

# Recent heavy-flavour highlights from ALICE

**Xiaoming Zhang on behalf of the ALICE Collaboration**  
**Central China Normal University**

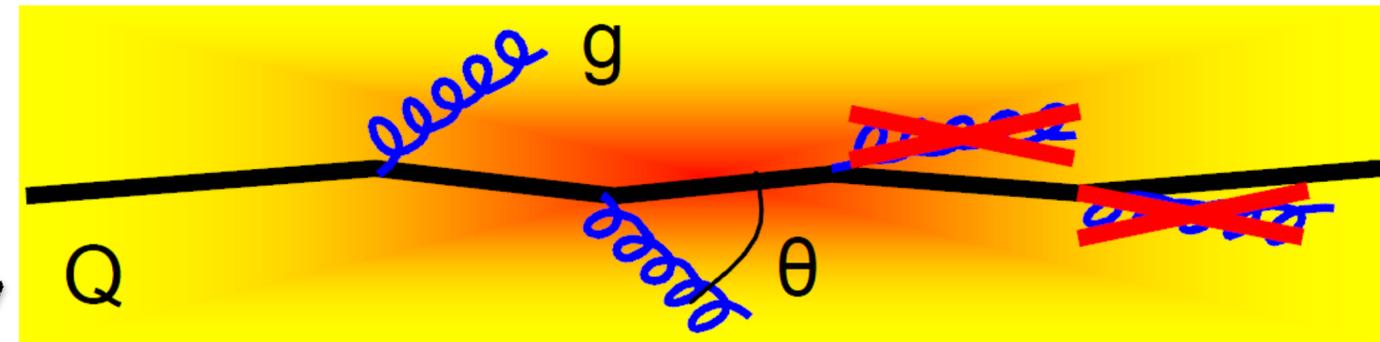
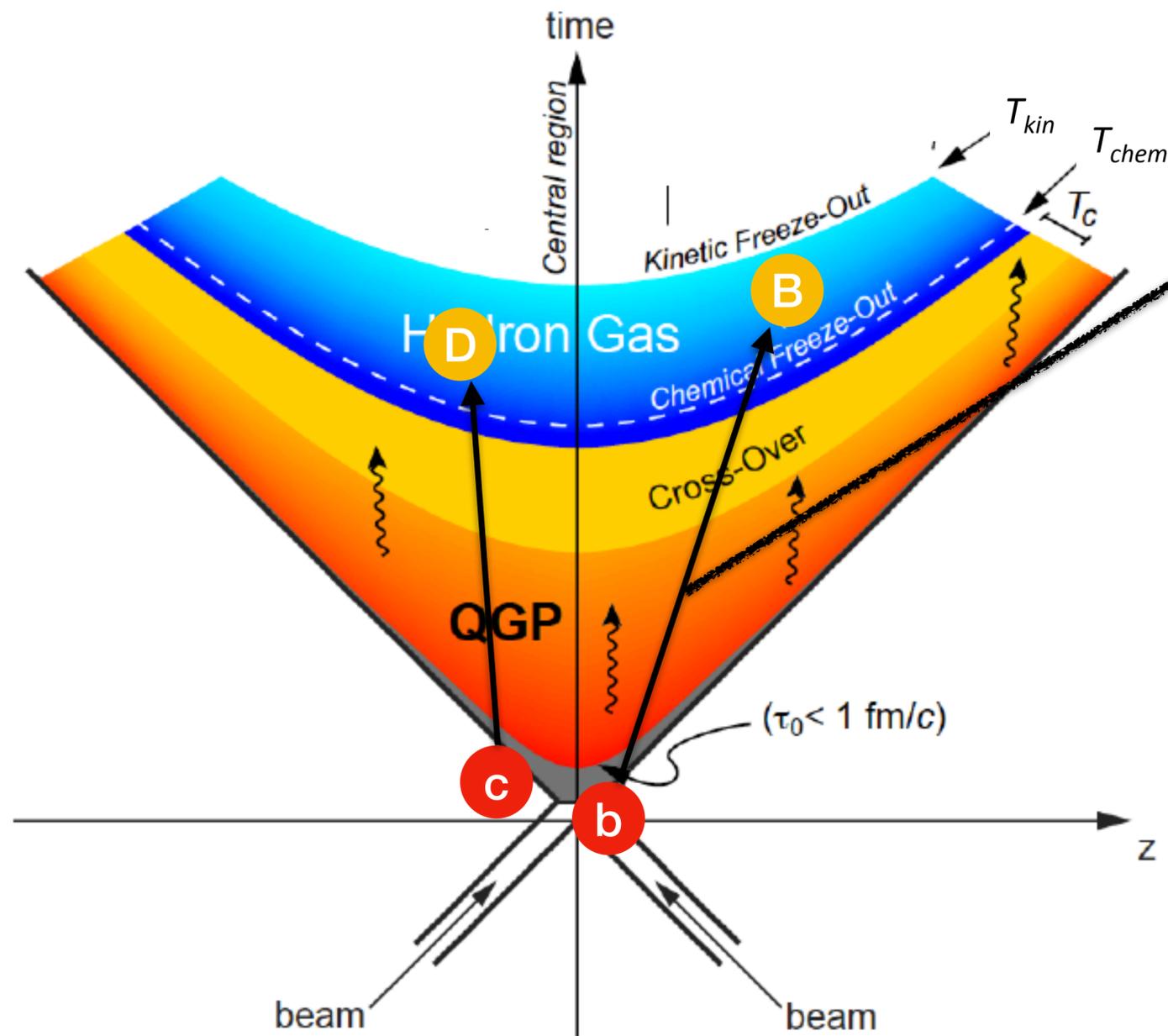


**22nd conference on flavour physics and CP violation**  
**27–31 May 2024, Bangkok, Thailand**



# Heavy quarks: QGP tomography

**Heavy quarks** (**charm** and **beauty**): produced at the early stage of heavy-ion collisions before the QGP creation



## Energy loss in QGP medium

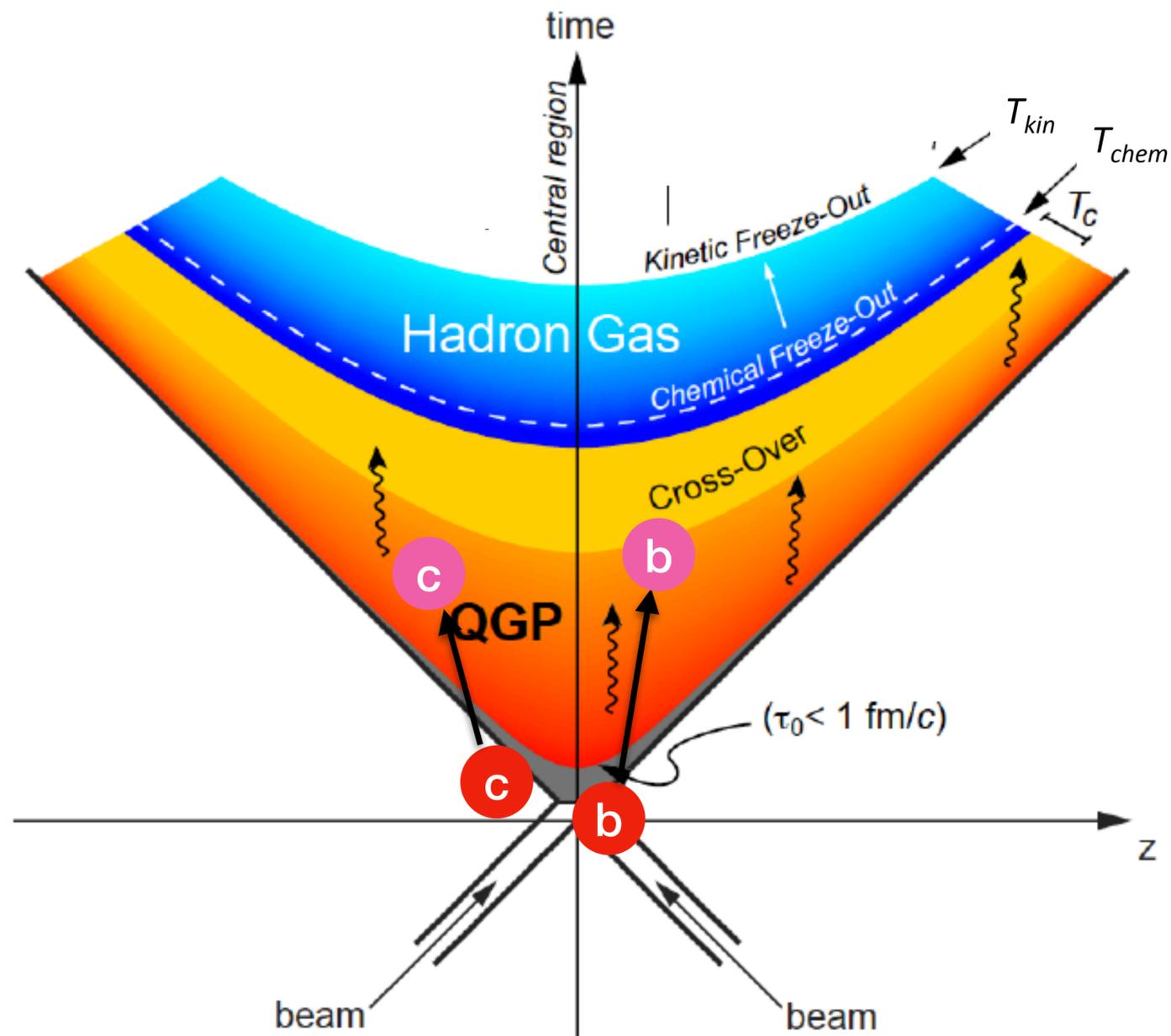
$$R_{AA}(p_T) = \frac{dN_{AA}/dp_T}{\langle T_{AA} \rangle d\sigma_{pp}/dp_T}$$

QCD medium  
QCD vacuum

- $R_{AA} = 1$  if no medium effect and/or initial state effects
- **Radiative** vs. **collisional** energy loss

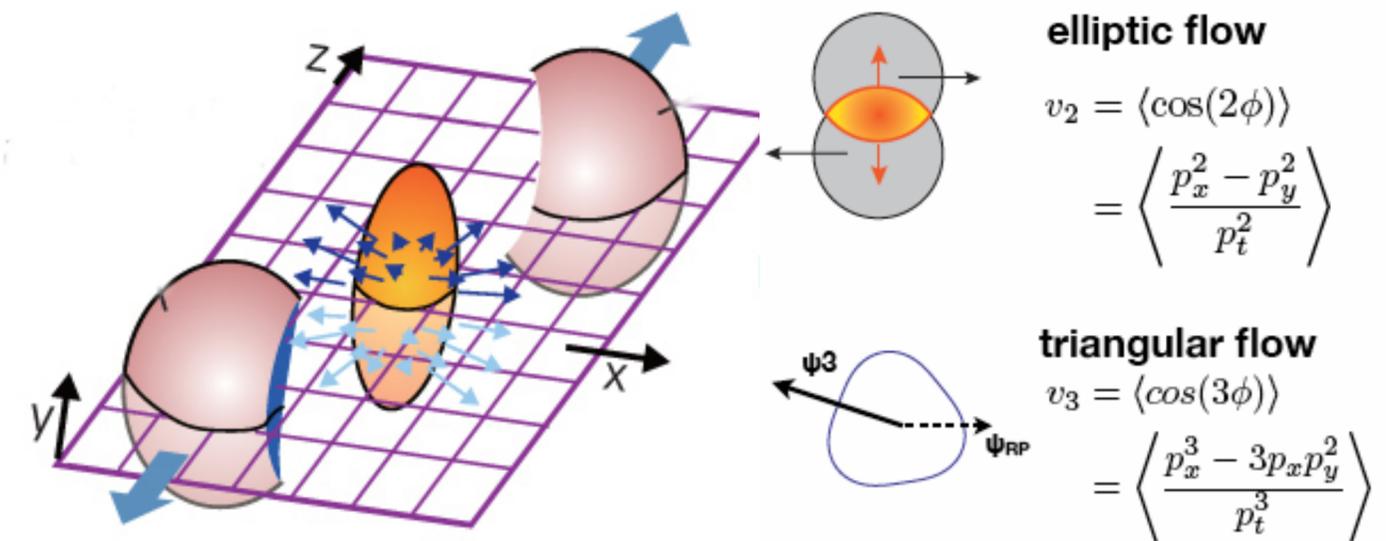
# Heavy quarks: QGP tomography

**Heavy quarks** (**charm** and **beauty**): produced at the early stage of heavy-ion collisions before the QGP creation



## Collective expansion

➔ **Anisotropic flow**



➔ Results in complex azimuthal structure of final-state particles

# Heavy quarks in small systems

$$\frac{d\sigma^{H_Q}}{dp_T}(\mu_F, \mu_R) = \text{PDF}(x_1, \mu_F)\text{PDF}(x_2, \mu_F) \otimes \frac{d\sigma^Q}{dp_T}(x_1, x_2, \mu_F, \mu_R) \otimes D_{Q \rightarrow H_Q}(z = p_{H_Q}/p_Q, \mu_F)$$

Parton distribution functions (PDFs)

Hard scattering cross section (pQCD)

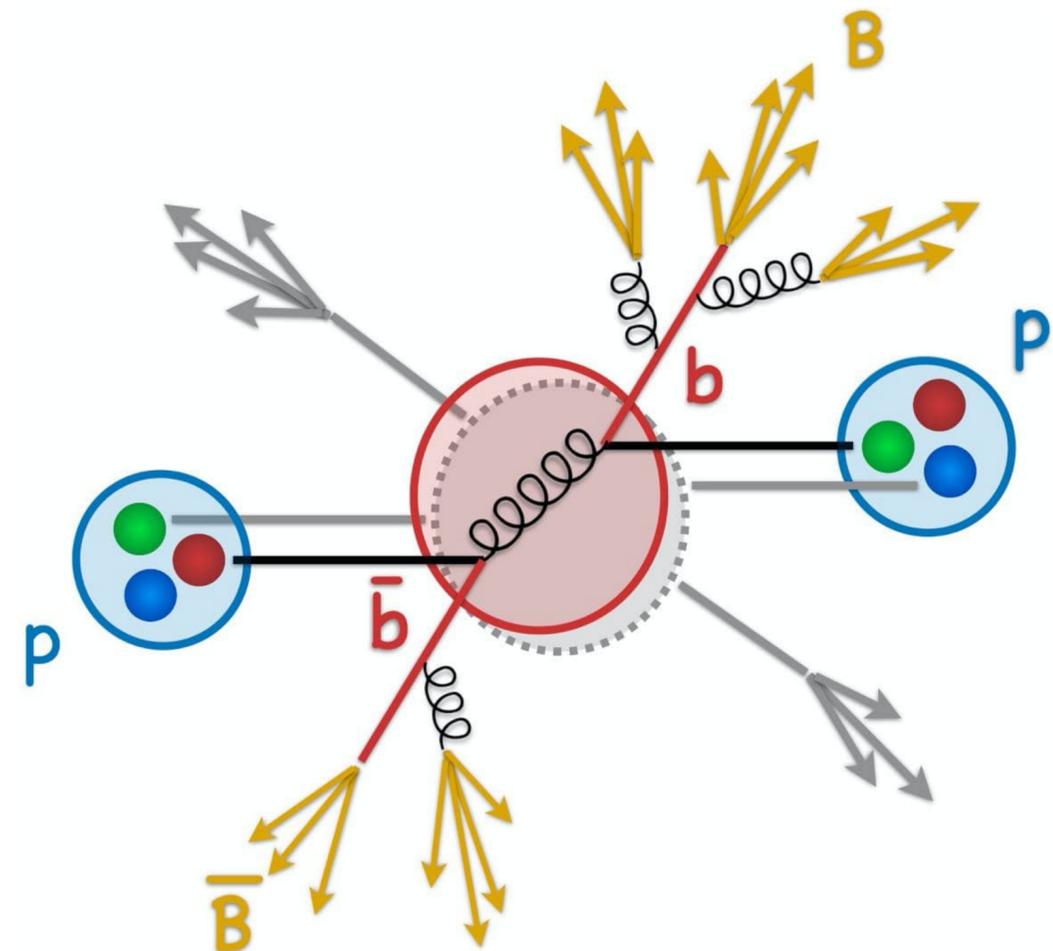
Fragmentation function (hadronization)

## pp collisions

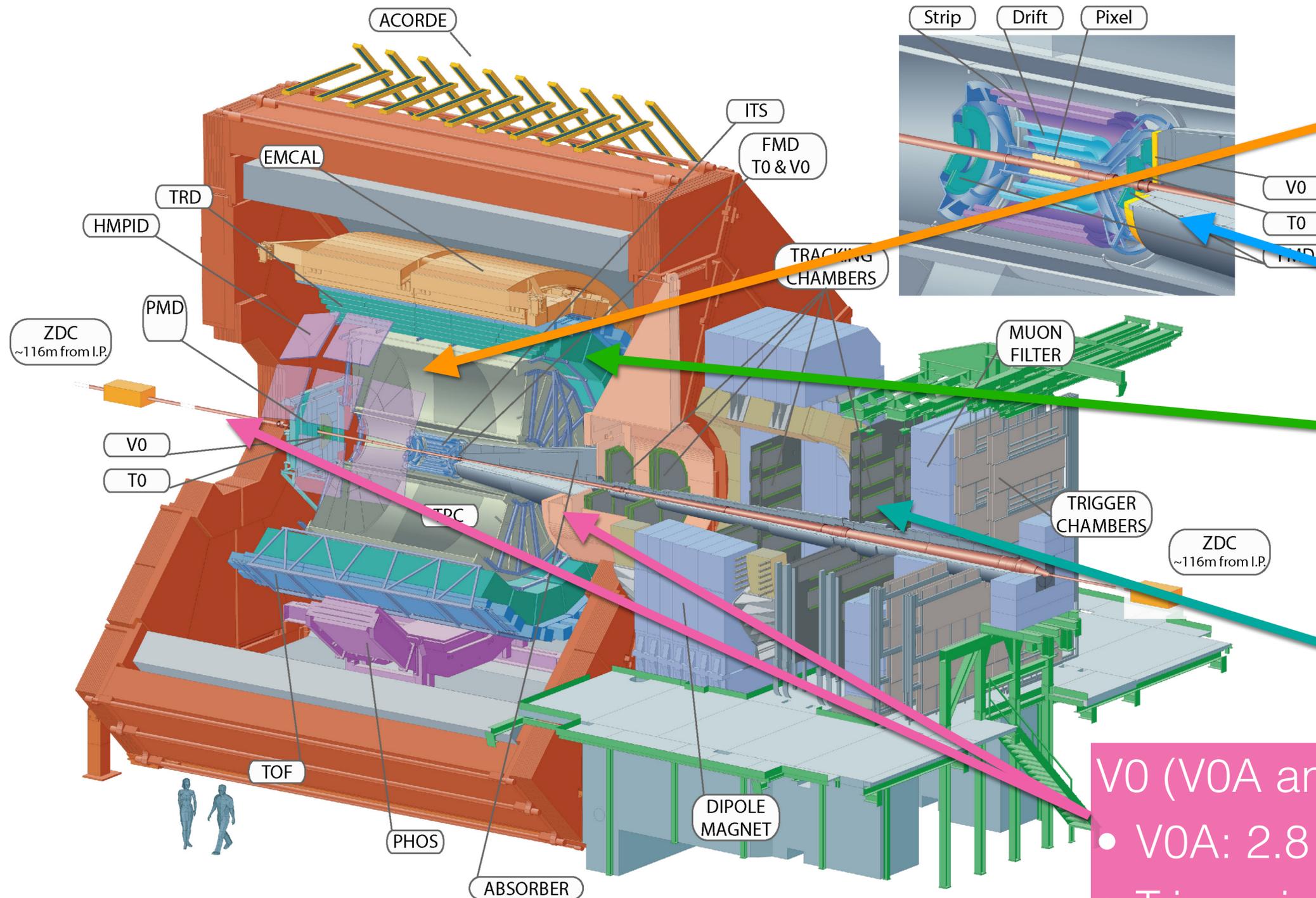
- Reference for pA and AA collisions
- Test pQCD factorization theorem
  - ➔ Assume universal fragmentation and constrained from e<sup>-</sup>e<sup>+</sup>/ep collisions

## p-Pb collisions

- Inspect cold nuclear matter (CNM) effects
- Collectivity at high-multiplicity (?)



# ALICE apparatus (till Run2)



Time Projection Chamber (TPC)

- $|\eta| < 0.9$ , charged-particle tracking and identification (PID)

Inner Tracking System (ITS)

- $|\eta| < 0.9$ , vertexing

Time of Flight (TOF)

- $|\eta| < 0.9$ , triggering, pileup rejection, PID

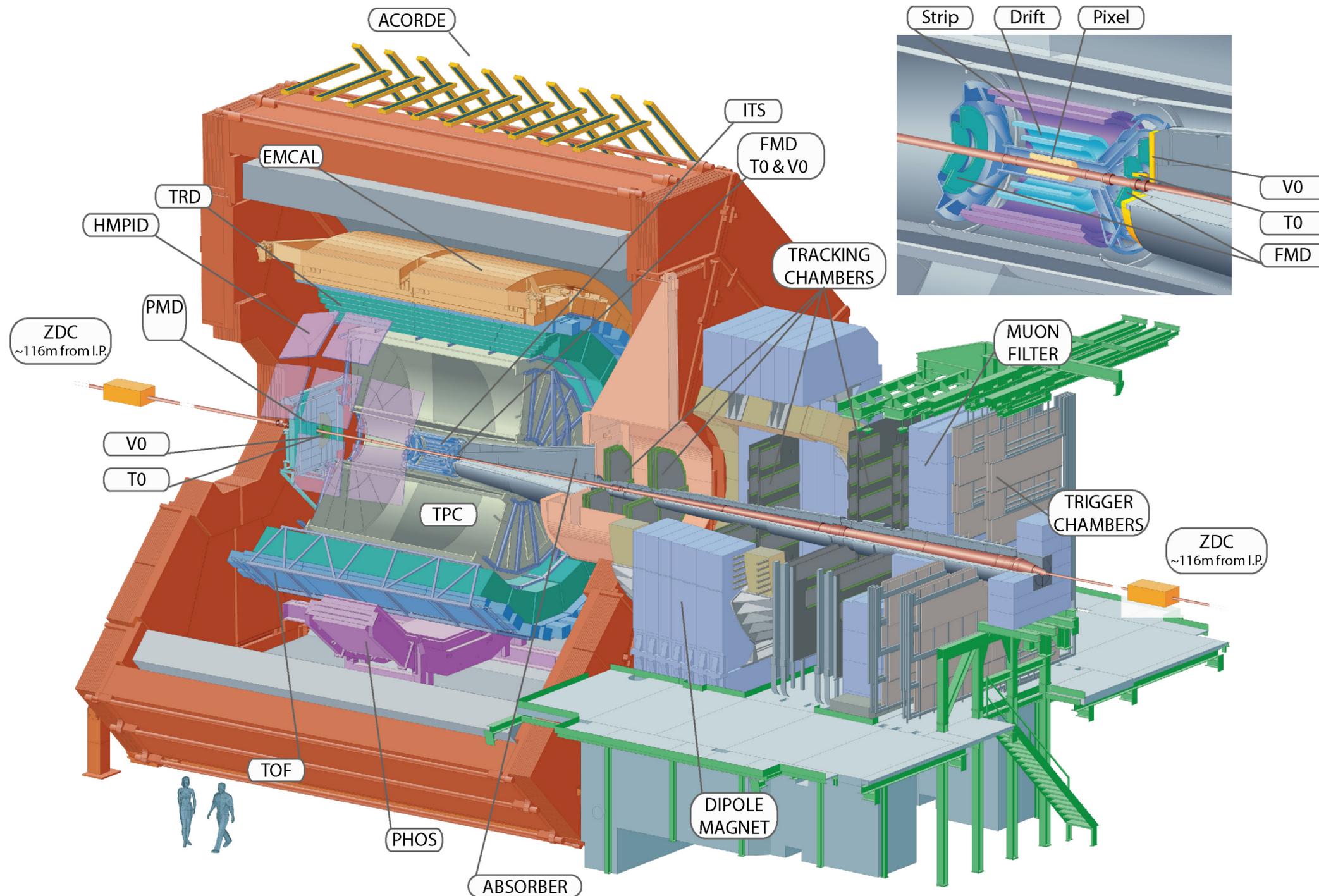
Muon spectrometer

- $2.5 < |\eta| < 4$ ,  $\mu \leftarrow c, b$ ,  $\mu \leftarrow W^\pm/Z^0$

V0 (V0A and V0C)

- V0A:  $2.8 < \eta < 5.1$ , V0C:  $-3.7 < \eta < -1.7$
- Triggering and multiplicity determination

