

What a flavour (and CP) symmetry can do for you

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UNIVERSITAT
DE VALÈNCIA



CSIC

CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS

Overview

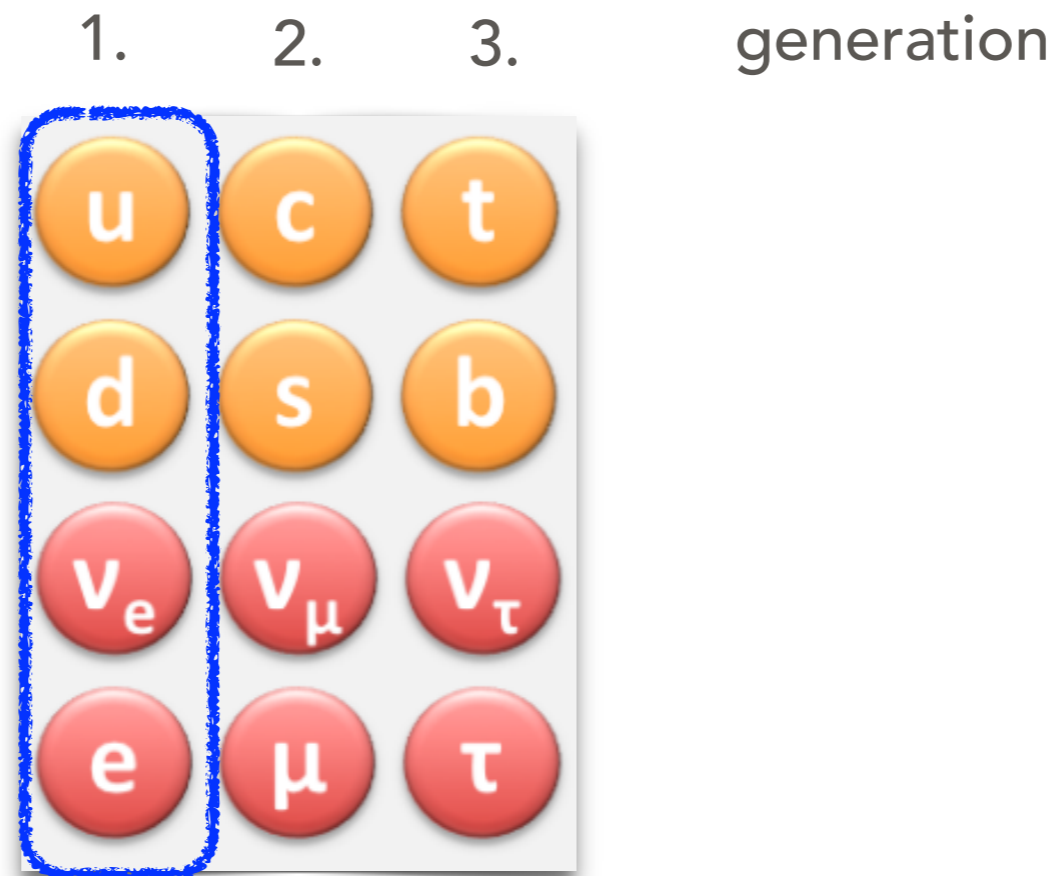
- Introduction
- Flavour and CP symmetries
- Example 1: Inverse seesaw mechanism
- Example 2: Low-scale seesaw mechanism
- Example 3: Model with leptoquark
- Summary and Outlook

Introduction

- Standard Model (SM) is very successful.
Nevertheless, several phenomena are not explained within SM.

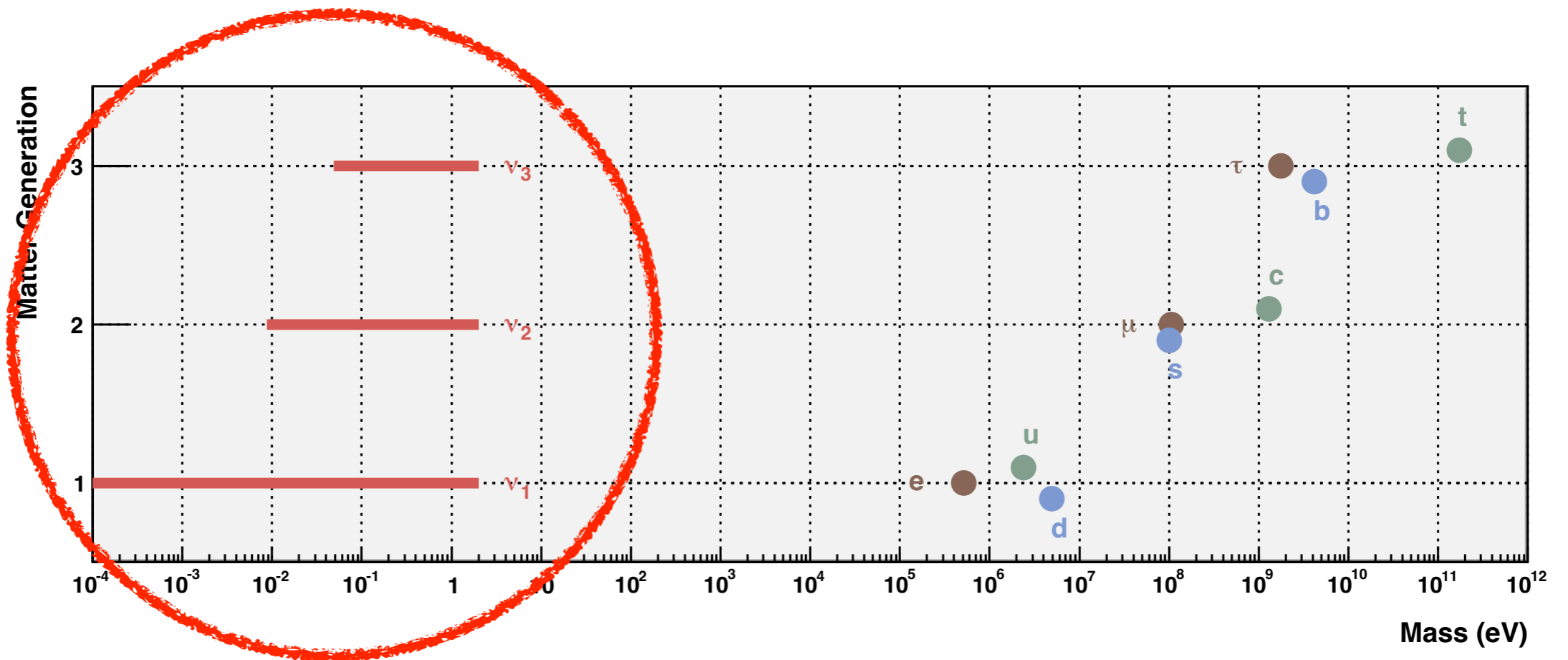
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Nevertheless, several phenomena are not explained within SM.
- **Replication of fermion generations**



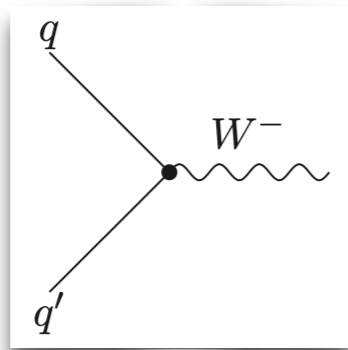
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- Replication of fermion generations
- **Fermion masses**



Introduction

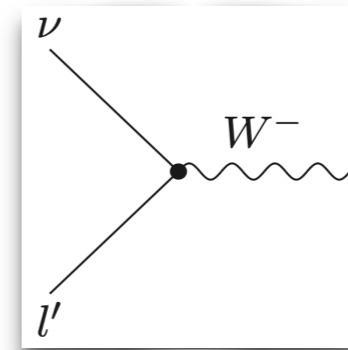
- Standard Model (SM) is very successful.
Nevertheless, several phenomena are not explained within SM.
- Replication of fermion generations
- Fermion masses
- **Quark and lepton mixing**



$$\begin{pmatrix} 0.97 & 0.22 & 3.7 \cdot 10^{-3} \\ 0.22 & 0.97 & 0.042 \\ 9.0 \cdot 10^{-3} & 0.041 & 0.999 \end{pmatrix}$$

PDG ('20) Cabibbo-Kobayashi-Maskawa (CKM) mixing matrix

C. Hagedorn



$$\begin{pmatrix} 0.82 & 0.55 & 0.15 \\ 0.29 & 0.59 & 0.75 \\ 0.49 & 0.59 & 0.64 \end{pmatrix}$$

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) mixing matrix

NuFIT 5.1 ('21)

6th Sydney meeting

Introduction

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- Replication of fermion generations
- Fermion masses
- Quark and lepton mixing
- **Baryon asymmetry of the Universe (BAU)**

$$Y_B = \left. \frac{n_B - n_{\bar{B}}}{s} \right|_0 = 8.75 \times 10^{-11}$$

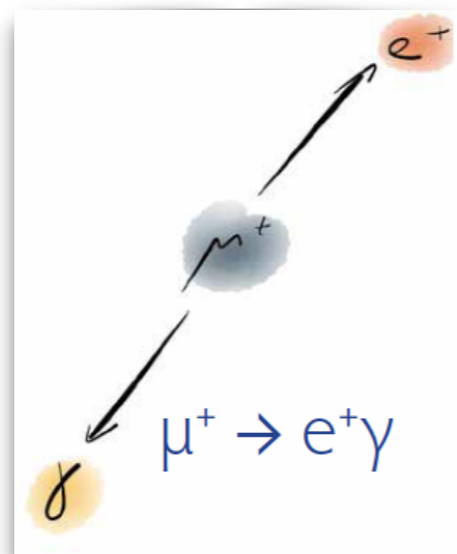
Planck ('18)

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- Additionally, beyond SM (BSM) theories can have a rich phenomenology.
- **Processes forbidden/highly suppressed in SM can be in reach**



Current experimental limit

$$\text{BR}(\mu \rightarrow e\gamma) < 4.2 \cdot 10^{-13}$$

MEG at PSI ('16)

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 - **Flavour and CP violation needs to be kept under control**

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 - Processes forbidden / highly suppressed in SM can be in reach
 - Flavour and CP violation needs to be kept under control
 - **Possible correlations among different signals**

Flavour and CP symmetries

- Let us be inspired by the success of gauge symmetries.
- Assume a **new symmetry, acting on flavour space**, e.g.

$$\begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix} \rightarrow \begin{pmatrix} q_2 \\ q_3 \\ q_1 \end{pmatrix}$$

with q_i being the i th quark generation.

This constrains the couplings in the flavour sector, i.e. the quark masses and mixing.

Properties of this new symmetry G_f ?

Flavour and CP symmetries

Properties of this new symmetry G_f ?

G_f could be ...

- ... abelian or non-abelian
- ... continuous or discrete
- ... local or global
- ... spontaneously broken or explicitly
- ... broken arbitrarily or to non-trivial subgroups
- ... broken at low or high energies

Its maximal possible size depends on the chosen gauge group.

Flavour and CP symmetries

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Flavour and CP symmetries

Properties of this new symmetry G_f ?

G_f could be ...

- ... abelian or **non-abelian** (**three generations**)
- ... continuous or **discrete** (**preferred directions**)
- ... local or global
- ... spontaneously broken or explicitly
- ... broken arbitrarily or to **non-trivial subgroups** (**predictive**)
- ... broken at low or high energies

Its maximal possible size depends on the chosen gauge group.

Flavour and CP symmetries

There are many options ...

- Dihedral symmetries D_n as well as D'_n
- Symmetric and alternating groups, S_n and A_n
- Discrete subgroups of modular group
- Groups $\Sigma(n, \varphi)$
- Adding CP symmetries
- Series of groups $\Delta(3n^2)$ and $\Delta(6n^2)$ — also with CP
- ...

Altarelli, Antusch, Branco, Calibbi, Centelles Chulia, Chen, Chu, Dasgupta, de Medeiros Varzielas, Ding, Everett, Feruglio, Gavela, Gehrlein, Girardi, Gonzalez Felipe, Grimus, CH, He, Hirsch, Joaquim, King, Lavoura, Luhn, Mahanthappa, Machado, Medina, Melis, Meloni, Merlo, Meroni, Mohapatra, Neder, Nilles, Nishi, Pas, Pascoli, Petcov, Rodejohann, Schumacher, Serodio, Shimizu, Smirnov, Spinrath, Srivastava, Stuart, Tanimoto, Titov, Valle, Vicente, Vien, Vives, Xu, Yamamoto, Ziegler, ...

Reviews

Ishimori et al. ('10), King/Luhn ('13), Feruglio/Romanino ('19); Grimus/Ludl ('11)

Flavour and CP symmetries

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Example 1 & 2

Flavour and CP symmetries

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- **Dihedral symmetries D_n as well as D'_n**
- Symmetric and alternating groups, S_n and A_n
- Discrete subgroups of modular group
- Groups $\Sigma(n, \varphi)$
- Adding CP symmetries
- Series of groups $\Delta(3, n^2)$ and $\Delta(6, n^2)$ — also with CP
- ...

Example 3

Flavour and CP symmetries

Series of groups $\Delta(3n^2)$ and $\Delta(6n^2)$

- Have 3-dim irrep(s)
- Can also offer 1-dim irreps and 2-dim irreps
- Are subgroups of SU(3)

$\Delta(3n^2)$

Luhn/Nasri/Ramond ('07)

$$a^3 = e, \quad c^n = e, \quad d^n = e, \\ cd = dc, \quad aca^{-1} = c^{-1}d^{-1}, \quad ada^{-1} = c$$

$$g = a^\alpha c^\gamma d^\delta \quad \text{with} \quad \alpha = 0, 1, 2, \quad 0 \leq \gamma, \delta \leq n - 1$$

A well-known member is the permutation group A_4

Flavour and CP symmetries

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- Have 3-dim irrep(s)
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- Are subgroups of SU(3)

$\Delta(6n^2)$ Add to relations of $\Delta(3n^2)$ Escobar/Luhn ('08)

$$b^2 = e, \quad (ab)^2 = e, \quad bcb^{-1} = d^{-1}, \quad bdb^{-1} = c^{-1}$$

$$g = a^\alpha b^\beta c^\gamma d^\delta \quad \text{with} \quad \alpha = 0, 1, 2, \quad \beta = 0, 1, \quad 0 \leq \gamma, \delta \leq n - 1$$

A well-known member is the permutation group S_4

Flavour and CP symmetries

Add CP as further symmetry

Grimus/Rebelo ('95),

Ecker/Grimus/Neufeld ('84,'87,'88)

- Motivation:

For more than one generation of certain particle species, define CP that also acts on generations of particles,

e.g.

$$\Phi_i(x) \rightarrow X_{ij} \Phi_j^\dagger(x_P) \text{ with } (x_P)_\mu = x^\mu$$

with

$$X X^\dagger = X X^\star = 1$$

- CP is involution and corresponds to automorphism of flavour symmetry

Feruglio/CH/Ziegler ('12)

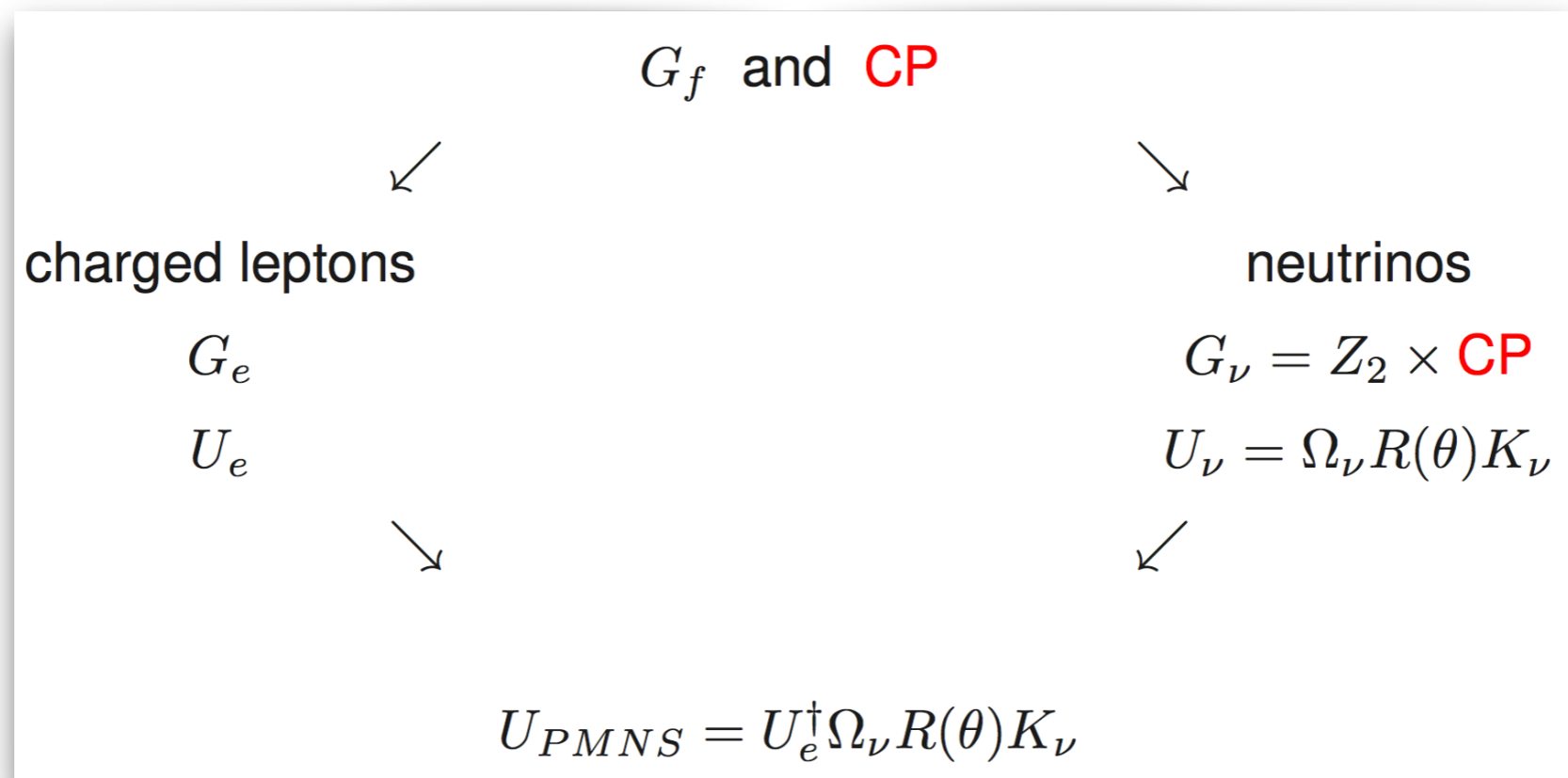
Holthausen/Lindner/Schmidt ('12), Chen et al. ('14)

Flavour and CP symmetries

Breaking of symmetries

Feruglio/CH/Ziegler ('12)

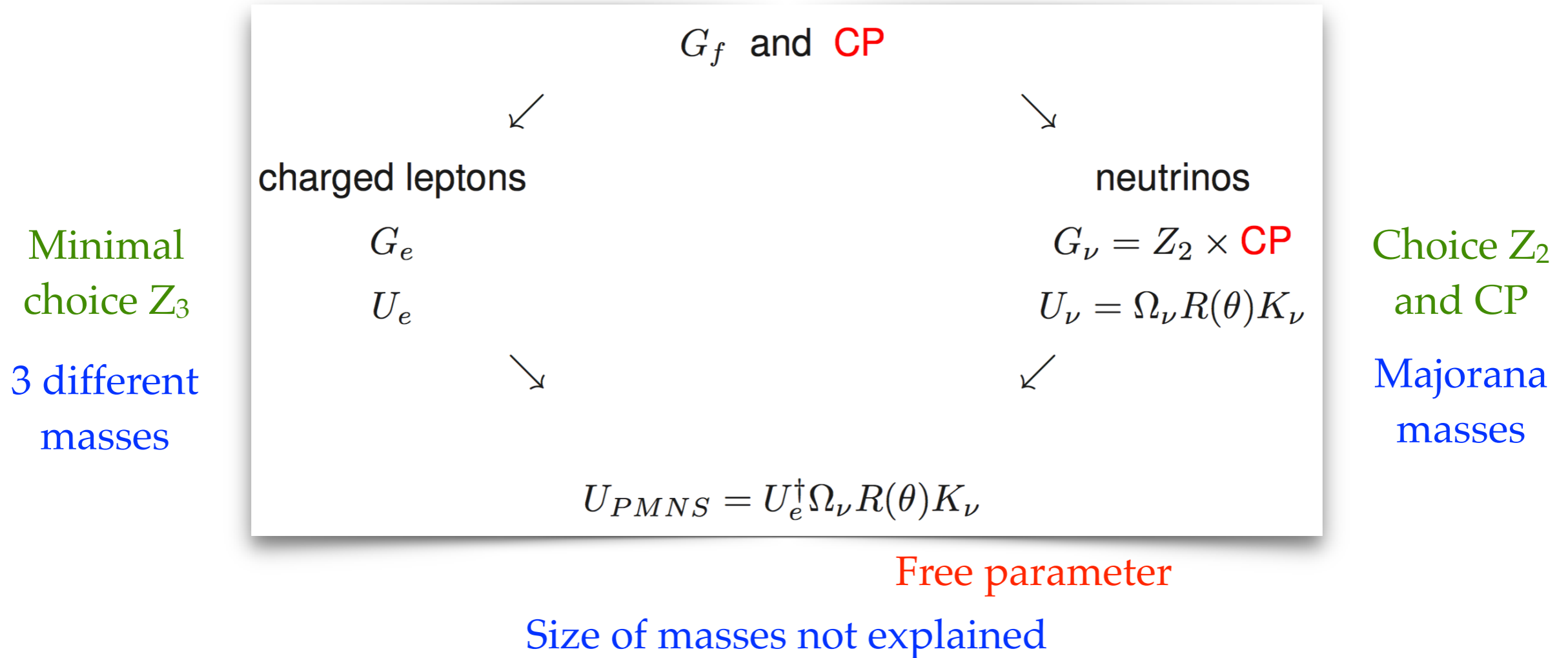
Idea: Keep some residual symmetry among charged leptons and neutrinos, G_e and G_ν , with $G_e \neq G_\nu$
Mismatch of symmetries corresponds to lepton mixing



Flavour and CP symmetries

Breaking of symmetries

Feruglio/CH/Ziegler ('12)



CH/Meroni/Molinaro ('14)

Result: four different types of mixing patterns with different properties

Case 1)

Case 2)

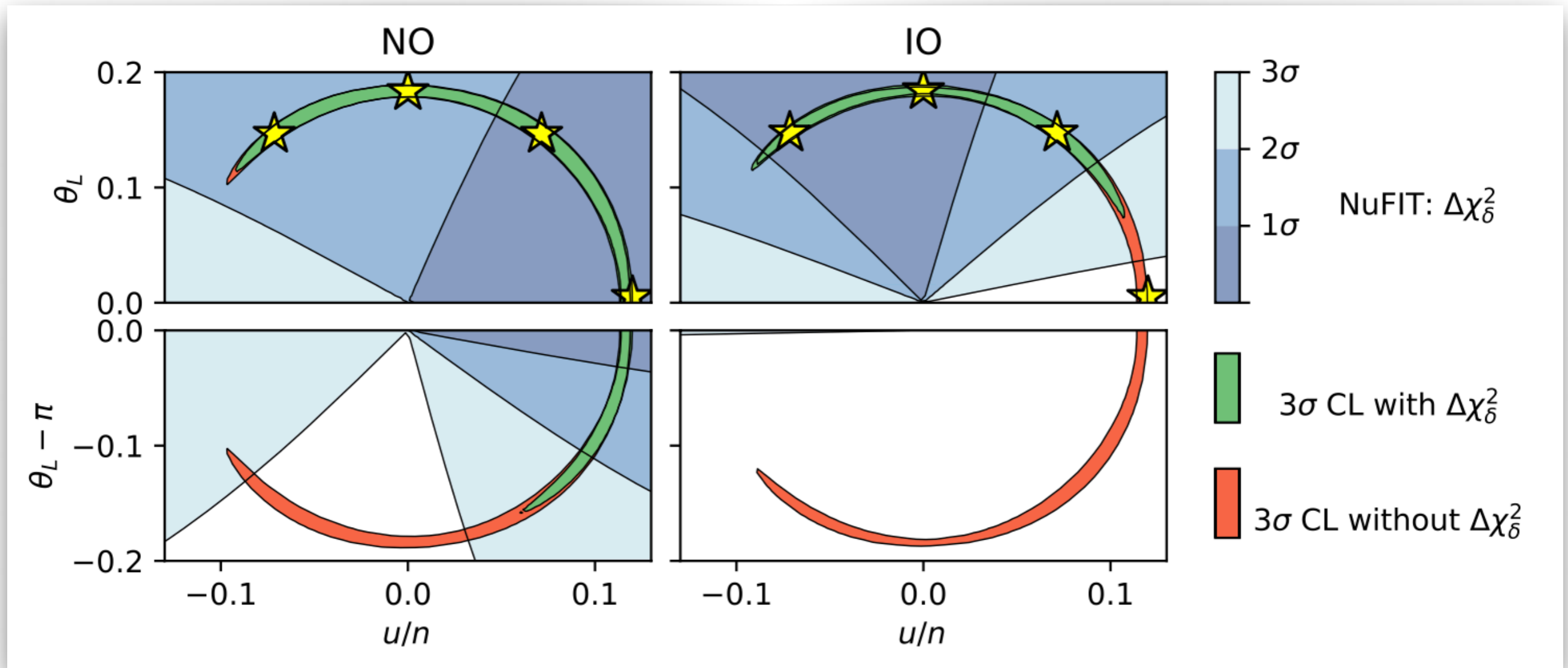
Case 3 a)

