

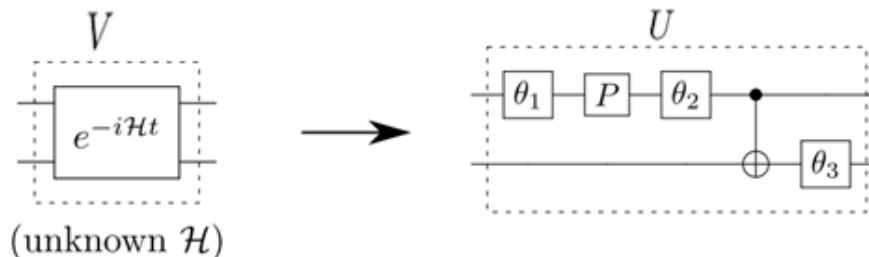
# Generalization with quantum geometry for learning unitaries

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# QML routine: Learn unitary

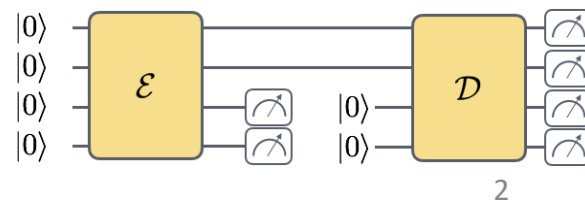
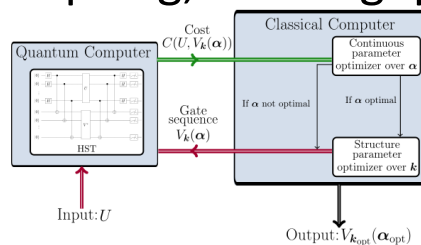
Task: Given target unitary  $V$ , represent it with  $M$  parameter unitary  $U(\theta)$



- Target unitary  $V$  not known directly, only its action on  $L$  training states  $|\psi_\ell\rangle \in W$  drawn from distribution  $W$ :  $S_L = \{|\psi_\ell\rangle, V|\psi_\ell\rangle\}_{\ell=1}^L$
- Goal: Find  $U(\theta) |\psi_\ell\rangle = V |\psi_\ell\rangle$
- Training: Optimize fidelity on training data

$$C_{\text{train}}(\theta, S_L) = 1 - \frac{1}{L} \sum_{\ell=1}^L |\langle \psi_\ell | V^\dagger U(\theta) | \psi_\ell \rangle|^2$$

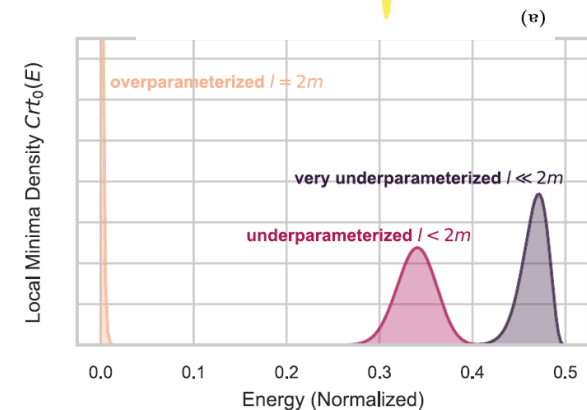
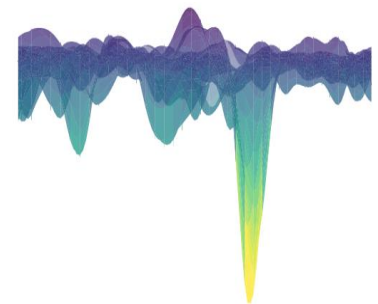
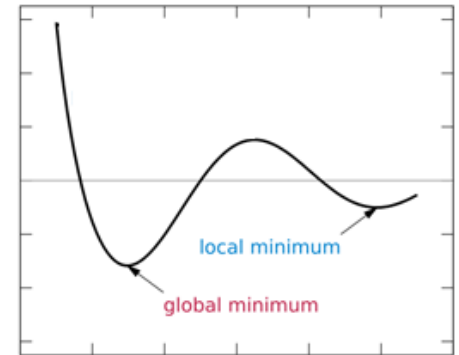
- Routine used for quantum compiling, learning quantum dynamics, quantum autoencoder, ...