# ALICE heavy-flavour programme



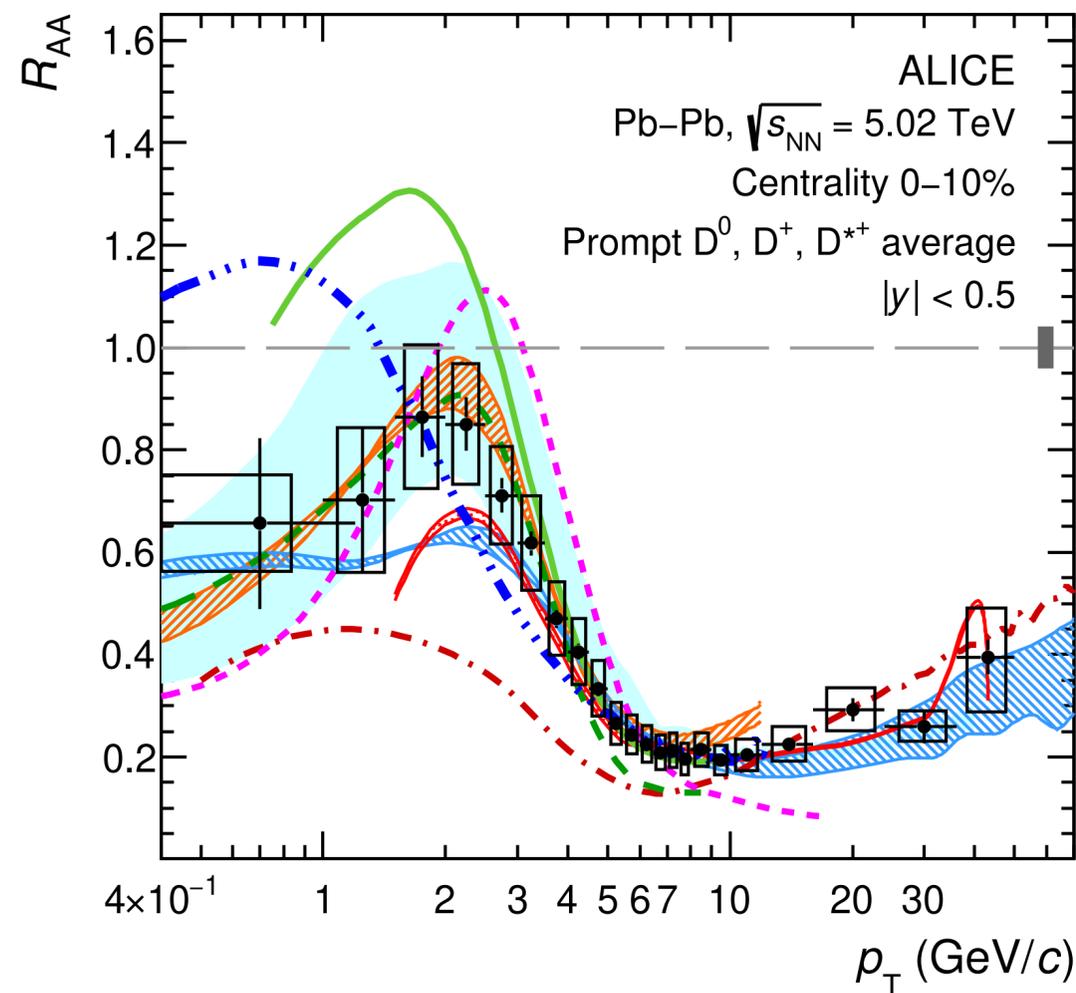
## Hadronic decays ( $|y| < 0.8$ )

- $D^0 \rightarrow K^- \pi^+$
- $D^+ \rightarrow K^- \pi^+ \pi^+, K^+ K^- \pi^+$
- $D^{*+} \rightarrow D^0 \pi^+ \rightarrow K^- \pi^+ \pi^+$
- $D_{s1}^+ \rightarrow D^{*+} K_S^0$
- $D_{s2}^{*+} \rightarrow D^+ K_S^0$
- $D_s^+ \rightarrow \phi \pi^+ \rightarrow K^- K^+ \pi^+$
- $\Lambda_c^+ \rightarrow p K^- \pi^+, p K_S^0$
- $\Sigma_c^{0,++}(2520), \Sigma_c^{0,++}(2544) \rightarrow \Lambda_c^+ \pi^\mp$
- $\Xi_c^{0(+)} \rightarrow \Xi^- \pi^+ (\pi^+), \Xi^- e^+ \nu_e$
- $\Omega_c^0 \rightarrow \Omega^- \pi^+, \Omega^- e^+ \nu_e$

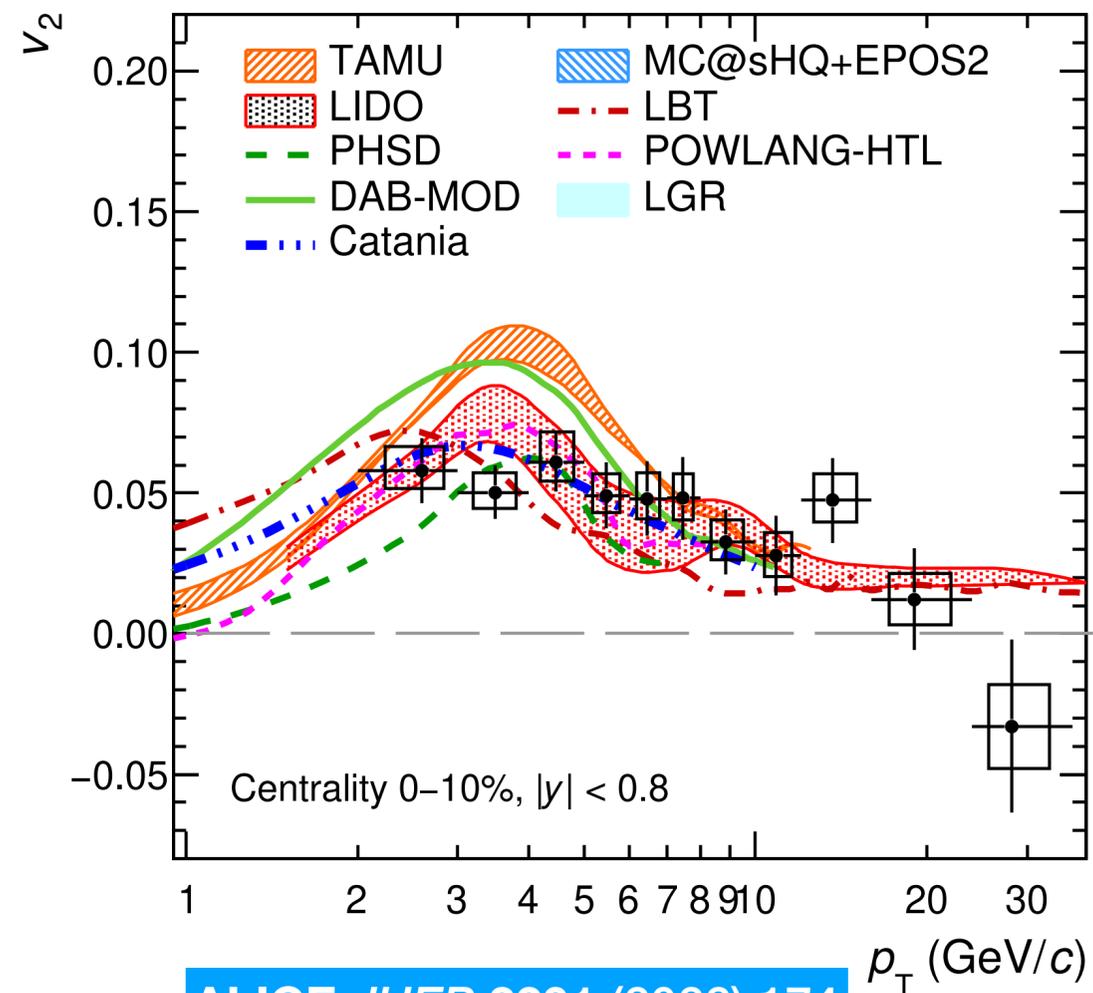
## Semi-leptonic decays

- $c, b \rightarrow e^\pm$  ( $|y| < 0.8$  or  $0.6$ )
- $c, b \rightarrow \mu^\pm$  ( $2.5 < y < 4$ )

# Charm-quark transport



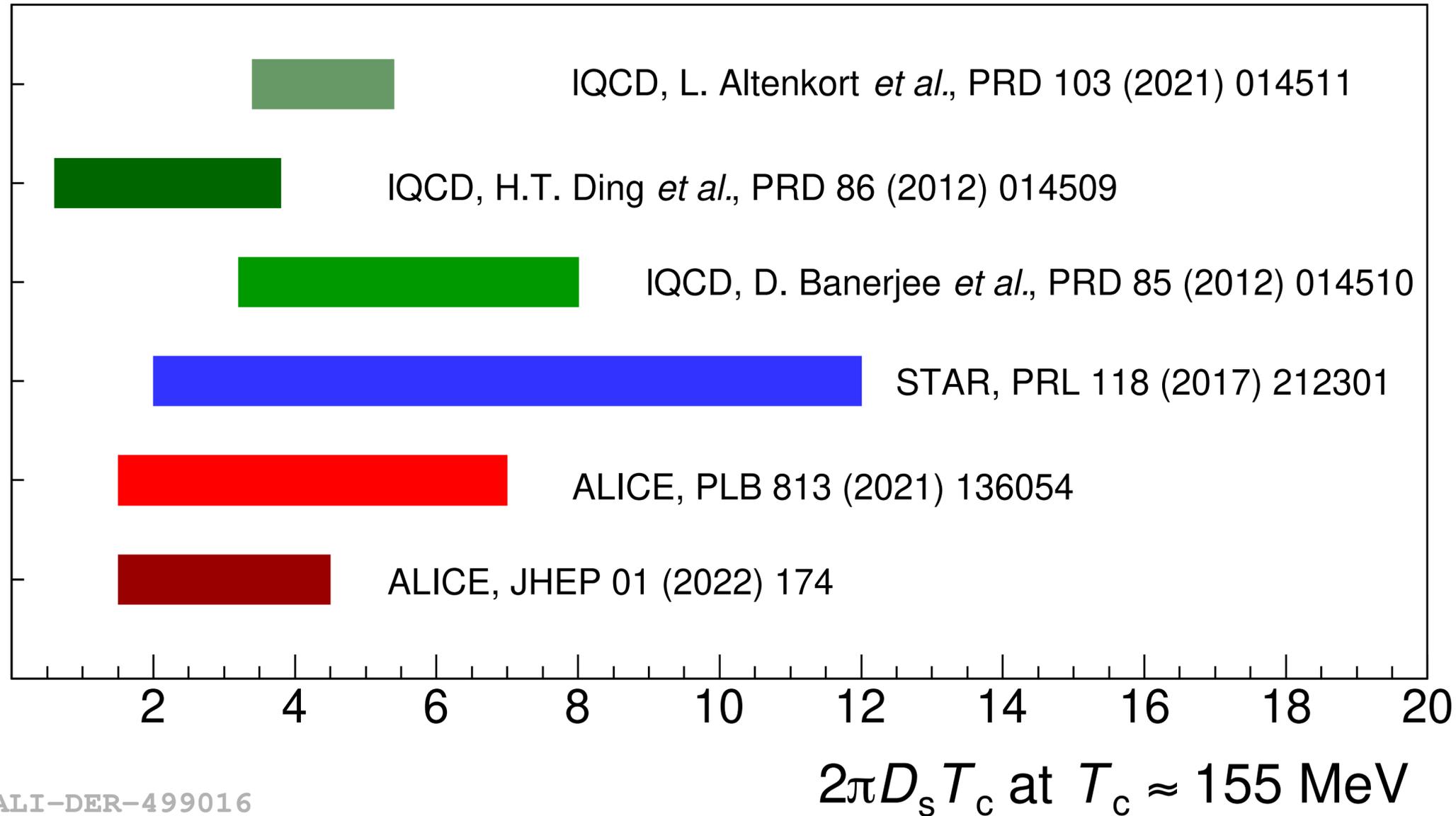
ALI-PUB-501952



ALICE JHEP 2201 (2022) 174

- Strong suppression and significant  $v_2$  at intermediate  $p_T$ 
  - ➔ Hint that charm participates to the medium collective motion
- Most charm quark transport models able to describe both the  $R_{AA}$  and  $v_2$

# Charm-quark transport



ALI-DER-499016

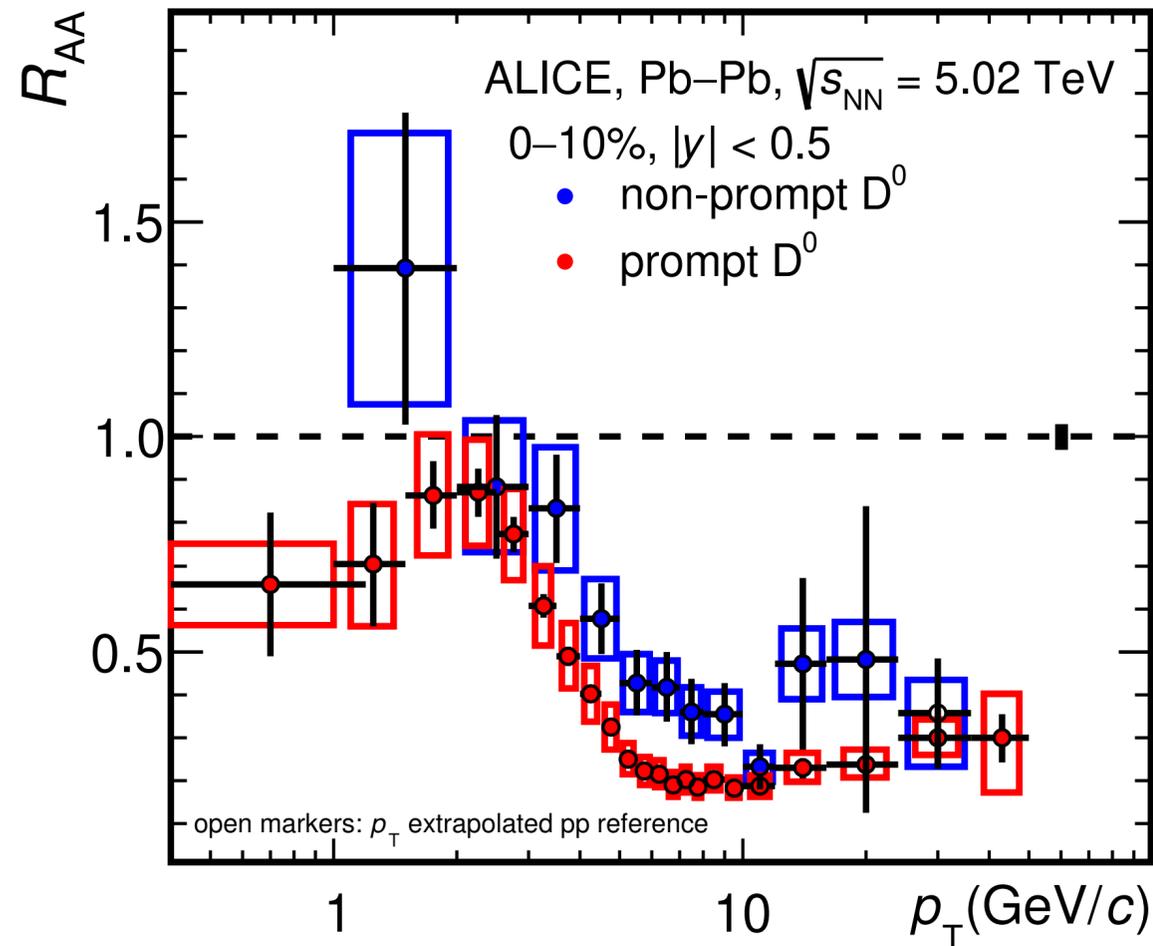
Diffusion coefficient  $D_s$

- Almost independent of quark mass
- Characterization of the transport properties of the medium
- Constrains the specific shear viscosity  $\eta/s$

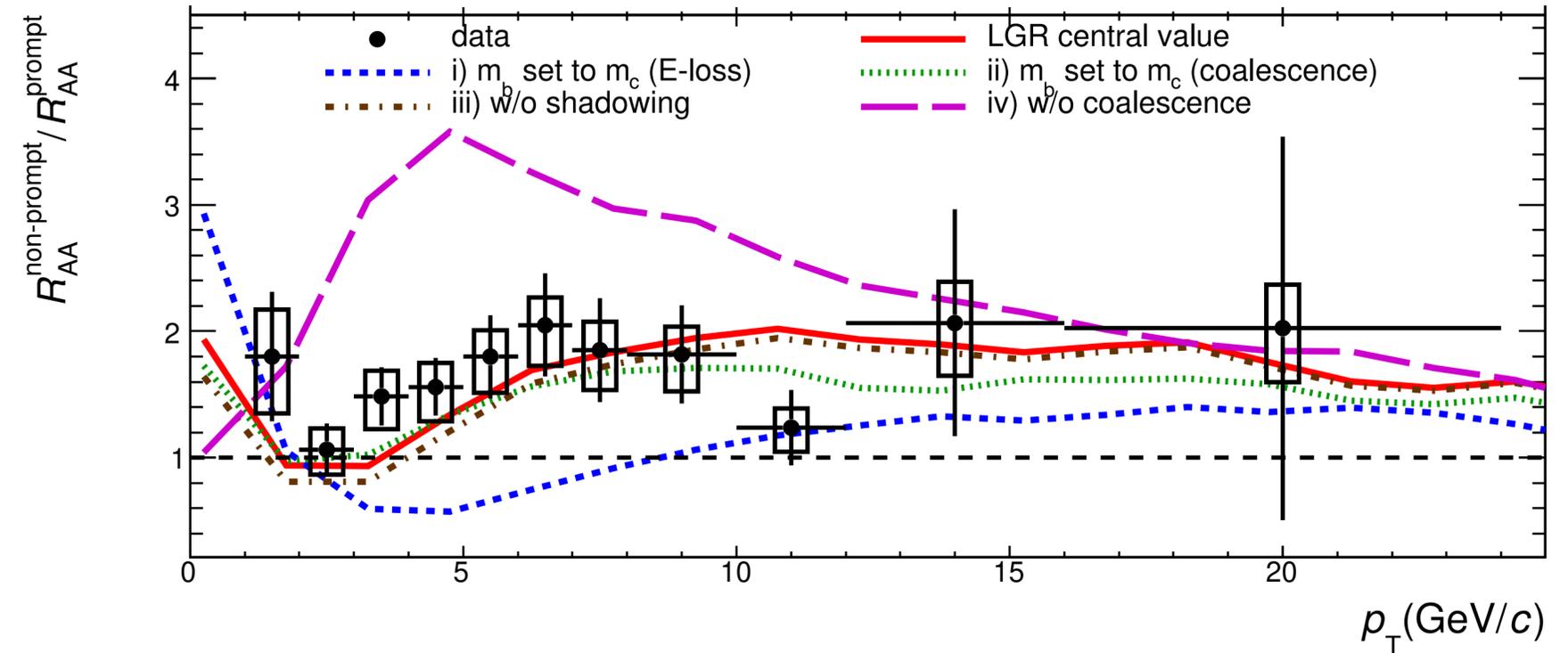
**Newest** constraints from ALICE by combining D meson  $R_{AA}$  and  $v_2$

- $1.5 < 2\pi D_s(T) < 4.5$ ,  $\tau_{\text{charm}} = (m_{\text{charm}} / T) D_s(T) = 3\text{--}9$  fm/c  $< \tau_{\text{medium}} \approx 10$  fm/c
- Indicate charm may thermalize in the medium

# Beauty-quark energy loss



ALICE JHEP 2212 (2022) 126



- Mass effects are important to describe data
- Coalescence plays a relevant role at intermediate  $p_T$

Non-prompt D mesons are less suppressed than prompt D mesons

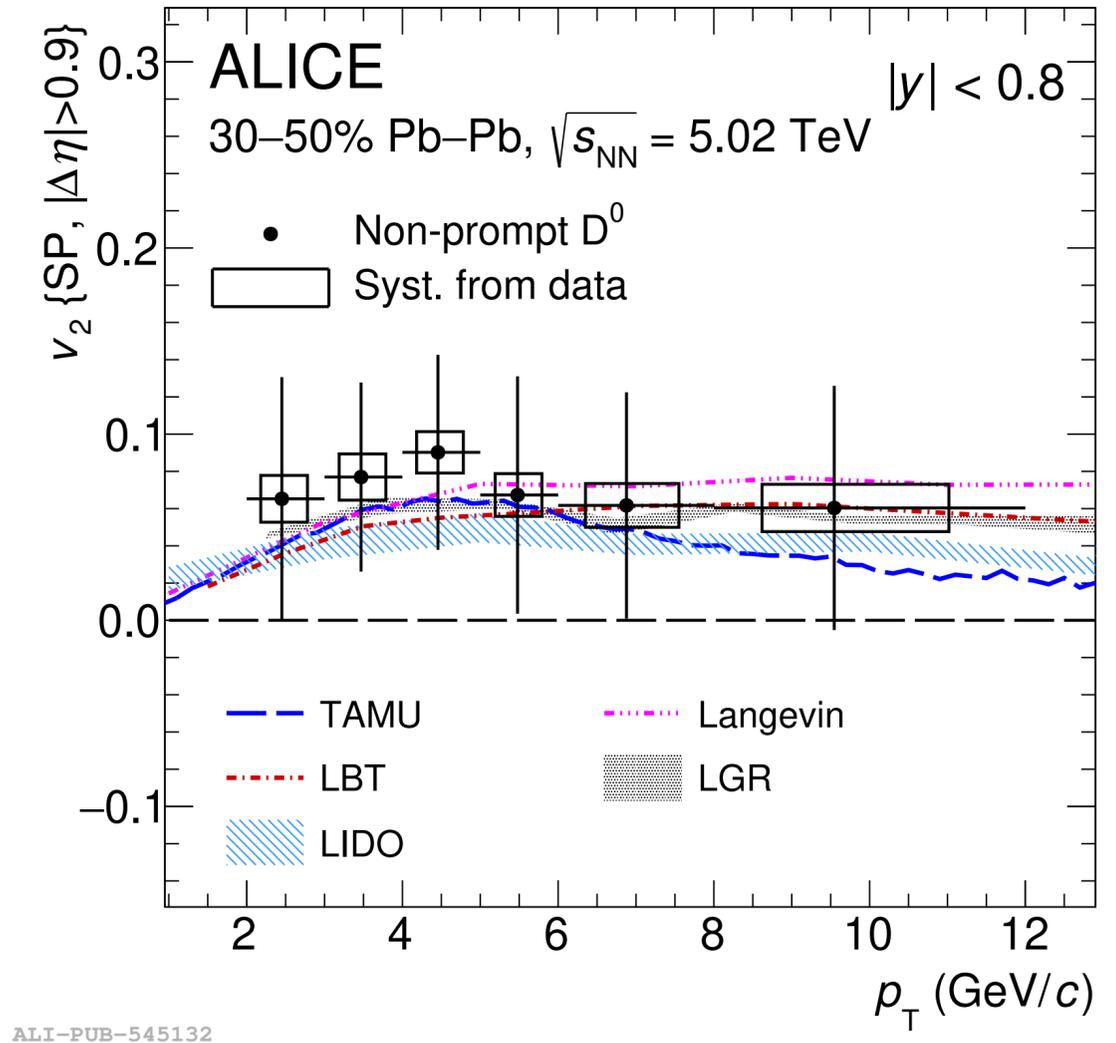
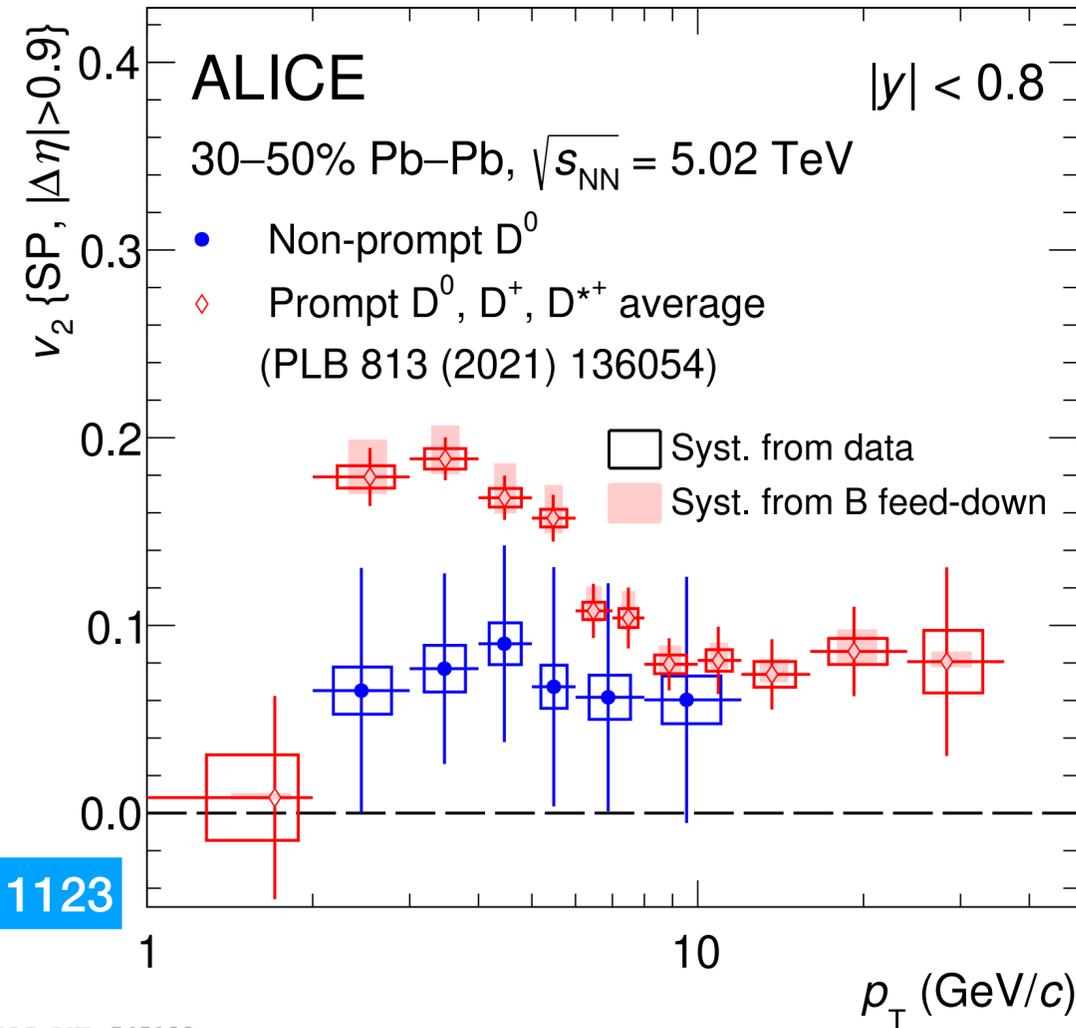
$$R_{AA}(\text{beauty}) > R_{AA}(\text{charm}) \Rightarrow \Delta E_{\text{beauty}} < \Delta E_{\text{charm}}$$