Case 3 b.1)

Flavour and CP symmetries

[M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Case 2)



$$u = 2s - t$$

fixed by CP symmetry

$$v = 3t$$

relevant mainly for Majorana phase α

Flavour and CP symmetries

[M. Drewes, Y. Georis, CH,
J. Klaric ('22)]

Case 2)

$$n = 14$$

u	$u = -1$	$u = 0$	$u = +1$
θ_L	0.146 (0.148)	0.184	0.146 (0.148)
$\sin^2 \theta_{12}$	0.341	0.341	0.341
$\sin^2 \theta_{13}$	0.0222 (0.0224)	0.0222 (0.0224)	0.0222 (0.0224)
$\sin^2 \theta_{23}$	0.437	0.5	0.563
$\Delta\chi^2$	9.25 (11.2)	10.8 (12.5)	8.27 (8.62)

$$\sin \delta = -1 \text{ for } u = 0$$

$$\sin \delta \approx -0.811 \text{ } (-0.813) \text{ for } u = \pm 1$$

Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

- Consider a scenario of **(3,3) ISS**,
i.e. 3 generations of LH doublets,
3 generations of N_i and S_j , all of them gauge singlets

$$-(y_D)_{\alpha i} \bar{L}_\alpha^c H N_i^c - (M_{NS})_{ij} \bar{N}_i S_j - \frac{1}{2} (\mu_S)_{kl} \bar{S}_k^c S_l + \text{h.c.}$$

Mass matrix of neutral states

$$\mathcal{M}_{\text{Maj}} = \begin{pmatrix} 0 & m_D & 0 \\ m_D^T & 0 & M_{NS} \\ 0 & M_{NS}^T & \mu_S \end{pmatrix} \quad \text{with } m_D = y_D \frac{v}{\sqrt{2}}$$

- Light neutrino masses

$$|\mu_S| \ll |m_D| \ll |M_{NS}|:$$

$$m_\nu = m_D \left(M_{NS}^{-1} \right)^T \mu_S M_{NS}^{-1} m_D^T$$

Mohapatra / Valle ('86),

Mohapatra ('86),

Bernabeu et al. ('87),

Gonzalez-Garcia / Valle ('89)

Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
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- We take

$$\alpha_R \sim 1$$

$$L_\alpha \sim 3, N_i \sim 3, S_j \sim 3$$

[detail: use additional Z_3
to distinguish e, μ, τ]

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$$L_\alpha \sim 3 N_i \sim 3, S_j \sim 3$$

irreducible, faithful, **complex**

Reason:

Fully explore the predictive
power of flavour and CP
symmetry

CH/Meroni/Molinaro ('14)

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Charged lepton mass matrix

residual symmetry G_e

$$\begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix}$$

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Reason:

Get m_D and M_{NS} invariant,
encode flavour and CP
symmetry breaking in μ_S

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Neutrino mass matrix

residual symmetry G_ν

$$\mathcal{M}_{\text{Maj}} = \begin{pmatrix} 0 & m_D & 0 \\ m_D^T & 0 & M_{NS} \\ 0 & M_{NS}^T & \mu S \end{pmatrix} \quad \text{with } m_D = y_D \frac{v}{\sqrt{2}}$$

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$$-(y_D)_{\alpha i} \bar{L}_\alpha^c H N_i^c - (M_{NS})_{ij} \bar{N}_i S_j - \frac{1}{2} (\mu_S)_{kl} \bar{S}_k^c S_l + \text{h.c.}$$

No symmetry breaking

$$m_D = y_0 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \frac{v}{\sqrt{2}} \quad \text{with } y_0 > 0$$

$$M_{NS} = M_0 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \text{with } M_0 > 0$$

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Symmetry breaking

$$U_S^T \mu_S U_S = \begin{pmatrix} \mu_1 & 0 & 0 \\ 0 & \mu_2 & 0 \\ 0 & 0 & \mu_3 \end{pmatrix}$$

$$U_S = \Omega(\mathbf{3}) R_{fh}(\theta_S)$$

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Light neutrino mass matrix

$$m_\nu = \frac{y_0^2 v^2}{2 M_0^2} \mu_S = \frac{y_0^2 v^2}{2 M_0^2} U_S^* \begin{pmatrix} \mu_1 & 0 & 0 \\ 0 & \mu_2 & 0 \\ 0 & 0 & \mu_3 \end{pmatrix} U_S^\dagger$$

Neutrino masses

$$m_i = \frac{y_0^2 v^2}{2 M_0^2} \mu_i \text{ for } i = 1, 2, 3$$

Lepton mixing

$$\tilde{U}_{\text{PMNS}} = \Omega(\mathbf{3}) R_{fh}(\theta_S)$$

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Heavy states

$$M_{h,i} = M_0 - \frac{\mu_i}{2} \text{ and } M_{h,i+3} = M_0 + \frac{\mu_i}{2} \text{ with } i = 1, 2, 3.$$

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Back to light neutrinos

... go beyond leading order [Hettmansperger / Lindner / Rodejohann \('11\)](#)

- potentially new contributions to m_ν
- effects of non-unitarity

$$\tilde{U}_{\text{PMNS}} = (\mathbb{1} - \eta) U_0$$

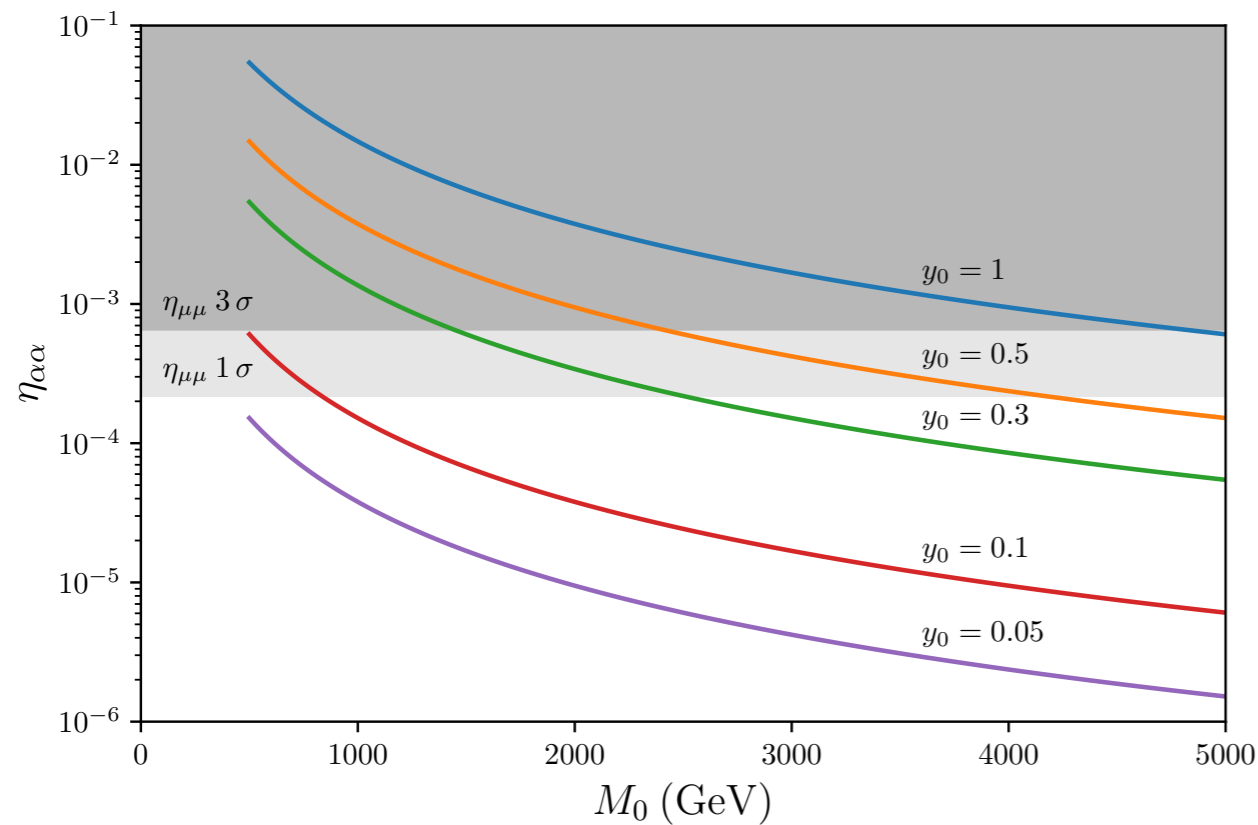
$$\eta = \frac{y_0^2 v^2}{4 M_0^2} \mathbb{1} \equiv \eta_0 \mathbb{1}$$

**flavour-diagonal
and flavour-universal**

Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

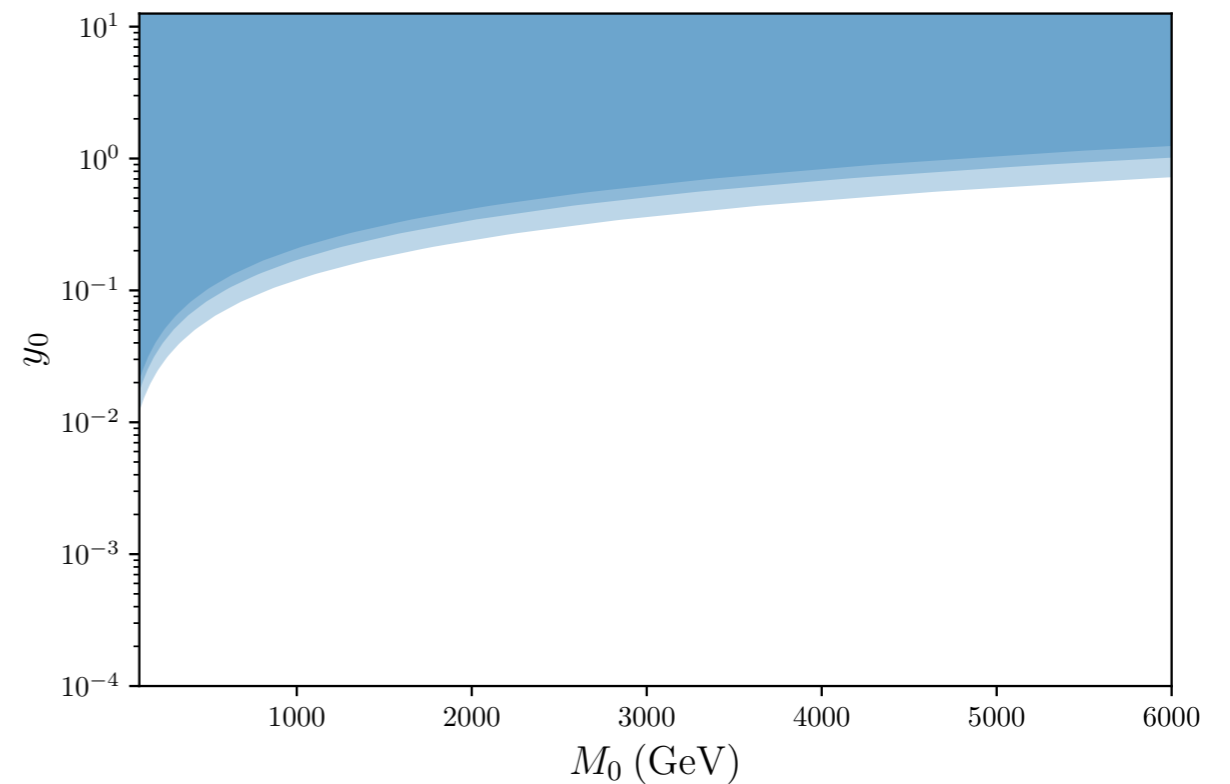
Constraints from non-unitarity



Strongest bound comes from $\eta_{\mu\mu}$

$$|\eta_{\alpha\beta}| \leq \begin{pmatrix} 1.3 \cdot 10^{-3} & 1.2 \cdot 10^{-5} & 1.4 \cdot 10^{-3} \\ 1.2 \cdot 10^{-5} & 2.2 \cdot 10^{-4} & 6.0 \cdot 10^{-4} \\ 1.4 \cdot 10^{-3} & 6.0 \cdot 10^{-4} & 2.8 \cdot 10^{-3} \end{pmatrix}$$

Fernandez-Martinez et al. ('16)



Example 1: Inverse seesaw mechanism

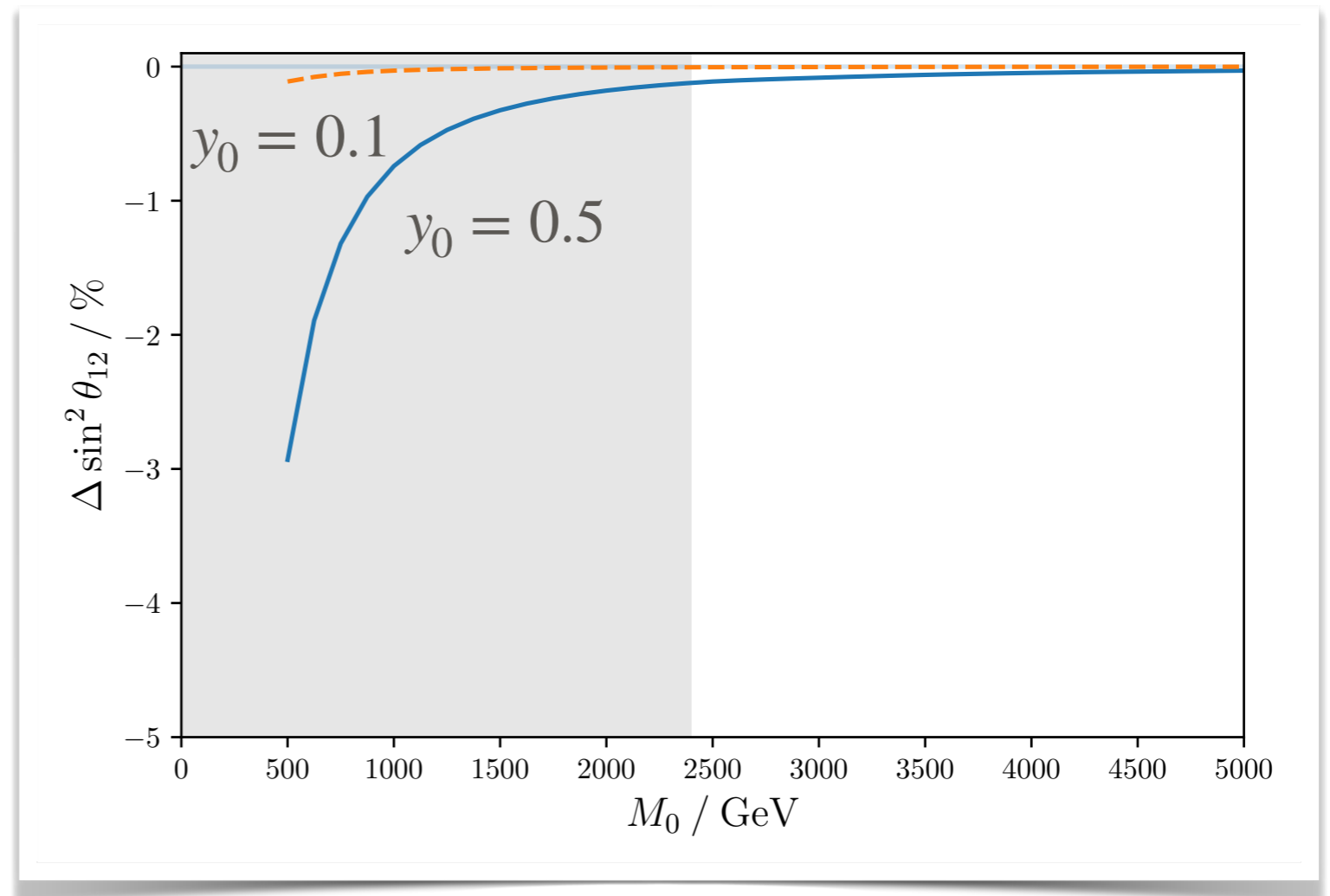
[CH, J. Kriewald, J. Orloff,
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Effect on lepton mixing

Case 1)

$$\sin^2 \theta_{12} = \frac{1}{2 + \cos 2\theta}$$

$$\theta \approx 0.18$$



Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
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Charged lepton flavour violation

Relevant points

- Lepton number and flavour breaking are **both** encoded in the matrix

$$U_S^T \mu_S U_S = \begin{pmatrix} \mu_1 & 0 & 0 \\ 0 & \mu_2 & 0 \\ 0 & 0 & \mu_3 \end{pmatrix}$$

$$U_S = \Omega(\mathbf{3}) R_{fh}(\theta_S)$$

- Non-unitarity effects are **flavour-diagonal and flavour-universal**

$$\eta = \frac{y_0^2 v^2}{4 M_0^2} \mathbb{1} \equiv \eta_0 \mathbb{1}$$

- Mass spectrum of heavy states is peculiar:
they form **pseudo-Dirac pairs** with very small mass splitting
and all three such pairs have a **common mass scale**

$$M_{h,i} = M_0 - \frac{\mu_i}{2} \quad \text{and} \quad M_{h,i+3} = M_0 + \frac{\mu_i}{2} \quad \text{with } i = 1, 2, 3.$$

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Rates of charged lepton flavour violating processes
 $\ell_\beta \rightarrow \ell_\alpha \gamma$ $\ell_\beta \rightarrow 3 \ell_\alpha$ $\mu - e$ conversion
are very suppressed!

for general formulae see Alonso et al. ('12), Ilakovac/Pilaftsis ('95)

each pair has a **common mass scale** with very small mass splitting

$$M_{h,i} = M_0 - \frac{\mu_i}{2} \quad \text{and} \quad M_{h,i+3} = M_0 + \frac{\mu_i}{2} \quad \text{with } i = 1, 2, 3.$$

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

- Consider a scenario of **type I seesaw with 3 RH neutrinos**, i.e. 3 generations of LH doublets and 3 generations of gauge singlets ν_{Ri}

$$\mathcal{L} \supset i\bar{\nu}_R \not{\partial} \nu_R - \frac{1}{2} \bar{\nu}_R^c M_R \nu_R - \bar{l}_L Y_D \varepsilon H^* \nu_R + \text{h.c.}$$

- Light neutrino masses

$$m_\nu = -m_D M_R^{-1} m_D^T$$

with

$$m_D = Y_D \langle H \rangle$$

Minkowski ('77), Glashow ('80), Gell-Mann/Ramond/Slansky ('79),

Mohapatra/Senjanovic ('80), Yanagida ('80), Schechter/Valle ('80)

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

- We take

$$\alpha_R \sim 1$$

$$l_{L\alpha} \sim 3, \nu_{Ri} \sim 3'$$

[detail: use additional Z_3
to distinguish e, μ, τ]

see also Dev / CH / Molinaro ('18); Chauhan / Dev ('22)

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$$l_{L\alpha} \sim \textcircled{3} \nu_{Ri} \sim 3'$$

irreducible, faithful, **complex**

Reason:

Fully explore the predictive
power of flavour and CP
symmetry

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Charged lepton mass matrix

residual symmetry G_e

$$\begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix}$$

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$$l_{L\alpha} \sim 3, \nu_{Ri} \sim 3'$$

irreducible, in general
unfaithful, **real**

Reason:

(flavour-universal)
mass term for ν_{Ri}
w/o breaking flavour
and CP symmetry,
breaking encoded
in Y_D

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

- We take

$$\alpha_R \sim 1 \qquad l_{L\alpha} \sim 3, \nu_{Ri} \sim 3'$$

[detail: use additional Z_3
to distinguish e, μ, τ]

Neutral lepton sector

residual symmetry G_ν

$$\mathcal{L} \supset i \bar{\nu}_R \not{\partial} \nu_R - \frac{1}{2} \bar{\nu}_R^c M_R \nu_R - \bar{l}_L Y_D \epsilon H^* \nu_R + \text{h.c.}$$

No symmetry breaking

$$M_R = M_R^0 = M \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

RH neutrino masses
are degenerate

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

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Symmetry breaking

$$Y_D = \Omega(\mathbf{3}) R_{ij}(\theta_L) \text{diag}(y_1, y_2, y_3) P_{kl}^{ij} R_{kl}(-\theta_R) \Omega(\mathbf{3}')^\dagger$$

CH/Molinaro ('16)

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Neutral lepton sector

$$Y_D = \Omega(\mathbf{3}) R_{ij}(\theta_L) \text{diag}(y_1, y_2, y_3) P_{kl}^{ij} R_{kl}(-\theta_R) \Omega(\mathbf{3}')^\dagger$$

In total **five** free real parameters corresponding to **three light neutrino masses**, **one free parameter for lepton mixing** and **one free parameter related to RH neutrinos**

Possible small symmetry breaking for RH neutrino masses

$$\delta M_R = \kappa M \begin{pmatrix} 2 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & -1 & 0 \end{pmatrix}$$

