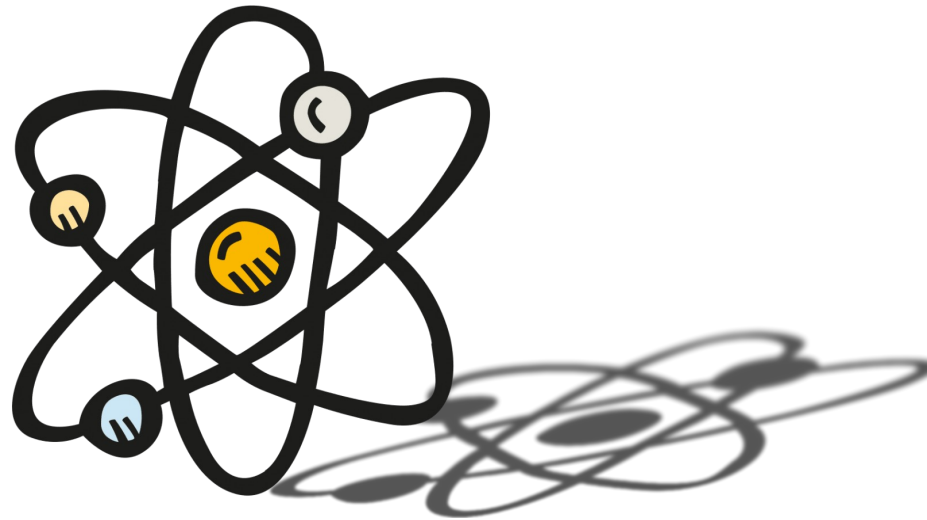


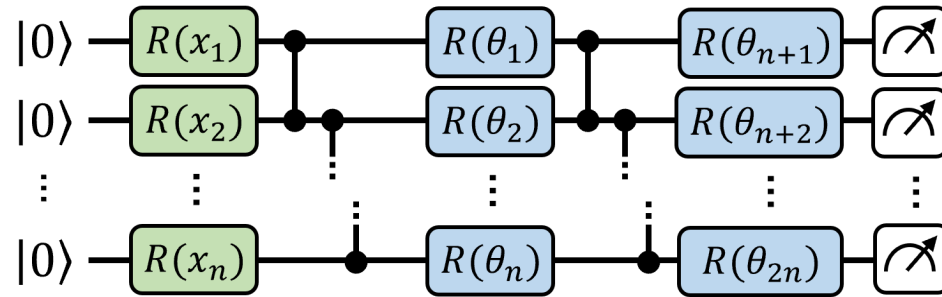
Shadows of Quantum Machine Learning

Sofiene Jerbi, Casper Gyurik, Simon Marshall, Riccardo Molteni, Vedran Dunjko

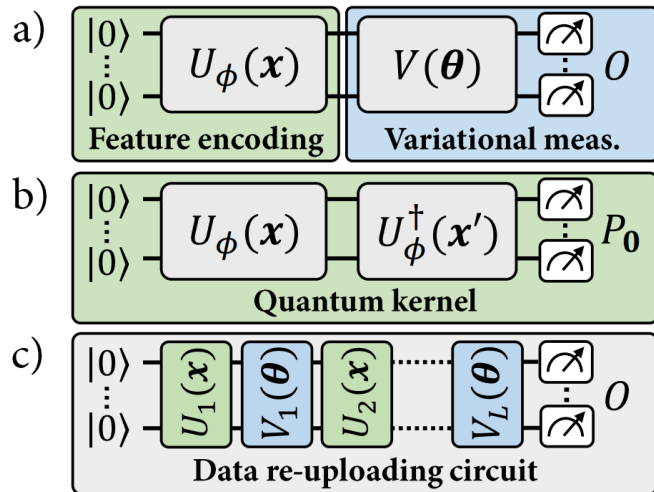
arXiv:2306.00061



Quantum machine learning models



Various models:



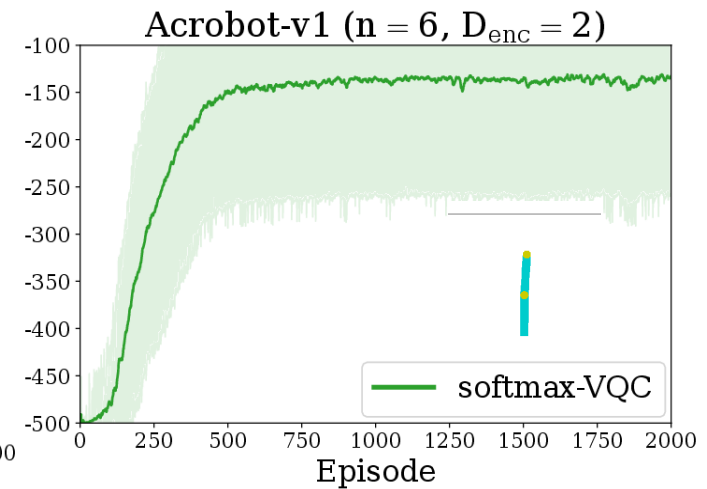
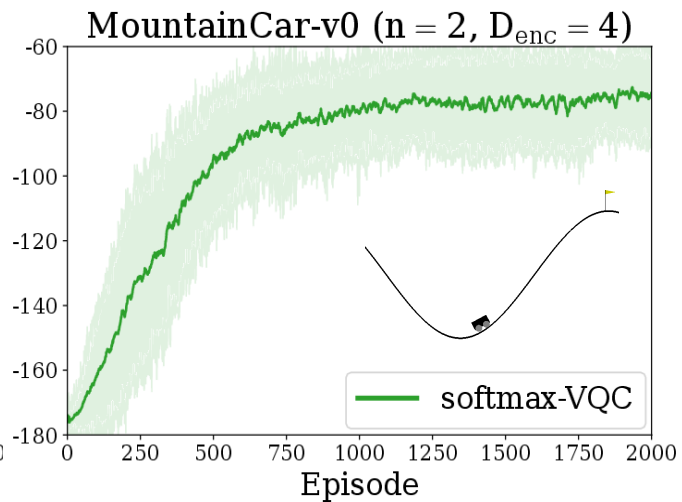
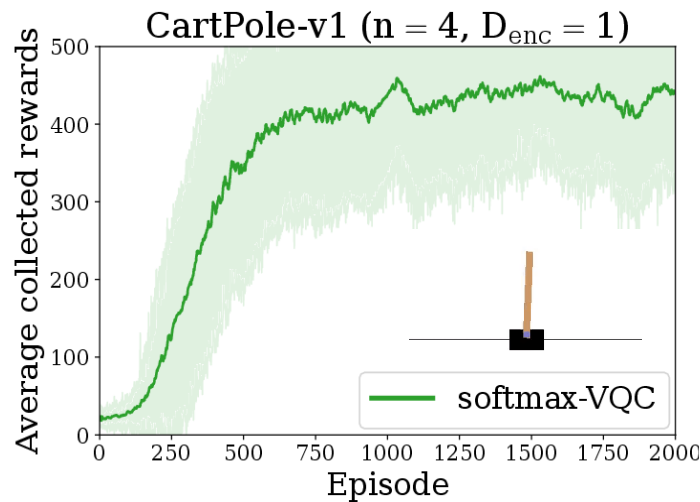
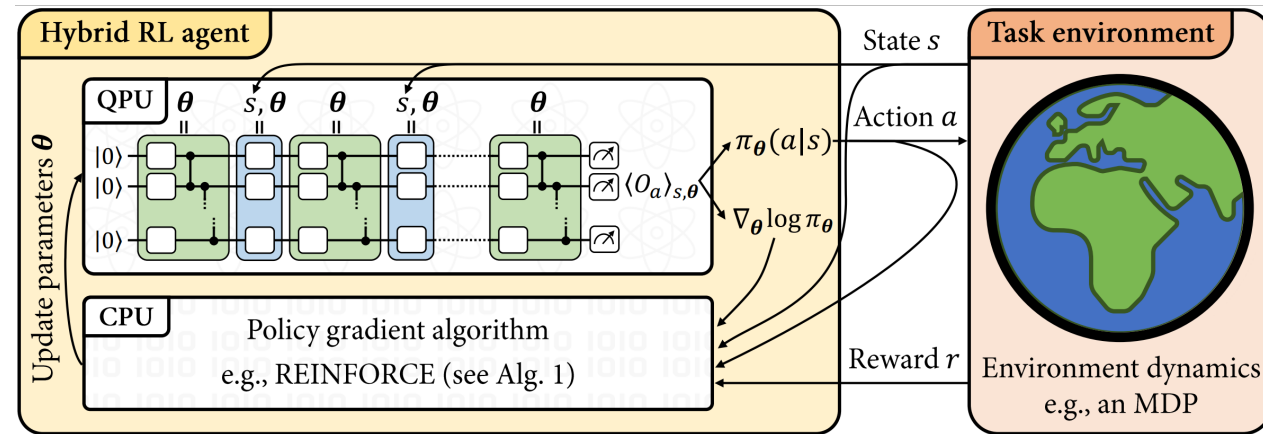
Various names:

