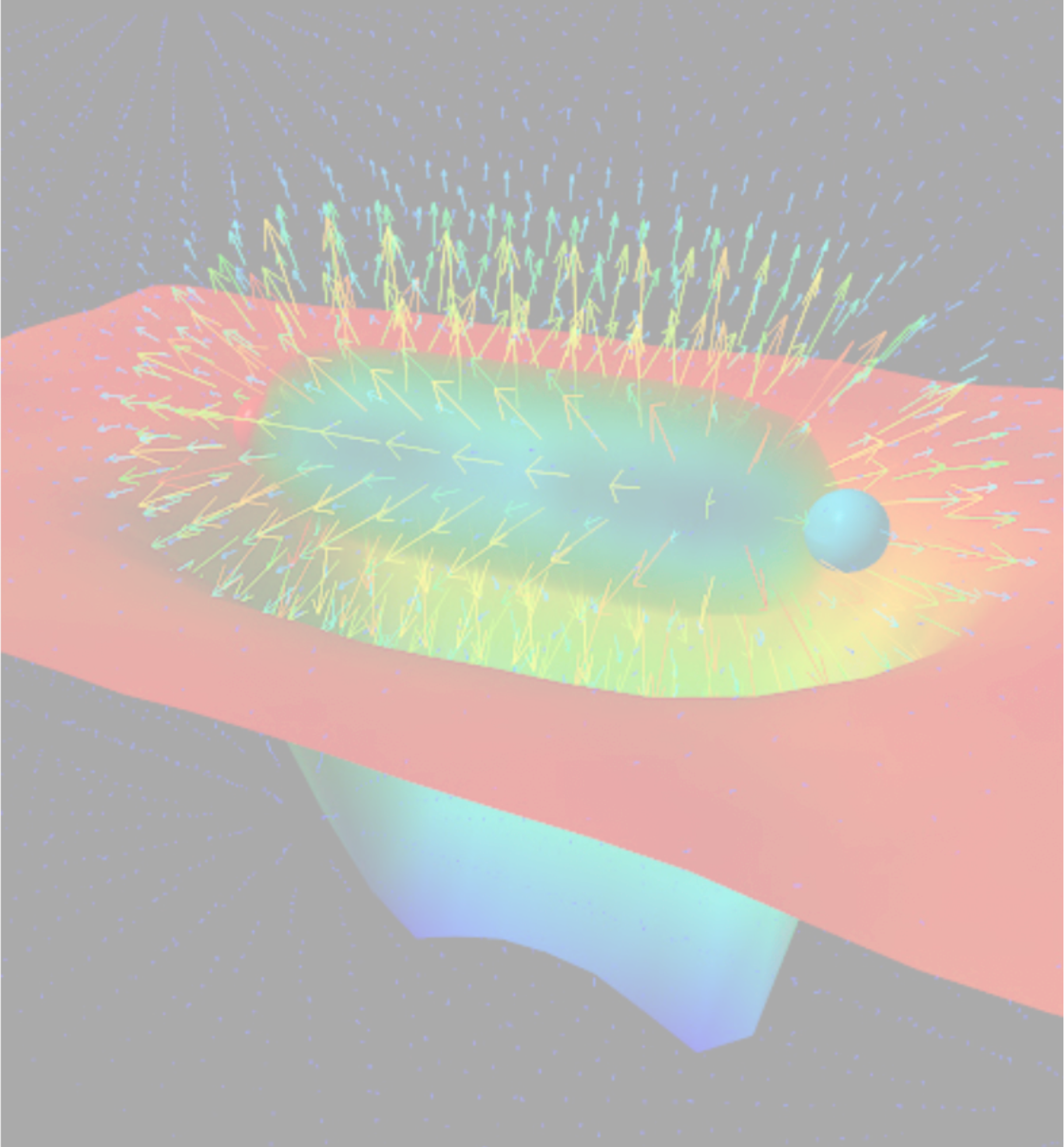


# Bootstrapping Strings



**Andrea Guerrieri**

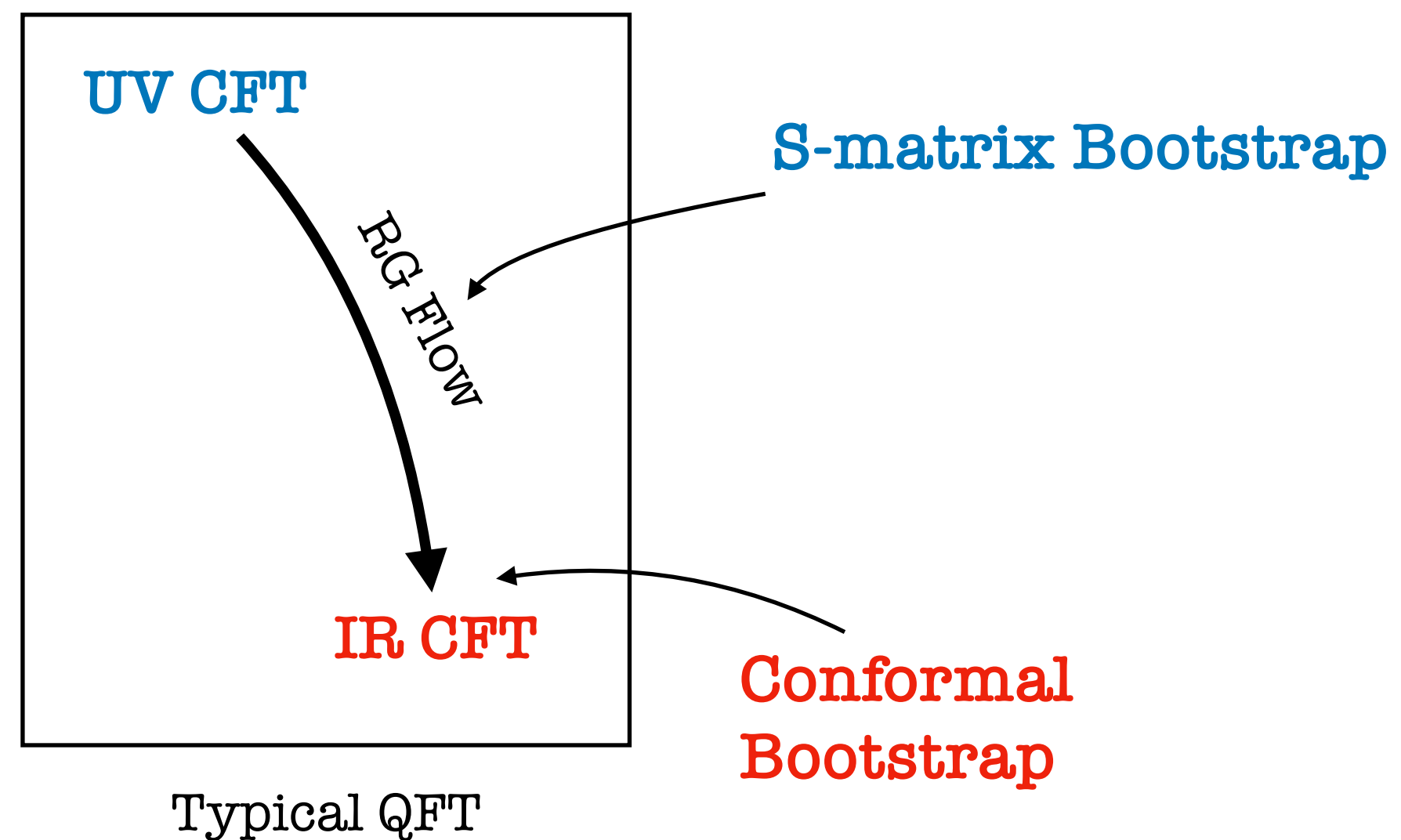
**June 6, 2024**





# Bootstrap: What is (im)possible in the Space of QFTs and Strings

Use causality, crossing, and unitarity to constrain the space of physical observables



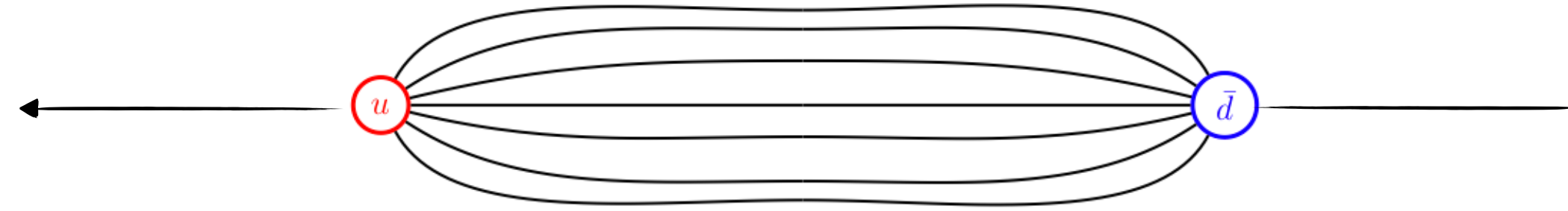
The S-matrix measures the probability of a scattering process

$$\mathcal{S}_{in \rightarrow out} \equiv \langle in | out \rangle$$



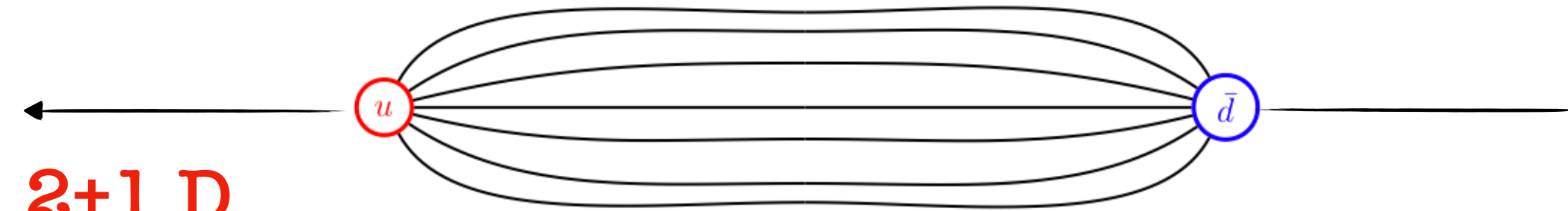
# Bounds on “real world” confining strings

Distance between quarks  $R/\ell_s \rightarrow \infty$



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## Flux Tube ground state in 2+1 D

$$E_0(R) = \frac{R}{\ell_s^2} - \frac{\pi}{6R} - \frac{\pi^2 \ell_s^2}{72R^3} - \frac{\pi^3 \ell_s^4}{432R^5} + \frac{\Delta_3 \ell_s^6}{R^7} + \mathcal{O}\left(\frac{\ell_s^8}{R^9}\right)$$

$$\Delta_3 \leq \frac{\pi^6}{5400} - \frac{5\pi^4}{10368}$$

String tension  
 $\sigma = \ell_s^{-2}$

Lüscher, Weisz,  
Drummond '04

Lüscher term '80,  
Polchinski, Strominger '91

Aharony, Komargodsky,  
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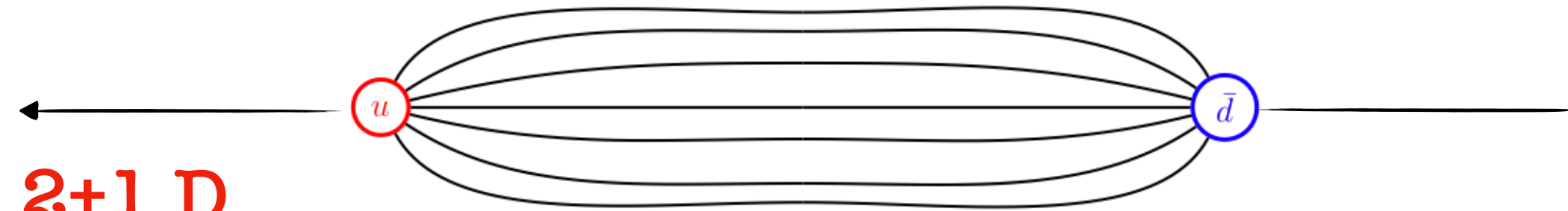
Theory-dependent, but bounded

Elias-Miró, ALG, Hebbar, Penedones, and Vieira, '19



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$$\Delta_3 = -\frac{32\gamma_3 \pi^6}{225} - \frac{5\pi^4}{10368}$$

Lattice simulations agree with the bound!

[4] Baffigo, Caselle '23

[5] Caristo, Caselle, Magnoli, Nada, Panero '21

gauge group	$\mathbb{Z}_2$	$SU(2)$	$SU(6)$	$SU(\infty)$
$\gamma_3 \times 768$	-0.4 [4]	-0.3 [5]	0.2 [1, 6]	0.3

# Spectrum from Bootstrap

Let's now consider a toy model for  $\pi\pi$  scattering

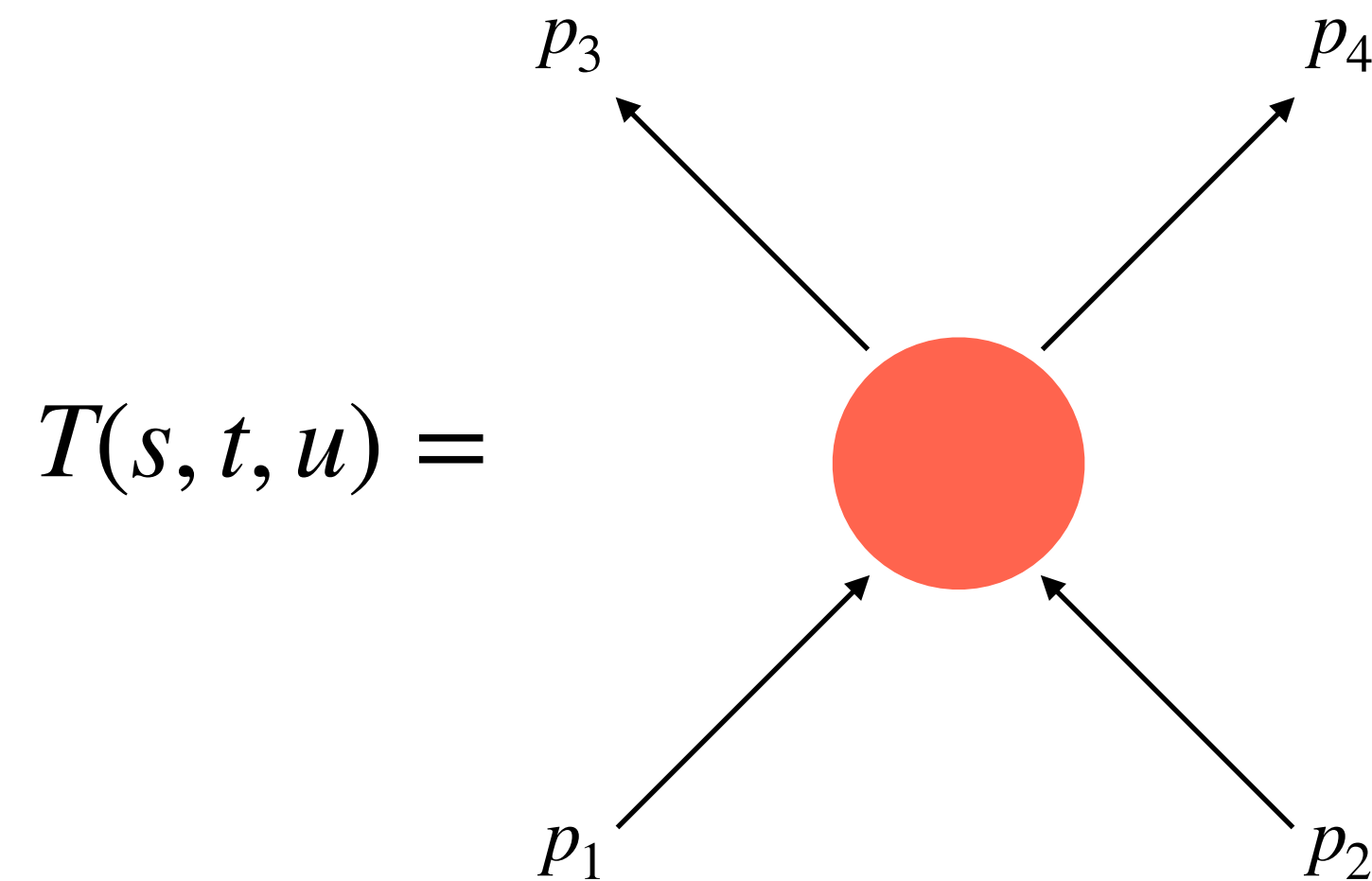


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Scalar field  $\phi$  in 3+1 D with  $m = 0$ , Goldstone of spontaneously broken U(1)

$$\mathcal{L} \supset (\partial\phi)^4 + (\partial\phi)^6 + \partial^6\phi^4 + g_4\partial^8\phi^4 + \dots$$



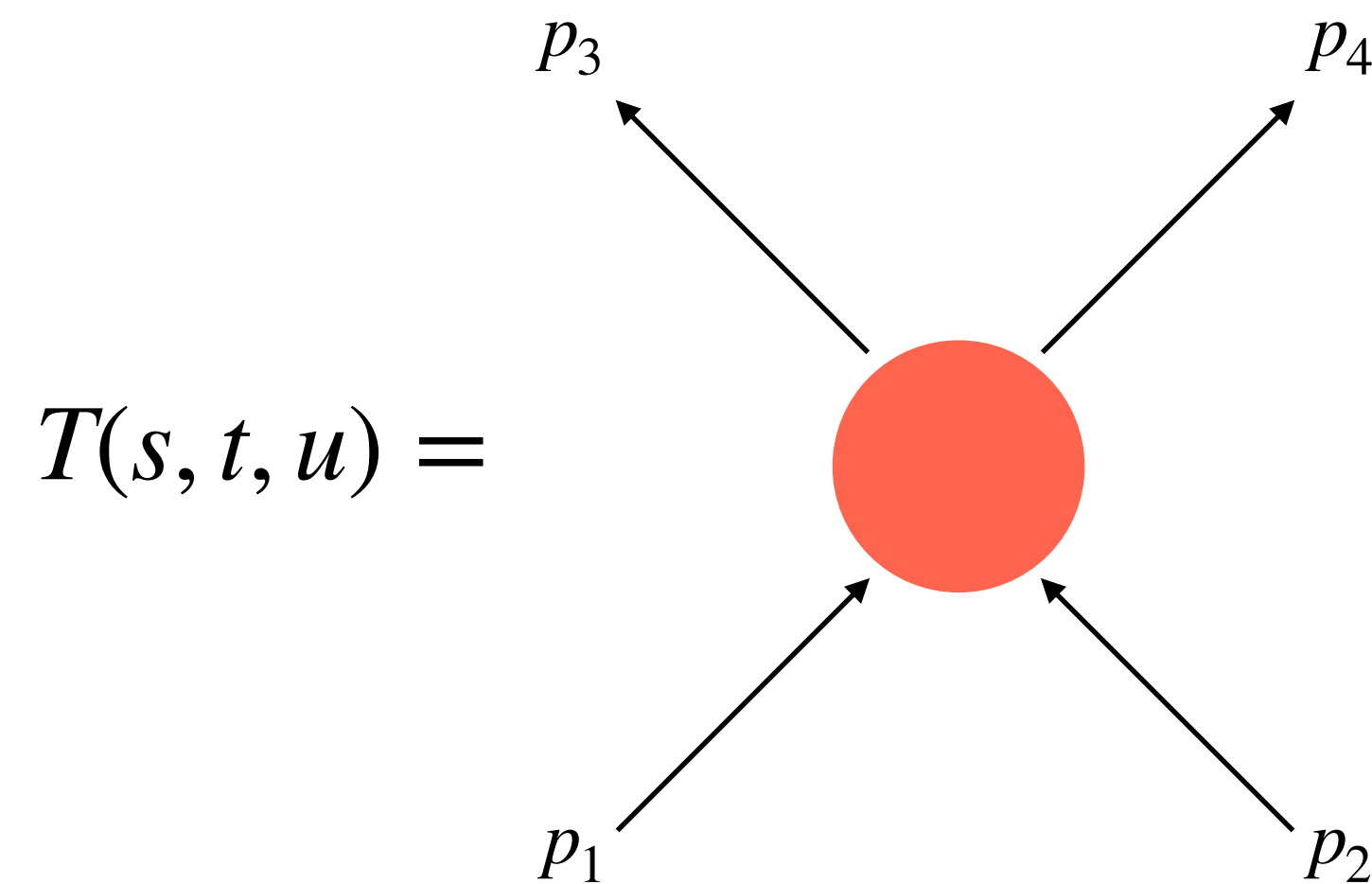
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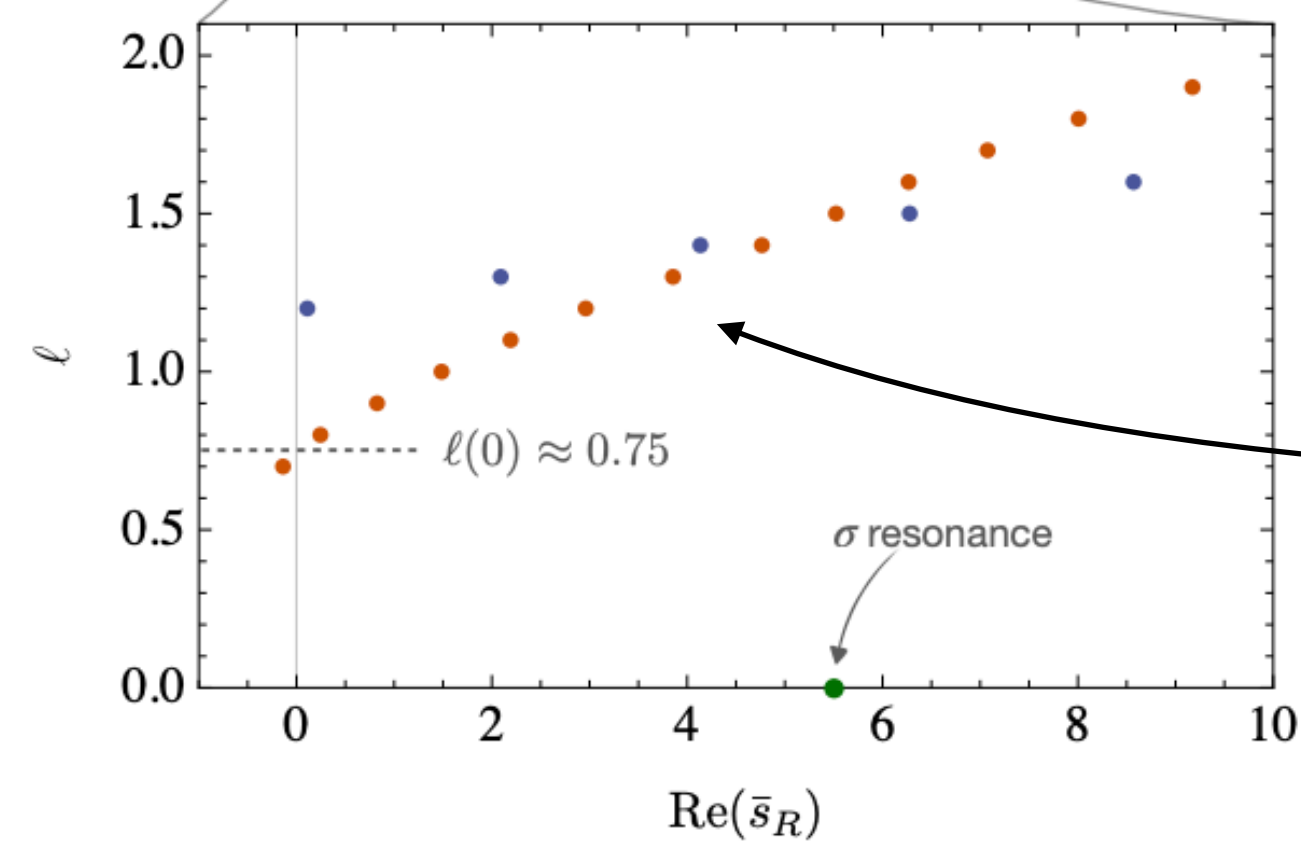
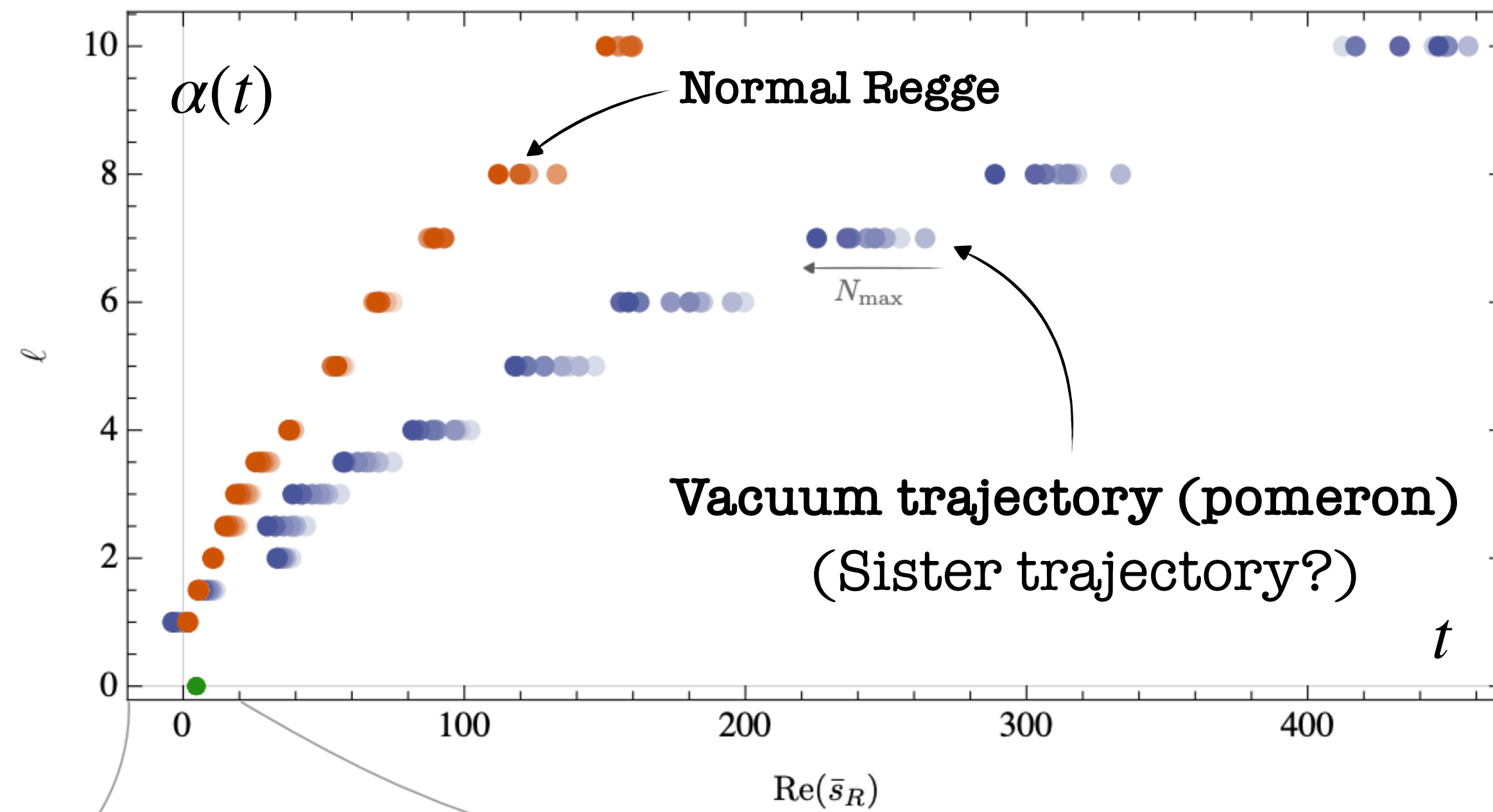
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Consider the amplitude that minimizes the  $\partial^8\phi^4$  coupling  $g_4$



