

Photon to axion conversion during Big Bang Nucleosynthesis

José I. Illana



UNIVERSIDAD
DE GRANADA

+ A.J. Cuesta, M.E. Gómez, M. Masip

[[JCAP 04 \(2022\) 009, 2305.16838](#)]

- An Axion-Like Majoron (ALM) model
- Cosmological evolution: H_0
- BBN: deuterium, helium, lithium



GOBIERNO
DE ESPAÑA

MINISTERIO
DE CIENCIA
E INNOVACIÓN



Motivation

- Λ CDM very successful, which underlines **some tensions**:

CMB observables

$$H_0 = 67.71 \pm 0.44 \text{ km/s/Mpc}$$

SNe-Ia

$$H_0 = 73.01 \pm 0.99 \text{ km/s/Mpc}$$

CMB ($\Omega_b h^2$)

$$\eta_{10} \equiv 10^{10} \frac{n_b}{n_\gamma} = 6.14 \pm 0.04$$

Primordial deuterium

$$\eta_{10} = 5.98 \pm 0.07$$

Primordial lithium

$$\eta_{10} = 3.28 \pm 0.29$$

- **Neutrino sector** not yet understood:

Dirac fermions with EW masses? or tiny masses revealing a new scale?

$$\text{SM} - y_\nu \bar{L} \tilde{H} \nu_R \quad \text{Why is } y_\nu \text{ so small? What protects } \nu_R?$$

$$\text{SM} - \frac{1}{\Lambda_\nu} \bar{L} \tilde{H} \tilde{H}^T L^c \quad \text{Seesaw with } M_X \approx 10^{10} \text{ GeV or } M_X \approx \text{TeV} + \text{symmetry?}$$

ALM model

- SM + 3 Majorana singlets (N_L, N_R^c, n_L) + scalar singlets (s_1, s_2, s_3, \dots), valid below cutoff $\Lambda \approx 10$ TeV, **invariant** (up to grav effects) under **global** $U(1)_X$ spontaneously broken by a VEV $\langle s \rangle = v_X / \sqrt{2} \approx$ TeV:

	$L_i = (v_{iL} e_{iL})^T$	e_{iR}^c	N_L	N_R^c	n_L	$H = (h^+ h^0)^T$	$s_1, s_2, s_3, s_4, \dots$	
Q_X	+1	-1	-2	-1	0	0	1, 2, 3, 4, ...	$e^{-iQ_X\theta}$
Z_3	α	α^*	α	α^*	1	1	$\alpha, \alpha^*, 1, \alpha, \dots$	$\alpha \equiv e^{i\frac{2\pi}{3}}$

$$\text{pNGB } \phi : s = \frac{1}{\sqrt{2}}(v_X + \rho) e^{i\frac{\phi}{v_X}} = \frac{1}{\sqrt{2}}(v_X + \rho + i\phi + \dots)$$

In particular : $\langle s_3 \rangle$ breaks $U(1)_X$ but **preserves** Z_3

$\Rightarrow s = s_3$ would *not* generate neutrino masses *nor* couplings to ϕ

Take : $s = s_3 + \epsilon s_4 \Rightarrow$ light $m_\nu \propto \epsilon v_X$ as in inverse seesaw models while the others are heavy with masses of order v_X , except for the **Majoron** (approximate symmetry)

ALM model

$$\left. \begin{array}{l} s \equiv s_3 + \epsilon s_4 \\ s' = -\epsilon s_3 + s_4, \quad \langle s' \rangle = 0 \end{array} \right\} \Rightarrow \langle s_4 \rangle = \epsilon \langle s_3 \rangle = \frac{\epsilon v_X}{\sqrt{2}} \quad (\epsilon \ll 1)$$

$$-\mathcal{L} \supset y \bar{L}_3 \tilde{H} N_R + y' s_3^\dagger \bar{N}_L N_R + \frac{1}{2} \Lambda_n \bar{n}_L n_L^c + y_{IS} s_4^\dagger \bar{N}_L N_L^c + \frac{\tilde{y}}{\Lambda^2} s_3 s_4^\dagger \bar{L}_2 \tilde{H} N_L^c + \frac{\tilde{y}'}{\Lambda^2} s_3^\dagger s_4 \bar{L}_1 \tilde{H} n_L^c + \text{h.c.}$$

$$\supset \frac{1}{2} \begin{pmatrix} \bar{\nu}_{1L} & \bar{\nu}_{2L} & \bar{\nu}_{3L} & \bar{N}_L & \bar{N}_R^c & \bar{n}_L \end{pmatrix} \begin{pmatrix} \cdot & \cdot & \cdot & 0 & 0 & \tilde{\mu}' \\ \cdot & \cdot & \cdot & \tilde{\mu} & 0 & \cdot \\ \cdot & \cdot & \cdot & \cdot & m & \cdot \\ 0 & \tilde{\mu} & \cdot & \mu & M & \cdot \\ 0 & 0 & m & M & \cdot & \cdot \\ \tilde{\mu}' & \cdot & \cdot & \cdot & \cdot & \Lambda_n \end{pmatrix} \begin{pmatrix} \nu_{1L}^c \\ \nu_{2L}^c \\ \nu_{3L}^c \\ N_L^c \\ N_R \\ n_L^c \end{pmatrix} + \text{h.c.} - \boxed{i\lambda_{\nu'_i} \phi \bar{\nu}'_i \gamma_5 \nu'_i}$$

$$m = y \frac{v}{\sqrt{2}} \ll M = y' \frac{v_X}{\sqrt{2}} \quad \frac{1}{2} \mu = y_{IS} \frac{\epsilon v_X}{\sqrt{2}} \quad \frac{1}{2} \tilde{\mu} = \frac{\tilde{y}}{\Lambda^2} \frac{\epsilon v_X^2}{2} \frac{v}{\sqrt{2}} \quad \frac{1}{2} \tilde{\mu}' = \frac{\tilde{y}'}{\Lambda^2} \frac{\epsilon v_X^2}{2} \frac{v}{\sqrt{2}}$$

$$\Rightarrow \boxed{m_{\nu'_1} \sim \frac{\tilde{\mu}'^2}{\Lambda_n} \quad m_{\nu'_2} \sim \frac{\tilde{\mu}^2}{\mu} \quad m_{\nu'_3} \sim \mu \frac{m^2}{M^2}} \ll m_{\nu'_{4,5}} \sim \sqrt{M^2 + m^2} \mp \frac{1}{2} \mu \quad m_{\nu'_6} \sim \Lambda_n \quad \boxed{\lambda_{\nu'_{1,2,3}} \sim \frac{m_{\nu'_i}}{v_X}}$$

light neutrinos

heavy neutrinos (\gtrsim TeV)

