

# A Bayesian analysis with Machine Learning of EFT Operators in Direct Dark Matter Detection



Instituto de  
Física  
Teórica  
UAM-CSIC



Universidad Autónoma  
de Madrid

I International Meeting  
on Fundamental Physics  
and XV CPAN Days  
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Palacio de la Magdalena - Santander (Spain)

02/10/23  
Santander

**Andres Daniel Perez**  
Instituto de Física Teórica UAM-CSIC

In collaboration with David Cerdeño and Martín de los Ríos

# Outline

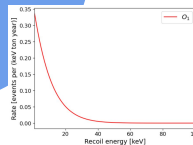
DM-nucleon  
interaction with  
NR-EFT

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DM-nucleon  
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DM differential  
rate for a DD  
experiment



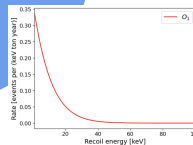
XENONnT 20ty

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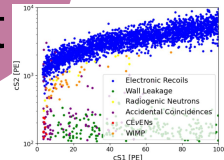
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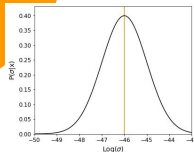
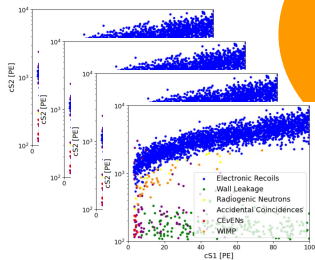
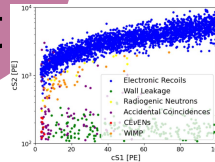
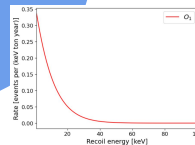
XENONnT 20ty

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XENONnT 20ty

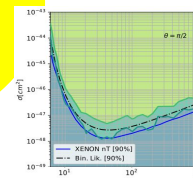
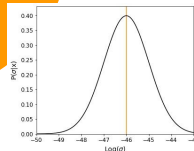
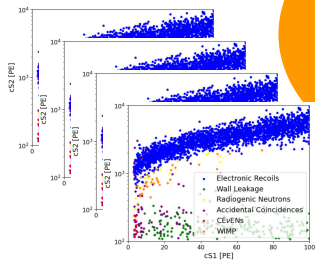
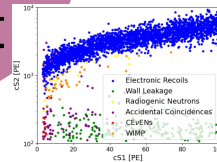
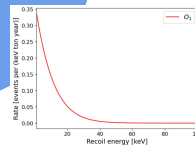
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Parameter space  
that can be  
reconstructed



# Outline

DM-nucleon interaction with NR-EFT

DM diff

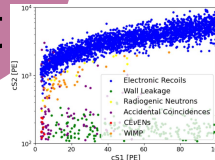
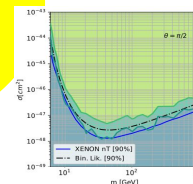
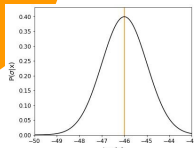
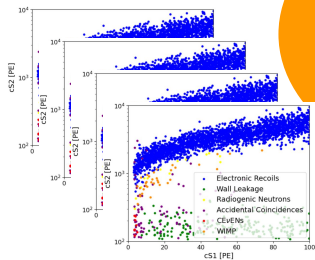
Simulate the signal reported by the experiment

Data analysis with ML to obtain posteriors

Parameter space that can be reconstructed

**preliminary results**

XENONnT 20ty



# Non-relativistic effective field theory (NR-EFT)

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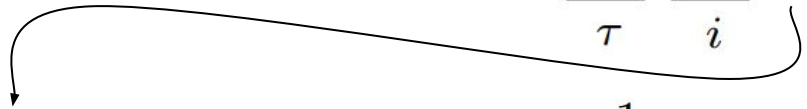


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$$\mathcal{L}_{\text{EFT}} = \sum_{\tau} \sum_i c_i^{\tau} \mathcal{O}_i \bar{\chi} \chi \bar{\tau} \tau$$

$i=14$  possible interactions


$$c_i^0 \mathbb{1}_{2 \times 2} + c_i^1 \tau_3$$

isospin basis  
 $c^0$ : isoscalar  
 $c^1$ : isovector

$$c_i^0 = \frac{1}{2} (c_i^p + c_i^n)$$

$$c_i^1 = \frac{1}{2} (c_i^p - c_i^n)$$

nucleon basis  
 $c^p$ : proton  
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$\mathcal{O}_1$ : spin-independent (SI)  
 $\mathcal{O}_4$ : spin-dependent (SD)

usually shown assuming isoscalar interactions

$$c^p = c^n \quad c^0 = 1 \text{ and } c^1 = 0$$

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Change to polar coordinates:

$$c_i^0 = \frac{1}{2} (c_i^p + c_i^n) = A_i \sin(\theta_i)$$

$$c_i^1 = \frac{1}{2} (c_i^p - c_i^n) = A_i \cos(\theta_i)$$

Natural choice for the EFT parameter space because the interaction cross section:

$$\sigma_i \propto A_i^2$$

For SI (O1)  $\sigma_{\chi\mathcal{N}}^{\text{SI}} = \frac{A_1^2 \mu_{\chi\mathcal{N}}^2}{\pi}$  DM-nucleon reduced mass

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For each operator

**2 parameters**

amplitude (cross-section)

phase

also DM mass

**$(\sigma_i, \theta_i, m_{\text{DM}})$**

# Data sample generation

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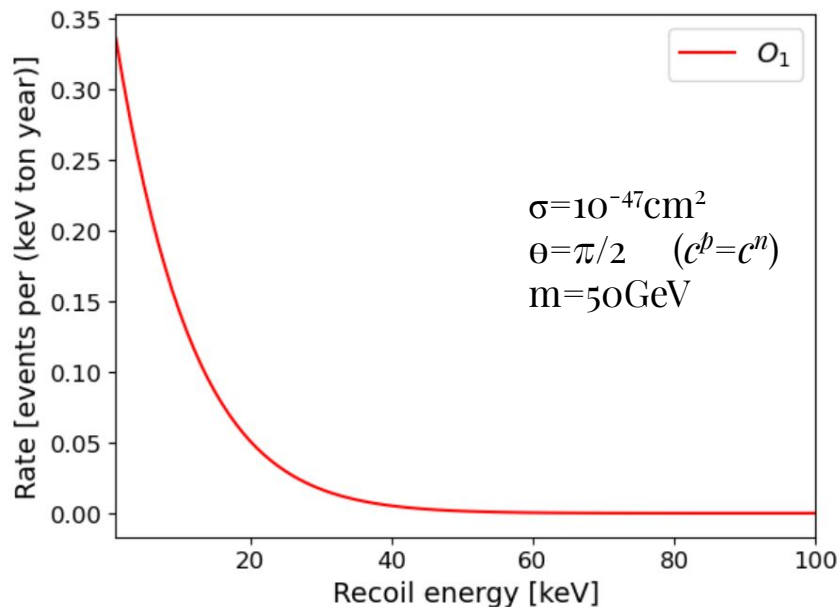
# DM Differential rate

From NR-EFT operators to differential rate with WimPyDD

## Inputs:

- Operator
- Parameters → amplitude (cross-section)  
phase  
DM mass
- DM halo model
- DD experiment (XENONnT)

