

The University of Manchester

# *Studies of hadronisation and UE/MPI with ATLAS Run 2 data*

*14th International Workshop on Multiple Parton Interactions at the LHC (MPI@LHC 2023)*

*WG1: Minimum Bias, Underlying Event and Monte Carlo generators*

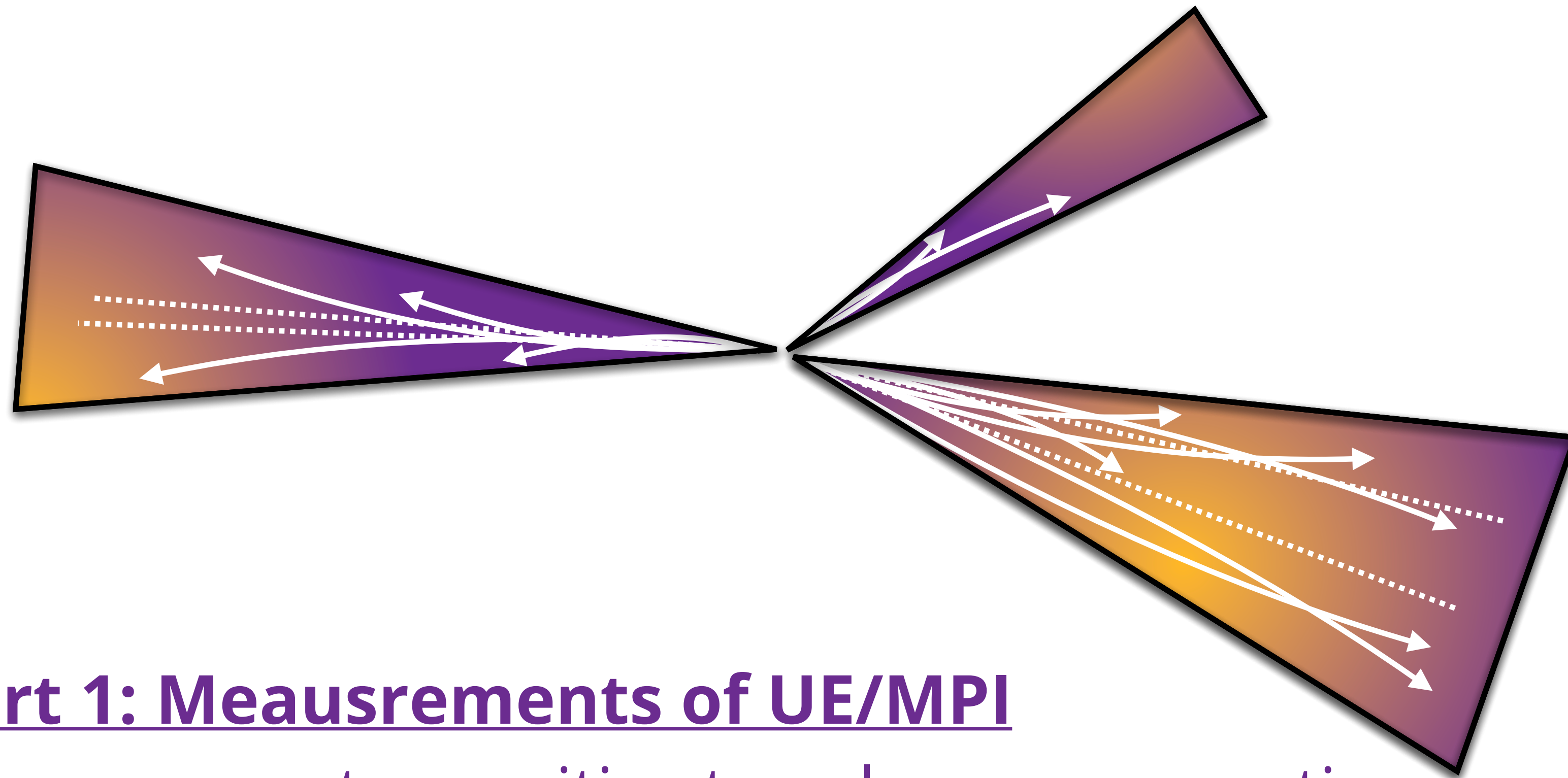
*University of Manchester, 20-24 November 2023*

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[matt.leblanc@cern.ch](mailto:matt.leblanc@cern.ch), [@TopPhysicist](https://twitter.com/TopPhysicist)



# Studies of hadronisation and UE/MPI with ATLAS Run 2 data



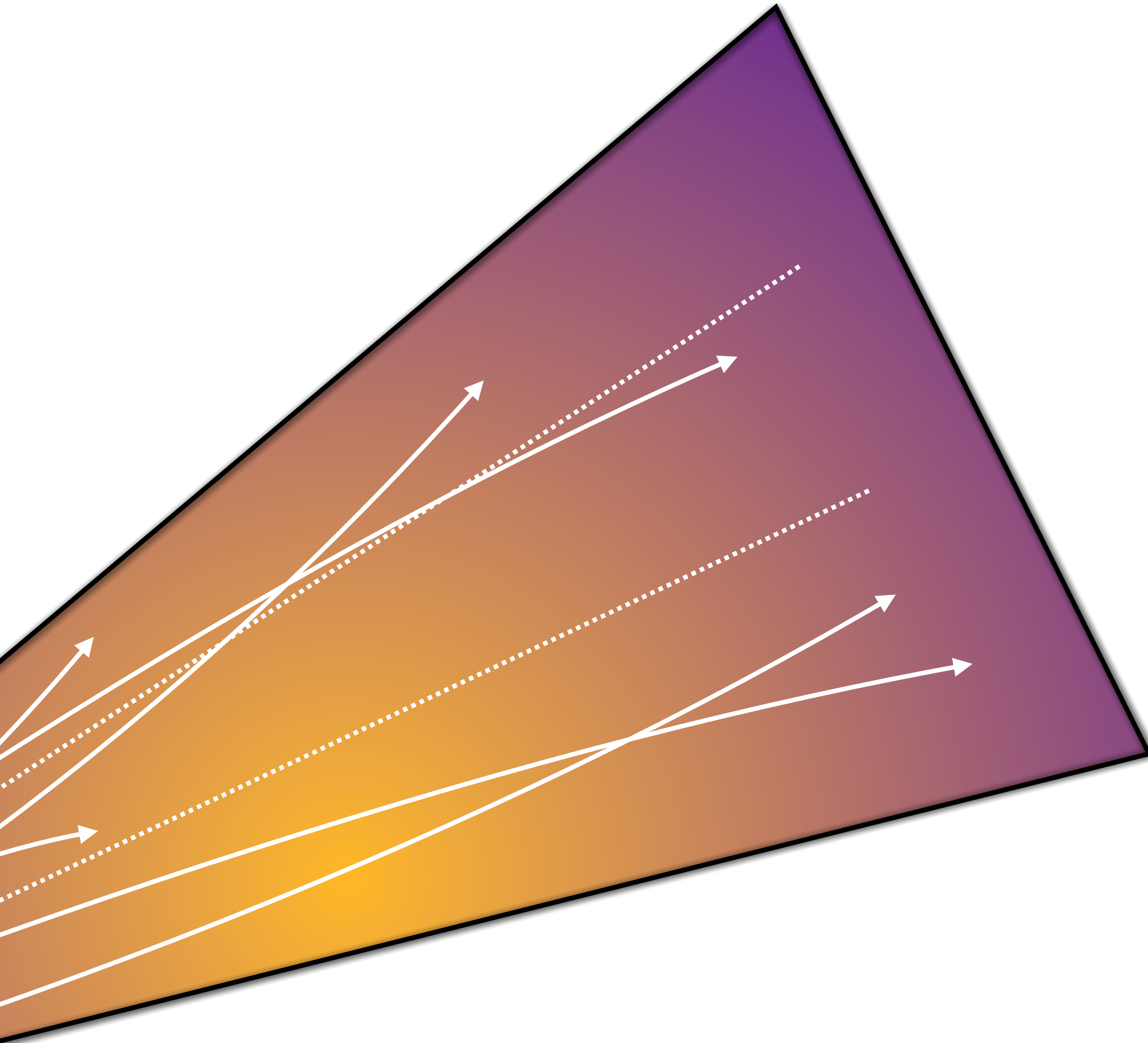
**FROM FAR  
AWAY**

## Part 1: Measurements of UE/MPI

Measurements sensitive to colour reconnection  
in  $t\bar{t}$  events with **ATLAS**

[Eur. Phys. J. C 83, 518 \(2023\)](#)

# Studies of hadronisation and UE/MPI with ATLAS Run 2 data



**FROM FAR  
AWAY  
& UP CLOSE**

**Part 2: Jet Substructure**

***ATLAS* hadronisation & jet response**

**[ATL-PHYS-PUB-2022-021](#)**

***ATLAS* Lund jet plane**

**[PRL 124, 222002 \(2020\)](#)**

## Part 1: Measurements of UE/MPI

Measurements sensitive to colour reconnection  
in ttbar events with ***ATLAS***

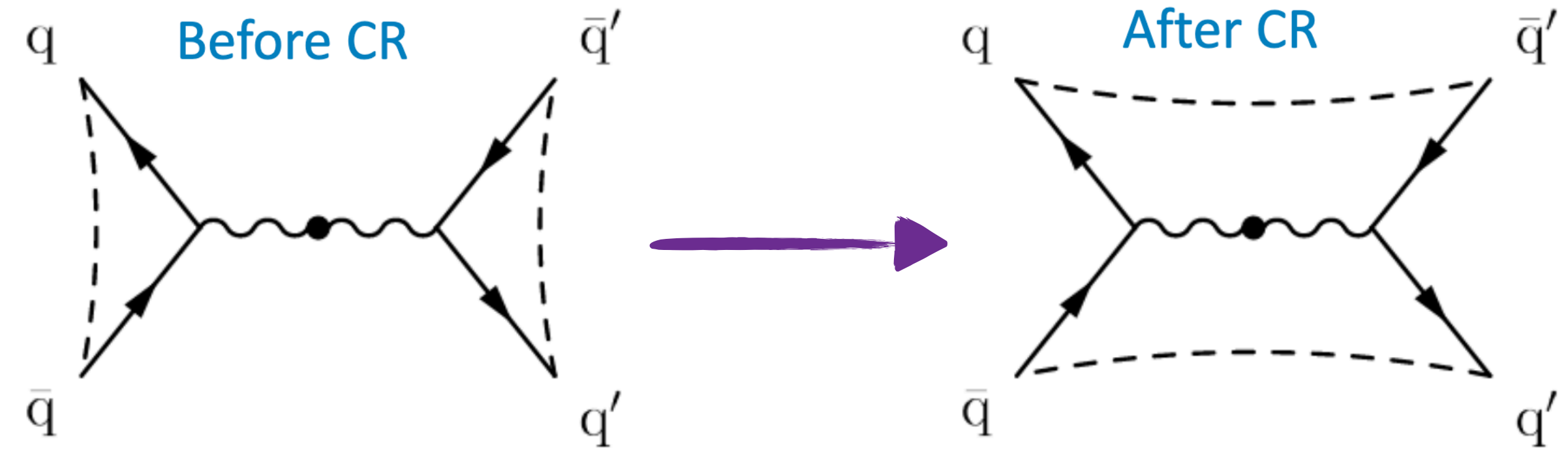
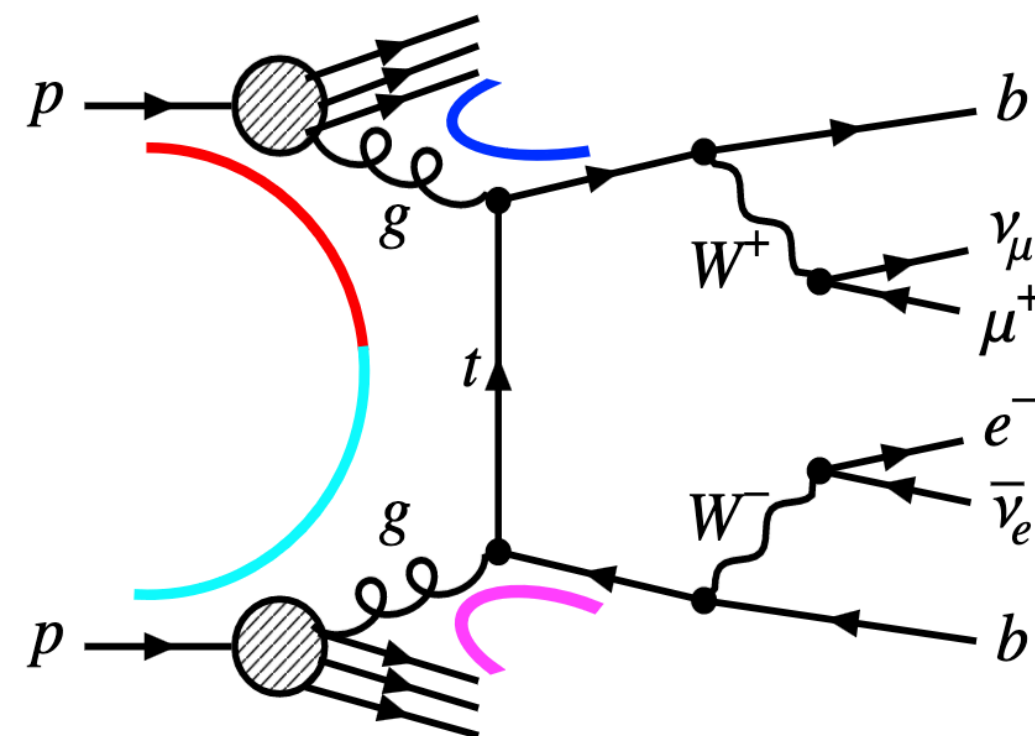
**Eur. Phys. J. C 83, 518 (2023)**

# Overview

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)

Adapted from [S. Wahdan @ TOP 2022](#)

- Colour-reconnection (CR) mechanism is poorly understood.
  - Can have CR between initial- and final-state,
- Several models are available, but none are based on first-principles physics.
  - In Pythia 8, more than 16 parametric models for different processes!
    - No common prescription for uncertainties → proliferating comparisons leads to large systematics in *e.g.*  $m_t$  measurements.
- Improved understanding of CR in top events will improve precision  $m_t$  measurements.



