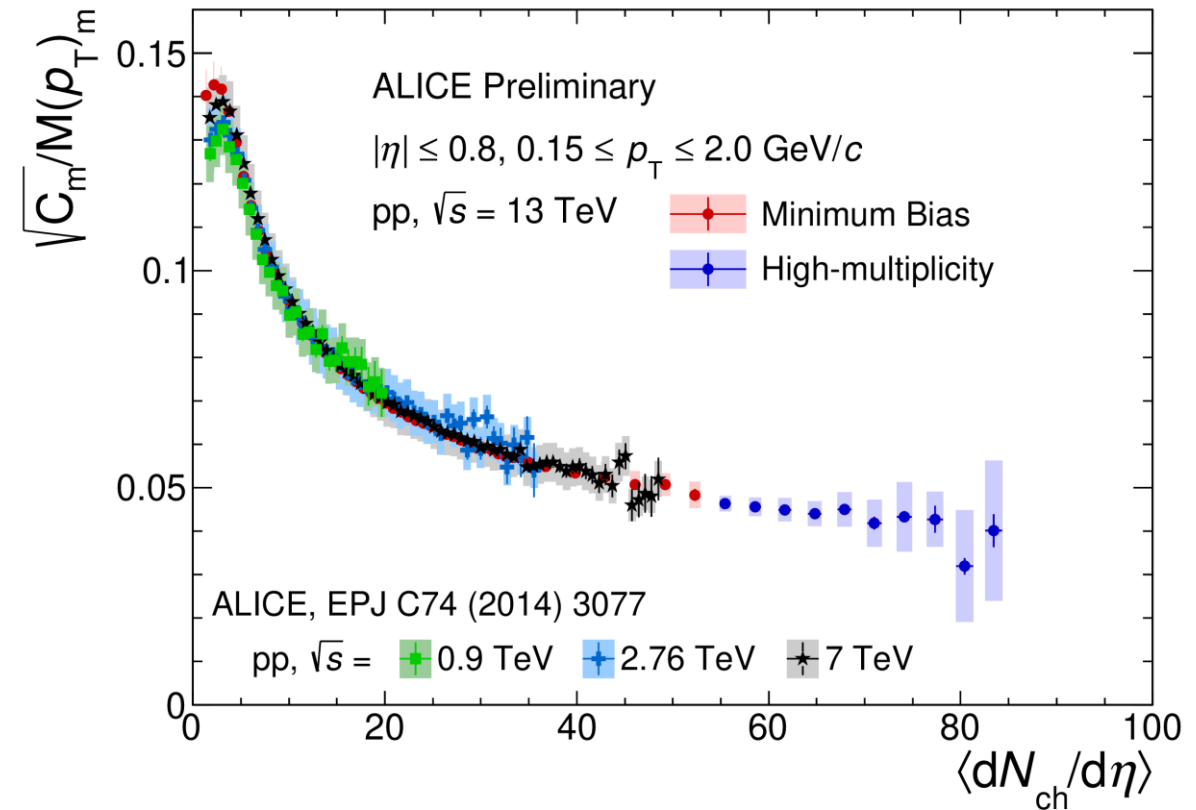


Mean p_T fluctuations

- Mean p_T fluctuations in 13 TeV pp data:
 - High-multiplicity pp data;
 - Differential fluctuations of identified particles.
- Observable: Normalized two-particle transverse momentum correlator $\sqrt{C_m}/M(p_T)$

$$C_m = \frac{1}{\sum_{k=1}^{n_{evt},m} N_k^{pairs}} \sum_{k=1}^{n_{evt},m} \sum_{i=1}^{N_{acc},k} \sum_{j=i+1}^{N_{acc},k} (p_{T,i} - M(p_T)_m) * (p_{T,j} - M(p_T)_m)$$

$$M(p_T)_m = \frac{1}{\sum_{k=1}^{n_{evt},m} N_{acc,k}} \sum_{k=1}^{n_{evt},m} \sum_{i=1}^{N_{acc,k}} p_{T,i}$$



ALI-PREL-550688

Skewness and kurtosis of $\langle p_T \rangle$ fluctuations

- Main idea: Fluctuations in temperature between different phases in QCD phase diagram are inscribed in event-by-event $\langle p_T \rangle$ fluctuations of final-state particles.
- Two categories of fluctuations:
 - Statistical – trivial, due to finite multiplicity;
 - Dynamical – encode nontrivial physics.
- Main challenge: How to disentangle dynamical fluctuations from the ones which are non-thermodynamic in nature (fluctuations of initial positions of participating nucleons, etc.)?
- Higher moments of $\langle p_T \rangle$ fluctuations: **skewness** and **kurtosis**

$$\gamma_{\langle p_T \rangle} = \frac{\langle \Delta p_{T,i} \Delta p_{T,j} \Delta p_{T,k} \rangle}{\langle \Delta p_{T,i} \Delta p_{T,j} \rangle^{3/2}}$$

Standardized skewness

$$\Gamma_{\langle p_T \rangle} = \frac{\langle \Delta p_{T,i} \Delta p_{T,j} \Delta p_{T,k} \rangle \langle \langle p_T \rangle \rangle}{\langle \Delta p_{T,i} \Delta p_{T,j} \rangle^2}$$

