

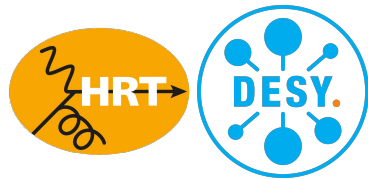
Perturbative splitting in DPDs and DPS.

Numerical impact of NLO corrections

November 21, 2023

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Part I

DPDs in the limit of small interparton distance.

Small- y splitting.

Small distance limit of DPDs.

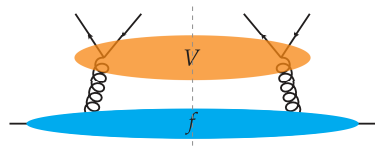
Operator product expansion of DPDs for $\mathbf{y} \rightarrow 0$:

$$F_{a_1 a_2}(\mathbf{y}; \mu, \mu) \stackrel{y \rightarrow 0}{\approx} F_{a_1 a_2}^{\text{int}}(\mathbf{y}; \mu, \mu) + F_{a_1 a_2}^{\text{spl}}(\mathbf{y}; \mu, \mu)$$

where $F_{a_1 a_2}^{\text{int}}$ and $F_{a_1 a_2}^{\text{spl}}$ can be expressed in terms of twist-4 distributions and PDFs, respectively.

$F_{a_1 a_2}^{\text{spl}}$ is enhanced with respect to $F_{a_1 a_2}^{\text{int}}$ by a factor of y^{-2} , making it the leading contribution at small y :

$$F_{a_1 a_2}(\mathbf{y}; \mu, \mu) \stackrel{y \rightarrow 0}{\approx} F_{a_1 a_2}^{\text{spl}}(\mathbf{y}; \mu, \mu) = \frac{1}{\pi \mathbf{y}^2} V_{a_1 a_2, a_0}(\mathbf{y}, \mu) \otimes_{12} f_{a_0}(\mu)$$



Issues with the DPS cross section?

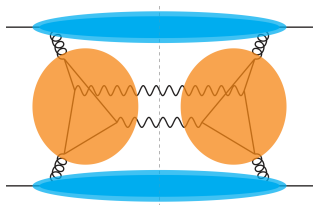
$$\int d^2 \mathbf{y} F_{a_1 a_2}(\mathbf{y}) F_{b_1 b_2}(\mathbf{y}) \sim \int \frac{d^2 \mathbf{y}}{y^4}$$

UV divergent cross section?

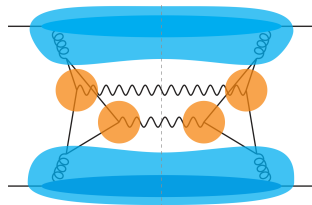
Small- y splitting.

Disentangling SPS and DPS.

SPS-DPS ambiguity for contributions of the following form:



SPS?



DPS?

Diehl-Gaunt-Schönwald subtraction formalism:

Double counting between SPS and DPS requires a subtraction term:

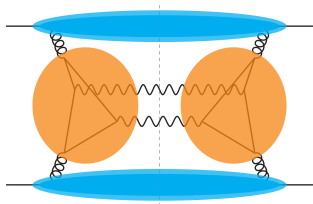
$$\sigma = \sigma_{\text{SPS}} + \sigma_{\text{DPS}} - \sigma_{\text{sub}}, \quad \sigma_{\text{sub}} = \sigma_{\text{DPS}} \text{ with } F_{ij} \rightarrow F_{ij}^{\text{sp1}} \quad [\text{Diehl, Gaunt, and Schönwald, 2017}]$$

The UV divergence of the DPS cross section is regulated with a lower cut-off ($y \gtrsim 1/\min(Q_A, Q_B)$).

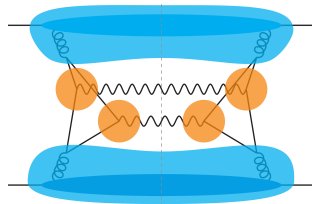
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SPS for **small y**



DPS for **large y**

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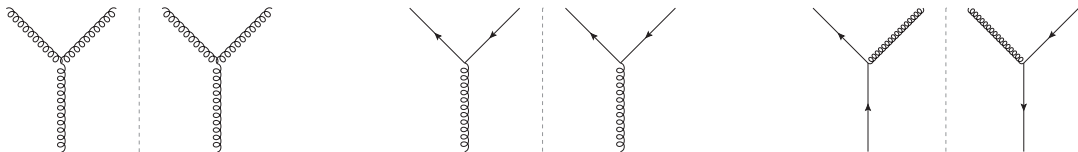
Double counting between SPS and DPS requires a subtraction term:

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The perturbative $1 \rightarrow 2$ splitting at LO.

The $1 \rightarrow 2$ splitting kernels can be calculated from Feynman diagrams for partonic DPDs $a_1 a_2$ in a parton a_0 :



LO splitting formula:

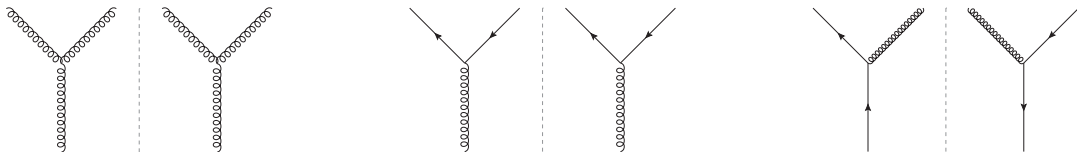
$$F_{a_1 a_2}^{\text{spl}, (1)}(x_1, x_2, \mathbf{y}; \mu, \mu) = \frac{1}{\pi \mathbf{y}^2} \frac{\alpha_s(\mu)}{2\pi} V_{a_1 a_2, a_0}^{(1)}\left(\frac{x_1}{x_1 + x_2}\right) f_{a_0}(x_1 + x_2; \mu)$$

where:

$$V_{gg,g}^{(1)}(z) = 2 C_A \left(\frac{1-z}{z} + \frac{z}{1-z} + z(1-z) \right)$$

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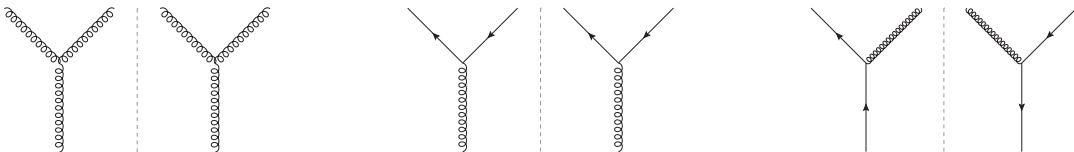
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where:

$$V_{q\bar{q}, g}^{(1)}(z) = T_F (z^2 + (1 - z)^2)$$

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where:

$$V_{qg, q}^{(1)}(z) = C_F \frac{1 + z^2}{1 - z}$$

Small- y splitting.