## Output:



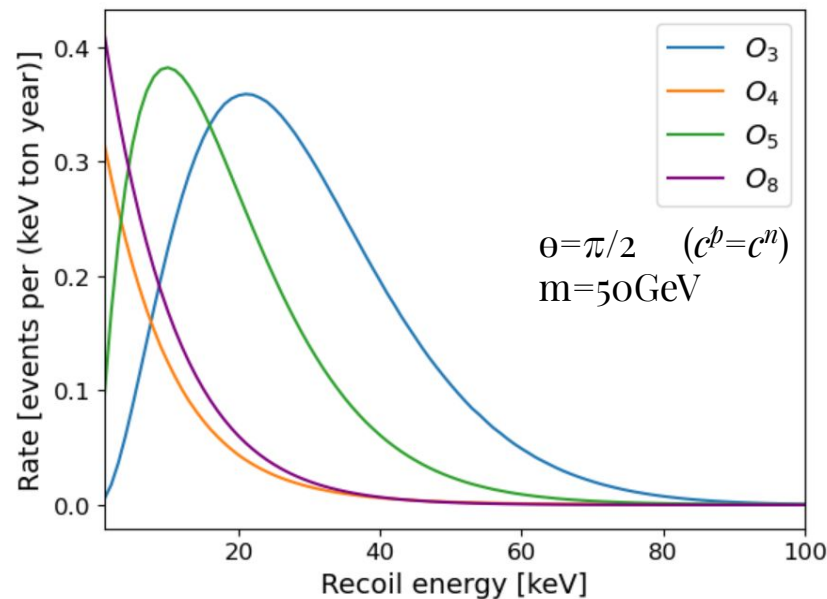
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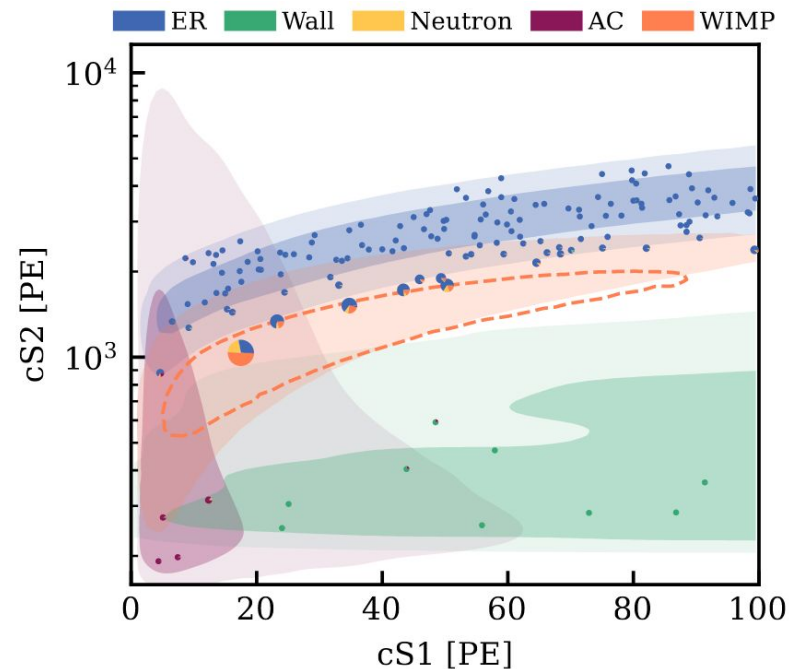
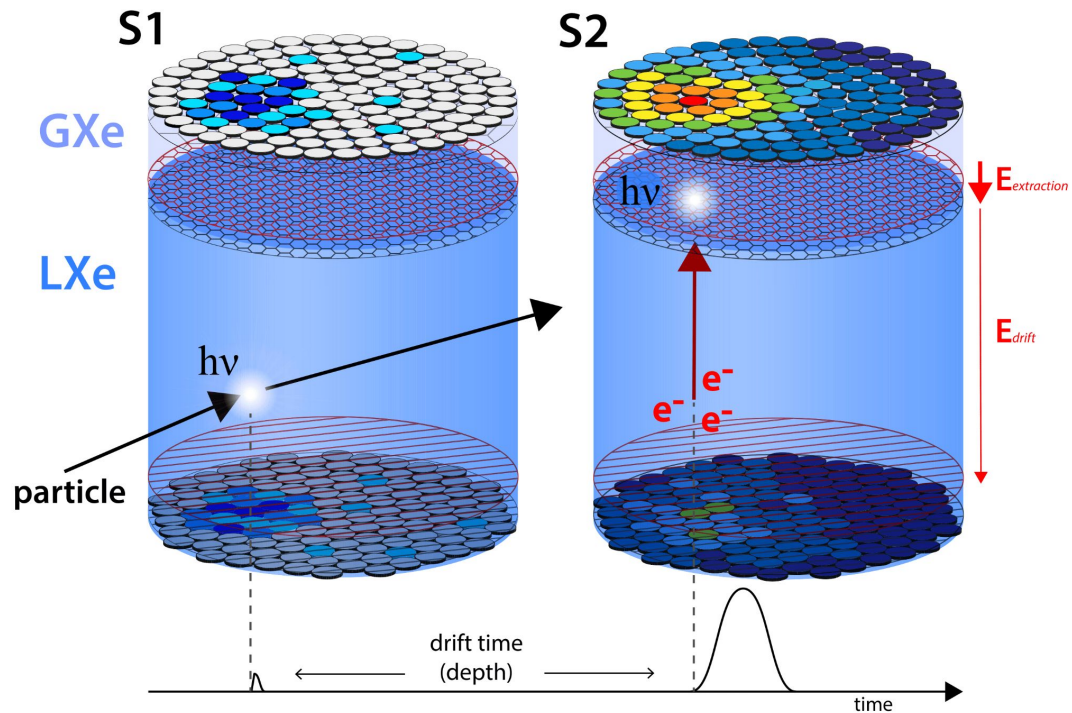
- Operator
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DM mass
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- DD experiment (XENONnT)

