CMS results on hadronization & underlying event

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European Research Council

Focus on a subset of recent results:

CMS primary Lund jet plane density (CMS-PAS-SMP-22-007)

Energy-energy correlations in jets (CMS-PAS-SMP-22-015)

Collectivity in jets with high constituent multiplicities (CMS-PAS-HIN-21-013)



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Jet formation is a multiscale probe of QCD



In principle from Q ~ 1 TeV down to $Q \sim \Lambda_{QCD}$

Depending on observable, jet p_T & radius *R*, one has sensitivity to parton shower, hadronization effects, underlying event, color reconnection, ...

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G. Salam's sketch

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Phase-space of QCD branchings in the Lund plane

Lund planes (or diagrams) are a 2D representation of the phase-space of $1 \rightarrow 2$ splittings:



In soft & collinear limit of QCD, emissions fill the double-logarithmic plane of k_{T} and ΔR uniformly

$$\mathcal{P} \propto \alpha_{\rm s} \frac{\mathrm{d}k_{\rm T}}{k_{\rm T}} \frac{\mathrm{d}\Delta R}{\Delta R} = \alpha_{\rm s} \mathrm{d}\ln(k_{\rm T}) \mathrm{d}\ln(\Delta R) \leftarrow \text{approximate self-similarity of QCD}$$

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Constructing the primary Lund jet plane (LJP)

F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064



1. Jet is reclustered with the Cambridge/Aachen algorithm

- 2. Follow CA clustering tree in reverse (large \rightarrow small angles), **along the hardest branch**
- 3. k_T and ΔR of the softer subjet relative to the harder subjet is registered at each step

$$\Delta R = \sqrt{(y^{\text{softer}} - y^{\text{harder}})^2 + (\phi^{\text{softer}} - \phi^{\text{harder}})^2}$$

 $k_{\text{T}} = p_{\text{T}}^{\text{softer}} \Delta R$

4. Repeat until harder branch has a single constituent

Previously measured by ATLAS <u>PRL 124, 222002 (2020)</u> and ALICE <u>ALICE-PUBLIC-2021-002</u>

Angular ordering privileges QCD collinear divergence & mimics color coherence effects

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Primary Lund jet plane density

Measure the jet-averaged density of emissions:

$$\frac{1}{N^{\text{jets}}} \frac{\mathrm{d}^2 N_{\text{emissions}}}{\mathrm{d} \ln(k_T) \mathrm{d} \ln(R/\Delta R)} \simeq \frac{2}{\pi} C_R \alpha_s(k_T)$$
soft & collinear limit

($C_R = C_A = 3$ for $g \rightarrow gg$, $C_F = 4/3$ for $q \rightarrow qg$)

CMS full Run-2 setup CMS-PAS-SMP-22-007 :

• Inclusive jet selection: $p_T^{jet} > 700 \text{ GeV}, |y^{jet}| < 1.7,$

anti- k_{T} with small R = 0.4 and large R = 0.8

- Charged-particles of the jet used for Lund plane
- Distributions unfolded to particle level



Can use Lund plane density to improve and test calculations in a "factorized" way

measured by ATLAS PRL 124, 222002 (2020) and ALICE ALICE-PUBLIC-2021-002

Unfolded primary Lund jet plane densities

CMS-PAS-SMP-22-007

R=0.4 (standard R in Run-2)

R=0.8 (wider & harder emissions)



LJP density approximately flat for hard & collinear emissions due to running coupling $\alpha_{s}(k_{T}) \sim 1/\ln(k_{T})$ Cristian Baldenegro (Sapienza) MPI@LHC 2023

Running of α_s in the jet shower!

CMS-PAS-SMP-22-007



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Data/MC differences of 10–20%. Most important difference for PYTHIA8 tunes is the $\alpha_s^{FSR}(m_z)$ value. **HERWIG7 angle-ordered** describes better the data than **HERWIG7 dipole Factorization of effects can be exploited in MC tuning**

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PYTHIA8 systematically overshoots LJP at low k_{τ} by 15-20%, regardless of tune or parton shower option

HERWIG7 & Sherpa generally do better at low k_{T} . Cluster vs string fragmentation model differences?