The “splitting scale”.

At which scale μ_{spl} should the splitting be evaluated?

The natural scale of the splitting is set by the interparton distance y of the observed partons:

$$\mu_{\text{spl}}(y) \sim \frac{1}{y}$$

How to avoid evaluation of the perturbative splitting at non-perturbative scales for large y ?

Regularized splitting scale:

$$\mu_{\text{spl}}(y) = \frac{b_0}{y^*(y)}, \quad \text{e.g.} \quad y^*(y) = \frac{y}{\sqrt[4]{1 + y^4/y_{\text{max}}^4}}, \quad y_{\text{max}} = \frac{b_0}{\mu_{\text{min}}}$$

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Regularized splitting scale:

$$\mu_{\text{spl}}(y) \approx \frac{1.123}{y^*(y)}, \quad \text{e.g.} \quad y^*(y) = \frac{y}{\sqrt[4]{1 + y^4/y_{\text{max}}^4}}, \quad y_{\text{max}} = \frac{b_0}{\mu_{\text{min}}}$$

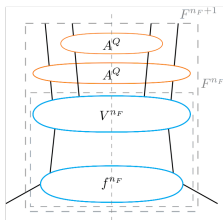
Mass effects in splitting DPDs.

[Diehl, Nagar, PP, 2023]

How to treat heavy quarks Q in the small- y DPDs?

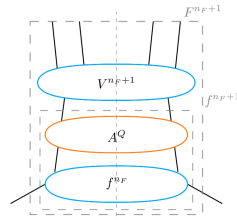
Neglecting mass effects:

- ▶ Q decouples for $\mu_{\text{spl}} < \gamma m_Q \sim m_Q$.
- ▶ Q massless for $\mu_{\text{spl}} > \gamma m_Q \sim m_Q$.



Including mass effects:

- ▶ Q decouples for $\mu_{\text{spl}} < \alpha m_Q \ll m_Q$.
- ▶ Q massive for $\alpha m_Q < \mu_{\text{spl}} < \beta m_Q$.
- ▶ Q massless for $\mu_{\text{spl}} > \beta m_Q \gg m_Q$.



γm_Q

μ_{split}

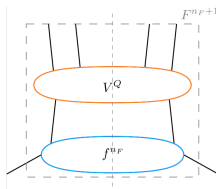
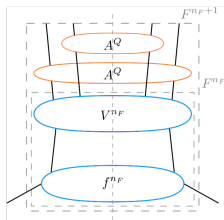
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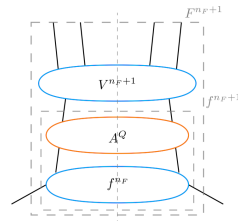
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αm_Q

βm_Q

μ_{split}

Splitting scale dependence at LO.

In order to estimate the dependence of DPS cross sections on μ_{spl} consider DPD luminosities:

DPS factorization theorem:

$$\sigma_{\text{DPS}}^{AB} = \frac{1}{1 + \delta_{AB}} \sum_{a_1, a_2, b_1, b_2} \hat{\sigma}_{a_1 b_1}^A \otimes \hat{\sigma}_{a_2 b_2}^B \otimes \underbrace{\int_{b_0/\nu}^{\infty} d^2 \mathbf{y} F_{a_1 a_2}(x_1, x_2, \mathbf{y}; Q_A, Q_B) F_{b_1 b_2}(\bar{x}_1, \bar{x}_2, \mathbf{y}; Q_A, Q_B)}_{\mathcal{L}_{a_1 a_2, b_1 b_2}(x_1, x_2, \bar{x}_1, \bar{x}_2; Q_A, Q_B)}$$

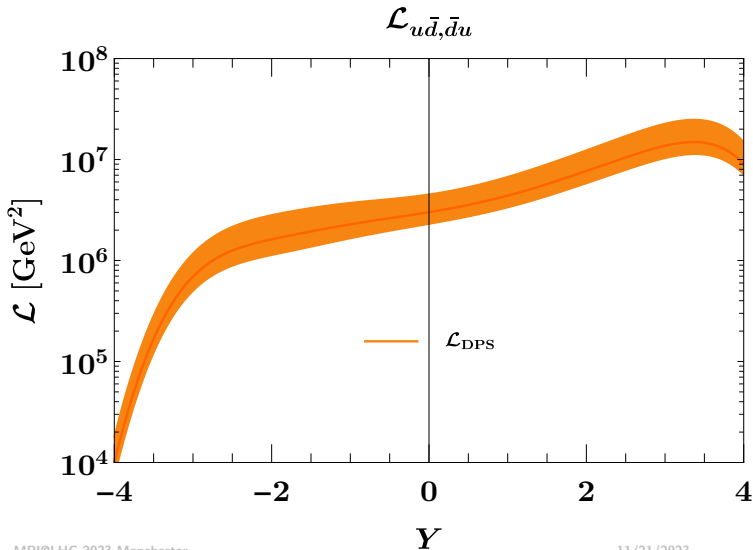
Include factorised model for intrinsic part of DPDs:

$$F_{a_1 a_2}^{\text{int}}(x_1, x_2, \mathbf{y}; \mu, \mu) = (1 - \delta_{a_1 a_2}^{d_v d_v} - 0.5 \delta_{a_1 a_2}^{u_v u_v}) \frac{(1 - x_1 - x_2)^2}{(1 - x_1)^2 (1 - x_2)^2} \frac{\exp\left(\frac{-\mathbf{y}^2}{4h_{a_1 a_2}}\right)}{4\pi h_{a_1 a_2}} f_{a_1}(x_1; \mu) f_{a_2}(x_2; \mu)$$

Contributions to the luminosities: 1v1 (spl \times spl), 1v2 (spl \times int), 2v1 (int \times spl), 2v2 (int \times int).

Splitting scale dependence at LO.

Vary μ_{spl} by a factor of 2 around its central value:



Sum of all contributions to $\mathcal{L}_{u\bar{d},\bar{d}u}(80 \text{ GeV}, 80 \text{ GeV})$ with:

$$x_1 = \frac{Q_A}{\sqrt{s}} e^Y$$

$$x_2 = \frac{Q_B}{\sqrt{s}} e^{-Y}$$

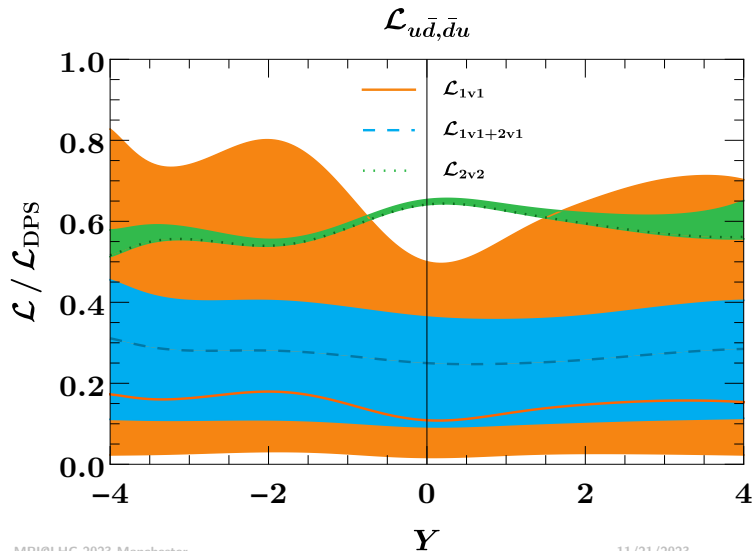
$$\bar{x}_1 = \frac{Q_A}{\sqrt{s}} e^{-Y}$$

$$\bar{x}_2 = \frac{Q_B}{\sqrt{s}} e^Y$$

where $\sqrt{s} = 14 \text{ GeV}$.