# Spectrum from Bootstrap

Let's have a look at the amplitude that minimizes the  $g_4$  coupling

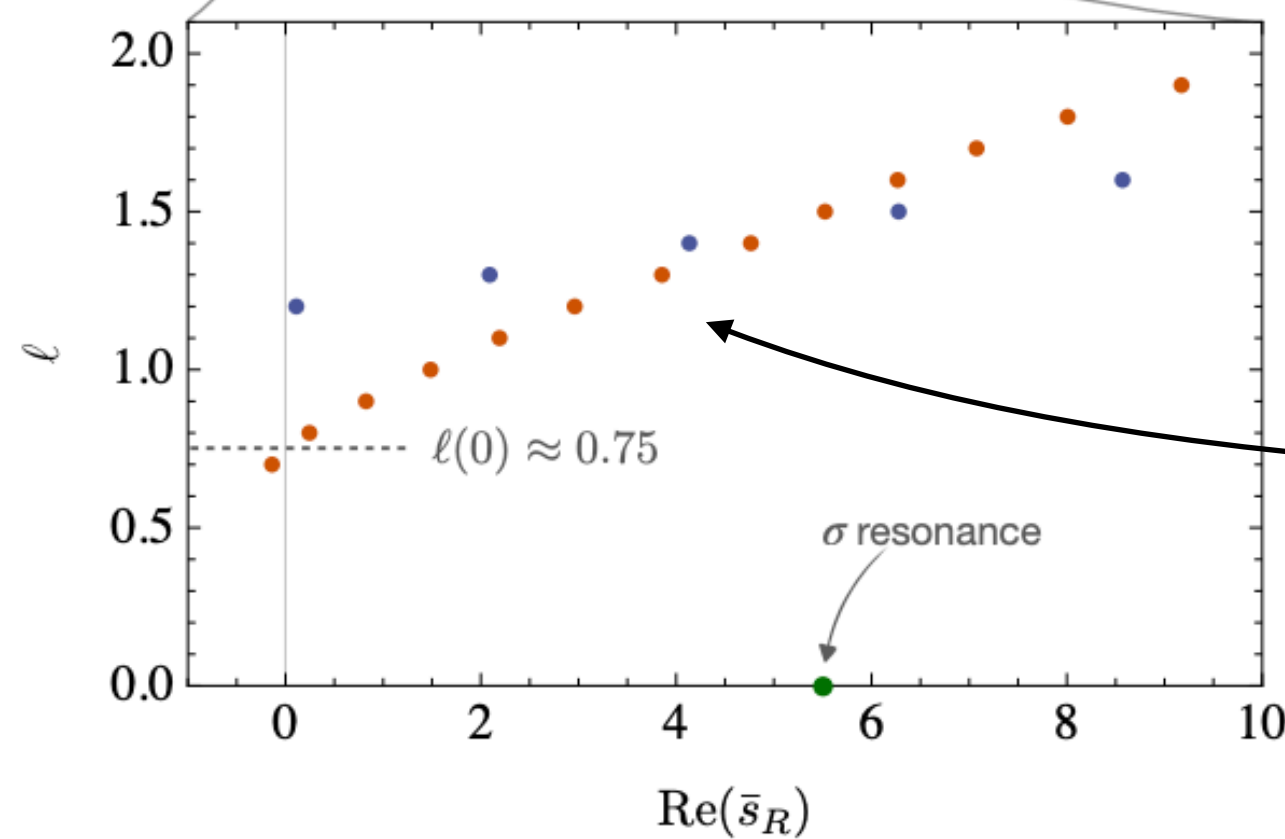
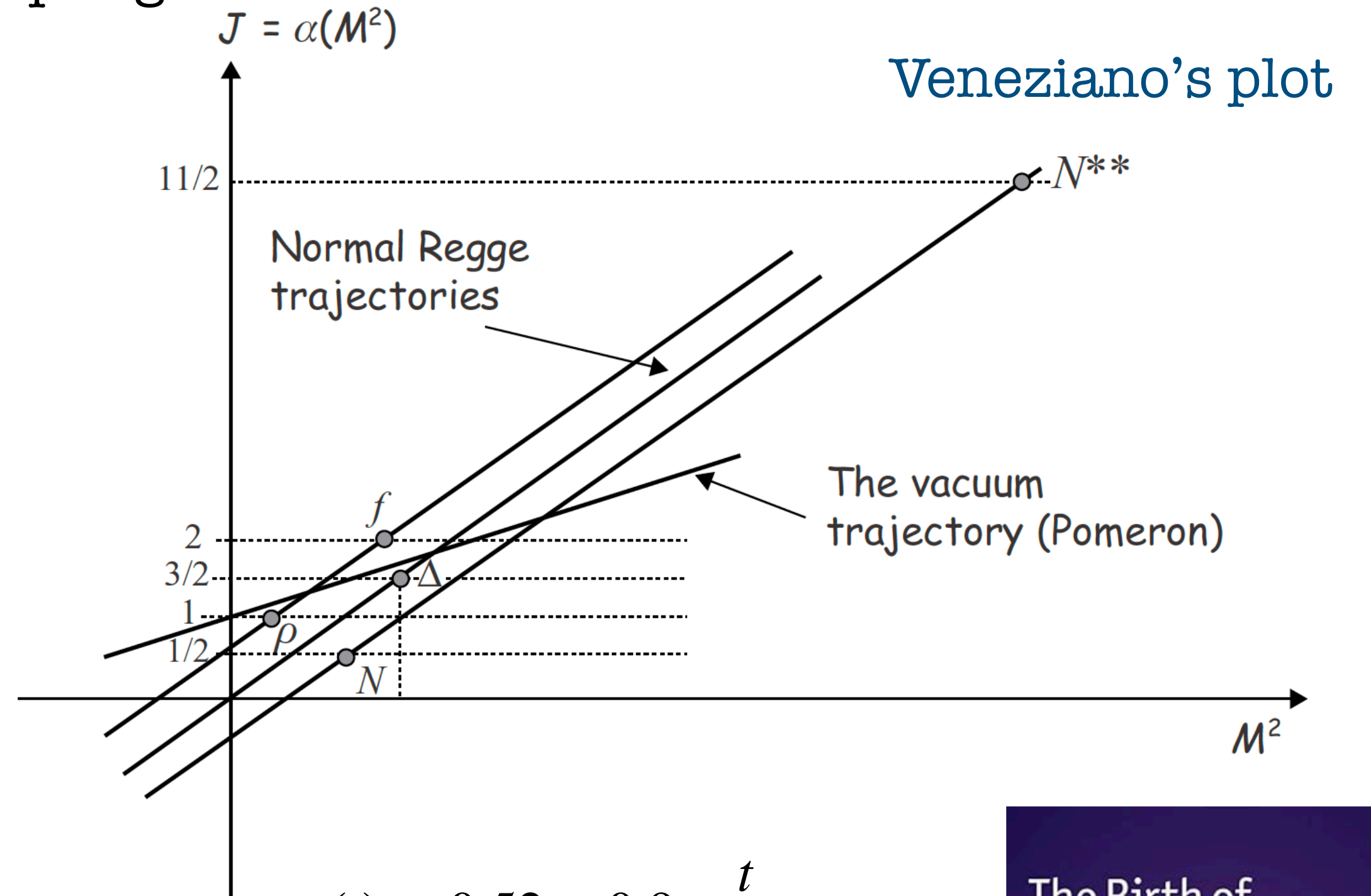
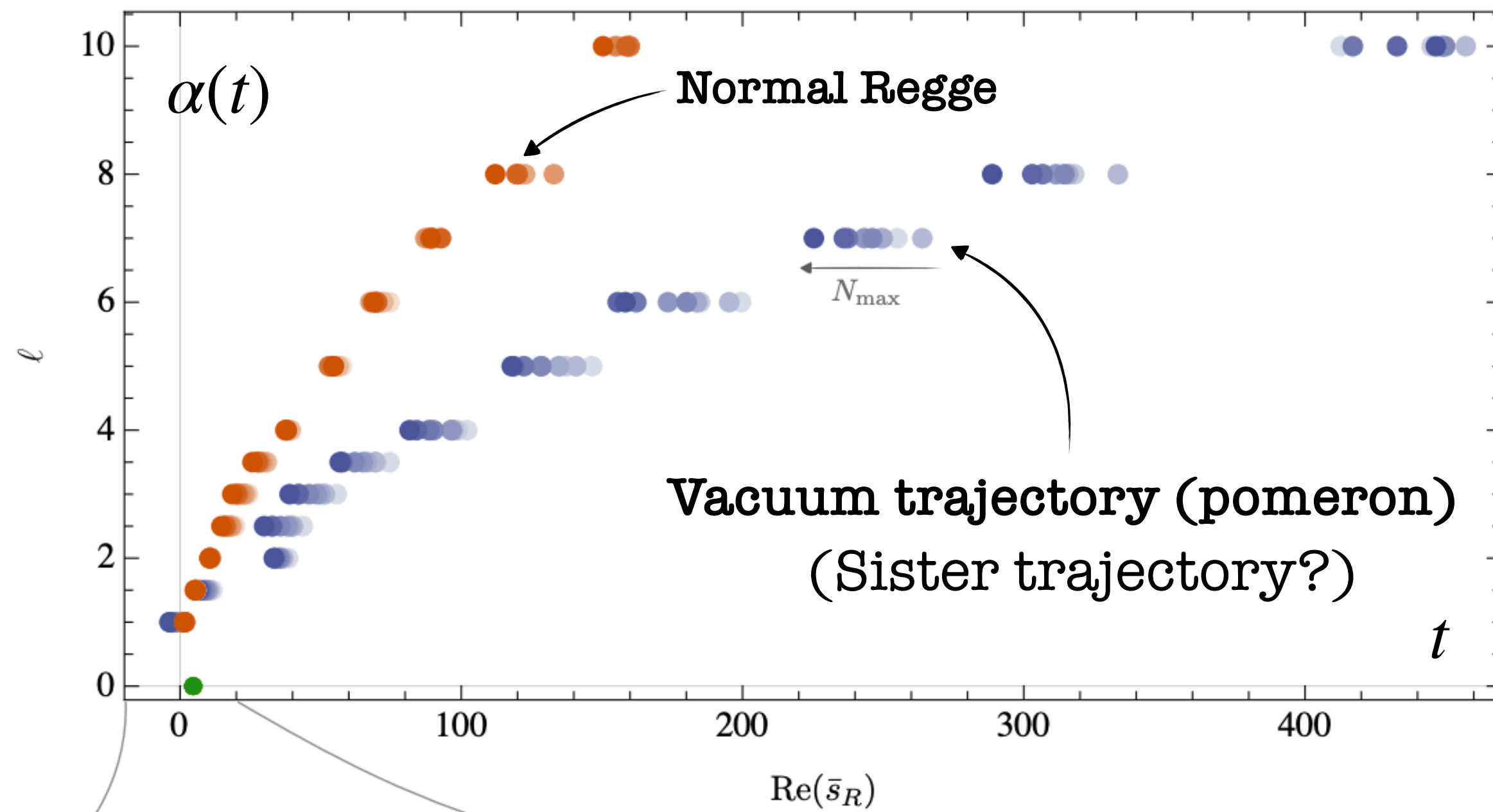


Acanfora, AG, Haring, Karateev [2310.06027](#)

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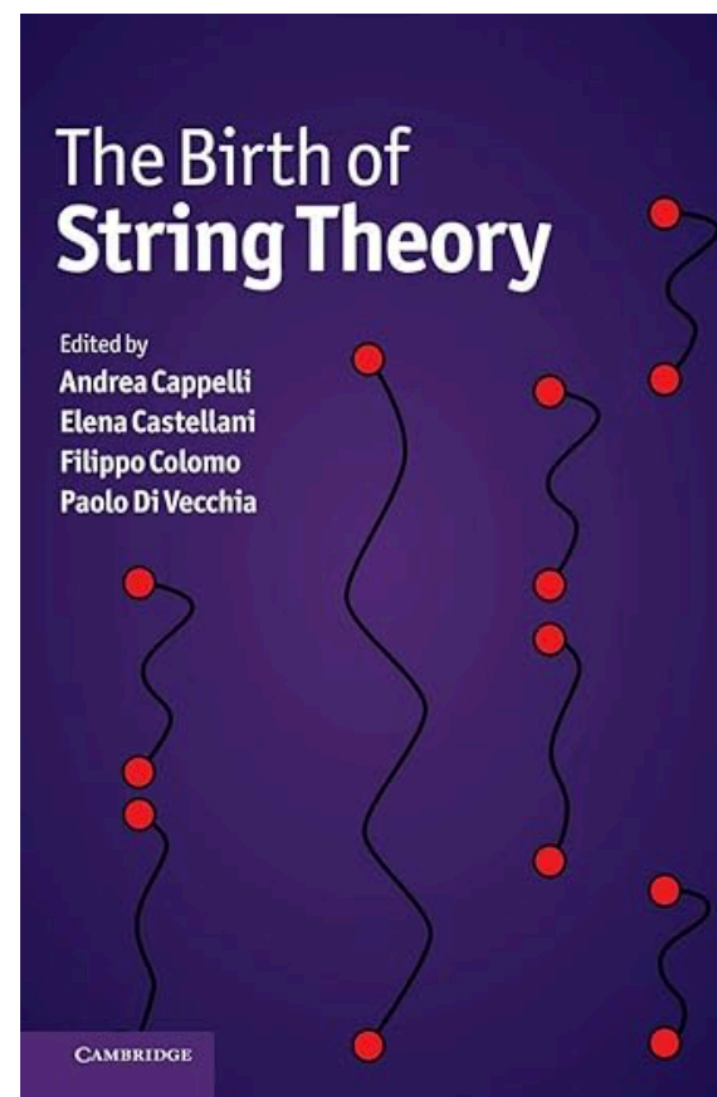


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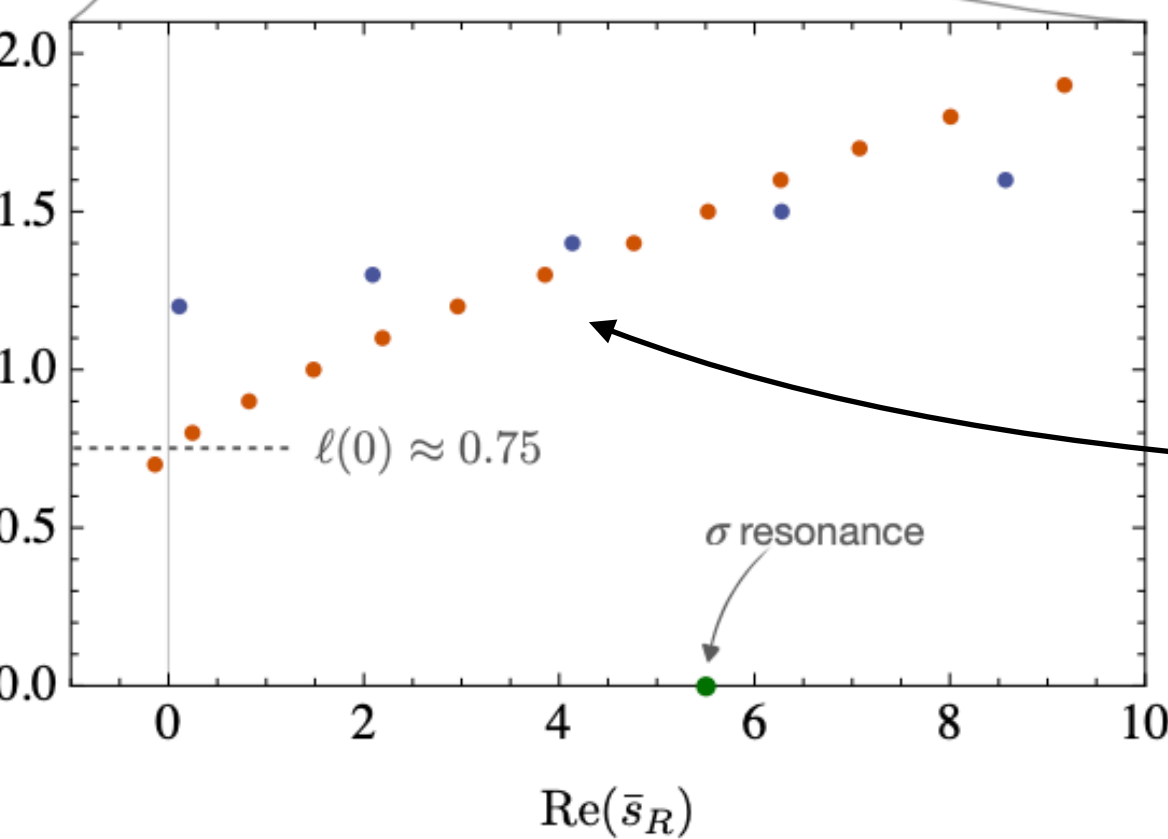
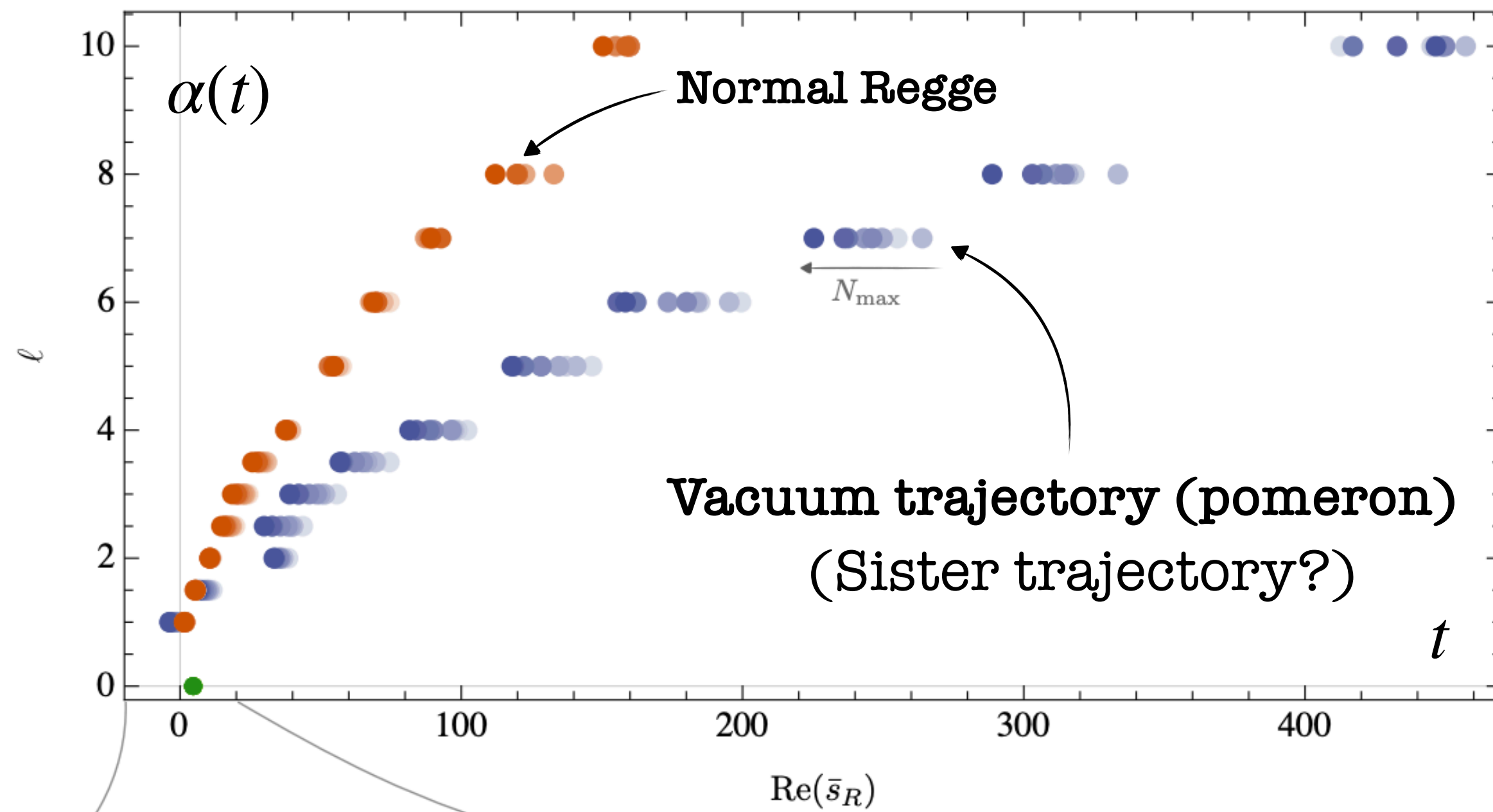
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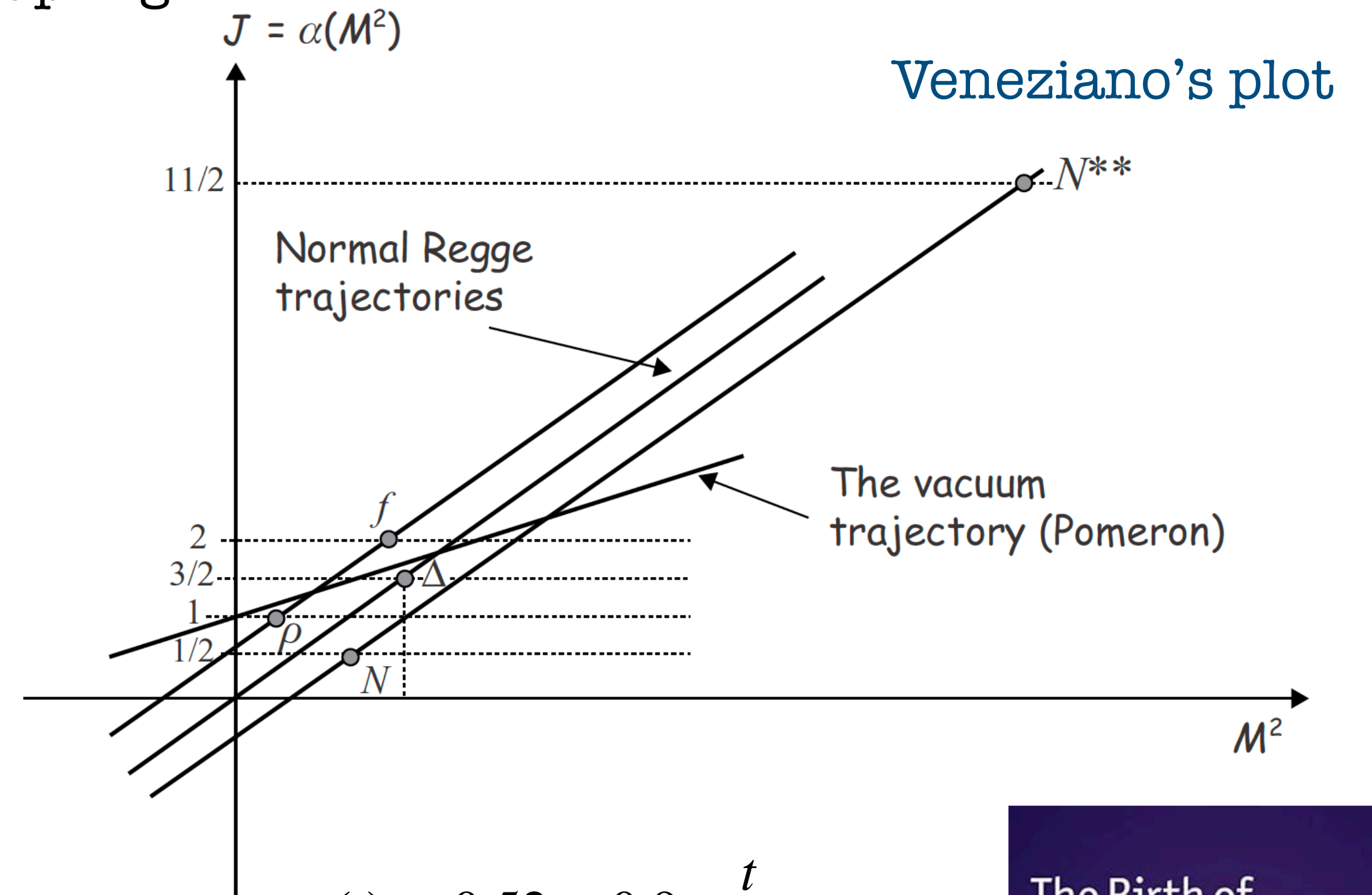
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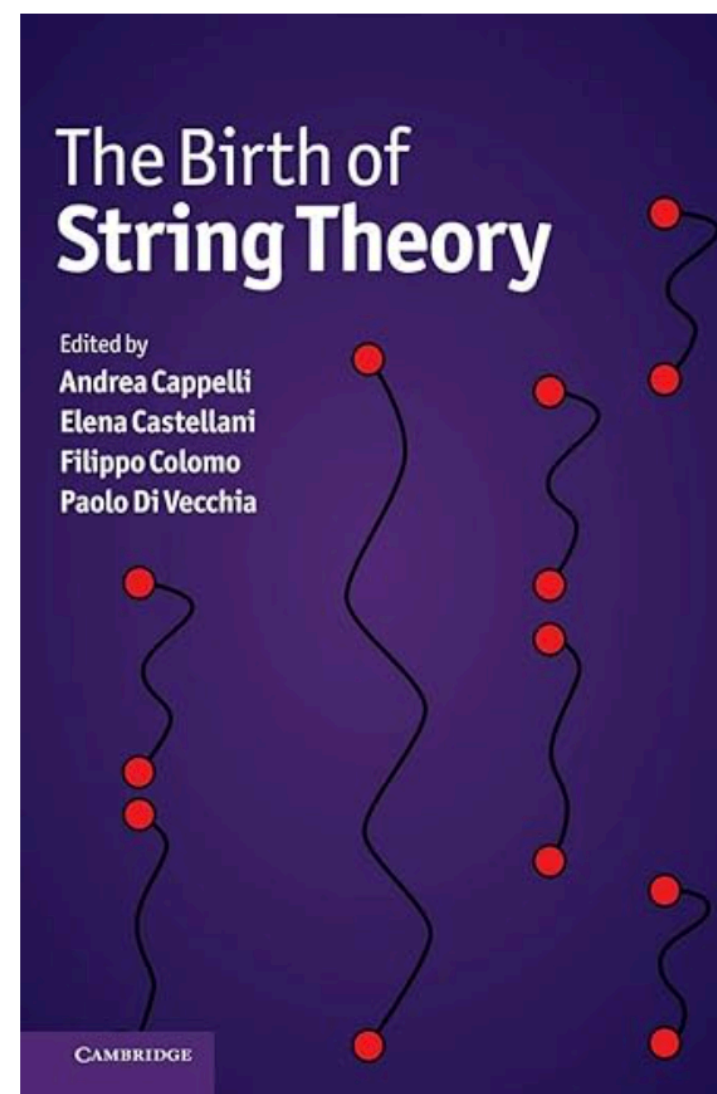
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See Jan's Talk