# Challenge 1: Converge to global minima

- Train circuit parameters  $\theta$  (using  $L$  training datapoints) by minimizing training cost  $C_{\text{train}}(\theta)$
- Success depends on circuit parameters  $M$ 
  - Underparameterized models swamped with bad local minima (far away from global minima)
    - Optimization gets stuck in bad solutions
  - Overparameterized: Local minimas become global minimas
    - Easy to find good solutions
- Critical number of circuit parameters  $M_c(L)$  to overparameterize?
  - $M_c(L=1)$  from rank of “quantum Fisher information metric”

– What is  $M_c(L)$  for  $L > 1$ ?



X. You, S. Chakrabarti, and X Wu. "A convergence theory for over-parameterized variational quantum eigensolvers." *arXiv:2205.12481* (2022).

E. Anschuetz, and B. Kiani. "Quantum variational algorithms are swamped with traps." *Nature Communications* 13.1 (2022)

M. Larocca, N. Ju, D. Garcia-Martin, P. J. Coles, and M. Cerezo, *Nature Computational Science* 3, 542 (2023)

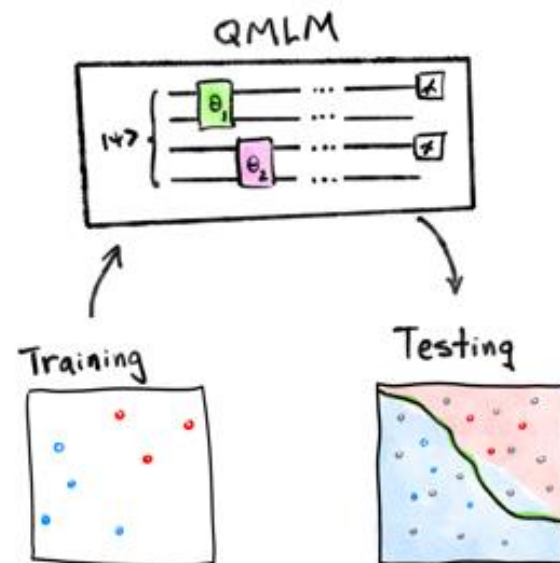
T. Haug, K. Bharti, M.S. Kim, Capacity and quantum geometry of parametrized quantum circuits *PRX Quantum* 2 (4), 040309 (2021)

# Challenge 2: Generalization

- Generalization: Performance of trained model on unseen test data (test error)

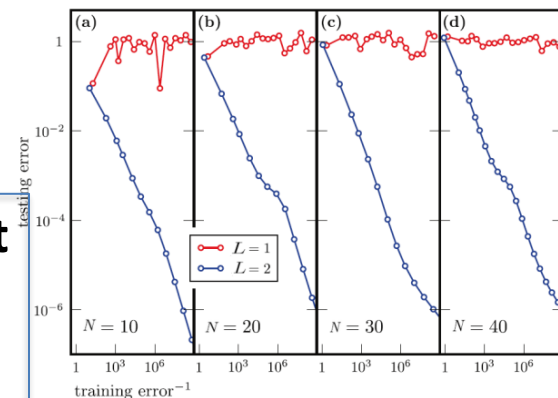
$$C_{\text{test}}(\boldsymbol{\theta}, W) = 1 - \mathbb{E}_{|\psi\rangle \in W} [|\langle \psi | V^\dagger U(\boldsymbol{\theta}) | \psi \rangle|^2]$$

- More training data  $L \rightarrow$  better test error



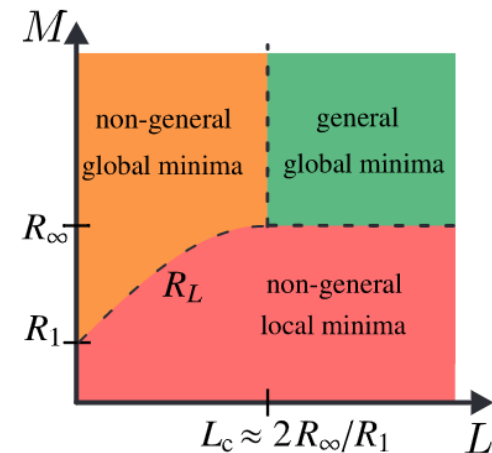
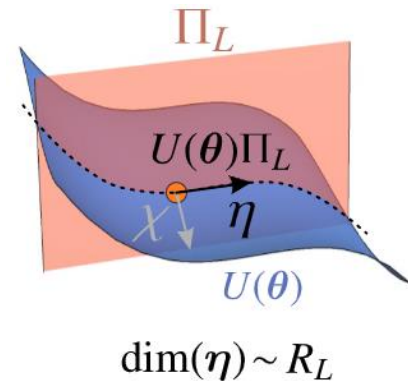
- Loose uniform generalization bounds:  $\Delta C_{\text{test}} \sim \frac{1}{\sqrt{L}}$

