



THE HENRYK NIEWODNICZAŃSKI
INSTITUTE OF NUCLEAR PHYSICS
POLISH ACADEMY OF SCIENCES

NLO matching with KrkNLO

theory and progress

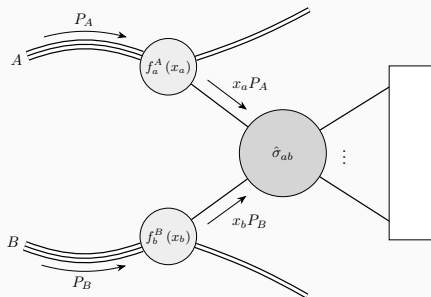
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with Wiesław Płaczek, Pratixan Sarmah, Andrzej Siódmok (UJ, Kraków)

January 10 2024

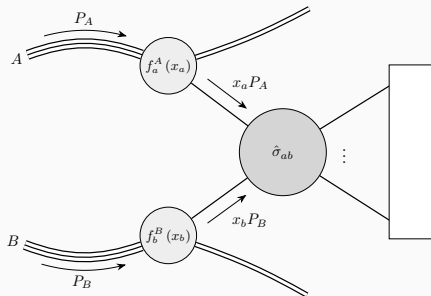
Epiphany XXX, Kraków

Matching at NLO



Interested in some¹ function \mathcal{O} of phase-space:

$$d\sigma_{AB}[\mathcal{O}](\mu_F, \mu_R) = \sum_{a,b} f_a^A(\xi_1; \mu_F) \otimes_{\xi_1} d\hat{\sigma}_{ab}[\mathcal{O}](\xi_1, \xi_2; \mu_F, \mu_R) \otimes_{\xi_2} f_b^B(\xi_2; \mu_F).$$



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¹infrared and collinear safe

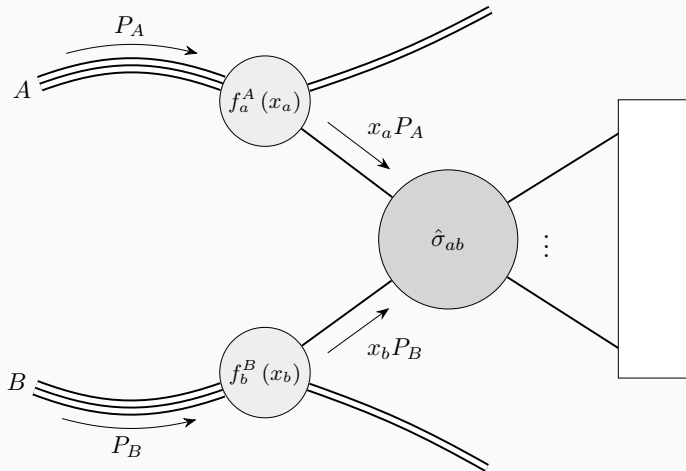
For fixed-order calculations: expand perturbatively (and subtract)

$$\begin{aligned} d\sigma_{ab}^{\text{NLO}}[\mathcal{O}](\xi_1, \xi_2) = & \left(\frac{\alpha_s}{2\pi}\right)^k \left\{ d\Phi_m(\xi_1 P_1, \xi_2 P_2) \left[\text{B}(\Phi_m) \right] \mathcal{O}(\Phi_m) \right\} \\ & + \left(\frac{\alpha_s}{2\pi}\right)^{k+1} \left\{ d\Phi_m(\xi_1 P_1, \xi_2 P_2) \left[\text{V}(\Phi_m) \right] \mathcal{O}(\Phi_m) \right. \\ & \left. + d\Phi_{m+1}(\xi_1 P_1, \xi_2 P_2) \left[\text{R}(\Phi_{m+1}) \right] \mathcal{O}(\Phi_{m+1}) \right\} \end{aligned}$$

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...look familiar?

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At its heart:

$$\text{PS}[\mathcal{O}](\Phi_m) = \Delta_{\mu_s}^{Q(\Phi_m)} \mathcal{O}(\Phi_m)$$

²Based on ongoing work with Andrzej Siódmok and Simon Plätzer.