Splitting scale dependence at LO.

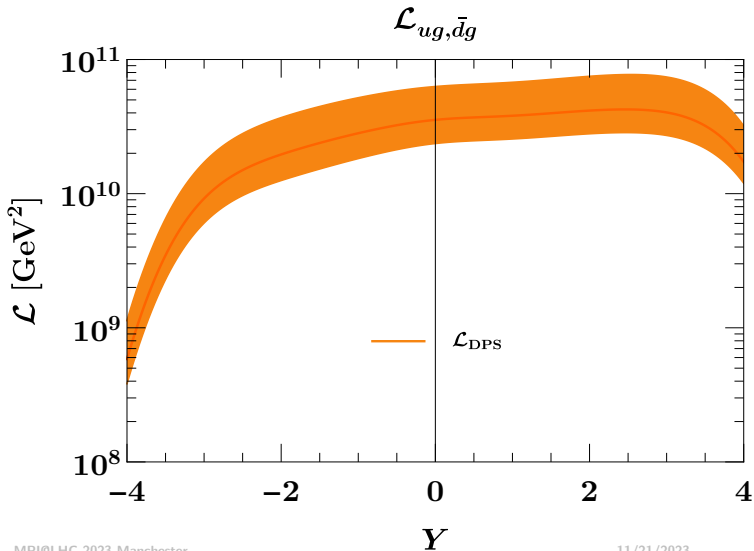
Vary μ_{spl} by a factor of 2 around its central value:



Relative contributions of 1v1, 1v2+2v1, and 2v2 to the complete $\mathcal{L}_{u\bar{d},\bar{d}u}$ luminosity for central ν .

Splitting scale dependence at LO.

Vary μ_{spl} by a factor of 2 around its central value:



Sum of all contributions to $\mathcal{L}_{ug,\bar{d}g}(80 \text{ GeV}, 25 \text{ GeV})$ with:

$$x_1 = \frac{Q_A}{\sqrt{s}} e^Y$$

$$x_2 = \frac{Q_B}{\sqrt{s}} e^{-Y}$$

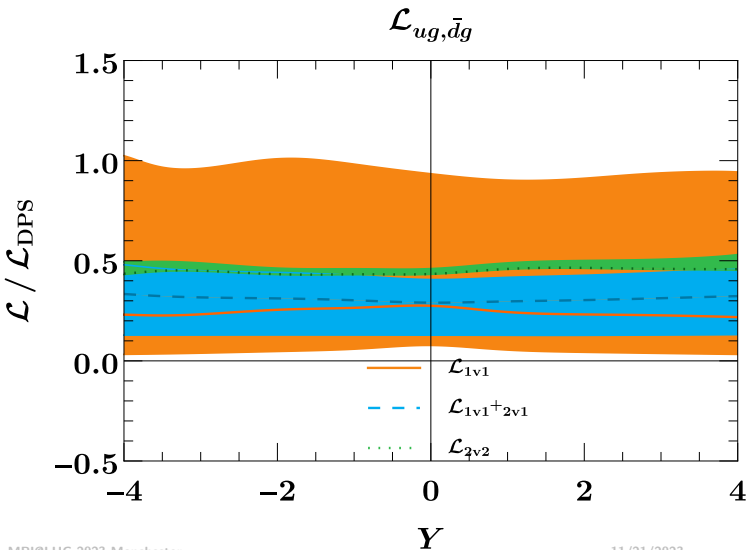
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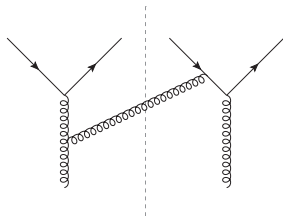


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Splitting DPDs at NLO.

[Diehl, Gaunt, PP, Schäfer, 2019; Diehl, Gaunt, PP, 2021]

LO splitting DPDs exhibit a huge dependence on μ_{spl} , hinting at the importance of higher orders!



Computation of the NLO $1 \rightarrow 2$ splitting kernels $R_1 R_2 V_{a_1 a_2, a_0}^{(2)}$:

- ▶ Bare kernels from two-loop Feynman diagrams for partonic DPDs $a_1 a_2$ in parton a_0 .
- ▶ Consistent regularization of rapidity divergences.
- ▶ Renormalized kernels obtained through RGE analysis.

Structure of NLO kernels:

$$V_{a_1 a_2, a_0}^{(2)}(z_1, z_2, \mathbf{y}; \mu, \zeta) = V_{a_1 a_2, a_0}^{[2,0]}(z_1, z_2) + L V_{a_1 a_2, a_0}^{[2,1]}(z_1, z_2)$$

where $L = \log \frac{y^2 \mu^2}{b_0^2}$.

State of the art for perturbative splitting DPDs.

At which perturbative orders are the $1 \rightarrow 2$ position space splitting kernels known?

| | col singlet | col non-singlet |
|-------|-------------|-----------------|
| unpol | NLO | NLO |
| pol | LO | LO |

Massless $V_{a_1 a_2, a_0}$ kernels.

| | col singlet | col non-singlet |
|-------|-------------|-----------------|
| unpol | approx. NLO | LO |
| pol | LO | LO |

Massive $V_{a_1 a_2, a_0}^Q$ kernels.

Consider now the impact of including the NLO contributions, focus on the colour singlet!

Part II

NLO numerics.

Numerical implementation.

Numerical evolution with ChiliPDF

[Diehl, Nagar, PP, Tackmann, 2023]

ChiliPDF is a C++ library for the evolution and interpolation of PDFs and position space DPDs!

Design:

- ▶ DPDs are discretized in x_1 , x_2 , and y on Chebyshev grids, allowing for high interpolation accuracy with fewer points than e.g. splines.
- ▶ No gridding in μ_1 and μ_2 – evolution is performed on the fly using higher-order Runge-Kutta algorithms.