- In practice: Numerics suggest we can achieve  $L_c = \text{const}$  data to generalize, but not clear when or why
- Can we get accurate values for  $L_c$



# Our contributions

- We give theory of overparameterization and generalization for learning unitaries via “Data quantum Fisher information metric” (DQFIM)
- Explain generalization from few data via rank of DQFIM and dynamical Lie algebra
- Surprising implications:
  - Symmetries can hurt generalization
  - Out-of-distribution learning (learning from “wrong distribution”) can improve generalization

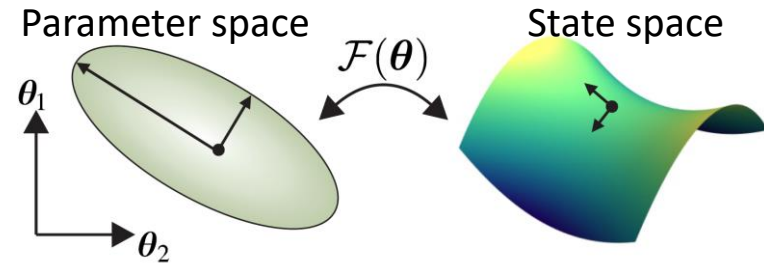


# Data quantum Fisher information metric

- Quantum Fisher information metric: Describes how change in parameter  $\theta$  affects quantum state (“Hessian of fidelity”)

$$\mathcal{F}_{nm}(|\psi(\theta)\rangle) = 4\text{Re}(\langle \partial_n \psi | \partial_m \psi \rangle - \langle \partial_n \psi | \psi \rangle \langle \psi | \partial_m \psi \rangle)$$

$$|\langle \psi(\theta) | \psi(\theta + d\theta) \rangle|^2 = 1 - \frac{1}{4} \mathcal{F}_{nm} d\theta^n d\theta^m$$

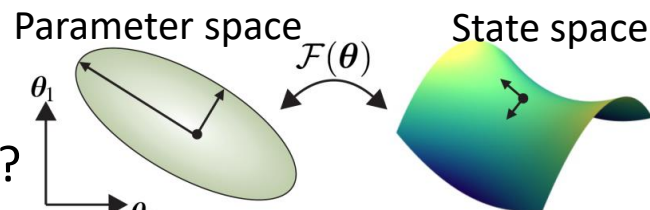


- Maximal rank of  $\mathcal{F}_{nm}$  determines overparameterization
- Problem: Incomplete, does not characterize learning from  $L > 1$  datapoints
- Solution: We introduce “Data quantum Fisher information metric” (DQFIM)

$$Q_{nm}(S_L, U(\theta)) = 4\text{Re}(\text{tr}(\partial_n U^\dagger \tilde{\Pi}_L \partial_m U) - \text{tr}(\partial_n U^\dagger \tilde{\Pi}_L U) \text{tr}(U^\dagger \tilde{\Pi}_L \partial_m U))$$

- “Quantum Fisher information metric for parameterized unitary  $U(\theta)$  projected onto dataset  $\tilde{\Pi}_L$ ”
- $L=1$  reduces to quantum Fisher information metric