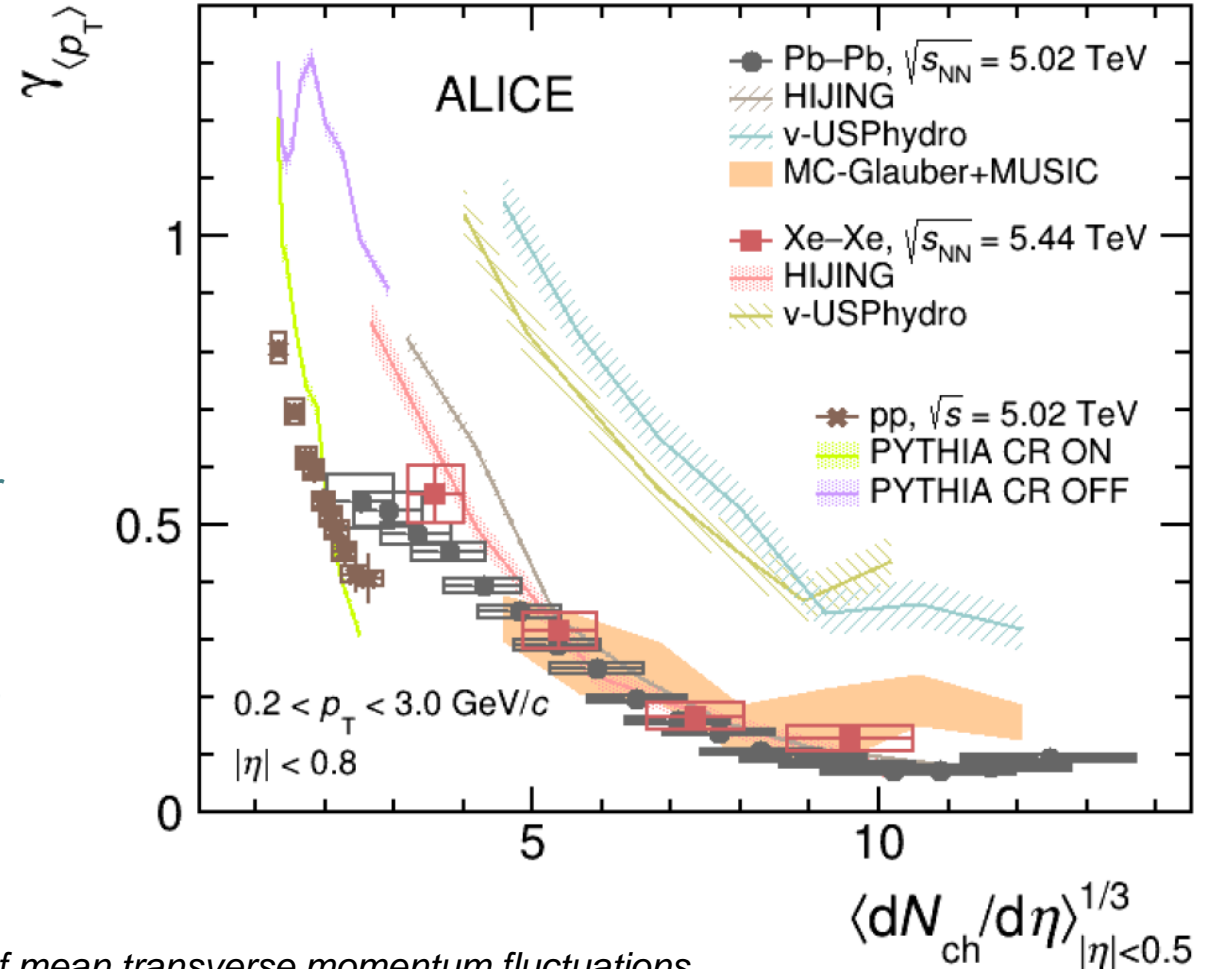
Intensive skewness

$$\kappa_{\langle p_T \rangle} = \frac{\langle \Delta p_i \Delta p_j \Delta p_k \Delta p_l \rangle}{\langle \Delta p_i \Delta p_j \rangle^2}$$

Kurtosis

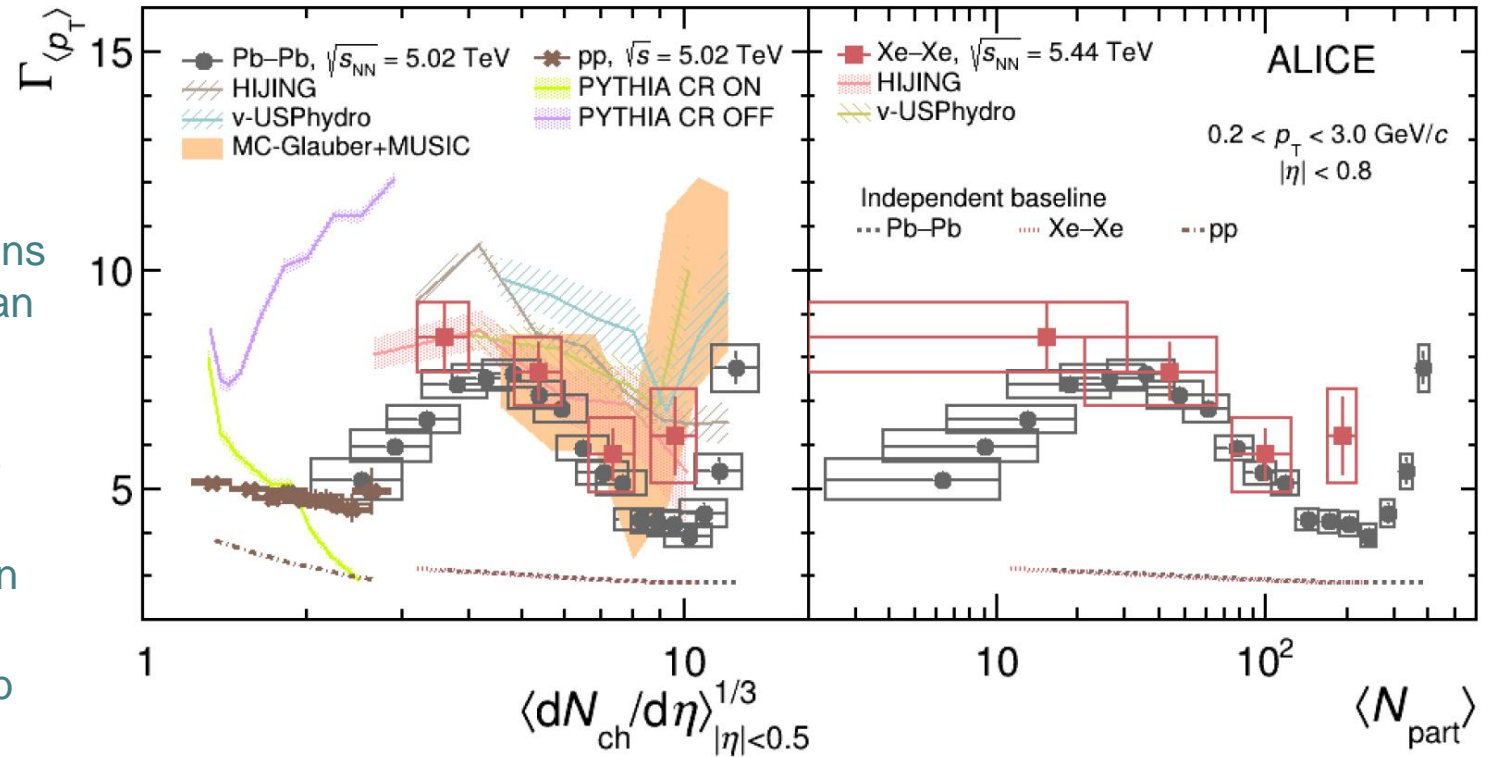
Skewness and kurtosis of $\langle p_T \rangle$ fluctuations

- Measurements performed in three different collision systems: Pb–Pb, Xe–Xe and pp.
- Common proxy for system size: $\langle dN_{ch}/d\eta \rangle_{|\eta|<0.5}^{1/3}$
- Main results for standardized skewness:
 - Positive standardized skewness of $\langle p_T \rangle$ fluctuations in Pb–Pb, Xe–Xe and pp collisions – an essential consequence of hydrodynamic evolution;
 - However, positive skewness of $\langle p_T \rangle$ fluctuations also for small system size – difficult to reconcile with hydro;
 - Hydro model MUSIC with Monte Carlo Glauber initial conditions qualitatively describes skewness;
 - PYTHIA captures qualitatively the same measurements in pp collisions (colour reconnection (CR) mechanism plays a pivotal role).