ALM model

- Neutrino masses and couplings to Axion-Like-Majoron ϕ with (drop primes ')

$$\mathcal{L}_{\text{int}} \supset i\lambda_{\nu_i} \phi \bar{\nu}_i \gamma_5 \nu_i - \frac{1}{4} g_{\phi\gamma\gamma} \phi \tilde{F}_{\mu\nu} F^{\mu\nu} - \frac{1}{2} m_\phi^2 \phi^2$$

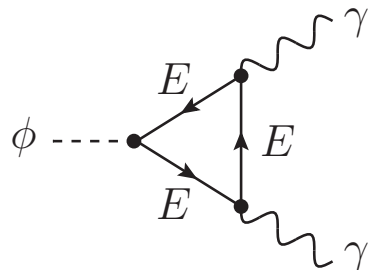
INPUTS: $m_\phi = 0.5 \text{ eV}$, $g_{\phi\gamma\gamma} = 1.46 \times 10^{-11} \text{ keV}^{-1}$, $\lambda_{\nu_3} = 6.8 \times 10^{-14}$, $v_X = 900 \text{ GeV}$

$$\tau_\phi = 3.5 \times 10^{12} \text{ s}, \mathcal{B}(\phi \rightarrow \nu_3 \bar{\nu}_3) = 0.96 \Leftarrow \mathcal{B}(\phi \rightarrow \gamma\gamma) = \frac{g_{\phi\gamma\gamma}^2 m_\phi^3}{16\lambda_{\nu_i}^2 m_\phi} \sim 10^{-15}$$

$\uparrow \Gamma(\phi \rightarrow \nu\bar{\nu}) \propto \lambda_\nu^2 m_\phi$ (decays before recombination)

- Note: This small $g_{\phi\gamma\gamma}$ (astrophysical bounds) can be generated at one loop introducing 2 heavy vectorlike charged lepton singlets (E_{1L}, E_{-1L}^c) and (E_{-4R}^c, E_{4R}) with $Q_X = \pm 1, \pm 4$:

$$-\mathcal{L} \supset y_E s_3^+ \bar{E}_{1L} E_{4R} + M \bar{E}_{1L} E_{-1L}^c + M \bar{E}_{-4R}^c E_{4R} + \text{h.c.} \supset -\boxed{i\lambda_E \phi \bar{E} \gamma_5 E} \quad \lambda_E \sim y_E \frac{m'}{M} \sim y_E^2 \frac{v_X}{M}$$



$$\Rightarrow g_{\phi\gamma\gamma} \sim \frac{\alpha \lambda_E}{\pi M} \sim \frac{\alpha y_E^2 v_X}{\pi M^2} \quad (m_\phi^2 \ll 4M^2)$$

- ϕ starts **decoupling** at $T \sim 10^6 \text{ GeV}$ [$\gamma A \leftrightarrow \phi A$, $\gamma \ell \leftrightarrow \phi \ell$ and $\phi \leftrightarrow \nu \bar{\nu}$ inefficient] until $T \approx 500 \text{ GeV}$ [heavy neutrinos become NR]

▷ Below $T \approx 500 \text{ GeV}$, entropy transferred to lighter dof, not to ϕ . For $T \approx 1 \text{ MeV}$:

$$\underbrace{g_{*s}(T \gtrsim m_{\text{top}})}_{\text{all SM dof}} T_{\phi}^3 = \underbrace{g_{*s}(1 \text{ MeV})}_{\gamma, e^{\pm}, \nu's} T^3 \Rightarrow \frac{T_{\phi}}{T} = \left(\frac{10.75}{106.75} \right)^{1/3} \approx 0.463$$

▷ So far: $T_{\nu} = T$ and **majorons** represent a **small contribution to energy density**:

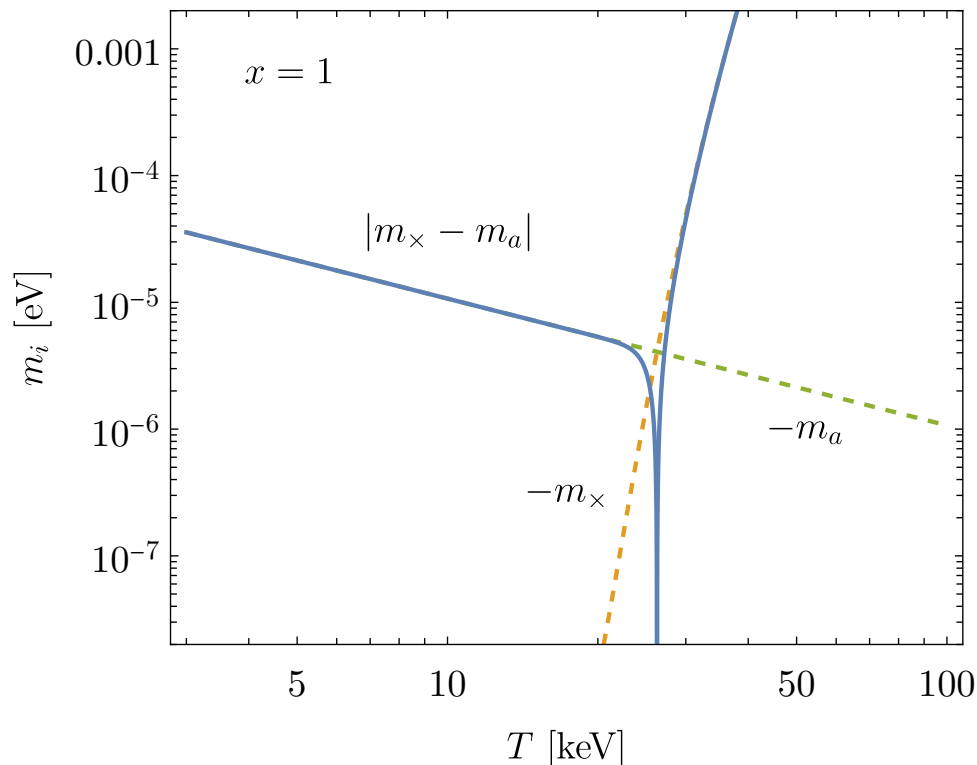
$$\rho_R = \rho_{\gamma} + \rho_{\nu} + \rho_{\phi} \equiv \rho_{\gamma} \left[1 + \frac{7}{8} N_{\text{eff}} \left(\frac{T_{\nu}}{T} \right)^4 \right], \quad N_{\text{eff}} \equiv N_{\text{eff}}^{\nu} + \Delta N_{\text{eff}} \Rightarrow \Delta N_{\text{eff}} \approx 0.026$$