Parametrized quantum circuits
 Variational quantum circuits
 Quantum neural networks

Various applications:

- Classification:
 - ✓ Havlíček *et al.*, *Nature* 2019
 - ✓ Schuld *et al.*, *PRL* 2019
- Regression:
 - ✓ Mitarai *et al.*, *PRA* 2018
- Generative modeling:
 - ✓ Liu *et al.*, *PRA* 2018
- Reinforcement learning:
 - ✓ Chen *et al.*, *IEEE* 2020
 - ✓ Jerbi *et al.*, *NeurIPS* 2021

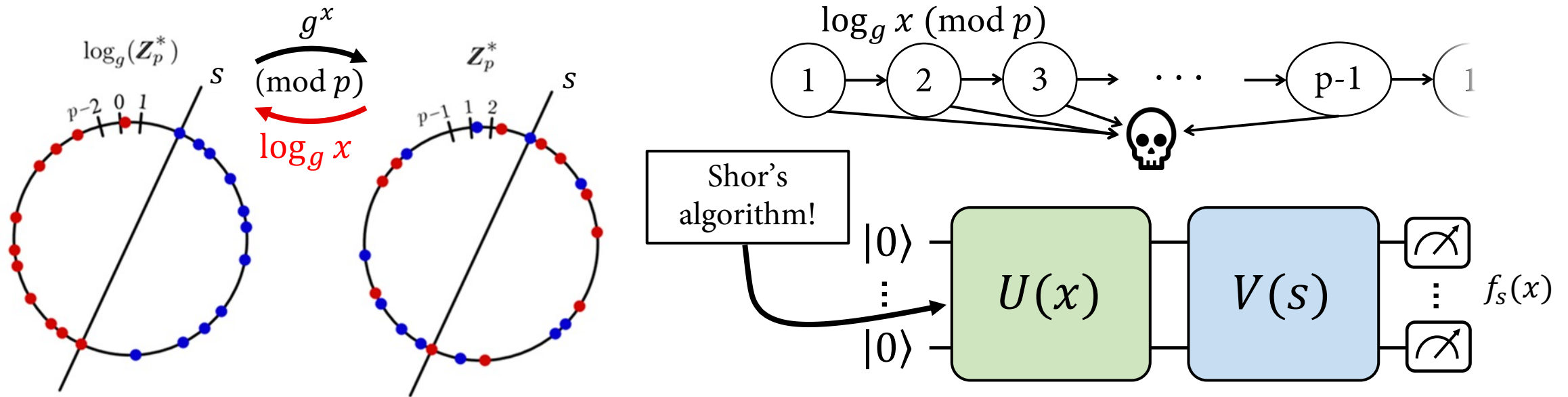
Promising empirical performance



Jerbi, Gyurik, Marshall, Briegel & Dunjko, **Parametrized quantum policies for reinforcement learning**, NeurIPS 2021

Provable quantum advantage

- Learning tasks with a **provable** quantum advantage over any classical learner:



Theorem (informal). There exist learning tasks where:

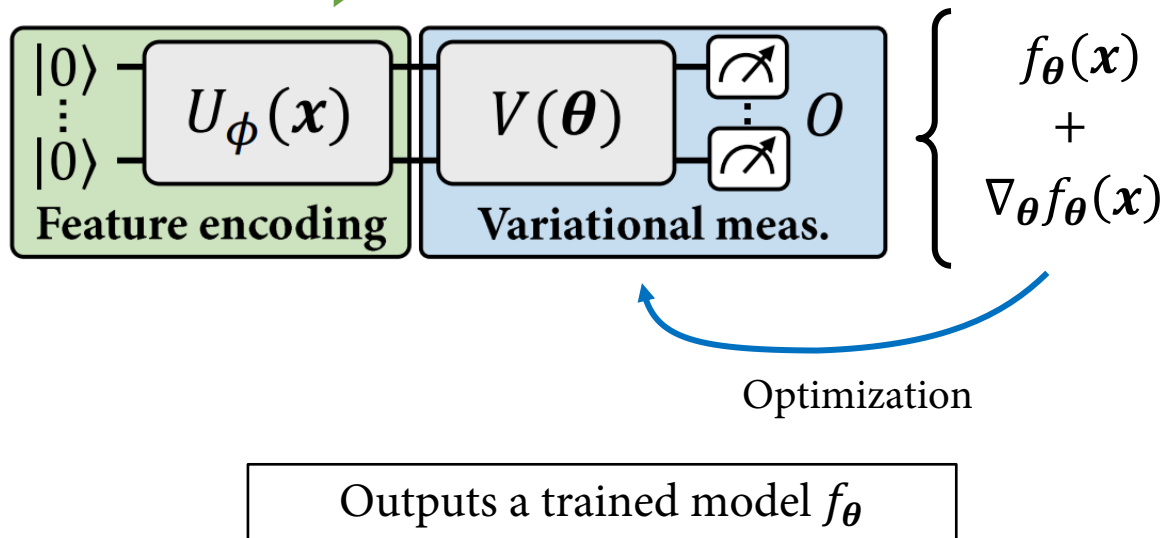
1. Quantum agents can achieve close-to-optimal performance with high prob.
2. Classical agents cannot achieve a performance (much) better than random, under hardness of \log_g

Liu, Arunachalam & Temme, **A rigorous and robust quantum speed-up in supervised machine learning**, Nature Physics (2021)