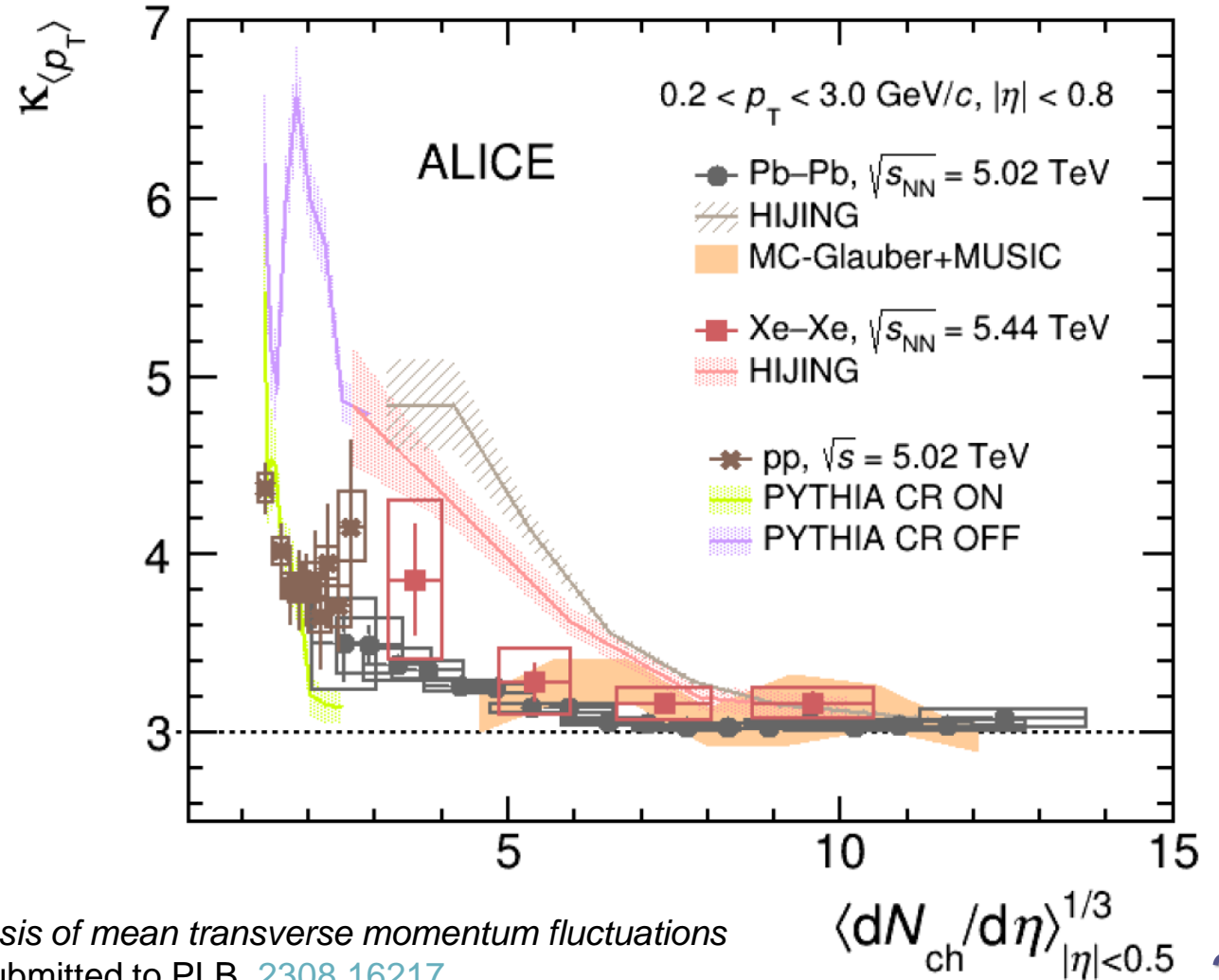
Skewness and kurtosis of $\langle p_T \rangle$ fluctuations

- Intensive skewness, as a function of system size and N_{part} .
- Main results:
 - Positive intensive skewness of $\langle p_T \rangle$ fluctuations in Pb–Pb, Xe–Xe and pp collisions, larger than the independent baseline;
 - Neither version of PYTHIA (with and without color reconnection mechanism) can describe pp data;
 - Only hydro-based models capture the sudden rise in most central collisions;
 - Non-trivial system size dependence in Pb–Pb and Xe–Xe, monotonic decrease in pp.



Skewness and kurtosis of $\langle p_T \rangle$ fluctuations

- Kurtosis as a function of system size
- Main results:
 - Kurtosis of $\langle p_T \rangle$ fluctuations in Pb–Pb, Xe–Xe and pp collisions decreases as system size increases;
 - Kurtosis approaches independent Gaussian baseline only in most central collisions;
 - Only PYTHIA with color reconnection can qualitatively describe pp data;
 - MC-Glauber+MUSIC captures Pb–Pb data in most central collisions;
 - HIJING overestimates the data.



Intermittency analysis of charged-particle production

- The final distribution of particles in phase-space cells depends upon the dynamics of initial processes involving partons.
- Universal property of critical phenomena – existence of clusters of all sizes without characteristic scale.
- Scaled factorial moments – by definition, filter out statistical Poisson noise and isolate only dynamical fluctuations:

$$F_q(\delta^d) = \frac{\langle n! / (n - q)! \rangle}{\langle n \rangle^q}$$

q – order of moment

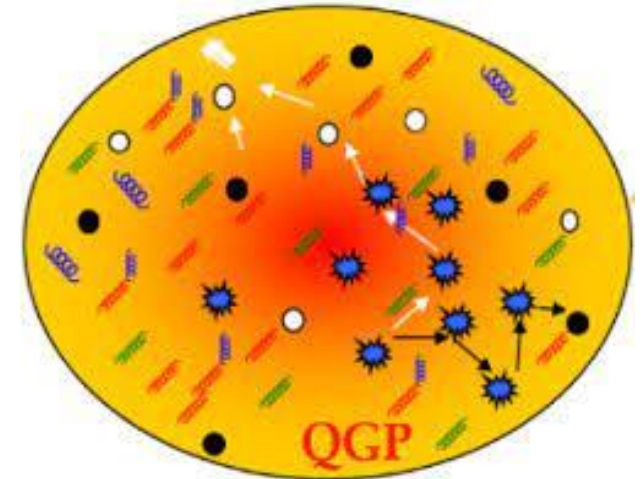
δ^d – bin size in d-dimensional space

n – bin multiplicity



- If multiplicity distribution is Poissonian, for any bin size δ it follows:

$$F_q(\delta) = 1$$



Intermittency analysis of charged-particle production

- Studying scaling behaviour of the spatial distributions of the produced particles – **intermittency**

