

# Recent developments in Transverse Momentum Dependent parton densities and associated parton showers

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Hannes Jung (Emeritus, II Institut f. Theoretische Physik, DESY, Hamburg)

- Why TMDs ?
- Recap of PB method for TMDs
- application of PB-TMD densities
  - Drell-Yan production at the LHC, Tevatron, low energies

# The role of soft gluons in parton densities

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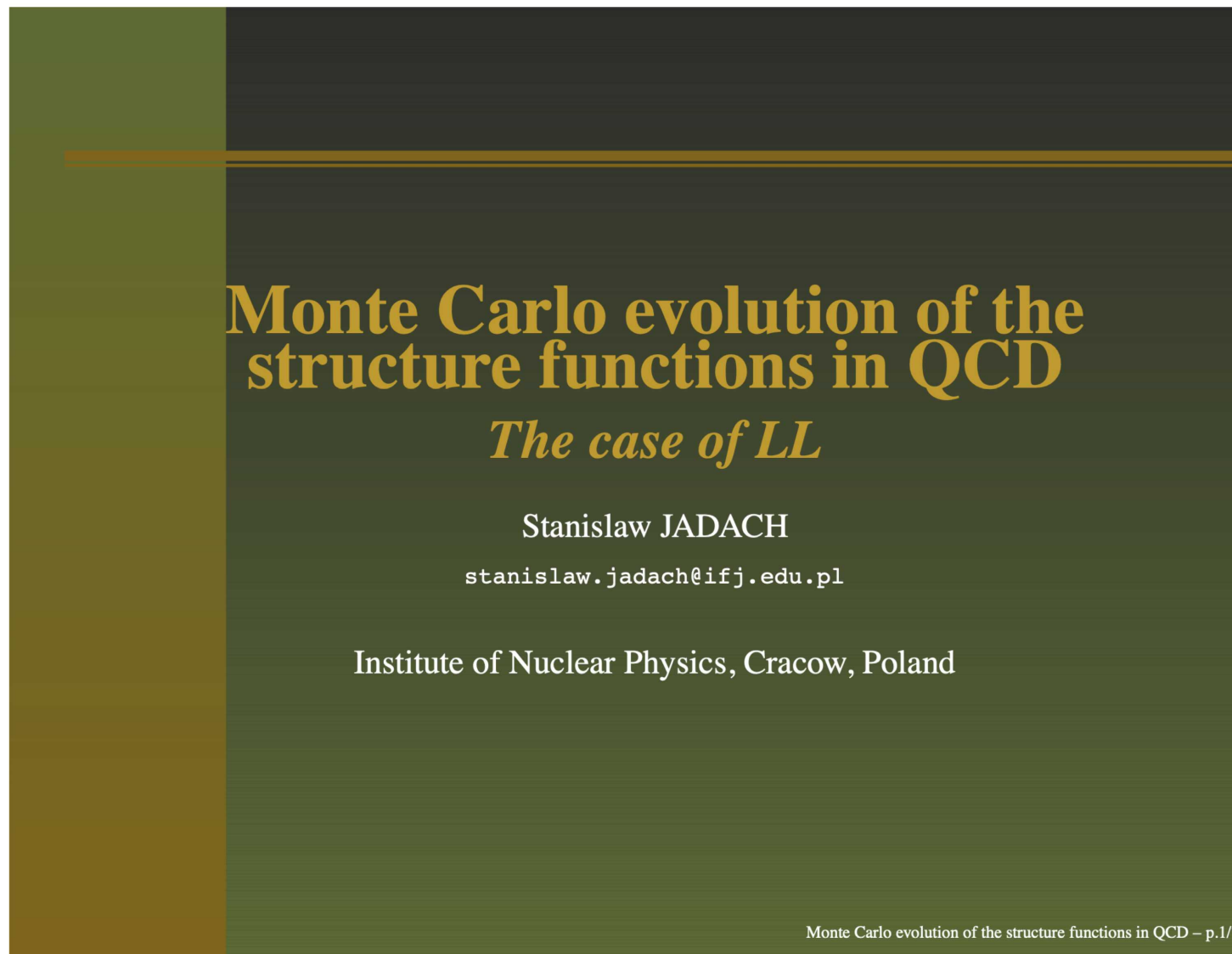
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- Why TMDs ?
- Recap of PB method for TMDs
- application of PB-TMD densities
  - Drell-Yan production at the LHC, Tevatron, low energies
- The important role of soft gluons

# In memory of S. Jadach

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- Already back 20 years ago, my colleagues from H1, J. Turnau, L. Goerlich, G. Nowak S. Mikocki organized meetings with S. Jadach, M. Skrzypek on the evolution of un-integrated parton densities ala CCFM with a Monte Carlo approach
- this was the beginning of a very fruitful and productive cooperation !



- ... the cooperation is still continuing, thanks a lot for this!

# Preface: the ansatz

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- fixed order calculations (even at higher orders) are limited in application
  - collinear and soft regions not well described
  - matrix elements with only a few partons can be calculated
- use collinear PDFs obtained from global fits using parton level NLO (NNLO) calcs.
- theoretical calculation:
  - use NLO (NNLO) ME, with soft gluon resummation to NNLL
  - applicable to only a few observables
- traditional ansatz with Parton shower Monte Carlo event generators use:
  - multi-leg matrix elements
    - parton shower (with free parameters to be tuned)
    - multiparton interaction (with even more parameters) and hadronization
  - multijet merging and matching of multi-leg ME with PS MCs



# Preface: the new approach

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- extend “collinear approximation”
  - determine parton densities with [Parton Branching](#) method including transverse momenta → obtain usual parton densities by integration over  $k_T$
  - [free](#) parameters of PB Parton densities are obtained by [fits to DIS data](#)
  - PB -TMD densities automatically contain soft gluon resummation
    - at NLL identical to CSS approach, at higher orders finite terms are different
- apply PB TMD to calculations → automatically include soft gluon resummation
  - for example in DY  $q_T$  spectrum
- apply PB TMDs to TMD parton shower simulations (without additional free parameters)
  - see [CASCADE3](#) Monte Carlo event generator (arXiv 2101.10221)

# Preface: the new approach – PB

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- rely on only few assumptions
  - use as few parameters as possible
    - PB pdf and PB-TMD pdf are fitted to DIS data (no pp data are included)
    - PB parton shower has no free parameters (all fixed by PB-TMD)
- Goal is **NOT to fit the data** but to understand the measurements
  - sometimes measurements are not (too well) described :(

# Parton Branching approach - recap

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# DGLAP evolution – solution with parton branching method

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$$f(x, \mu^2) = f(x, \mu_0^2) \Delta_s(\mu^2) + \int^{z_M} \frac{dz}{z} \int \frac{d\mu'^2}{\mu'^2} \cdot \frac{\Delta_s(\mu^2)}{\Delta_s(\mu'^2)} P^{(R)}(z) f\left(\frac{x}{z}, \mu'^2\right)$$

- solve integral equation via iteration:

$$f_0(x, \mu^2) = f(x, \mu_0^2) \Delta(\mu^2)$$

# DGLAP evolution – solution with parton branching method

$$f(x, \mu^2) = f(x, \mu_0^2) \Delta_s(\mu^2) + \int^{z_M} \frac{dz}{z} \int \frac{d\mu'^2}{\mu'^2} \cdot \frac{\Delta_s(\mu^2)}{\Delta_s(\mu'^2)} P^{(R)}(z) f\left(\frac{x}{z}, \mu'^2\right)$$

- solve integral equation via iteration:

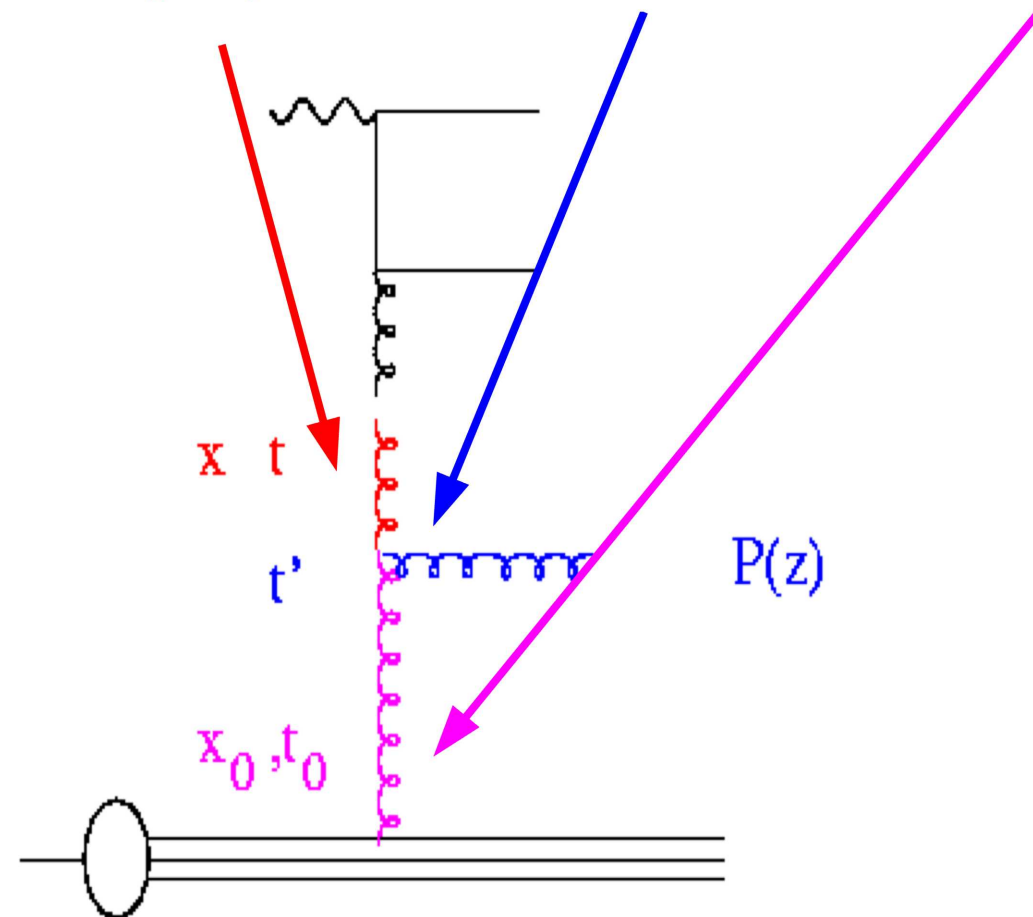
$$f_0(x, \mu^2) = f(x, \mu_0^2) \Delta(\mu^2)$$

$$f_1(x, \mu^2) = f(x, \mu_0^2) \Delta(\mu^2) + \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \frac{\Delta(\mu^2)}{\Delta(\mu'^2)} \int^{z_M} \frac{dz}{z} P^{(R)}(z) f(x/z, \mu_0^2) \Delta(\mu'^2)$$

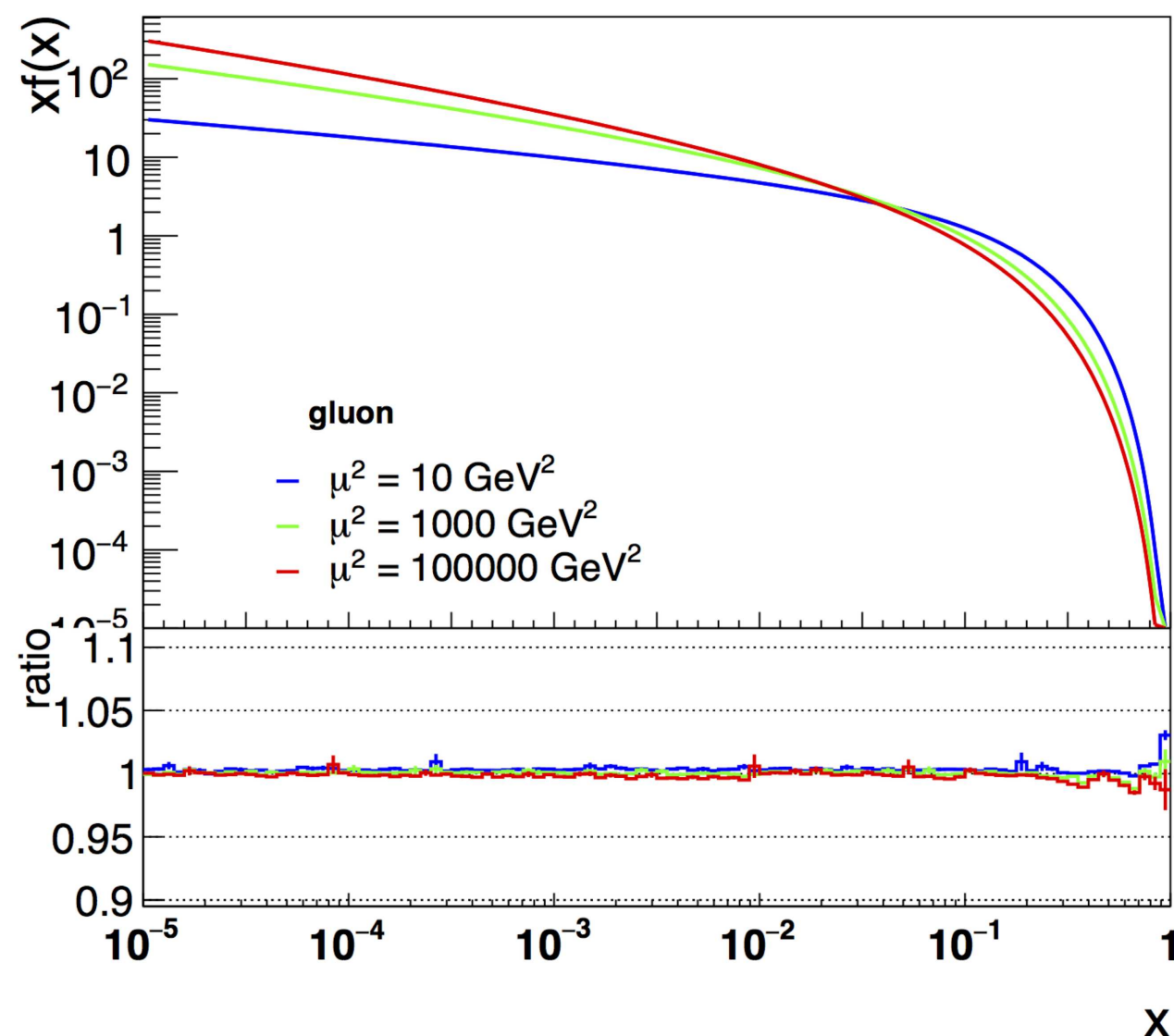
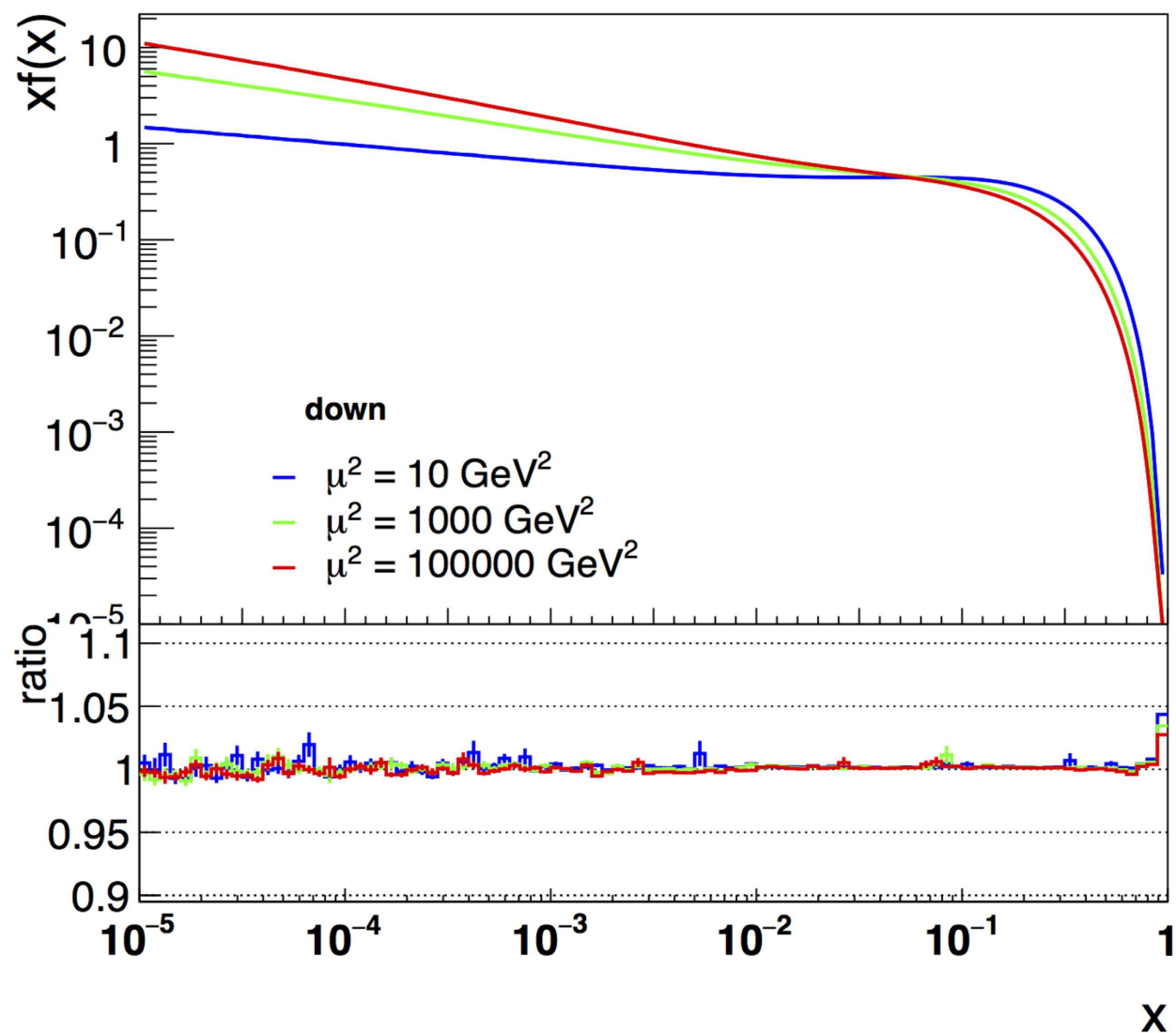
from  $\mu'$  to  $\mu$   
w/o branching

