

FPCP 2022



# Standard Model predictions for kaon decays

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2024.05.29



# Outline

➤ Test of first-row CKM unitarity

➤ Inclusion of isospin breaking effects

➤ Rare decays

# Test of CKM unitarity

- In SM, CKM matrix is unitary, describing the strength of flavor-changing weak interaction



Cabibbo Kobayashi Maskawa

$$\begin{bmatrix} d' \\ s' \\ b' \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} d \\ s \\ b \end{bmatrix}$$

- Most stringent test of CKM unitarity is given by the first row condition

$$|V_u|^2 \equiv |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

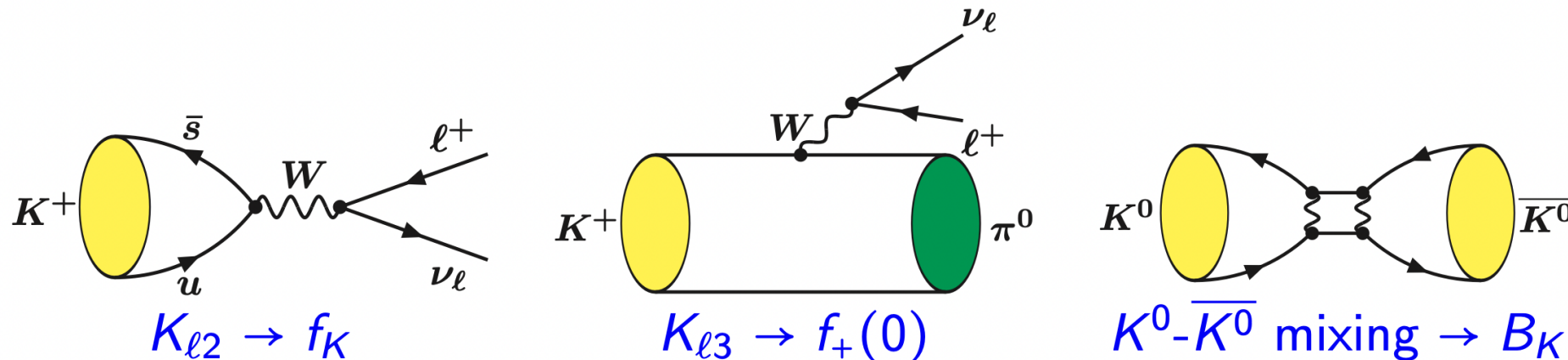
- $|V_{ub}| = 3.82(24) \times 10^{-3}$ , tiny contribution [PDG 2022]
- $|V_{ud}| = 0.97373(31)$ , most precise determination from superallowed nuclear beta decays  
(also from neutron &  $\pi$  beta decays, but uncertainties are 3 and 10 times larger)
- $|V_{us}|$ , most precise determination from kaon decays ( $K_{l3} + K_{\mu 2}/\pi_{\mu 2}$ )  $\longrightarrow$  requires **LQCD inputs**  
(also from hyperon & tau decays, errors are about 3 and 2 times)

# K/ $\pi$ systems provide idea laboratory for lattice QCD Study

## ➤ Lattice QCD is powerful to study Kaon/pion decays

- Nearly no signal/noise problem
- Quark field contractions easily performed
- Simple final states: purely leptonic, 1  $\pi$ , 2  $\pi$  ( $K \rightarrow \pi\pi$  already very challenging!)
- Small recoil for hadronic particle in the final state
- Long-distance processes: much less low-lying intermediate states

## ➤ Provide the hadronic matrix elements for precision SM tests



# Leptonic and semileptonic decays

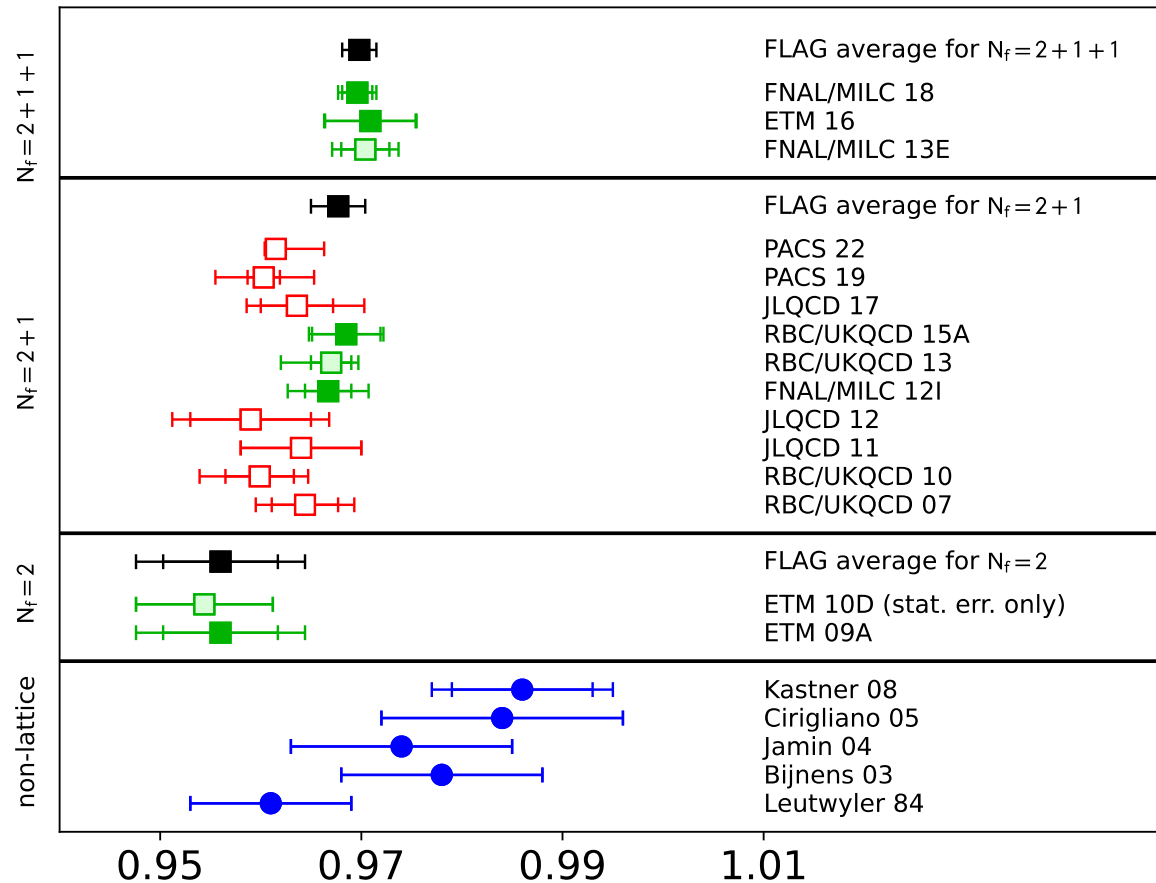
➤ Flavor Lattice Averaging Group (FLAG) average, updated on 2023

$$f_+^{K\pi}(0) = 0.9698(17) \Rightarrow 0.18\% \text{ error}$$

$$f_{K^\pm}/f_{\pi^\pm} = 1.1934(19) \Rightarrow 0.16\% \text{ error}$$

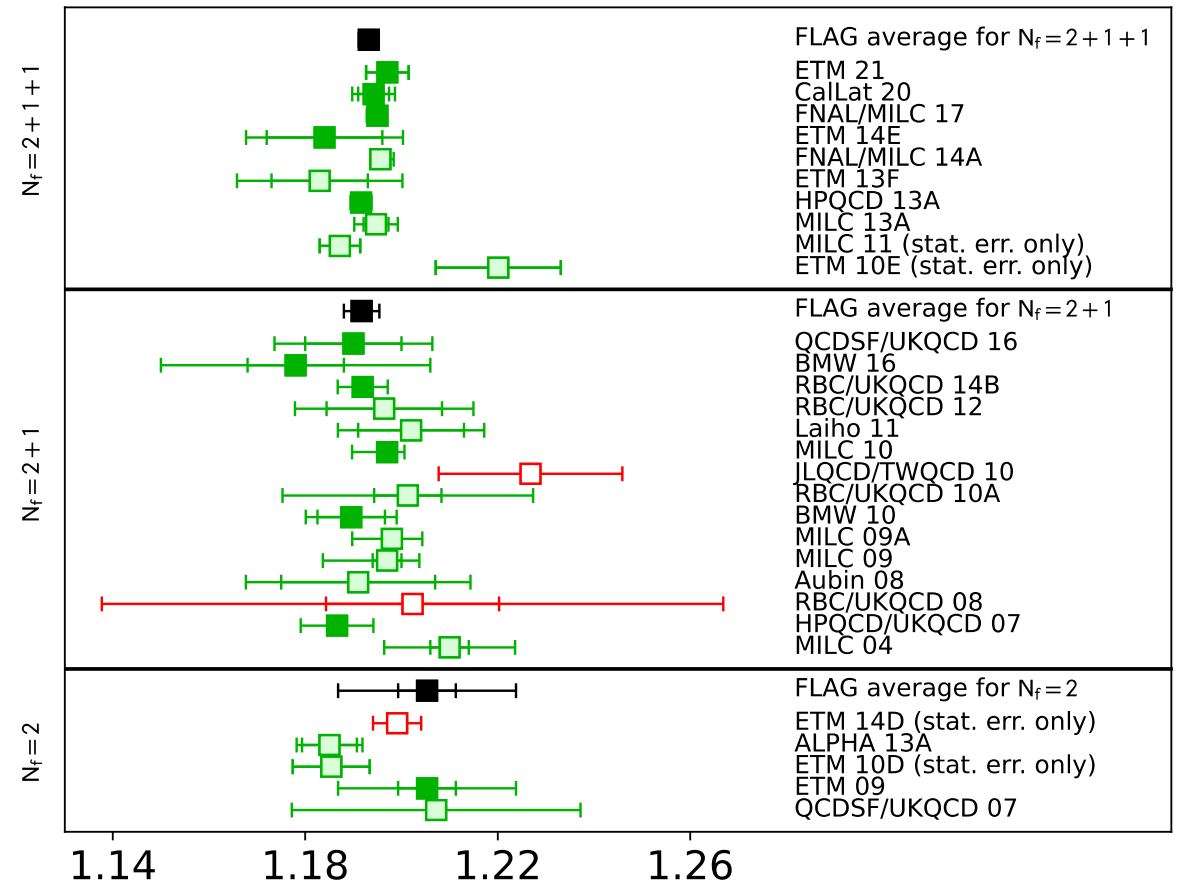
FLAG2023

$f_+(0)$



FLAG2023

$f_{K^\pm}/f_{\pi^\pm}$



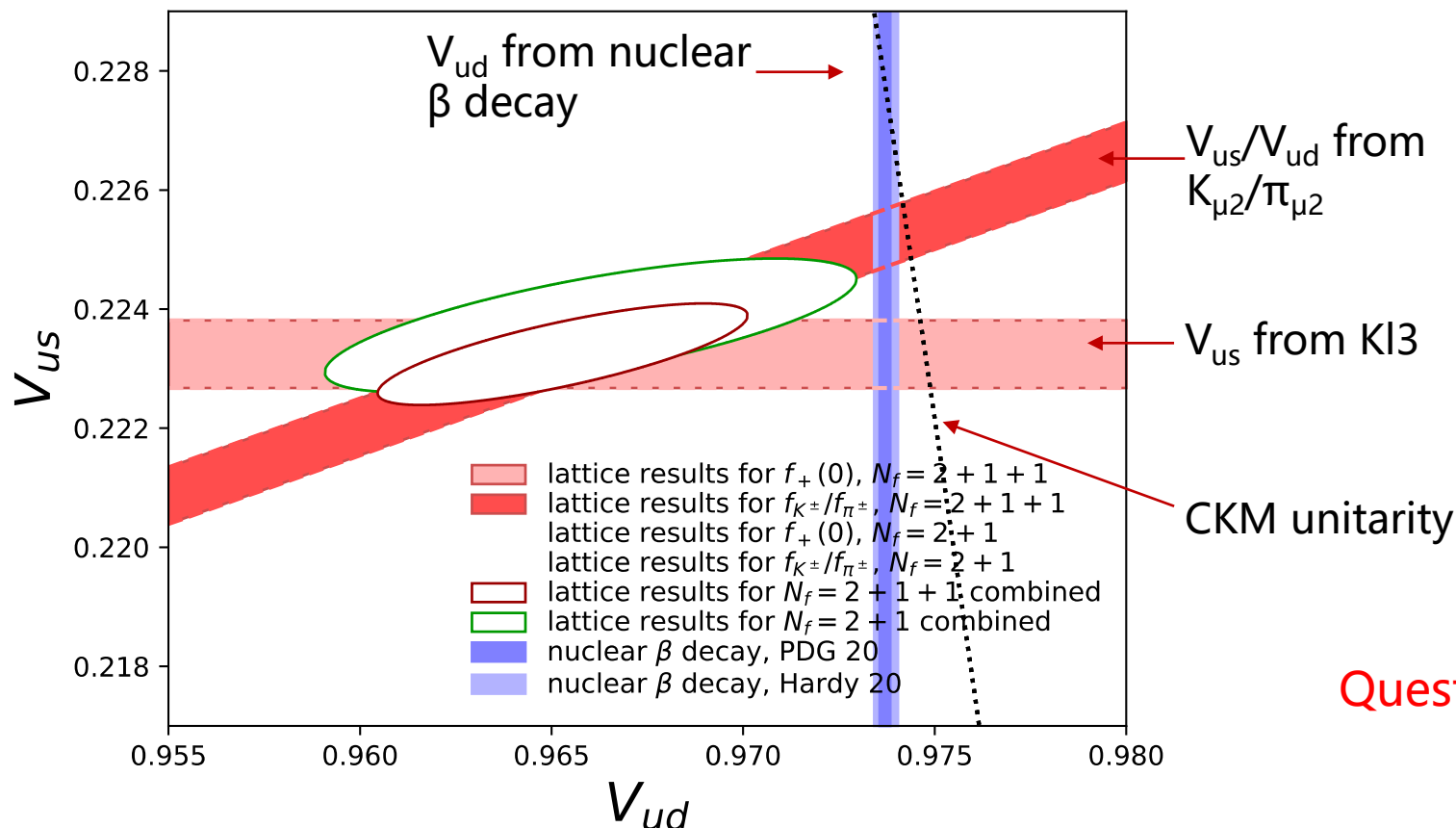
# Extraction of $V_{ud}$ and $V_{us}$

➤ Experimental information from kaon decays [arXiv:1411.5252, 1509.02220]

$$K_{\ell 3} \Rightarrow |V_{us}| f_+(0) = 0.2165(4) \Rightarrow |V_{us}| = 0.2232(6)$$

$$K_{\mu 2}/\pi_{\mu 2} \Rightarrow \left| \frac{V_{us}}{V_{ud}} \right| \frac{f_{K^\pm}}{f_{\pi^\pm}} = 0.2760(4) \Rightarrow \left| \frac{V_{us}}{V_{ud}} \right| = 0.2313(5)$$

FLAG2023



- Use  $|V_{us}|$  from  $K_{l3}$  +  $|V_{us}/V_{ud}|$  from  $K_{\mu 2}/\pi_{\mu 2}$  (more accurate results from  $N_f = 2 + 1 + 1$ )

$$|V_u|^2 = 0.9816(64) \Rightarrow 2.9 \sigma$$

- Use  $|V_{us}|$  from  $K_{l3}$  +  $|V_{ud}|$  from  $\beta$  decays

$$|V_u|^2 = 0.99800(65) \Rightarrow 3.1 \sigma$$

- $|V_{us}/V_{ud}|$  from  $K_{\mu 2}/\pi_{\mu 2}$  +  $|V_{ud}|$  from  $\beta$  decay

$$|V_u|^2 = 0.99888(67) \Rightarrow 1.7 \sigma$$

Question: Deviation due to  $|V_{ud}|$  from  $\beta$  decays,  $|V_{us}|$  from  $K_{l3}$  or new physics?