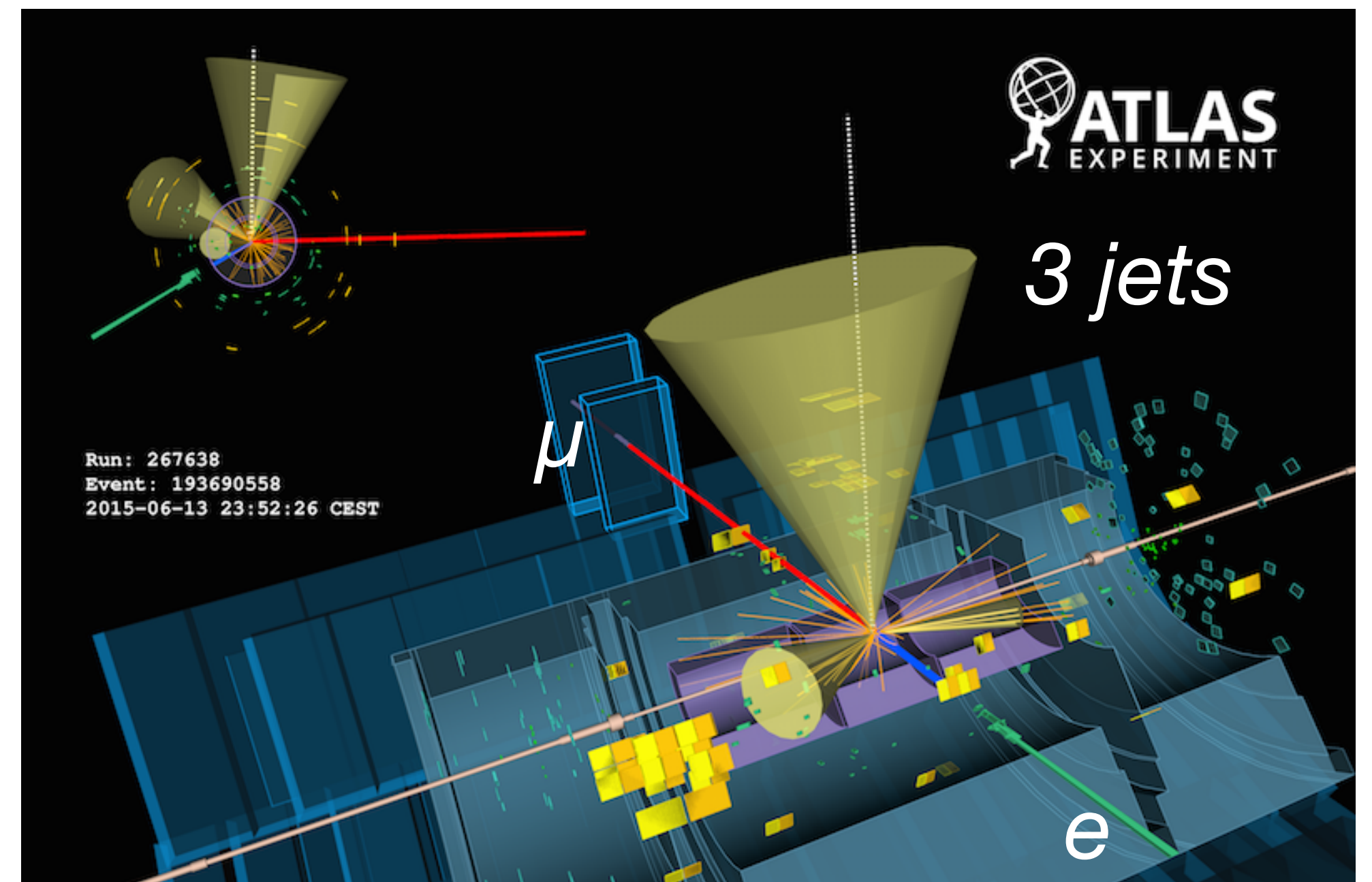
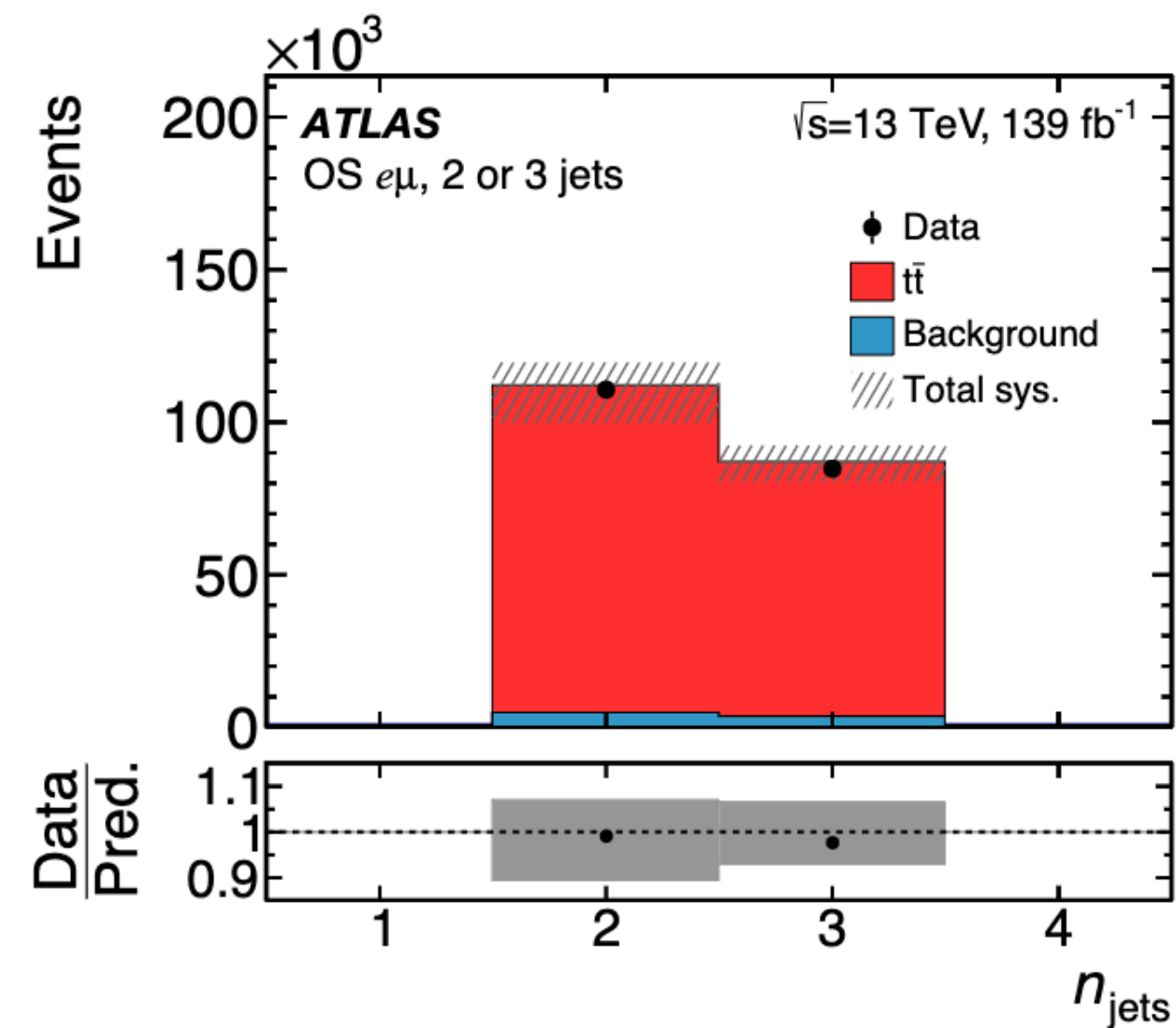
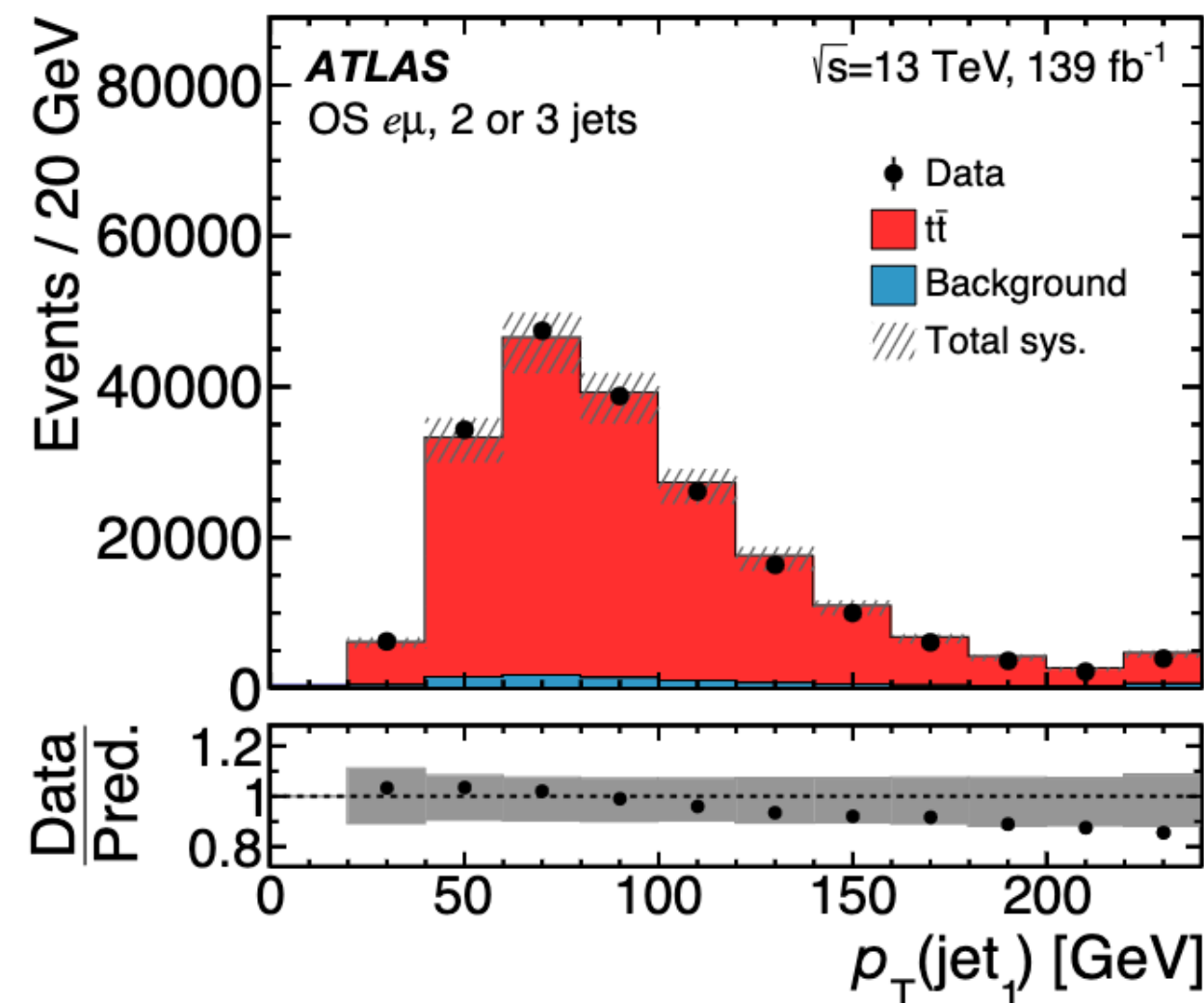
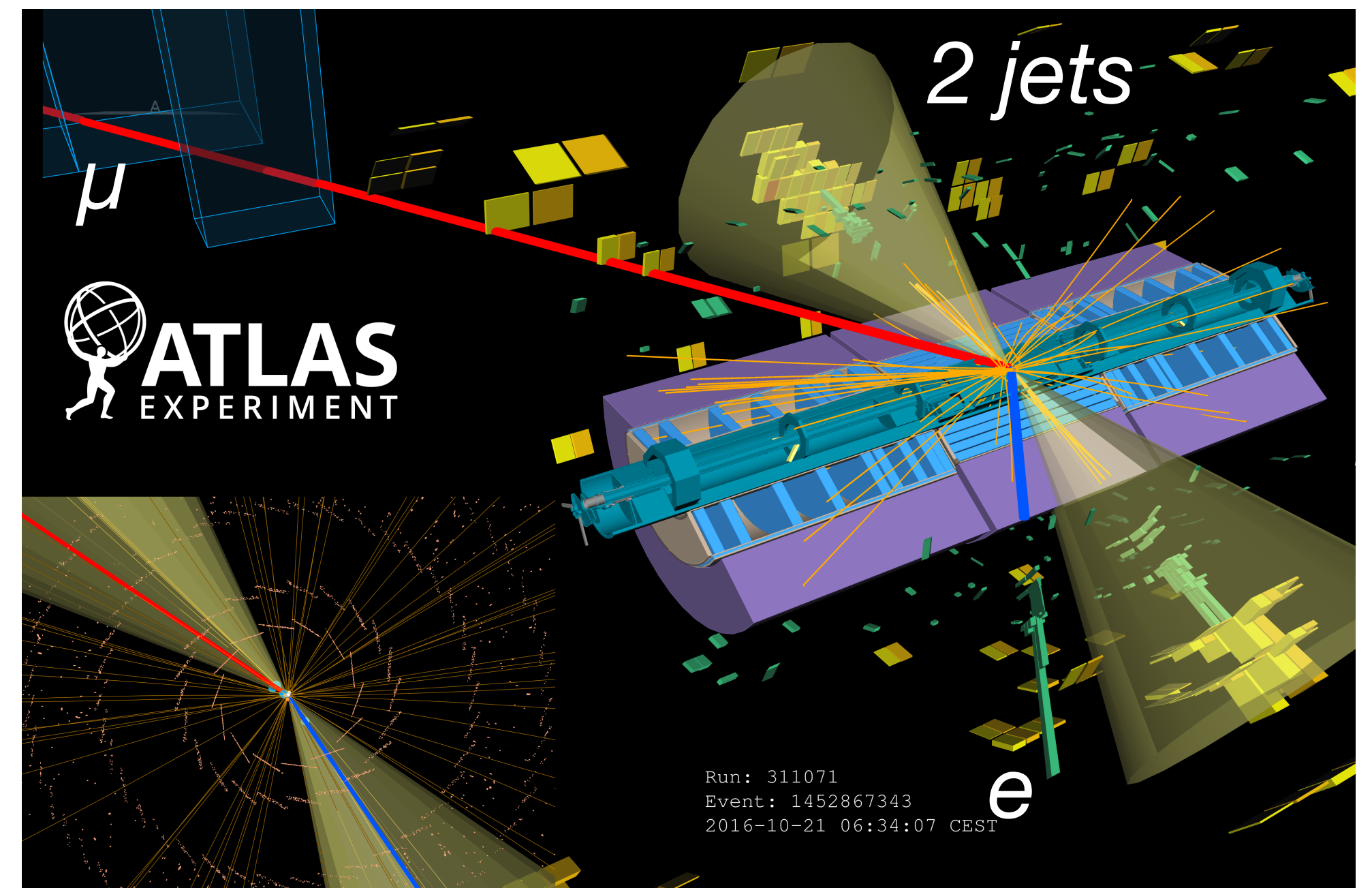
	$m_{\text{top}}$ [GeV]
Result	172.21
Statistics	0.20
Method	$0.05 \pm 0.04$
Matrix-element matching	$0.40 \pm 0.06$
Parton shower and hadronisation	$0.05 \pm 0.05$
Initial- and final-state QCD radiation	$0.17 \pm 0.02$
Underlying event	$0.02 \pm 0.10$
Colour reconnection	$0.27 \pm 0.07$
Parton distribution function	$0.03 \pm 0.00$
Single top modelling	$0.01 \pm 0.01$
Background normalisation	$0.03 \pm 0.02$
Jet energy scale	$0.37 \pm 0.02$
$b$ -jet energy scale	$0.12 \pm 0.02$
Jet energy resolution	$0.13 \pm 0.02$
Jet vertex tagging	$0.01 \pm 0.01$
$b$ -tagging	$0.04 \pm 0.01$
Leptons	$0.11 \pm 0.02$
Pile-up	$0.06 \pm 0.01$
Recoil effect	$0.39 \pm 0.09$
Total systematic uncertainty (without recoil)	$0.67 \pm 0.05$
Total systematic uncertainty (with recoil)	$0.77 \pm 0.06$
Total uncertainty (without recoil)	$0.70 \pm 0.05$
Total uncertainty (with recoil)	$0.80 \pm 0.06$

ATLAS-CONF-2022-058

# Overview

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)

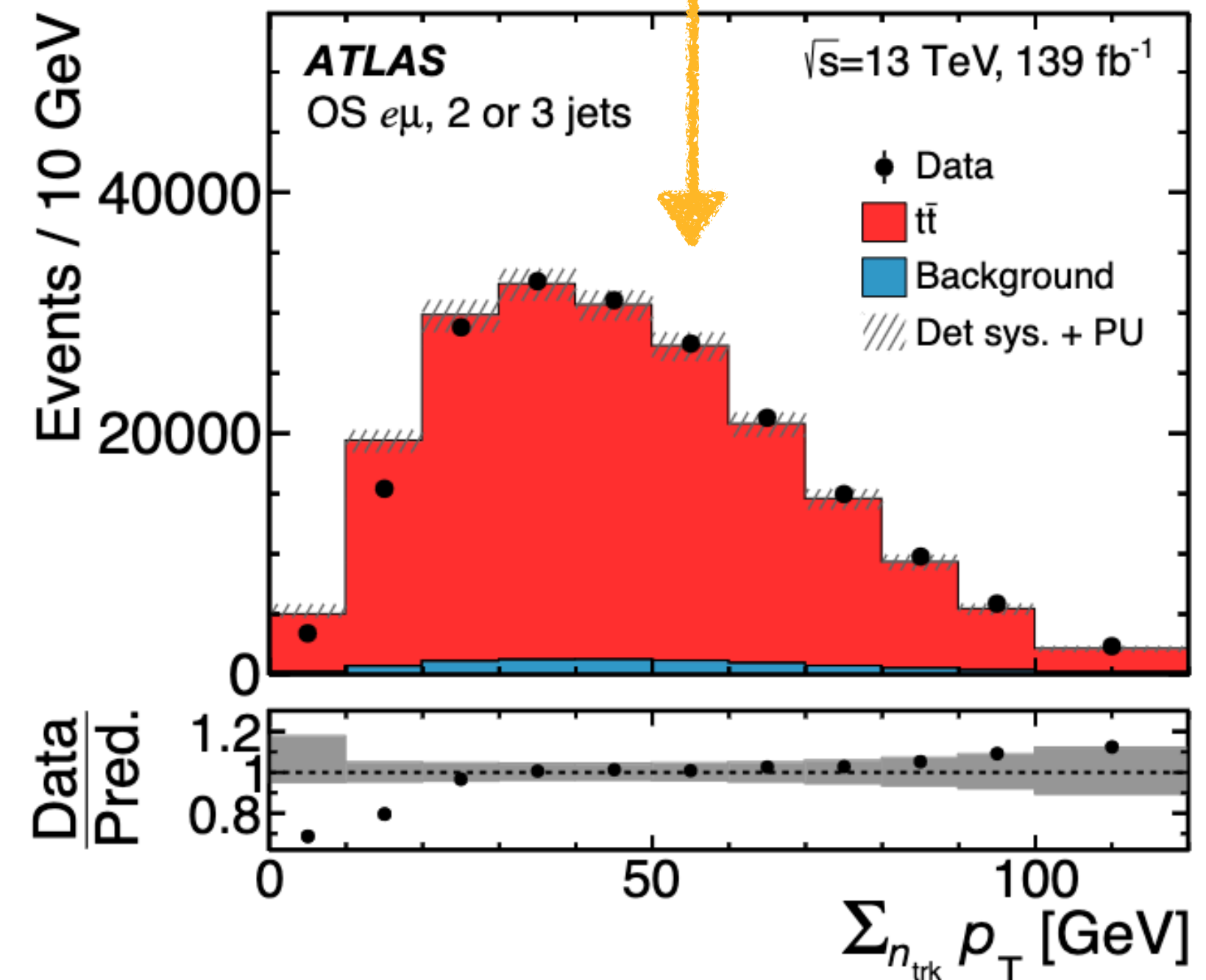
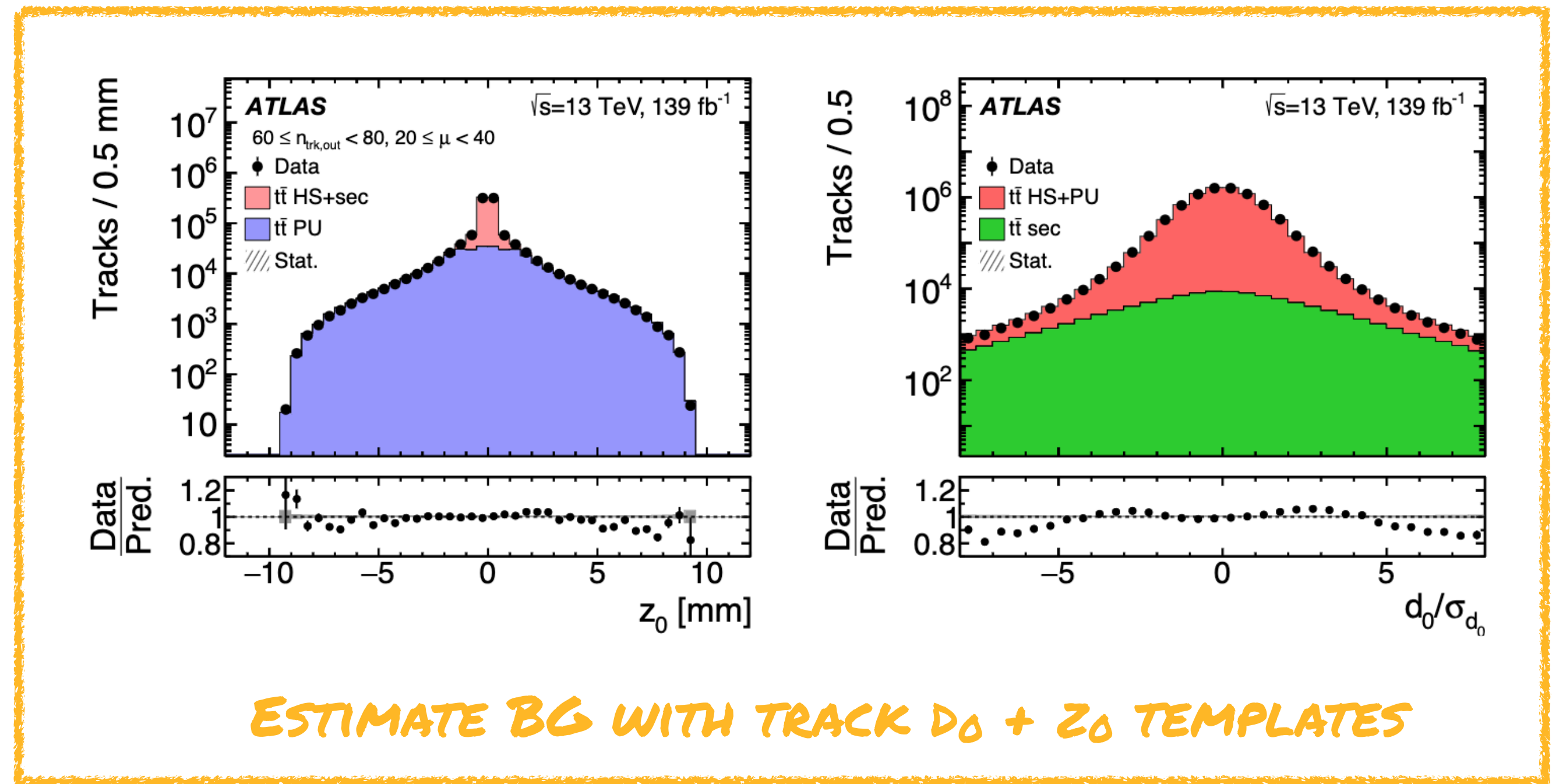
- Measurements of **charged particle multiplicity and charged particle  $H_T$**  (single- & double-differential).
- Made using opposite-flavour dileptonic  $t\bar{t}$  events ( $e, \mu$ ) with 2 or 3 jets (2  $b$ -tags)
  - Signal purity  $\sim 96\%$



# Overview

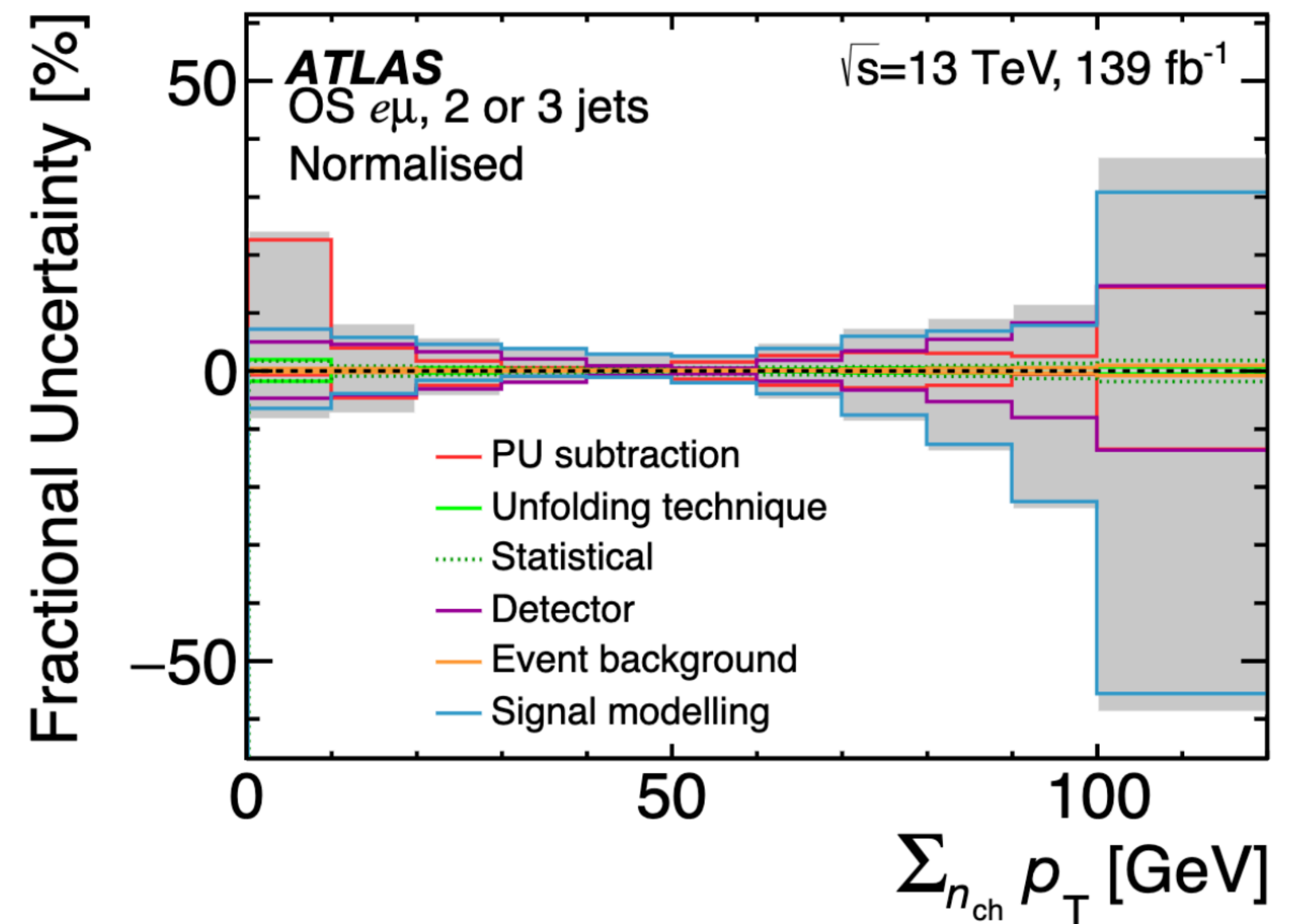
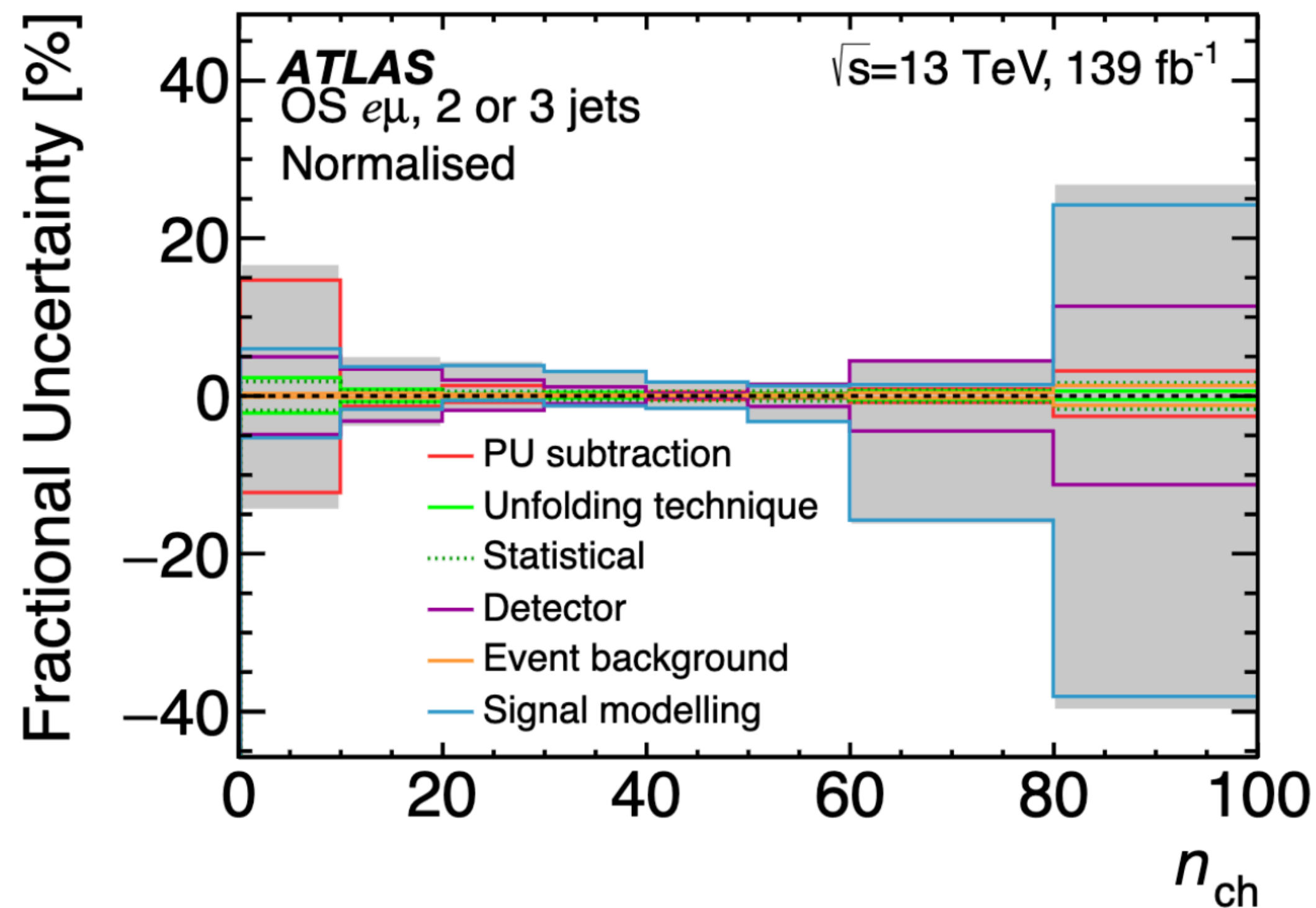
ATLAS, *Eur. Phys. J. C* 83, 518 (2023)