branching at  $\mu'$

from  $\mu$  to  $\mu'$   
w/o branching



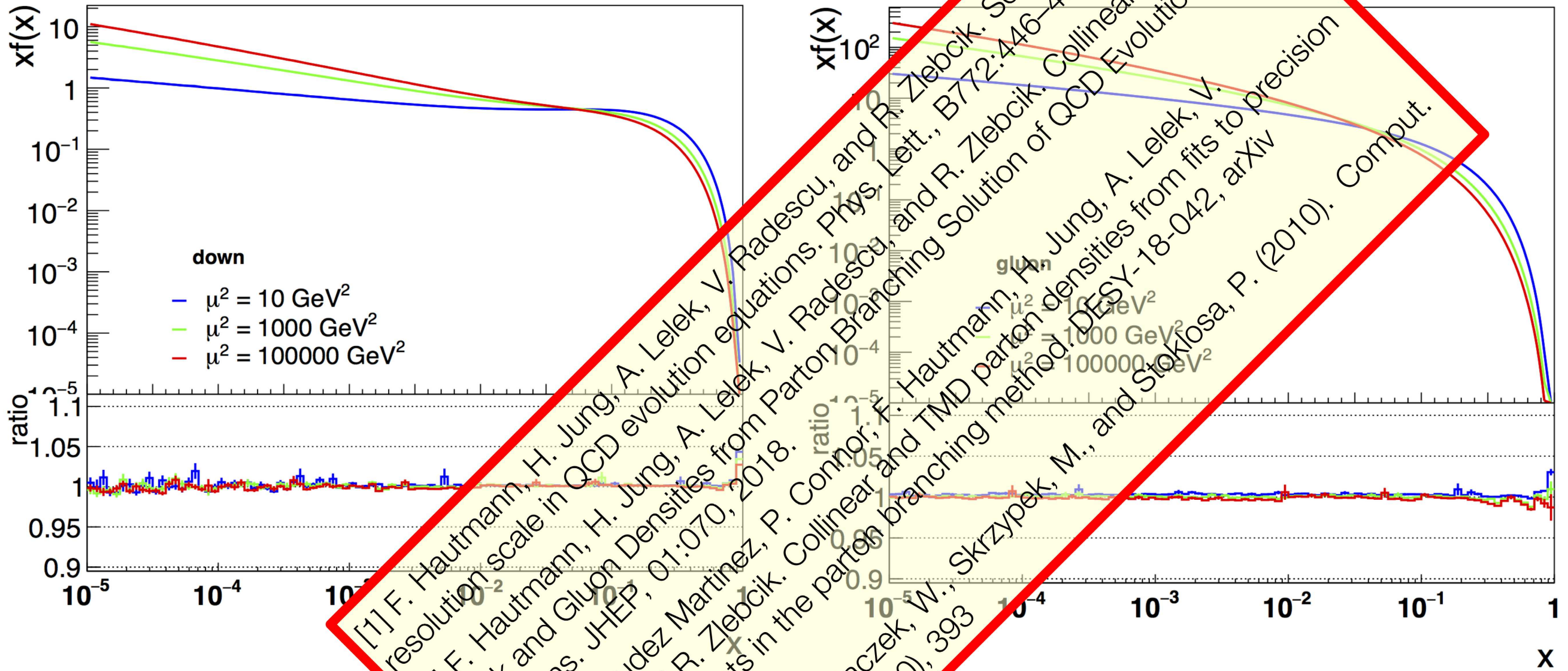
# Validation of method with QCDnum at **NLO**



- Very good agreement with **NLO** - QCDnum over all  $x$  and  $\mu^2$ 
  - the same approach works also at NNLO !



# Validation of method with QCDnum at NLO



- Very good agreement with QCDnum over all  $x$  and  $\mu^2$
- the same approach works also at NLO!



# PDFs from Parton Branching method: fit to HERA data

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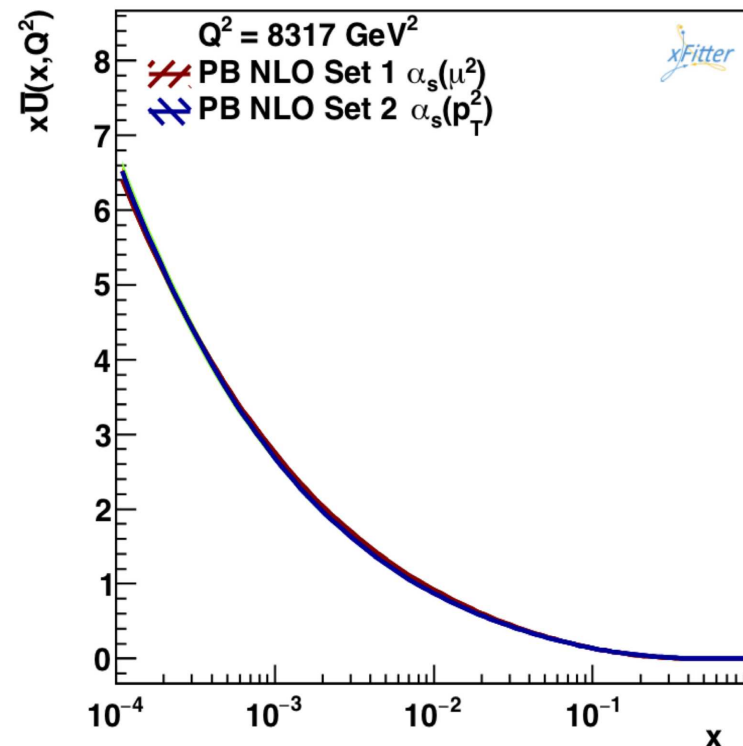
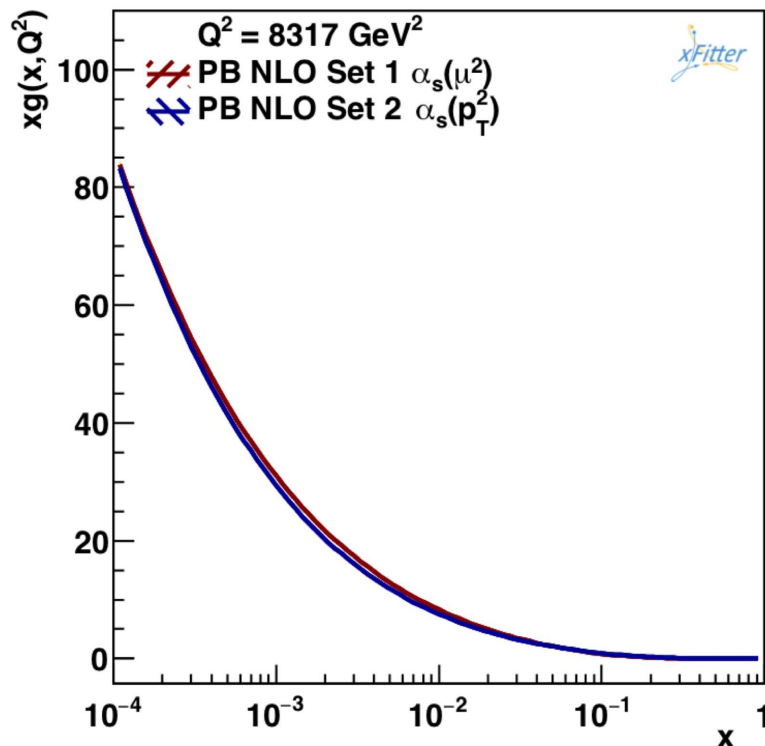
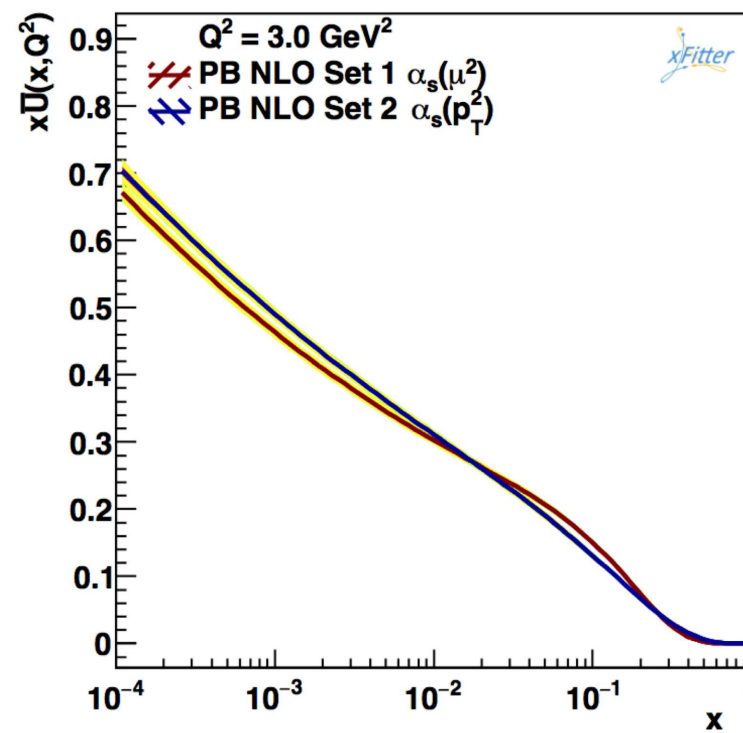
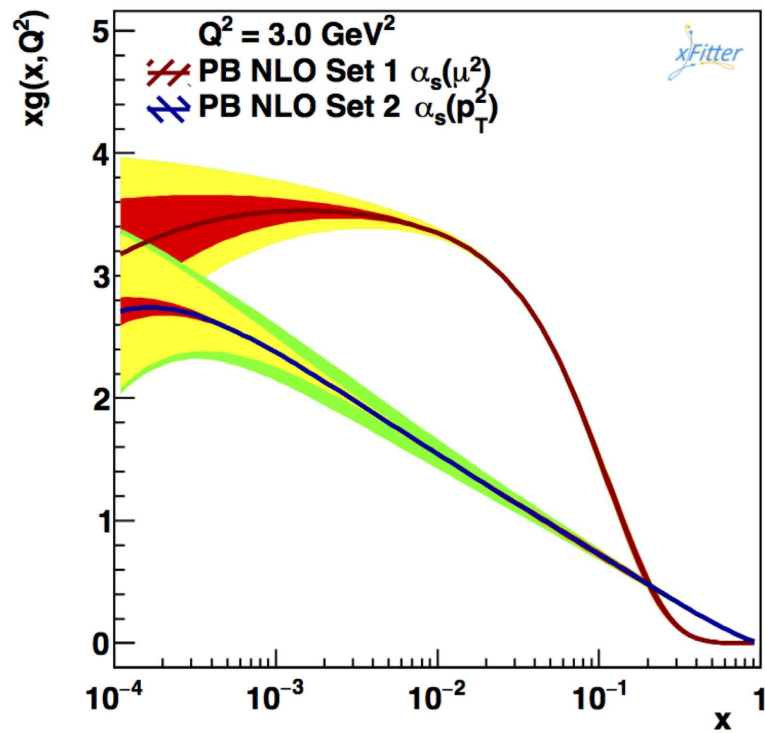
- Convolution of kernel with starting distribution

$$\begin{aligned} x f_a(x, \mu^2) &= x \int dx' \int dx'' \mathcal{A}_{0,b}(x') \tilde{\mathcal{A}}_a^b(x'', \mu^2) \delta(x' x'' - x) \\ &= \int dx' \mathcal{A}_{0,b}(x') \cdot \frac{x}{x'} \tilde{\mathcal{A}}_a^b\left(\frac{x}{x'}, \mu^2\right) \end{aligned}$$

- Fit performed using xFitter frame (with collinear Coefficient functions at NLO)
  - using full HERA I+II inclusive DIS (neutral current, charged current) data
    - in total 1145 data points
      - $3.5 \leq Q^2 \leq 50000 \text{ GeV}^2$
      - $4 \cdot 10^{-5} < x < 0.65$
      - using starting distribution as in HERAPDF2.0
      - $\chi^2/ndf = 1.2$

➔ Can be easily extended to include any other measurement for fit !