# CKM matrix elements quoted by PDG 2022

- Use  $|V_{us}/V_{ud}|$  from  $K_{\mu 2}/\pi_{\mu 2} + |V_{ud}|$  from  $\beta$  decay to determine  $|V_{us}|$

$$\begin{aligned} |V_{us}| &= 0.2255(8) \quad (N_f = 2 + 1, K_{\mu 2} \text{ decays}) \\ &= 0.2252(5) \quad (N_f = 2 + 1 + 1, K_{\mu 2} \text{ decays}) \end{aligned}$$

- Use  $|V_{us}|$  from  $K_{l 3}$

$$\begin{aligned} |V_{us}| &= 0.2236(4)_{\text{exp+RC}}(6)_{\text{lattice}} \quad (N_f = 2 + 1, K_{l 3} \text{ decays}) \\ &= 0.2231(4)_{\text{exp+RC}}(4)_{\text{lattice}} \quad (N_f = 2 + 1 + 1, K_{l 3} \text{ decays}) \end{aligned}$$

 2.7  $\sigma$

- Average yields

$$\begin{aligned} |V_{us}| &= 0.2244(5) \quad N_f = 2 + 1 \\ |V_{us}| &= 0.2243(4) \quad N_f = 2 + 1 + 1 \end{aligned}$$

- Enlarge the error by a scale factor of 2.7 and average  $N_f=2+1$  and  $N_f=2+1+1$  values

$$|V_{us}| = 0.2243(8) \quad \longrightarrow \quad |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985(6)(4).$$

Conservative estimate of  $|V_{us}|$  due to the deviation between  $K_{l 3}$  and  $K_{\mu 2}$   2.1  $\sigma$  deviation

# Role played by $V_{ud}$

- Interesting to review the deviation from CKM unitarity changes within recent years

$$\Delta_{\text{CKM}} = |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 - 1 = 0$$

- PDG 2019 → PDG 2020 → PDG 2022

	PDG 2019	PDG 2020	PDG 2022
$ V_{ud} $	0.97420(21)	0.97370(14)	0.97373(31)
$ V_{us} $	0.2243(5)	0.2245(8)	0.2243(8)
$ V_{ub} $	0.00394(36)	0.00382(24)	0.00382(20)
$\Delta_{\text{CKM}}$	-0.00061(47)	-0.00149(45)	-0.00152(70)

- 2020 update: 3.3  $\sigma$  deviation from CKM unitarity due to the update of EWR corrections
- 2022 update: 2.1  $\sigma$  deviation only

For  $V_{ud}$ , central value nearly unchanged, but uncertainty becomes twice larger

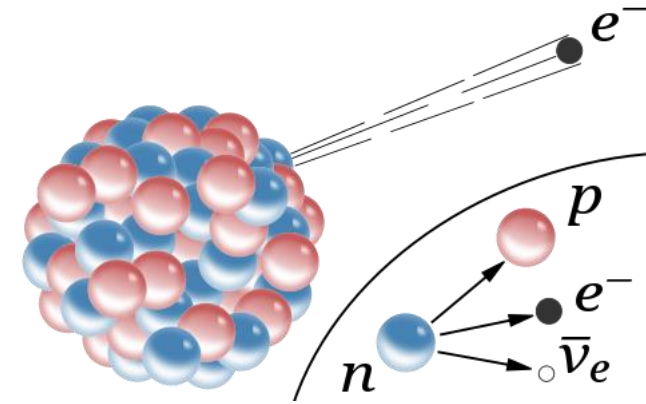
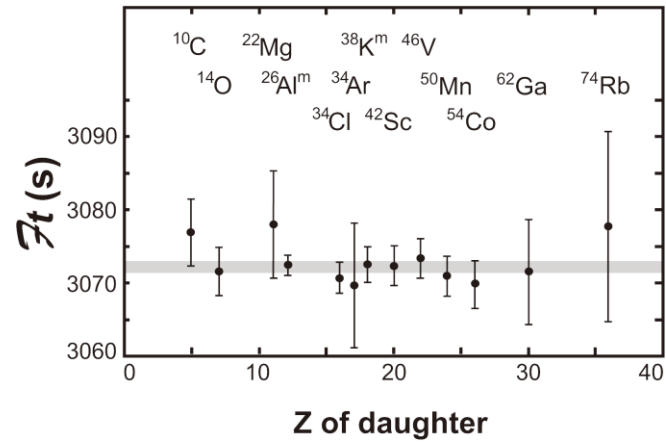


A more conservative estimate of nuclear structure uncertainties



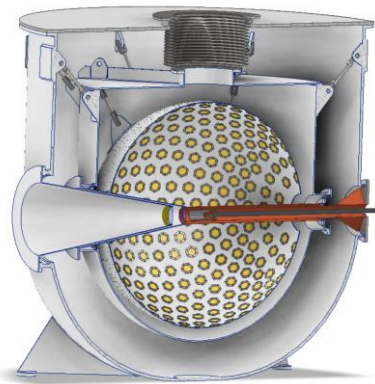
# $V_{ud}$ from different measurements

## ➤ Superallowed nuclear $\beta$ decays



## ➤ Neutron $\beta$ decays

## ➤ Pion $\beta$ decays



PIBETA  
PIONEER

Super-  
allowed

Ultra-Cold  
Neutron



# Important uncertainty from $\gamma W$ box diagram

Superallowed nuclear  $\beta$  decays

$$|V_{ud}|^2 = 0.97154(22)(54)_{\text{NS}} / (1 + \Delta_R^V)$$

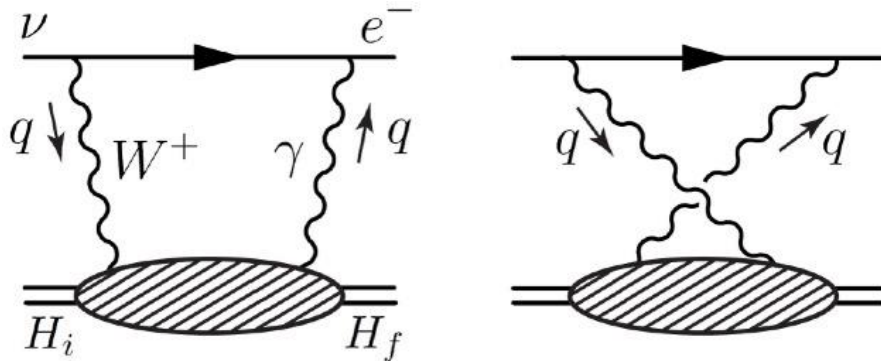
Neutron  $\beta$  decays

$$|V_{ud}|^2 = \frac{5024.7 \text{ s}}{\tau_n (1 + 3g_A^2) (1 + \Delta_R^V)}$$

Universal electroweak radiative corrections (EWR)

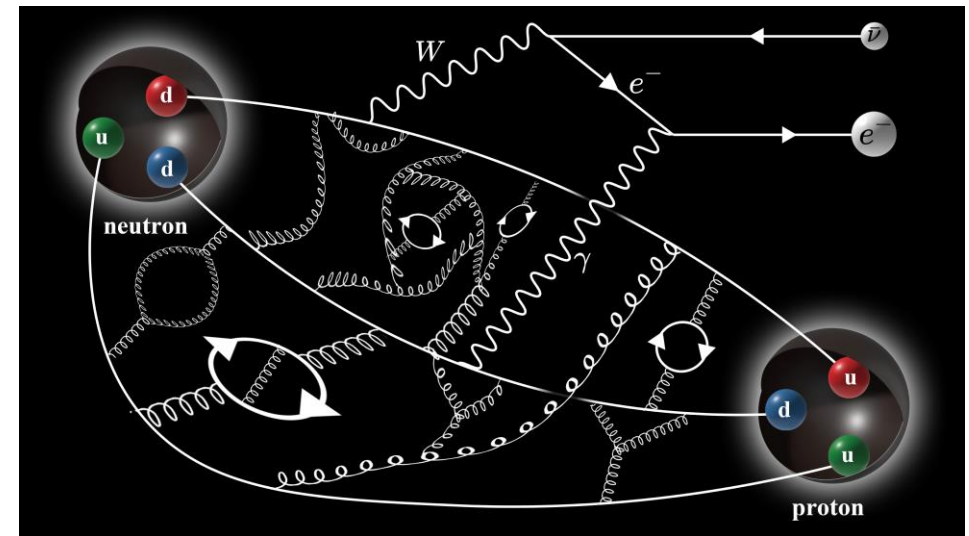
- Based current algebra, only axial  $\gamma W$  box diagram is sensitive to hadronic scale

[A. Sirlin, Rev. Mod. Phys. 07 (1978) 573]



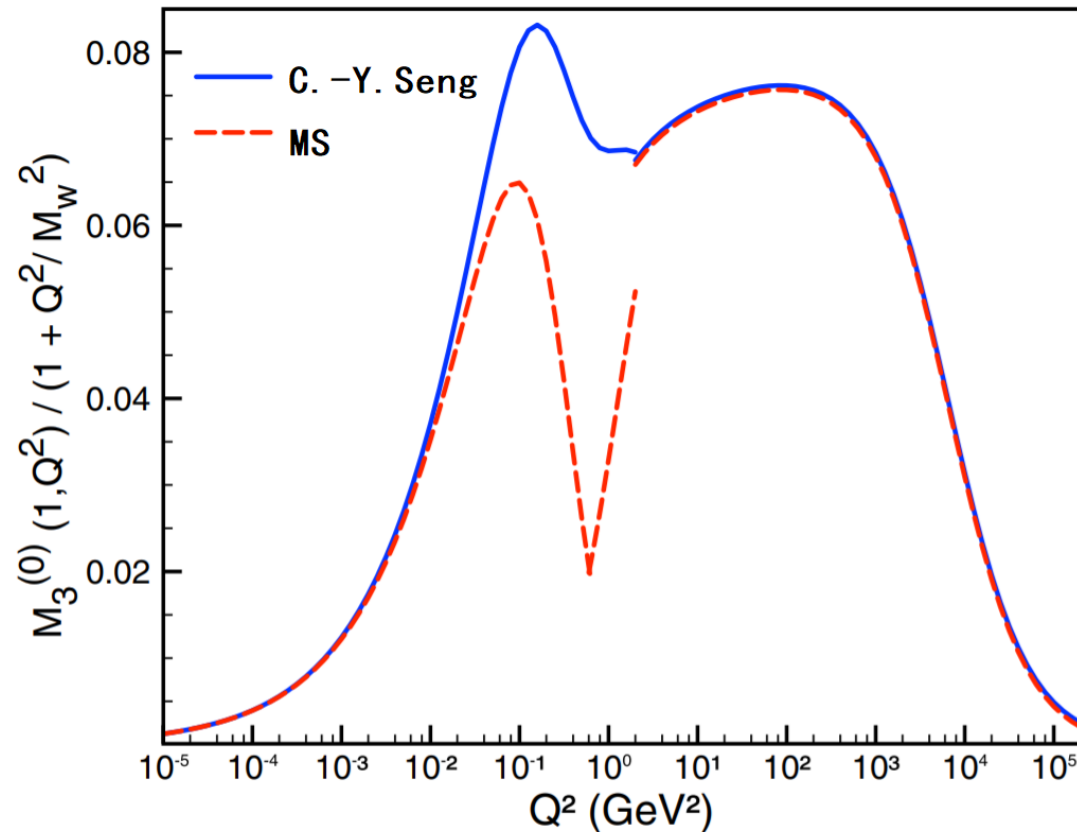
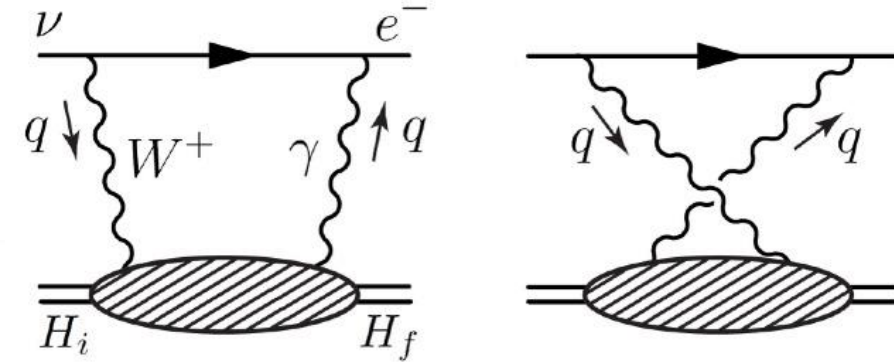
$$\square_{\gamma W}^{VA} = \frac{3\alpha_e}{2\pi} \int \frac{dQ^2}{Q^2} \frac{m_W^2}{m_W^2 + Q^2} M_n(Q^2).$$

It dominates the uncertainties in EWR



# Important uncertainty from $\gamma W$ box diagram

$$\square_{\gamma W}^{VA} = \frac{3\alpha_e}{2\pi} \int \frac{dQ^2}{Q^2} \frac{m_W^2}{m_W^2 + Q^2} M_n(Q^2).$$



➤ PDG 2019 → PDG 2020

	PDG 2019	PDG 2020
$ V_{ud} $	0.97420(21)	0.97370(14)
$ V_{us} $	0.2243(5)	0.2245(8)
$ V_{ub} $	0.00394(36)	0.00382(24)
$\Delta_{CKM}$	-0.00061(47)	-0.00149(45)

It is responsible for the update of PDG and 3.3  $\sigma$  deviation in CKM unitarity

[1] Marciano & Sirlin, PRL96, 032002 (2006)

[2] Seng et.al. PRL 121, 241804 (2018)