## Output:



# DM signal

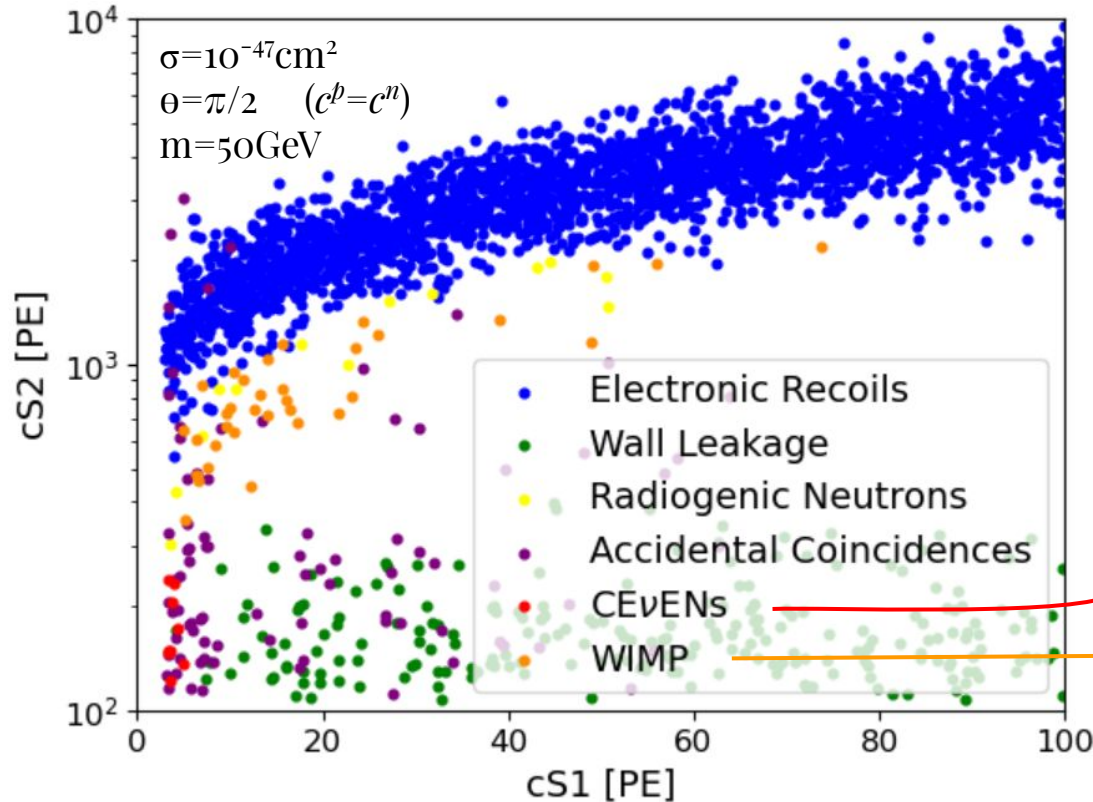
XENONnT



# DM signal

XENONnT 20ty

NR-EFT: O<sub>1</sub>



XENONnT simulator

We specify background and signal characteristics

differential rate compute with SnuDD

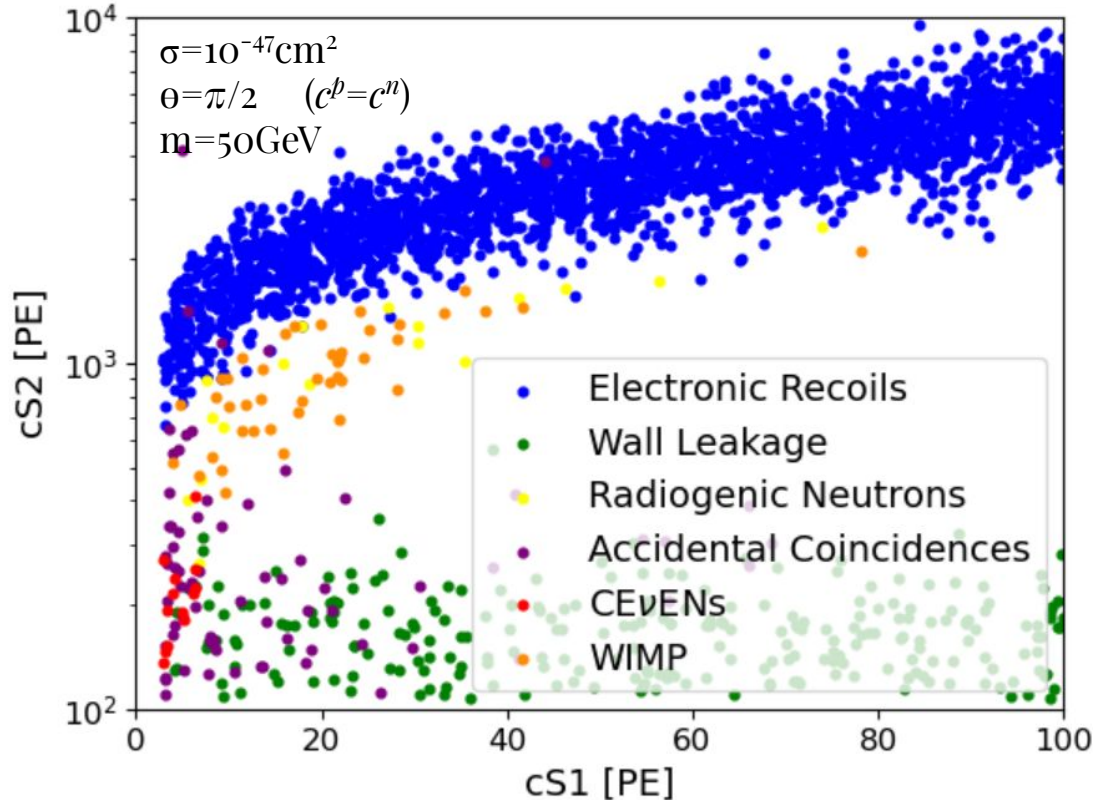
differential rate compute with WimPyDD for a particular operator, amplitude, phase and DM mass.



# DM signal

XENONnT 20ty

NR-EFT: O<sub>1</sub>



We generate a 10k pseudo experiments per operator varying  $\sigma$ ,  $\theta$ , and  $m_{\text{DM}}$

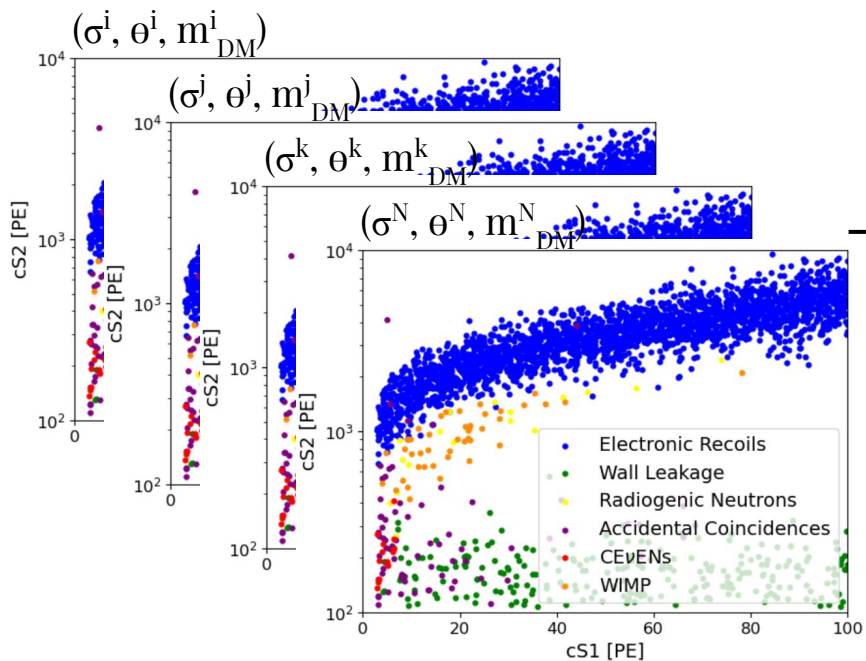
	name	events
0	er	2459
1	radiogenics	17
2	ac	71
3	wall	246
4	WIMP	43
5	CEVNS-SM	13

# Analysis with SWYFT

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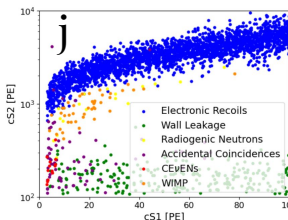
# Data analysis to obtain posteriors

**SWYFT** → Sampling-based inference tool that estimates likelihood to evidence ratio with ML algorithms to obtain marginal and joint posteriors



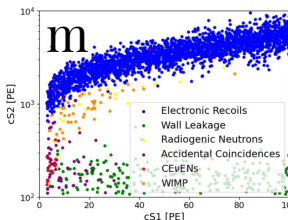
Matching (parameter, data) → **label 1**

$$\left( (\sigma^j, \theta^j, m_{DM}^j), \text{plot } j \right)$$



Scrambled (parameter, data) → **label 0**

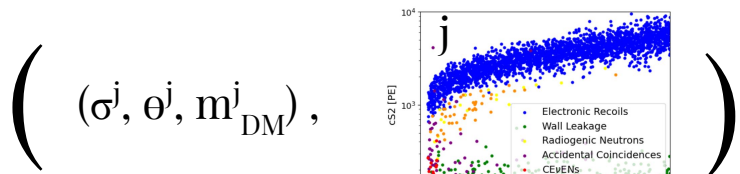
$$\left( (\sigma^k, \theta^k, m_{DM}^k), \text{plot } m \right)$$



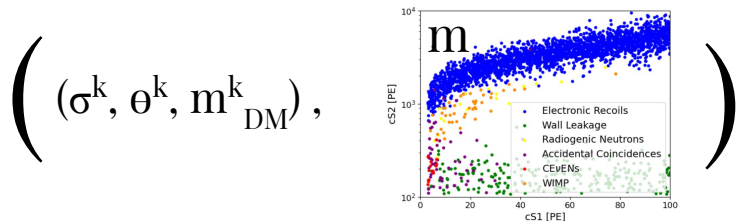
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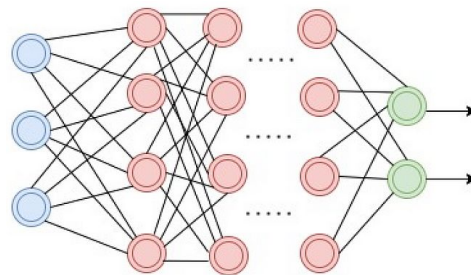
Matching (parameter, data) → **label 1**



Scrambled (parameter, data) → **label 0**



Binary classifier (DNN, CNN, ...)



Estimates the density ratio

(likelihood ratio trick)

$$r(\mathbf{x}; \mathbf{z}) \equiv \frac{p(\mathbf{x} | \mathbf{z})}{p(\mathbf{x})} = \frac{p(\mathbf{z} | \mathbf{x})}{p(\mathbf{z})} = \frac{p(\mathbf{x}, \mathbf{z})}{p(\mathbf{x})p(\mathbf{z})}$$

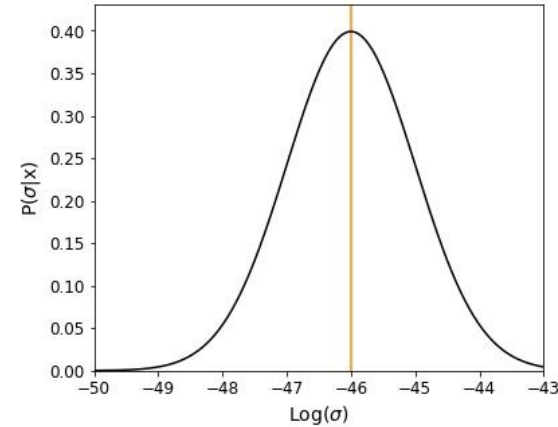
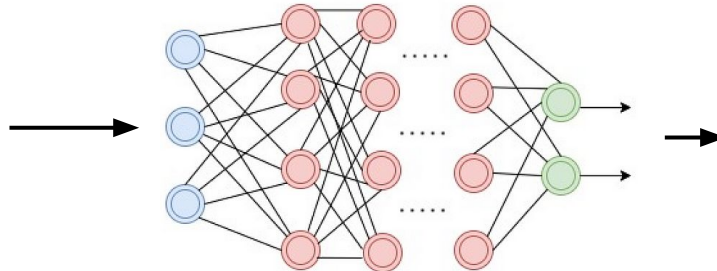
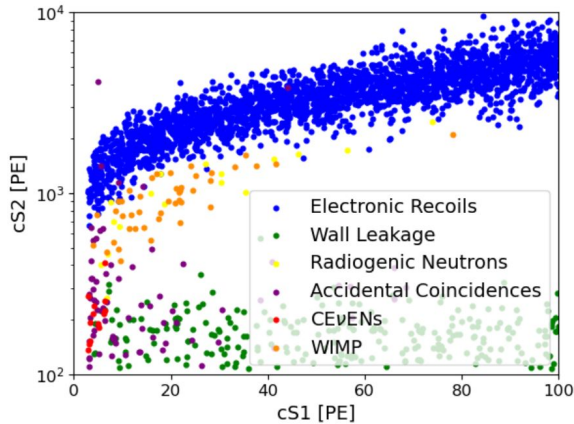
# Data analysis to obtain posteriors

