

# Prospects for New Physics in Rare Kaon Decays

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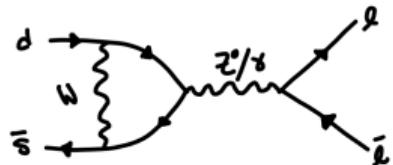


**University of  
Zurich**<sup>UZH</sup>

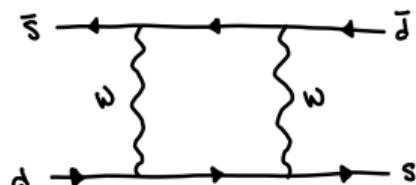
22nd FPCP 2024, Bangkok

# Kaons historically vital for new physics discoveries!

FCNCs/GIM



$CP$ -violation



$\approx 23.5\%$  of SM

Hand-drawn Feynman diagrams and particle mass matrices. On the right, there are three boxes: one containing  $\gamma$ ,  $W^\pm$ , and  $Z^0$ ; another containing  $h$ ; and a third containing  $g$ . To the left of these are two tables representing mass matrices:

$v_e$	$v_u$	$v_c$
$e$	$M$	$\tau$

$v$	$c$	$t$
$d$	$s$	$b$

The bottom-left table has its second row ( $c, M, \tau$ ) highlighted with a red box.

Kaons

$B$ -mesons

**GIM Suppression:**

$$|V_{ts}^* V_{td}| \sim \lambda^5$$

$$|V_{tb}^* V_{td(s)}| \sim \lambda^{3(2)}$$

**Decay Suppression:**

$$\Gamma \sim M_K^5 / M_W^4$$

$$\Gamma \sim M_B^5 / M_W^4$$

**Light NP:**

$$\mathcal{B} \sim (M_W / M_K)^n$$

$$\mathcal{B} \sim (M_W / M_B)^n$$

# Promising Observables

(See talks from Xu Feng, Silvia Martellotti, Yu-Chen Tung, and Rainer Wanke for more details!)

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} \frac{\alpha}{2\pi \sin^2 \Theta_W} \sum_{\ell=e,\mu,\tau} \left( V_{cs}^* V_{cd} X_c^\ell + V_{ts}^* V_{td} X_t \right) (\bar{s}_L \gamma^\mu d_L) (\bar{\nu}_L^\ell \gamma_\mu \nu_L^\ell) + \text{h.c.}$$

- $\text{Re } V_{ts}^* V_{td} \sim \text{Im } V_{ts}^* V_{td} \sim \lambda^5, \quad \text{Re } V_{cs}^* V_{cd} \sim \lambda, \quad \text{Im } V_{cs}^* V_{cd} \sim \lambda^5$

# $K_L \rightarrow \pi^0 \bar{\nu} \nu$ : A Theorist's Dream Decay

$$|K_L\rangle = p |K^0\rangle - q |\bar{K}^0\rangle \quad \Rightarrow \quad \langle \pi^0 \bar{\nu} \nu | \mathcal{H}_{\text{eff}} | K_L \rangle = \frac{4G_F}{\sqrt{2}} \frac{\alpha}{2\pi \sin^2 \Theta_W} \text{Im} \left( V_{ts}^* V_{td} X_t \right) \langle Q_\nu \rangle + \mathcal{O} \left( \lambda^5 \frac{m_c^2}{M_W^2} \right)$$

- ▶ Nearly pure  $CP$ -violating  $\Rightarrow$  Top-quark dominated (tiny long-distance)

$$\mathcal{B}(K_L \rightarrow \pi^0 \bar{\nu} \nu)_{\text{SM}} = (2.59(6)_{\text{SD}}(2)_{\text{LD}}(28)_{\text{param}}) \times 10^{-11}$$

[Brod, Gorbahn, Stamou; 2105.02868], [Mescia, Smith; 0705.2025], [Buchalla, Buras; 9607447]

- ▶ Very challenging experimentally (fully neutral final state)

# $K_L \rightarrow \pi^0 \bar{\nu} \nu$ : KOTO at J-PARC

2015 dataset results:

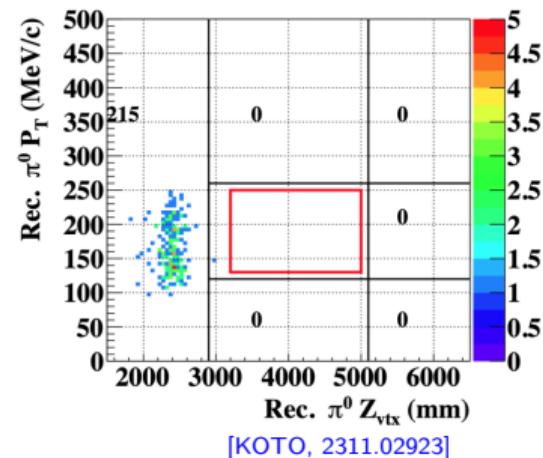
$$\mathcal{B}(K_L \rightarrow \pi^0 \bar{\nu} \nu) < 3.0 \times 10^{-9} \text{ (90% CL)}$$

[KOTO, 1810.09655]

- ▶ Charged- $K$  veto counter and reduction of halo  $K_L \rightarrow 2\pi^0$

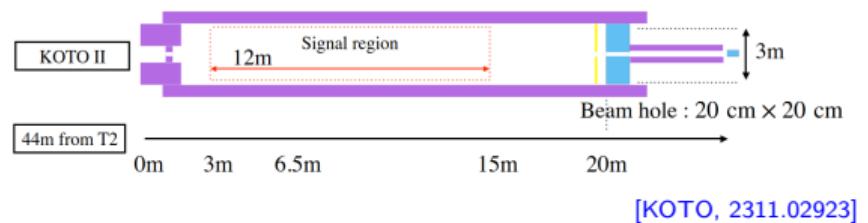
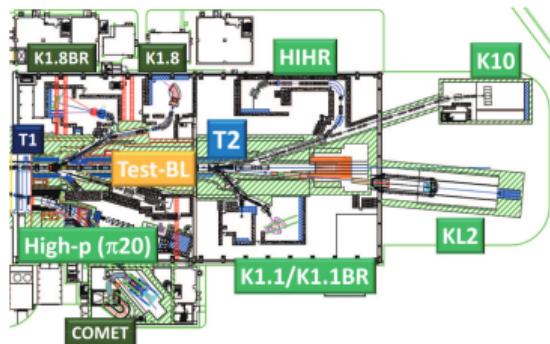
Updated analysis:

$$\mathcal{B}(K_L \rightarrow \pi^0 \bar{\nu} \nu) < 2.0 \times 10^{-9} \text{ (90% CL)}$$



[KOTO, 2311.02923]

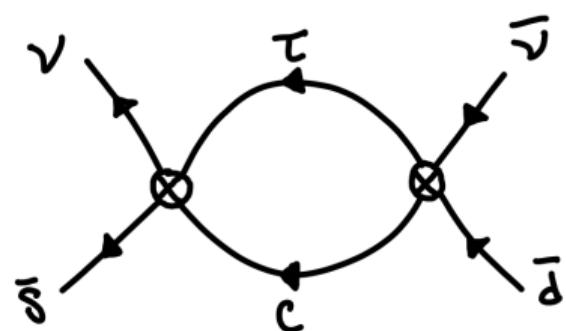
# $K_L \rightarrow \pi^0 \bar{\nu} \nu$ : KOTO-II at J-PARC



- ▶ Expected  $\sim 25\%$  sensitivity for SM BR

$$K^+ \rightarrow \pi^+ \bar{\nu} \nu$$

- ▶ Real part of  $\mathcal{H}_{\text{eff}}$  plays non-negligible role  
⇒ charm contributions compete  
( $\lambda m_c^2/M_W^2$  vs.  $\lambda^5$ )
- ▶ More dependent on long-distance than  $K_L$  case;  $\mathcal{O}(5\%)$  [Isidori, Mescia, Smith; 0503107]
- ▶ Future lattice calculations  
[Bai et al.; 1806.11520]



$$\mathcal{B}(K^+ \rightarrow \pi^+ \bar{\nu} \nu)_{\text{SM}} = (7.73(16)_{\text{SD}}(25)_{\text{LD}}(54)_{\text{param}}) \times 10^{-11}$$