# Calculation of $\gamma W$ box diagram from lattice QCD

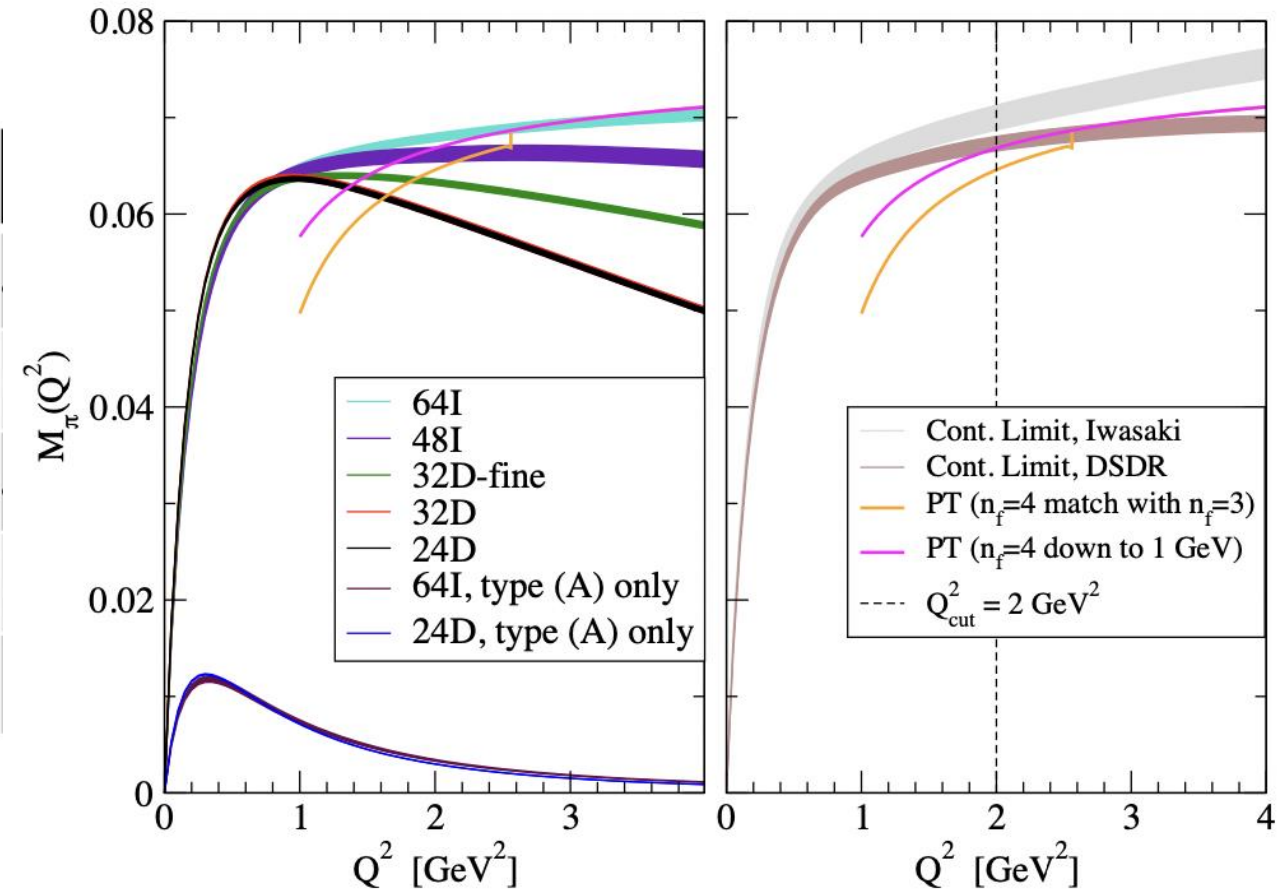
- Use pion  $\beta$  decay to design the calculation strategy

5 DWF ensembles @ physical pion mass

ensemble	$M_\pi/\text{MeV}$	$L^3 \times T$	$a/\text{fm}$
24D	141.2(4)	$24^3 \times 64$	0.1944
32D	141.4(3)	$32^3 \times 64$	0.1944
32D-fine	143.0(3)	$32^3 \times 64$	0.1432
48I	135.5(4)	$48^3 \times 96$	0.1140
64I	135.3(2)	$64^3 \times 128$	0.0836

- For pion decay, originally use EFT with LECs

Reduce the hadronic uncertainty by a factor of 10



XF, M. Gorchtein, L. Jin, et.al. PRL124 (2020) 19, 192002

# Interplay between theory and experiment

- $V_{ud}$  from  $\pi$   $\beta$  decay

$$|\bar{V}_{ud}| = 0.9740(28)_{\text{exp}}(\bar{1})_{\text{th}}$$

XF, M. Gorchtein, L. Jin, et.al.  
PRL124 (2020) 19, 192002

- Main uncertainty arises from exp. measurements

which is normalized using the very precisely measured  $BR(\pi^+ \rightarrow e^+ \nu_e(\gamma)) = 1.2325(23) \times 10^{-4}$  [7], rather than the theoretical branching ratio of  $1.2350(2) \times 10^{-4}$ , which if used, would increase  $|V_{ud}|$  to 0.9749(27). Theoretical uncertainties in pion beta decay are very small [21], leaving open more than an order of magnitude improvement of its experimental branching ratio before theory uncertainties become a problem. Although challenging, improved measurements of pion beta decay currently under discussion would allow this decay mode to compete with superallowed beta decays and future neutron decay efforts for the most precise direct  $|V_{ud}|$  determination.

PDG 2022, reviewed by E. Blucher & W. J. Marciano

- Past Experiment - PIBETA

D. Pocanic et.al. PRL 93 (2004) 181803

- Precision 0.6%

- New Experiment - PIONEER

M. Hoferichter, arXiv:2403.18889

Phase I :  $\pi$  leptonic decays

Phase II+III:  $\pi$   $\beta$  decays

- Ultimate precision  $3 \times 10^{-4}$ ,  
20 times better than PIBETA

**Future exp. uncertainty comparable  
to theoretical one!**

# Status for $V_{ud}$

- Superallowed  $\beta$  decays  $|V_{ud}|=0.9737(3)$

- $0^+ \rightarrow 0^+$  nuclear beta decays, which are pure vector transition at leading order
- Estimate of nuclear structure uncertainties is important

- Neutron  $\beta$  decays  $|V_{ud}|=0.9737(9)$

- Free from nuclear structure uncertainties
- Nuclear-structure independent radiative correction (RC) is same as superallowed nuclear  $\beta$  decay

- Pion semileptonic  $\beta$  decays  $|V_{ud}|=0.9739(29)$

- More difficult to measure pion decays
- Theoretically simpler, especially for lattice QCD

- ◆ Summary

- To extract  $V_{ud}$  from superallowed decay or neutron  $\beta$  decay
  - ➔ Need a well determined EW radiative corrections

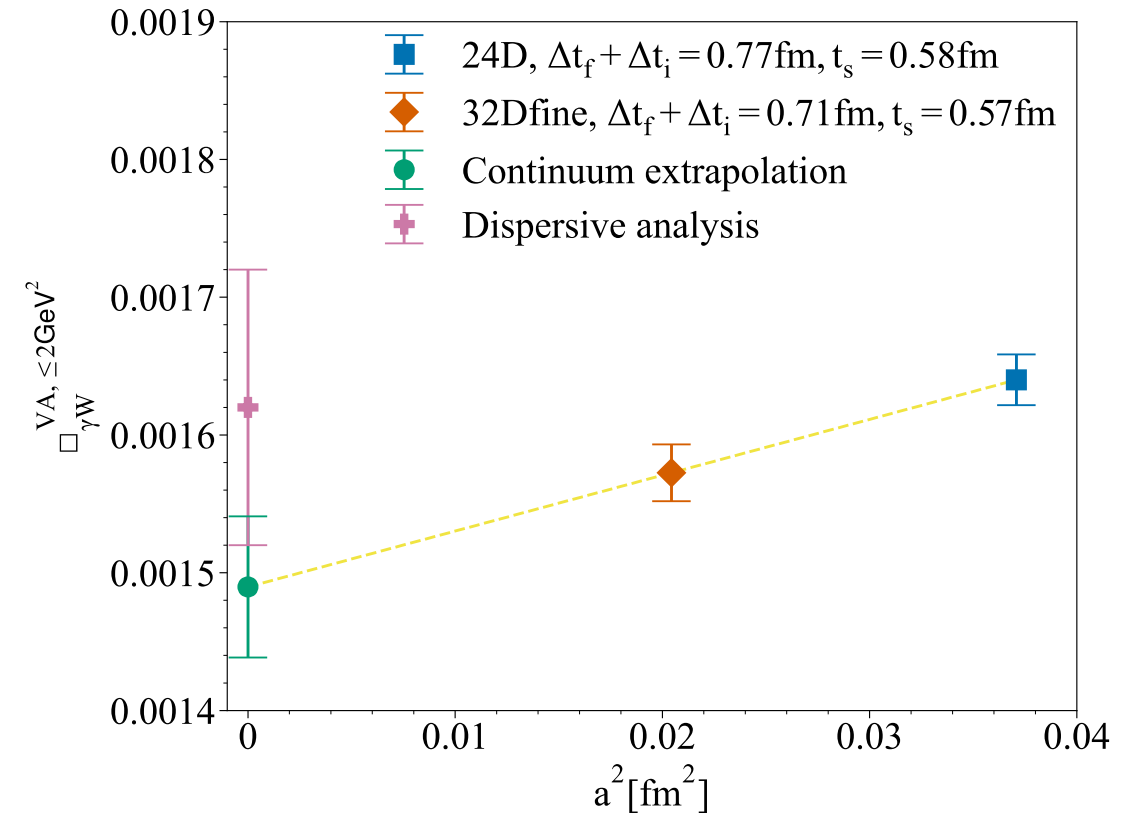
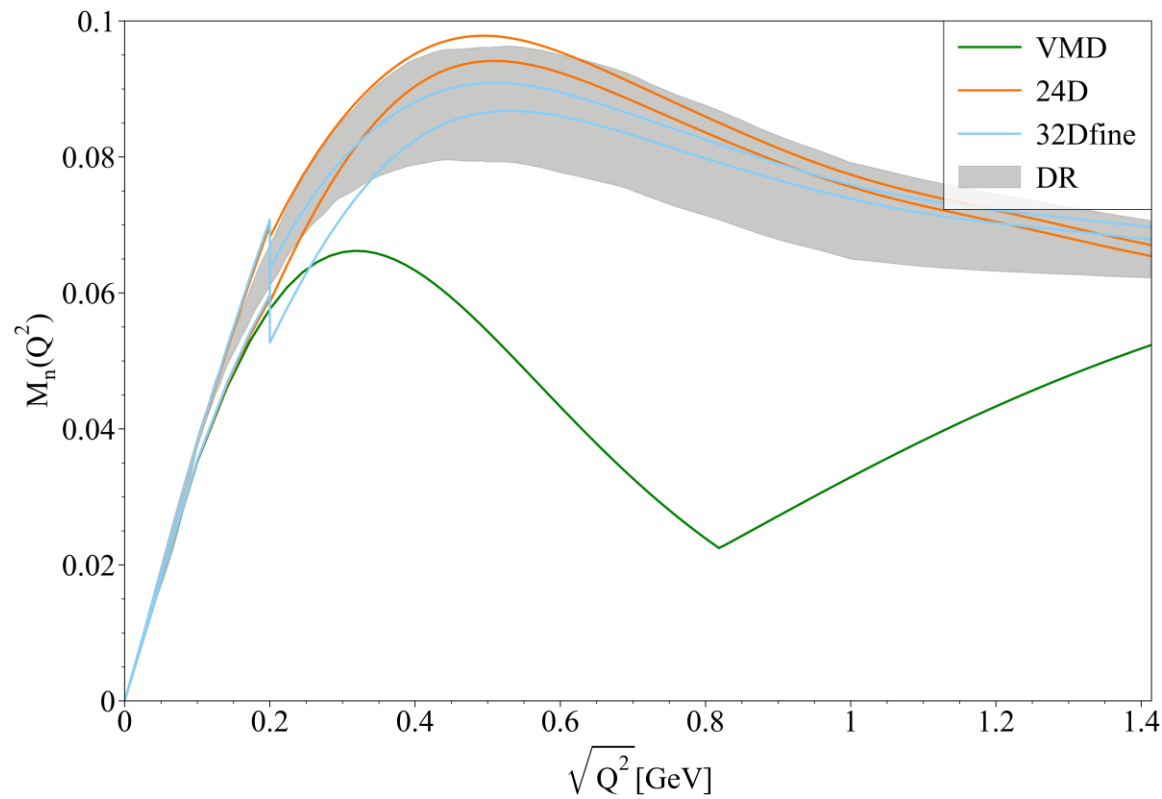
# $\gamma W$ box diagram in neutron $\beta$ decay

- Ensemble information

Ensemble	$m_\pi$ [MeV]	$L$	$T$	$a^{-1}$ [GeV]	$N_{\text{conf}}$
24D	142.6(3)	24	64	1.023(2)	207
32D-fine	143.6(9)	32	64	1.378(5)	69

- Numerical lattice results

P. Ma, XF, M. Gorchtein, L. Jin, C. Seng, Z. Zhang, PRL132 (2024) 191901



Using lattice input, deviation from CKM unitarity:  $2.1 \sigma \rightarrow 1.8 \sigma$

# Outline

➤ Test of first-row CKM unitarity

➤ Inclusion of isospin breaking effects

➤ Rare decays



# Inclusion of IB effects becomes important

- Flavor Lattice Averaging Group (FLAG) average, updated on 2023

$$f_+^{K\pi}(0) = 0.9698(17) \Rightarrow 0.18\% \text{ error}$$

$$f_{K^\pm}/f_{\pi^\pm} = 1.1934(19) \Rightarrow 0.16\% \text{ error}$$

- FLAG average results

- Error < 1%

	$N_f$	FLAG average	Frac. Err.
$f_K/f_\pi$	2+1+1	1.1934(19)	0.16%
$f_+(0)$	2+1+1	0.9698(17)	0.18%
$f_D$	2+1+1	212.0(7) MeV	0.33%
$f_{D_s}$	2+1+1	249.9(5) MeV	0.20%
$f_{D_s}/f_D$	2+1+1	1.1783(16)	0.13%
$f_+^{DK}(0)$	2+1+1	0.7385(44)	0.60%
$f_B$	2+1+1	190.0(1.3) MeV	0.68%
$f_{B_s}$	2+1+1	230.3(1.3) MeV	0.56%
$f_{B_s}/f_B$	2+1+1	1.209(5)	0.41%

- Error < 5%

	$N_f$	FLAG average	Frac. Err.
$\hat{B}_K$	2+1	0.7625(97)	1.3%
$f_+^{D\pi}(0)$	2+1	0.666(29)	4.4%
$\hat{B}_{B_s}$	2+1	1.35(6)	4.4%
$B_{B_s}/B_{B_d}$	2+1	1.032(38)	3.7%
...			