- Measurements of **charged particle multiplicity and charged particle  $H_T$**  (single- & double-differential).
  - Made using opposite-flavour dileptonic  $t\bar{t}$  events ( $e, \mu$ ) with 2 or 3 jets (2  $b$ -tags)
    - Signal purity  $\sim 96\%$
- PV-associated 'tight' tracks are used for measurement.
  - Tracks associated with leptons or inside of jets ( $\Delta R < 0.4$ ) are removed.
  - Remaining per-event background from pileup and secondary-vertex tracks estimated with MC templates (track  $d_0, z_0$ ).



# Systematic Uncertainties

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)

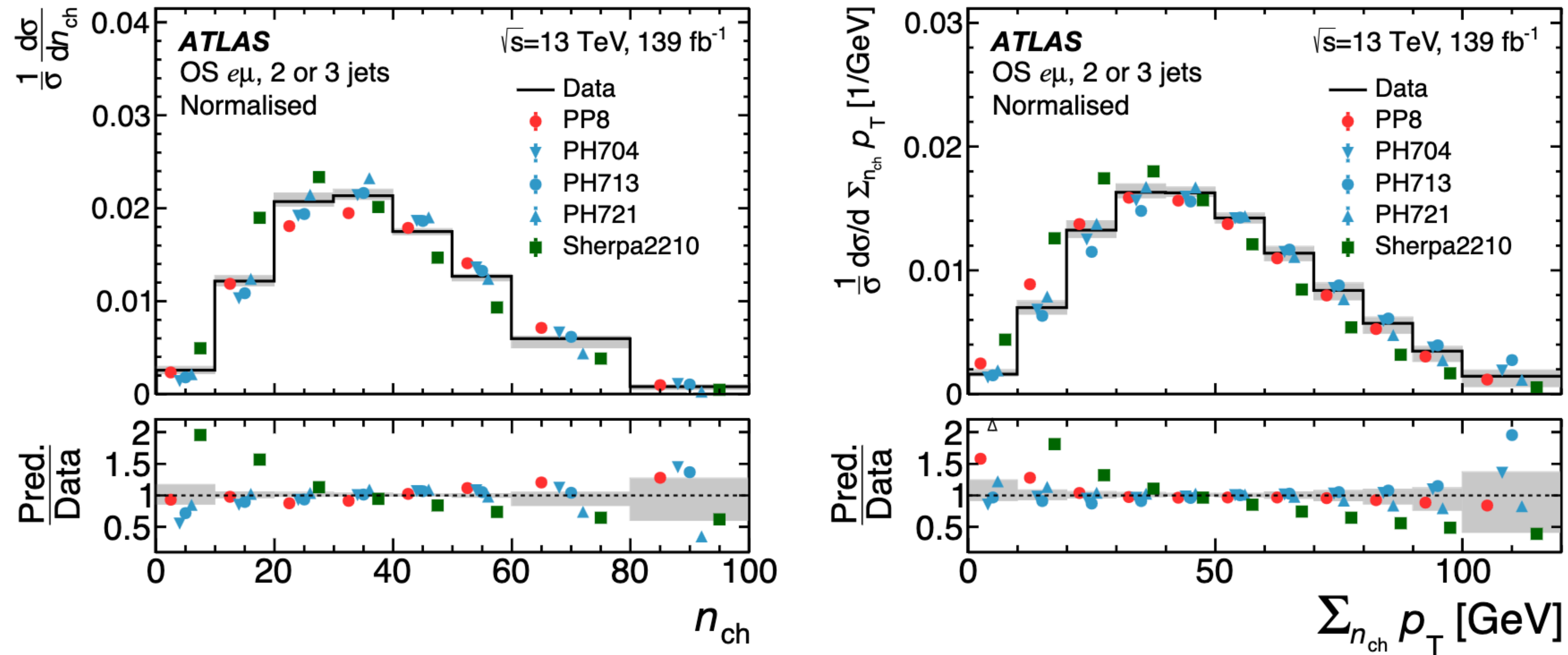


- Measured distributions are unfolded with Iterative Bayesian Unfolding (IBU)
- Dominant uncertainties related to **pileup-subtraction** (template fit) and **modelling (mostly PhPy8 vs. PhH7.1.3)**.
  - Leading **experimental sources**: **track reconstruction efficiency** and **jet energy scale** (migrations in-/out-of acceptance).



# Results: nominal MC setups

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)



- **Powheg+Pythia8** describe  $n_{\text{ch}}$  distribution best, while **Powheg+Herwig7** best describe summed Track  $p_{\text{T}}$ .
- **Sherpa 2.2.10** does not include CR, and so under-estimates both distributions.

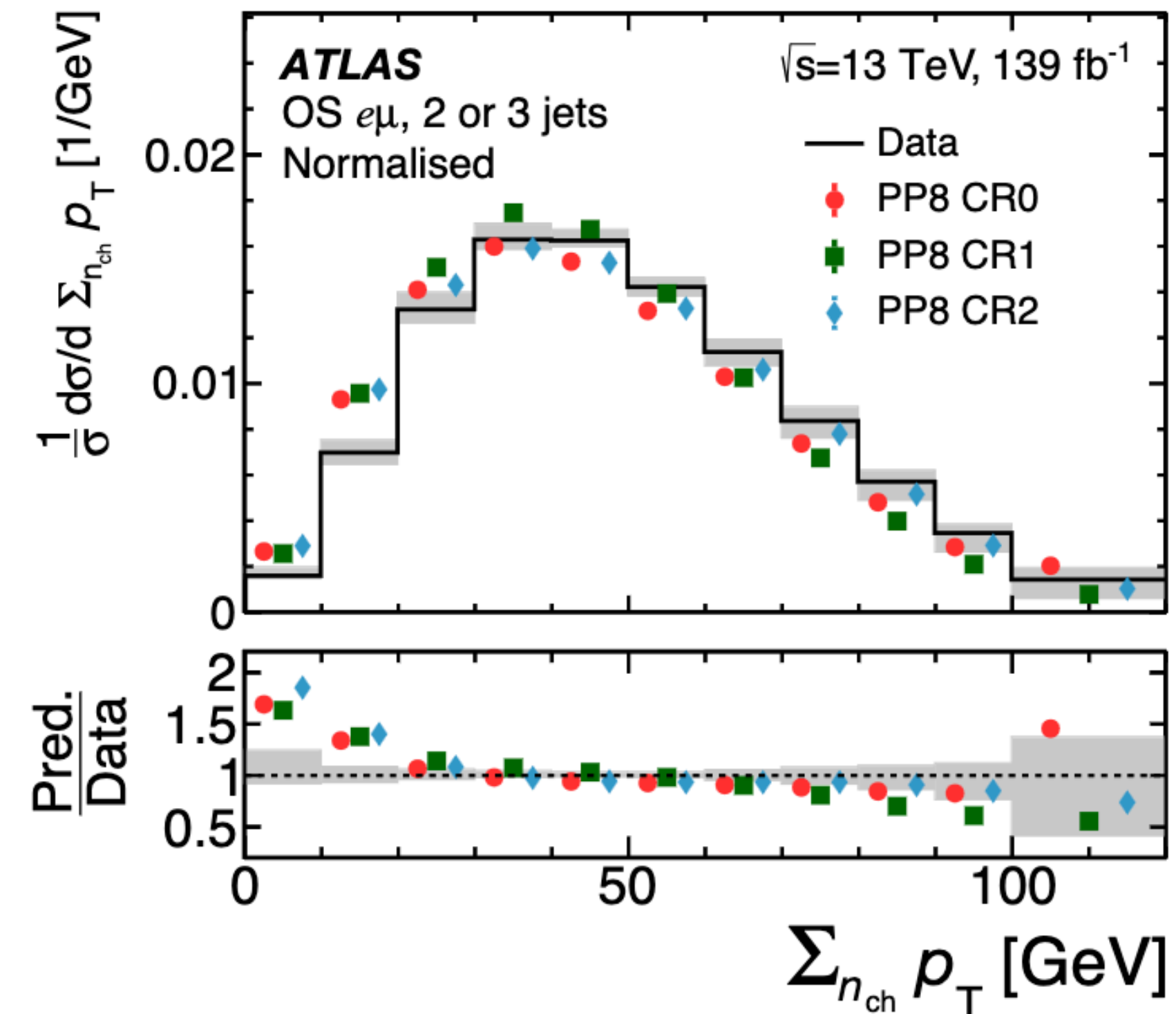
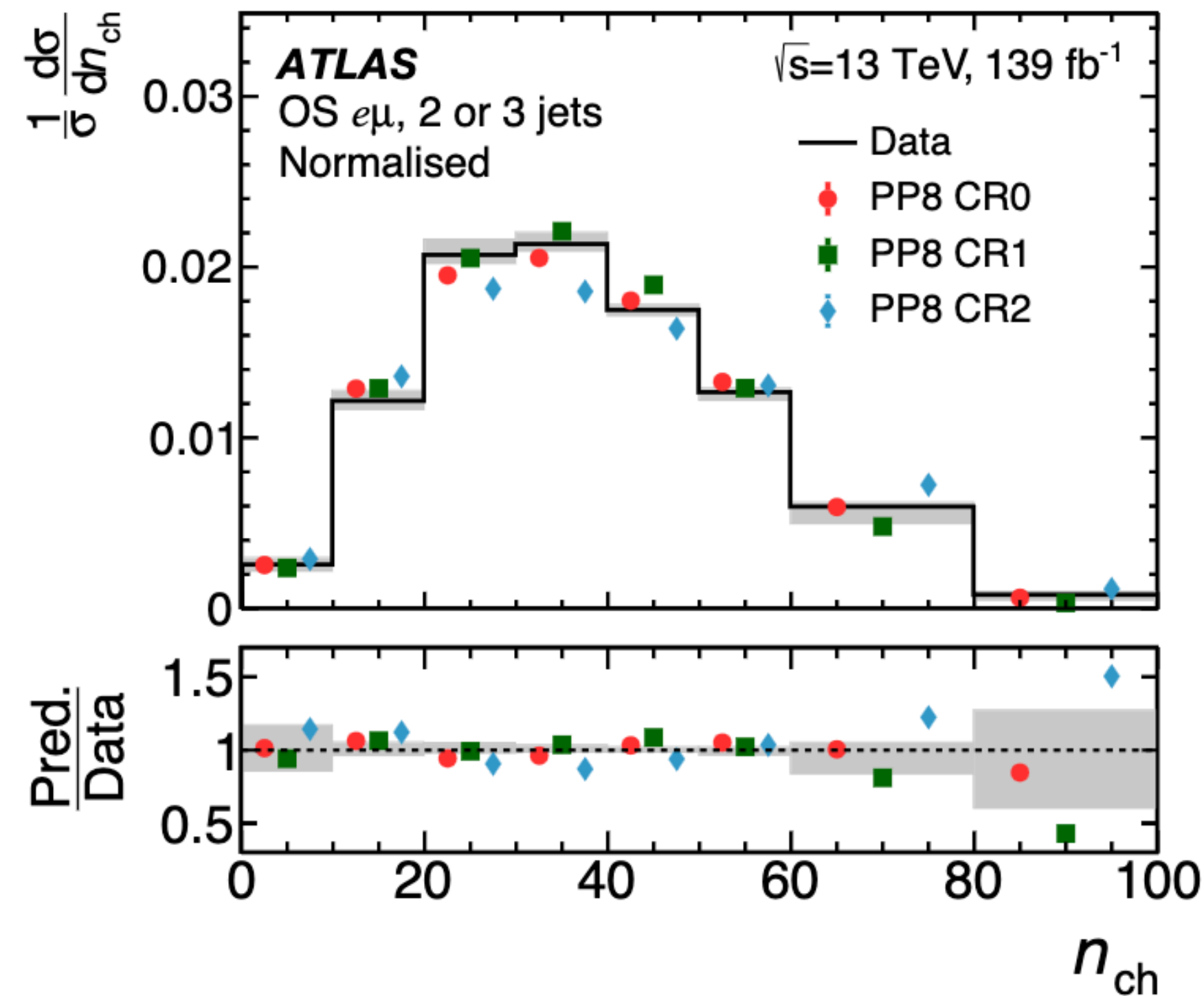
# Results: Pythia CR models

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)

**CR0:** MPI-based (default)

**CR1:** QCD-based junction model

**CR2:** Gluon-move (only gluons reconnect)

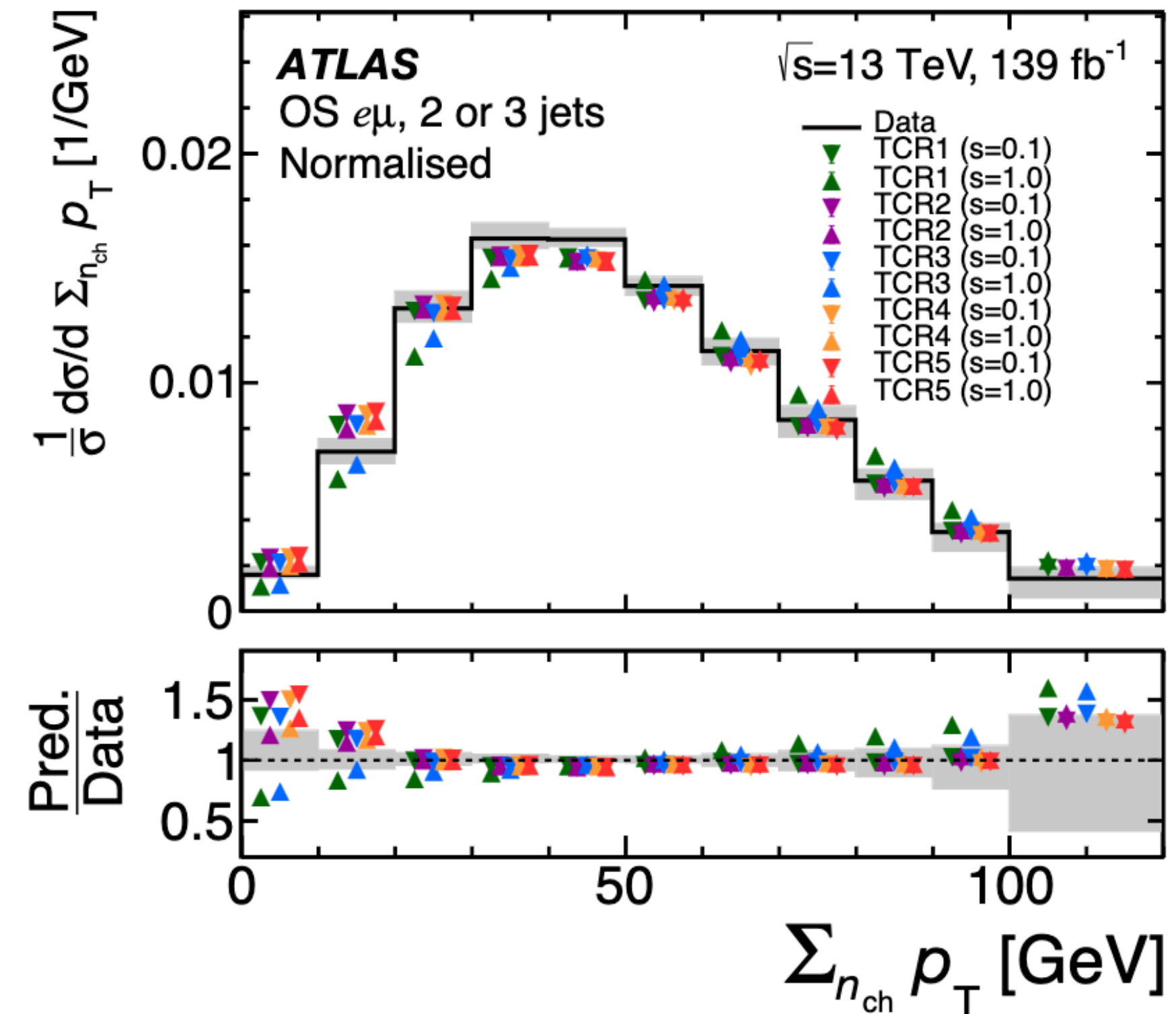
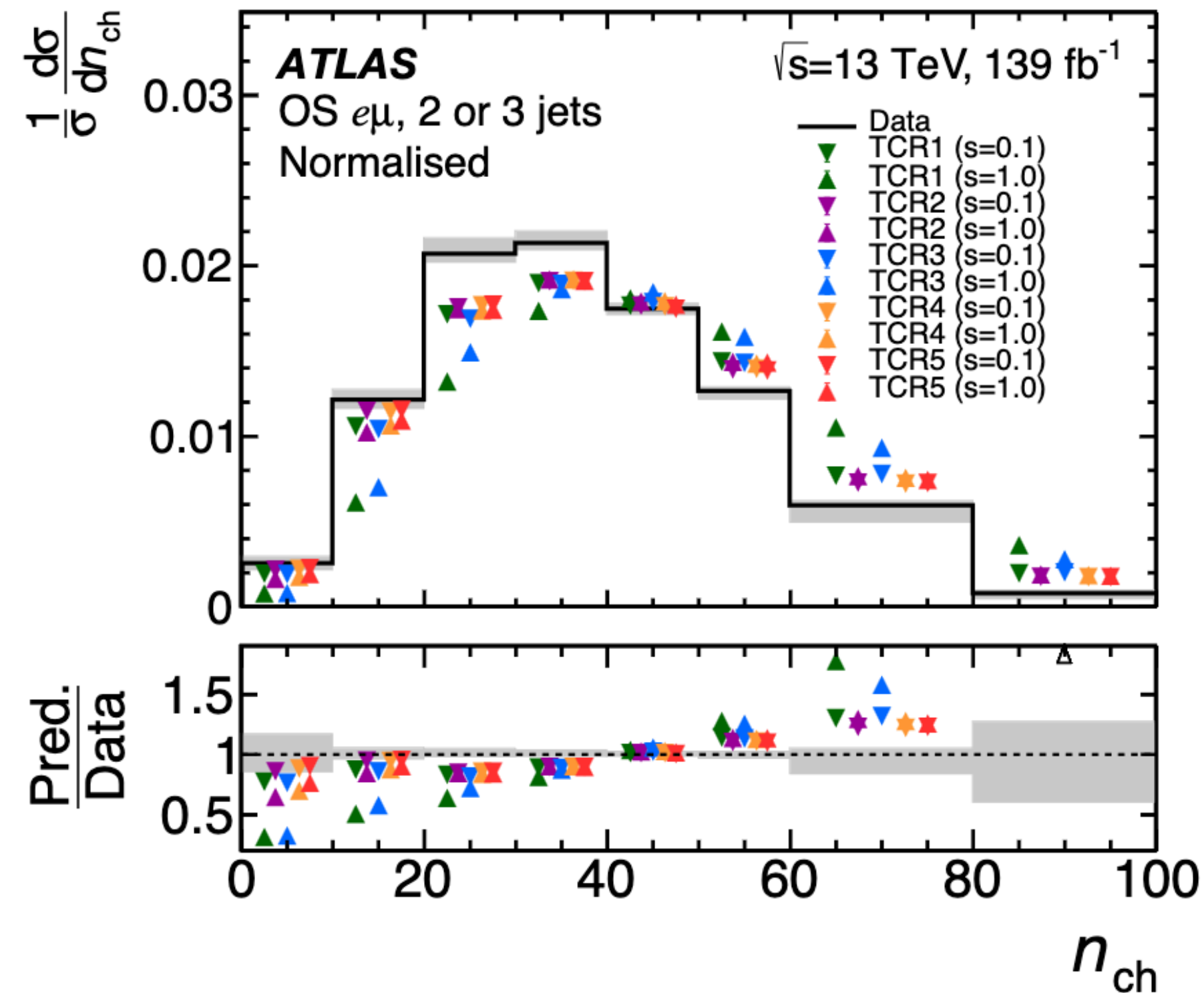


- Of the models in PP8, **nominal CR0 model (MPI-based)** describes  $n_{ch}$  best and **all models** exhibit a trend when compared to summed track  $p_T$ .