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$$\begin{aligned} \text{PS}[\mathcal{O}](\Phi_m) &= \Delta_{\mu_s}^{Q(\Phi_m)} \mathcal{O}(\Phi_m) \\ &+ \sum_{\alpha} \int d\Phi_{+1}^{(\alpha)} \Theta[\mu_s < \mu(\Phi_{+1}) < Q(\Phi_m)] \Delta_{\mu(\Phi_{+1})}^{Q(\Phi_m)} \\ &\quad \times P_m^{(\alpha)}(\Phi_{+1}) \Theta_{\text{PS}}^{(\alpha)}[\Phi_{m+1}^{(\alpha)}] \text{PS}[\mathcal{O}](\Phi_{m+1}^{(\alpha)}) \end{aligned}$$

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where the Sudakov form factor is

$$\Delta_{\mu_s}^{Q(\Phi_m)} = \exp \left[- \sum_{\alpha} \int d\Phi_{+1}^{(\alpha)} \Theta[\mu_s < \mu(\Phi_{+1}) < Q(\Phi_m)] P_m^{(\alpha)}(\Phi_{+1}) \Theta_{\text{PS}}^{(\alpha)} \right]$$

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To be concrete: choose

1. ordering variable $\mu(\Phi_{+1})$
2. radiative splitting phase-space $\Phi_{m+1}^{(\alpha)}$
3. radiative splitting kernels $P_m^{(\alpha)}(\Phi_{m+1}^{(\alpha)})$
4. renormalisation (II: factorisation) scale choice $\mu_{\text{R,F}}(\Phi_{m+1}^{(\alpha)})$
5. shower starting-scale $Q(\Phi_m)$, cut-off scale $\mu_s(\Phi_m)$

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To be concrete: choose

1. ordering variable $\mu(\Phi_{+1})$ $\rho_{\text{T}}^{(\alpha)}$
2. radiative splitting kinematics $\Phi_{m+1}^{(\alpha)}$ Catani–Seymour II/(IF/FI)/FF
3. radiative splitting kernels $P_m^{(\alpha)}(\Phi_{m+1}^{(\alpha)})$ Catani–Seymour D^(α)(Φ₊₁^(α))
4. renormalisation (II: factorisation) scale choice $\mu_{\text{R,F}}(\Phi_{m+1}^{(\alpha)})$ $\rho_{\text{T}}^{(\alpha)}$
5. shower starting-scale $Q(\Phi_m)$, cut-off scale $\mu_s(\Phi_m)$ ∞ ('power'), 1 GeV

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Colour singlet: one-emission example

$$\begin{aligned}
 d\hat{\sigma}_{q\bar{q}}^{\text{LO+PS}_1(0)'}[\mathcal{O}] &= d\Phi_m \frac{1}{2\hat{s}_{12}} \left[B_{q\bar{q}}(\Phi_m) \right] \Theta_{\text{cut}}[\Phi_m] \Delta^{(0)} \Big|_{\rho_{\Gamma}^{\text{cut}}}^{Q(\Phi_m)} \mathcal{O}(\Phi_m) \\
 d\hat{\sigma}_{q\bar{q}}^{\text{LO+PS}_1(1)'}[\mathcal{O}] &= d\Phi_m \frac{1}{2\hat{s}_{12}} \left[B_{q\bar{q}}(\Phi_m) \right] \Theta_{\text{cut}}[\Phi_m] \Delta^{(1)} \Big|_{\rho_{\Gamma}^{\text{cut}}}^{Q(\Phi_m)} \mathcal{O}(\Phi_m) \\
 &+ d\Phi_{m+1} \frac{1}{2x\hat{s}_{12}} \left[B_{q\bar{q}}(\tilde{\Phi}_m^{\text{II}_1}) \Theta_{\text{cut}}[\tilde{\Phi}_m^{\text{II}_1}] \Theta_{\rho_{\Gamma}^{\text{cut}}}^{Q(\tilde{\Phi}_m^{\text{II}_1})}(\tilde{\Phi}_m^{\text{II}_1}) D^{gq}(x) \Delta^{(0)} \Big|_{\rho_{\Gamma,1}}^{Q(\tilde{\Phi}_m^{\text{II}_1})} \right. \\
 &\quad \left. + B_{q\bar{q}}(\tilde{\Phi}_m^{\text{II}_2}) \Theta_{\text{cut}}[\tilde{\Phi}_m^{\text{II}_2}] \Theta_{\rho_{\Gamma}^{\text{cut}}}^{Q(\tilde{\Phi}_m^{\text{II}_2})}(\tilde{\Phi}_m^{\text{II}_2}) D^{gq}(x) \Delta^{(0)} \Big|_{\rho_{\Gamma,1}}^{Q(\tilde{\Phi}_m^{\text{II}_2})} \right] \Delta^{(0)} \Big|_{\rho_{\Gamma}^{\text{cut}}}^{\rho_{\Gamma,1}} \mathcal{O}(\Phi_{m+1}) \\
 d\hat{\sigma}_{qg}^{\text{LO+PS}_1(0)'}[\mathcal{O}] &= 0 \\
 d\hat{\sigma}_{qg}^{\text{LO+PS}_1(1)'}[\mathcal{O}] &= d\Phi_{m+1} \frac{1}{2x\hat{s}_{12}} B_{q\bar{q}}(\tilde{\Phi}_m^{\text{II}_2}) \Theta_{\text{cut}}[\tilde{\Phi}_m^{\text{II}_2}] \\
 &\quad \times \Theta_{\rho_{\Gamma}^{\text{cut}}}^{Q(\tilde{\Phi}_m^{\text{II}_2})} D^{gq}(x) \Delta^{(0)} \Big|_{\rho_{\Gamma,1}}^{Q(\tilde{\Phi}_m^{\text{II}_2})} \Delta^{(0)} \Big|_{\rho_{\Gamma}^{\text{cut}}}^{\rho_{\Gamma,1}} \mathcal{O}(\Phi_{m+1})
 \end{aligned}$$