**SWYFT** → Sampling-based inference tool that estimates likelihood to evidence ratio with ML algorithms to obtain marginal and joint posteriors

New data sample  $x^{\text{new}}$

Trained binary classifier

Posterior  $P(\sigma|x^{\text{new}})$



For another data sample → we do **not** need to train everything again, use the same classifier

# Results

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# Posteriors

Once we trained SWYFT we can compute the posterior for any new pseudo experiment

For example  $P(\sigma|x)$

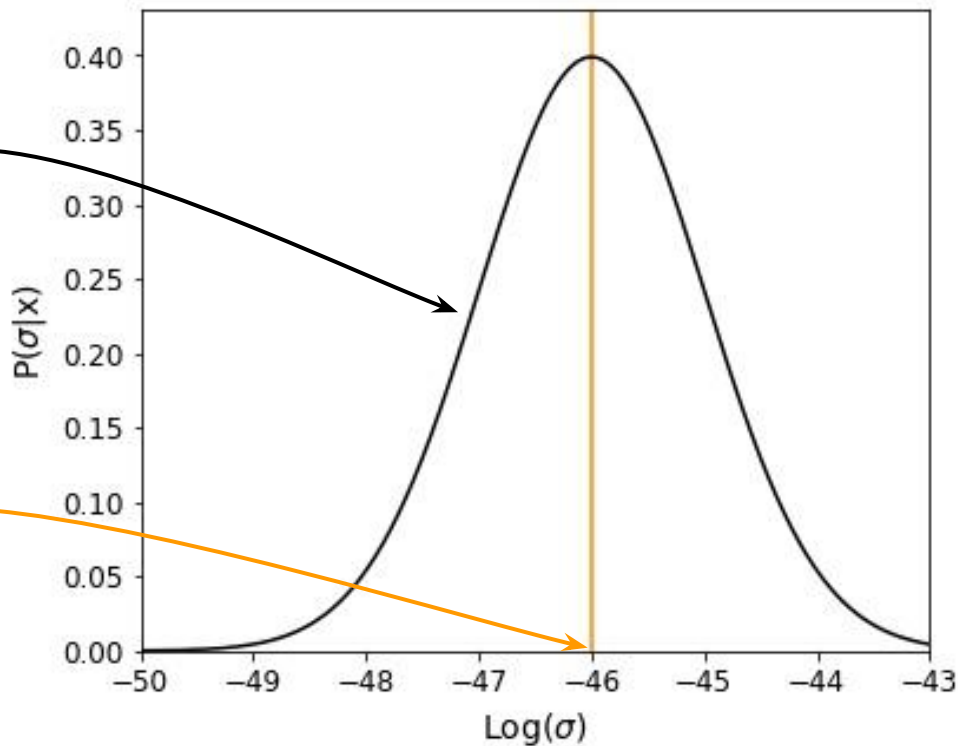
$x$ : a data generated with

$O_1$  (SI)

$m_{\text{DM}} = 85 \text{ GeV}$

$\theta = \pi/2$

$\sigma = 10^{-46} \text{ cm}^2$



# Reconstruction of parameters

Once we trained SWYFT we can compute the posterior for any new pseudo experiment

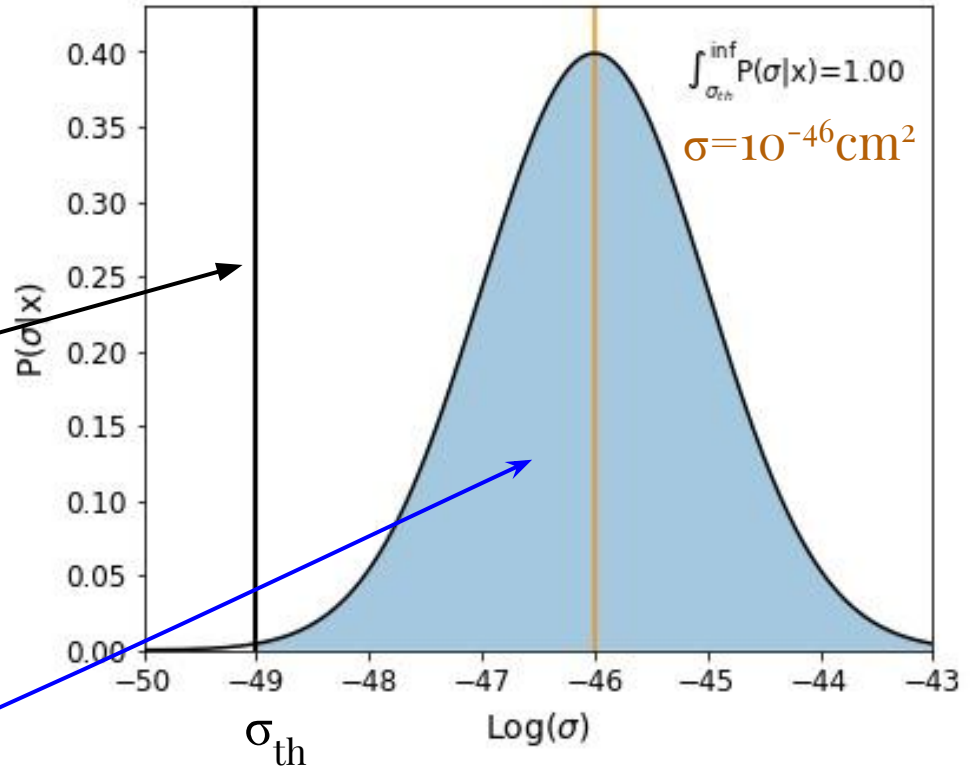
We define a  $\sigma_{th}$  threshold:

$\sigma_{th} = 10^{-49} \text{cm}^2 \rightarrow$  **NO SIGNAL!**

Then, we can *reconstruct*  $\sigma$  if:

$$\int_{\sigma_{th}}^{\text{inf}} P(\sigma|x) > 0.90$$

this is a gaussian as an example, not the actual posterior!