# Results: Top-specific CR models

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)

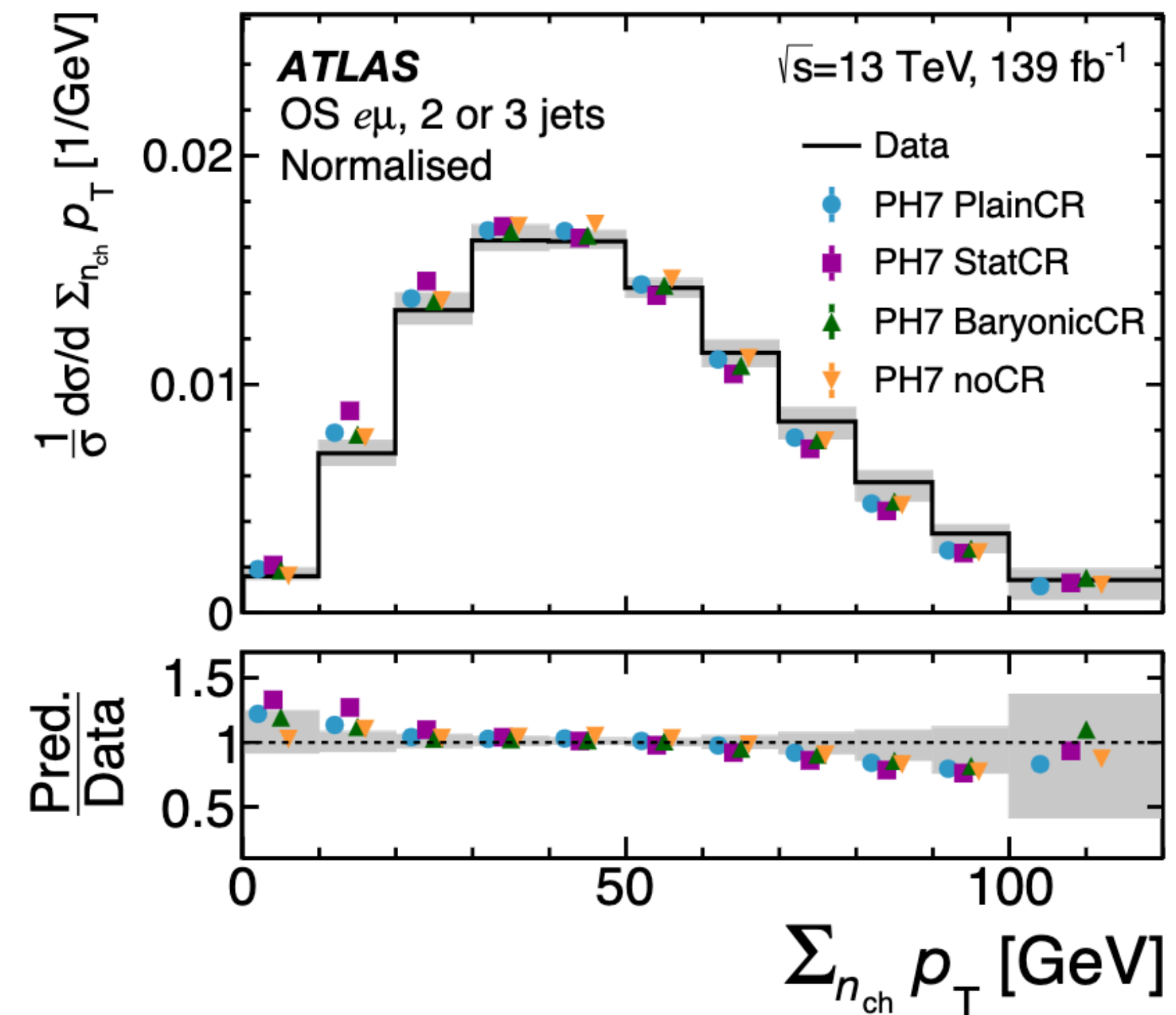
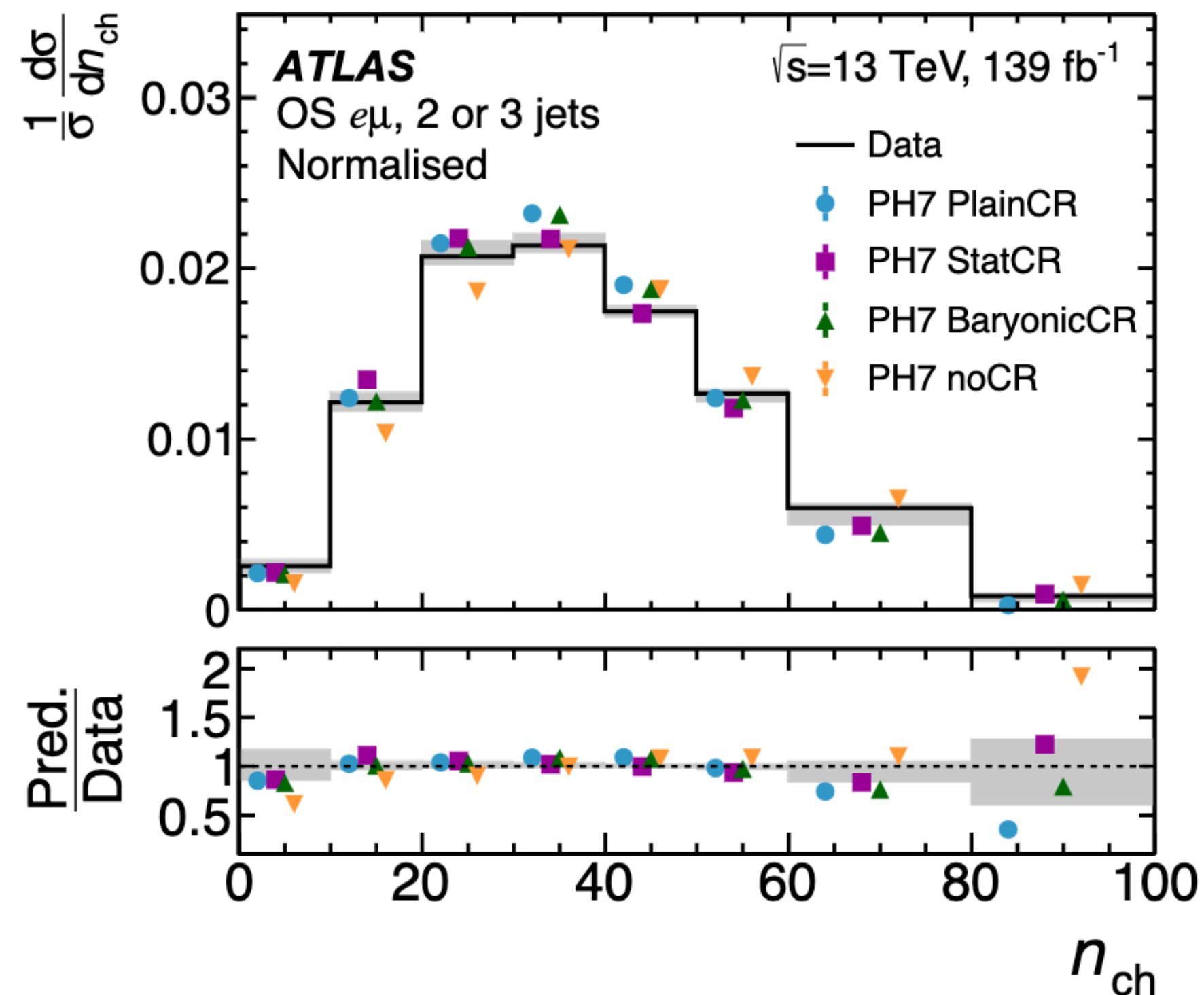
1. **Forced random (TCR1)**  
A gluon from  $\{g_t\}$  is forced to exchange colours with a random gluon from the other set,  $\{g_r\}$ .
2. **Forced nearest (TCR2)**  
A gluon from  $\{g_t\}$  is forced to exchange colours with the gluon from  $\{g_r\}$  that minimises  $m^2(g_t, g_r)$ .
3. **Forced farthest (TCR3)**  
A gluon from  $\{g_t\}$  is forced to exchange colours with the gluon from  $\{g_r\}$  that maximises  $m^2(g_t, g_r)$ .
4. **Forced smallest  $\Delta\lambda$  (TCR4)**  
A gluon from  $\{g_t\}$  is forced to exchange colours with the gluon from  $\{g_r\}$  for which the change in  $\lambda$  (available rapidity range of particle production) is smallest.
5. **Smallest  $\Delta\lambda$  (TCR5)**  
This is the same as the previous model, except that gluons exchange colours only if  $\Delta\lambda < 0$ .



- **None of the top-specific colour reconnection models in Pythia 8 perform well:** changing how gluons exchange colours in top decays results in only small differences, except for TC1 & TC3, which disagree with the other models and the data strongly.

# Results: Herwig CR models

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)



- Of the models in PH7, the newly-introduced **Statistical CR model** performs best for  $n_{ch}$ , while for summed track  $p_T$  the **Baryonic CR model** performs best.
- **PH7 models perform best overall**, of all the comparisons made in this measurement!

## Part 2: Jet Substructure

***ATLAS*** hadronisation & jet response

[ATL-PHYS-PUB-2022-021](#)

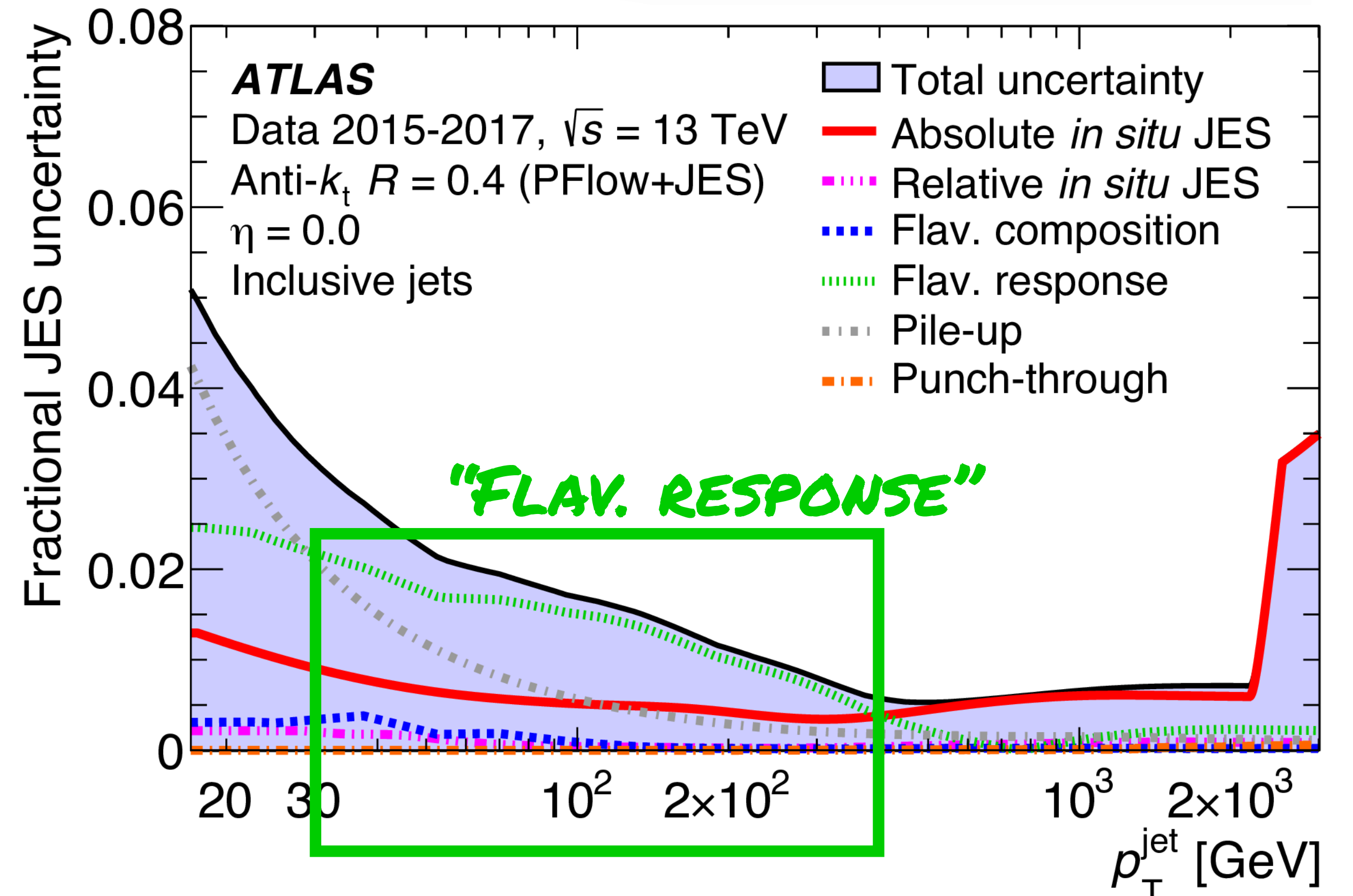
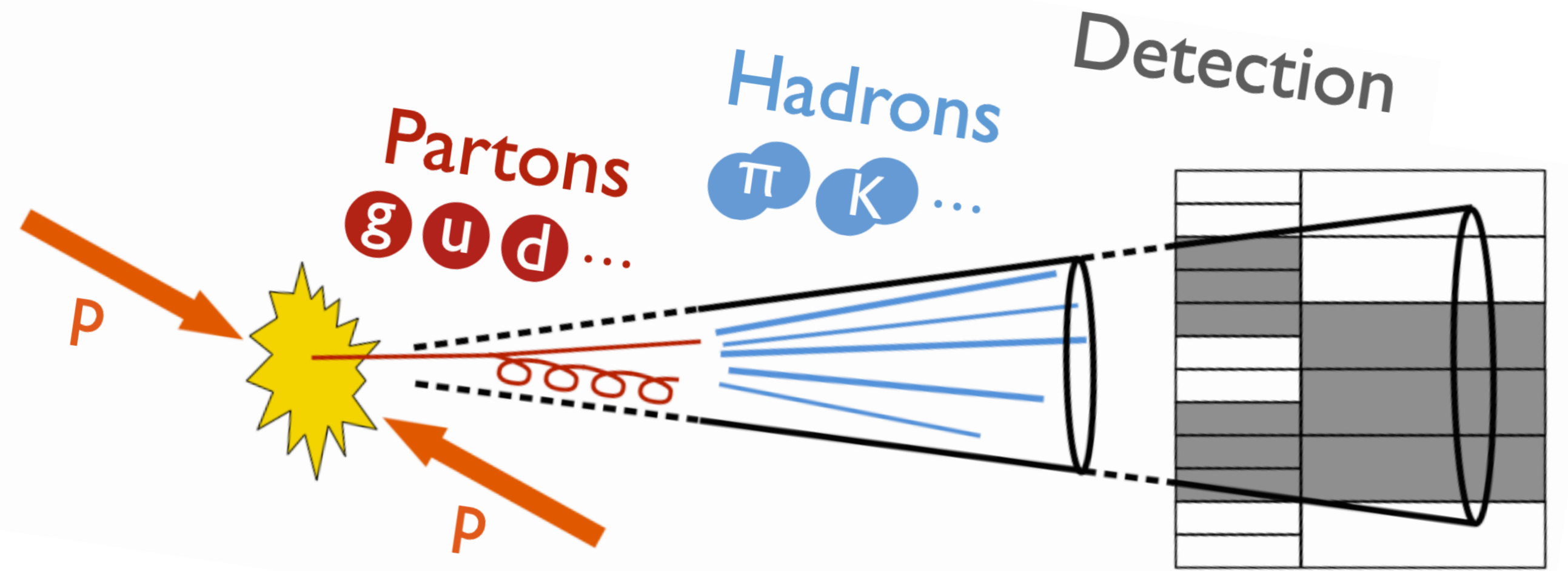
***ATLAS*** Lund jet plane

[PRL 124, 222002 \(2020\)](#)

# The jet energy scale

ATLAS, *Eur. Phys. J. C* 81 (2021) 689

- Jets are complicated: composite objects w/ multiple scales, large areas.
- Both **Theoretical & experimental** complexity
- Although jets are typically hard objects, they are also sensitive to npQCD effects!
- The JES is a major source of systematic uncertainty in most physics analyses performed at the LHC.
- Leading source of uncertainty depends on jet kinematics : **"Flavour Response"** dominates in region of interest for many studies in **Higgs & top** sectors!