# Collinear parton distributions after fit



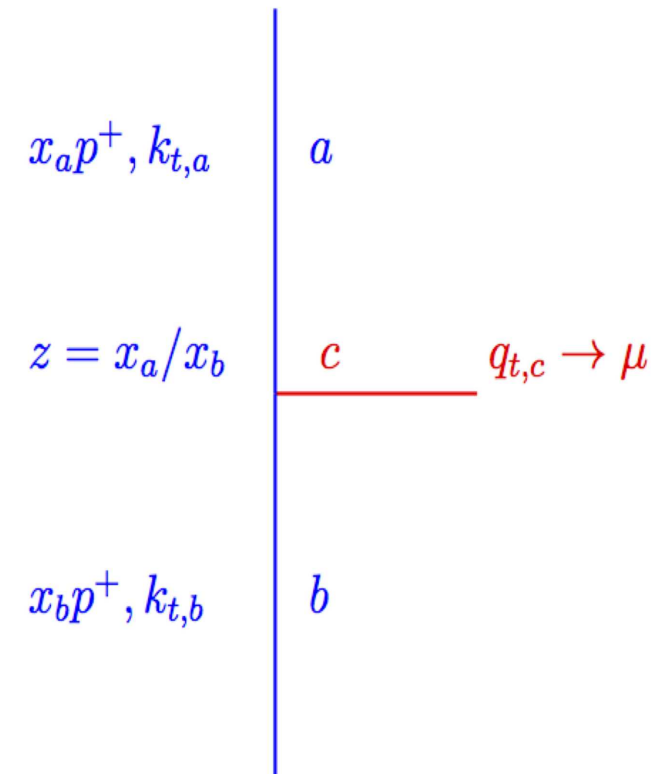
- fit 1 with  $\alpha_s(q)$ 
  - as good as HERAPDF2.0  
 $\chi^2/ndf = 1.2$
- fit 2 with  $\alpha_s(q(1-z))$ 
  - $\chi^2/ndf = 1.21$
- very different gluon distribution obtained at small  $Q^2$

# Transverse Momentum Dependence

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- Parton Branching evolution generates every single branching:
  - kinematics can be calculated at every step
- Give physics interpretation of evolution scale:
  - angular ordering:

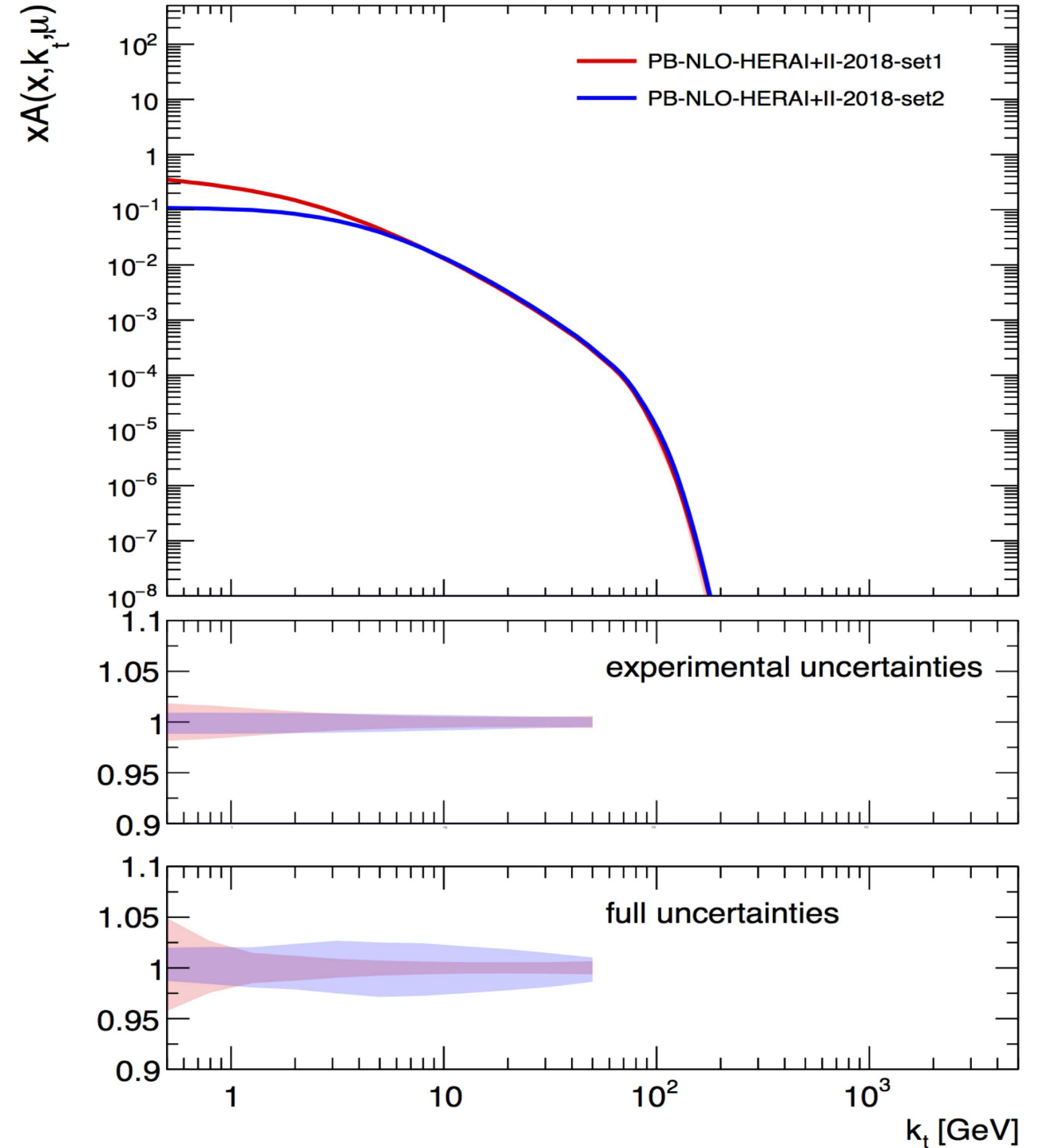
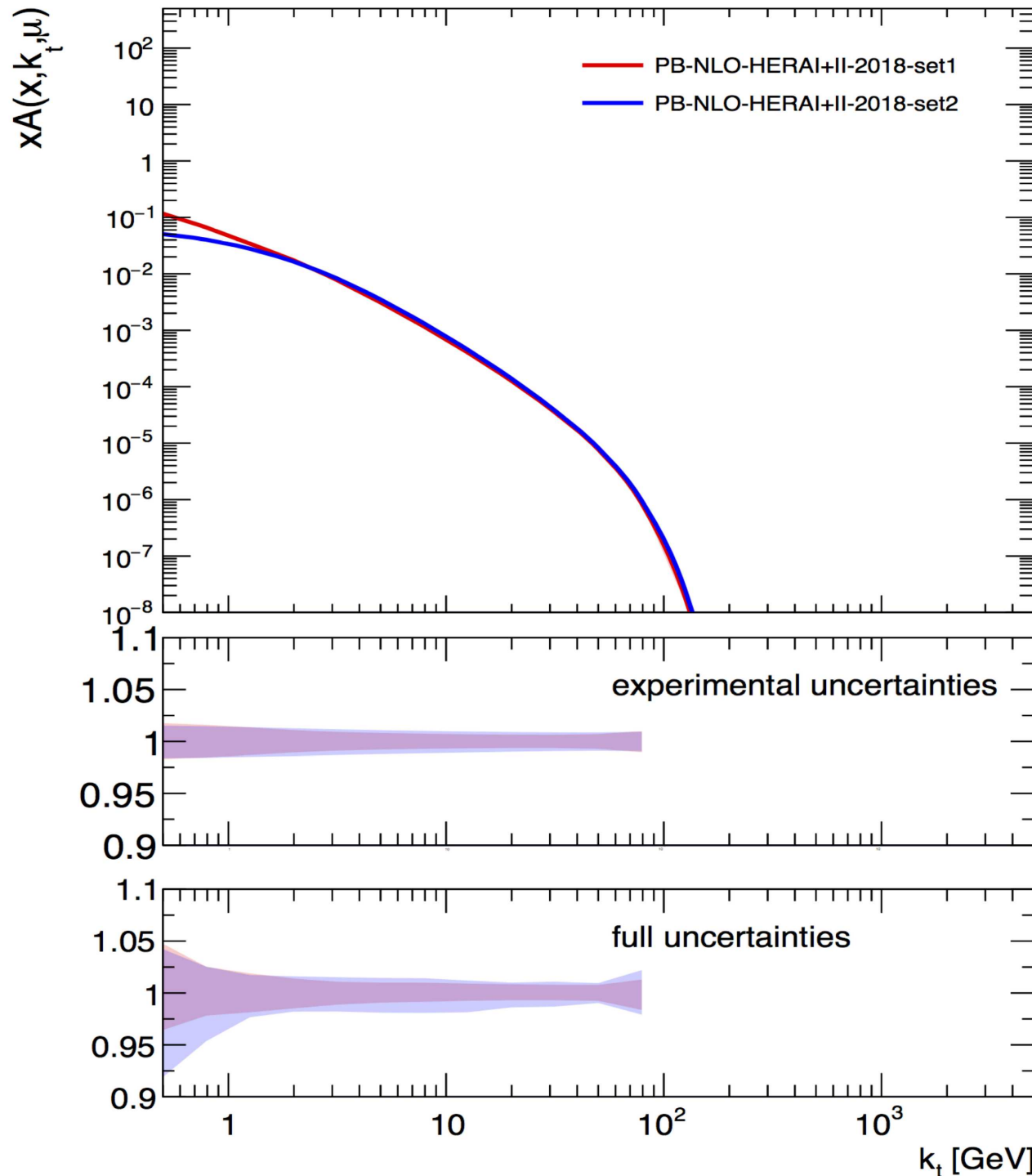
$$\mu = q_T / (1-z)$$



# TMD distributions from fit to HERA data

anti-up,  $x = 0.01$ ,  $\mu = 100$  GeV

gluon,  $x = 0.01$ ,  $\mu = 100$  GeV



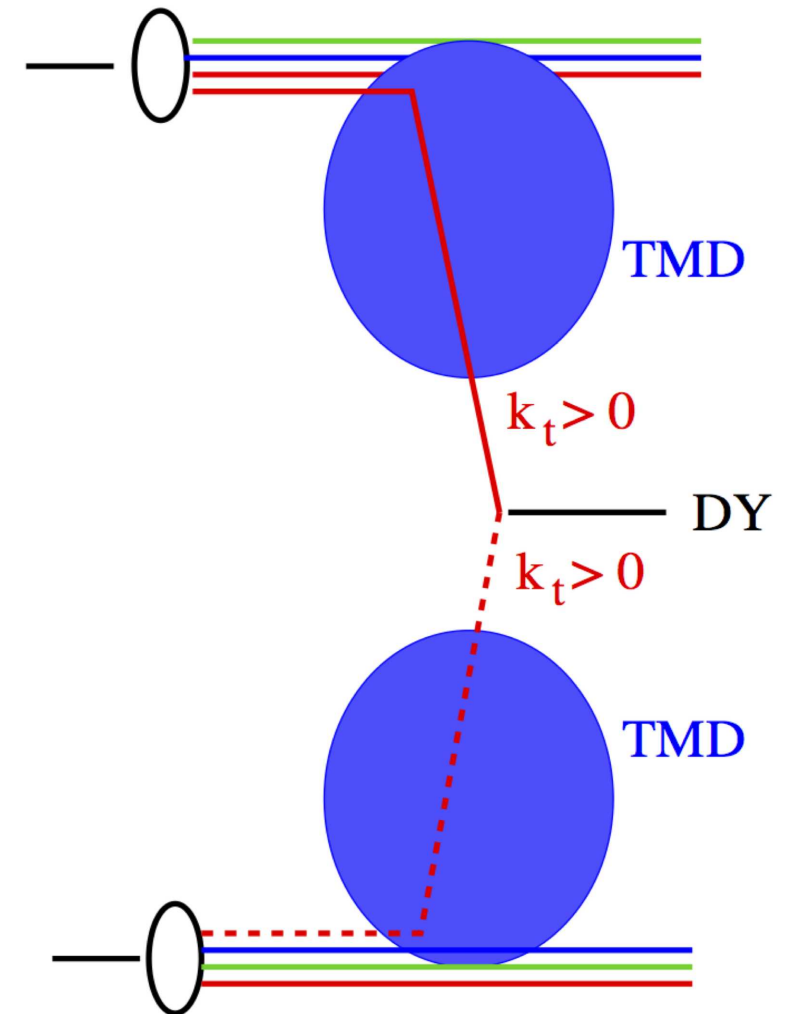
- model dependence larger than experimental uncertainties

# Application of PB TMDs

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# Drell-Yan production: $q_T$ - spectrum

- DY production
  - $q\bar{q} \rightarrow Z_0$
  - use NLO calculations: MC@NLO
- add  $k_t$  for each parton as function of  $x$  and  $\mu$  according to TMD
  - keep final state mass fixed
  - preserve rapidity
    - but  $x_1$  and  $x_2$  (light-cone fraction) are different after adding  $k_t$

