

# Transverse momentum dependent (TMD) factorization theorem

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**Overlap between QCD resummations**



**Aussois, Centre Paul Langevin  
January 14, 2024**

## Outline

### **PART I (15.01)**

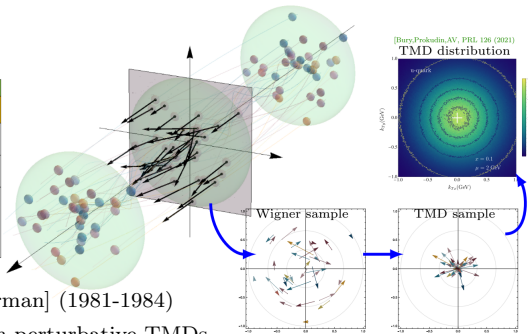
- ▶ TMD factorization theorem: conditions, and approaches
- ▶ TMD factorization theorem: structure
- ▶ Wilson lines and process (in)dependence
- ▶ Divergences
- ▶ TMD soft factor

### **PART II (16.01)**

- ▶ Renormalization of rapidity divergences
- ▶ Soft-rapidity correspondence
- ▶ Small- $b$  OPE
- ▶ Phenomenology



		Quark Polarization		
		Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1(x, k_T^2)$ <i>Unpolarized</i>		$h_1^+(x, k_T^2)$ - $h_1^-(x, k_T^2)$ <i>Boer-Mulders</i>
	L		$g_1(x, k_T^2)$ <i>Helicity</i>	$h_{1T}^+(x, k_T^2)$ - $h_{1T}^-(x, k_T^2)$ <i>Kozianin-Mulders, "worm" gear</i>
	T	$f_{1T}^+(x, k_T^2)$ <i>Sivers</i>	$g_{1T}(x, k_T^2)$ <i>Kozianin-Mulders, "worm" gear</i>	$h_1(x, k_T^2)$ - $h_2(x, k_T^2)$ <i>Transversity</i> $h_{1T}^+(x, k_T^2)$ - $h_{1T}^-(x, k_T^2)$ <i>Pretziosity</i>



- ▶ CSS=[Collins, Soper, Serman] (1981-1984)
- ▶ Sivers effect (1990) → non-perturbative TMDs
- ▶ Classification [Mulders, et al, 90's]
- ▶ Gauge structure, rapidity divergences, ... 00's
- ▶ Proof of TMD factorization [Collins,2011] [SCET,2009 - 2012]
- ▶ 2-loop [2015 – 2018], 3-loop [2019-2022], 4-loop [2022-..]
- ▶ Power corrections [2021-...]





Preprints: JLAB-THY-23-3780, LA-UR-21-20798, MIT-CTP/5386

## TMD Handbook

Renaud Boussarie<sup>1</sup>, Matthias Burkardt<sup>2</sup>, Martha Constantinou<sup>3</sup>, William Detmold<sup>4</sup>, Markus Ebert<sup>4,5</sup>, Michael Engelhardt<sup>2</sup>, Sean Fleming<sup>6</sup>, Leonard Gamberg<sup>7</sup>, Xiangdong Ji<sup>8</sup>, Zhong-Bo Kang<sup>9</sup>, Christopher Lee<sup>10</sup>, Keh-Fei Liu<sup>11</sup>, Simonetta Liuti<sup>12</sup>, Thomas Mehen<sup>13</sup>, Andreas Metz<sup>3</sup>, John Negele<sup>4</sup>, Daniel Pitonyak<sup>14</sup>, Alexei Prokudin<sup>7,16</sup>, Jian-Wei Qiu<sup>16,17</sup>, Abha Rajan<sup>12,18</sup>, Marc Schlegel<sup>2,19</sup>, Phiala Shanahan<sup>4</sup>, Peter Schweitzer<sup>20</sup>, Iain W. Stewart<sup>4</sup>, Andrey Tarasov<sup>21,22</sup>, Raju Venugopalan<sup>18</sup>, Ivan Vitev<sup>10</sup>, Feng Yuan<sup>23</sup>, Yong Zhao<sup>24,4,18</sup>

ArXiv: 2304.03302

- ▶ 350+ pages on TMD factorization and related topic
- ▶ Good introduction to the topic [with a strong SCET flavor]
- ▶ Various topics, from definitions to models, small-x, sub-leading power, etc.



# TMD factorization



### CSS-approach

- ▶ Method of regions
- ▶ Diagram-based
- ▶ Particular regularization
- ▶  $\sqrt{\text{soft-factors}}$
- ▶ **difficult to generalize**
- ▶ [Collins:2011zzd]

### SCET-approach

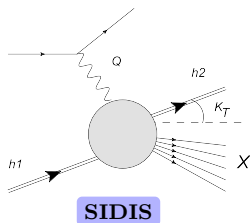
- ▶ Modes defined by method of regions
- ▶ SCET operators
- ▶  $\sqrt{\text{soft-factor}}$
- ▶ **Mostly unpolarized case**
- ▶ [Becher:2010tm]
- ▶ [Echevarria:2011epo]

### Background field-approach

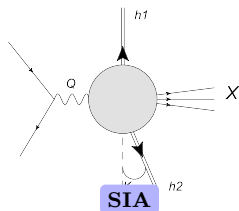
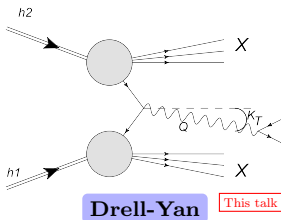
- ▶ Modes defined by physics
- ▶ QCD with 2 background fields
- ▶ **Renormalization of rap.div**
- ▶ [Vladimirov:2021hdn]

- ▶ All approaches agrees with each other (in physical terms)
- ▶ The main difference is the treatment of the soft-factor/contribution/rapidity divergences
- ▶ I will discuss using **background field**-approach (because it is clearer and simpler)





### Standard TMD processes



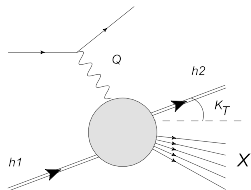
$q^2 = \pm Q^2$  momentum of hard probe  
 $q_T^\mu$  transverse component

### Hadron tensor:

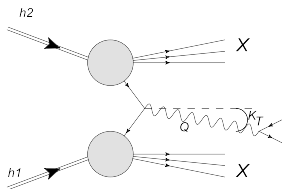
$$W^{\mu\nu}(q) = \int d^4y e^{-i(qy)} \langle p_1, p_2 | J^\mu(y) | X \rangle \langle X | J^\nu(0) | p_1, p_2 \rangle, \quad J^\mu = \bar{q} \gamma^\mu q$$



## Standard TMD processes

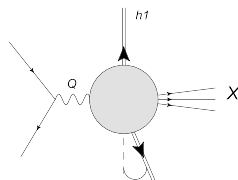


SIDIS



Drell-Yan

This talk



SIA

$q^2 = \pm Q^2$  momentum of hard probe  
 $q_T^\mu$  transverse component

### Limit of TMD factorization

$$Q \rightarrow \infty, \quad s \rightarrow \infty, \quad \text{such that} \quad \frac{Q^2}{s} = \text{const}$$

$$q_T = \text{finite}$$

---


$$\frac{q_T}{Q} \rightarrow 0, \quad \frac{\Lambda}{Q} \rightarrow 0, \quad \text{but} \quad q_T \not\rightarrow 0$$



Background field method for parton physics  
(in a nutshell)

$$\langle h|T J^\mu(z)J^\nu(0)|h\rangle = \int [D\bar{q}DqDA] e^{iS_{\text{QCD}}} \Psi^*[\bar{q}, q, A] J^\mu(z) J^\nu(0) \Psi[\bar{q}, q, A]$$

Cannot be integrated since  $\Psi$  is unknown



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**Parton model**

$\Psi$  contains only collinear particles

$$\Psi[\bar{q}, q, A] \rightarrow \Psi[\bar{q}_{\bar{n}}, q_{\bar{n}}, A_{\bar{n}}]$$

$$\{\partial_+, \partial_-, \partial_T\} q_{\bar{n}} \lesssim \{1, \lambda^2, \lambda\} q_{\bar{n}}$$

Integral can be partially computed



## Background field method for parton physics (in a nutshell)

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### Background technique

$$q = q_{\bar{n}} + \psi$$

$$A = A_{\bar{n}} + B$$

- ▶  $q_{\bar{n}}, A_{\bar{n}}$ : background (external field)
- ▶  $\psi, B$ : dynamical (to be integrated)

Integral can be partially computed

$$\langle h|T J^\mu(z)J^\nu(0)|h\rangle = \int [D\bar{q}_{\bar{n}}Dq_{\bar{n}}DA_{\bar{n}}] e^{iS_{\text{QCD}}} \Psi^*[\bar{q}, q, A] \mathcal{J}_{\text{eff}}^{\mu\nu}[\bar{q}_{\bar{n}}, q_{\bar{n}}, A_{\bar{n}}](z) \Psi[\bar{q}, q, A]$$

$$\mathcal{J}_{\text{eff}}^{\mu\nu} = \int [D\bar{\psi}D\psi DB] e^{iS_{\text{QCD}} + iS_{\text{back}}[\bar{q}, q, A]} J^\mu[q + \psi](z) J^\nu[q + \psi](0)$$