Important to study the IB effects

# Inclusion of IB effects becomes important

- Flavor Lattice Averaging Group (FLAG) average, updated on 2023

$$f_+^{K\pi}(0) = 0.9698(17) \Rightarrow 0.18\% \text{ error}$$

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$$\Gamma_{K\ell 3} = \frac{G_F^2 M_K^5}{192\pi^3} S_{EW} (1 + \delta_K^\ell + \delta_{SU2}) C^2 |V_{us}|^2 f_+^2(0) I_K^\ell$$

$$\frac{\Gamma(K_{\mu 2})}{\Gamma(\pi_{\mu 2})} = \left| \frac{V_{us} f_K^{(0)}}{V_{ud} f_\pi^{(0)}} \right|^2 \frac{M_\pi^3}{M_K^3} \left( \frac{M_K^2 - m_\mu^2}{M_\pi^2 - m_\mu^2} \right)^2 (1 + \delta R_{K\pi})$$

LD IB effects, ChPT provides a useful tool

# Frontier for lattice QCD – inclusion of IB

## ➤ For $K_{l3}$ decays

[P. Ma, XF, M. Gorchtein, L. Jin, C. Seng, PRD103 (2021) 114503]

- ❑ So far only a combined analysis with LQCD and ChPT

## ➤ For $K_{\mu 2}/\pi_{\mu 2}$ decays

- ❑ 1<sup>st</sup> calculation by RM123-SOTON collaboration @ $m_{\pi} \approx 220$  MeV

LQCD	vs	ChPT
$\delta R_{K\pi} = -1.26(14)\%$		$\delta R_{K\pi} = -1.12(21)\%$
[PRL 2018, PRD 2019]		[Cirigliano & Neufeld, PLB 2011]

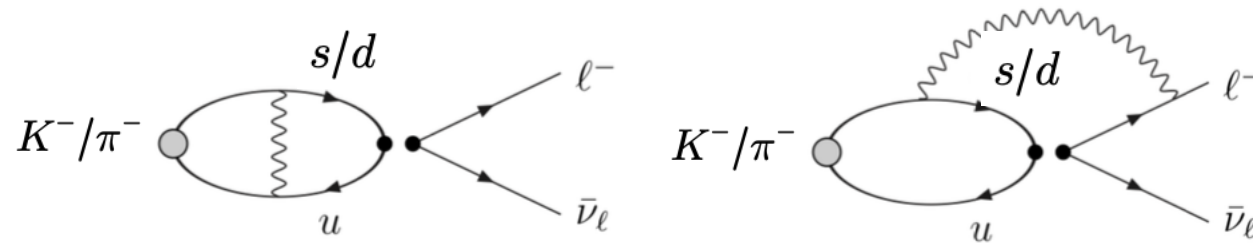
- ❑ 2<sup>nd</sup> calculation @ $m_{\pi}=139$  MeV,  $m_{\pi}L=3.863$

$$\delta R_{K\pi} = -0.0086 (3)_{\text{stat.}} \binom{+11}{-4}_{\text{fit}} (5)_{\text{disc.}} (5)_{\text{quench.}} (39)_{\text{vol.}} \quad [\text{P. Boyle et. al., JHEP 02 (2023) 242}]$$

indicating large finite-volume effects

- $O(1/L)$ : universal and analytical known
- $O(1/L^2)$ : structure dependent, found to be small
- $O(1/L^3)$ : structure dependent, potentially large

# Difficulties to include E&M effects



$m_\gamma=0$   $\Rightarrow$  Long-range propagator enclosed in the lattice box

$\Rightarrow$  Power-law finite-volume effects

➤ Various methods proposed to treat photon on the lattice

- QED<sub>L</sub> and QED<sub>TL</sub> [Hayakawa & Uno, 2008, S. Borsany et. al., 2015]
- Massive photon [M. Endres et. al., 2016]
- C\* boundary condition [B. Lucini et. al., 2016]

← First two calculations use QED<sub>L</sub>

Change photon propagator to make it suitable for lattice

# Remove zero mode - QED<sub>L</sub>

Infinite-volume propagator

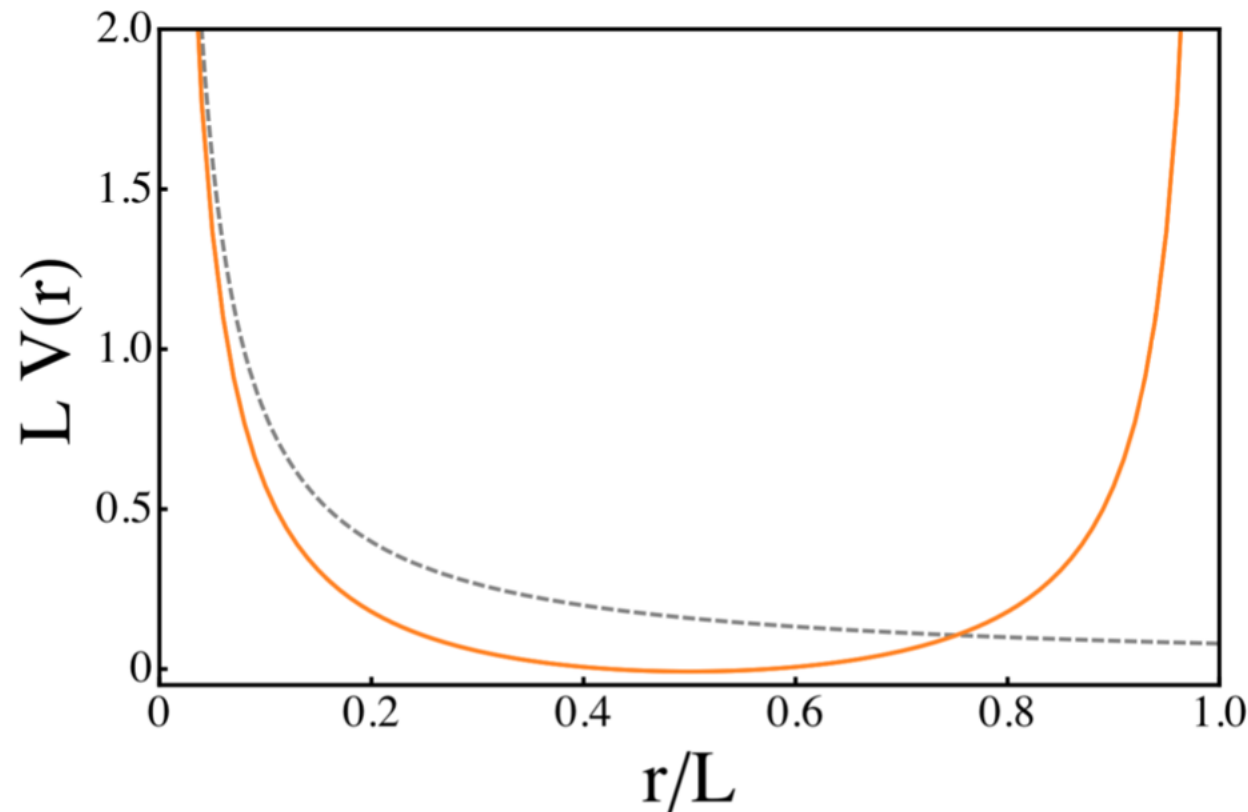


Finite-volume propagator

$$S_{\infty}(x) = \int \frac{d^4 p}{(2\pi)^4} \frac{e^{ipx}}{p^2} = \frac{1}{4\pi^2 x^2}$$



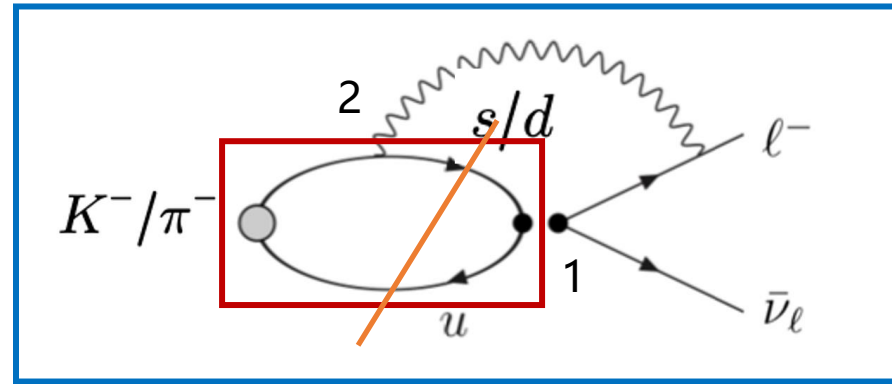
$$S_L(x) = \frac{1}{VT} \sum'_p \frac{e^{ipx}}{p^2}, \quad p = \frac{2\pi}{L} n \neq 0$$



Z. Davoudi, M.Savage  
PRD90 (2014) 054503]

Power-law ( $1/L^n$ ) finite-volume effect as lattice size  $L$  increases

# Infinite-volume reconstruction



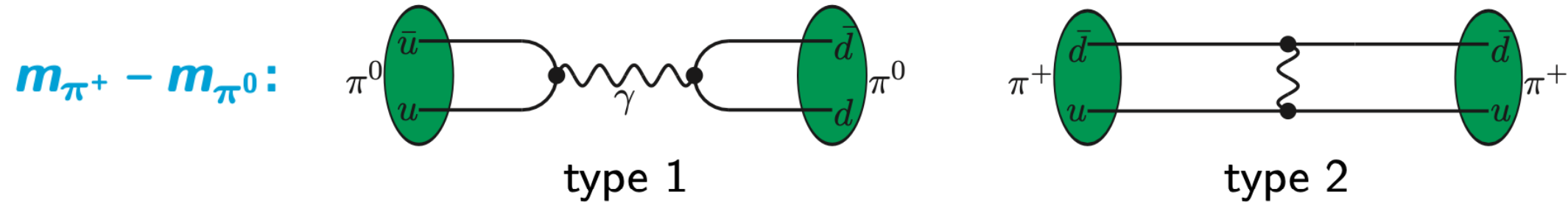
XF, L. Jin, PRD100 (2019) 094509

- QCD part is localized in a finite volume
- QED part is included analytically in the infinite volume
- Problem: QCD and QED parts do not match?

➔ Solution:

- Only when points 1 & 2 are separated with long distance, finite-volume effects become important
- At long distance, single-particle propagation between 1 & 2
- Reconstruct the infinite-volume single-particle propagation using the finite-volume one as input

# Use QED self energy – pion mass splitting as an example



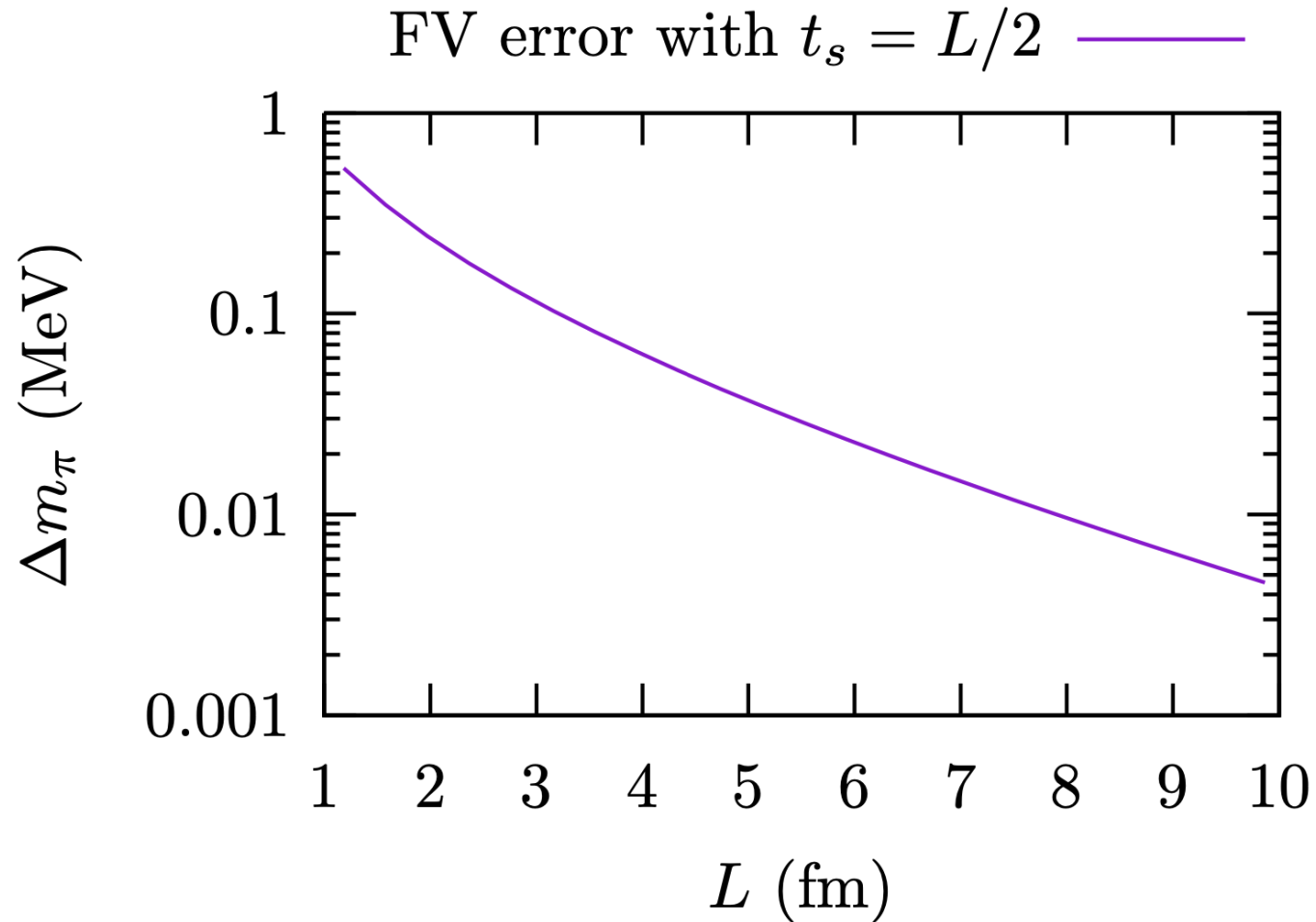
Isospin breaking effects: EM ( $\alpha_e$ ) + strong ( $\frac{m_u - m_d}{\Lambda_{\text{QCD}}}$ ) contributions

Strong IB appear at  $O\left(\left(\frac{m_u - m_d}{\Lambda_{\text{QCD}}}\right)^2\right)$   $\longrightarrow$  Dominated by EM effects