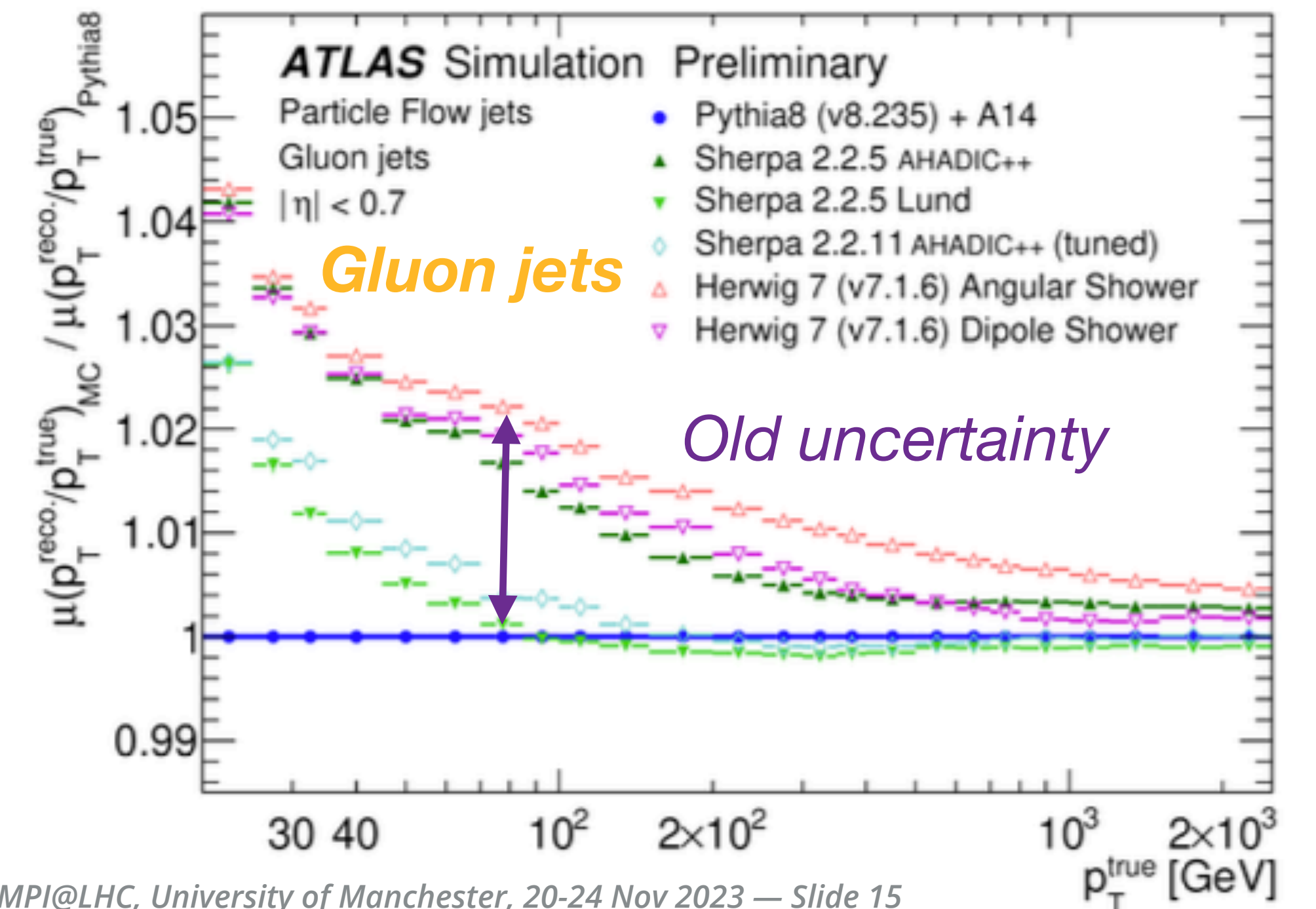
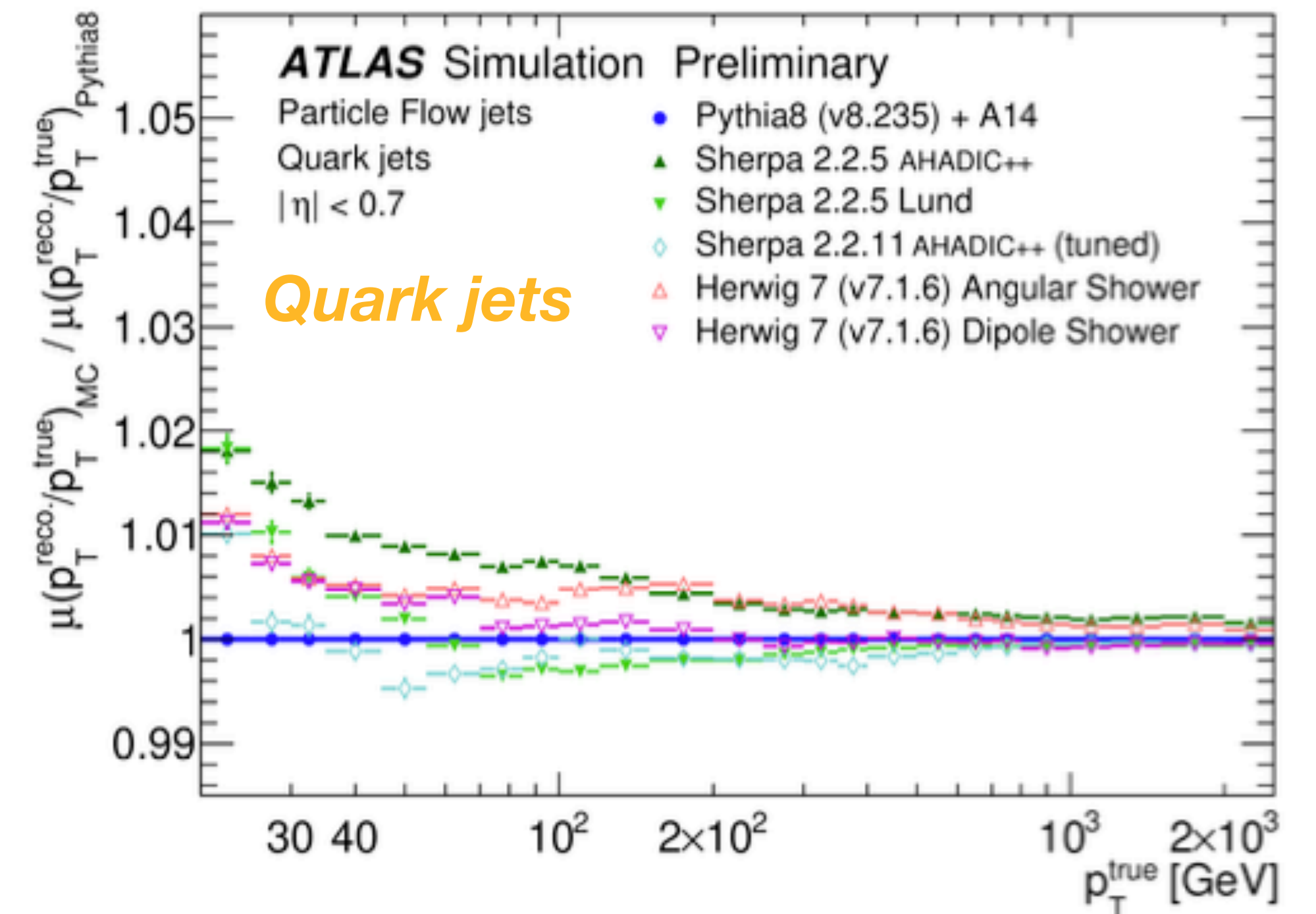


# JES flavour response

ATLAS, ATL-PHYS-PUB-2022-021

- **Flavour Response** uncertainty originates from the differing detector response to quark- and gluon-initiated jets.
- Gluon-initiated jets are wider and have more soft particles than quark-initiated jets of the same  $p_T$  ( $C_A/C_F$ ).
  - Modelling of underlying particle spectrum in jets therefore matters a lot!
  - Previously, this unc. had been taken as the difference in the gluon-initiated jet response between **Pythia** and **Herwig**.

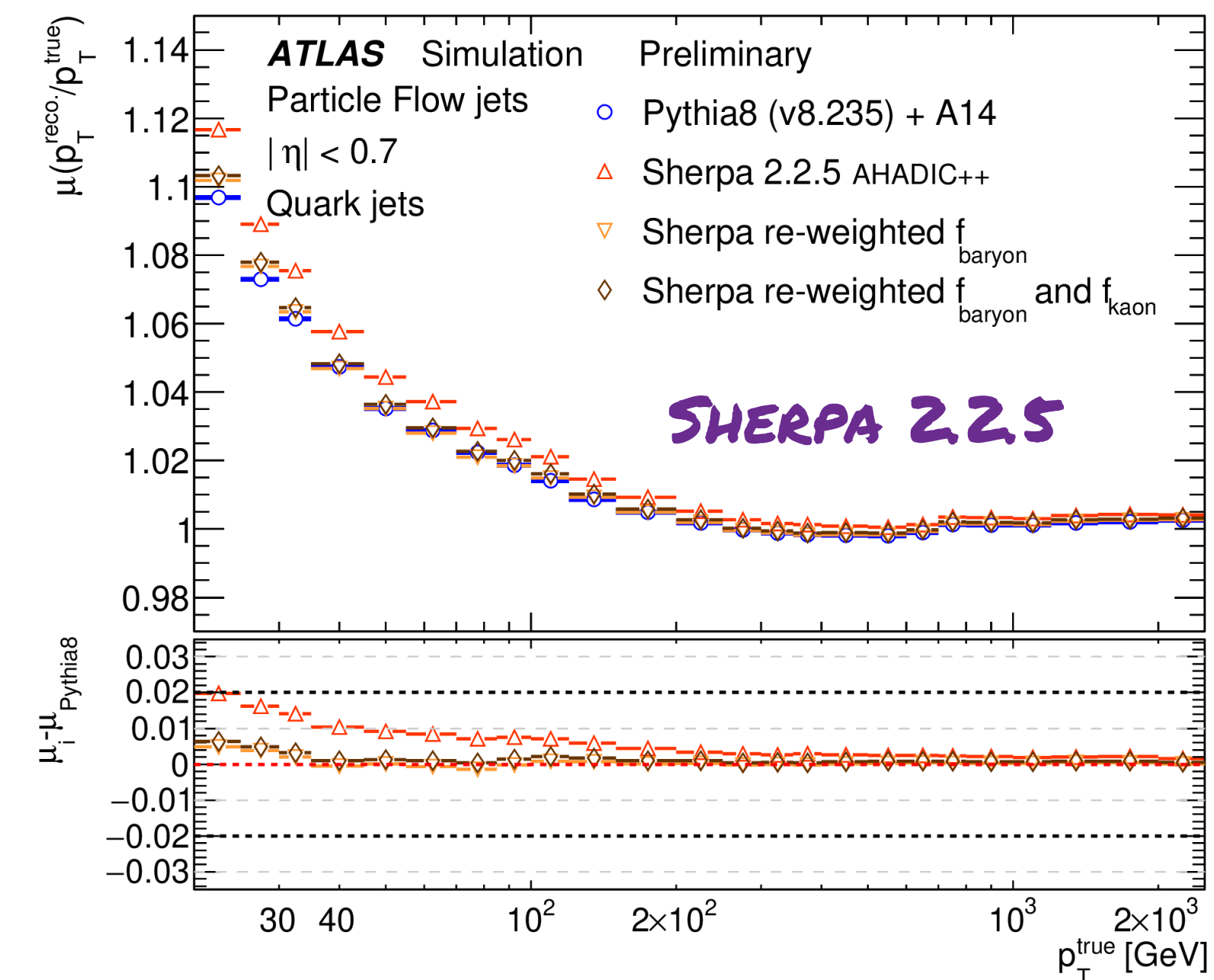
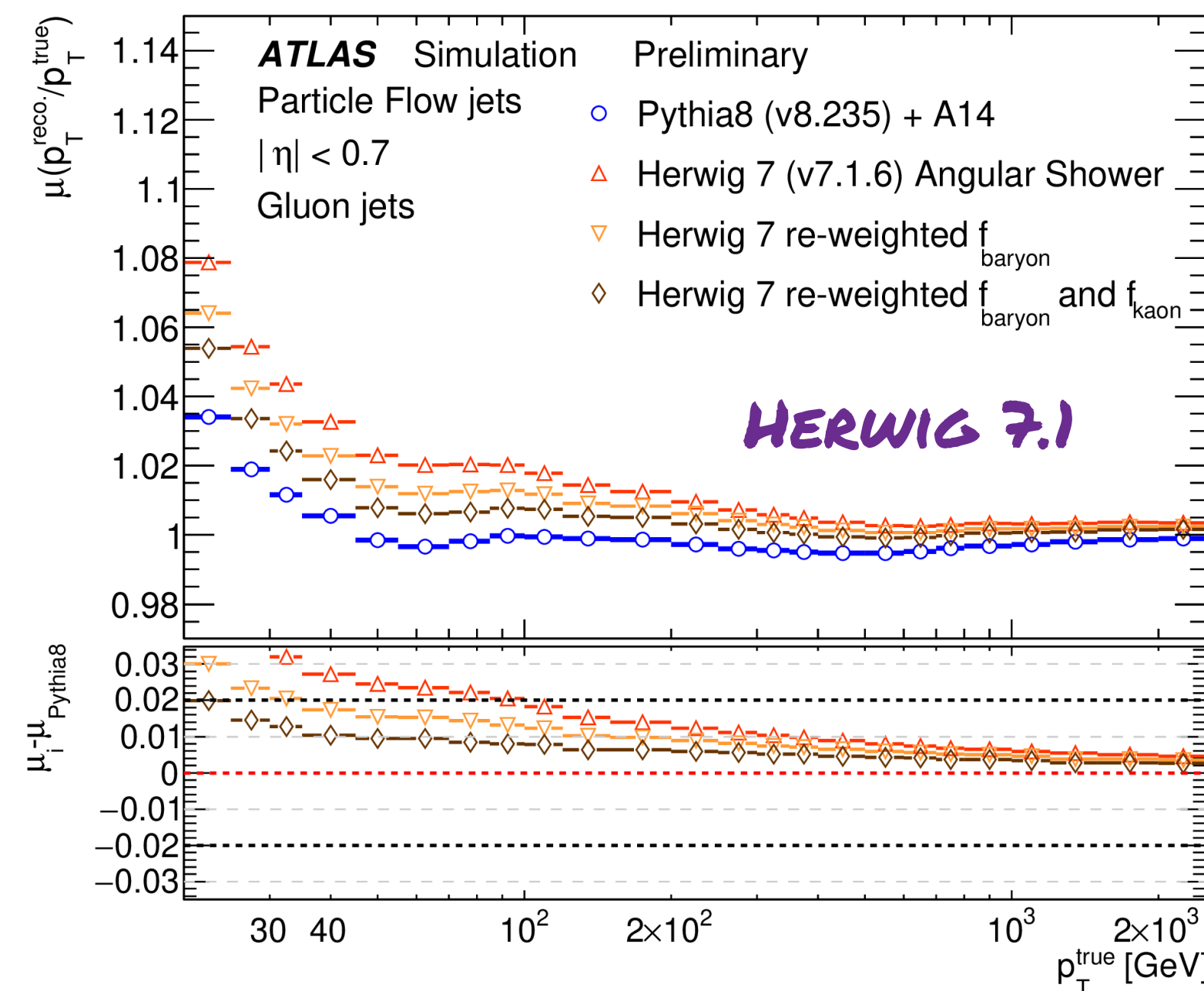
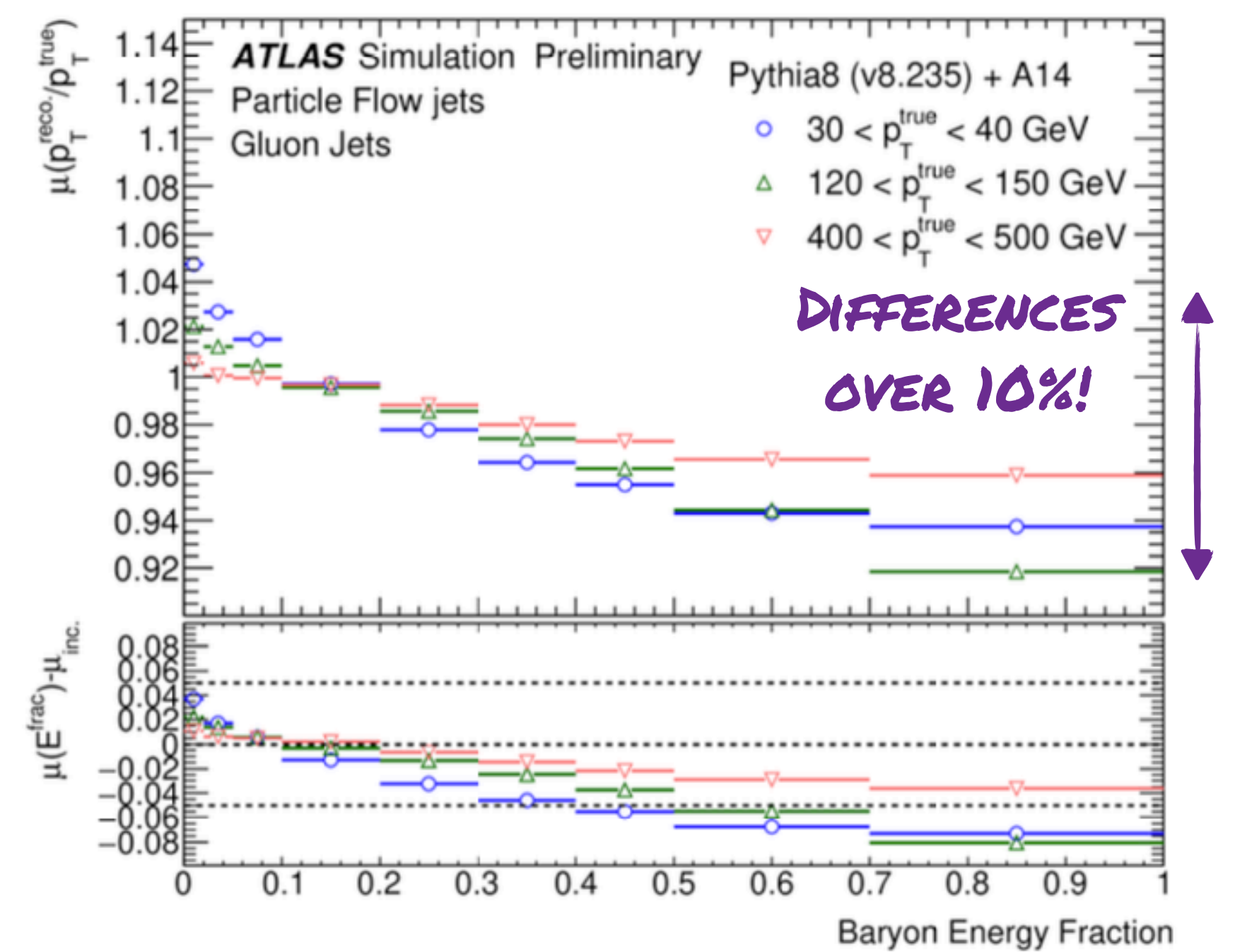
• **What's really driving this difference?**



# Reweighting baryon fractions

ATLAS, ATL-PHYS-PUB-2022-021

- JES is sensitive to the underlying jet **Baryon & Kaon fractions**.
- **Variations over 10%** in Pythia as a function of Baryon fraction!
- Differences in baryon/kaon fraction partially drive the differences between generators
- Seen by re-weighting
- We need to use models that accurately represent available data...

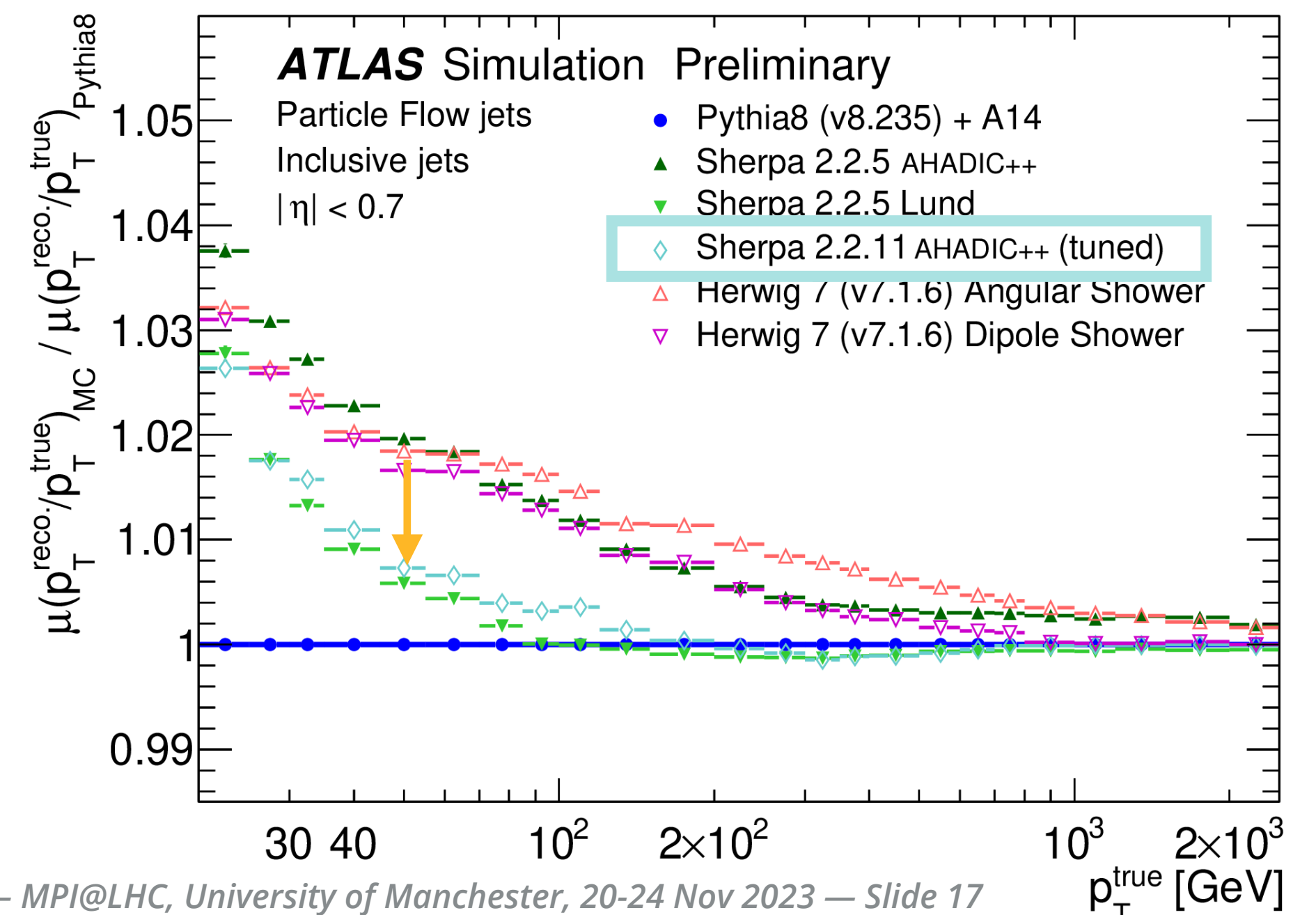
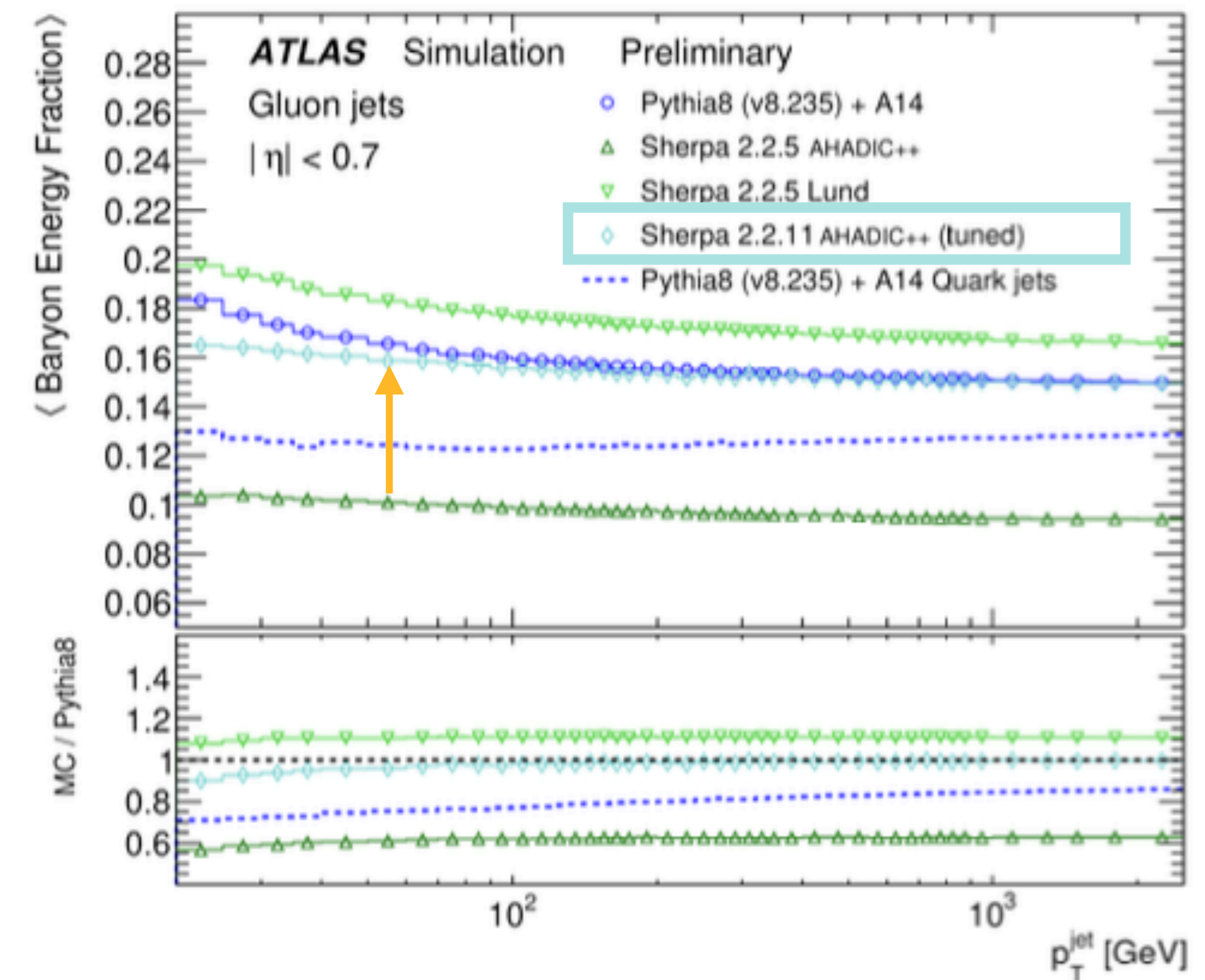