Features:

- ▶ Evolution and flavour matching for DPDs (unpolarized and polarized, colour singlet and non-singlet) at the highest available order.
- ▶ *Small- y splitting DPDs at NLO.*
- ▶ Evaluation of sum rules for unpolarized colour singlet DPDs.
- ▶ Computation of DPS luminosities.



Numerical implementation.

Numerical implementation of NLO splitting DPDs.

At NLO the splitting DPD no longer is a simple product kernel \times PDF, but involves a convolution:

NLO splitting:

$$F_{a_1 a_2}^{\text{spl}, (2)}(x_1, x_2, \mathbf{y}; \mu, \mu) = \frac{1}{\pi \mathbf{y}^2} \left(\frac{\alpha_s(\mu)}{2\pi} \right)^2 \left[V_{a_1 a_2, a_0}^{(2)}(\mathbf{y}, \mu) \otimes_{12} f_{a_0}(\mu) \right](x_1, x_2)$$

where:

$$x = x_1 + x_2,$$

$$u = \frac{x_1}{x},$$

$$\bar{u} = 1 - u = \frac{x_2}{x}$$

How to discretize this convolution?

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In ChiliPDF rescaled PDFs, $xf(x)$, and DPDs, $x_1x_2F(x_1, x_2)$ are discretized:

$$x_1x_2F_{a_1a_2}^{\text{spl},(2)}(x_1, x_2, \mathbf{y}; \mu, \mu) = \frac{1}{\pi\mathbf{y}^2} \left(\frac{\alpha_s(\mu)}{2\pi} \right)^2 \int_x^1 \frac{dz}{z} \left(uz \bar{u}z V_{a_1a_2, a_0}^{(2)}(uz, \bar{u}z, \mathbf{y}; \mu) \right) \left(\frac{x}{z} f_{a_0} \left(\frac{x}{z}; \mu \right) \right)$$

R.h.s. has the structure of an ordinary Mellin convolution with an additional parameter u !

Discretizing the convolution:

- ▶ Discretize $(\tilde{K}_{a_1a_2, a_0}(u, x))_k$ in u and x :

$$(\tilde{K}_{a_1a_2, a_0}(u, x))_k = \sum_{i,j} (\tilde{K}_{a_1a_2, a_0})_k^{ij} b_u^i(u) b_x^j(x)$$

- ▶ Regrid $\sum_k (\tilde{K}_{a_1a_2, a_0}(u, x))_k \tilde{f}_{a_0}^k$ in x_1 and x_2 using Chebyshev interpolation.
- ▶ Store the computationally expensive $(\tilde{K}_{a_1a_2, a_0})_k^{ij}$ kernels externally and reuse them.

Note: Starting at NLO the evolution equation for momentum space DPDs contains a convolution term of this form!

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- ▶ Regrid $\sum_k (\tilde{K}_{a_1a_2, a_0}(u, x))_k \tilde{f}_{a_0}^k$ in x_1 and x_2 using Chebyshev interpolation.
- ▶ Store the computationally expensive $(\tilde{K}_{a_1a_2, a_0})_k^{ij}$ kernels externally and reuse them.

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Numerical implementation.

Numerical setup

For the study of the massless and massive $1 \rightarrow 2$ splitting at NLO the following setup is used:

PDFs:

- ▶ PDF set for LO splitting: MSHT20lo_as130.
- ▶ PDF set for NLO splitting: MSHT20nlo_as118.

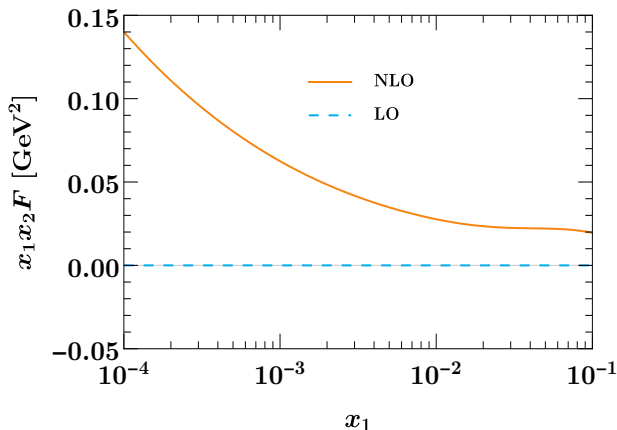
Grids:

- ▶ Same grids for x_1 and x_2 : $[10^{-5}, 0.005, 0.5, 1]_{(16,16,24)}$.
- ▶ y -grid for massless splitting: $\left[\frac{b_0}{2 \min(Q_A, Q_B)}, \frac{b_0}{m_b}, \frac{b_0}{m_c}, 5, \infty \right]_{(16,16,16,24)}$.
- ▶ y -grid for massive splitting: $\left[\frac{b_0}{2 \min(Q_A, Q_B)}, \frac{b_0}{\beta m_b}, \frac{b_0}{\beta m_c}, \frac{b_0}{\alpha m_b}, 5, \infty \right]_{(16,16,16,16,24)}$.

Various parameters:

- ▶ $\mu_{\min} = m_c$.
- ▶ $h_{gg} = 4.66 \text{ GeV}^{-1}$, $h_{qg} = 5.86 \text{ GeV}^{-1}$, $h_{qq} = 7.06 \text{ GeV}^{-1}$.

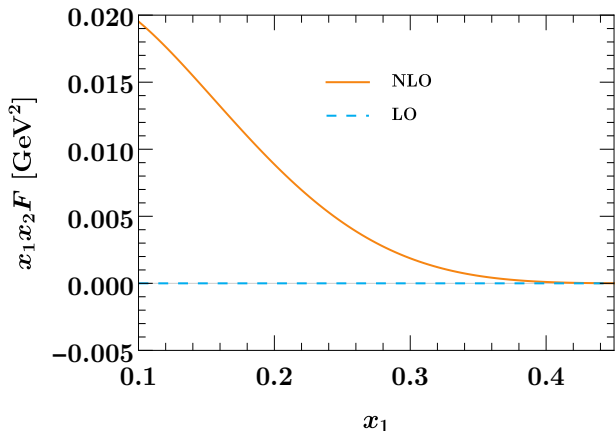
Splitting DPDs at LO and NLO.



- ▶ At LO $F_{u\bar{d}}$ is not produced by splitting, only through evolution.
- ▶ Starting from NLO $F_{u\bar{d}}$ can be produced by splitting.
- ▶ The NLO splitting mechanism is the leading contribution.

Figure: $F_{u\bar{d}}^{\text{spl}}$ at $(\mu_1, \mu_2) = (80 \text{ GeV}, 80 \text{ GeV})$ and $y = \frac{b_0}{80 \text{ GeV}}$ for $x_1 = x_2$ as a function of x_1 . Relevant in W^+W^+ production.