Ideal testing ground to isolate the QED effects

# Use QED self energy – pion mass splitting as an example

- Finite-volume effects mimicking by scalar QED



FV error exponentially suppressed



# Use QED self energy – pion mass splitting as an example

➤ Numerical calculation XF, L. Jin, M. Riberdy, PRL128 (2022) 052003

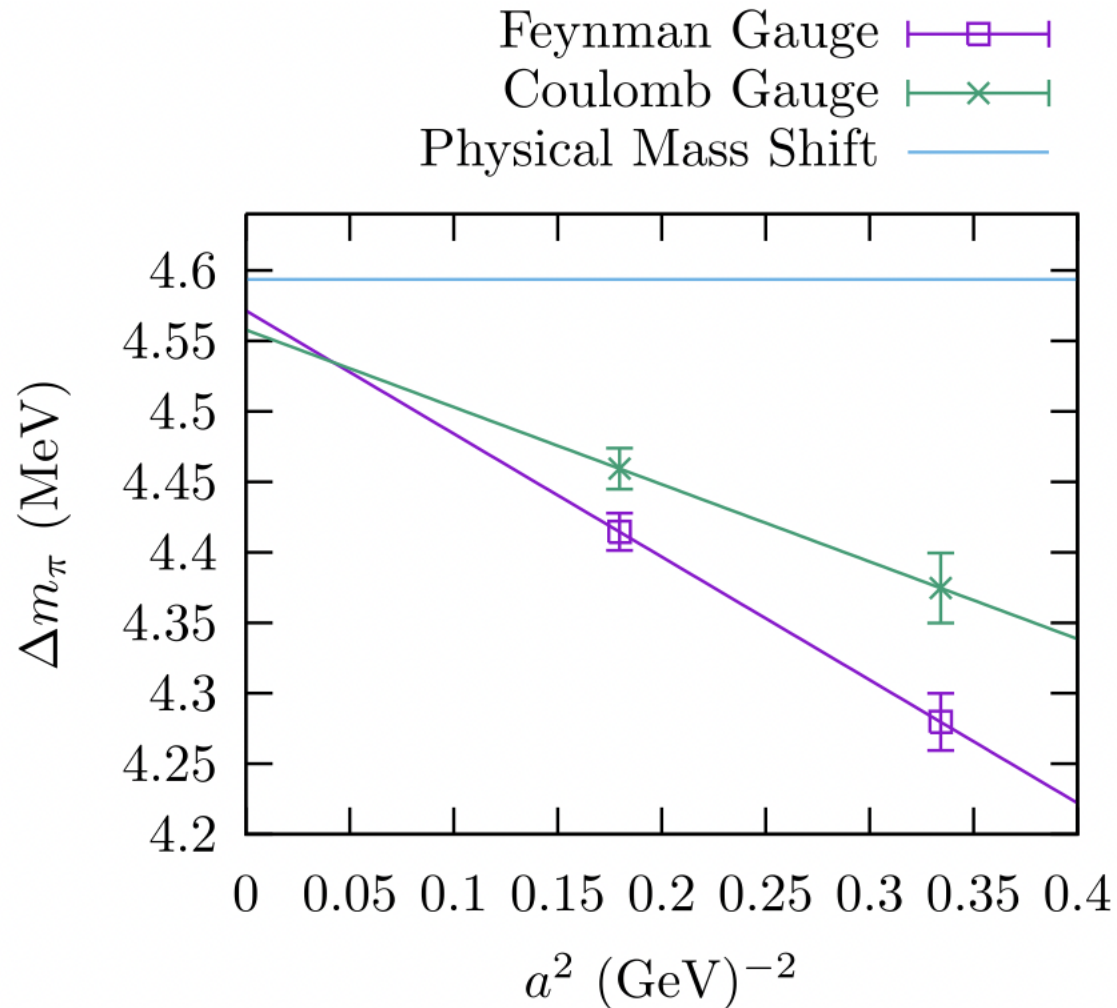


TABLE I. Previous lattice calculations of  $m_{\pi^\pm} - m_{\pi^0}$  are compared to this Letter. Note  $m_{\pi^\pm}$  is the charged pion mass  $m_{\pi^0}$  is the neutral pion mass

Reference	$m_{\pi^\pm} - m_{\pi^0}$ (MeV)
RM123 2013 [5]	$5.33(48)_{\text{stat}}(59)_{\text{sys}}^a$
R. Horsley <i>et al.</i> 2016 [7]	$4.60(20)_{\text{stat}}$
RM123 2017 [9]	$4.21(23)_{\text{stat}}(13)_{\text{sys}}$
This Letter	$4.534(42)_{\text{stat}}(43)_{\text{sys}}$

Precision 5-10 times better than previous studies

➤ Method extended from mass splitting to leptonic decay

N. Christ, XF, L. Jin, C. Sachrajda, T. Wang, PRD108 (2023) 014501

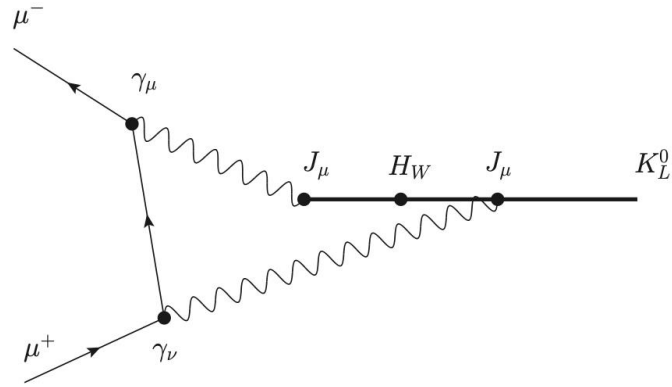
Numerical work is under going

# Outline

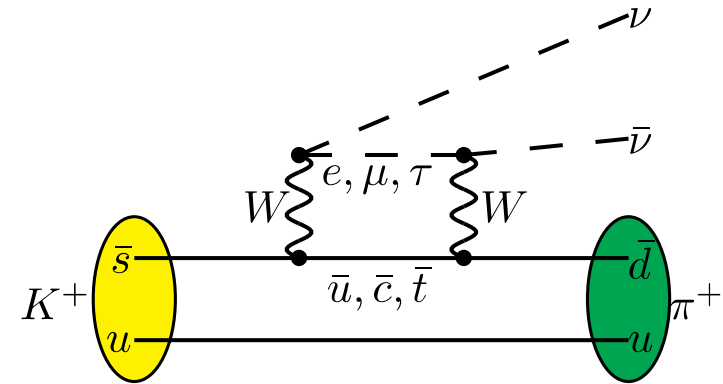
- Test of first-row CKM unitarity
- Inclusion of isospin breaking effects
- Rare decays

# Interesting rare processes

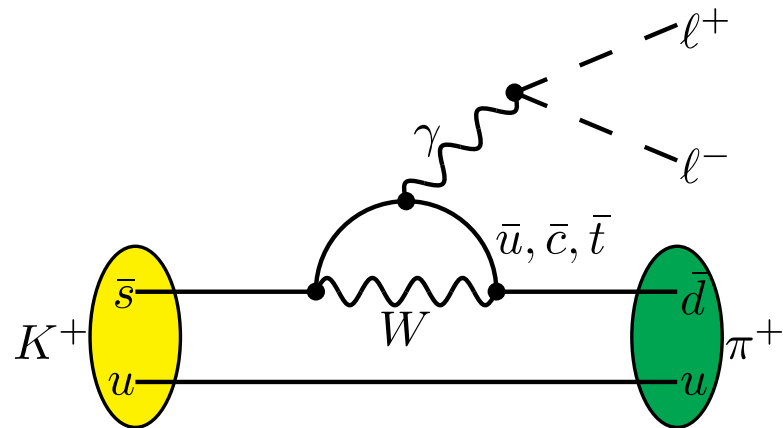
$$K_L \rightarrow \mu^+ \mu^- : \text{BR} = 6.84(11) \times 10^{-9}$$



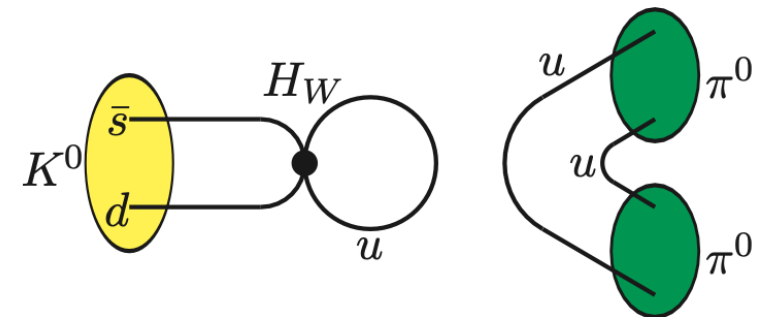
$$K^+ \rightarrow \pi^+ \nu \bar{\nu} : \text{BR} = 1.73_{-1.05}^{+1.15} \times 10^{-10}$$



$$K^+ \rightarrow \pi^+ e^+ e^- : \text{BR} = 3.14(10) \times 10^{-7}$$



$$K_L \rightarrow \pi\pi : \text{Br} = O(10^{-3})$$

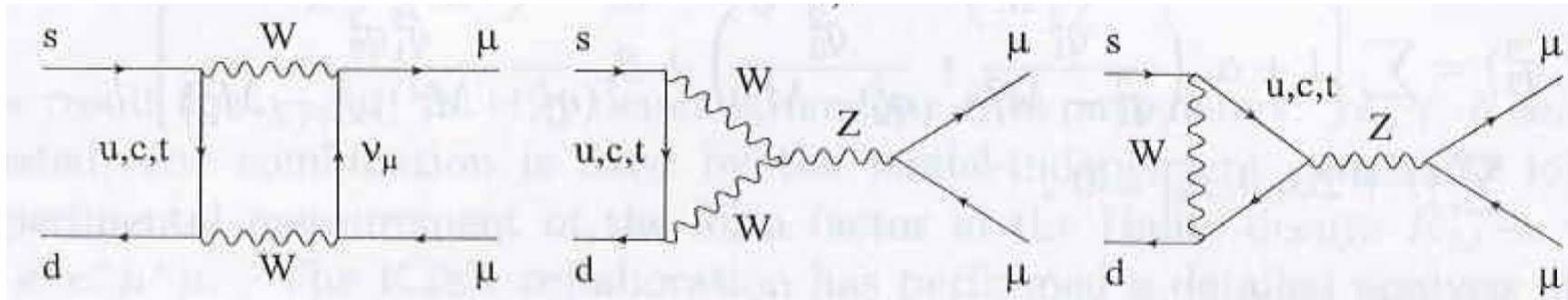


# Interesting rare processes (1)

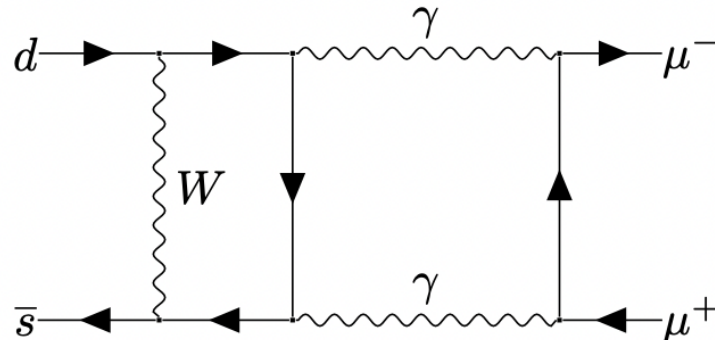
➤ In SM,  $K_L \rightarrow \mu^+ \mu^-$  is a FCNC process

□ SD contribution via  $W$  &  $Z$  boson exchange, contributes  $\sim 12\%$  to BR

M. Gorbahn & U. Haisch, PRL97 (2006) 122002



□ LD contribution via two-photon exchange is nonperturbative



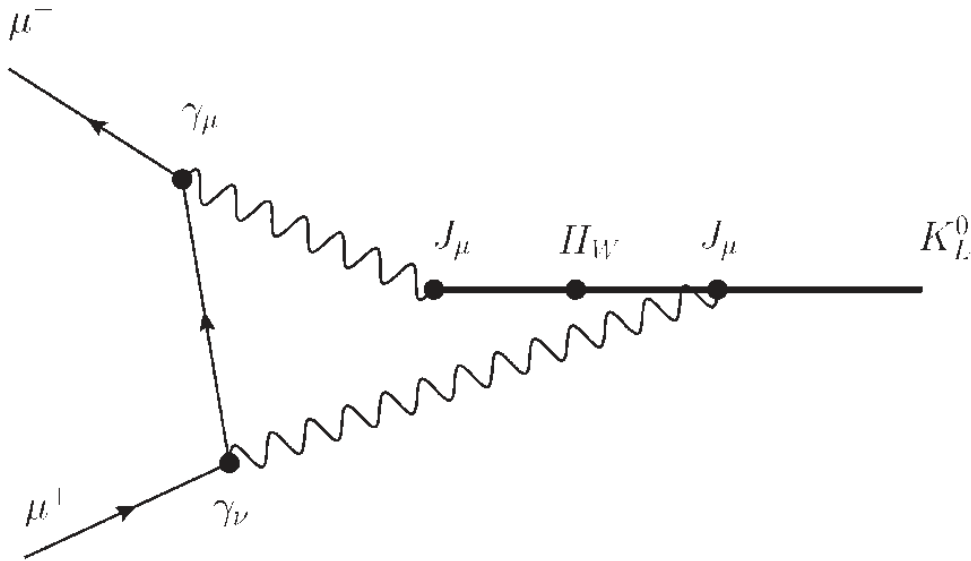
- Imaginary part known from optical theorem and  $K_L \rightarrow \gamma\gamma$  decay rate
- Real part is not well understood  $\rightarrow$  largest uncertainty

Cirigliano, Ecker, Neufeld, Pich, Portoles,  
Rev.Mod.Phys. 84 (2012) 399

# Decay process involves photon and lepton loop

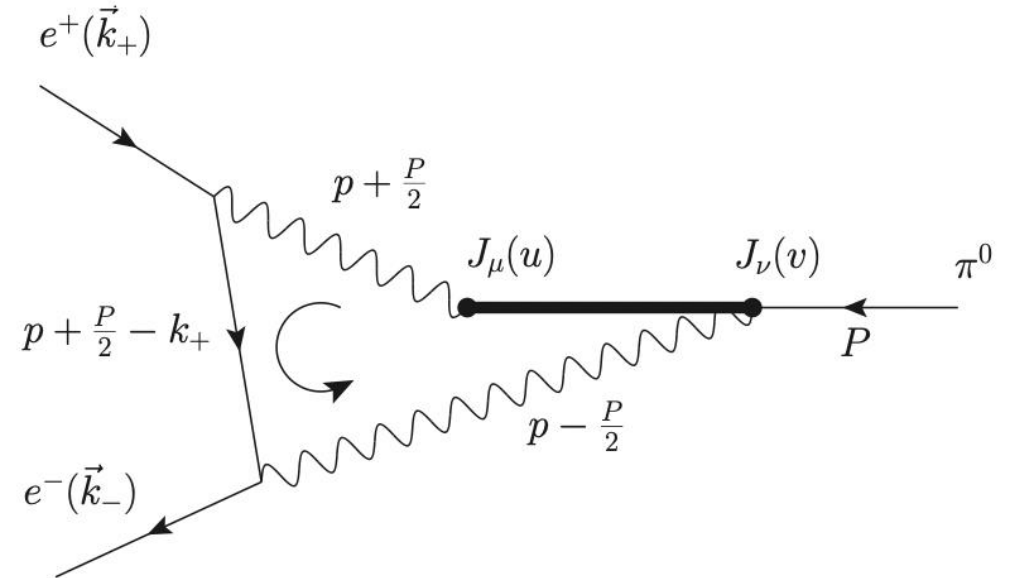
## ➤ Lattice QCD calculation

- $K_L \rightarrow \mu^+ \mu^-$



- 5 vertices, 60 different time ordering
- Many intermediate states with  $E < M_K$
- Hadronic part involves 4pt function