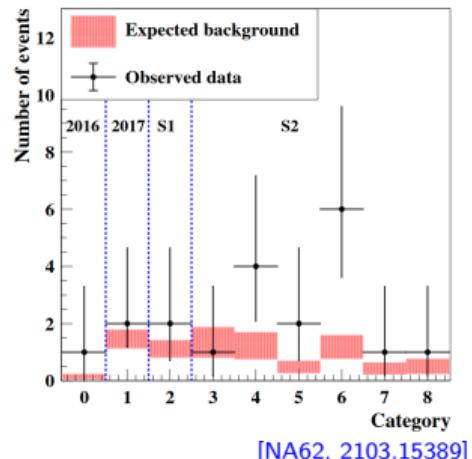
[Brod, Gorbahn, Stamou; 2105.02868], [Mescia, Smith; 0705.2025]

Run I results:

$$\mathcal{B}(K^+ \rightarrow \pi^+ \bar{\nu}\nu) = (10.6^{+4.0}_{-3.4}|\text{stat}| \pm 0.9|\text{syst}|) \times 10^{-11}$$

Run II:

- ▶ 2022 signals  $\sim$  all Run I [\[2023 NA62 Status Report\]](#)  
 $\Rightarrow$  15% precision (similar data taking up to LS3)



# $\epsilon_K$ : Experiment Ahead of Theory

- ▶ Measure of indirect  $CP$ -violation in  $K^0 - \bar{K}^0$  mixing
- ▶ Theoretical uncertainty  $\sim \mathcal{O}(1\%)$

- ▶ Perturbative

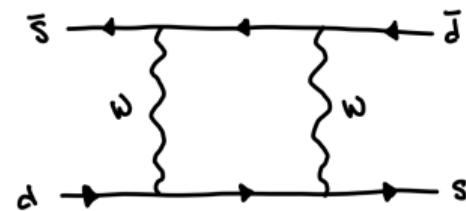
[Brod, Gorbahn, Stavou; 1911.06822], [Brod, Kvedaraitè, ZP; 2108.00017], [Brod, Kvedaraitè, ZP, Youssef; 2207.07669]

- ▶ Hadronic ME [FLAG; 2111.09849]

- ▶  $m_c$  power corrections

[Ciuchini, et al.; 2111.05153]

$$|\epsilon_K|_{\text{th}} = (2.170(65)_{\text{pert}}(76)_{\text{nonpert}}(153)_{\text{param}}) \times 10^{-3}$$



- ▶ Experimentally measured to per-mil accuracy

[PDG 2022]

$$|\epsilon_K|_{\text{ex}} = (2.228 \pm 0.011) \times 10^{-3}$$

Theory calculation will be improved with 3loop QCD top, NLO RI/SMOM-MS matching and improved lattice calculations!

- ▶ Large LD contaminations from  $CP$ -even parts of decays: hard to control
- ▶ *Interference* effects dominated by SD  $\Rightarrow$  theoretically clean [D'Ambrosio, Kitahara; 1707.06999]
- ▶ Mostly  $CP$ -violating  $\mathcal{B}(K_S \rightarrow (\mu\mu)_{\ell=0})$  can be determined from\*

[Dery, Ghosh, Grossman; 2104.06427]

$$\mathcal{B}(K_S \rightarrow (\mu\mu)_{\ell=0}) = \mathcal{D}_F \mathcal{B}(K_L \rightarrow \mu\mu) \frac{\tau_S}{\tau_L} \left( \frac{C_{\text{int}}}{C_L} \right)^2$$

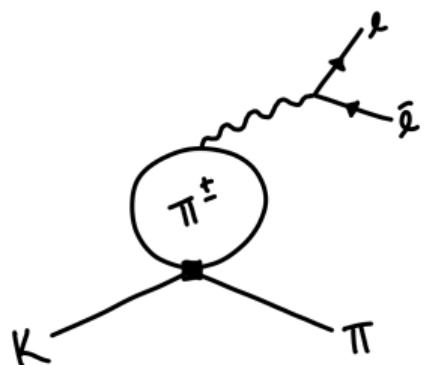
- ▶ LD effects from mixing can be enhanced by  $|\mathcal{A}_L^0|/|\mathcal{A}_S^0| \sim 10$  ( $\lesssim$  few %)  
[Brod, Stamou; 2209.07445]
- ▶ Experimentally challenging:  $C_{\text{int}}$  only seen in  $K^0 - \bar{K}^0$ -asymmetric beam

