Integrated correlators and the bootstrap

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Based on arXiv: 2312.12576 with S. Chester and R. Dempsey, earlier work with D. Binder, S. Chester, N. Agmon, Y. Wang, and work in progress with S. Chester and R. Dempsey

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Introduction

- Strings 2024 conference \implies talk about (solving) string theory!
- Via AdS/CFT, string/M-theory in $AdS \times X \iff$ (S)CFT.
- This talk: Study $\mathcal{N} = 4$ SYM (and ABJM) theory using bootstrap.
- Various approaches
 - weak coupling expansion (small $\lambda = g_{YM}^2 N$)
 - integrability (large N)
 - holography (large N, large λ)
 - supersymmetric localization (only protected observables)
 - numerical bootstrap
 - analytic bootstrap (large N or large spin/charge)

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- Vector multiplet: A_{μ} , X_a , λ^i , λ_i , with a = 1, ..., 6 and i = 1, ..., 4.
- Conformal manifold param. by g_{YM} and θ . Define $\tau \equiv \frac{\theta}{2\pi} + \frac{4\pi i}{g_{YM}^2}$.

• Anomaly coeffs.
$$c = a = \frac{N^2 - 1}{4}$$
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- Question: What is the low-lying spectrum?
 - Lowest protected operator: S_{ab} = tr (X_aX_b ¹/₆δ_{ab}X_cX_c) has Δ = 2, in 20' of SO(6)_R, same multiplet as stress energy tensor
 - Lowest unprotected operator: tr $X_a X_a$ (at weak coupling) or $S_{ab} S^{ab}$ (at strong coupling). Dimension varies from 2 to 4.

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Bootstrapping $\mathcal{N} = 4$ SYM

- [Beem, Rastelli, van Rees '13; '16] studied 4-pt function of S_{ab}.
- *N* appears through *c* in the OPE stress tensor exchange.
- Plots from [Beem, Rastelli, van Rees '16] showing upper bounds on the dimension of the lowest unprotected scalar operator.



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- Supersymmetric localization gives $Z_{S^4}(\tau, \overline{\tau}, m)$ [Pestun '07] where *m* is $\mathcal{N} = 2$ -preserving mass (mass for hypermultiplet).
- Derivs of $Z_{S^4}(\tau, \overline{\tau}, m)$ at $m = 0 \rightarrow integrated$ correls in SCFT (see [Closset, Dumitrescu, Festuccia, Komargodski, Seiberg '12] for 2-pt fns in 3d).

• On S^4 of radius *r*, the action is (recall $\tau = \frac{\theta}{2\pi} + \frac{4\pi i}{g_{YM}^2}$)

$$S = \tau \int d^4 x \sqrt{g} \,\mathcal{O} + \overline{\tau} \int d^4 x \sqrt{g} \,\overline{\mathcal{O}} + m \int d^4 x \sqrt{g} \left[\frac{i}{r} J(x) + K(x) \right] + O(m^2)$$

• Here, \mathcal{O} , $\overline{\mathcal{O}}$ are $\Delta = 4$ ops (Lagrangian $\pm F\tilde{F}$ -term)

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• Derivatives w.r.t. τ , $\overline{\tau}$, *m* bring down **integrated** ops $\frac{\partial}{\partial \tau} \rightarrow \int d^4 x \sqrt{g} \mathcal{O}$, $\frac{\partial}{\partial \overline{\tau}} \rightarrow \int d^4 x \sqrt{g} \overline{\mathcal{O}}$, $\frac{\partial}{\partial m} \rightarrow \int d^4 x \sqrt{g} \left[\frac{iJ}{r} + K\right]$.

• We consider two integrated 4-point functions

$$\frac{\partial^4 \log Z_{S^4}}{\partial \tau \partial \overline{\tau} \partial m^2}\Big|_{m=0}, \qquad \frac{\partial^4 \log Z_{S^4}}{\partial m^4}\Big|_{m=0}$$

(Each equals an integrated 4-pt fn + integrated lower-pt functions that are fixed by SUSY)

• As per [Gerkchovitz, Gomis, Komargodski '14; Gerkchovitz, Gomis, Karasik, Komargodski, Ishtiaque, ssp '16], in SUSic correlators one can replace

$$rac{\partial}{\partial au} o 32\pi^2 A(N)\,, \qquad rac{\partial}{\partial \overline{ au}} o 32\pi^2 \overline{A}(S)$$

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Relate derivs of Z_{S⁴} to integrated 4-pt functions of S_{ab}. Need Ward ids. Done in [Binder, Chester, SSP, Wang '19; Chester, SSP '20]. For example:

$$\partial_{\tau}\partial_{\overline{\tau}}\partial_{\overline{m}}^{2}\log Z\big|_{m=0} = \int dU \, dV \, \mu(U, V) \, T(U, V)$$

where $\mu(U, V)$ is a SUSY-preserving measure; T(U, V) appears in (SSSS).