# Impact of Sherpa 2.2.11 tune

ATLAS, [ATL-PHYS-PUB-2022-021](#)

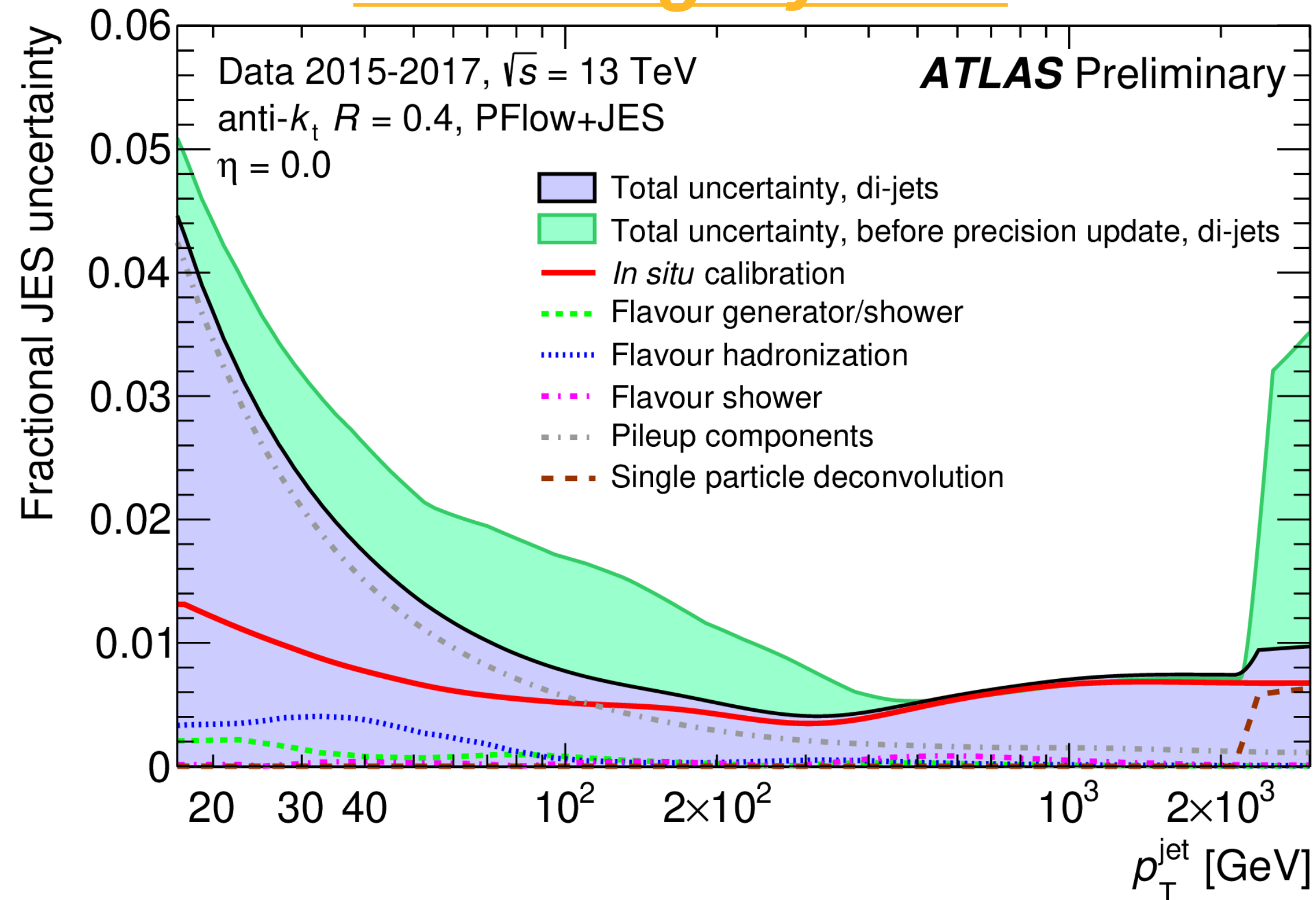
- New Sherpa 2.2.11 tune, based on LEP data, results in Baryon fractions much closer to Pythia 8.1!



# The updated jet energy scale uncertainties

ATLAS, [JETM-2023-005](#); ATLAS & CMS, [1902.10229](#)

## Run 2 'legacy' JES



- Updated uncertainties result in a percent-level JES in ATLAS above  $\sim 60$  GeV in the central region!

- Flavour response uncertainty updated in ATLAS to use **three factorised comparisons**, rather than one catch-all:

----- Flavour generator/shower

Pythia8 [\[1\]](#) vs.

Sherpa v2.2.5 w/ Lund hadronisation (Pythia 6) [\[2\]\[3\]](#)

..... Flavour hadronization

Sherpa v.2.2.11 w/ AHADIC cluster hadronisation [\[2\]\[4\]](#) (new tune [\[5\]](#)) vs.

Sherpa v2.2.5 w/ Lund hadronisation (Pythia 6)

..... Flavour shower

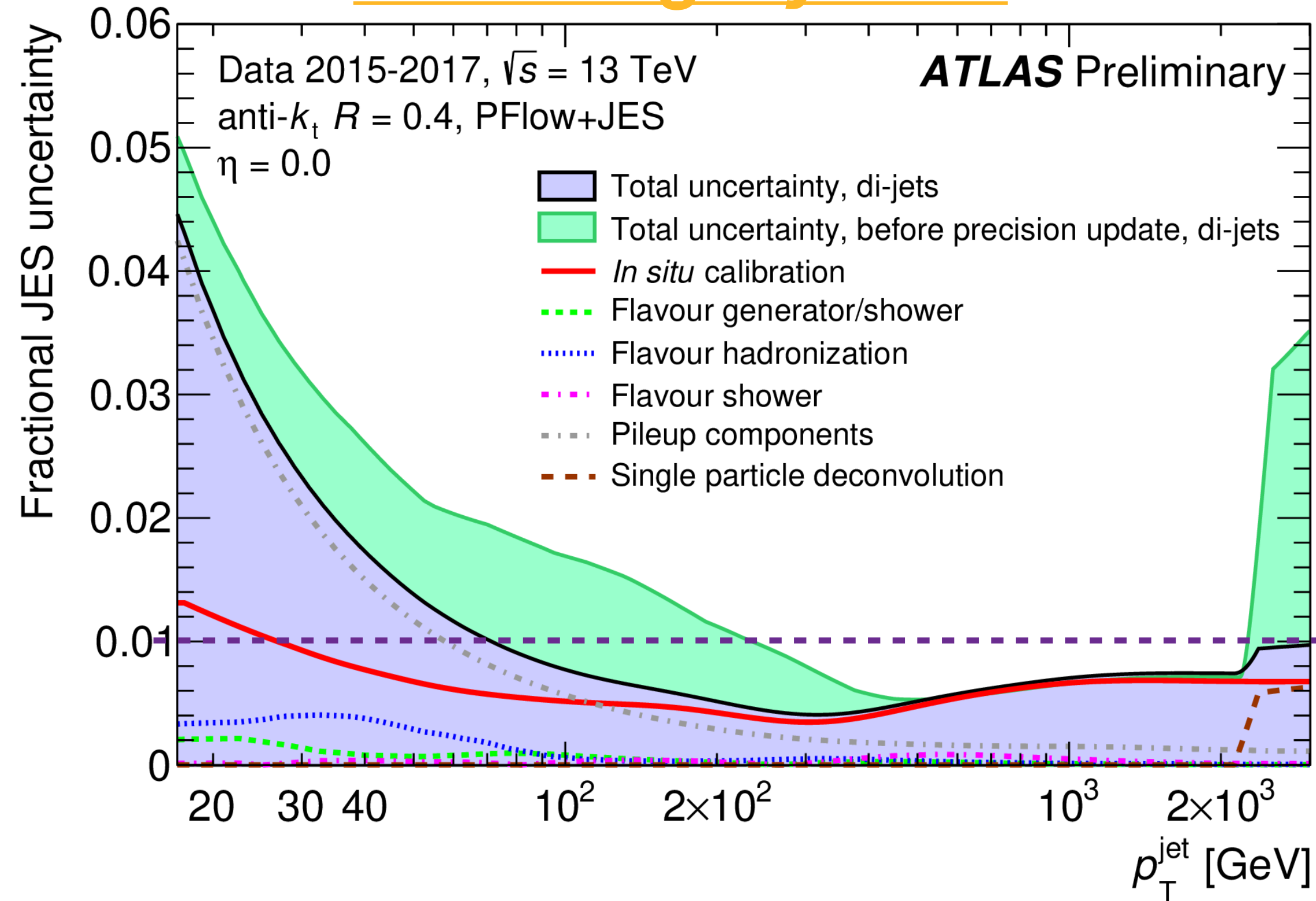
Herwig7 angular parton shower [\[6\]\[7\]](#) vs.

Herwig7 dipole parton shower [\[6\]\[8\]](#) shower models

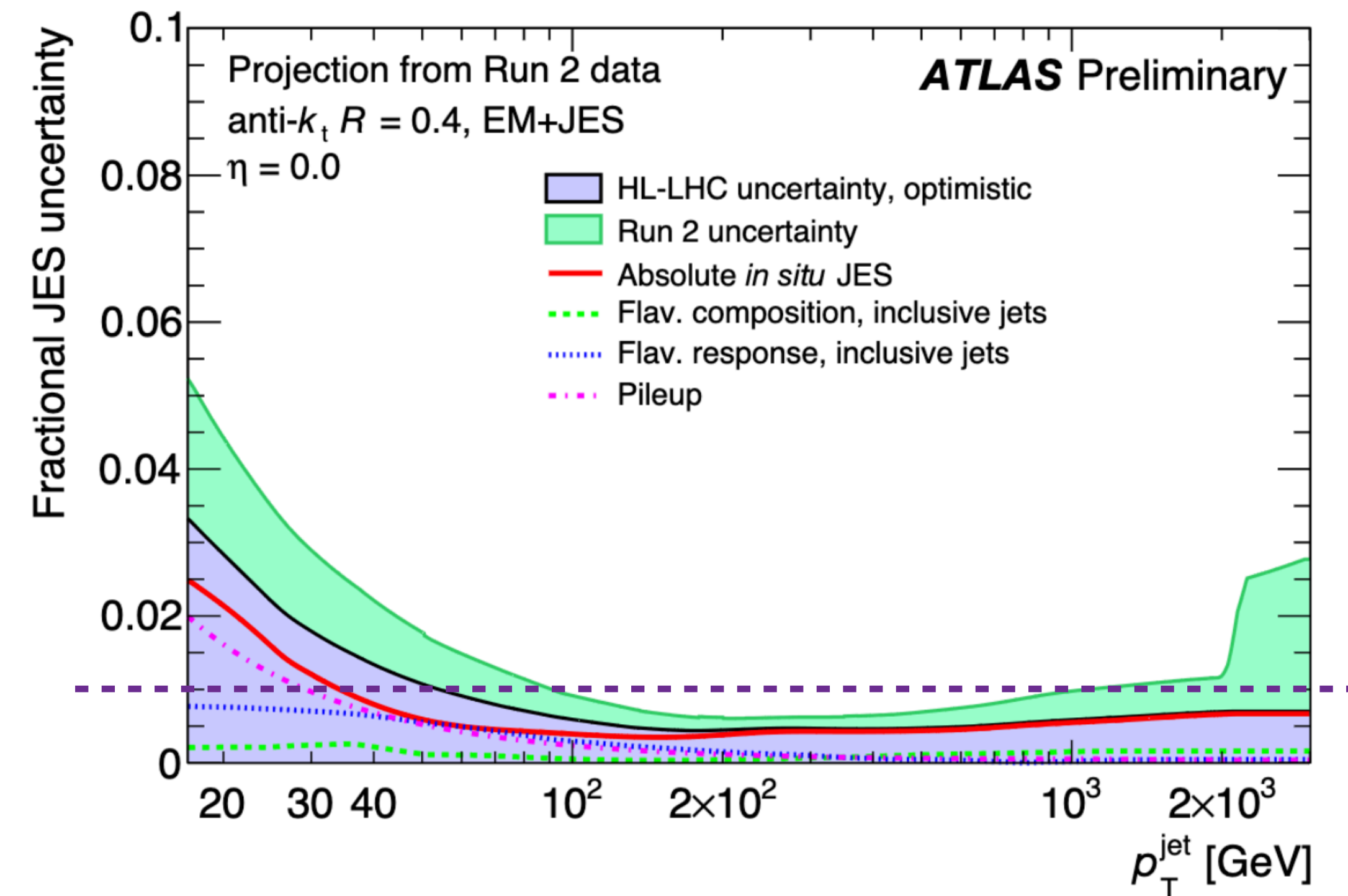
# The updated jet energy scale uncertainties

ATLAS, [JETM-2023-005](#); ATLAS & CMS, [1902.10229](#)

## Run 2 'legacy' JES



## Optimistic HL-LHC Projection



- Updated uncertainties result in a percent-level JES in ATLAS above  $\sim 60$  GeV in the central region!

- Approaching 'optimistic' HL-LHC projections in Run 2 conditions: but Run 4 environment will be more difficult ...
  - To see plans for improved pileup & *in situ* uncertainties, refer to **ATLAS** [Eur. Phys. J. C \(2023\) 83:761](#)

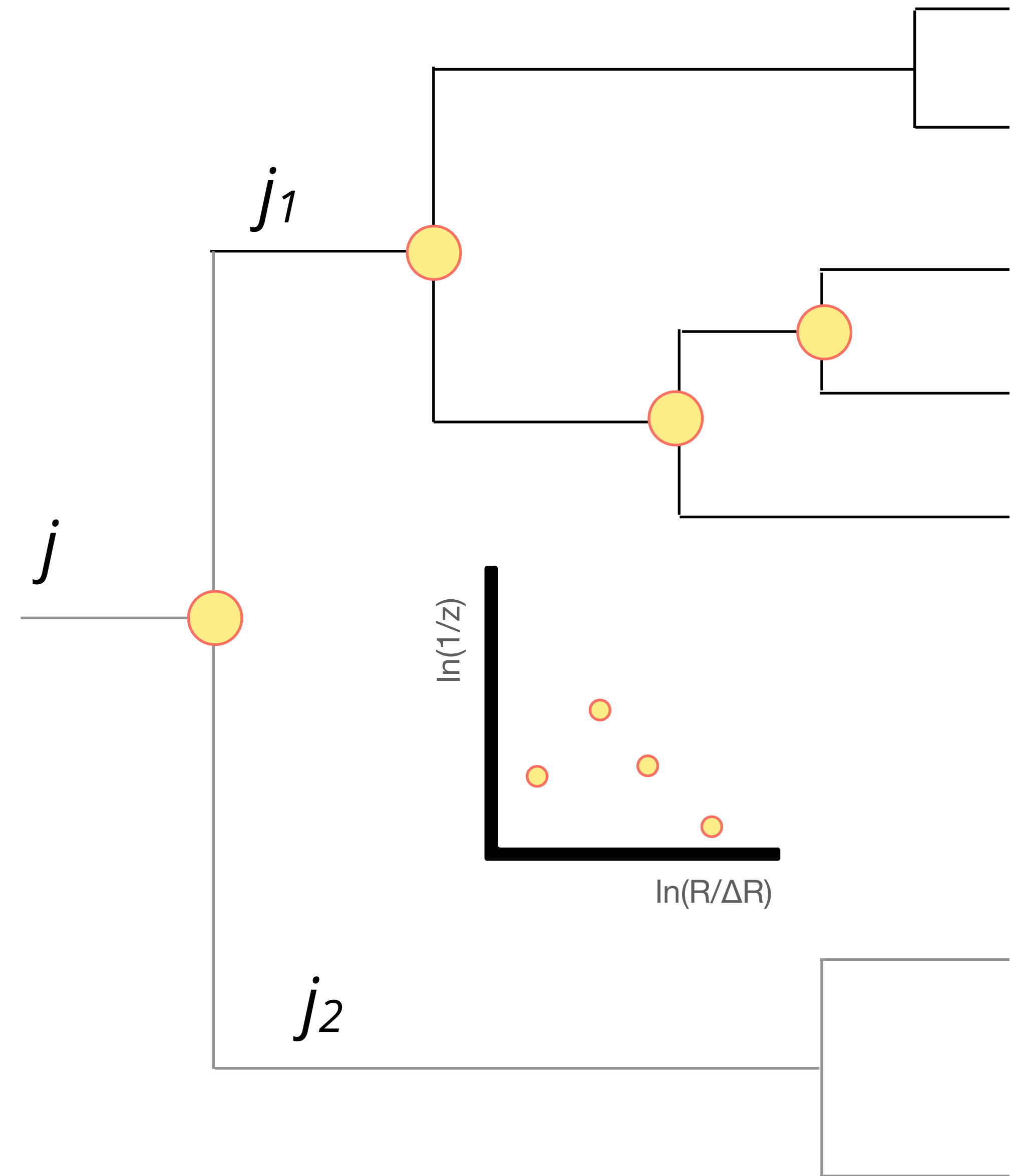
So — we're reducing the spread  
between PSMC predictions ...

... but are they getting closer to  
data?