# Plan of the Talk

## 1) $D \geq 9$ , Quantum Gravity S-matrix Bootstrap

AG, J. Penedones, P. Vieira 2102.02847

AG, H. Murali, J. Penedones, P. Vieira 2212.00151

## 2) Multi-particle scattering on confining Strings

AG, A. Homrich, P. Vieira 2404.10812



# Supergravity amplitudes in $D \geq 9$

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$$A_{QG} = \int \sqrt{-g} (R + 0 \times R^2 + 0 \times R^3 + \alpha_D R^4 + \dots)$$

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Scattering amplitude of particles in the gravity multiplet

$$\mathbb{A}_{2 \rightarrow 2} = \mathbf{R}^4 A(s, t, u)$$

$s^4$  Axi-dilaton

$s^4 + t^4 + u^4$  Dilaton

$\dots$  2 dilaton  $\rightarrow$  2 Graviton

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$$A(s, t, u) = 8\pi G_D \left( \underbrace{\frac{1}{stu}}_{\text{Sugra}} + \alpha_D \ell_P^6 + \mathcal{O}(s \log s) \right)$$

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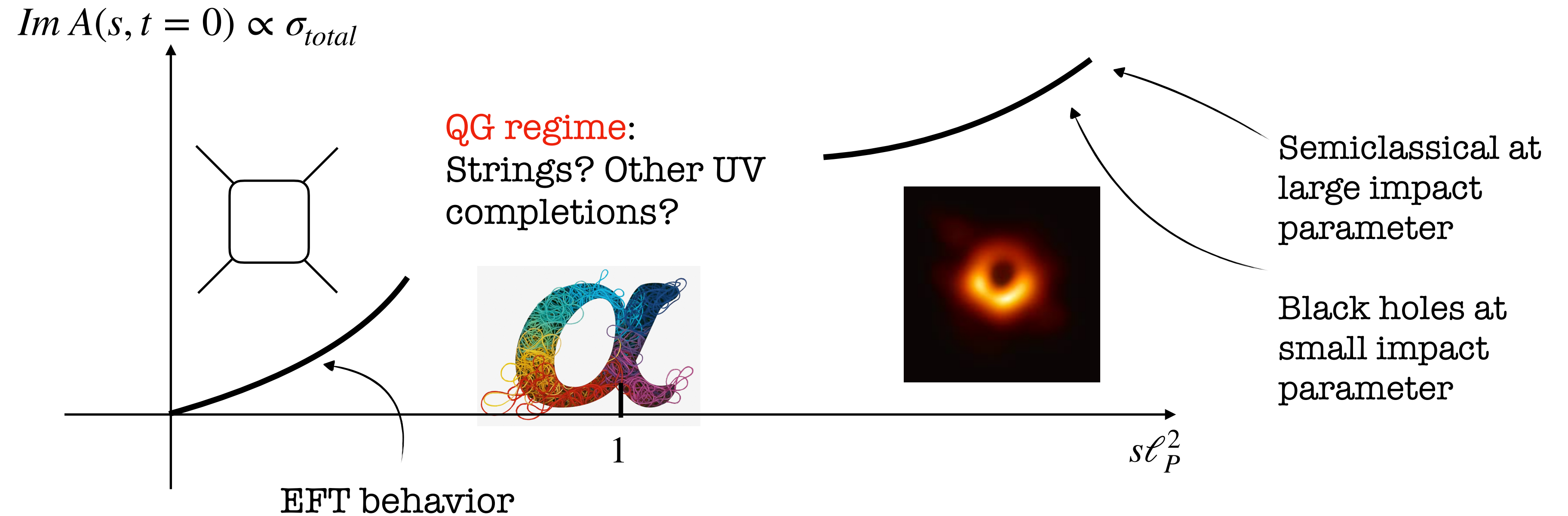
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We will only assume Maximal Susy, causality, crossing, and unitarity!



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**Crossing**

**Analyticity**

# Non-perturbative S-matrix Bootstrap

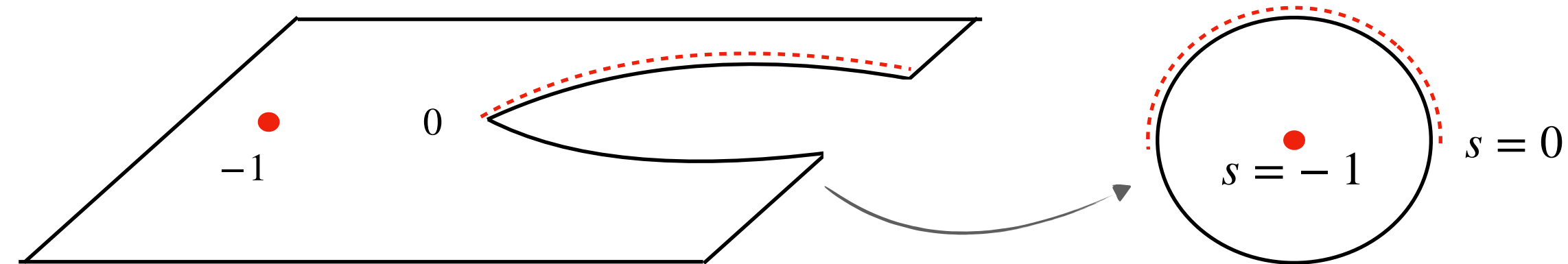
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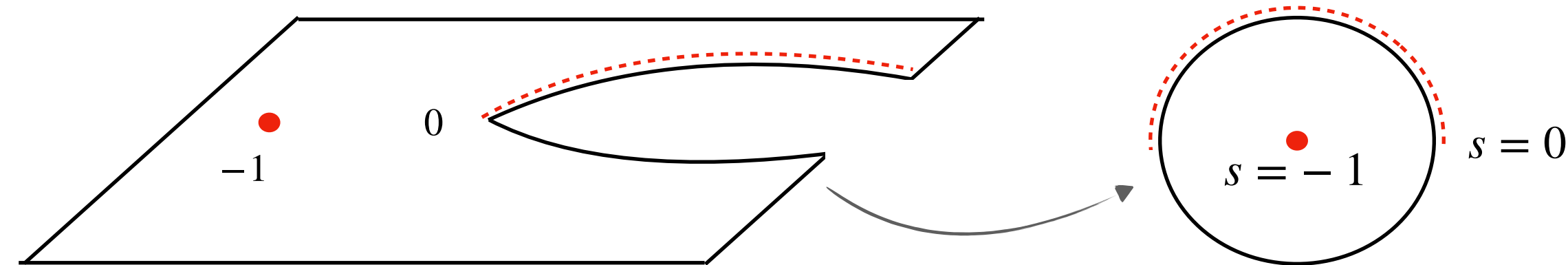
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What is the minimum of  $\alpha_D = 2^6 \sum_{a+b+c \leq N} \nu_{(a,b,c)}$ ?

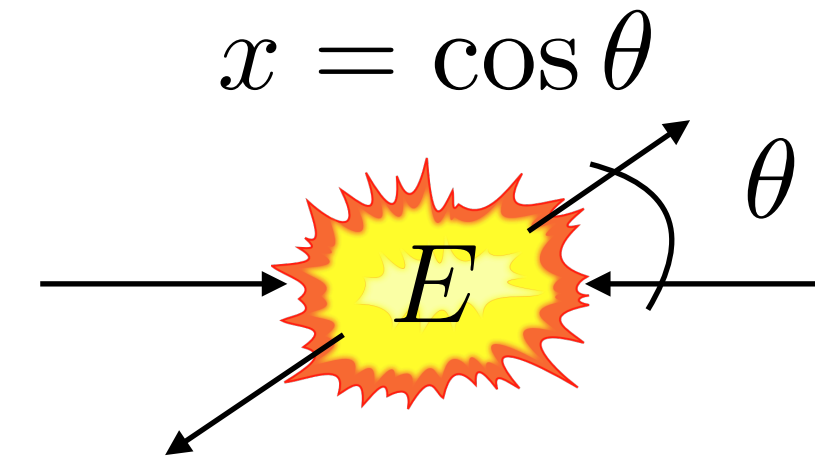
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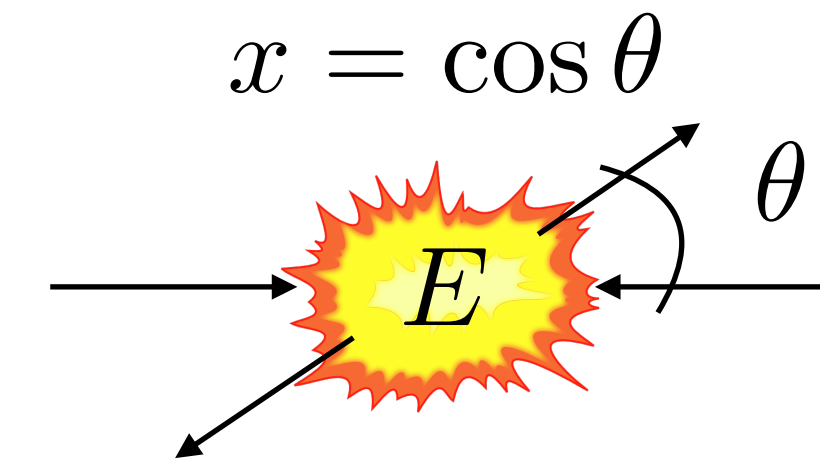
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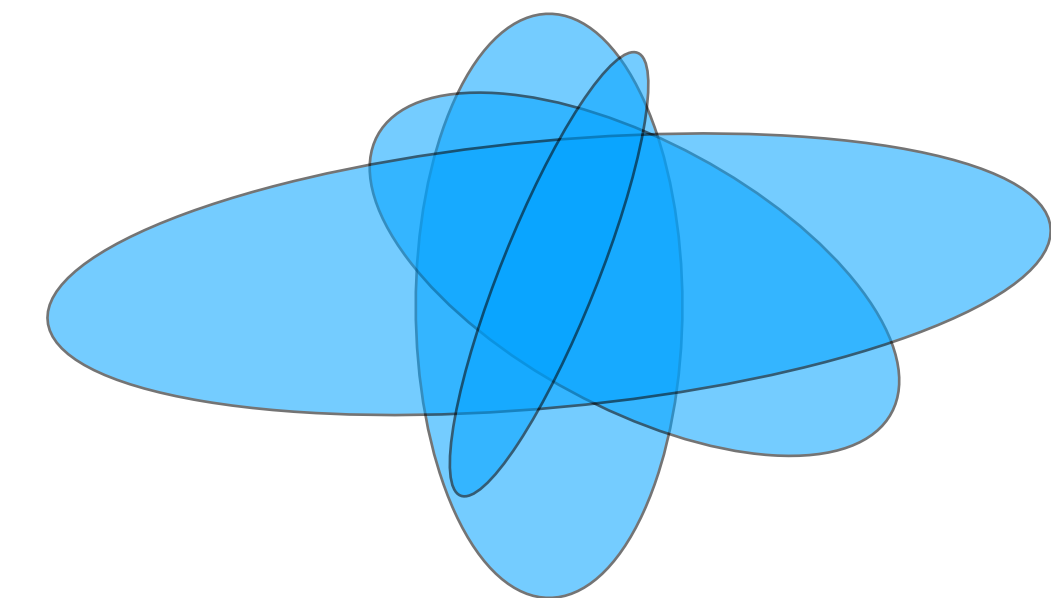
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$$|S_\ell(s)|^2 \leq 1, \quad s > 0, \quad \ell = 0, 2, \dots, \infty$$



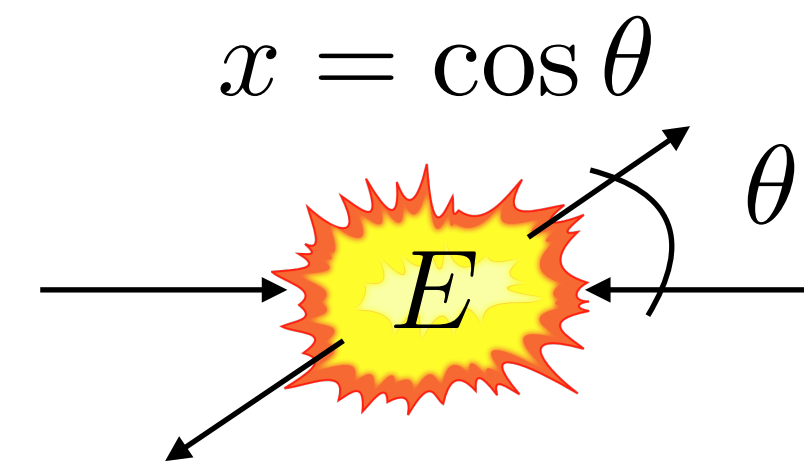
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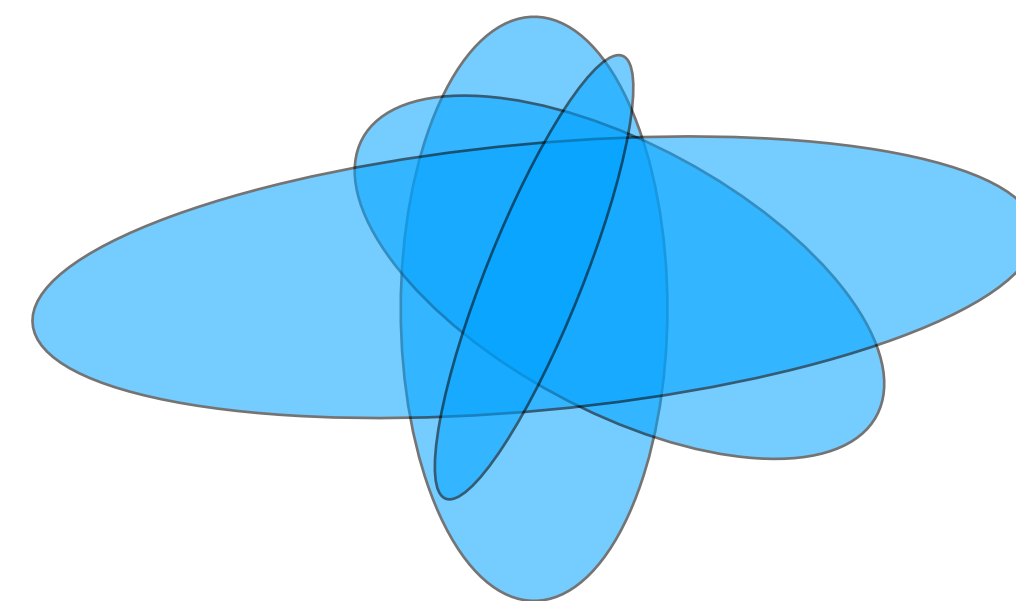
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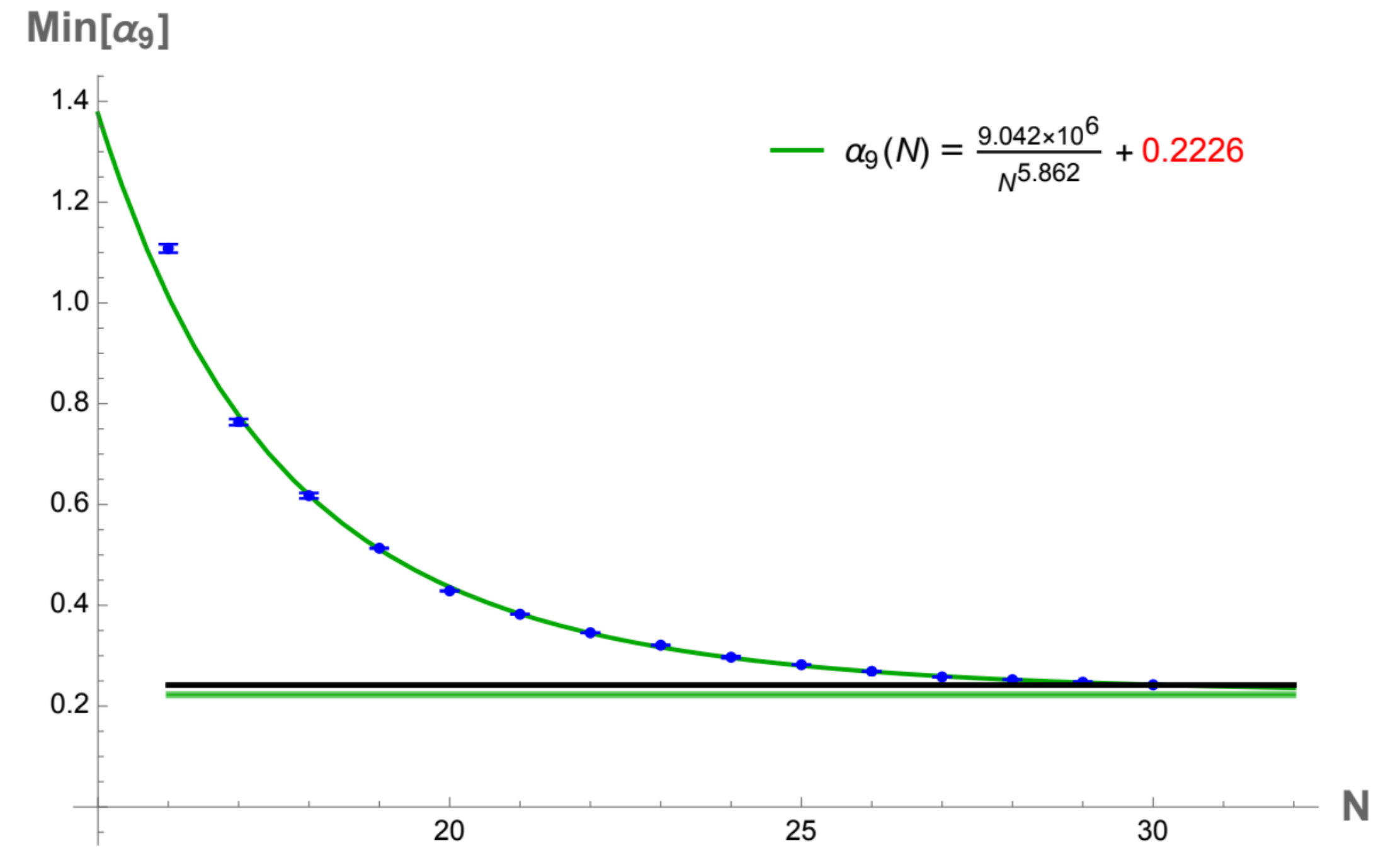
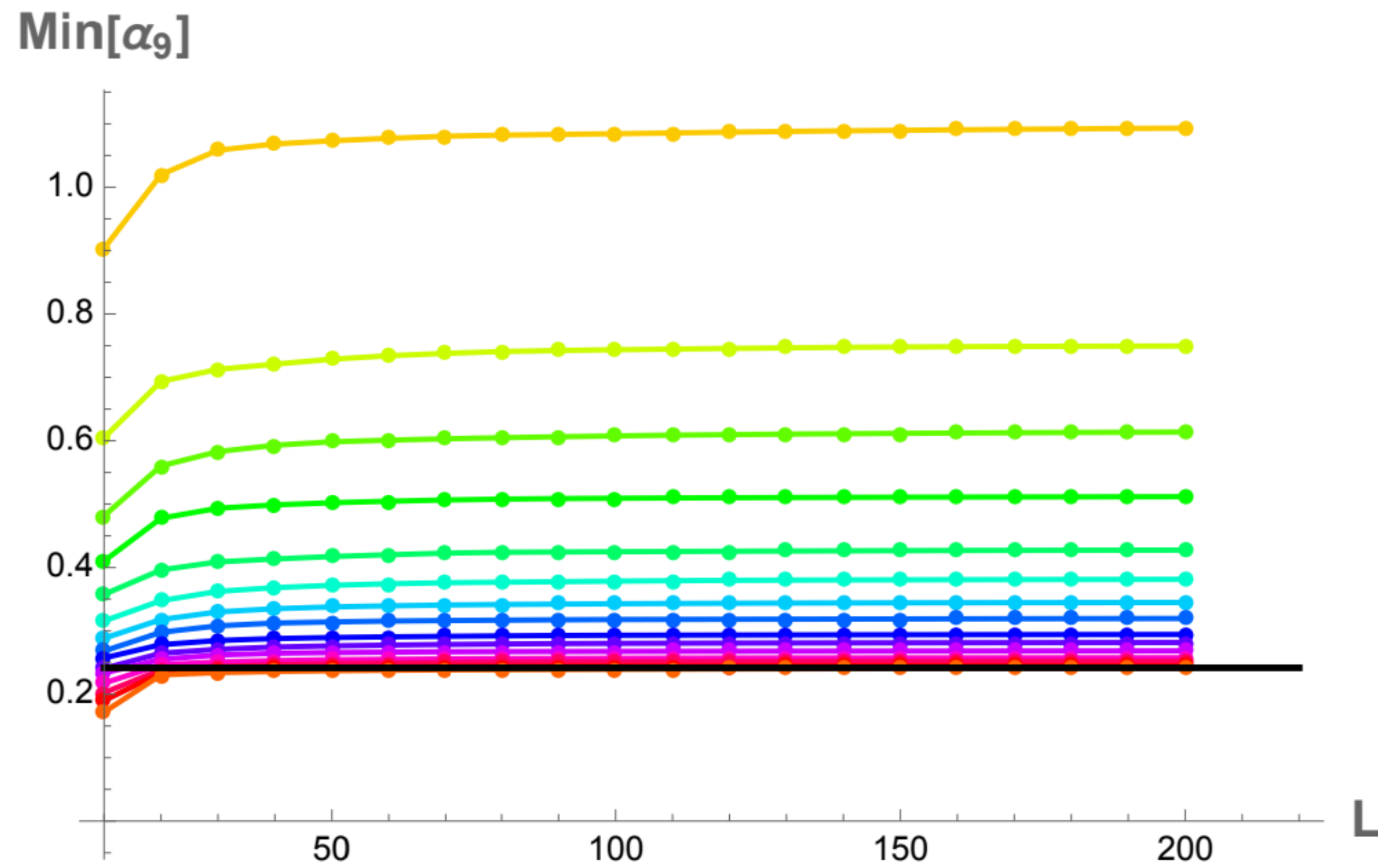
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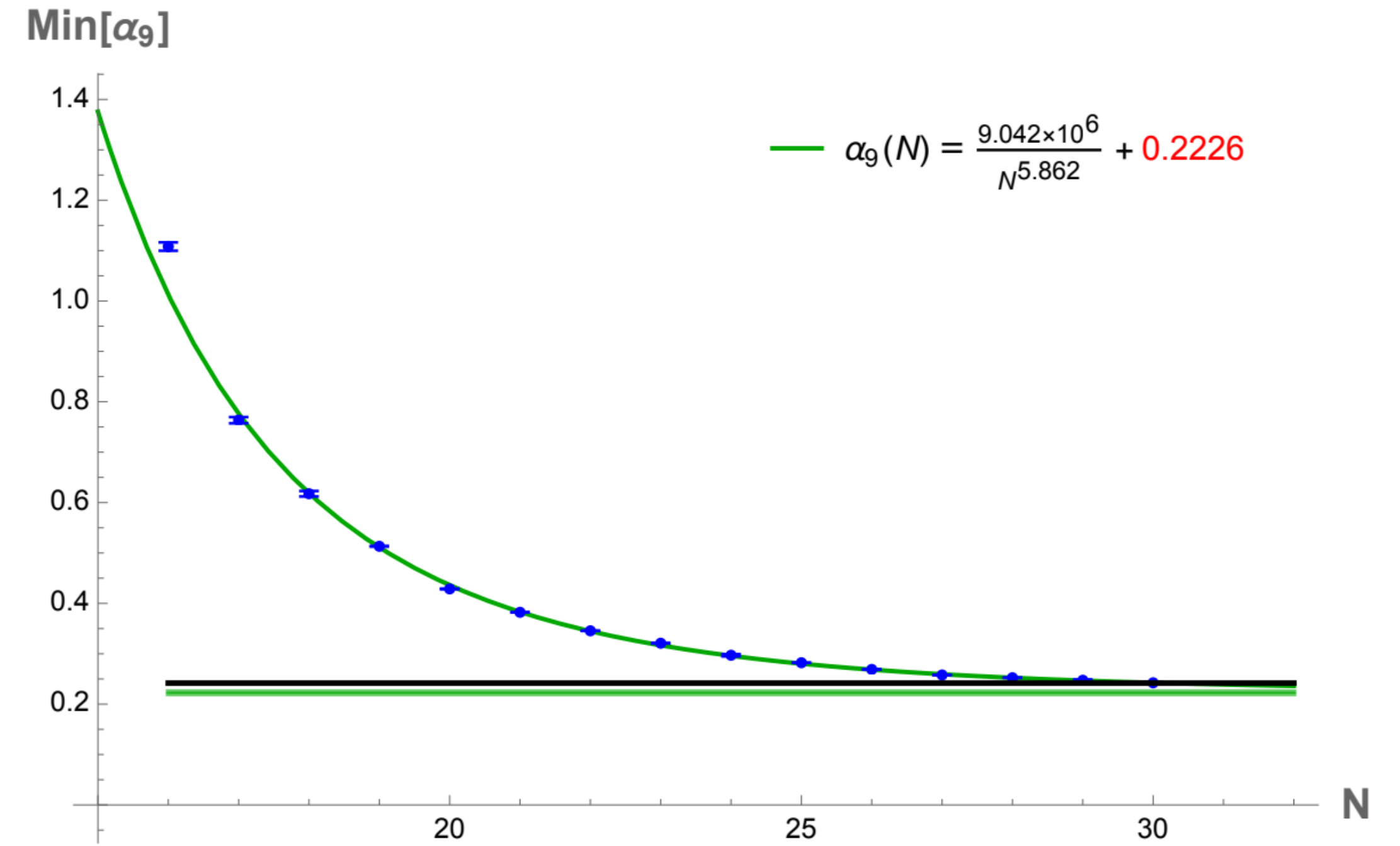
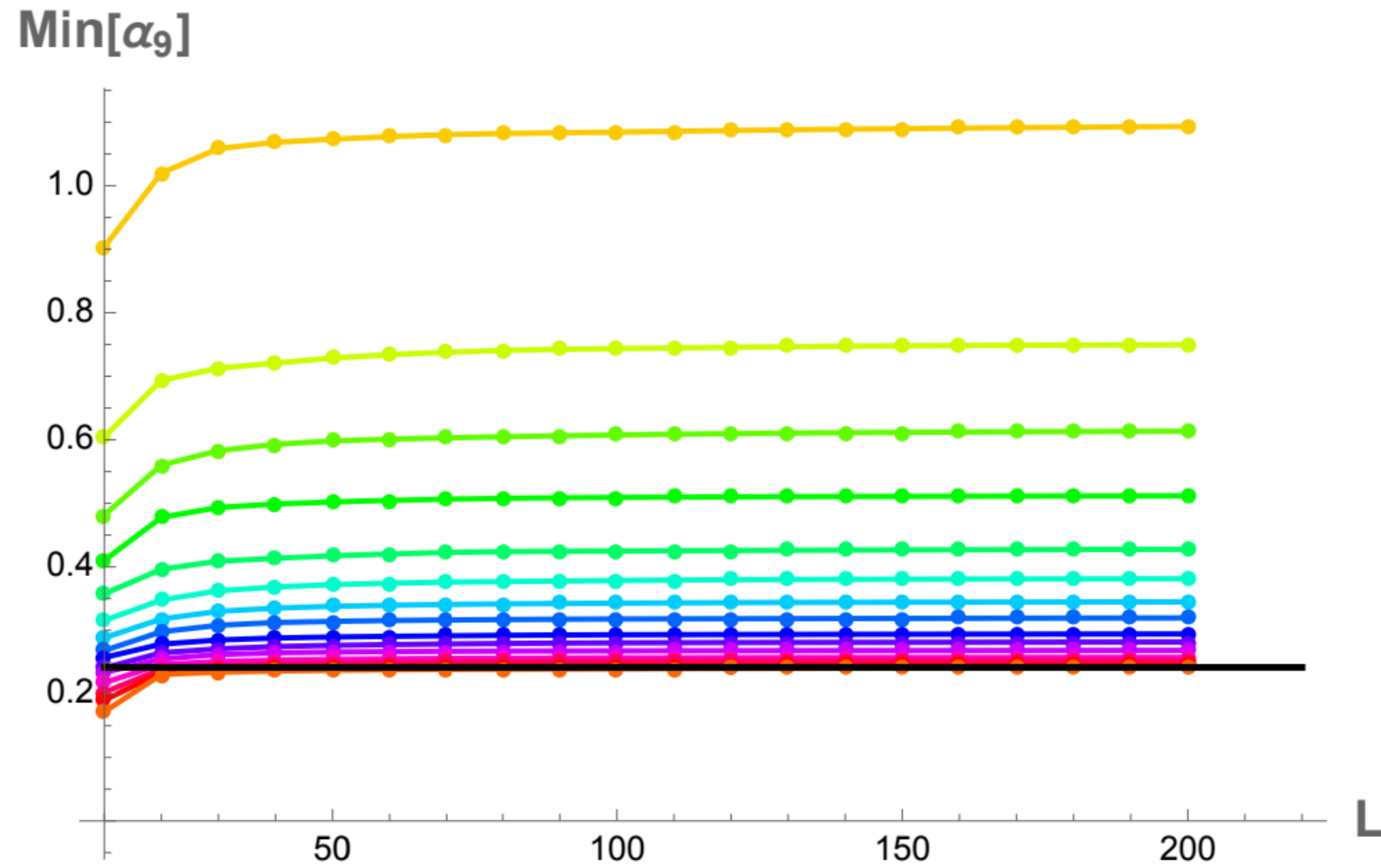
$$\ell < L_{max}$$

