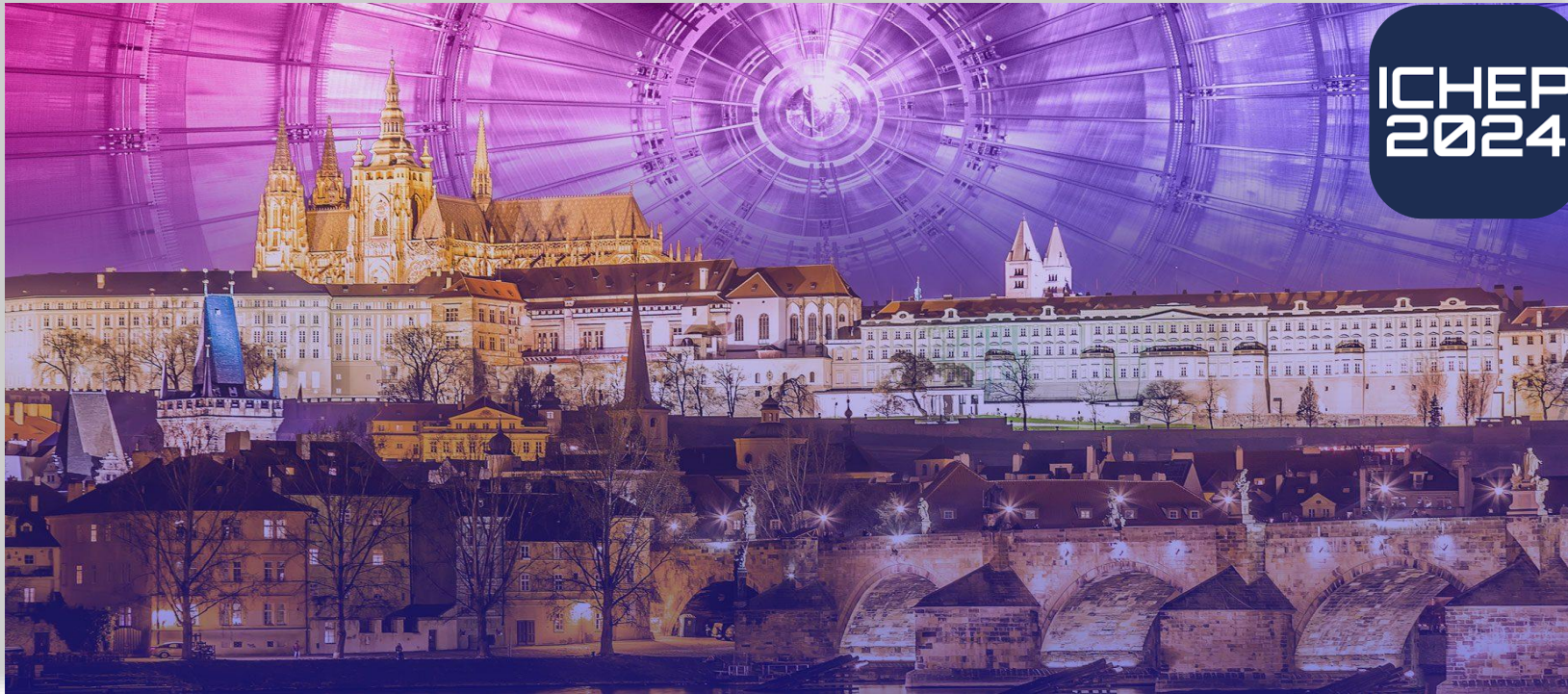


# The ALICE 3 Particle IDentification system

---

Giulia Gioachin  
On behalf of ALICE Collaboration



18 - 24 July 2024 - Prague

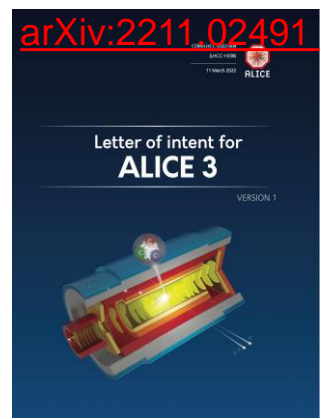
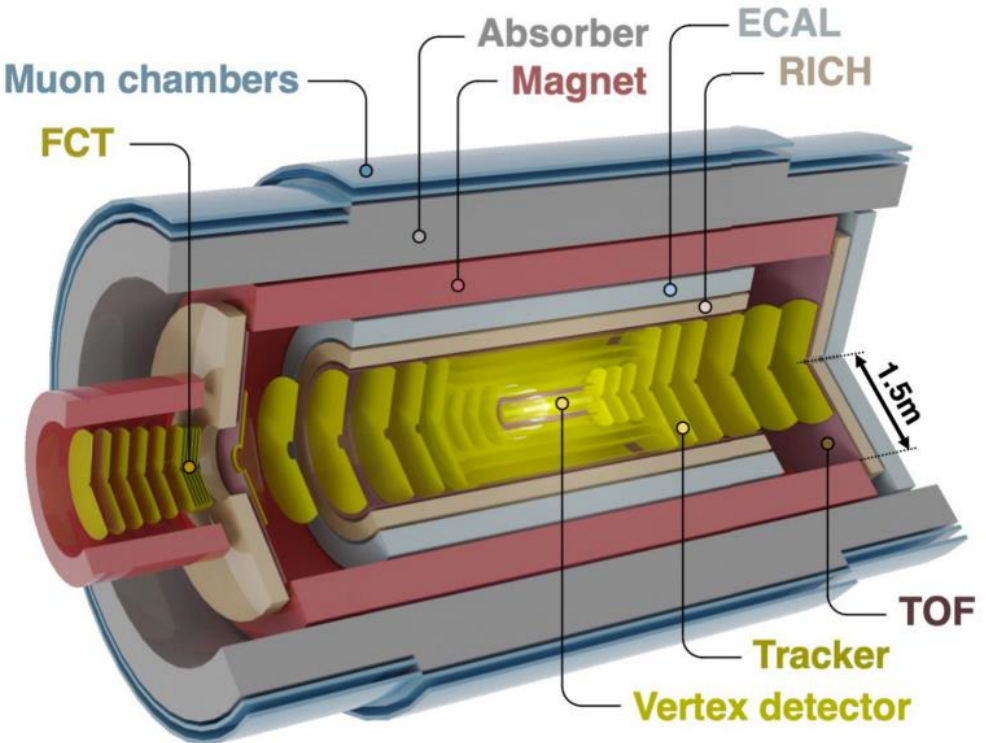
# The ALICE 3 Upgrade



ALICE 3 Upgrade