# Beauty-quark elliptic flow



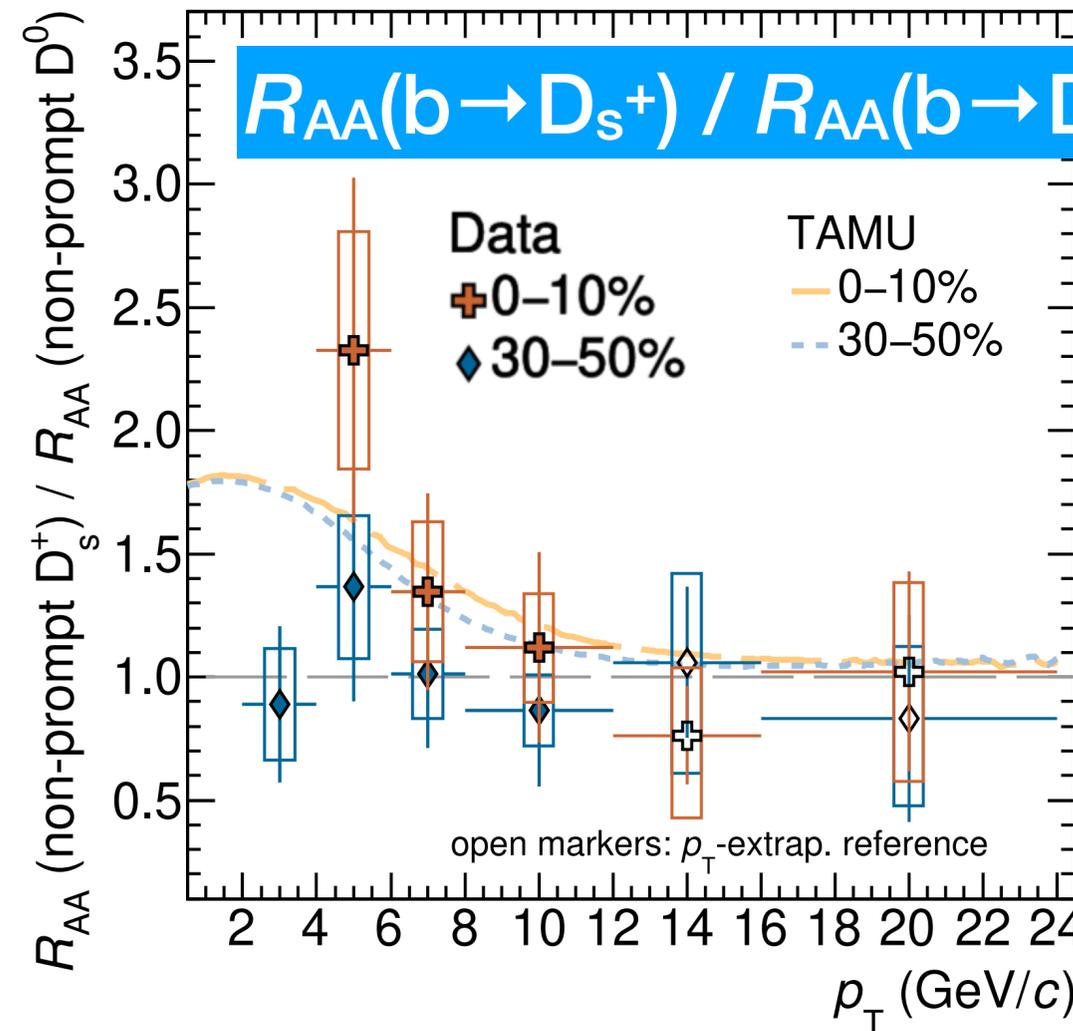
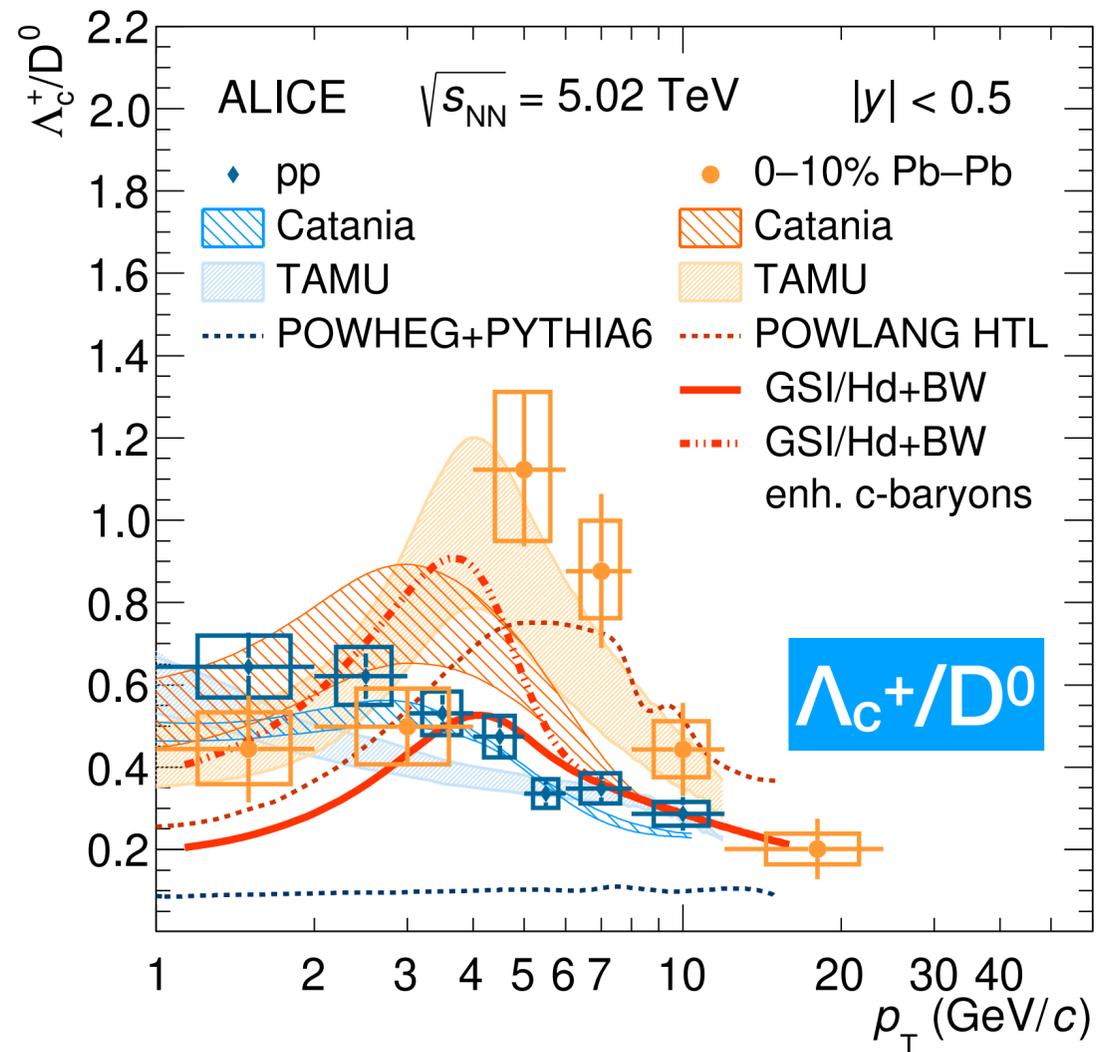
- $v_2(b \rightarrow D^0) > 0$
- 2.7 $\sigma$  significant effect
- No strong  $p_T$  dependence
- $v_2(b \rightarrow D^0) < v_2(D)$
- 3.2 $\sigma$  significant effect

ALICE *Eur. Phys. J. C*83 (2023) 1123



- Beauty-quark transport models give reasonable description to data
- Except TAMU (collisional only), both collisional interactions and radiative processes, and hadronisation via coalescence are considered in models

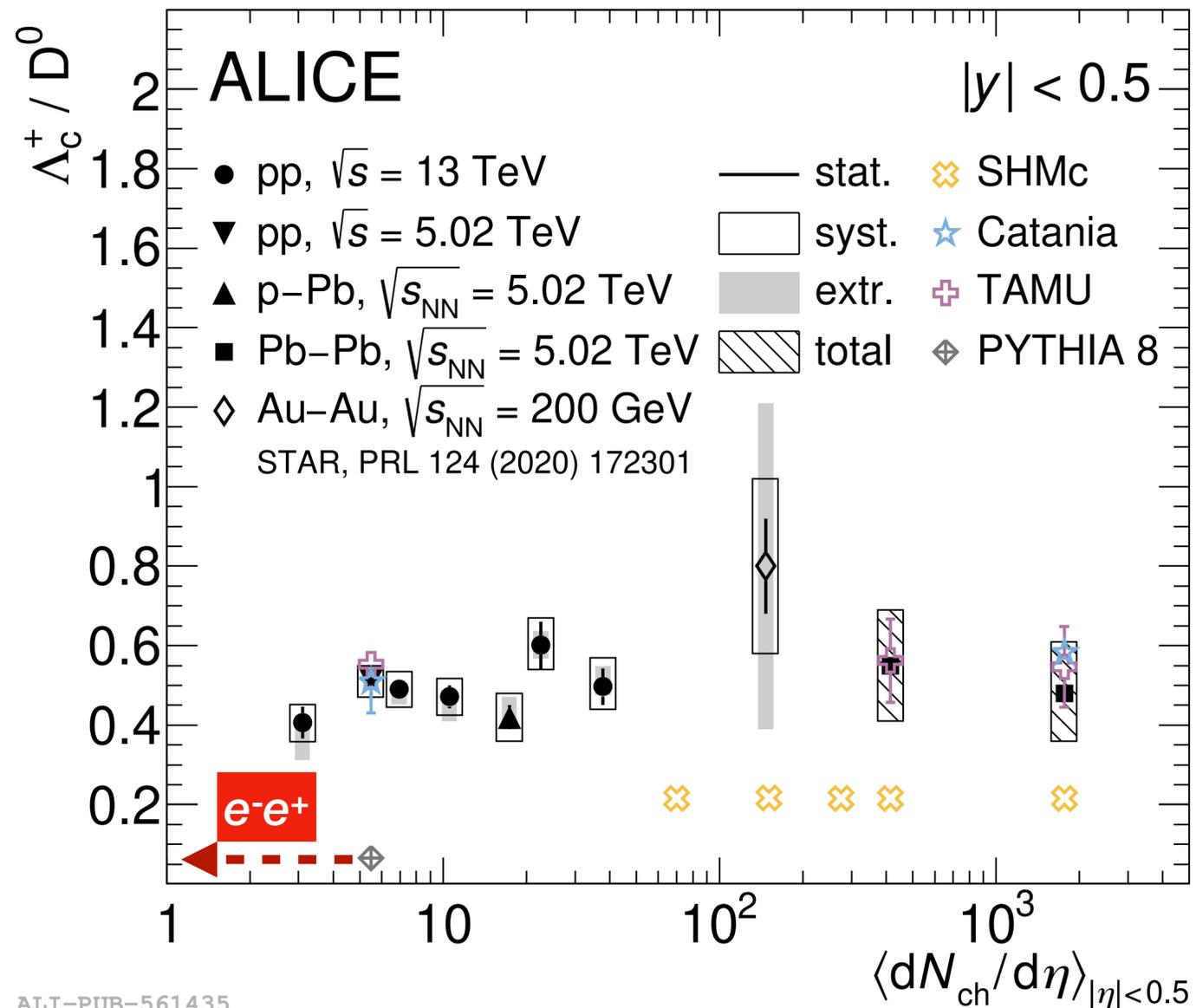
# Heavy quark hadronization



ALICE Phys. Lett. B846 (2023) 137561  
ALICE Phys. Lett. B839 (2023) 137796

- Enhanced  $\Lambda_c/D^0$  ratio in **Pb-Pb** w.r.t. **pp** at intermediate  $p_T$ 
  - ➔ Suggest interplay between hadronization via recombination and radial flow
- Suggest  $R_{AA}(b \rightarrow D_s^+) > R_{AA}(b \rightarrow D^0)$  at  $p_T \approx 5$  GeV/c: recombination with strange quarks in a strangeness-rich environment

# $\Lambda_c^+ / D^0$ ratio in small systems



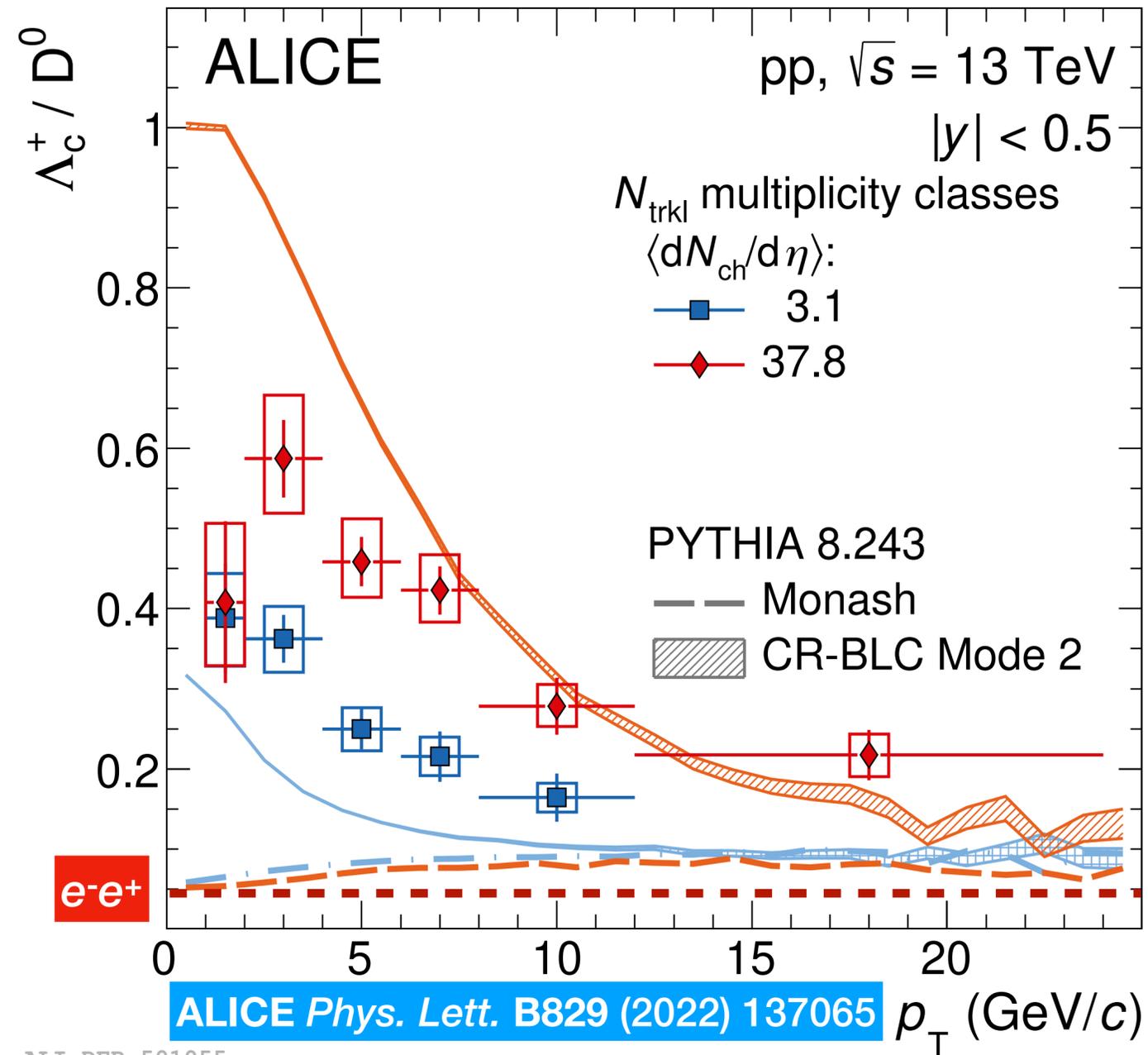
ALI-PUB-561435

ALICE Phys. Lett. B839 (2023) 137796

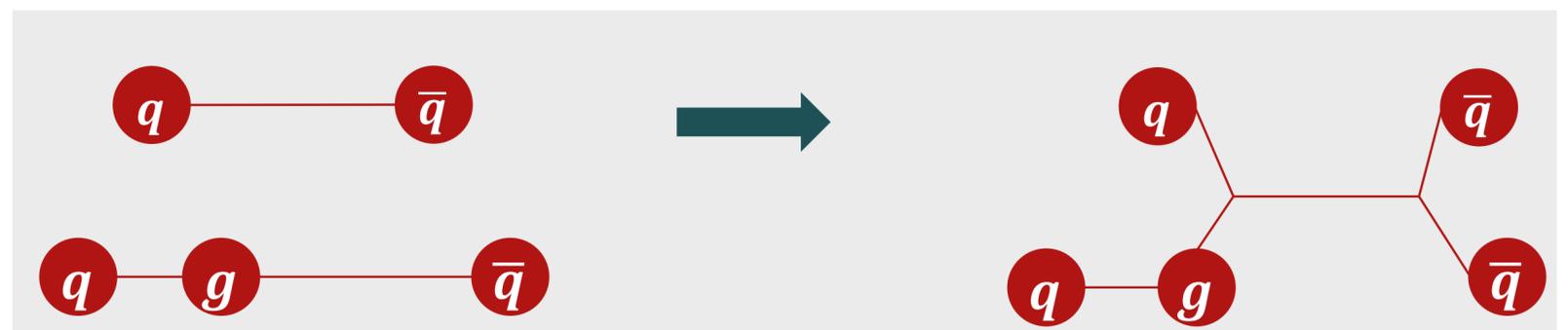
- $p_T$ -integrated  $\Lambda_c^+ / D^0$  ratio vs multiplicity from pp, p-Pb to Pb-Pb collisions
  - ➔ No multiplicity dependence observed
  - ➔ Significantly higher than  $e^-e^+$  and ep collisions (PYTHIA 8)
  - ➔ Suggest a modified hadronization mechanism in hadronic collisions w.r.t.  $e^-e^+$  and e-p collisions

- Catania and TAMU which contain hadronization via coalescence describe data, while Statistic Hadronization Model (SHMc) underestimates data

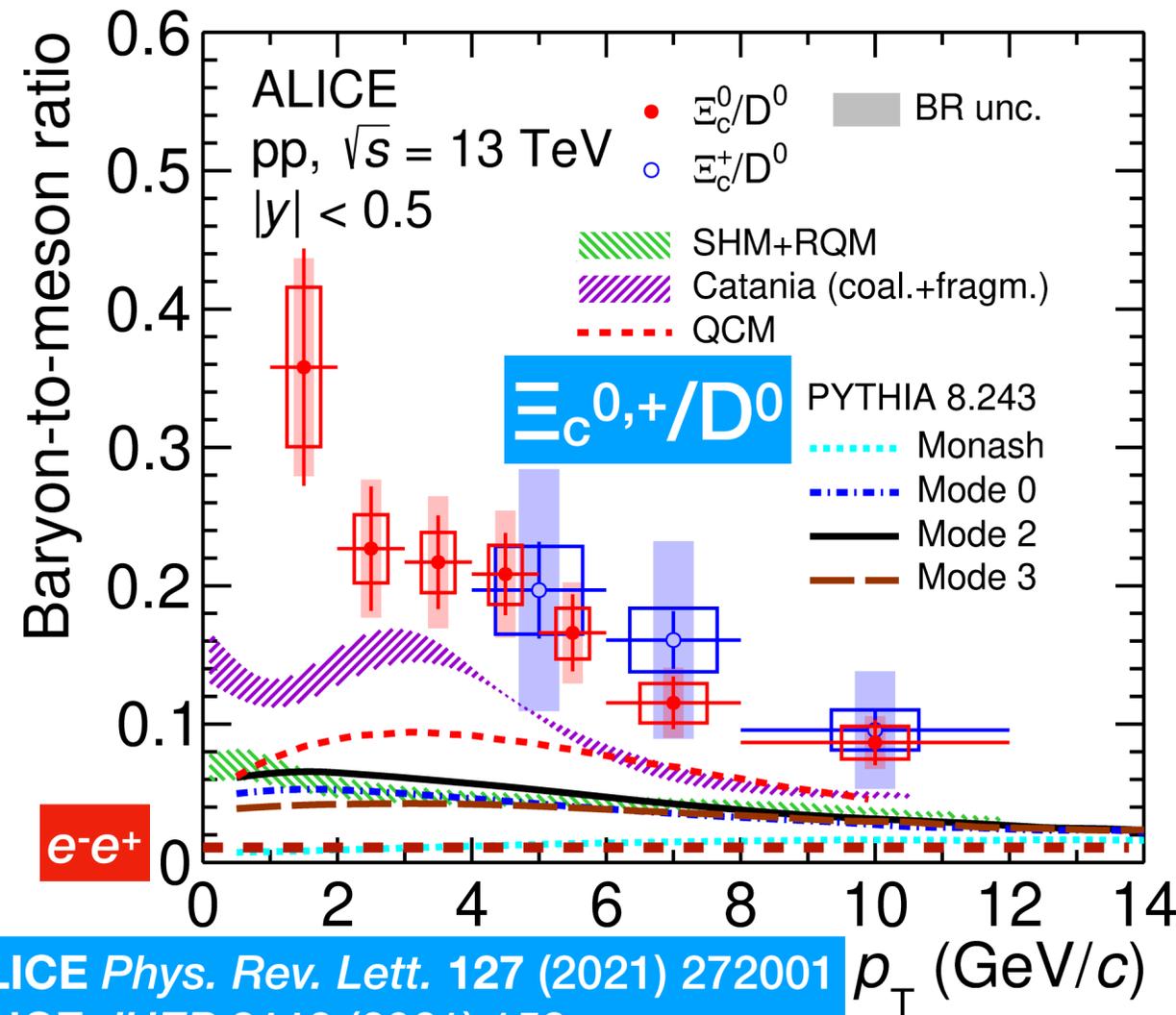
# $\Lambda_c^+ / D^0$ ratio in pp collisions