Generating function for operator product expansion



## Background QCD with 2-component background

$$q \rightarrow q_n + q_{\bar{n}} + \psi \quad A^\mu \rightarrow A_n^\mu + A_{\bar{n}}^\mu + B^\mu$$

collinear-fields  
(associated with hadron 1)

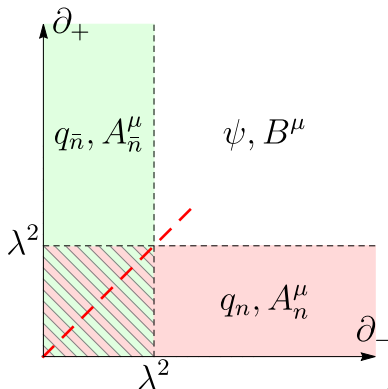
$$\{\partial_+, \partial_-, \partial_T\} q_{\bar{n}} \lesssim Q\{1, \lambda^2, \lambda\} q_{\bar{n}},$$

$$\{\partial_+, \partial_-, \partial_T\} A_{\bar{n}}^\mu \lesssim Q\{1, \lambda^2, \lambda\} A_{\bar{n}}^\mu,$$

anti-collinear-fields  
(associated with hadron 2)

$$\{\partial_+, \partial_-, \partial_T\} q_n \lesssim Q\{\lambda^2, 1, \lambda\} q_n,$$

$$\{\partial_+, \partial_-, \partial_T\} A_n^\mu \lesssim Q\{\lambda^2, 1, \lambda\} A_n^\mu.$$



**TMD operator expansion**  
 is conceptually similar to ordinary OPE  
**The only difference** is counting rule for  $y$

$$W^{\mu\nu}(q) = \int d^4y e^{-i(qy)} \langle p_1, p_2 | J^\mu(y) | X \rangle \langle X | J^\nu(0) | p_1, p_2 \rangle, \quad J^\mu = \bar{q} \gamma^\mu q$$

$$(q \cdot y) \sim 1 \quad \Rightarrow \quad \{y^+, y^-, y_T\} \sim \left\{ \frac{1}{q^-}, \frac{1}{q^+}, \frac{1}{q_T} \right\} \sim \frac{1}{Q} \{1, 1, \lambda^{-1}\}$$

To be accounted in operator expansion

$$z_T^\mu \partial_\mu q \sim \text{NLP}, \quad y_T^\mu \partial_\mu q \sim \text{LP}$$



$$\begin{aligned}
& \int [dq \dots] e^{iS[\dots]} \underbrace{\Psi_1^\dagger \Psi_2^\dagger}_{\langle p_1, p_2 |} \underbrace{(\bar{q}_{\bar{n}} + \bar{q}_n) \gamma^\nu (q_{\bar{n}} + q_n)(y)}_{J^\mu(y)} \underbrace{(\bar{q}_{\bar{n}} + \bar{q}_n) \gamma^\mu (q_{\bar{n}} + q_n)(0)}_{J^\nu(0)} \underbrace{\Psi_1 \Psi_2}_{|p_1, p_2 \rangle} \\
&= \langle p_1 | \langle p_2 | \bar{q}_{\bar{n}} \gamma^\mu q_n(y) | X \rangle \langle X | \bar{q}_{\bar{n}} \gamma^\nu q_{\bar{n}}(0) | p_1 \rangle | p_2 \rangle + \dots \\
&= -\frac{1}{N_c} \sum_{n,m} \frac{\text{tr}(\gamma^\mu \bar{\Gamma}_n \gamma^\nu \bar{\Gamma}_m)}{4} \Phi^{[\Gamma_n]}(-y) \Phi^{[\Gamma_m]}(y) + \dots
\end{aligned}$$

### TMD distribution

$$\Phi^{[\Gamma]}(y) = \langle p | \bar{q}(y) \dots \Gamma \dots q(0) | p \rangle.$$



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However,  $\partial_- q \sim \lambda^2$  (but  $y_\mu \partial_T^\mu q \sim 1$ )

$$\Phi^{[\Gamma]}(y) \rightarrow \langle p | \bar{q}(y^- n + y_T) \dots \Gamma \dots q(0) | p \rangle + \mathcal{O}(\lambda^2)$$



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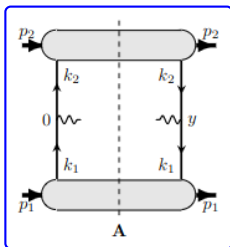
Also, taking into account EOM  $\gamma^- \gamma^+ q \sim \lambda^2$

$$\Phi^{[\Gamma]}(y) \rightarrow \langle p | \bar{q}(y^- n + y_T) \dots \Gamma^+ \dots q(0) | p \rangle + \mathcal{O}(\lambda^2)$$

$\Gamma^+$  can be only  $\gamma^+$ ,  $\gamma^+ \gamma^5$  or  $\sigma^{\alpha+}$ .

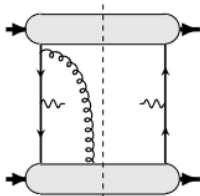




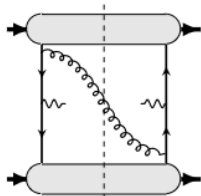


**LO**

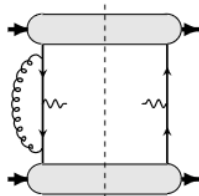
$$\Phi^{[\Gamma_n]}(-y)\Phi^{[\Gamma_m]}(y)$$



**B**

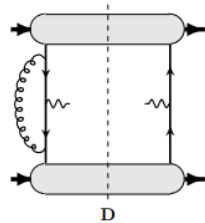
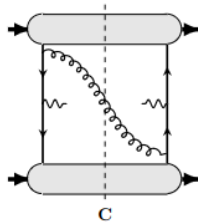
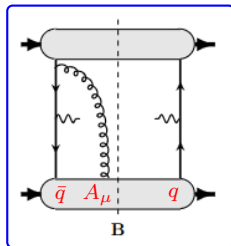
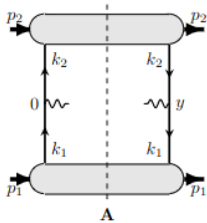


**C**



**D**





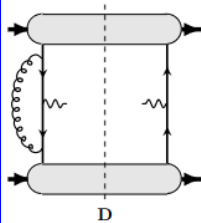
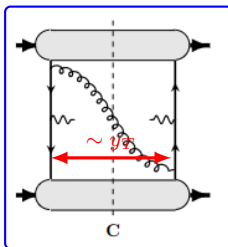
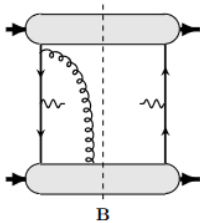
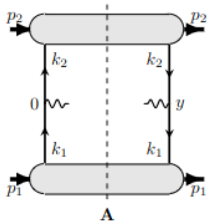
**NLO**

$$\begin{aligned}
 (\bar{q}_n A_+ q_n)(\bar{q}q) &\sim \text{LP} \\
 (\bar{q}_n A_T q_n)(\bar{q}q) &\sim \text{NLP} \\
 (\bar{q}_n A_- q_n)(\bar{q}q) &\sim \text{N}^2\text{LP}
 \end{aligned}$$



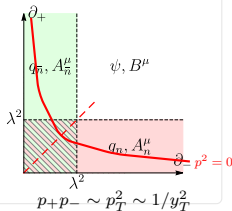
Wilson lines

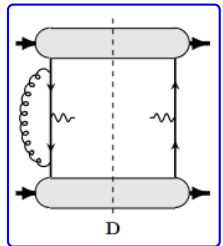
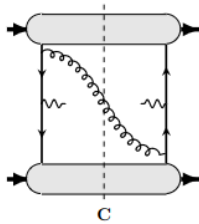
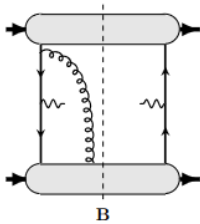
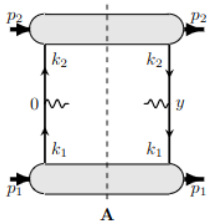