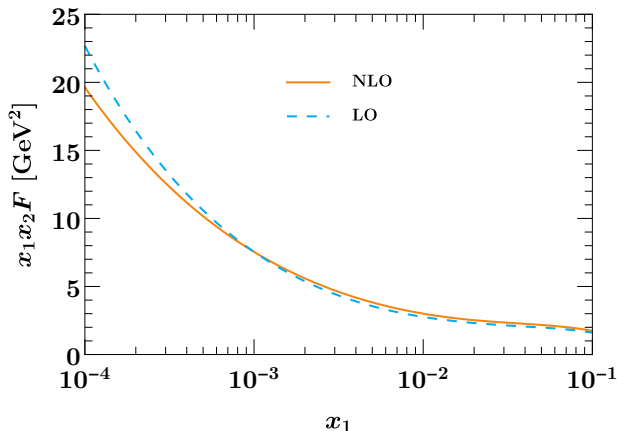
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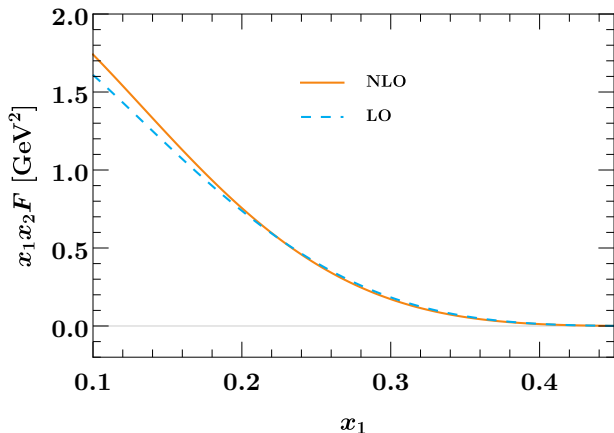
Splitting DPDs at LO and NLO.



- ▶ F_{ug} is already produced by splitting at LO.
- ▶ The difference between LO and NLO is non-negligible ($\mathcal{O}(10\%)$).

Figure: F_{ug}^{spl} at $(\mu_1, \mu_2) = (80 \text{ GeV}, 25 \text{ GeV})$ and $y = \frac{b_0}{80 \text{ GeV}}$ for $x_1 = x_2$ as a function of x_1 . Relevant in $W^+ + \text{jet}$ production.

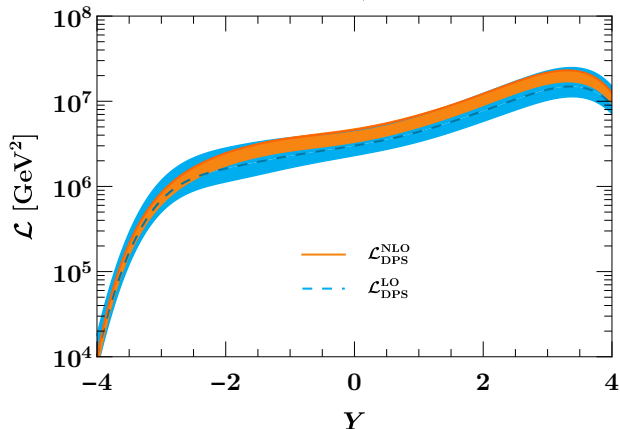
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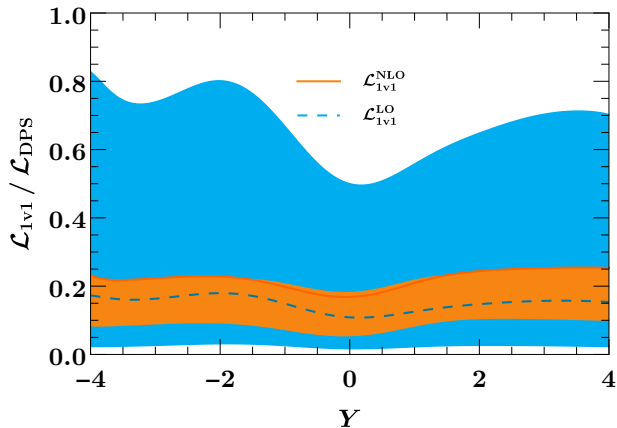
DPD luminosities at LO and NLO: splitting scale dependence.



- ▶ From LO to NLO the splitting scale dependence of $\mathcal{L}_{u\bar{d},\bar{d}u}$ is reduced by a factor of ~ 2 for all rapidities.
- ▶ As expected, this reduction is most pronounced for the 1v1 contribution.

Figure: Splitting scale dependence of $\mathcal{L}_{u\bar{d},\bar{d}u}$ (80 GeV, 80 GeV) at LO and NLO. Relevant for W^+W^+ production.

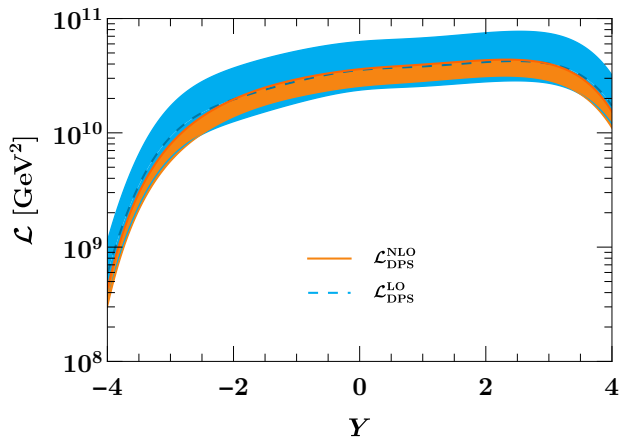
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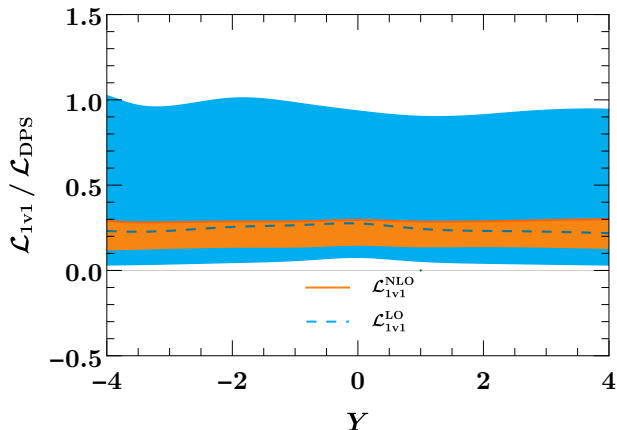
- ▶ For $\mathcal{L}_{ug,\bar{d}g}$ the splitting scale dependence is reduced by more than a factor of 2 for all rapidities, when going from LO to NLO.
- ▶ The largest reduction is again observed for the 1v1 contribution.

Similar reduction observed in other channels and for colour non-singlet luminosities!

Sizeable reduction also for the remnant cut-off scale dependence!