Making use of QML

Training phase

Given data $\mathcal{D} = \{(\mathbf{x}^{(1)}, g(\mathbf{x}^{(1)})), \dots, (\mathbf{x}^{(M)}, g(\mathbf{x}^{(M)}))\}$,
fit the model f_{θ} to the function g



Deployment phase

Evaluate the model f_{θ} on new data points \mathbf{x}

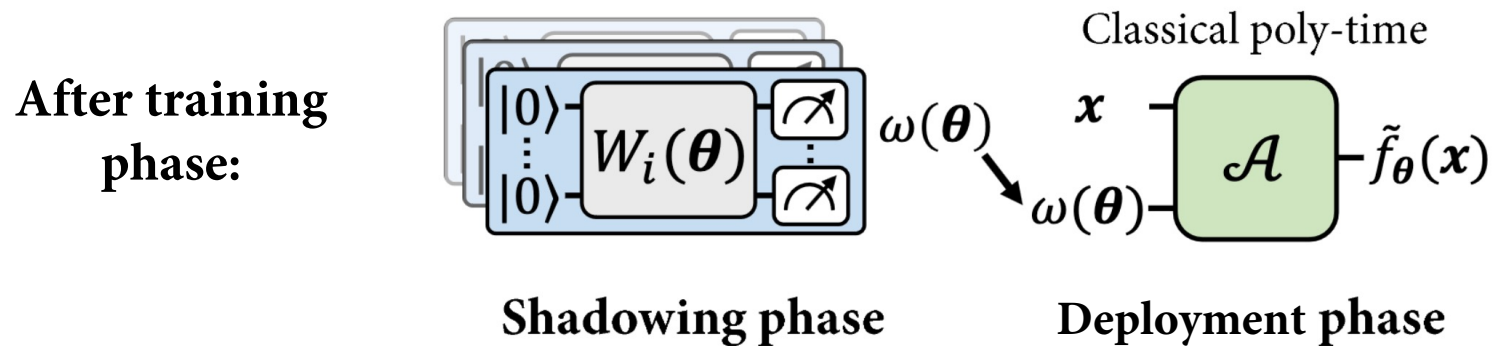


Problem: evaluation still needs a quantum computer!

Shadow models

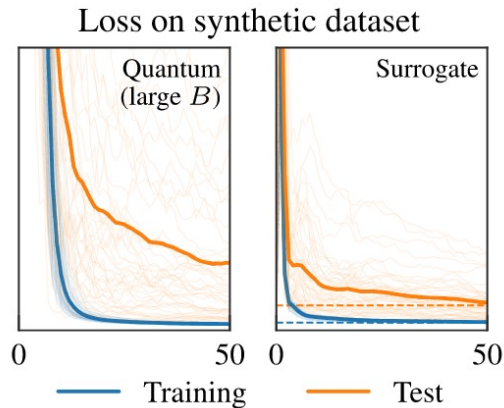
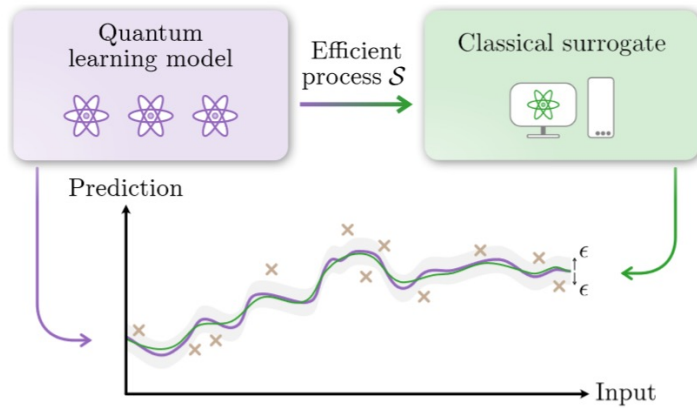
Main question:

Can we design QML models that are **trained** on a **quantum computer**, but, after data collection (or “shadowing”) phase, can later be **evaluated** on new data **classically**?



Classical surrogates

An existing proposal:



Based on Fourier representation of quantum models:

$$f_{\theta}(\mathbf{x}) = \sum_{\omega \in \Omega} c_{\omega}(\theta) e^{-i\omega \cdot \mathbf{x}}, \quad \mathbf{x} \in \mathbb{R}^d$$

Simply learn the coefficients $c_{\omega}(\theta)$!

Sample complexity: $\tilde{O}\left(\frac{\omega_M^d \|O\|_{\infty}^2}{\epsilon^2}\right)$

Max frequency \swarrow

To guarantee: $|\tilde{f}_{\theta}(\mathbf{x}) - f_{\theta}(\mathbf{x})| \leq \epsilon \quad \forall \mathbf{x} \in \mathbb{R}^d$

But also suggests that surrogate trained directly can outperform!