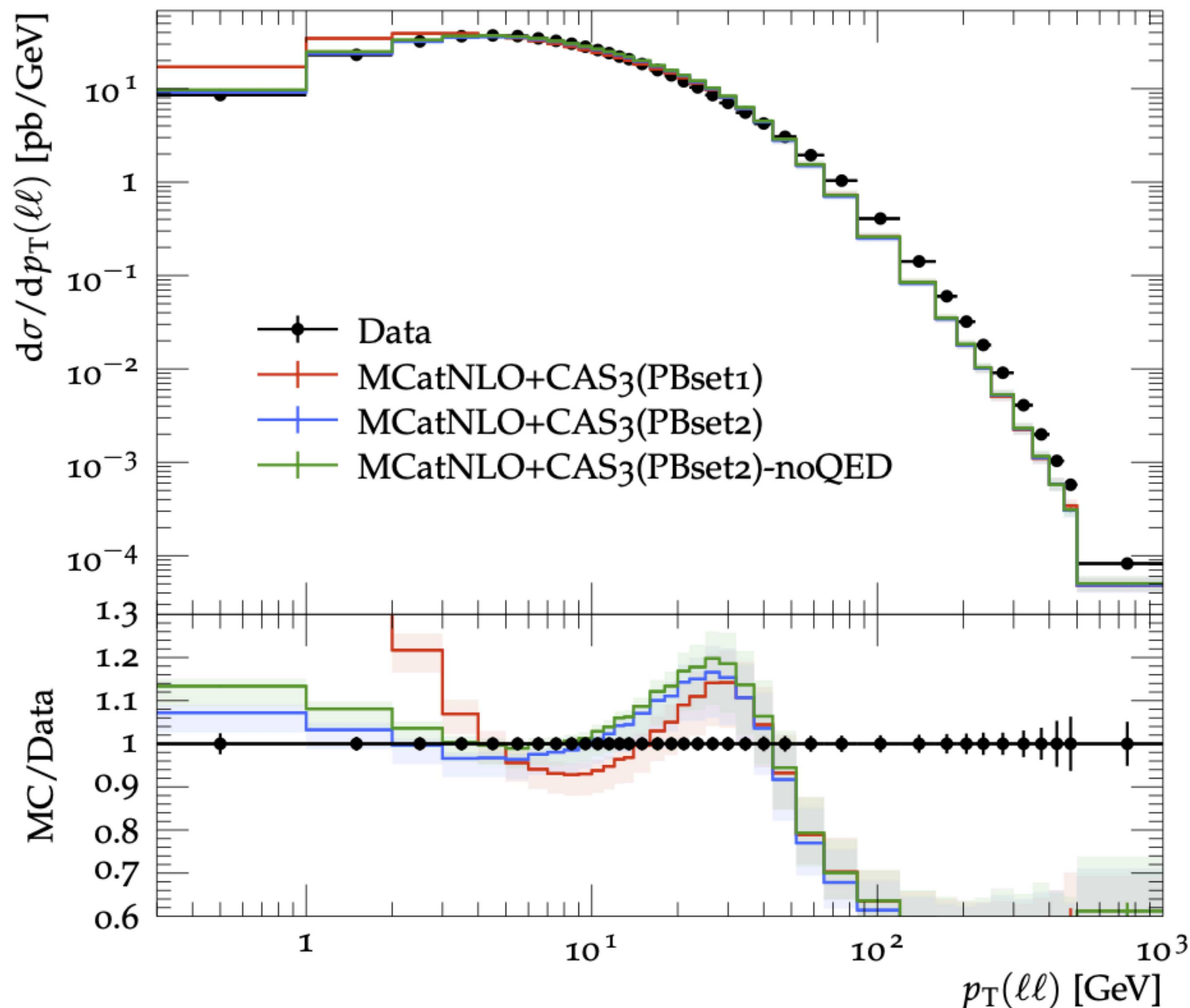




# Z - production at 13 TeV (CMS)

Bubanja, I. et al, arXiv: 2312.08655

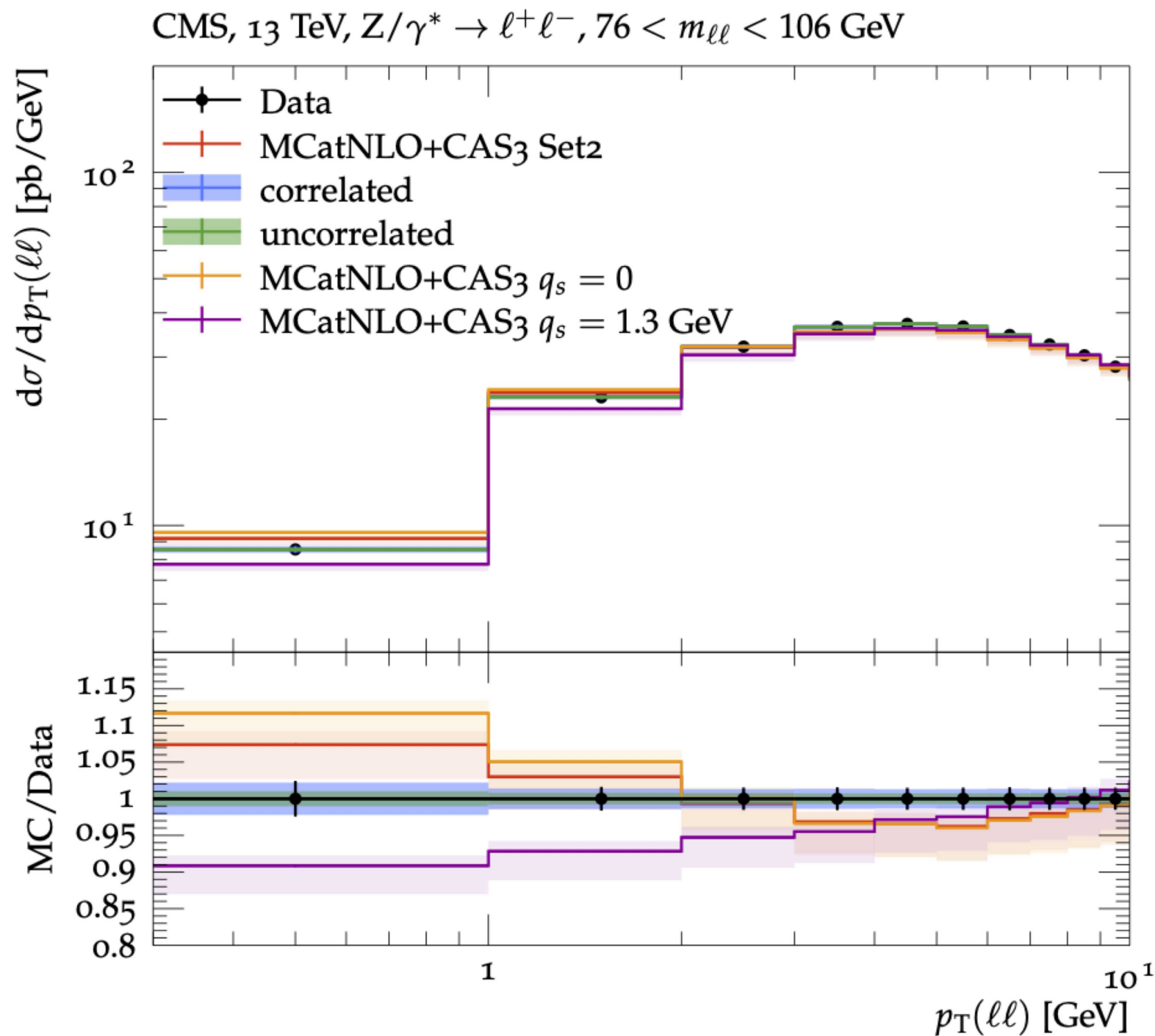
CMS, 13 TeV,  $Z/\gamma^* \rightarrow l^+l^-$ ,  $76 < m_{\ell\ell} < 106$  GeV



- very good description of low  $p_T$  region with PB-set 2 (with  $\alpha_s(q(1-z))$ )
- at larger  $p_T$  contribution from higher order matrix elements important
- Uncertainties in PB method mainly from scale of **MC@NLO** matrix element

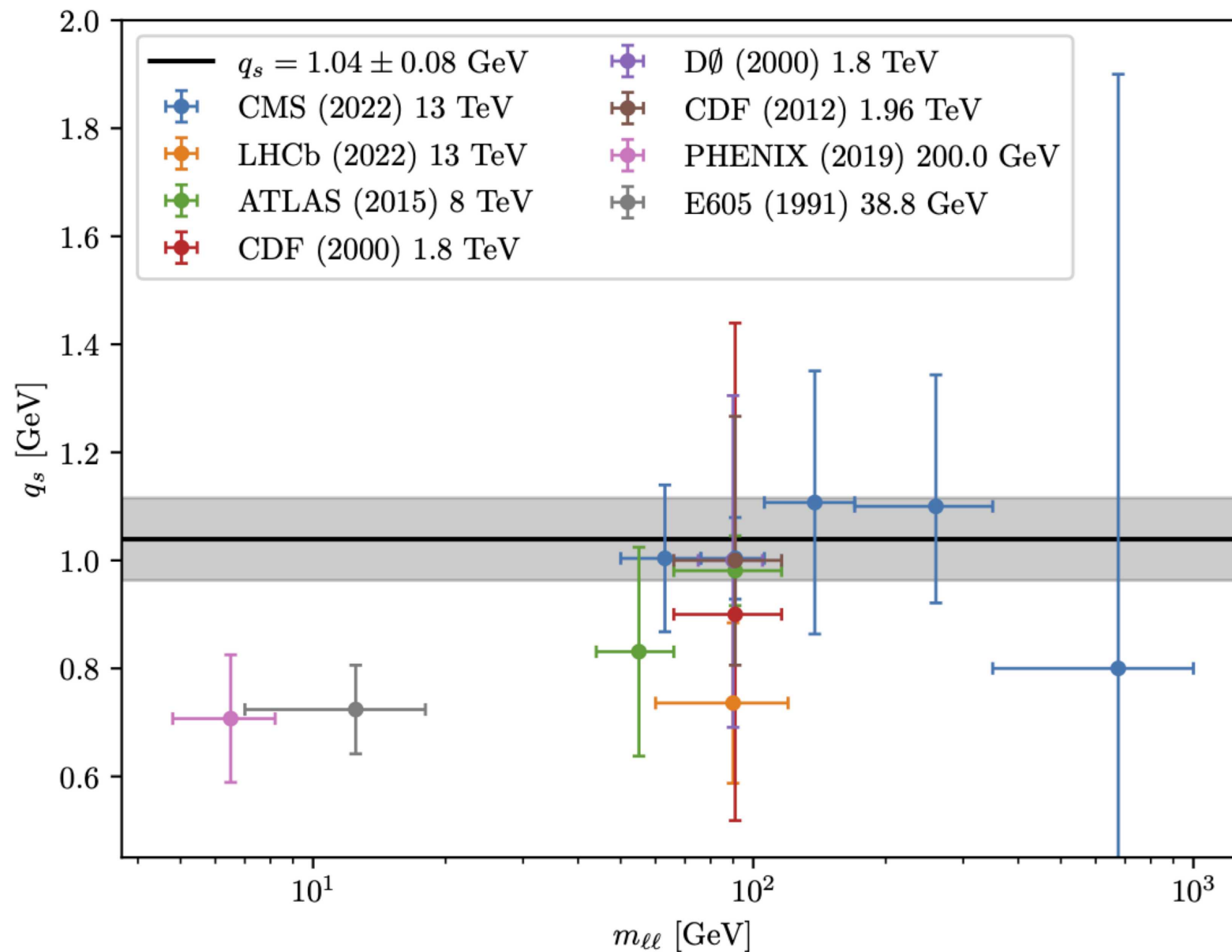


# Intrinsic $k_T$ in DY - production at 13 TeV (CMS)



- in TMD, intrinsic  $k_T$  distribution:
  - Gauss with zero mean, width  $q_s$
$$\sim \exp(-|k_T^2|/q_s^2)$$
- Focus on small  $k_T$  region:
  - in lowest  $p_T$  bin, sensitivity to intrinsic  $k_T$
- Use DY production at different  $m_{DY}$  and  $\sqrt{s}$  to determine  $q_s$
- Is intrinsic  $k_T$  dependent on  $m_{DY}$  and  $\sqrt{s}$  ?

# Fit of Intrinsic $k_T$ in DY – production vers $m_{DY}$



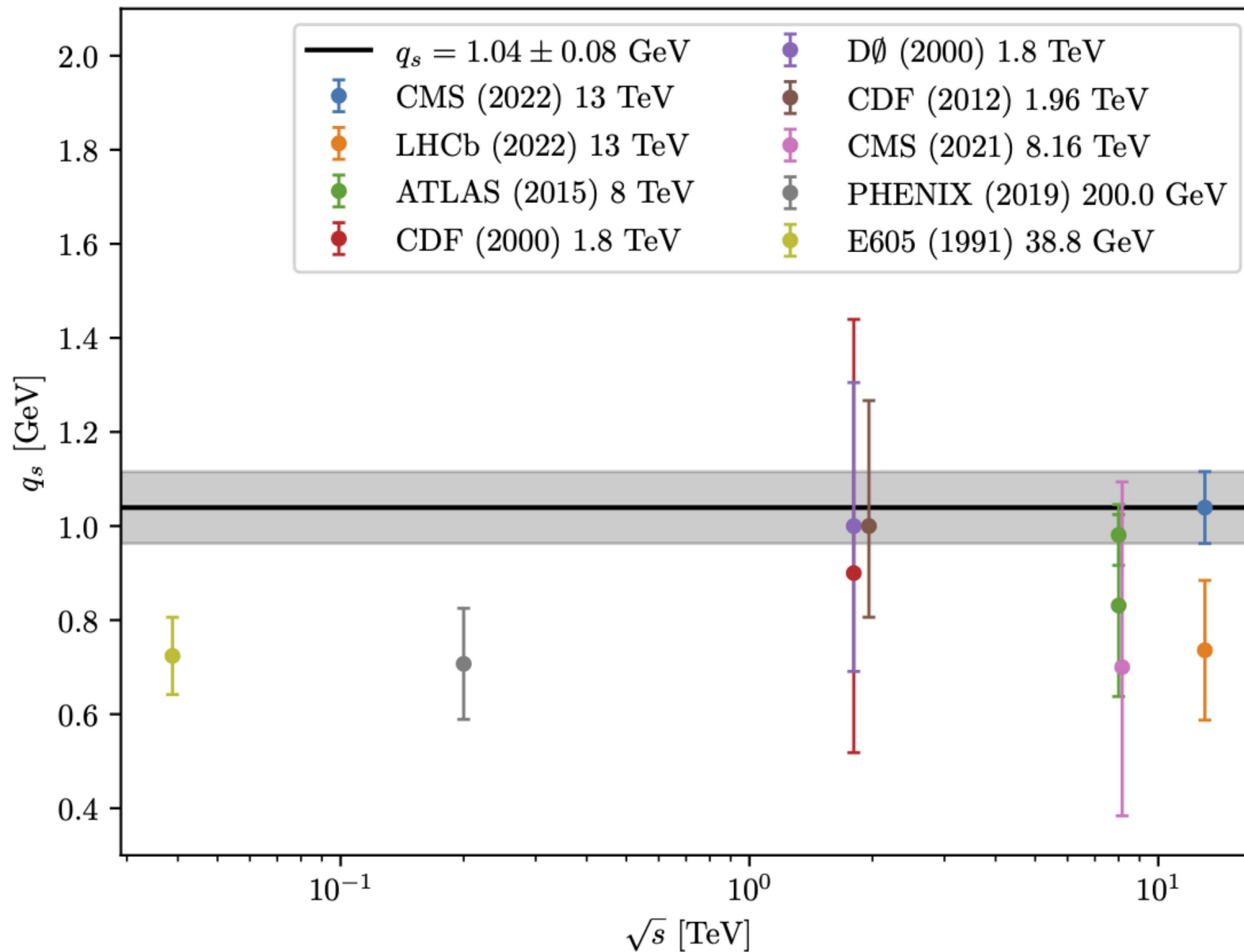
Bubanja, I. et al, arXiv: 2312.08655

- Gauss with zero mean, width  $q_s$   
 $\sim \exp(-|k_T^2|/q_s^2)$

Fit to determine  $q_s$  of intrinsic  $k_T$  distribution from DY production as a function of  $m_{DY}$