# The Golden Mode: $K \rightarrow \pi \bar{\ell} \ell$

- ▶ Need to extract ChPT FFs from data
- ▶  $K_{L/S} \rightarrow \pi^0 \bar{\ell} \ell$ : both depend on same FF  
⇒ Measuring  $K_S$  decay can help prediction of  $K_L$  (LHCb)  
[LHCb; 2001.10354]
- ▶  $K^+ \rightarrow \pi^+ \bar{\ell} \ell$ : FF difference b/n  $\ell = e, \mu$  gives LFUV test

$$\text{LFUV}(a_+^{\mu\mu} - a_+^{ee}) = -0.014 \pm 0.016$$

[D'Ambrosio, Mahmoudi, Neshatpour; 2209.07445], [E865; 9907045], [NA62; 2209.05076]



# Heavy New Physics

# Heavy New Physics

- ▶ Treated in model-independent\* way with corrections to WCs:  
 $C \rightarrow C_{\text{SM}} + \delta C$
- ▶ Heavy GIM suppressions  $\Rightarrow$  high-scale/weakly coupled heavy NP

$$\frac{4G_F}{\sqrt{2}} \frac{\alpha}{2\pi \sin^2 \Theta_W} \lambda_t \sim - (130 \text{ TeV})^{-2} + i (200 \text{ TeV})^{-2}$$

# HNP in $K \rightarrow \pi \bar{\nu} \nu$ : Current Status

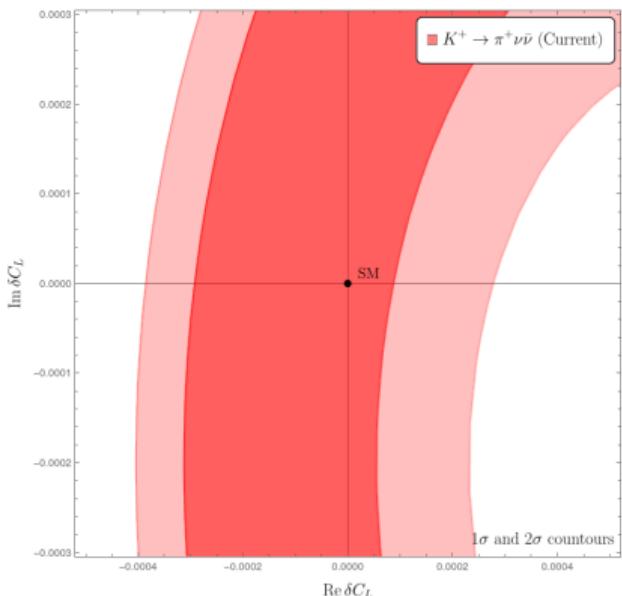
- ▶ Compatible with SM at  $1\sigma$

- ▶ Charged Decay (90% CL):

$$|\operatorname{Re} \delta C_L| \lesssim (120 \text{ TeV})^{-2}, \quad |\operatorname{Im} \delta C_L| \lesssim (70 \text{ TeV})^{-2}$$

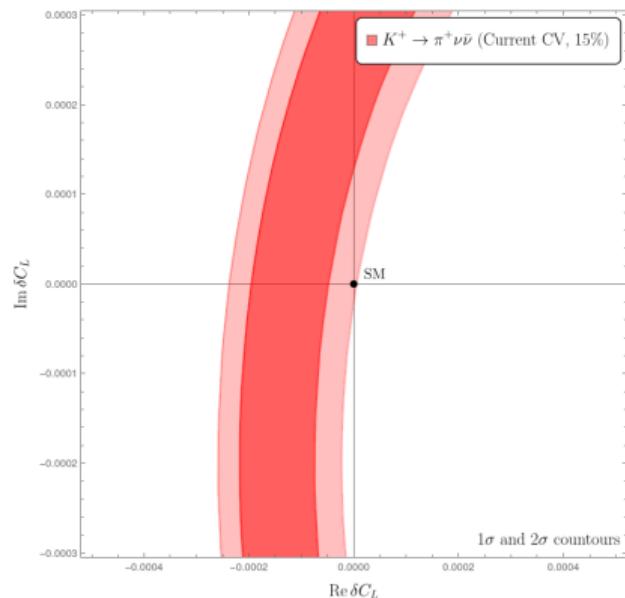
- ▶ Neutral Decay (90% CL):