- $\pi^0 \rightarrow \mu^+ \mu^-$

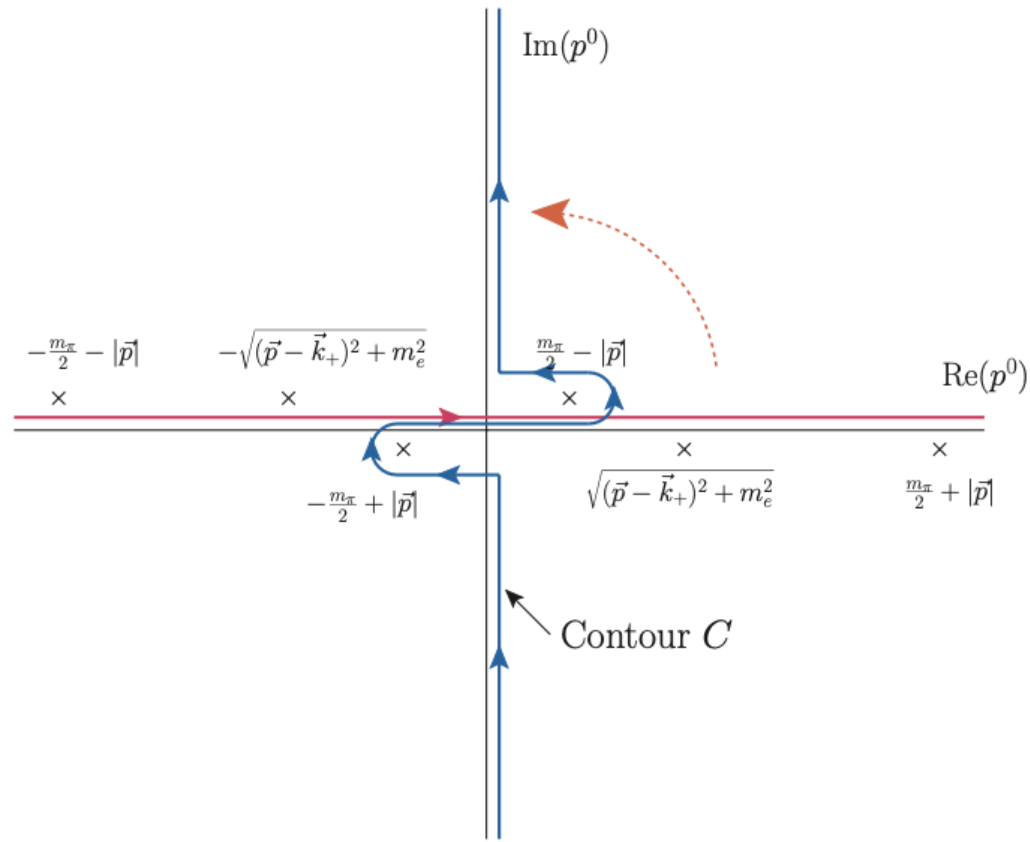


- 4 vertices, 12 different time ordering
- Only two-photon state with  $E < M_\pi$
- Used to develop methodology

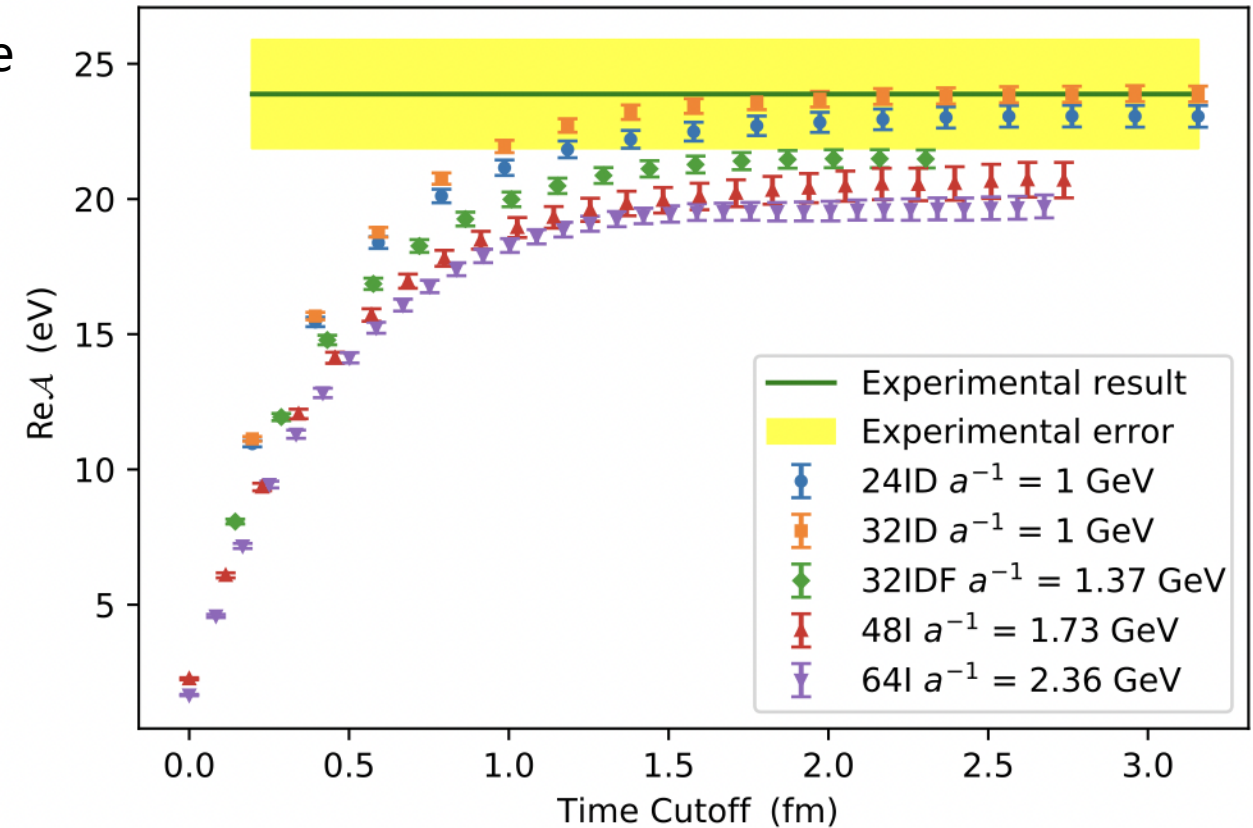
# Decay process involves photon and lepton loop

## ➤ Lattice methodology

- Calculate non-QCD part in Minkowski spacetime
- Then Wick rotate to Euclidean spacetime



## ➤ $\text{Re}[A(\pi \rightarrow e^+e^-)] @ m_\pi = 140 \text{ MeV}$ , RBC-UKQCD



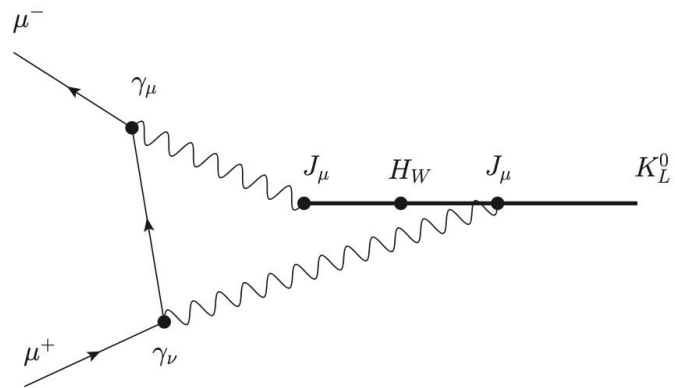
N. Christ, XF, L. Jin et.al, PRL 130 (2023) 191901

- Precision 6-7 times better than exp. measurement
- $1.8 \sigma$  deviation is obtained

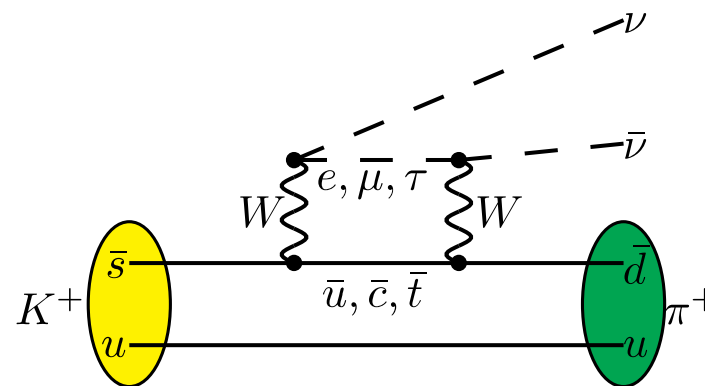
## ➤ Methodology extended to $K_L \rightarrow \mu^+ \mu^-$ and exploratory numerical calculation undertaken

# Interesting rare processes

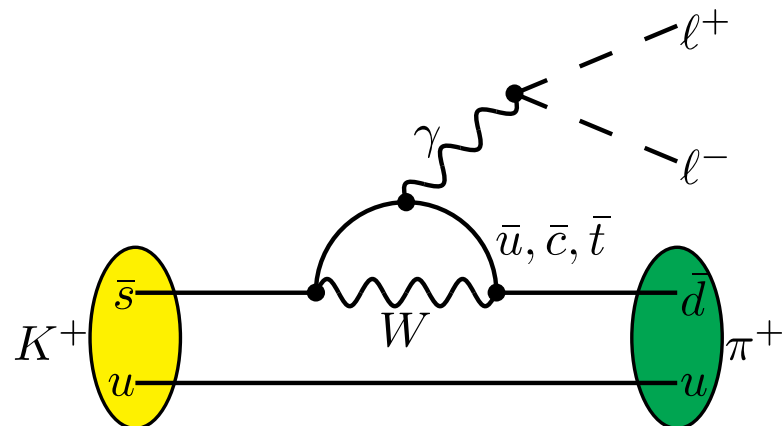
$$K_L \rightarrow \mu^+ \mu^- : \text{BR} = 6.84(11) \times 10^{-9}$$



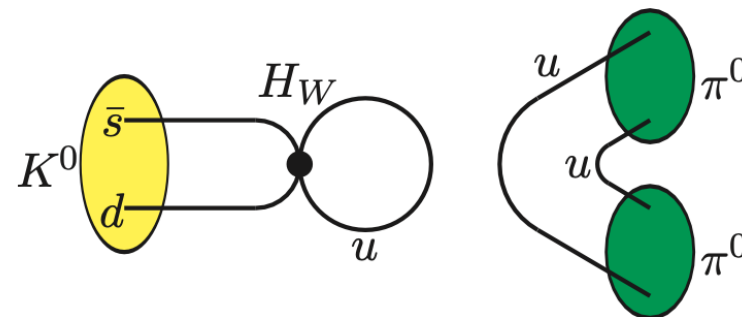
$$K^+ \rightarrow \pi^+ \nu \bar{\nu} : \text{BR} = 1.73_{-1.05}^{+1.15} \times 10^{-10}$$



$$K^+ \rightarrow \pi^+ e^+ e^- : \text{BR} = 3.14(10) \times 10^{-7}$$

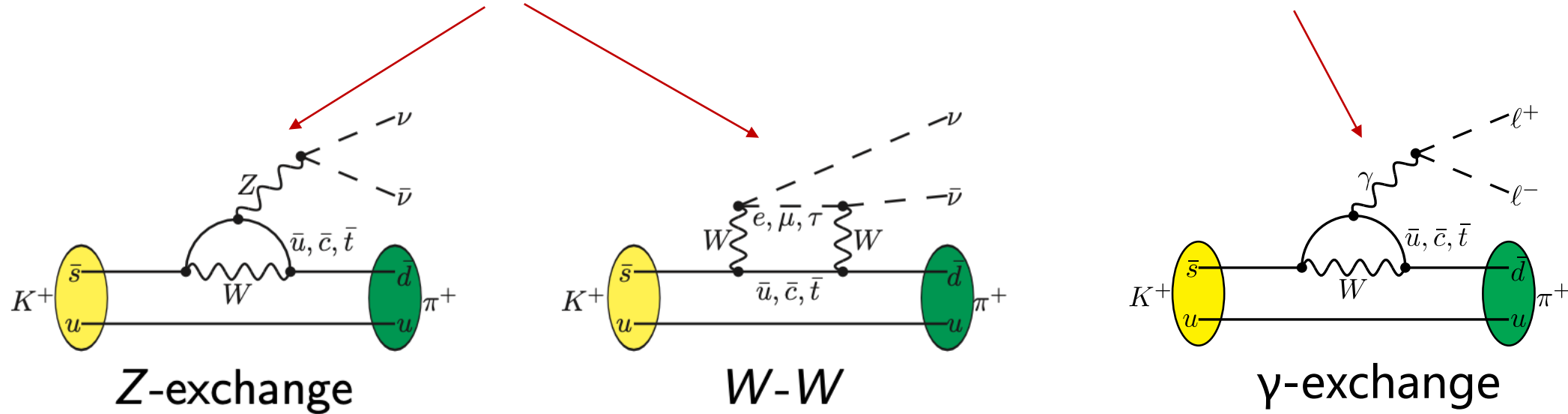


$$K_L \rightarrow \pi\pi : \text{Br} = O(10^{-3})$$



# Comparison between two rare decay channels

- Calculation of  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  is more challenging than  $K^+ \rightarrow \pi^+ \ell^+ \ell^-$

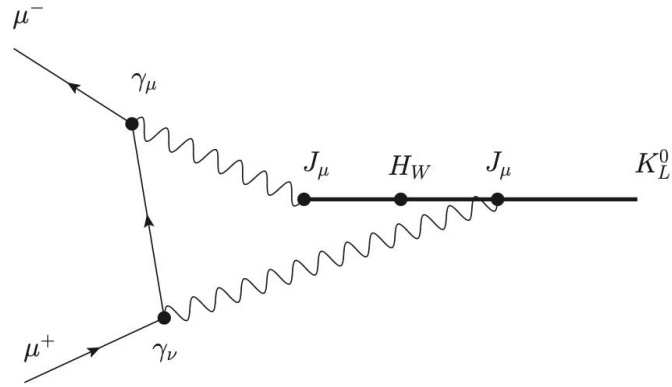


- Z-exchange diagram involves both vector and axial vector current insertions
- In W-W diagram, neutrinos are not connected at 1 point  $\rightarrow$  Dalitz study of the amplitude
- SD divergent, requires UV subtraction

