

FPCP 2024, 27–31 May 2024, Bangkok



Istituto Nazionale di Fisica Nucleare  
Laboratori Nazionali di Frascati



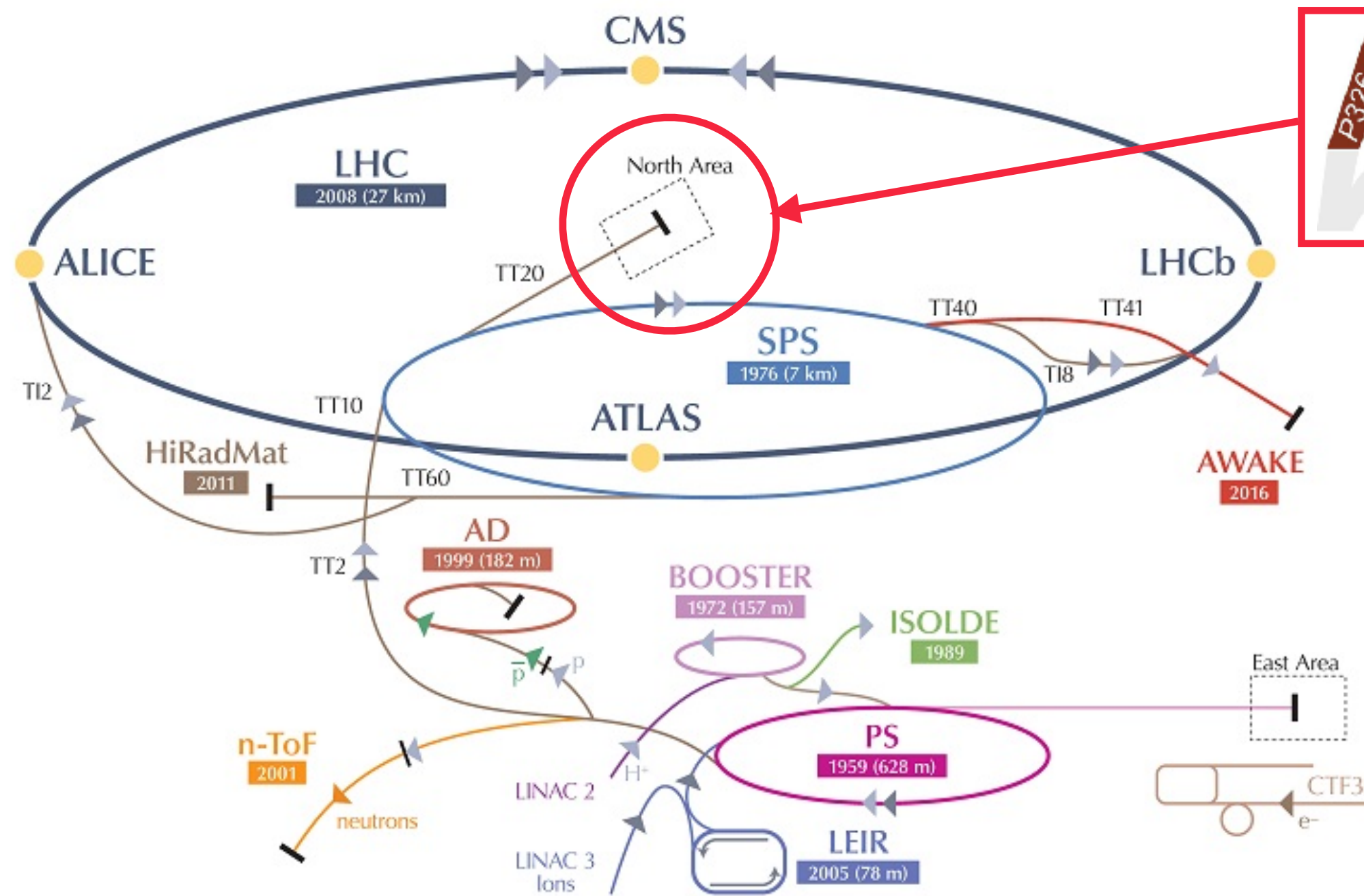
# Recent results in Kaon physics: NA62

Silvia Martellotti, on behalf of NA62 collaboration



# NA62 experiment at CERN: the K<sup>+</sup> factory

In the CERN SPS North Area the K12 extraction line provides an extremely intense Kaon beam



Collaboration of ~ **200 participants** from ~ 30 institutions: Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna, Fairfax, Ferrara, Florence, Glasgow, Lancaster, Lausanne, Liverpool, LNF, Louvain, Mainz, Marseille, Moscow, Naples, Perugia, Pisa, Prague, Protvino, Rome I, Rome II, San Luis Potosi, Turin, TRIUMF, Vancouver

2014-2016  
Commissioning

2016-2018  
NA62 Run 1

2019-2020  
LS2

2021-2025  
NA62 Run 2

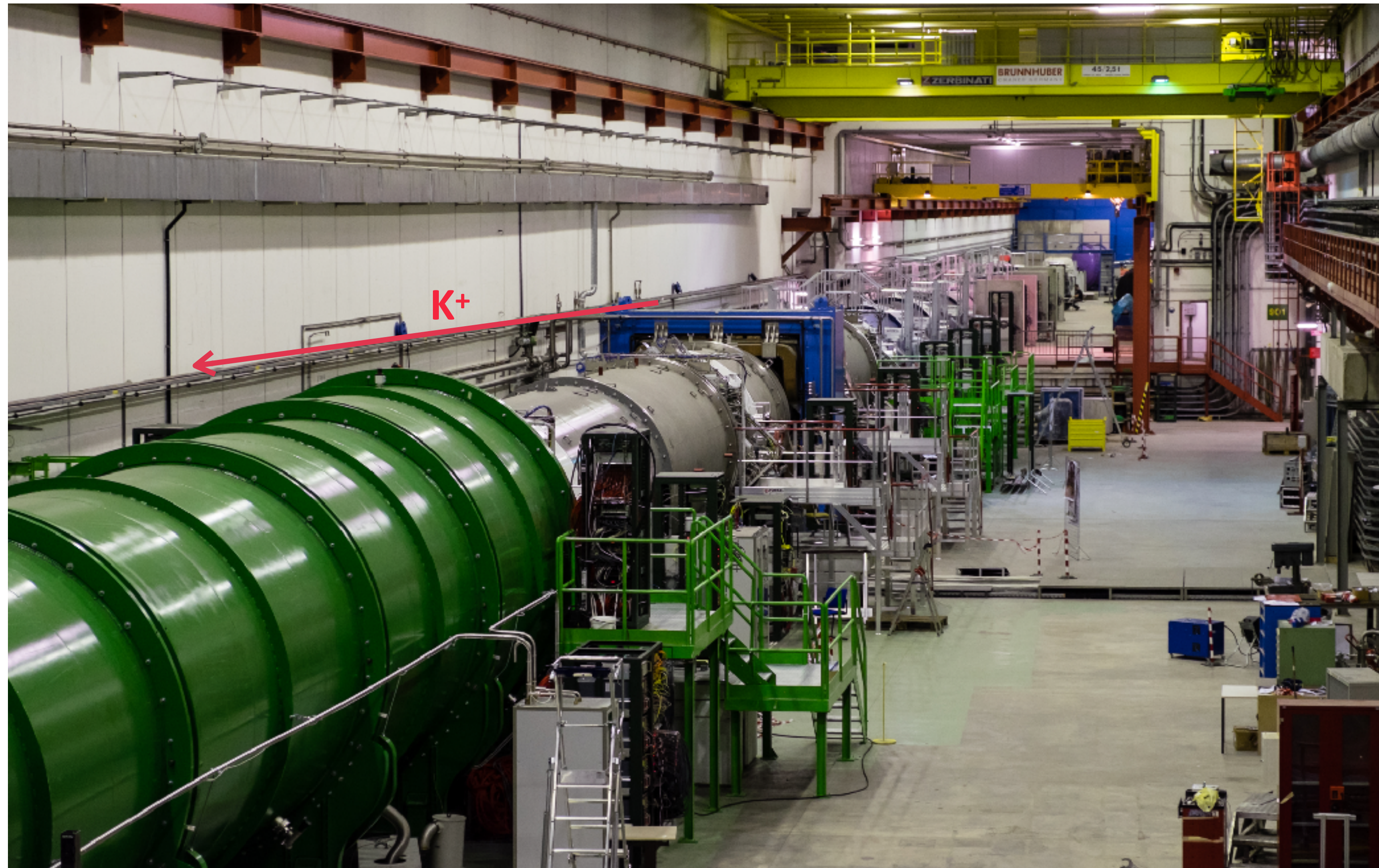
2026  
LS3

# NA62 experiment at CERN: the $K^+$ factory

BEAM: 400 GeV/c **primary protons**  
( $3 \times 10^{12}$  p/pulse, 4.8 s spills)

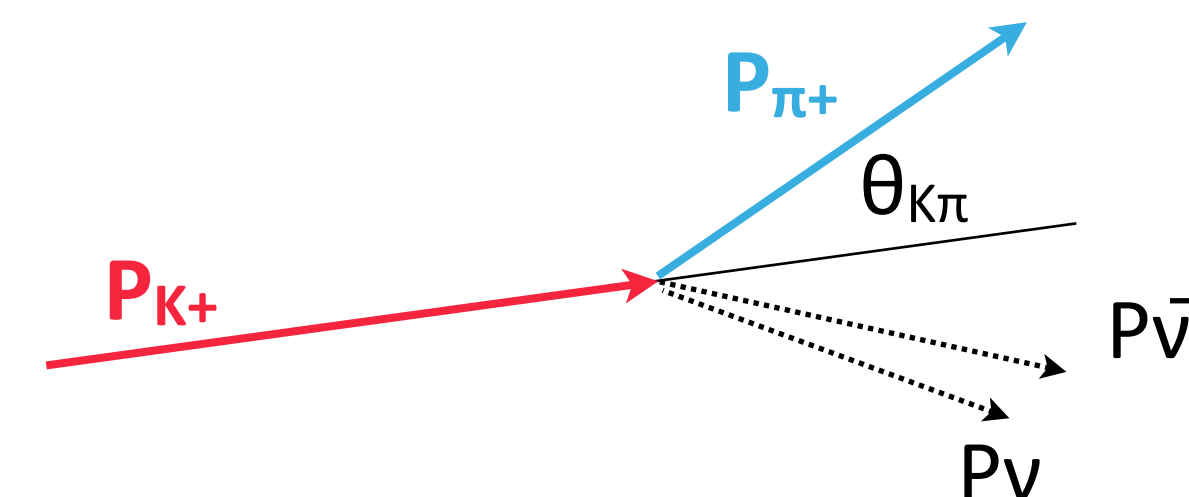
40 cm  
**Be target**

**75 GeV/c unseparated secondary hadrons beam**  
 $\pi^+$ ,  $\rho$ ,  $K^+$ . ( $\Delta p/p \pm 1\%$ ).

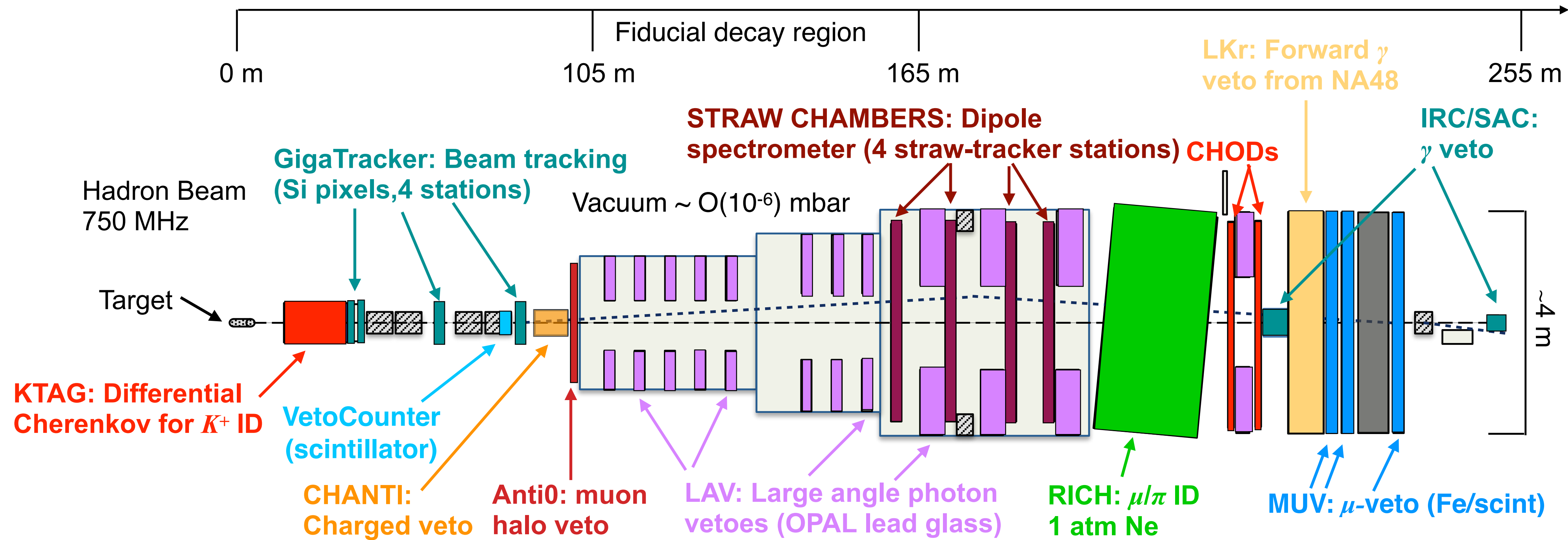


- 750 MHz total particle rate for the secondary hadron beam: **45 MHz of  $K^+$  (6%)**
- Experiment: 270 m long downstream of the target
- Cylindrical shape around the beam axis for the main detectors (diameters from 20 to 400 cm)

NA62 goal:  
measurement of the  **$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$** .  
**Highly suppressed and very well predicted:  
excellent test of the SM**



# NA62 detector



- **Beam tracker (GTK):** Silicon Pixel spectrometer  $\sigma_t < 100$  ps
- **Kaon tagger (KTAG):** Nitrogen (1.75 bar) Cherenkov  $\sigma_t \sim 70$  ps
- **Downstream tracker (STRAW):** Straw tubes  
 $\sigma_p/p = (0.3 \oplus 0.005 \cdot p) \%$  [GeV/c]
- **Secondary particle ID (RICH):** Neon (1 atm) Cherenkov  $\sigma_t \sim 70$  ps
- **Trigger and timing (CHODs):** Scintillator hodoscopes  $\sigma_t \sim 200$  ps
- **12 large angle photon veto (LAV):** lead glass blocks
- **Small angle photon veto (IRC, SAC):** shashlik calorimeters
- **Electromagnetic calorimeter (LKr):**  $27 X_0$  liquid-krypton  
 $\sigma_E/E = (4.8/\sqrt{E} \oplus 11/E \oplus 0.9)\%$  [GeV]
- **Hadronic calorimeters (MUV1,2):** iron/scintillator
- **Muon veto detector (MUV3):** trigger PID  $\sigma_t \sim 500$  ps

# BR( $K^+ \rightarrow \pi^+ \nu \nu$ ): NA62 analysis strategy

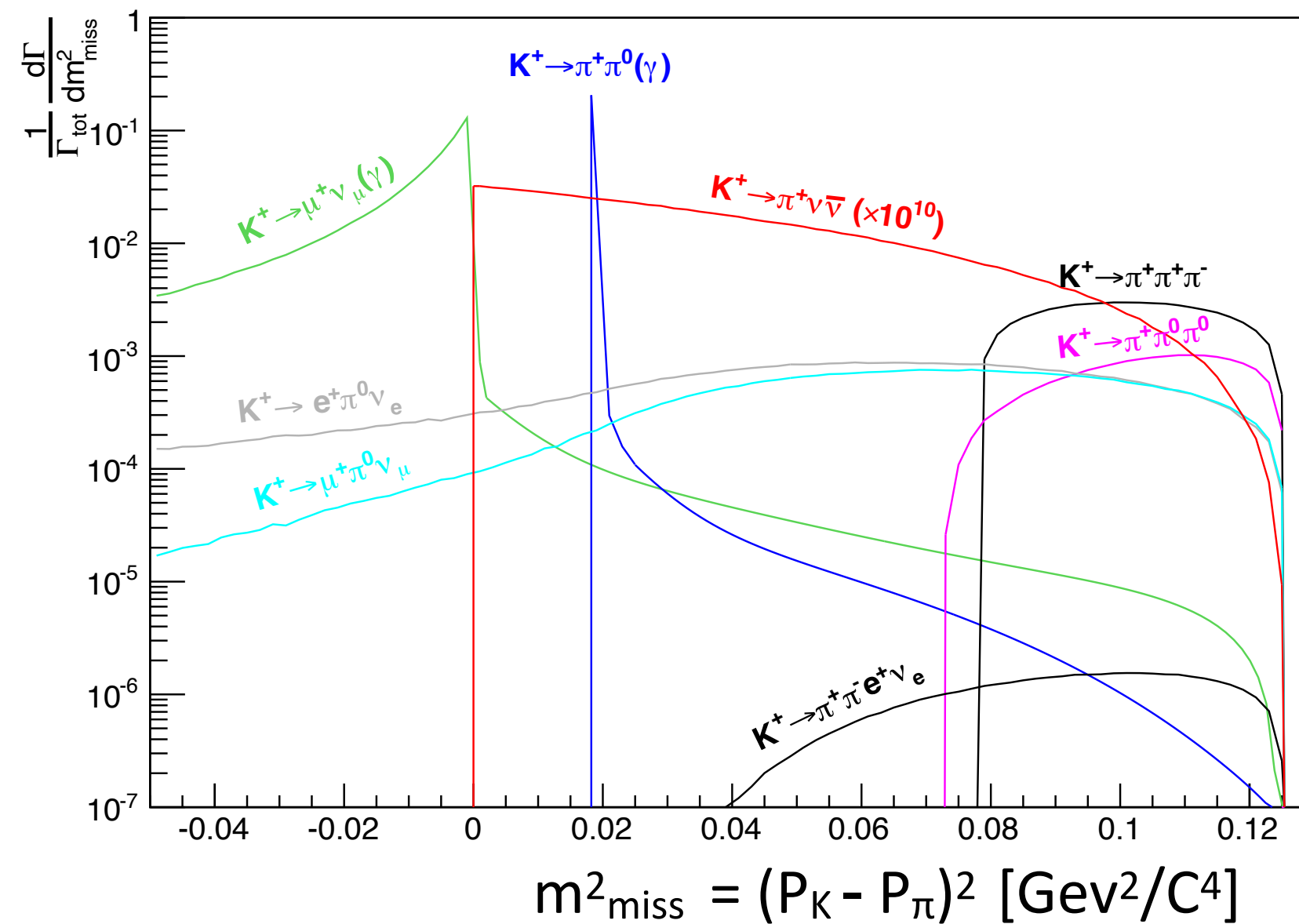
Most discriminating variable:  $m^2_{\text{miss}} = (\mathbf{P}_{K^+} - \mathbf{P}_{\pi^+})^2$  with  $m_{\pi}$  hypothesis for the charged daughter