$$|\operatorname{Im} \delta C_L| \lesssim (50 \text{ TeV})^{-2}$$



# HNP in $K \rightarrow \pi \bar{\nu} \nu$ : Future Prospects

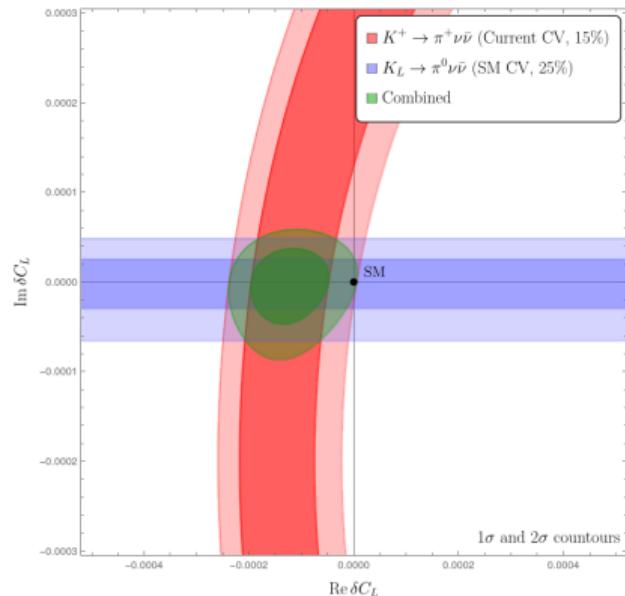
- ▶ Projected  $\sim 15\%$  from NA62
- ▶ SM-like Central Value (90% CL):  
$$|\operatorname{Re} \delta C_L| \lesssim (225 \text{ TeV})^{-2}, \quad |\operatorname{Im} \delta C_L| \lesssim (100 \text{ TeV})^{-2}$$



- ▶ Unchanged Central Value: Compatible at  $2\sigma$

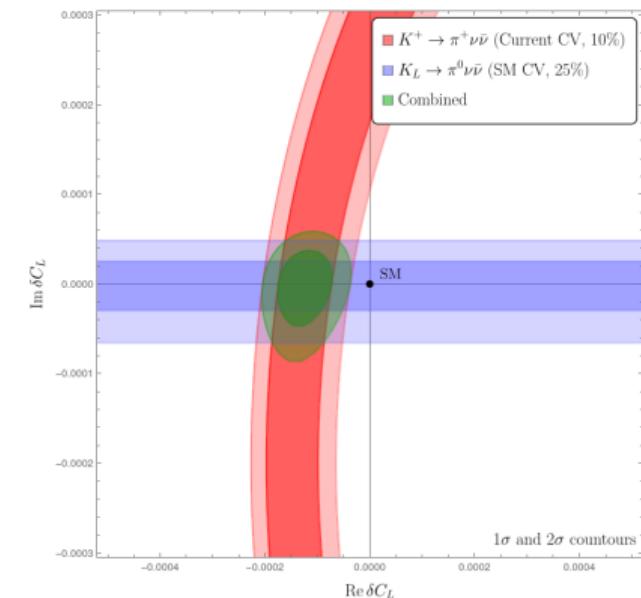
# HNP in $K \rightarrow \pi \bar{\nu} \nu$ : Future Prospects

- ▶ Projected  $\sim 25\%$  KOTO-II sensitivity
- ▶ SM-like Central Value (90% CL):  
$$|\operatorname{Re} \delta C_L| \lesssim (240 \text{ TeV})^{-2}, \quad |\operatorname{Im} \delta C_L| \lesssim (280 \text{ TeV})^{-2}$$
- ▶ Unchanged NA62 Central Value: Compatible at  $2\sigma$