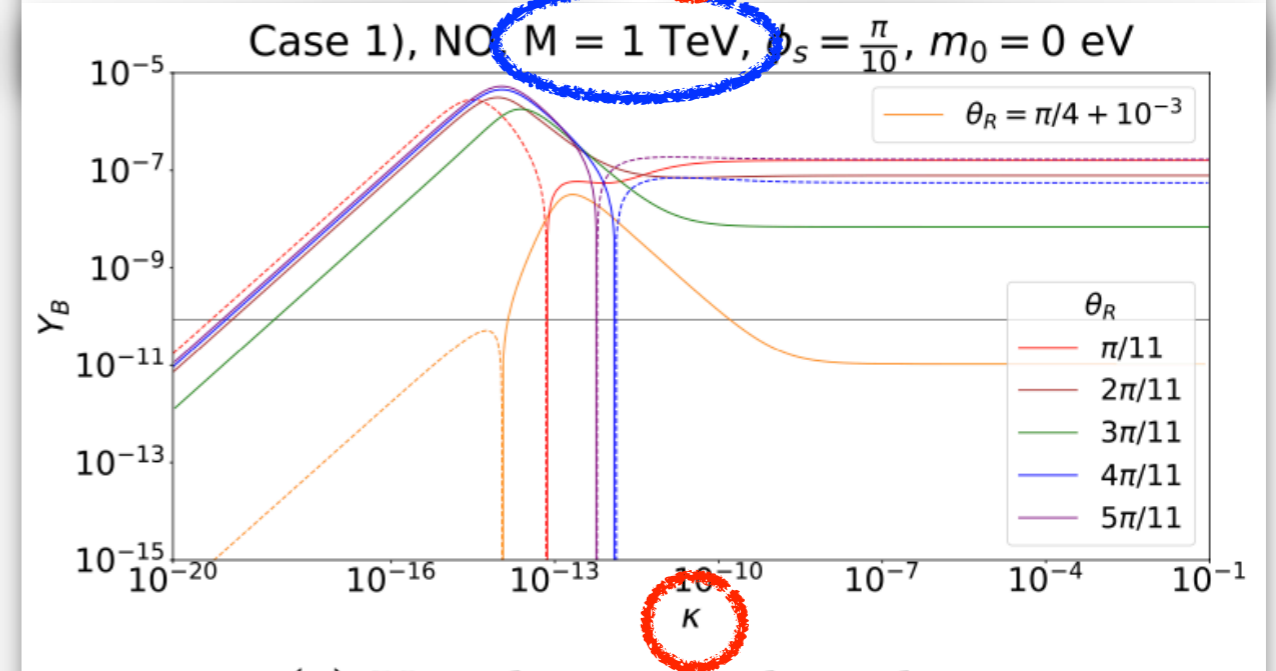
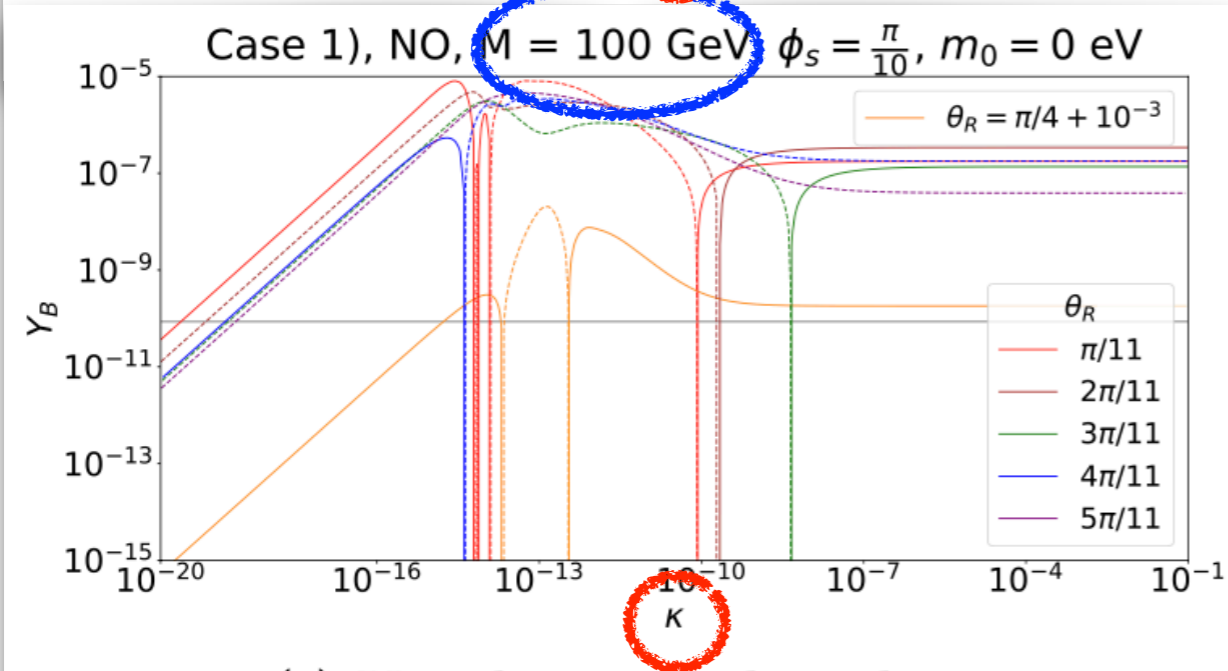
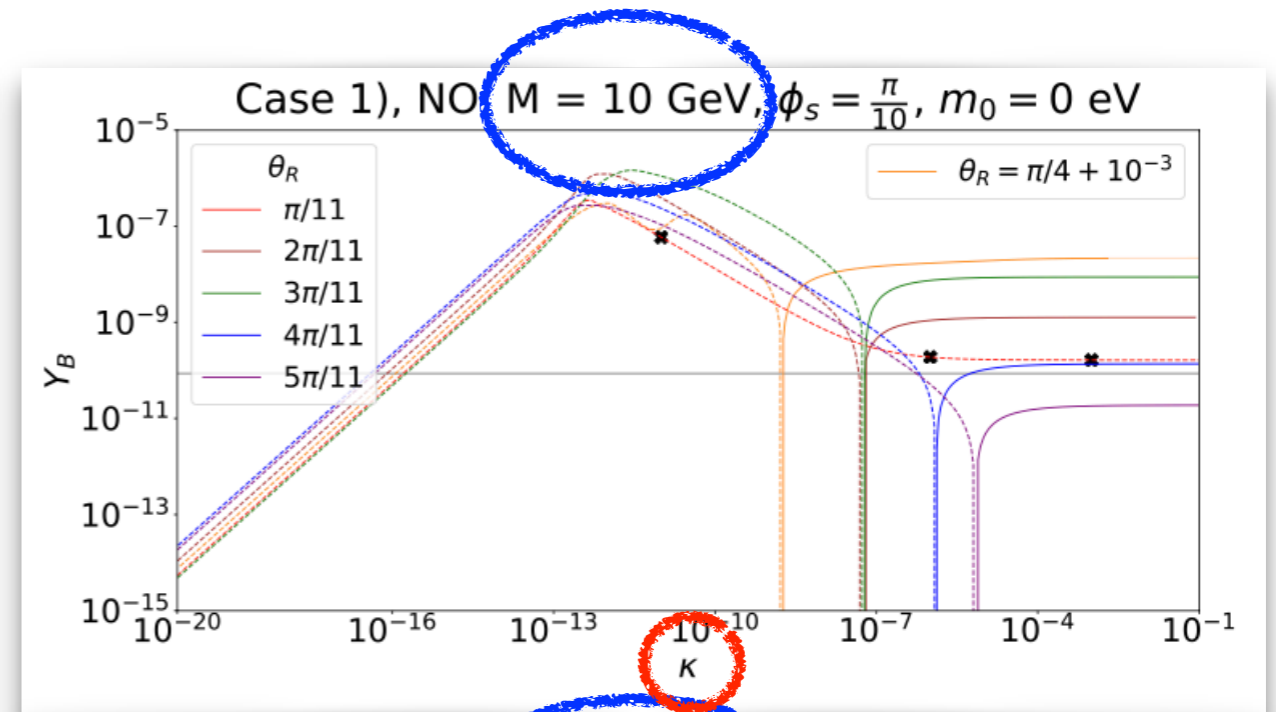
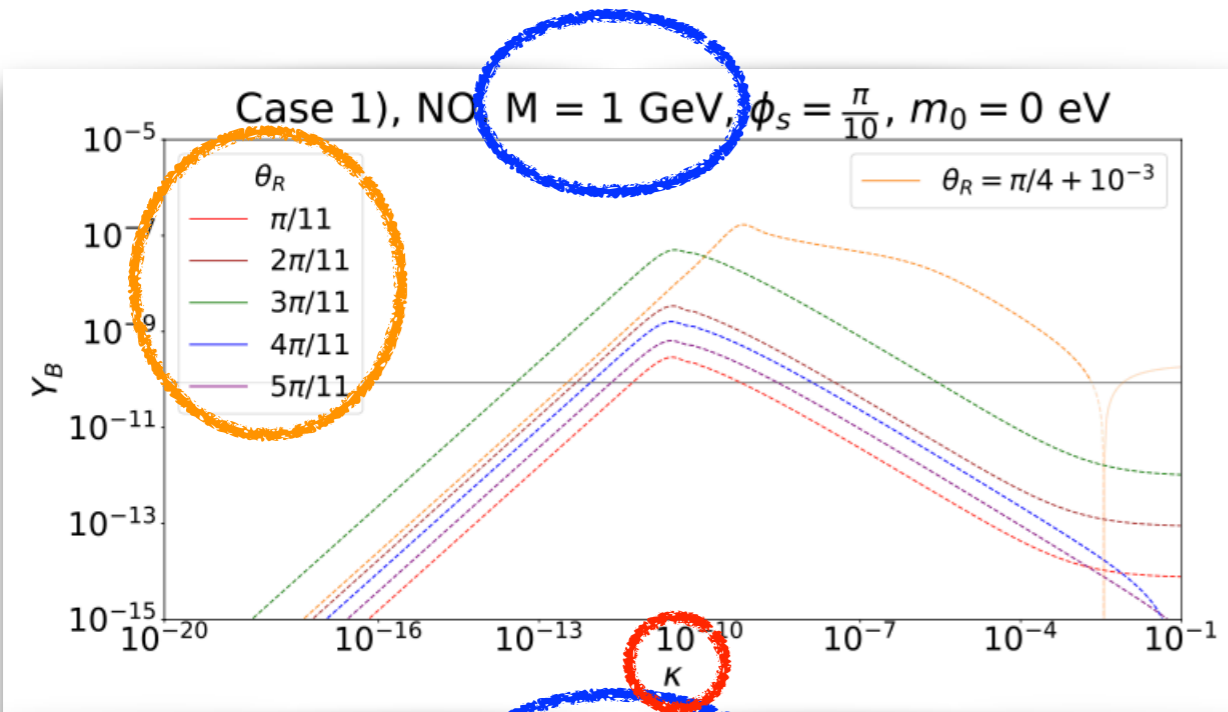
$$M_1 = M(1 + 2\kappa) \quad \text{and} \quad M_2 = M_3 = M(1 - \kappa)$$

Often needed for generating correct amount of BAU.

Example 2: Low-scale seesaw mechanism

[M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Case 1)



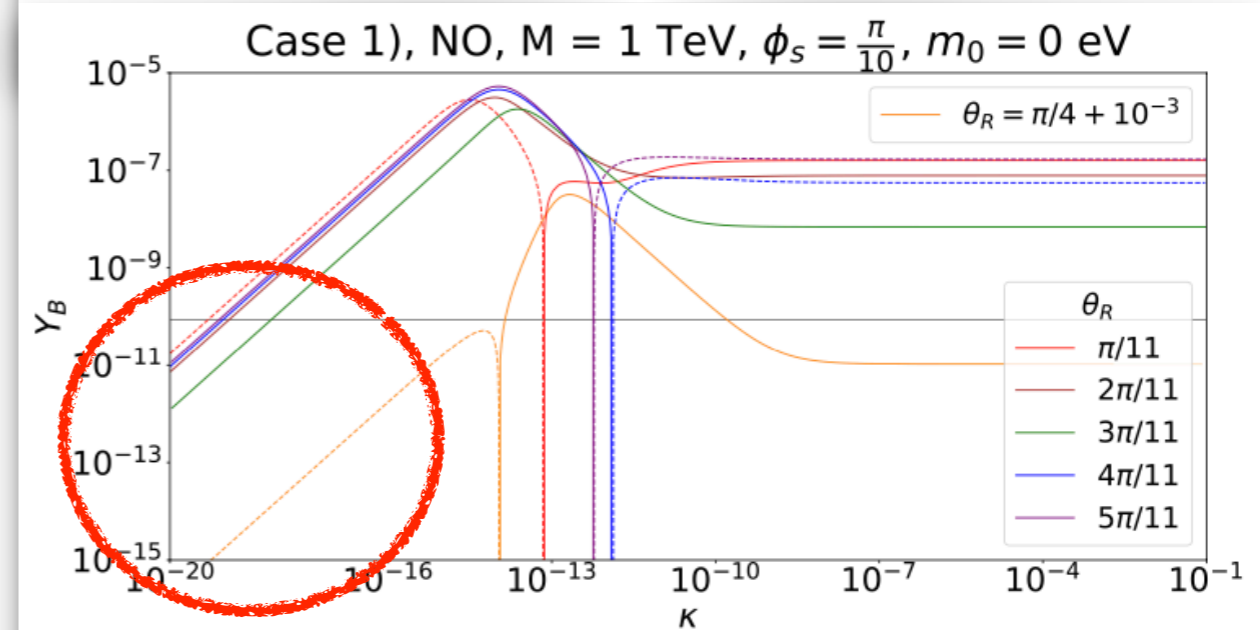
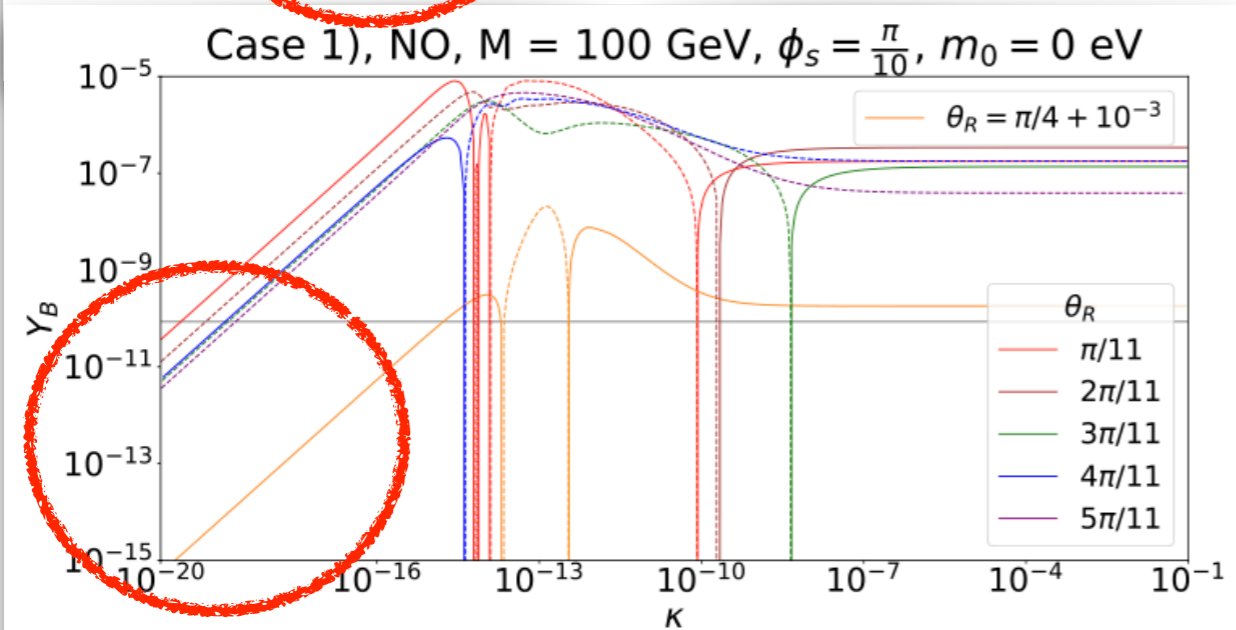
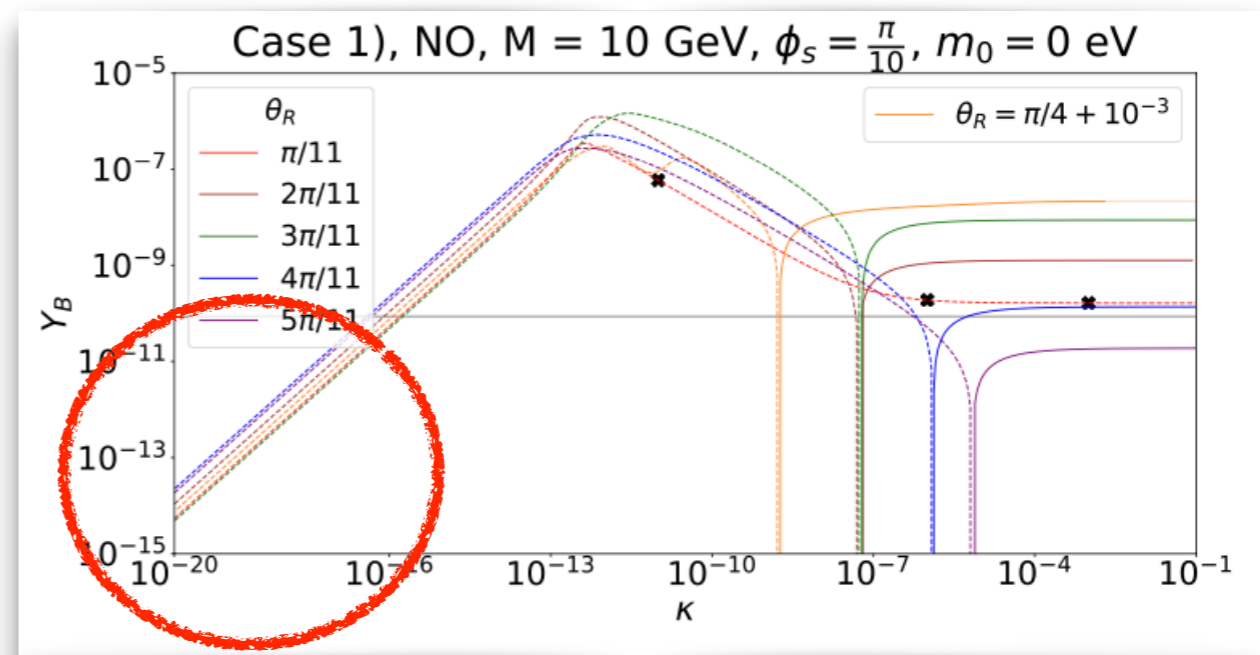
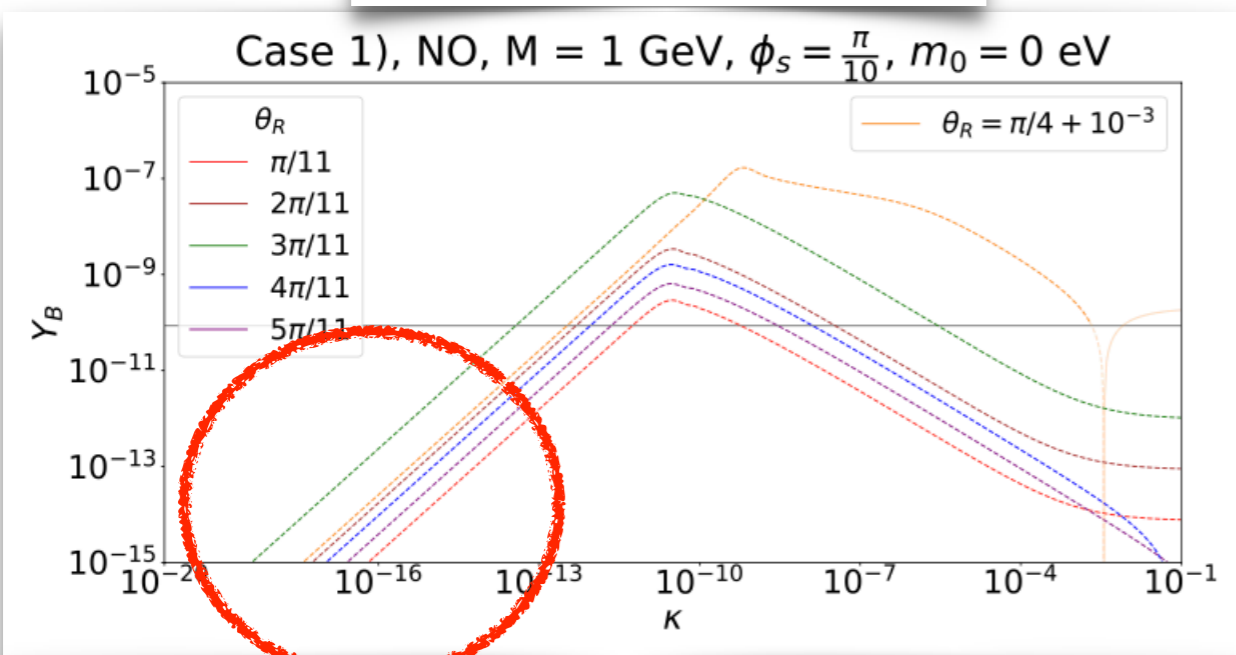
(e) Vanishing initial conditions.

(g) Vanishing initial conditions.

Example 2: Low-scale seesaw mechanism

[M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Case 1) $C_{\text{DEG},\alpha}$ is zero.



(e) Vanishing initial conditions.

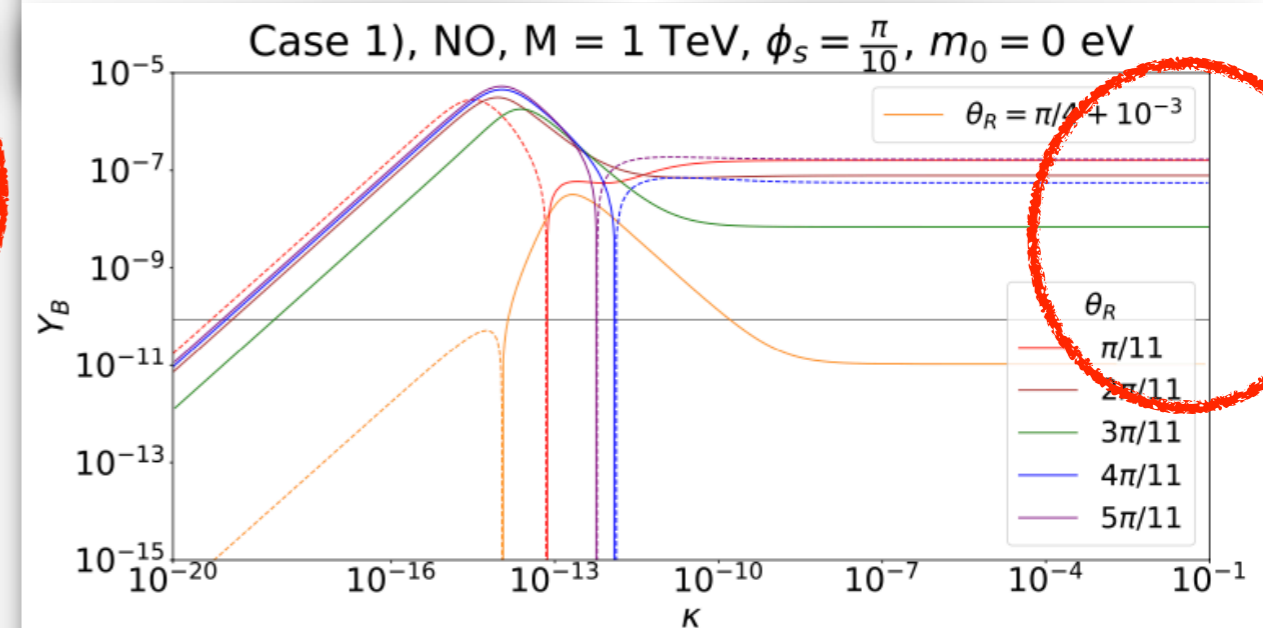
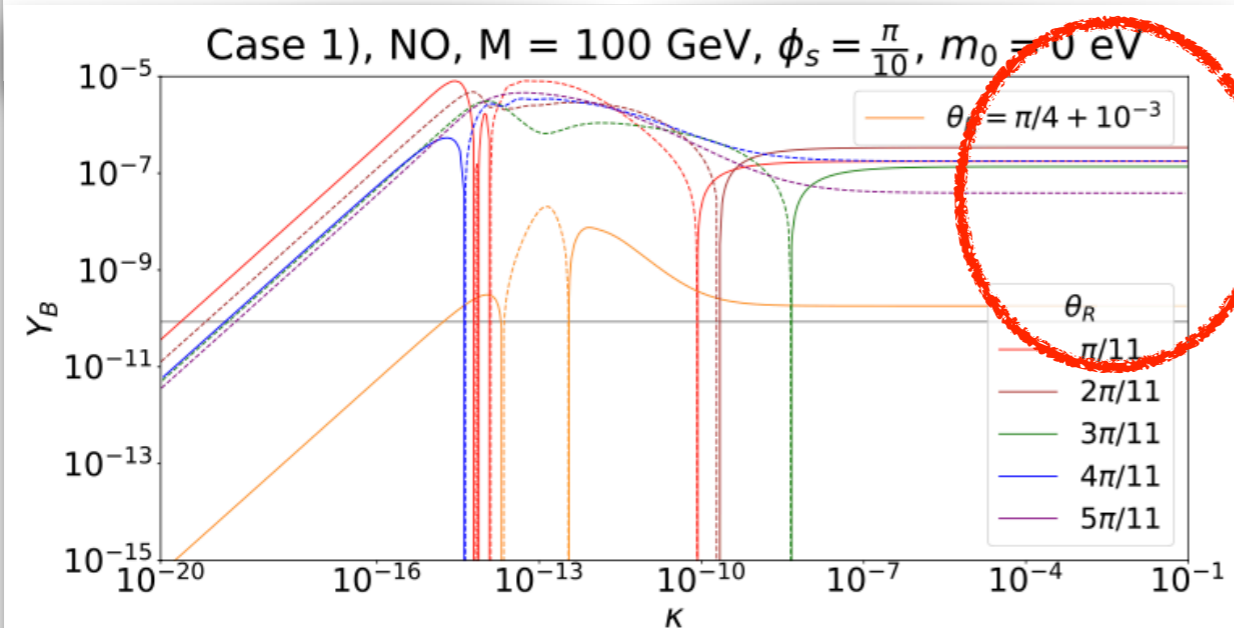
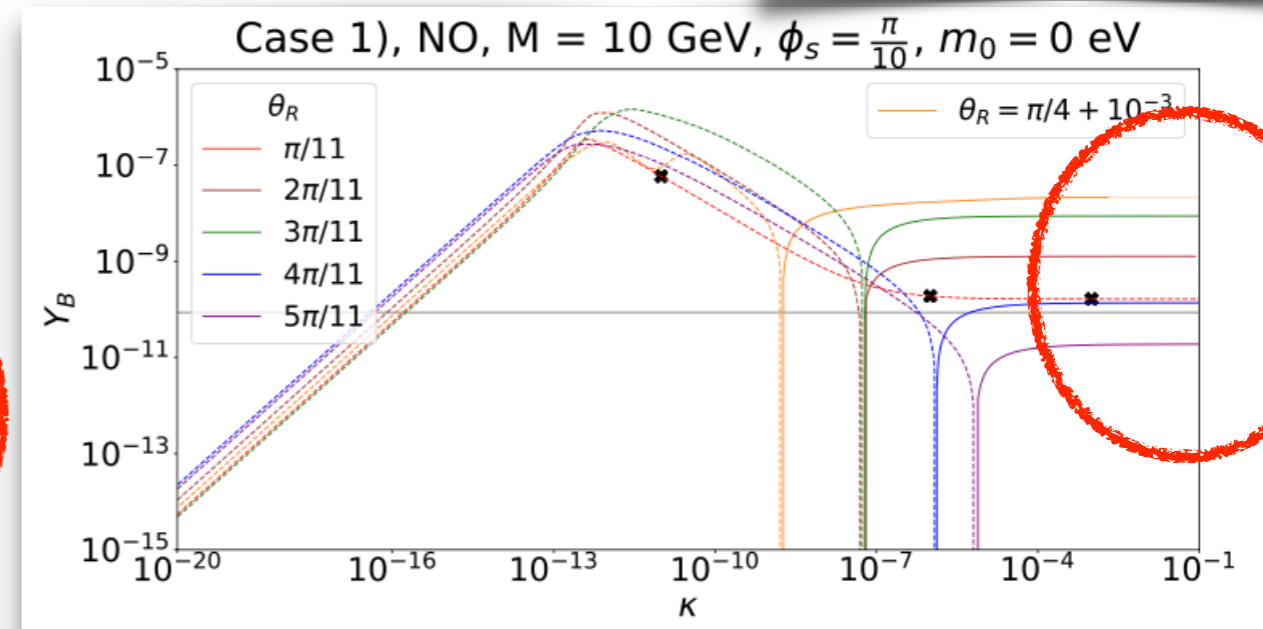
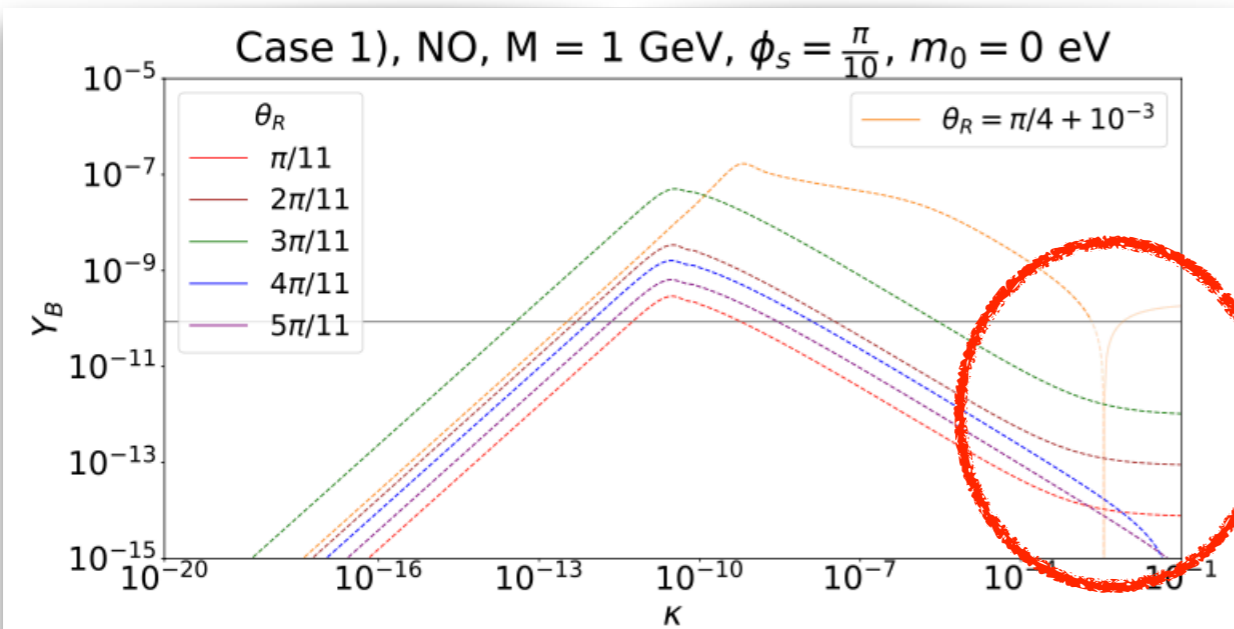
(g) Vanishing initial conditions.