# QG Bootstrap: the bounds



Existence of Universal lower bound depending on low energy SUGRA, analyticity, crossing, and unitarity

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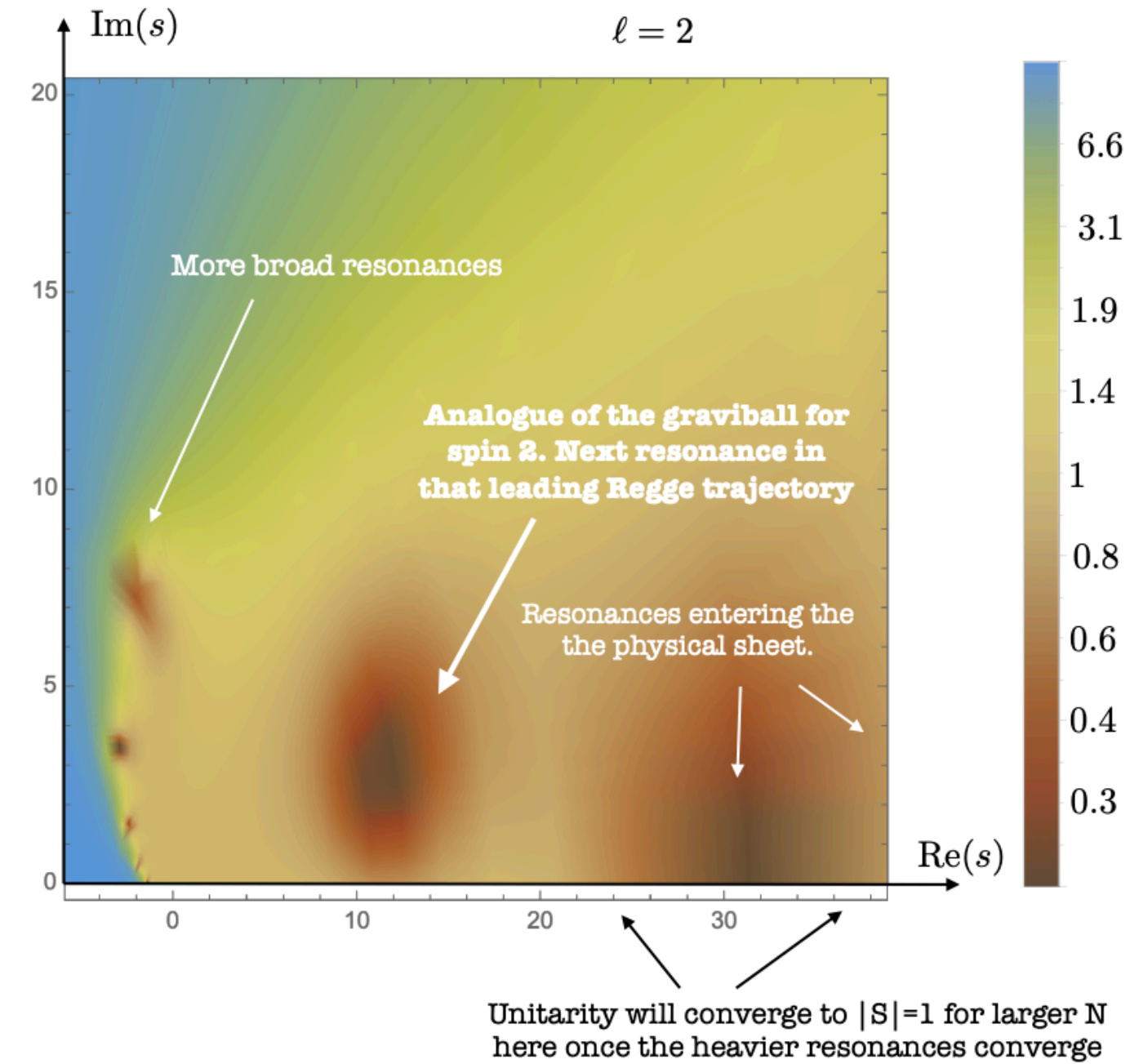
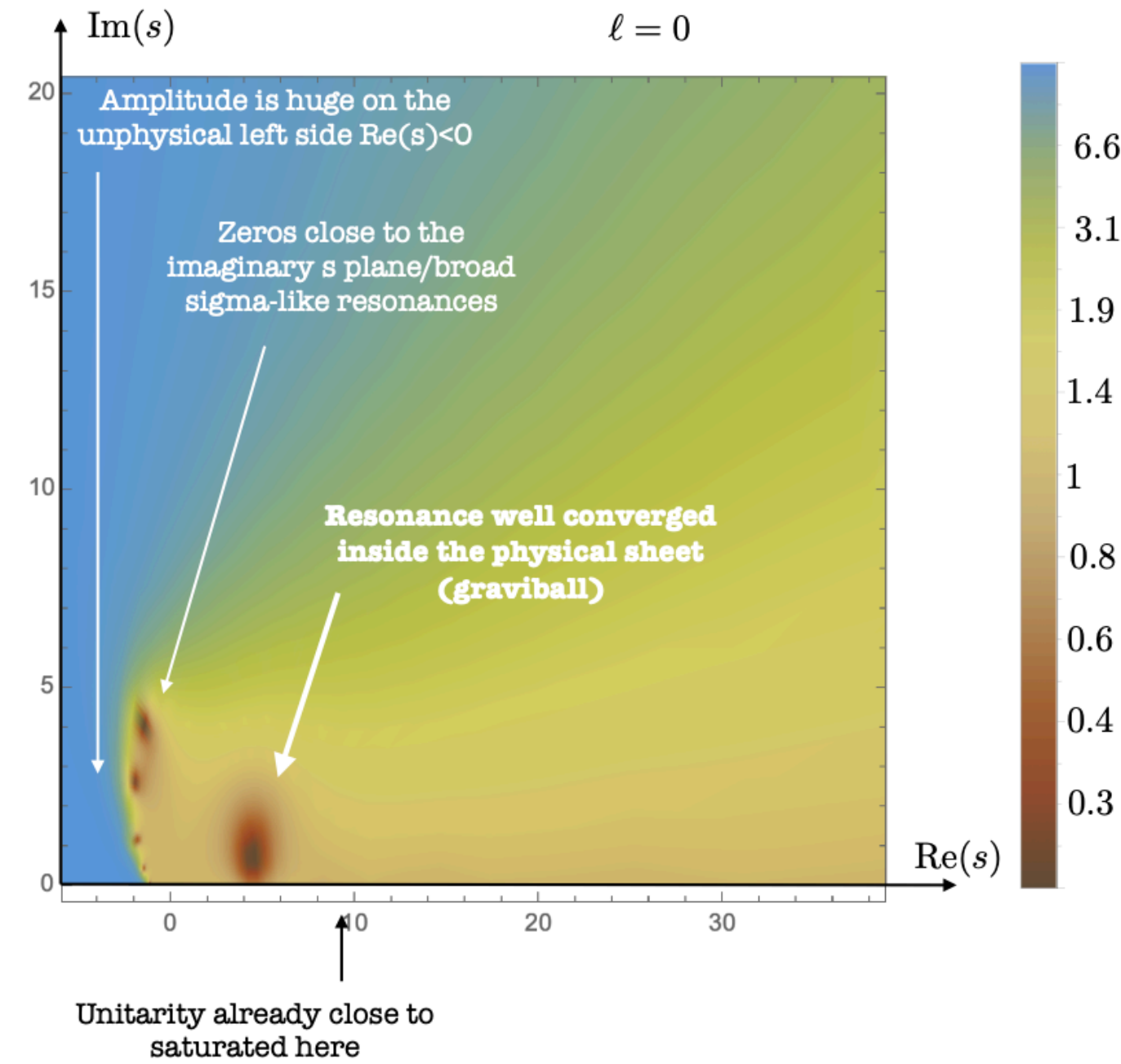
Dimension	String/M theory	Bootstrap $\alpha_D^{\min}$
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11	0.1304	$0.101 \pm 0.005$

a) D=9, 10 String Theory almost saturates the allowed region for  $\alpha$

b)  $\alpha$  for M-theory is close to the boundary of the allowed region

# QG Bootstrap: the non-perturbative amplitude

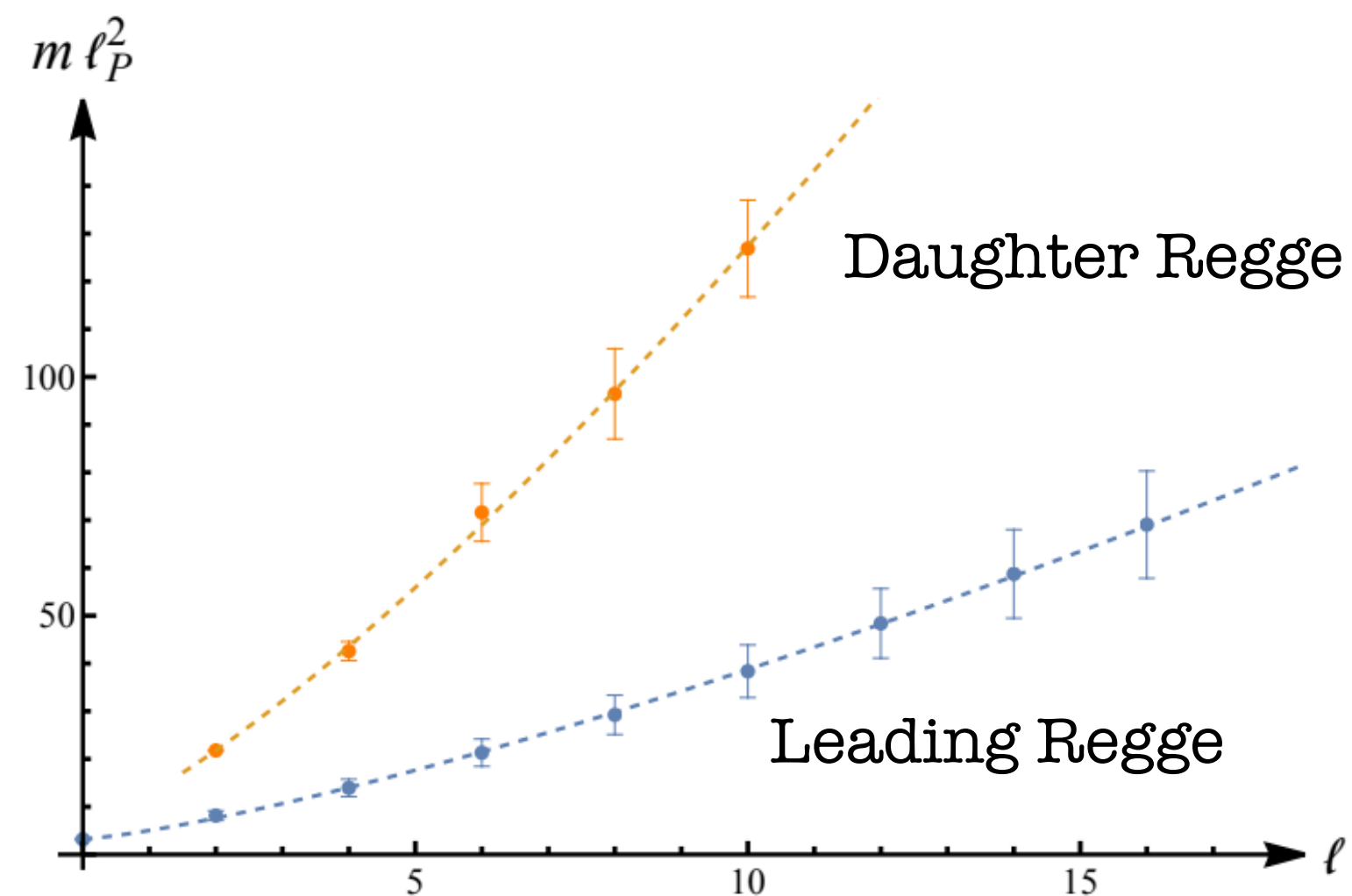
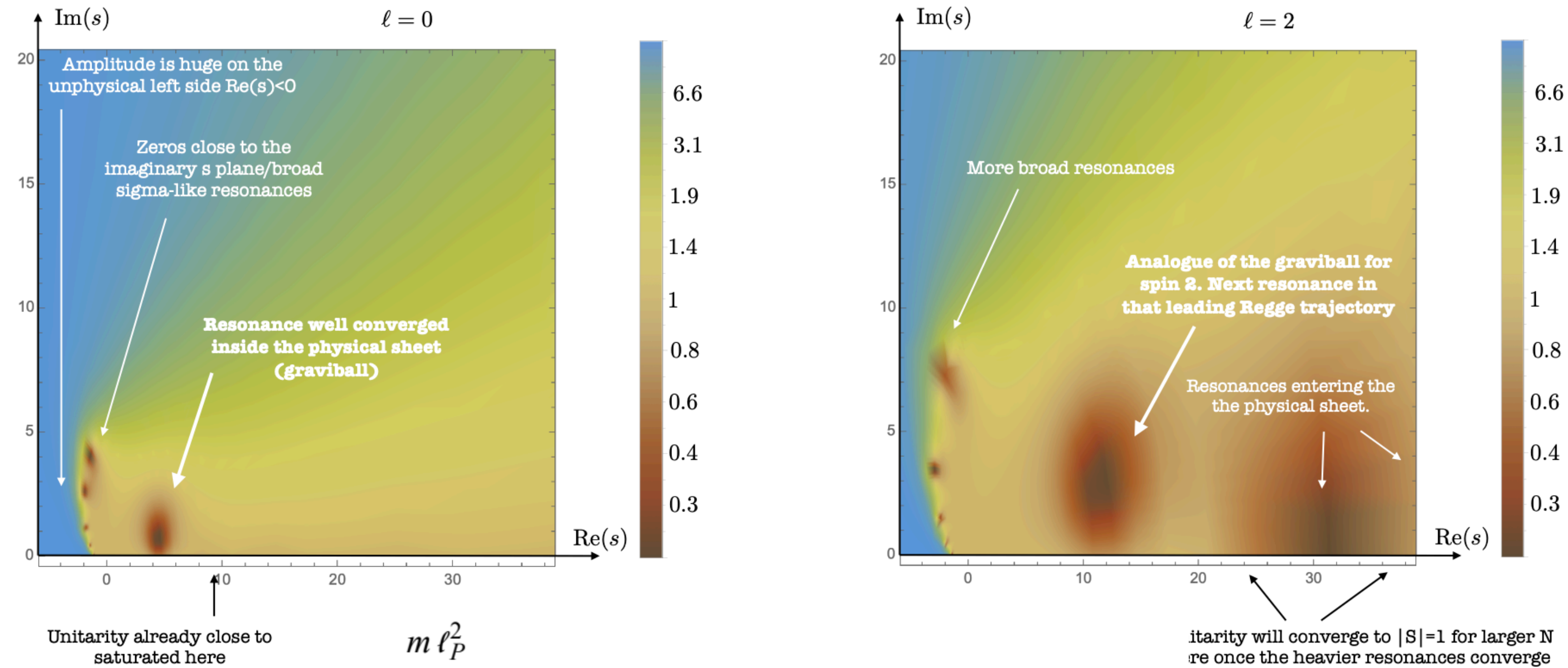
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# QG Bootstrap: the non-perturbative amplitude

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Resonance spectrum organizes in (curved) Regge trajectories

Stringy Spectrum although there is no assumption about the UV completion

# Is String Theory the only consistent theory of QG?

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More exotic UV completions?



The Desert



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Can we close the gap with Black-Holes Production?

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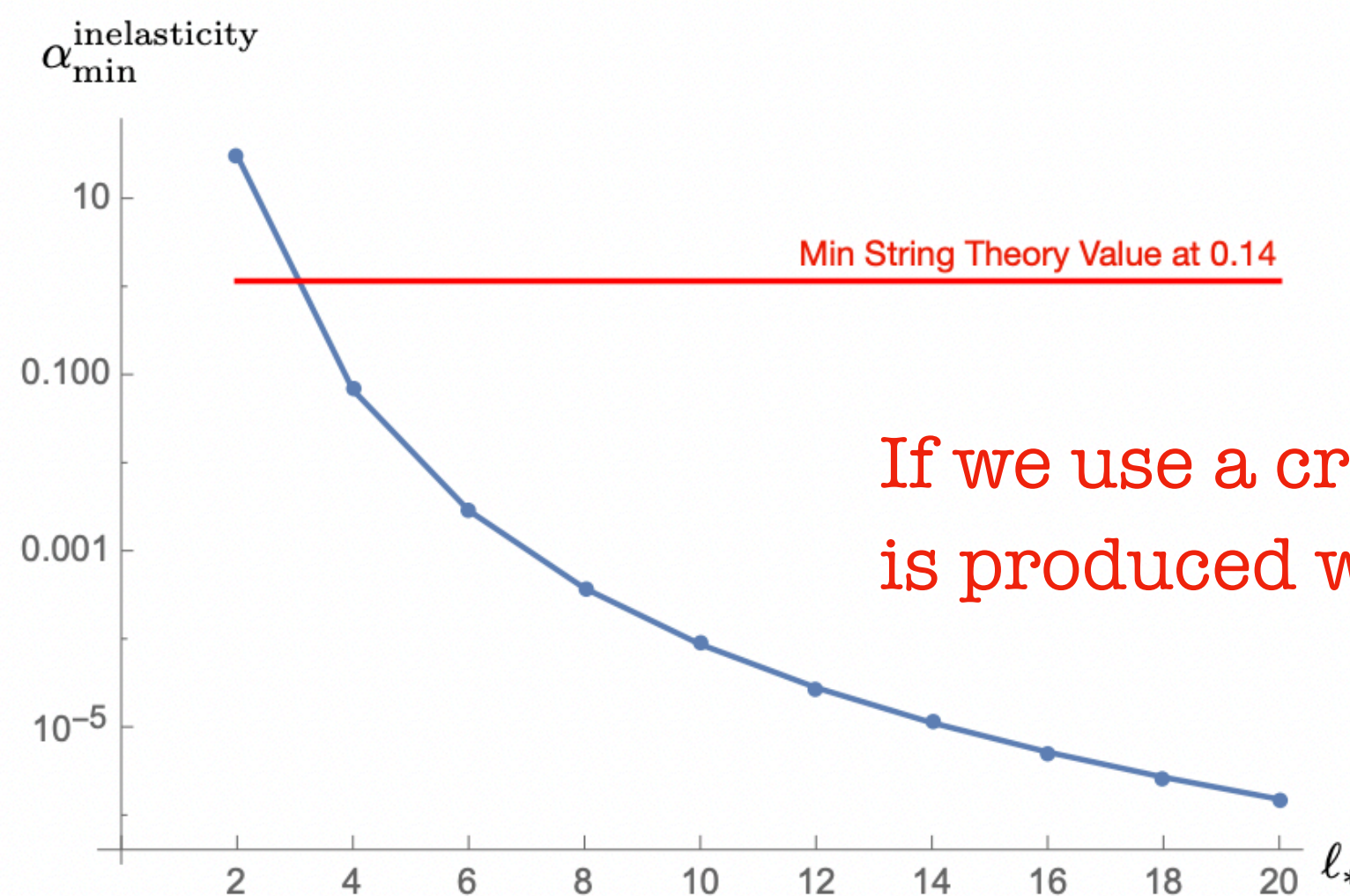


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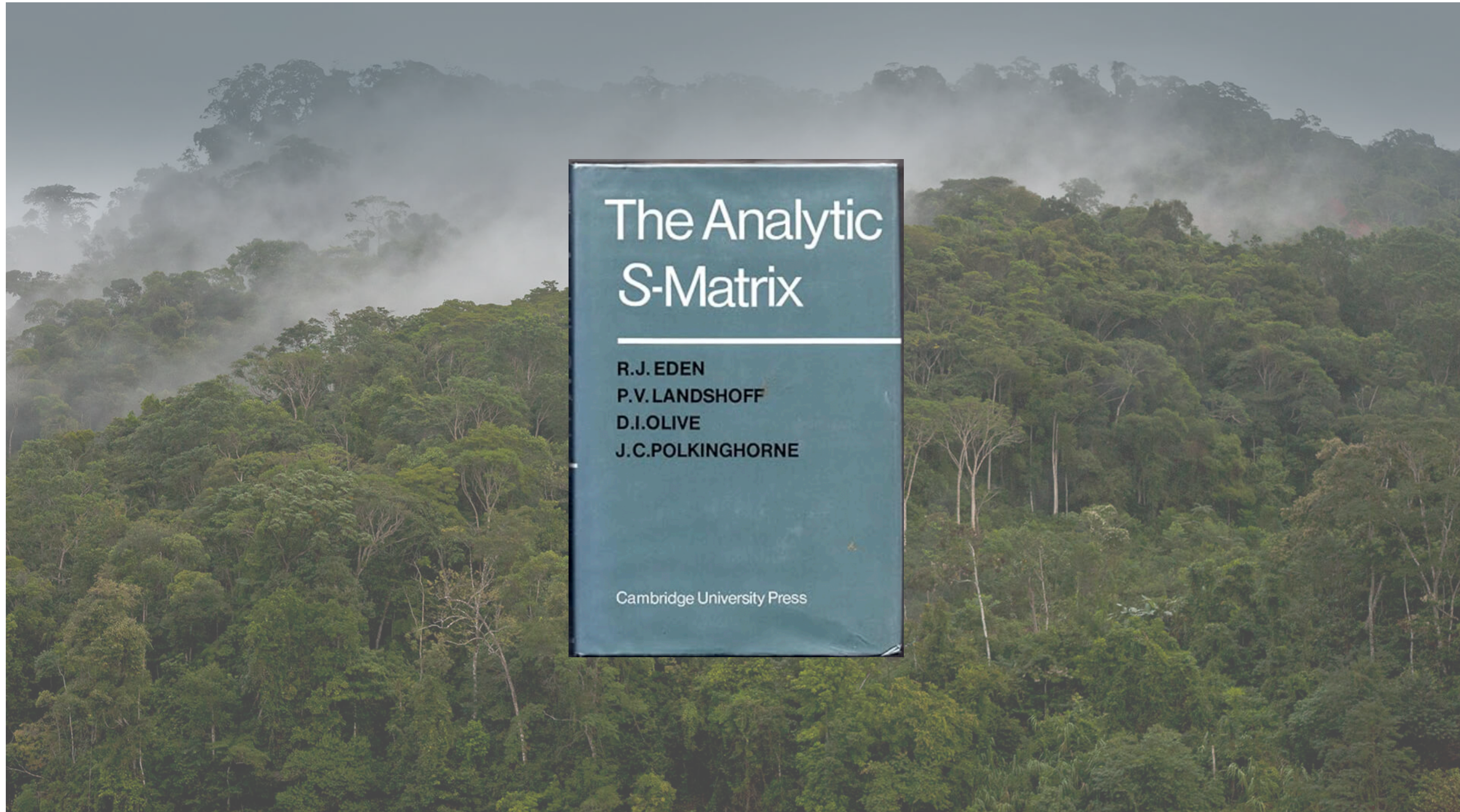
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If we use a crude model:  $\eta \sim 1$  when a BH is produced with spin  $l > l_*$