**NLO**

$$\frac{1}{y_T} (\bar{q}n q_n)(\bar{q}q) \sim N^2 \text{LP}$$



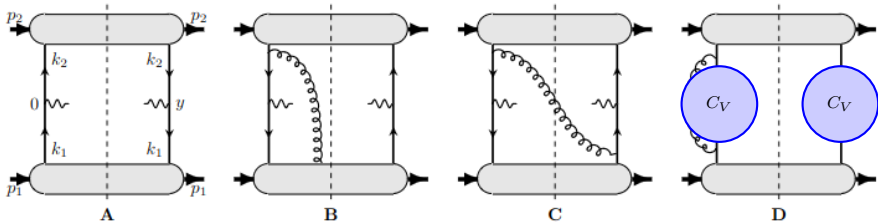


NLO



Coefficient function





$$W^{\mu\nu} = -\frac{1}{N_c} \sum_{n,m} \frac{\text{tr}(\gamma^\mu \bar{\Gamma}_n \gamma^\nu \bar{\Gamma}_m)}{4} \int d^4 y e^{-iqy} |C_V\left(\frac{Q}{\mu}\right)|^2 \Phi^{[\Gamma_n]}(y^+ n + y_T; \mu) \Phi^{[\Gamma_n]}(y^- \bar{n} + y_T; \mu)$$

$$C_V = 1 + a_s C_F \left( 2 \ln^2 \left( \frac{-Q^2}{\mu^2} \right) + 2 \ln \left( \frac{-Q^2}{\mu^2} \right) + \frac{\pi^2}{3} \right) + a_s^2 \dots$$

$$\Phi^{[\Gamma]}(y, \mu) \rightarrow Z_V^2(\mu) \langle p | \bar{q}(y) [\text{Wilson line}] \Gamma^+ q(0) | p \rangle$$

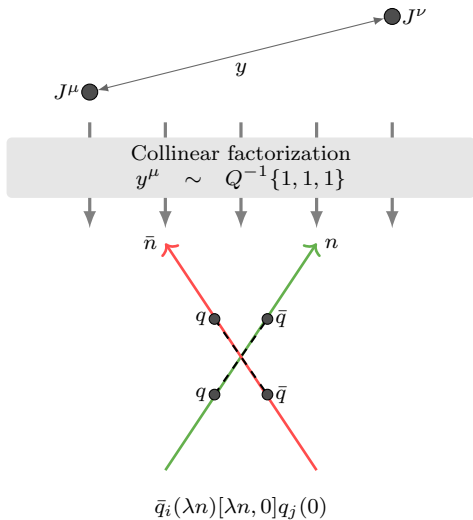
Dependence on  $\mu$  cancels  
(not that simple...)



# Process dependence



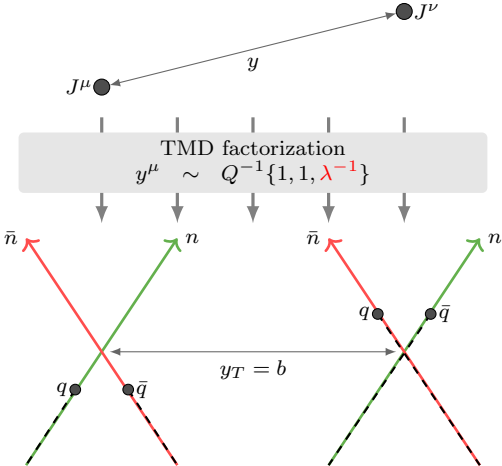
TMD operator expansion  
has different geometry



**Two**  
light-cone operators  
 $\Downarrow$   
**Two**  
parton distribution function  
PDFs & FFs



TMD operator expansion  
has different geometry

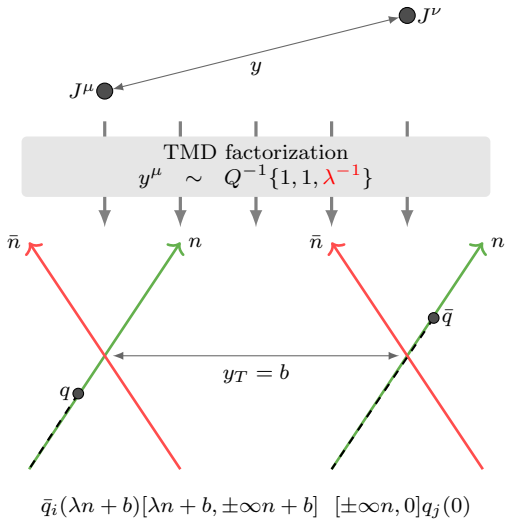


**Four**  
light-cone operators  
↓  
**Two**  
TMD distributions  
TMDPDFs & TMDFFs





TMD operator expansion has different geometry



**Four**  
 light-cone operators  
 ↓  
**Two**  
 TMD distributions  
 TMDPDFs & TMDFFs



## Process dependence

The background can be taken in any gauge (since it is gauge invariant)

- ▶ Light-cone gauge kills operators with  $A_{+,\bar{n}}$  and  $A_{-,n}$  ( $\sim 1$  in power counting).
- ▶ Convenient choice of gauges
  - ▶ Collinear field  $A_+ = 0$
  - ▶ Anti-Collinear field  $A_- = 0$
  - ▶ Dynamical field: **Feynman gauge**
- ▶ **However** one needs to specify boundary condition. The result depends on it.

$$A_{\bar{n}}^{\mu}(z) = -g \int_{-\infty}^0 d\sigma F_{\bar{n}}^{\mu+}(z + n\sigma) \quad \text{vs.} \quad A_{\bar{n}}^{\mu}(z) = -g \int_{+\infty}^0 d\sigma F_{\bar{n}}^{\mu+}(z + n\sigma)$$
$$\bar{q}[z, z - \infty n] \quad \text{vs.} \quad \bar{q}[z, z + \infty n]$$

**etc.**

To specify boundary and WL direction, we should go to NLO



## NLO expression in position space

$$I = \int_{-\infty}^{\infty} dz^+ dz^- \frac{f_{\bar{n}}(z^-) f_n(z^+)}{[-2z^+ z^- + i0]^\alpha}$$

$f$ 's are TMDPDFs or TMDFFs

→  $f_n(z^-)$  is analytical in  
 $f_n(z^+)$  is analytical in

for DY	for SIDIS	for SIA	
lower	lower	upper	half-plane.
lower	upper	upper	half-plane.



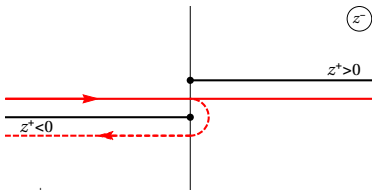
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lower	lower	upper	half-plane.
lower	upper	upper	half-plane.



$$I = \int_{-\infty}^0 dz^+ \frac{f_n(z^+)}{(-2z^+)^\alpha} (I_0 + I_1 + I_2 + I_\infty),$$

$$I_C = \int_C \frac{f_{\bar{n}}(z^-)}{(z^-)^\alpha}$$



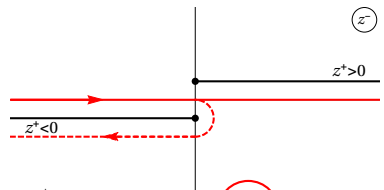
# NLO expression in position space

$$I = \int_{-\infty}^{\infty} dz^+ dz^- \frac{f_{\bar{n}}(z^-) f_n(z^+)}{[-2z^+ z^- + i0]^\alpha}$$

$f$ 's are TMDPDFs or TMDFFs

$f_n(z^-)$  is analytical in  
 $f_n(z^+)$  is analytical in

for DY	for SIDIS	for SIA	
lower	lower	upper	half-plane.
lower	upper	upper	half-plane.



$$I = \int_{-\infty}^0 dz^+ \frac{f_n(z^+)}{(-2z^+)^\alpha} (I_0 + I_1 + I_2 + I_\infty)$$

$$I_C = \int_C \frac{f_{\bar{n}}(z^-)}{(z^-)^\alpha}$$

for DY:	$\lim_{z^- \rightarrow -\infty} A_n^\mu(z) = 0,$	$\lim_{z^+ \rightarrow -\infty} A_n^\mu(z) = 0,$
for SIDIS:	$\lim_{z^- \rightarrow +\infty} A_n^\mu(z) = 0,$	$\lim_{z^+ \rightarrow -\infty} A_n^\mu(z) = 0,$
for SIA:	$\lim_{z^- \rightarrow +\infty} A_n^\mu(z) = 0,$	$\lim_{z^+ \rightarrow +\infty} A_n^\mu(z) = 0.$

**Fields at  $\infty$**   
 (= interaction with transverse link)

**Reproduce ordinary rules!**



# Divergences of TMDs



# TMD-twist-(1,1)

(Usual TMDs)

$U_1 = [..]\xi = \text{good-component of quark field (twist-1)}$

$$\tilde{\Phi}_{11}^{[\Gamma]}(\{z_1, z_2\}, b) = \langle p, s | \bar{\xi}(z_1 n + b) \dots \frac{\Gamma}{2} \dots \xi(z_2 n) | p, s \rangle$$







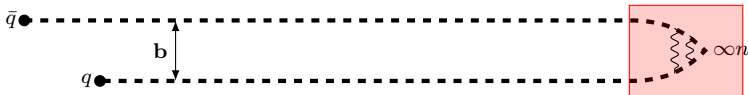


## TMD-twist-(1,1)

*(Usual TMDs)*

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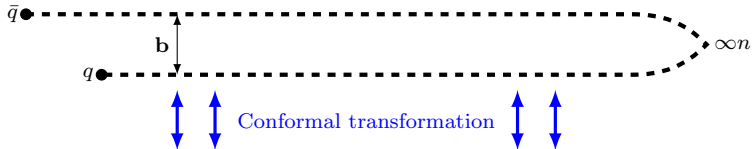
In non-singular gauges  
infinity is a single point