# HNP in $K \rightarrow \pi \bar{\nu} \nu$ : Future Prospects

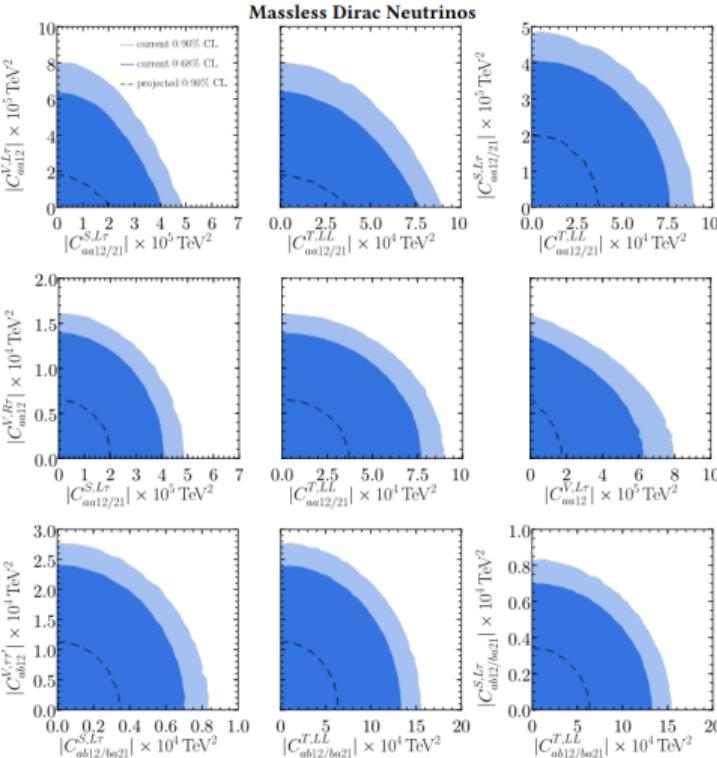
- ▶ Extra NA62 data-taking:  $\sim 10\%$  (optimistic)
- ▶ SM-like Central Value (90% CL):  
 $|\operatorname{Re} \delta C_L| \lesssim (290 \text{ TeV})^{-2}, \quad |\operatorname{Im} \delta C_L| \lesssim (280 \text{ TeV})^{-2}$



- ▶ Unchanged NA62 Central Value:  $\sim 3\sigma$  tension

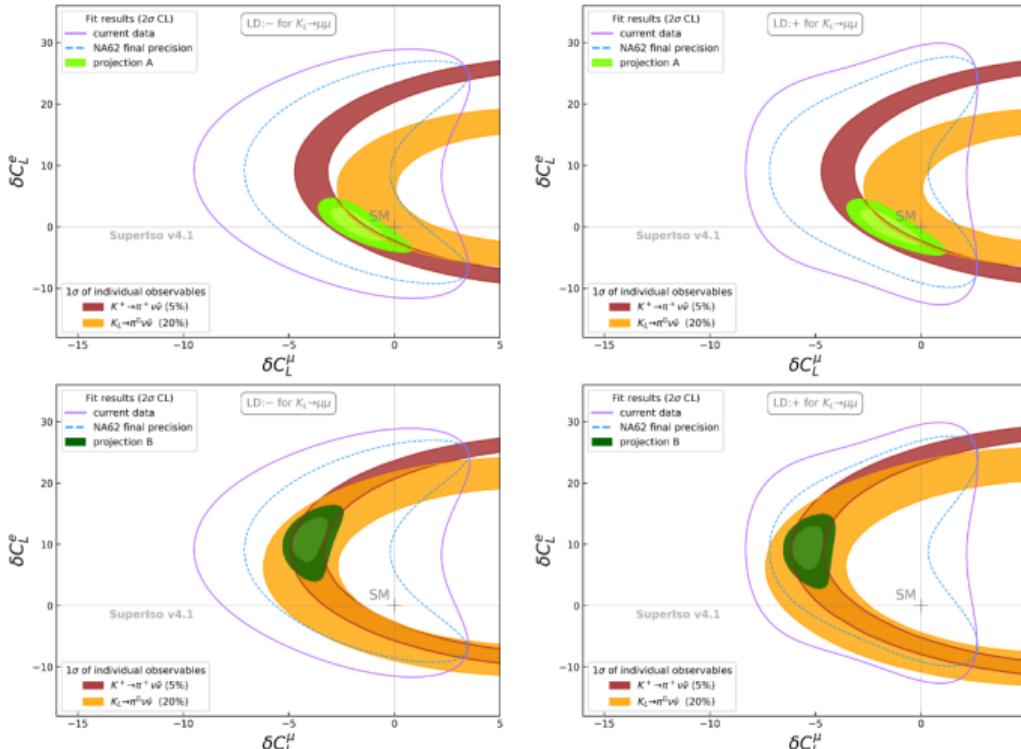
# Other BSM Operators

- Currently  $C_i \lesssim (\sim\text{few 10s-100 TeV})^{-2}$ : will be improved in future



## Other (Semi-)Leptonic Decays

- $SU(2)_L$  symmetry:  $(\bar{s}_L \gamma_\mu d_L)(\bar{\ell}_L \gamma^\mu \ell_L) \Rightarrow K \rightarrow \pi \nu \bar{\nu}, K \rightarrow \pi \ell \bar{\ell}, K \rightarrow \ell \bar{\ell}$  complimentary



# Light New Physics

# Light New Physics

- ▶ Searches less model-independent than heavy case (can “see” light dynamics)
- ▶ Plethora of possible NP models: see review [\[Goudzovski, et al.; 2201.07805\]](#)
- ▶ Light flavored NP can appear in many solutions to other SM problems:
  - ▶ Inflation
  - ▶ Strong CP
  - ▶ Baryogenesis
  - ▶ Dark Matter (via dark photons/Higgs portals)
  - ▶ Flavor puzzle
  - ▶ Neutrino oscillations/masses
  - ▶ And combinations thereof!