Figure: Splitting scale dependence of $\mathcal{L}_{ug,\bar{d}g}$ (80 GeV, 25 GeV) at LO and NLO. Relevant for $W^+ + \text{jet}$ production.

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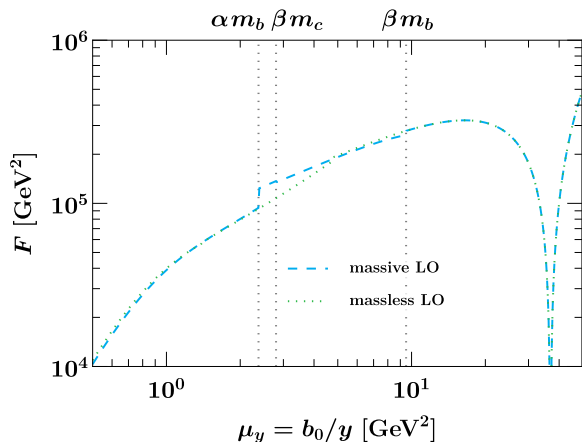
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Massive splitting scheme: Issues at LO.

In the massive splitting scheme α and β should be $\ll 1$ and $\gg 1$, respectively. Issue at LO:

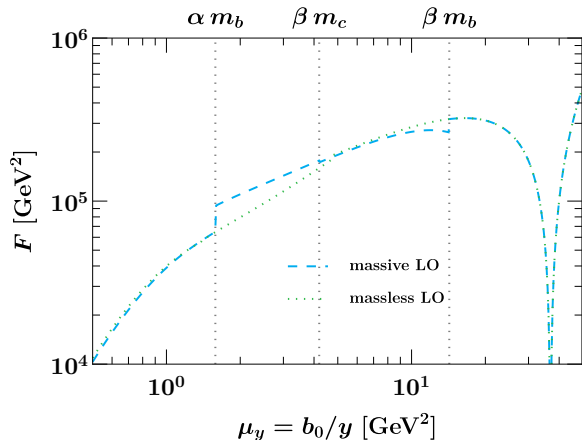


- ▶ Going to smaller α decreases the absolute size of the discontinuity.
- ▶ Going to $\beta \gtrsim 2$ is not possible due to a large discontinuity that arises in this limit.
- ▶ How does this discontinuity arise?

Figure: F_{gb}^{sp1} at $\mu_{1,2} = 25 \text{ GeV}$ with $x_{1,2} \approx 0.0018$ as function of $\mu_y = b_0/y$.

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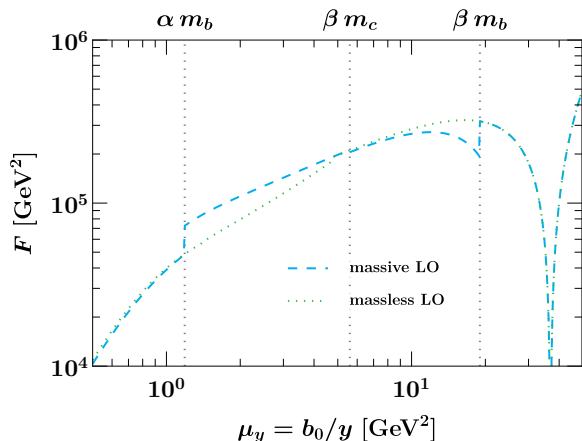


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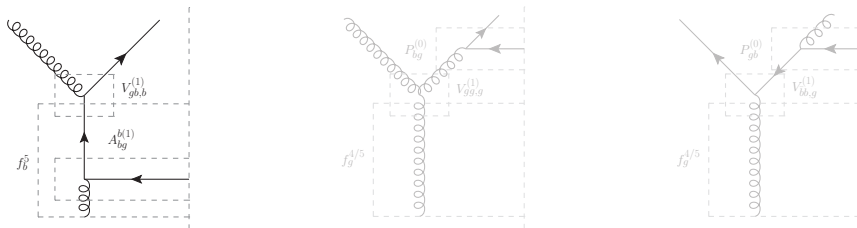
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Massive NLO splitting.

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Consider now how the gb DPD can be produced in the different schemes:

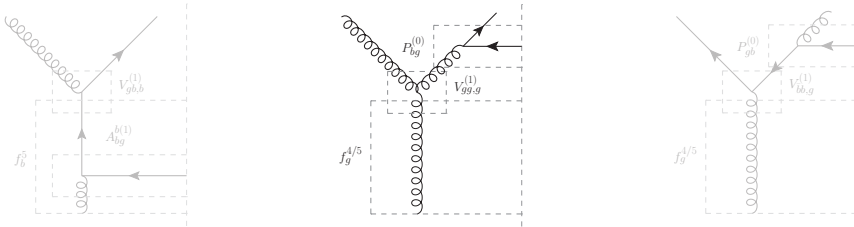


- ▶ The direct $b \rightarrow gb$ splitting is only accessible in the massless scheme.
- ▶ The b PDF is obtained by flavour matching from a $n_F = 4$ gluon PDF.
- ▶ At NLO this production channel becomes available also in the massive scheme!

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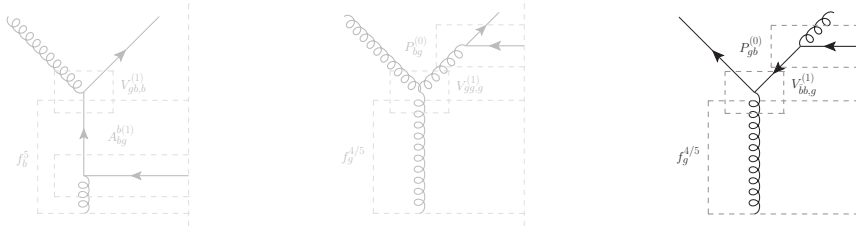


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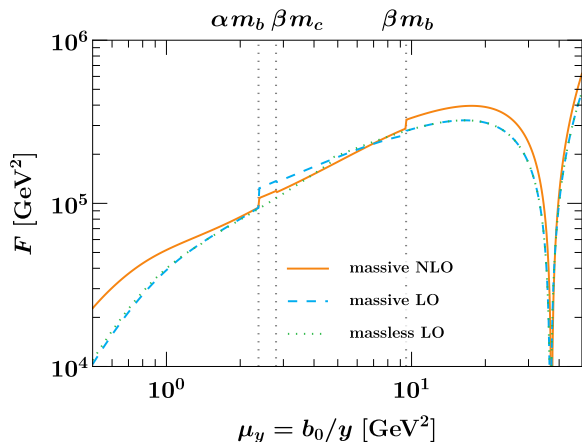
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- ▶ This production channel, involving one evolution step, is accessible both in the massive and massless schemes.
- ▶ In the massless scheme the initial gluon PDF is a $n_F = 5$ distribution, whereas in the massive scheme it is $n_F = 4$.
- ▶ In the massive scheme the massive $g \rightarrow b\bar{b}$ kernel is used.