# Overparameterization and rank of DQFIM

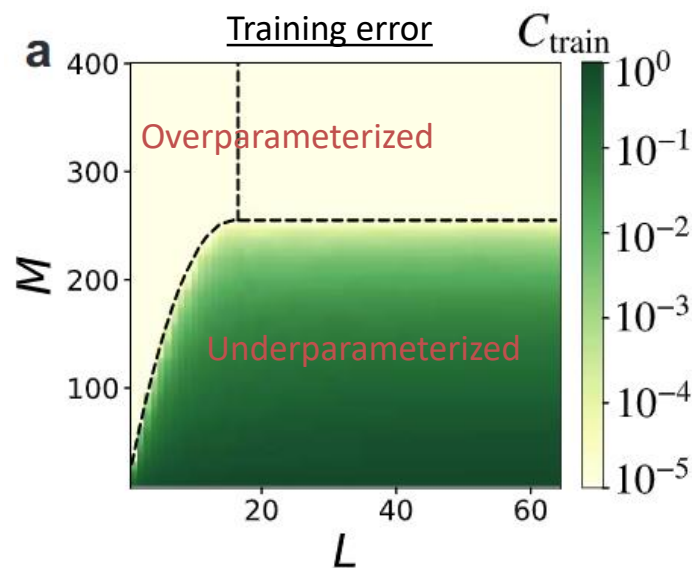
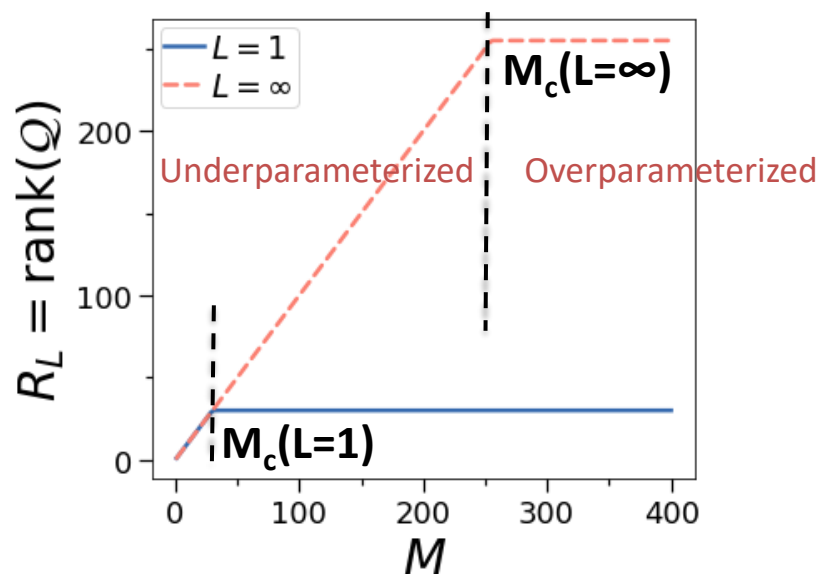


How many circuit parameters  $\mathbf{M}$  to overparameterize?

- Increase  $\mathbf{M}$  until rank of DQFIM becomes maximal, at  $\mathbf{M}_c(\mathbf{L})$ : Here, variation of  $\boldsymbol{\theta}$  explores every direction of unitary space projected onto data
- Critical number of circuit parameters  $\mathbf{M}_c(\mathbf{L})$  to overparameterize

$$R_L = \max_{M \geq M_c, \boldsymbol{\theta}} \text{rank}[Q(S_L, U(\boldsymbol{\theta}, M))]$$