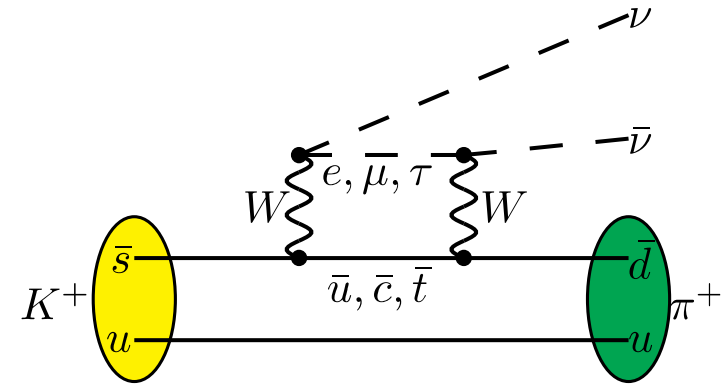


# Interesting rare processes

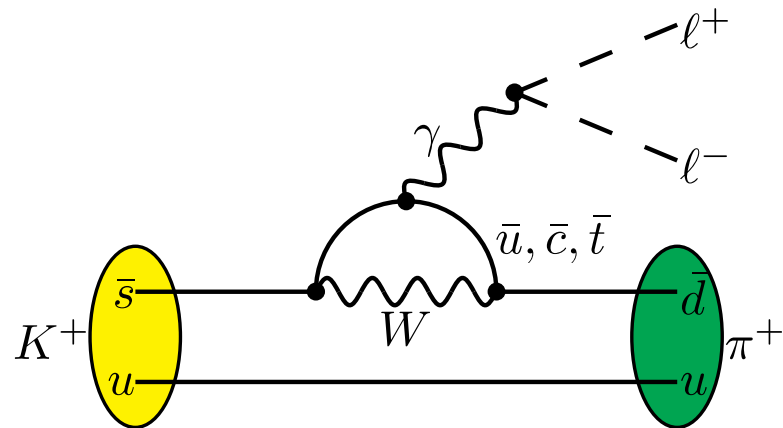
$$K_L \rightarrow \mu^+ \mu^- : \text{BR} = 6.84(11) \times 10^{-9}$$



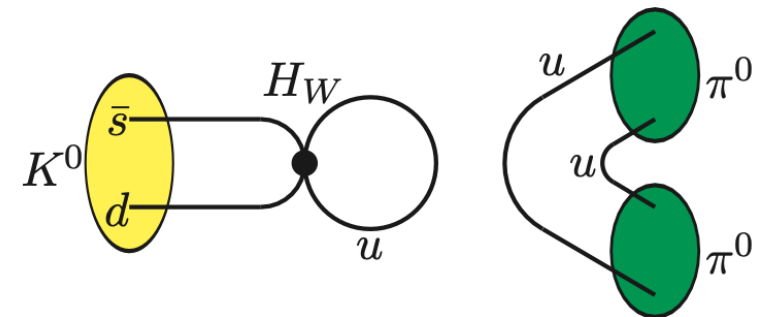
$$K^+ \rightarrow \pi^+ \nu \bar{\nu} : \text{BR} = 1.73_{-1.05}^{+1.15} \times 10^{-10}$$



$$K^+ \rightarrow \pi^+ e^+ e^- : \text{BR} = 3.14(10) \times 10^{-7}$$



$$K_L \rightarrow \pi\pi : \text{Br} = O(10^{-3})$$



# Form factor relevant for $K^+ \rightarrow \pi^+ \ell^+ \ell^-$

- Experimental measurement

$$\text{Br}(K^+ \rightarrow \pi^+ e^+ e^-) = 3.00(9) \times 10^{-7} \quad \text{Br}(K^+ \rightarrow \pi^+ \mu^+ \mu^-) = 9.4(6) \times 10^{-8}$$

New results from NA62 [NA62, JHEP 11 (2022) 011]

$$\text{Br}(K^+ \rightarrow \pi^+ \mu^+ \mu^-) = 9.15(8) \times 10^{-8}$$

- Hadronic amplitude is described by a form factor

$$\begin{aligned} A_+^\mu(p_K, p_\pi) &= \int d^4x e^{iqx} \langle \pi(p_\pi) | T \{ J_{em}^\mu(x) \mathcal{H}^{\Delta S=1}(0) \} | K^+ / K_S(p_K) \rangle \\ &= \frac{G_F M_K^2}{(4\pi)^2} V_+(z) [z(k+p)^\mu - (1-r_\pi^2)q^\mu] \end{aligned}$$

with  $q = p_K - p_\pi$ ,  $z = q^2/M_K^2$ ,  $r_\pi = M_\pi/M_K$

- Form factor is parameterized as

$$V_+(z) = a_+ + b_+ z + V^{\pi\pi}(z)$$

Measurement	$a_+$	$b_+$
E865 - $K_{\pi ee}$	$-0.587 \pm 0.010$	$-0.655 \pm 0.044$
NA48/2 - $K_{\pi ee}$	$-0.578 \pm 0.016$	$-0.779 \pm 0.066$
NA48/2 - $K_{\pi\mu\mu}$	$-0.575 \pm 0.039$	$-0.813 \pm 0.145$
NA62 - $K_{\pi\mu\mu}$	$-0.575 \pm 0.013$	$-0.722 \pm 0.043$

# Exploratory lattice calculation

Use  $24^3 \times 64$  ensemble,  $N_{\text{conf}} = 128$

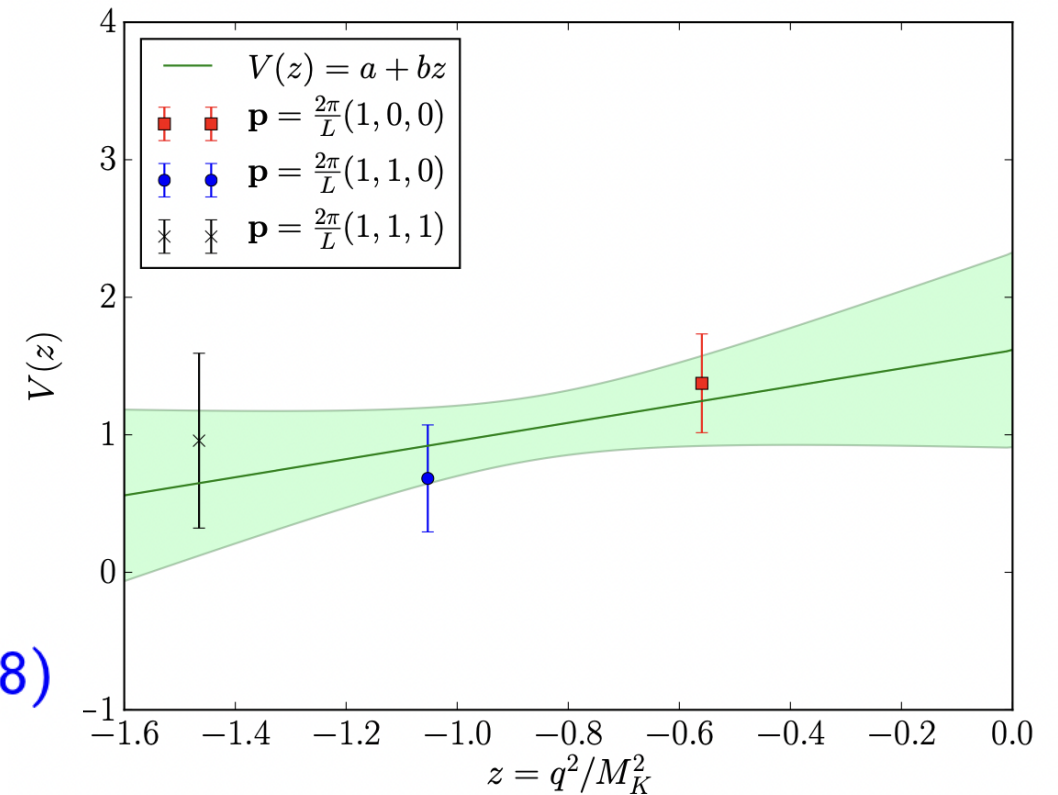
[N. Christ, XF, A. Lawson, et.al. PRD94 (2016) 114516]

$$a^{-1} = 1.78 \text{ GeV}, m_\pi = 430 \text{ MeV}$$

$$m_K = 625 \text{ MeV}, m_c = 530 \text{ MeV}$$

Momentum dependence of  $V_+(z)$

$$V_+(z) = a_+ + b_+ z \Rightarrow a_+ = 1.6(7), b_+ = 0.7(8)$$



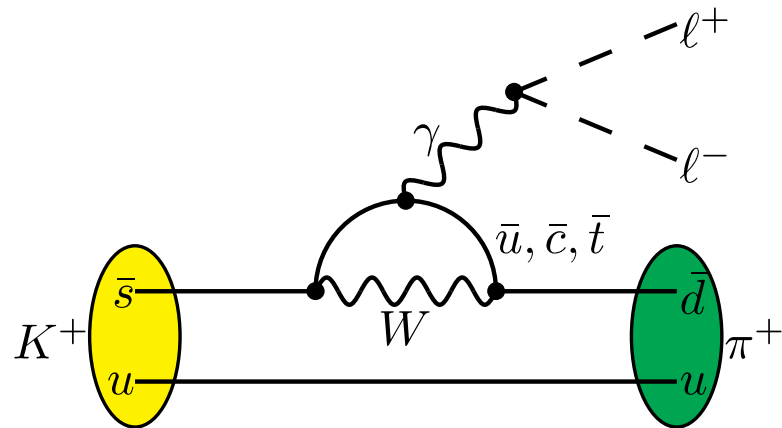
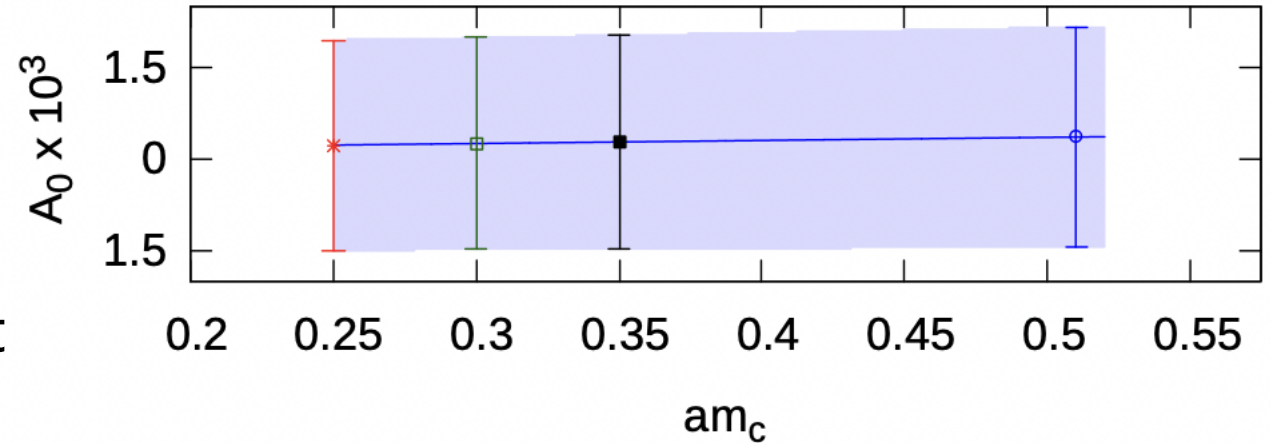
Experimental data + phenomenological analysis yields  $a_+ < 0$  and  $b_+ < 0$

$$V_j(z) = a_j + b_j z + \underbrace{\frac{\alpha_j r_\pi^2 + \beta_j (z - z_0)}{G_F M_K^2 r_\pi^4}}_{K \rightarrow \pi \pi \pi} \underbrace{\left[ 1 + \frac{z}{r_V^2} \right]}_{F_V(z)} \underbrace{\left[ \phi(z/r_\pi^2) + \frac{1}{6} \right]}_{\text{loop}}, \quad j = +, S$$

- Experimental data only provide  $\frac{d\Gamma}{dz} \Rightarrow$  square of form factor  $|V_+(z)|^2$
- Need phenomenological knowledge to determine the sign for  $a_+, b_+$

# Calculation at physical pion mass

- 2+1 flavor DWF with  $a^{-1} = 1.730(4)\text{GeV}$
- Physical pion mass
- Three charm quark masses used for extrapolation to physical point
- Large statistical error from stochastic estimated quark loops

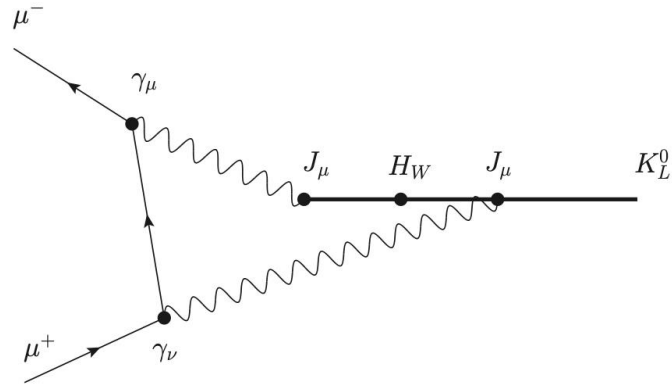


$$V(z = 0.013(2)) = -0.87(4.44),$$

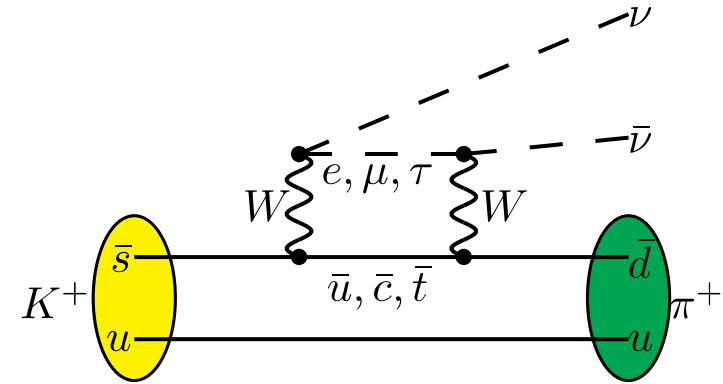
[P Boyle et.al. PRD107 (2023) L011503 ]

# Interesting rare processes

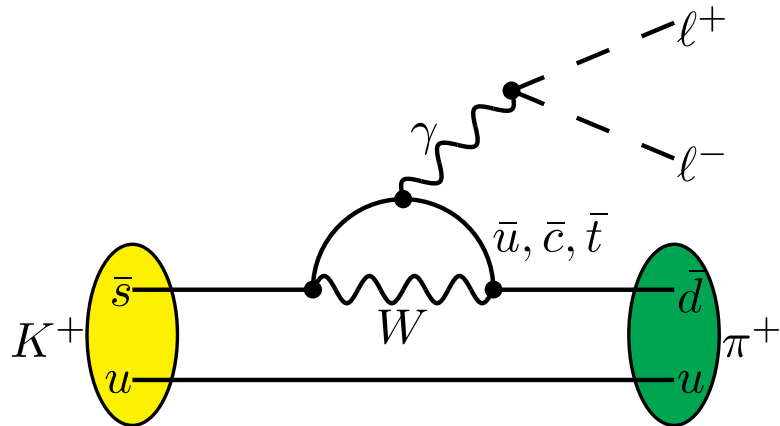
$$K_L \rightarrow \mu^+ \mu^- : \text{BR} = 6.84(11) \times 10^{-9}$$