## Selection:

- $K^+$  -  $\pi^+$  matching
- $\pi^+$  identification
- Photon rejection
- $110 < Z_{\text{vertex}} < 165$  m
- $15 < P_{\pi^+} < 45$  GeV/c

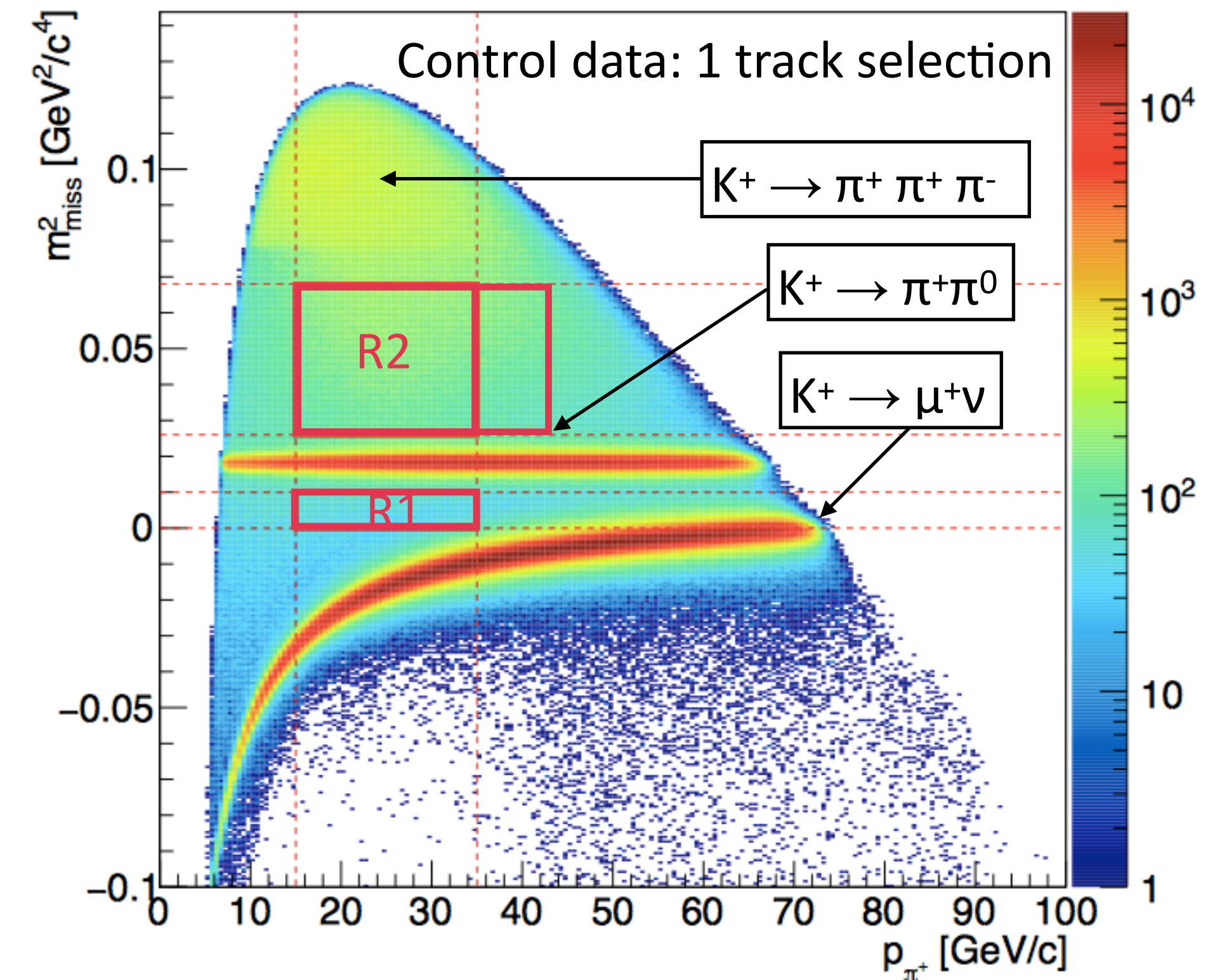
## Performance:

- Kinematic rejection  $\sim 10^4$
- $\mu^+$  rejection  $> 10^7$
- $\pi^0$  rejection  $> 10^7$
- $\sigma(m^2_{\text{miss}}) = 1 \cdot 10^{-3}$  GeV<sup>2</sup>
- $\sigma_T \sim O(100\text{ps})$



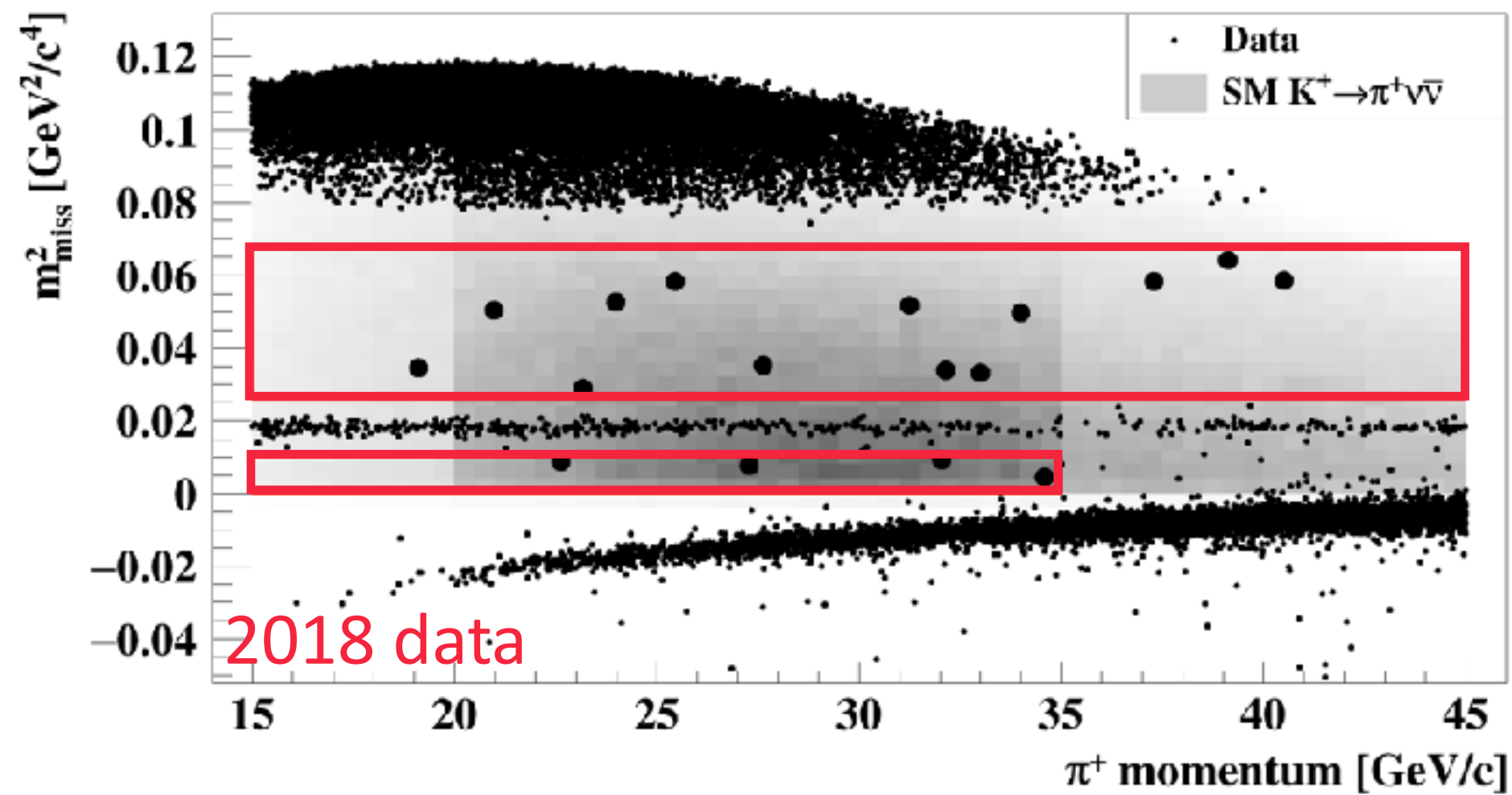
## Background sources:

- $K^+ \rightarrow \pi^+ \pi^0$ ,  $K^+ \rightarrow \mu^+ \nu$
- $K^+ \rightarrow \pi^+ \pi^+ \pi^-$  non gaussian resolution tails
- decays with neutrino in final state
- Upstream interactions and decays



**2 signal regions,**  
on each side of the  $K^+ \rightarrow \pi^+ \pi^0$  peak  
(to eliminate 92% of the  $K^+$  width)

# BR( $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ ): result from Run1



2016 + 2017 + 2018 data: **20** (1+2+17)  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  candidates

$$SES = (8.39 \pm 0.53_{syst}) \times 10^{-12}$$

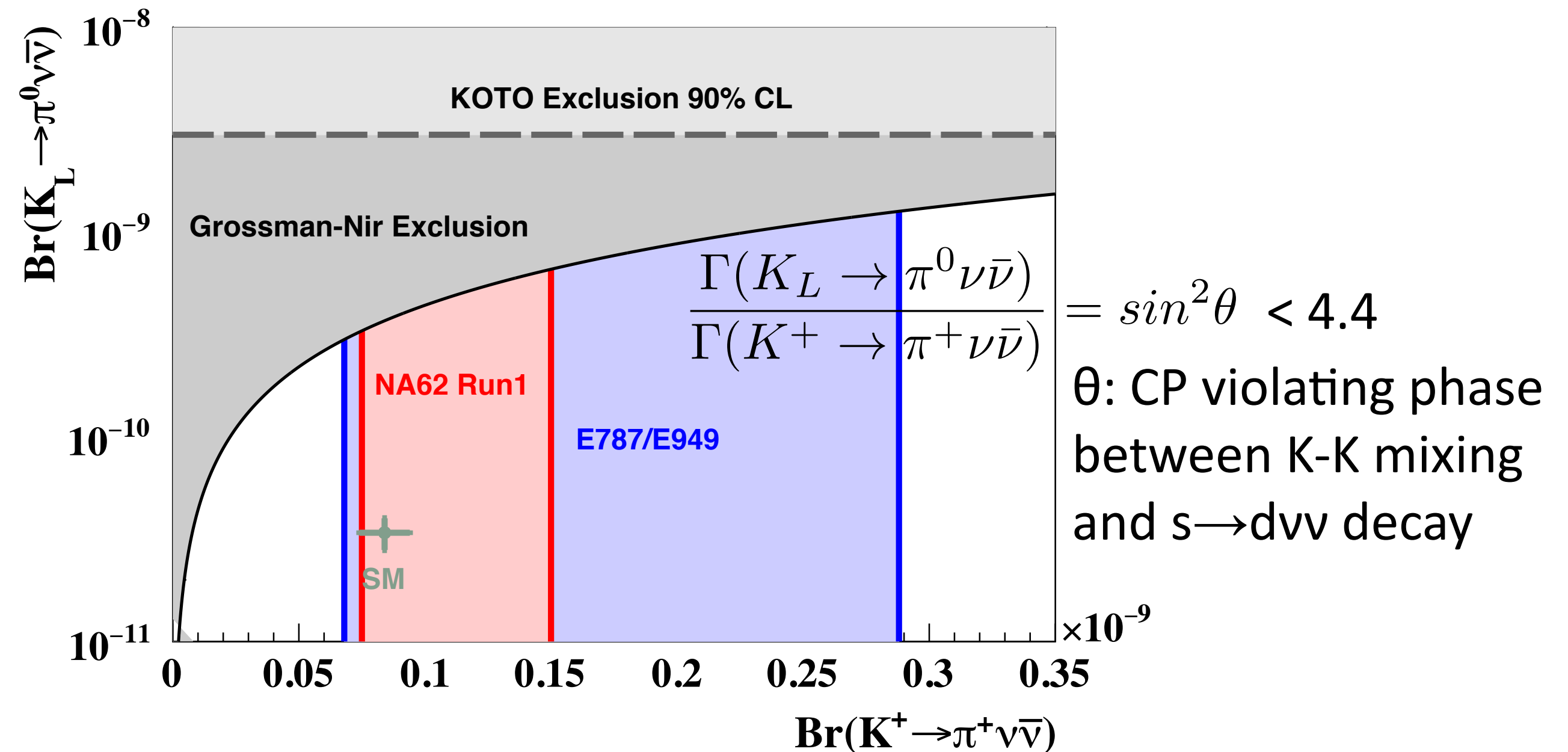
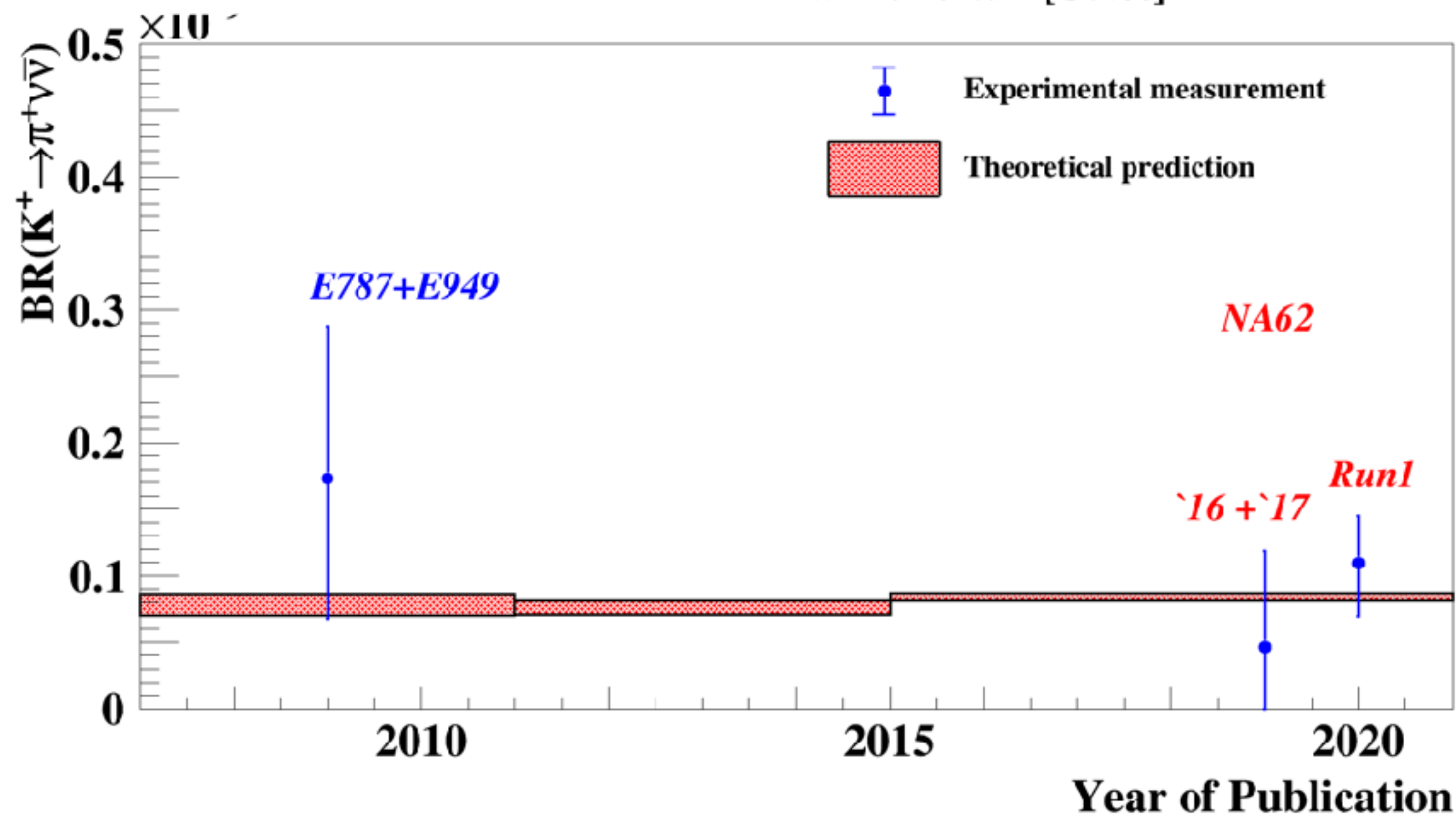
$$\text{Expected signal: } 10.01 \pm 0.42_{syst} \pm 1.19_{ext}$$

$$\text{Expected background: } 7.03^{+1.05}_{-0.82}$$

JHEP06 (2021) 093

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (10.6^{+4.0}_{-3.4_{stat}} \pm 0.9_{syst}) \times 10^{-11}$$