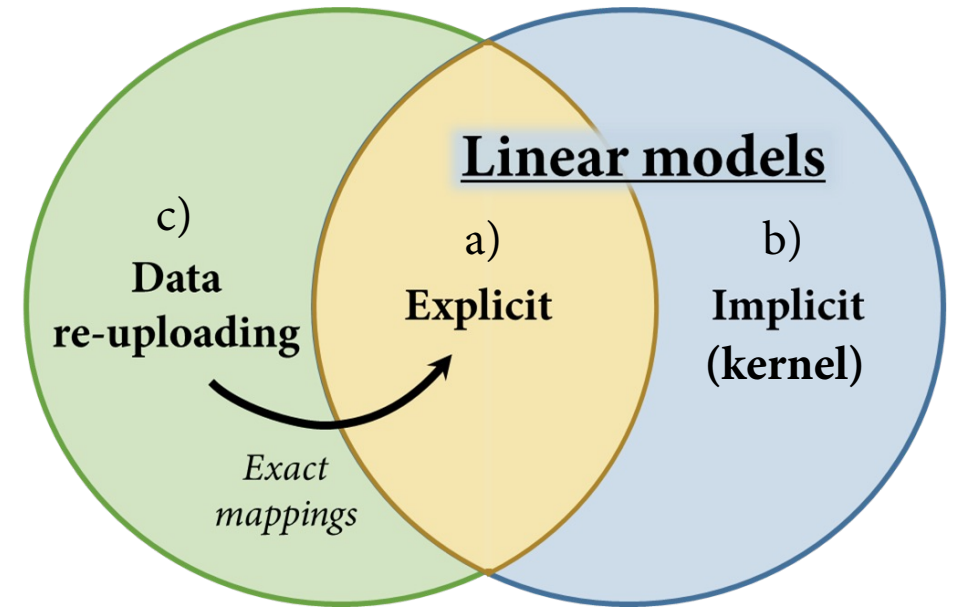
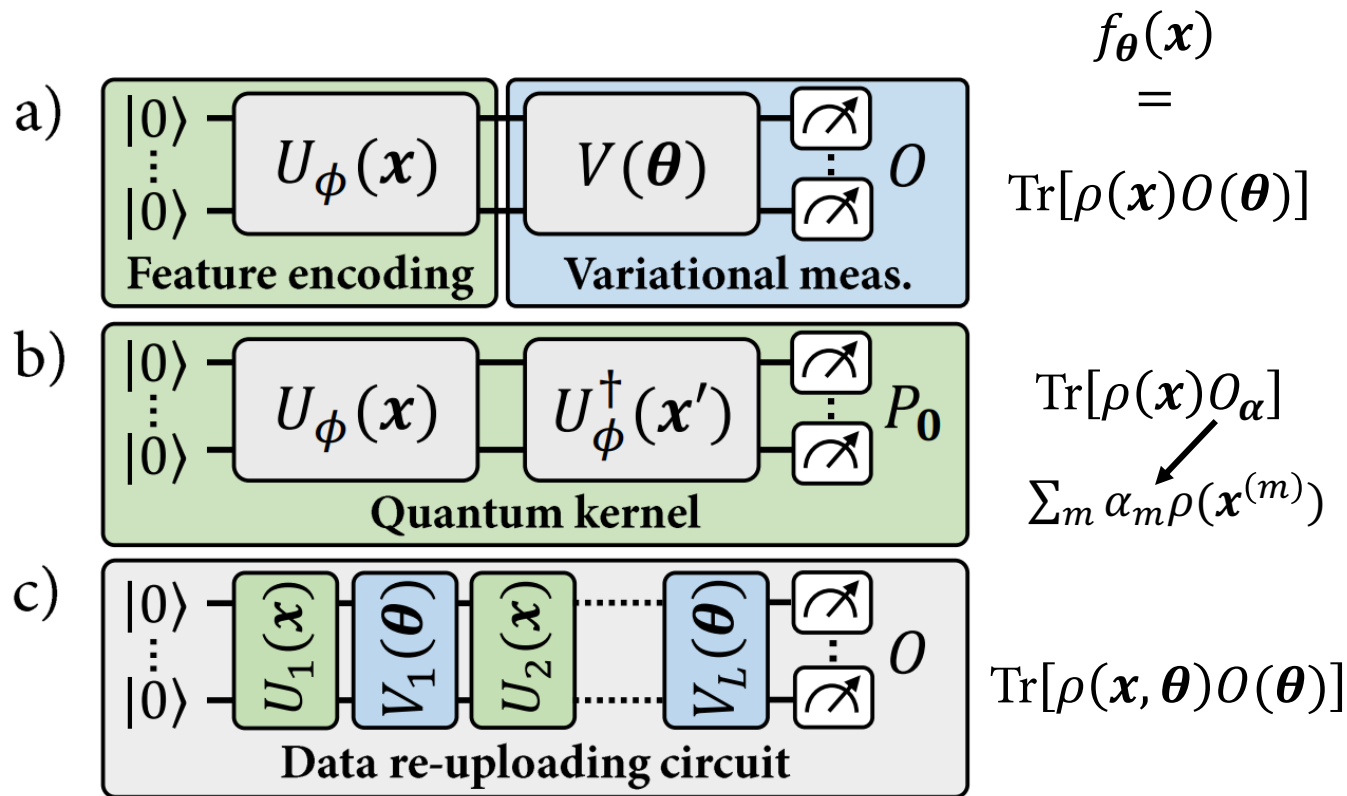
Schreiber, Eisert & Meyer, **Classical surrogates for quantum learning models**. PRL (2023)

Shadow models

Main questions (revisited):

- Q1. Can shadow models achieve a **quantum advantage** over entirely classical (classically trained and classically evaluated) models?
- Q2. Do there exist quantum models that **do not admit shadow models**?

QML models are linear models

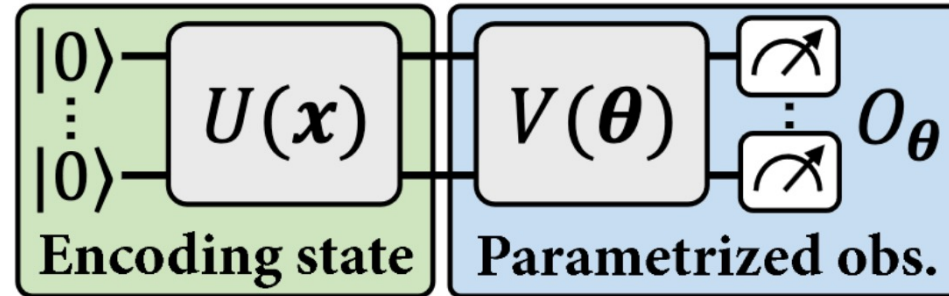


Jerbi, ..., Briegel & Dunjko, **Quantum machine learning beyond kernel methods**. Nature Communications (2023)

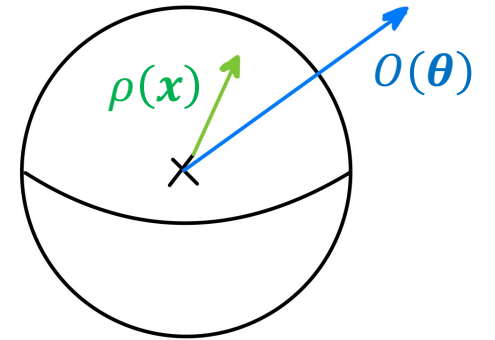
From explicit model to flipped model

Explicit model

$$f_{\theta}(x) = \text{Tr}[\rho(x)O(\theta)]$$

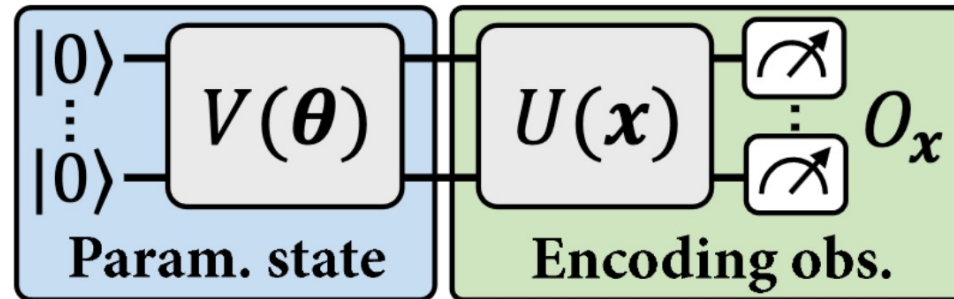


$$\rho(x) = |\psi(x)\rangle\langle\psi(x)| \quad O(\theta) = V^\dagger(\theta)O_\theta V(\theta)$$