# Light New Physics

## ► Light NP benchmarks (Physics Beyond Colliders BSM study group):

[Beacham, et al.; 1901.09966]

1. Minimal dark photon
2. Light DM-coupled dark photon
3. Millicharged particles
4. Higgs-mixed scalar
5. Higgs-mixed scalar + pair production
6. Single HNL ( $U_{eN}$ )
7. Single HNL ( $U_{\mu N}$ )
8. Single HNL ( $U_{\tau N}$ )
9. Photon-coupled ALP
10. Fermion-coupled ALP
11. Gluon-coupled ALP

$$K^+ \rightarrow \pi^+ X_{\text{inv}}$$

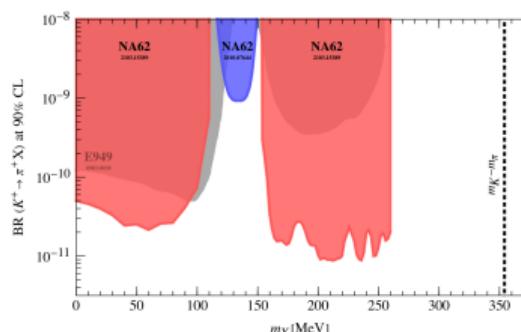
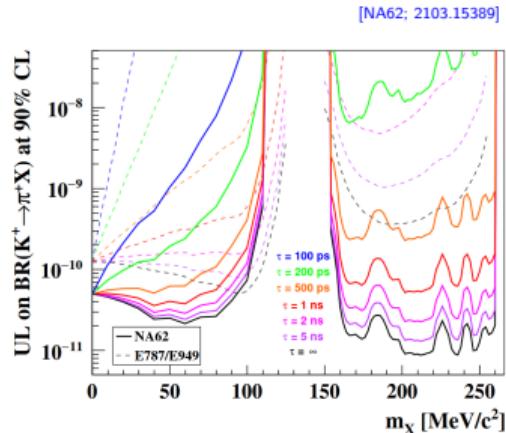
- Generalized Grossman-Nir bound:

$$\mathcal{B}(K_L \rightarrow \pi^0 X) \leq 4.3 \times \mathcal{B}(K^+ \rightarrow \pi^+ X)$$

[Grossman, Nir; 9701313]

⇒ Stronger bounds from charged decays

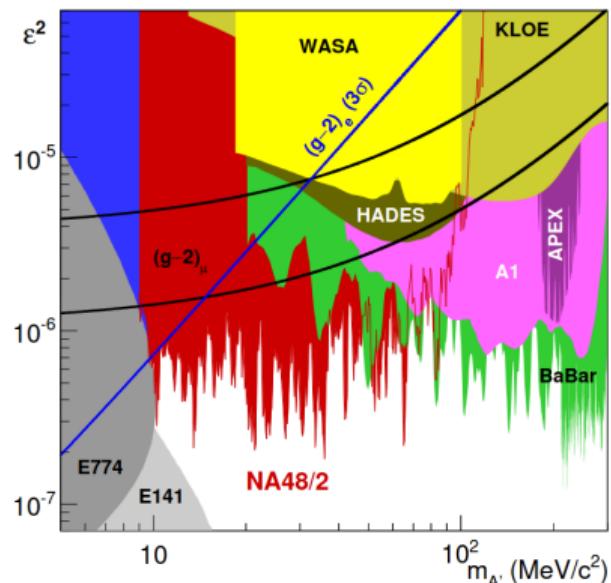
- ▶ NA62 sensitive in  $K^+ \rightarrow \pi^+ \bar{\nu}\nu$  signal regions:  
 $m_X = 0 - 110 \text{ MeV}, 160 - 260 \text{ MeV}$
  - ▶ Can also probe  $K^+ \rightarrow \pi^+(\pi^0 \rightarrow X_{\text{inv}})(\gamma)$  in intermediate region [NA62; 2010.07644]