a next-generation heavy-ion experiment at the LHC

→ innovative detector concept

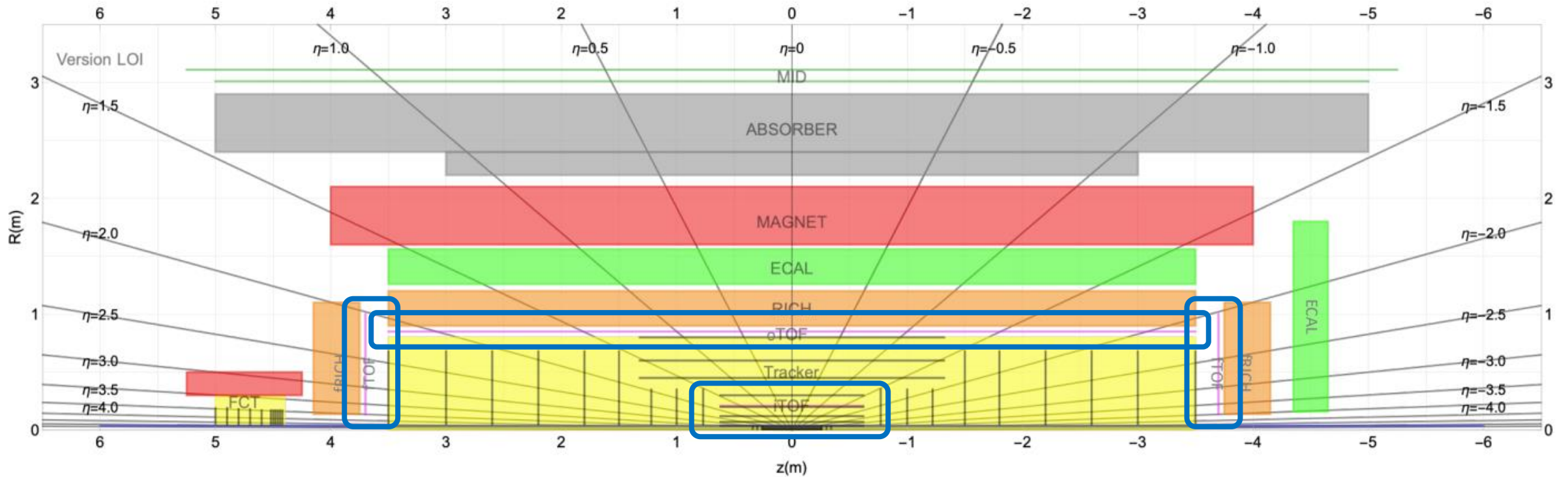


- ❑ Compact and lightweight all-silicon tracker
- ❑ Retractable vertex detector
- ❑ **Extensive particle identification**
- ❑ Large acceptance
- ❑ Superconducting magnet system
- ❑ Continuous read-out and online processing