**3.4  $\sigma$  significance** most precise measurement to date



# BR( $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ ) in Run 2

## Hardware improvements after LS2:

- A 4<sup>th</sup> GTK station for the  $K^+$  tracking
- VetoCounter to reduce upstream background
- Anti0 to reduce muon halo
- HASC calorimeter downstream to reject  $\gamma$  from conversion
- Cedar-H: Cherenkov for K-id with Hydrogen in place of Nitrogen

Work in progress (\*) Expected events (R1+R2)

	2018	2021+2022
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ (SM)	$7.58 \pm 0.40_{syst} \pm 0.75_{ext}$	$10.07 \pm 0.31$
$K^+ \rightarrow \pi^+ \pi^0 (\gamma)$	$0.75 \pm 0.05$	$0.86 \pm 0.06$
$K^+ \rightarrow \mu^+ \nu (\gamma)$	$0.64 \pm 0.08$	$0.93 \pm 0.20$
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu$	$0.51 \pm 0.10$	$0.84^{+0.35}_{-0.28}$
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	$0.22 \pm 0.10$	$0.11 \pm 0.03$
$K^+ \rightarrow \pi^+ \gamma \gamma$	$< 0.01$	$0.01 \pm 0.01$
$K^+ \rightarrow \pi^0 l^+ \nu$	$< 0.001$	$< 0.001$
Upstream	$3.30^{+1.00}_{-0.75}$	$8.0^{+2.2}_{-1.8}$
Total background	$5.42^{+1.00}_{-0.75}$	$10.8^{+2.2}_{-1.9}$

(\*) CERN-SPSC-2024-012 / SPSC-SR-345

Work in progress (\*)

	2021 ( $t > 2$ s)	2022
$(N_{\pi\pi} D_0)/400$	3.713	16.374
$\epsilon_{trig}$	$(83.5 \pm 1.3)\%$	$(86.3 \pm 1.5)\%$
$\epsilon_{RV}$	$(63.0 \pm 0.5)\%$	$(63.8 \pm 0.5)\%$
$A_{\pi\pi}$	$(13.525 \pm 0.005)\%$	$(13.525 \pm 0.005)\%$
$A_{\pi\nu\bar{\nu}}$	$(7.7 \pm 0.2)\%$	$(7.7 \pm 0.2)\%$
$B_{SES} [\times 10^{11}]$	$4.68 \pm 0.17$	$1.01 \pm 0.03$
$N_{\pi\nu\bar{\nu}}^{SM,exp}$	$1.80 \pm 0.06$	$8.28 \pm 0.24$
$N_{\pi\nu\bar{\nu}}^{SM,exp}/burst$	$1.7 \times 10^{-5}$	$2.5 \times 10^{-5}$

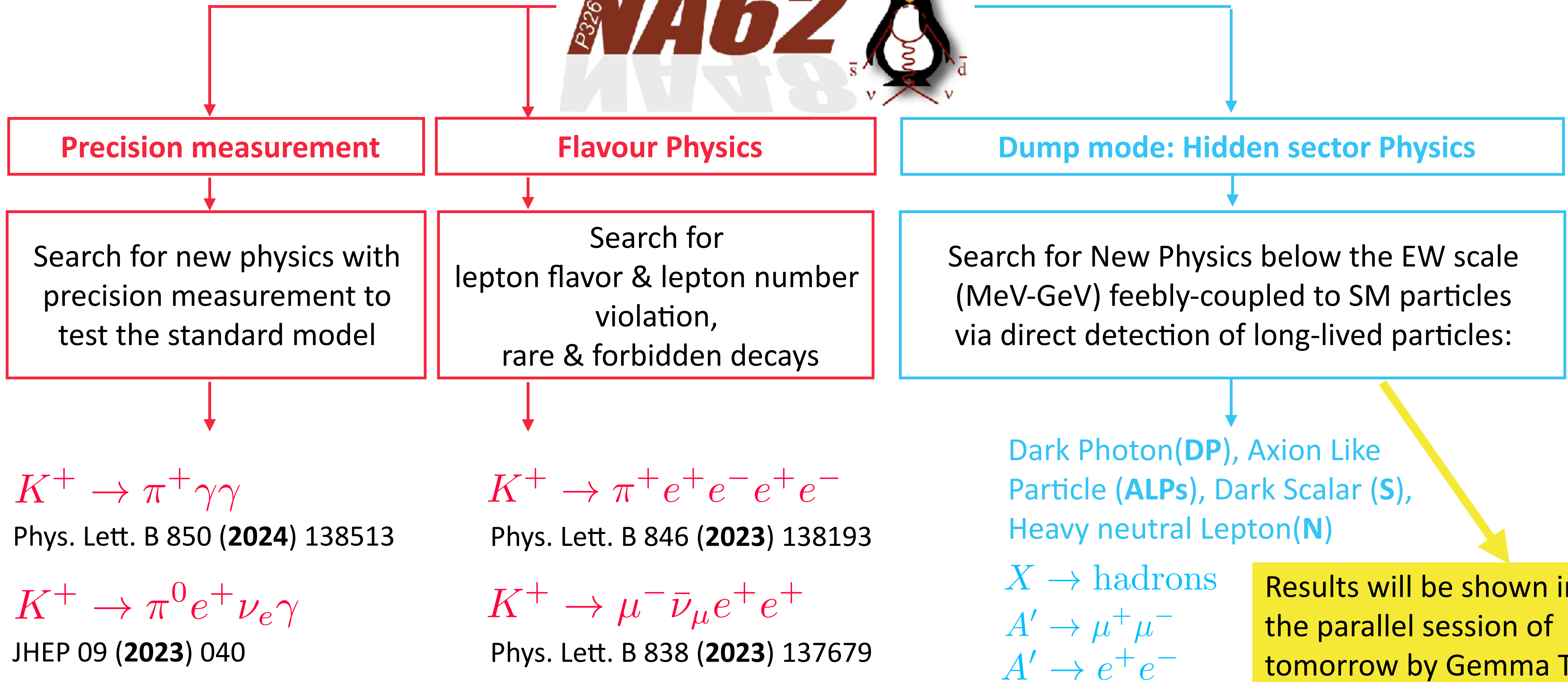
## Analysis improvements with respect to Run 1:

- Signal acceptance improved by 20% with performance and background rejection at the same level ( $A_{\pi\nu\bar{\nu}}^{(2018)} = 6.4 \pm 0.06 \%$ ).
- Single event sensitivity improved significantly, from 7% to 4% improved precision in trigger efficiency and random veto evaluation
- Signal yield improved by 50% ( $N_{\pi\nu\bar{\nu}}/burst^{(2018)} = 1.7 \times 10^{-5}$ )

Studies ongoing to understand the background scaling from Run 1 to Run 2

# NA62 as a multi-purpose experiment

Trigger system flexibility and detector performances make NA62 ideal for many kinds of other measurements



Results will be shown in the parallel session of tomorrow by Gemma Tinti



# Low energy QCD test: $K^+ \rightarrow \pi^+\gamma\gamma$

Radiative non-leptonic kaon decays allow crucial test of Chiral Perturbation Theory (ChPT) which describes low-energy QCD processes:  $K^+ \rightarrow \pi^+\gamma\gamma$  out of  $\pi^0$  peak

The decay is described by the 2 kinematic variables:

$$\text{Kinematic variables} \quad y = \frac{p_K(p_{\gamma_1} - p_{\gamma_2})}{M_K^2}, \quad z = \frac{(p_{\gamma_1} + p_{\gamma_2})^2}{M_K^2} = \frac{m_{\gamma\gamma}^2}{M_K^2}$$

The differential decay rate depends **strongly on z** and weakly on y

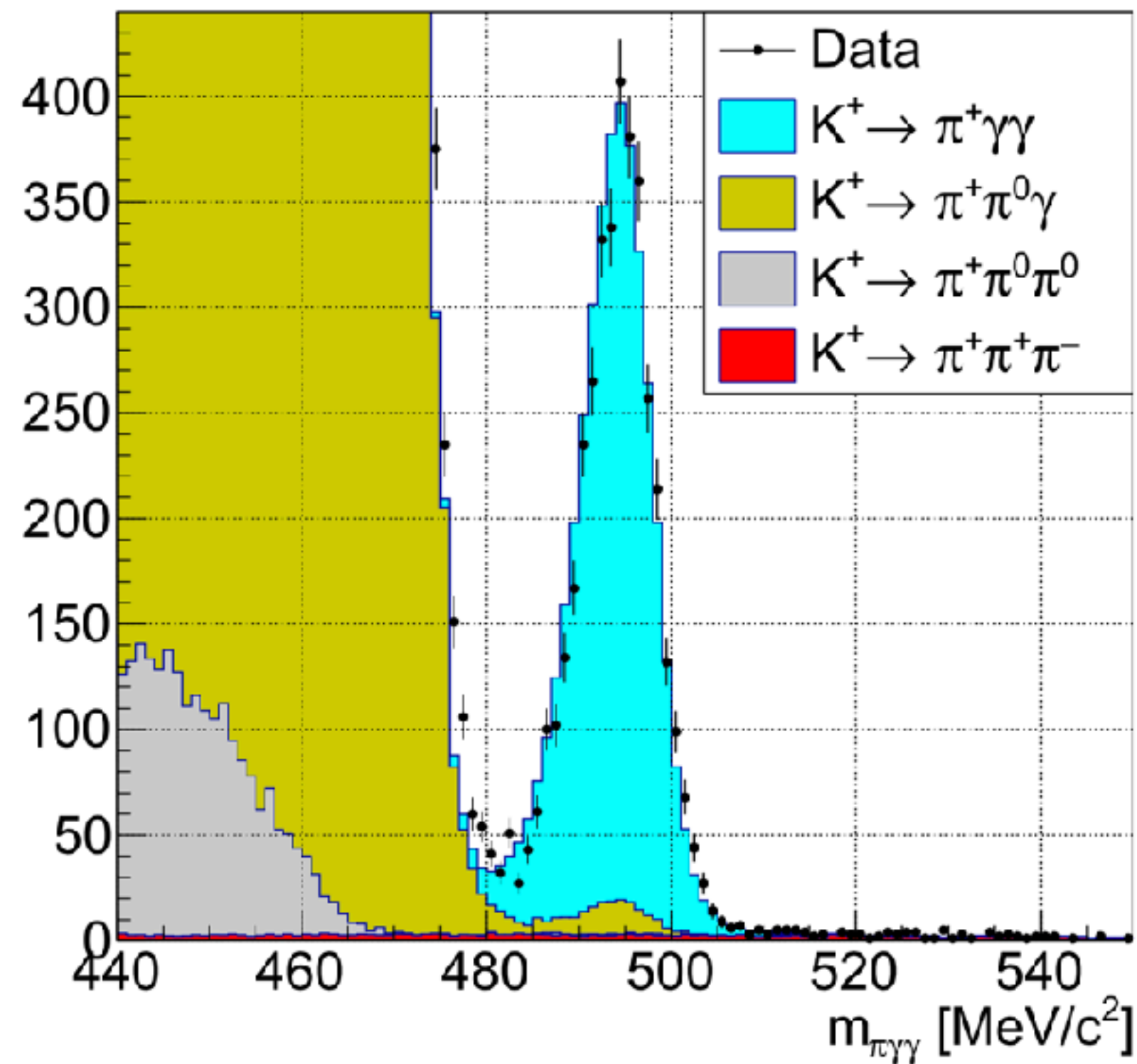
The decay rate in ChPT is parametrized as follows:

$$\frac{d^2\Gamma}{dydz}(\hat{c}, y, z) = \frac{m_K}{2^9\pi^3} \left[ z^2 (|A(\hat{c}, z, y^2) + B(z)|^2 + |C(z)|^2) + \left( y^2 - \frac{1}{4}\lambda(1, r_\pi^2, z) \right)^2 |B(z)|^2 \right]$$

- $A(\hat{c}, z, y^2)$  and  $B(z)$  are the loop amplitudes in **lowest order  $O(p^4)$**  and in the **next to leading order  $O(p^6)$** , that modify significantly
- The **parameter  $\hat{c}$  is the only free parameter**
- BR and  $\hat{c}$  depend on **8 external parameters fixed** according to PLB 835 (2022) 137594

Analysis has been performed with **Run 1** data sample

# $K^+ \rightarrow \pi^+ \gamma \gamma$ selection



## $K^+ \rightarrow \pi^+ \gamma \gamma$ Selection

- One single positive track identified as  $\pi^+$
- $K^+$ -  $\pi^+$  matching and vertex reconstruction
- 2 good  $\gamma$  cluster in LKr calorimeter
- Kinematic cuts on total E, total  $p_T$ , and  $m_{\pi\gamma\gamma}$  consistent with  $M_K$
- **Signal region:  $0.20 < z < 0.51$**

## Main background sources

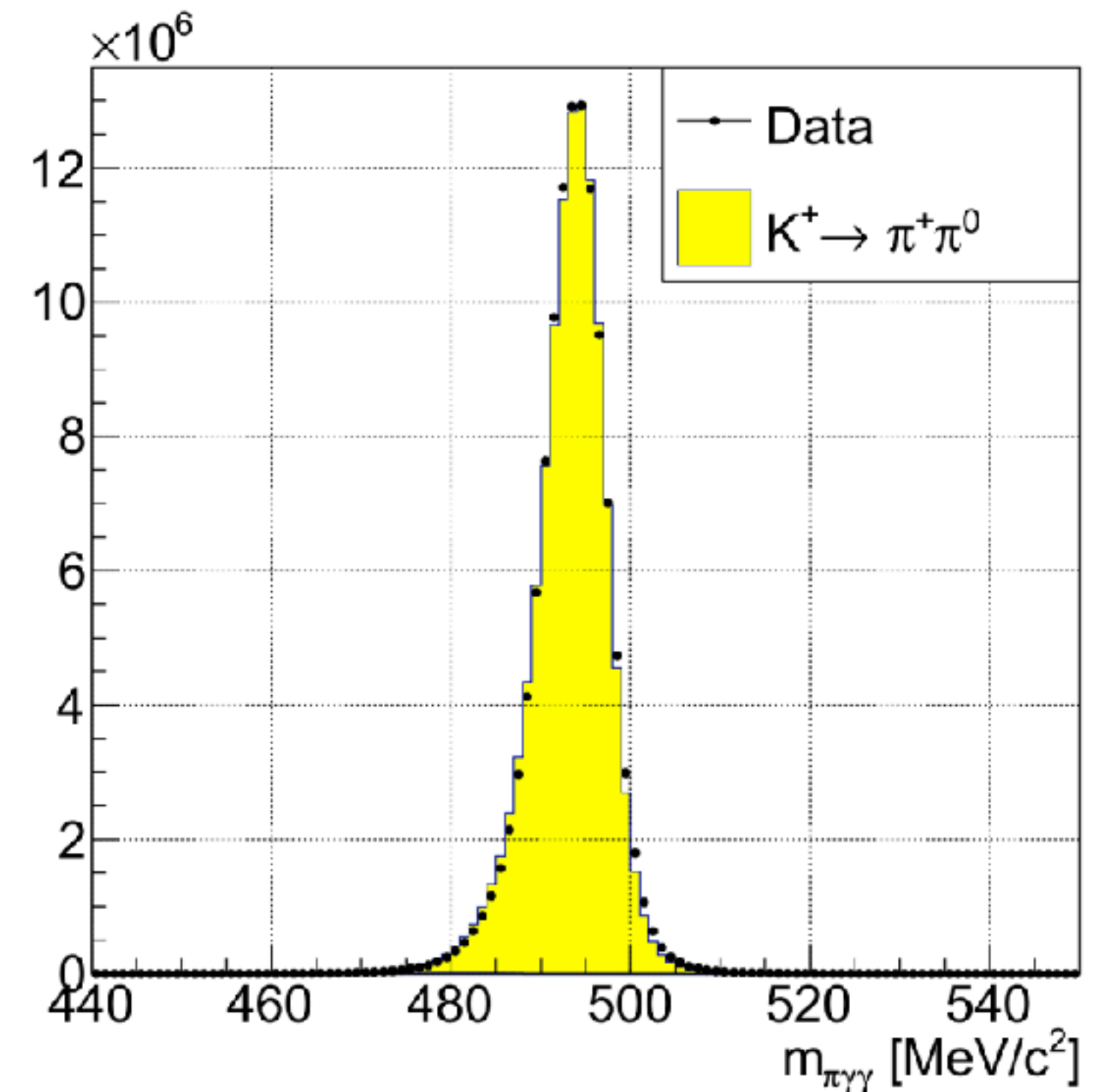
- Cluster merging in LKr:
  - $K^+ \rightarrow \pi^+ \pi^0 \gamma (\pi^0 \rightarrow \gamma \gamma)$
  - $K^+ \rightarrow \pi^+ \pi^0 \pi^0 (\pi^0 \rightarrow \gamma \gamma)$
- Multi track events with tracks missing: mainly  $K_{3\pi}$  due to large BR

$K^+$  decays in FV:  $N_K = (5.55 \pm 0.03) \times 10^{10}$

$K^+ \rightarrow \pi^+ \gamma \gamma$  candidates: **3984**

( $\sim 10x$  more than NA48/2+NA62-2007)