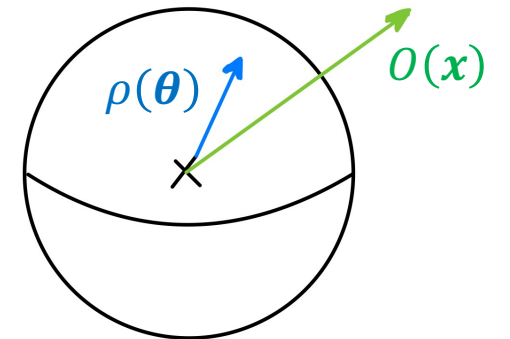


Flipped model

$$f_{\theta}(x) = \text{Tr}[\rho(\theta)O(x)]$$

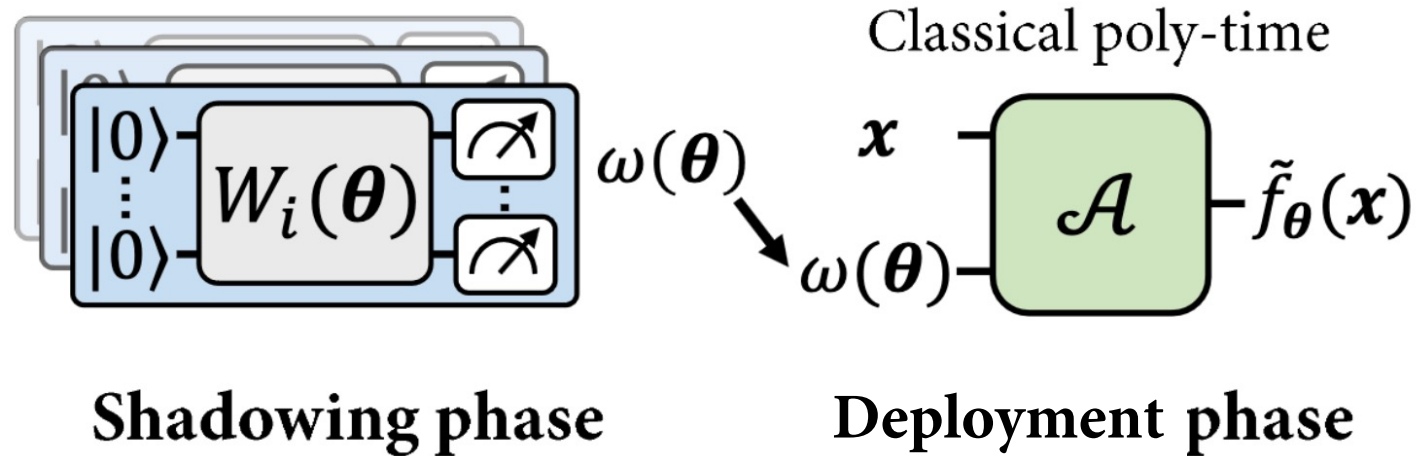


$$\rho(\theta) = |\psi(\theta)\rangle\langle\psi(\theta)| \quad O(x) = U^\dagger(x)O_x U(x)$$

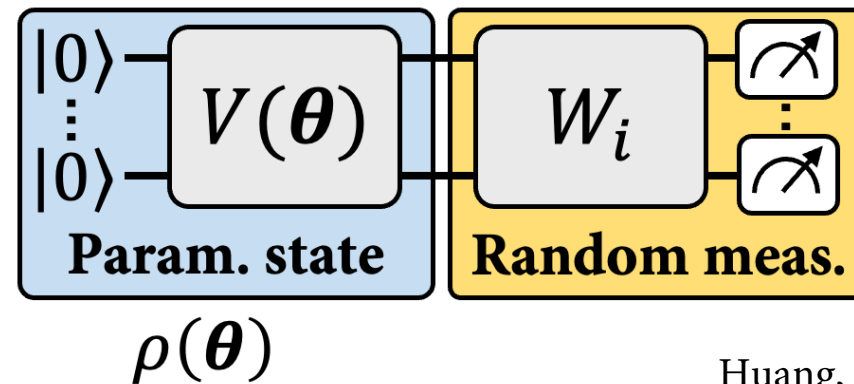


Shadows of flipped models

After training phase:



E.g., for flipped models:

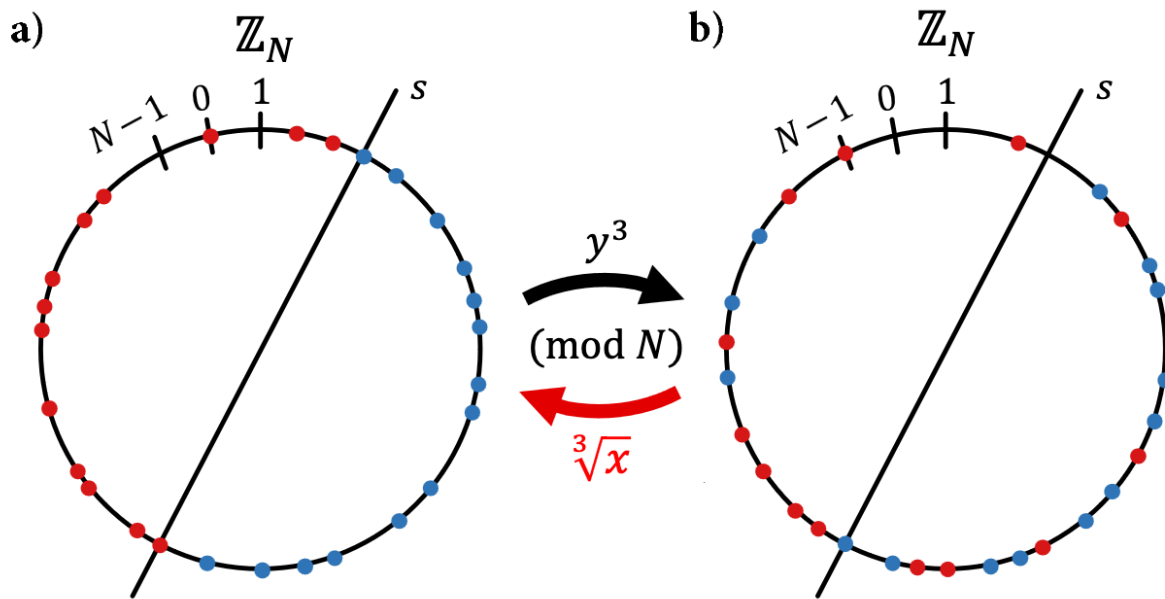


Construct a classical shadow $\hat{\rho}(\theta) = \omega(\theta)$
 That allows to estimate
 $\tilde{f}_\theta(x) \approx \text{Tr}[\rho(\theta)O(x)]$
 for a certain family $\{O(x)\}_x$

Huang, Kueng & Preskill, **Predicting many properties of quantum states from very few measurements**, Nature Physics (2020)

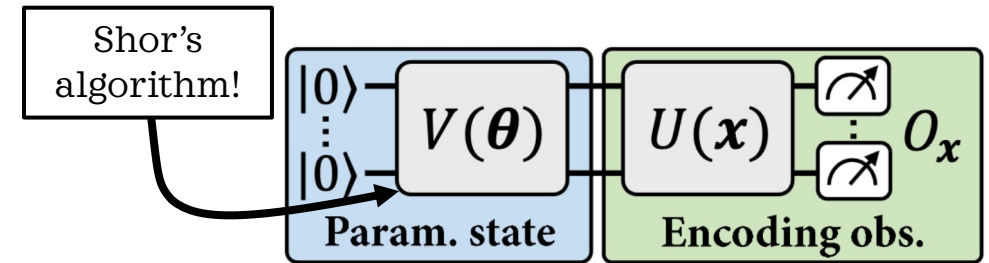
Q1: Quantum advantage with shadow models

- Cannot construct a shadow model for the discrete-log learning task $\left(\begin{array}{l} \text{Flipping leads to non-classically-} \\ \text{evaluable } O(\mathbf{x}) \end{array} \right)$
- Turn instead to another learning task:



Cube root has a **trap door**:
 $\exists d$ such that $x^d \bmod N = \sqrt[3]{x} \bmod N$