Example 2: Low-scale seesaw mechanism

[M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Case 1)

$C_{\text{DEG},\alpha}^{(23)}$ is non-zero



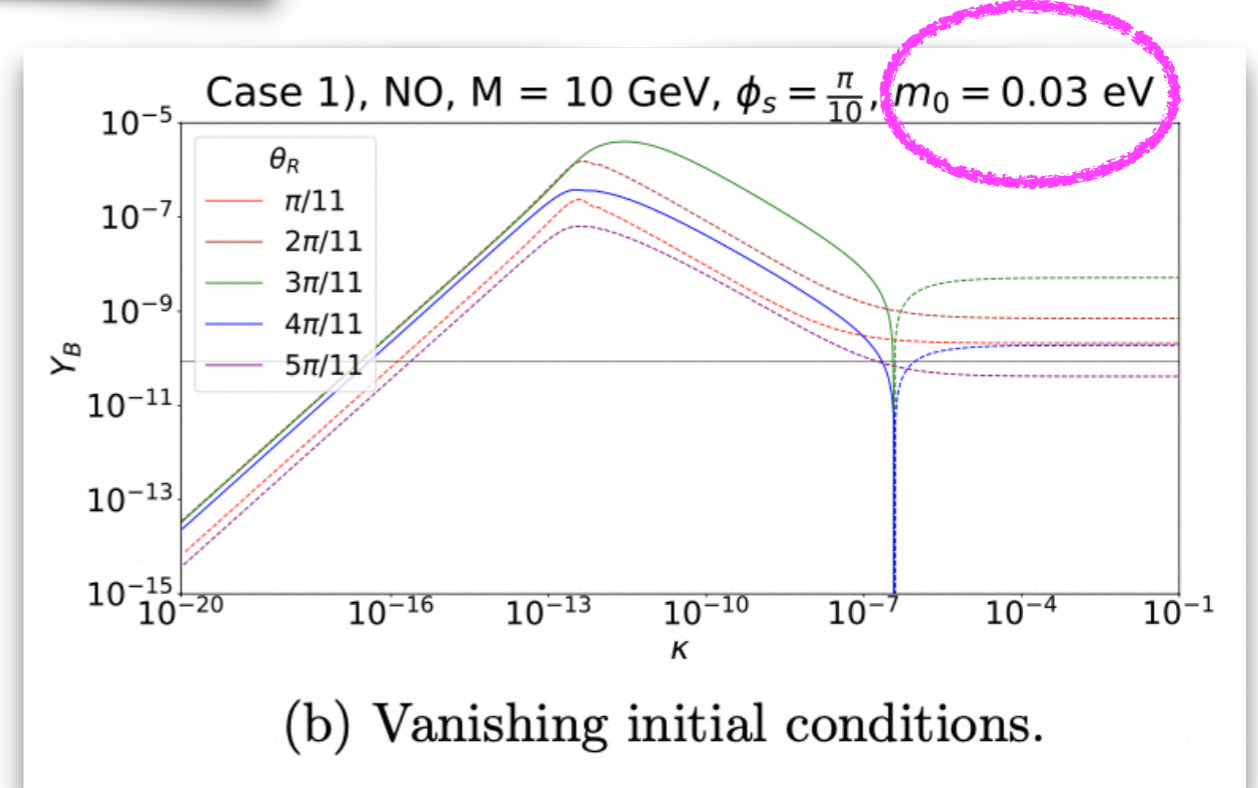
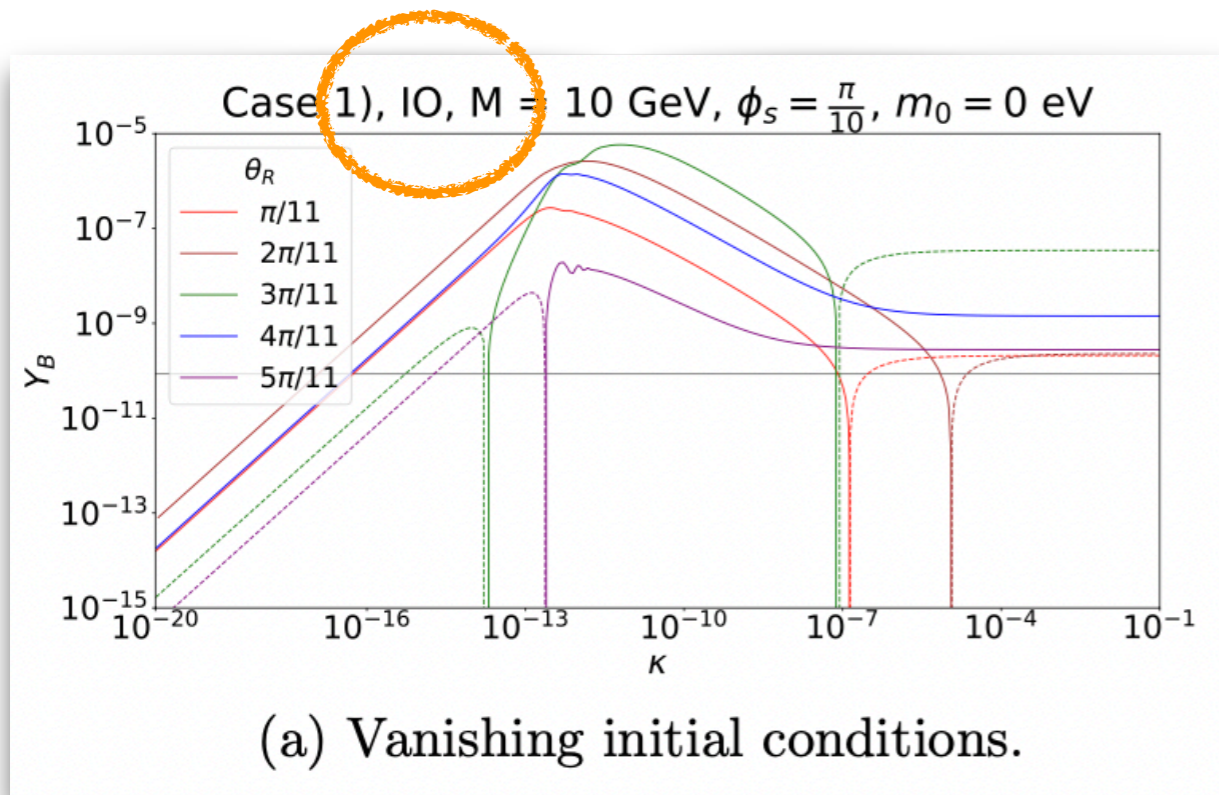
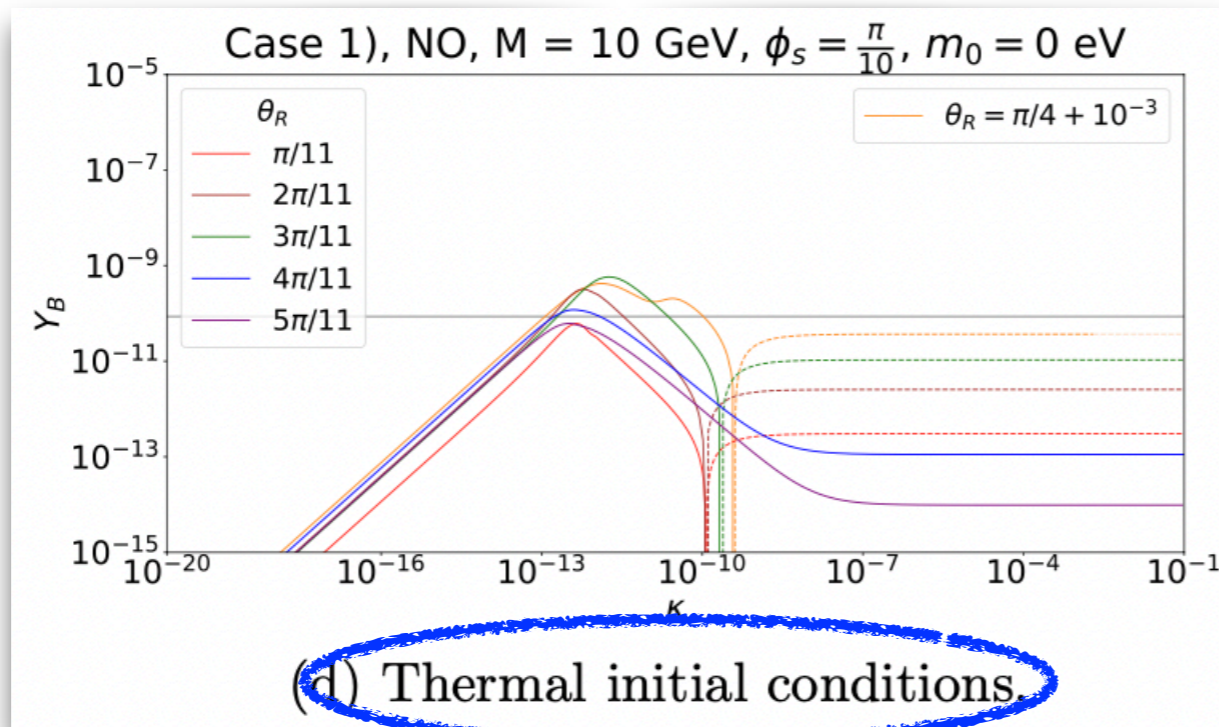
(e) Vanishing initial conditions.

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Example 2: Low-scale seesaw mechanism

[M. Drewes, Y. Georis, CH, J. Klaric ('22)]

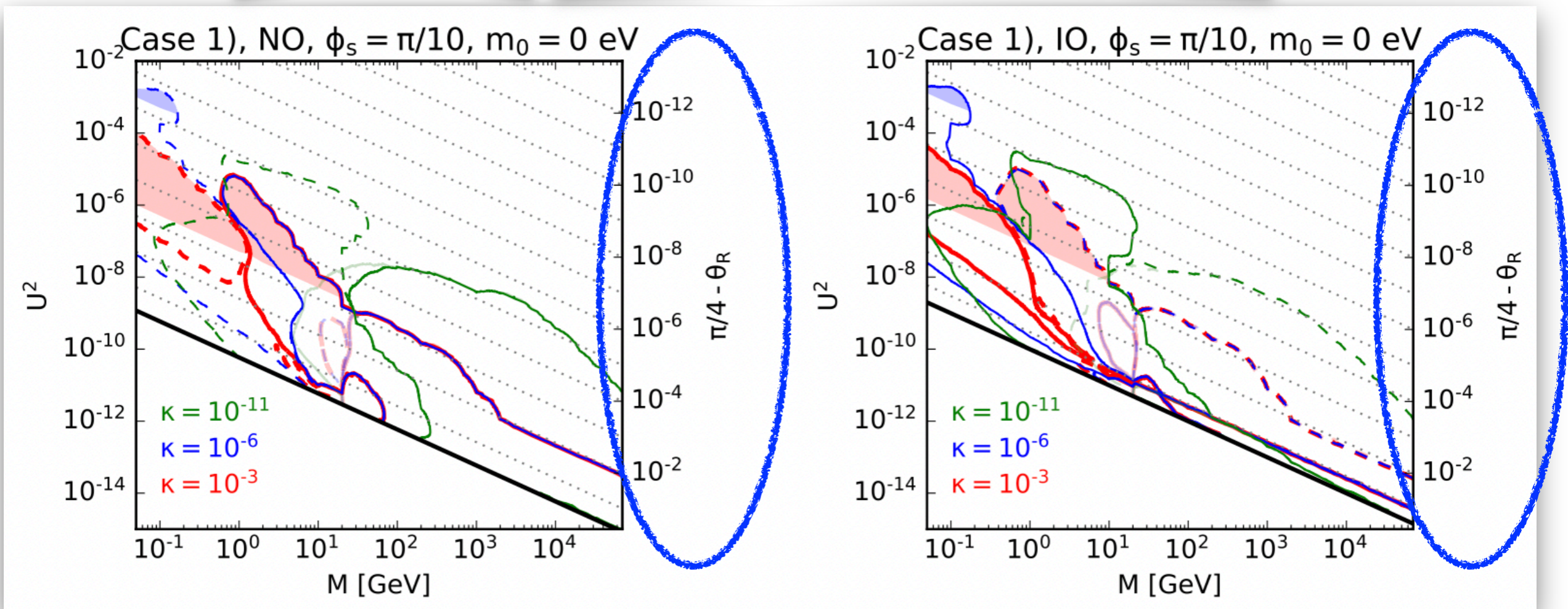
Case 1)



Example 2: Low-scale seesaw mechanism

[M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Case 1) $m_2 = \frac{y_2^2 \langle H \rangle^2}{M}$ $m_1 = 0$ and $m_3 = \frac{y_3^2 \langle H \rangle^2}{M} |\cos 2\theta_R|$ (strong NO)

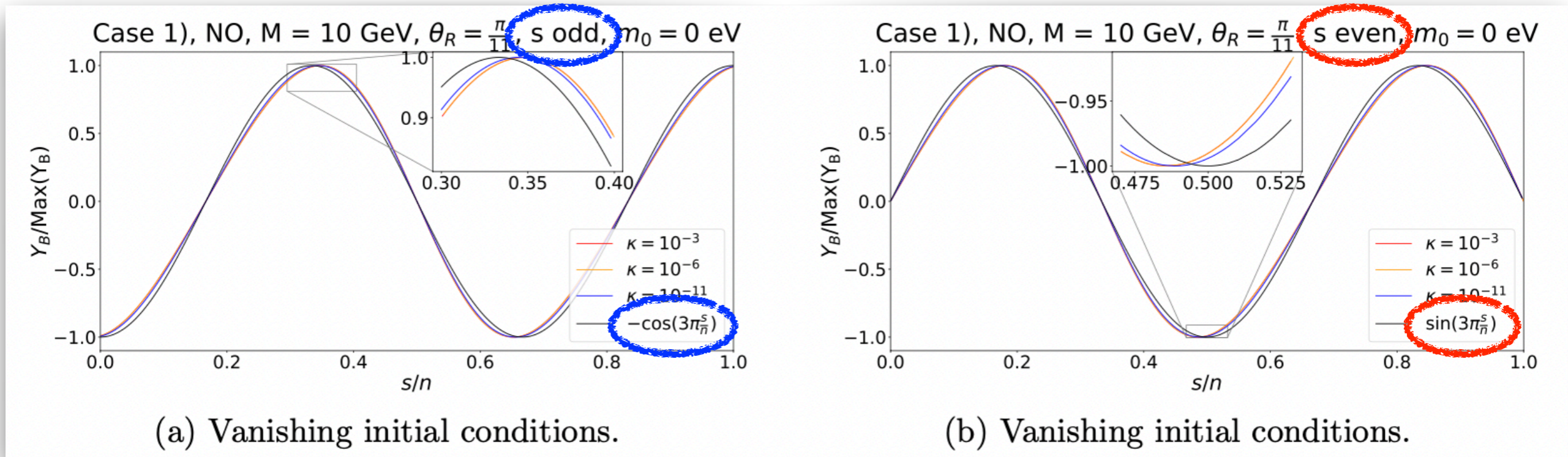


Values of θ_R so close to $\frac{\pi}{4}$ are **not** (always) a **tuning**, but **related to enhanced residual symmetry**, i.e. check $Y_D^\dagger Y_D$

Example 2: Low-scale seesaw mechanism

[M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Case 1)



Majorana phase α fulfils $|\sin \alpha| = \left| \sin\left(\frac{6\pi s}{n}\right) \right|$

[Remember $\sin\left(\frac{6\pi s}{n}\right) = 2 \cos\left(\frac{3\pi s}{n}\right) \sin\left(\frac{3\pi s}{n}\right)$]

Majorana phase β and CP phase δ are both trivial, $\sin \beta = 0$ and $\sin \delta = 0$.

Flavour and CP symmetries

Dihedral symmetries D_n

- Have 1-dim and 2-dim irrep(s)
- Are subgroups of $SO(3)$
- Generators and relations

$$a^n = e, \quad b^2 = e, \quad aba = b$$

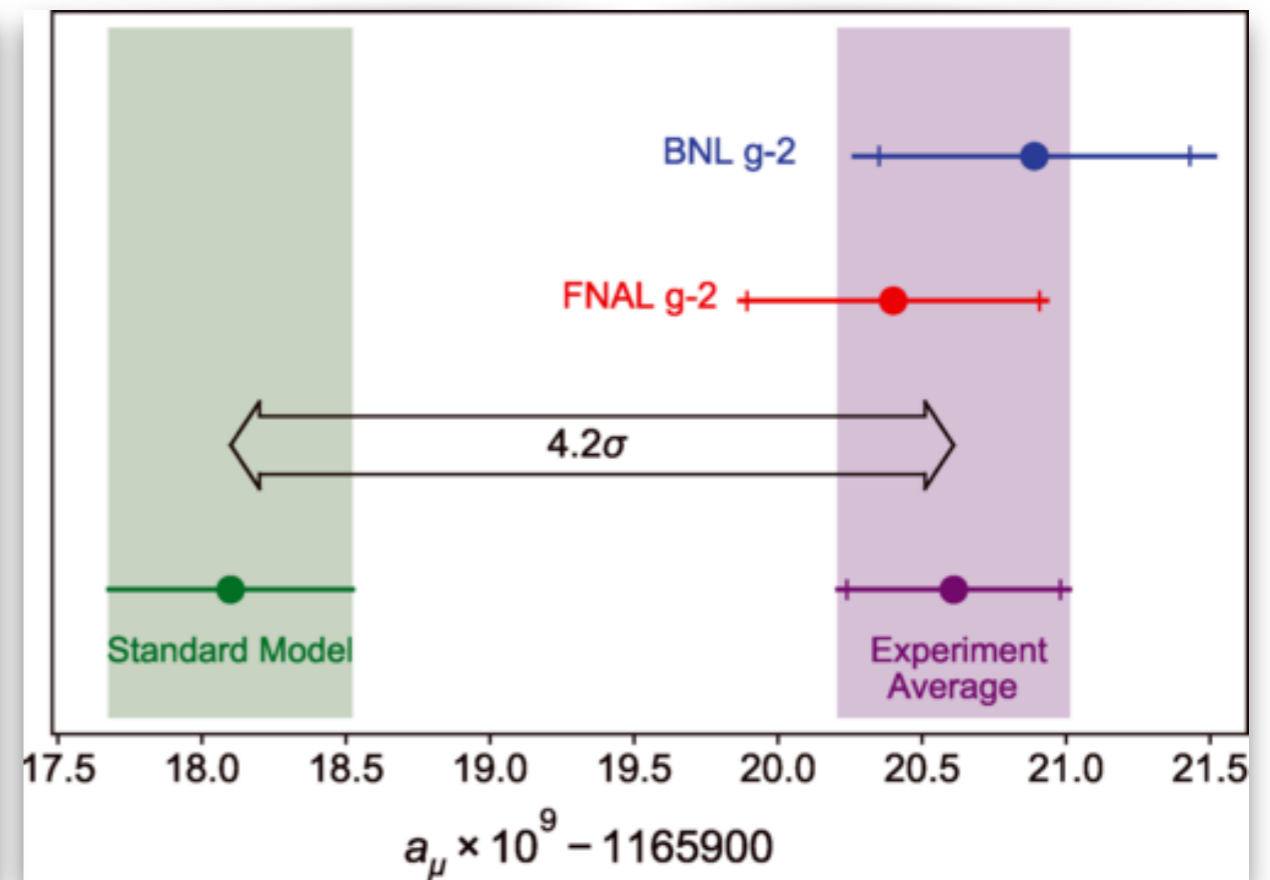
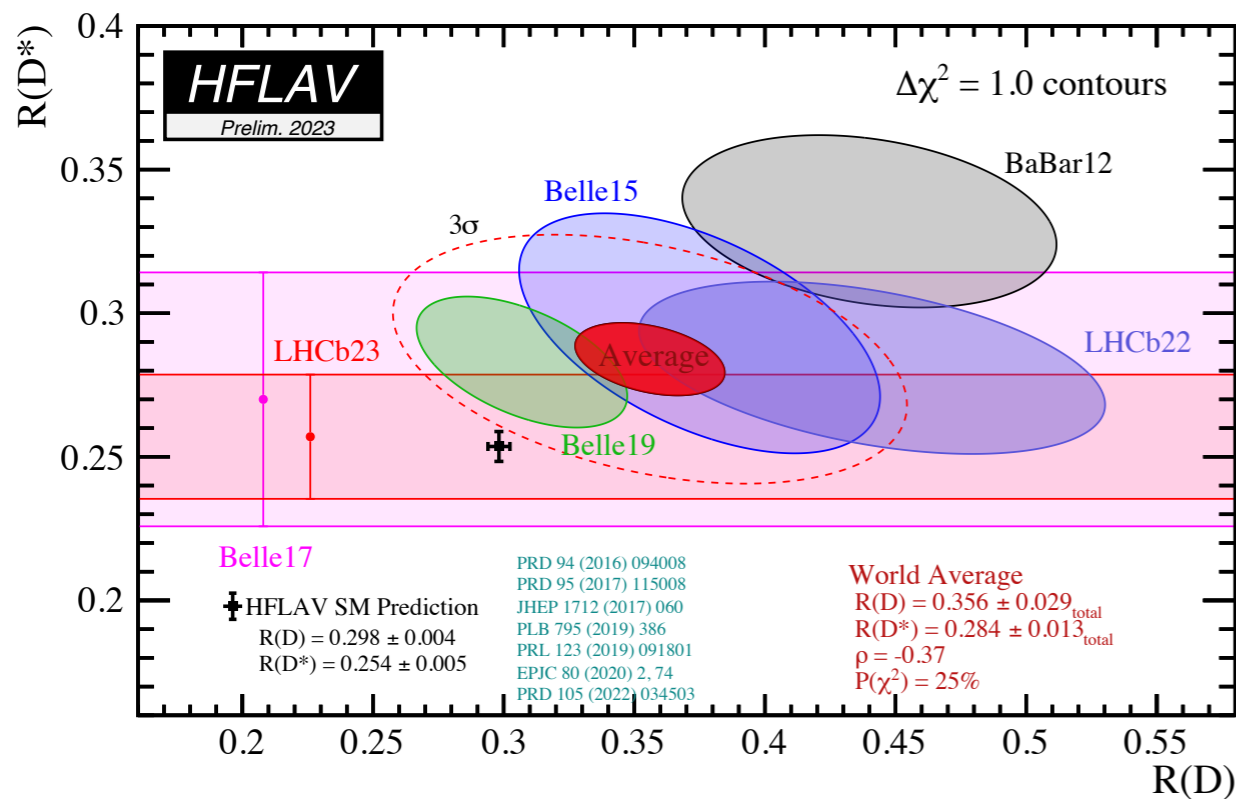
Well-known members are the dihedral group D_4 and the permutation group $S_3 \simeq D_3$