- **Neutrinos decouple** at $T \sim 1 \text{ MeV}$ and soon later e^+ annihilate e^- . For $T < m_e$:

$$\underbrace{g_{*s}(T > m_e)}_{\gamma, e^{\pm}} T_{\nu}^3 = \underbrace{g_{*s}(T < m_e)}_{\gamma} T^3 \Rightarrow \frac{T_{\nu}}{T} = \left(\frac{4}{11} \right)^{1/3}$$

In ΛCDM : Non-instantaneous ν decoupling and QED effects $\Rightarrow N_{\text{eff}}^{\nu} = 3.043$

- **Photon-axion oscillations** in presence of a **magnetic field**
 - ▷ Photons in a medium get an effective mass from interactions with free electrons
 - ▷ If **primordial magnetic field** $B_T \approx B_0(T/T_0)^2$ ($B_0 = 3$ nG, $\lambda_0 \gtrsim 1$ Mpc)
 - ⇒ photon mass separated in $m_{+, \times}(T)$ (for A_+, A_\times parallel, perp to \vec{B})
 - ⇒ **photon-axion mixing** $m_{\phi\gamma}(T) = g_{\phi\gamma\gamma}B_T/2$ $m_a = -m_\phi^2/\omega$



[Raffelt, Stodolsky (1988)]

$$\left((\omega + i\nabla)1 + \begin{pmatrix} m_+ & 0 & 0 \\ 0 & m_\times & m_{\phi\gamma} \\ 0 & m_{\phi\gamma} & m_a \end{pmatrix} \right) \begin{pmatrix} A_+ \\ A_\times \\ \phi \end{pmatrix} = 0$$

⇒ **Resonance** at $T \equiv \bar{T} \approx 26$ keV
(independent of $x = \omega/T$)

- **Resonant photon-axion oscillations** in presence of a **magnetic field**

▷ If $\rho_\phi \ll \rho_\gamma \approx \rho_\gamma^{\text{eq}}$ and $\Gamma_\phi \approx 0$

[Ejlli, Dolgov (2014)]

$$P_\phi(x, T) \approx - \left(\frac{2\pi}{3H} \right) \frac{m_{\phi\gamma}^2}{m_a} \Big|_{T=\bar{T}} \propto \omega = x\bar{T}$$

(photons of any energy convert to axions at the same T)

⇒ **4.4% of CMB γ carrying $r_\gamma \equiv 6.3%$ of ρ_γ convert to ϕ at $T \approx 26$ keV**

(by averaging with BE number (energy) density distributions $\frac{x^{2(3)}}{e^x - 1}$ respectively)

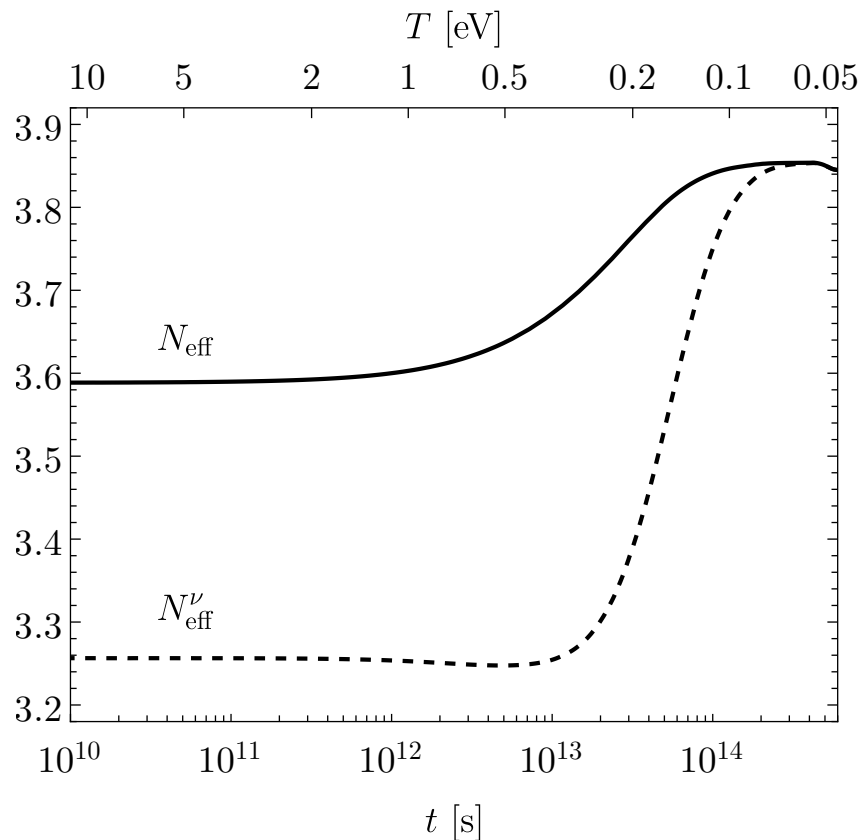
⇒ Sudden **drop of γ temperature**: $\Delta T/T_0 = 1 - (1 - r_\gamma)^{1/4} = 1.6\%$

⇒ Sudden **increase of N_{eff}** :

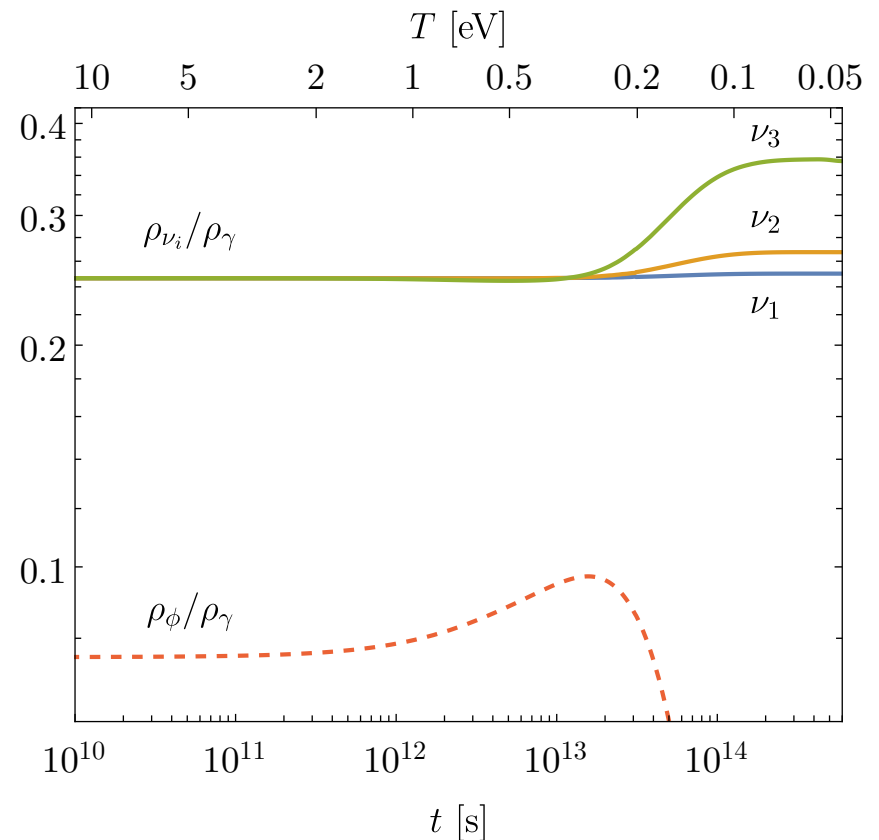
$$\rho_\gamma^0 \left[1 + \frac{7}{8} N_{\text{eff}}^0 \left(\frac{4}{11} \right)^{4/3} \right] = \rho_\gamma \left[1 + \frac{7}{8} N_{\text{eff}} \left(\frac{4}{11} \right)^{4/3} \right] \quad \rho_\gamma^{(0)} \equiv \frac{\pi^2}{15} T_{(0)}^4$$