2 Calculate $\frac{\partial^4 \log Z}{\partial \tau \partial \overline{\tau} \partial \overline{\pi}^2}$, $\frac{\partial^4 \log Z}{\partial m^4}$ from Pestun's matrix model for any $N, \tau, \overline{\tau}$.

- Need instanton partition function \rightarrow interesting $SL(2,\mathbb{Z})$ properties [Chester, Green, SSP, Wang, Wen '19, 20']
- (N-1)-dimensional integral, so explicit evaluations only for small N
- Recursion formula in *N* for $\frac{\partial^4 \log Z}{\partial \tau \partial \overline{\tau} \partial m^2}$ [Dorigoni, Green, Wen '21]

• Efficient way of calculating $\frac{\partial^4 \log Z}{\partial m^4}$ in [Alday, Chester, Dorigoni, Green, Wen '23].

Combine integrated constraints with crossing equations and linear / semi-definite programming [Chester, Dempsey, SSP '21; Chester, Dempsey, SSP '23].

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- At finite *N*, expect: level crossing \rightarrow level repulsion.
- Small λ : $\Delta_0 = 2 + \frac{3\lambda}{4\pi} \frac{3\lambda^2}{16\pi^4} + \frac{21\lambda^3}{256\pi^4} + \frac{3\lambda^4(6\zeta(3) 26 15\zeta(5)(1 + \frac{12}{N^2}))}{2048\pi^8} + O(\lambda^5)$ [Velizhanin '09; Eden, Paul '16; Fleury, Pereira '20]
- Large *N*, finite τ : $\Delta_0 = 4 \frac{4}{c} + \frac{135}{7\sqrt{2}\pi^{\frac{3}{2}}c^{\frac{3}{4}}}E_{3/2}(\tau,\overline{\tau}) + \frac{1199}{42c^2} + O(c^{-\frac{9}{4}})$ [Alday, Bissi '17; Chester '19; Chester, Green, SSP, Wang, Wen '20, '21]

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Results

• **Upper bounds** on the dimension of the lowest unprotected scalar for various values of *N* and g_{YM} , at $\theta = 0$ [Chester, Dempsey, SSP '23]



• The max is at $g_{YM}^2 = 4\pi$ (self-dual point). Each curve is invariant under the S-duality transformation $g_{YM} \rightarrow 4\pi/g_{YM}$.

Results

• Comparison b/w upper bounds for *SU*(2) and *SU*(10) and weak coupling and large *N* expansions.



- Bound w/o integrated constraints is never saturated.
- Crossover for OPE coeffs



Results

 Comparison with large N expansions shows bounds are sensitive to NNNLO (beyond tree level SUGRA) correction



ABJM theory

- In 3d N = 8 SCFTs, the analog of the 20' operator S_{ab} is a Δ = 1 operator S_{AB} in 35_c of SO(8)_R, with A, B = 1,...,8.
- One can compute $Z_{S^3}(m_+, m_-)$ where m_{\pm} are $\mathcal{N}=$ 4-preserving masses [Kapustin, Willett, Yaakov '09].
- The derivatives $\frac{\partial^4 \log Z_{S^3}}{\partial m_+^4}$, $\frac{\partial^4 \log Z_{S^3}}{\partial m_-^4}$ give directly an OPE coefficient [Dedushenko, SSP, Yacoby '16].
- The mixed derivative $\frac{\partial^4 \log Z_{S^3}}{\partial m_+^2 \partial m_-^2}$ gives an integrated correlator of S_{AB} [Binder, Chester, SSP '18].

M-theory archipelago

• Bounds for **OPE coefficients** in $\mathcal{N} = 8$ SCFTs, with input about OPE coefficient obtained from 4th mass derivative for the $U(N)_1 \times U(N)_{-1}$ ABJM theory [Agmon, Chester, SSP '19] :



Integrated constraints in ABJM

• Integrated constraint from $\frac{\partial^4 \log Z_{S^3}}{\partial m_+^2 \partial m_-^2}$ reduces size of the island by a factor

of \sim 10. For N = 2 [Chester, Dempsey, SSP, work in progress] :



Comparison with large N expansion

• OPE coefficient in terms of $c_T \sim N^{3/2}$:



Numerics are sensitive to NNNLO correction [Chester, Dempsey, SSP, work in progress] :



 With more precision, we would be sensitive to unprotected contributions in M-theory effective action!