# Reconstruction of parameters

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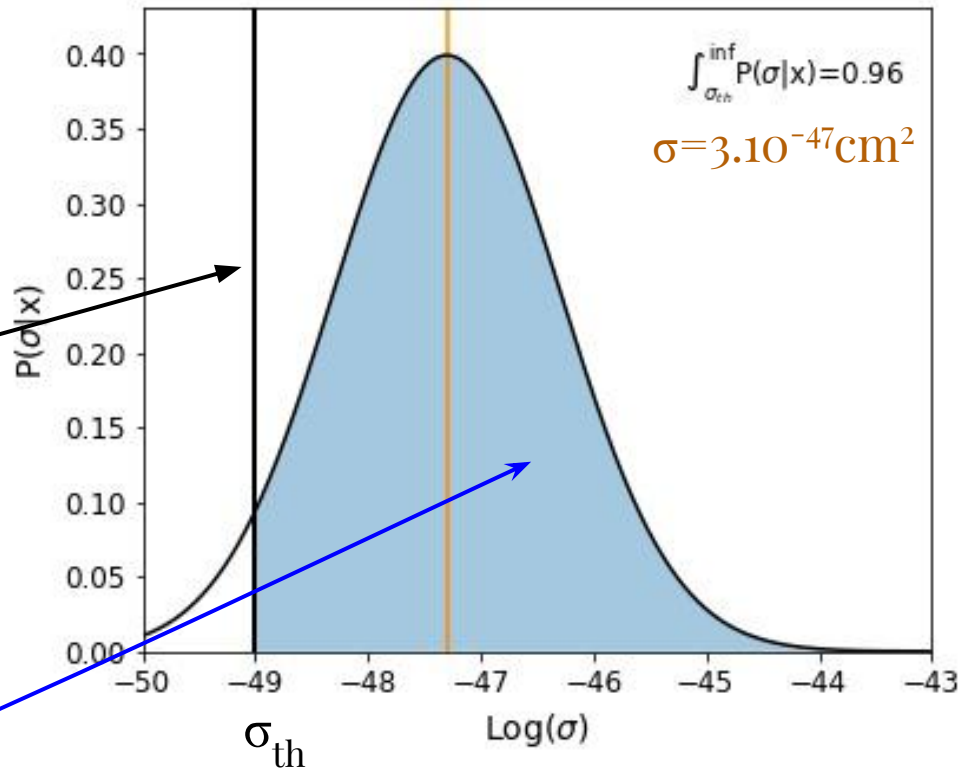
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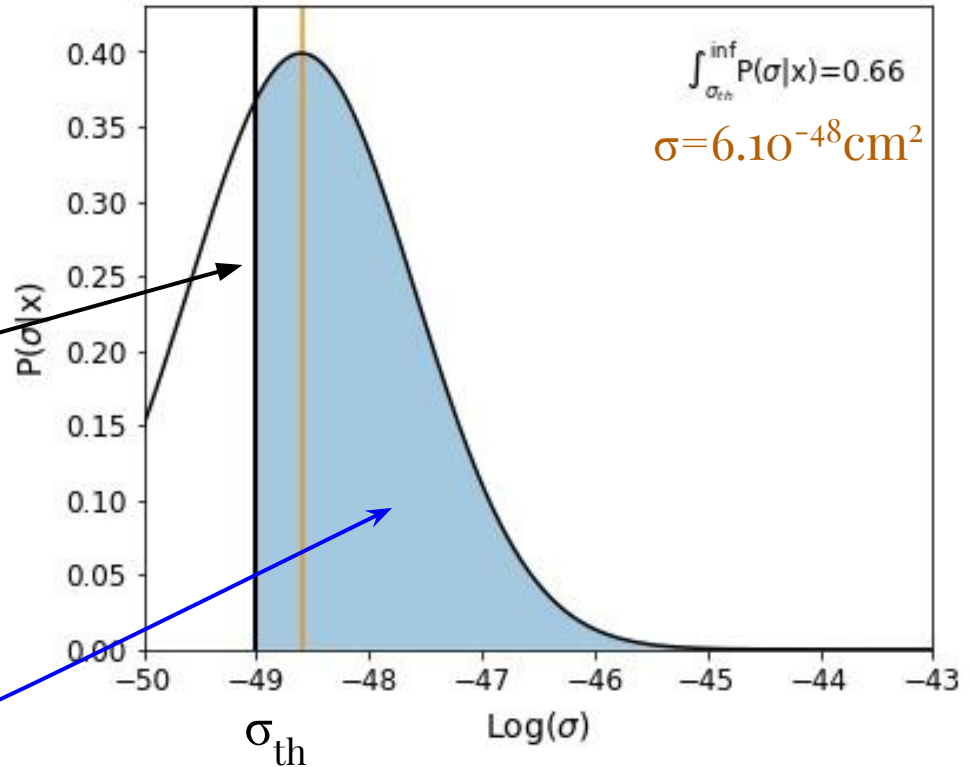
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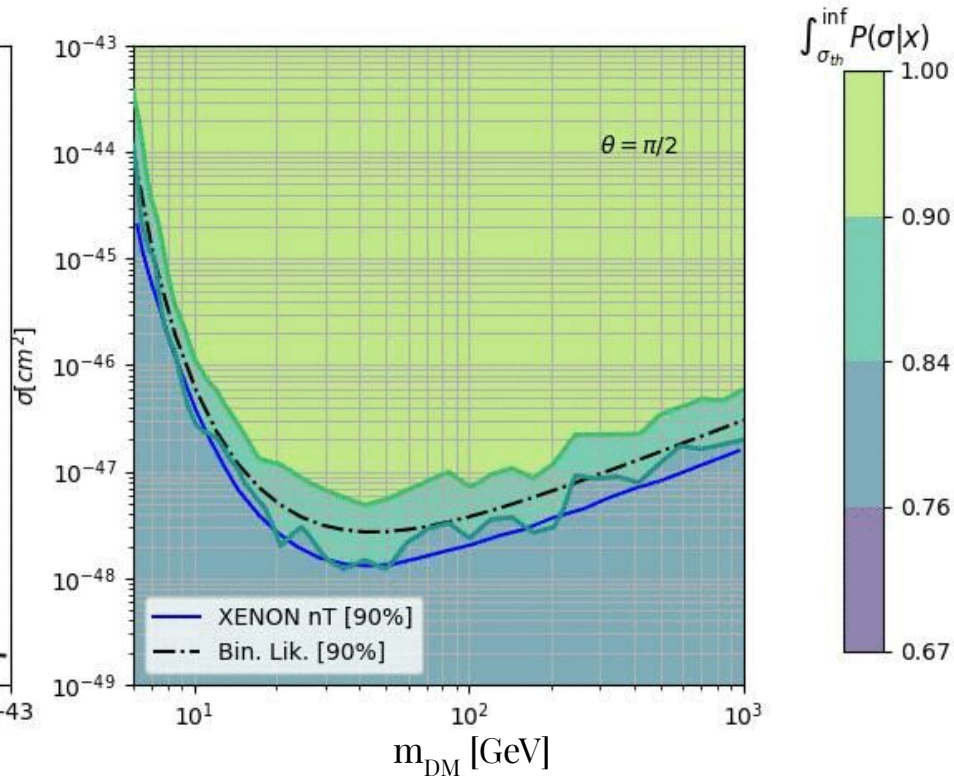
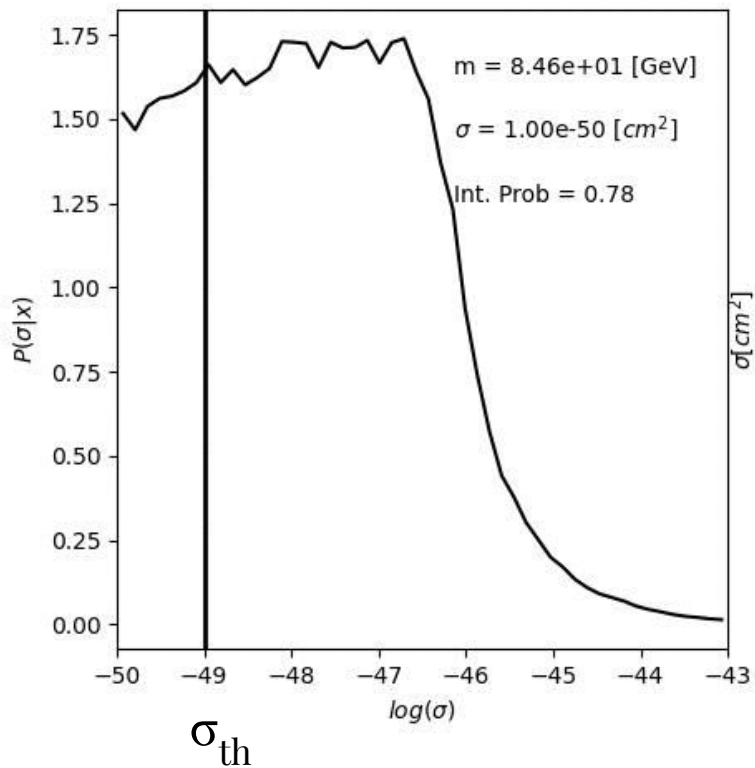
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# Results

Testing with:  
 $m_{\text{DM}} = 84.6 \text{ GeV} \rightarrow \text{fixed}$   
 $\theta = \pi/2 \rightarrow \text{fixed}$



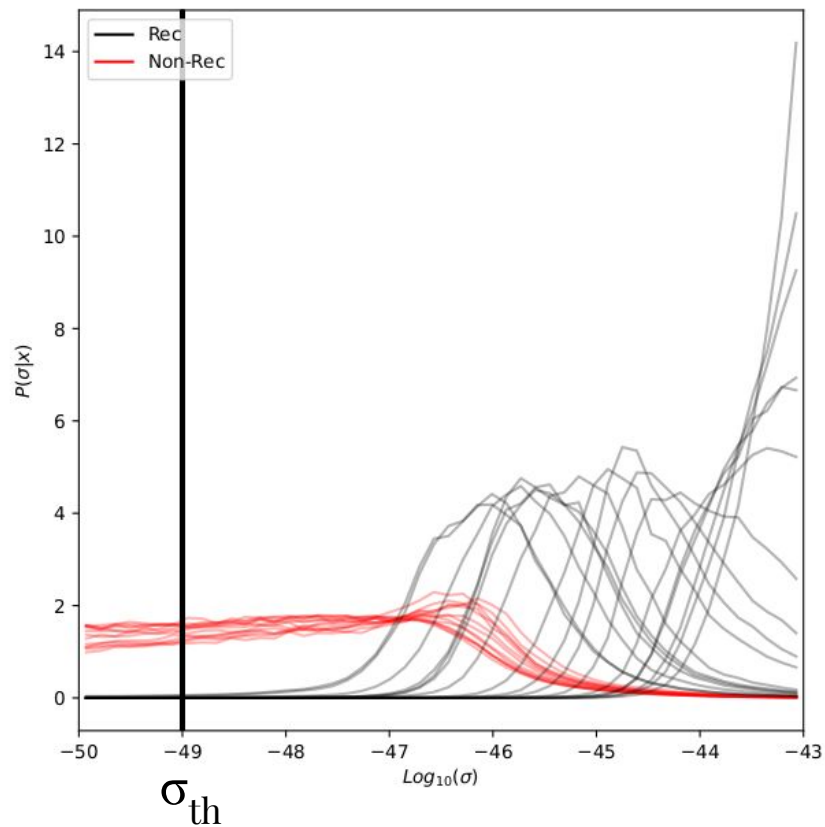
# Results

These are all the posteriors for

$$m_{\text{DM}} = 84.6 \text{ GeV} \rightarrow \text{fixed}$$
$$\theta = \pi/2 \rightarrow \text{fixed}$$