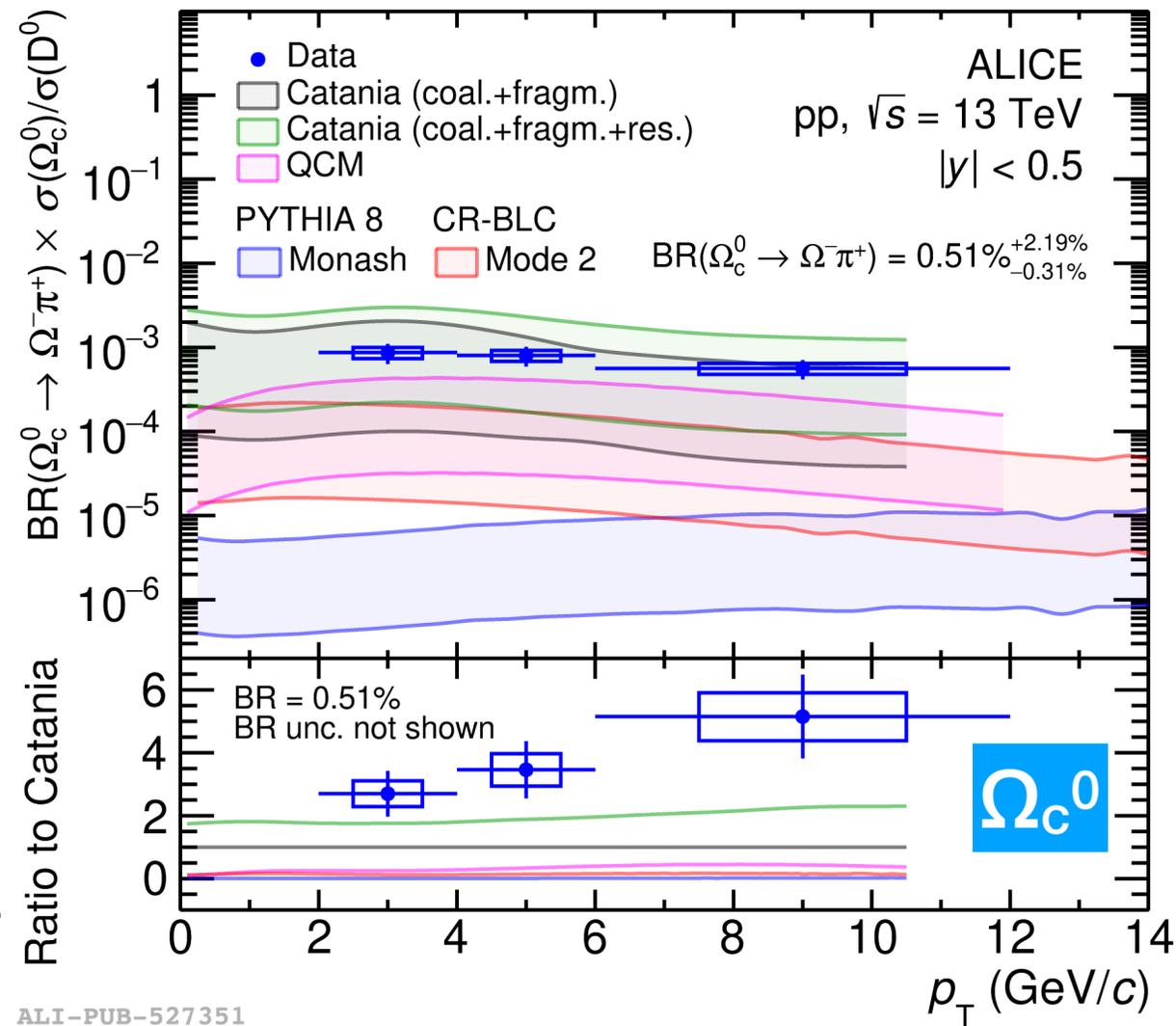
- $p_T$ -differential  $\Lambda_c^+ / D^0$  ratio measured in pp collisions shows a substantial increase for increasing multiplicity
- Largely underestimated when comparing to the default PYTHIA tune (Monash)
- Good agreement including color-reconnection processes beyond leading color (CR-BLC), e.g., “junctions”, between partons created in different MPIs



# Strange charmed baryons in pp



ALICE Phys. Rev. Lett. 127 (2021) 272001  
ALICE JHEP 2110 (2021) 159

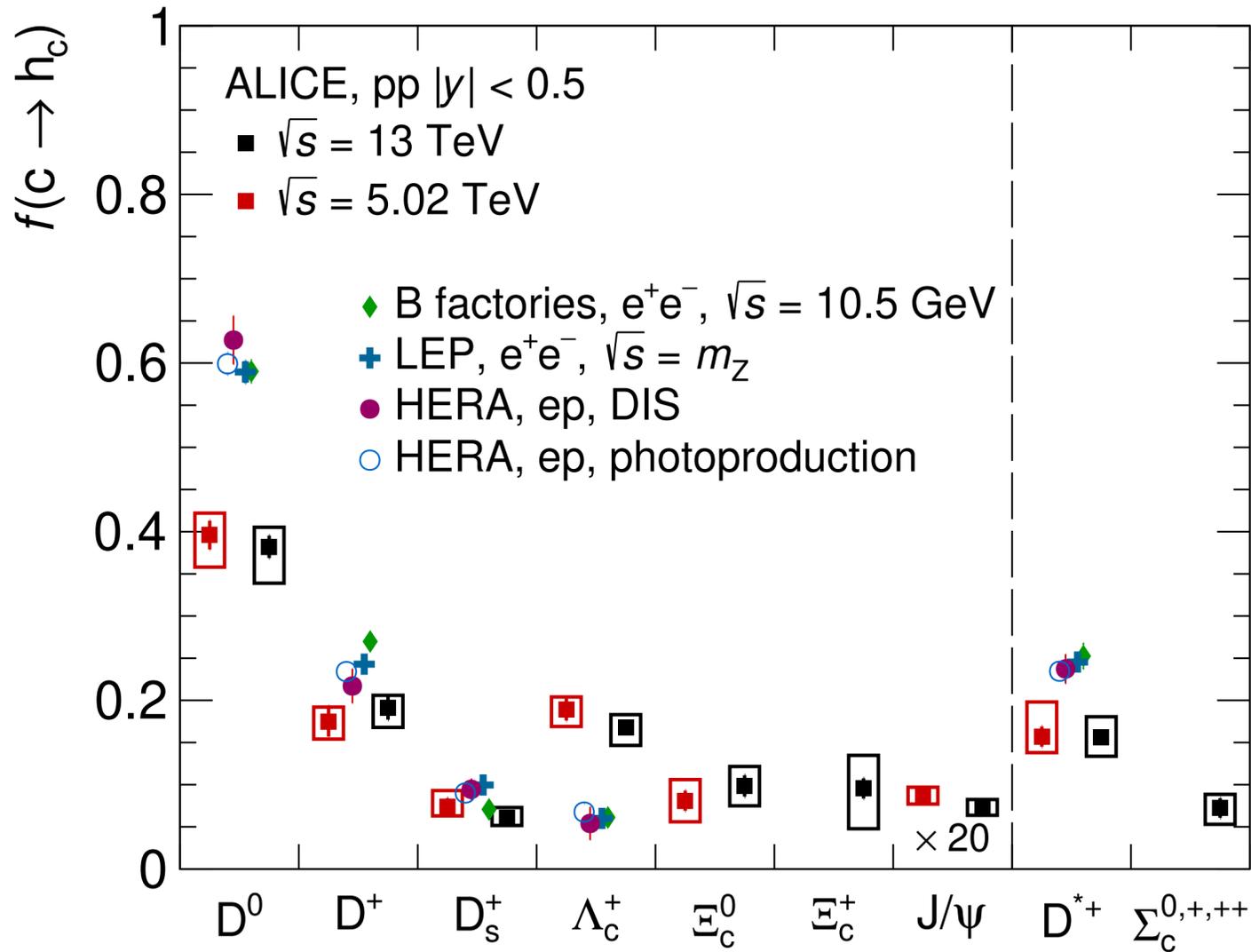


ALI-PUB-527351

ALICE Phys. Lett. B846 (2023) 137625

- Enhancement of strange charmed baryon-to-meson ratio w.r.t.  $e^-e^+$  is stronger than  $\Lambda_c^+/D^0$
- All models are challenged by the data

# Charm quark hadronization

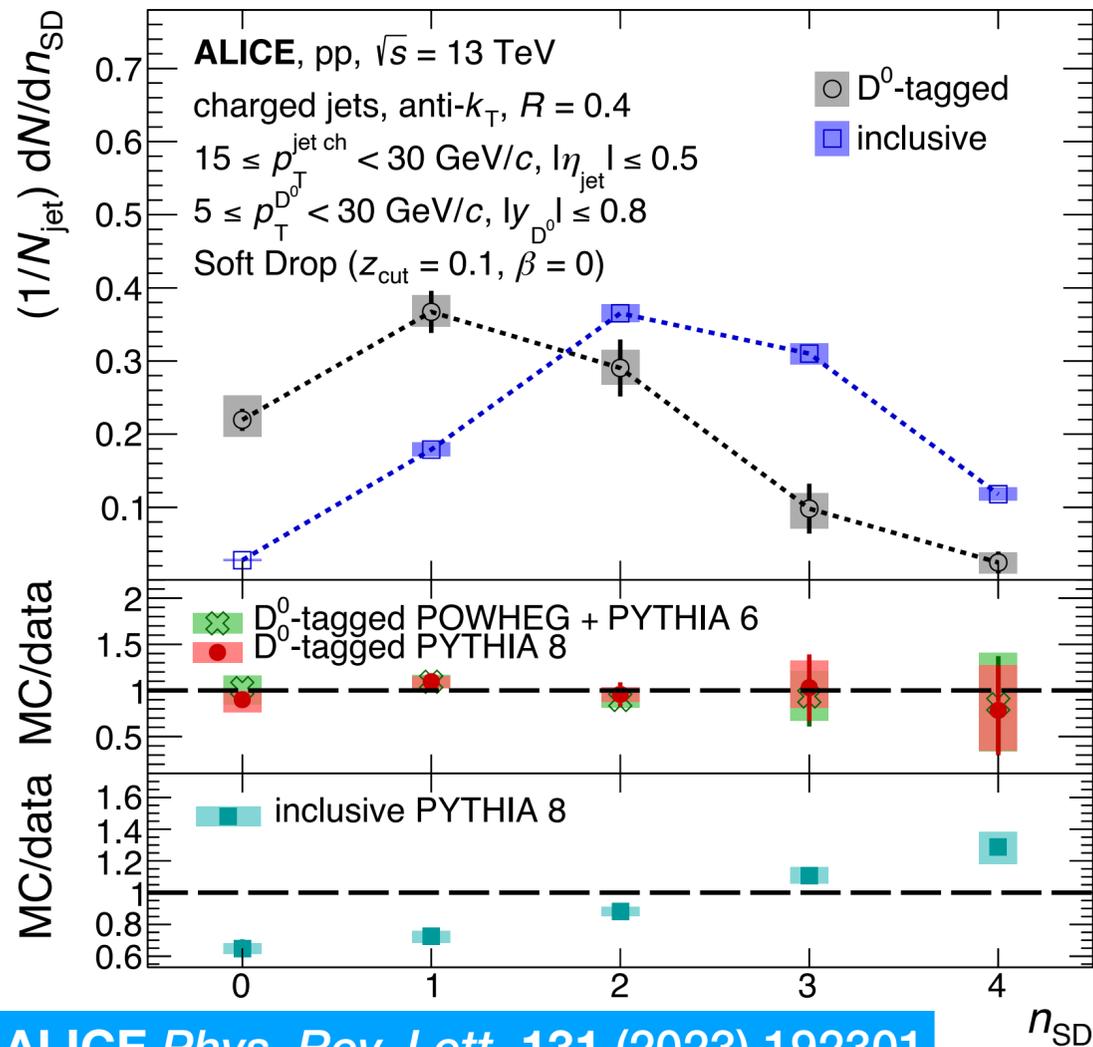


ALI-PUB-567906

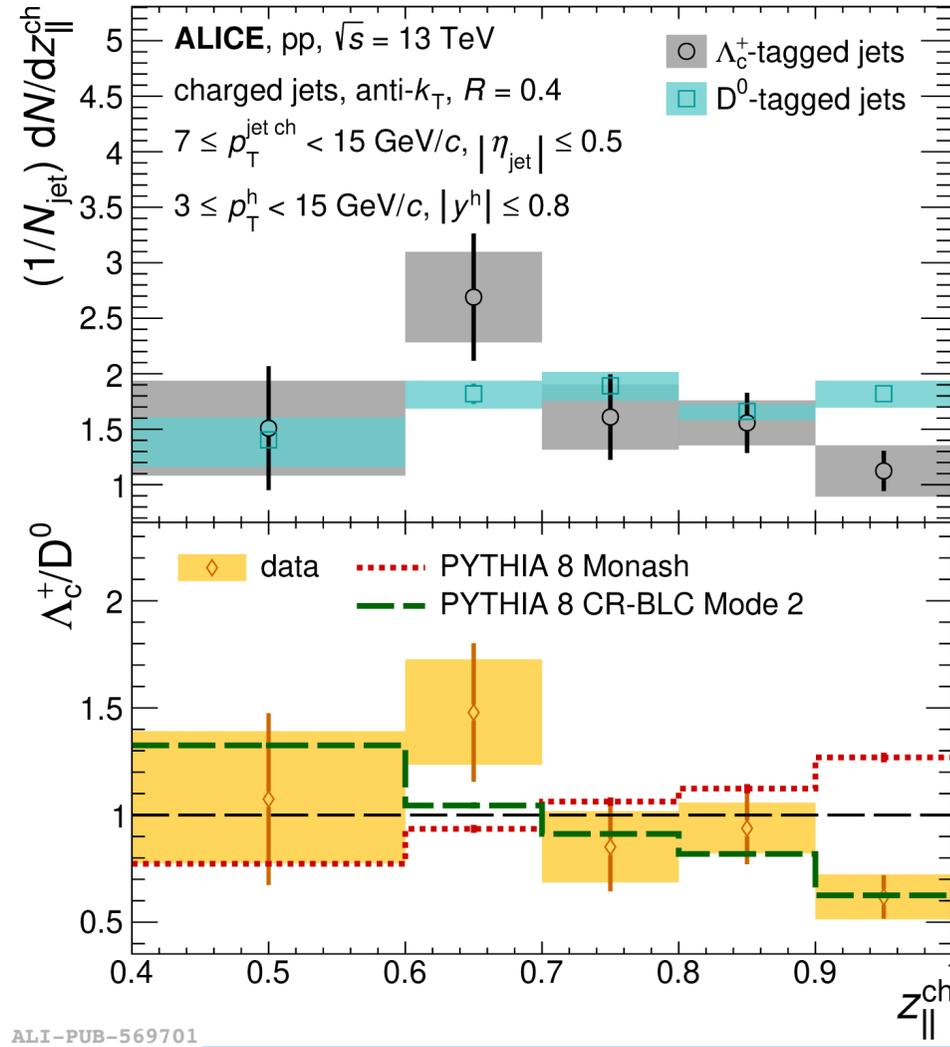
ALICE JHEP 2312 (2023) 086

- Charm-quark fragmentation fractions to different hadrons  $f(c \rightarrow H_c)$  at the LHC compared with LEP and HERA results
- Enhancement of baryon — overall reduction of relative D-meson abundance by a factor of 1.5 w.r.t  $e^-e^+$  and ep collisions
- No significant energy dependence at the LHC

# Charmed-hadron tagged jets

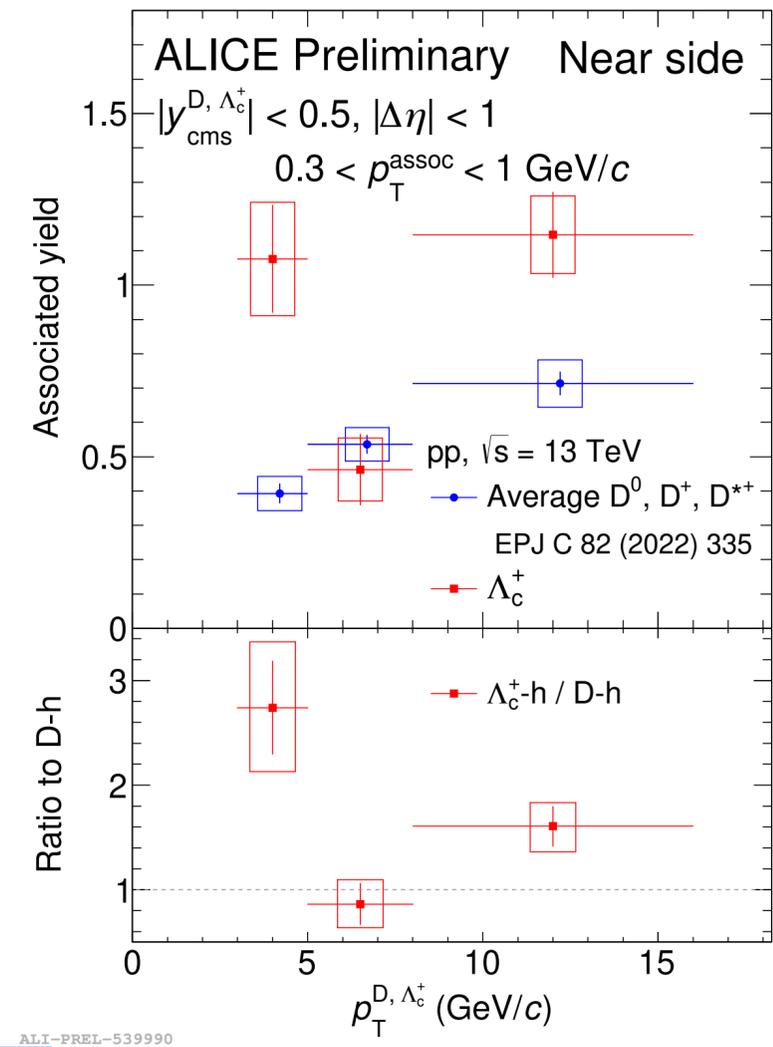


ALICE Phys. Rev. Lett. 131 (2023) 192301



ALI-PUB-569701

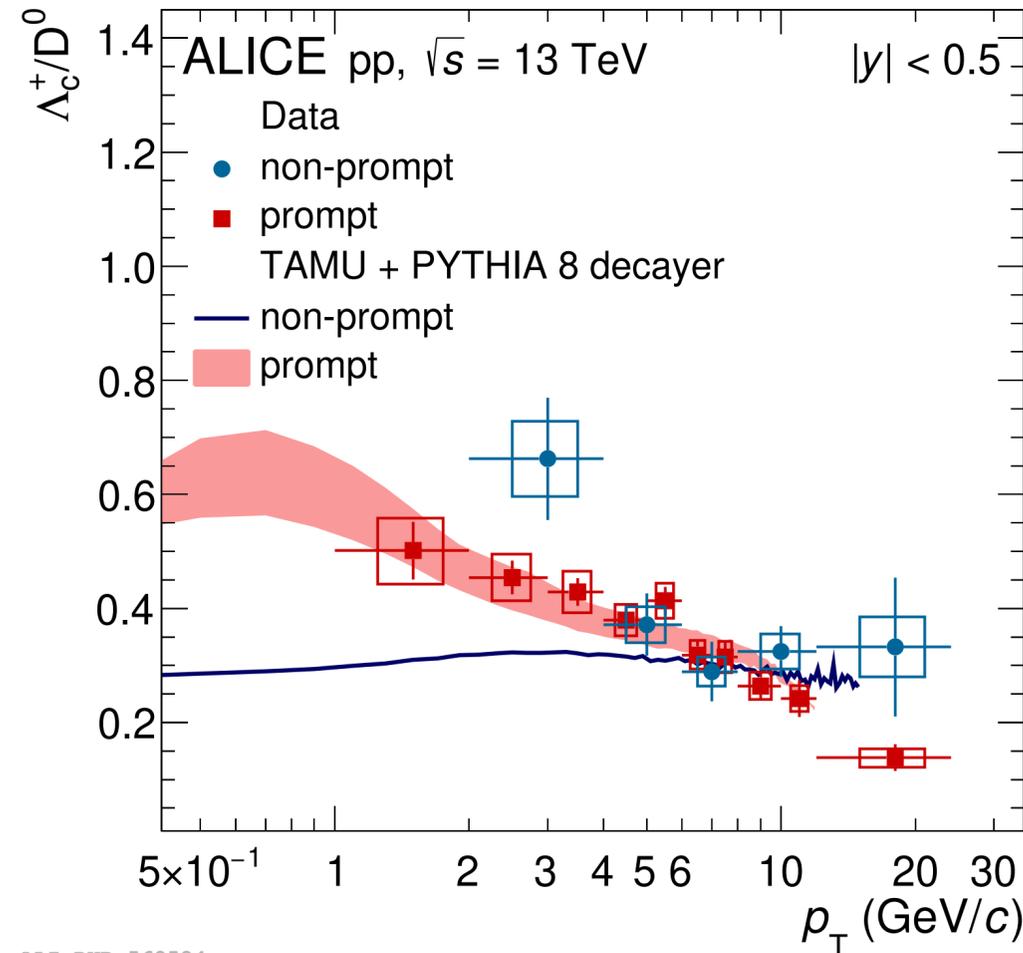
ALICE Phys. Rev. D109 (2024) 072005



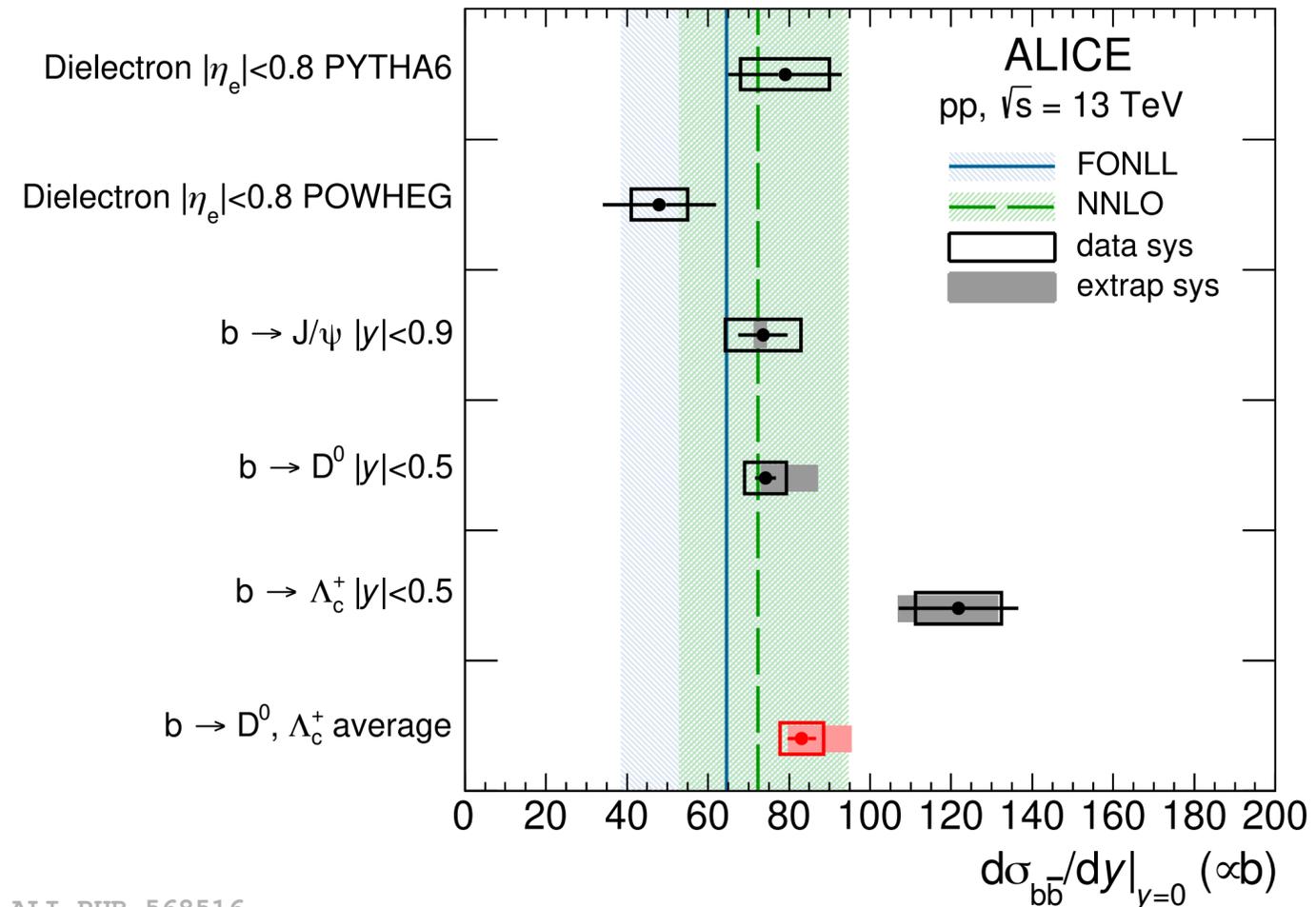
ALI-PREL-539990

- D<sup>0</sup> tagged jets have less splitting than the inclusive ones — consistent with the harder fragmentation and dead-cone effect [ALICE Nature 605 (2022) 440]
- Indication that charm fragmentation into  $\Lambda_c^+$  is softer and produces more collinear-associated particles compared to fragmentation into D<sup>0</sup>