- obtain  $q_s$  rather independent on  $m_{DY}$

# Fit of Intrinsic $k_T$ in DY – production vers $\sqrt{s}$



Bubanja, I. et al, arXiv: 2312.08655

- Gauss with zero mean, width  $q_s$

$$\sim \exp\left(-|k_T^2|/q_s^2\right)$$

Fit to determine  $q_s$  of intrinsic  $k_T$  distribution from DY production as a function of  $\sqrt{s}$

- obtain  $q_s$  rather independent on  $\sqrt{s}$

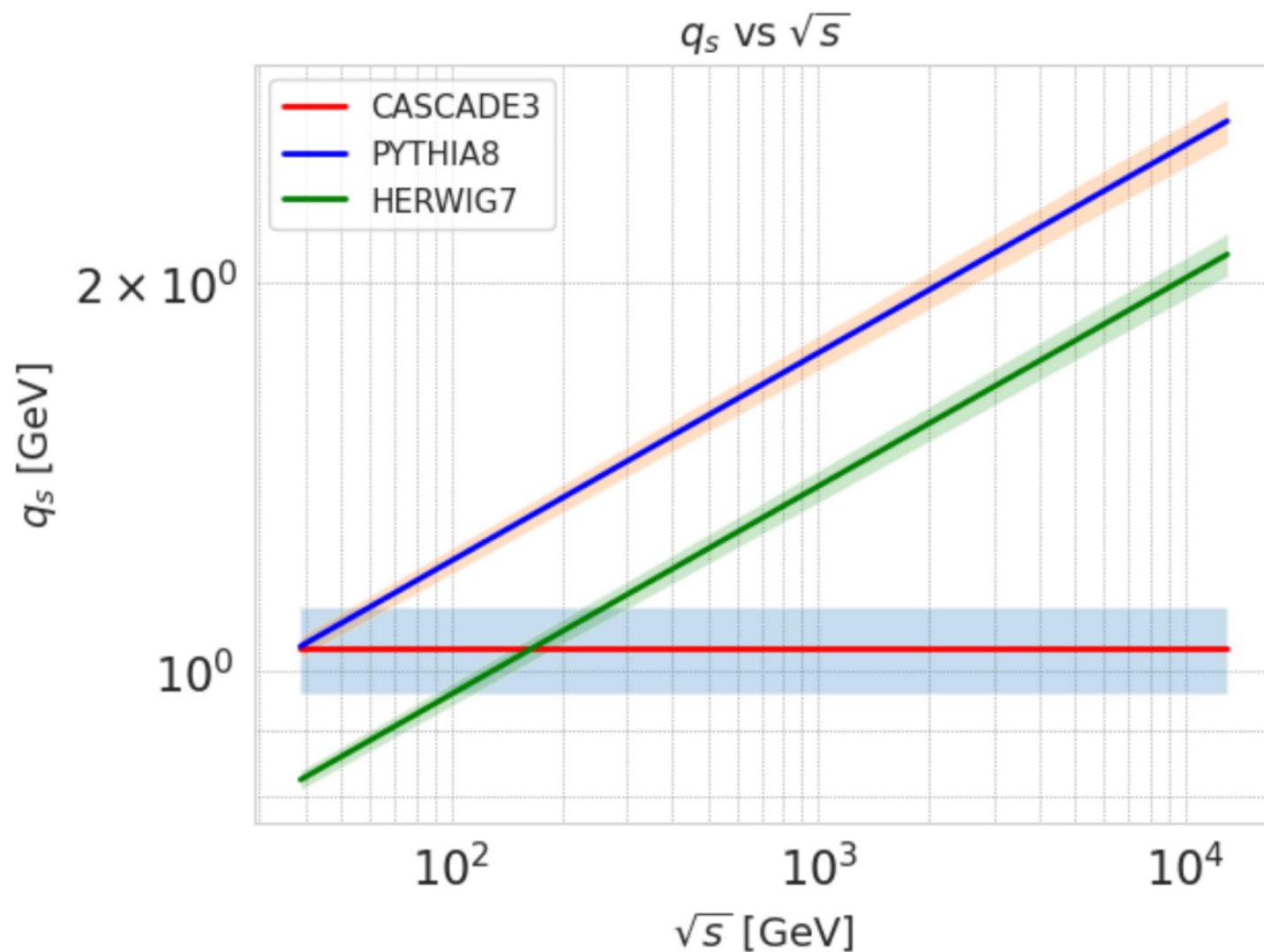
# Comparison to MC event generators

**Non-perturbative effects: lessons from fixed target, Tevatron, and LHC data,**  
Weijie Jin, Armando Bermudez Martinez, Sara Taheri Monfared, Mikel Mendizabal Morentin, Kyle Cormier, Saptaparna Bhattacharya  
(paper in preparation)

S. Taheri Monfared at [Physics In Collision 2023](#)

- Gauss with zero mean, width  $q_s$

$$\sim \exp\left(-|k_T^2|/q_s^2\right)$$



- MC generators need  $q_s$  dependent on  $\sqrt{s}$
- PB TMDs work with constant  $q_s$  !
- Why ?

# Parton Shower MC event generators

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- Parton shower follows backward evolution:

$$\Pi = \exp \left[ - \int_{\mu_l^2}^{\mu_h^2} \frac{d\mu'^2}{\mu'^2} \int^{z_{\text{dyn}}} \frac{dz}{z} \hat{P}(z) \frac{f(x/z, \mu^2)}{f(x, \mu^2)} \right]$$

- Emitted partons should have *resolvable* energy (or  $p_T$ ) with:  $p_T > q_{t \text{ cut}} \sim 1 \text{ GeV}$

$$z_{\text{dyn}} = 1 - \frac{q_{t \text{ cut}}}{\mu'}$$

- With  $z_{\text{dyn}} \ll 1$  soft gluons with  $p_T < 1 \text{ GeV}$  are neglected.

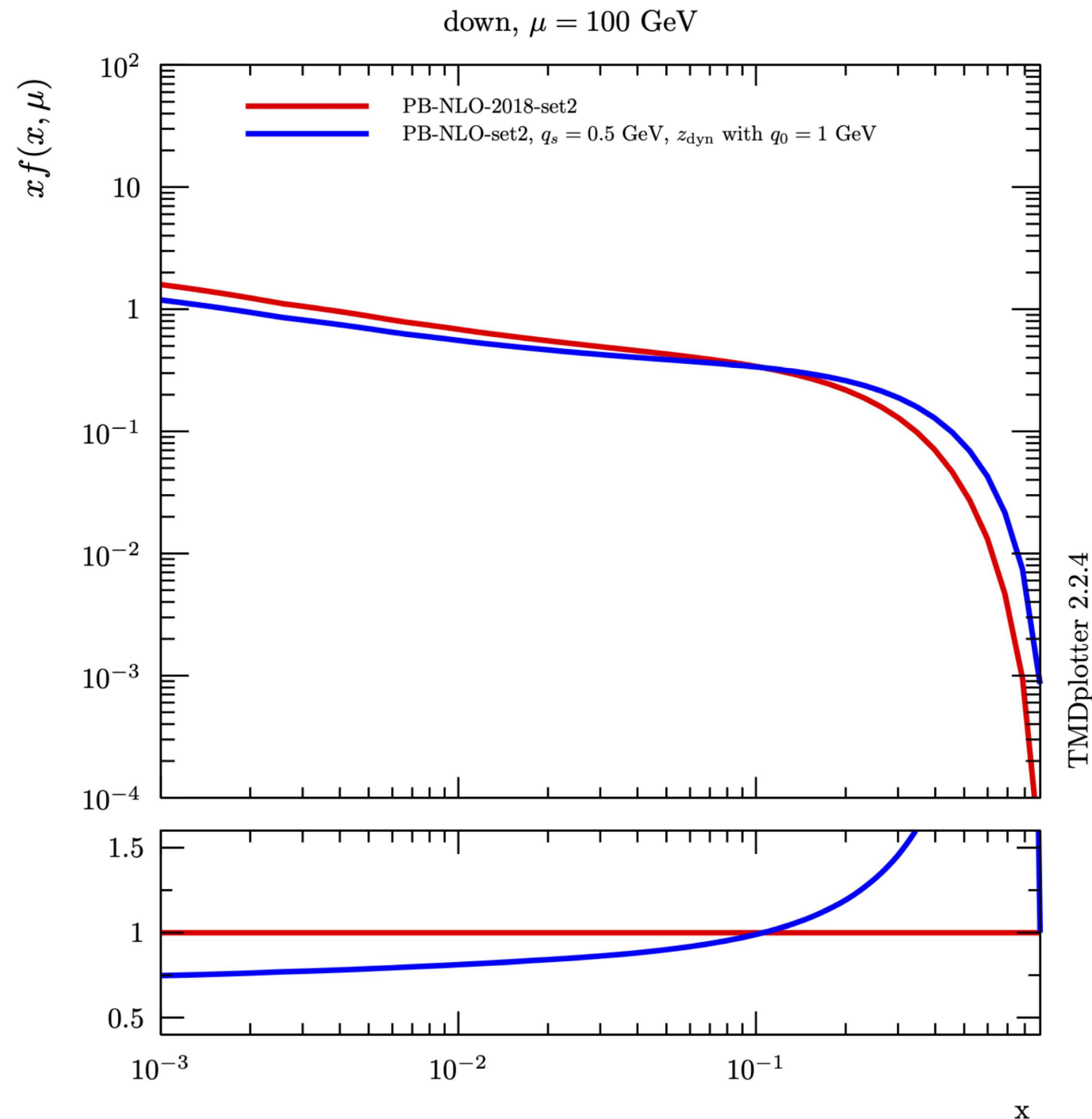
- What is the role of these soft gluons ?



# Role of soft gluons in inclusive distributions

Bubanja, I. et al, arXiv: 2312.08655

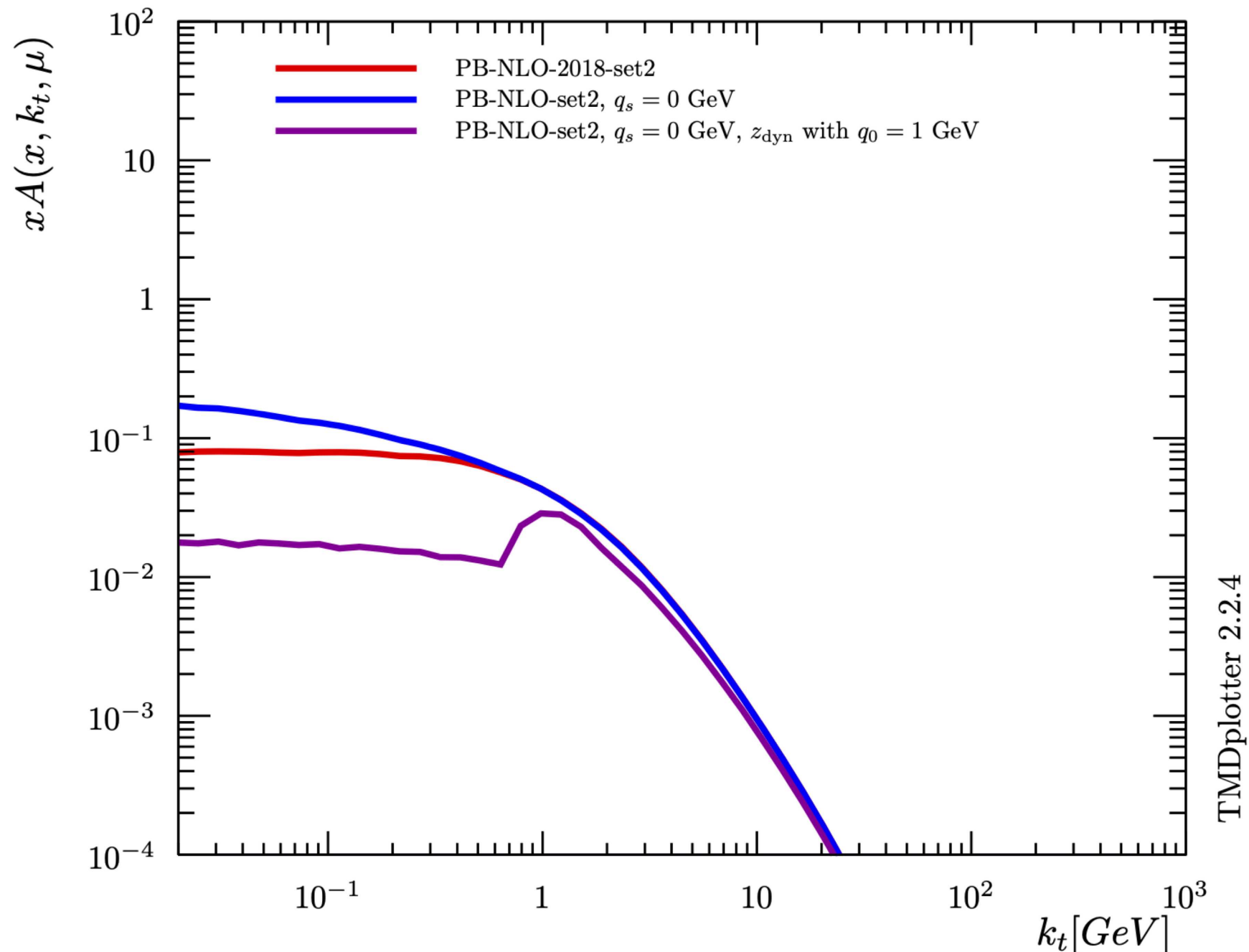
- Perform evolution with PB method with and without cut  $z_{\text{dyn}} = 1 - \frac{q_t \text{ cut}}{\mu'}$



# Role of soft gluons in TMD distributions

Bubanja, I. et al, arXiv: 2312.08655

- Perform evolution with PB method with and without cut  $z_{\text{dyn}} = 1 - \frac{q_t \text{ cut}}{\mu'}$   
down,  $x = 0.01$ ,  $\mu = 100 \text{ GeV}$