- Power-law scaling behaviour:

$$F_q(\delta) \propto \delta^{-\varphi_q}$$

φ_q – intermittency index (constant at any q)

M – number of bins

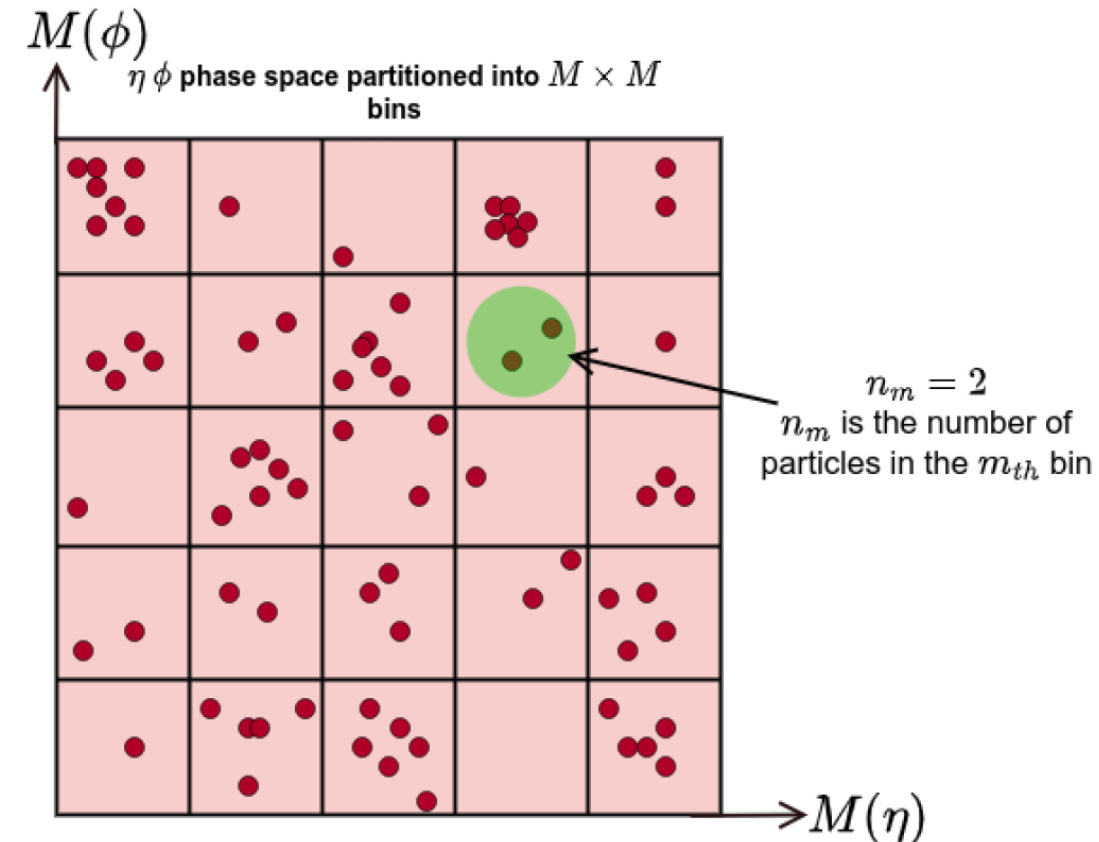
$\delta = 1/M$ – bin resolution

- 2nd order-phase transition is characterized by:

$$F_q \propto F_2^{(q-1)^\nu}, \quad \nu = 1.304$$

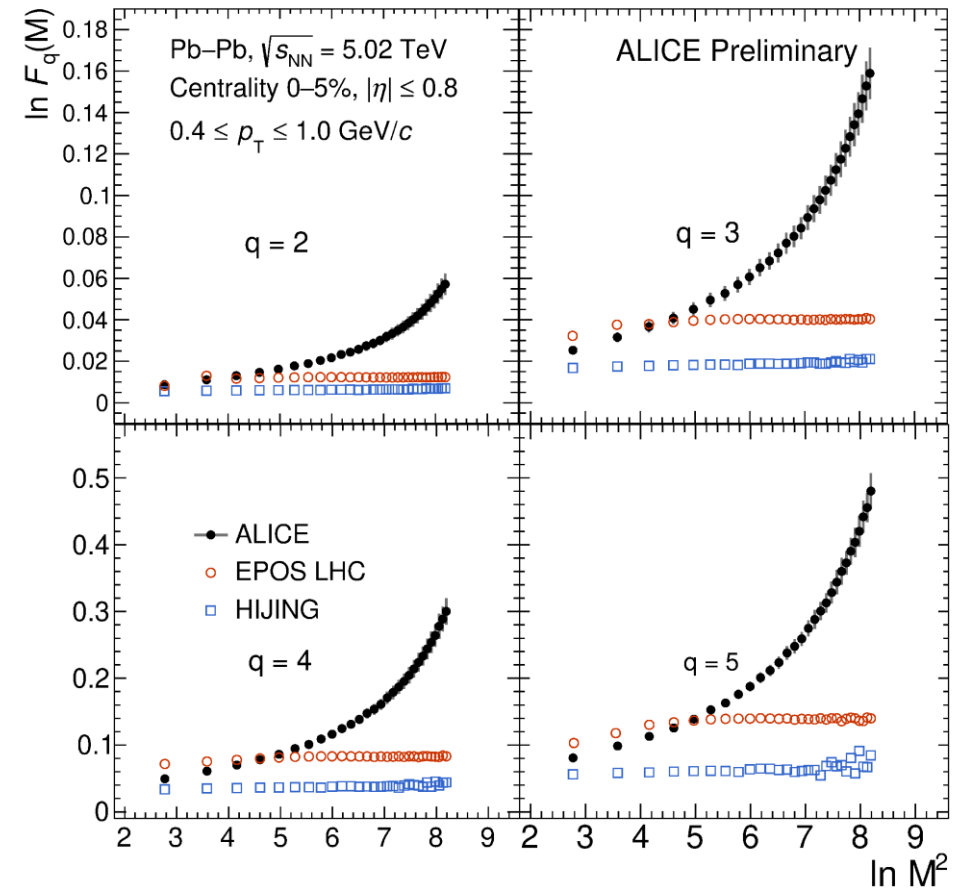
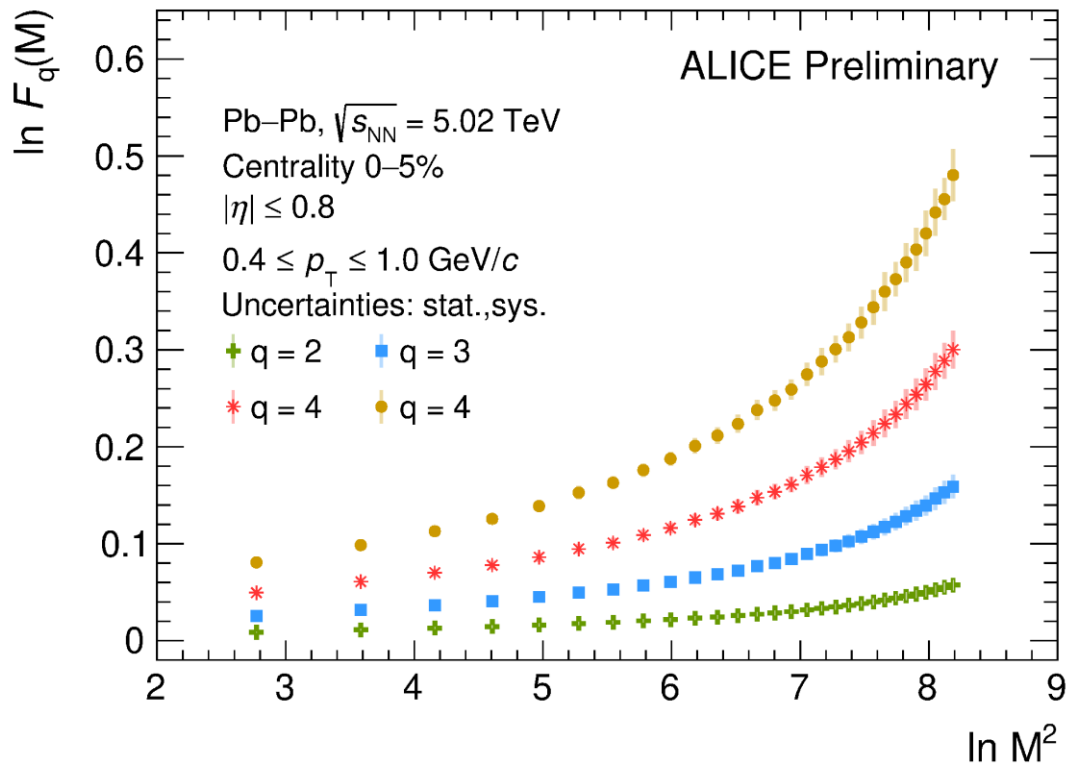
ν – scaling exponent, universal quantity

- First preliminary results on intermittency studies at LHC energies, ongoing discussion on their interpretation.



Intermittency analysis of charged-particle production

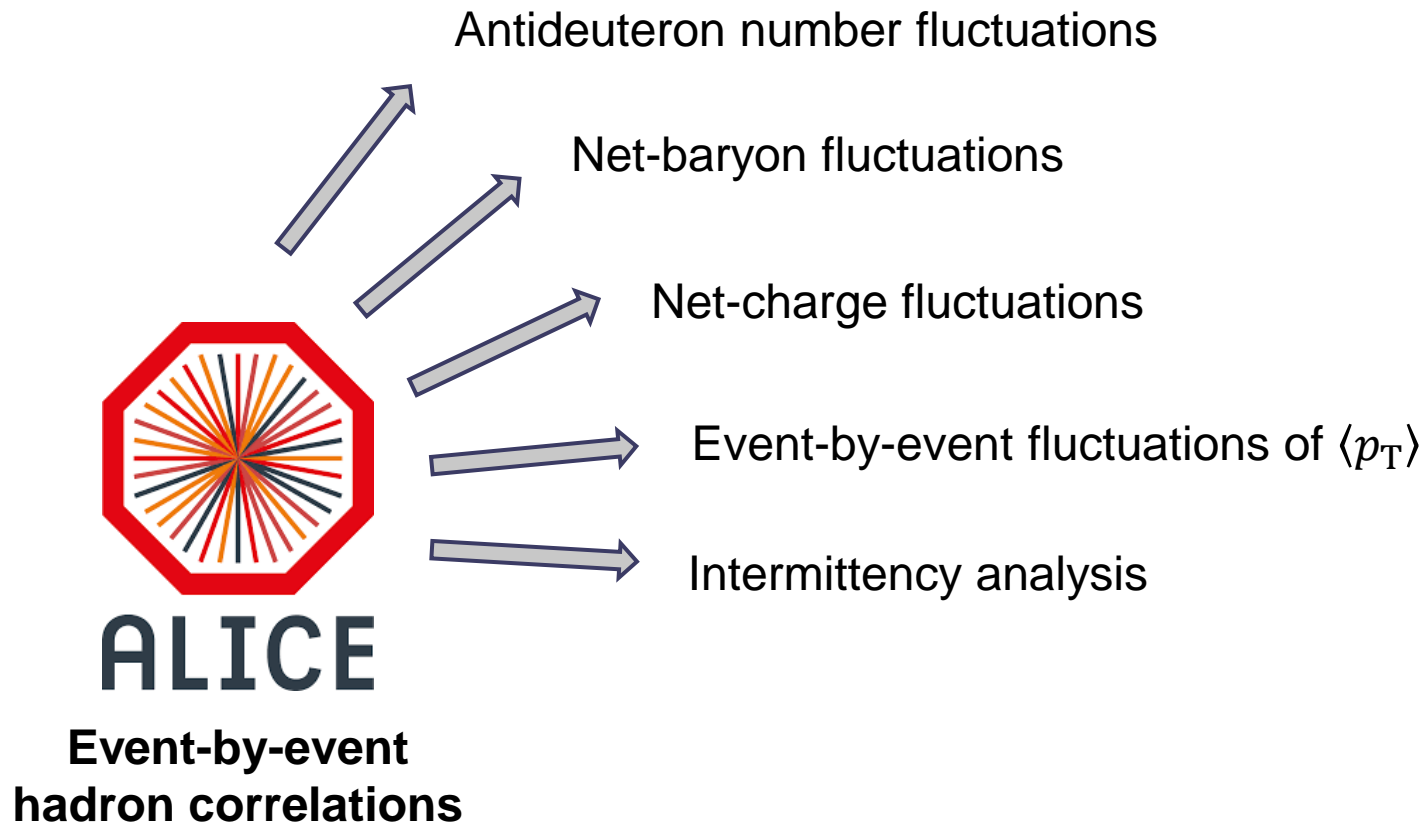
- Power-law growth of F_q with the increase in the number of bins (M) – scale-invariant pattern:
- Qualitative and quantitative differences are observed between data and models:



ALI-PREL-549715

R. Gupta, S. K Malik, S. Sharma, QM23 [poster](#)

ALI-PREL-559667

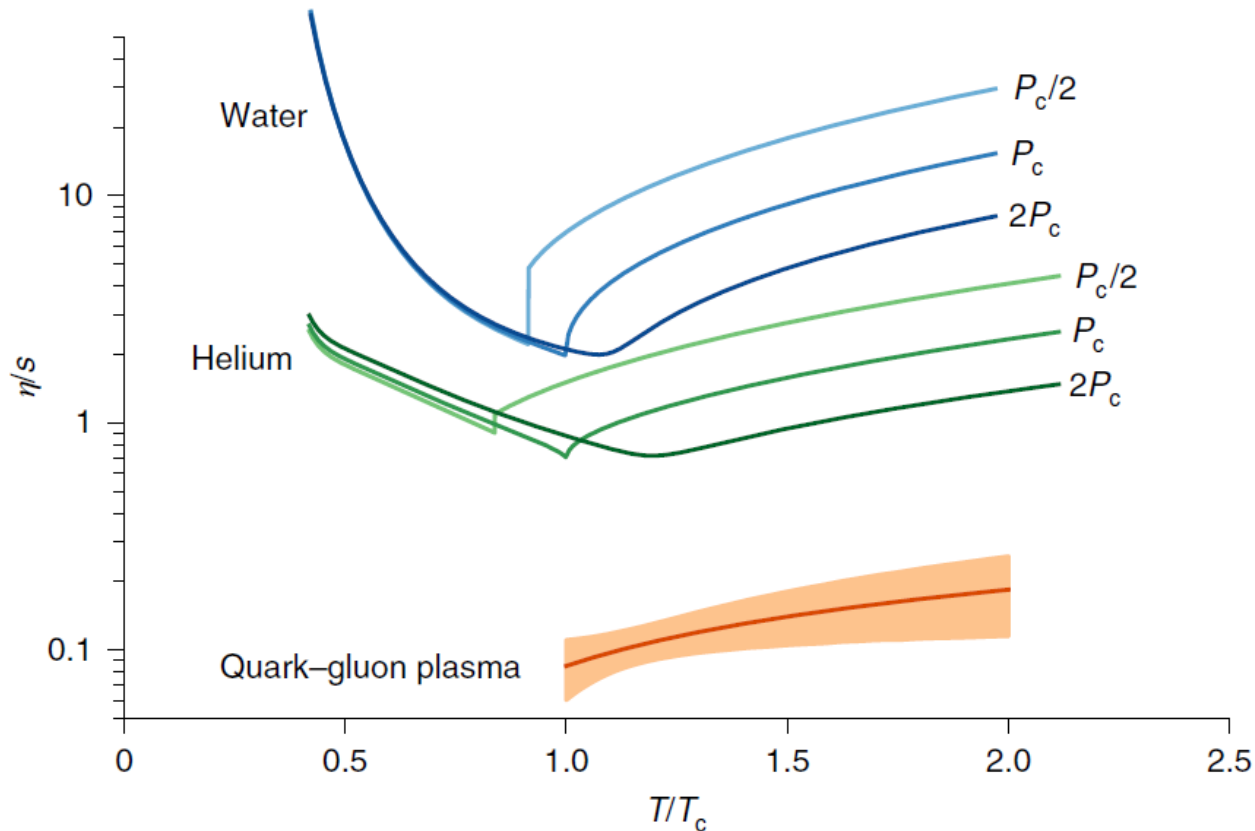


Thanks!

Backup slides

Example #1: Transport properties of QGP

- Temperature dependence of QGP's specific shear viscosity (η/s) is smallest of all known substances



Bernhard, J.E., Moreland, J.S. & Bass, S.A. *Nat. Phys.* **15**, 1113–1117 (2019),

A bit of math





- The mathematical foundation of cumulants is well established!

Theorem: A cumulant $\langle X_i X_j \dots \rangle_c$ is zero if the elements X_i, X_j, \dots are divided in two or more groups which are statistically independent.

Collorary: A cumulant is zero if one of the variables in it is independent of the others. Conversely, a cumulant is not zero if and only if the variables in it are statistically connected.

Kubo, Journal of the Physical Society of Japan, Vol. 17, No. 7, (1962)

- Careful reading is mandatory, one statement is not covered:

	$\kappa = 0 \Leftarrow$ variables are independent ,	
	$\kappa = 0 \not\Rightarrow$ variables are independent ,	
	$\kappa \neq 0 \Leftrightarrow$ variables are not independent .	

Cumulant can be trivially zero due to underlying symmetries!

Fluctuations, p.d.f., moments, cumulants

- Properties of random (stochastic) observable v of interest are specified by functional form of probability density function (p.d.f.) $f(v)$
- Different moments carry by definition independent information about the underlying p.d.f. $f(v_n)$

$$\langle v_n^k \rangle \equiv \int v_n^k f(v_n) dv_n$$

- Two completely different p.d.f.'s $f(v_n)$ can have first moment $\langle v_n \rangle$ to be the same, and all higher-order moments different
- Is it mathematically equivalent to specify functional form $f(v_n)$ and all its moments $\langle v_n^k \rangle$?
- A priori it is not guaranteed that a p.d.f. $f(v_n)$ is uniquely determined by its moments $\langle v_n^k \rangle$
 - Necessary and sufficient conditions have been worked out only recently

$$K[f] \equiv \int_0^\infty \frac{-\ln f(x^2)}{1+x^2} dx \quad \Rightarrow \quad K[f] = \infty$$

Krein-Lin conditions (1997)

$$L(x) \equiv -\frac{xf'(x)}{f(x)} \quad \Rightarrow \quad \lim_{x \rightarrow \infty} L(x) = \infty$$