Rapidity divergence

- ▶ = Anomalous dimension of a distant cusp
- ▶ Distance  $b \leftrightarrow$  angle



$$\tilde{\Phi}_{11}^{[\Gamma]}(\{z_1, z_2\}, b) = \langle p, s | \bar{\xi}(z_1 n + b) \dots \frac{\Gamma}{2} \dots \xi(z_2 n) | p, s \rangle$$

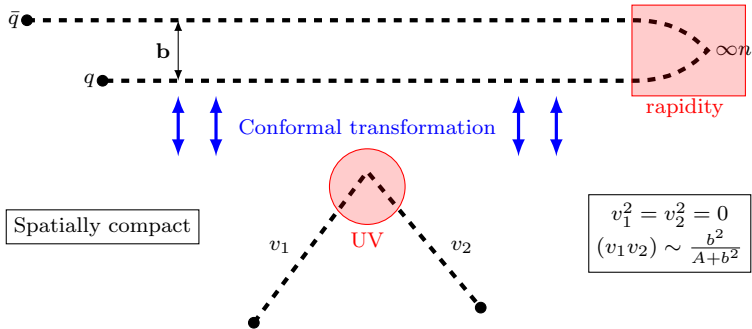


Spatially compact

$$\begin{aligned} v_1^2 &= v_2^2 = 0 \\ (v_1 v_2) &\sim \frac{b^2}{A+b^2} \end{aligned}$$



$$\tilde{\Phi}_{11}^{[\Gamma]}(\{z_1, z_2\}, b) = \langle p, s | \bar{\xi}(z_1 n + b) \dots \frac{\Gamma}{2} \dots \xi(z_2 n) | p, s \rangle$$



Spatially compact

$$v_1^2 = v_2^2 = 0$$

$$(v_1 v_2) \sim \frac{b^2}{A + b^2}$$

$$2\mathcal{D}(\mu, b) = \gamma_S((v_1 v_2), \mu)$$

RAD  $\leftrightarrow$  SAD correspondance  
 Exact in conformal field theories



In QCD:

$$2\mathcal{D}(\mu, b; \epsilon^*) = \gamma_S((v_1 v_2), \mu)$$

$$\epsilon^* = \frac{\beta(\alpha_s)}{\alpha_s} \text{ (Wilson-Fisher critical dimension)}$$

## Consequences

- ▶ Rapidity divergence is **multiplicatively renormalizable**, by factor  $R$

$$\mathcal{D}(b, \mu) = \frac{1}{2} R^{-1}(b, \mu; \nu) \frac{d}{d \ln \nu} R(b, \mu; \nu)$$

- ▶ Same RAD for all TMDs of twist-2 and twist-3 (same soft-factor at sub-leading power)
- ▶ ...
- ▶ N-loop RAD + (N+1)-loop SAD  $\Rightarrow$  (N+1)-loop RAD checked at N<sup>3</sup>LO
- ▶ Absence of odd-color structures in SAD



$$W^{\mu\nu} \simeq \int d^2b e^{-i(q_T b)} \left| C_{\text{bare}} \left( \frac{Q}{\mu}; \epsilon \right) \right|^2 \Phi_{\text{bare}}^{[\Gamma]}(x_1, b; \epsilon, \delta^+) \Phi_{\text{bare}}^{[\Gamma]}(x_2, b; \epsilon, \delta^-)$$

**Here:**

$$\Phi^{[\Gamma]}(x, b) = \int dy^- e^{-ixp^+ y^-} \Phi^{[\Gamma]}(y^- n + b)$$

$\epsilon$  for IR/UV divergences

$\delta$  for rapidity divergences



$$W^{\mu\nu} \simeq \int d^2 b e^{-i(qTb)} \left| C_V \left( \frac{Q}{\mu}; \mu \right) Z_V(\epsilon, \mu) \right|^2 |Z_1|^{-2}(\epsilon, \mu) R(\delta^+, \zeta) \Phi^{[\Gamma]}(x_1, b; \mu, \zeta) |Z_1|^{-2}(\epsilon, \mu) R(\delta^-, \bar{\zeta}) \Phi^{[\Gamma]}(x_2, b; \mu, \bar{\zeta})$$

Should be:

$$Z_V(\epsilon, \mu) Z_1^{-2}(\epsilon, \mu) \sqrt{R(\delta^+, \zeta) R(\delta^-, \bar{\zeta})} \sim 1$$

But there is  $\delta^\pm$

Here we come to the issue of the soft factor



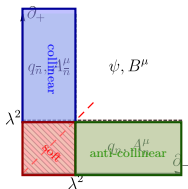
# Soft-factor





## Standard approach (CSS, SCET)

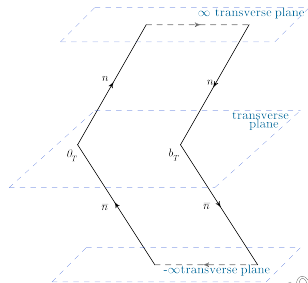
introduce extra modes (collinear, anti-collinear, (ultra)SOFT)



It results into “soft factor” in  $W$

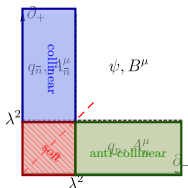
$$W \sim \Phi(x, b; \delta^+) S(b, \delta^+ \delta^-) \Phi(x, b; \delta^-)$$

**TMD soft factor**  
 $S(b) = \langle 0 | [\text{Wilson loop}] | 0 \rangle$   
 contains rapidity divergences  
 in “+” and “-” directions



## Standard approach (CSS, SCET)

introduce extra modes (collinear, anti-collinear, (ultra)SOFT)



It results into “soft factor” in  $W$

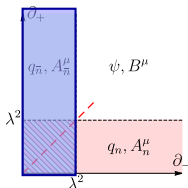
$$W \sim \Phi(x, b; \delta^+) S(b, \delta^+ \delta^-) \Phi(x, b; \delta^-)$$

## Zero-bin subtraction (SCET)

not very well defined

$$Z.b.(b) \simeq \int_{\text{soft}} [d\bar{q}dq dA] e^{iS} W$$

see [Manohar:2006nz]



Define each TMD in “full” domain

$$\Phi(x, b; \delta^+) \sim \frac{\Phi_{\text{QCD}}(x, b; \zeta)}{Z.b.(b, \zeta, \delta^+ \delta^-)}$$

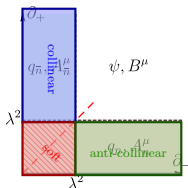
## Collins

$Z.b.(b) \simeq S(b; \text{different regulator})$



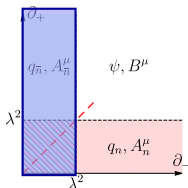
## Standard approach (CSS, SCET)

introduce extra modes (collinear, anti-collinear, (ultra)SOFT)



It results into “soft factor” in  $W$

$$W \sim \Phi(x, b; \delta^+) S(b, \delta^+ \delta^-) \Phi(x, b; \delta^-)$$



Define each TMD in “full” domain

$$\Phi(x, b; \delta^+) \sim \frac{\Phi_{\text{QCD}}(x, b; \zeta)}{Z.b.(b, \zeta, \delta^+ \delta^-)}$$

**Altogether**

$$W \sim \Phi_{\text{QCD}}(x, b; \zeta) \frac{S(b, \delta^+ \delta^-)}{Z.b.(b, \zeta, \delta^+ \delta^-) Z.b.(b, \bar{\zeta}, \delta^+ \delta^-)} \Phi_{\text{QCD}}(x, b; \bar{\zeta})$$

this extra factor compensates  $R(\delta^+)R(\delta^-)$



## Origin of scales $\zeta$

- ▶ Renormalization of rapidity divergences introduces boost-dependent scales  $\nu^\pm$

$$\Phi(x, b; \nu^\pm) R(\delta^\pm, \nu^\pm)$$

- ▶ Soft factor is boost-invariant  $S(b; \delta^+ \delta^-)$
- ▶ Thus, the combination of soft factor and R's is boost-invariant (because  $\delta^\pm$  cancel)

$$R(\delta^+, \nu^+) \frac{S(b, \delta^+ \delta^-)}{\mathcal{Z}.b.(b, \zeta, \delta^+ \delta^-) \mathcal{Z}.b.(b, \bar{\zeta}, \delta^+ \delta^-)} R(\delta^-, \nu^-) = \Sigma_0(b, \nu^+ \nu^-)$$

- ▶ Factor  $\Sigma_0$  can be distributed between TMDs

$$\Phi(x, b, \nu^+) \Sigma_0(b, \nu^+ \nu^-) \Phi(x, b, \nu^-) = \underbrace{\Phi(x, b, \nu^+) \sqrt{\Sigma_0(b, \nu^+ \nu^-)}}_{\text{"physical" TMD}} \underbrace{\sqrt{\Sigma_0(b, \nu^+ \nu^-)} \Phi(x, b, \nu^-)}_{\text{"physical" TMD}}$$

- ▶ “Physical” TMD depends on boost invariant combinations

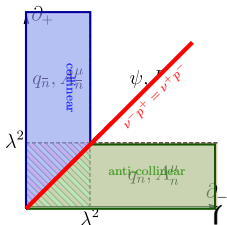
$$\zeta \sim 2(p^+)^2 \frac{\nu^+}{\nu^-}, \quad \bar{\zeta} \sim 2(p^-)^2 \frac{\nu^-}{\nu^+}$$

- ▶ To cancel IR divergences one must set  $\zeta \bar{\zeta} = Q^4$



## Non-Standard approach

avoid overlap + renormalize



The rapidity divergences are (naturally) regularized by  $\left\{ \begin{array}{l} p_1^+ > \frac{\nu^+}{\nu^-} p_1^- \\ p_2^- > \frac{\nu^-}{\nu^+} p_2^+ \end{array} \right\}$ , and renormalized

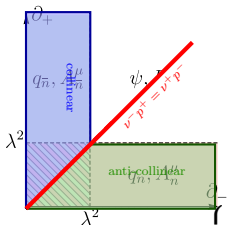
“physical” TMDs are defined on this “reduced” set of fields.