- $M_c(L) \sim R_L$



# Generalization and rank of DQFIM

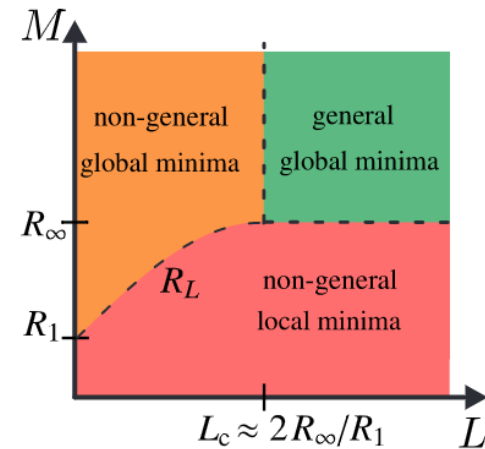
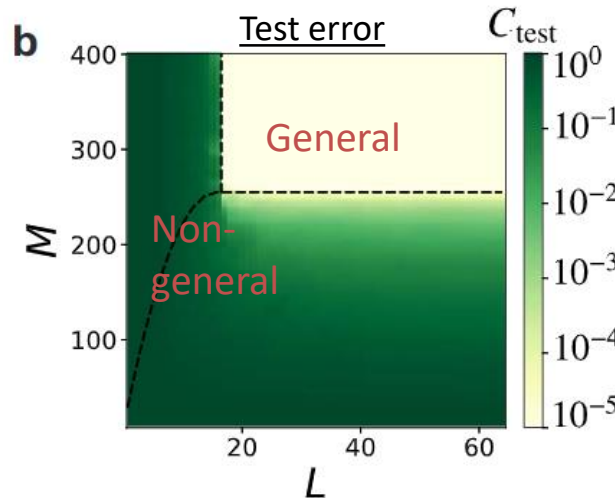
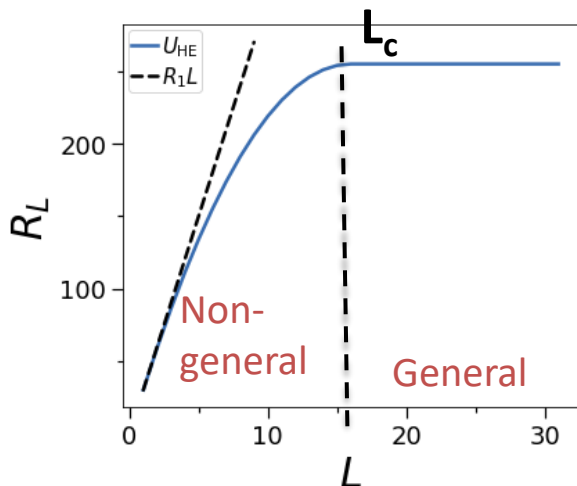
- Dataset size  $L_c$  to generalize?
- Rank DQFIM  $R_L$  describes degrees of freedom we can learn with dataset size  $L$
- Increase  $L$  until rank of DQFIM  $R_L$  becomes maximal, at  $L_c$

$$R_\infty = \max_{L \geq L_c} R_L(S_L, U)$$

- Maximal rank of DQFIM  $\rightarrow$  Dataset has complete information  $\rightarrow$  Generalisation

(We assume data without noise)

- Approximation:  $L_c \approx 2R_\infty/R_1$ 
  - $L_c \sim 2 \cdot$  "Total degrees of freedom" / "Degrees of freedom per state"





# Learning unitaries and dynamical Lie algebra

- Dynamical Lie algebra characterizes dimensionality of unitary
- We prove upper bounds on rank  $R_L$  in terms of dynamical Lie algebra

**Theorem 1.** *The maximal rank  $R_L$  is bounded by the dimension of the dynamical Lie algebra (DLA)*

$$R_L \leq \dim(\mathfrak{g}), \quad (10)$$

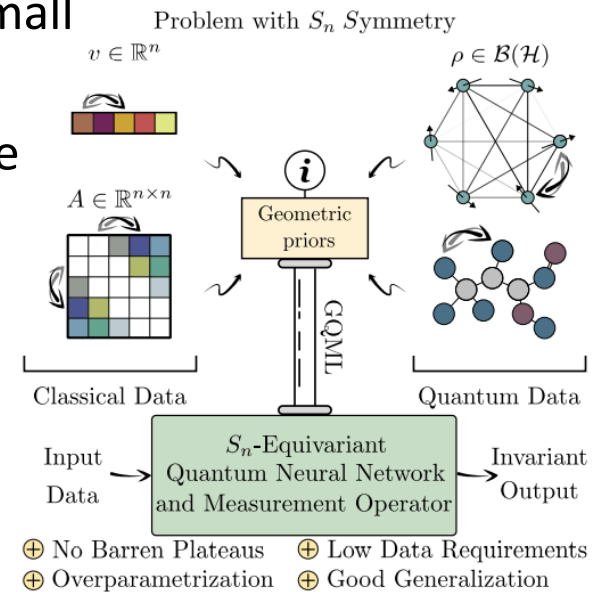
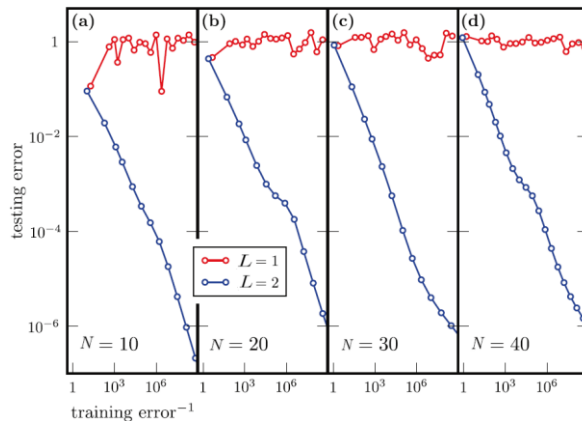
where  $\mathfrak{g} = \text{span} \langle iH_1, \dots, iH_K \rangle_{Lie}$  is generated by the repeated nested commutators of the generators  $H_k$  of  $U(\boldsymbol{\theta})$ .