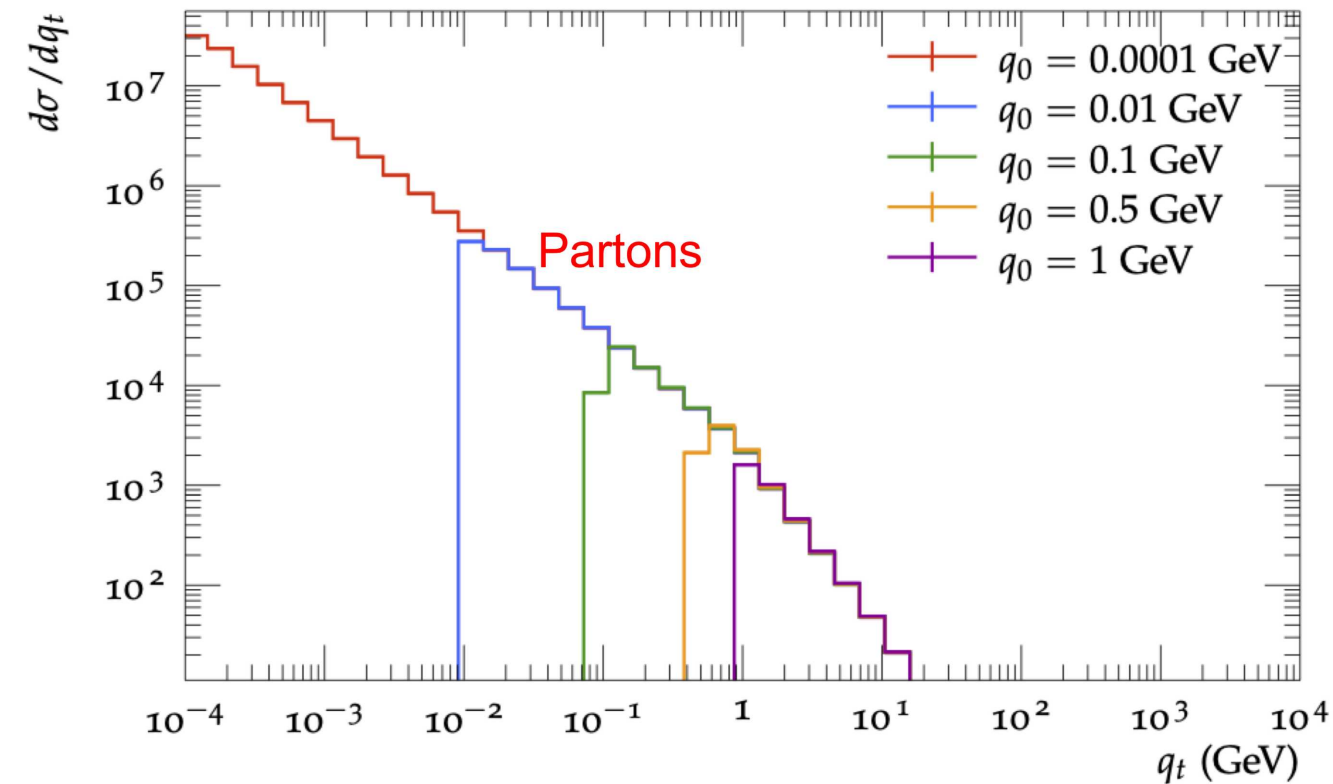


# Soft gluons in Parton Shower

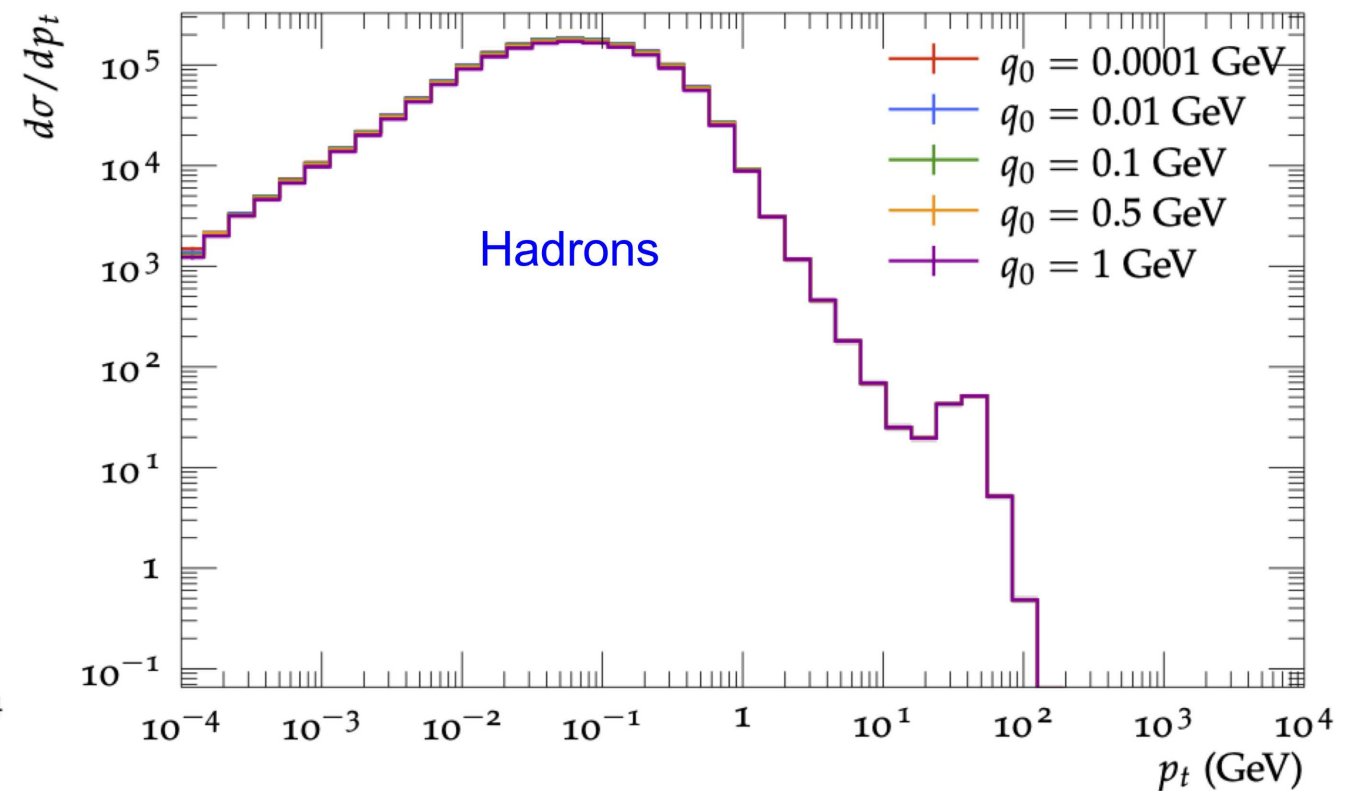
Mendizabal, M. et al, arXiv: 2309.11802

- With PB-TMD parton shower study effect of  $z_{\text{dyn}} = 1 - \frac{q_t \text{ cut}}{\mu'}$

All partons  $0 < p_T(Z)$  GeV



Final hadrons  $0 < p_T(Z)$  GeV



- **Huge effect** on soft partons
  - they are **important for inclusive distributions: pdfs and TMDs**
  - **see DY  $q_T$  spectra**
- in Lund string fragmentation these **soft partons do not change hadron spectra**
  - **no issue for hadrons or jets from parton shower !**

# Conclusion

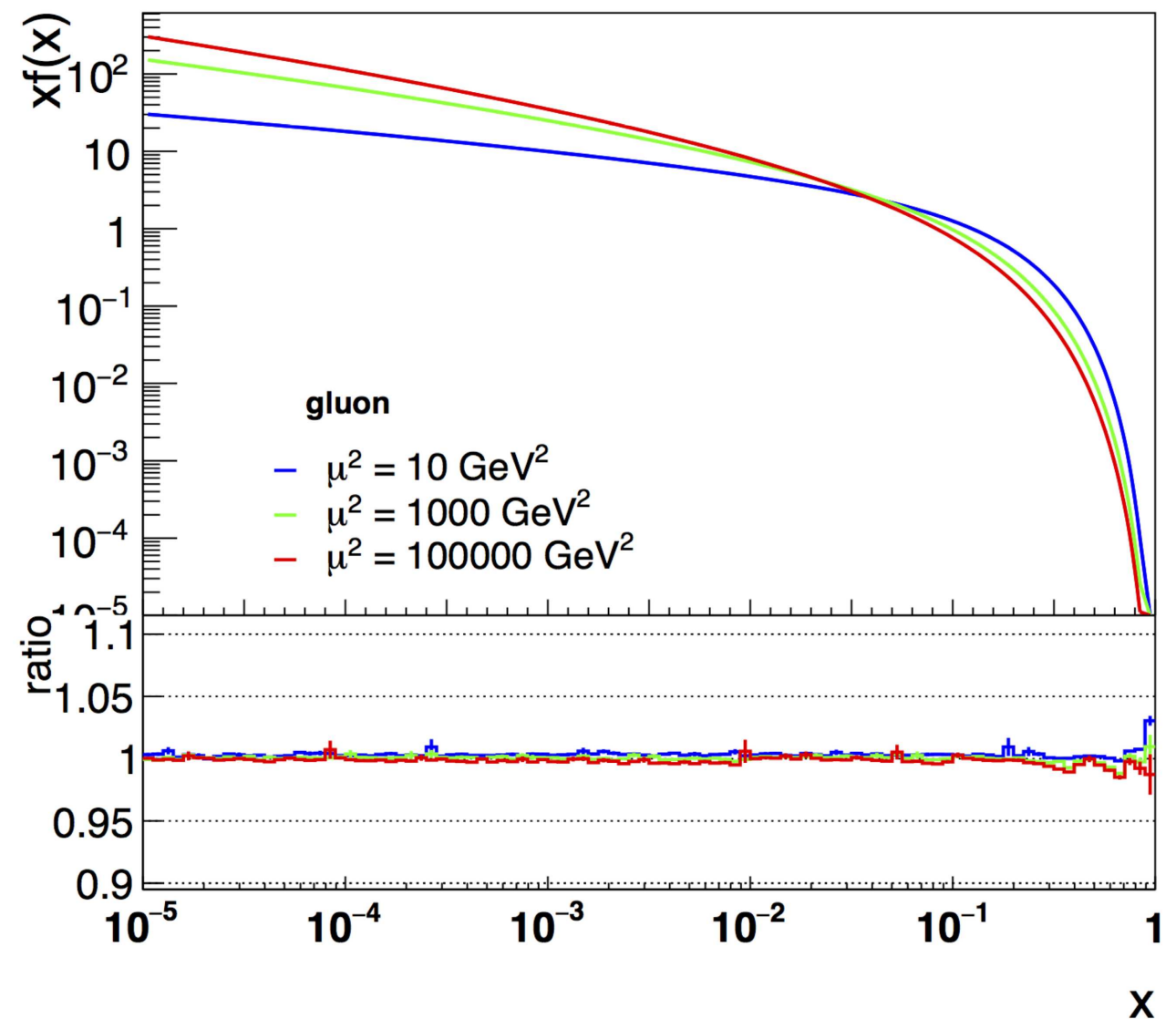
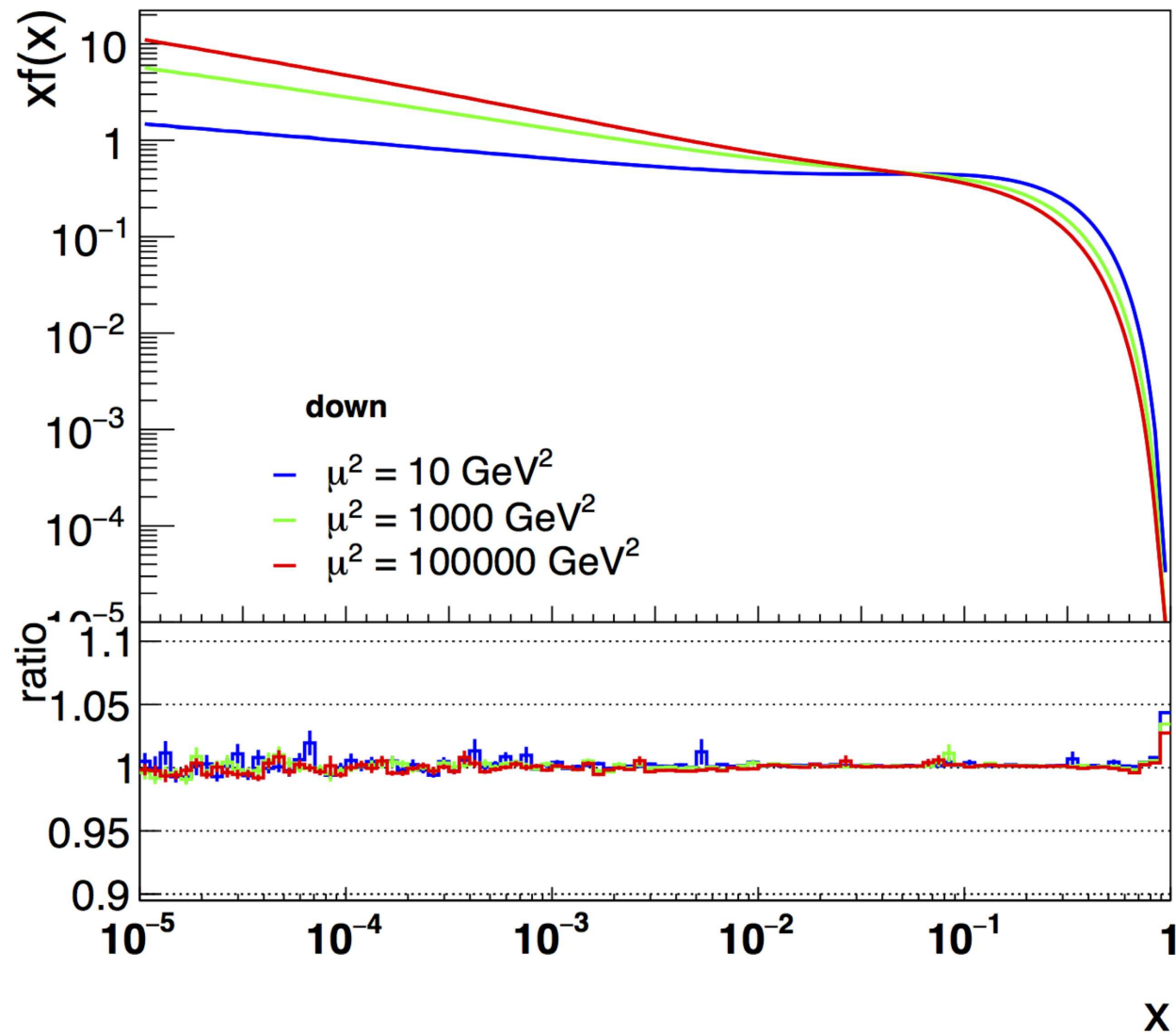
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- Parton Branching method to solve DGLAP equation at LO, NLO and NNLO  
method directly applicable to determine  $k_T$  distribution
- Application to inclusive DY processes in pp at different energies and masses:
  - intrinsic  $k_T$  distribution determined over large range of  $m_{DY}$  and  $\sqrt{s}$ 
    - no mass no  $\sqrt{s}$  dependence observed, in contrast to MCEG
- Importance of soft gluons established:
  - essential for consistency of NLO matrix elements and pdfs !
    - otherwise new factorization scheme to be defined and all NLO ME's must be recalculated.
  - essential for inclusive parton densities (DGLAP requires  $z_M \rightarrow 1$  )
  - essential for inclusive TMD distributions, e.g. DY  $q_T$  spectra
- Soft gluons are not important for final state jets or hadrons from parton shower