$$\Rightarrow N_{\text{eff}} = \underbrace{\frac{3.043}{1 - r_\gamma}}_{N_{\text{eff}}^v} + \underbrace{\frac{0.026}{1 - r_\gamma} + \frac{r_\gamma}{(1 - r_\gamma)^{7/8} \left(\frac{4}{11} \right)^{4/3}}}_{\Delta N_{\text{eff}}} \Rightarrow \begin{cases} N_{\text{eff}} \approx 3.58 \\ N_{\text{eff}}^v \approx 3.25 \end{cases}$$

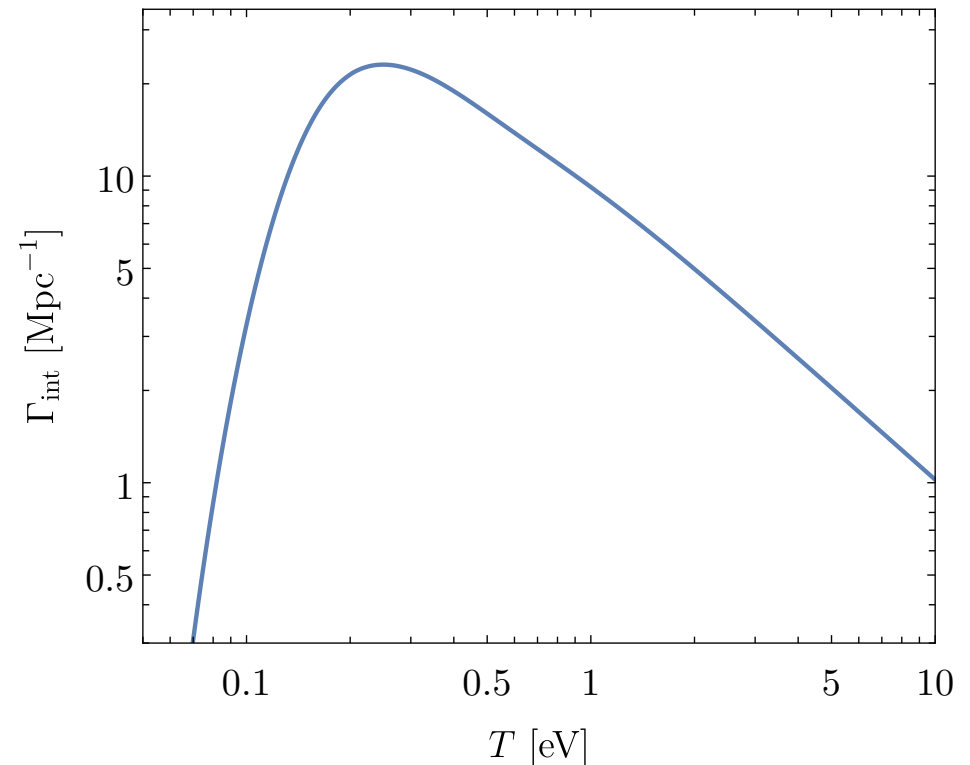
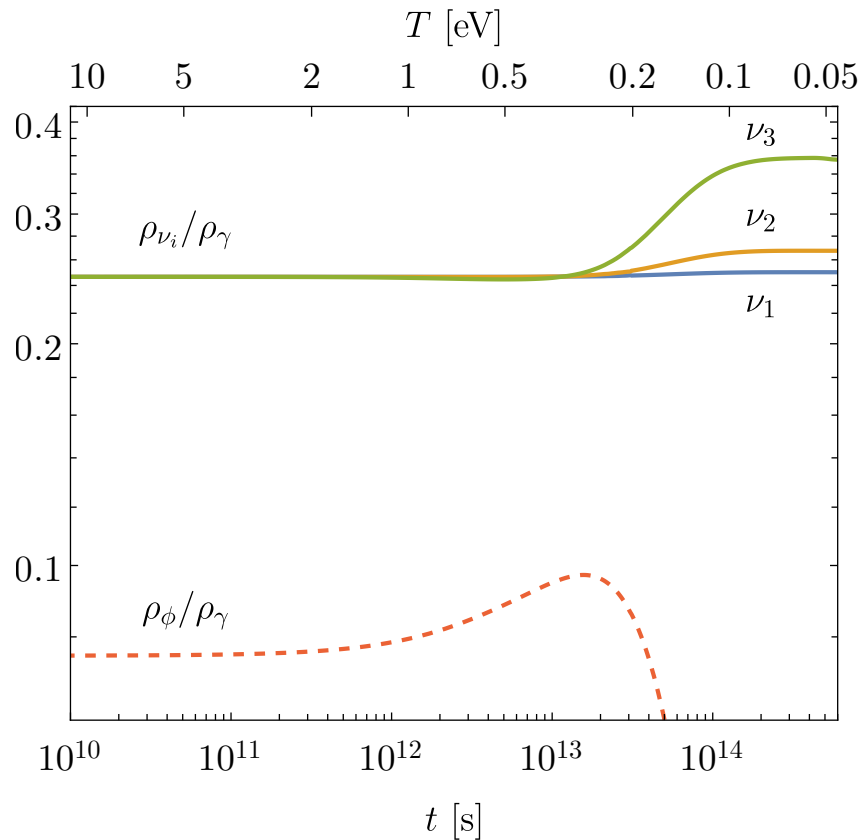
- Neutrinos and ϕ enter in thermal contact at $T \approx m_\phi$ through $\phi \leftrightarrow \nu\bar{\nu}$
 - ▷ n_ϕ and ρ_ϕ of thermal (initial) plus non-thermal (after γ conversion) majorons at $T = 10 \text{ eV}$ replaced by those of equilibrium for given T_ϕ and μ_ϕ . Same for ν 's.
 - ▷ Time evolution by solving numerically system of DE $\Rightarrow \rho_i, N_{\text{eff}}, \Gamma_{\text{int}}$ [Escudero (2020)]