The renormalization condition is that  $W \simeq \Phi_1 \Phi_2$  (without any extra factor  $\Sigma_0$ )



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avoid overlap + renormalize



The rapidity divergences are (naturally) regularized by  $\left\{ \begin{array}{l} p_1^+ > \frac{\nu^+}{\nu^-} p_1^- \\ p_2^- > \frac{\nu^-}{\nu^+} p_2^+ \end{array} \right\}$ , and renormalized

“physical” TMDs are defined on this “reduced” set of fields.

The renormalization condition is that  $W \simeq \Phi_1 \Phi_2$  (without any extra factor  $\Sigma_0$ )

Final expressions from both approaches are **identically the same**.  
However, “renormalization” approach is easier to generalize (e.g. beyond LP)

(Also, there are indications that soft factor formula does not work at  $b^2$  order...)

## TMD factorization theorem for DY

$$W^{\mu\nu} = \frac{-1}{4N_c} \sum_{n,m} \text{tr}(\Gamma_n \gamma^\mu \Gamma_m \gamma^\nu) \int \frac{d^2b}{(2\pi)^2} e^{-i(qb)} \left| C_V \left( \frac{Q}{\mu} \right) \right|^2 \Phi_{q \leftarrow h_1}^{[\Gamma_n]}(x_1, b; \mu, \zeta) \Phi_{\bar{q} \leftarrow h_2}^{[\Gamma_n]}(x_2, b; \mu, \bar{\zeta}) + \mathcal{O} \left( \frac{q_T}{Q}, \frac{1}{bQ}, \frac{\Lambda}{Q} \right)$$

$$x_1 = \frac{q^+}{p^+} = \sqrt{\frac{Q^2 + \mathbf{q}_T^2}{s}} e^y, \quad x_2 = \frac{q^-}{p^-} = \sqrt{\frac{Q^2 + \mathbf{q}_T^2}{s}} e^{-y}$$

(Is  $q_T^2$  a power correction (??)  $\Rightarrow$  **frame-dependence**)







# TMD factorization theorem for DY

$$W^{\mu\nu} = \frac{-g_T^{\mu\nu}}{4N_c} \sum_q \int \frac{d^2b}{(2\pi)^2} e^{-i(qb)} \left| C_V \left( \frac{Q}{\mu} \right) \right|^2 f_1(x_1, b; \mu, \zeta) f_2(x_2, b; \mu, \bar{\zeta}) + \mathcal{O} \left( \frac{q_T}{Q}, \frac{1}{bQ}, \frac{\Lambda}{Q} \right)$$

## Parametrization of TMDs

$$\Phi^{[\gamma^+]}(x, b) = f_1(x, b) - i(b \times s) M f_{1T}^\perp(x, b),$$

etc.

		Quark Polarization		
		Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1(x, k_T^2)$ <i>Unpolarized</i>		$h_1^\perp(x, k_T^2)$ <i>Boer-Mulders</i>
	L		$g_1(x, k_T^2)$ <i>Helicity</i>	$h_{1L}^\perp(x, k_T^2)$ <i>Kozmin-Mulders, "worm" gear</i>
	T	$f_{1T}^\perp(x, k_T^2)$ <i>Sivers</i>	$g_{1T}^\perp(x, k_T^2)$ <i>Kozmin-Mulders, "worm" gear</i>	$h_1(x, k_T^2)$ <i>Transversity</i> $h_{1T}^\perp(x, k_T^2)$ <i>Pretzelosity</i>



# TMD factorization theorem for DY

$$W^{\mu\nu} = \frac{-g_T^{\mu\nu}}{4N_c} \sum_q \int \frac{d^2b}{(2\pi)^2} e^{-i(qb)} \left| C_V \left( \frac{Q}{\mu} \right) \right|^2 f_1(x_1, b; \mu, \zeta) f_2(x_2, b; \mu, \bar{\zeta}) + \mathcal{O} \left( \frac{q_T}{Q}, \frac{1}{bQ}, \frac{\Lambda}{Q} \right)$$

LP expression is incomplete:

1. Violation of gauge invariance

$$q_\mu W^{\mu\nu} \sim q_T^\nu$$

2. Frame-dependence

$$n^\mu \rightarrow n^\mu + \frac{\Delta^\mu}{q^-} - \bar{n}^\nu \frac{\Delta^2}{2(q^-)^2}$$

Factorization theorem holds, but variables flow....

**Solution:** kinematic power corrections



# TMD evolution



$$\begin{aligned}\mu^2 \frac{d}{d\mu^2} \Phi^{[\Gamma]}(\mu, \zeta) &= \frac{\gamma_F(\mu, \zeta)}{2} \Phi^{[\Gamma]}(\mu, \zeta) \\ \zeta \frac{d}{d\zeta} \Phi^{[\Gamma]}(\mu, \zeta) &= -\mathcal{D}(b, \mu) \Phi^{[\Gamma]}(\mu, \zeta)\end{aligned}$$

Integrability condition (CS-equation)

$$\zeta \frac{d}{d\zeta} \frac{\gamma_F(\mu, \zeta)}{2} = -\mu^2 \frac{d}{d\mu^2} \mathcal{D}(b, \mu) = -\frac{\Gamma_{\text{cusp}}}{2}$$

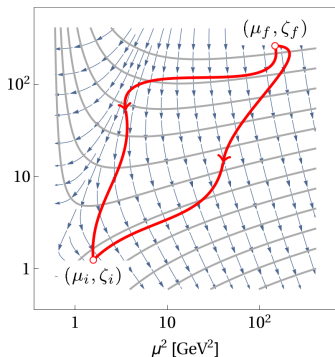
- ▶ It provides evolution for Collins-Soper kernel

$$\mathcal{D}(b, \mu) = \mathcal{D}(b, \mu_0) + \int_{\mu_0}^{\mu} \frac{d\mu}{\mu} \Gamma_{\text{cusp}}(\mu)$$

- ▶ It specifies the structure of  $\gamma_F$

$$\gamma_F(\mu, \zeta) = \Gamma_{\text{cusp}}(\mu) \ln \left( \frac{\mu^2}{\zeta} \right) - \gamma_v(\mu)$$





TMD evolution is 2D evolution

**Evolution field**

$$\mathbf{E} = \left( \frac{\gamma_F}{2}, -\mathcal{D} \right)$$

**is conservative**

$$\vec{\nabla} \times \vec{\mathbf{E}} = 0$$

**Evol.potential:**

$$\mathbf{E} = \nabla U$$

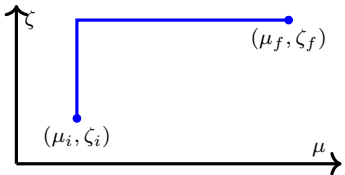
**Evolution eqn:**

$$\nabla \ln \Phi^{[\Gamma]} = \mathbf{E} = \nabla U$$

$$R[\mathbf{b}; i \rightarrow f] = \exp \int_P d\boldsymbol{\nu} \cdot \mathbf{E} = \exp(U_f - U_i) =$$

$$\exp \left[ \int_P \left( \gamma_F(\mu, \zeta) \frac{d\mu}{\mu} - \mathcal{D}(\mu, \mathbf{b}) \frac{d\zeta}{\zeta} \right) \right]$$

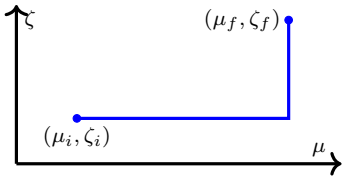




Example 1

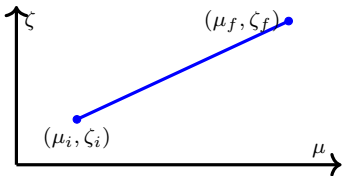
$$\ln R = \int_{\mu_i}^{\mu_f} \frac{d\mu}{\mu} \gamma_F(\mu, \zeta_f) - \mathcal{D}(\mu_i, b) \ln \left( \frac{\zeta_f}{\zeta_i} \right)$$

given in [Collins' textbook]