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH, M.A. Schmidt ('22)]

- There are some experimental anomalies in certain flavour observables — here looked at $R(D)$, $R(D^*)$ and anomalous magnetic moment of muon

$$R(D^{(*)}) = \frac{\Gamma(B \rightarrow D^{(*)}\tau\nu)}{\Gamma(B \rightarrow D^{(*)}\ell\nu)}$$



- Consider an **extension of the SM with a leptoquark (LQ)**

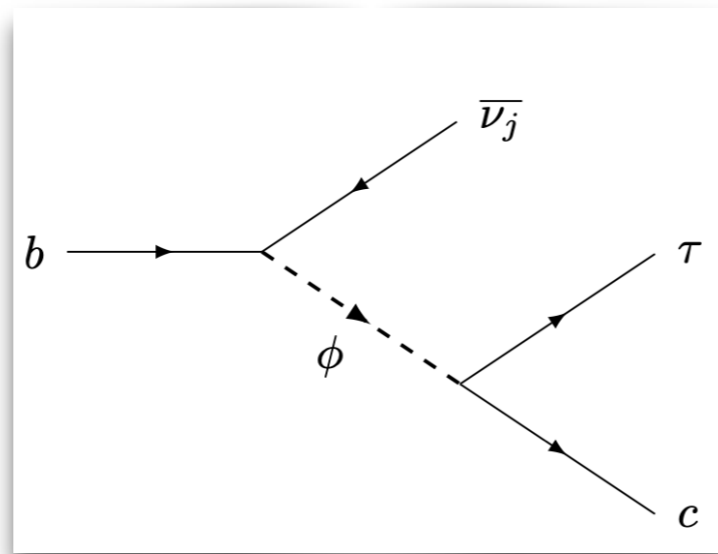
Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

- Consider an **extension of the SM with a leptoquark (LQ)**

$$\phi \sim (3, 1, -\frac{1}{3})$$

- It couples simultaneously to leptons and quarks, e.g.



meaning in the Lagrangian we have couplings

$$\mathcal{L}_{\text{LQ}}^{\text{int}} = \hat{x}_{ij} \bar{L}_i^c \phi^\dagger Q_j + \hat{y}_{ij} \bar{e}_{Ri}^c \phi^\dagger u_{Rj} + \text{h.c.}$$

For review on LQs see Dorsner et al. ('16)

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

- Take as “aim” for textures of LQ couplings the following

$$\mathbf{x} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & x_{22} & x_{23} \\ 0 & x_{32} & x_{33} \end{pmatrix} \quad \text{and} \quad \mathbf{y} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & y_{23} \\ 0 & y_{32} & 0 \end{pmatrix}$$

in particular use

$$\mathbf{x} \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & \lambda^3 & \lambda \\ 0 & \lambda^2 & 1 \end{pmatrix} \quad \text{and} \quad \mathbf{y} \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & \lambda^3 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\lambda \approx 0.2$$

following the analysis in [Cai et al. \('17\)](#)

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

- Note the assumed form of the charged fermion mass matrices is

$$M_e \sim \begin{pmatrix} \lambda^4 & 0 & 0 \\ 0 & \lambda^2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \langle H_d^0 \rangle, \quad M_d \sim \begin{pmatrix} \lambda^4 & 0 & 0 \\ 0 & \lambda^2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \langle H_d^0 \rangle$$
$$M_u \sim \begin{pmatrix} \lambda^8 & \lambda^5 & \lesssim \lambda^3 \\ 0 & \lambda^4 & \lambda^2 \\ 0 & 0 & 1 \end{pmatrix} \langle H_u^0 \rangle.$$

$\lambda \approx 0.2$

- No discussion of neutrino masses

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Field	SU(3)	SU(2)	U(1)	D_{17}	Z_{17}
$Q = \begin{pmatrix} Q_1 \\ Q_2 \end{pmatrix}$	3	2	$\frac{1}{6}$	2₂	1
Q_3	3	2	$\frac{1}{6}$	1₁	16
u_{R1}	3	1	$\frac{2}{3}$	1₂	13
u_{R2}	3	1	$\frac{2}{3}$	1₁	8
u_{R3}	3	1	$\frac{2}{3}$	1₁	1
$d_R = \begin{pmatrix} d_{R1} \\ d_{R2} \end{pmatrix}$	3	1	$-\frac{1}{3}$	2₄	1
d_{R3}	3	1	$-\frac{1}{3}$	1₁	7
$L = \begin{pmatrix} L_1 \\ L_2 \end{pmatrix}$	1	2	$-\frac{1}{2}$	2₁	2
L_3	1	2	$-\frac{1}{2}$	1₁	1
$e_R = \begin{pmatrix} e_{R1} \\ e_{R2} \end{pmatrix}$	1	1	-1	2₃	2
e_{R3}	1	1	-1	1₁	9

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
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e_{R3}	1	1	-1	$\mathbf{1}_1$	9

Product of
dihedral group
and cyclic one

Reasons:
1-dim & 2-dim
irreps; number
of inequivalent
2-dim irreps;
residual
subgroup to
protect form of
LQ couplings

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH, M.A. Schmidt ('22)]

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2+1 structure
for most
charged
fermions

Reasons:
3rd generation
much more
massive;
Cabibbo angle

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

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e_{R3}	1	1	-1	1₁	9

1+1+1 structure
for RH
up-type quarks

Reasons:
stronger mass
hierarchy
among up-type
quarks;
achieve appro-
priate form of
LQ coupling y

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

H_u	1	2	$-\frac{1}{2}$	$\mathbf{1}_1$	15
H_d	1	2	$\frac{1}{2}$	$\mathbf{1}_1$	9
ϕ	3	1	$-\frac{1}{3}$	$\mathbf{1}_1$	0

Reason: minimality

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

H_u	1	2	$-\frac{1}{2}$	1₁	15
H_d	1	2	$\frac{1}{2}$	1₁	9
ϕ	3	1	$-\frac{1}{3}$	1₁	0

Reason: simplicity

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

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H_d	1	2	$\frac{1}{2}$	$\mathbf{1}_1$	9
ϕ	3	1	$-\frac{1}{3}$	$\mathbf{1}_1$	0

Reason:
generate masses
of all 3rd generation
fermions at tree level

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

$S = \begin{pmatrix} S_1 \\ S_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_1$	16
$T = \begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_2$	8
$U = \begin{pmatrix} U_1 \\ U_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_2$	8
$W = \begin{pmatrix} W_1 \\ W_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_2$	12

Introduce spurions
to break G_f

Reason: easier than with multiple Higgs doublets;
simplification not to consider their potential, correc-
tions to it, etc.

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

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Introduce spurions
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Role of S :

- spurion for LQ couplings
- preserves residual symmetry

$$\langle S \rangle = \begin{pmatrix} \lambda \\ 0 \end{pmatrix}$$

$$\lambda \approx 0.2$$

Example 3: Model with leptoquark

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$$\langle S \rangle = \begin{pmatrix} \lambda \\ 0 \end{pmatrix}$$

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$$\mathbf{x} \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & \lambda^3 & \lambda \\ 0 & \lambda^2 & 1 \end{pmatrix} \quad \text{and} \quad \mathbf{y} \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & \lambda^3 \\ 0 & 1 & 0 \end{pmatrix}$$

Field	Z_{17}^{diag}	Field	Z_{17}^{diag}	Field	Z_{17}^{diag}	Field	Z_{17}^{diag}	Field	Z_{17}^{diag}
Q_1	3	d_{R1}	5	e_{R1}	5	S_1	0	W_1	14
Q_2	16	d_{R2}	14	e_{R2}	16	S_2	15	W_2	10
Q_3	16	d_{R3}	7	e_{R3}	9	T_1	10		
u_{R1}	13	L_1	3	H_u	15	T_2	6		
u_{R2}	8	L_2	1	H_d	9	U_1	10		
u_{R3}	1	L_3	1	ϕ	0	U_2	6		

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

$S = \begin{pmatrix} S_1 \\ S_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_1$	16
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Introduce spurions
to break G_f

Role of T :

- spurion for mass of second generation of down-type quarks and charged leptons

$$\langle T \rangle = \begin{pmatrix} \lambda^2 \\ 0 \end{pmatrix}$$

$$\lambda \approx 0.2$$

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$$\mathcal{L}_{\text{Yuk,LO}}^d = \alpha_1^d \bar{Q}_3 H_d d_{R3} + \alpha_2^d \bar{Q} H_d d_R T + \alpha_3^d \bar{Q} H_d d_R U$$

$$\mathcal{L}_{\text{Yuk,LO}}^e = \alpha_1^e \bar{L}_3 H_d e_{R3} + \alpha_2^e \bar{L} H_d e_R T + \alpha_3^e \bar{L} H_d e_R U$$

Note VEV of T breaks residual symmetry

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

$S = \begin{pmatrix} S_1 \\ S_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_1$	16
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$U = \begin{pmatrix} U_1 \\ U_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_2$	8
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Introduce spurions
to break G_f

Role of U :

- spurion for mass of first generation of down-type quarks and charged leptons

$$\langle U \rangle = \begin{pmatrix} 0 \\ \lambda^4 \end{pmatrix}$$

$$\lambda \approx 0.2$$

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
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$$\mathcal{L}_{\text{Yuk,LO}}^d = \alpha_1^d \bar{Q}_3 H_d d_{R3} + \alpha_2^d \bar{Q} H_d d_R T + \alpha_3^d \bar{Q} H_d d_R U$$

$$\mathcal{L}_{\text{Yuk,LO}}^e = \alpha_1^e \bar{L}_3 H_d e_{R3} + \alpha_2^e \bar{L} H_d e_R T + \alpha_3^e \bar{L} H_d e_R U$$

Note VEV of U breaks residual symmetry

Why not just VEV for second component of T ?

Reasons:

- problem with order one factor between m_d and m_e
- with T and U and their VEV structure higher-order operators are better under control

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

$S = \begin{pmatrix} S_1 \\ S_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_1$	16
$T = \begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_2$	8
$U = \begin{pmatrix} U_1 \\ U_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_2$	8
$W = \begin{pmatrix} W_1 \\ W_2 \end{pmatrix}$	1	1	0	$\mathbf{2}_2$	12

Introduce spurions
to break G_f

Role of W :

- spurion for mass of charm quark
- generation of Cabibbo angle

$$\langle W \rangle = \begin{pmatrix} \lambda^5 \\ \lambda^4 \end{pmatrix}$$

$$\lambda \approx 0.2$$

Example 3: Model with leptoquark

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Note VEV of W breaks residual symmetry

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
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What is missing?

- mass for up quark
- smaller quark mixing angles

Example 3: Model with leptoquark

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Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Result for charged fermion mass matrices

$$M_u = \begin{pmatrix} f_{11} \lambda^8 & f_{12} \lambda^5 & f_{13} \lambda^8 \\ f_{21} \lambda^{10} & f_{22} \lambda^4 & f_{23} \lambda^2 \\ f_{31} \lambda^{12} & f_{32} \lambda^4 & f_{33} \end{pmatrix} \langle H_u^0 \rangle$$

$$M_d = \begin{pmatrix} d_{11} \lambda^4 & d_{12} \lambda^8 & d_{13} \lambda^8 \\ d_{21} \lambda^{10} & d_{22} \lambda^2 & d_{23} \lambda^2 \\ d_{31} \lambda^{12} & d_{32} \lambda^4 & d_{33} \end{pmatrix} \langle H_d^0 \rangle$$

$$M_e = \begin{pmatrix} e_{11} \lambda^4 & e_{12} \lambda^{12} & \mathcal{O}(\lambda^{12}) \\ e_{21} \lambda^8 & e_{22} \lambda^2 & e_{23} \lambda \\ e_{31} \lambda^9 & e_{32} \lambda^3 & e_{33} \end{pmatrix} \langle H_d^0 \rangle$$

- Charged fermion masses are reproduced well
- Quark mixing is reproduced well

apart from ...

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Result for charged fermion mass matrices

$$M_u = \begin{pmatrix} f_{11} \lambda^8 & f_{12} \lambda^5 & f_{13} \lambda^8 \\ f_{21} \lambda^{10} & f_{22} \lambda^4 & f_{23} \lambda^2 \\ f_{31} \lambda^{12} & f_{32} \lambda^4 & f_{33} \end{pmatrix} \langle H_u^0 \rangle$$

... this element
should be en-
hanced

$$M_d = \begin{pmatrix} d_{11} \lambda^4 & d_{12} \lambda^8 & d_{13} \lambda^8 \\ d_{21} \lambda^{10} & d_{22} \lambda^2 & d_{23} \lambda^2 \\ d_{31} \lambda^{12} & d_{32} \lambda^4 & d_{33} \end{pmatrix} \langle H_d^0 \rangle$$

$$M_e = \begin{pmatrix} e_{11} \lambda^4 & e_{12} \lambda^{12} & o(\lambda^{12}) \\ e_{21} \lambda^8 & e_{22} \lambda^2 & e_{23} \lambda \\ e_{31} \lambda^9 & e_{32} \lambda^3 & e_{33} \end{pmatrix} \langle H_d^0 \rangle$$

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Leading to LQ couplings \mathbf{x} , \mathbf{y} and \mathbf{z}

Dominant entries
of LQ couplings
achieved

$$\mathbf{x} = L_e^T \hat{\mathbf{x}} L_d = \begin{pmatrix} a_{11} \lambda^9 & a_{12} \lambda^{11} & a_{13} \lambda^9 \\ a_{21} \lambda^8 & a_{22} \lambda^3 & a_{23} \lambda \\ a_{31} \lambda^8 & a_{32} \lambda^2 & a_{33} \end{pmatrix}$$

$$\mathbf{y} = R_e^T \hat{\mathbf{y}} R_u = \begin{pmatrix} b_{11} \lambda^9 & b_{12} \lambda^9 & b_{13} \lambda^9 \\ b_{21} \lambda^8 & b_{22} \lambda^3 & b_{23} \lambda^3 \\ b_{31} \lambda^5 & b_{32} & b_{33} \lambda^4 \end{pmatrix}$$

$$\mathbf{z} = L_e^T \hat{\mathbf{x}} L_u = \begin{pmatrix} c_{11} \lambda^9 & c_{12} \lambda^{10} & c_{13} \lambda^9 \\ c_{21} \lambda^4 & c_{22} \lambda^3 & c_{23} \lambda \\ c_{31} \lambda^3 & c_{32} \lambda^2 & c_{33} \end{pmatrix}$$

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Leading to LQ couplings \mathbf{x} , \mathbf{y} and \mathbf{z}

Efficient
suppression
of LQ couplings
to SM fermions
of first generation

$$\mathbf{x} = L_e^T \hat{\mathbf{x}} L_d = \begin{pmatrix} a_{11} \lambda^9 & a_{12} \lambda^{11} & a_{13} \lambda^9 \\ a_{21} \lambda^8 & a_{22} \lambda^3 & a_{23} \lambda \\ a_{31} \lambda^8 & a_{32} \lambda^2 & a_{33} \end{pmatrix}$$

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Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
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Leading to LQ couplings \mathbf{x} , \mathbf{y} and \mathbf{z}

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Potentially relevant
LQ couplings

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

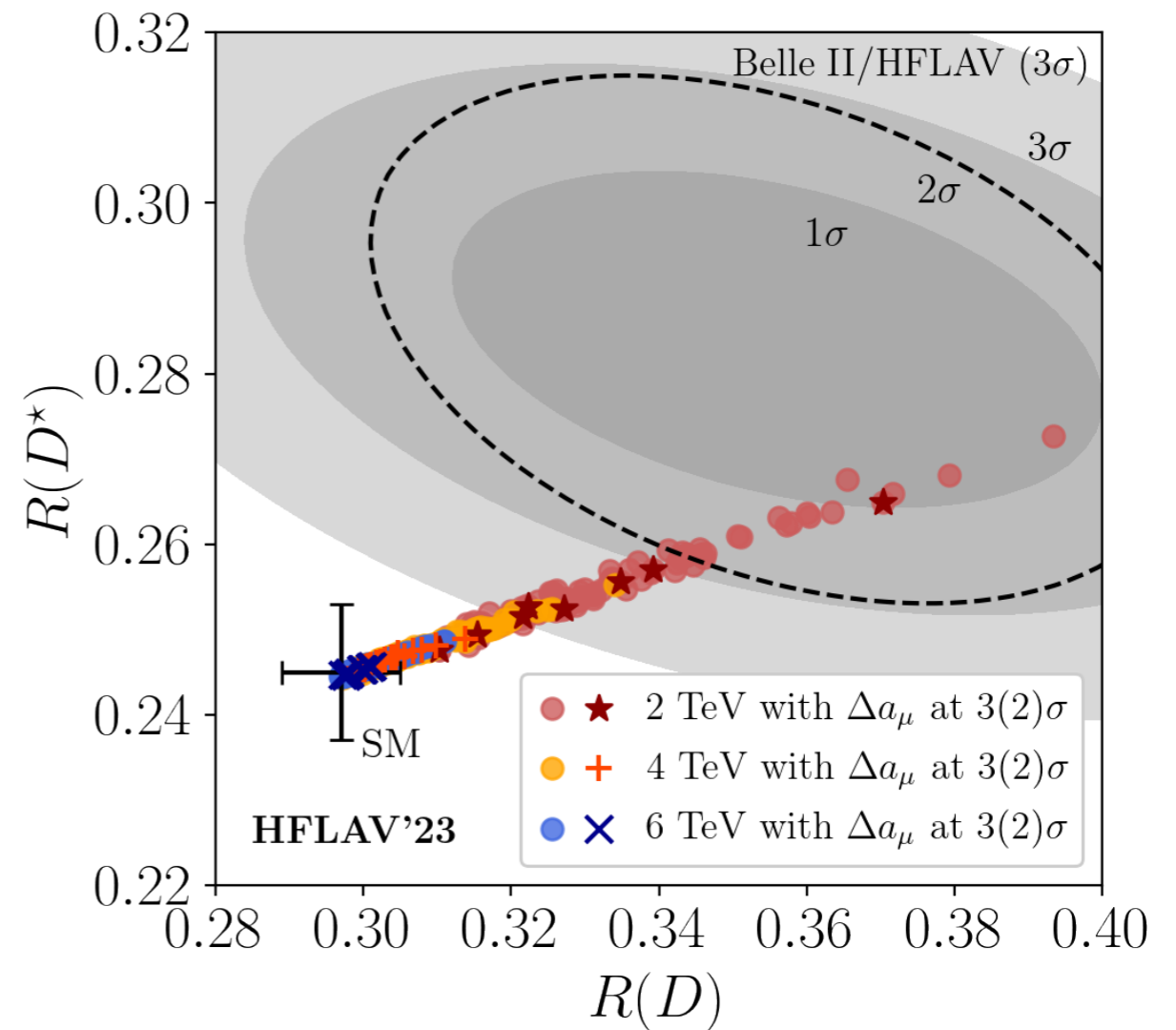
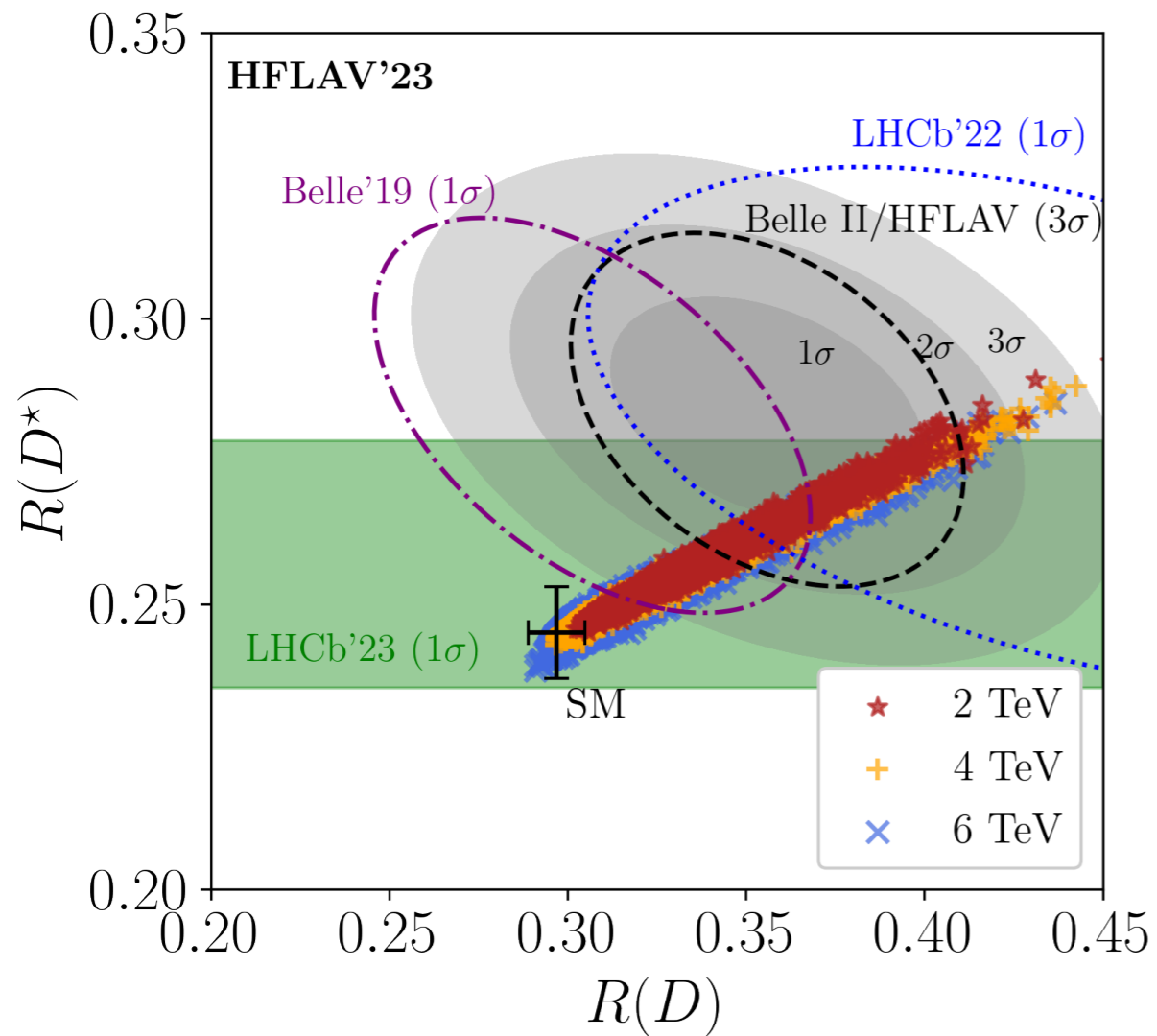
LIST OF PRIMARY OBSERVABLES				
Observable	Experiment			
	Current constraint/measurement			Future reach
$R(D)$	$0.339 \pm 0.026 \pm 0.014$	at 1σ level	[19]	± 0.016 (0.008) for 5 (50) ab^{-1} [98]
$R(D^*)$	$0.295 \pm 0.010 \pm 0.010$	at 1σ level	[19]	± 0.009 (0.0045) for 5 (50) ab^{-1} [98]
Δa_μ	$(2.51 \pm 0.59) \times 10^{-9}$	at 1σ level	[21; 57]	$\pm 0.4 \times 10^{-9}$ [99]
$\text{BR}(\tau \rightarrow \mu\gamma)$	4.2×10^{-8}	at 90% C.L.	[100]	6.9×10^{-9} [101]
$\text{BR}(\mu \rightarrow e\gamma)$	4.2×10^{-13}	at 90% C.L.	[102]	6×10^{-14} [103]
$\text{BR}(\tau \rightarrow 3\mu)$	2.1×10^{-8}	at 90% C.L.	[104]	3.6×10^{-10} [101]
$\text{BR}(\tau \rightarrow \mu e \bar{e})$	1.8×10^{-8}	at 90% C.L.	[104]	2.9×10^{-10} [101]
$\text{BR}(\mu \rightarrow 3e)$	1.0×10^{-12}	at 90% C.L.	[105]	$20(1) \times 10^{-16}$ [106]
$\text{CR}(\mu \rightarrow e; \text{Al})$				$2.6(2.9) \times 10^{-17}$ [107; 108]
$R_{K^*}^\nu$	2.7	at 90% C.L.	[109]	1.0 ± 0.25 (0.1) for 5 (50) ab^{-1} [110]
$g_{\tau_A}/g_A^{\text{SM}}$	1.00154 ± 0.00128	at 1σ level	[111; 112]	± 7.5 (0.75) $\times 10^{-5}$ [112–114]
$\tau_{B_c}^{\text{SM}}$	$0.52_{-0.12}^{+0.18}$ ps	at 1σ level	[115]	
$c\bar{c} \rightarrow \tau\bar{\tau}$	$ b_{32} < 2.6$ ($\hat{m}_\phi = 2$)		[116; 117]	