# Beauty production in pp



ALI-PUB-568524

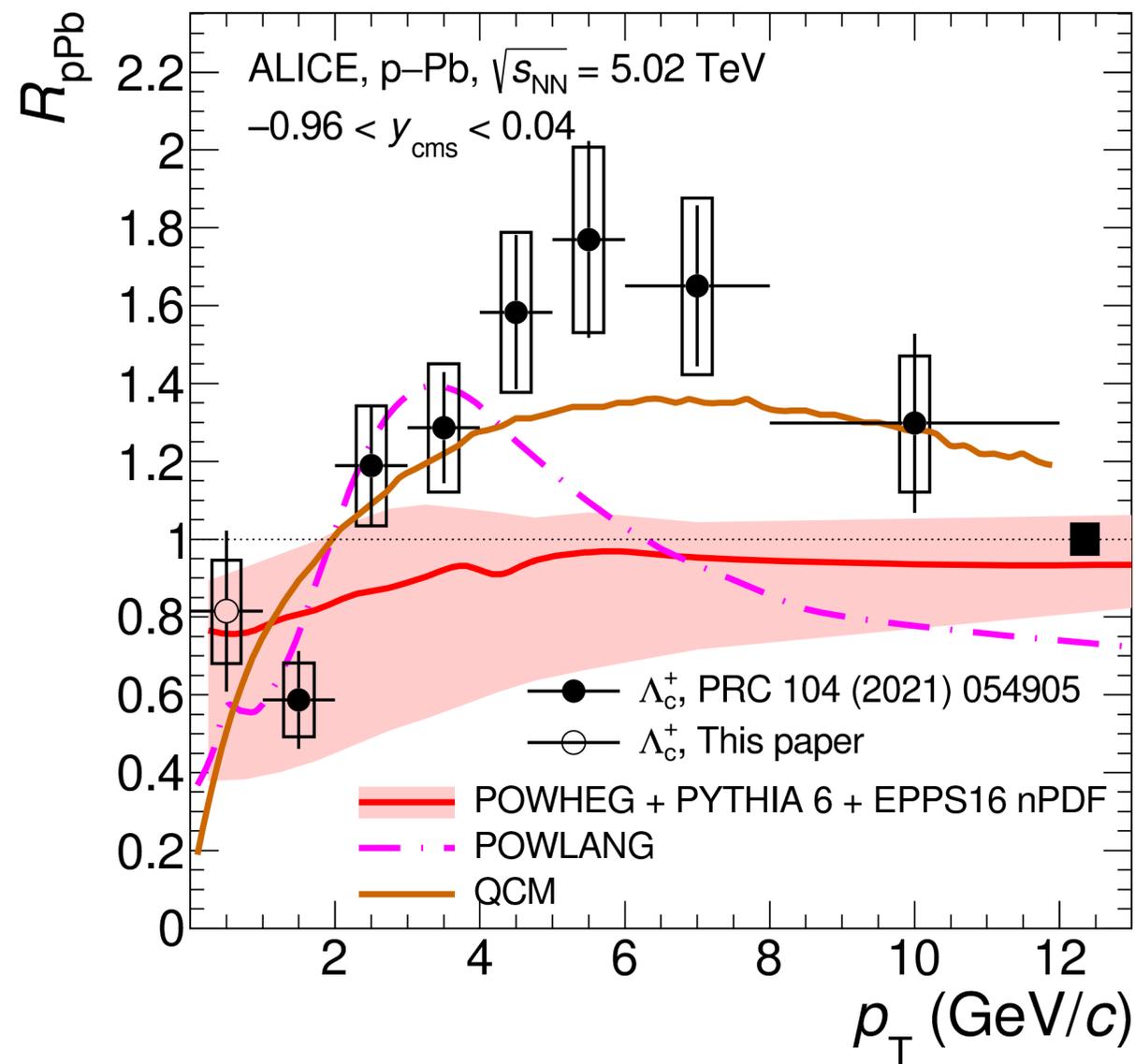


ALI-PUB-568516

ALICE Phys. Rev. D108 (2023) 112003

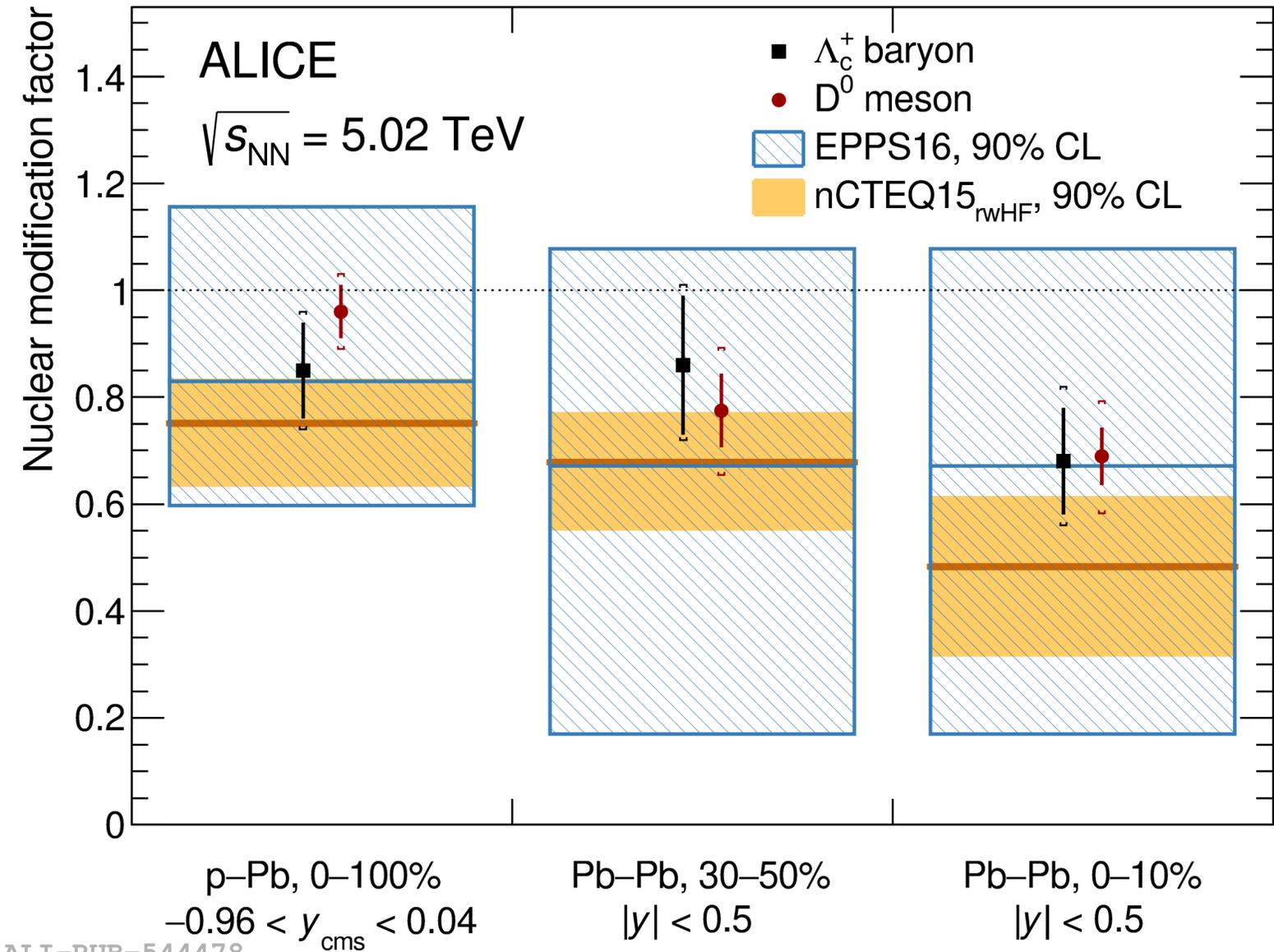
- $\Lambda_c^+/D^0$  ratio: similar values and  $p_T$  dependence between prompt and non-prompt
- Total beauty cross section compatible with other measurements and pQCD calculations

# Charm modification in p-Pb



ALI-PUB-544474

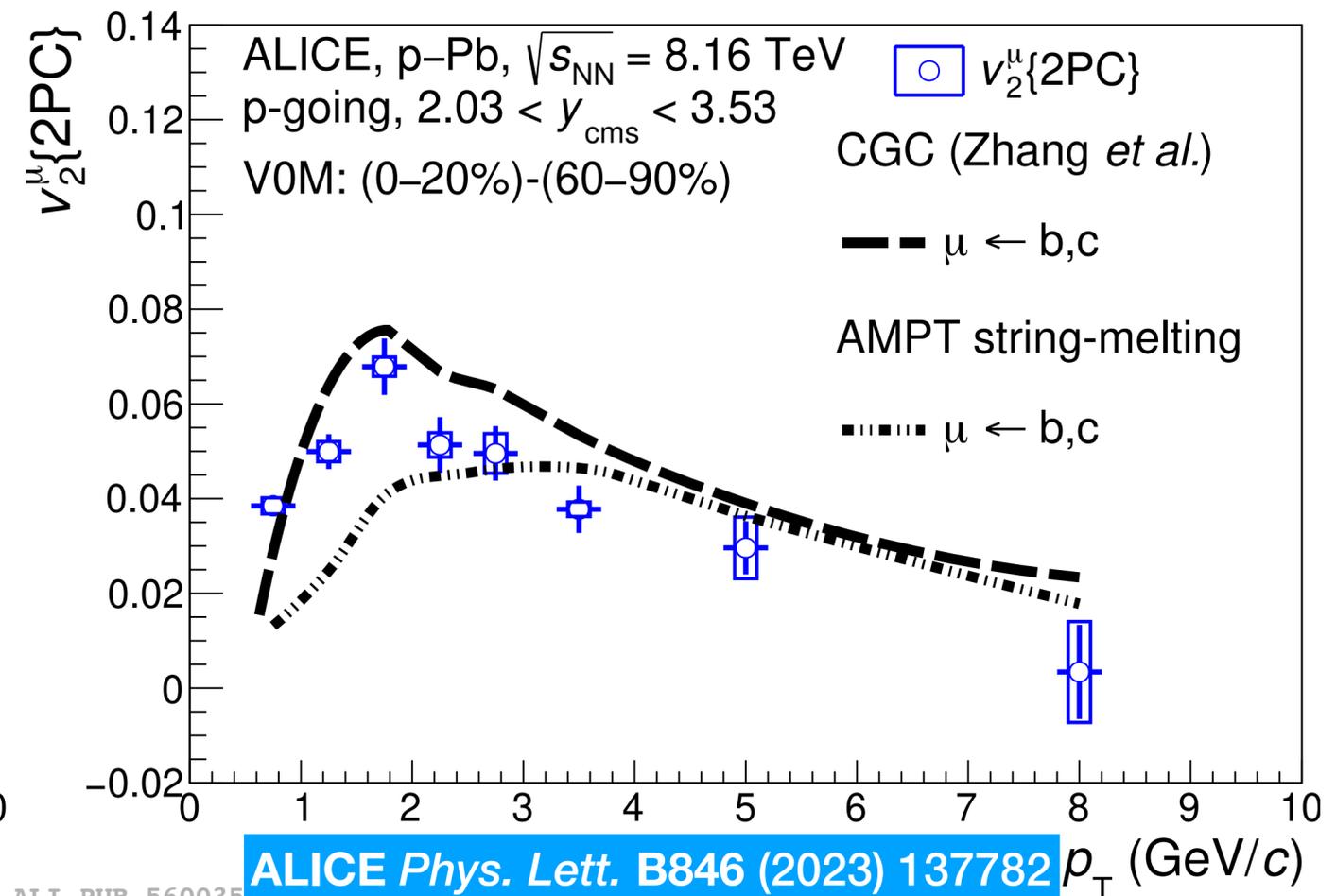
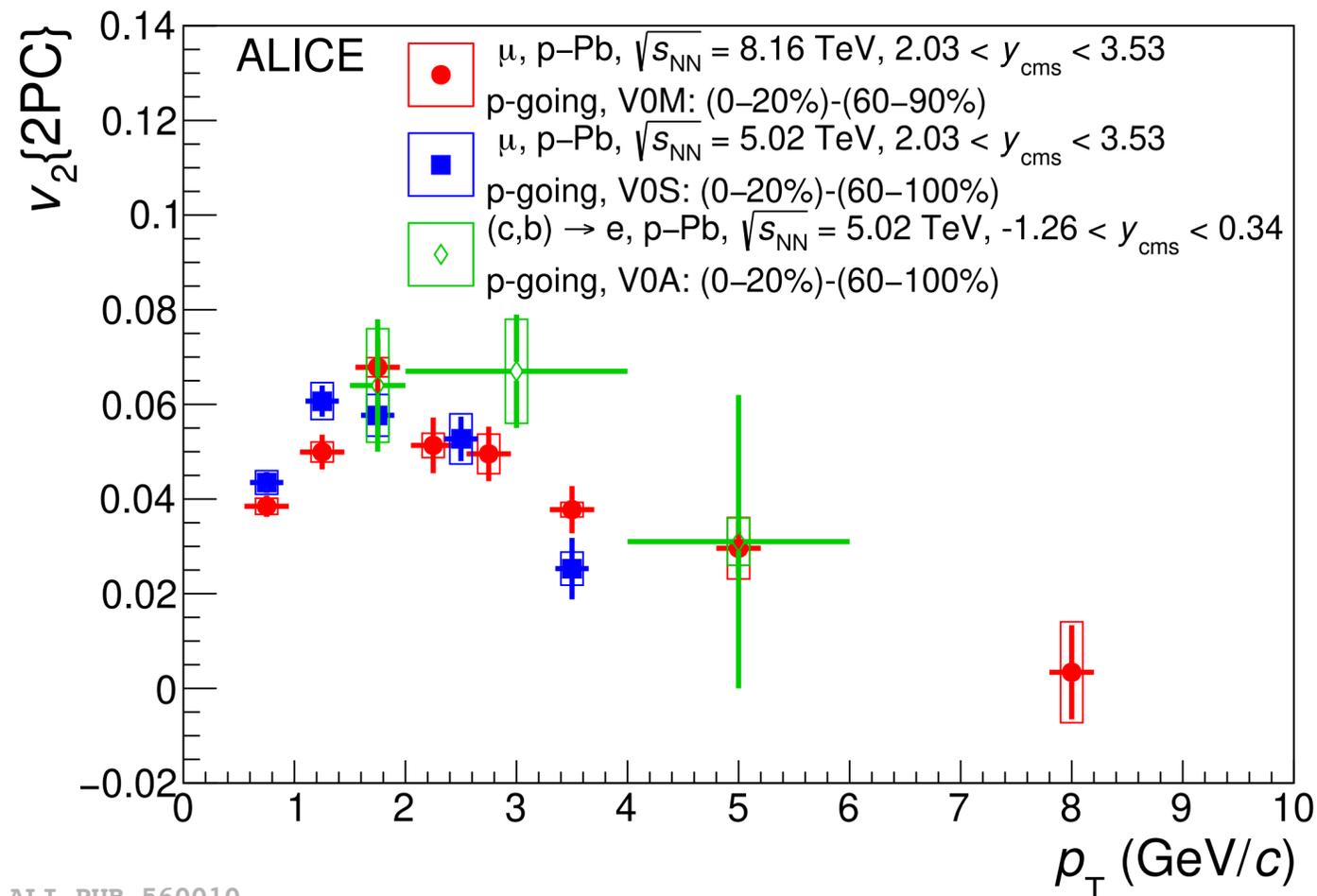
ALICE Phys. Rev. C107 (2023) 064901



ALI-PUB-544478

- $R_{pPb}(\Lambda_c^+) > 1$  at intermediate  $p_T$ : possible CMN effects + coalescence
- $p_T$ -integrated nuclear modification factor is consistent with 1: constrains on nPDFs **18**

# Azimuthal anisotropy in p-Pb



ALI-PUB-560010

ALI-PUB-560035

ALICE Phys. Lett. B846 (2023) 137782

- Positive  $v_2$  of inclusive muons at forward rapidity (dominated by HF decays in  $p_T > 2$  GeV/c)
  - ➔ Good agreement with c,b  $\rightarrow$  e at mid-rapidity within uncertainties
  - ➔ Described by both parton escape (AMPT) and initial stages partons correlations (CGC) mechanisms

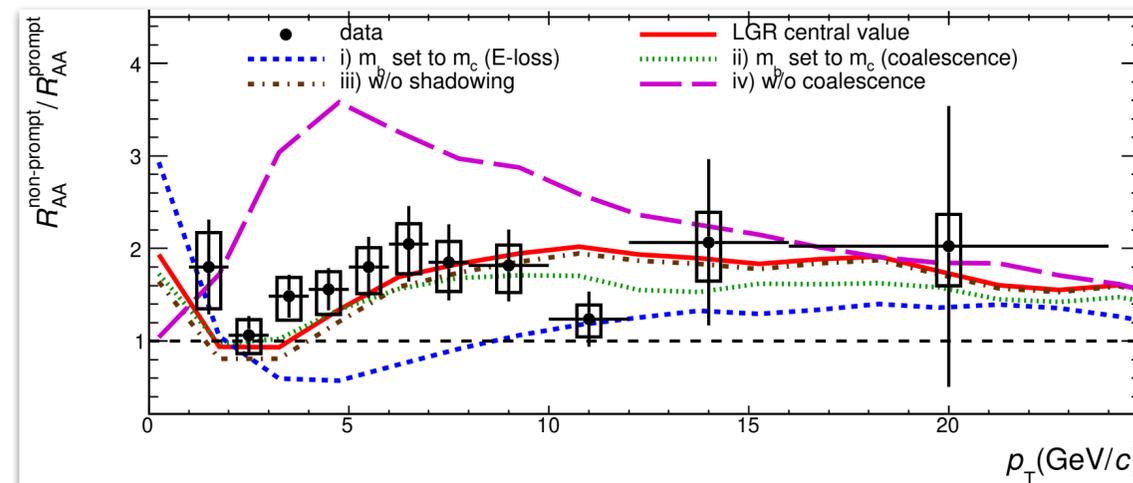
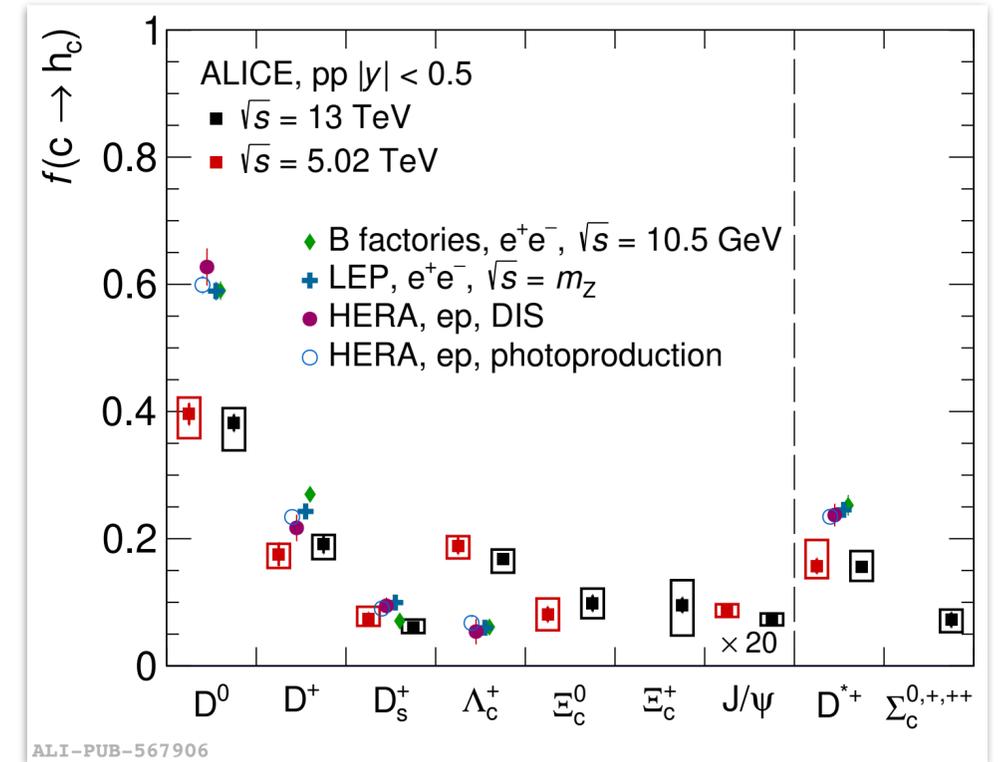
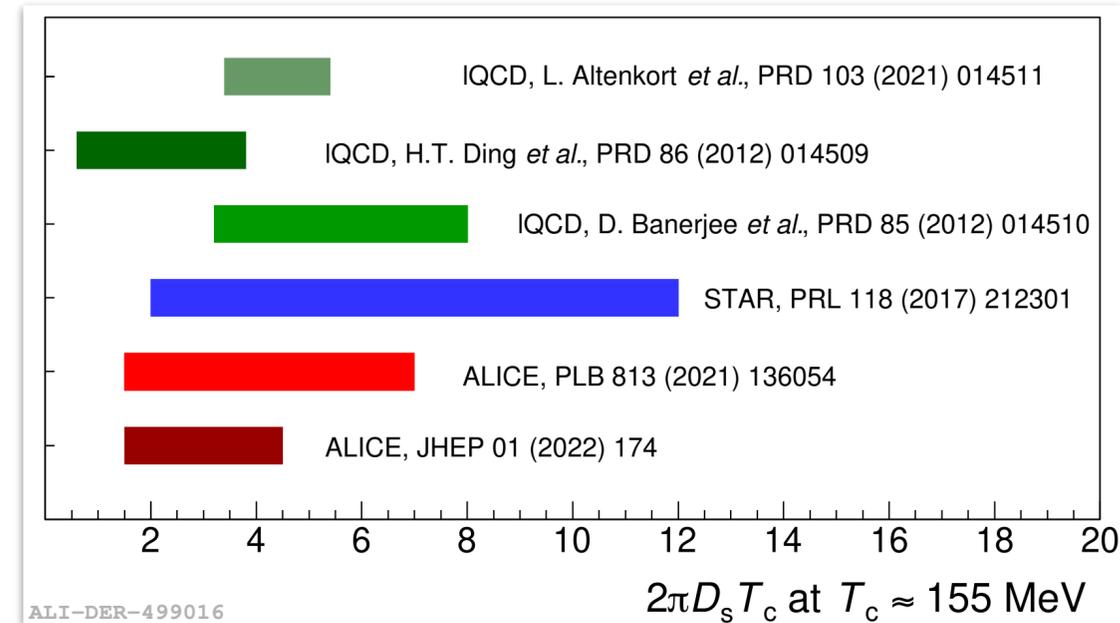
# A journey through QCD



CERN-EP-2022-227  
27 October 2022

**ALICE arXiv:2211.04384**

The ALICE experiment:  
**A journey through QCD**



**More than a QGP factory**

# A journey through QCD

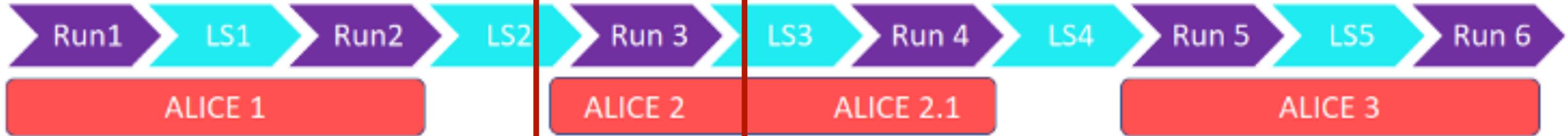
2010-2013

2015-2018

2022-2025

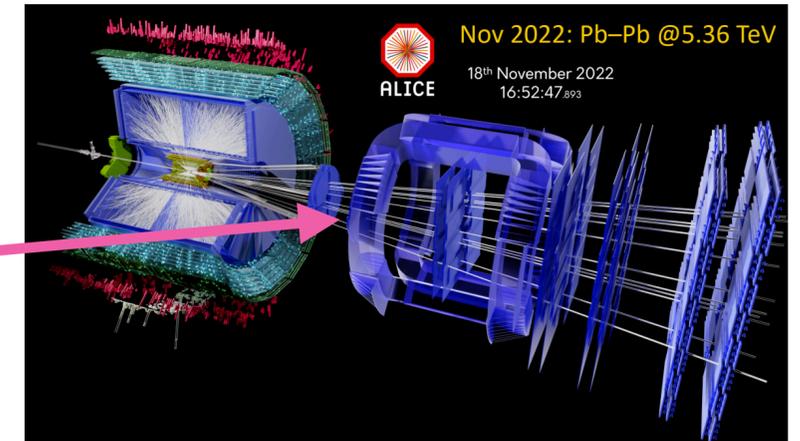
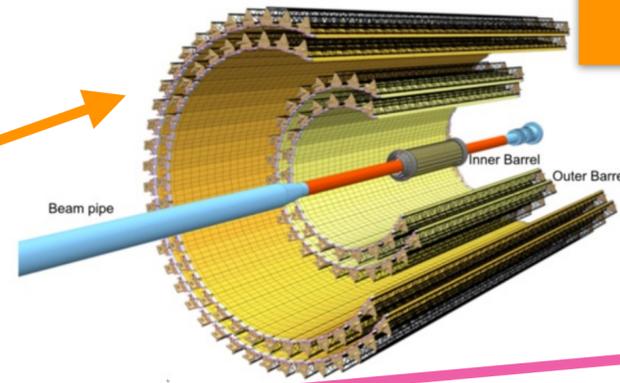
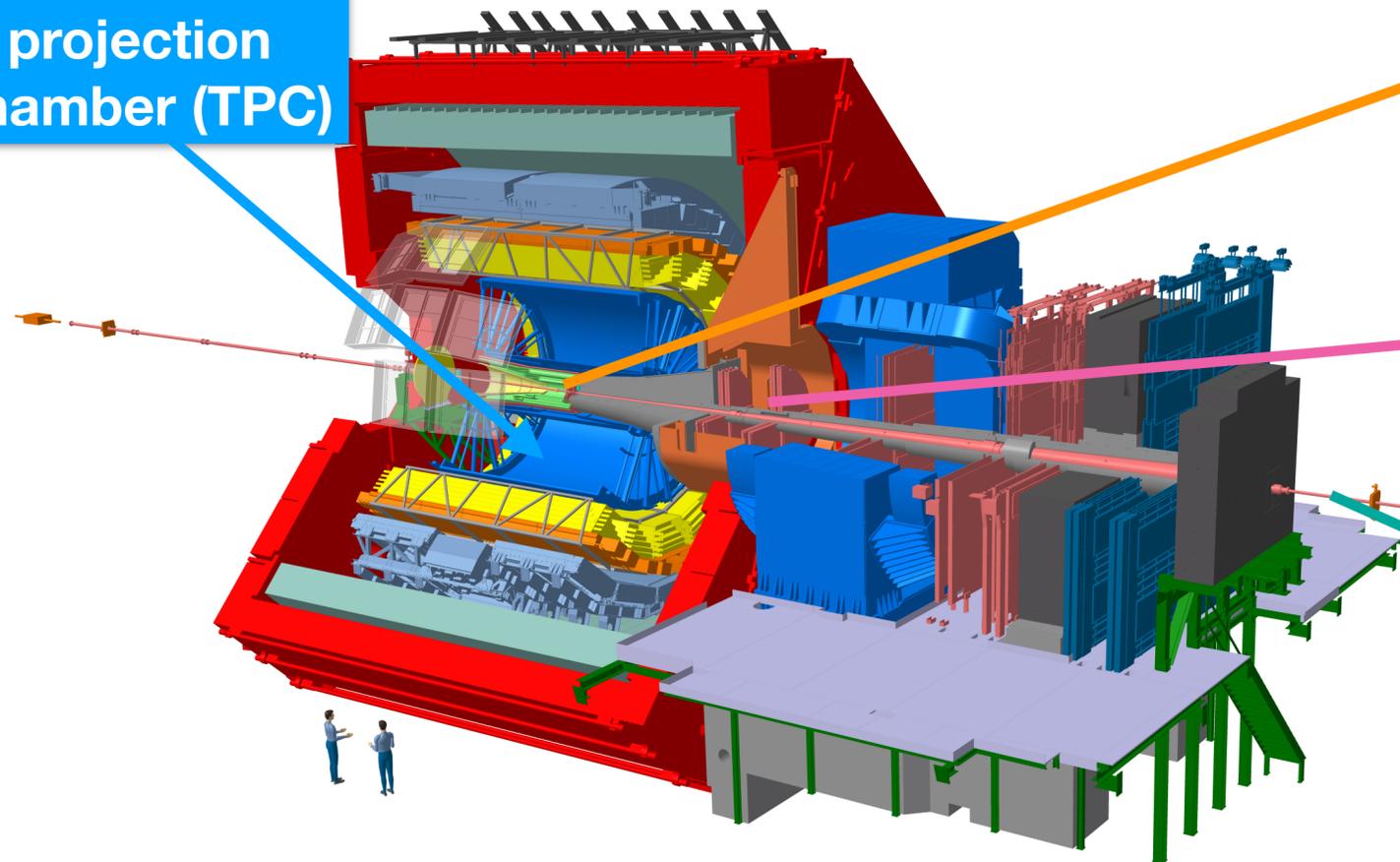
2029-2032

