

# NLO for hybrid $k_T$ -factorization

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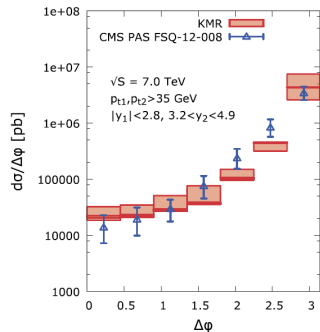
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# Motivation for study $k_T$ factorization in QCD

Following the work by M. Deak, F. Hautmann, H. Jung and K. Kutak: Forward jet production at the Large Hadron Collider

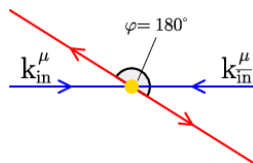
- $k_T$ -factorization is relevant for hadron collision processes in which one of the hadrons delivers a much smaller momentum fraction  $x$  to the partonic process than the other hadron. An example is the production of forward jets.
- in  $k_T$ -factorization there is a momentum imbalance in the final state allowing for non-trivial distributions already at LO where collinear factorization requires at least NLO. An example is the angle between two jets in dijet production.
- so far, calculations in  $k_T$ -factorization are mostly performed at LO, and we want to move towards a level of automation at NLO like it exists in collinear factorization.



Distribution of the azimuthal angle.

From A. v. Hameren, P. Kotko, K. Kutak and S. Sapeta: Small- $x$ dynamics in forward-central dijet

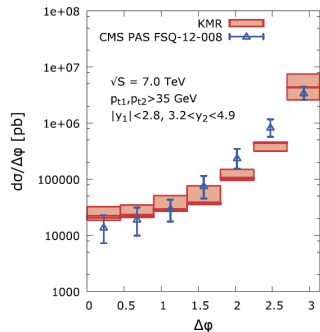
correlations at the LHC



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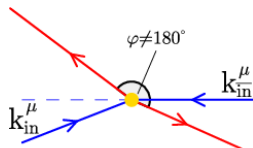
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# Collinear factorization in QCD at NLO

initial states:

$$d\sigma^{LO} = \int \frac{dx_{in}}{x_{in}} \frac{d\bar{x}_{in}}{\bar{x}_{in}} f_{in}(x_{in}) f_{in}(\bar{x}_{in}) dB(x_{in}, \bar{x}_{in})$$

$$k_{in}^\mu = x_{in} P^\mu$$

$$k_{in}^\mu = \bar{x}_{in} \bar{P}^\mu$$

$$d\sigma^{NLO} = \int \frac{dx_{in}}{x_{in}} \frac{d\bar{x}_{in}}{\bar{x}_{in}} \left\{ f_{in}(x_{in}) f_{in}(\bar{x}_{in}) [dV(x_{in}, \bar{x}_{in}) + dR(x_{in}, \bar{x}_{in})] \text{cancelling} \right.$$

$$+ \left[ f_{in}(x_{in}) \frac{-\alpha_s}{2\pi\epsilon} \int_{\bar{x}_{in}}^1 d\bar{z} \mathcal{P}_{in}(\bar{z}) f_{in}(\bar{x}_{in}/\bar{z}) \right.$$

$$+ \left. f_{in}(\bar{x}_{in}) \frac{-\alpha_s}{2\pi\epsilon} \int_{x_{in}}^1 dz \mathcal{P}_{in}(z) f_{in}(x_{in}/z) \right] dB(x_{in}, \bar{x}_{in})$$

$$+ \left. \left[ f_{in}^{(1)}(x_{in}) f_{in}(\bar{x}_{in}) + f_{in}(x_{in}) f_{in}^{(1)}(\bar{x}_{in}) \right] \frac{\alpha_s}{2\pi} dB(x_{in}, \bar{x}_{in}) \right\}$$

Finite at all

$$f_{in}^{(1)}(\bar{x}_{in}) - \frac{1}{\epsilon} \int_{\bar{x}_{in}}^1 d\bar{z} \mathcal{P}_{in}(\bar{z}) f_{in}(\bar{x}_{in}/\bar{z}) = \text{finite}$$

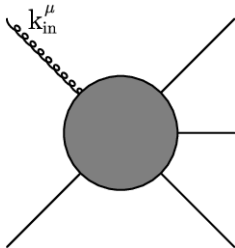
$$f_{in}^{(1)}(x_{in}) - \frac{1}{\epsilon} \int_{x_{in}}^1 dz \mathcal{P}_{in}(z) f_{in}(x_{in}/z) = \text{finite}$$

## Hybrid $k_T$ factorization in QCD

Establish the same within hybrid  $k_T$ -factorization, for which the LO cross section formula is:

$$d\sigma^{LO} = \int \frac{dx_{in}}{x_{in}} \frac{d^2k_T}{\pi} \frac{d\bar{x}_{in}}{\bar{x}_{in}} F_{in}(x_{in}, k_T) f_{in}(\bar{x}_{in}) dB^*(x_{in}, k_T, \bar{x}_{in}) \quad (1)$$