Example 2

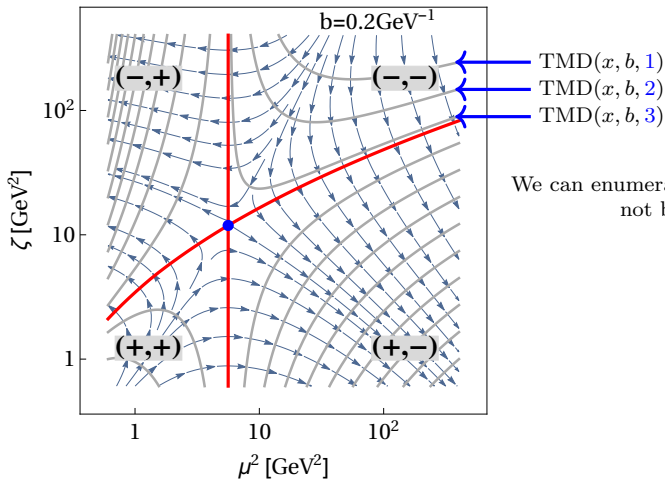
$$\ln R = \int_{\mu_i}^{\mu_f} \frac{d\mu}{\mu} \gamma_F(\mu, \zeta_i) - \mathcal{D}(\mu_f, b) \ln \left( \frac{\zeta_f}{\zeta_i} \right)$$



Solution 3

$$\ln R = \int_0^1 \left( \gamma_F(\mu(t), \zeta(t)) \frac{\mu_f - \mu_i}{(\mu_f - \mu_i)t + \mu_i} - \mathcal{D}(\mu(t), b) \frac{\zeta_f - \zeta_i}{(\zeta_f - \zeta_i)t + \zeta_i} \right) dt$$

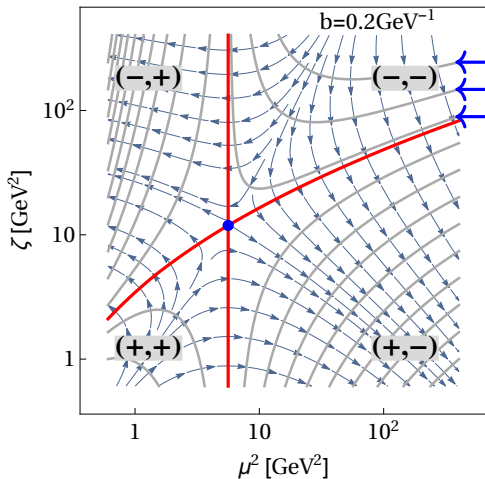
TMD distributions on the same equipotential line are equivalent.



We can enumerate them by a lines  
not by  $(\mu, \zeta)$



TMD distributions on the same equipotential line are equivalent.



We can enumerate them by a lines  
not by  $(\mu, \zeta)$

This the main idea of  $\zeta$ -prescription  
 $\Phi(x, b; \mu, \zeta) \rightarrow \Phi(z, b; \text{line})$

$$\Phi(\text{line 1}) = \left( \frac{\zeta_{\mu}[\text{line 1}]}{\zeta_{\mu}[\text{line 2}]} \right)^{-\mathcal{D}(b, \mu)} \Phi(\text{line 2})$$

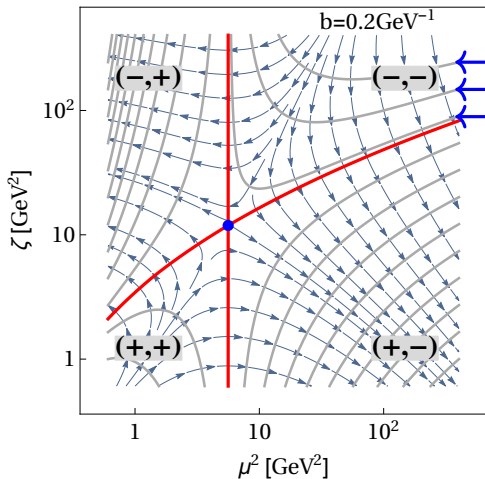
$\mu$  is any...

\* *optimal* TMD is defined on  
the line which passes though saddle point.





TMD distributions on the same equipotential line are equivalent.



TMD( $x, b, 1$ )  
 TMD( $x, b, 2$ )  
 TMD( $x, b, 3$ )

We can enumerate them by a lines  
 not by  $(\mu, \zeta)$

optimal line  $= \zeta_\mu(b)$

1. In PT  $\zeta_\mu(b) = \frac{\mu}{b} 2e^{-\gamma_E} (1 + a_s \dots)$
2. Generally, is a functional of  $\mathcal{D}$



# Small- $b$ regime

(From TMD factorization to “resummation” approach)



## “Resummation” regime

$$\Lambda \ll q_T \ll Q$$

$$W \sim \int d^2 b e^{-i b q_T} \widetilde{W}(b) \xrightarrow{q_T \gg \Lambda} \int d^2 b e^{-i b q_T} \lim_{b \rightarrow 0} \widetilde{W}(b) + \dots$$

$$W(b) = \underbrace{|C_V(Q)|^2}_{\text{hard.c.}} \underbrace{R^2[\mathcal{D}(b)](Q \rightarrow \mu_0)}_{\text{evol.}} \underbrace{\Phi(x_1, b; \mu_0) \Phi(x_2, b; \mu_0)}_{\text{TMDs}}$$

One needs:

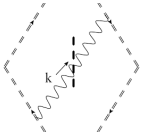
- ▶ Collins-Soper kernel at small- $b$
- ▶ TMDs at small- $b$  (“**matching**”)



## Collins-Soper kernel at small-b

There are several ways:

- 1 Compute soft-factor and extract rapidity divergence
- 2 Compute TMD and extract rapidity divergence
- 3 Use RAD/SAD correspondence (actual 4-loop computation)



The diagram shows two quark lines (dashed) forming a diamond shape. A gluon line (wavy) connects the two vertices. The momentum of the gluon is labeled  $k$ .

$$\sim \int_0^\infty d\sigma_1 \int_0^\infty d\sigma_2 \frac{g^2 C_F}{[-2\sigma_1\sigma_2 + \mathbf{b}^2 + i0]^{1-\epsilon}} e^{-\sigma_1\delta^+} e^{-\sigma_2\delta^-}$$

$$= a_s C_F (\mu^2 \mathbf{b}^2)^\epsilon \Gamma(-\epsilon) \ln(\delta^+ \delta^- \mathbf{b}^2) + \dots$$

$$\mathcal{D} = 2a_s C_F \mathbf{L} + a_s^2 \left[ \frac{\Gamma_0 \beta_0}{4} \mathbf{L}^2 + \frac{\Gamma_1}{2} \mathbf{L} + C_F C_A \left( \frac{404}{27} - 14\zeta_3 \right) - \frac{56}{27} C_F N_F \right] + \dots$$

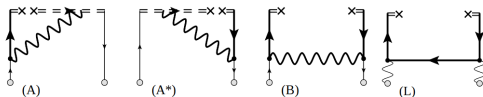
$$\mathbf{L} = \ln \left( \frac{\mu^2 \mathbf{b}^2}{4e^{-2\gamma_E}} \right)$$



## TMDs at small-b (small-b OPE)

$$\bar{q}(\lambda n + b)[\lambda n + b, \infty n + b] \Gamma[\infty n, 0] q(0) = \int dz C(z, \mathbf{L}) \bar{q}(zn)[zn, 0] \Gamma q(0) + \mathcal{O}(b^2)$$

$$\underbrace{f_1(x, b; \mu, \zeta)}_{\text{TMD}} = \int \frac{dy}{y} \underbrace{C\left(\frac{x}{y}, \mathbf{L}; \mu, \zeta, \mu_{\text{OPE}}\right)}_{\text{coef. func.}} \underbrace{f_1(y, \mu_{\text{OPE}})}_{\text{PDF}} + \mathcal{O}(b^2)$$



$$\tilde{U}_A = 2a_s C_F \Gamma(-\epsilon) b^{2\epsilon} \int_{-\infty}^{z_1} d\sigma \int_0^1 d\alpha \bar{\alpha} \bar{q}(z_1 n + \mathbf{b}) \gamma^+ \bar{\partial}_+ q(z_2^\alpha n - (1 - 2\alpha)\mathbf{b}),$$

$$\tilde{U}_{A^*} = 2a_s C_F \Gamma(-\epsilon) b^{2\epsilon} \int_{-\infty}^{z_2} d\sigma \int_0^1 d\alpha \bar{\alpha} \bar{q}(z_1^\alpha n + (1 - 2\alpha)\mathbf{b}) \overleftarrow{\partial}_+ \gamma^+ q(z_2 n - \mathbf{b}),$$

$$\tilde{U}_B = 2a_s C_F \Gamma(-\epsilon) b^{2\epsilon} \int [d\alpha d\beta d\gamma] \left\{ (1 - \epsilon) \bar{q}(z_{12}^\alpha n + \mathbf{b}(1 - 2\alpha)) \gamma^+ q(z_{21}^\beta n - \mathbf{b}(1 - 2\beta)) \right\}$$

$$f_1(x, \mathbf{b}; \mu, \zeta) = f_1(x) + a_s(\mu) \left\{ -2\mathbf{L}_\mu P \otimes f_1 + C_F \left( -\mathbf{L}_\mu^2 + 2\zeta \mathbf{L}_\mu + 3\mathbf{L}_\mu - \frac{\pi^2}{6} \right) f_1(x) \right. \\ \left. + \int d\xi \int_0^1 dy \delta(x - y\xi) \left[ C_F 2\bar{y} f_1(\xi) + 2y\bar{y}g(\xi) \right] \right\} + \mathcal{O}(a_s^2) + \mathcal{O}(b^2),$$