To understand inelasticity we have to step in the multi-particle world





# The simplest non-integrable S-matrix

The simplest theory of quantum gravity

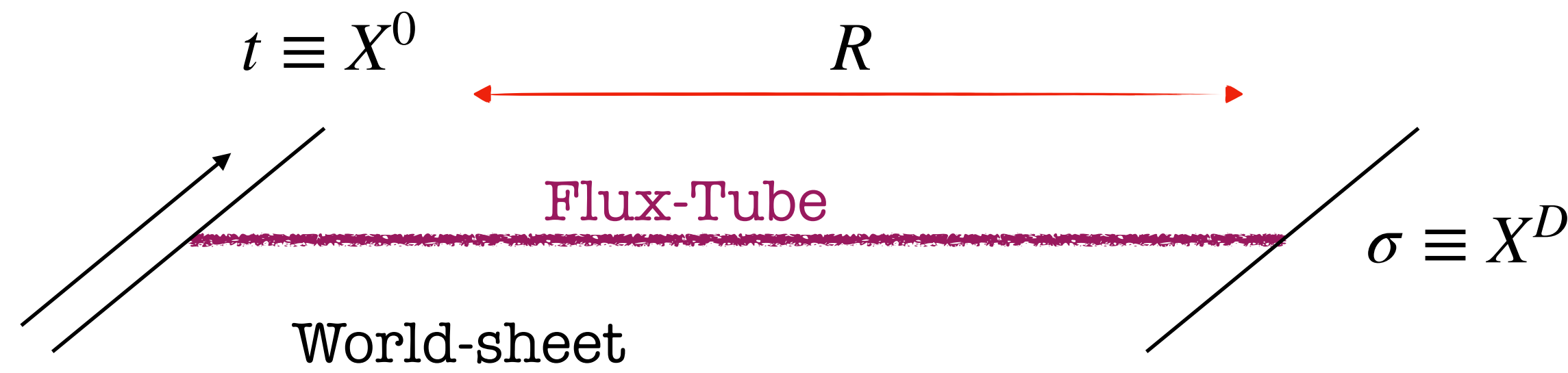
Dubovsky, Gorbenko, Flauger 1205.6805

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Dubovsky, Gorbenko, Flauger 1205.6805

The theory of the confining strings in 2+1 dimensions



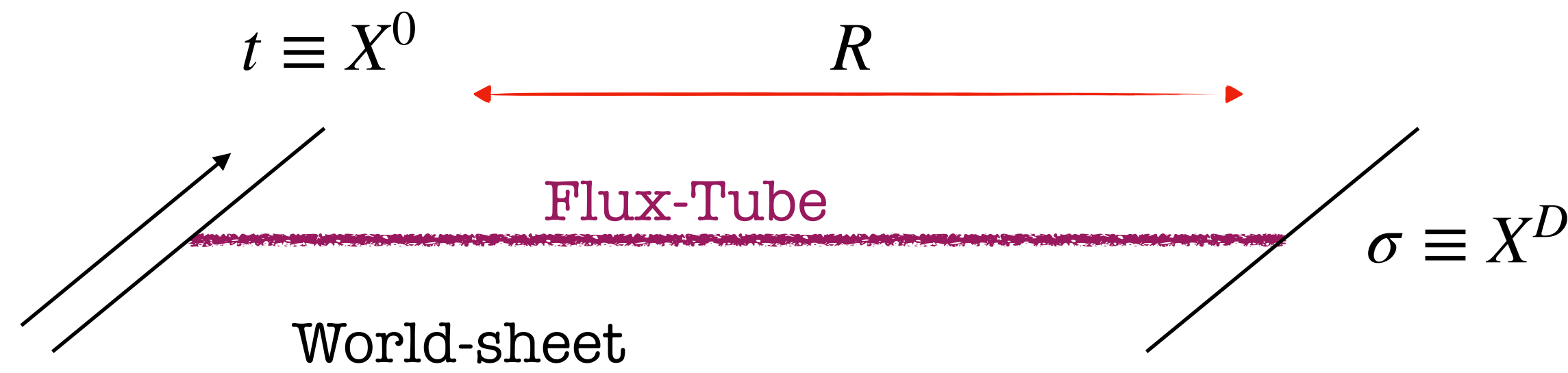
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The simplest theory of quantum gravity

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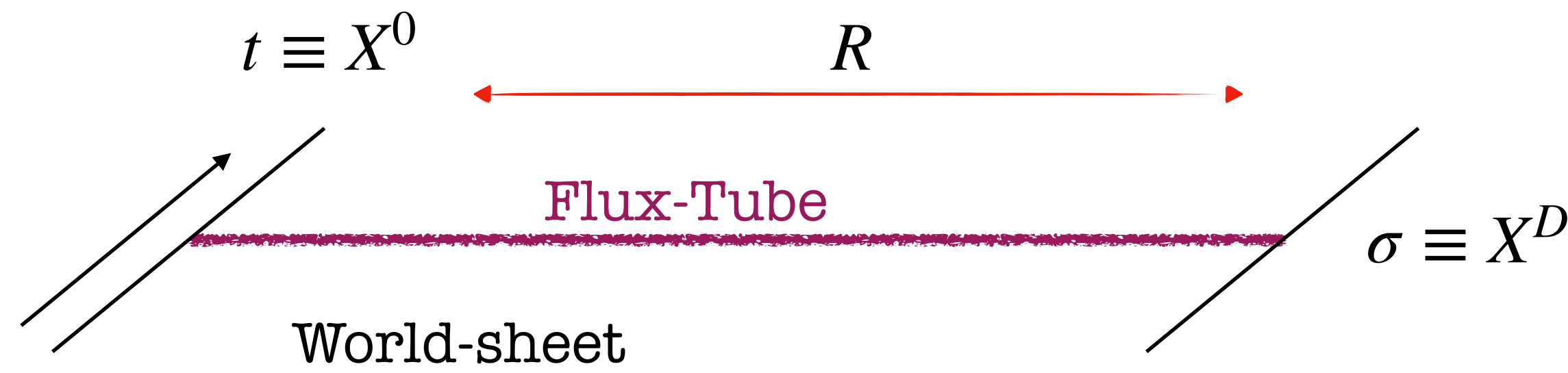
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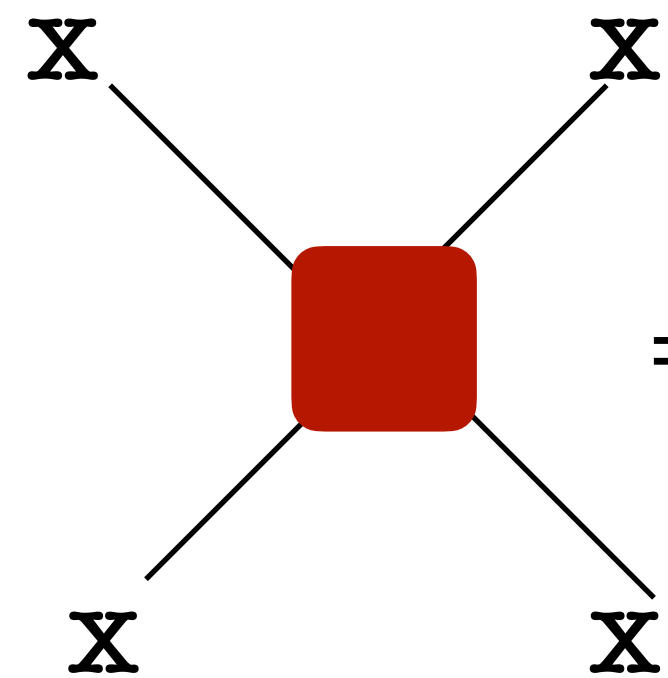
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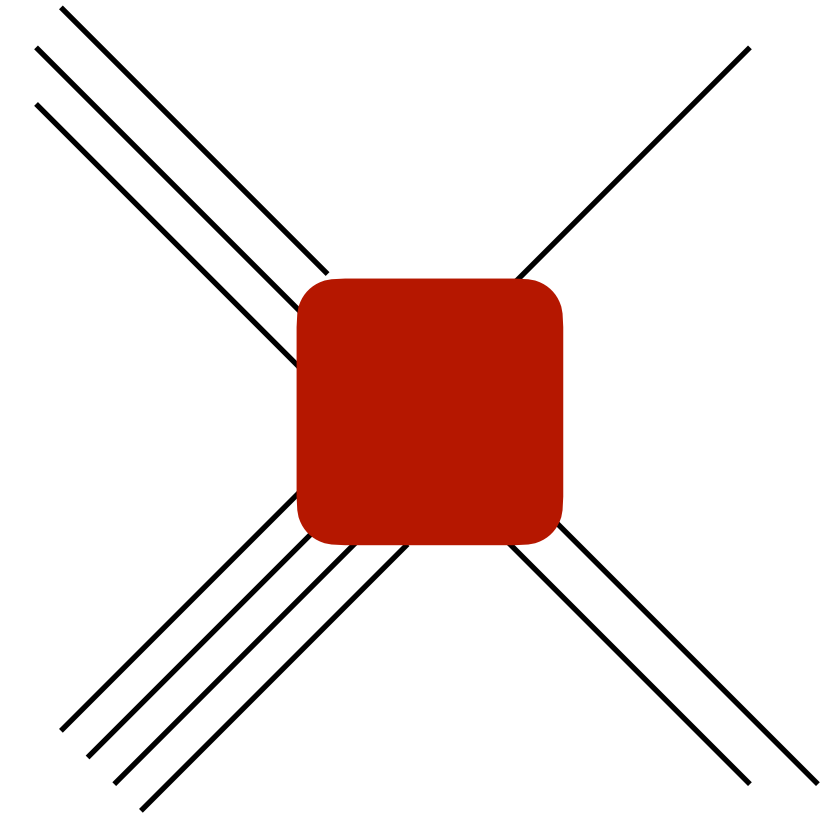
$$= \frac{s^2}{4} + i \frac{s^3}{16} + \left( 2\gamma_3 - \frac{1}{192} \right) + \dots$$

Analytic S-matrix Bootstrap:  $\gamma_3 \geq -\frac{1}{768}$

# Jet-Basis for multi-particle amplitudes

Consider an  $n \rightarrow m$  process

In 1+1 dimensions particles can be Left or Right movers



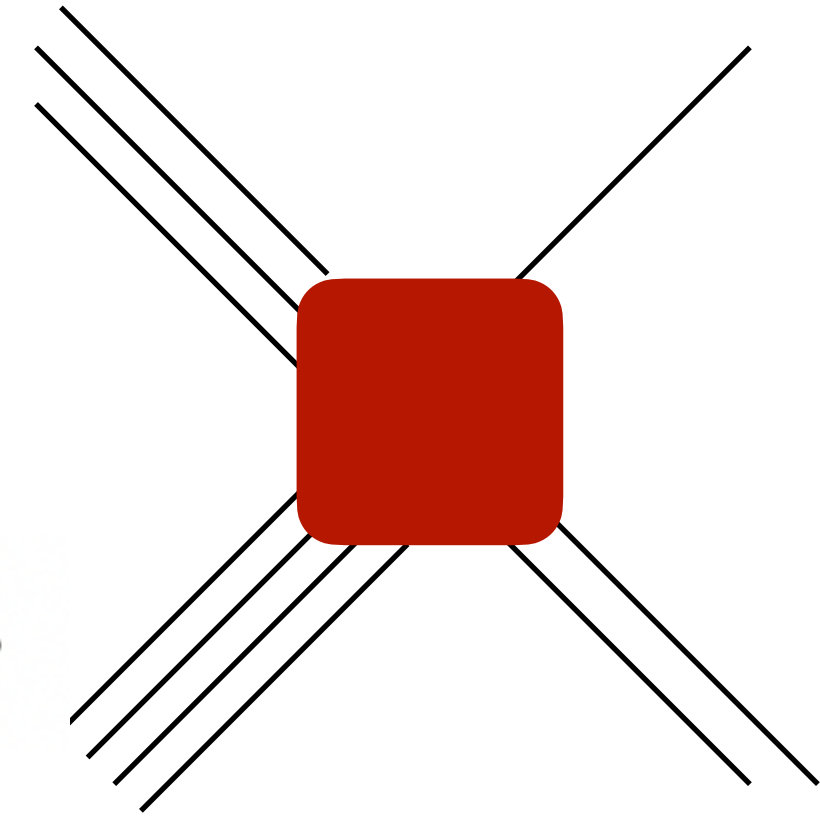


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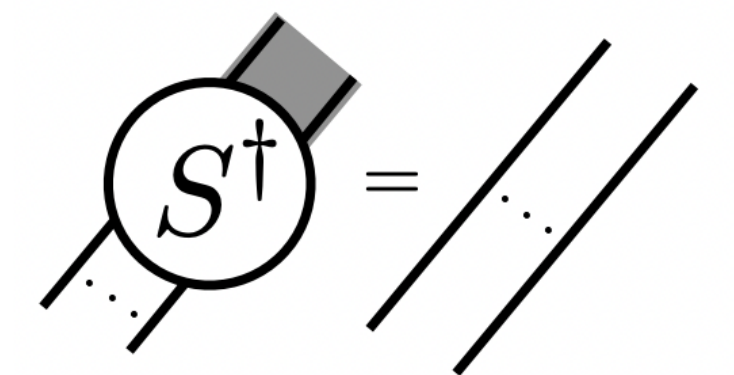
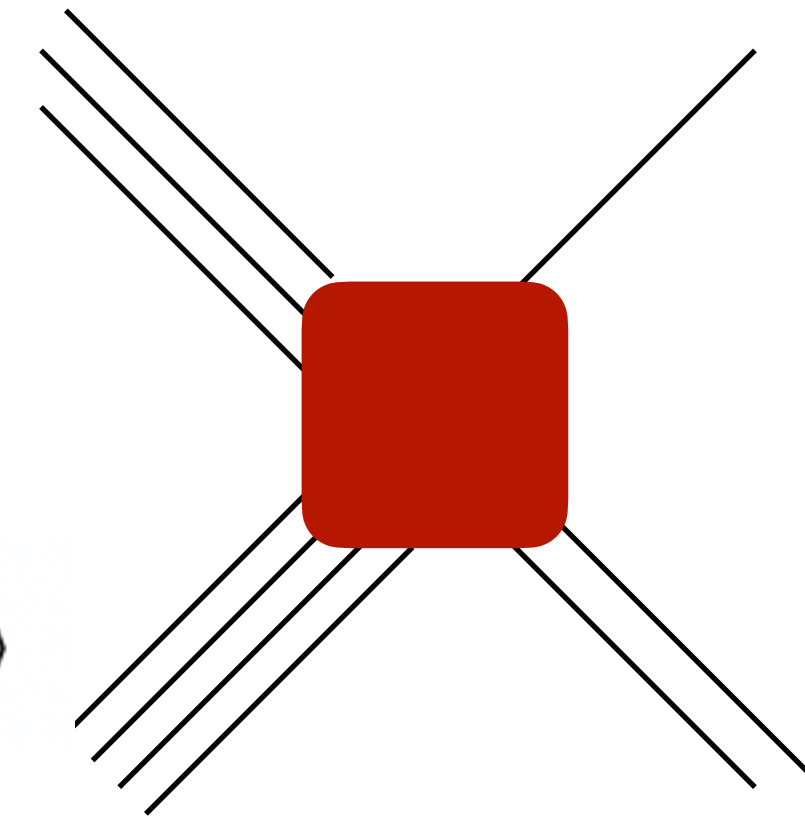
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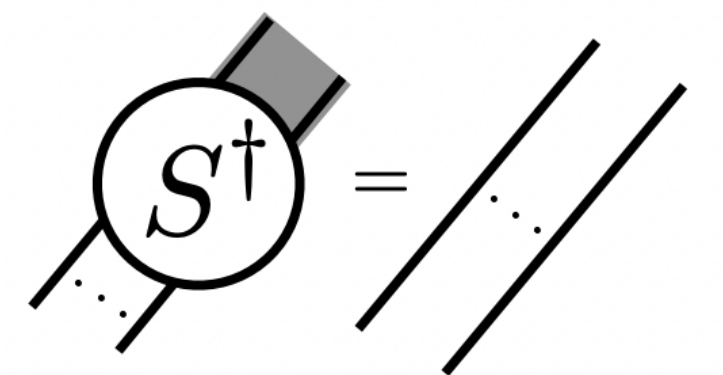
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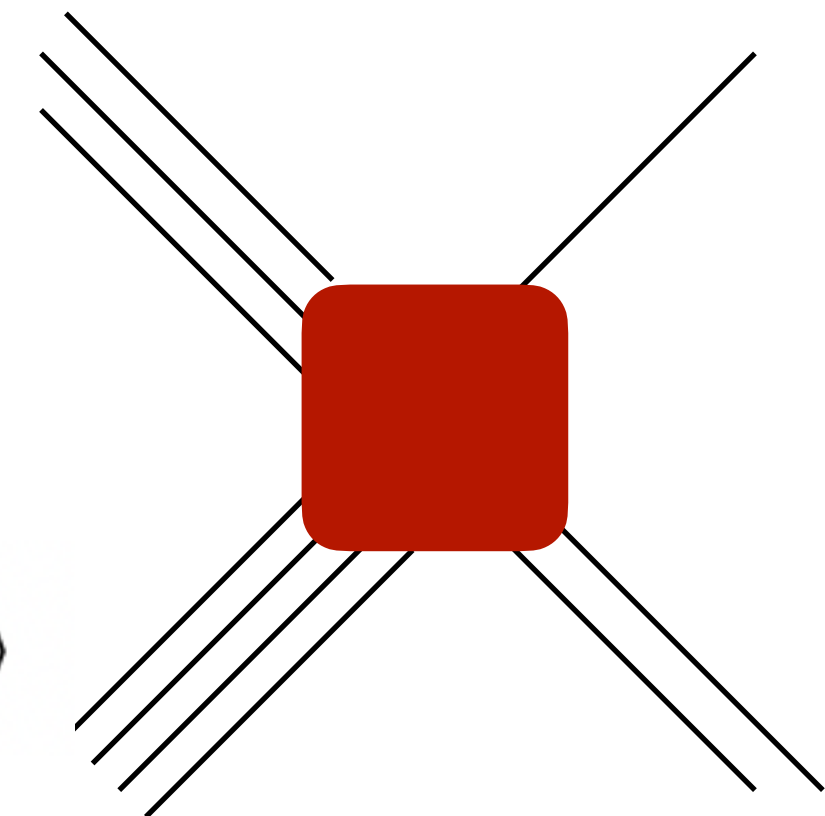
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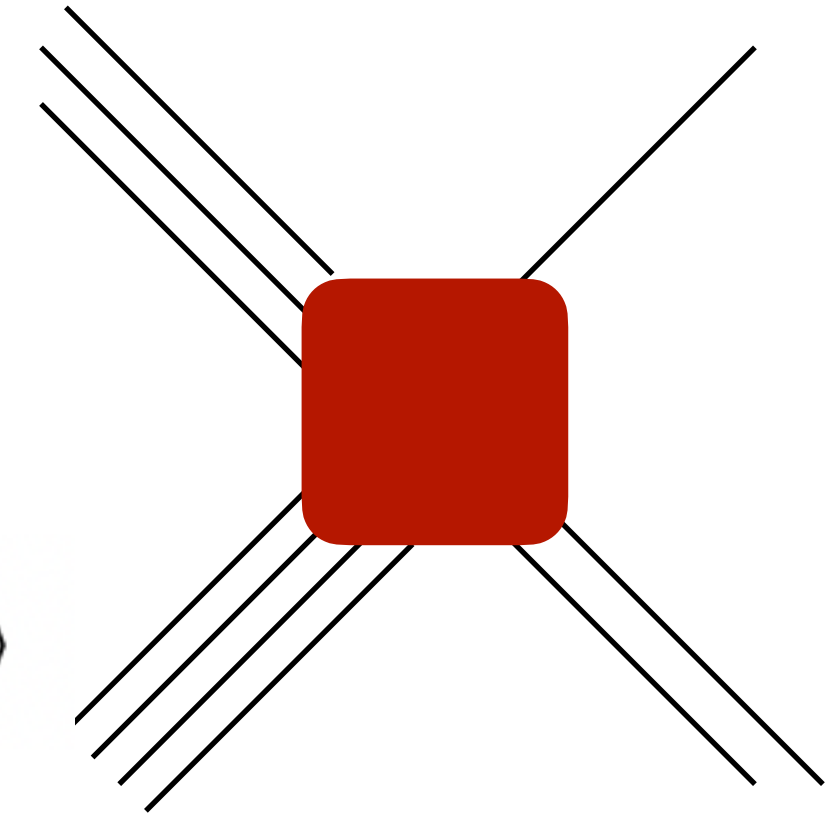


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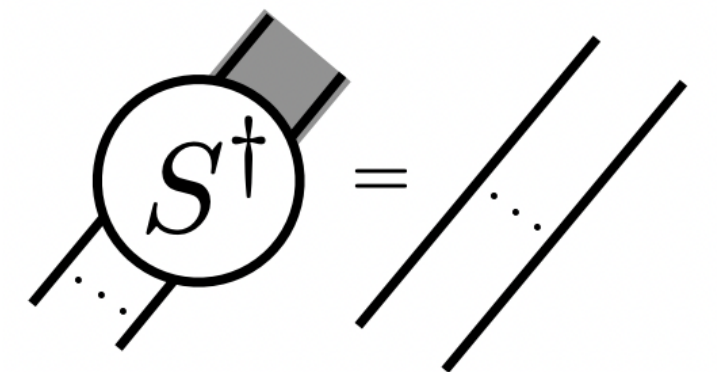
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**E.g:** 2-jet state of species  $n$

$$|n, P\rangle \equiv \sqrt{2n+1} \int_0^1 d\alpha \frac{P_n(2\alpha-1)}{\sqrt{8\pi\alpha(1-\alpha)}} |\alpha, (1-\alpha), P\rangle_2$$

$$\langle m, Q | n, P \rangle = 4\pi P_0 \delta_{n,m} \delta(\vec{Q} - \vec{P}).$$

## Unitarity and Analyticity in the Jet Basis

Call **1** the branon state, and **2** the 2-jet state with  $n = 0$

$$\begin{pmatrix} 1 & S_{11 \rightarrow 11} & 0 & S_{11 \rightarrow 22} \\ S_{11 \rightarrow 11}^* & 1 & S_{22 \rightarrow 11}^* & 0 \\ 0 & S_{22 \rightarrow 11} & 1 & S_{22 \rightarrow 22} \\ S_{11 \rightarrow 22}^* & 0 & S_{22 \rightarrow 22}^* & 1 \end{pmatrix} \stackrel{!}{=} 0$$



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We argue that  $S_{nm \rightarrow jk}(s)$  is an analytic function of  $s$  in the upper half-plane AG, A. Homrich, and P. Vieira 2404.10812

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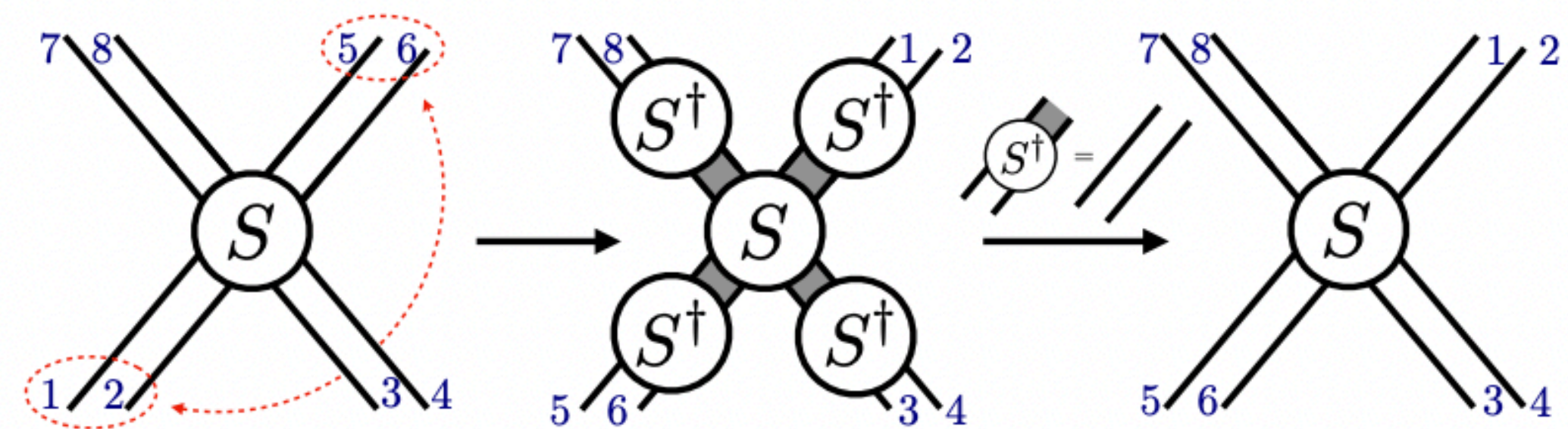
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Crossing is also satisfied thanks to  $S_{LL'} = S_{RR'} = 1$