- The amplitudes inside  $B^*(x_{in}, k_T, \bar{x}_{in})$  depend explicitly on  $k_T$ .
- They involve a space-like initial-state gluon with momentum  $k_{in}^\mu = x_{in}P^\mu + k_T^\mu$



We define  $k_T$  as:

$$P \cdot k_T = 0$$

$$\bar{P} \cdot k_T = 0$$

- Such amplitudes need care to be well-defined, to be gauge invariant
- We apply the auxiliary-parton method, and our **objective** is within this constraint

# Auxiliary parton method

Introduced by A. v. Hameren, P. Kotko and K. Kutak in *Helicity amplitudes for high-energy scattering*.

We put our interest on process with one space-like gluon.

$$\omega(p_1) = g(p_1)/q(P_1)$$

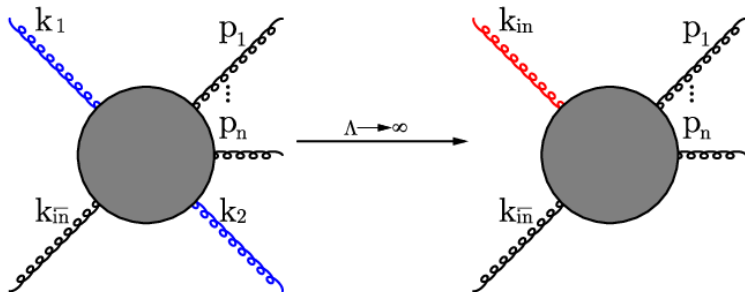
$$g^*(k_{in})\omega_{in}^-(k_{in}^-) \rightarrow \omega_1(p_1)\omega_2(p_2)\cdots\omega_n(p_n).$$

This process is obtained via named auxiliary parton method from process

$$q(k_1(\Lambda))\omega_{in}^-(k_{in}^-) \rightarrow q(k_2(\Lambda))\omega_1(p_1)\omega_2(p_2)\cdots\omega_n(p_n)$$

with light-like momenta parametrized with  $\Lambda$

$$k_1^\mu = \Lambda P^\mu, \quad k_2^\mu = p_\Lambda^\mu = (\Lambda - x_{in})P^\mu - k_T^\mu + \frac{|k_T|^2}{2P^\mu \cdot \bar{P}^\mu (\Lambda - x_{in})} \bar{P}^\mu.$$



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Their difference is

$$k_1^\mu - k_2^\mu = k_{in}^\mu + O(\Lambda^{-1}) = x_{in}P^\mu + k_T^\mu + O(\Lambda^{-1})$$

Taking  $\Lambda \rightarrow \infty$  one will obtain the matrix element with space-like gluon

$$\frac{x_{in}^2 |k_T|^2}{g_s^2 C_{aux} \Lambda^2} |\overline{M}^{aux}|^2(\Lambda P, k_{in}^-, p_\Lambda, \{p_i\}_{i=1}^n) \xrightarrow{\Lambda \rightarrow \infty} |\overline{M}^*|^2(k_{in}, k_{in}^-, \{p_i\}_{i=1}^n) \quad (2)$$

As auxiliary partons we can choose quarks as well as gluons. Then

$$C_{aux-q} = \frac{N_c^2 - 1}{N_c}, C_{aux-g} = 2N_c.$$

# The NLO virtual contribution

## Virtual contributions

$$dV^* = dV^{*fam} + dV^{*unf}$$

- Familiar contribution conserve smooth on-shell  $k_T \rightarrow 0$
- Unfamiliar contribution  $dV^{*unf} = a_\epsilon N_c \text{Re}(\mathcal{V}_{aux}) dB^*$

$$a_\epsilon = \frac{\alpha_s}{2\pi} \frac{(4\pi)^\epsilon}{\Gamma(1-\epsilon)}; \quad \epsilon = \frac{4 - \dim}{2}$$

$$\mathcal{V}_{aux} = \left( \frac{\mu^2}{|k_T|^2} \right)^\epsilon \left[ \frac{2}{\epsilon} \text{In} \frac{\Lambda}{x_{in}} - i\pi + \bar{\mathcal{V}}_{aux} \right] + \mathcal{O}(\epsilon) + \mathcal{O}(\Lambda^{-1})$$

$$\bar{\mathcal{V}}_{aux-q} = \frac{1}{\epsilon} \frac{13}{6} + \frac{\pi^2}{3} + \frac{80}{18} + \frac{1}{N_c^2} \left[ \frac{1}{\epsilon^2} + \frac{3}{2} \frac{1}{\epsilon} + 4 \right] - \frac{n_f}{N_c} \left[ \frac{2}{3} \frac{1}{\epsilon} + \frac{10}{9} \right]$$