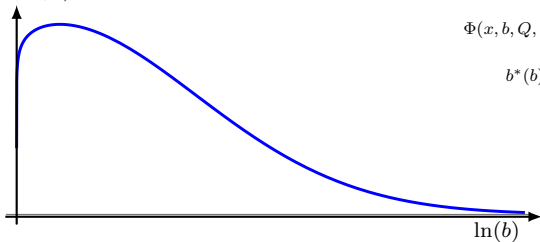


Table from [Moos, AV,2008.01744]

Name	Function	Twist of leading matching	Twist-2 distributions in matching	Twist-3 distributions in matching	Order of leading power coef.function	Ref.
unpolarized	$f_1(x, b)$	tw-2	$f_1(x)$	–	N <sup>3</sup> LO ( $\alpha_s^3$ )	[21, 22]
Sivers	$f_{1T}^\perp(x, b)$	tw-3	–	$T(-x, 0, x)$	NLO ( $\alpha_s^1$ )	[23]
helicity	$g_{1L}(x, b)$	tw-2	$g_1(x)$	$\mathcal{T}_g(x)$	NLO ( $\alpha_s^1$ )	[16, 17]
worm-gear T	$g_{1T}(x, b)$	tw-2/3	$g_1(x)$	$\mathcal{T}_g(x)$	NLO )	[13, 14]
transversity	$h_1(x, b)$	tw-2	$h_1(x)$	$\mathcal{T}_h(x)$	NNLO ( $\alpha_s^2$ )	[19]
Boer-Mulders	$h_1^\perp(x, b)$	tw-3	–	$\delta T_\epsilon(-x, 0, x)$	NLO )	[14]
worm-gear L	$h_{1L}^\perp(x, b)$	tw-2/3	$h_1(x)$	$\mathcal{T}_h(x)$	NLO )	[13, 14]
pretzelocity	$h_{1T}^\perp$	tw-3/4	–	$\mathcal{T}_h(x)$	LO ( $\alpha_s^0$ )	eq.(4.8)

[Rein, Rodini, et al,2209.00962]

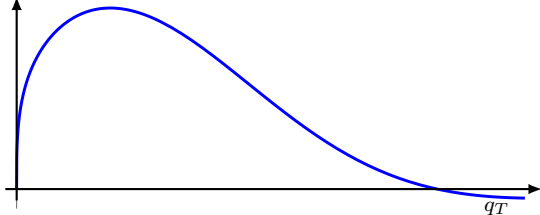


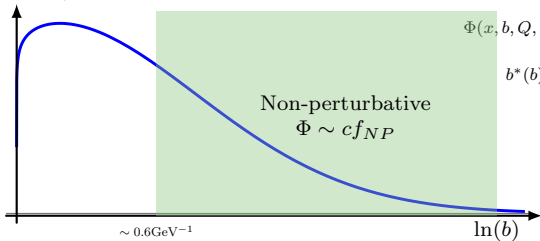
$\Phi(x, b; Q, Q^2)$ 

$$\Phi(x, b, Q, Q^2) = R[b, Q] \Phi(x, b; \frac{1}{b^*}, \frac{1}{b^*}) f_{\text{NP}}(x, b)$$

$$b^*(b) \sim \begin{cases} b, & b \rightarrow 0 \\ \text{const.} < \Lambda^{-1}, & b \rightarrow \infty \end{cases}$$

$$\lim_{b \rightarrow 0} f_{\text{NP}}(x, b) = 1$$

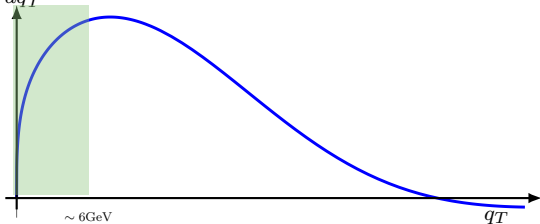
 $d\sigma/dq_T$ 

$\Phi(x, b; Q, Q^2)$ 

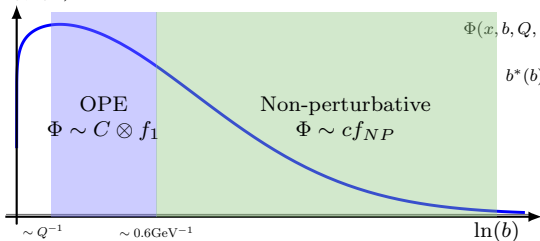
$$\Phi(x, b, Q, Q^2) = R[b, Q] \Phi(x, b; \frac{1}{b^*}, \frac{1}{b^*}) f_{NP}(x, b)$$

$$b^*(b) \sim \begin{cases} b, & b \rightarrow 0 \\ \text{const.} < \Lambda^{-1}, & b \rightarrow \infty \end{cases}$$

$$\lim_{b \rightarrow 0} f_{NP}(x, b) = 1$$

 $d\sigma/dq_T$ 

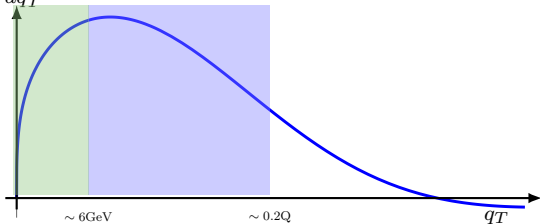


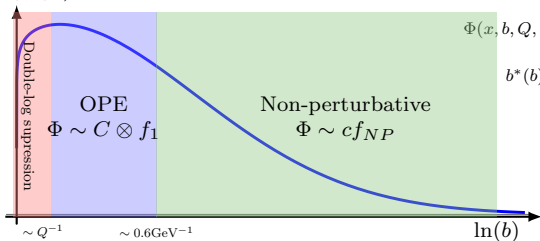
$\Phi(x, b; Q, Q^2)$ 


$$\Phi(x, b, Q, Q^2) = R[b, Q] \Phi(x, b; \frac{1}{b^*}, \frac{1}{b^*}) f_{NP}(x, b)$$

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$$\lim_{b \rightarrow 0} f_{NP}(x, b) = 1$$

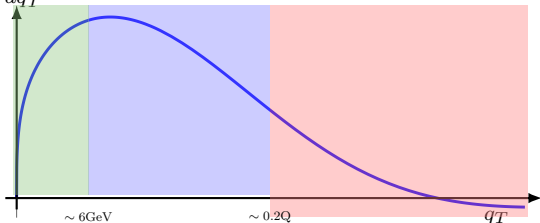
 $d\sigma/dq_T$ 


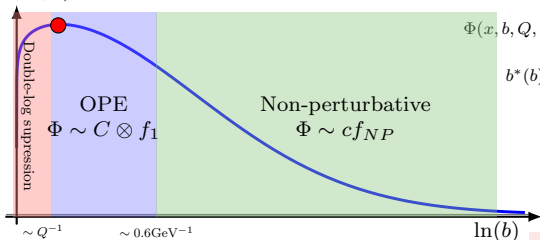
$\Phi(x, b; Q, Q^2)$ 


$$\Phi(x, b, Q, Q^2) = R[b, Q] \Phi(x, b; \frac{1}{b^*}, \frac{1}{b^*}) f_{NP}(x, b)$$

$$b^*(b) \sim \begin{cases} b, & b \rightarrow 0 \\ \text{const.} < \Lambda^{-1}, & b \rightarrow \infty \end{cases}$$

$$\lim_{b \rightarrow 0} f_{NP}(x, b) = 1$$

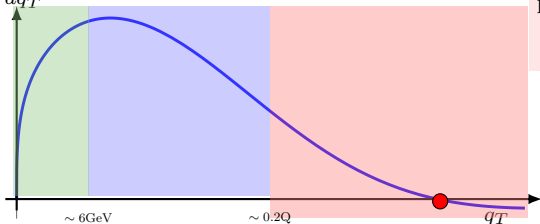
 $d\sigma/dq_T$ 


$\Phi(x, b; Q, Q^2)$ 


$$\Phi(x, b, Q, Q^2) = R[b, Q] \Phi(x, b; \frac{1}{b^*}, \frac{1}{b^*}) f_{NP}(x, b)$$