2035-2038

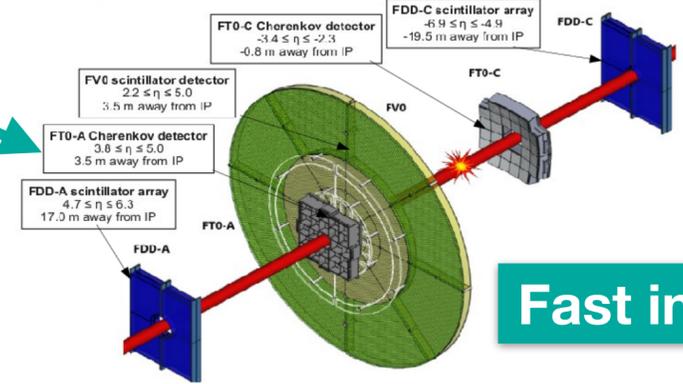


Upgraded readout of time projection chamber (TPC)

The 2nd generation inner tracking system (ITS2)

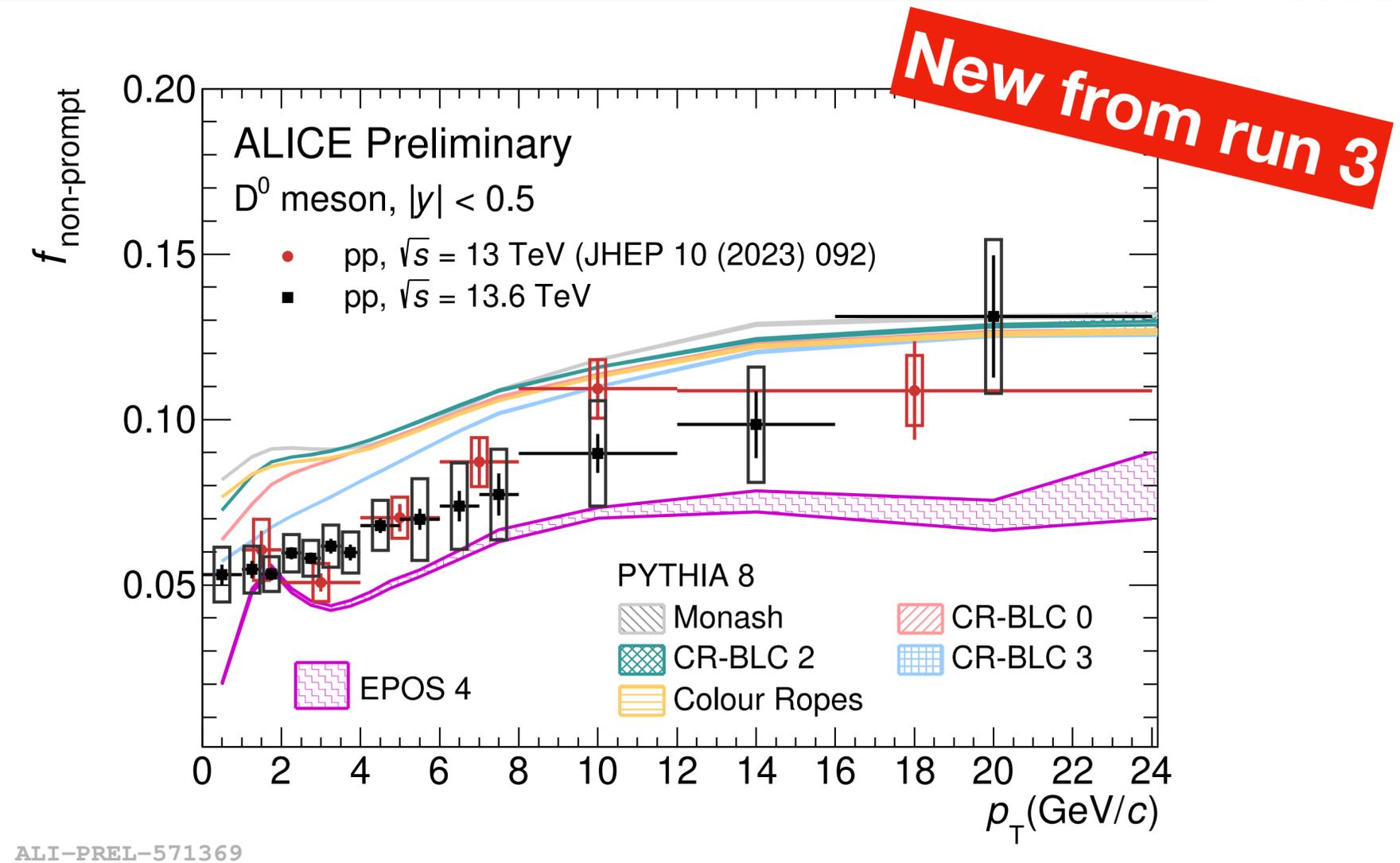
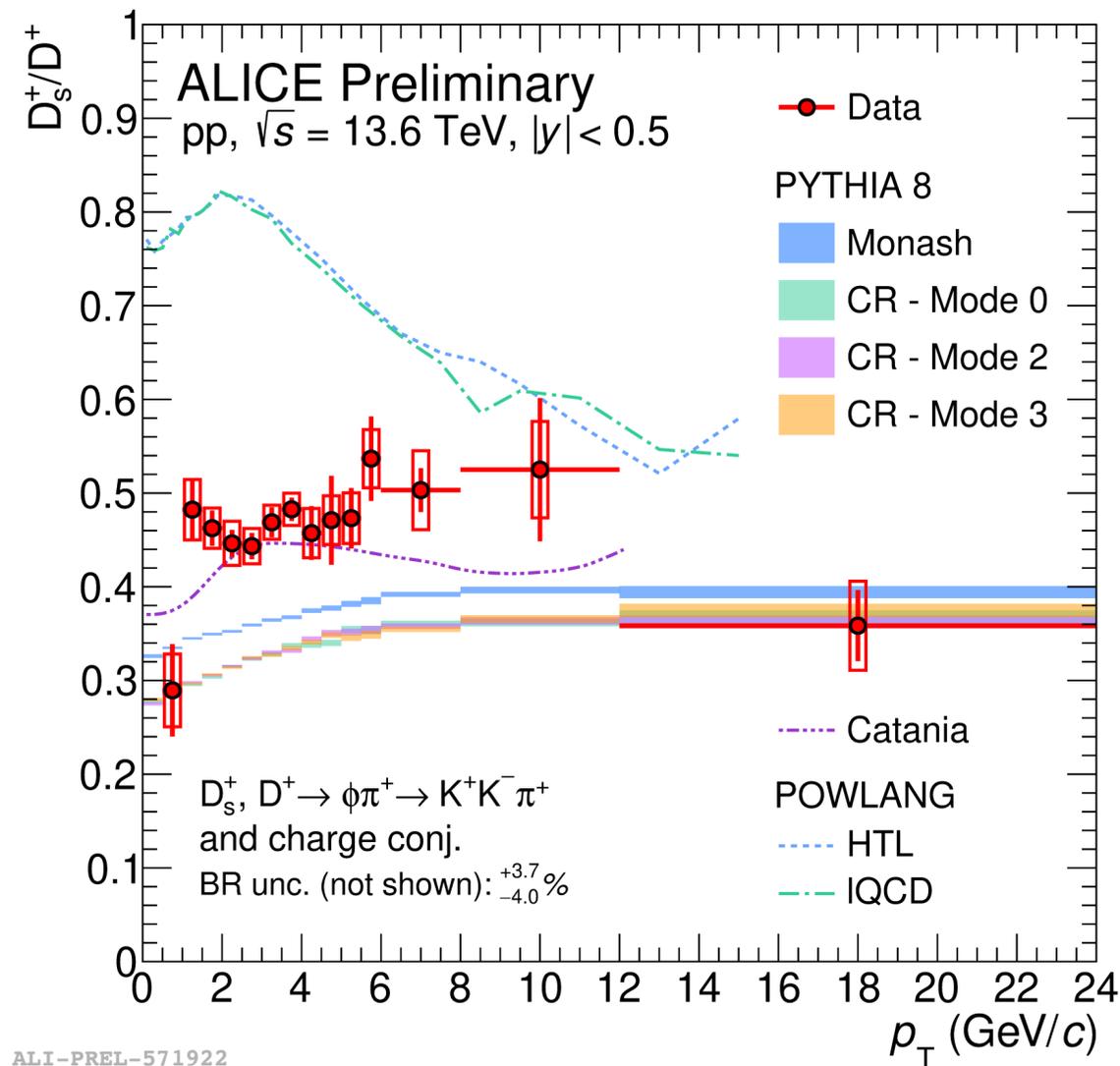


Muon forward tracker (MFT)



Fast integrated trigger (FIT)

# $D_s^+$ and $b \rightarrow D^0$ production



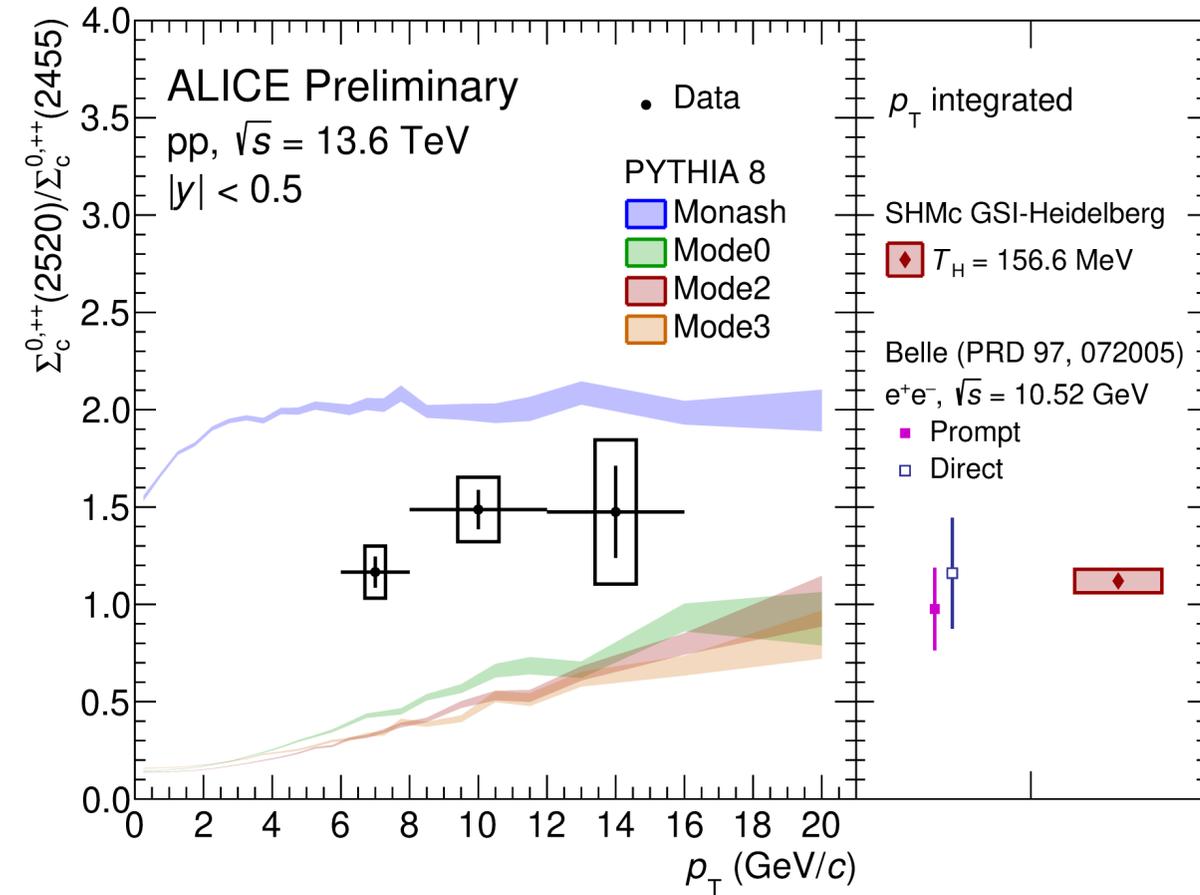
- Measurements are extended to lower  $p_T$  and more granular w. r. t. run 2  
 ➔ Stronger constraints on the modelling of charm-quark hadronization

# $\Sigma_c^{0,++}(2520)/\Sigma_c^{0,++}(2455)$

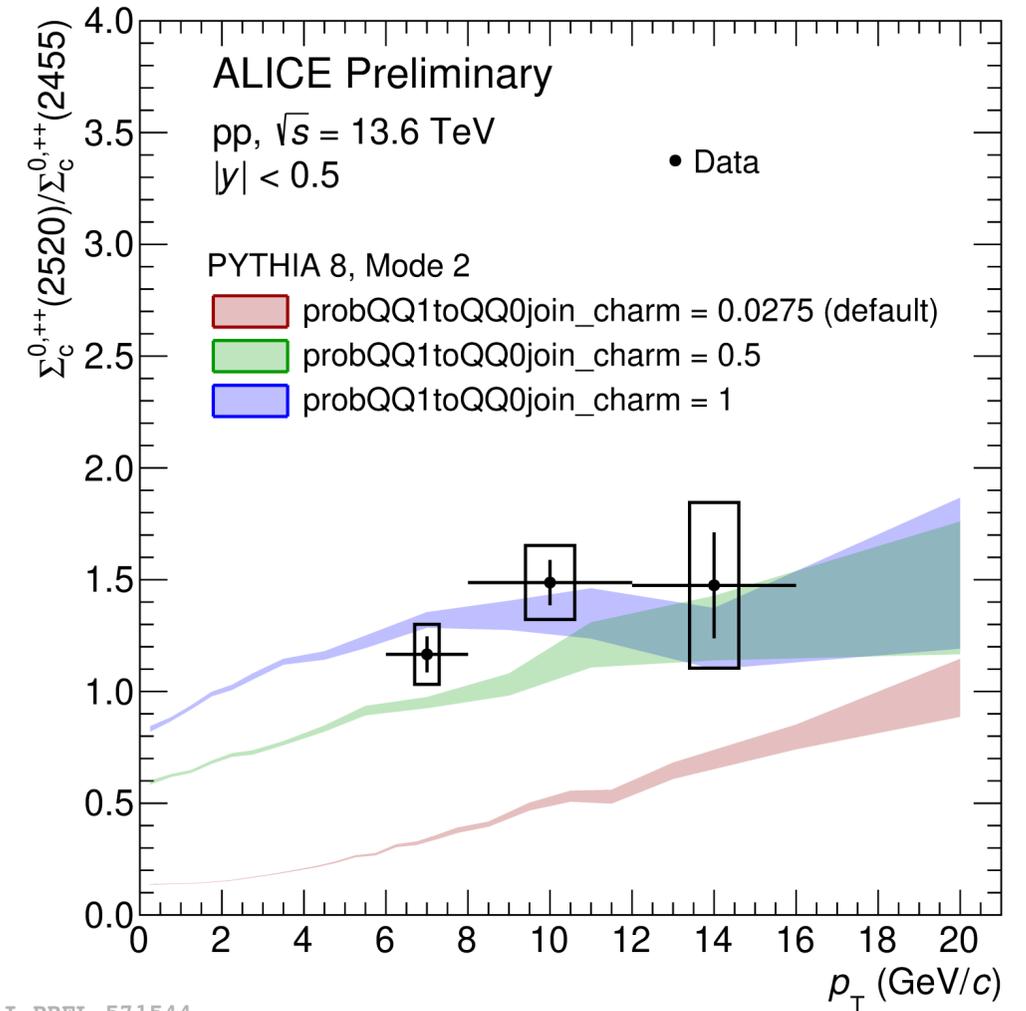
**New from run 3**

**First  $\Sigma_c^{0,++}(2520)$  measurement at the LHC**

$\Sigma_c^{0,++}(2520), J = 3/2$   
 $\Sigma_c^{0,++}(2455), J = 1/2$



ALI-PREL-571539



ALI-PREL-571544

- SHM agrees with data within uncertainties
- PYTHIA with neither Monash nor CR-BLC reproduces data
- Ratio sensitive to c-diquark spin-1 to spin-0 suppression factor

# Femtoscscopy of the QCD



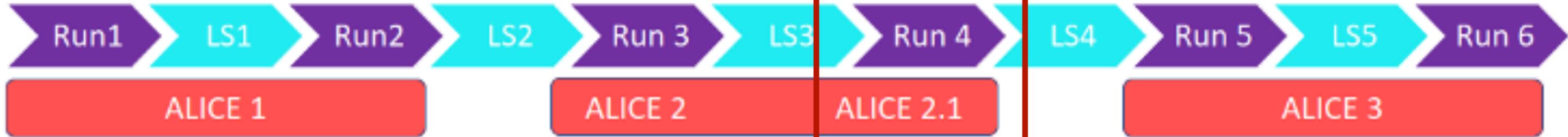
2010-2013

2015-2018

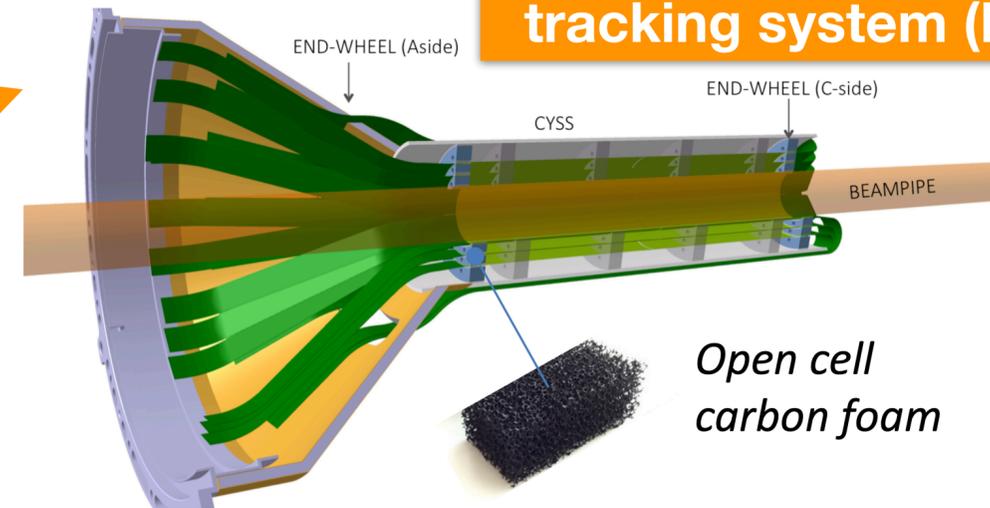
2022-2025

2029-2032

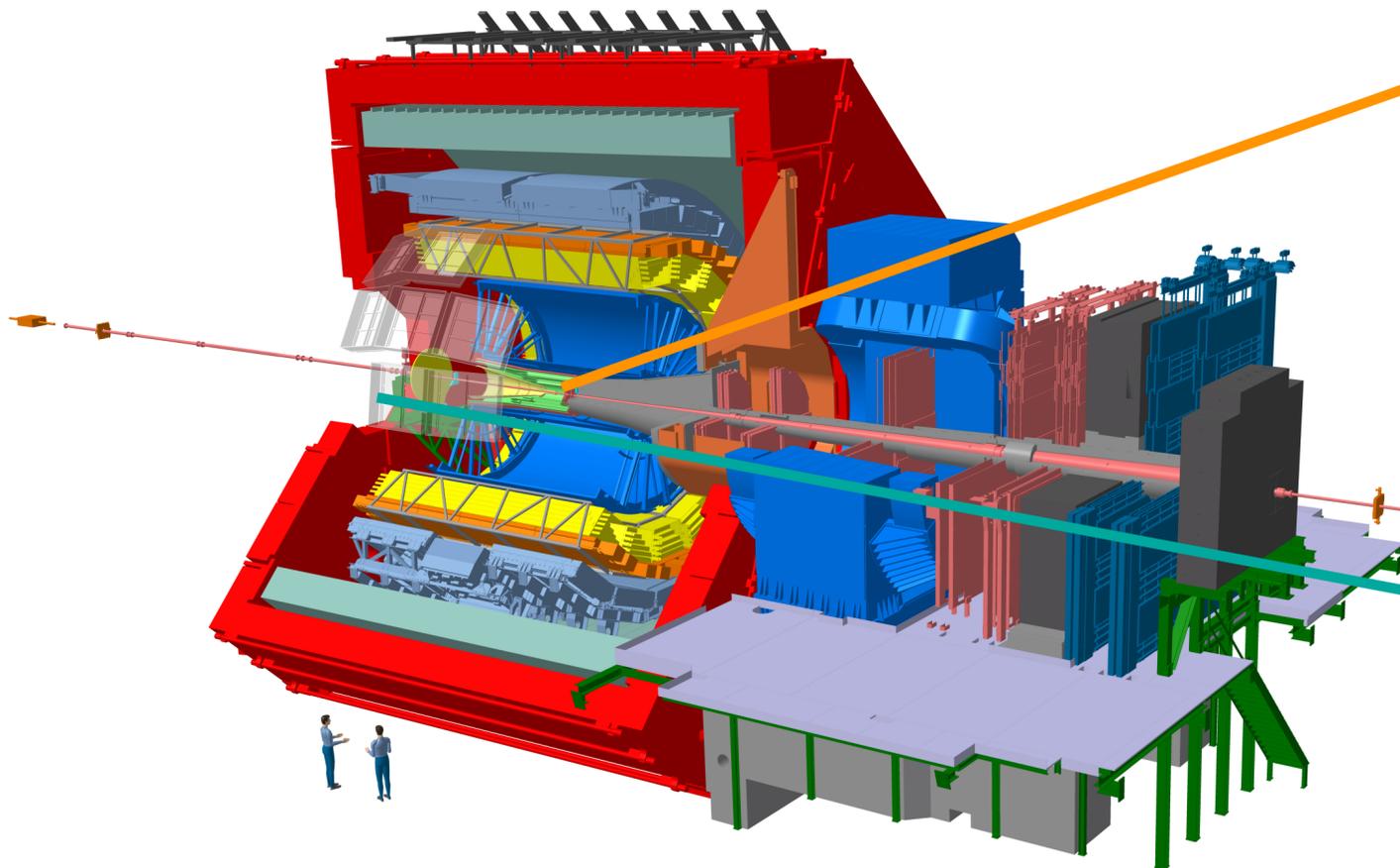
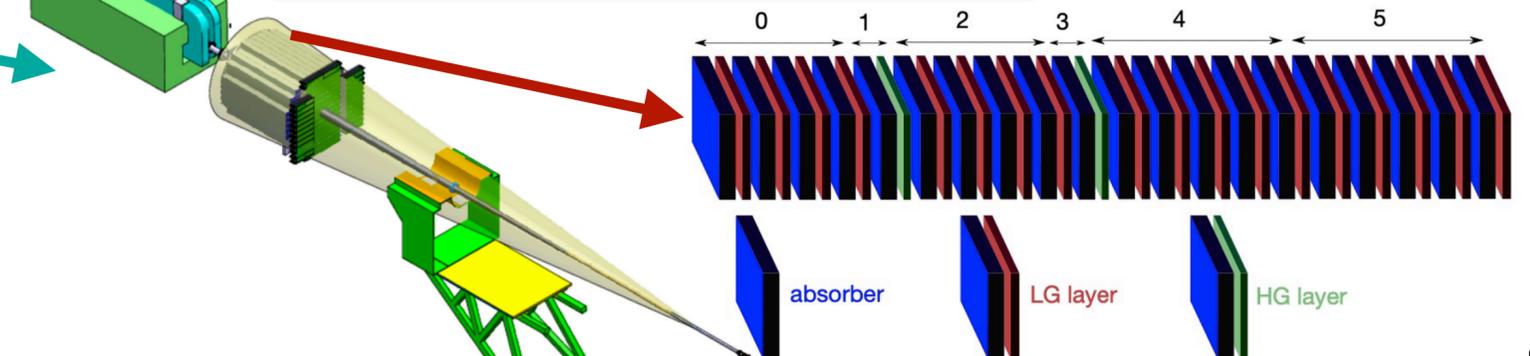
2035-2038