\Leftrightarrow

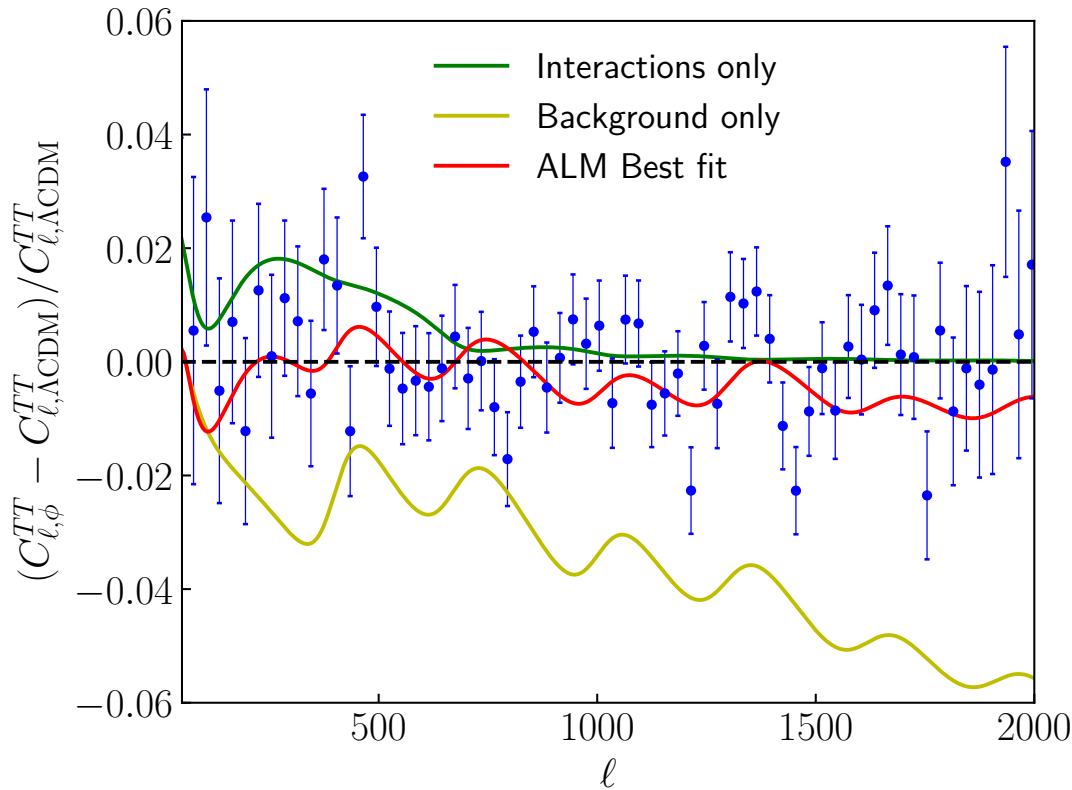


- Neutrinos and ϕ enter in thermal contact at $T \approx m_\phi$ through $\phi \leftrightarrow \nu\bar{\nu}$
 - ▷ Increased $N_{\text{eff}} \approx 3.85$ due to energy transfer from ϕ to ν 's (mostly ν_3) when ϕ becomes NR ($T \lesssim 2m_\phi$) and later when it decays ($t \gtrsim 10 \tau_\phi$)
 - ▷ Γ_{int} peaks at $T \sim m_\phi \Rightarrow$ reduction of neutrino free streaming at recombination ($t \approx 10^{13} \text{ s}, T \approx 0.26 \text{ eV}$)



- Effect on CMB and cosmological parameters [CLASS + MontePython]

TT power spectrum (relative to Λ CDM)



— $N_{\text{eff}} = 3.043, \Gamma_{\text{int}} \neq 0$

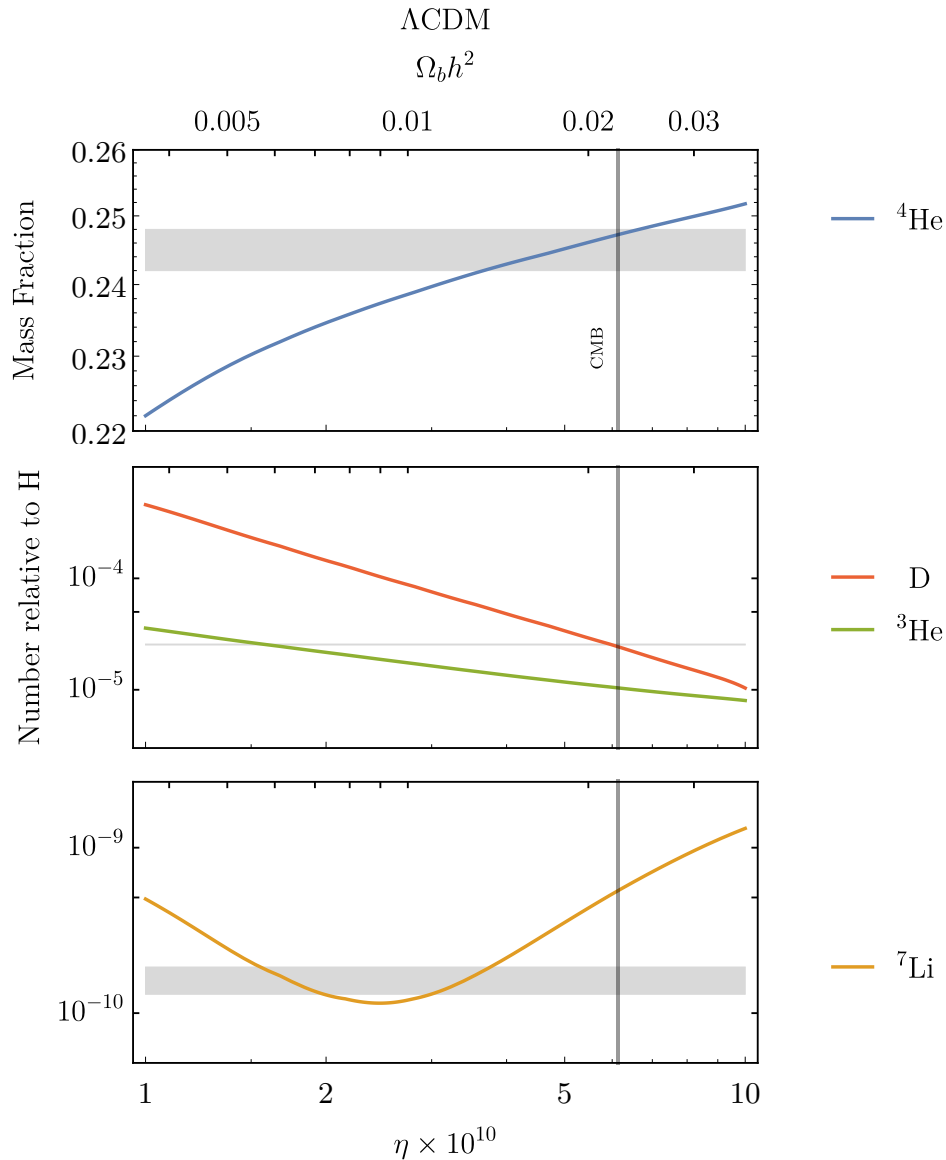
— $N_{\text{eff}} = N_{\text{eff}}(T), \Gamma_{\text{int}} = 0$