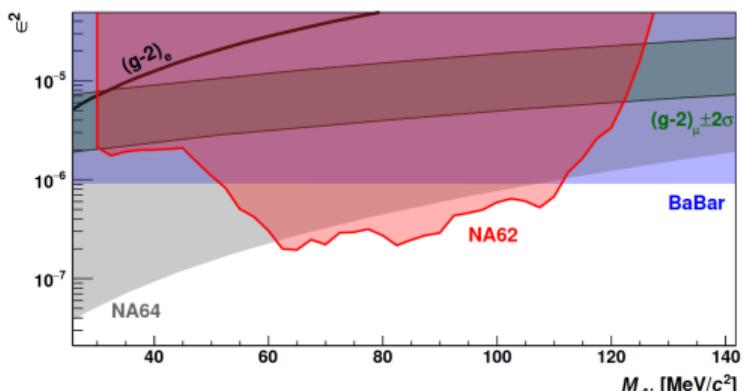
[Goudzovski, et al.: 2201-07805]

# Dark Photon

[NA48/2; 1504.00607]



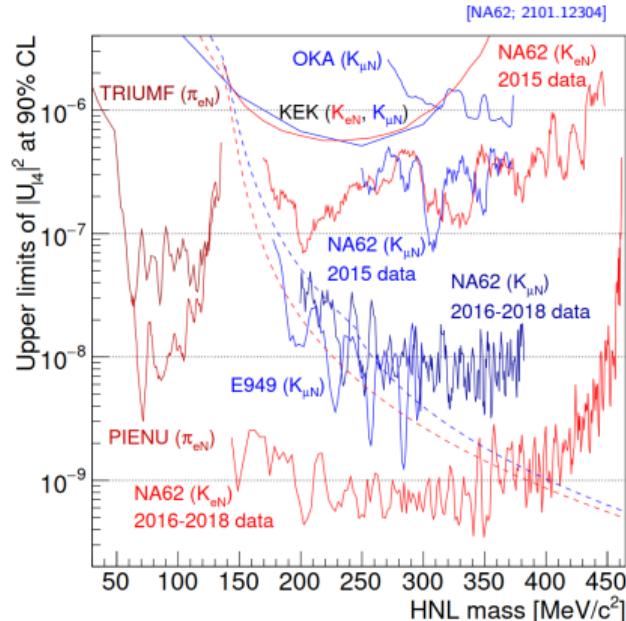
[NA62; 1903.08767]



$$K^+ \rightarrow \pi^+ (\pi^0 \rightarrow \gamma (A' \rightarrow e^+ e^-))$$

$$K^+ \rightarrow \pi^+ (\pi^0 \rightarrow \gamma A')$$

# Heavy Neutral Leptons



$$K^+ \rightarrow \ell^+ N (\ell = e, \mu)$$

# Light New Physics

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[Beacham, et al.; 1901.09966]

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# Light New Physics

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8. Single HNL ( $U_{\tau N}$ )
9. Photon-coupled ALP
10. Fermion-coupled ALP ←
11. Gluon-coupled ALP ←

$K^+$

=



# Generalized GN-violating NP

- ▶ Violations of generalized GN bound possible (almost universally light):
  1. Additional CPV in Decay (challenging to make work)
  2. New states enhance  $\Delta I = 3/2$  transition [He, et al.; 2005.02942]
  3. Charge conservation:  $K_L \rightarrow X^2$  vs  $K^+ \rightarrow X^2\pi^+$  [Gori, Perez, Tobioka; 2005.05170], [Hostert, Kaneta, Pospelov; 2005.07102]
  4. Charged vs neutral mass difference:  $m_{K^+} - m_{\pi^+} < 2m_X < m_{K_L} - m_{\pi^0}$  [Fabbrichesi, Gabrielli; 1911.03755]
  5. Variety of experimental loopholes (blind spots, unstable  $X \rightarrow$  SM, etc.)
- ▶  $K_L$  still very important for LNP searches!

## Moral of the Story

- ▶ We don't control where or what NP is!
- ▶ Kaon decays cast wide BSM net (GIM suppression/ $\mathcal{B}$  enhancement)
- ▶ Simultaneously test theoretically well-understood hadronic decays
- ▶  $K^\pm$  and  $K_{L/S}$  provide complimentary information for both HNP and LNP

*Thank you!*