The matched NLO cross-section shouldn't spoil the fixed-order result:

$$\hat{\sigma}^{\text{NLO+PS}}[\mathcal{O}] = \hat{\sigma}^{\text{NLO}}[\mathcal{O}]$$

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shower (α_s^{k+1})	$-B \cdot \int d\Phi_{+1} P_m^{(\alpha)}(\Phi_{+1})$	$+B \cdot d\Phi_{+1} P_m^{(\alpha)}(\Phi_{+1})$
factorisation scheme (α_s^{k+1})	$\Delta f_a \otimes_{\xi_1} B + B \otimes_{\xi_2} \Delta f_b$	

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$\mathcal{O}(\Phi_m)$: restore the cancellation required by the matching condition by modifying the PDF factorisation scheme

- collinear convolution terms can only go into the PDF
- where to put end-point contributions $\propto \delta(1-x)$?

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For dipoles, we already know the answer from dipole subtraction:⁵

$$-\sum_{\alpha} \int d\Phi_{+1} \Theta[\mu_s < \mu(\Phi_{+1}) < Q(\Phi_m)] P_m^{(\alpha)}(\Phi_{+1}) \Theta_{PS}^{(\alpha)} = \sum_{(\alpha)} I^{(\alpha)} + dx \left(P^{(\alpha)} + K^{(\alpha)} \right)$$

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This provides the recipe for the PDF transformation (more later).

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$$d\Phi_m \Theta_{\text{cut}}[\Phi_m] \left[\left\{ B(\Phi_m) + V(\Phi_m) + I(\Phi_m) + \Delta_0^{\text{FS}} \right\} \Delta_{\mu_s}^{Q(\Phi_m)} \mathcal{O}(\Phi_m) + \sum_{\alpha} d\Phi_{+1}^{(\alpha)} \left\{ \frac{R^{(\alpha)}(\Phi_{m+1}^{(\alpha)})}{\text{PS}^{(\alpha)}(\Phi_{m+1}^{(\alpha)})} \Theta_{\text{PS}}^{(\alpha)}[\Phi_{m+1}^{(\alpha)}] \text{PS}^{(\alpha)}[\Phi_{m+1}^{(\alpha)}] \Theta_{\mu_s}^{(\alpha)} \mathcal{O}(\Phi_{m+1}^{(\alpha)}) \right\} \right]$$

- generate a Born phase-space point, ME and shower:
 - if an emission is generated, reweight to R
 - if not, reweight to B + V
- matching complete; allow the shower to proceed!