## The Matrioska

The observables we Bootstrap are effective 4, 6, and 8 particles couplings

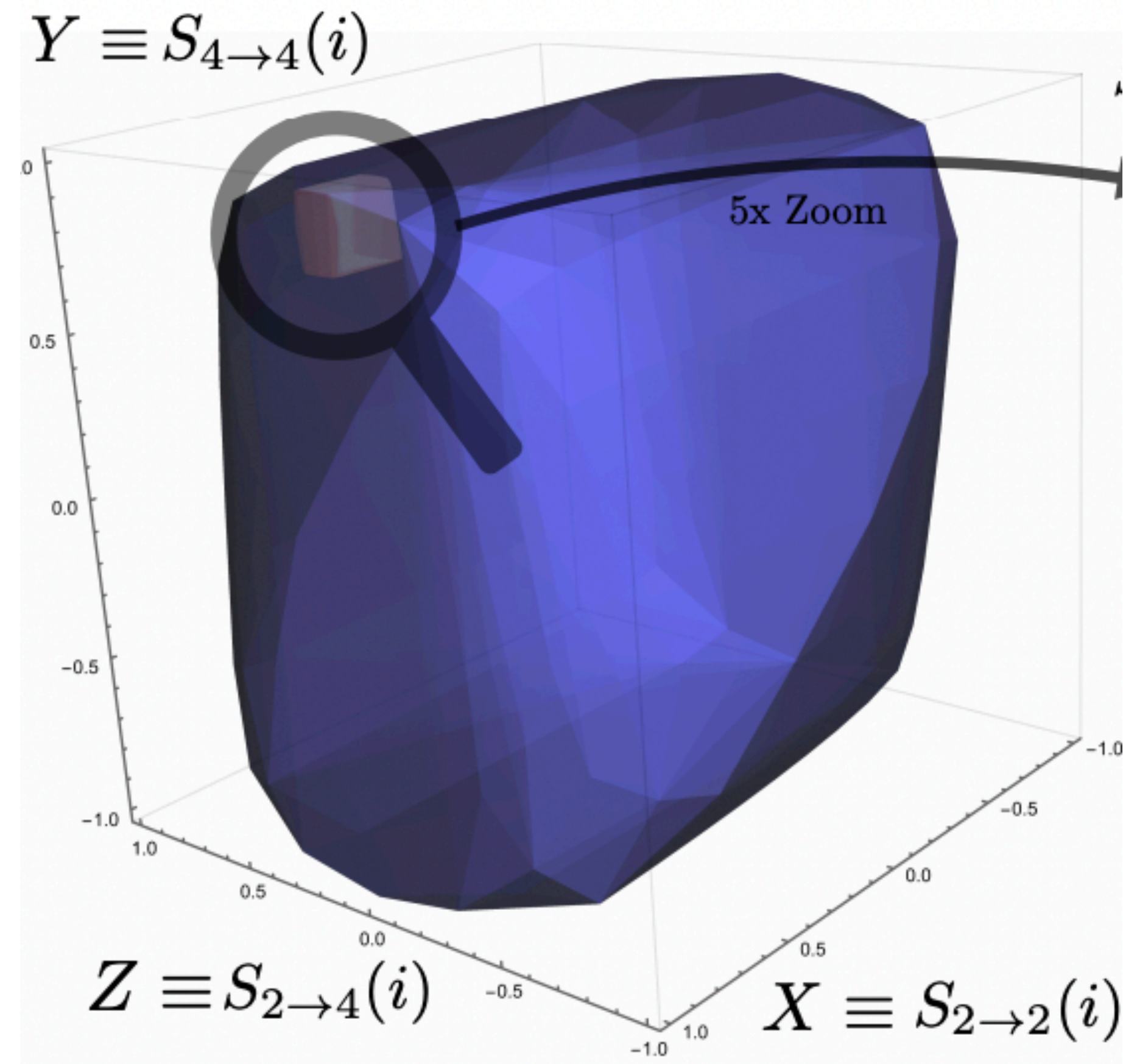
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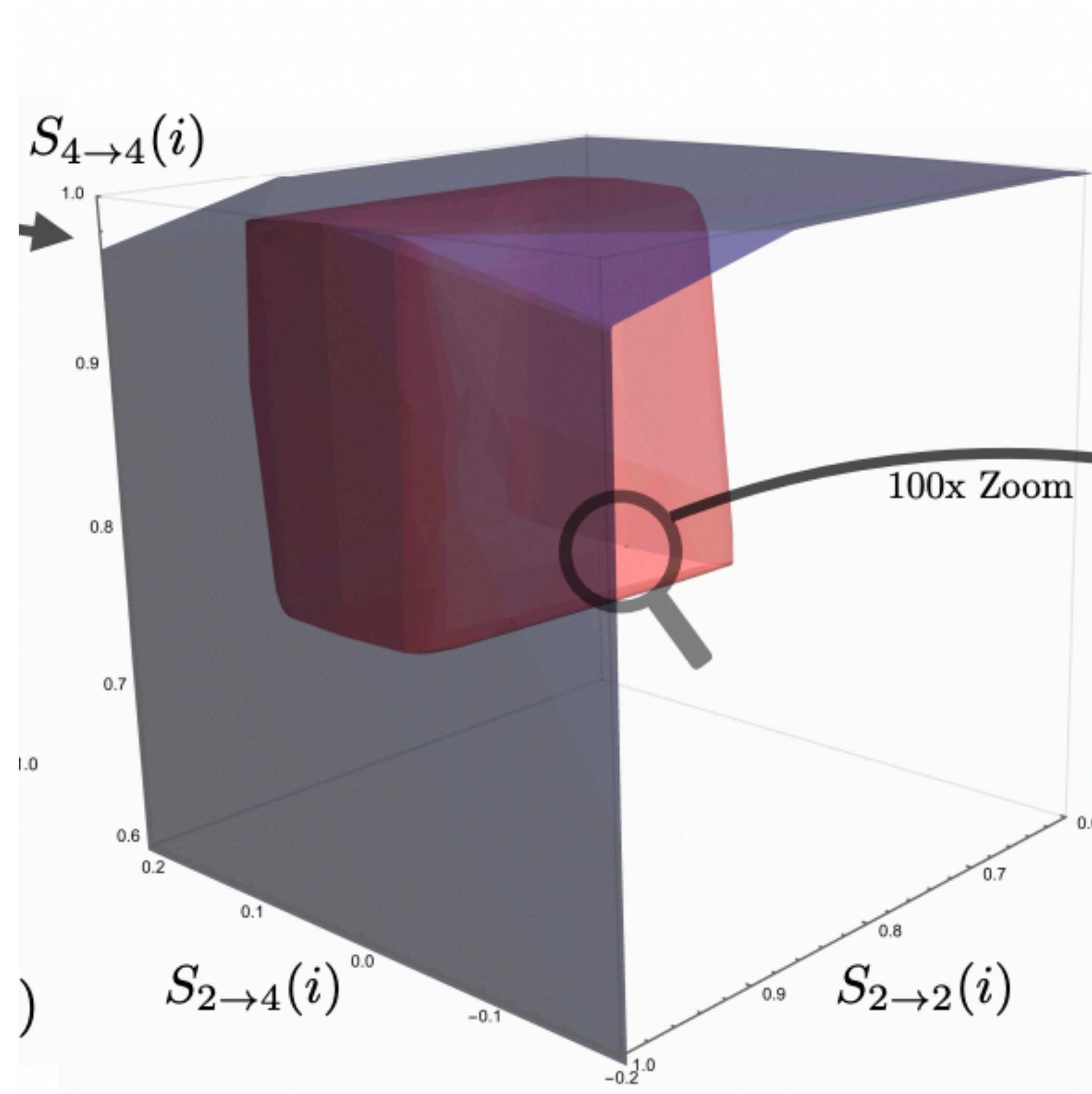
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# The Matrioska

(X,Y,Z) space imposing leading Nambu-Goto behaviour  $S_{11 \rightarrow 11} = S_{22 \rightarrow 22} = S_{12 \rightarrow 12} = 1 + is/4 + \dots$ ,  
 $S_{11 \rightarrow 22} = S_{12 \rightarrow 21} = \mathcal{O}(s^2)$

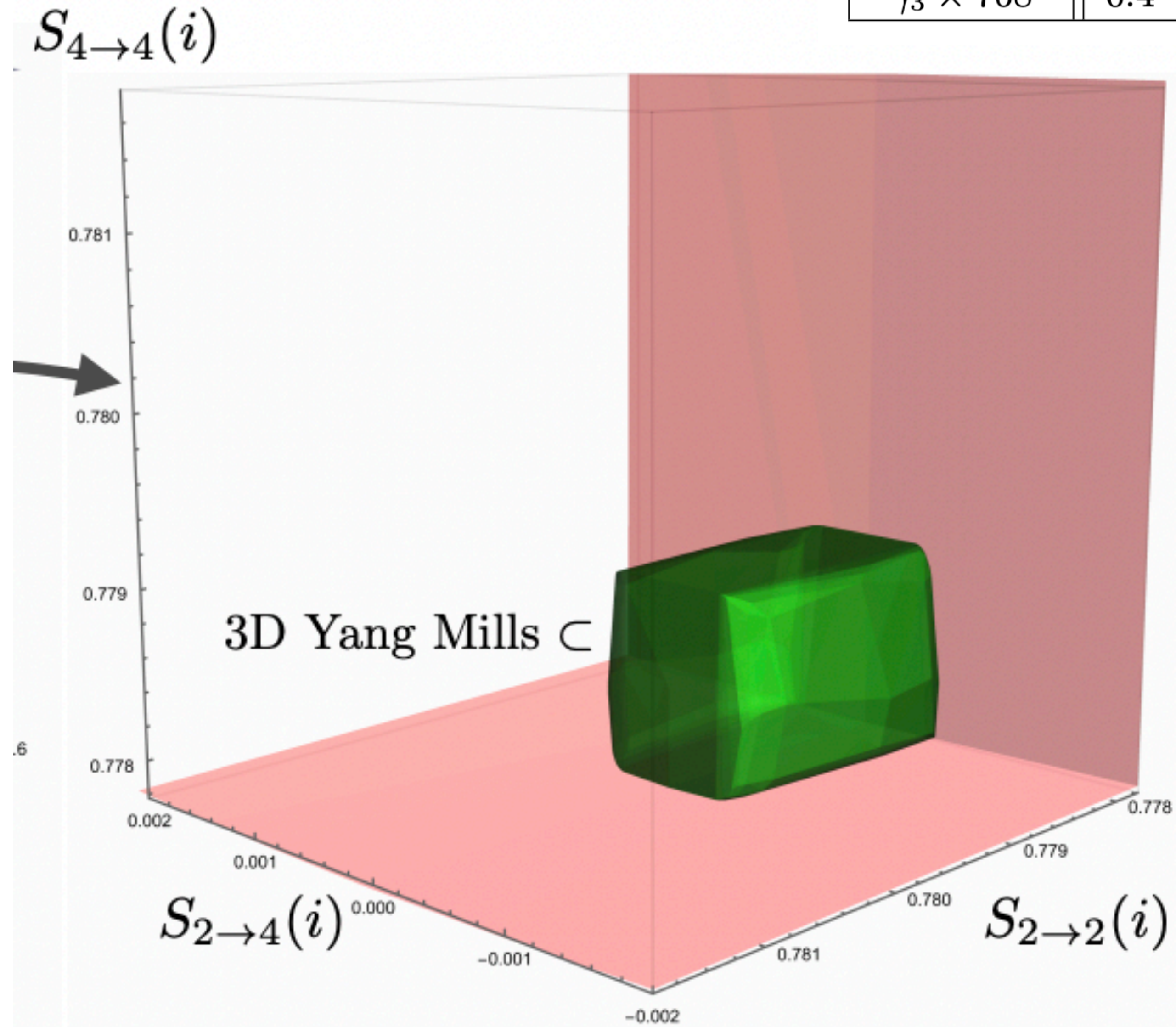




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(X,Y,Z) space imposing NLNG behaviour and  $\gamma_3 \times 768 < 0.7$

gauge group	$\mathbb{Z}_2$	$SU(2)$	$SU(6)$	$SU(\infty)$
$\gamma_3 \times 768$	-0.4 [4]	-0.3 [3]	0.2 [1, 6]	0.3

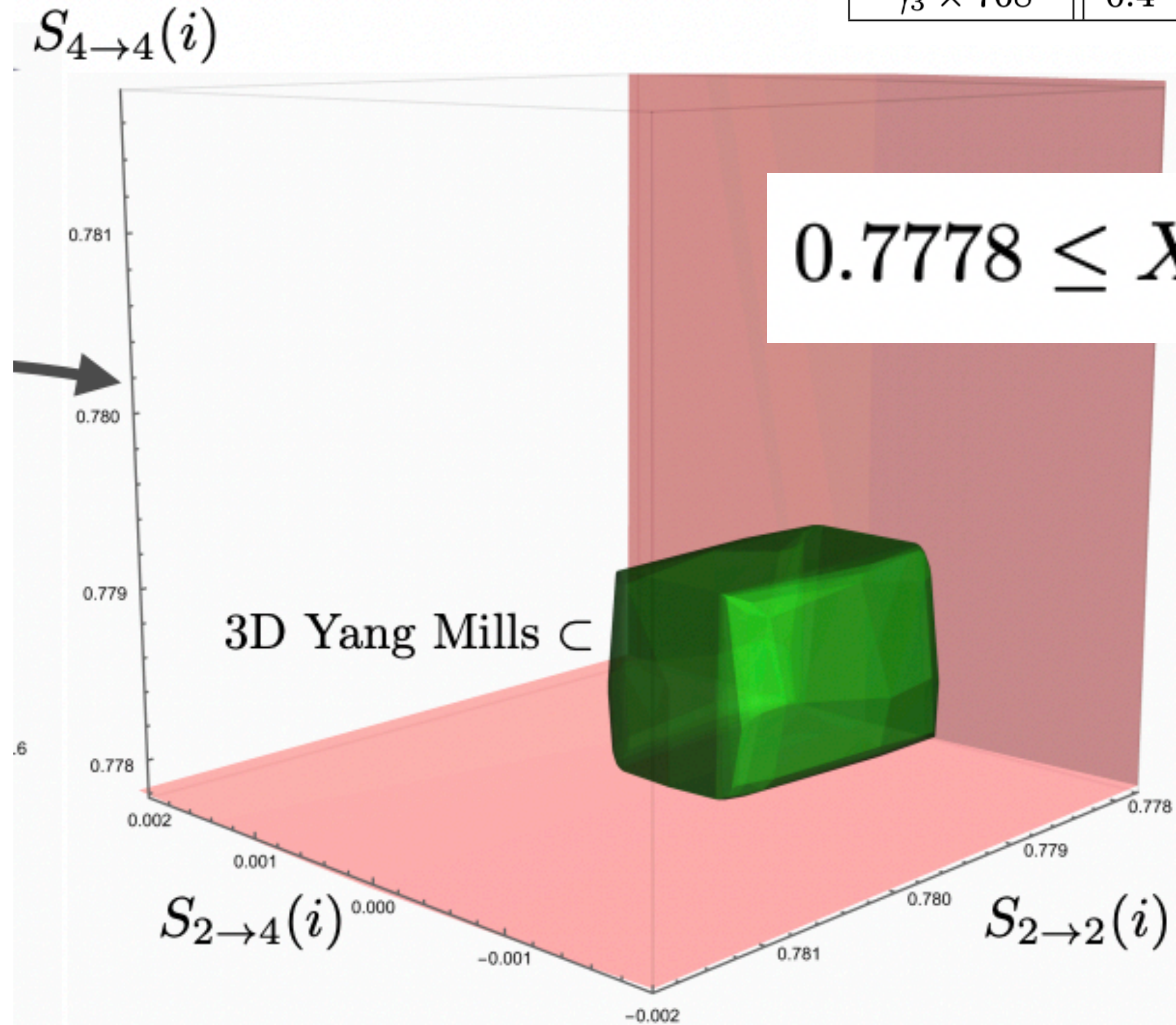




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All physical confining flux-tube theories must satisfy this bound!

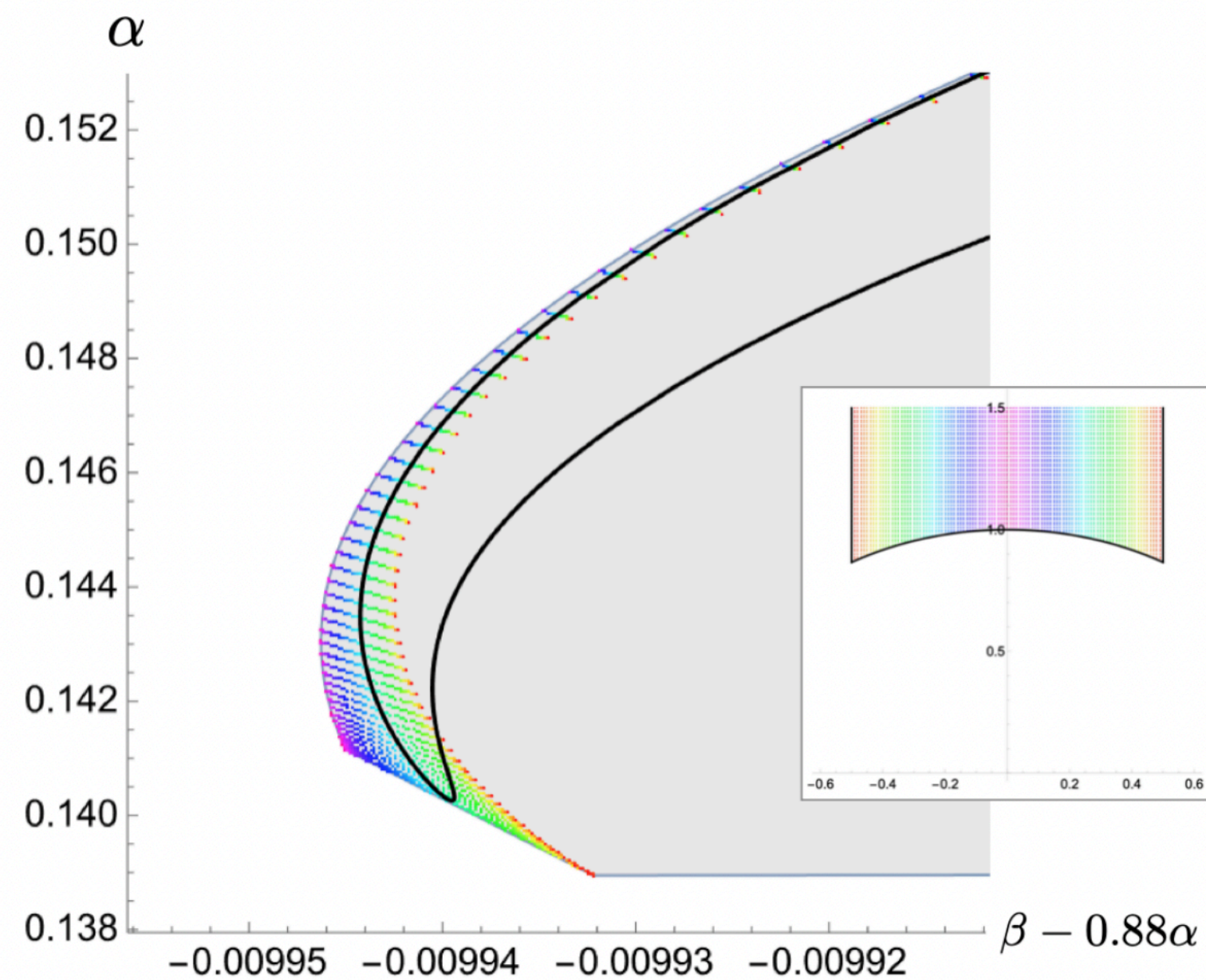


## Possible future directions

Extend the bounds on  $\alpha_D$  for  $D \leq 8$ . In  $D \leq 7$  we expect loop effects to be so strong that  $\alpha_D < 0$ !

Bound also  $\beta_D$ !

Bossard, Loty 2308.02847



Study multi-particles on confining strings in 4 dimensions where particle production is universal and there is an axion on the world-sheet!

Extend the multi-particle Bootstrap to more complicated cases.



# Backup Slides

# Effective multi-particle couplings

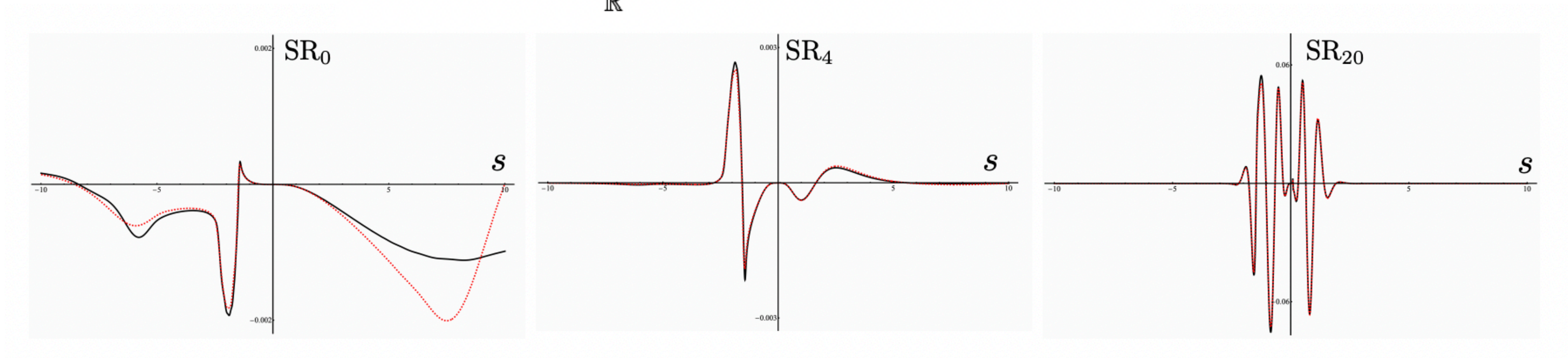
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$$(X, Y, Z) \equiv (S_{11 \rightarrow 11}(i), S_{22 \rightarrow 22}(i), \text{Re}(S_{11 \rightarrow 22}(i)))$$

This is clear in terms of dispersion relations  $X = \int_{\mathbb{R}} \frac{ds}{\pi(s^2 + 1)} S_{11 \rightarrow 11}(s)$

Phenomenologically not useful, so we introduce a set of dispersion relations for the same quantity

$$Z = SR_n \equiv \text{Re} \int_{\mathbb{R}} \frac{ds}{\pi(s^2 + 1)} \left( \frac{3i}{s + 2i} \right)^n S_{11 \rightarrow 22}(s)$$