• In d=4
$$\mathcal{N} = 4$$
 SYM, $\mathcal{I} = \partial_{\tau} \partial_{\overline{\tau}} \partial_{\overline{m}}^2 \log Z_{S^4}$,
 $\mathcal{I} \propto \left\langle \left[\int d^4 x_1 \sqrt{g} \left(\frac{i}{r} J + K \right) \right] \left[\int d^4 x_2 \sqrt{g} \left(\frac{i}{r} J + K \right) \right] \overline{A}(S) A(N) \right\rangle$
can be written using $(J = S_{11} + S_{22} - S_{33} - S_{44}, A = S_{55} + 2iS_{56} - S_{66})$
 $\langle J(x_1) J(x_2) \overline{A}(x_3) A(x_4) \rangle = \frac{1}{x_{12}^4 x_{34}^4} F(u, v)$.

The integrated correl. is [Binder, Chester, SSP, Wang '19]

$$\mathcal{I} \propto \int_0^\infty \frac{dR}{R} \int_0^\pi d\theta \, \sin^2 \theta \, \frac{v}{u^2} F(u, v) \bigg|_{\substack{u=1+R^2-2R\cos \theta \\ v=R^2}}$$

• Interpretation: Send $x_3 \to \infty$, $x_4 \to 0$ and map to $S^3 \times \mathbb{R}$:

$$\langle J(\tau,\hat{n})J(\tau',\hat{n}')\rangle_{A\overline{A}} = \langle \overline{A}|J(\tau,\hat{n})J(\tau',\hat{n}')|A\rangle = \frac{v}{u^2}F(u,v),$$

with
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$$\mathcal{I} \propto \int_{\mathcal{S}^3 imes \mathbb{R}} d au \, d\Omega_3 \, \langle J(au, \hat{n}) J(au', \hat{n}')
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• Easier to prove Ward ids on $S^3 imes \mathbb{R}$ [Dempsey, Offertaler, SSP, Wang, in progress] :

$$\langle \mathcal{K}(\tau,\hat{n})\mathcal{K}(\tau',\hat{n}')
angle_{A\overline{A}}\propto (\Box-1)\left[e^{-(\tau- au')}\langle J(\tau,\hat{n})J(\tau',\hat{n}')
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Generalization to half-maximal SCFTs in 4d, 3d, and even to defects.

• For 1/2-BPS line defect in N = 2 SCFTs, (e.g. circular Wilson loop in N = 4 SYM), we have [Dempsey, Offertaler, SSP, Wang '24]

$$\left. \frac{\partial^2 \log \langle \mathbb{W} \rangle}{\partial m^2} \right|_{m=0} \propto \int_{\mathbb{H}^2 \times S^2} d^4 x \sqrt{g(x)} \, \left\langle J(x) J(x') \right\rangle_{\mathbb{W}}$$

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- Generalization to half-maximal SCFTs in 4d, 3d, and even to defects.
- For 1/2-BPS line defect in N = 2 SCFTs, (e.g. circular Wilson loop in N = 4 SYM), we have [Dempsey, Offertaler, SSP, Wang '24]

$$\left.\frac{\partial^2 \log \langle \mathbb{W} \rangle}{\partial m^2}\right|_{m=0} \propto \int_{\mathbb{H}^2 \times S^2} d^4x \, \sqrt{g(x)} \, \langle J(x) J(x') \rangle_{\mathbb{W}}$$

• \mathcal{I} is an integral over $S^3 \times \mathbb{R}$ with a flat measure!

$$\mathcal{I} \propto \int_{\mathcal{S}^3 imes \mathbb{R}} d au \, d\Omega_3 \, \langle J(au, \hat{n}) J(au', \hat{n}')
angle_{A\overline{A}}$$

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Conclusion

- Integrated correlators are very powerful when combined with numerical bootstrap.
- For $\mathcal{N} = 4$ SYM they allow us to find coupling-dependent bounds.
- For ABJM theory they allow a more detailed comparison with M-theory.
- Related topics: analytic bootstrap at large *N*, defects, $SL(2,\mathbb{Z})$, etc.
- For the future: more precision for bootstrap numerics, constraints involving squashing, less supersymmetric theories, etc.