$$\bar{\mathcal{V}}_{aux-g} = -\frac{1}{\epsilon^2} + \frac{\pi^2}{3}$$

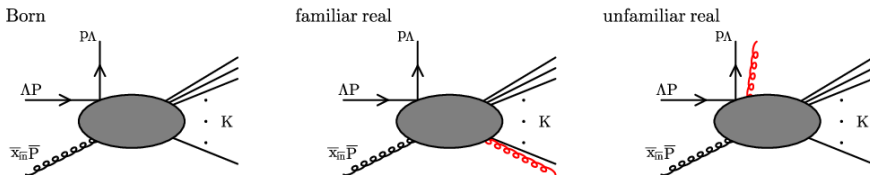
Details in E. Blanco, A. Giachino, A. v. Hameren, P. Kotko: One-loop gauge invariant amplitudes with a space-like gluon.



# Unfamiliar real contribution

$$dR^* = dR^{*fam} + dR^{*unf}$$

In the unfamiliar case the radiative gluon participates in the consumption of  $\Lambda$   $k_T = q_T + r_T$



The phase space also factorizes, we can perform analytical integration, the result is:

$$dR^{*unf}(k_{in}, k_{in}^-; \{p_i\}_{i=1}^{n+1}) = \left\{ a_\epsilon N_c \left( \frac{\mu^2}{|k_T|^2} \right)^\epsilon \left[ -\frac{2}{\epsilon} \ln \frac{2P \cdot \bar{P}\Lambda}{|k_T|^2} + \bar{R}_{aux} \right] + \mathcal{O}(\epsilon, \Lambda^{-1}) \right\} dB^*(k_{in}, k_{in}^-; \{p_i\}_{i=1}^n)$$

- depends of type of auxiliary partons
- violates the smooth on-shell limit and smooth large  $\Lambda$  limit

# Unfamiliar contributions - completed

Collection of virtual and real unfamiliar contribution brings

$$\Delta_{unf} dB^* = dR^{*unf} + dV^{*unf}$$

general unfamiliar contribution is given by

$$\Delta_{unf} = \frac{a_\epsilon N_c}{\epsilon} \left( \frac{\mu^2}{|k_T|^2} \right)^\epsilon \left[ \mathcal{J}_{aux} + \mathcal{J}_{univ} + \mathcal{J}_{univ} - 2 \ln \frac{2P \cdot \bar{P}_{X_{in}}}{|k_T|^2} \right]$$

where

$$\mathcal{J}_{univ} = \frac{11}{6} - \frac{n_f}{3N_c} - \frac{\mathcal{K}}{N_c}(-\epsilon) \quad \text{with} \quad \mathcal{K} = N_c \left( \frac{67}{18} - \frac{\pi^2}{6} \right) - \frac{5n_f}{9}$$

$$\mathcal{J}_{aux-g} = \frac{11}{6} + \frac{n_f}{3N_c^3} + \frac{n_f}{6N_c^3}(-\epsilon), \quad \mathcal{J}_{aux-q} = \frac{3}{2} - \frac{1}{2}(-\epsilon)$$

- No  $\ln \Lambda$
- Target impact factor corrections as in Ciafaloni, Colferai 1999.
- Other terms also known in literature (Regge trajectory, renormalization of the coupling constant)

# Familiar real collinear singularities

The  $dR^{*fam}$  has a singularity when a radiative gluon becomes collinear to  $\bar{P}$  which leads to divergence  $\Delta_{coll}^*$  with splitting as  $\frac{1}{z(1-z)} - 2 + z(1-z)$  included.

Despite  $k_T$ , tree-level matrix elements with a space-like gluon still have a singularity when a radiative gluon becomes collinear to  $P$ .

$$|\bar{M}^*|^2(x_{in}P + k_T, k_{in}^-, r, \{p_i\}_{i=1}^n) \xrightarrow{r \rightarrow x_r P} \frac{2N_C}{P \cdot r} \frac{x_{in}^2}{x_r(x_{in} - x_r)^2} |\bar{M}^*|^2((x_{in} - x_r)P + k_T, k_{in}^-, \{p_i\}_{i=1}^n) \quad (3)$$

Similar to usual collinear gluon splitting with only the  $\frac{1}{z(1-z)}$  part.