⁶ S. Jadach et al. "Matching NLO QCD with parton shower in Monte Carlo scheme — the KrkNLO method". arXiv: 1503.06849 [hep-ph], Stanislaw Jadach et al. "New simpler methods of matching NLO corrections with parton shower Monte Carlo". arXiv: 1607.00919 [hep-ph].

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1. generate a Born phase-space point, ME and shower:
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2. matching complete; allow the shower to proceed!

This is NLO accurate, but differs from other methods at higher orders.

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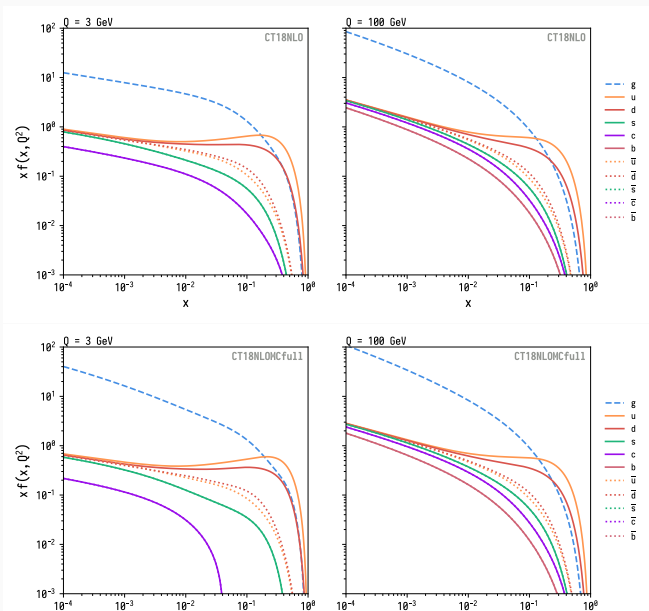
Krk PDF scheme

From the dipole operators, we can write down the convolution terms:

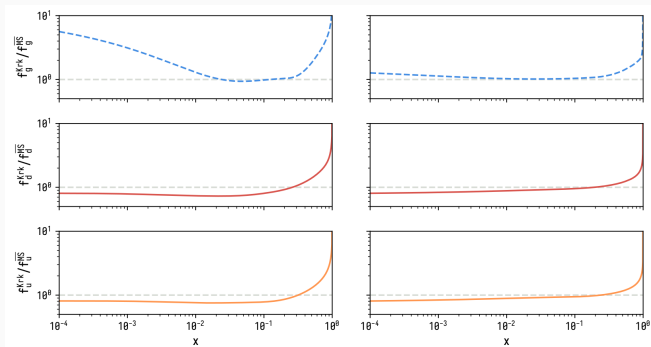
$$\begin{aligned}
 f_q^{\text{Krk}}(x, \mu_F) &= \overline{f_q^{\text{MS}}} (x, \mu_F) \\
 &\quad - \frac{\alpha_s(\mu_F)}{2\pi} \frac{3}{2} C_F \overline{f_q^{\text{MS}}} (x, \mu_F) \\
 &\quad + \frac{\alpha_s(\mu_F)}{2\pi} C_F \left[\int_x^1 \frac{dz}{z} \overline{f_q^{\text{MS}}} \left(\frac{x}{z}, \mu_F \right) \left[\frac{1+z^2}{1-z} \log \frac{(1-z)^2}{z} + 1-z \right]_+ \right] \\
 &\quad + \frac{\alpha_s(\mu_F)}{2\pi} C_A \left[\int_x^1 \frac{dz}{z} \overline{f_g^{\text{MS}}} \left(\frac{x}{z}, \mu_F \right) \left[z^2 + (1-z)^2 \right] \log \frac{(1-z)^2}{z} + 2z(1-z) \right] \\
 f_g^{\text{Krk}}(x, \mu_F) &= \overline{f_g^{\text{MS}}} (x, \mu_F) \\
 &\quad - \frac{\alpha_s(\mu_F)}{2\pi} C_A \left[\frac{\pi^2}{3} + \frac{341}{72} - \frac{59}{36} \frac{N_f T_R}{C_A} \right] \overline{f_g^{\text{MS}}} (x, \mu_F) \\
 &\quad + \frac{\alpha_s(\mu_F)}{2\pi} C_A \left[\int_x^1 \frac{dz}{z} \overline{f_g^{\text{MS}}} \left(\frac{x}{z}, \mu_F \right) \left[4 \left[\frac{\log(1-z)}{1-z} \right]_+ - 2 \frac{\log z}{1-z} \right. \right. \\
 &\quad \quad \quad \left. \left. + 2 \left(\frac{1}{z} - 2 + z(1-z) \right) \ln \frac{(1-z)^2}{z} \right] \right] \\
 &\quad + \frac{\alpha_s(\mu_F)}{2\pi} C_F \sum_{q_f, \bar{q}_f} \left[\int_x^1 \frac{dz}{z} \overline{f_q^{\text{MS}}} \left(\frac{x}{z}, \mu_F \right) \left[\frac{1+(1-z)^2}{z} \log \frac{(1-z)^2}{z} + z \right] \right]
 \end{aligned}$$