Estimated background:  **$291 \pm 14$**

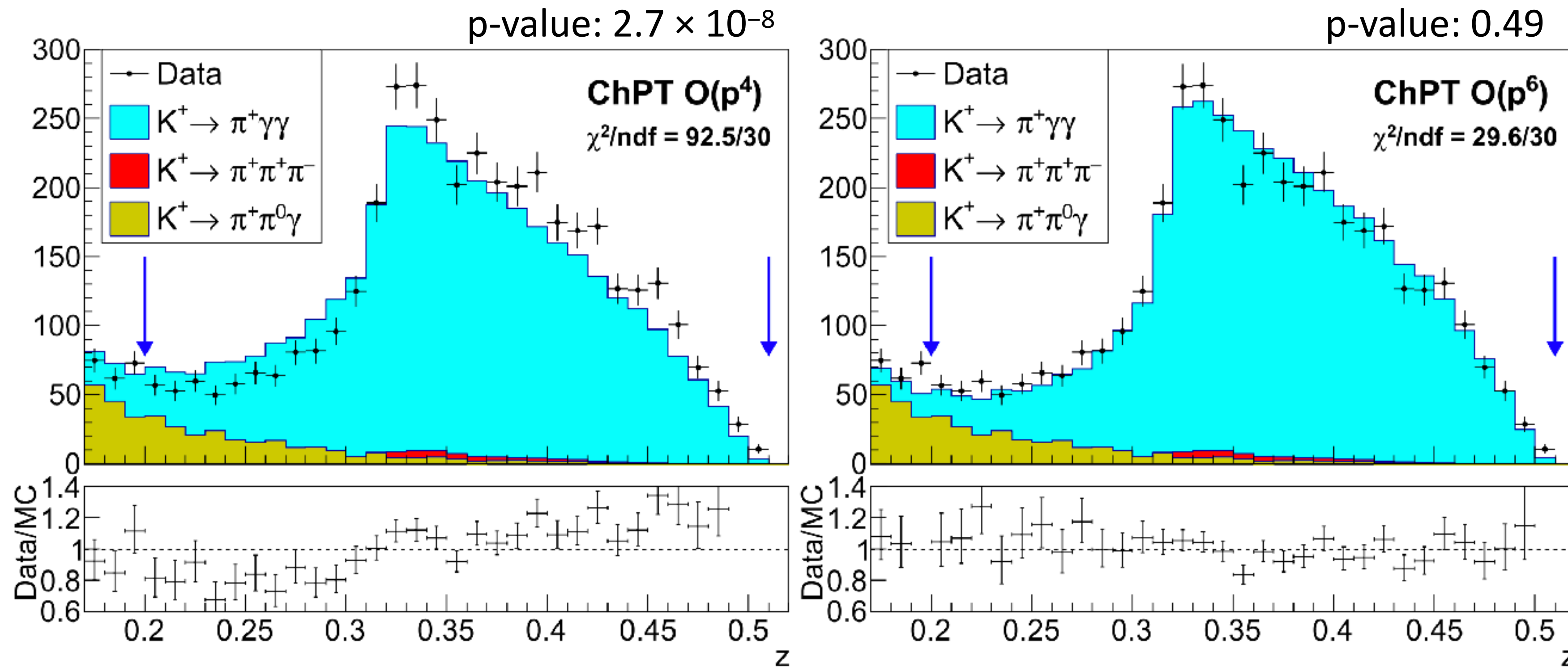


## Normalization channel $K^+ \rightarrow \pi^+ \pi^0$ to measure $N_K$

- Same particles in the final state. Reduced systematic uncertainties and inefficiencies cancellation
- **$m_{\gamma\gamma} \sim m_{\pi^0} : 0.04 < z < 0.12$**

# $K^+ \rightarrow \pi^+ \gamma \gamma$ analysis

Reconstructed  $z$  spectrum of the signal candidates:  $Z = (P_K - P_\pi)^2/M_K^2$  used (better resolution than  $m_{\gamma\gamma}^2/M_K^2$ )

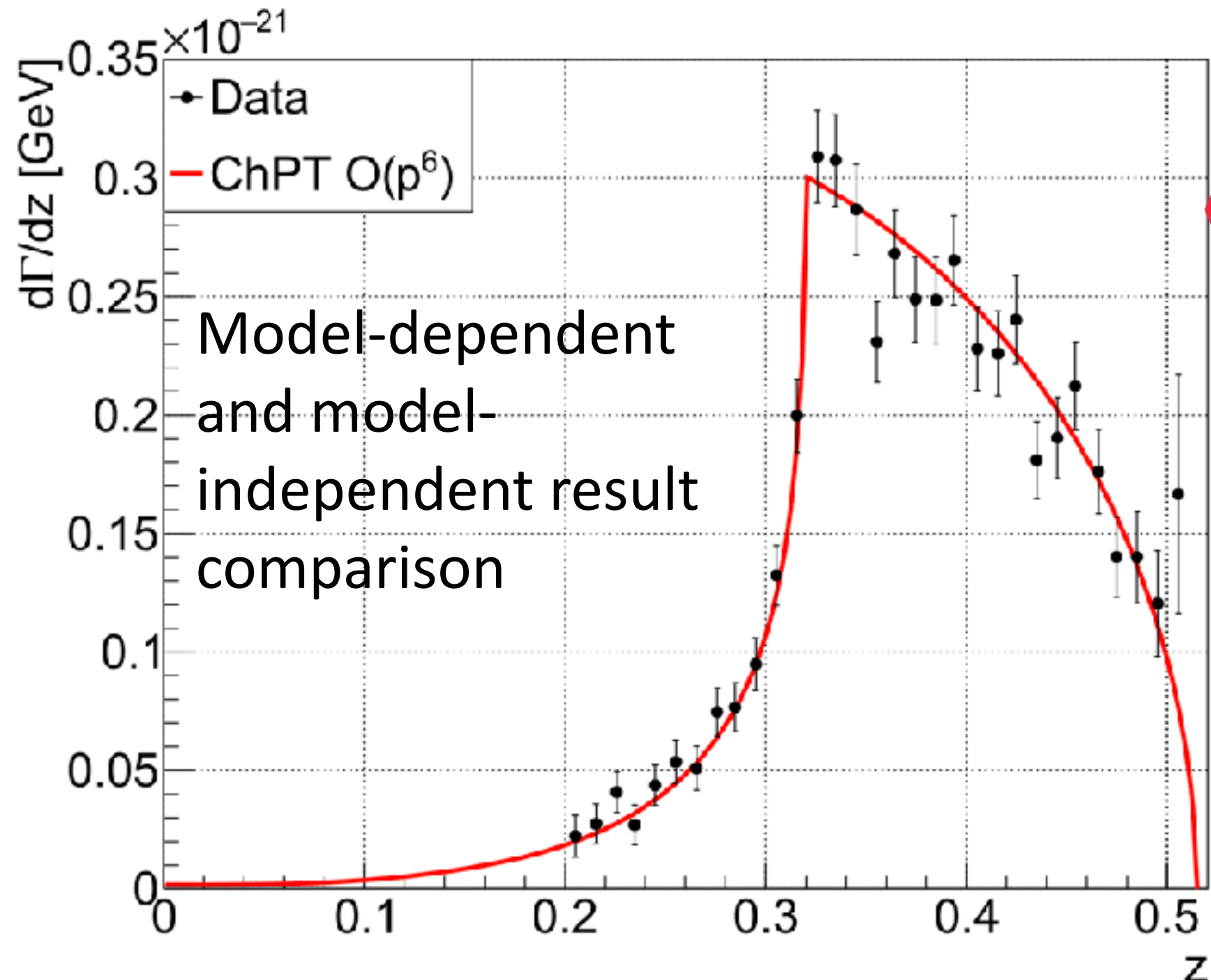


**Model-dependent measurement:**  
performed by reweighting MC spectrum for different values of  $\hat{c}$  and extracting the best-fit value

$z$  spectrum is fitted according to the theoretical parametrization in 31 bins of  $z$  (width  $\delta z = 0.01$ )

First evidence that the  $O(p^4)$  description is not compatible with the data: the next to leading order contribution  $O(p^6)$  in chiral perturbation theory was found to be necessary

# $K^+ \rightarrow \pi^+ \gamma \gamma$ results



$\hat{c}$  value depends significantly on the external parameter values. To test the consistency of the result with the old measurement  $\hat{c}$  is also calculated with the same E787 (▲) and NA48/2 - NA62/2007 (■) parameters set

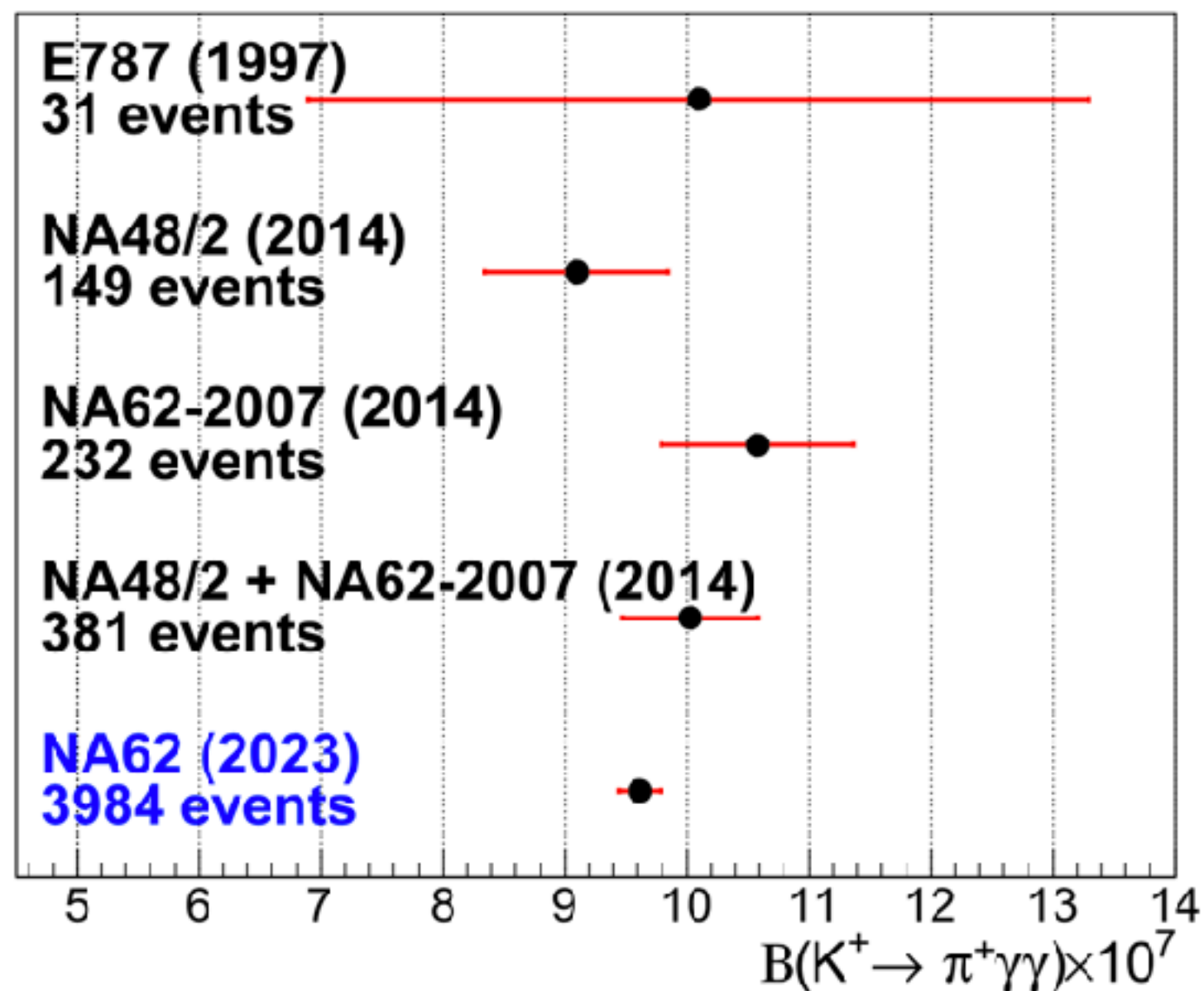
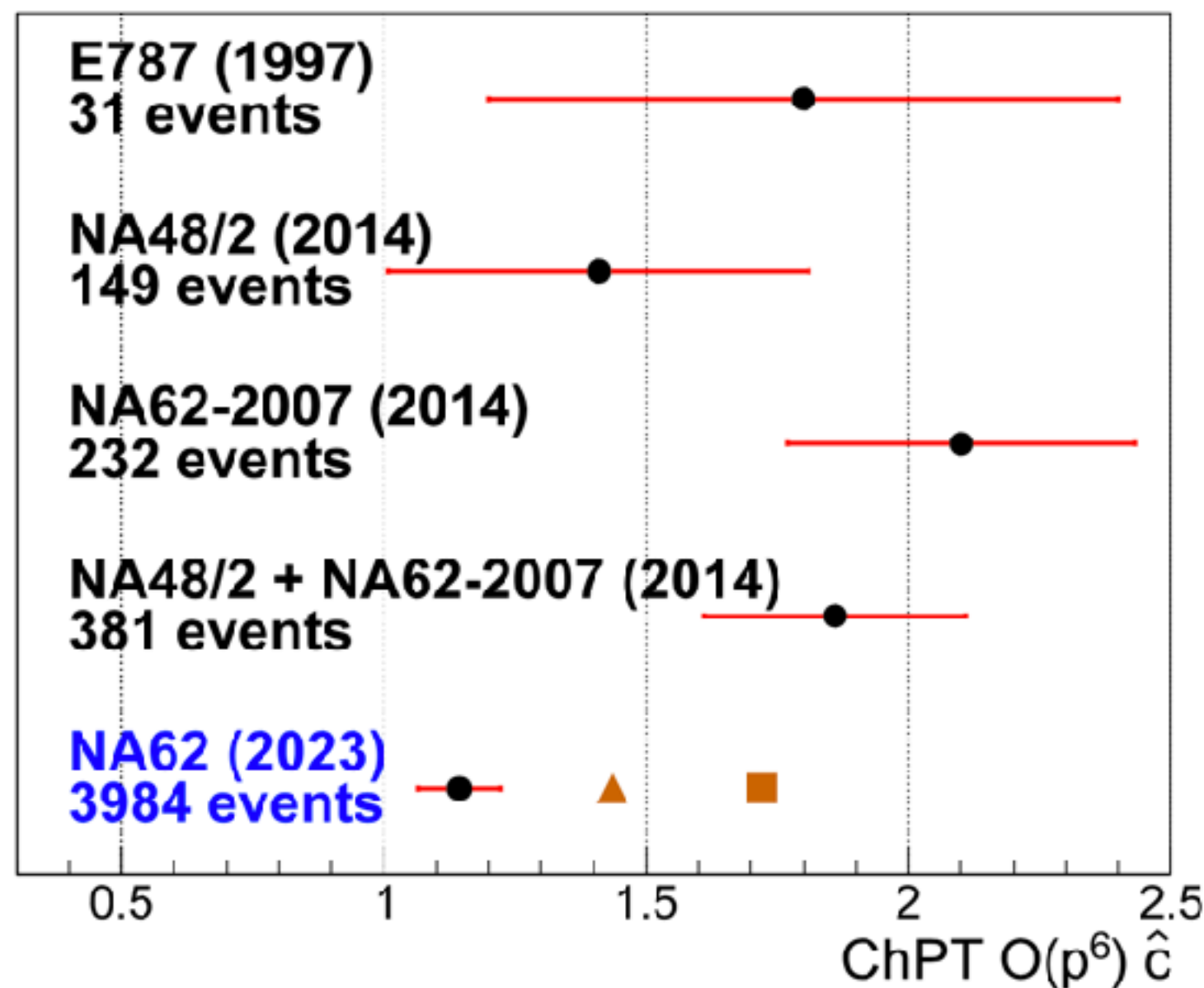
Model-independent  $BR(K_{\pi\gamma\gamma})$  and corresponding decay width are also computed in each  $z$  bin ( $i$ )

$$BR(K_{\pi\gamma\gamma})_i = \frac{N_i^{obs} - N_i^{bkg}}{N_K \cdot A_i}$$

$$\hat{c} = 1.144 \pm 0.069_{stat} \pm 0.034_{syst}$$

$$BR(K^+ \rightarrow \pi^+ \gamma \gamma)_{ChPT} = (9.61 \pm 0.15_{stat} \pm 0.07_{syst}) \times 10^{-7}$$