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH, M.A. Schmidt ('22)]

- Perform numerical scan in interaction basis for all observables, also fitting charged fermion masses and quark mixing

Flavour anomalies

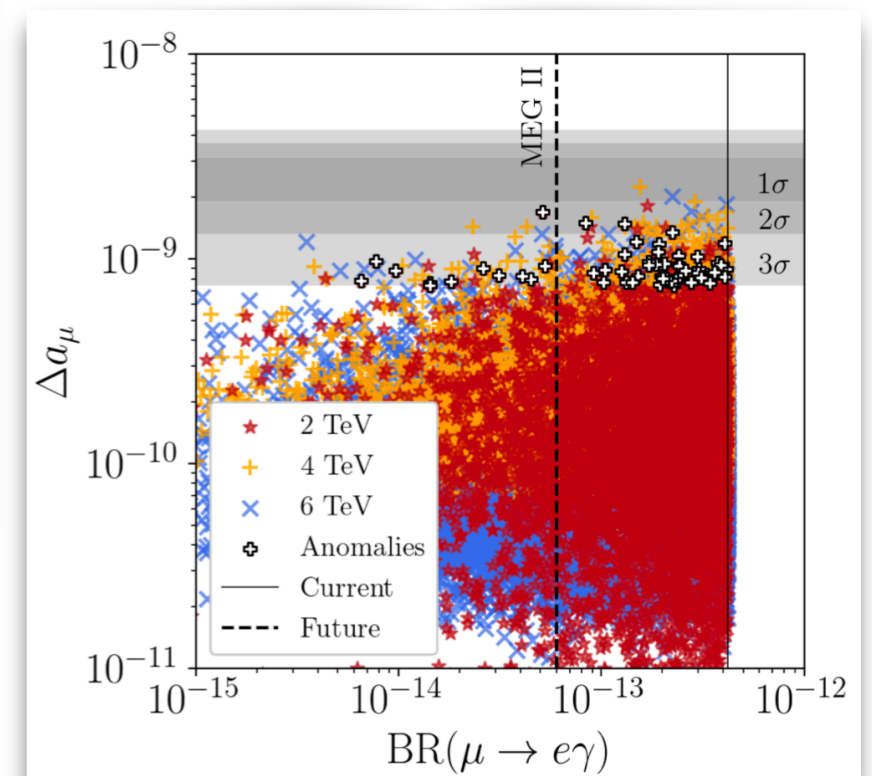
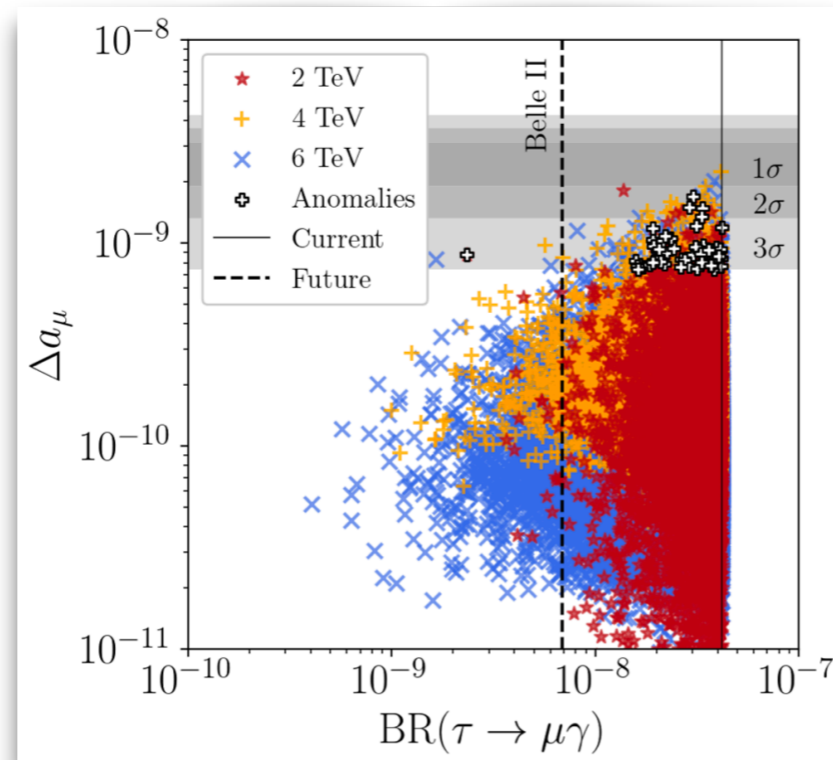
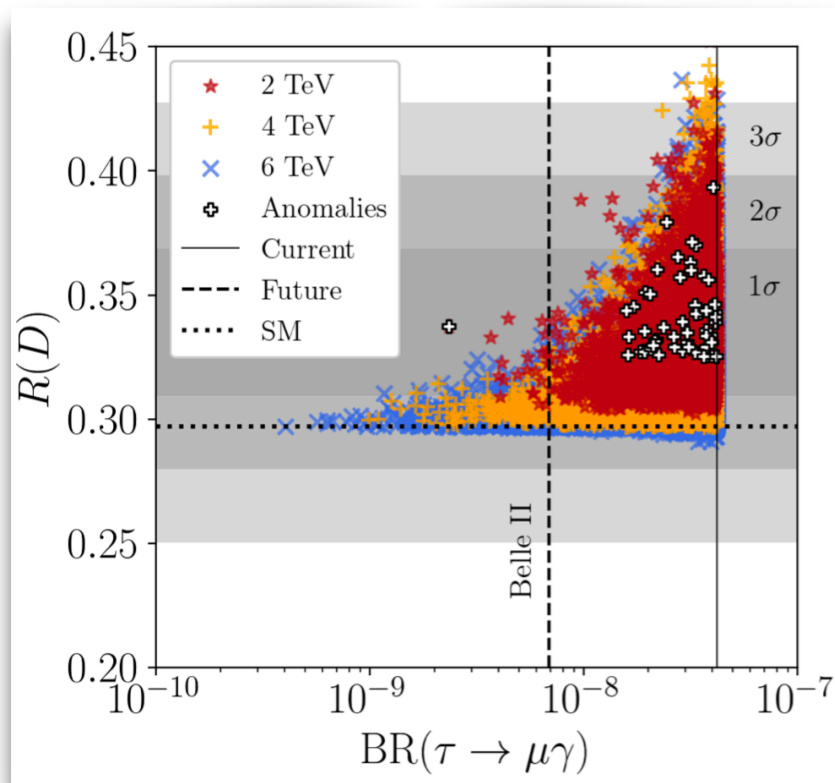


Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

- Perform numerical scan in interaction basis for all observables, also fitting charged fermion masses and quark mixing

Strongest constraints

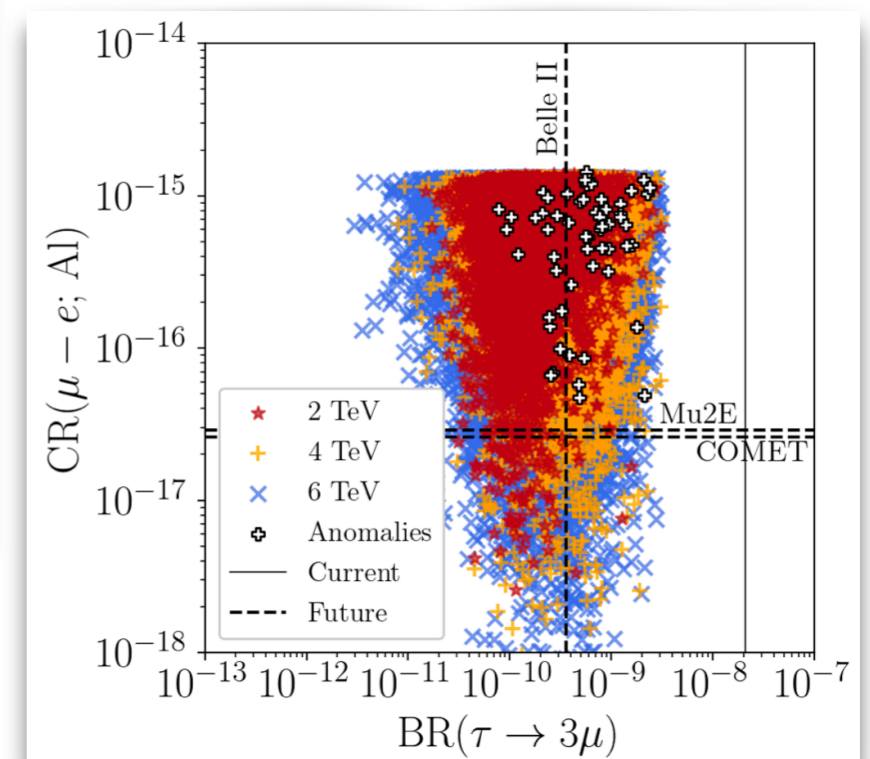
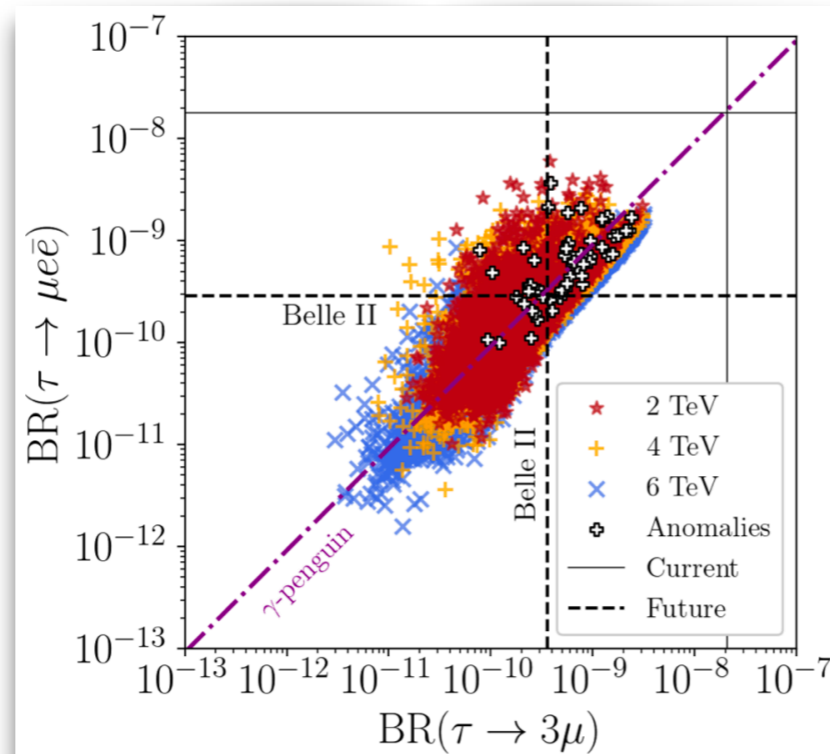
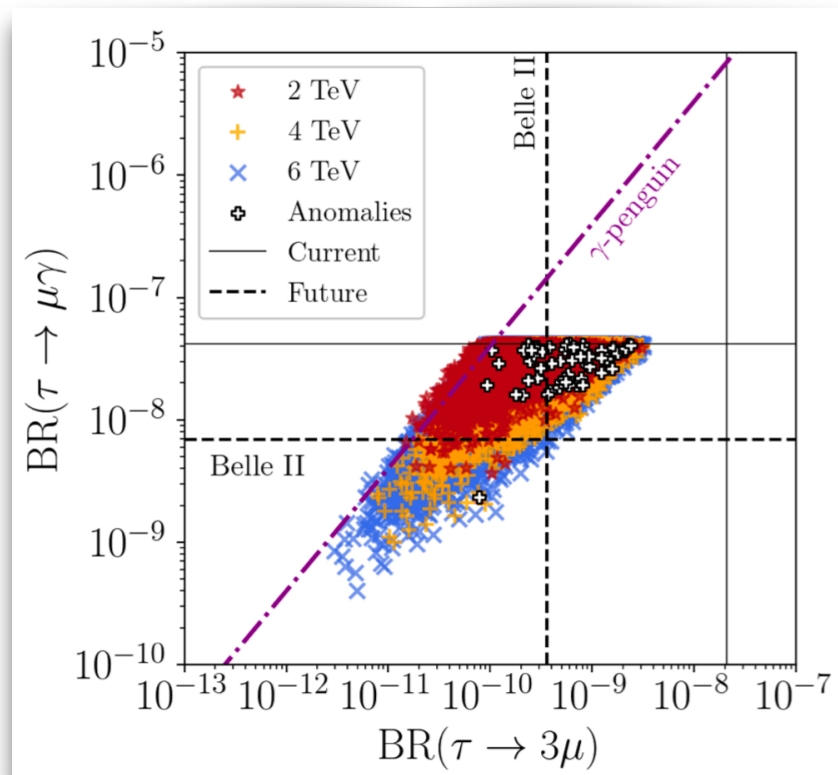


Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH, M.A. Schmidt ('22)]

- Perform numerical scan in interaction basis for all observables, also fitting charged fermion masses and quark mixing

Possible correlations



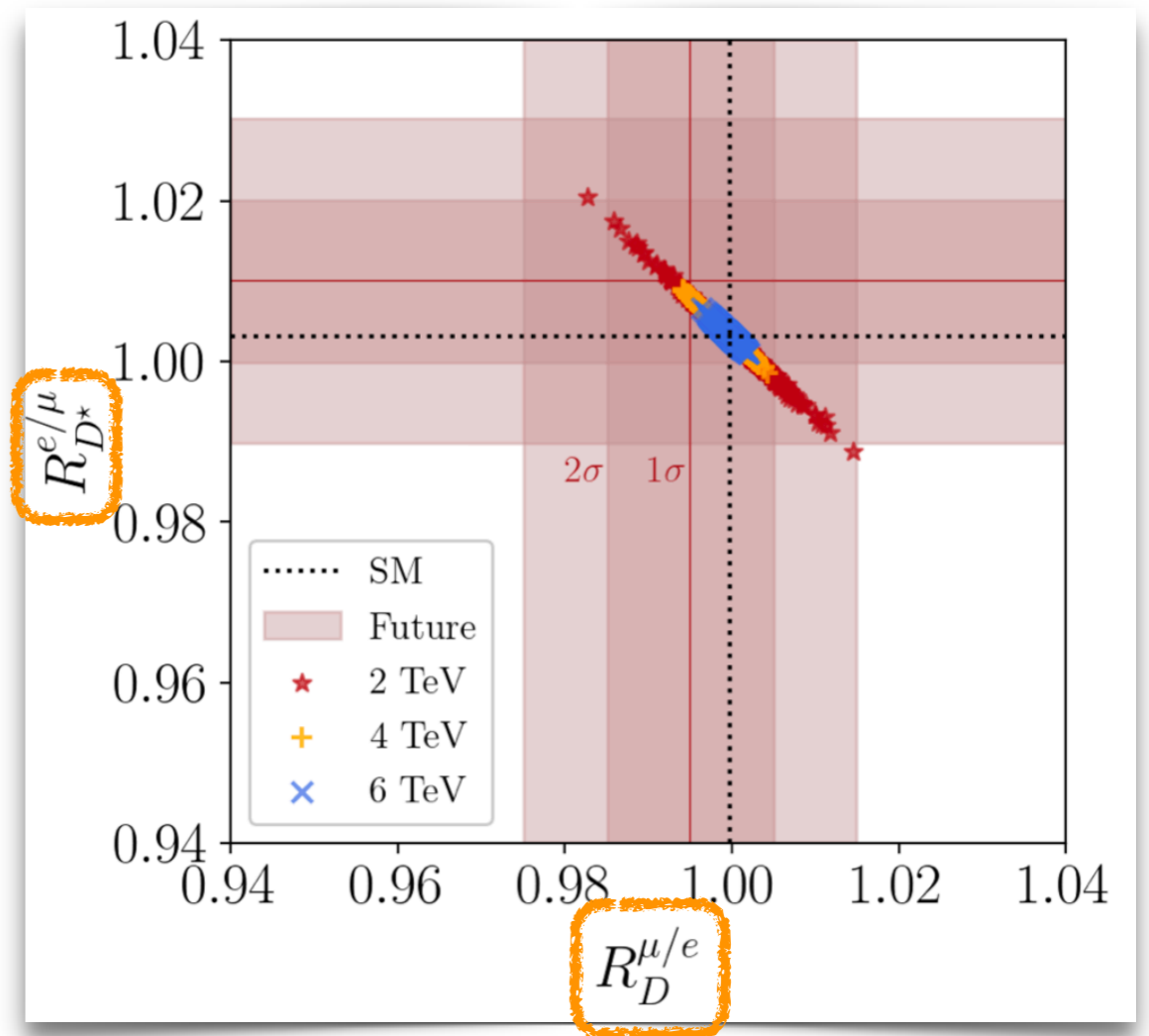
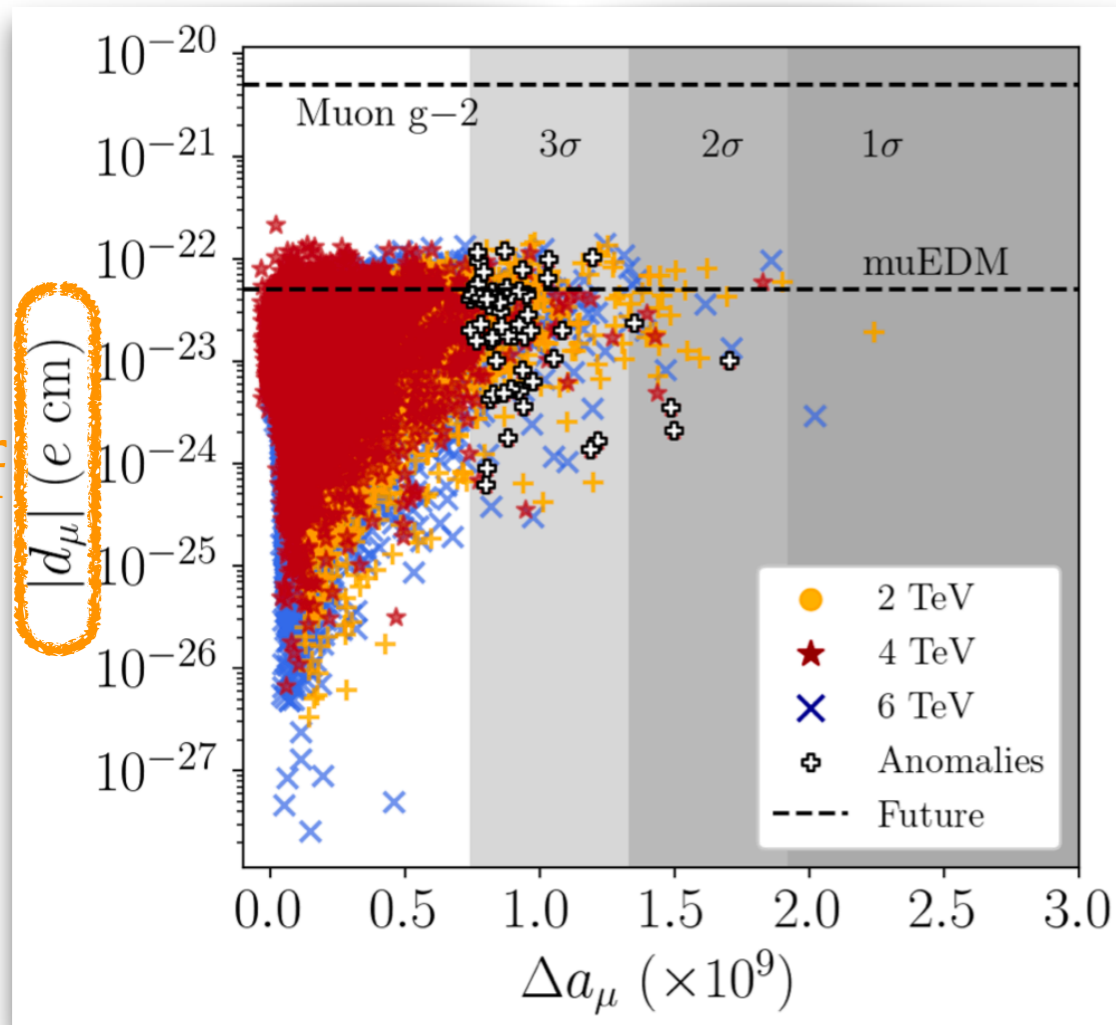
Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH, M.A. Schmidt ('22)]

- Perform numerical scan in interaction basis for all observables, also fitting charged fermion masses and quark mixing

Predictions for further observables

EDM of muon



$$R_D^{\mu/e} = \frac{\Gamma(B \rightarrow D\mu\nu)}{\Gamma(B \rightarrow De\nu)} \quad \text{and} \quad R_{D^*}^{e/\mu} = \frac{\Gamma(B \rightarrow D^*e\nu)}{\Gamma(B \rightarrow D^*\mu\nu)}$$

Summary and Outlook

- Flavour and CP symmetries are useful for understanding fermion mixing and potentially also fermion masses
- They also have considerable effect on other observables in extensions of the SM
- Three examples with interesting applications
 - **Example 1:** Inverse seesaw mechanism with **strong suppression** of charged lepton flavour violating processes
 - **Example 2:** Low-scale seesaw mechanism with **strongly degenerate** RH neutrino masses and generation of BAU possibly **correlated with** low energy CP phases
 - **Example 3:** Model with leptoquark for **explanation of $R(D)$, $R(D^*)$ and the anomalous magnetic moment of the muon**, while **passing all other experimental bounds** and making testable predictions

Summary and Outlook

- [Example 1](#): Explore other versions of symmetry breaking and variants of the inverse seesaw mechanism
- [Example 2](#): Explore phenomenology of heavy neutral leptons and variants of the low-scale type I seesaw mechanism
- [Example 3](#): Extend in order to include neutrino masses and lepton mixing

- One can think about embedding the examples in larger frameworks
- And obviously flavour and CP symmetries can be applied to many more extensions of the SM
- ...