⁷ S. Jadach et al. "Parton distribution functions in Monte Carlo factorisation scheme". arXiv: 1606.00355 [hep-ph].

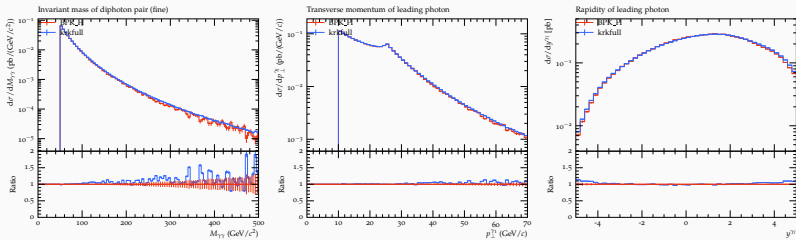
Applied to LHAPDF6 grids:



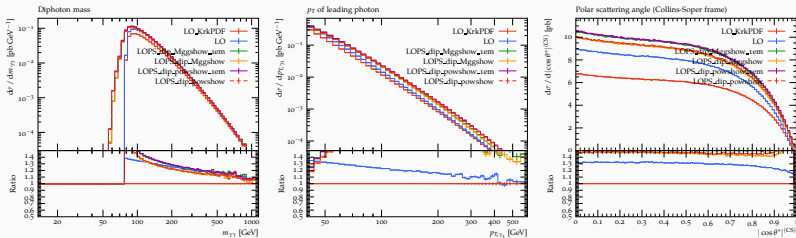
Applied to LHAPDF6 grids:



Do we reproduce the Herwig (Matchbox) automated P and K operators?



What is the numerical impact of the Krk scheme?



Validation

To verify the real weight, we must *unweight* the Sudakov:

- numerical integration of dipole kernels considered in shower algorithm;
- over the same splitting phase-space/kinematic region used in the shower algorithm;
- with the same scales, PDF arguments, α_s etc

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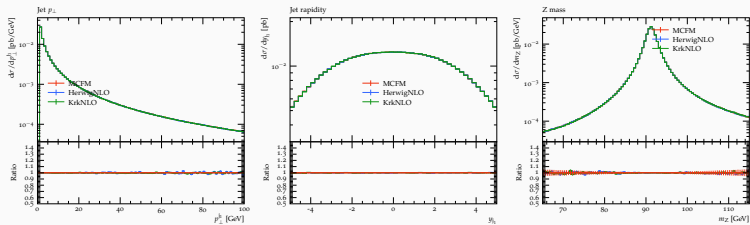
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$$\Delta_{\mu_s}^{Q(\phi_m)} = \exp \left[- \sum_{\alpha} \int dq(\phi_m) \Theta[\mu_s < \mu(q) < Q(\phi_m)] P_m^{(\alpha)}(q) \Theta_{PS}^{(\alpha)} \right]$$

This is non-trivial!