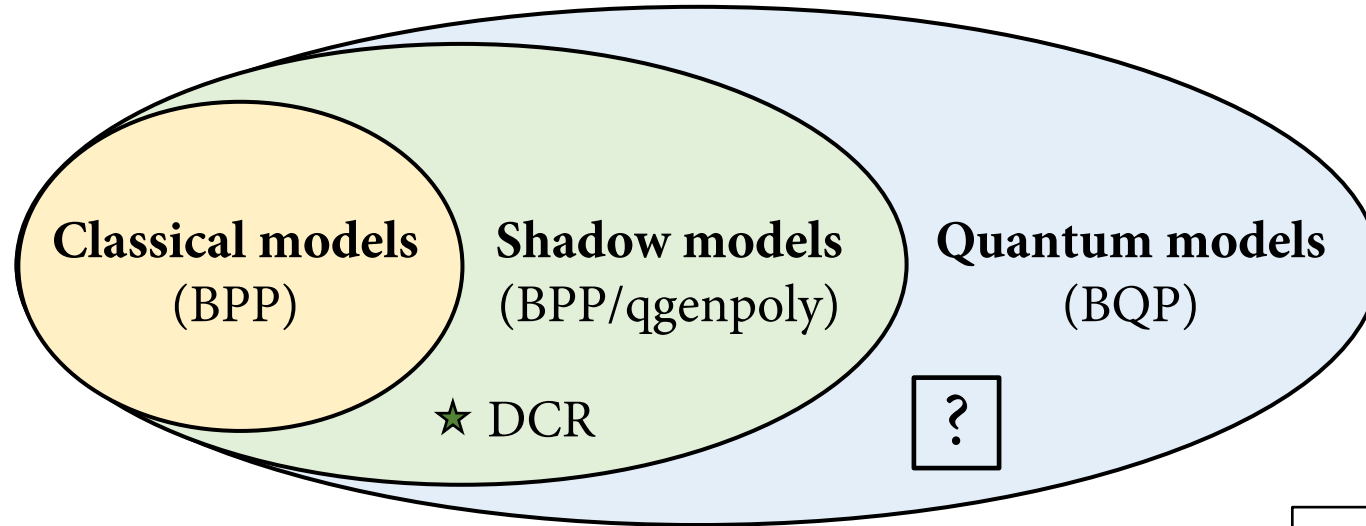
A shadowifiable flipped model solves the task!



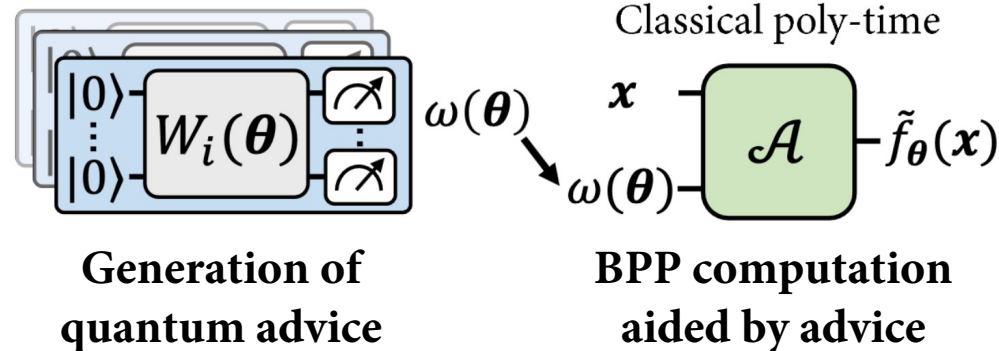
$$\rho(\theta) = |d, s\rangle\langle d, s|$$

$$O(\mathbf{x}) = \sum_{d,s} (x^d \bmod N) |d, s\rangle\langle d, s|$$

A view from complexity theory



BPP/qgenpoly:
 (\subset BPP/poly = P/poly)

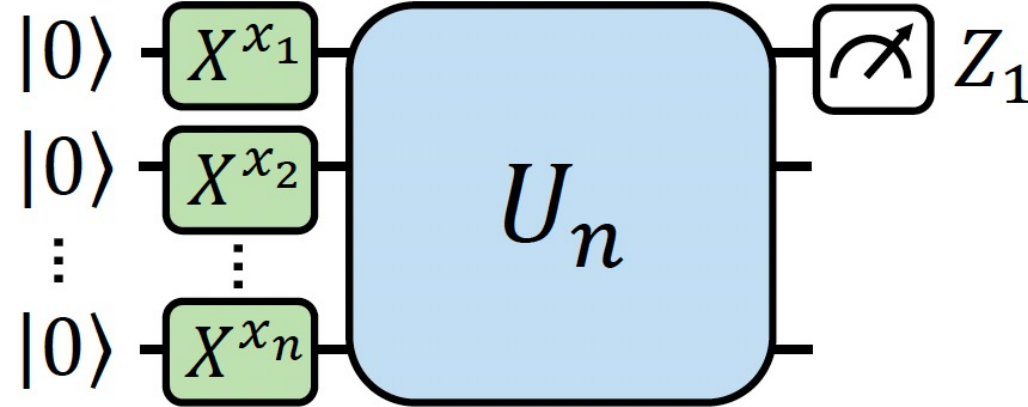


Side remark:
A classical surrogate that does not use QC is in BPP.

[1] Schreiber *et al.*, PRL 2023
 [2] Landman *et al.*, ICLR 2023
 [3] Rudolph *et al.*, 2308.09109
 [4] Sweke *et al.*, 2309.11647

Q2: Limitations of shadow models

Easy to construct a universal model for BQP:

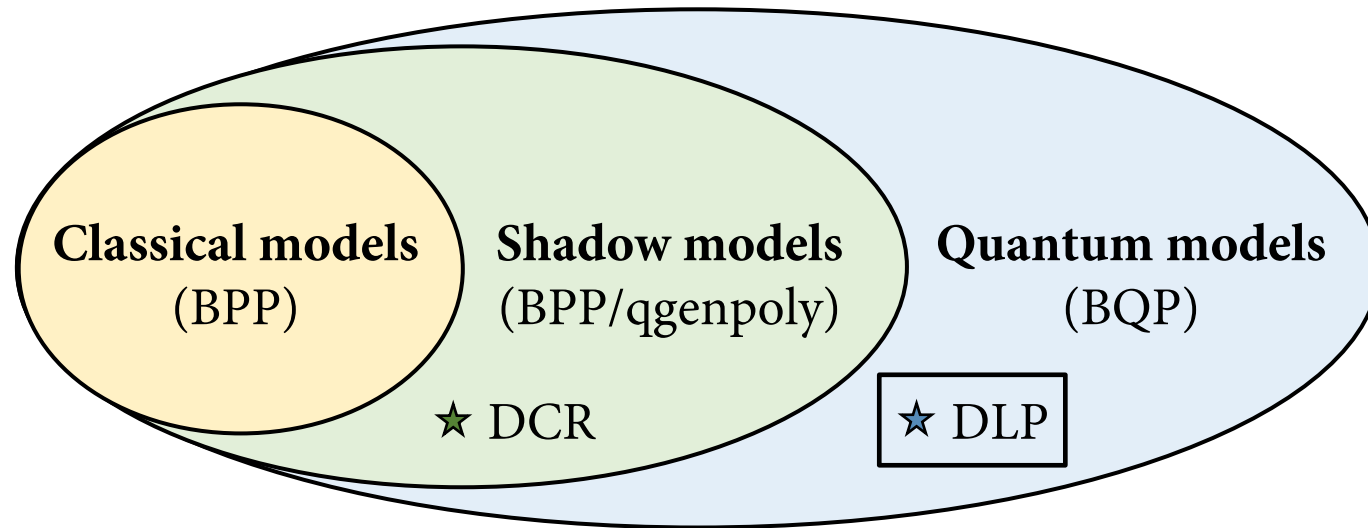


If this BQP-complete model is in BPP/qgenpoly \Rightarrow BQP \subseteq P/poly Very unlikely