**red**  $\rightarrow$   $\sigma$  not reconstructed  
~no signal,  
~similar posteriors

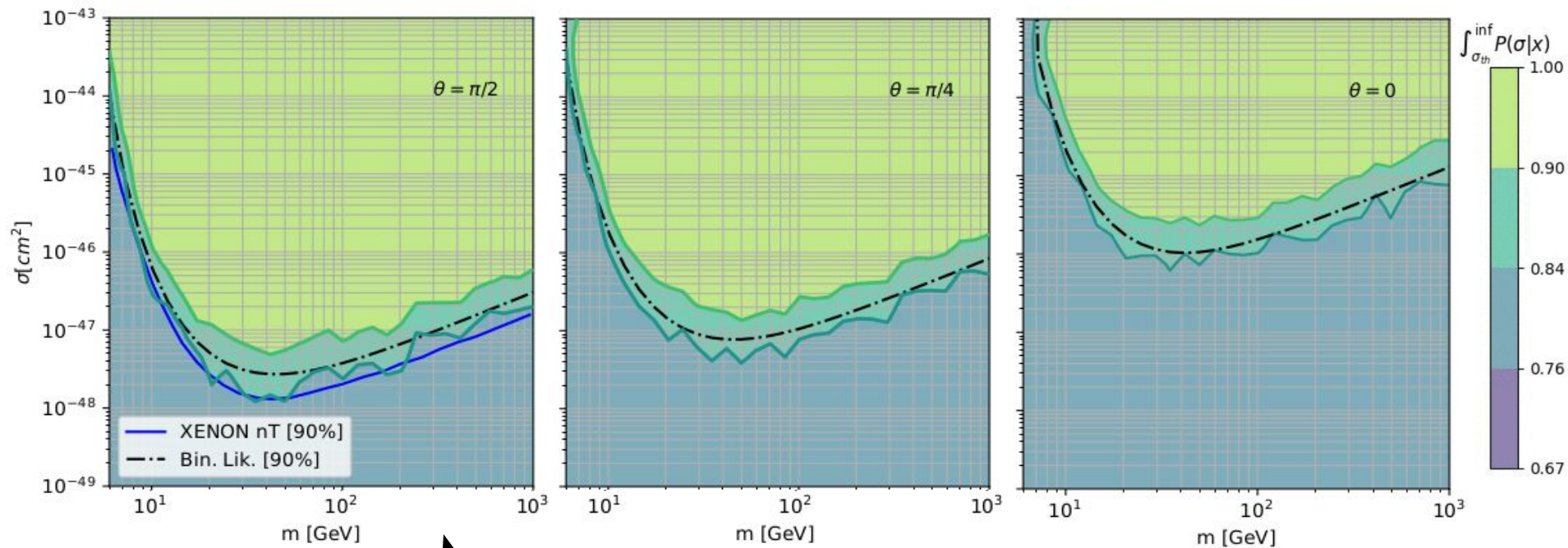
**black**  $\rightarrow$   $\sigma$  reconstructed



# Results

Data:  
the entire cS1 vs cS2 plane

O1 operator  
XENONnT

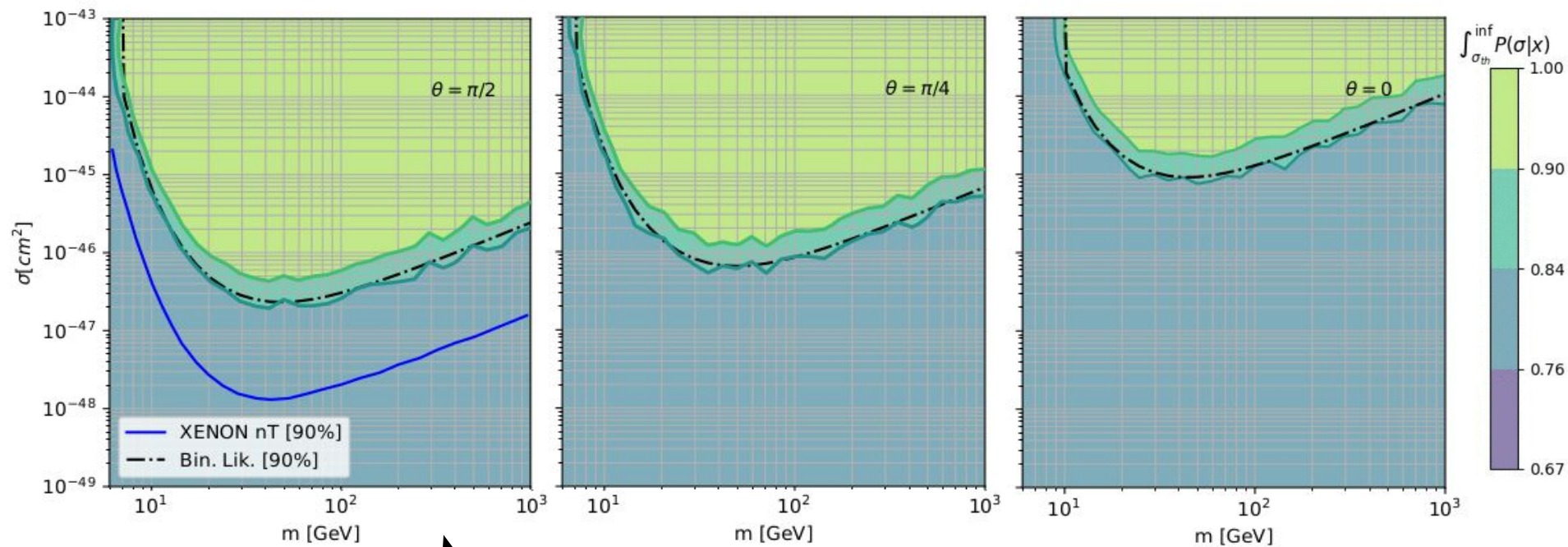


This is the usually shown  
**SI** parameter space

# Results

Data:  
the total number of events

O<sub>1</sub> operator  
XENONnT



This is the usually shown  
**SI** parameter space



# Conclusions

- A Bayesian analysis to explore the reach of direct detection experiments that can be applied to any DM model (translate it into NR-EFT)
  - O<sub>1</sub> (SI) presented here as an example,
  - SWYFT, a data driven tool, allows a really fast estimation of posteriors,
  - we computed the parameter space that can be reconstructed,
  - we compared total number of events vs the full  $cS_1, cS_2$  space.
- **Next:**
  - Apply to other NR-EFT operators → combine operators
  - Different Direct Detection experiments → combine experiments

**Thank you!**





**Back-up**

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$$\mathcal{L}_{\text{EFT}} = \sum_{\tau} \sum_i c_i^{\tau} \mathcal{O}_i \bar{\chi} \chi \bar{\tau} \tau \quad \text{i=14 possible interactions}$$

$$i \frac{\vec{q}}{m_N}, \quad \vec{v}^{\perp}, \quad \vec{S}_{\chi}, \quad \vec{S}_N.$$

$$\vec{v} \equiv \vec{v}_{\chi, \text{in}} - \vec{v}_{N, \text{in}}$$

$$\vec{v}^{\perp} = \vec{v} + \frac{\vec{q}}{2\mu_N}$$

momentum transfer, spin operators, relative velocity

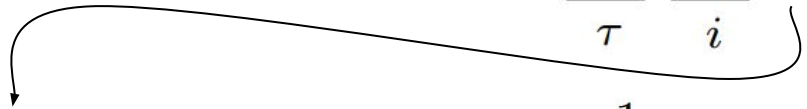
$\mathcal{O}_1 = 1_{\chi} 1_N$	$\mathcal{O}_9 = i \vec{S}_{\chi} \cdot (\vec{S}_N \times \frac{\vec{q}}{m_N})$
$\mathcal{O}_3 = i \vec{S}_N \cdot (\frac{\vec{q}}{m_N} \times \vec{v}^{\perp})$	$\mathcal{O}_{10} = i \vec{S}_N \cdot \frac{\vec{q}}{m_N}$
$\mathcal{O}_4 = \vec{S}_{\chi} \cdot \vec{S}_N$	$\mathcal{O}_{11} = i \vec{S}_{\chi} \cdot \frac{\vec{q}}{m_N}$
$\mathcal{O}_5 = i \vec{S}_{\chi} \cdot (\frac{\vec{q}}{m_N} \times \vec{v}^{\perp})$	$\mathcal{O}_{12} = \vec{S}_{\chi} \cdot (\vec{S}_N \times \vec{v}^{\perp})$
$\mathcal{O}_6 = (\vec{S}_{\chi} \cdot \frac{\vec{q}}{m_N})(\vec{S}_N \cdot \frac{\vec{q}}{m_N})$	$\mathcal{O}_{13} = i(\vec{S}_{\chi} \cdot \vec{v}^{\perp})(\vec{S}_N \cdot \frac{\vec{q}}{m_N})$
$\mathcal{O}_7 = \vec{S}_N \cdot \vec{v}^{\perp}$	$\mathcal{O}_{14} = i(\vec{S}_{\chi} \cdot \frac{\vec{q}}{m_N})(\vec{S}_N \cdot \vec{v}^{\perp})$
$\mathcal{O}_8 = \vec{S}_{\chi} \cdot \vec{v}^{\perp}$	$\mathcal{O}_{15} = -(\vec{S}_{\chi} \cdot \frac{\vec{q}}{m_N})((\vec{S}_N \times \vec{v}^{\perp}) \cdot \frac{\vec{q}}{m_N})$