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In the massive splitting scheme α and β should be $\ll 1$ and $\gg 1$, respectively. No more issue at NLO:

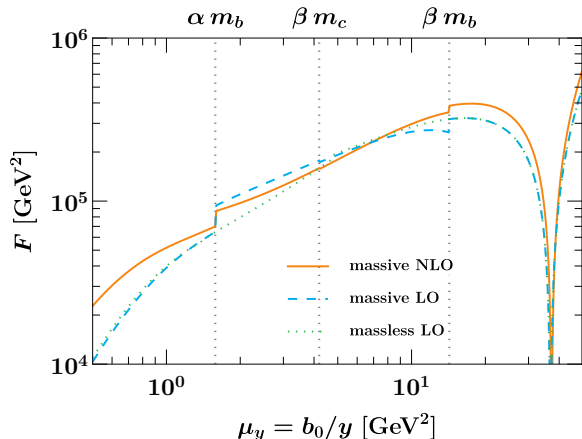


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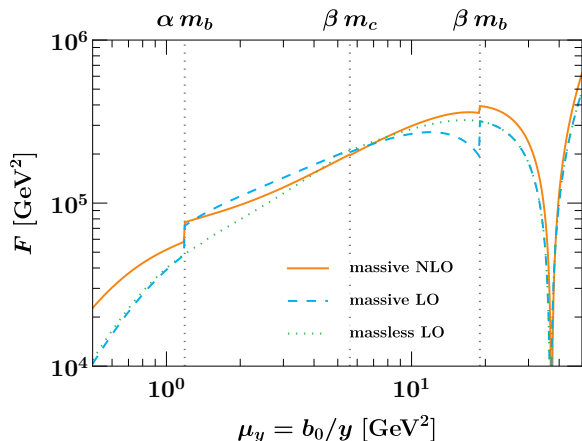


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Part III

Summary.



State of the art for small interparton distance splitting DPDs:

- ▶ NLO for unpolarized massless colour singlet and non-singlet kernels.
- ▶ Approximate NLO for unpolarized massive colour singlet kernels.
- ▶ LO for all other cases.

Effects of going to NLO:

- ▶ $\mathcal{O}(10\%)$ for DPDs produced already by LO splitting.
- ▶ Leading contribution for DPDs not directly produced by LO splitting.
- ▶ Substantial reduction of scale uncertainty related to the splitting scale μ_{spl} .
- ▶ Sizeable reduction of the remnant dependence on the DGS cut-off scale ν .
- ▶ More consistent treatment of heavy quark effects in the perturbative splitting.



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Thank you for your attention!

Part IV

Backup.

Small distance limit of DPDs.

Diehl-Gaunt-Schönwald subtraction formalism: basic idea. [Diehl, Gaunt, Schönwald, 2017]

Avoid double counting between SPS and DPS by introducing a subtraction term satisfying:

DGS subtraction term:

$$\frac{d\sigma_{\text{sub}}}{dy} \xrightarrow{y \rightarrow 0} \frac{d\sigma_{\text{DPS}}}{dy}, \quad \frac{d\sigma_{\text{sub}}}{dy} \xrightarrow{y \rightarrow \infty} \frac{d\sigma_{\text{SPS}}}{dy}$$

This is achieved by replacing the DPS luminosity in the factorized cross section by:

$$\mathcal{L}_{a_1 a_2, b_1 b_2}^{\text{sub}} = 2\pi \int_{b_0/\nu}^{\infty} dy y F_{a_1 a_2}^{\text{spl}, \text{FO}}(y; \mu(y, Q_A, \mu_h), \mu(y, Q_B, \mu_h)) F_{b_1 b_2}^{\text{spl}, \text{FO}}(y; \mu(y, Q_A, \mu_h), \mu(y, Q_B, \mu_h))$$

where the splitting DPDs are computed at FO with:

$$\mu(y, Q, \mu_h) \xrightarrow{y \rightarrow 0} Q, \quad \mu(y, Q, \mu_h) \xrightarrow{y \rightarrow \infty} \mu_h.$$

How to treat the case $Q_A \neq Q_B$ where the subtraction term is not a pure FO quantity?

Small distance limit of DPDs.

Diehl-Gaunt-Schönwald subtraction formalism: unequal scales.

Instead of using profile scales $\mu(y, Q, \mu_h)$ define two sets of DPDs:

- ▶ $F^{\text{large } y}(y) = F^{\text{spl,FO}}(y; \mu_h, \mu_h)$.
- ▶ $F^{\text{small } y}(y)$ obtained from evolving $F^{\text{spl,FO}}(y; \nu, \nu)$ to the scales $(\mu_1, \mu_2) = (Q_A, Q_B)$.

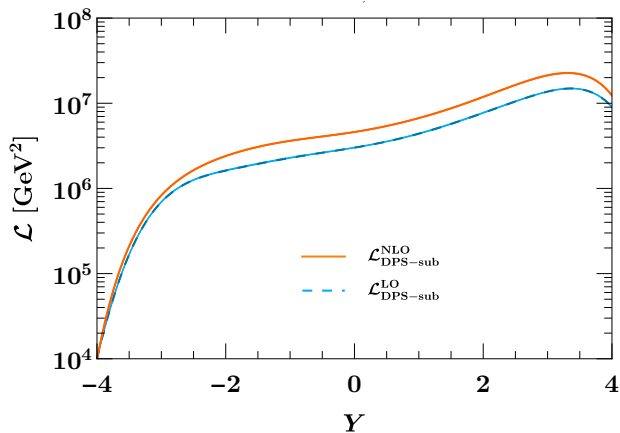
Unequal scale DGS subtraction:

$$\mathcal{L}_{a_1 a_2, b_1 b_2}^{\text{sub}} = 2\pi \int_{b_0/\nu}^{\infty} dy y \left[\sigma(y\nu) F^{\text{large } y}(y) F^{\text{large } y}(y) + (1 - \sigma(y\nu)) F^{\text{small } y}(y) F^{\text{small } y}(y) \right]$$

with a function $\sigma(u)$ that interpolates smoothly between 0 at $u \sim 1$ and 1 at $u \gg 1$, i.e.:

$$\sigma(u) = \begin{cases} 0 & \text{for } u < u_0, \\ \sin^2\left(\frac{\pi}{2} \frac{u-u_0}{u_1-u_0}\right) & \text{for } u_0 < u < u_1, \\ 1 & \text{for } u > u_1. \end{cases}$$

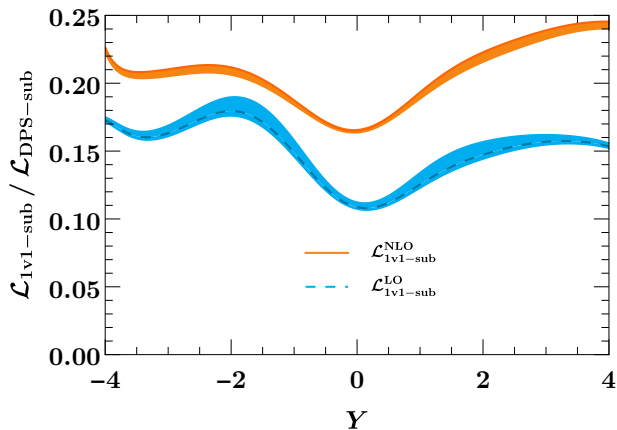
DPD luminosities at LO and NLO: cut-off scale dependence.