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pQCD analytical calculations (NLO+NLL+NP)



Energy-energy correlators



Energy-weighted two-particle angular correlations

$$E2C = \frac{d\sigma}{dx_L} = \sum_{i,j}^n d\sigma \frac{E_i E_j}{E^2} \delta(x_L - \Delta R_{i,j})$$
$$E3C = \frac{d\sigma}{dx_L} = \sum_{i,j,k}^n d\sigma \frac{E_i E_j E_k}{E^2} \times \delta(x_L - \max(\Delta R_{i,j}, \Delta R_{i,k}, \Delta R_{j,k}))$$

Angular separation $x_1 = \Delta R_{ii} = \sqrt{\Delta y^2 + \Delta \phi^2}$

Energy weights: soft contributions are penalized, hard contributions are rewarded

Mapping out different stages of jet formation (small angle x, dominated by hadronization, *large x*, *dominated by short distance physics*) Originally proposed for e⁺e⁻, C.L. Basham, L. S. Brown, S. D. Ellis, S. Love PRL 41 (1978) 1585 More specific applications for jet substructure: A. Larkoski, G. Salam, J. Thaler, JHEP 2013, 108 (2013) Chen, Moult, Zhang, and Zhu, PRD 102, 054012 (2020) P. Komiske, I. Moult, J. Thaler, H.X. Zhu, PRL130 (2023) 5 Lee, Meçaj, Moult, arXiv:2205.03414 Chen, Gao, Li, Xu, Zhang, Zhu, arXiv:2307.07510

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Energy-energy correlators



Mapping out different stages of jet formation (small angle x_L dominated by hadronization, large x_I dominated by short distance physics) Energy-weighted two-particle angular correlations

$$E2C = \frac{d\sigma}{dx_L} = \sum_{i,j}^n d\sigma \quad \frac{E_i E_j}{E^2} \quad \delta(x_L - \Delta R_{i,j})$$

$$E3C = \frac{d\sigma}{dx_L} = \sum_{i,j,k}^n d\sigma \quad \frac{E_i E_j E_k}{E^2} \times \quad \delta(x_L - \max(\Delta R_{i,j}, \Delta R_{i,k}, \Delta R_{j,k}))$$
Angular separation $\mathbf{x}_L = \Delta \mathbf{R}_{ij} = \sqrt{\Delta \mathbf{y}^2 + \Delta \phi^2}$

Energy weights: soft contributions are penalized, hard contributions are rewarded

[>]reliminary results also by <u>ALICE</u> and <u>STAR</u>

Interesting also in heavy-ions! Handle to expose medium resolution length: <u>C. Andres, F. Dominguez, R. Kunnawalkam Elayavalli,</u> J. Holguin, C. Marquet, I. Moult PRL 130, no.26, 262301 (2023)

Energy-energy correlations with CMS

36.3 fb⁻¹ of 13 TeV data, anti- $k_{T} R = 0.4$ jets

At least two jets with $|\eta| < 2.1$, binned in jet p_T in 97~1784 GeV

Using all particles in the jet with $p_T > 1 \text{ GeV}$

Distributions unfolded to stable particle level (x_L , p_T , energy weights)





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E3C/E2C sensitive to running α_{s}



Extraction of α_s from jet substructure



Fit of strong coupling (NLO+NNLL_{approx} calculation)

$$\alpha_{s}(m_{z}) = 0.1229 + 0.0040 - 0.0050 (~~4~\%)$$

Most precise extraction of $\alpha_s(m_z)$ with jet substructure (dominated by theory uncertainties)

<u>CMS-PAS-SMP-22-015</u>

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Two-particle angular correlations

- Near-side ridge typical sign of collective behavior
- Fourier harmonics decomposition, nonzero $V_{2\Lambda}$ associated to anisotropic expansion



What about smaller systems?

Unexpected nonzero v₂ in high-multiplicity pp and pPb by CMS (PLB 765 (2017) 193, PLB 718 (2013) 795)

 \rightarrow QGP formation or a general consequence of high-multiplicity QCD?

Since then, many searches pushing the boundaries towards even smaller systems (usually limited by N_{ch} reach)



Intrajet collective behavior?

Two-particle correlations in jets with high particle multiplicity

<u>A. Baty, P. Gardner, W. Li,</u> Phys. Rev. C 107 (2023) 064908

Do parton rescatterings in a **localized** high-density region cause any effect?