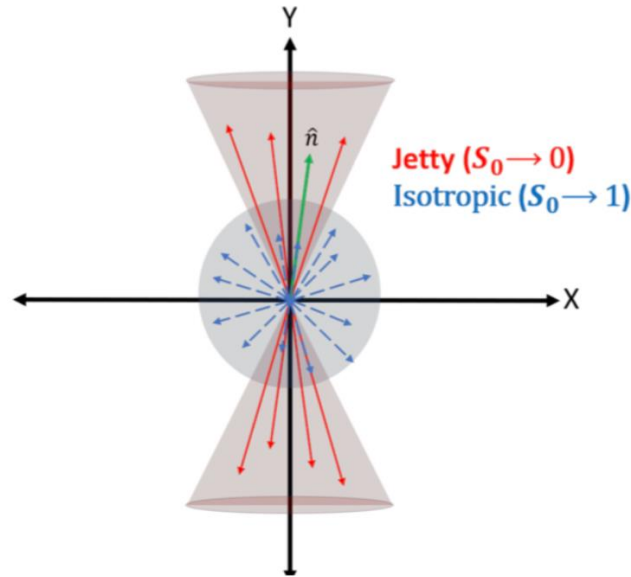
J. Stoyanov, Section 3 in 'Determinacy of distributions by their moments', Proceedings 2006

Spherocity

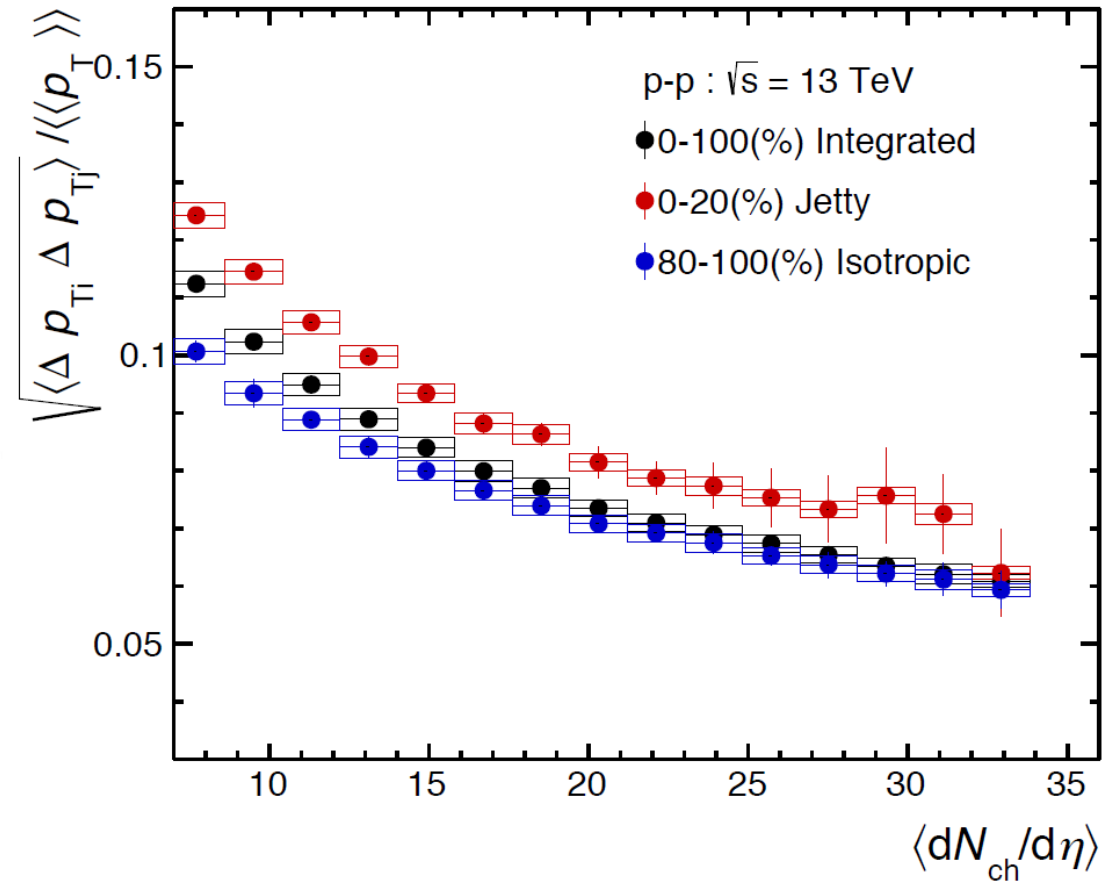
- How to differentiate hard and soft processes?
 - Hard processes are “jetty” => back-to-back particle emission
 - Absence of jets => soft QCD, isotropic particle emission

- Transverse spherocity S_0

$$S_0 = \frac{\pi^2}{4} \min_{\hat{n}=(n_x, n_y, 0)} \left(\frac{\sum_i |\vec{p}_{Ti} \times \hat{n}|}{\sum_i p_{Ti}} \right)^2$$



A. Khuntia, S. Tripathy, A. Bisht and R. Sahoo, J. Phys. G48, 035102 (2021)



2-particle cumulants in general

- Cumulants are alternative to moments to describe stochastic properties of variable
- If 2 p.d.f.'s have the same moments, they will also have the same cumulants, and vice versa
 - True both for univariate and multivariate case
- X_i denotes the general i -th stochastic variable
- The most general decomposition of 2-particle correlation is:

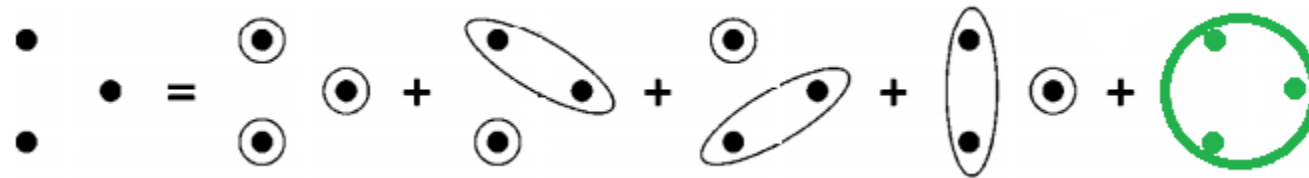
$$\langle X_1 X_2 \rangle = \langle X_1 \rangle \langle X_2 \rangle + \langle X_1 X_2 \rangle_c$$

- By definition, the 2nd term on RHS is 2-particle cumulant
- Cumulants cannot be measured directly, however:

$$\langle X_1 X_2 \rangle_c = \langle X_1 X_2 \rangle - \langle X_1 \rangle \langle X_2 \rangle$$

3-particle cumulants in general

- The most general decomposition of 3-particle correlation is:



- Or written mathematically:

$$\begin{aligned}
 \langle X_1 X_2 X_3 \rangle &= \langle X_1 \rangle \langle X_2 \rangle \langle X_3 \rangle \\
 &+ \langle X_1 X_2 \rangle_c \langle X_3 \rangle + \langle X_1 X_3 \rangle_c \langle X_2 \rangle + \langle X_2 X_3 \rangle_c \langle X_1 \rangle \\
 &+ \langle X_1 X_2 X_3 \rangle_c
 \end{aligned}$$