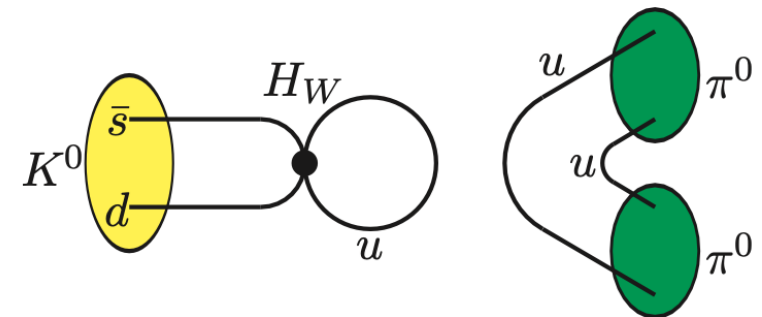
$$K^+ \rightarrow \pi^+ \nu \bar{\nu} : \text{BR} = 1.73_{-1.05}^{+1.15} \times 10^{-10}$$



$$K^+ \rightarrow \pi^+ e^+ e^- : \text{BR} = 3.14(10) \times 10^{-7}$$



$$K_L \rightarrow \pi\pi : \text{Br} = O(10^{-3})$$



# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : in the Standard Model prediction

**Branching ratio** for  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  [Buras, Buttazzo, Girschbach-Noe, Kneijens, '15]

$$\text{Br} = \kappa_+ (1 + \Delta_{\text{EM}}) \cdot \left[ \underbrace{\left( \frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2}_{0.270 \times 1.481(9)} + \left( \underbrace{\frac{\text{Re } \lambda_c}{\lambda} P_c}_{-0.974 \times 0.405(23)} + \underbrace{\frac{\text{Re } \lambda_t}{\lambda^5} X(x_t)}_{-0.533 \times 1.481(9)} \right)^2 \right]$$

- $X(x_t)$ : top quark contribution;  $P_c$ : charm and LD contribution

Without  $P_c$ , branching ratio is 50% smaller

## Uncertainty budget

- dominant uncertainty from CKM factor  $\lambda_t$
- once fixing CKM factor, then  $P_c$  dominates the uncertainty
  - $P_c$ 's uncertainty mainly come from LD

Important to determine the LD contribution to  $P_c$  accurately

# Results for charm quark contribution

## Charm quark contribution

$$P_c = P_c^{\text{SD}} + \delta P_{c,u}$$

NNLO QCD [A. Buras, M. Gorbahn, U. Haisch, U. Nierste, JHEP 11 (2006) 002]

$$P_c^{\text{SD}} = 0.365(12)$$

Chiral perturbation theory [G. Isidori, F. Mescia, C. Smith, NPB 718 (2005) 319]

$$\delta P_{c,u} = 0.040(20)$$

First lattice results @  $m_\pi=420$  MeV,  $m_c=860$  MeV [Z. Bai, N. Christ, XF, et.al. PRL118 (2017) 252001]

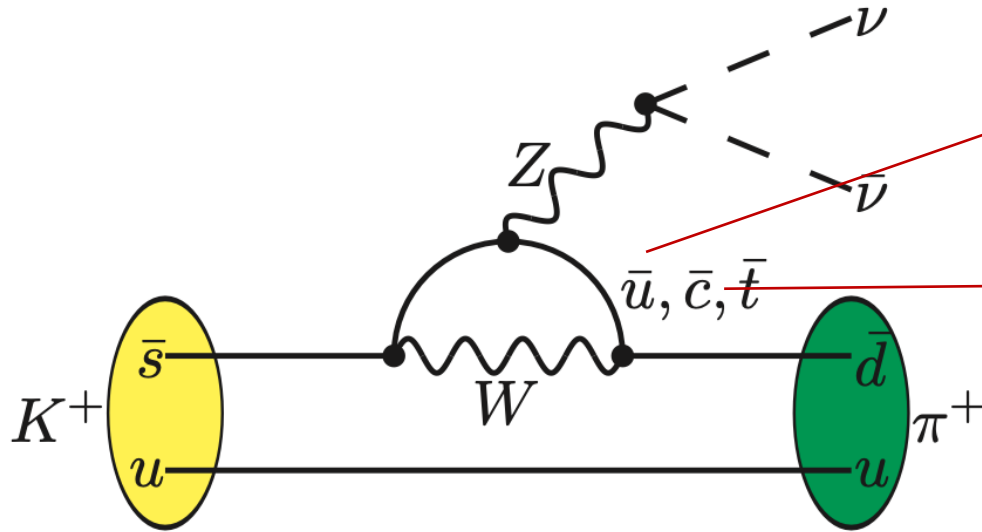
$$P_c = 0.2529(\pm 13)_{\text{stat}}(\pm 32)_{\text{scale}}(-45)_{\text{FV}}$$

$$P_c - P_c^{\text{SD}} = 0.0040(\pm 13)_{\text{stat}}(\pm 32)_{\text{scale}}(-45)_{\text{FV}}$$

- As a smaller  $m_c$  is used,  $P_c$  is also smaller
- Cancellation in  $W$ - $W$  and  $Z$ -exchange diag. leads to small  $P_c - P_c^{\text{SD}}$
- Important to perform the calculation at physical  $m_\pi$  and  $m_c$

# Short summary

- At physical kinematics, calculation is very challenging



- Involve light-quark loop  $\rightarrow$  Physical pion mass  
Large volume to control FV effects from  $\pi$
- Involve charm-quark loop  $\rightarrow$  Physical charm mass  
Fine lattice spacing to control lattice artifacts from charm quark

➔ **Need a very large lattice (or new idea?)**

- From Kaon to hyperon

Measurement of the Absolute Branching Fraction and Decay Asymmetry of

$\Lambda \rightarrow n\gamma$

BESIII Collaboration • M. Ablikim (Beijing, Inst. High Energy Phys.) et al. (Jun 21, 2022)

Published in: *Phys.Rev.Lett.* 129 (2022) 21, 212002 • e-Print: [2206.10791](https://arxiv.org/abs/2206.10791) [hep-ex]

➔ 5.6 $\sigma$  deviation from past experiments

Precision Measurement of the Decay  $\Sigma^+ \rightarrow p\gamma$  in the Process  $J/\psi \rightarrow \Sigma^+ \bar{\Sigma}^-$

BESIII Collaboration • M. Ablikim (Beijing, Inst. High Energy Phys.) et al. (Feb 27, 2023)

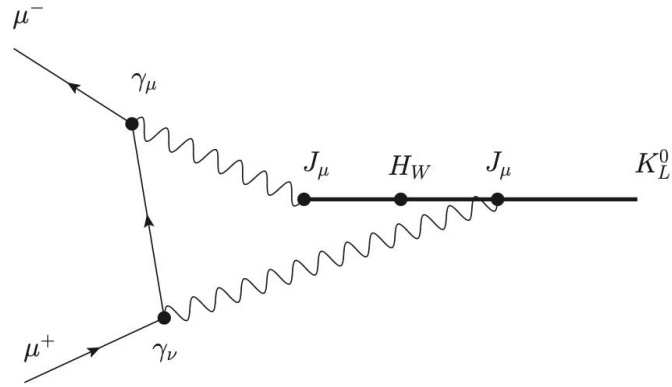
Published in: *Phys.Rev.Lett.* 130 (2023) 21, 211901 • e-Print: [2302.13568](https://arxiv.org/abs/2302.13568) [hep-ex]

➔ 4.2 $\sigma$  deviation from past experiments

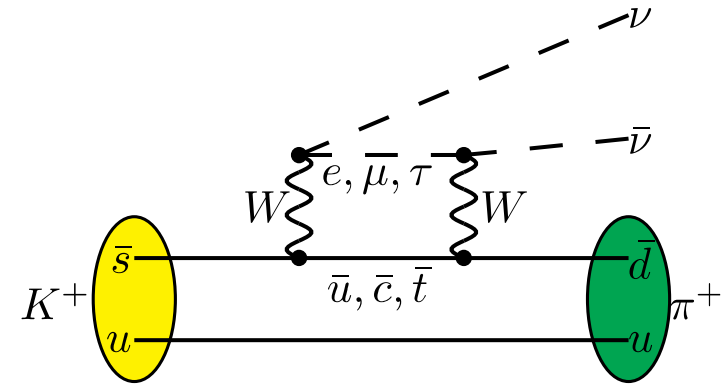


# Interesting rare processes

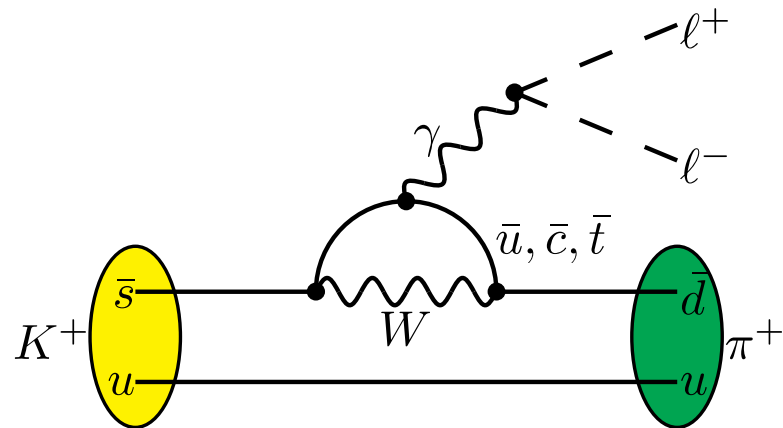
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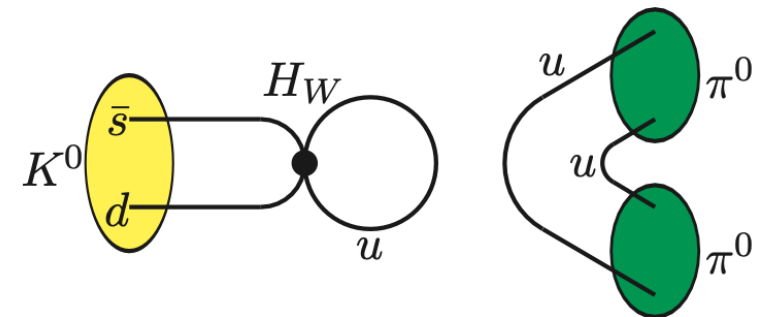
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$$K^+ \rightarrow \pi^+ e^+ e^- : \text{BR} = 3.14(10) \times 10^{-7}$$



$$K_L \rightarrow \pi\pi : \text{Br} = O(10^{-3})$$



# $K \rightarrow \pi\pi$ decays and CP violation

- Theoretically, Kaon decays into the isospin  $I = 2$  and  $0$   $\pi\pi$  states

$$\Delta I = 3/2 \text{ transition: } \langle \pi\pi(I = 2) | H_W | K^0 \rangle = A_2 e^{i\delta_2}$$

$$\Delta I = 1/2 \text{ transition: } \langle \pi\pi(I = 0) | H_W | K^0 \rangle = A_0 e^{i\delta_0}$$

- If CP symmetry were protected  $\Rightarrow A_2$  and  $A_0$  are real amplitudes
- $\epsilon$  and  $\epsilon'$  depend on the  $K \rightarrow \pi\pi(I)$  amplitudes  $A_I$

$$\epsilon = e^{i\phi_\epsilon} \sin(\phi_\epsilon) \left[ \frac{\text{Im}[M_{12}]}{\Delta M_K} + \frac{\text{Im}[A_0]}{\text{Re}[A_0]} \right]$$

$$\epsilon' = \frac{ie^{i(\delta_2 - \delta_0)}}{\sqrt{2}} \frac{\text{Re}[A_2]}{\text{Re}[A_0]} \left( \frac{\text{Im}[A_2]}{\text{Re}[A_2]} - \frac{\text{Im}[A_0]}{\text{Re}[A_0]} \right)$$

Main update on  $K \rightarrow (\pi\pi)_{I=0}$  decay

# Update of $A_0$

**2015 calculation** [RBC-UKQCD, PRL115 (2015) 212001]

- physical kinematics,  $32^3 \times 64$  lattice,  $a^{-1} = 1.3784(68)$  GeV
- G-parity boundary conditions  $\Rightarrow M_K \approx E_{\pi\pi}$
- DWF with chiral symmetry  $\Rightarrow$  continuum-like operator mixing pattern
- 75 distinct diagrams  $\Rightarrow$  complete set of correlation functions

**2020 calculation** [RBC-UKQCD, PRD102 (2020) 054509]

- same lattice setup as 2015
- an increase by a factor of 3.4 in statistics
- usage of a  $\sigma$  operator and two  $\pi\pi$  operator  $\Rightarrow$  isolate the ground state
- step scaling  $\Rightarrow$  raise the renormalization scale from 1.53 to 4.01 GeV

**Update of  $A_0$**

$$\text{Re}(A_0) = 2.99(0.32)(0.59) \times 10^{-7} \text{ GeV}$$

$$\text{Im}(A_0) = -6.98(0.62)(1.44) \times 10^{-11} \text{ GeV}$$

# Update of $\text{Re}[\epsilon'/\epsilon]$

Confirm the  $\Delta I = 1/2$  rule

$$\text{Re}(A_0)/\text{Re}(A_2) = 19.9(5.0) \quad \text{Lattice}$$

$$\text{Re}(A_0)/\text{Re}(A_2) = 22.45(6) \quad \text{Experiment}$$

Determine the direct CP violation  $\text{Re}[\epsilon'/\epsilon]$

$$\text{Re}[\epsilon'/\epsilon] = 2.17(26)(62)(50) \times 10^{-3} \quad \text{Lattice}$$

$$\text{Re}[\epsilon'/\epsilon] = 1.66(23) \times 10^{-3} \quad \text{Experiment}$$

Lattice result is now consistent with experimental measurement

- IB & EM effects imply a relative change on  $\epsilon'/\epsilon$  by about  $-20\%$   
[V. Cirigliano et.al. JHEP02 (2020) 032]  
⇒ It leads to the third uncertainty in lattice result
- Include EM in  $K \rightarrow \pi\pi$ 
  - $\Delta I = 1/2$  rule may make the  $O(\alpha_e)$  EM effect on  $A_2$  20 times larger

# Conclusion

- Test of first-row CKM unitarity
  - $|V_{ud}|$  Theory: EWR, Nuclear structure
  - $f_+(0)$ : More lattice calculations for average

- Inclusion of isospin breaking effects
  - An interesting frontier
  - More studies + new method

- Rare decays [Snowmass 2021, T. Blum et.al., arXiv:2203.10998]

$K \rightarrow \pi \ell^+ \ell^-$  We believe that over the next 5-10 years, lattice QCD will be in a position to produce predictions of  $a_{S'}$ ,  $a_{+}$ ,  $b_{S'}$ ,  $b_{+}$  with uncertainties below the 10 % level

$\epsilon'/\epsilon$  It may not be unreasonable to expect that with continued effort a reduction in errors below the 30% level in five years and below 10 % in ten years may be achieved