Search for intrajet collective behavior in CMS CMS-PAS-HIN-21-013

Run-2 analysis 13 TeV analysis, PUPPI jets $p_{T,jet}$ >550 GeV, anti- k_{T} R = 0.8, $|\eta^{jet}|$ < 1.6

Charged-particle constituents used for two-particle correlations (further PU mitigation + low p_T reach)





Particle correlations using φ^* and η^* coordinates (restricted to 0.86 < $|\eta^*| < 5$), transverse momentum relative to the jet axis j_T (0.3 < j_T < 3 GeV)





Near-side ridge-like structure at $\Delta \phi^* \sim 0$

single-particle
$$v_2 = \sqrt{V_2} vs N_{ch}$$

138 fb⁻¹ (pp 13 TeV) **CMS** *Preliminary* $0.3 < j_{_{T}} < 3.0 \; GeV$ 0.3 Anti $k_T R=0.8$ $p_{T_{r_s}}^{jet} > 550 GeV$ v²{2, I∆η*I>2} AND MARTING THE STREET m^{Tet} I < 1.6 0.2 DATA 0.1 PYTHIA8 CP5 SHERPA 20 40 80 100 60 0 N_{ch}

Nonzero v_2 reproduced by SHERPA2, PYTHIA8 CP5 up to $N_{ch} \sim 80$

CMS-PAS-HIN-21-013

Increasing v₂ with large N_{ch} not expected by these predictions

Summary

- Mapping out weakly and strongly coupled regimes of the strong interaction via the primary Lund jet plane (<u>CMS-PAS-SMP-22-007</u>) and energy-energy correlations (<u>CMS-PAS-SMP-22-015</u>)
- Collective-like behavior in jets with high particle multiplicities (<u>CMS-PAS-HIN-21-013</u>).

A great example of synergy between communities!

• Stay tuned for respective publications & HepData records/Rivet routines!



A. Larkoski, G. Salam, J. Thaler, <u>JHEP06(2013)108</u>

energy-weighted cross section

$$\frac{\mathrm{d}\sigma_{\mathrm{EEC}}}{\mathrm{d}R_{\mathrm{L}}} = \sum_{i,j} \int d\sigma(R'_{\mathrm{L}}) \frac{p_{\mathrm{T},i} p_{\mathrm{T},j}}{p_{\mathrm{T},j\mathrm{et}}^2} \,\delta(R'_{\mathrm{L}} - R_{\mathrm{L},ij})$$
$$R_{\mathrm{L}} = \sqrt{\Delta\varphi_{ij}^2 + \Delta\eta_{ij}^2}$$

Observable connected to conformal field theory approaches

Soft particle pairs are "penalized" with small energy weights (typically at small $\rm R_{\rm L})$

Hard radiation is "rewarded" with larger weights (typically at large $\rm R_{\rm L}$)

No jet grooming to suppress soft physics is required

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Perturbative

Evolution

$$\frac{\mathrm{d}\sigma_{\mathrm{EEC}}}{\mathrm{d}R_{\mathrm{L}}} = \sum_{i,j} \int d\sigma(R'_{\mathrm{L}}) \frac{p_{\mathrm{T},i} p_{\mathrm{T},j}}{p_{\mathrm{T},j\mathrm{et}}^2} \,\delta(R'_{\mathrm{L}} - R_{\mathrm{L},ij})$$

How to measure these experimentally?

1. For a given pair of jet constituents, fill a histogram with weight = $p_{T,i} p_{T,j} / p_{T,jet}^2$ at entry $R_L = \Delta R_{ij}$

2. Iterate step 1 for all possible pairs in the jet (there will be multiple histogram entries per jet)

3. Do this for all jets, and you obtain an energy-weighted two-particle correlation distribution





Proof of concept using CMS OpenData

Access to scaling properties of QCD

Veneralized anyulanties in ullet and Z yet events



JHEP 01 (2022) 188



pQCD calculations D. Reichelt, S. Caletti, O. Fedkevych, S. Marzani, S. Schumann, G. Soyez, JHEP 03 (2022) 131