Parameter	Λ CDM	ALM $m_\phi = 0.5 \text{ eV}$ $\tau_\phi = 3.5 \times 10^{12} \text{ s}$
$100 \Omega_b h^2$	2.242 ± 0.015	2.295 ± 0.014
$\Omega_{\text{cdm}} h^2$	0.119 ± 0.001	0.129 ± 0.001
$100 \theta_s$	1.0420 ± 0.0003	1.0407 ± 0.0003
$\ln(10^{10} A_s)$	3.046 ± 0.015	3.062 ± 0.016
n_s	0.967 ± 0.004	0.991 ± 0.004
τ_{reio}	0.055 ± 0.008	0.056 ± 0.008
H_0 [km/s/Mpc]	67.71 ± 0.44	71.4 ± 0.5

- H_0 in ALM model compatible with value from SNe-Ia !! ($73.01 \pm 0.99 \text{ km/s/Mpc}$)
- $\Omega_b h^2$ in ALM model larger than in Λ CDM \Rightarrow how about BBN predictions !?

BBN

\Leftrightarrow primordial abundances as a function of $\eta_{10} \equiv 10^{10} \frac{n_b}{n_\gamma(T_0)} \approx 274 \Omega_b h^2$

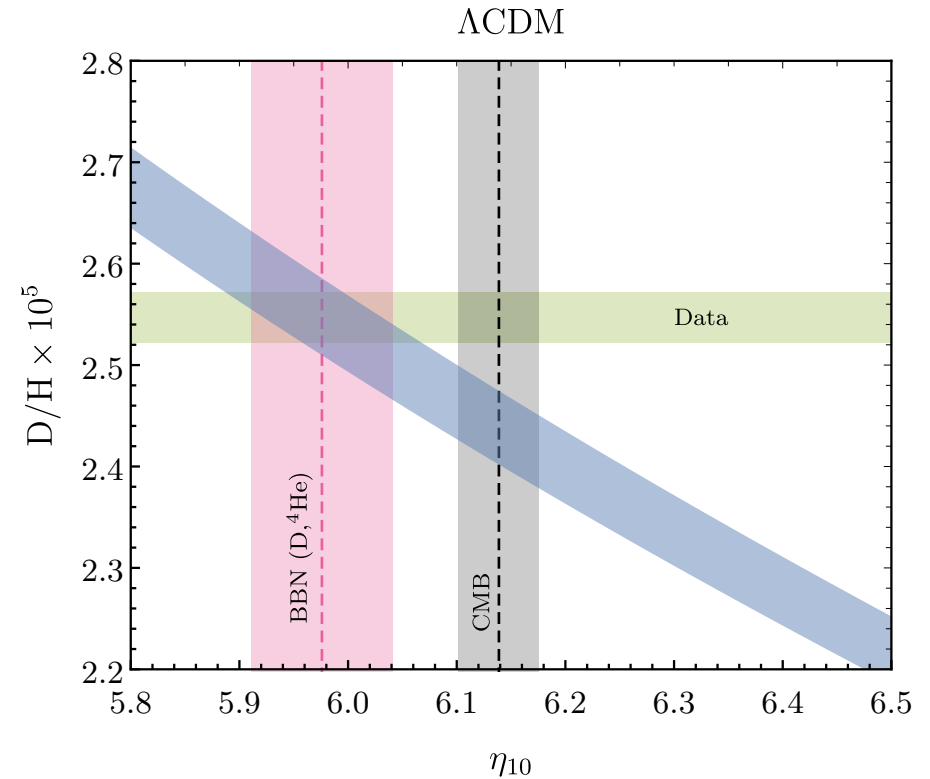


\triangleright From CMB obs [CLASS+MontePython]:

$$\eta_{10}^{\text{CMB}} \big|_{\Lambda\text{CDM}} = 6.14 \pm 0.04$$

\triangleright From abundance of D and ^4He [PRIMAT]:

$$\eta_{10}^{\text{BBN}} \big|_{\Lambda\text{CDM}} = 5.98 \pm 0.07 \Rightarrow 2\sigma !$$



\triangleright And **lithium problem** (10σ) !!