# Lund jet plane

*Dreyer, Salam & Soyez JHEP 12 (2018) 064*

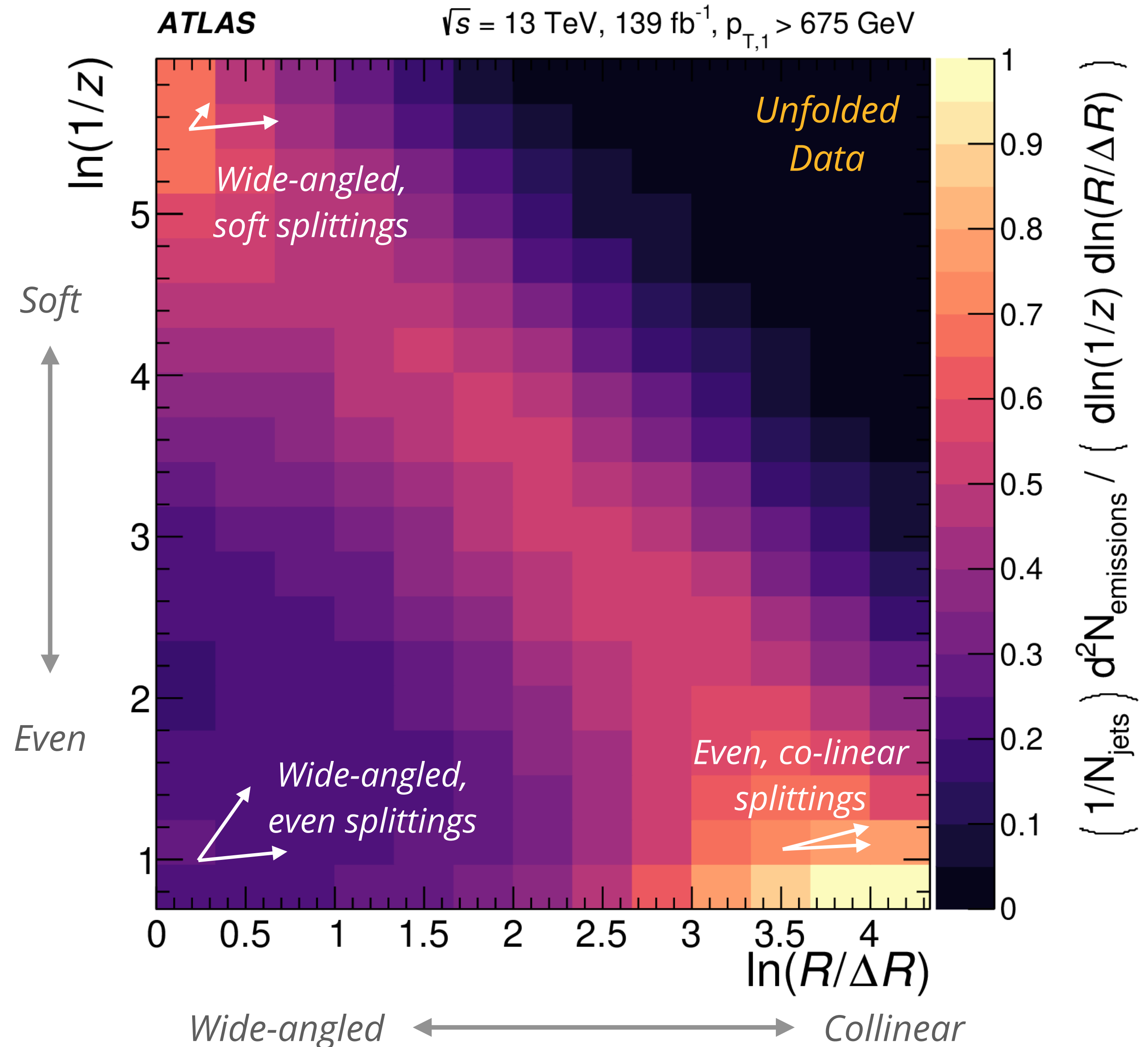
- **Lund Plane** : schema used by PSMC community for 34+ years (Andersson et al. Z.Phys.C 43 (1989) 625)
- Newly applied to JSS by **Dreyer et al.**
  - **Key concept:** probe entire angle-ordered emission history of originating parton.
  - Parameterise emissions of **angle-ordered picture** in terms of their **relative energies ( $z$ )** and **angles ( $\Delta R$ )**.
- Powerful, physics-first representation of JSS:
  - ML/AI (1903.09644, 2012.08526),  
q/g tagging (2112.09140),  
PS development (1805.09327, 2205.02861),  
analytics (2007.06578),  
heavy-flavour (2106.05713, 2112.09650,  
2202.05082)



# Lund jet plane: data

ATLAS, [PRL 124, 222002 \(2020\)](#)

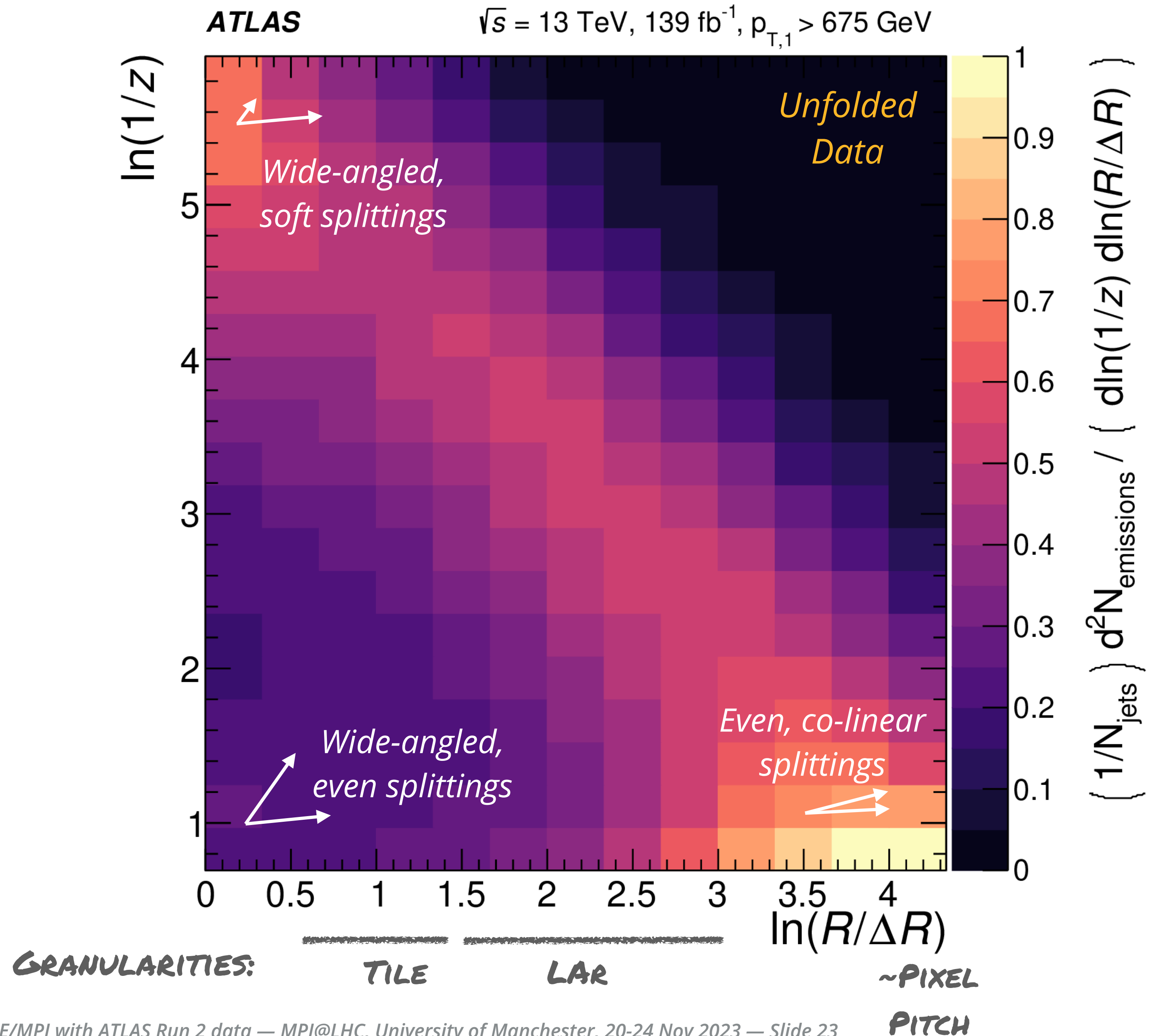
- Factorises different physics effects into different regions.
- *Soft splittings vs. even splittings, wide-angled vs. collinear.*



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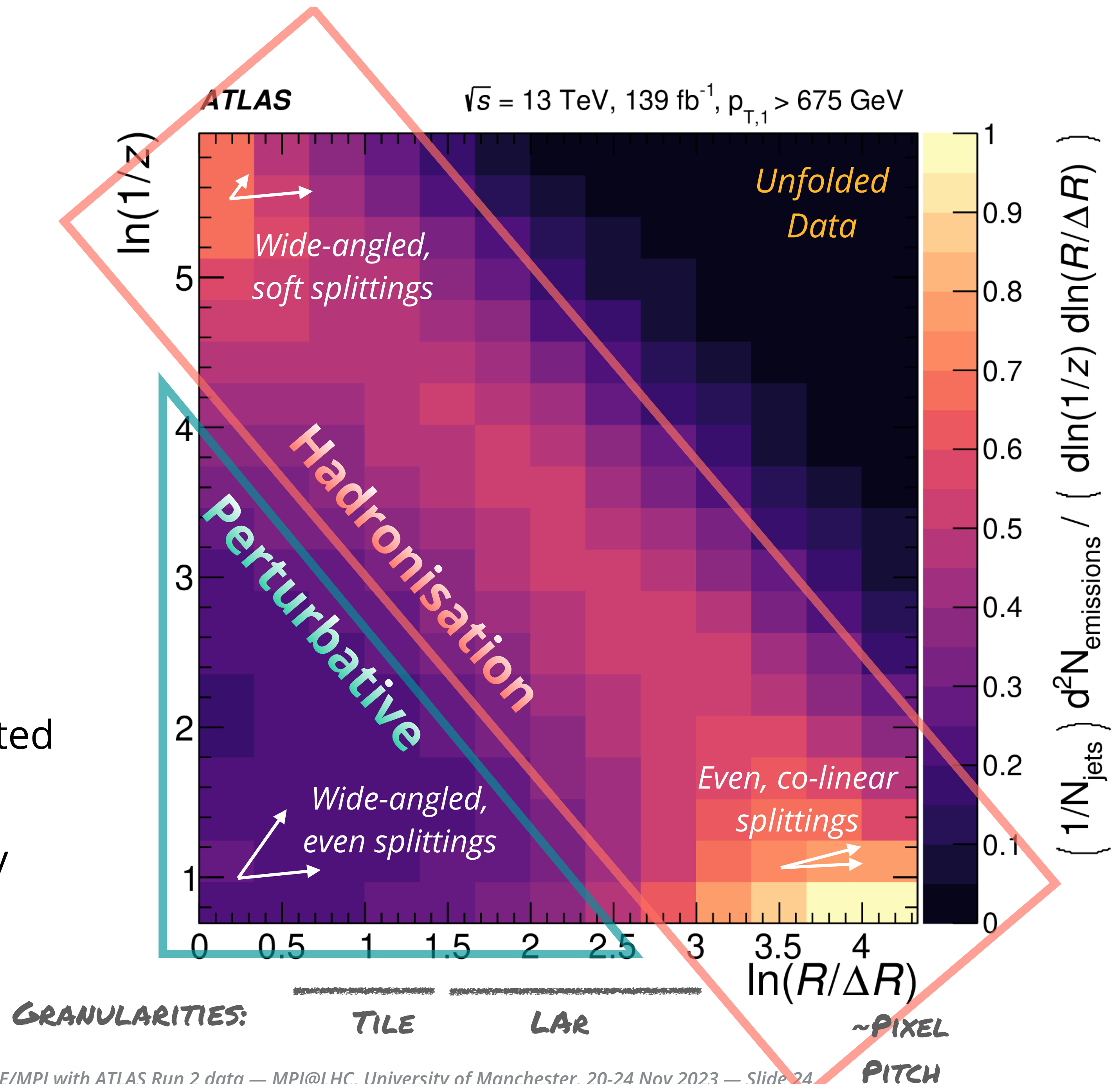
- Factorises different physics effects into different regions.
  - *Soft splittings vs. even splittings, wide-angled vs. collinear.*
- Calorimeter granularity is too coarse to resolve the most collinear splittings.
  - *Use tracks in jets*
  - *access smallest angular scales!*



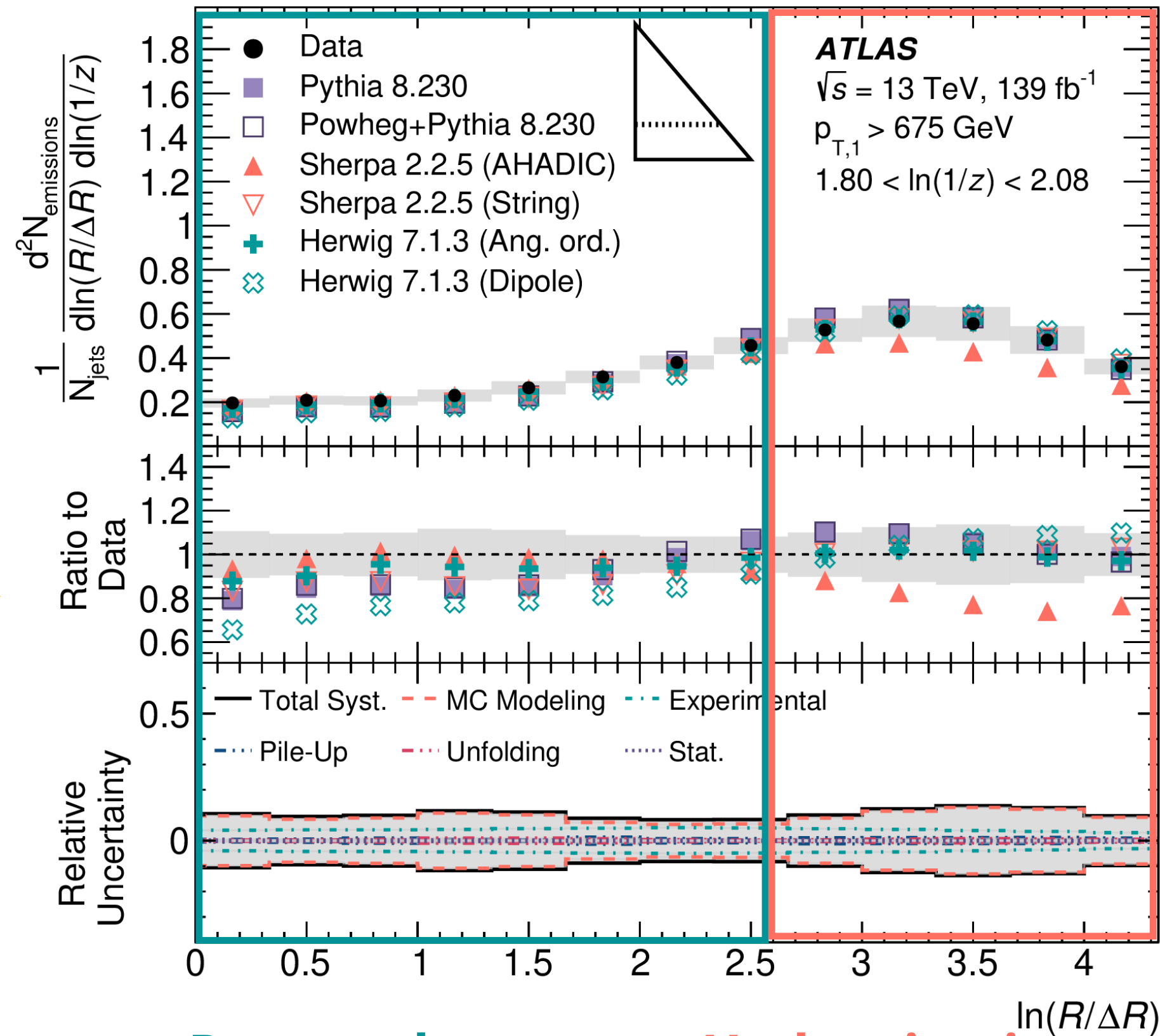
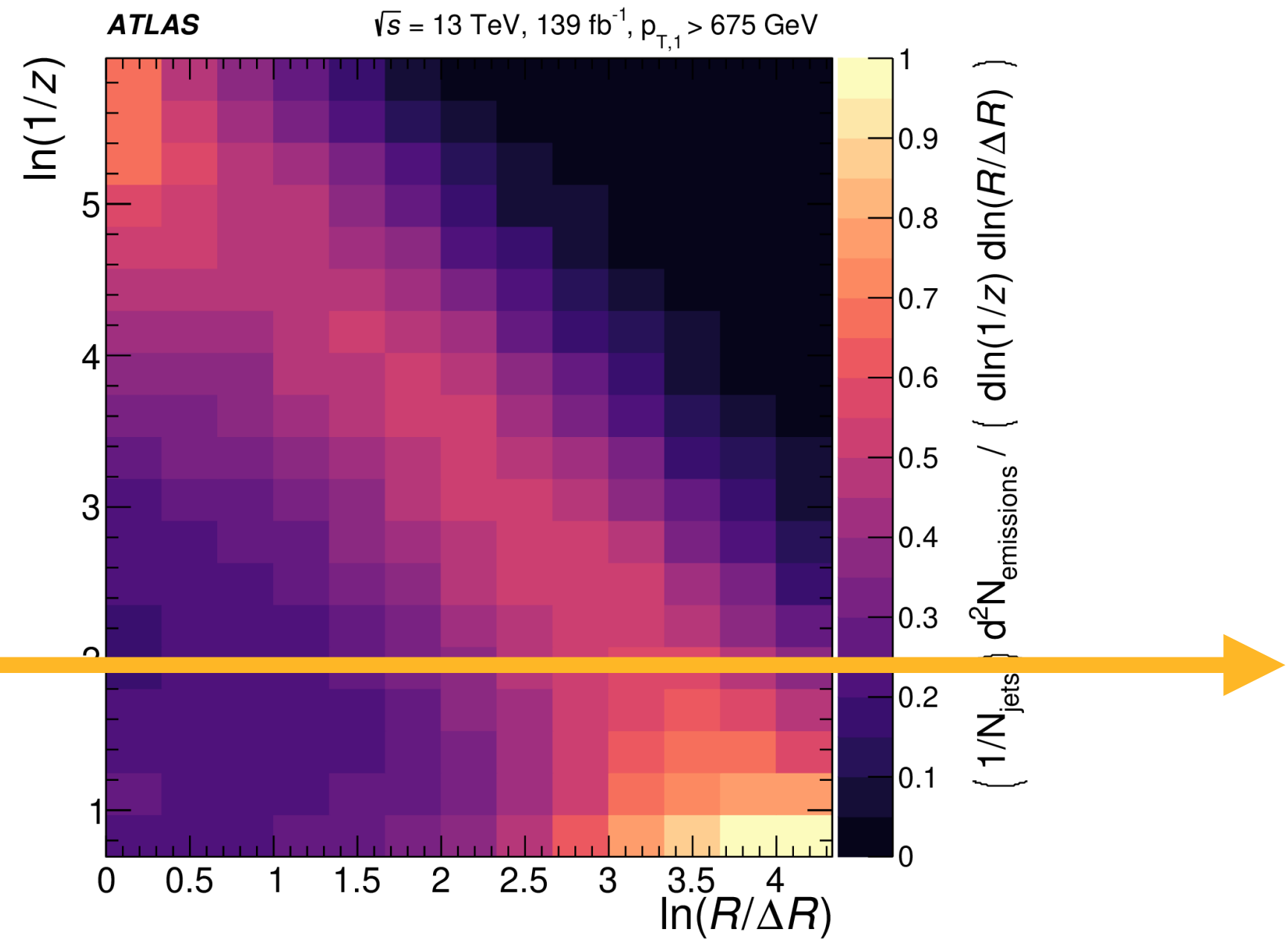
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ATLAS, *PRL* 124, 222002 (2020)

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  - *Use tracks in jets*  
→ *access smallest angular scales!*
- **Perturbative region**, uniformly populated (lower-left corner).
- **Non-Perturbative region**, enhanced by hadronisation (diagonal band).