- ▶ The subtracted $\mathcal{L}_{u\bar{d},\bar{d}u}$ luminosity exhibits little dependence on the cut-off scale already at LO.
- ▶ The cut-off scale dependence of the subtracted 1v1 contribution is noticeably reduced from LO to NLO.

Figure: Cut-off scale dependence of the subtracted luminosity $\mathcal{L}_{u\bar{d},\bar{d}u}(80\text{ GeV}, 80\text{ GeV})$ at LO and NLO.

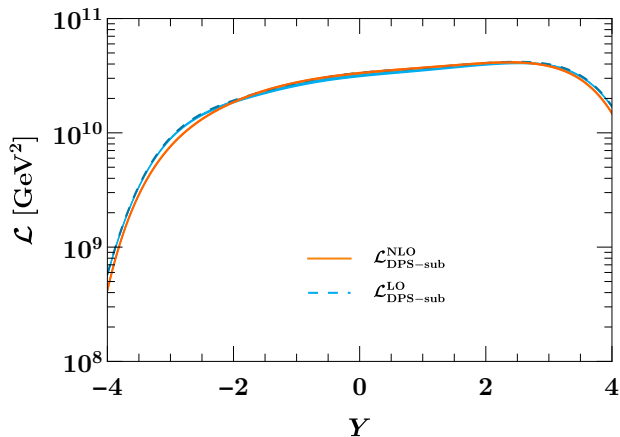
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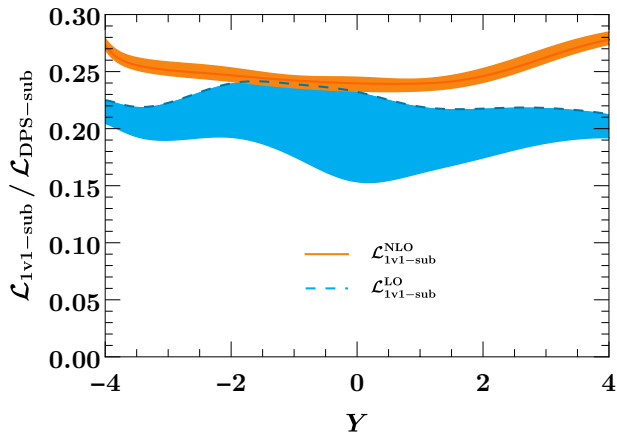
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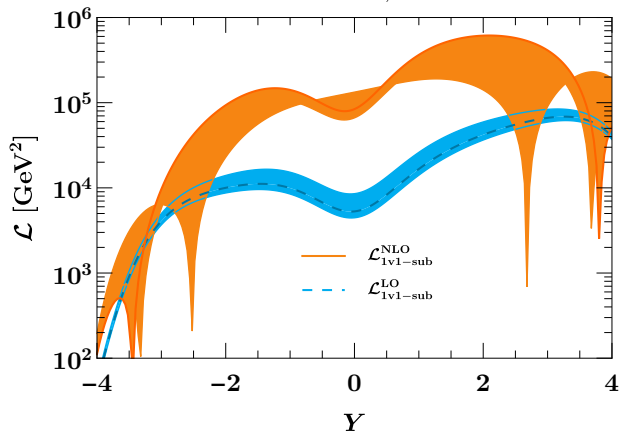
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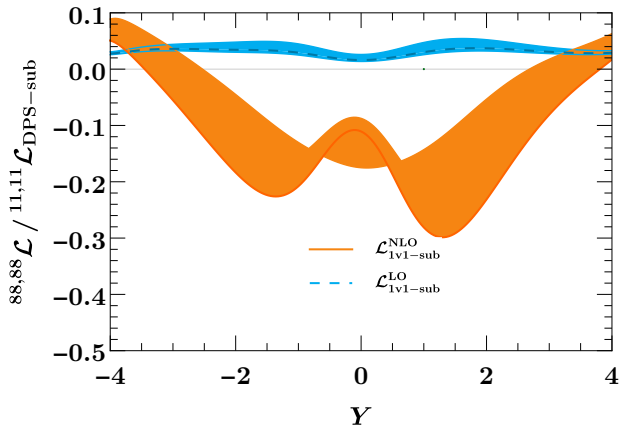
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Figure: Cut-off scale dependence of the subtracted colour octet luminosity ${}^{88,88} \mathcal{L}_{u\bar{d},\bar{d},u}(80 \text{ GeV}, 80 \text{ GeV})$ at LO and NLO.

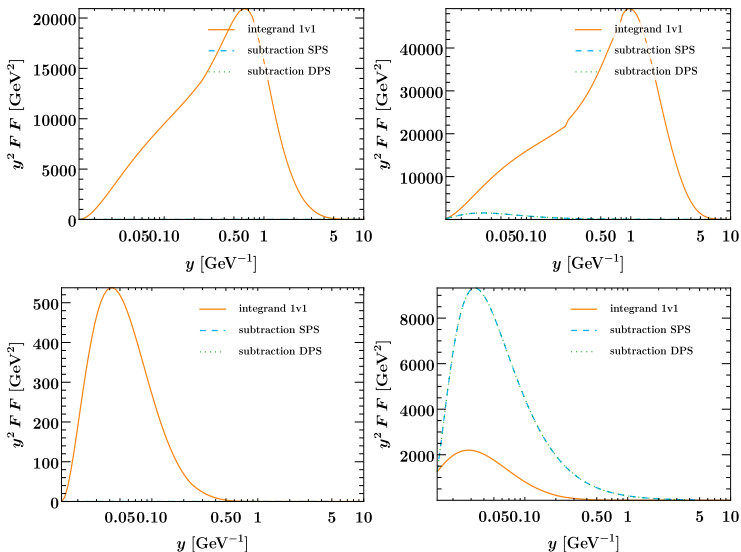
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Cut-off scale dependence in the colour non-singlet.



- ▶ In the singlet the subtraction term becomes non-zero at NLO(right).
- ▶ It stays small compared to the 1v1 term.
- ▶ In the non-singlet the 1v1 term is strongly Sudakov suppressed.
- ▶ No such suppression is present for the non-zero subtraction term at NLO!