The 3rd generation inner tracking system (ITS3)



Forward calorimeter (FoCal)



# Next-generation experiment



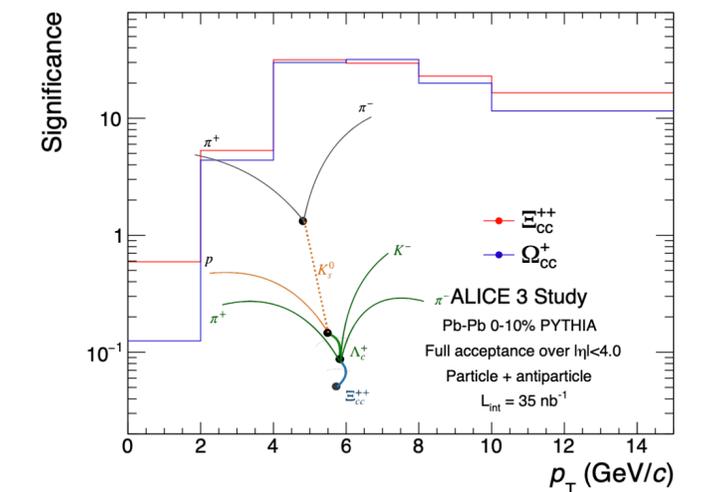
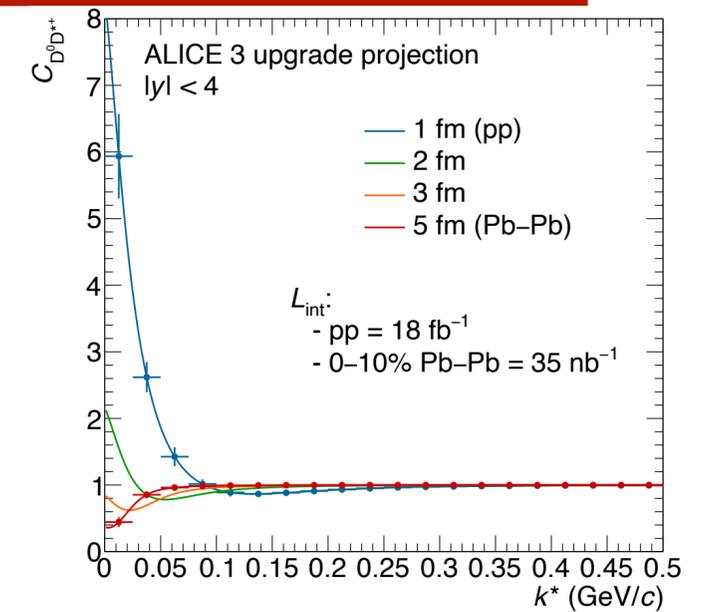
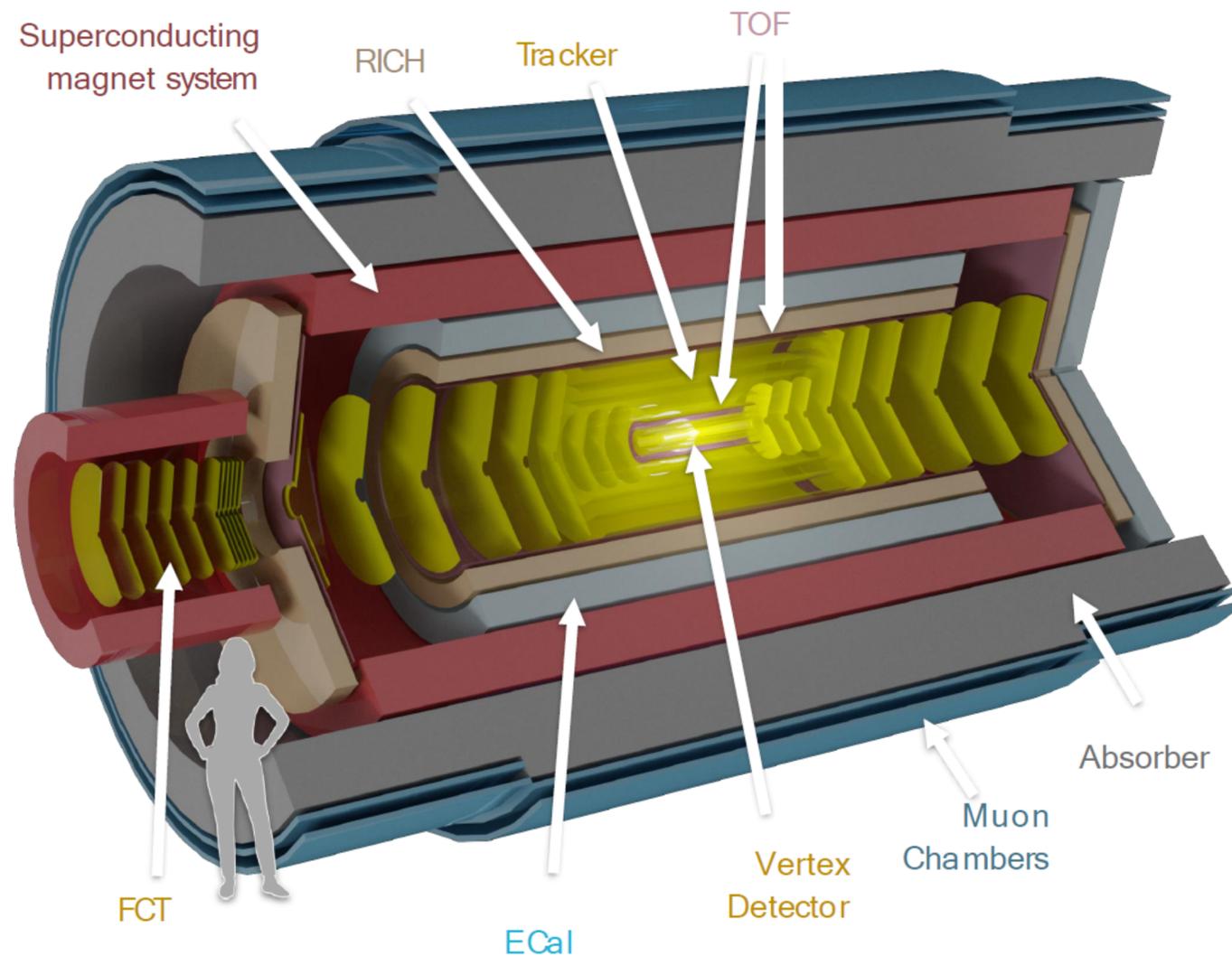
**ALICE 3**  
Letter of intent

CERN-LHCC-2022-009 (LHCC-4-038)  
4 November 2022

**ALICE arXiv:2211.02491**

A next-generation heavy-ion experiment at the LHC

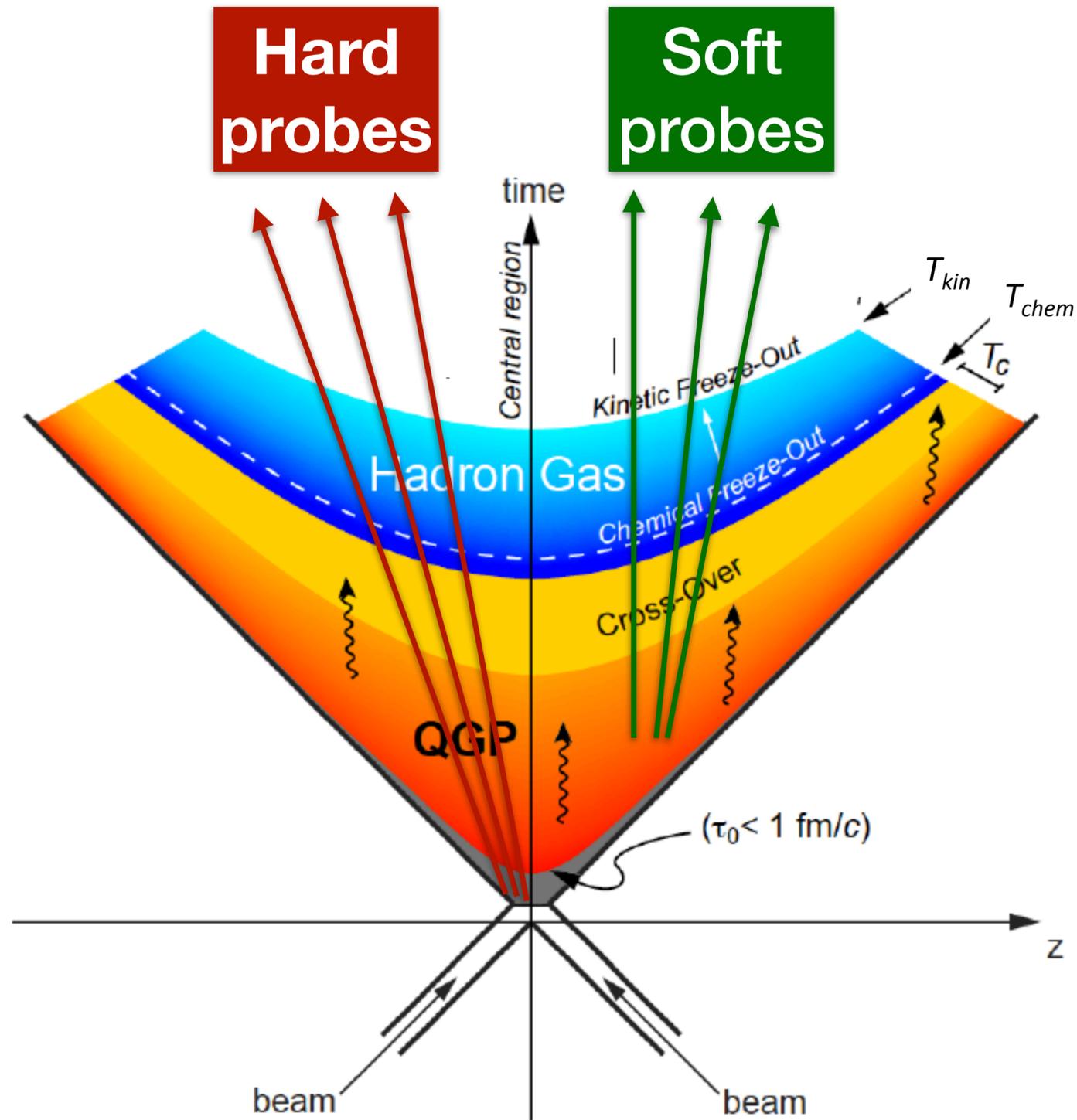
VERSION 2



# Backup



# Signatures of the QGP



**Heavy-ion collisions** probe the strongly-interacting matter – the quark-gluon plasma (QGP) under extreme conditions of high temperature and energy density

**Hard probes** created at initial stage of the collision

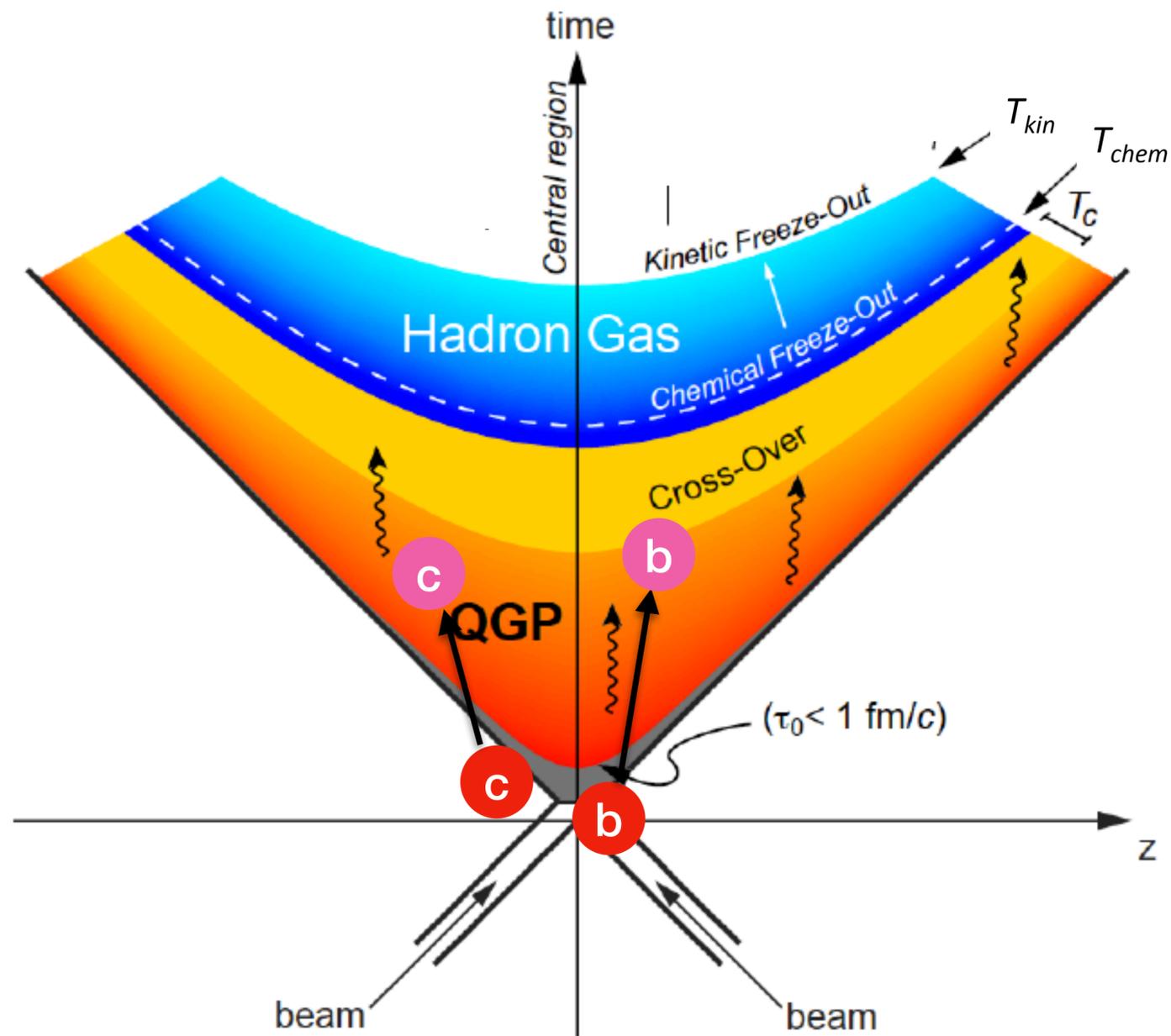
➔ QGP tomography

**Soft probes** created in the “fireball”

➔ Fingerprint of the QGP evolution

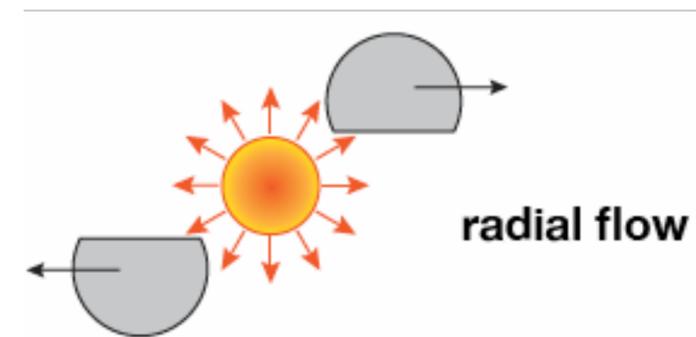
# Heavy quarks: QGP tomography

**Heavy quarks** (**charm** and **beauty**): produced at the early stage of the collisions before the QGP creation



## Collective expansion

➔ **Radial flow**



➔ Push low  $p_T$  particles toward intermediate  $p_T$

$$p = p_0 + \beta m$$

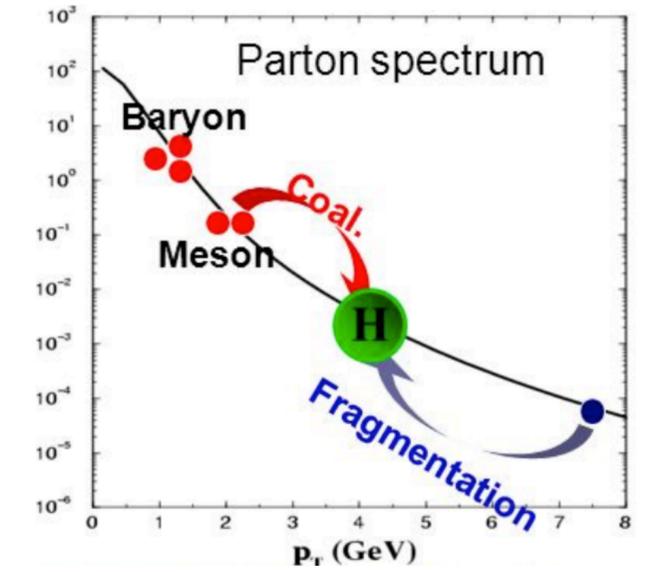
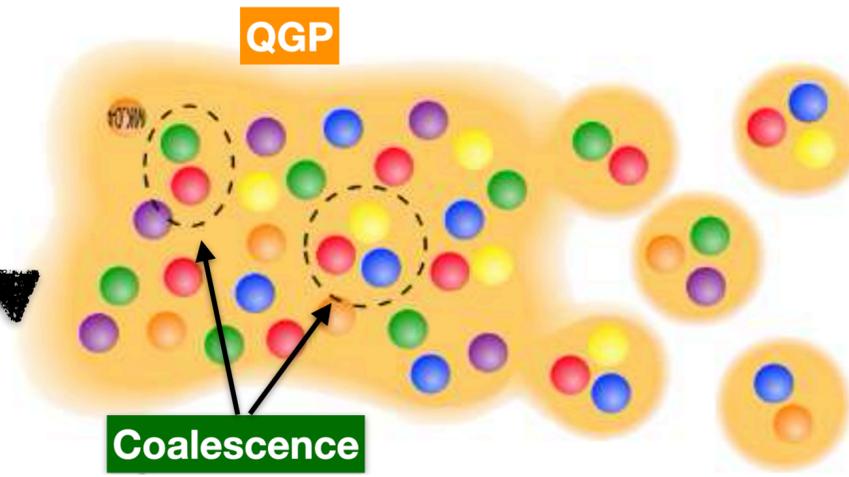
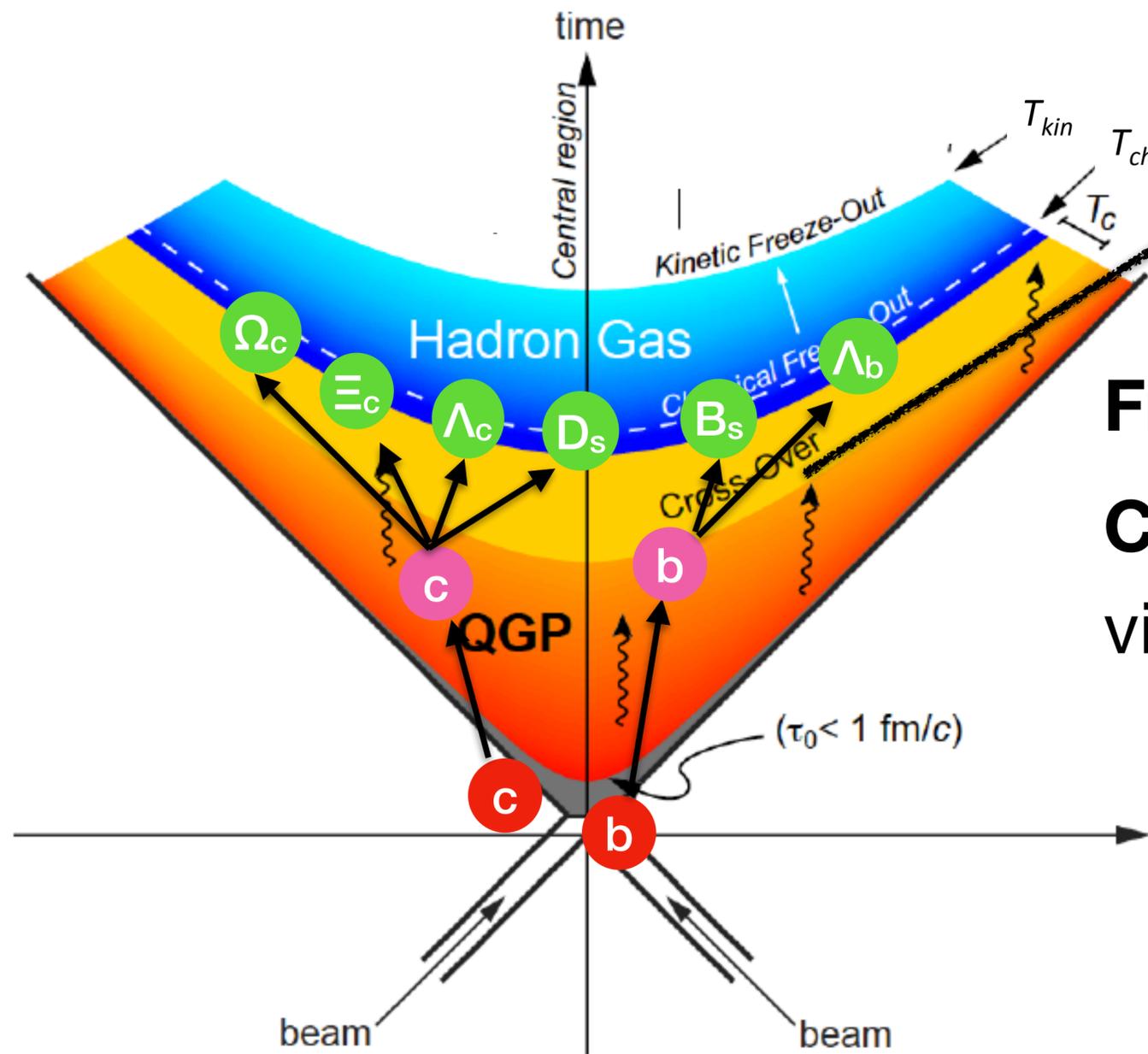
$p_0$ : initial momentum  
 $\beta$ : flow velocity  
 $m$ : particle mass