→ Polynomial sized dynamical Lie algebra implies generalisation with polynomial depth and dataset size

$$\dim(\mathfrak{g}) \sim \text{poly}(N) \rightarrow \begin{aligned} M_c &\sim \text{poly}(N) \\ L_c &\sim \text{poly}(N) \end{aligned}$$

# Symmetries and QML

- QML problems with symmetries
- Encode symmetries into ansatz circuit and data → Small dynamical Lie algebra
- Previous numerics found  $L_c = \text{const}$  data to generalize



- Explained with DQFIM: Small dynamical Lie algebra implies low rank of DQFIM
- $L_c \approx 2R_\infty / R_1 = \text{const}$

C. Matthias et al. "Generalization in quantum machine learning from few training data." *Nature communications* 13.1 (2022): 4919.

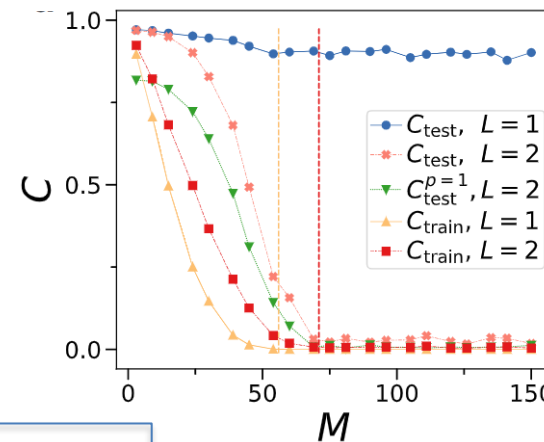
J. Gibbs, et al. "Dynamical simulation via quantum machine learning with provable generalization" *arXiv:2204.10269*

M. Larocca, et al. "Group-invariant quantum machine learning." *PRX Quantum* 3.3 (2022): 030341.

J.J. Meyer, et al. "Exploiting symmetry in variational quantum machine learning." *PRX Quantum* 4.1 (2023)

# Are symmetries always good?

- Symmetry operator  $P$
- Parameterized unitary respects symmetry  $[U(\boldsymbol{\theta}), P] = 0$
- Data
  - Symmetric  $P|\psi_i\rangle = |\psi_i\rangle$
  - Non-symmetric  $P|\psi_i\rangle \neq |\psi_i\rangle$
- Generalization for excitation number symmetry:
  - Symmetric data:  $L_c \sim N$
  - Non-symmetric:  $L_c \sim 2$  datapoints  $\rightarrow$  no symmetry better!



$\rightarrow$  Encoding symmetry of problem onto data may hurt generalization!

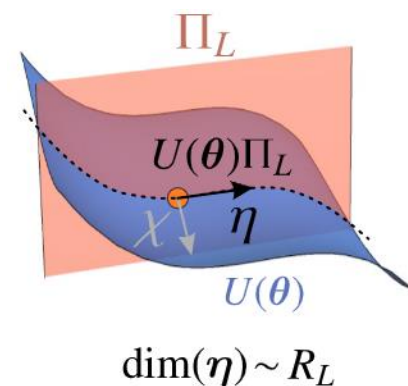
$\rightarrow$  Why? Non-symmetric data “explores” more degrees of freedom of unitary

- Catch: Non-symmetric data needs more circuit parameters  $\mathbf{M}_c$

We also show: Out-of-distribution learning (train and test data from different distributions) can generalize better than in-distribution (train and test data from same distribution)

# Conclusion

- “Data quantum Fisher information metric” (DQFIM) provides complete theory for generalisation and overparameterization for learning unitaries
- More symmetries may need more data to generalise
- Out-of-distribution learning can be superior



- Next
  - Extension beyond learning unitary problem
  - Effect of noise
  - Classify symmetries with constant dataset size for generalisation?
  - Phase transition in generalization?
  - Combine with information-theoretic perspectives?