- The key point: 2-particle cumulants were expressed independently in terms of measured correlations in the previous step!

$$\langle X_1 X_2 \rangle_c = \langle X_1 X_2 \rangle - \langle X_1 \rangle \langle X_2 \rangle$$

3-particle cumulants in general

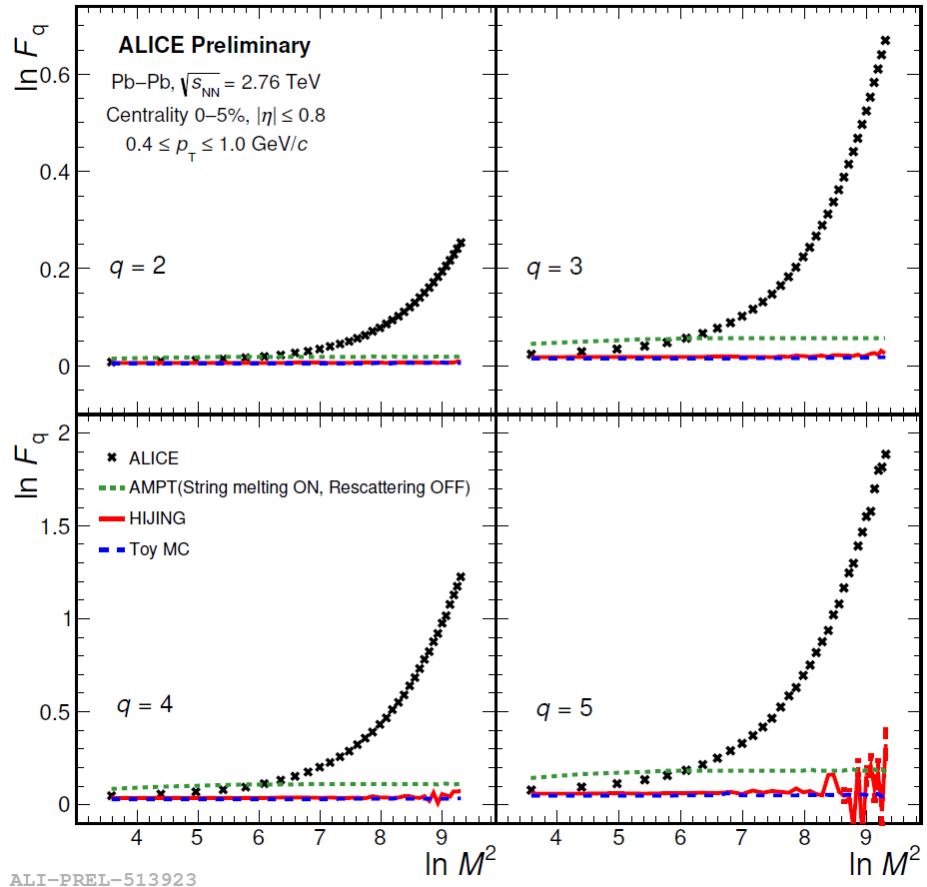
- Working recursively from higher to lower orders, we eventually have 3-particle cumulant expressed in terms of measured 3-, 2-, and 1-particle averages

$$\begin{aligned}\langle X_1 X_2 X_3 \rangle_c &= \langle X_1 X_2 X_3 \rangle \\ &- \langle X_1 X_2 \rangle \langle X_3 \rangle - \langle X_1 X_3 \rangle \langle X_2 \rangle - \langle X_2 X_3 \rangle \langle X_1 \rangle \\ &+ 2 \langle X_1 \rangle \langle X_2 \rangle \langle X_3 \rangle\end{aligned}$$

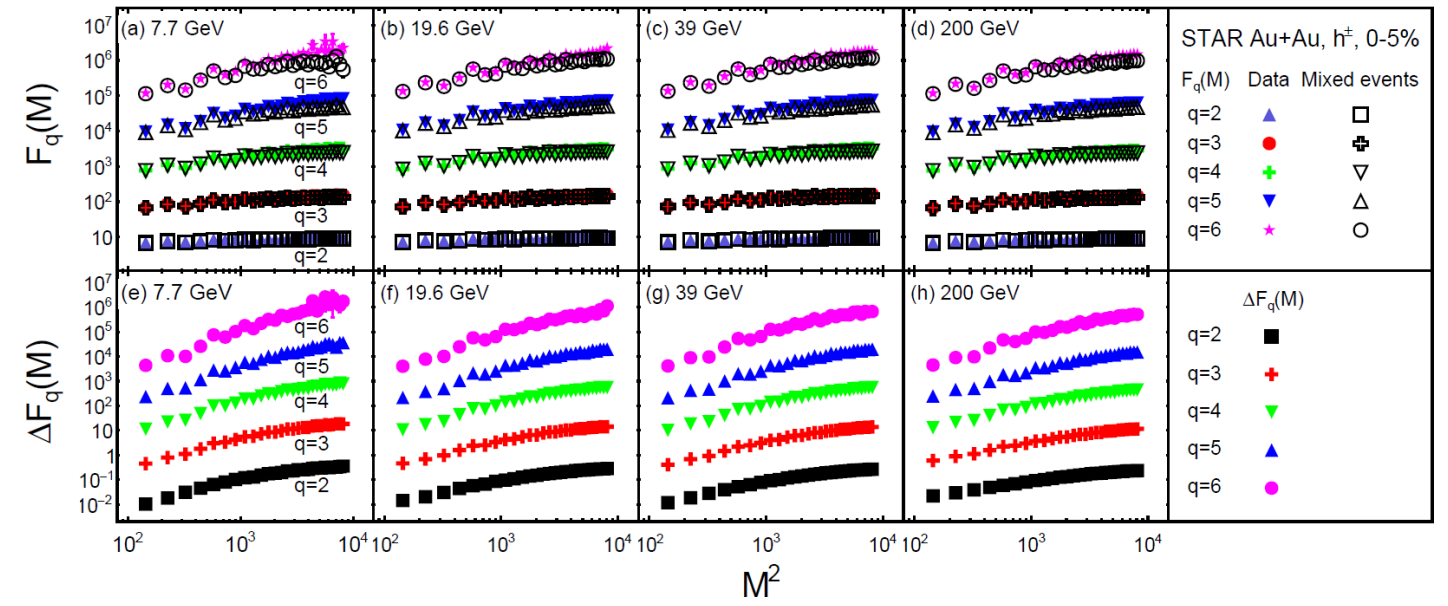
- In the same way, cumulants can be expressed in terms of measurable averages for any number of particles
 - The number of terms grows rapidly

Intermittency analysis of charged-particle production

- ALICE preliminary:



- Very challenging results and interpretation of results
- Results from STAR Collaboration



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