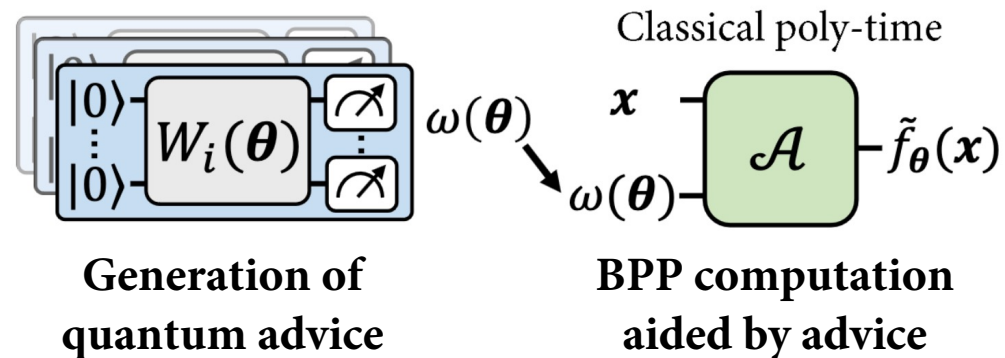
Similarly,

If any model for DLP is in BPP/qgenpoly \Rightarrow DLP \subseteq P/poly Very unlikely

A view from complexity theory



BPP/qgenpoly:
 $(\subset \text{BPP/poly} = \text{P/poly})$



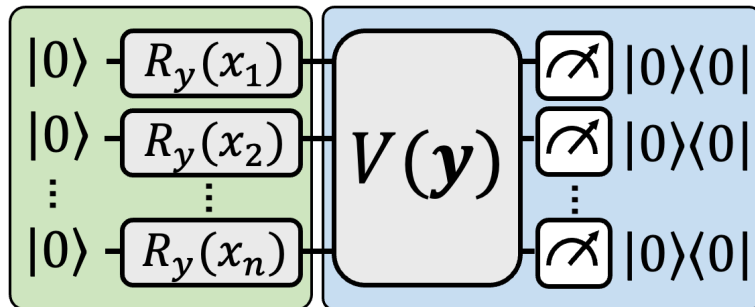
Non Fourier shadow models?

- Bonus question: do there exist models that are not efficiently Fourier shadowfiable?

Black-box queries of $f_{\theta}(\mathbf{x})$ (up to add. error) to learn the coefficients $c_{\omega}(\theta)$

$$f_{\theta}(\mathbf{x}) = \sum_{\omega \in \Omega} c_{\omega}(\theta) e^{-i\omega \cdot \mathbf{x}}, \quad \mathbf{x} \in \mathbb{R}^n$$

Take:



To guarantee: $|\tilde{f}_{\theta}(\mathbf{x}) - f_{\theta}(\mathbf{x})| \leq 1/4 \quad \forall \mathbf{x} \in \mathbb{R}^n$

Sample complexity: $\Omega(2^n)$ v.s. $\tilde{O}\left(\frac{\omega_M^n \|O\|_{\infty}^2}{\varepsilon^2}\right)$

Easy to see for $\mathbf{x} \in \left\{0, \frac{\pi}{2}\right\}^n$, it's a Grover oracle.

$$f_{\mathbf{y}}(\mathbf{x}) = \text{Tr}[\rho(\mathbf{x})O(\mathbf{y})], \quad \mathbf{x} \in \mathbb{R}^n, \mathbf{y} \in \{0,1\}^n$$

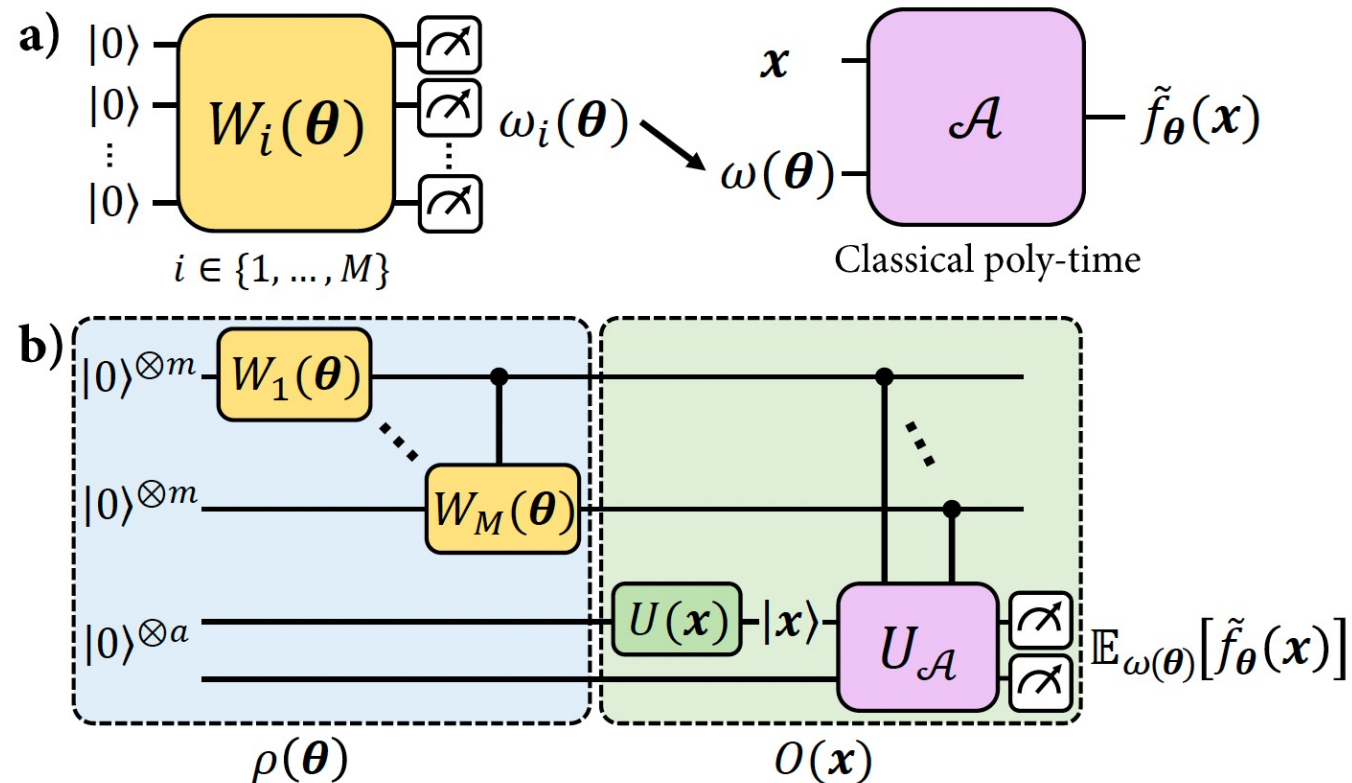
$$\rho(\mathbf{x}) = \bigotimes_{i=1}^n R_y(x_i) |0\rangle\langle 0| R_y^{\dagger}(x_i)$$

$$O(\mathbf{y}) = |\mathbf{y}\rangle\langle \mathbf{y}| \quad \text{or, e.g., } O(\mathbf{y}) = U_{DLP} |\mathbf{y}\rangle\langle \mathbf{y}| U_{DLP}^{\dagger}$$

But trivially shadowfiable when flipped!