Groomed Les Houches Angularity in Z-jet and dijet events

JHEP 01 (2022) 188



Soft-drop grooming ($z_{cut} = 0.1$, $\beta_{sd} = 0$) to remove soft and wide-angle radiation

More challenging to describe gluon-enriched jets

Mismodeling at large LHA increase after removing soft&wide-angle radiation

 $\lambda_{\beta}^{\kappa} = \sum_{i \in jet} z_{i}^{\kappa} \left(\frac{\Delta R_{i}}{R}\right)^{\beta} \quad z_{i} \equiv \frac{p_{Ti}}{\sum_{j \in jet} p_{Tj}}$

pQCD calculations D. Reichelt, S. Caletti, O. Fedkevych, S. Marzani, S. Schumann, G. Soyez, JHEP 03 (2022) 131

Dijet/Z+jet ratio (g-enriched/q-enriched)

 uncertainties partially cancel in dijet/Z+jet ratio

• MC simulations overestimate g-enriched/q-enriched ratio

 g-enriched / q-enriched ratio is better modelled with "old" PYTHIA8/HERWIG7 tunes



gluon-LHA/quark-LHA > 1

full summary plot in backup (other angularities)

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CMS, <u>arXiv:2109.03340</u>, JHEP 01 (2022) 188

Comparison to pQCD analytical calculations (NLO+NLL+NP)





data from ATLAS Lund plane, PRL 124, 222002 (2020)

Ridge in pPb and high-multiplicity pp



Sensitivity to recoil scheme choice, important ingredient to reach NLL accuracy



LJP data favors q₁q₂+veto scheme, consistent with trends in event shape variables at LEP

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pQCD analytical calculations (NLO+NLL+NP)



selected detector effects

relevant close to the edge ($p_T^{\text{soft}} \sim p_T^{\text{hard}}$):

p_T^{subjet} smearing, constituents lost in reconstruction, clustering history can be distorted (e.g., branch swaps)

residual PU contributions (large ΔR, low k_T)



small-angles: spatial resolution, pixel cluster merging $\Delta R \sim O(10^{-3} - 10^{-2})$

(Intermezzo) soft-drop grooming algorithm



Jet is reclustered with Cambridge–Aachen (CA), which clusters particles with **angular ordering**

. Follow the CA clustering history in reverse. Check if the branch satisfies the soft-drop condition:

$$z = p_T^{\text{softer}} / (p_T^{\text{softer}} + p_T^{\text{harder}}) > z_{\text{cut}} (\Delta R/R)^{\beta}$$

(a typical choice is $z_{cut} = 0.1$, $\beta = 0$)

If the splitting fails the SD condition, the branch is removed

Repeat 2 until SD condition is satisfied, which yields a **soft-drop groomed jet**

Corrections to particle level

Sequential set of corrections:

- 1. **Background:** bin-by-bin correction to account for det-level emissions not matched to truth-level emissions.
- 2. **Multidimensional regularized unfolding (**D'Agostinī) $\mathbb{Z}^{\frac{4}{2}}$ of primary Lund jet plane (p_T^{jet} , k_T , ΔR).
- 3. **Efficiency:** bin-by-bin correction to account for hadron-level emissions without matching.

PYTHIA8 CP5 chosen as nominal to also propagate parton shower scale uncertainties



CMS-PAS-SMP-22-007

smearing becomes more important at high k_{T}

Matching emissions at detector level and particle level

Migration matrix and other MC-based corrections derived from matched part-level and det-level splittings.



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detector-level statistical correlations

LJP is a multicount observable (i.e., multiple entries per jet) \rightarrow bins are statistically correlated at det level



bin-to-bin correlations of up to ~5–10%, measured covariance matrix used in unfolding

(can be important for other observables, e.g. Lund multiplicities, energy correlators, ...)

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Systematic uncertainties

Shower & hadronization model uncertainty (2–7% in the bulk, 10% at kinematical edge)

decorrelated into prior bias \otimes response pieces

Tracking reco. efficiency model uncertainty, 1-2% in bulk, dominates at 10-20% at edge

Subleading components (<~ 1%):

Parton shower scale Response matrix stats Jet energy scale and resolution uncertainties Pileup modeling





Dominated by **shower & hadronization modeling** in bulk of Lund plane & by **tracking efficiency** at high k_T

Relative uncertainties