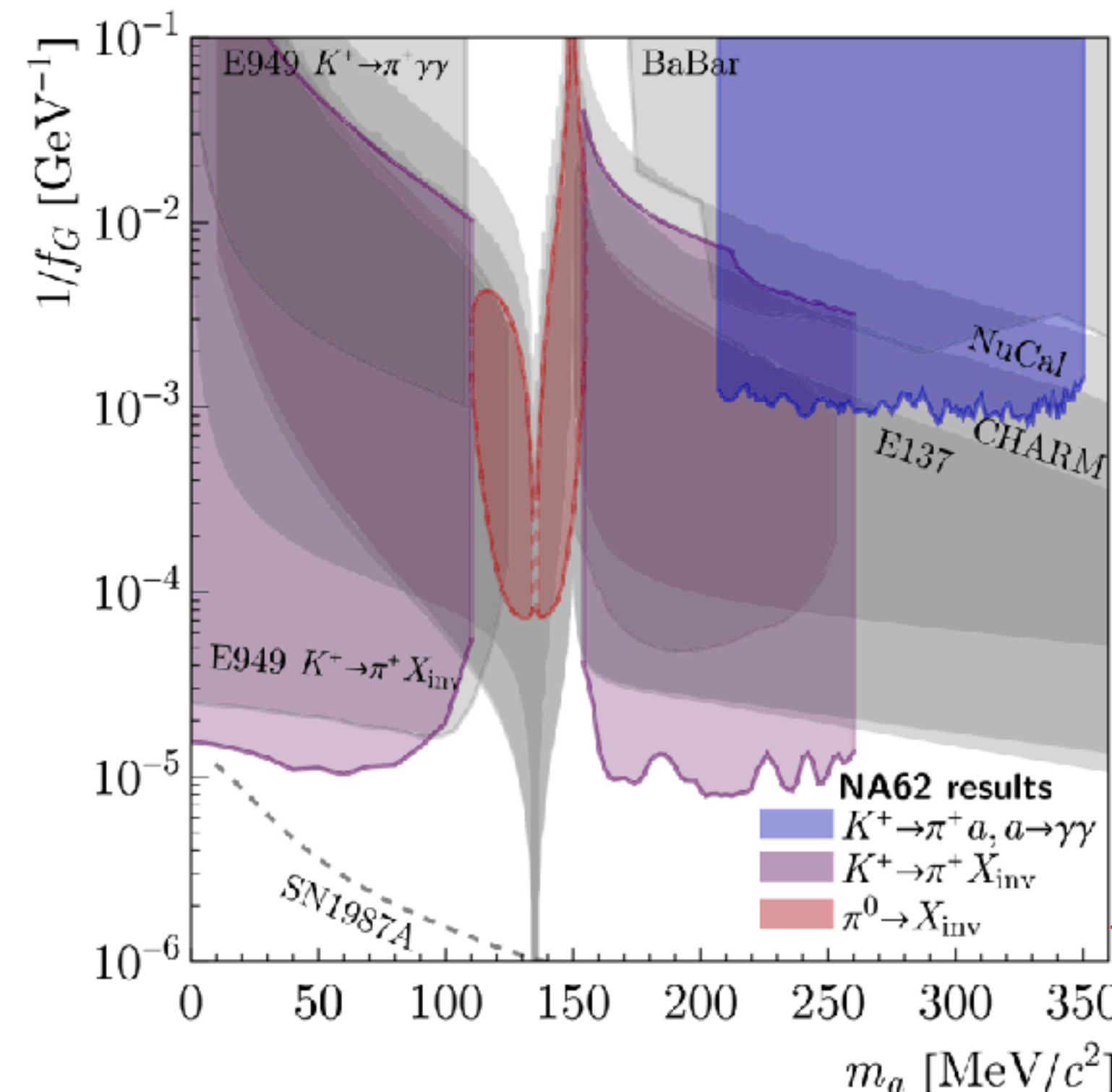
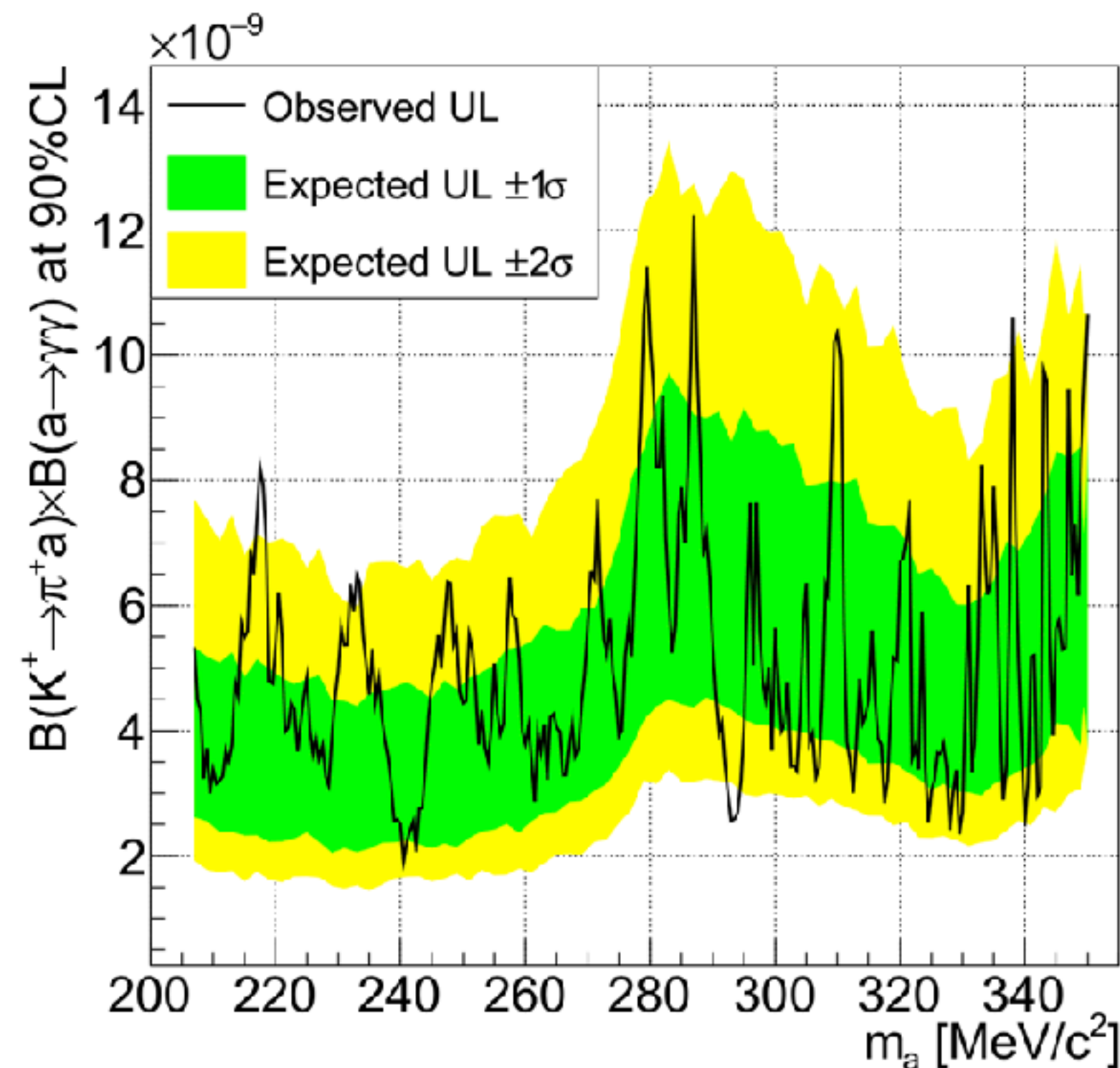
$$BR(K^+ \rightarrow \pi^+ \gamma \gamma)_{MI} = (9.46 \pm 0.19_{stat} \pm 0.07_{syst}) \times 10^{-7}$$



# Search for ALP in $K^+ \rightarrow \pi^+ a, a \rightarrow \gamma\gamma$ decays

**Hidden sector scenario** in which axion-like particle coupling to gluons: **BC11** (if  $m_a < 3m_\pi$ :  $a \rightarrow \gamma\gamma$ )

- Peak search over  $m_a = \sqrt{(P_K - P_\pi)^2}$  in 287 mass hypotheses (range 207–350 MeV/c<sup>2</sup>, steps 0.5 MeV/c<sup>2</sup>)
- $m_a$  resolution: from 2.0 MeV/c<sup>2</sup> to 0.2 MeV/c<sup>2</sup> across the search range
- In each  $m_a$  hypothesis, background in signal region is estimated with simulation ( $K_{\pi\gamma\gamma}$  in ChPT O(p<sup>6</sup>) and his bkg)
- Upper limit at 90% using CL<sub>s</sub> method is set to  $N_s$  (number of signal events) in each bin



Assuming **prompt**  $a \rightarrow \gamma\gamma$  decay ( $\tau_a = 0$ ), UP on BR is evaluated:

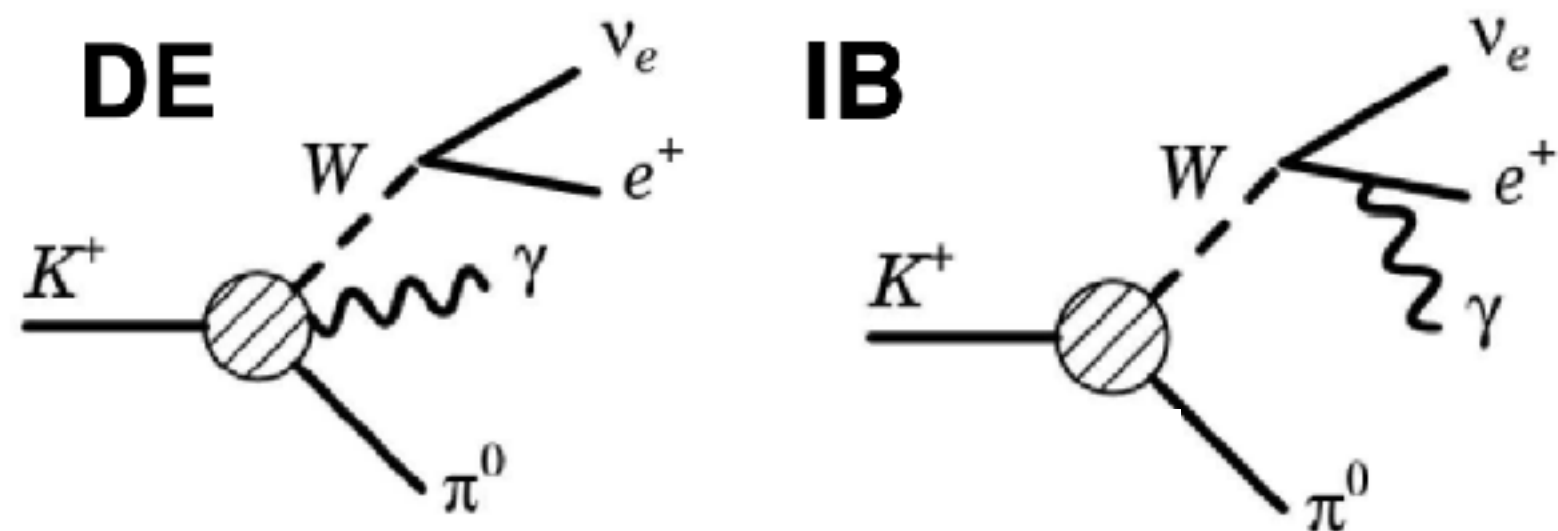
$$BR(K^+ \rightarrow \pi^+ a) = \frac{N_s}{N_K \cdot A_S}$$

Assuming  $\tau_a \neq 0$  a **signal acceptance loss function** is considered (increasing with  $\tau_a$  due to vertex displacement)

Associated exclusion region for mass and coupling strength  $f_G^{-1} \sim \tau_a^{-0.5}$

# Low energy QCD test: $K^+ \rightarrow \pi^0 e^+ \nu \gamma$

Described in ChPT by **structure dependent (SD) processes, inner bremsstrahlung (IB) and their interference**



$$R_j = \frac{\text{BR}(K^+ \rightarrow \pi^0 e^+ \nu \gamma | E_\gamma^j, \theta_{e\gamma}^j)}{\text{BR}(K^+ \rightarrow \pi^0 e^+ \nu(\gamma))}$$

$$j = 1, 2, 3$$

- $\text{BR}(K^+ \rightarrow \pi^0 e^+ \nu \gamma)$  strongly depends on  $E_\gamma$  and  $\theta_{e\gamma}$  in  $K^+$  rest frame
- Three kinematic ranges of  $E_\gamma$  and  $\theta_{e\gamma}$  are considered
- Measure:

**$B(K^+ \rightarrow \pi^0 e^+ \nu \gamma)$  in the 3 ranges normalized to  $B(K^+ \rightarrow \pi^0 e^+ \nu(\gamma))$**

	$E_\gamma$ cut	$\theta_{e\gamma}$ cut	$O(p^6)$ ChPT
$R_1 (\times 10^2)$	$E_\gamma > 10 \text{ MeV}$	$\theta_{e\gamma} > 10^\circ$	$1.804 \pm 0.021$
$R_2 (\times 10^2)$	$E_\gamma > 30 \text{ MeV}$	$\theta_{e\gamma} > 20^\circ$	$0.640 \pm 0.008$
$R_3 (\times 10^2)$	$E_\gamma > 10 \text{ MeV}$	$0.6 < \cos\theta_{e\gamma} < 0.9$	$0.559 \pm 0.006$

- How the normalized BR is experimentally measured:

$$R_j = \frac{BR(K_{e3\gamma})_j}{BR(K_{e3})} = \frac{(N_{K_{e3\gamma}}^{\text{obs}} - N_{K_{e3\gamma}}^{\text{bkg}})_j}{N_{K_{e3}}^{\text{obs}} - N_{K_{e3}}^{\text{bkg}}} \cdot \frac{A_{K_{e3}} \cdot \epsilon_{K_{e3}}^{\text{trig}}}{(A_{K_{e3\gamma}})_j \cdot (\epsilon_{K_{e3\gamma}}^{\text{trig}})_j}$$

- Test of T-conservation thanks to T-odd observable  $\xi$  and its asymmetry:

$$\xi = \frac{\vec{p}_\gamma \cdot (\vec{p}_e \times \vec{p}_\pi)}{(M_K \cdot c)^3}, \quad A_\xi = \frac{N_{\xi>0} - N_{\xi<0}}{N_{\xi>0} + N_{\xi<0}}$$

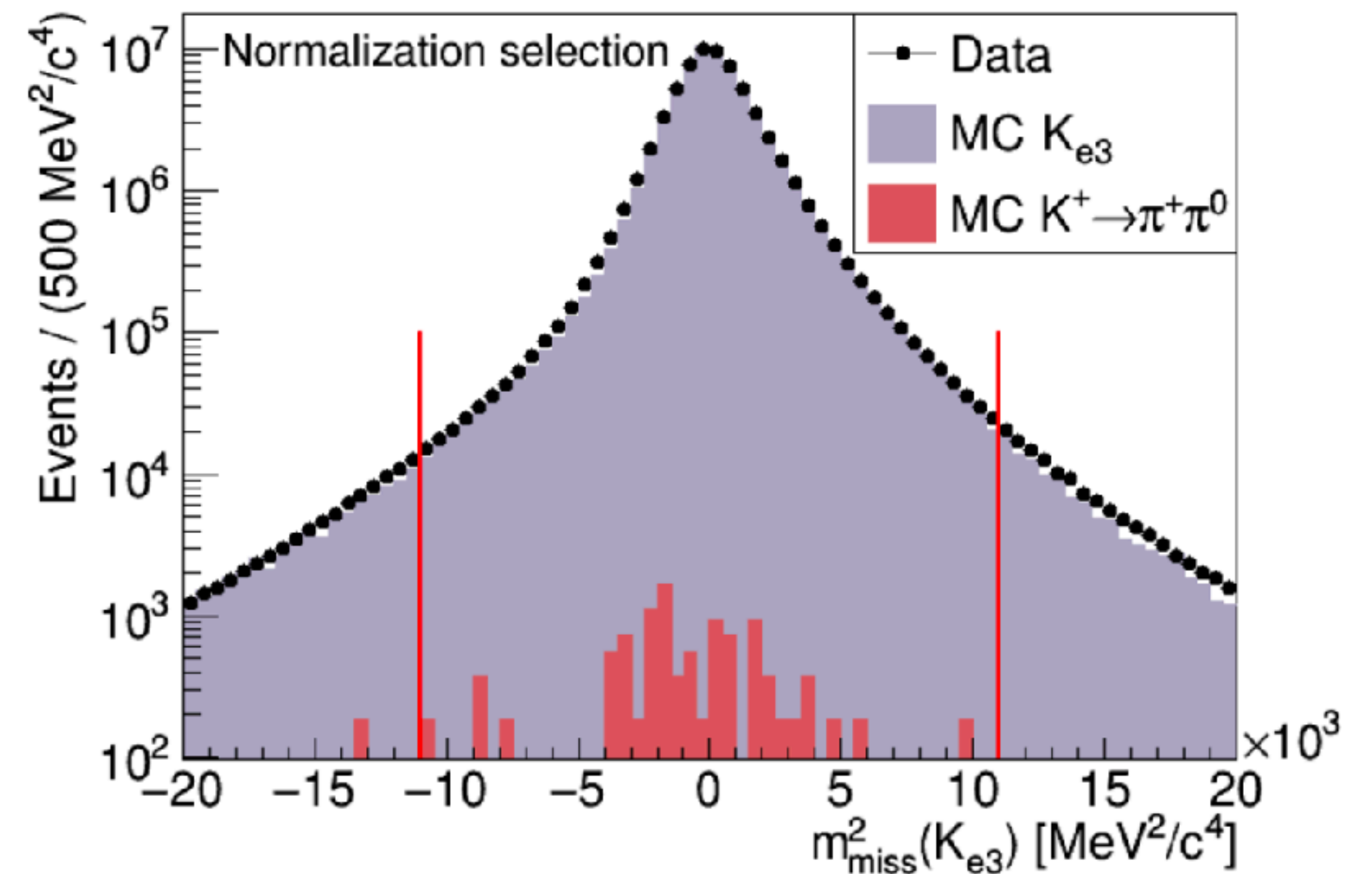
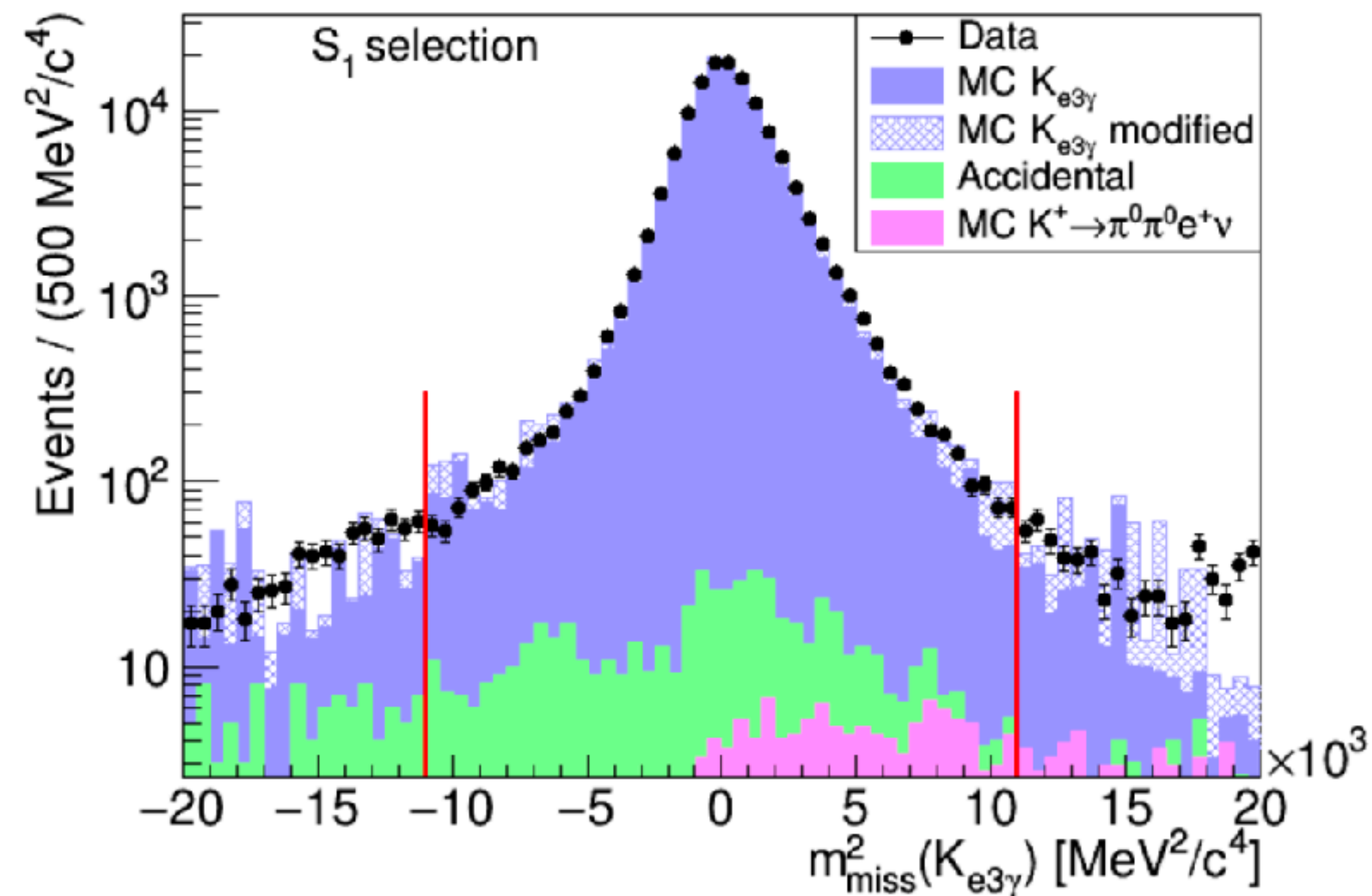
# $K^+ \rightarrow \pi^0 e^+ \nu \gamma$ analysis