All shadowfiable models are shadowfiable flipped models

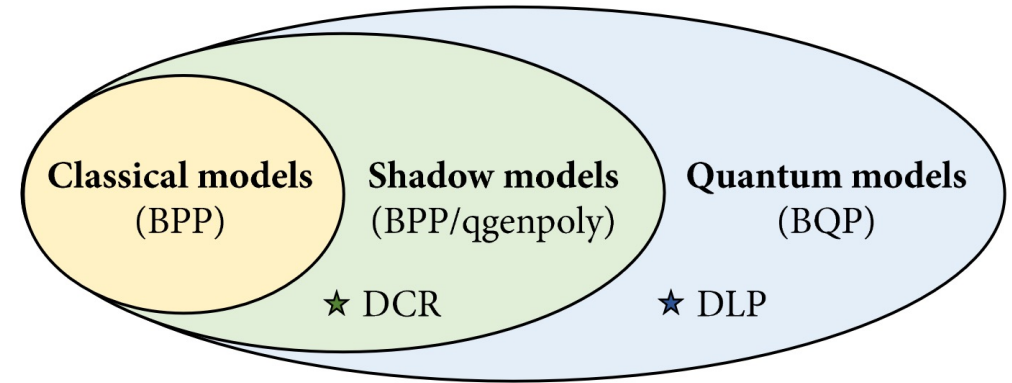
- Bonus answer: Flipped models are shadow-universal
- Proof:



Summary

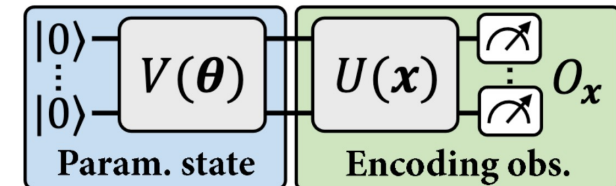
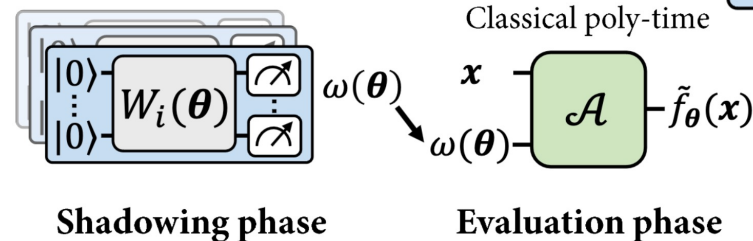
Main questions:

- Q1. Can shadow models achieve a **quantum advantage** over entirely classical models?
- Q2. Do there exist quantum models that **do not admit shadow models**?



Outlook

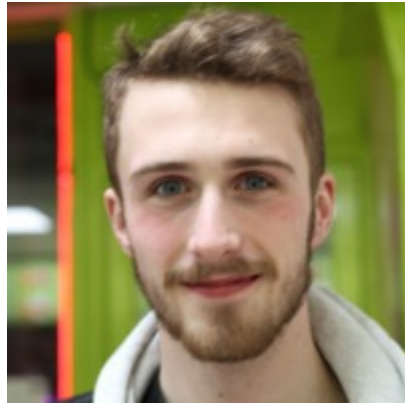
- How do (shadowfiable) flipped models do in practice?
- New designs for shadow models?



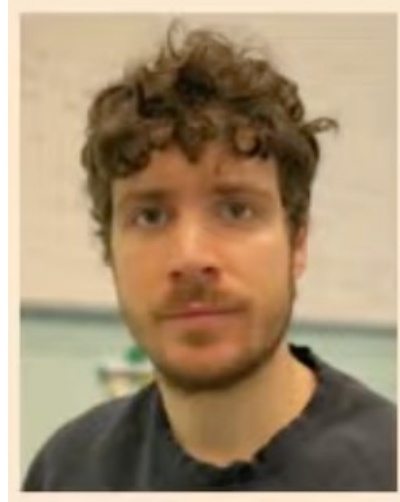
Special thanks



Casper Gyurik



Simon Marshall



Riccardo Molteni



Vedran Dunjko



Generalization performance

- Learning performance:

$$\underbrace{\hat{\mathcal{L}}(f_{\boldsymbol{\theta}})}_{\text{Training loss}} \quad \text{v. s.} \quad \underbrace{\mathcal{L}_{\gamma}(f_{\boldsymbol{\theta}})}_{\text{Expected loss}}$$
$$\max_{m \in \{1, \dots, M\}} |f_{\boldsymbol{\theta}}(\mathbf{x}^{(m)}) - g(\mathbf{x}^{(m)})| \quad \Pr_{\mathbf{x} \in X} [|f_{\boldsymbol{\theta}}(\mathbf{x}) - g(\mathbf{x})| > \gamma]$$

- For a flipped model: $f_{\boldsymbol{\theta}}(\mathbf{x}) = \text{Tr}[\rho(\boldsymbol{\theta})O(\mathbf{x})]$

For $\hat{\mathcal{L}}(f_{\boldsymbol{\theta}}) = \eta$,

if the training set size $M \geq \tilde{O}\left(\frac{n\|O\|_{\infty}}{\varepsilon(\gamma-\eta)^2}\right)$

then $\mathcal{L}_{\gamma}(f_{\boldsymbol{\theta}}) \leq \varepsilon$

Flipping bounds

- **Lower bound:**

There exist explicit models $\text{Tr}[\rho(\mathbf{x})O(\boldsymbol{\theta})]$, with $\|O\|_1 = d$ and $n = \mathcal{O}(\log d)$ qubits, such that, for any flipped model $\text{Tr}[\rho'(\boldsymbol{\theta})O'(\mathbf{x})]$, if

$$|\text{Tr}[\rho(\mathbf{x})O(\boldsymbol{\theta})] - \text{Tr}[\rho'(\boldsymbol{\theta})O'(\mathbf{x})]| \leq \varepsilon, \forall \mathbf{x}, \boldsymbol{\theta}$$

$$\text{then } m \|O'\|_\infty^2 \geq \Omega\left(d^2 \left(\frac{1}{2} - \varepsilon\right)^2\right)$$

- **Upper bound:**

We give an exact procedure ($\varepsilon = 0$) that uses $m = n + 1$ qubits and guarantees $\|O\|_\infty = \|O\|_1$.

Based on a renormalization of $O(\boldsymbol{\theta})$ and importance sampling.