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# DM-nucleon non-relativistic effective field theory (NR-EFT)

Contact interaction between a spin  $\frac{1}{2}$  DM and nucleon

$$\mathcal{L}_{\text{int}}^{\text{SI}}(\vec{x}) = c_1 \bar{\Psi}_\chi(\vec{x})\Psi_\chi(\vec{x}) \bar{\Psi}_N(\vec{x})\Psi_N(\vec{x})$$

$$U_\chi(p) = \sqrt{\frac{E+m}{2m}} \begin{pmatrix} \xi_\chi \\ \frac{\vec{\sigma} \cdot \vec{p}}{E+m_\chi} \xi_\chi \end{pmatrix} \sim \begin{pmatrix} \xi_\chi \\ \frac{\vec{\sigma} \cdot \vec{p}}{2m_\chi} \xi_\chi \end{pmatrix}$$

at low momenta.

Idem for the nucleon spinor

$\xi$  Pauli spinors

at leading order in  $p/m$   $c_1 \mathbf{1}_\chi \mathbf{1}_N \equiv c_1 \mathcal{O}_1$

# DM-nucleon non-relativistic effective field theory (NR-EFT)

Another interaction

$$\mathcal{L}_{\text{int}}^{\text{SD}} = c_4 \bar{\chi} \gamma^\mu \gamma^5 \chi \bar{N} \gamma_\mu \gamma^5 N$$

the dominant contribution in  
the non-relativistic limit  
comes from the spatial indices

$$\bar{\chi} \gamma^i \gamma^5 \chi \sim \xi_\chi^\dagger \sigma^i \xi_\chi$$

$$\text{Since } \hat{S}^i = \sigma^i / 2 \quad -4c_4 \vec{S}_\chi \cdot \vec{S}_N \equiv -4c_4 \mathcal{O}_4$$

# Data analysis to obtain posteriors

**SWYFT** → Sampling-based inference tool that estimates likelihood to evidence ratio with ML algorithms to obtain marginal and joint posteriors

	<b>MCMC</b>	<b>SWYFT</b>
Forward Model	$x=f(\text{parameters})$	$x=f(\text{parameters})$
Likelihood	$L(x, f(\text{parameters}))$	Data Driven
Samples	All parameters space > # samples	Only Interesting parameters
Amortization	NO	YES

# Motivation

*Bayes' Rule*: determine a probability distribution over model parameters  $\theta$  given an observation  $\mathbf{x}$

$$p(\theta | \mathbf{x}) = \frac{p(\mathbf{x} | \theta)}{p(\mathbf{x})} p(\theta)$$

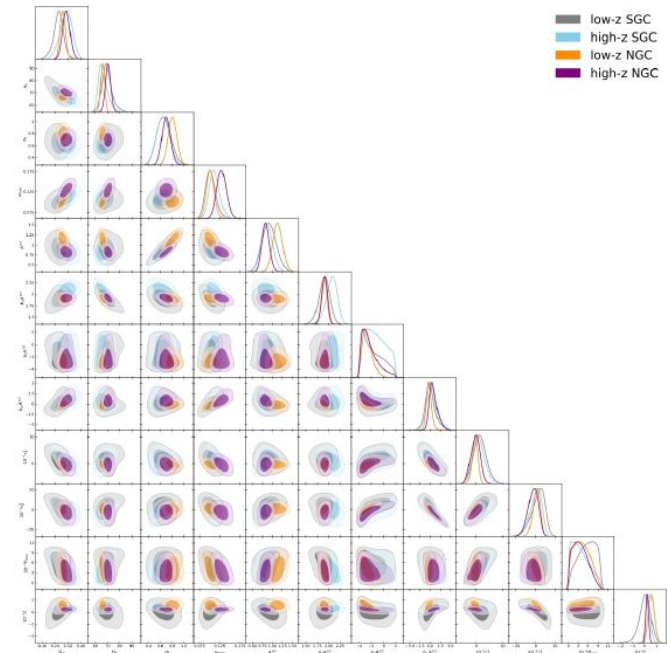
Posterior

Likelihood of  $\mathbf{x}$  given  $\theta$

Evidence of the data

Prior

Samples typically generated with *Markov Chain Monte Carlo (MCMC)* or *Nested sampling*



BOSS, Ivanov+ 1909.05277



# Neural Ratio Estimation (NRE)

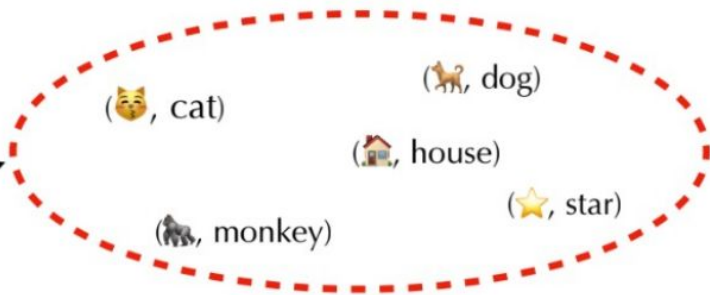
Approximate density ratios.

$$r(\mathbf{x}; \mathbf{z}) \equiv \frac{p(\mathbf{x} | \mathbf{z})}{p(\mathbf{x})} = \frac{p(\mathbf{z} | \mathbf{x})}{p(\mathbf{z})} = \frac{p(\mathbf{x}, \mathbf{z})}{p(\mathbf{x})p(\mathbf{z})}$$

**Strategy:** We estimate posteriors-to-prior ratio by training a binary classifier to discriminate between matching and scrambled (data, parameter) pairs.

**Class 1: Matching (data, parameter) pairs**

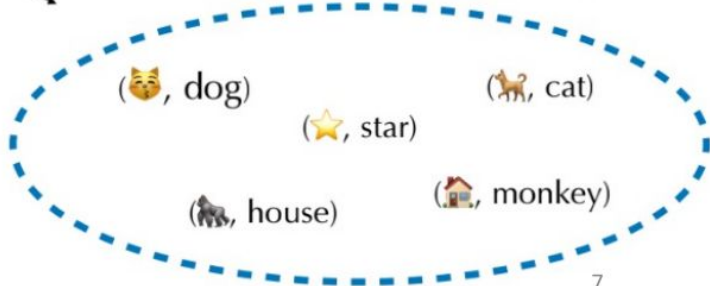
$\mathbf{x}, \mathbf{z} \sim p(\mathbf{x}, \mathbf{z})$