This leads to a non-cancelling divergence similar to the collinear case given by

$$\Delta_{coll}^*(x_{in}, k_T) = -\frac{\alpha_\epsilon}{\epsilon} \int_{x_{in}}^1 dz \left[ \frac{2N_C}{[1-z]_+} + \frac{2N_C}{z} + \gamma_g \delta(1-z) \right] F\left(\frac{x_{in}}{z}, k_T\right) \quad (4)$$

# Completed cross section formula

## General NLO formula

$$d\sigma^{NLO} = \int \frac{dx_{in}}{x_{in}} \frac{d^2 k_T}{\pi} \frac{d\bar{x}_{in}}{\bar{x}_{in}} \left\{ F_{in}(x_{in}, k_T) f_{in}(\bar{x}_{in}) [dR^*(x_{in}, k_T, \bar{x}_{in}) + dV^*(x_{in}, k_T, \bar{x}_{in})]_{\text{cancelling}} \right. \\ \left. + \left[ F_{in}^{NLO}(x_{in}, k_T) + F_{in}(x_{in}, k_T) \Delta_{unf}(x_{in}, k_T) + \Delta_{coll}^*(x_{in}, k_T) \right] f_{in}(\bar{x}_{in}) dB^*(x_{in}, k_T, \bar{x}_{in}) \right. \\ \left. \left[ f_{in}^{NLO}(\bar{x}_{in}) + \Delta_{coll} \right] F_{in}(x_{in}, k_T) dB^*(x_{in}, k_T, \bar{x}_{in}) \right\} \quad (5)$$

Details in A. v. Hameren, L. Motyka, G. Ziarko: Hybrid  $k_T$ -factorization and impact factors at NLO. J. High Energ. Phys. 2022, 103 (2022). [https://doi.org/10.1007/JHEP11\(2022\)103](https://doi.org/10.1007/JHEP11(2022)103) [SPRINGER]

The collinear divergences  $\Delta_{coll}^*$  and  $\Delta_{coll}$   
 $f_{in}^{NLO}(\bar{x}_{in}) + \Delta_{coll} \rightarrow$  finite as in collinear factorization

$F_{in}^{NLO}(x_{in}, k_T) + F_{in}(x_{in}, k_T) \Delta_{unf}(x_{in}, k_T) + \Delta_{coll}^*(x_{in}, k_T) \rightarrow$  still necessity for scheme for renormalization of PDFs

Progress with subtraction method for  $\Delta_{coll}^*$  in A. Giachino, A. v. Hameren, G. Ziarko: A new subtraction scheme at NLO exploiting the privilege of  $k_T$ -factorization. [arxiv.org/2312.02808]

- We established the framework for calculating cross section within  $k_T$  factorization.
- We showed the consistency in our scheme (no  $\ln(\Lambda)$ ).
- All divergences are recognized.

# Thank you for listening!

# Back-Up

# Real radiation - familiar contribution

Real contribution we defined as

$$dR^{*fam}(k_{in}, k_{in}^-; \{p_i\}_{i=1}^{n+1}) = \frac{a_\epsilon \mu^{2\epsilon}}{\pi_\epsilon} \frac{1}{|k_T|^2} d\Sigma_{n+1}^*(k_{in}, k_{in}^-; \{p_i\}_{i=1}^{n+1}) J_R(\{p_i\}_{i=1}^{n+1}) \quad (6)$$

$$a_\epsilon = \frac{\alpha_S}{2\pi} \frac{(4\pi)^\epsilon}{\Gamma(1-\epsilon)}; \quad \pi_\epsilon = \frac{\pi^{1-\epsilon}}{\Gamma(1-\epsilon)}$$

- One parton more in a final state (compared to Born)
- One collinear pair and / or one soft parton
- The singularities look the same as if the initial-state gluon were on-shell
- Independent of the type of auxiliary partons
- No  $\ln\Lambda$

There is also unfamiliar component:

$$dR^* = dR^{*fam} + dR^{*unf}$$



# Subtraction method

$$\int_0^1 dx \frac{1}{x} f(x) \quad (7)$$

is straightforwardly divergent.

$$\int_0^1 dx \frac{x^\epsilon}{x} f(x) \quad (8)$$

To demonstrate this, we can apply the Taylor expansion:

$$\begin{aligned} \int_0^1 dx \frac{x^\epsilon}{x} f(x) &= \int_0^1 dx \frac{x^\epsilon}{x} [f(x) - f(0)] + \int_0^1 dx \frac{x^\epsilon}{x} f(0) \\ \int_0^1 dx \frac{x^\epsilon}{x} f(x) &= \int_0^1 dx \frac{x^\epsilon}{x} \left[ f(0) + x \cdot f'(0) + \frac{1}{2} x^2 \cdot f''(0) + \dots - f(0) \right] + \int_0^1 dx \frac{x^\epsilon}{x} f(0) \end{aligned} \quad (9)$$

Doing the second integral on the right hand side we get:

$$\int_0^1 dx \frac{x^\epsilon}{x} f(x) = \int_0^1 dx \cdot x^\epsilon \left[ \cdot f'(0) + \frac{1}{2} x \cdot f''(0) + \dots \right] + \frac{1}{\epsilon} f(0) x^\epsilon \Big|_0^1 \quad (10)$$