## Signal selection

- Reconstruction and matching of  $K^+$  and  $e^+$  tracks (vertex in FV)
- Reconstruction of  $\pi^0 \rightarrow \gamma\gamma$  as 2 LKr clusters ( $E_\gamma > 4$  GeV)
- Radiative  $\gamma$  identified as isolated LKr cluster ( $E_\gamma > 2$  GeV)
- No other signals in calorimeters (LAV, IRC, SAC)
- Kinematic constraint  $m^2_{\text{miss}} = (P_K - P_e - P_{\pi^0} - P_\gamma)^2 < 11000 \text{ MeV}/c^2$

## Normalization selection

- Same signal selection: reduced systematic effects
- No additional isolated LKr cluster with  $E > 2$  GeV
- Kinematic constraint  
 $m^2_{\text{miss}} = (P_K - P_e - P_{\pi^0})^2 < 11000 \text{ MeV}/c^2$

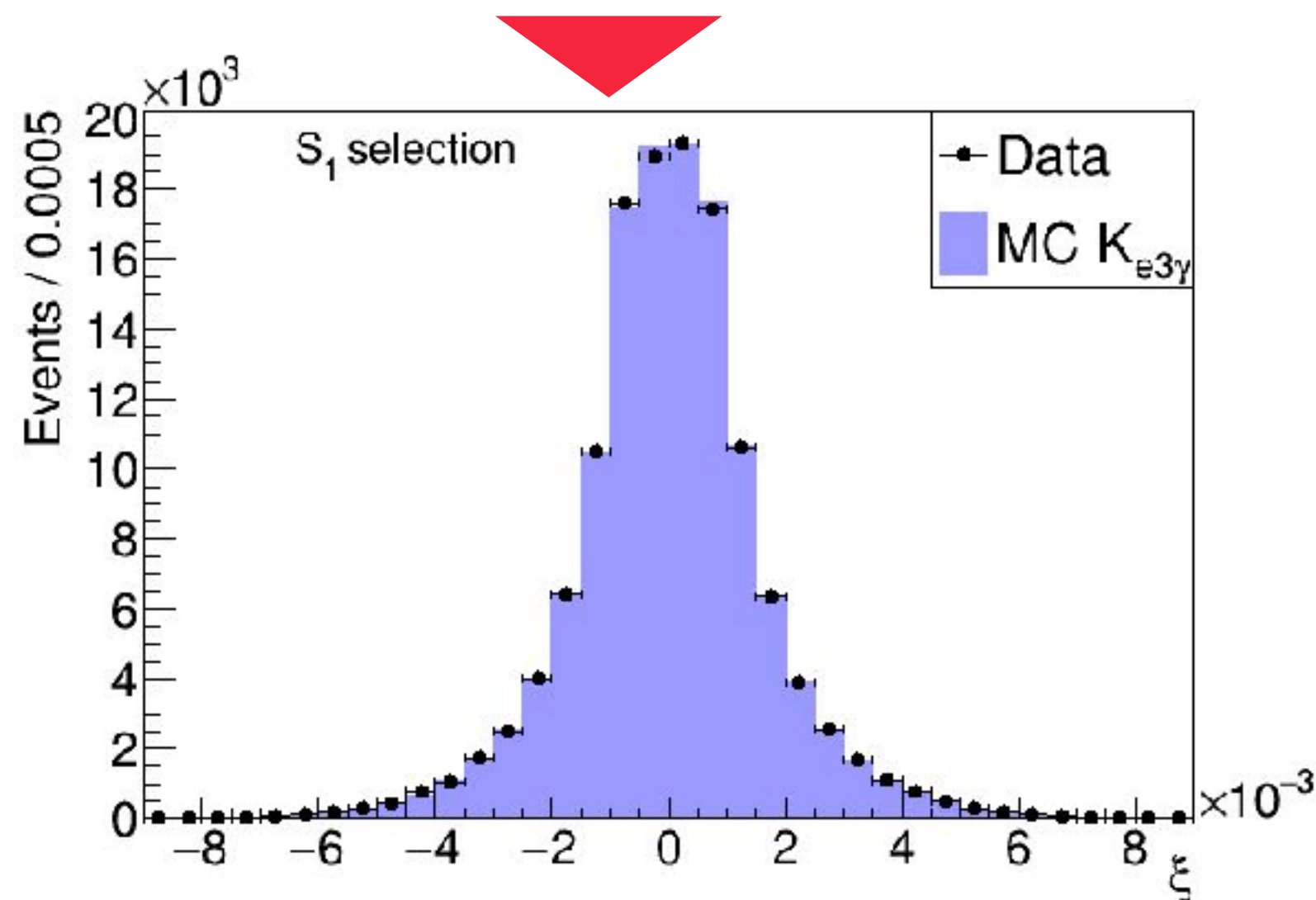


# $K^+ \rightarrow \pi^0 e^+ \nu \gamma$ results

Analysis has been performed with **Run 1** data sample

	Normalization	$S_1$	$S_2$	$S_3$
Selected candidates	$6.6420 \times 10^7$	$1.2966 \times 10^5$	$0.5359 \times 10^5$	$0.3909 \times 10^5$
Acceptance	$(3.842 \pm 0.002)\%$	$(0.444 \pm 0.001)\%$	$(0.514 \pm 0.002)\%$	$(0.432 \pm 0.002)\%$
Accidental background	—	$(4.9 \pm 0.2 \pm 1.3) \times 10^2$	$(2.3 \pm 0.2 \pm 0.3) \times 10^2$	$(1.1 \pm 0.1 \pm 0.5) \times 10^2$
$K^+ \rightarrow \pi^0 \pi^0 e^+ \nu$	$< 10^2$	$(1.1 \pm 1.1) \times 10^2$	$(1.1 \pm 1.1) \times 10^2$	$(0.1 \pm 0.1) \times 10^2$
$K^+ \rightarrow \pi^+ \pi^0 \pi^0$	$< 10^2$	$< 20$	$< 20$	$< 20$
$K^+ \rightarrow \pi^+ \pi^0$	$(1.0 \pm 1.0) \times 10^4$	—	—	—
Total background	$(1.0 \pm 1.0) \times 10^4$	$(6.0 \pm 1.8) \times 10^2$	$(3.4 \pm 1.2) \times 10^2$	$(1.2 \pm 0.6) \times 10^2$
Fractional background	$1.6 \times 10^{-4}$	$0.46 \times 10^{-2}$	$0.64 \times 10^{-2}$	$0.29 \times 10^{-2}$

Reconstruction of  $\xi$  observable (for  $S_1$ )



	$R (\times 10^2)$	$A_\xi \times 10^2 *$	$O(p^6)$ ChPT
$S_1$	$1.715 \pm 0.005_{\text{stat}} \pm 0.010_{\text{syst}}$	$-0.1 \pm 0.3_{\text{stat}} \pm 0.2_{\text{syst}}$	$1.804 \pm 0.021$
$S_2$	$0.609 \pm 0.003_{\text{stat}} \pm 0.006_{\text{syst}}$	$-0.3 \pm 0.4_{\text{stat}} \pm 0.3_{\text{syst}}$	$0.640 \pm 0.008$
$S_3$	$0.533 \pm 0.003_{\text{stat}} \pm 0.004_{\text{syst}}$	$-0.9 \pm 0.5_{\text{stat}} \pm 0.4_{\text{syst}}$	$0.559 \pm 0.006$

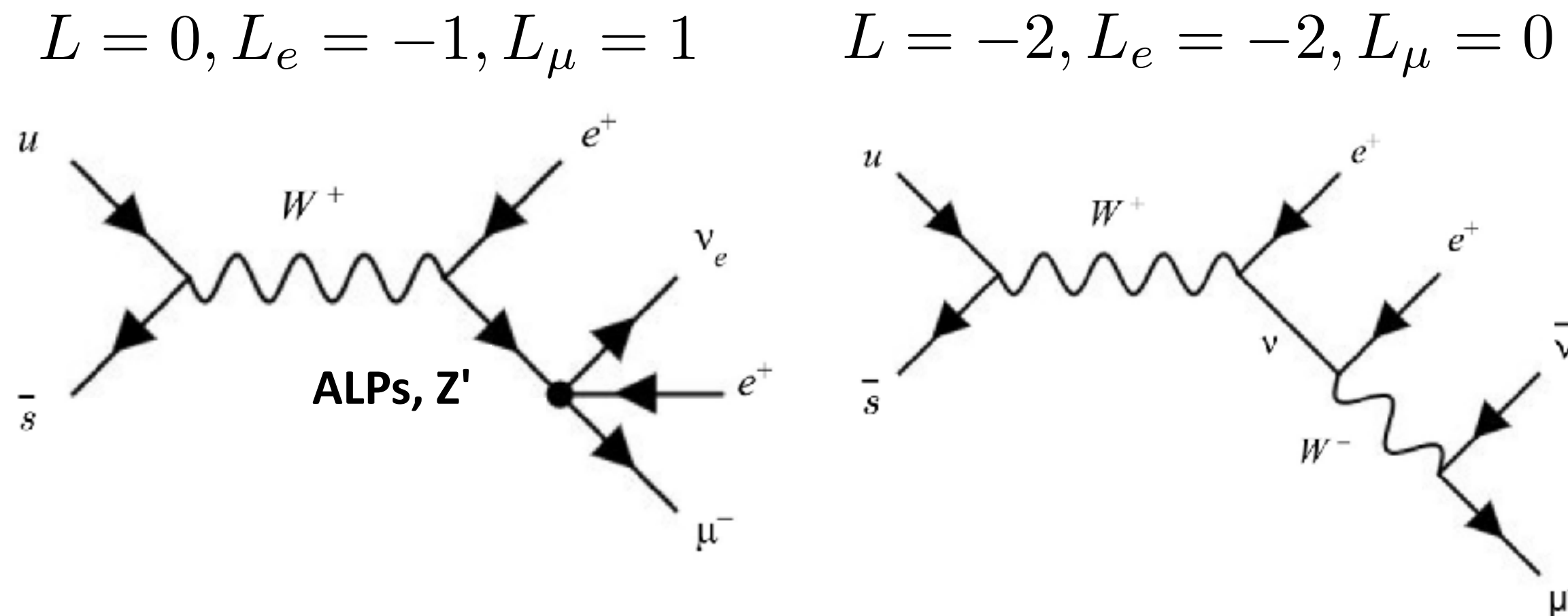
- Improvement wrt previous measurement (ISTRA+, OKA) by a factor  $> 2$
- $R_j$  smaller than  $O(p^6)$  ChPT by 5% relative (disagreement:  $3 \sigma$ )
- Result compatible with no asymmetry

$*(A_\xi^{\text{NA62}} = A_\xi^{\text{Data}} - A_\xi^{\text{MC}})$



# LFV and LNV searches: $K^+ \rightarrow \mu^- \nu e^+ e^+$

To may this decay happen lepton flavor or lepton number must be violated depending on the neutrino flavor:  $\nu_e$  or  $\nu_\mu$



## Observing this decay

- Provide evidence for BSM models involving flavor violating ALPs and Z' (LFV)
- Provide evidence of Majorana neutrino (LNV)

## Past upper limit

$$BR(K^+ \rightarrow \pi^+ \nu e^+ e^+) < 2.1 \times 10^{-8} \text{ @ 90\% CL}$$

## Signal selection

- Exactly 3 well separated downstream track events (STRAW)
- Tracks forming a vertex with  $Q_{TOT} = +1$  in the fiducial volume
- Correct PID of the track candidates ( $\mu^-$ ,  $e^+$ ,  $e^+$ )
- $|P_{beam} - P_{vtx}| > 10 \text{ GeV}/c$  ( $K_{3\pi}$  suppression)
- Photon veto downstream of the vertex for mitigation of Dalitz

$$K^+ \rightarrow \pi^+ \pi_D^0, K^+ \rightarrow \pi_D^0 e^+ \nu (\pi_D^0 \rightarrow \gamma e^+ e^-)$$

## Normalization selection $K^+ \rightarrow \pi^+ e^+ e^-$

- Same 3 tracks vertex selection
- Correct PID of the track candidates ( $\pi^+$ ,  $e^+$ ,  $e^-$ )
- $|P_{beam} - P_{vtx}| < 2 \text{ GeV}/c$

Analysis has been performed with **Run 1** data sample

# $K^+ \rightarrow \mu^- \nu e^+ e^+$ analysis and result

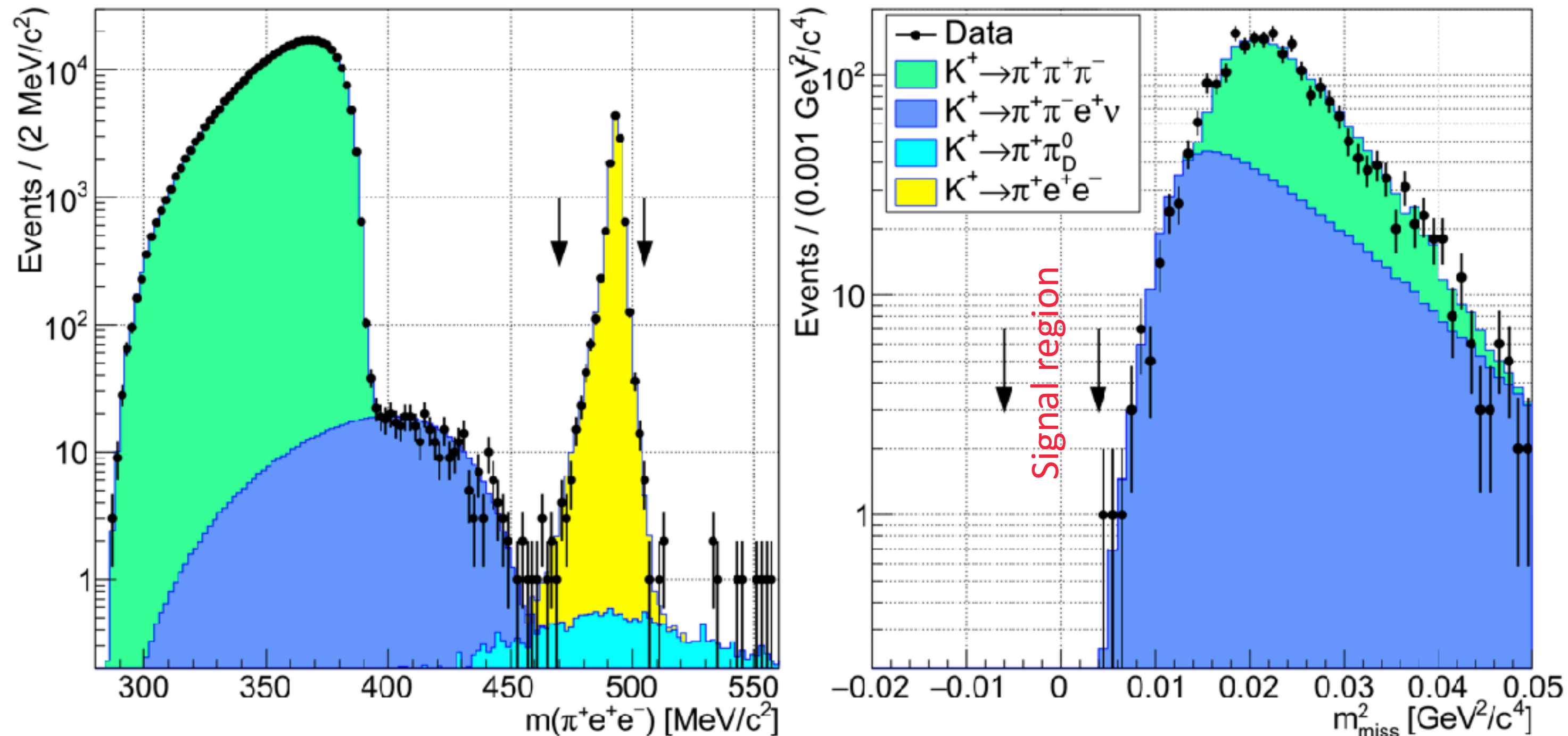
Signal region:

$$(-6 \times 10^{-3} < m_{\text{miss}}^2 < 4 \times 10^{-3}) \text{ GeV}^2/c^4$$

$$m_{\text{miss}}^2 = (P_K - P_\mu - P_{e_1} - P_{e_2}) = m_\nu^2$$

- $K^+$  decays in FV:  $(1.97 \pm 0.02_{\text{stat}} \pm 0.02_{\text{syst}} \pm 0.06_{\text{ext}}) \times 10^{12}$
- **0 events observed** in signal region
- Expected background  **$0.26 \pm 0.04$**