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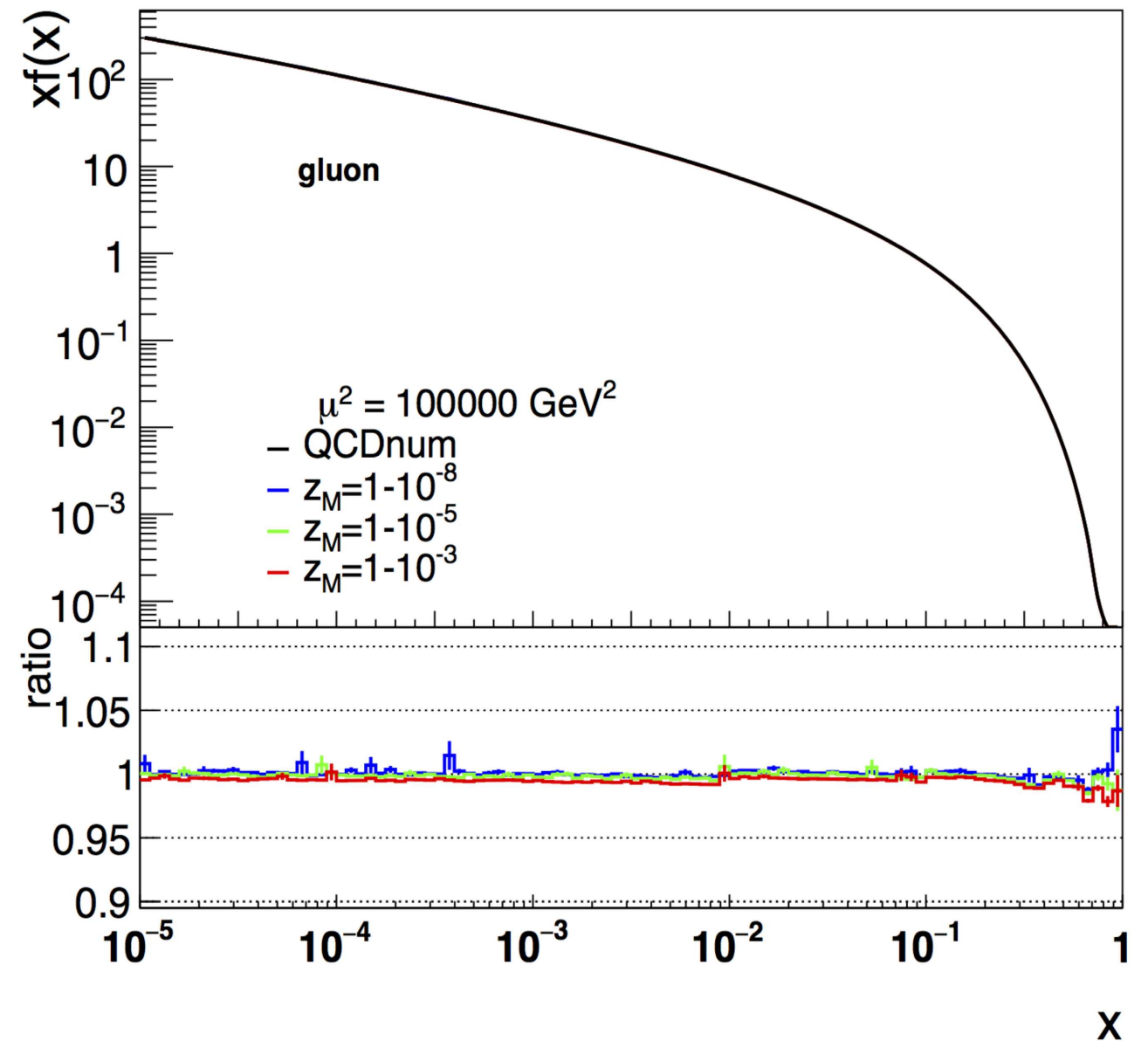
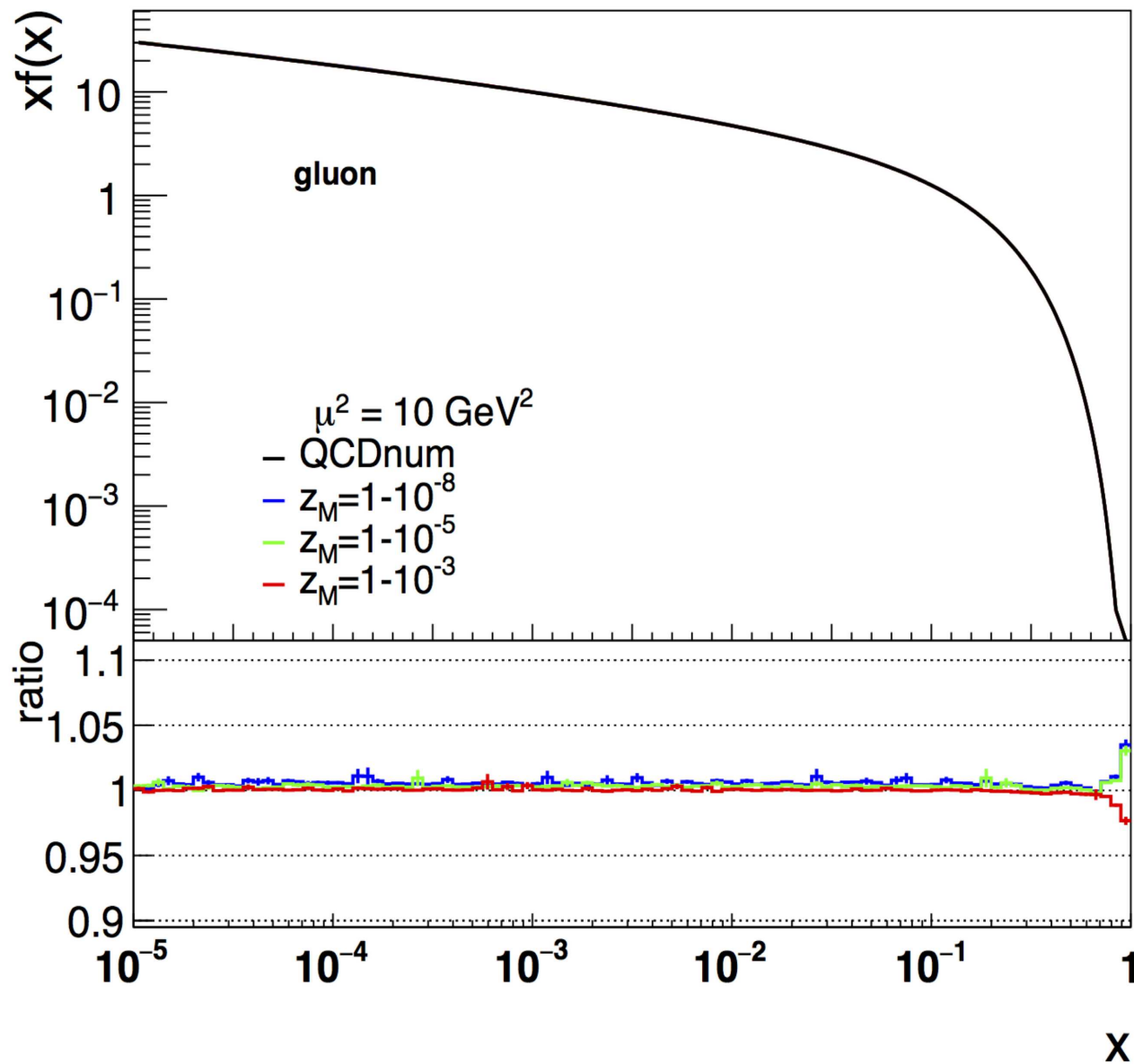
# Appendix

# Validation of method with QCDnum at **NLO**



- Very good agreement with **NLO** - QCDnum over all  $x$  and  $\mu^2$ 
  - the same approach works also at NNLO !

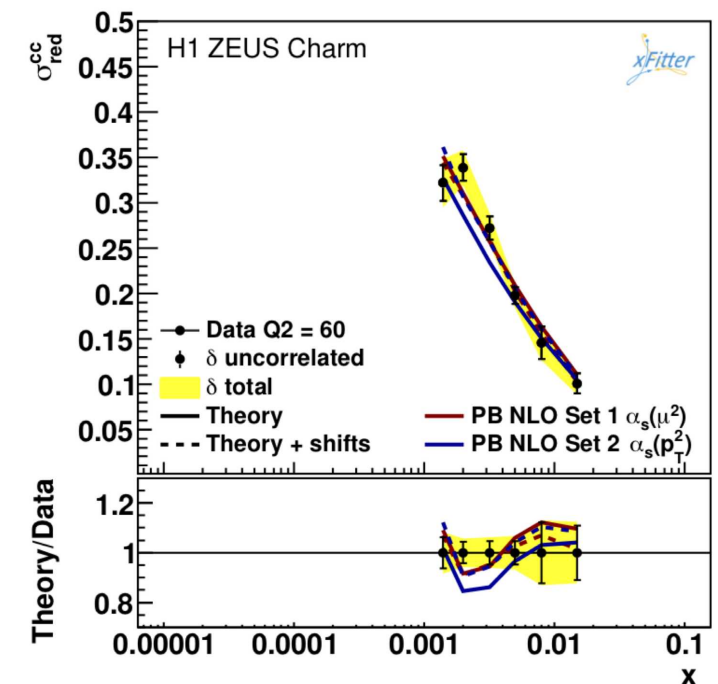
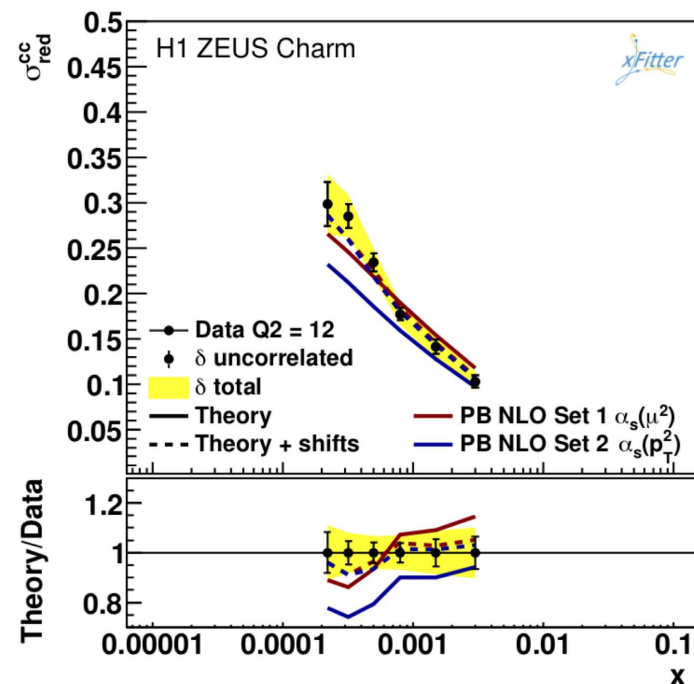
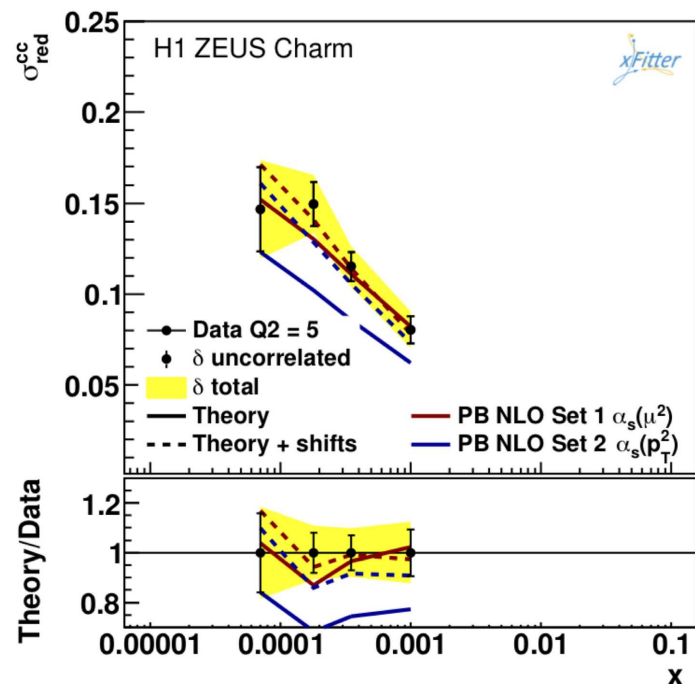
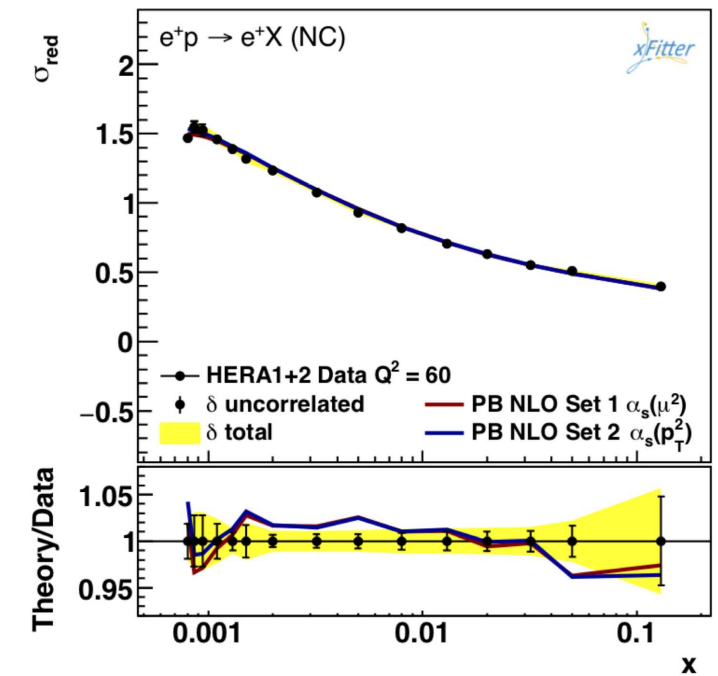
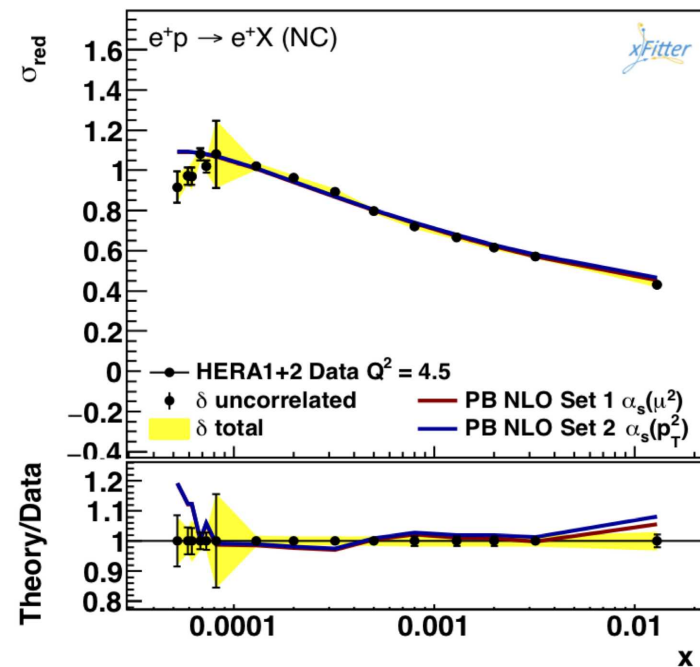
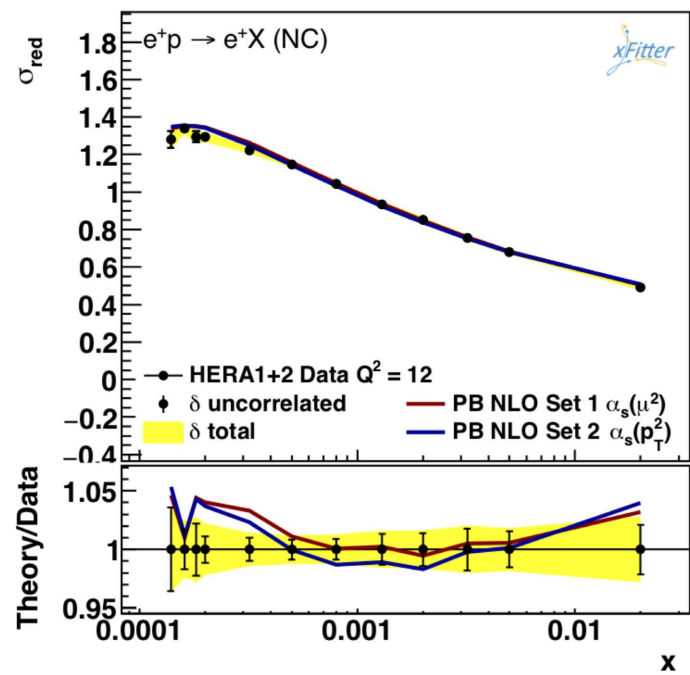
# Validation of method at **NLO**: $z_M$ - dependence