Many thanks for your attention!

Back-up slides

Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

Back to light neutrinos

... go beyond leading order

- **potentially new contributions to m_ν**
- effects of non-unitarity

$$m_\nu^1 = -\frac{1}{2} m_D \left(M_{NS}^{-1} \right)^T \left[\mu_S M_{NS}^{-1} m_D^T m_D^* \left(M_{NS}^{-1} \right)^\dagger + \left(M_{NS}^{-1} \right)^* m_D^\dagger m_D \left(M_{NS}^{-1} \right)^T \mu_S \right] M_{NS}^{-1} m_D^T$$

$$m_\nu^1 = -\frac{y_0^4 v^4}{4 M_0^4} \mu_S = -\frac{y_0^4 v^4}{4 M_0^4} U_S^* \begin{pmatrix} \mu_1 & 0 & 0 \\ 0 & \mu_2 & 0 \\ 0 & 0 & \mu_3 \end{pmatrix} U_S^\dagger$$

Compare to

$$m_\nu = \frac{y_0^2 v^2}{2 M_0^2} \mu_S = \frac{y_0^2 v^2}{2 M_0^2} U_S^* \begin{pmatrix} \mu_1 & 0 & 0 \\ 0 & \mu_2 & 0 \\ 0 & 0 & \mu_3 \end{pmatrix} U_S^\dagger$$

Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

Back to light neutrinos

... go beyond leading order

- potentially new contributions to m_ν
- **effects of non-unitarity**

$$\tilde{U}_{\text{PMNS}} = (\mathbb{1} - \eta) U_0$$

$$\eta = \frac{1}{2} m_D^* \left(M_{NS}^{-1} \right)^\dagger M_{NS}^{-1} m_D^T$$

$$\eta = \frac{y_0^2 v^2}{4 M_0^2} \mathbb{1} \equiv \eta_0 \mathbb{1}$$

Compare to

$$\tilde{U}_{\text{PMNS}} = \Omega(\mathbf{3}) R_{fh}(\theta_S)$$

**Universal effect
in flavour α
and for different
patterns Case 1)
Case 2) Case 3 a)
Case 3 b.1)**

Example 1: Inverse seesaw mechanism

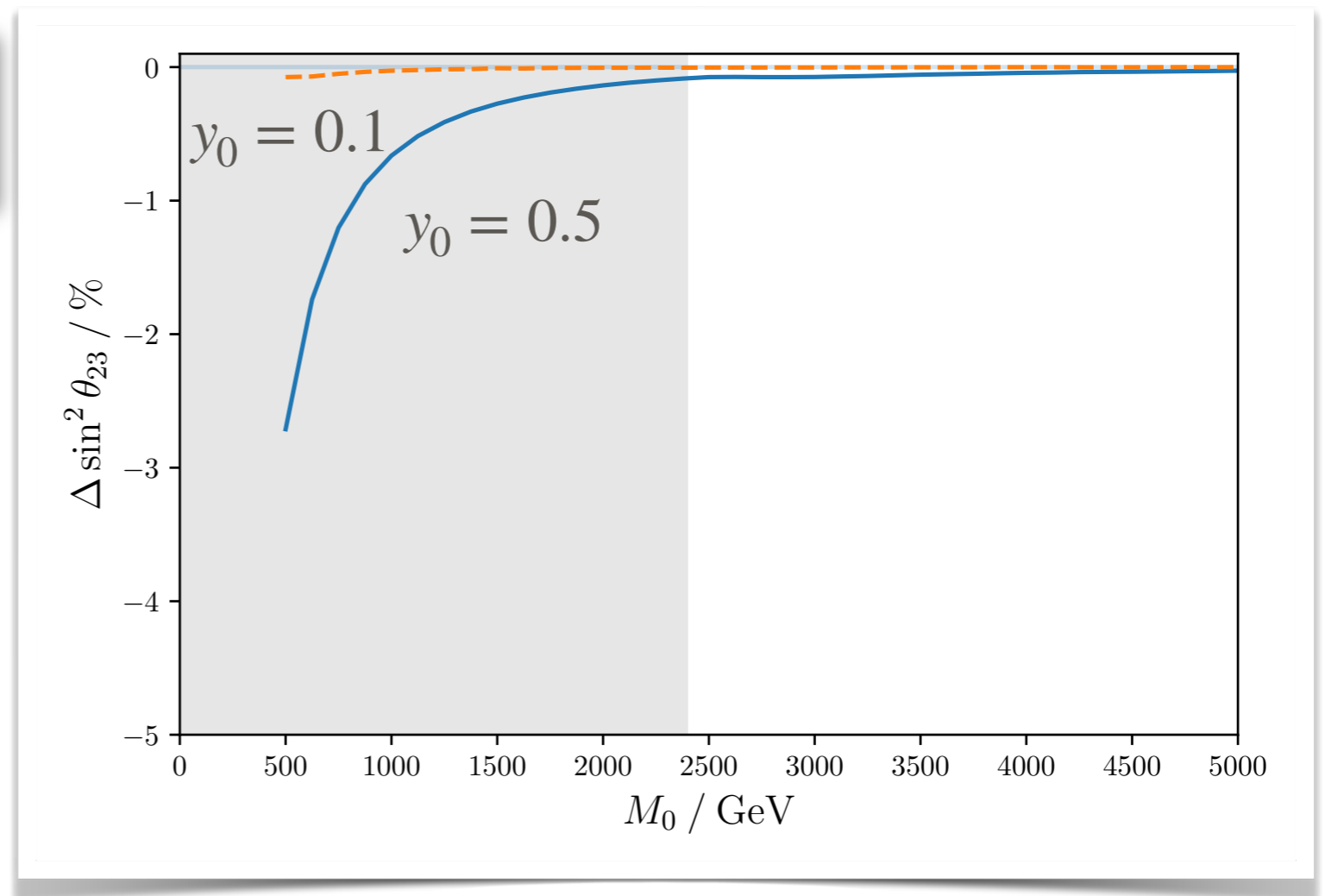
[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

Effect on lepton mixing

Case 1)

$$\sin^2 \theta_{23} = \frac{1}{2} \left(1 + \frac{\sqrt{3} \sin 2\theta}{2 + \cos 2\theta} \right)$$

$$\theta \approx 0.18$$



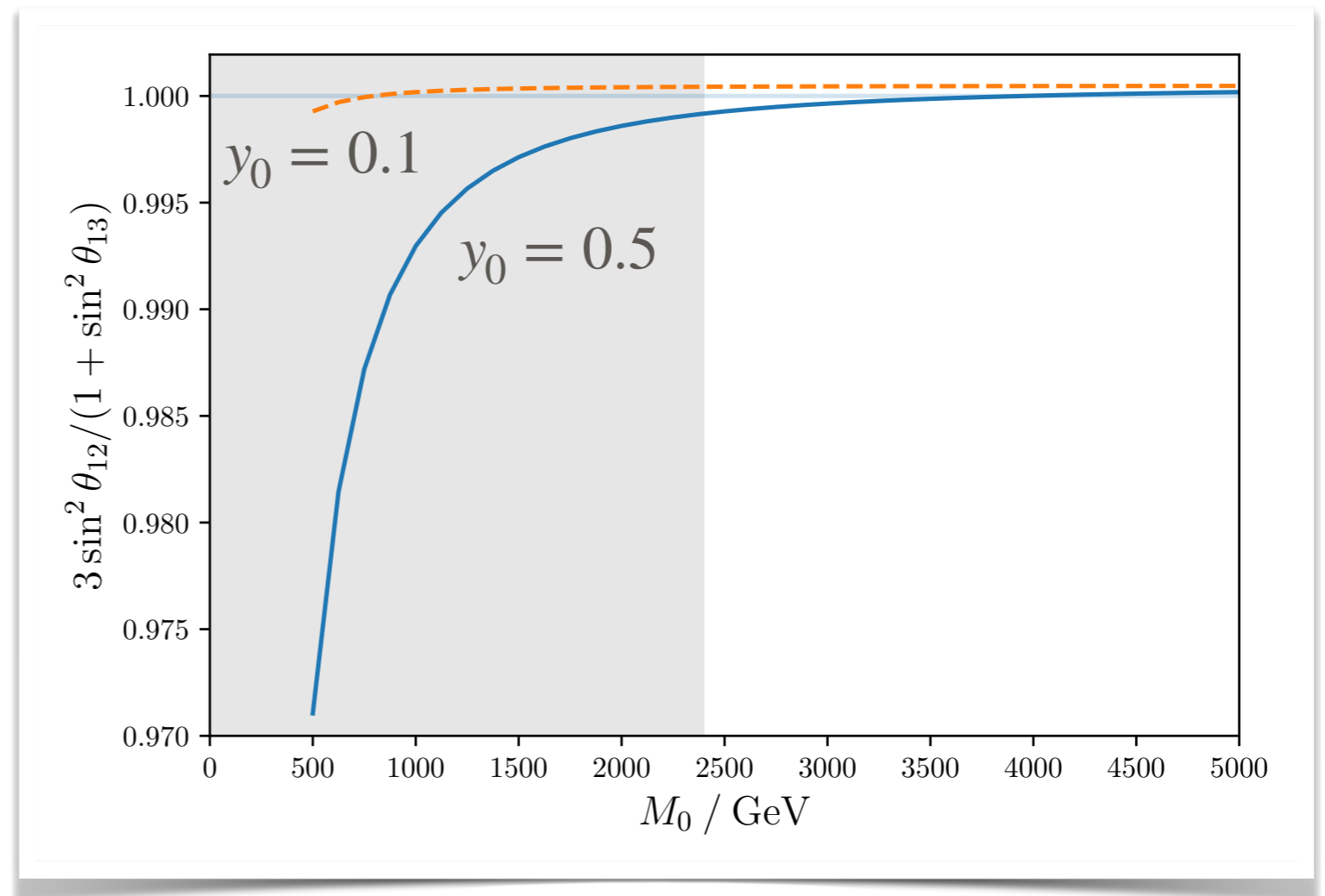
Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

Effect on lepton mixing

Case 1)

$$\sin^2 \theta_{12} \approx \frac{1}{3} (1 + \sin^2 \theta_{13})$$



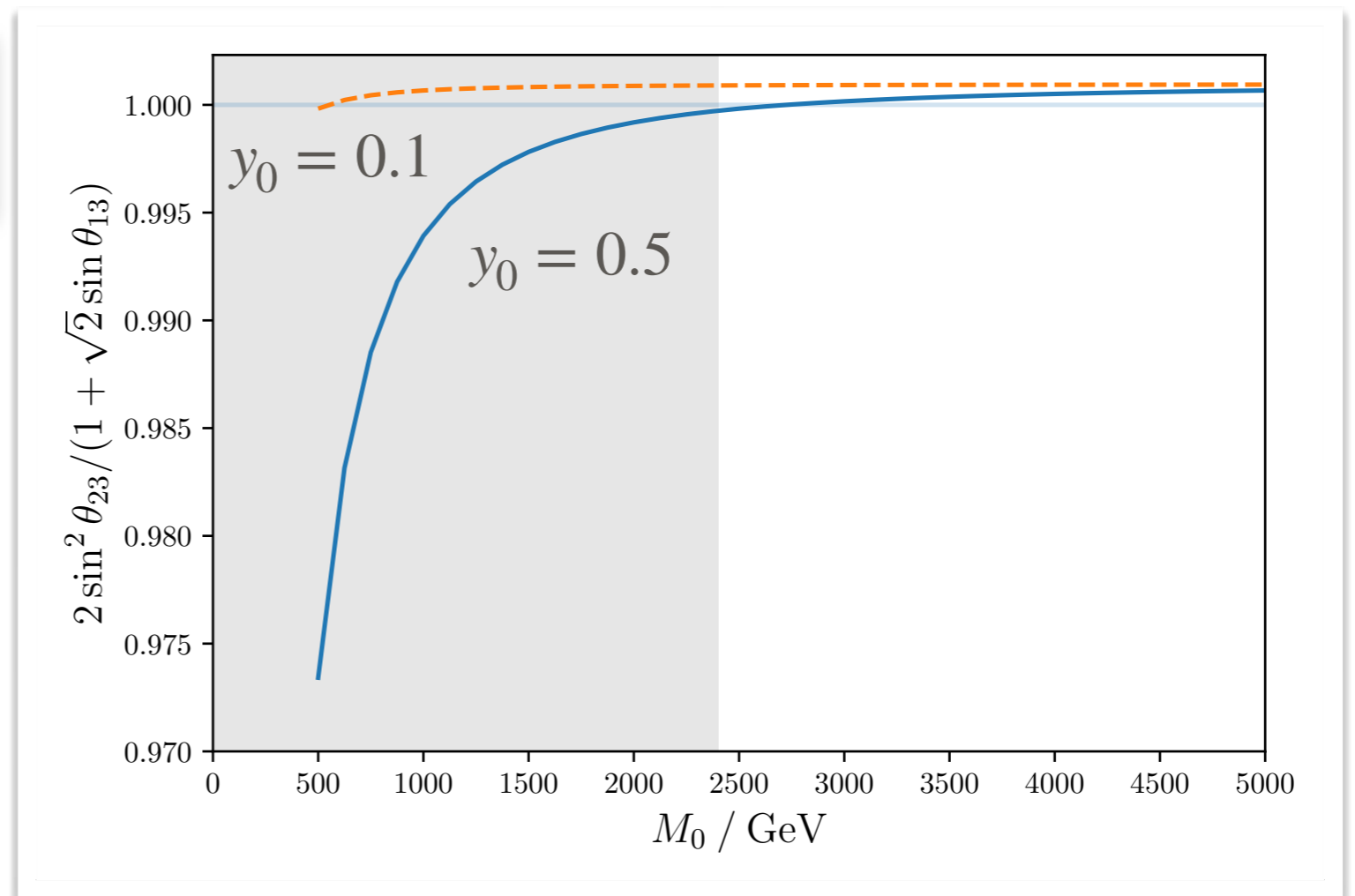
Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

Effect on lepton mixing

Case 1)

$$\sin^2 \theta_{23} \approx \frac{1}{2} \left(1 \pm \sqrt{2} \sin \theta_{13} \right)$$

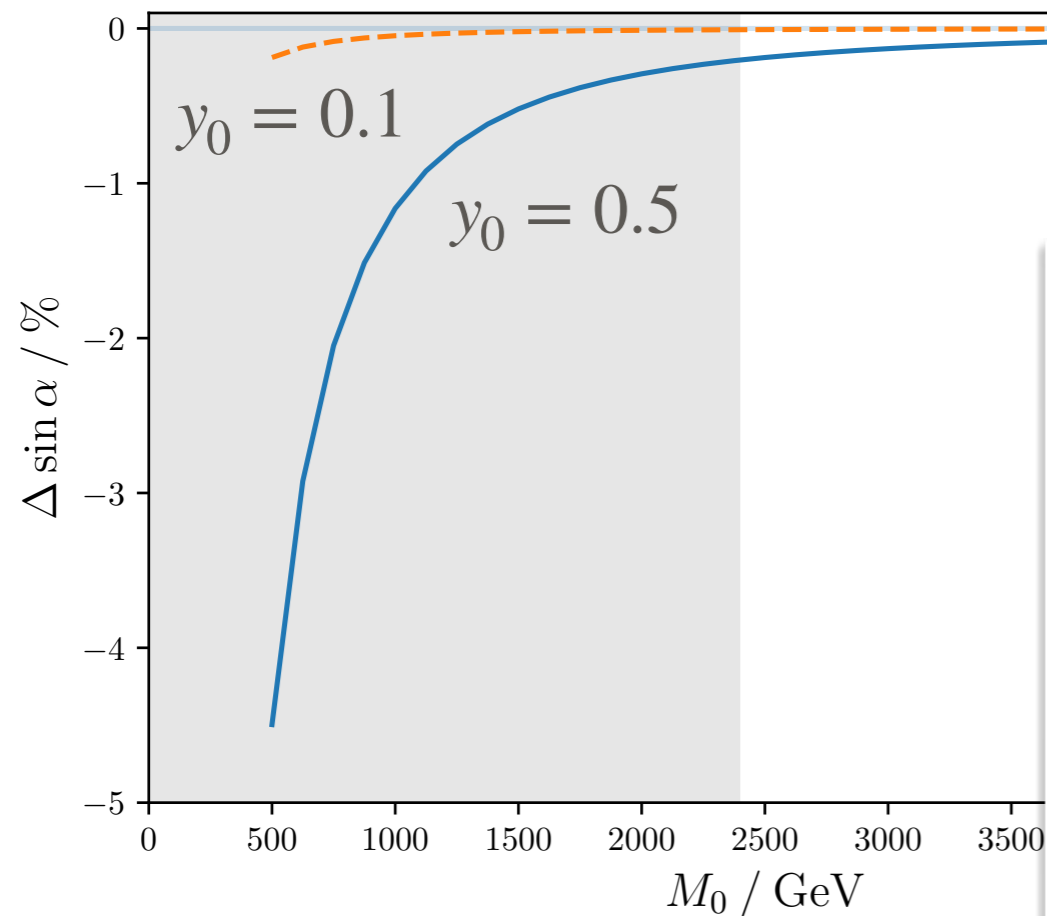


Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

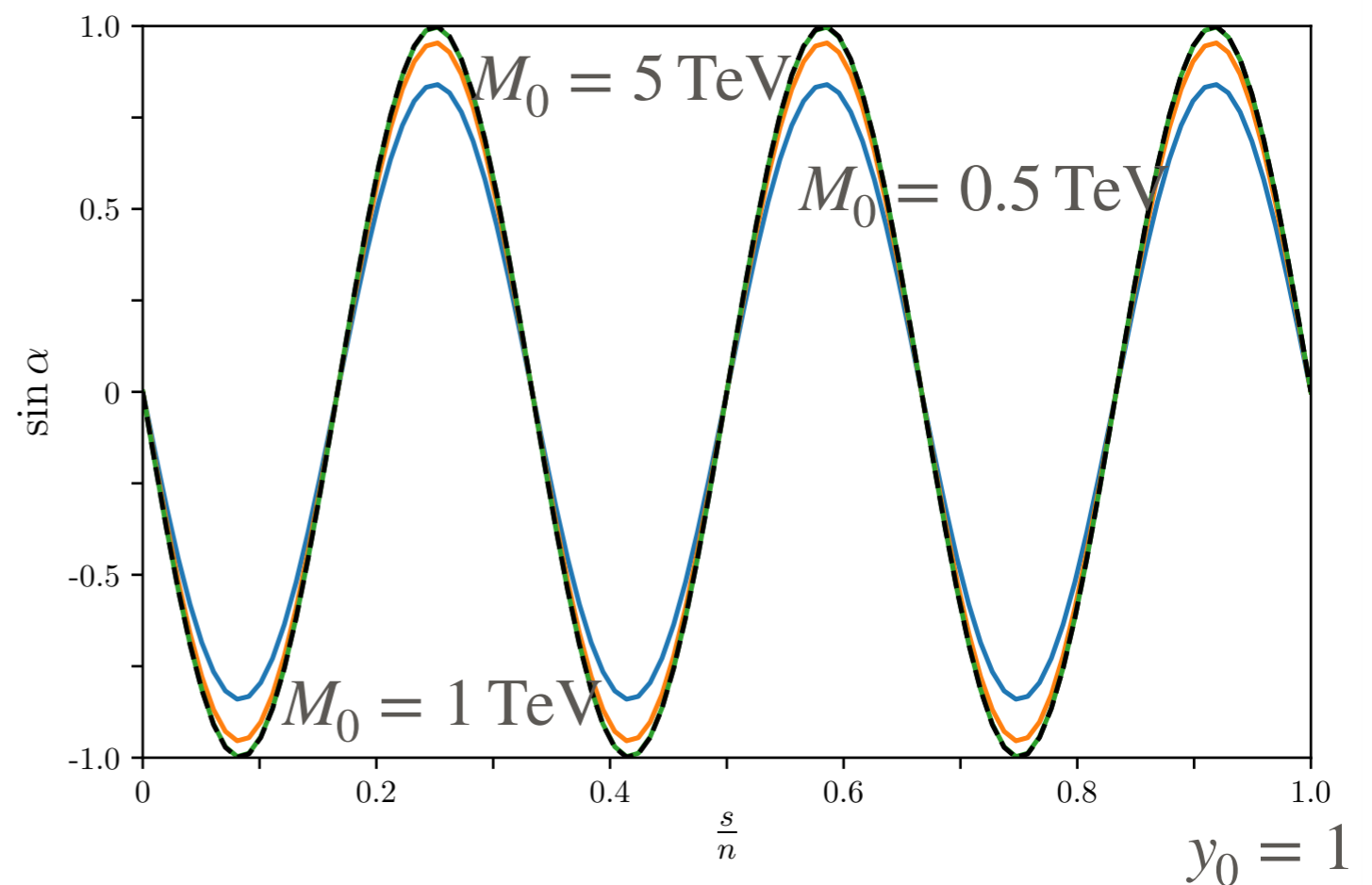
Effect on lepton mixing

Case 1)