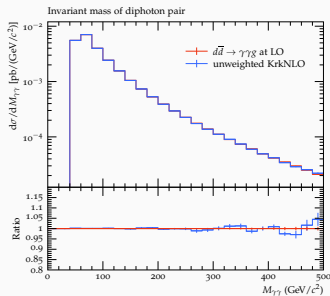
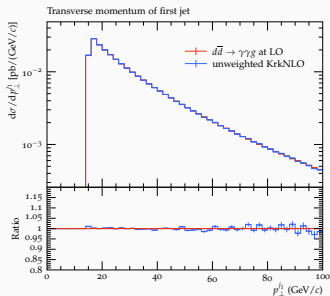
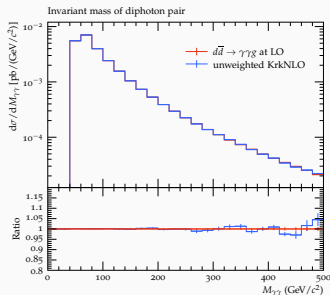
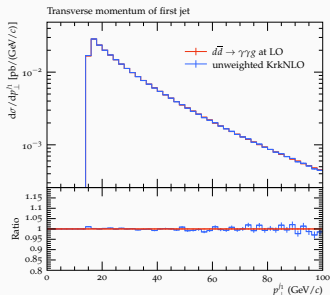
Does it work?

Drell-Yan:



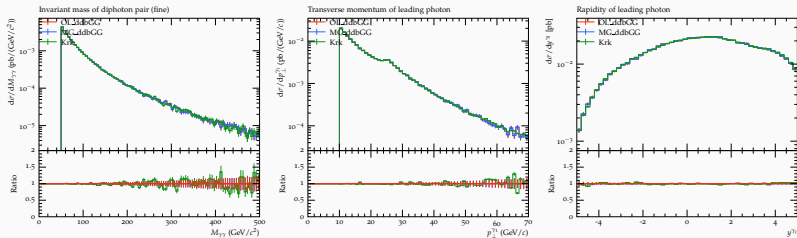
Does it work?

Diphoton:



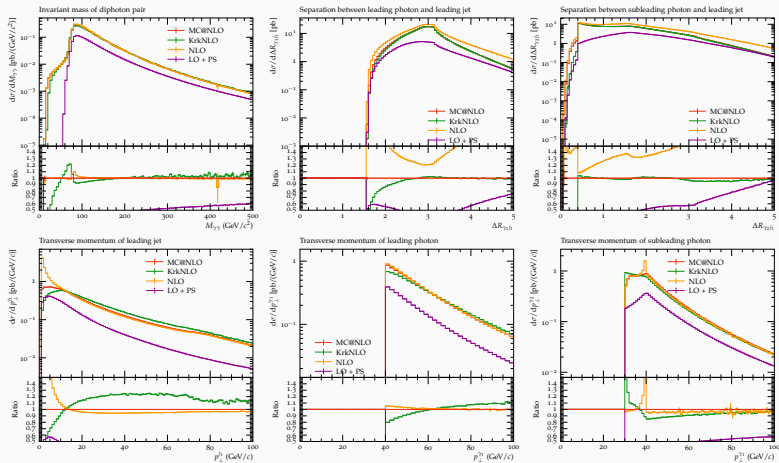
What about the virtuals?

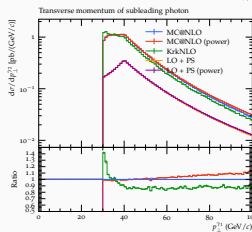
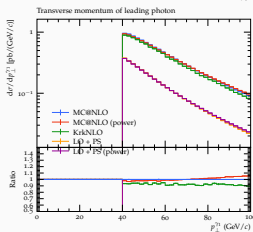
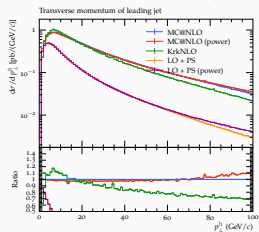
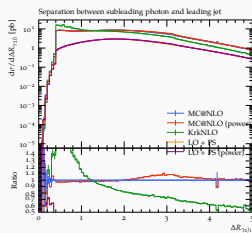
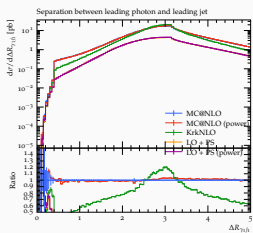
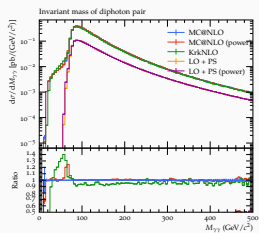
Diphoton:



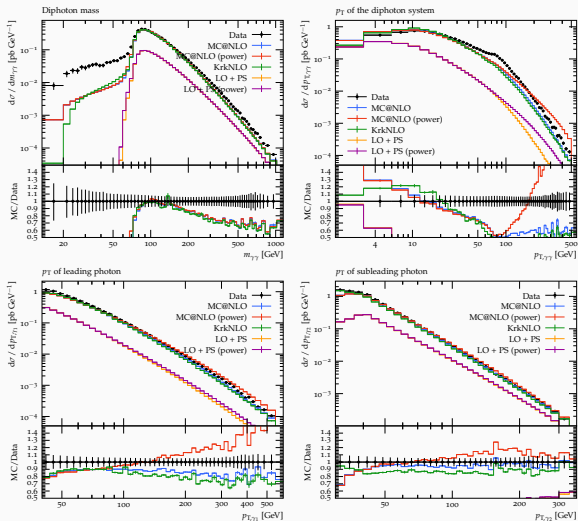
Results

Single emission





Full shower: with data



- more new processes in the pipeline
- PDF factorisation scheme⁸
- logs?
- automation!
- ...+jet?

⁸ S. Jadach et al. "Parton distribution functions in Monte Carlo factorisation scheme". arXiv: 1606.00355 [hep-ph], S. Jadach. "On the universality of the KRK factorization scheme". arXiv: 2004.04239 [hep-ph].

Thank you!

require: four-momentum conservation & all particles remain on-shell

final-final

$$\tilde{p}_i = p_i + p_j - \frac{s_{ij}}{s_{ik} + s_{jk}} p_k$$

$$\tilde{p}_k = \left(1 + \frac{s_{ij}}{s_{ik} + s_{jk}}\right) p_k$$

initial-final & final-initial

$$\tilde{p}_a = \left(1 - \frac{s_{jk}}{s_{aj} + s_{ak}}\right) p_a$$

$$\tilde{p}_k = p_j + p_k - \frac{s_{jk}}{s_{aj} + s_{ak}} p_a$$

initial-initial

$$\tilde{p}_a = \left(1 - \frac{s_{aj} + s_{bj}}{s_{ab}}\right) p_a$$

$$\tilde{p}_b = p_b$$

(in this case we further need to boost all FS particles)

Details of KrkNLO

Krk PDFs compensate for the integrated shower radiation at $\mathcal{O}(\alpha_s)$ within the Sudakov factor. Schematically:

$$\begin{aligned}
 & d\xi_1 d\xi_2 \left\{ \mathbf{f}^{\overline{MS}} \otimes (\mathbb{I} + \mathbf{P} + \mathbf{K}) \right\}_a \left\{ \mathbf{f}^{\overline{MS}} \otimes (\mathbb{I} + \mathbf{P} + \mathbf{K}) \right\}_b \\
 & \left\{ d\phi_m \Theta_{\text{cut}}[\phi_m] \left[u(\phi_m) B(\phi_m) \left\{ 1 + \frac{V}{B} + \sum_{\alpha} l^{(\alpha)} - l_{ab}^{\text{FS}} \right\} \Delta_{\mu_s}^{Q_{\text{max}}(\phi_m)} \right. \right. \\
 & \left. \left. + \sum_{\alpha} dq^{(\alpha)} u(\phi_{m+1}^{(\alpha)}) \left\{ \frac{R}{\text{PS}} \Theta_{\text{PS}}^{(\alpha)}[\phi_{m+1}^{(\alpha)}] \text{PS}^{(\alpha)}[\phi_{m+1}^{(\alpha)}] \Theta_{\mu_s}^{(\alpha)} \right\} \right] \right\}
 \end{aligned}$$

Krk PDFs compensate for the integrated shower radiation at $\mathcal{O}(\alpha_s)$ within the Sudakov factor. Schematically:

$$\begin{aligned}
 & d\xi_1 d\xi_2 \left\{ \overline{\mathbf{f}}^{\text{MS}} \otimes (\mathbb{I} + \mathbf{P} + \mathbf{K}) \right\}_a \left\{ \overline{\mathbf{f}}^{\text{MS}} \otimes (\mathbb{I} + \mathbf{P} + \mathbf{K}) \right\}_b \\
 & \left\{ d\phi_m \Theta_{\text{cut}}[\phi_m] \left[u(\phi_m) B(\phi_m) \left\{ 1 + \frac{V}{B} + \sum_{\alpha} l^{(\alpha)} - l_{ab}^{\text{FS}} \right\} \Delta_{\mu_s}^{Q_{\text{max}}(\phi_m)} \right. \right. \\
 & \quad \left. \left. + \sum_{\alpha} dq^{(\alpha)} u(\phi_{m+1}^{(\alpha)}) \left\{ \frac{R}{\text{PS}} \Theta_{\text{PS}}^{(\alpha)}[\phi_{m+1}^{(\alpha)}] \text{PS}^{(\alpha)}[\phi_{m+1}^{(\alpha)}] \Theta_{\mu_s}^{(\alpha)} \right\} \right] \right\}
 \end{aligned}$$