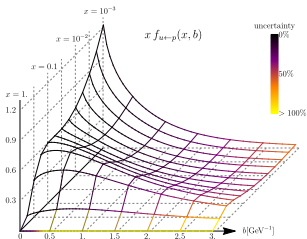
$$b^*(b) \sim \begin{cases} b, & b \rightarrow 0 \\ \text{const.} < \Lambda^{-1}, & b \rightarrow \infty \end{cases}$$

$$\lim_{b \rightarrow 0} f_{NP}(x, b) = 1$$

 $d\sigma/dq_T$ 


Node  $\leftrightarrow$  zero  
position depends on  $Q$   
 $q_T \sim 0.5 - 0.7Q$   
**power corrections**



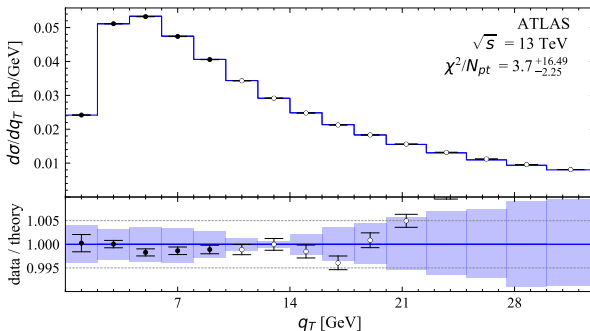


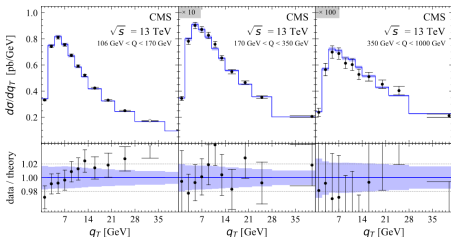
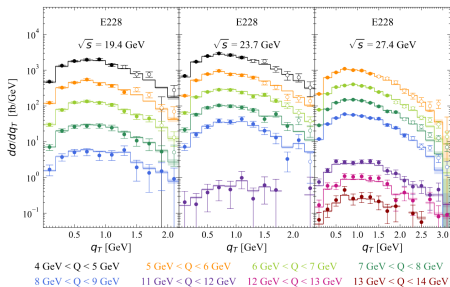
# ART23 N<sup>4</sup>LL

[V.Moos, I.Scimemi, AV, P.Zurita, 2305.07473]

627 data points

4 GeV < Q < 1000 GeV



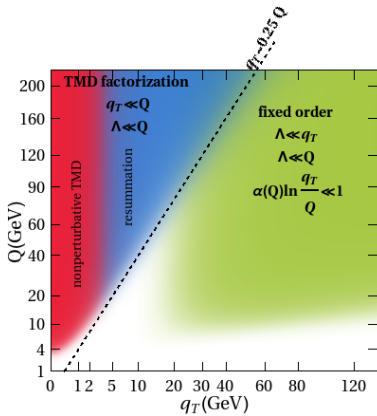


4GeV

1000GeV

Very precise test of TMD evolution





### Power corrections:

(many works during last year)

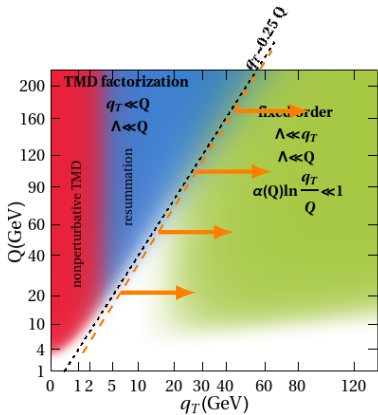
- ▶ I.Stewart, A.Gao, et al,
- ▶ S.Rodini, AV, et al,
- ▶ I.Balitsky, et al,
- ▶ ...

### NLP TMD factorization is done!

e.g. [2306.09495] for SIDIS  
(it is much more complicated than one expected)

### TMD factorization at NLP

- ▶ 4 TMDFFs, 16 TMDPDFs of twist-3
- ▶ NLP restoration of frame-invariance, gauge invariance, boost invariance
- ▶ NLO expression for coefficient functions
- ▶ LO evolution for twist-3 TMDs
- ▶ Qiu-Sterman-like terms in TMD factorization

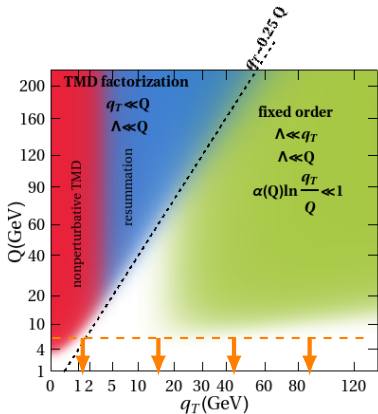


## Power corrections:

1.  $q_T/Q$ -corrections  
Y-term
2.  $\Lambda/Q$  &  $M/Q$ -corrections  
higher-twist  
target-mass
3.  $k_T/Q$ -corrections  
kinematic

[AV,2307.13054]





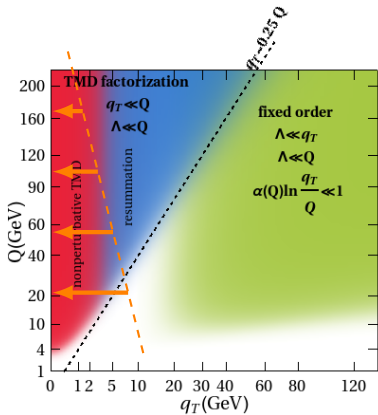
### Power corrections:

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target-mass
3.  $k_T/Q$ -corrections  
kinematic

[AV,2307.13054]





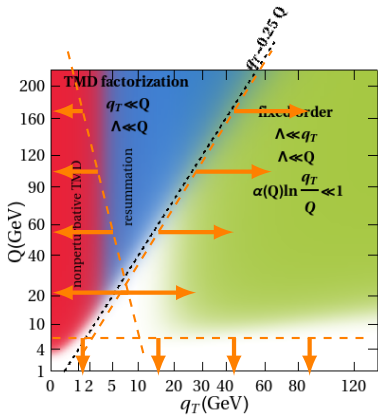


### Power corrections:

1.  $q_T/Q$ -corrections  
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2.  $\Lambda/Q$  &  $M/Q$ -corrections  
higher-twist  
target-mass
3.  $k_T/Q$ -corrections  
kinematic

[AV,2307.13054]



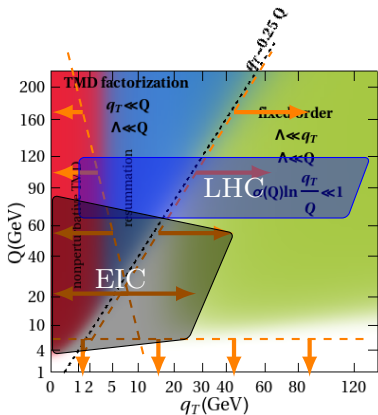


### Power corrections:

1.  $q_T/Q$ -corrections  
Y-term
2.  $\Lambda/Q$  &  $M/Q$ -corrections  
higher-twist  
target-mass
3.  $k_T/Q$ -corrections  
kinematic

[AV,2307.13054]





### Power corrections:

1.  $q_T/Q$ -corrections  
Y-term
2.  $\Lambda/Q$  &  $M/Q$ -corrections  
higher-twist  
target-mass
3.  $k_T/Q$ -corrections  
kinematic

[AV,2307.13054]

This explains why there are problems with low- $k_T$  at  $Q \sim 10\text{GeV}$   
 LHC is “pure” perturbation theory  
 EIC will be “more interesting”



## (some) References

### TMD factorization

- ▶ [1] J.Collins “*Foundations of Perturbative QCD*” [Collins:2011zzd]
- ▶ [2] Becher & Neubert, [1007.4005] (SCET, collinear anomaly)
- ▶ [3] Echevaria, Idilbi, Scimemi, [1111.4996](SCET)
- ▶ [4] Vladimirov, Moos, Scimemi [2109.09771] (background QCD)

### Divergences

- ▶ [5] Chiu, Jain, Neill, Rothstein [1202.0814] (rap.div. vs. double logs)
- ▶ [6] Vladimirov [1707.07606] (proof of renormalization of rap.div.)
- ▶ [7] Vladimirov [1610.05791] (SAD/RAD correspondence)

### TMD evolution

- ▶ [8] Aybat, Rogers [1101.5057] (“standard solution”)
- ▶ [9] Scimemi, Vladimirov [1803.11089] (2D evolution)

### Small-b

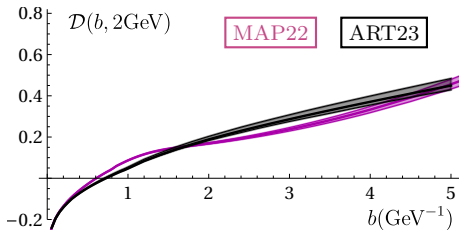
- ▶ [10] Moulst, Zhu, Zhu, Jiao [2205.02249] (CS at small-b at 4-loops)
- ▶ [11] [Luo:2019szz, Ebert:2020yqt, Luo:2020epw] (3 loop for  $f_1$ )
- ▶ [12] Scimemi, Tarasov, Vladimirov [1901.04519] (Small-b in background QCD)



# Backup



## Collins-Soper kernel



Very small uncertainties  
(despite huge uncertainties in TMDPDFs)