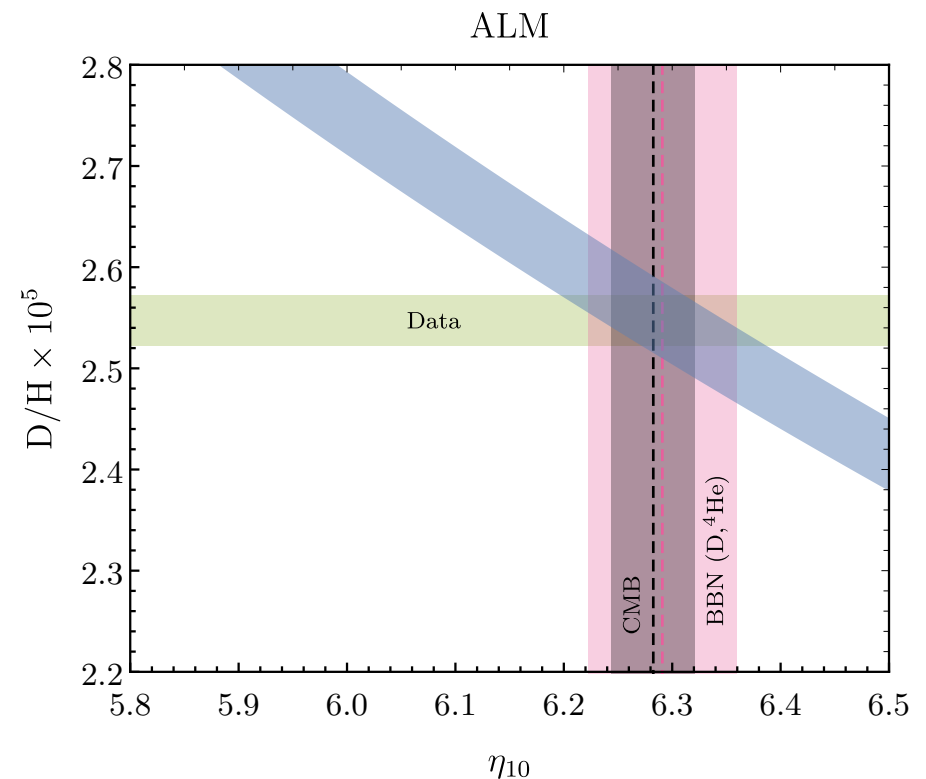
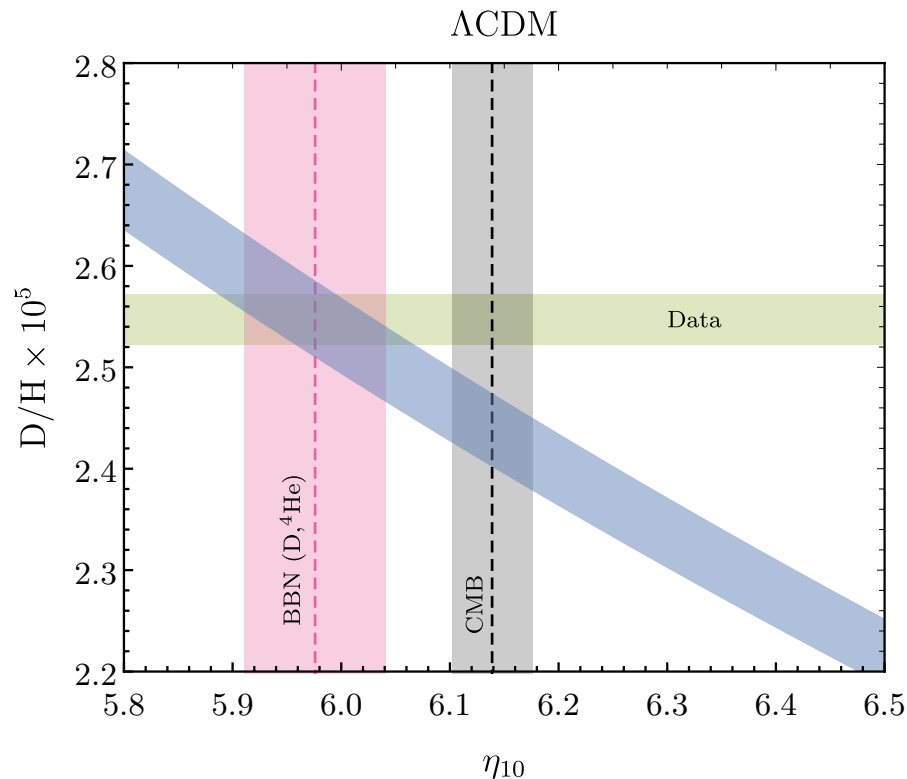
- ALM model photon cooling ($r_\gamma = 0.063$) at $\bar{T} = 26$ keV ($t \approx 1900$ sec $\approx \frac{1}{2}$ hour)

▷ From CMB observables [CLASS + MontePython]:

$$\eta_{10}^{\text{CMB}}|_{\text{ALM}} = 6.28 \pm 0.04$$

▷ From abundance of D and ^4He [modified PRIMAT] (nucl react \sim untouched):

$$\eta_{10}^{\text{BBN}}|_{\text{ALM}} = 6.29 \pm 0.07 \Rightarrow \text{perfect agreement!} \quad \approx (1 - r_\gamma)^{-3/4} \eta_{10}^{\text{BBN}}|_{\Lambda\text{CDM}}$$

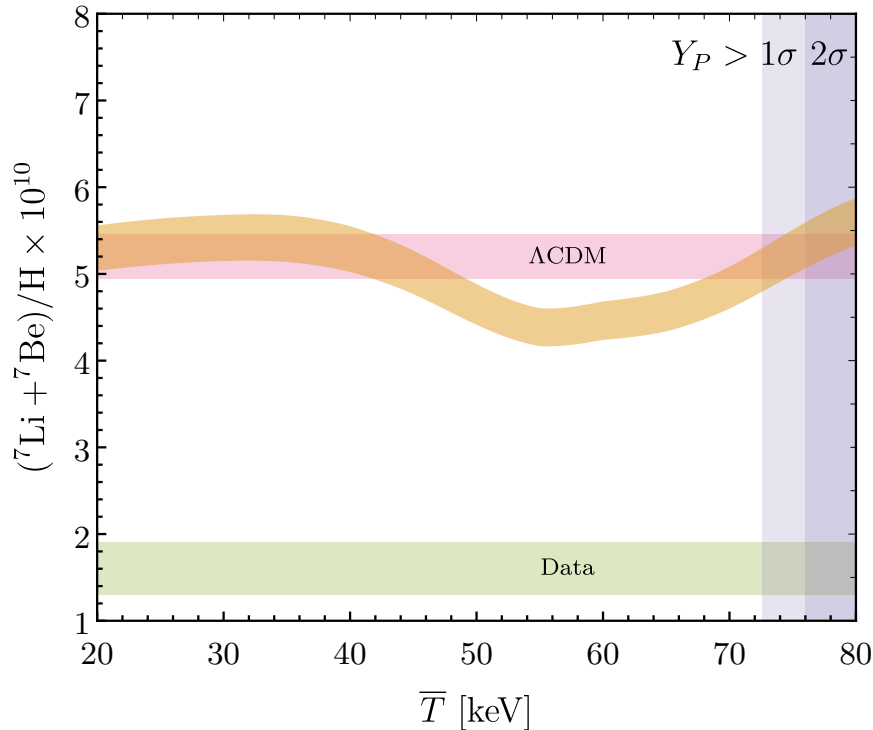


- Generalized ALM model

- Take higher \bar{T} that would require higher m_ϕ while keeping ϕ decay at recombination
- Use constraints to yield D/H within 1σ and ${}^4\text{He}$ (Y_P) under control:

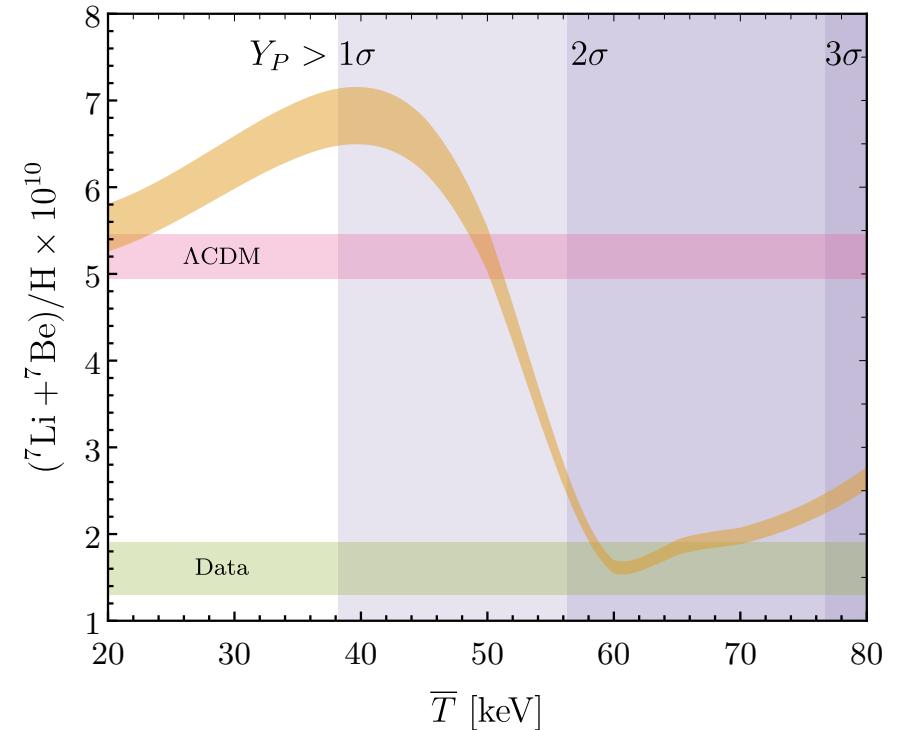
$$r_\gamma = 1/3 \text{ (multiple oscillations)}$$

$$r_\gamma = 1/3, D/H \text{ within } 1\sigma$$



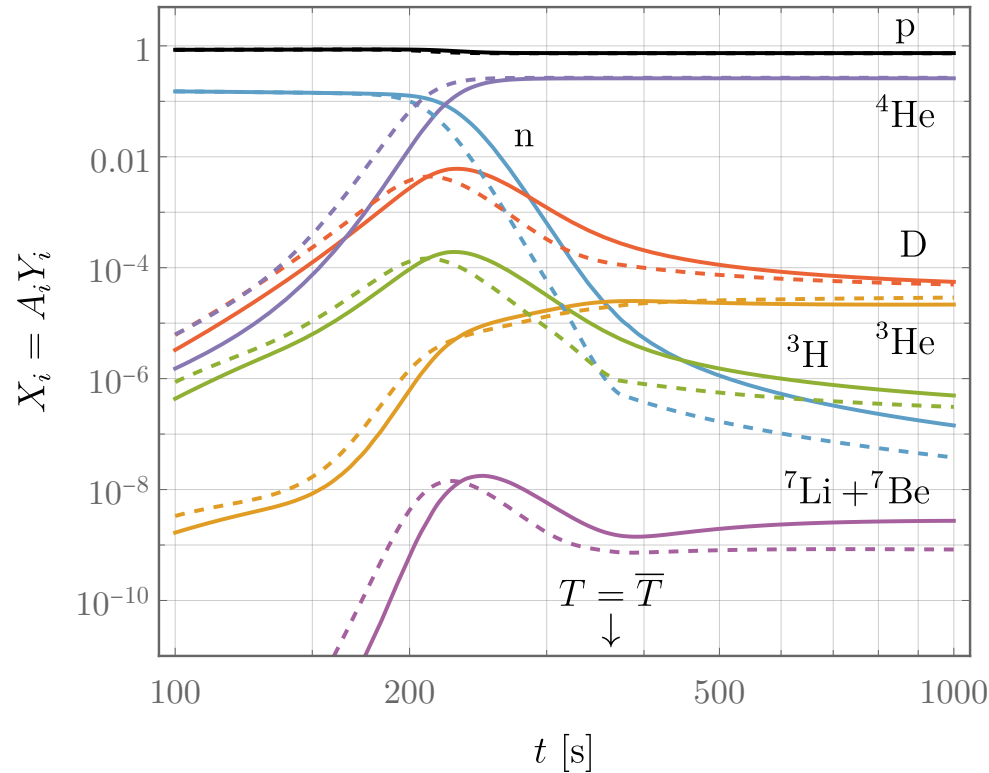
$$r_\gamma = 0.9 \text{ (several axions?)}$$

$$r_\gamma = 0.9, D/H \text{ within } 1\sigma$$



- Extreme cooling ($r_\gamma = 0.9$) at $\bar{T} \approx 60$ keV does the job !! ($\Leftrightarrow \bar{\eta}_{10}^{\text{BBN}} = (1 - r_\gamma)^{3/4} \eta_{10}^{\text{BBN}} \approx 11.4$)

- Generalized ALM model



— Λ CDM
 - - - $r_\gamma = 0.9, \bar{T} = 60 \text{ keV}$
 ($t \approx 340 \text{ sec} \approx 6 \text{ min}$)

- ▷ Larger $\bar{\eta}_{10} \Rightarrow$ BBN starts earlier than in Λ CDM
 \Rightarrow Excess of heavier nuclei (${}^3\text{He}$, ${}^4\text{He}$) relative to more reactive n, D, ${}^3\text{H}$
- ▷ Production of ${}^7\text{Li}$ and (particularly) ${}^7\text{Be}$ interrupted after the sudden cooling at \bar{T}

Conclusions

- The **smallness of neutrino masses** may be explained:
 - by a large scale, *or*
 - by an **approximate symmetry** \Rightarrow one may expect a light **ALM**
- In the early universe a **primordial magnetic field** may induce the **conversion of CMB photons into axions** \Rightarrow larger N_{eff} and a larger η after BBN, than in ΛCDM
- The **ALM becomes NR and decays into neutrinos near recombination**
- The **ALM model** ($r_\gamma = 6.3\%$ and $\bar{T} = 26$ keV):
 - alleviates the H_0 tension
 - provides a *good fit to CMB observables*
 - yields the *right deuterium abundance*
- A **generalized ALM model** ($r_\gamma = 90\%$ and $\bar{T} \approx 60$ keV):
 - deuterium, helium and *also lithium abundance consistent* with observations