Mode / Region	Lower	Signal	Upper
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	$< 0.07$	$< 0.07$	$1412 \pm 11$
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu$	$0.01 \pm 0.01$	$0.16 \pm 0.02$	$867 \pm 1$
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$ (upstream)	$< 0.03$	$0.06 \pm 0.03$	$1.5 \pm 0.3$
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu$ (upstream)	$0.01 \pm 0.01$	$0.01 \pm 0.01$	$0.14 \pm 0.03$
$K^+ \rightarrow \pi_D^0 e^+ \nu$	$0.02 \pm 0.01$	$0.01 \pm 0.01$	$0.02 \pm 0.01$
$K^+ \rightarrow e^+ \nu \mu^+ \mu^-$	$< 0.01$	$< 0.01$	$0.05 \pm 0.02$
Total expected	$0.04 \pm 0.02$	$0.26 \pm 0.04$	$2281 \pm 11$
Data	0	0	2271



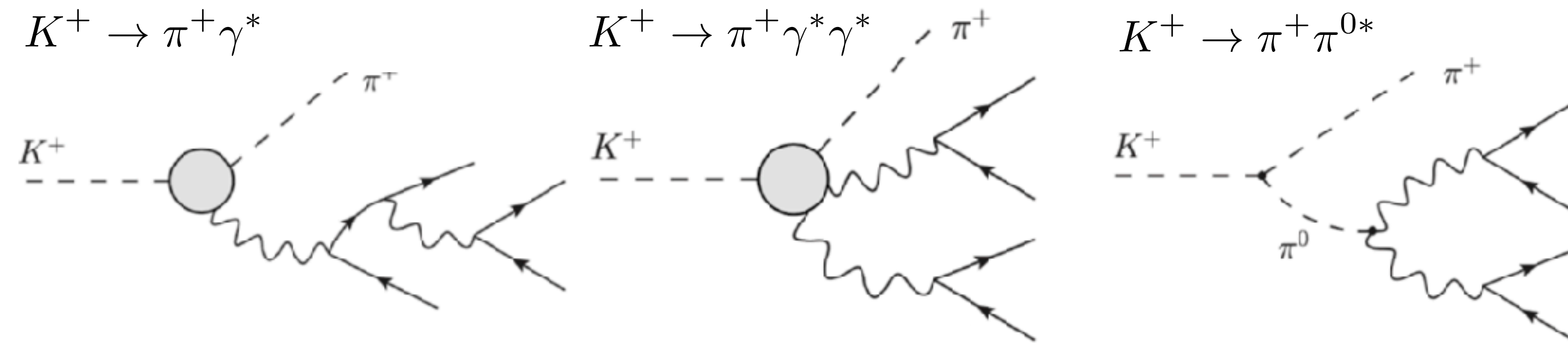
$$\text{BR}(K^+ \rightarrow \mu^- \nu e^+ e^+) < 8.1 \times 10^{-11}$$

@ 90% CL

- Improving by a **factor 250** over previous search
- Achieved sensitivity still not sufficient for exclusion of LF/LN modes

# BSM searches: $K^+ \rightarrow \pi^+ e^+ e^- e^+ e^-$

Ultra rare decay extremely **suppressed in the SM** (outside  $\pi^0$  pole). Topologies at leading QED/ChPT order:



**Never searched before!**

$$BR(K^+ \rightarrow \pi^+ e^+ e^- e^+ e^-)_{SM} = (7.2 \pm 0.7) \times 10^{-11}$$

Main interest in the dark sector context: **pair production of dark state** followed by **prompt  $e^+ e^-$  decay**

- **Short-lived QCD axion:**

$$K^+ \rightarrow \pi^+ a a (a \rightarrow e^+ e^-)$$

$$BR(K^+ \rightarrow e^+ e^- e^+ e^-) > 2 \times 10^{-8} \text{ if } m_a = 17 \text{ MeV}$$

possible explanation of the 17 MeV anomaly\*

- **Dark Scalar (S) and Dark Photon (A')**

$$K^+ \rightarrow \pi^+ S, S \rightarrow A' A' (A' \rightarrow e^+ e^-)$$

possible if  $m_S > 2m_{A'}$  \*\*

Normalization channel for the analysis:

**double Dalitz decay:**

$$K^+ \rightarrow \pi^+ \pi^0 (\pi^0_{DD} \rightarrow e^+ e^- e^+ e^-)$$

$$BR(K_{2\pi DD}) = (6.9 \pm 0.3) \times 10^{-6}$$

\*Phys.Rev.D103(2021)055018 \*\*[Phys.Rev.D105 (2022)015017]

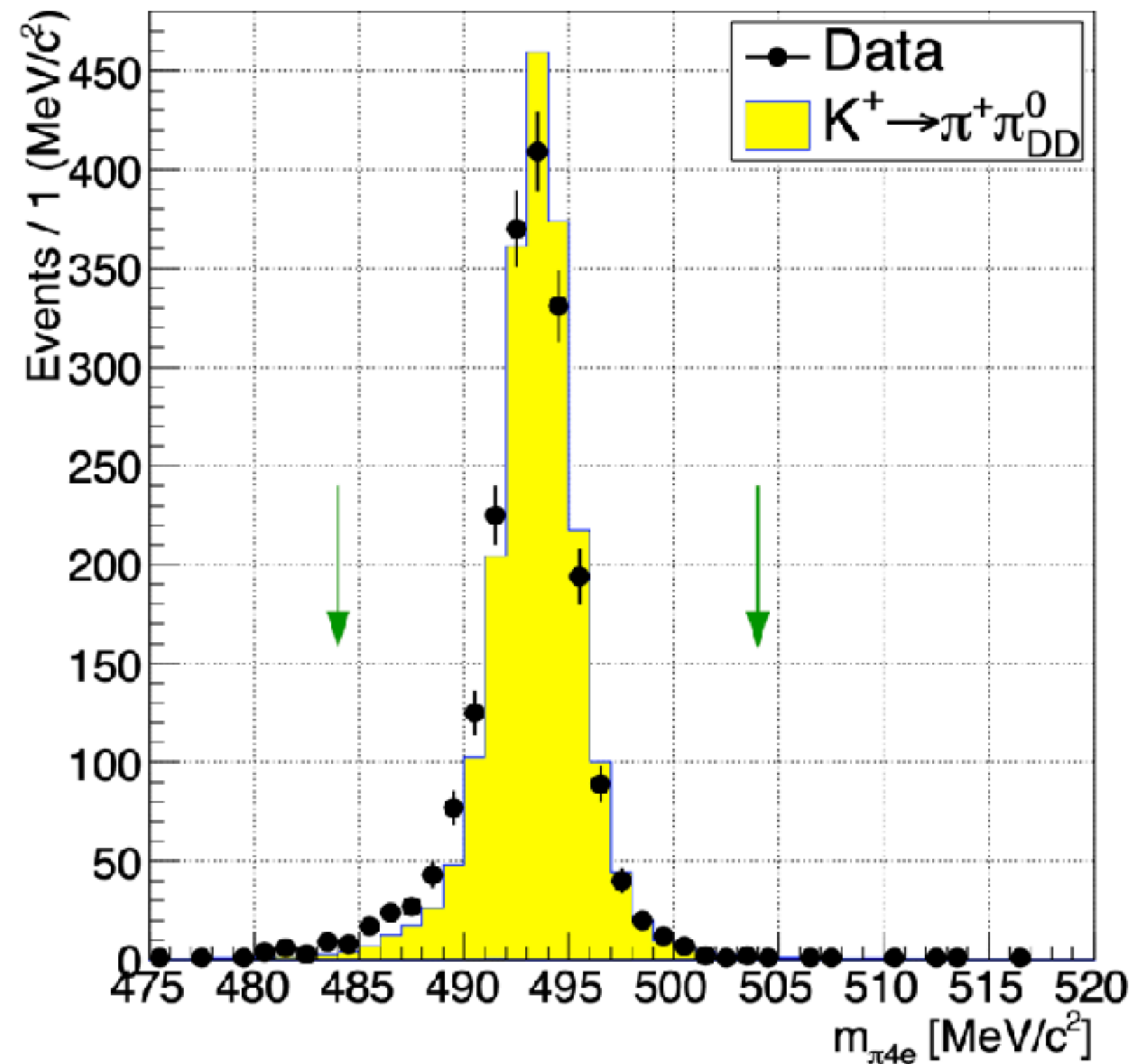
# $K^+ \rightarrow \pi^+ e^+ e^- e^+ e^-$ : analysis

## Normalization selection $K^+ \rightarrow \pi^+ \pi^0_{DD}$

- Same signal selection: reduced systematic effects
- $|m_{\pi^0} - m_{4e}| < 10 \text{ MeV}/c^2$

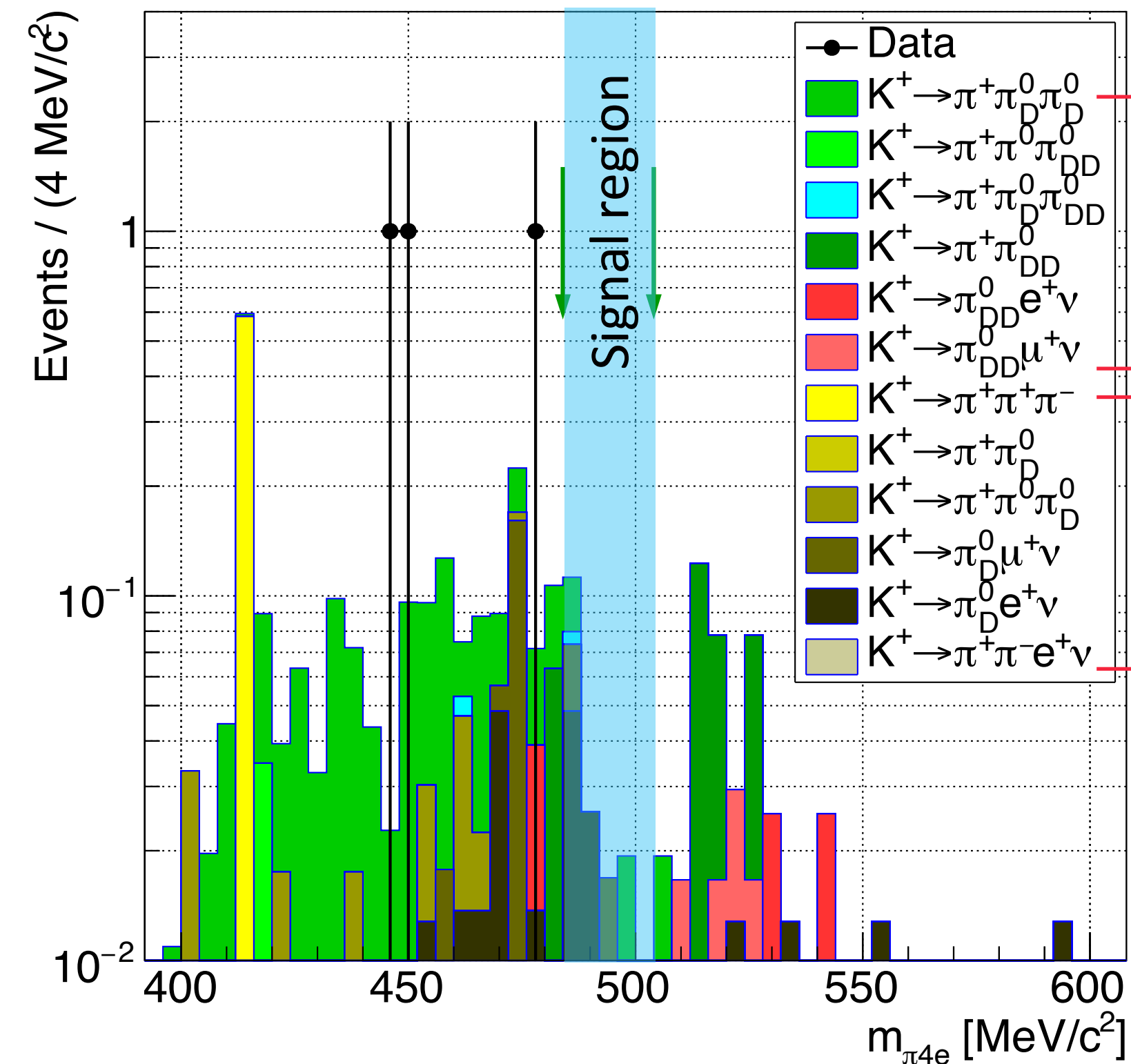
## $K^+ \rightarrow \pi^+ X X$ selection

- Minimization of a discriminant  $\propto |m_{ee1} - m_{ee2}|$



## Signal selection

- 5 track vertex topology using only STRAW information
- Particles ID (mass ID that minimize  $|m_K - m_{\pi 4e}|$ )
- $|P_{\text{vtx}} - P_{\text{beam}}| < 2 \text{ GeV}$
- $|m_{\pi^0} - m_{4e}| > 10 \text{ MeV}/c^2$ ,  $|m_{\pi^0} - m_{\text{miss}}| > 40 \text{ MeV}/c^2$



## Background

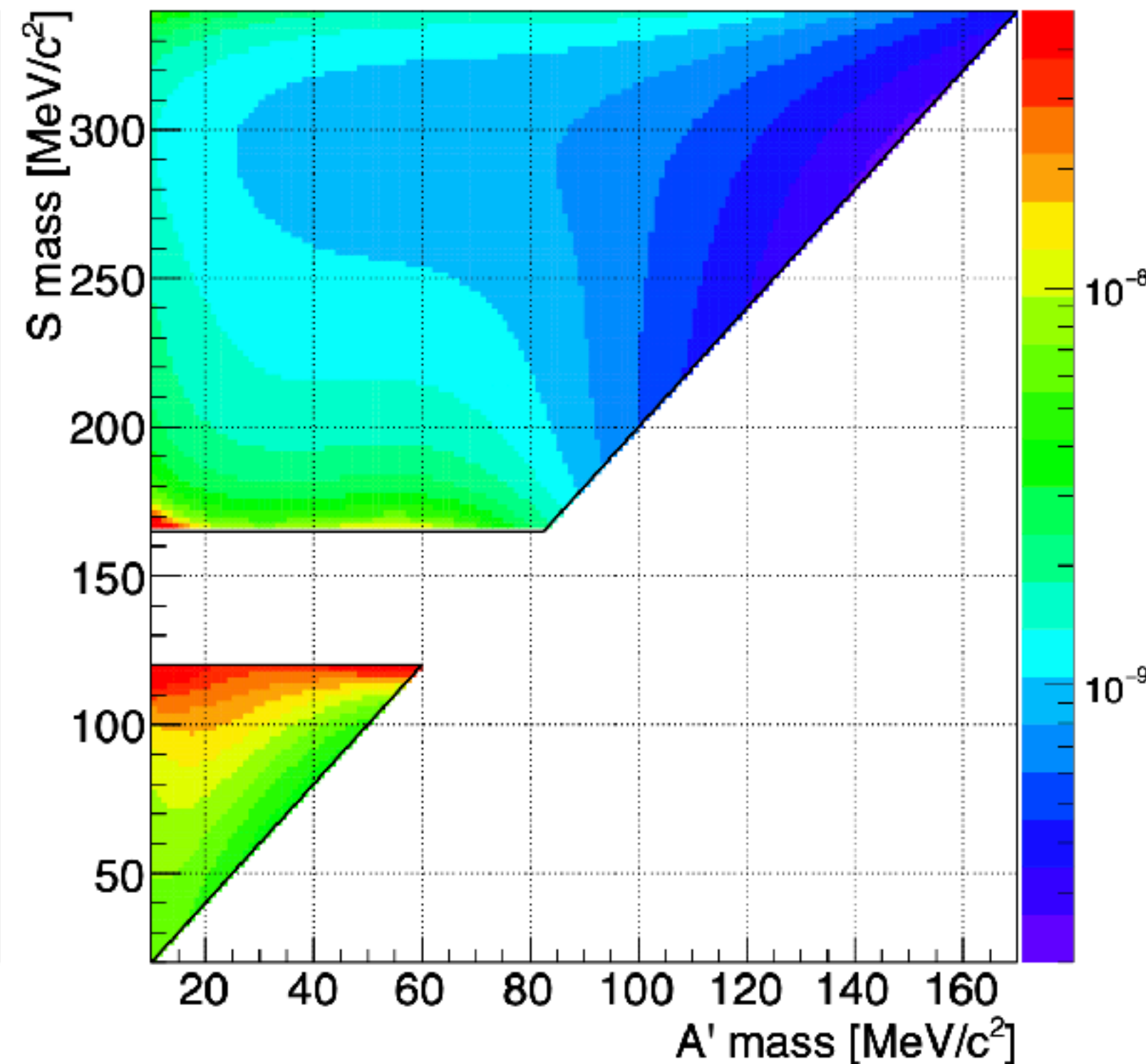
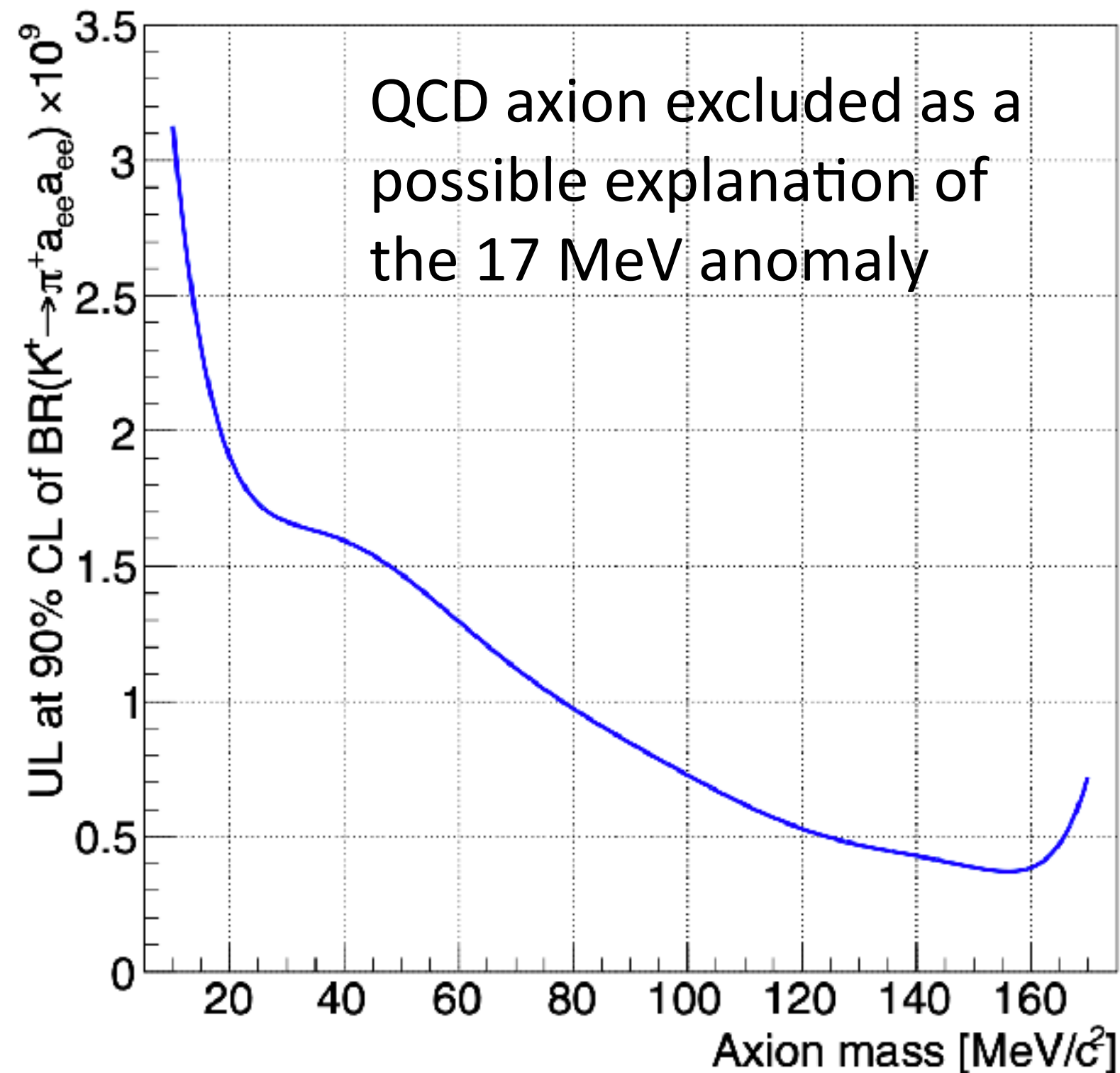
- 5 track-like  $K^+$  decays
- 3-track  $K^+$  decays overlapping with a  $K \rightarrow 3\pi$  decay