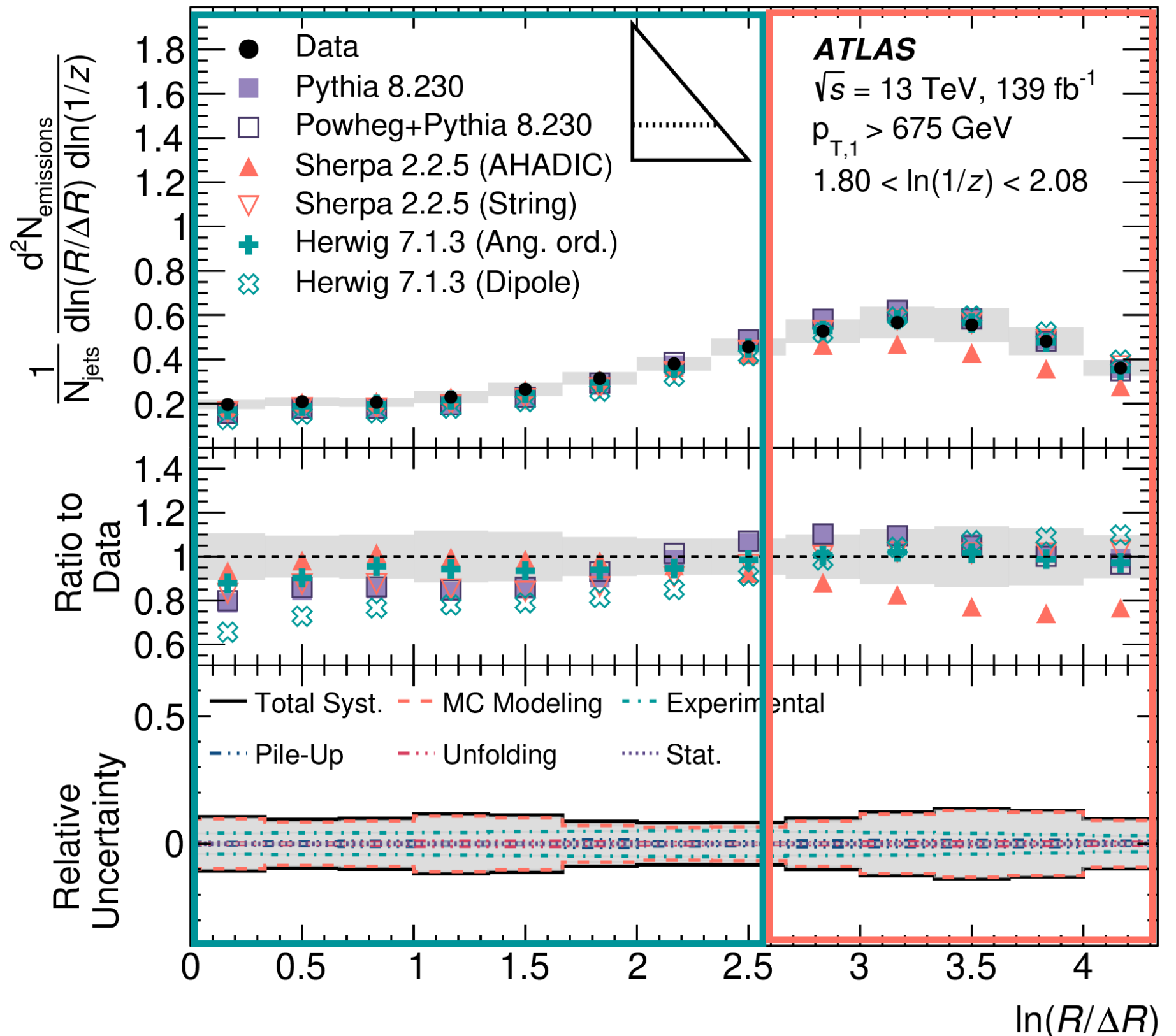
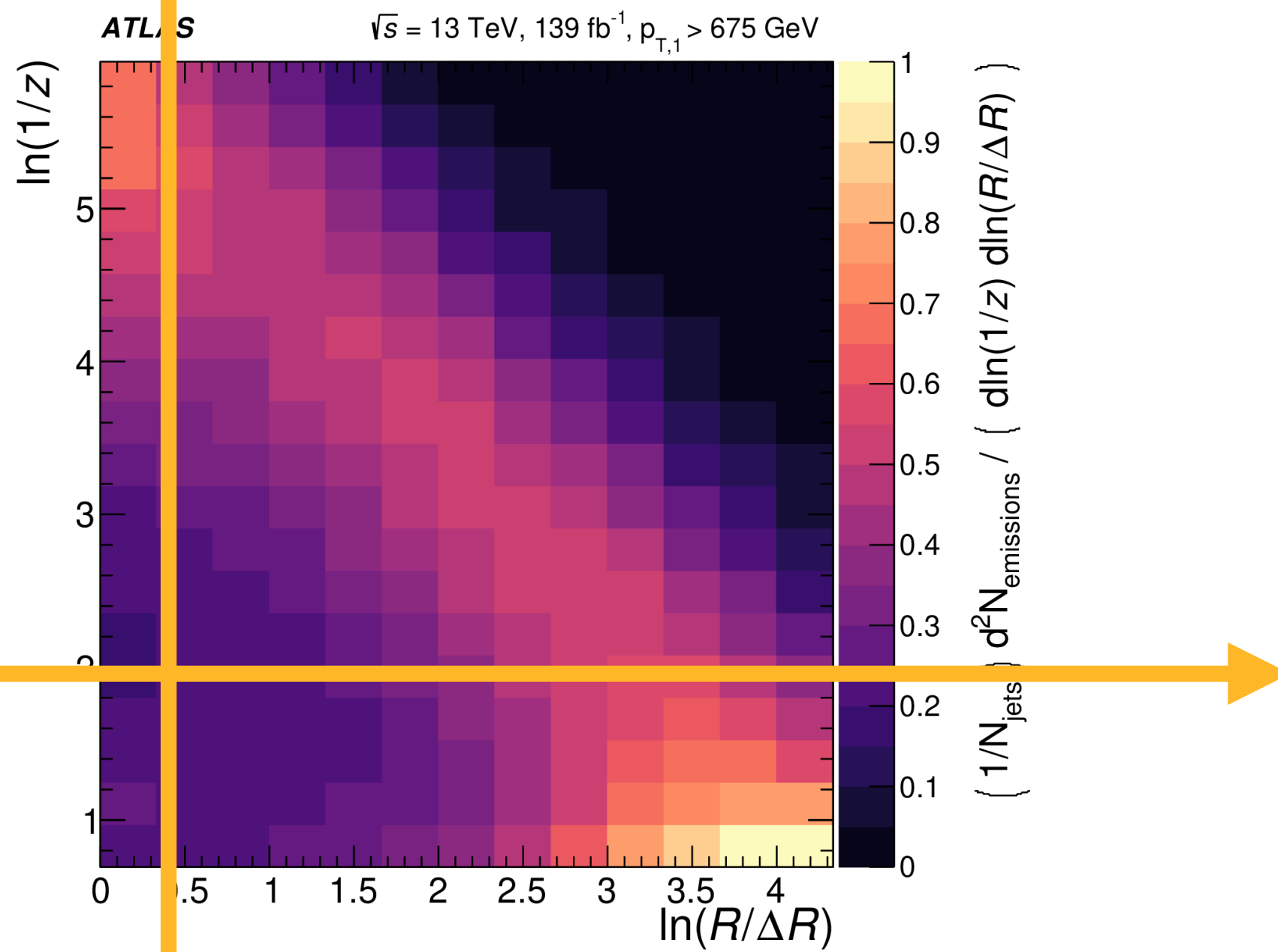




Parton shower models differ

Hadronisation models differ

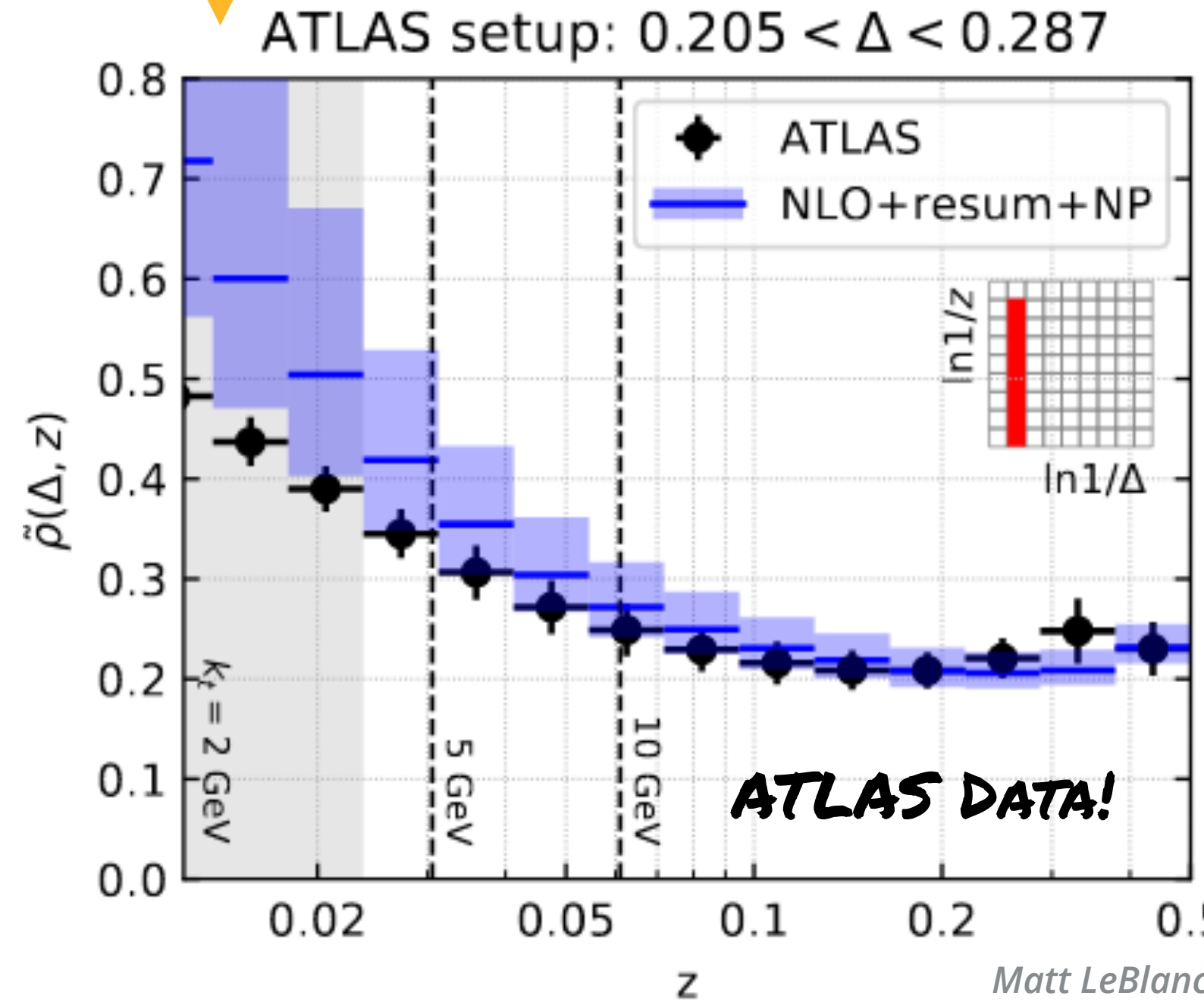
- Easier to see factorised effects by slicing through LJP.
- Utility in data for improving MC models (*e.g.* hadronisation models  $\rightarrow$  JES)
  - Can improve one aspect of simulation without disturbing another
  - Can mask non-perturbative aspects from classifiers



Parton shower models differ

Hadronisation models differ

- Easier to see factorised effects by slicing through LJP.
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LJP @ NLL  
 Lifson, Salam, Soyez,  
[JHEP 10 \(2020\) 170](#)

- Calculation with NLL resummation compared to measured data
- **Non-perturbative corrections small** despite charged-only measurement.
- Prediction agrees well w/ data in perturbative region.

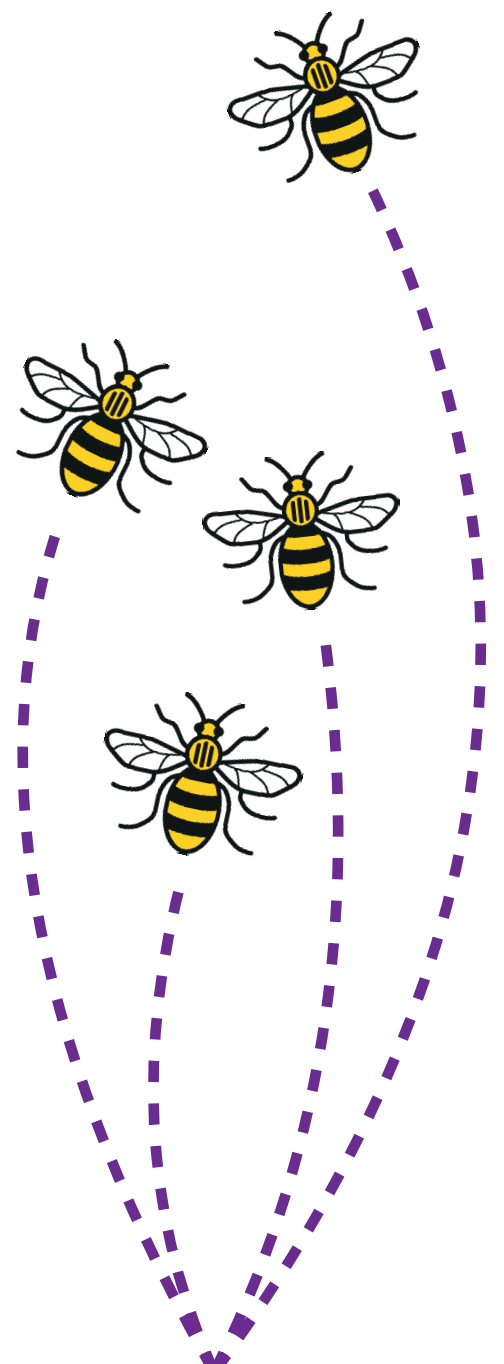
Many other JSS measurements at the LHC probe both hard & soft aspects of QCD!

**Find them here:**

[https://twiki.cern.ch/twiki/bin/view/LHCPhysics/  
LHCJetSubstructureMeasurements](https://twiki.cern.ch/twiki/bin/view/LHCPhysics/LHCJetSubstructureMeasurements)

# Concluding remarks

- Multiple area of activity within ATLAS to improve understanding of non-perturbative QCD at the LHC. Some highlighted today:
  - New measurement of UE activity in ttbar events → **systematic comparisons of SOTA MC setups to LHC data.**
  - MC study of JES sensitivity to underlying particle spectra → better **understanding helped to substantially reduce JES uncertainty** related to PSMC modelling.
  - **Precision JSS measurements like the Lund jet plane are multi-faceted probes of QCD** → cleanly testing both perturbative- and non-perturbative aspects of jet formation.
- Hopeful that more **fruitful exchanges between experiment & theory** will result in more precise models and better understanding in the future!





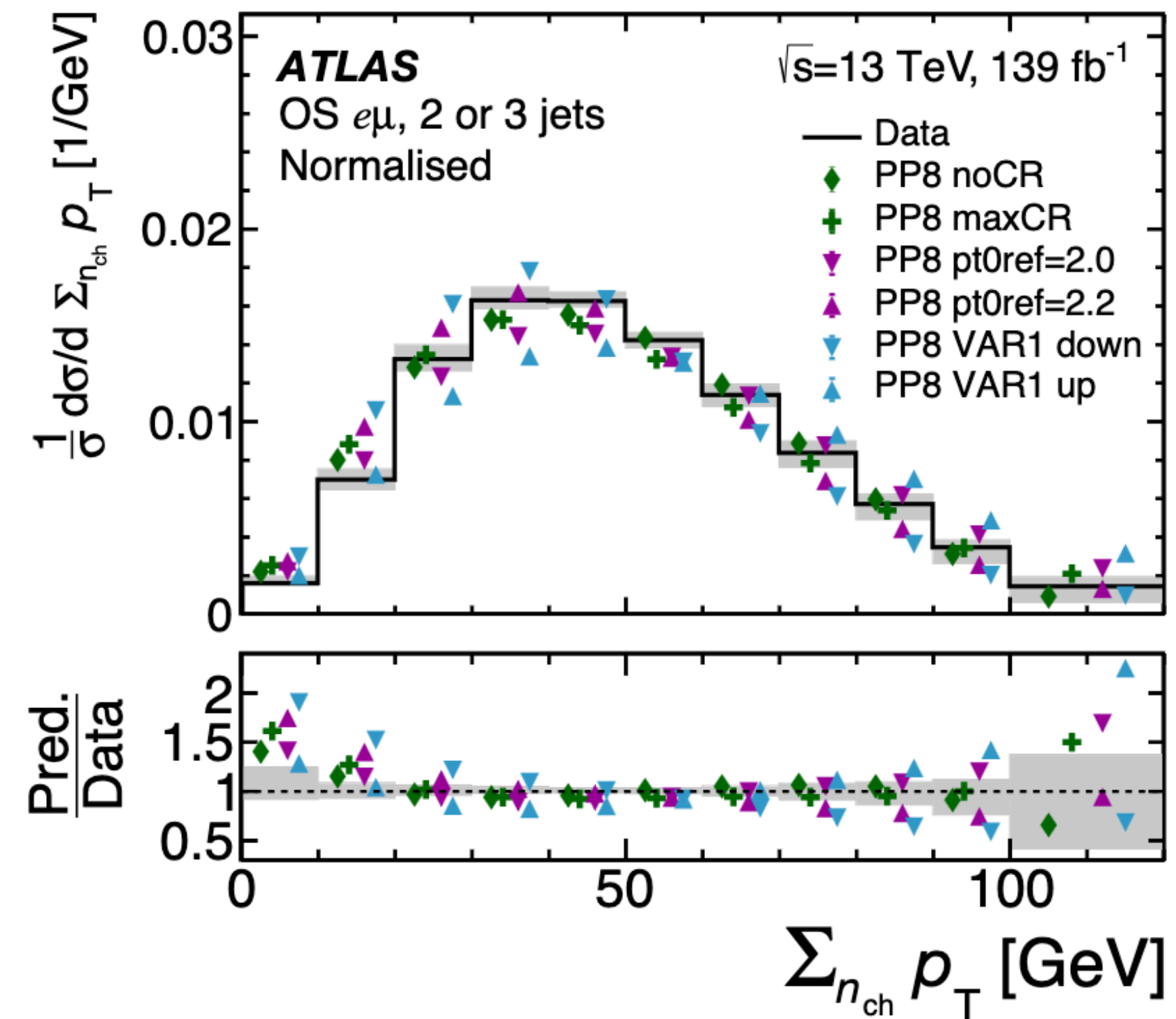
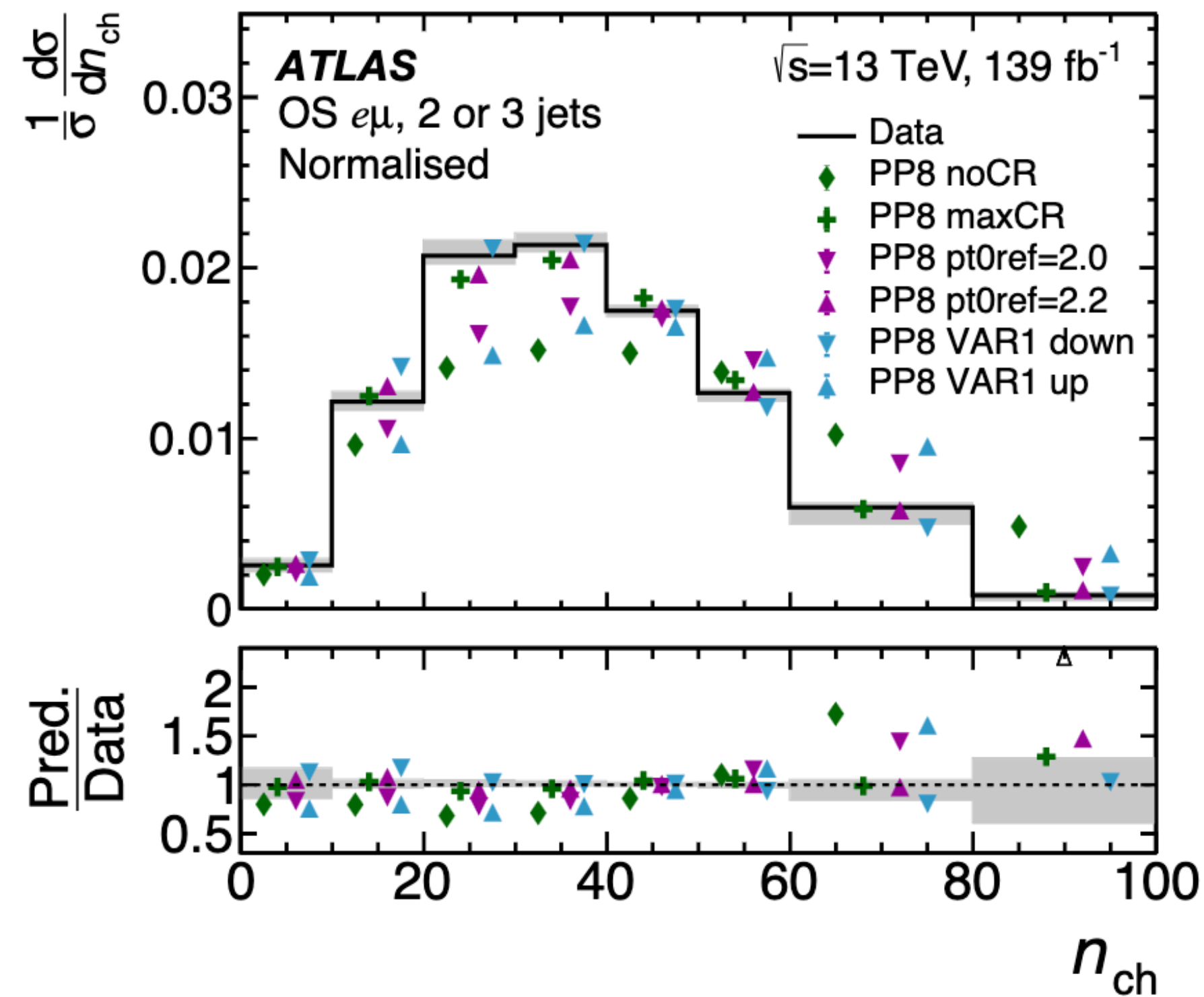
*Questions?*

*Thanks for*

*Listening!*

# Results: changing Pythia CR / UE parameters

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)



# Colour Reconnection Models

ATLAS, *Eur. Phys. J. C* 83, 518 (2023)

Observable	$n_{\text{ch}}$	$\sum_{n_{\text{ch}}} p_{\text{T}}$	Global( $n_{\text{ch}}, \sum_{n_{\text{ch}}} p_{\text{T}}$ )	$\sum_{n_{\text{ch}}} p_{\text{T}}$ in bins of $n_{\text{ch}}$
NDF	7	10	17	8
Generator set-up	$\chi^2$			
POWHEG+PYTHIA 8.230	62	106	434	224
CR0	55	113	629	129
CR1	98	60	581	158
CR2	58	179	402	238
POWHEG+HERWIG 7.0.4	39	16	145	29
POWHEG+HERWIG 7.1.3	53	42	188	41
POWHEG+HERWIG 7.2.1	78	25	313	87
POWHEG+HERWIG Baryonic CR	75	20	241	29
POWHEG+HERWIG Stat CR	23	40	121	39
SHERPA 2.2.10	77	211	263	124