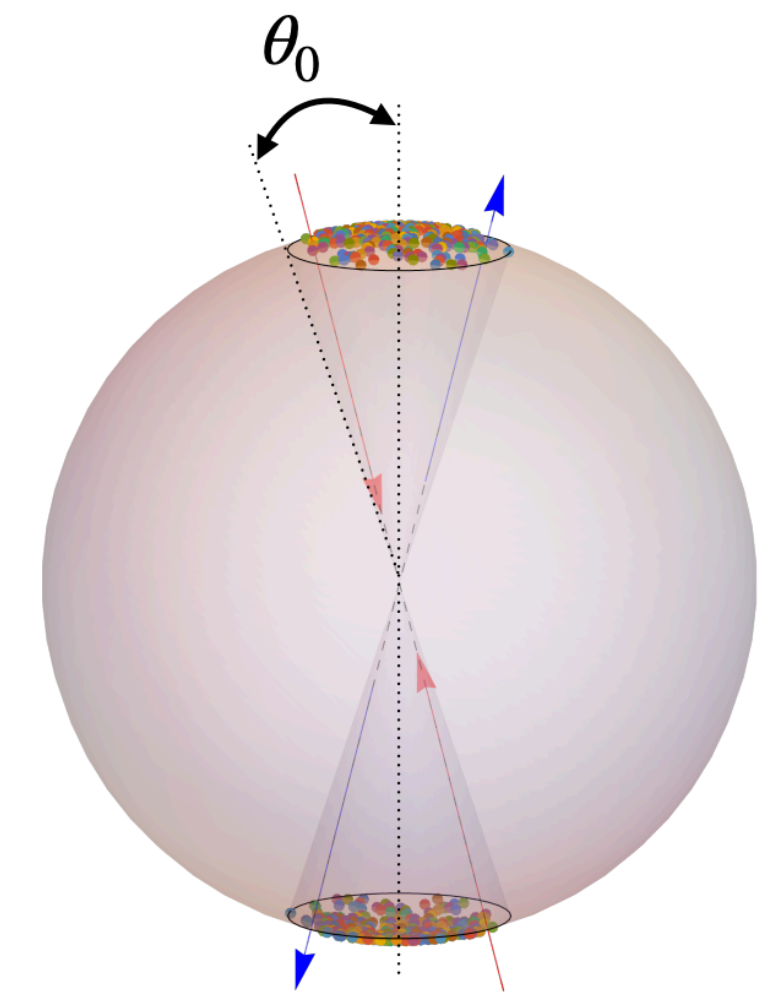
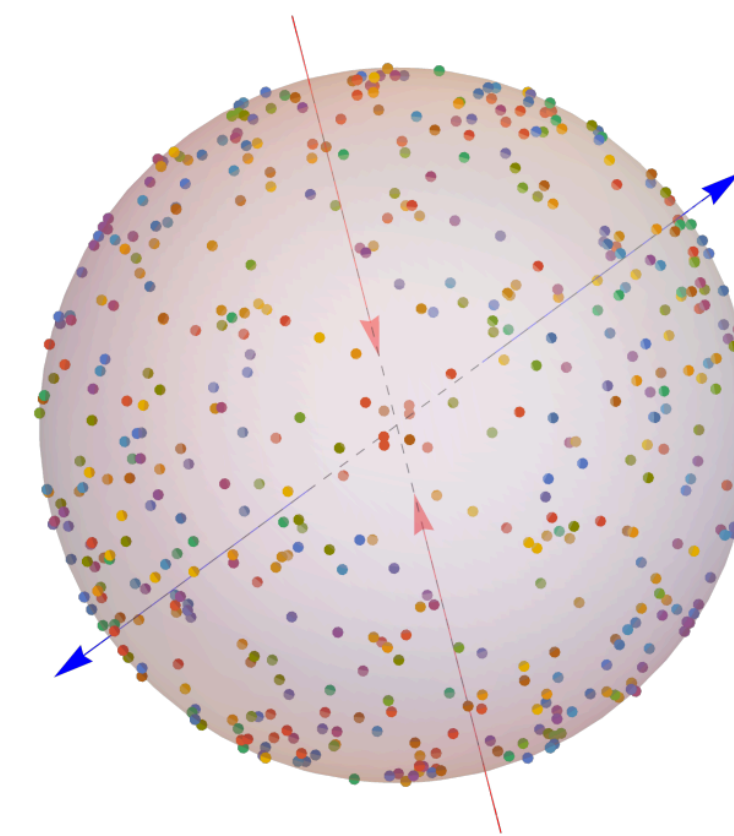
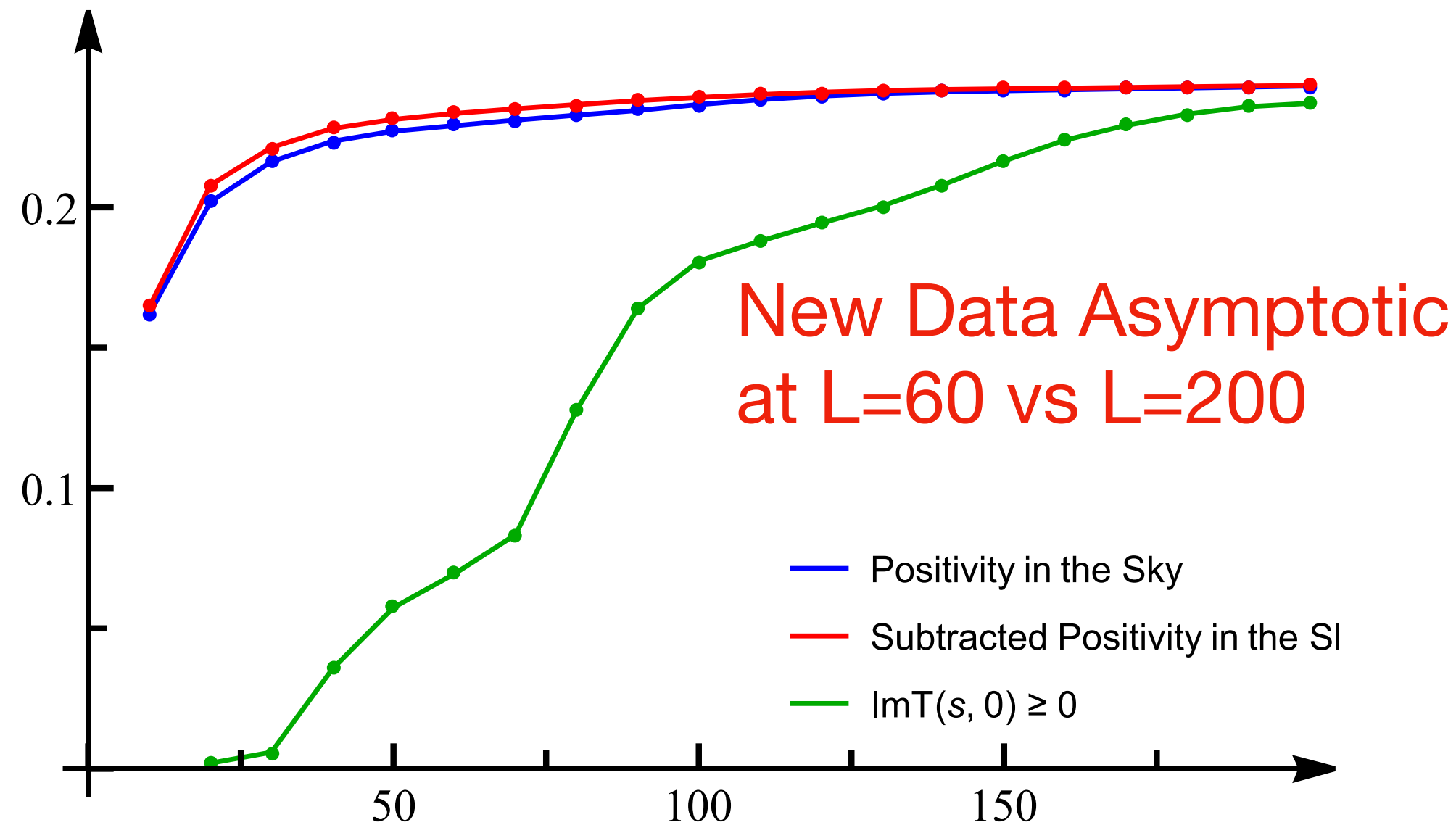


# Positivity (Unitarity) in the Sky

Consider  $D$  random momenta with  $p_i^2 = 0$  and  $|\vec{p}_i| = \frac{\sqrt{s}}{2}$ , and define the angle  $\cos \theta_{ij} = \frac{p_i \cdot p_j}{|p_i| |p_j|}$

In the centre of mass frame, to each  $\theta_{ij}$  we associate a 2-particle state depends on

$$T \left( s, t = -\frac{s}{2}(1 - \cos \theta), u = -\frac{s}{2}(1 + \cos \theta) \right)$$



$$\text{Im}(T)_{ij} = \begin{pmatrix} \text{Im}T(s, 1) & \text{Im}T(s, \cos \theta_{12}) & \text{Im}T(s, \cos \theta_{13}) & \dots \\ \text{Im}T(s, \cos \theta_{12}) & \text{Im}T(s, 1) & \text{Im}T(s, \cos \theta_{23}) & \dots \\ \text{Im}T(s, \cos \theta_{13}) & \text{Im}T(s, \cos \theta_{23}) & \text{Im}T(s, 1) & \dots \\ \dots & \dots & \dots & \dots \end{pmatrix} \succeq 0$$

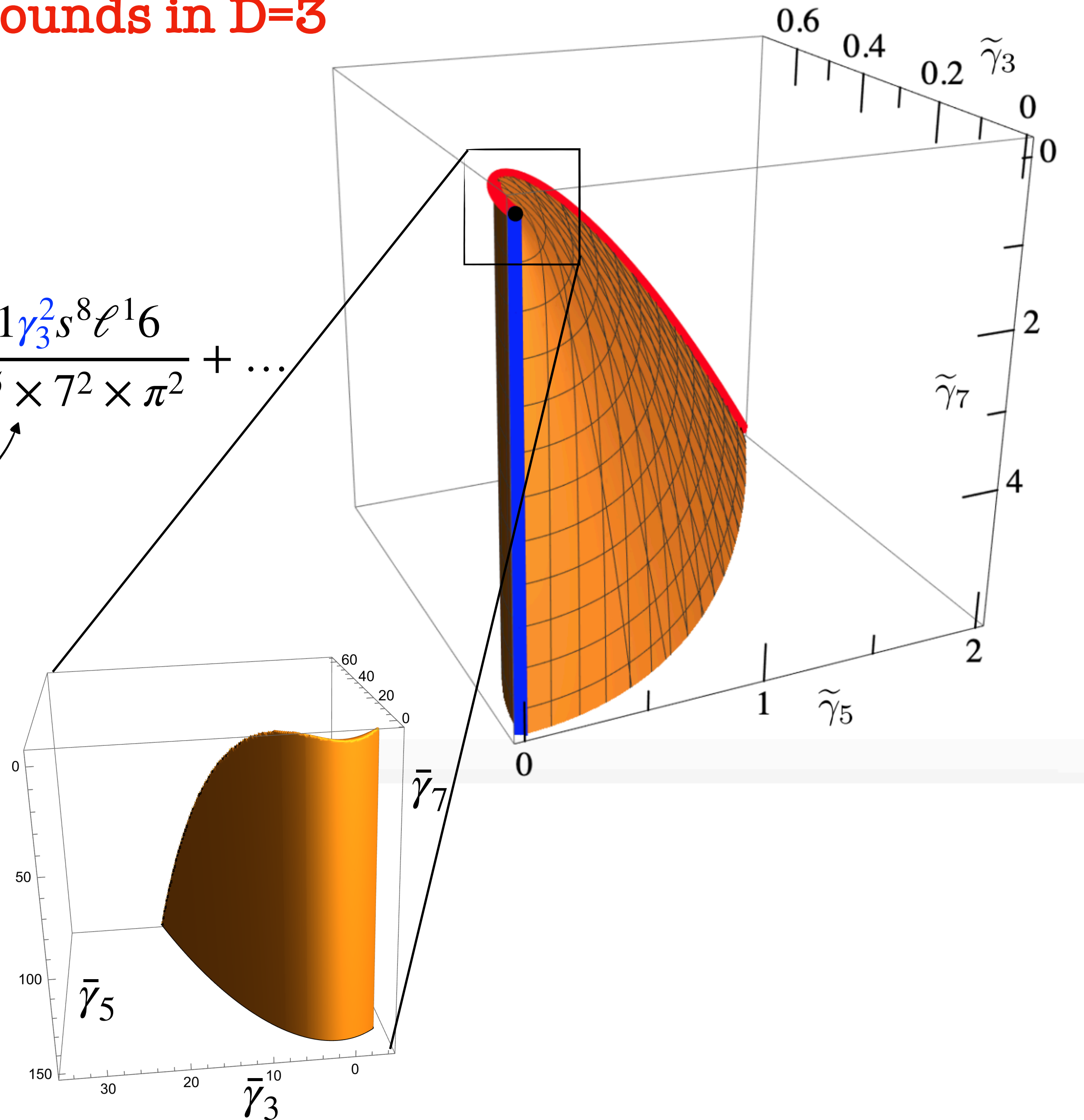


# More Bounds in D=3

Elias-Miró, ALG, Hebbar, Penedones, and Vieira, '21  
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 Gaikwad, Gorbenko, ALG '23 (axionic strings in 4D)

$$\frac{1}{2i} \log S(s) = \frac{s}{4} \ell_s^2 + \gamma_3 s^3 \ell_s^6 + \gamma_5 s^5 \ell_s^{10} + \gamma_7 s^7 \ell_s^{14} + i \frac{81 \gamma_3^2 s^8 \ell^{16}}{2^{15} \times 7^2 \times \pi^2} + \dots$$

To go beyond we need to include particle production!



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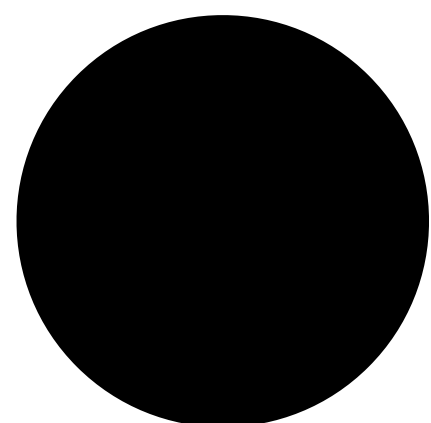
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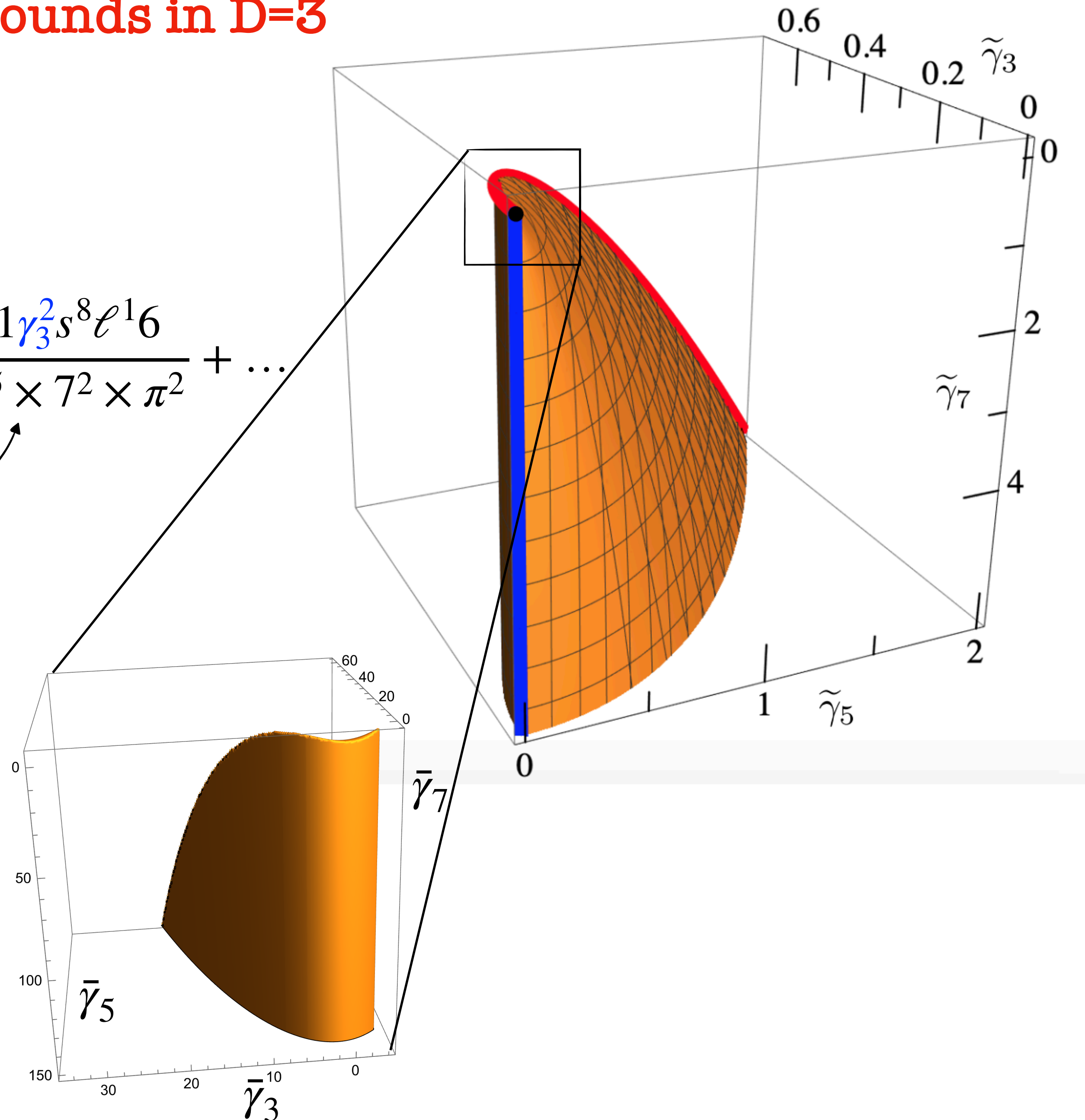
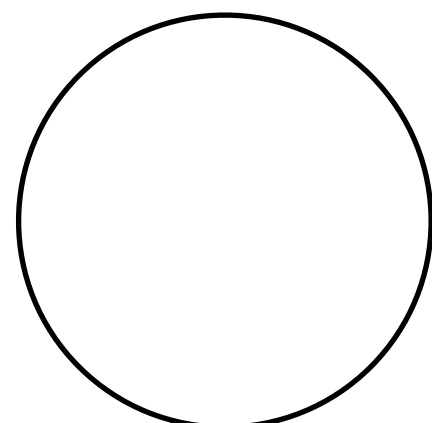
**Non-convex!**  
 Elastic unitarity is a non-convex constraint

$$\gamma[7] \geq \frac{\gamma t[5]^2}{\gamma t[3]} + \frac{1}{4096} \gamma t[3] + \frac{1}{64} \gamma t[5] - \frac{1}{16} \gamma t[3]^2 - \frac{1}{7\,340\,032}$$

Convex



Non-convex





## The Hadronic String in 4D

D=4:  $X^1, X^2$  Goldstones, deviations from Nambu-Goto  $\alpha_3, \beta_3$

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E.g. we can add an axion

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If we tune  $Q_a = \frac{\sqrt{22}}{4\sqrt{3\pi}} \simeq 0.378$ , and  $m_a \rightarrow 0$ , we can restore integrability

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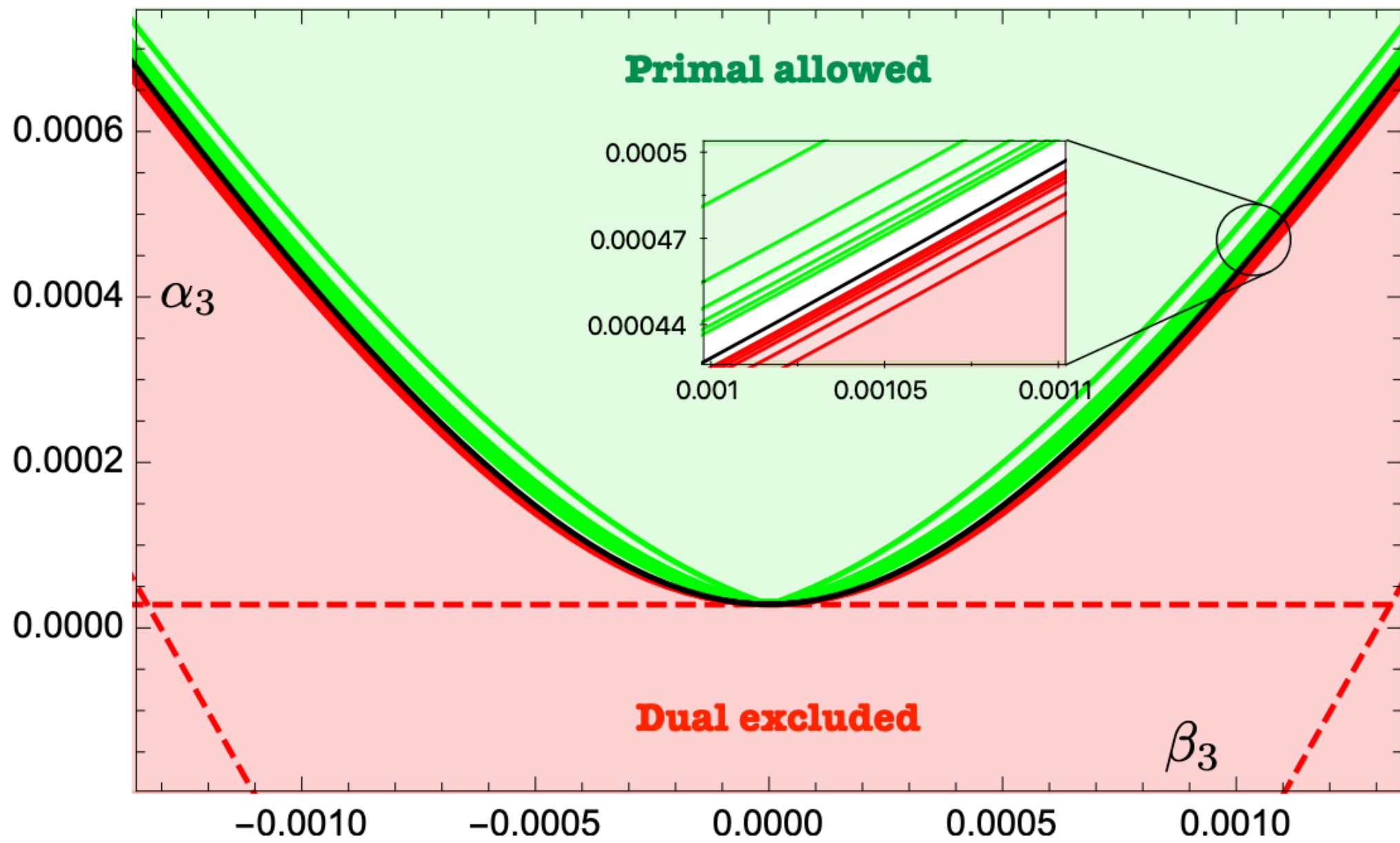
	$SU(3)$	$SU(5)$	$SU(\infty)$
$2^{++}$			
$m_a^L \ell_s$	$1.85^{+0.02}_{-0.03}$	$1.64^{+0.04}_{-0.04}$	1.5
$Q_a^L$	$0.380^{+0.006}_{-0.006}$	$0.389^{+0.008}_{-0.008}$	-
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Lattice results show the presence of an axion resonance with the correct coupling, but massive at large  $N_c$



# Flux-Tube S-matrix Bootstrap in 4D

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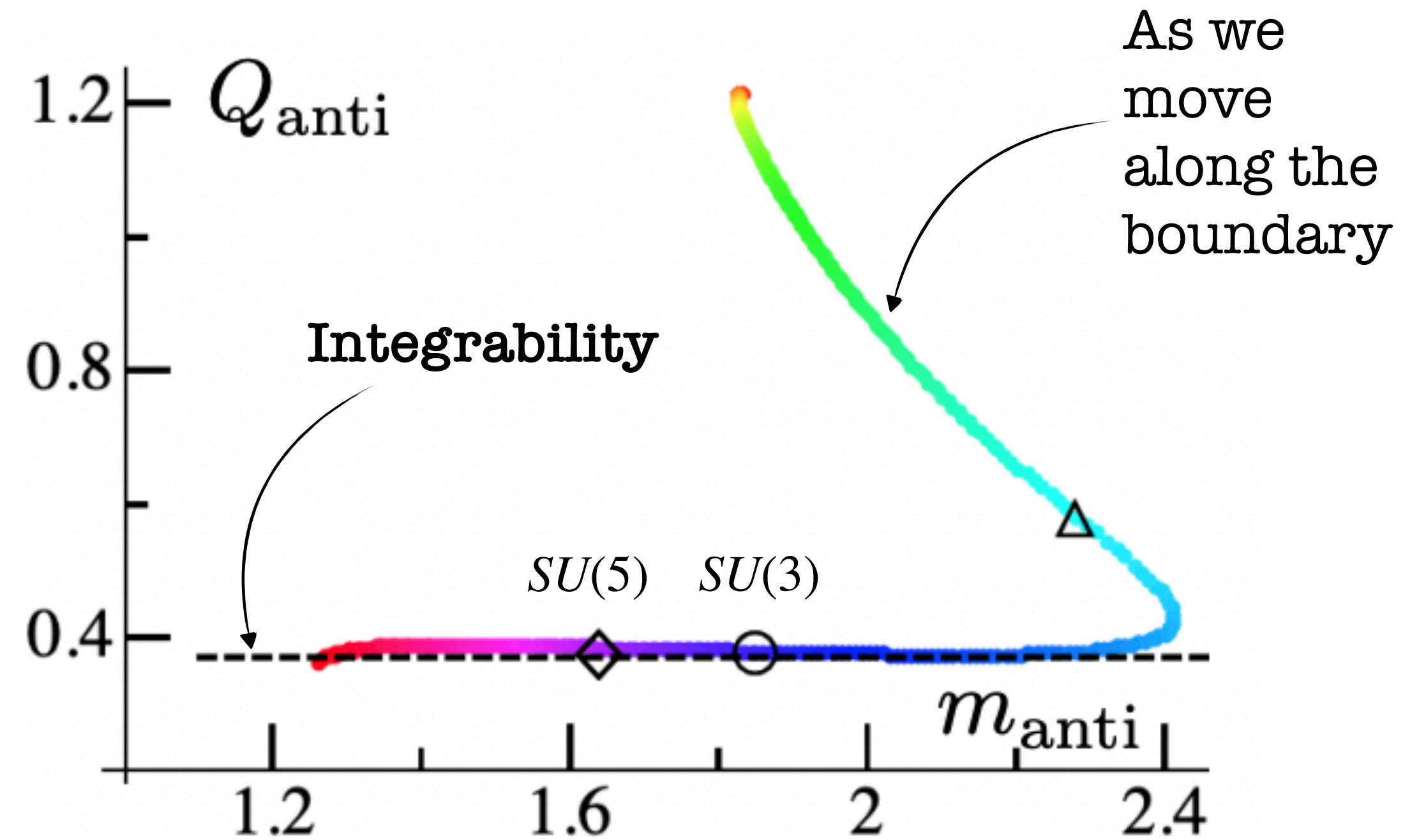
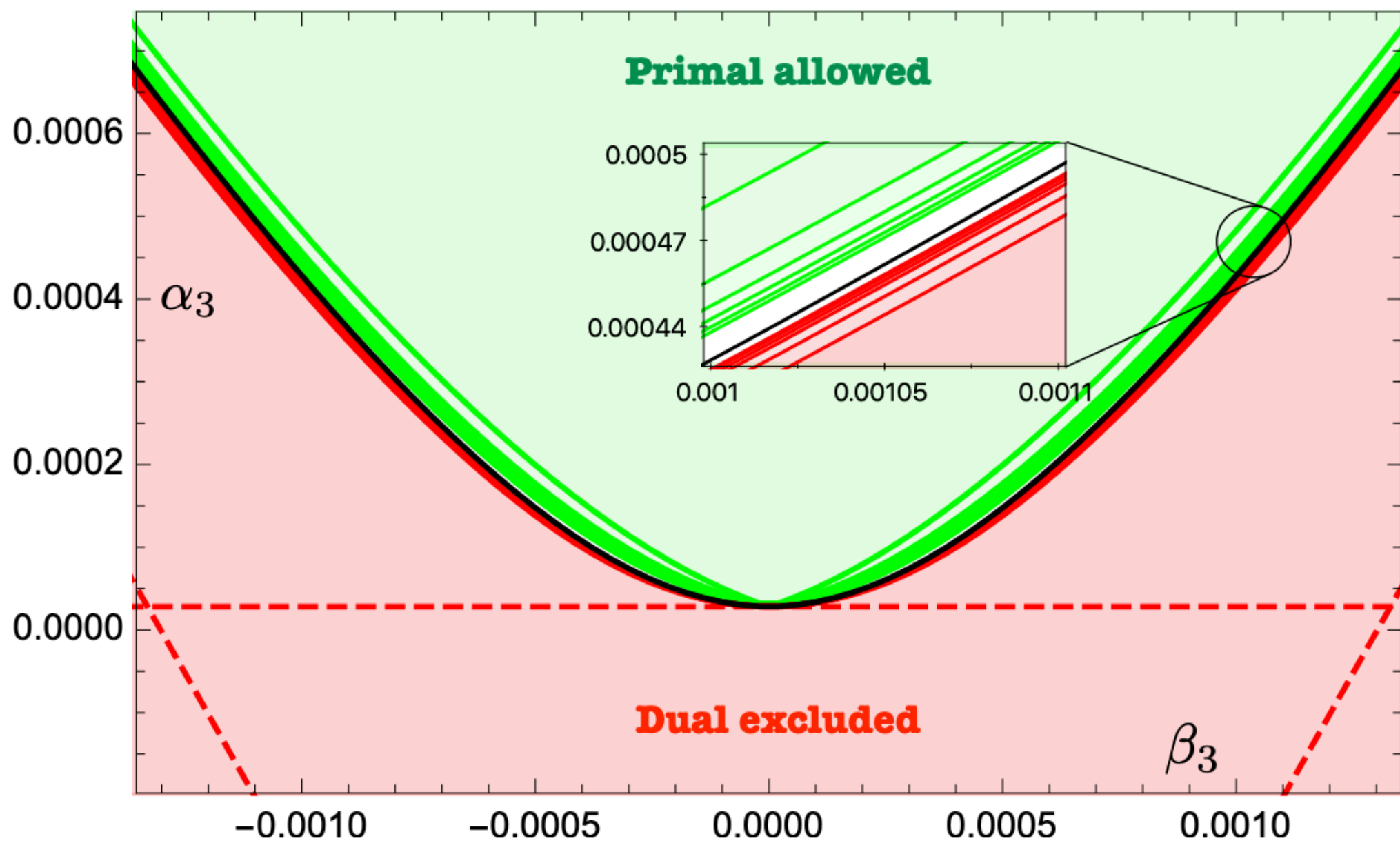
Elias-Miró, ALG, Hebbar, Penedones, Vieira [1906.08098](#)  
Elias-Miró, ALG [2106.07957](#)