$$\sin \alpha = -\sin 6 \phi_s$$

$$\phi_s = \frac{\pi s}{n}$$

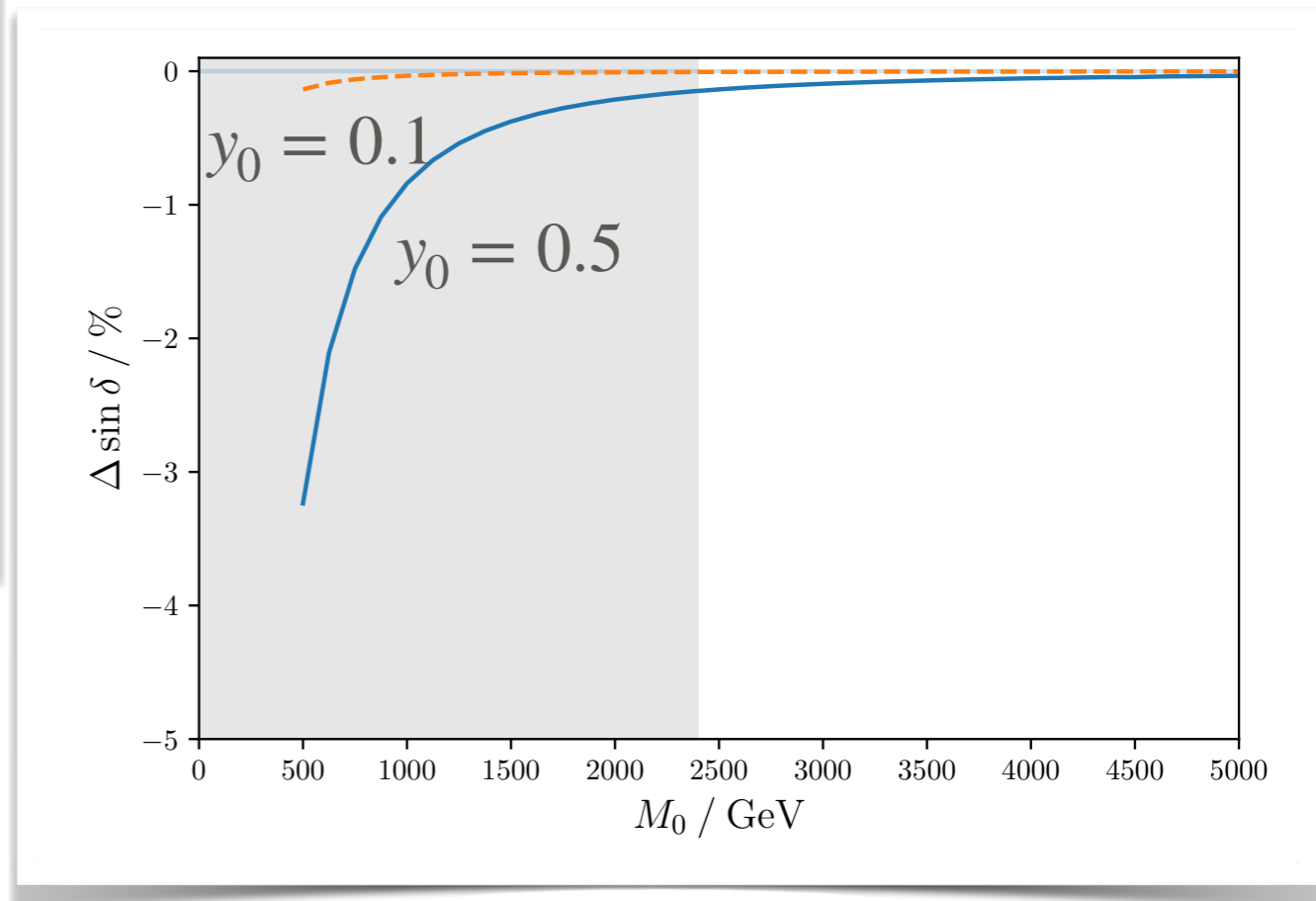
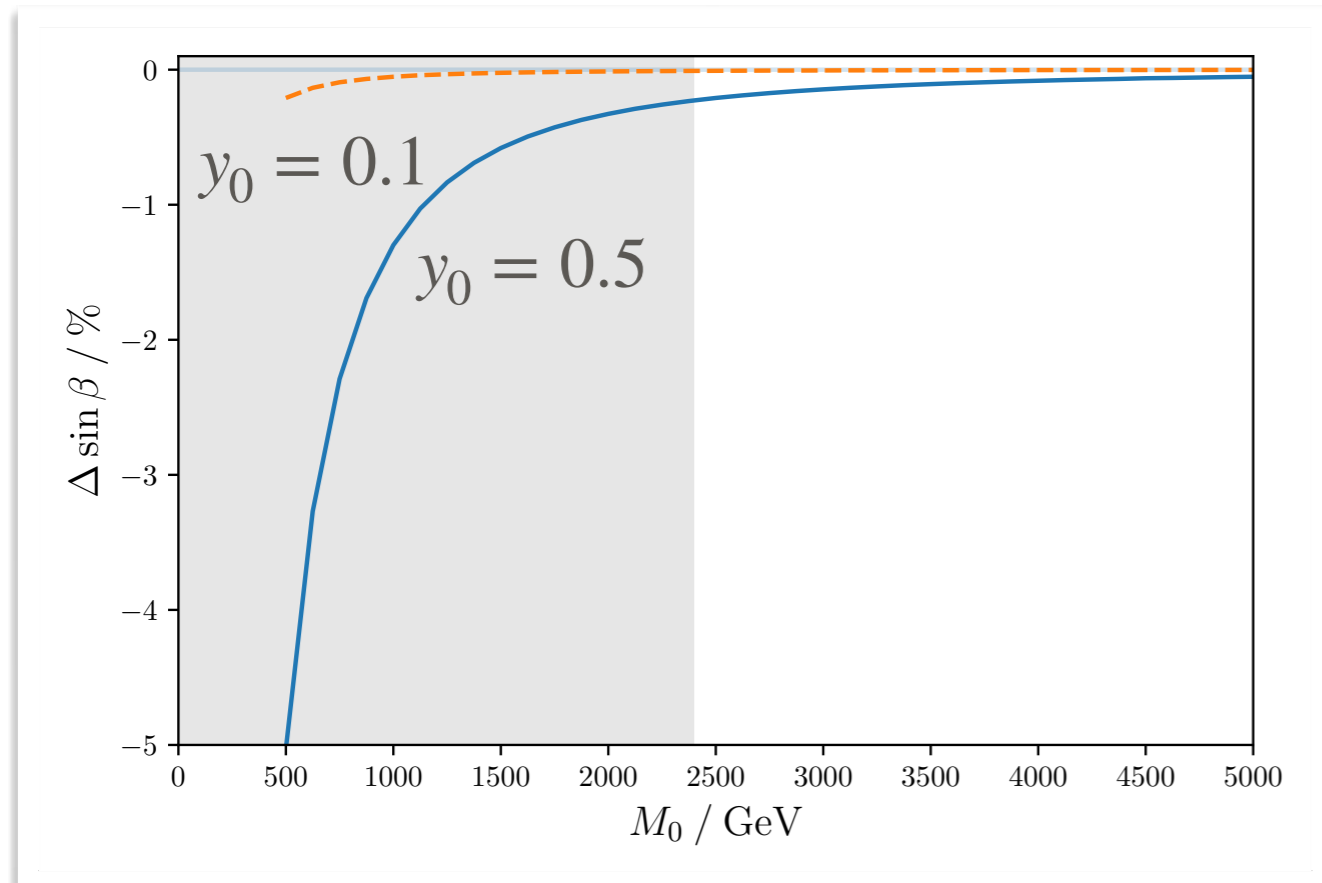


Example 1: Inverse seesaw mechanism

[CH, J. Kriewald, J. Orloff,
A.M. Teixeira ('21)]

Effect on lepton mixing

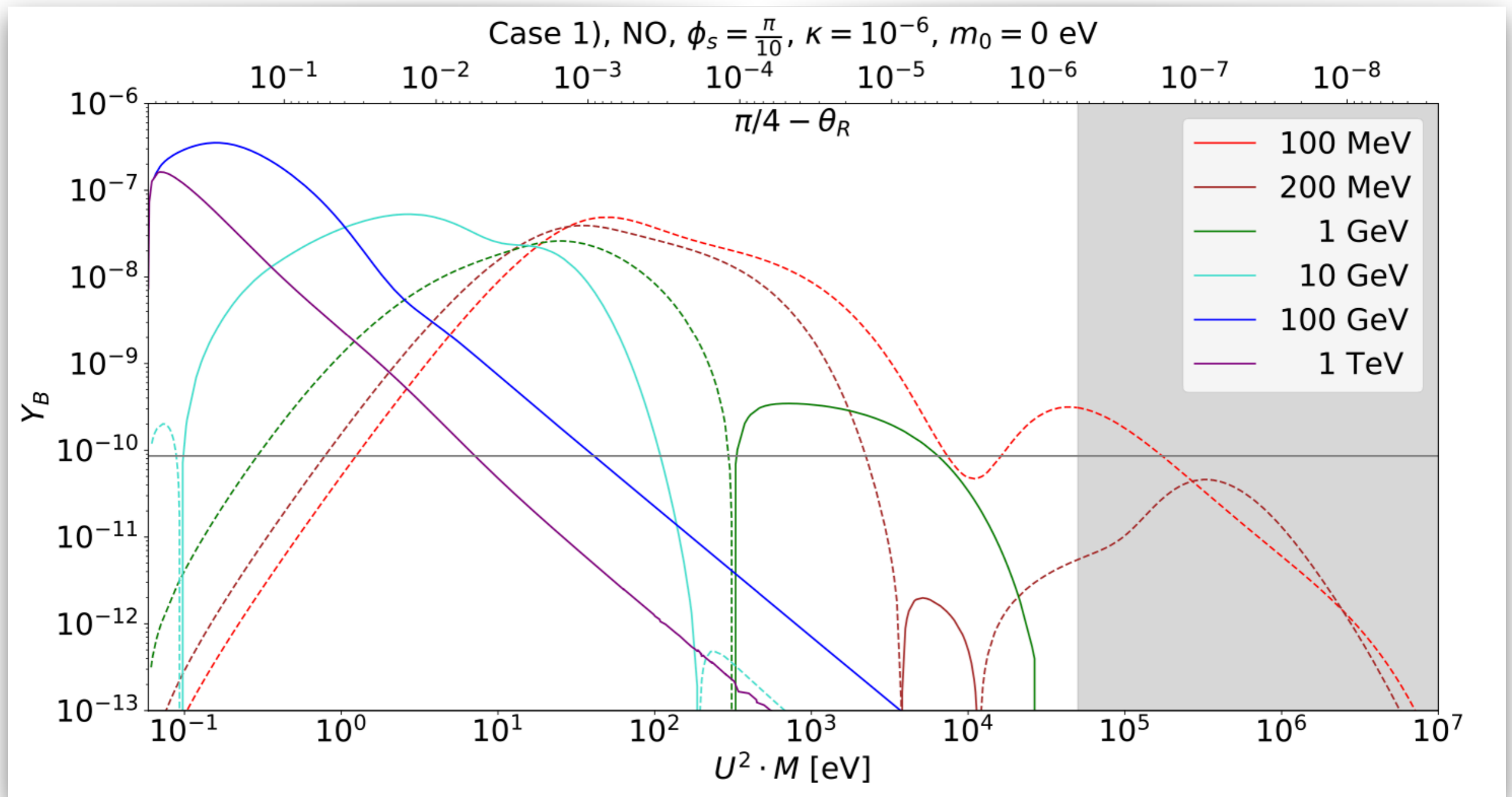
Case 2)



Example 2: Low-scale seesaw mechanism

[M. Drewes, Y. Georis, CH,
J. Klaric ('22)]

Case 1)



Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Way towards capturing main dependencies analytically

- CP-violating combinations
- washout parameter

CP-violating combinations:

see for related work [Hernandez et al. \('15\)](#)

Perturbatively solve quantum kinetic equations in H_N and Γ

Leading term for lepton asymmetries

$$\text{Tr} \left[\tilde{\Gamma}_\alpha (\bar{\rho}_N - \rho_N) \right] \propto \text{Tr} \left(\tilde{\Gamma}_\alpha [H_N, \Gamma] \right) \quad \text{with} \quad \alpha = e, \mu, \tau.$$

Three types of CP-violating combinations are found

$$\begin{aligned} C_{\text{LFV},\alpha} &= i \text{Tr} \left(\left[\hat{M}_R^2, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^\dagger P_\alpha \hat{Y}_D \right), \\ C_{\text{LNV},\alpha} &= i \text{Tr} \left(\left[\hat{M}_R^2, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^T P_\alpha \hat{Y}_D^* \right), \\ C_{\text{DEG},\alpha} &= i \text{Tr} \left(\left[\hat{Y}_D^T \hat{Y}_D^*, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^T P_\alpha \hat{Y}_D^* \right) \end{aligned}$$

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

$$\begin{aligned}
 C_{\text{LFV},\alpha} &= i \text{Tr} \left(\left[\hat{M}_R^2, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^\dagger P_\alpha \hat{Y}_D \right), \\
 C_{\text{LNV},\alpha} &= i \text{Tr} \left(\left[\hat{M}_R^2, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^T P_\alpha \hat{Y}_D^* \right), \\
 C_{\text{DEG},\alpha} &= i \text{Tr} \left(\left[\hat{Y}_D^T \hat{Y}_D^*, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^T P_\alpha \hat{Y}_D^* \right)
 \end{aligned}$$

with

$$P_e = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad P_\mu = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad P_\tau = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

and in mass basis of heavy states, i.e.

$$\hat{Y}_D = Y_D U_R$$

$$U_R = \frac{1}{\sqrt{2}} \begin{pmatrix} \sqrt{2} & 0 & 0 \\ 0 & 1 & i \\ 0 & 1 & -i \end{pmatrix}$$

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

$$C_{\text{LFV},\alpha} = i \text{Tr} \left(\left[\hat{M}_R^2, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^\dagger P_\alpha \hat{Y}_D \right)$$

Note the following

- Dominant combination when N_i are in relativistic regime
- Only leads to lepton flavour asymmetry, since

$$\sum_\alpha C_{\text{LFV},\alpha} = 0.$$

- Crucially depends on a flavoured washout

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

$$C_{\text{LFV},\alpha} = i \text{Tr} \left(\left[\hat{M}_R^2, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^\dagger P_\alpha \hat{Y}_D \right)$$

Note the following

- Dominant combination when N_i are in relativistic regime
- Only leads to lepton flavour asymmetry, since

$$\sum_\alpha C_{\text{LFV},\alpha} = 0.$$

- Crucially depends on a flavoured washout

$$C_{\text{LNV},\alpha} = i \text{Tr} \left(\left[\hat{M}_R^2, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^T P_\alpha \hat{Y}_D^* \right)$$

Note the following

- Sizeable for intermediate / larger masses of N_i
- Directly violates lepton number with

$$C_{\text{LNV}} = \sum_\alpha C_{\text{LNV},\alpha} \neq 0$$

compare to flavoured decay asymmetries $\epsilon_{i\alpha}$ see [Dev et al. \('17\)](#)

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

$$C_{\text{DEG},\alpha} = i \text{Tr} \left(\left[\hat{Y}_D^T \hat{Y}_D^*, \hat{Y}_D^\dagger \hat{Y}_D \right] \hat{Y}_D^T P_\alpha \hat{Y}_D^* \right)$$

Note the following

- Only this CP-violating combination could be non-zero for zero splitting
- Only possible at intermediate temperatures $M/T \sim 1$
- Only leads to lepton flavour asymmetry, since

$$\sum_\alpha C_{\text{DEG},\alpha} = 0.$$

Furthermore, for the limit $\lambda \ll \kappa \lesssim 1$ consider subset of two mass-degenerate states. Define

$$(\hat{Y}_{(23)})_{\alpha i} = (\hat{Y}_D)_{\alpha i} \quad \text{for } i \in \{2, 3\}$$

For $\lambda = 0$ we only need

$$C_{\text{DEG},\alpha}^{(23)} = i \text{Tr} \left(\left[\hat{Y}_{(23)}^T \hat{Y}_{(23)}^*, \hat{Y}_{(23)}^\dagger \hat{Y}_{(23)} \right] \hat{Y}_{(23)}^T P_\alpha \hat{Y}_{(23)}^* \right)$$

Clearly,

$$\sum_\alpha C_{\text{DEG},\alpha}^{(23)} = 0.$$

Example 2: Low-scale seesaw mechanism [M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Way towards capturing main dependencies analytically

- CP-violating combinations
- **washout parameter**

Flavoured washout parameter:

$$f_\alpha = \frac{(\hat{Y}_D \hat{Y}_D^\dagger)_{\alpha\alpha}}{\text{Tr}(\hat{Y}_D \hat{Y}_D^\dagger)}$$

Example 2: Low-scale seesaw mechanism

[M. Drewes, Y. Georis, CH, J. Klaric ('22)]

Overview over results

Type of mixing pattern	BAU non-zero for $\kappa = 0$?	BAU non-zero for large κ ?	Large total mixing angle U^2 possible?
Case 1)	No, see Fig. 4	Yes, see Fig. 4	Yes, for $\cos 2\theta_R \approx 0$ see Fig. 9
Case 2), t even	No, see Fig. 12	No, see Fig. 12	No
Case 2), t odd	Yes, for $m_0 \neq 0$ see Fig. 17, plot (a)	Yes, see Fig. 16	Yes, for $\sin 2\theta_R \approx 0$ see Fig. 19
Case 3 b.1), m and s even	No, see Fig. 20	No, see Fig. 20	No
Case 3 b.1), m even, s odd	Yes, see Fig. 22 except for strong IO	No, see Fig. 22	Yes, for $\cos 2\theta_R \approx 0$ see Fig. 25
Case 3 b.1), m odd, s even	Yes, see Fig. 26 except for strong IO	Yes, see Fig. 26	Yes, for $\cos 2\theta_R \approx 0$
Case 3 b.1), m and s odd	No	No	No

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Leading to charged fermion masses ... all consistent with data

$$\begin{aligned} m_u &= |f_{11} \lambda^8 + \mathcal{O}(\lambda^{10})| \langle H_u^0 \rangle , \\ m_c &= \left| f_{22} \lambda^4 + \left(\frac{f_{12}^2}{2 f_{22}} - \frac{f_{23} f_{32}}{f_{33}} \right) \lambda^6 + \mathcal{O}(\lambda^8) \right| \langle H_u^0 \rangle \\ m_t &= \left| f_{33} + \frac{f_{23}^2}{2 f_{33}} \lambda^4 + \mathcal{O}(\lambda^8) \right| \langle H_u^0 \rangle . \end{aligned}$$

$$\begin{aligned} m_d &= |d_{11} \lambda^4 + \mathcal{O}(\lambda^{12})| \langle H_d^0 \rangle , \\ m_s &= \left| d_{22} \lambda^2 - \frac{d_{23}(d_{22}d_{23} + 2 d_{32}d_{33})}{2 d_{33}^2} \lambda^6 + \mathcal{O}(\lambda^{10}) \right| \langle H_d^0 \rangle \\ m_b &= \left| d_{33} + \frac{d_{23}^2}{2 d_{33}} \lambda^4 + \mathcal{O}(\lambda^8) \right| \langle H_d^0 \rangle , \end{aligned}$$

$$\begin{aligned} m_e &= |e_{11} \lambda^4 + \mathcal{O}(\lambda^{12})| \langle H_d^0 \rangle , \\ m_\mu &= \left| e_{22} \lambda^2 - \frac{e_{23}(e_{22}e_{23} + 2 e_{32}e_{33})}{2 e_{33}^2} \lambda^4 + \mathcal{O}(\lambda^6) \right| \langle H_d^0 \rangle \\ m_\tau &= \left| e_{33} + \frac{e_{23}^2}{2 e_{33}} \lambda^2 + \mathcal{O}(\lambda^4) \right| \langle H_d^0 \rangle . \end{aligned}$$

Potentially
relevant
contribution
from LQ

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Leading to quark mixing

$$V_{\text{CKM}} = L_u^\dagger L_d =$$

$$\begin{pmatrix} 1 - \frac{f_{12}^2}{2f_{22}^2} \lambda^2 + \mathcal{O}(\lambda^4) & -\frac{f_{12}}{f_{22}} \lambda + \mathcal{O}(\lambda^3) & \frac{f_{12}(d_{33}f_{23} - d_{23}f_{33})}{d_{33}f_{22}f_{33}} \lambda^3 + \mathcal{O}(\lambda^5) \\ \frac{f_{12}}{f_{22}} \lambda + \mathcal{O}(\lambda^3) & 1 - \frac{f_{12}^2}{2f_{22}^2} \lambda^2 + \mathcal{O}(\lambda^4) & \left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \lambda^2 + \mathcal{O}(\lambda^4) \\ \frac{d_{22}d_{33}f_{13} - d_{12}d_{33}f_{23} - d_{13}d_{22}f_{33} + d_{12}d_{23}f_{33}}{d_{22}d_{33}f_{33}} \lambda^8 + \mathcal{O}(\lambda^9) & -\left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \lambda^2 + \mathcal{O}(\lambda^6) & 1 - \frac{(d_{33}f_{23} - d_{23}f_{33})^2}{2d_{33}^2f_{33}^2} \lambda^4 + \mathcal{O}(\lambda^8) \end{pmatrix}$$

V_{td} too small

Consequently, also J_{CP} suppressed

Note also strong correlation

$$|V_{ub}| \approx \left| \frac{f_{12}}{f_{22}} \left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \right| \lambda^3 \approx |V_{us}| |V_{cb}|$$

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Leading to quark mixing

$$V_{\text{CKM}} = L_u^\dagger L_d =$$

$$\begin{pmatrix} 1 - \frac{f_{12}^2}{2f_{22}^2} \lambda^2 + \mathcal{O}(\lambda^4) & -\frac{f_{12}}{f_{22}} \lambda + \mathcal{O}(\lambda^3) & \frac{f_{12}(d_{33}f_{23} - d_{23}f_{33})}{d_{33}f_{22}f_{33}} \lambda^3 + \mathcal{O}(\lambda^5) \\ \frac{f_{12}}{f_{22}} \lambda + \mathcal{O}(\lambda^3) & 1 - \frac{f_{12}^2}{2f_{22}^2} \lambda^2 + \mathcal{O}(\lambda^4) & \left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \lambda^2 + \mathcal{O}(\lambda^4) \\ \frac{d_{22}d_{33}f_{13} - d_{12}d_{33}f_{23} - d_{13}d_{22}f_{33} + d_{12}d_{23}f_{33}}{d_{22}d_{33}f_{33}} \lambda^8 + \mathcal{O}(\lambda^9) & -\left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \lambda^2 + \mathcal{O}(\lambda^6) & 1 - \frac{(d_{33}f_{23} - d_{23}f_{33})^2}{2d_{33}^2f_{33}^2} \lambda^4 + \mathcal{O}(\lambda^8) \end{pmatrix}$$

V_{td} too small

Consequently, also J_{CP} suppressed

Note also strong correlation

Source of problem

$$|V_{ub}| \approx \left| \frac{f_{12}}{f_{22}} \left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \right| \lambda^3 \approx |V_{us}| |V_{cb}|$$

$$M_u = \begin{pmatrix} f_{11} \lambda^8 & f_{12} \lambda^5 & f_{13} \lambda^8 \\ f_{21} \lambda^{10} & f_{22} \lambda^4 & f_{23} \lambda^2 \\ f_{31} \lambda^{12} & f_{32} \lambda^4 & f_{33} \end{pmatrix} \langle H_u^0 \rangle$$

Scenario A

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Leading to quark mixing

$$V_{\text{CKM}} = L_u^\dagger L_d =$$

$$\begin{pmatrix} 1 - \frac{f_{12}^2}{2f_{22}^2} \lambda^2 + \mathcal{O}(\lambda^4) & -\frac{f_{12}}{f_{22}} \lambda + \mathcal{O}(\lambda^3) & \frac{f_{12}(d_{33}f_{23} - d_{23}f_{33})}{d_{33}f_{22}f_{33}} \lambda^3 + \mathcal{O}(\lambda^5) \\ \frac{f_{12}}{f_{22}} \lambda + \mathcal{O}(\lambda^3) & 1 - \frac{f_{12}^2}{2f_{22}^2} \lambda^2 + \mathcal{O}(\lambda^4) & \left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \lambda^2 + \mathcal{O}(\lambda^4) \\ \frac{d_{22}d_{33}f_{13} - d_{12}d_{33}f_{23} - d_{13}d_{22}f_{33} + d_{12}d_{23}f_{33}}{d_{22}d_{33}f_{33}} \lambda^8 + \mathcal{O}(\lambda^9) & -\left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \lambda^2 + \mathcal{O}(\lambda^6) & 1 - \frac{(d_{33}f_{23} - d_{23}f_{33})^2}{2d_{33}^2f_{33}^2} \lambda^4 + \mathcal{O}(\lambda^8) \end{pmatrix}$$

V_{td} too small

Consequently, also J_{CP} suppressed

Note also strong correlation

Cure — ad hoc contribution ... difficult to achieve alone here

$$|V_{ub}| \approx \left| \frac{f_{12}}{f_{22}} \left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \right| \lambda^3 \approx |V_{us}| |V_{cb}|$$

$$M_u = \begin{pmatrix} f_{11} \lambda^8 & f_{12} \lambda^5 & \tilde{f}_{13} \lambda^3 \\ f_{21} \lambda^{10} & f_{22} \lambda^4 & f_{23} \lambda^2 \\ f_{31} \lambda^{12} & f_{32} \lambda^4 & f_{33} \end{pmatrix} \langle H_u^0 \rangle$$

Scenario B

Example 3: Model with leptoquark

[I. Bigaran, T. Felkl, CH,
M.A. Schmidt ('22)]

Leading to quark mixing

$V_{CKM} =$

$$\begin{pmatrix}
 1 - \frac{f_{12}^2}{2 f_{22}^2} \lambda^2 + \mathcal{O}(\lambda^4) & -\frac{f_{12}}{f_{22}} \lambda + \mathcal{O}(\lambda^3) & \left(\frac{f_{12}(d_{33} f_{23} - d_{23} f_{33})}{d_{33} f_{22} f_{33}} - \frac{\tilde{f}_{13}}{f_{33}} \right) \lambda^3 + \mathcal{O}(\lambda^5) \\
 \frac{f_{12}}{f_{22}} \lambda + \mathcal{O}(\lambda^3) & 1 - \frac{f_{12}^2}{2 f_{22}^2} \lambda^2 + \mathcal{O}(\lambda^4) & \left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \lambda^2 + \mathcal{O}(\lambda^4) \\
 \frac{\tilde{f}_{13}}{f_{33}} \lambda^3 + \mathcal{O}(\lambda^7) & -\left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) \lambda^2 + \mathcal{O}(\lambda^6) & 1 - \frac{(d_{33} f_{23} - d_{23} f_{33})^2}{2 d_{33}^2 f_{33}^2} \lambda^4 + \mathcal{O}(\lambda^6)
 \end{pmatrix}$$

V_{td} OK, also J_{CP} OK

Also the correlation is relaxed

$$|V_{ub}| \approx \left| \frac{f_{12}}{f_{22}} \left(\frac{d_{23}}{d_{33}} - \frac{f_{23}}{f_{33}} \right) + \frac{\tilde{f}_{13}}{f_{33}} \right| \lambda^3$$

Cure — ad hoc contribution ... difficult to achieve alone here

$$M_u = \begin{pmatrix} f_{11} \lambda^8 & f_{12} \lambda^5 & \tilde{f}_{13} \lambda^3 \\ f_{21} \lambda^{10} & f_{22} \lambda^4 & f_{23} \lambda^2 \\ f_{31} \lambda^{12} & f_{32} \lambda^4 & f_{33} \end{pmatrix} \langle H_u^0 \rangle$$