Additional convolutions define a PDF factorisation scheme: the ‘Krk scheme’.

Full details:⁹

Parton distribution functions in Monte Carlo factorisation scheme

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³ Theoretical Physics Department, CERN, Geneva, Switzerland

$$\begin{bmatrix} q(x, Q^2) \\ \bar{q}(x, Q^2) \\ g(x, Q^2) \end{bmatrix}_{\text{MC}} = \begin{bmatrix} q \\ \bar{q} \\ g \end{bmatrix}_{\overline{\text{MS}}} + \int dz dy \begin{bmatrix} K_{qq}^{\text{MC}}(z) & 0 & K_{qg}^{\text{MC}}(z) \\ 0 & K_{\bar{q}\bar{q}}^{\text{MC}}(z) & K_{\bar{q}g}^{\text{MC}}(z) \\ K_{gq}^{\text{MC}}(z) & K_{g\bar{q}}^{\text{MC}}(z) & K_{gg}^{\text{MC}}(z) \end{bmatrix} \begin{bmatrix} q(y, Q^2) \\ \bar{q}(y, Q^2) \\ g(y, Q^2) \end{bmatrix}_{\overline{\text{MS}}} \delta(x - yz), \quad (4.2)$$

$$\begin{aligned} K_{q\bar{q}}^{\text{MC}}(z) &= \frac{\alpha_s}{2\pi} C_F \left\{ \frac{1+(1-z)^2}{z} \ln \frac{(1-z)^2}{z} + z \right\}, \\ K_{g\bar{q}}^{\text{MC}}(z) &= \frac{\alpha_s}{2\pi} C_A \left\{ 4 \left[\frac{\ln(1-z)}{1-z} \right]_+ + 2 \left[\frac{1}{z} - 2 + z(1-z) \right] \right. \\ &\quad \times \ln \frac{(1-z)^2}{z} - 2 \frac{\ln z}{1-z} - \delta(1-z) \\ &\quad \left. \times \left(\frac{\pi^2}{3} + \frac{341}{72} - \frac{59 T_f}{36 C_A} \right) \right\}, \\ K_{qg}^{\text{MC}}(z) &= \frac{\alpha_s}{2\pi} C_F \left\{ 4 \left[\frac{\ln(1-z)}{1-z} \right]_+ - (1+z) \ln \frac{(1-z)^2}{z} \right. \\ &\quad \left. - 2 \frac{\ln z}{1-z} + 1 - z - \delta(1-z) \left(\frac{\pi^2}{3} + \frac{17}{4} \right) \right\}, \\ K_{gq}^{\text{MC}}(z) &= \frac{\alpha_s}{2\pi} T_R \left\{ [z^2 + (1-z)^2] \ln \frac{(1-z)^2}{z} + 2z(1-z) \right\}, \\ K_{g\bar{q}}^{\text{MC}}(z) &= K_{qg}^{\text{MC}}(z), \quad K_{\bar{q}g}^{\text{MC}}(z) = K_{gq}^{\text{MC}}(z). \end{aligned} \quad (4.3)$$

Note additional imposition of sum rules:

$$\begin{aligned} \int_0^1 dz z \left[K_{qq}^{\text{MC}}(z) + K_{gq}^{\text{MC}}(z) \right] &= 0, \\ \int_0^1 dz z \left[K_{g\bar{q}}^{\text{MC}}(z) + 2n_f K_{qg}^{\text{MC}}(z) \right] &= 0. \end{aligned} \quad (4.5)$$

⁹ S. Jadach et al. "Parton distribution functions in Monte Carlo factorisation scheme". arXiv: 1606.00355 [hep-ph].