# Flux-Tube S-matrix Bootstrap in 4D

$$\mathcal{A}_{EFF} = \int d^2\sigma \sqrt{-h} \left( \frac{1}{\ell_s^2} + \dots + \alpha_3 \ell_s^6 K^4 + \beta_3 \ell_s^6 R^2 + \dots \right)$$

$$\gamma_3 = \alpha_3 - \beta_3$$

**Surprise!**  
**Extremal Bootstrap amplitudes contain an axion with integrable coupling!**



Elias-Miró, ALG, Hebbar, Penedones, Vieira [1906.08098](#)  
 Elias-Miró, ALG [2106.07957](#)

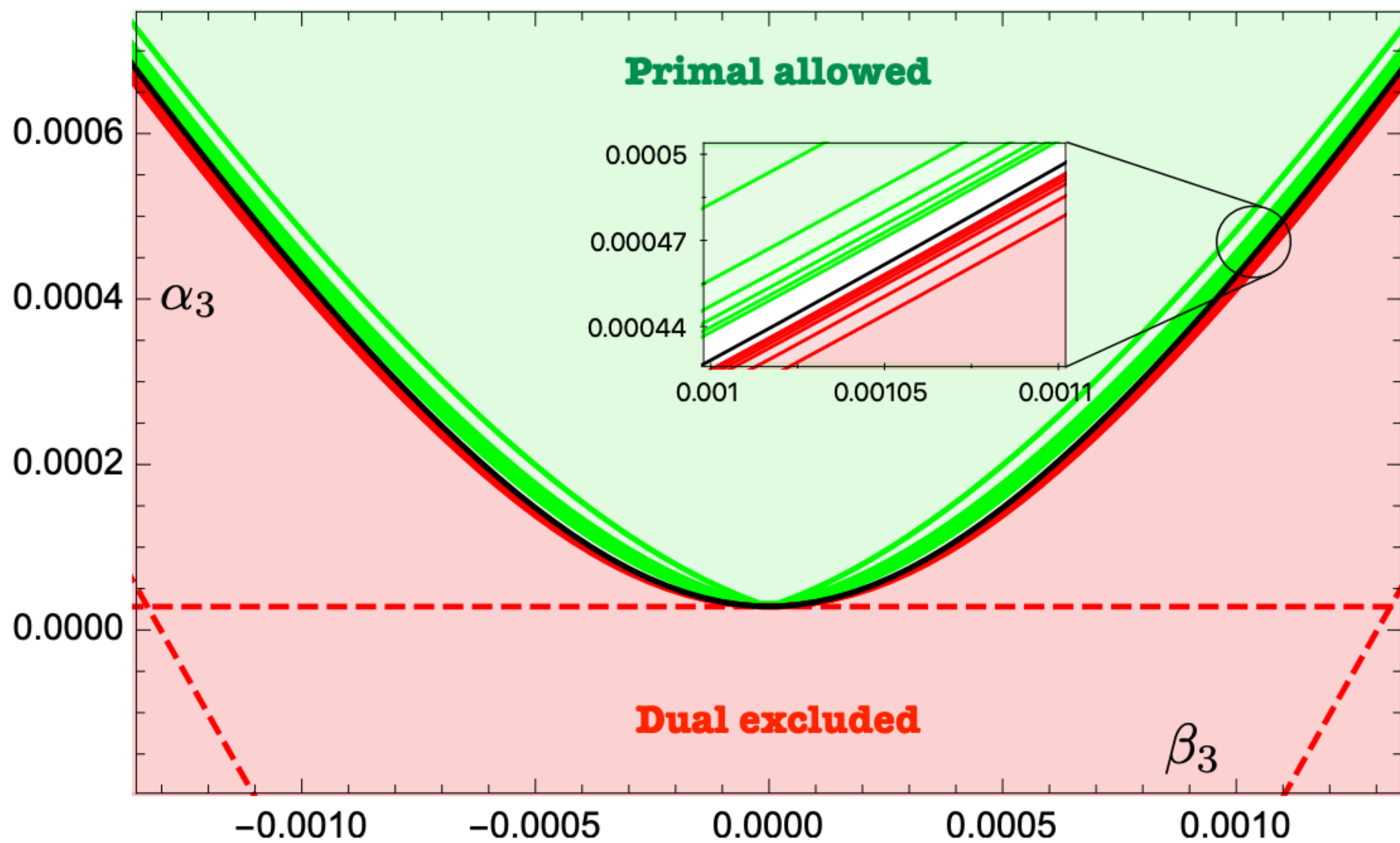


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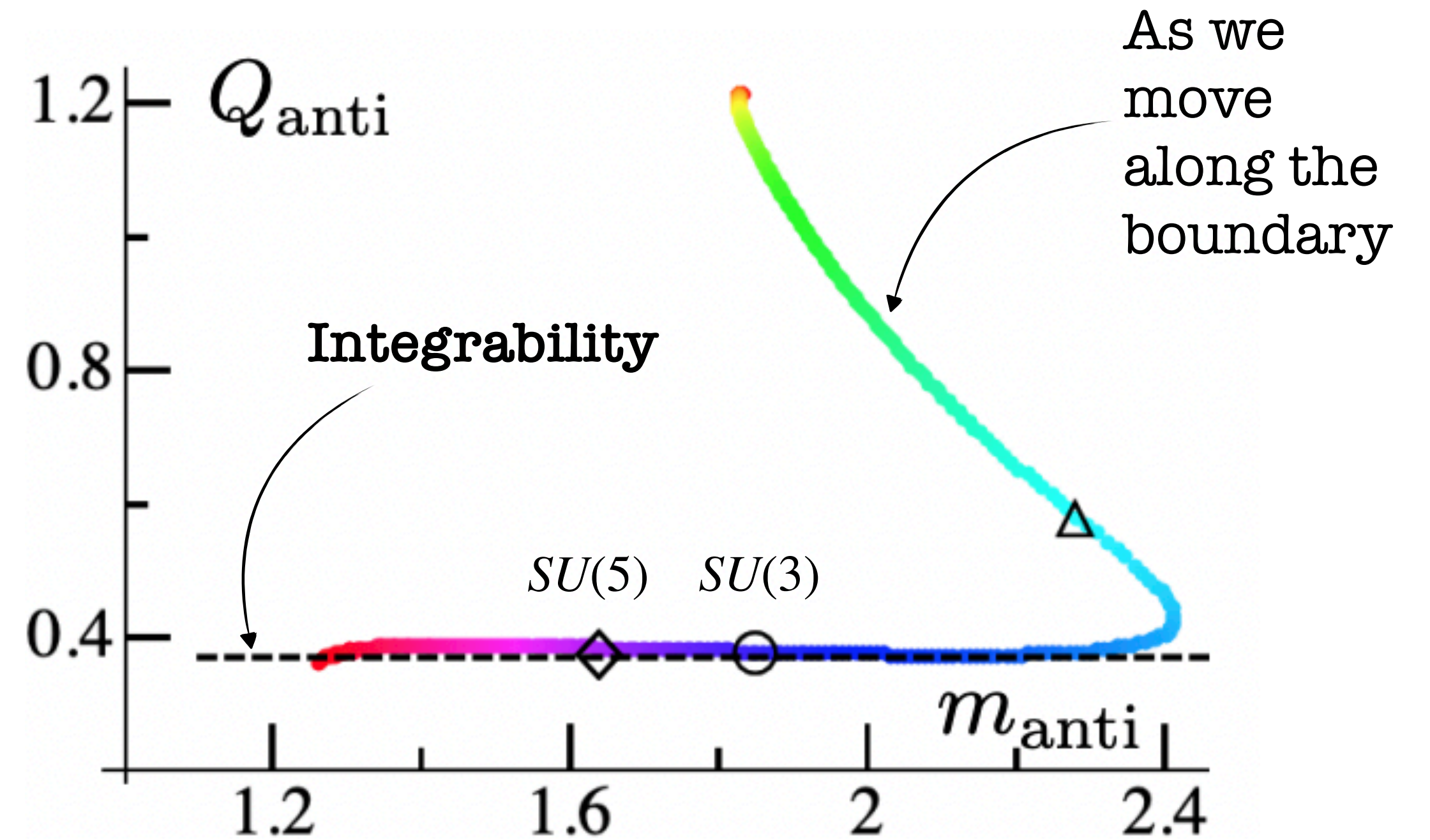
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$$Q_a^L \approx Q_a^c \approx Q_a^b$$

Can we explain this **triple** coincidence?

# String Theory and M-theory Expectations

$\alpha_D$  is one-loop exact up to non-perturbative corrections

**D=10 type IIB:**  $\alpha_{10}^{IIB} = \frac{1}{2^6} E_{3/2}(\tau, \bar{\tau}) = \frac{1}{2^6} \sum_{n,m \in \mathbb{Z}'} \frac{(Im\tau)^{3/2}}{|m\tau + n|^3}$

Green, Gutperle hep-th/9701093

$\alpha_{10} \geq 3^{1/4} \zeta(\frac{3}{2}) (\zeta(\frac{3}{2}, \frac{1}{2}) - \zeta(\frac{3}{2}, \frac{2}{3})) / \sqrt{2} \simeq 0.1389\dots$

Alday, Bissi 1311.3215

$\frac{1}{2^6} E_{3/2}(e^{i\pi/3}) \simeq 0.138949$

**D=10 type IIA:**  $\alpha_{10}^{IIA} = \alpha_{10}^{IIB} - (instantons) = \frac{\zeta_3}{32g_s^{3/2}} + \frac{g_s^{1/2}\pi^2}{96} \geq 0.1403\dots$

Binder, Chester, Pufu 1906.07195

**D=11:**  $\alpha_{11} = \frac{(2\pi)^2}{3 \times 2^7} = 0.1028\dots$  Obtained from the IIA decompactifying one dimension  $g_s = (R/l_{11})^{3/2}$

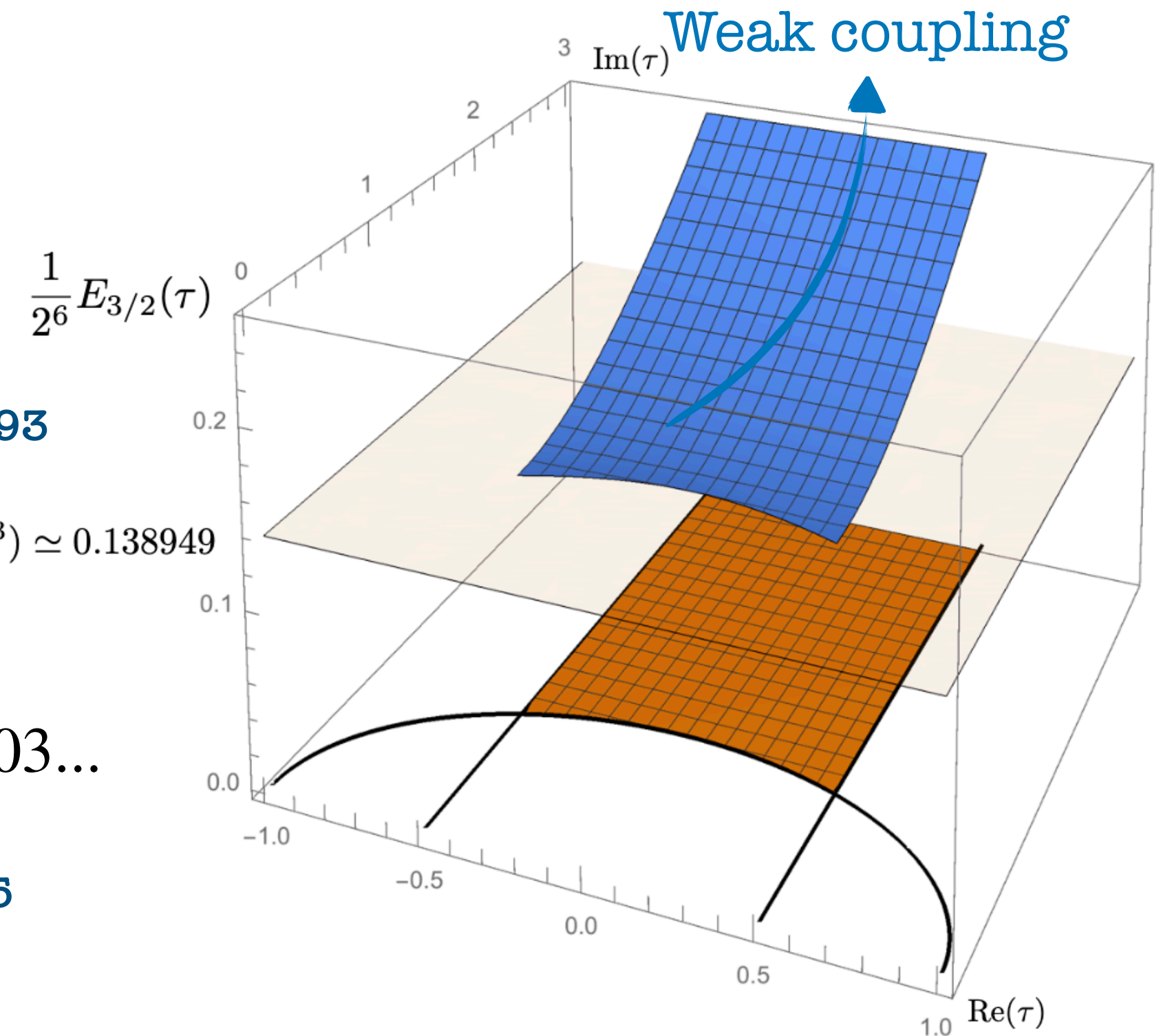
Green, Vanhove hep-th/9701093

**D=9:**  $\alpha_9(\tau, \nu) = \frac{1}{2^6} \left( \nu^{-3/7} E_{3/2}(\tau, \bar{\tau}) + \frac{2\pi^2}{3} \nu^{4/7} \right) \geq 0.2417\dots$   $\nu = \left( \frac{r}{\ell_s} \right)^{7/4} \sqrt{g_9} = \left( \frac{\ell_P}{\tilde{r}} \right)^{7/4}$

Green, Russo, Vanhove hep-th/0610299

**D ≤ 8**  $\alpha_D$  mixes with the one-loop non analytic terms and can be even negative!

Bossard, Loty 2308.02847





# Bounds on Glueball couplings

## SU(3) Yang-Mills glueballs in 3+1 D

Cutoff  $\Lambda \sim m$ , no small parameters!

## Stable Glueballs spectrum

	$J^{PC}$	Mass
G	$0^{++}$	1
H	$2^{++}$	$1.437 \pm 0.006$
$G^*$	$0^{++}$	$1.72 \pm 0.01$
$H^*$	$2^{++}$	$1.99 \pm 0.01$

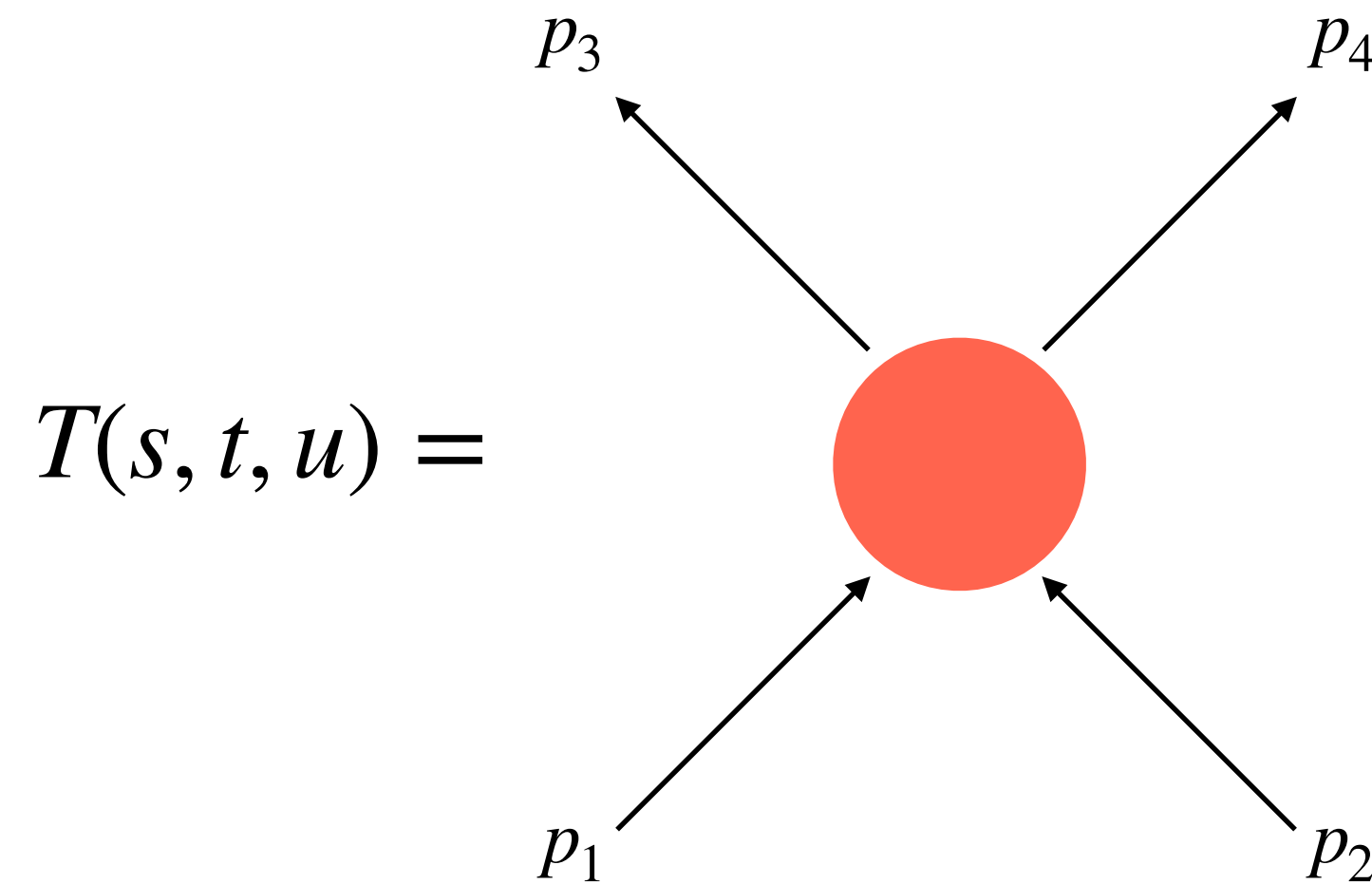
Athenodorou, Teper 2007.06422,  
2106.00364

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Consider the  $GG \rightarrow GG$  scattering



$$s = (p_1 + p_2)^2, t = (p_1 - p_4)^2, s + t + u = 4m^2$$

## Stable Glueballs spectrum

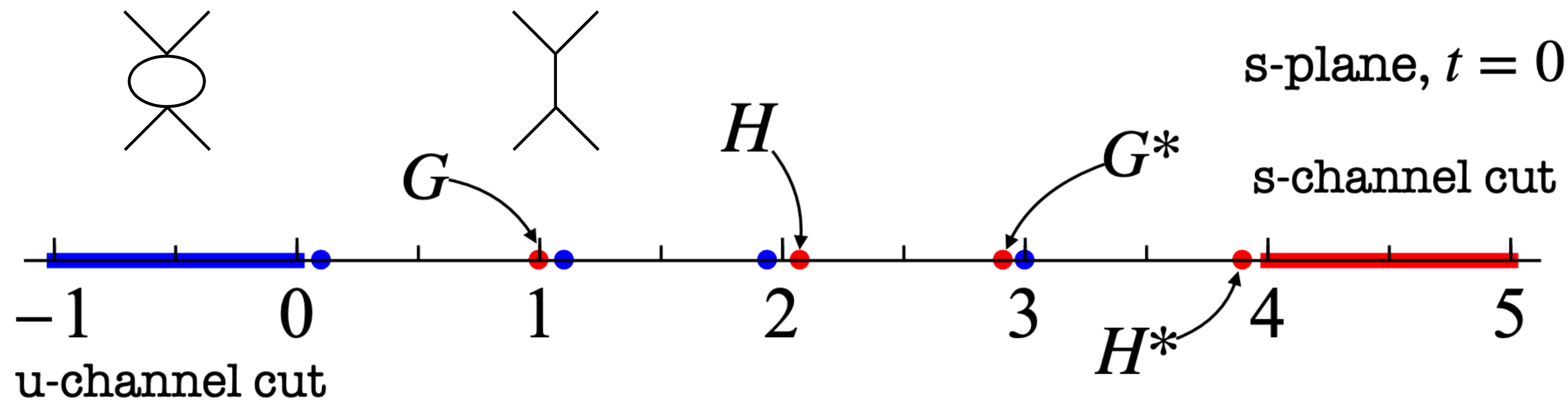
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Athenodorou, Teper 2007.06422, 2106.00364

To each stable Glueball we associate a pole in the amplitude

$$T \supset \frac{-g_X^2}{s - m_X^2} P_\ell \left( 1 + \frac{2t}{m_X^2 - 4} \right) + (t - channel) + (u - channel) \dots$$

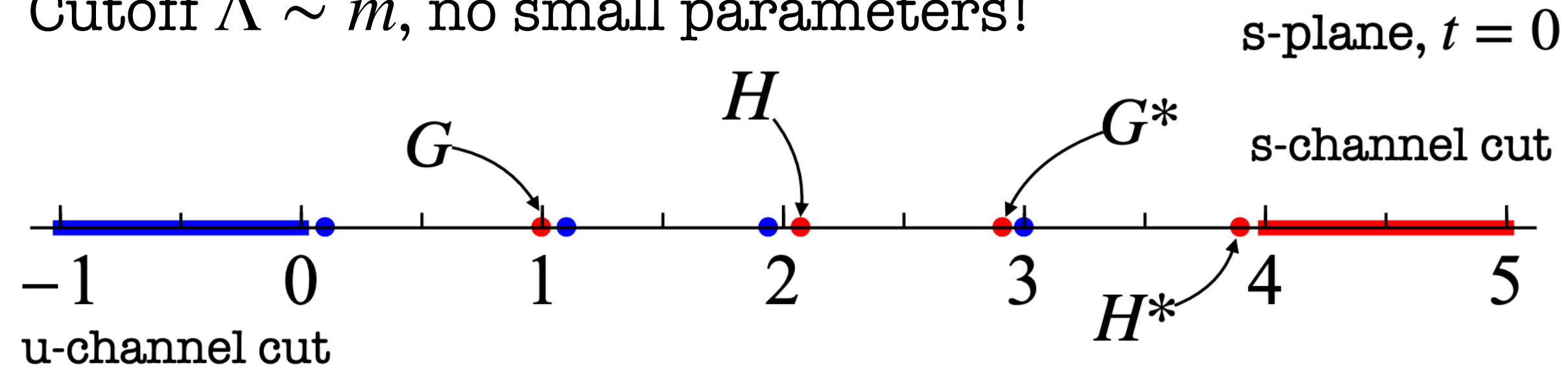
Given the spectrum, how big couplings can be?



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Bootstrap answer

$\max  g_G $	$\max  g_H $	$\max  g_{G^*} $	$\max  g_{H^*} $
213	158	224	2.15
206	156	217	—

AG, Hebbar, van Rees 2312.00127

Bound from first principles

$$0 \leq g_G \leq 213.0$$

SU(3) YM Lattice  $g_G \approx 50 \pm 7$

De Forcrand, Schierloz, Schneider, Teper '85

Stable Glueballs spectrum

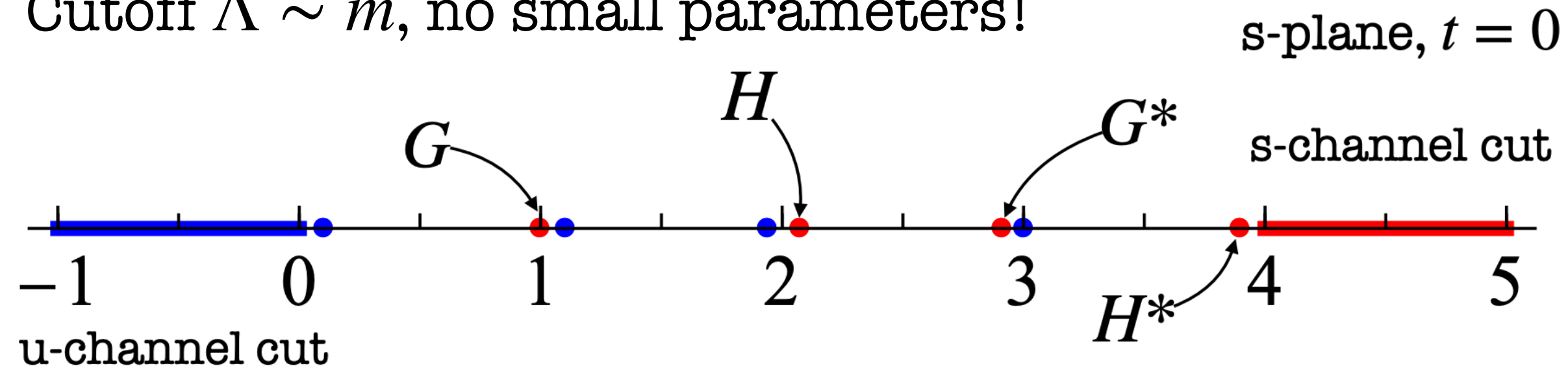
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