**Class 0: Scrambled (data, parameter) pairs**

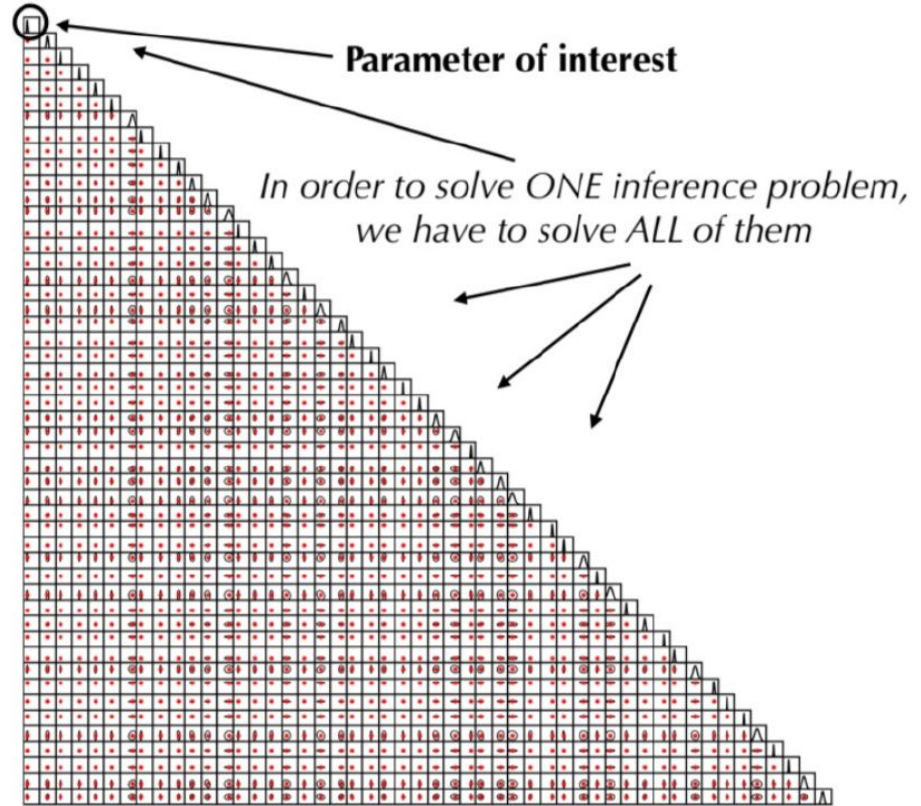
$\mathbf{x}, \mathbf{z} \sim p(\mathbf{x})p(\mathbf{z})$

Data:  $\mathbf{x}$   
Parameter:  $\mathbf{z}$

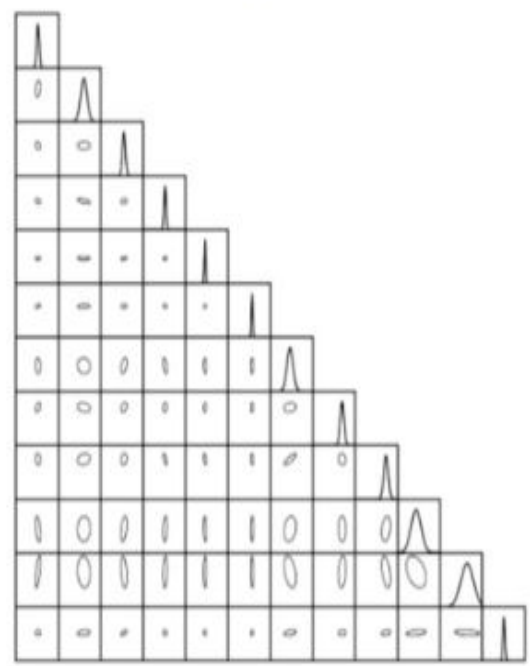


# MARGINAL

- MCMC or Nested sampling methods produce samples from the **posterior distribution**.
- Classical methods require sampling the **full joint posterior**, so that they are slow to converge.
- Novel approaches in the field of *simulation-based inference* (SBI) are starting to overcome these obstacles.

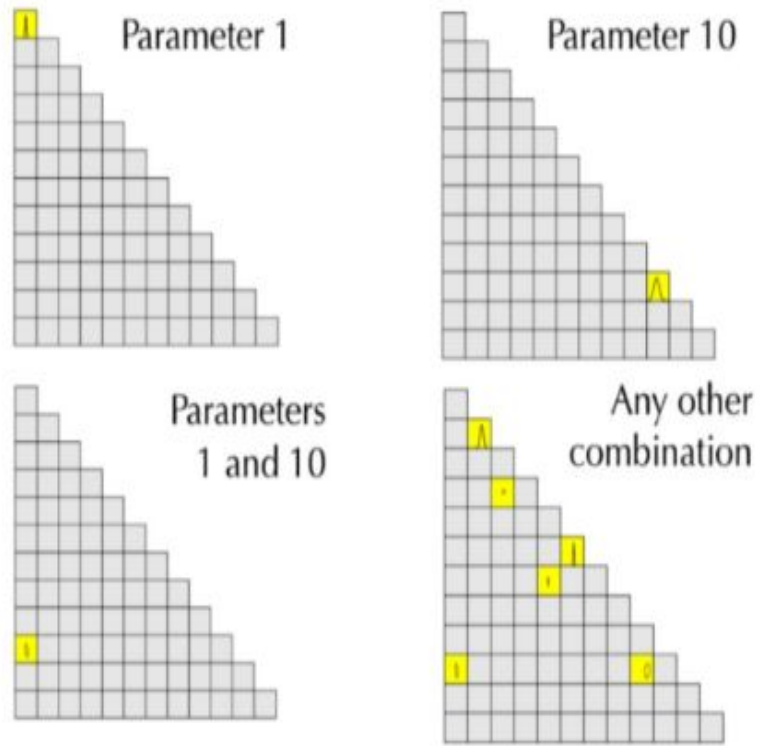


Instead of estimating all parameters...



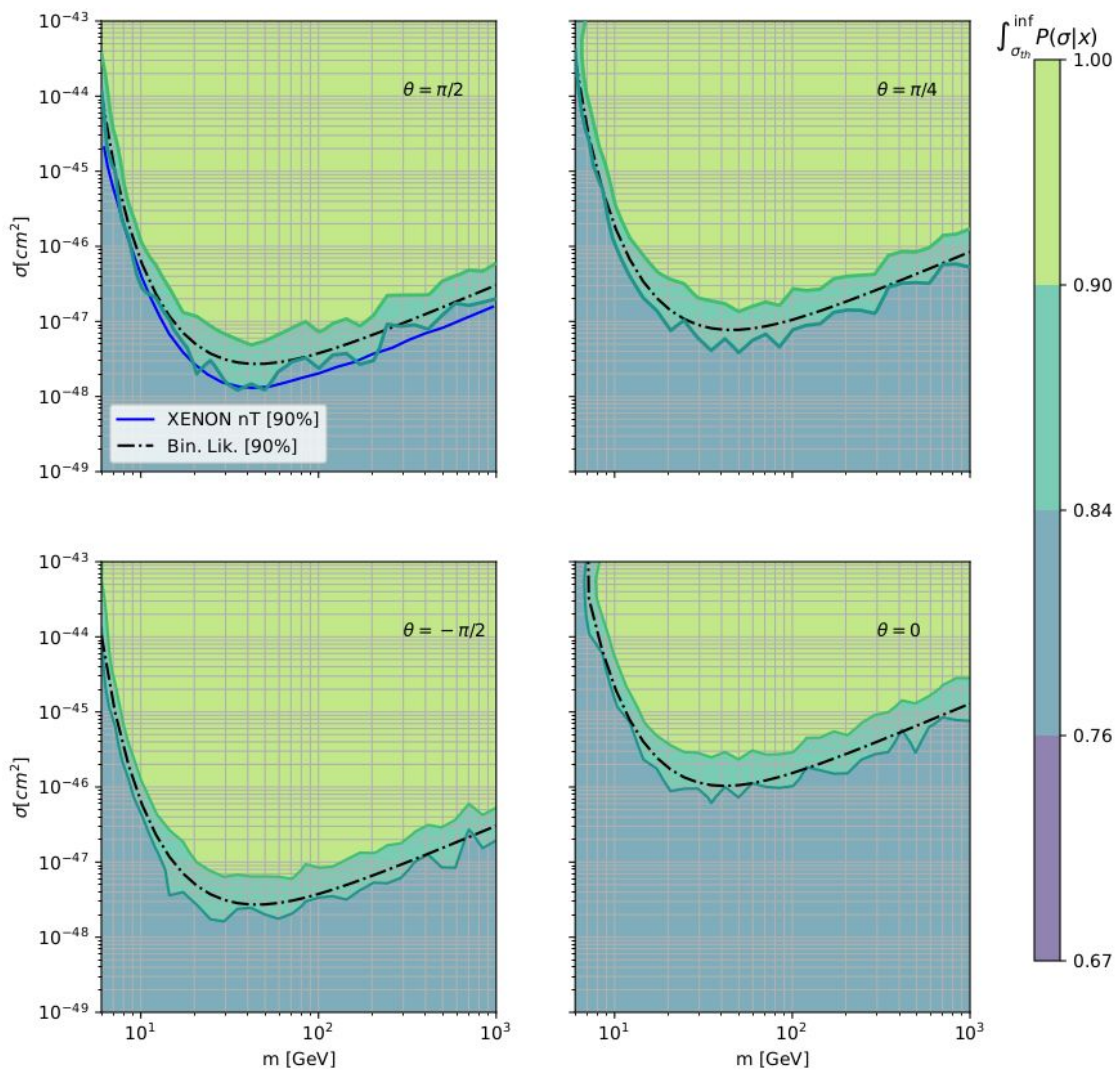
50 parameters ~ 100 Million simulations

...we can choose what we care about



Depending on which parameter is scrambled

# Data: the entire cS1 vs cS2 plane



**Data:**  
the total number  
of events