Analysis has been performed with **Run 1** data sample

# $K^+ \rightarrow \pi^+ e^+ e^- e^+ e^-$ : results

- $K^+$  decays in FV:  $(8.58 \pm 0.19_{\text{stat}} \pm 0.07_{\text{MC}} \pm 0.41_{\text{ext}}) \times 10^{11}$
- **0 events observed** in signal region
- Expected background  **$0.18 \pm 0.14$**

$$\text{BR}(K^+ \rightarrow \pi^+ e^+ e^- e^+ e^-) < 1.4 \times 10^{-8} \text{ @ 90\% CL}$$



- Consistency of the two reconstructed  $e^+e^-$  mass values
- For each mass hypothesis  $m_\chi$ :  $|m_{ee} - m_\chi| < 0.02 \cdot m_\chi$
- Uniform phase space assumed for  $K^+$  decays
- isotropic decays of dark states for 33 equally spaced  $m_a$  hypothesis (scan: 5 MeV/c<sup>2</sup>)

Upper limit at 90% CL for the branching ratios  $\text{BR}(K_{\pi a a})$  and  $\text{BR}(K_{\pi S})$  decay chains as function of the assumed masses of the dark-sector mediators

# Conclusion

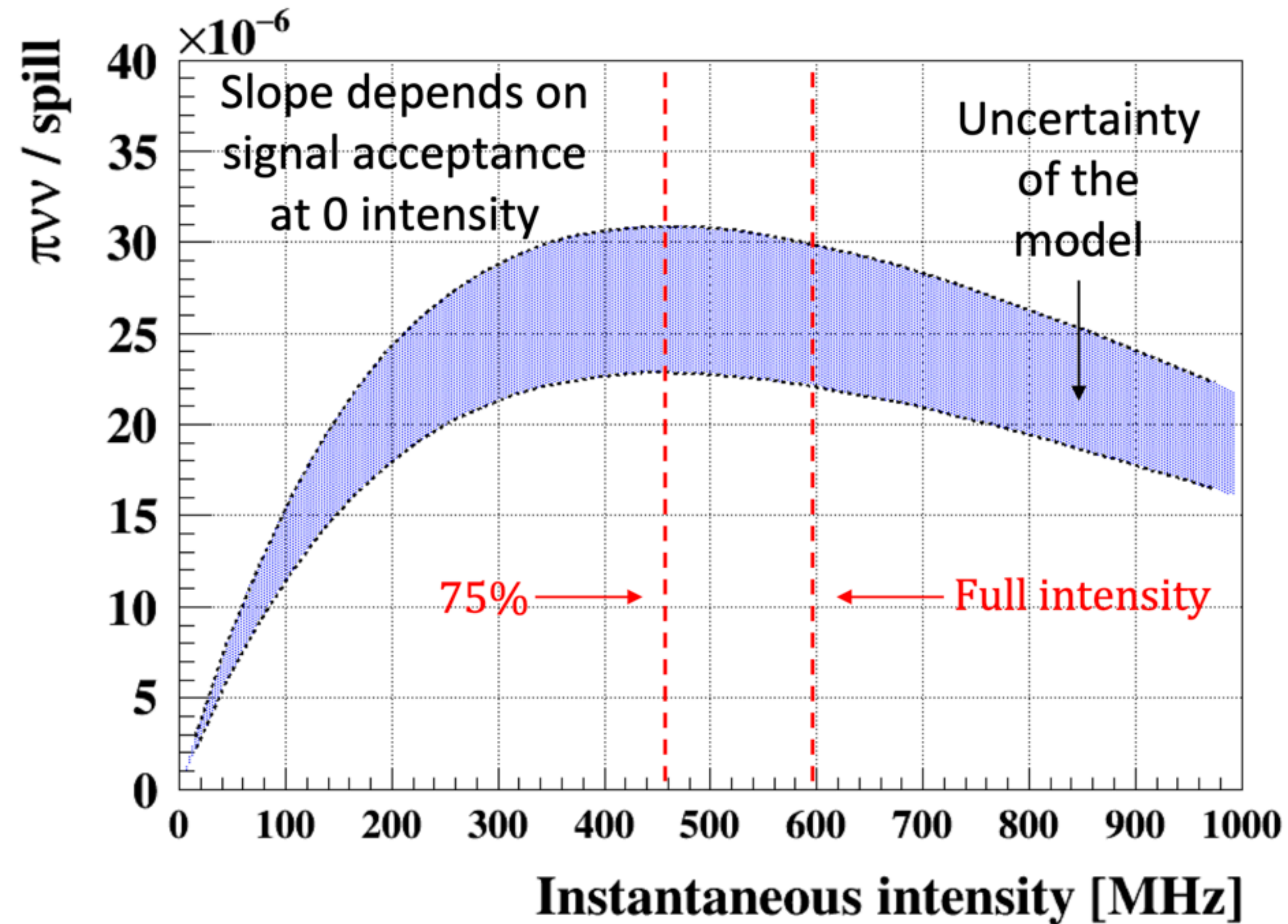
$K^+ \rightarrow \pi^+ \gamma \gamma$	NA62 Run 1	PLB 850 (2024)	138513
$K^+ \rightarrow \pi^+ e^+ e^- e^+ e^-$	NA62 Run 1	PLB 846 (2023)	138193
$K^+ \rightarrow \mu^- \nu e^+ e^+$	NA62 Run 1	PLB 838 (2023)	137679
$K^+ \rightarrow \pi^0 e^+ \nu \gamma$	NA62 Run 1	JHEP 09 (2023)	040
$K^+ \rightarrow \mu^- \nu e^+ e^+$	NA62 Run 1	PLB 838 (2023)	137679
$K^+ \rightarrow \pi^- (\pi^0) e^+ e^+$	NA62 Run 1	PLB 830 (2022)	137172
$K^+ \rightarrow \pi^+ \mu^+ \mu^-$	NA62 Run 1	JHEP 11 (2022)	011
$\pi^0 \rightarrow \mu^- e^+$	NA62 Run 1	PRL 127 (2021)	131802
$K^+ \rightarrow \pi^+ \mu^- e^+$	NA62 Run 1	PRL 127 (2021)	131802
$K^+ \rightarrow \pi^- \mu^+ e^+$	NA62 Run 1	PRL 127 (2021)	131802
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	NA62 Run 1	JHEP 06 (2021)	093
.....			

- Several new physics results obtained by the collaboration
- Multiple analyses are ongoing
- We are working to finalize the first result on  $\text{BR}(K^+ \rightarrow \pi^+ \nu \nu)$  with Run 2 data (2021+2022)
- NA62 will take data till 2025 ... further results will be obtained and new searches developed with the full statistic

Thank you for the attention from NA62 collaboration!

Backup

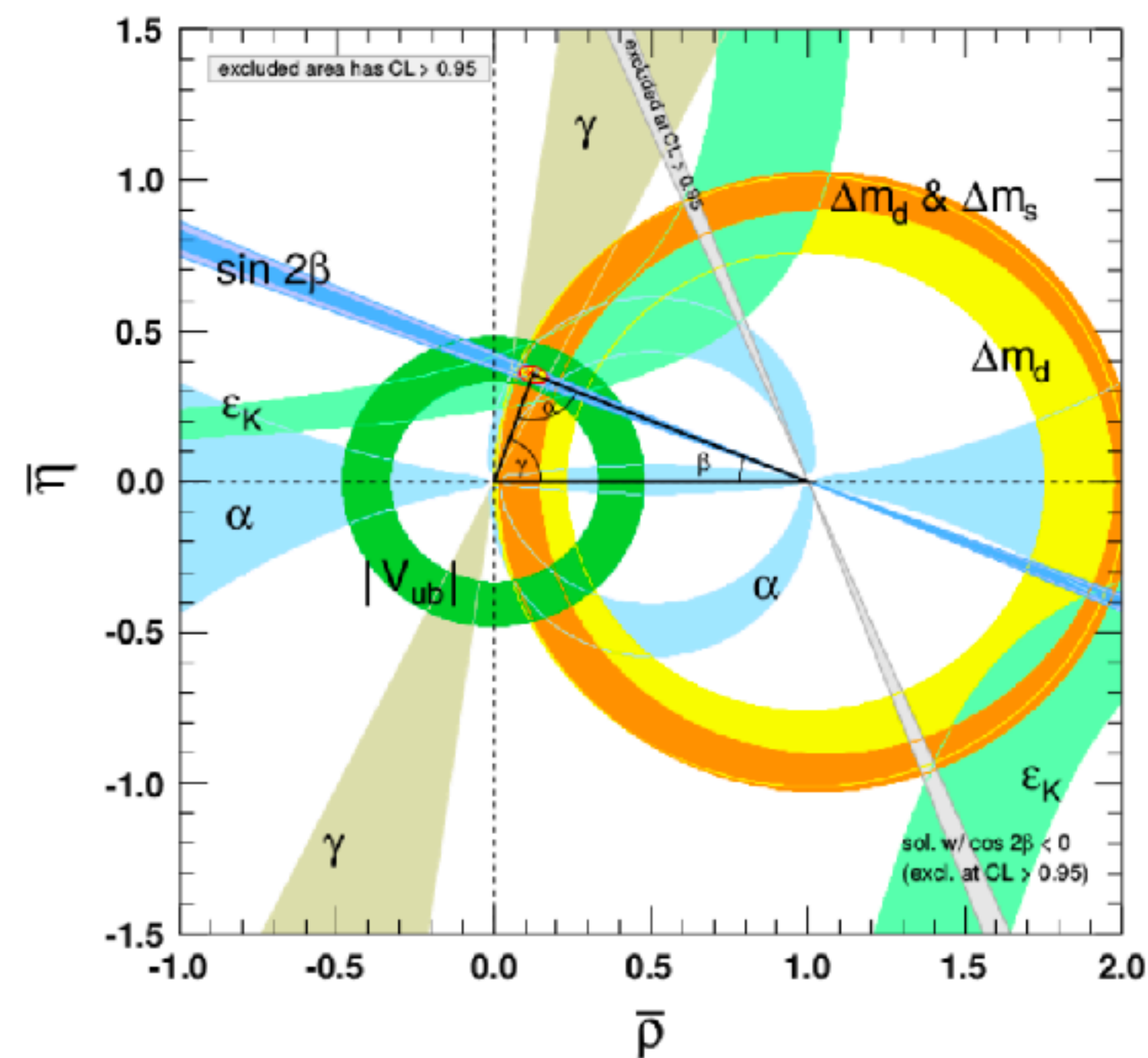
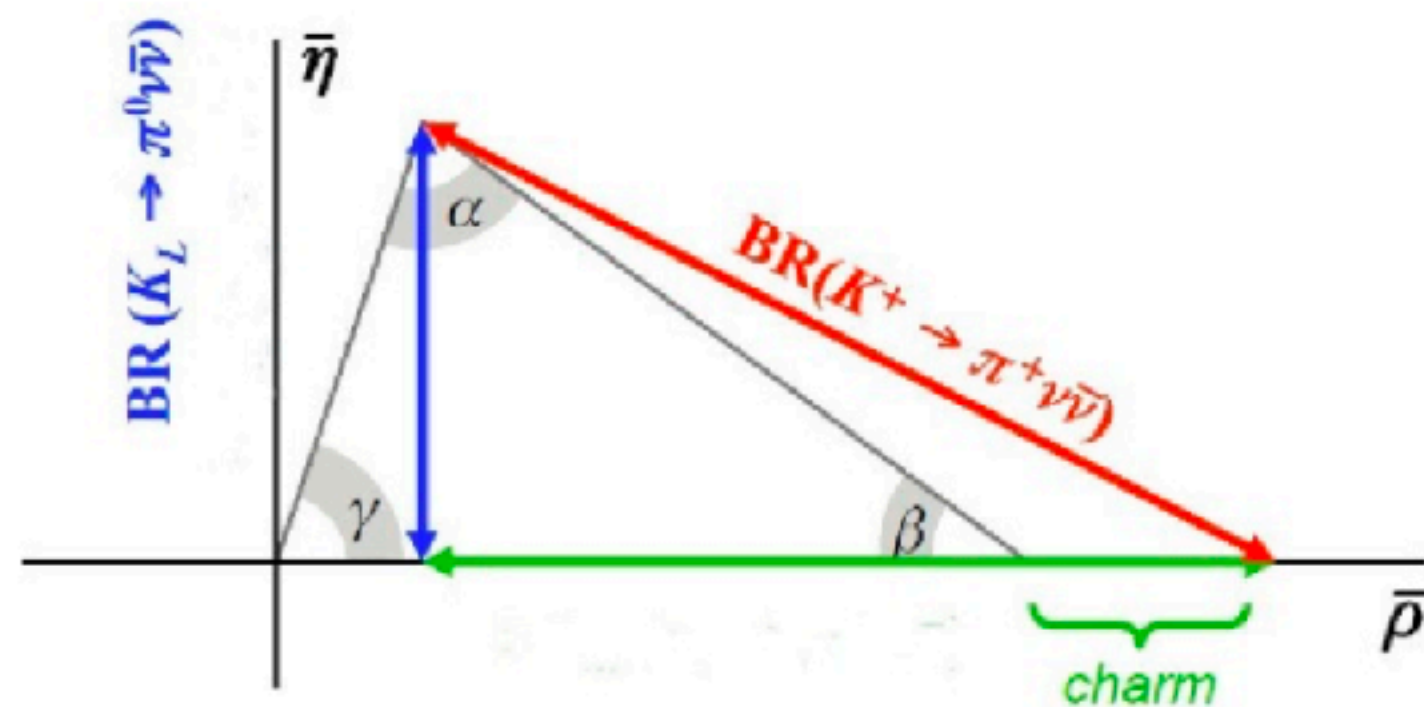
# NA62 beam intensity



- We are working to finalise the first result on RUN2 data (2021+2022).
- This data was taken pushing to the (hardware) limit of intensity for NA62.
- We studied these limits and understood how the yield of signal events evolves with intensity.
- This allowed us to determine an optimum operating condition, which was adopted starting from mid 2023



# BR( $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ ) and the unitary triangle



Standard Model calculation [Buras et al., JHEP 11 (2015) 033]

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_+ (1 + \Delta_{EM}) \cdot \left[ \left( \frac{\Im(\lambda_t)}{\lambda^5} X(x_t) \right)^2 + \left( \frac{\Re(\lambda_c)}{\lambda} P_c(X) + \frac{\Re(\lambda_t)}{\lambda^5} X(x_t) \right)^2 \right]$$

$$\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_L \cdot \left( \frac{\Im(\lambda_t)}{\lambda^5} X(x_t) \right)^2$$