➔ More pronounced in central collisions

➔ Mass dependence

# Heavy quarks: QGP tomography

**Heavy quarks (charm and beauty):** produced at the early stage of the collisions before the QGP creation



**Fragmentation** — hadrons from high  $p_T$  partons

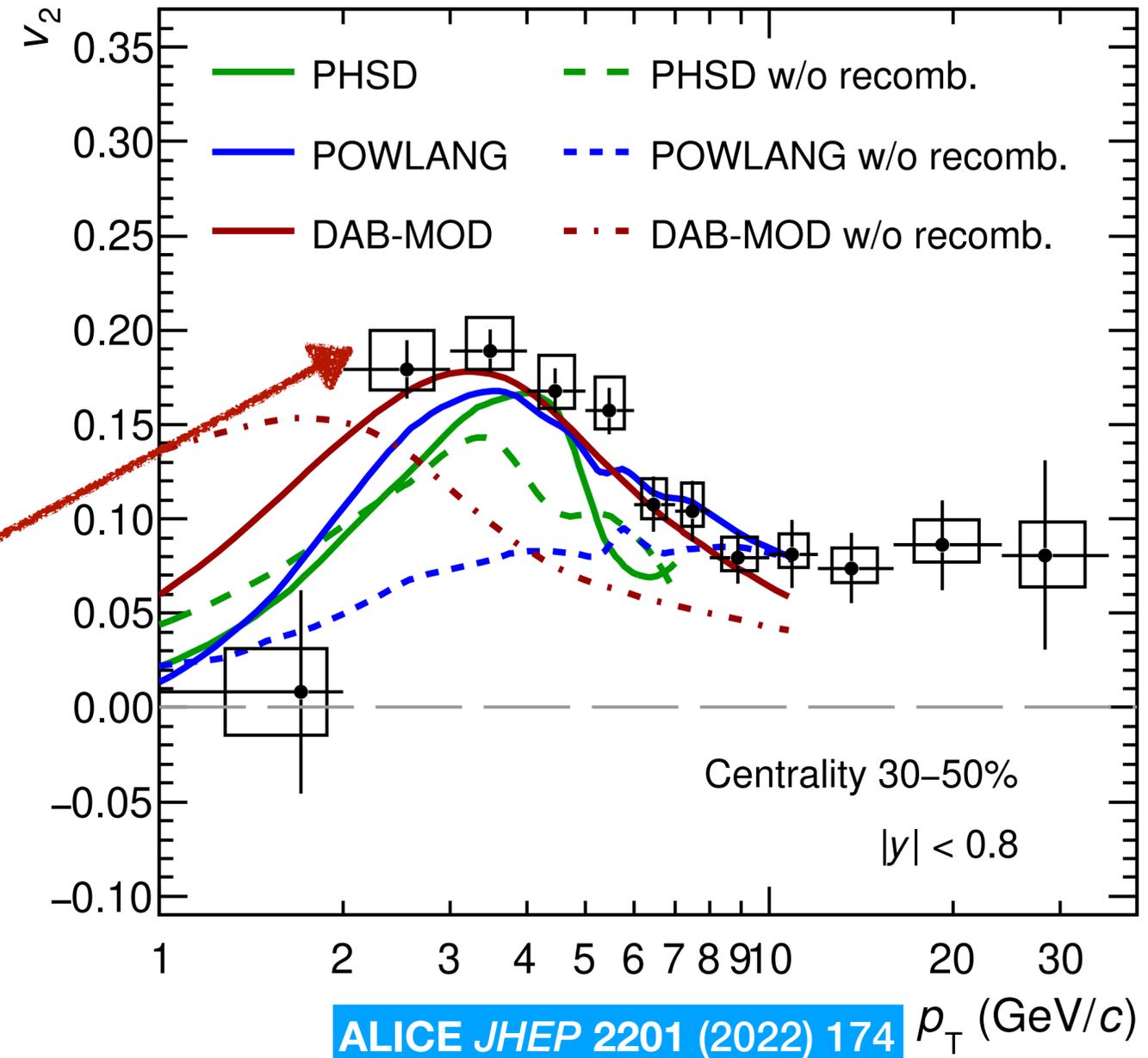
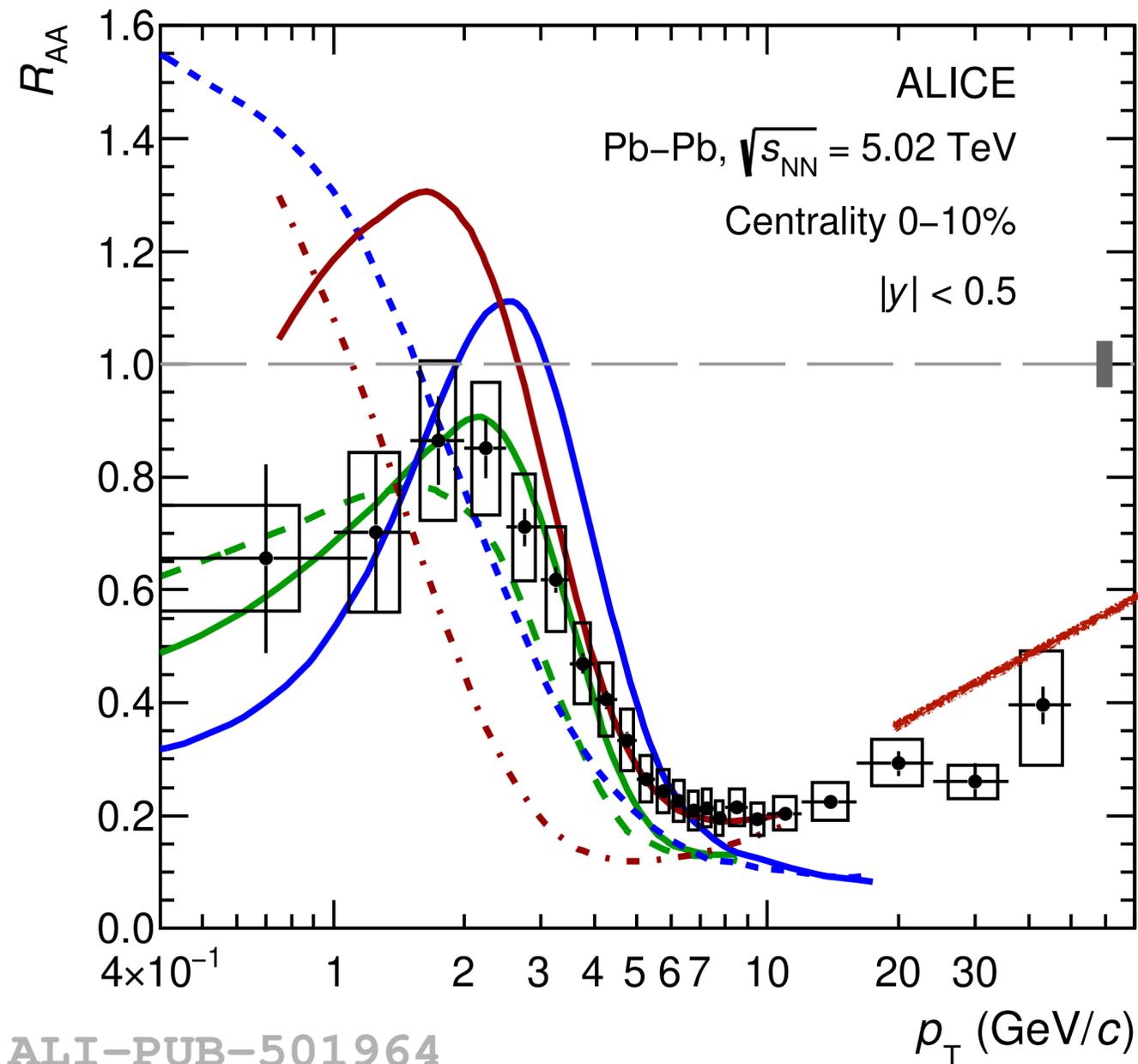
**Coalescence/recombination** — hadron formation via (di-)quark combination in the QGP medium

➔  $p_{T,hadron} \approx n p_{T,parton}$ ,  $n = 2$  (meson),  $3$  (baryon)

➔ Sensitive to baryon and meson species

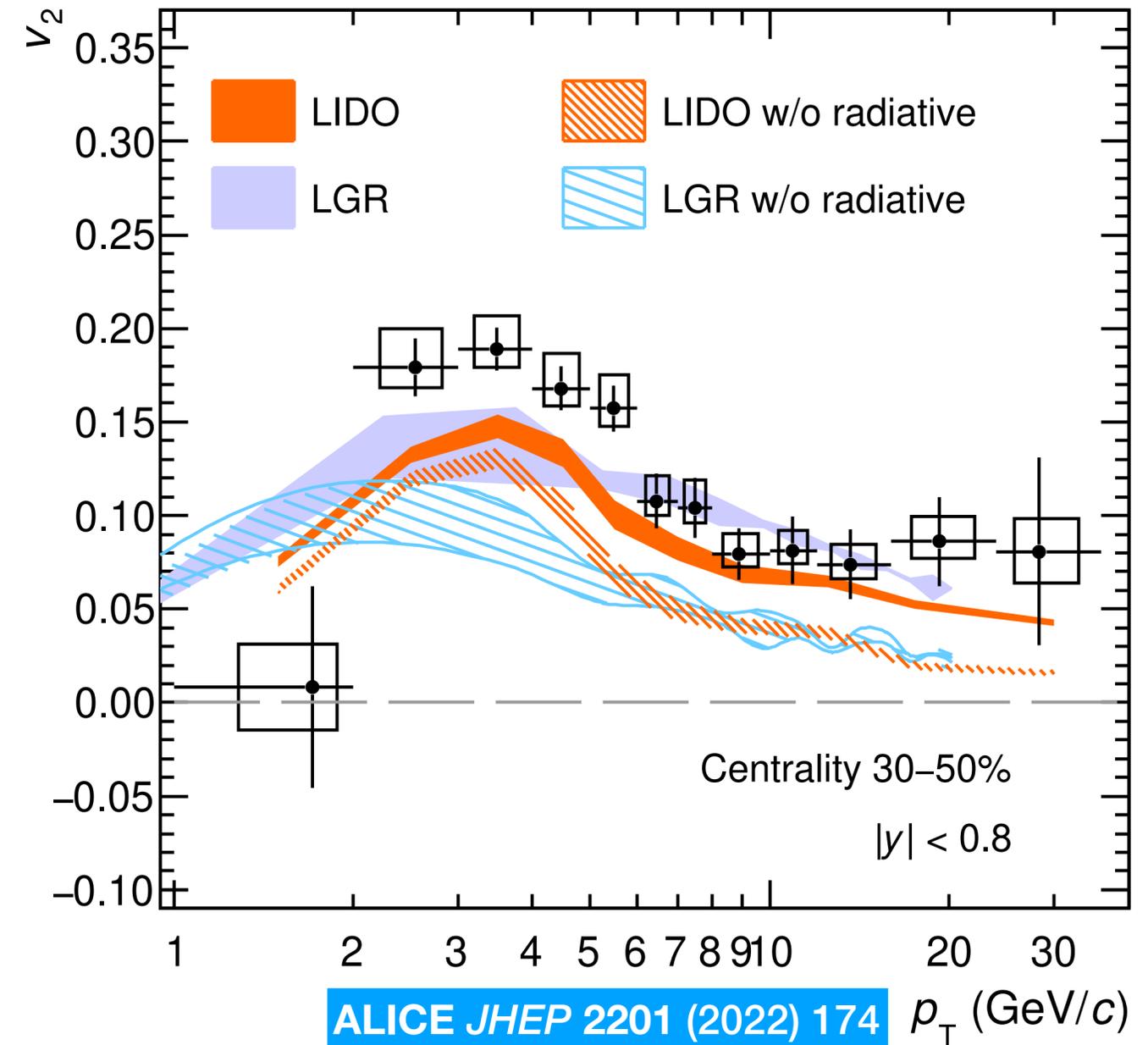
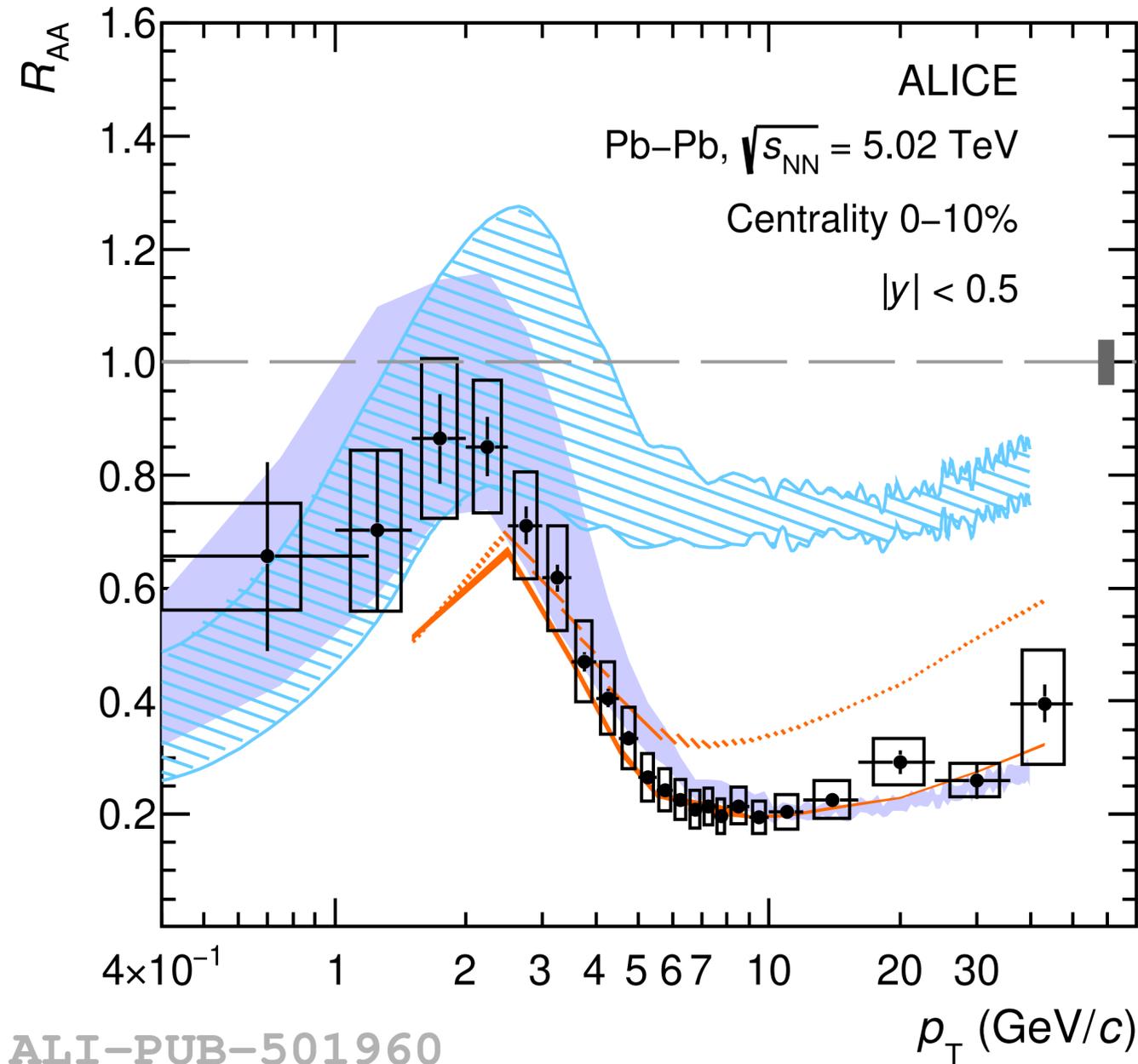
➔ Baryons from lower momenta partons (denser)

# Charm quark transport



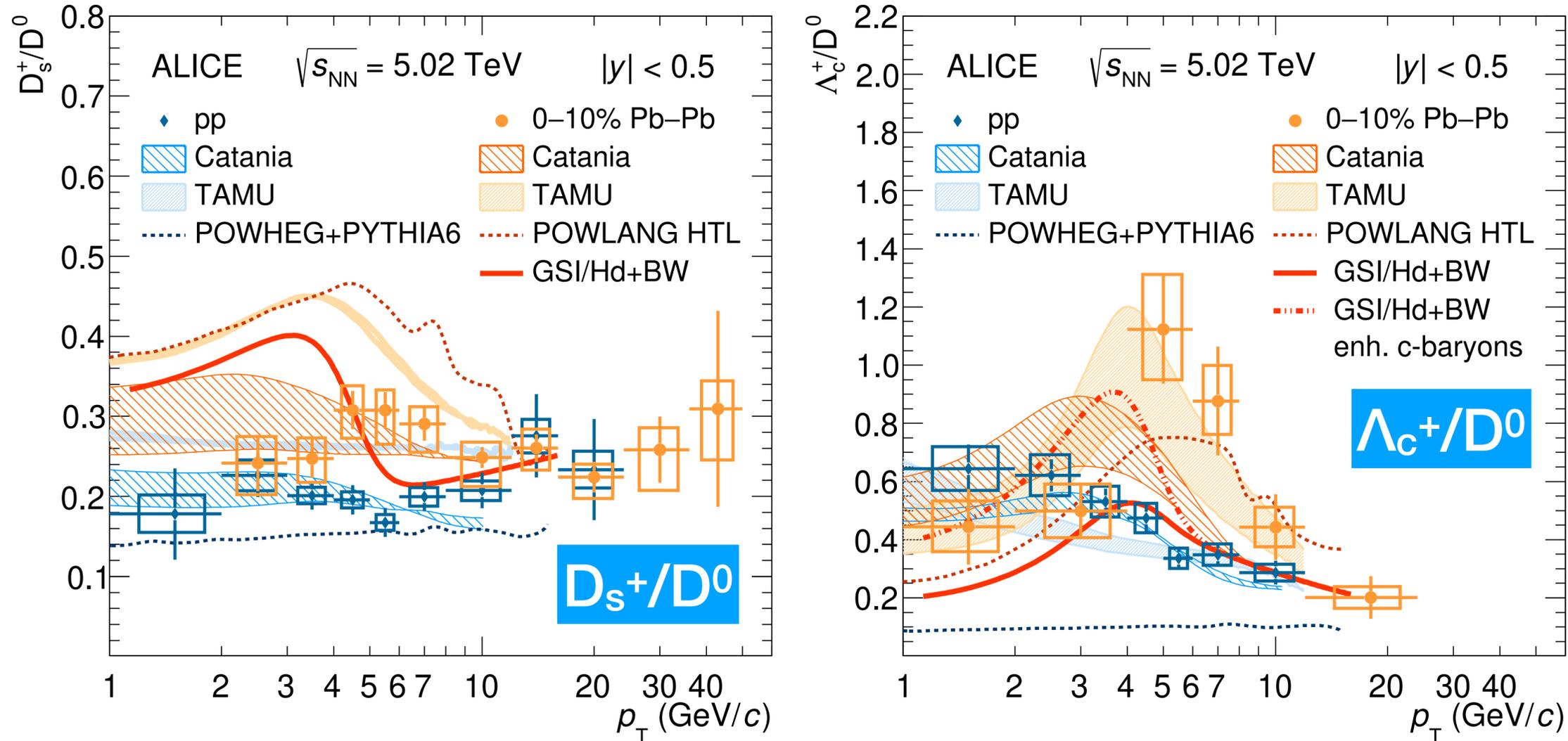
- Significant charmed hadron  $v_2$  coefficient — consistent with strong suppression
- Hadronization via coalescence is important at low and intermediate  $p_T$

# Charm quark transport



- Significant charmed hadron  $v_2$  coefficient — consistent with strong suppression
- Radiative energy loss is important at intermediate and high  $p_T$

# Charm quark hadronization



- Hints of enhanced  $D_s^+/D^0$  ratio at intermediate  $p_T$  in **Pb-Pb** w.r.t. **pp** — support charm hadronization via recombination
- Enhanced  $\Lambda_c/D^0$  ratio in **Pb-Pb** w.r.t. **pp** — suggest interplay between recombination and radial flow

ALICE *Phys. Lett.* B839 (2023) 137796  
 ALICE *Phys. Lett.* B827 (2022) 136986  
 ALICE arXiv:2211.04384

# Strange-beauty hadron $R_{AA}$



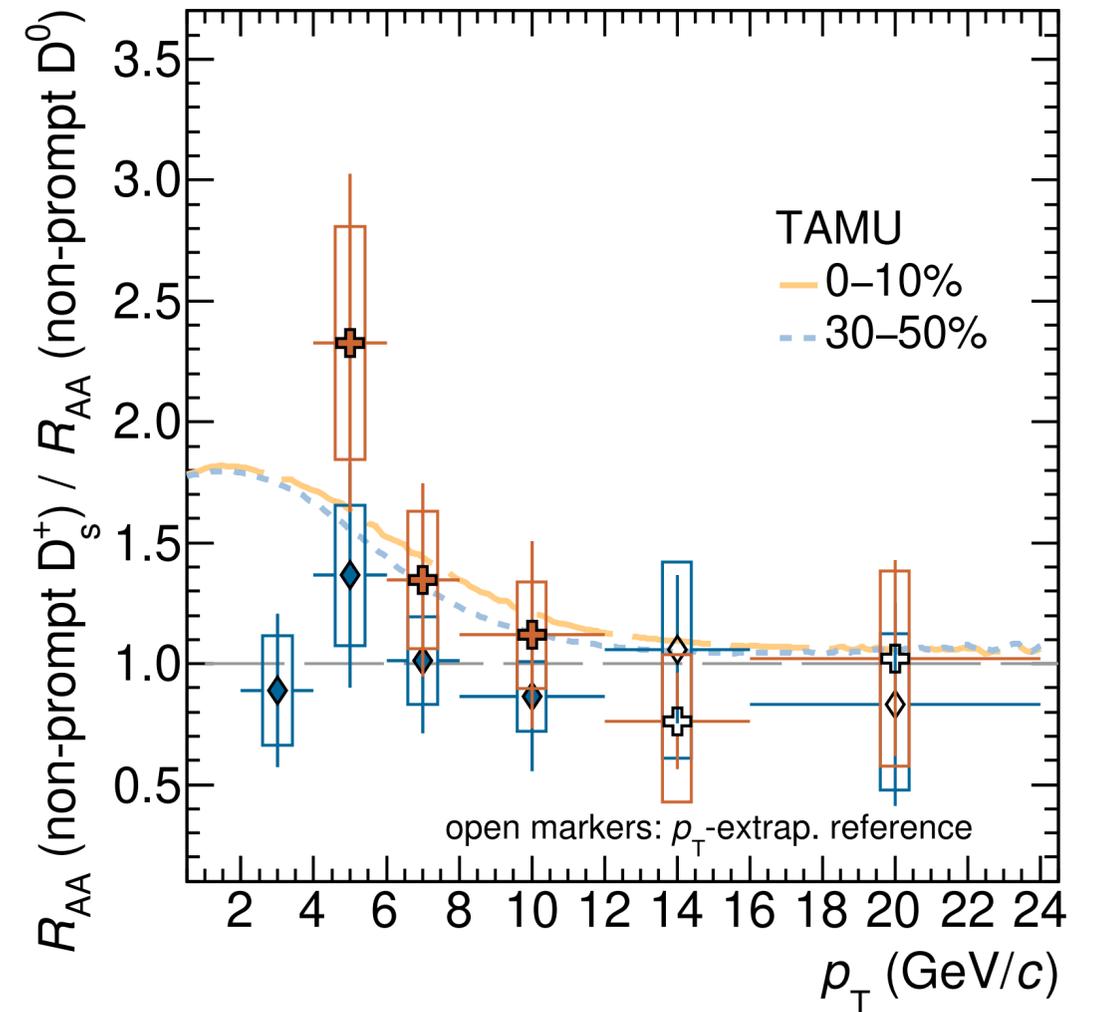
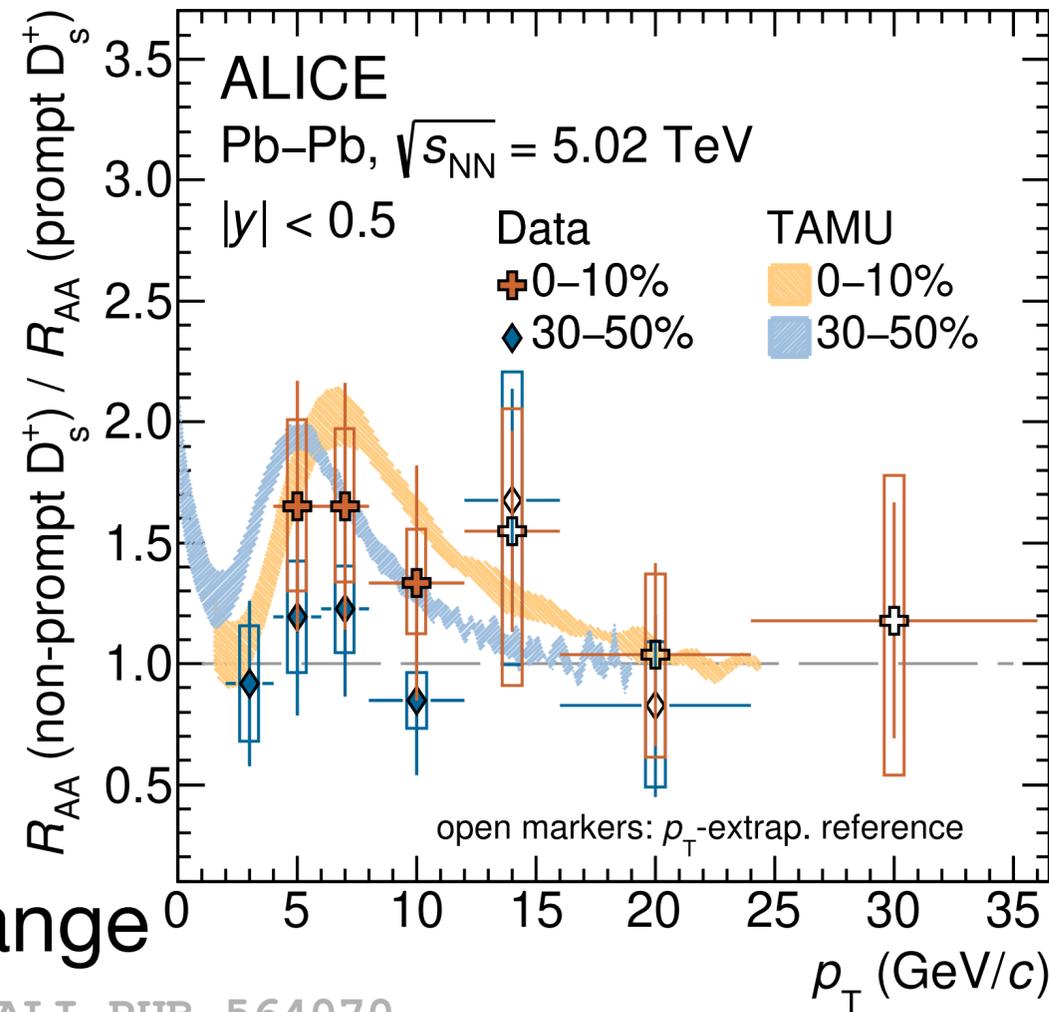
In  $p_T < 6$  GeV/c

- $R_{AA}(b \rightarrow D_s^+) > R_{AA}(D_s^+)$

➔ Hint of quark mass dependent energy loss

- $R_{AA}(b \rightarrow D_s^+) > R_{AA}(b \rightarrow D^0)$

➔ Recombination with strange quarks in a strangeness-rich environment



ALICE Phys. Lett. B846 (2023) 137561

TAMU model: energy loss via collisional processes, and hadronisation via coalescence and fragmentation

➔ Qualitatively describes data