- No dependence on  $z_M$  if  $z_M$  is large enough:
  - approximation is of  $\mathcal{O}(1 - z_M)$
- Very good agreement with **NLO** - QCDnum



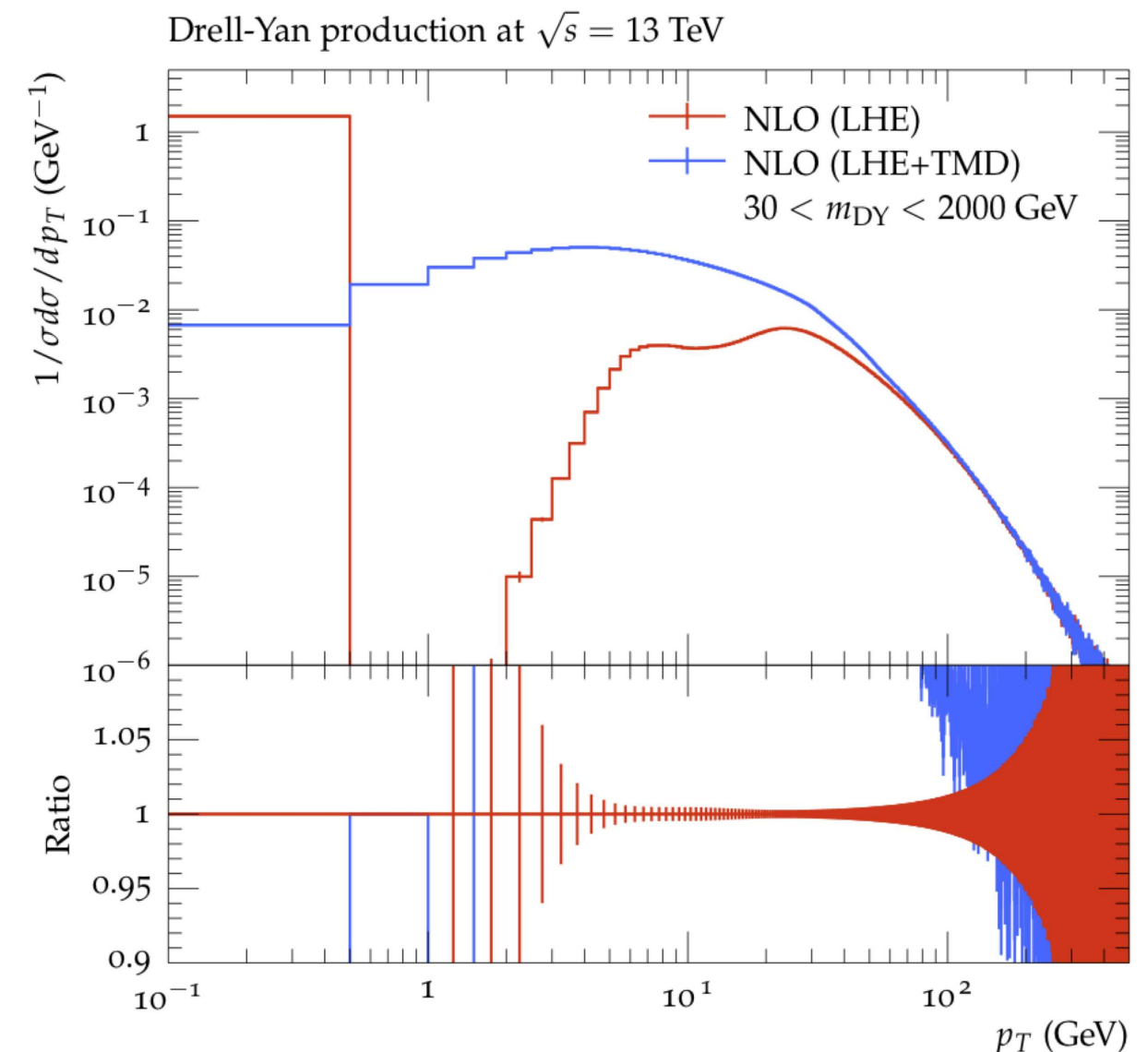
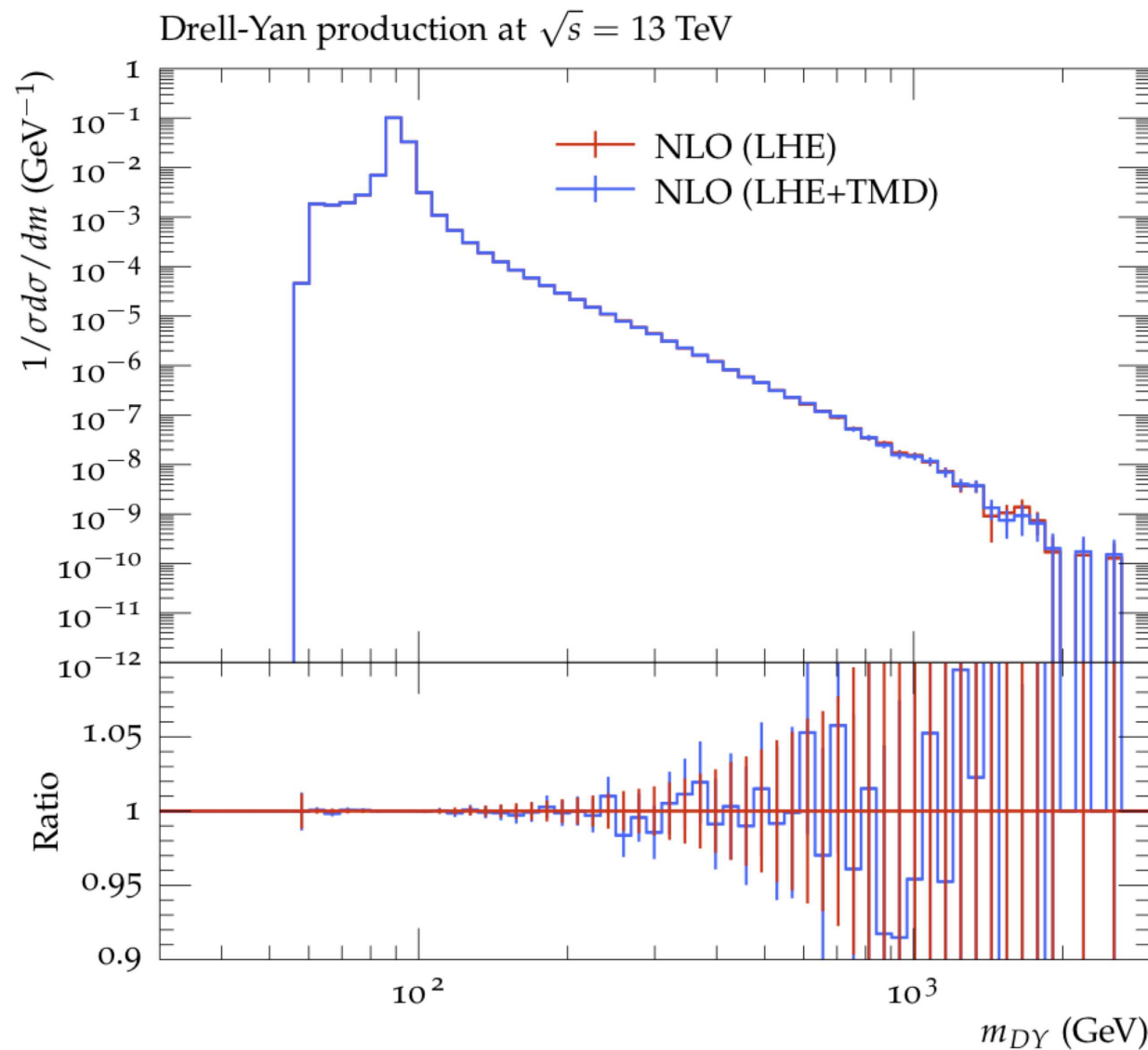
# Fits to DIS x-section at NLO: $F_2$ and $F_2^C$



# Including TMDs for DY production with MC@NLO

DY production: Bermudez Martinez, A. et al, arXiv 1906.00919, 2001.06488  
 CASCADE3 S. Baranov et al, arXiv 2101.10221

- MC@NLO subtracts soft & collinear parts from NLO (added back by TMD and/or parton shower)
- MC@NLO without shower and/or TMD unphysical ( here herwig6 subtraction)

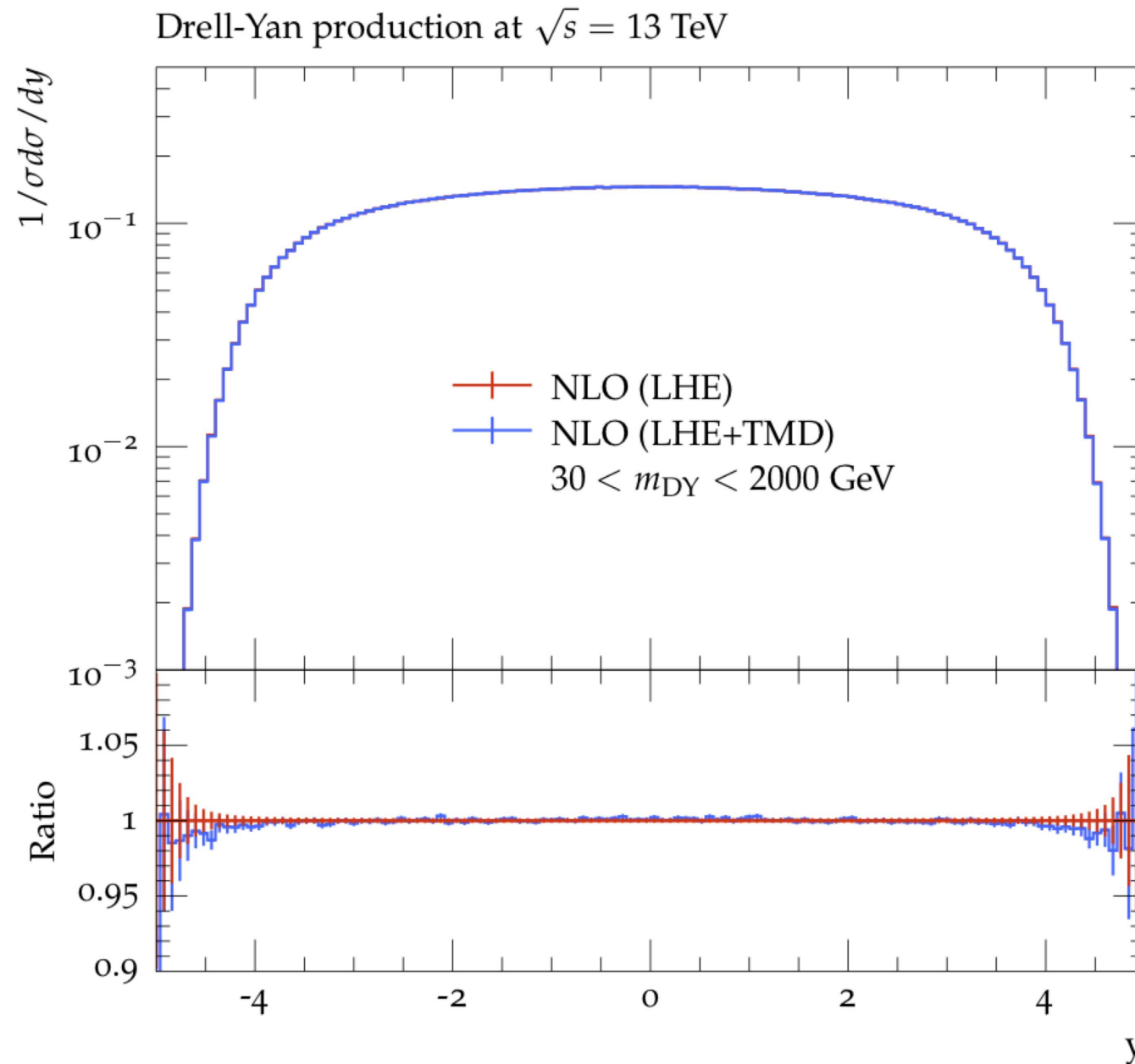




# Including TMDs for Z production with MC@NLO

- Are other features of DY production preserved ?

DY production: Bermudez Martinez, A. et al, arXiv 1906.00919, 2001.06488  
CASCADE3 S. Baranov et al, arXiv 2101.10221



- Rapidity of DY pair not changed ... (but  $x_1$  and  $x_2$ )

# Soft gluons in calculations

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- Calculations at NLO (and higher order) require (integrated) parton densities with  $z_M \rightarrow 1$  (factorization scheme)
  - if  $z_M \ll 1$ , inconsistencies appear.
- Issue of  $z_M \rightarrow 1$  was already discussed in Z. Nagy, D.Soper Phys. Rev. D102 (2020) 1
- Issue discussed in detail in M. Mendizabal, F. Guzman, H. Jung, S. Taheri Monfared arXiv 2309.11802
- S. Frixione, B Webber (arXiv 2309.15587) propose calculating NLO ME's with  $z_M \ll 1$ , huge enterprise, as all ME's need recalculation
  - and it is unclear, whether this can include the “non-pert Sudakov” (which is the part from  $z_M$  to 1), making intrinsic  $k_T$  distributions energy and mass independent.