See I. Altsybeev Talk

ALICE 3 main goal: access the dynamics of the strongly interacting matter produced in heavy-ion collisions

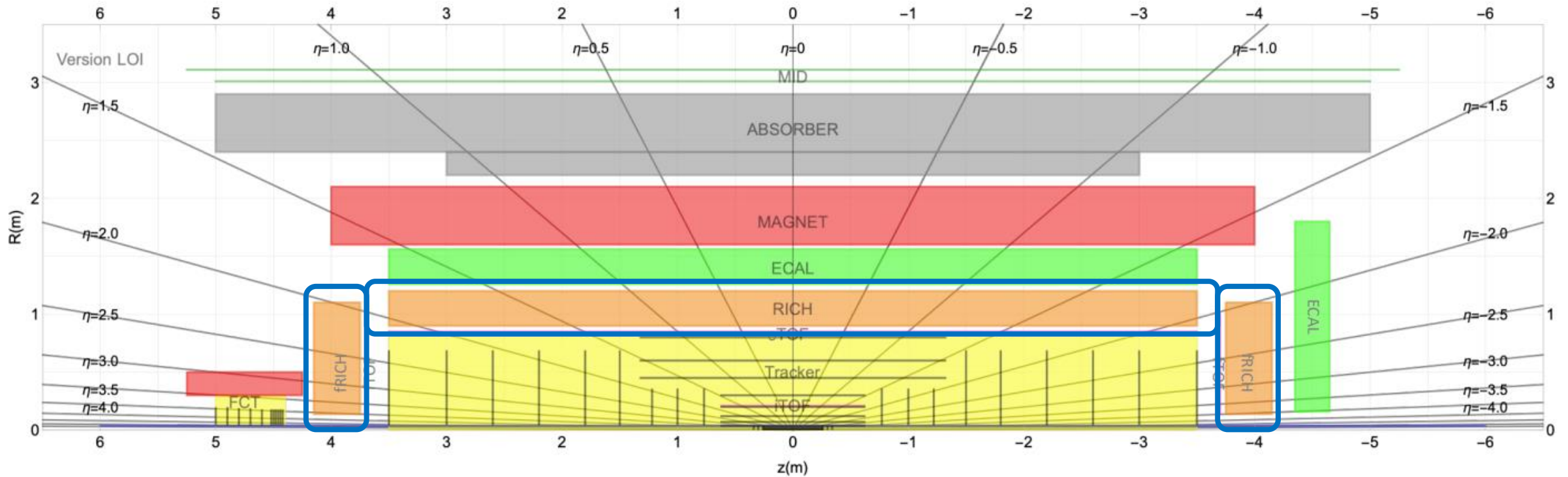
# The ALICE 3 PID System



## ALICE 3 PID system:

**Time-Of-Flight:** InnerTOF, OuterTOF, Forward TOF disks

# The ALICE 3 PID System

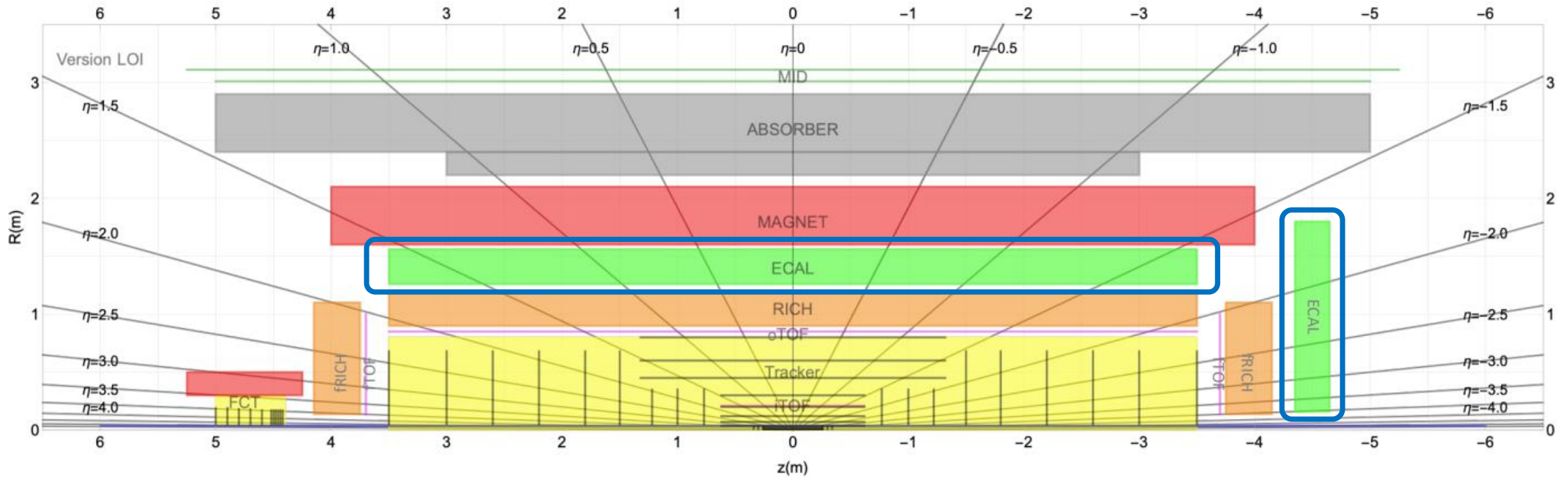


## ALICE 3 PID system:

**Time-Of-Flight:** InnerTOF, OuterTOF, Forward TOF disks

**Ring-Imaging Cherenkov:** Barrel RICH, Forward RICH

# The ALICE 3 PID System



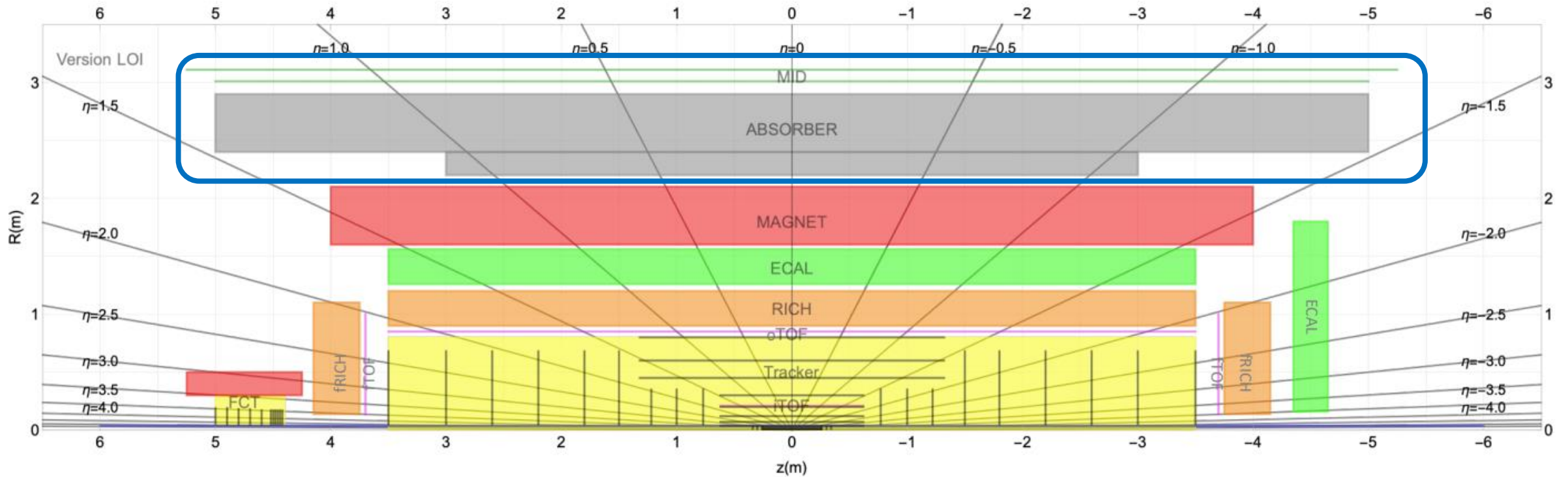
## ALICE 3 PID system:

**Time-Of-Flight:** InnerTOF, OuterTOF, Forward TOF disks  
**Ring-Imaging Cherenkov:** Barrel RICH, Forward RICH  
**EM Calorimeter:** Barrel + Forward ECAL

# The ALICE 3 PID System



ALICE



## ALICE 3 PID system:

**Time-Of-Flight:** InnerTOF, OuterTOF, Forward TOF disks

**Ring-Imaging Cherenkov:** Barrel RICH, Forward RICH

**EM Calorimeter:** Barrel + Forward ECAL

**Muon Identifier Detector:** Barrel Muon Identification Detector

# The ALICE 3 PID System



ALICE

Component	Observables	$ \eta  < 4$	Detectors
<b>Hadron ID</b>	Multi-charm baryons	$\pi/K/p$ separation up to a few GeV/c	<b>Time Of Flight:</b> $\sigma_{\text{tof}} \approx 20$ ps <b>RICH:</b> aerogel, $\sigma_{\theta} \approx 1.5$ mrad
<b>Electron ID</b>	Dielectrons, Quarkonia, $\chi_{c1}(3872)$	pion rejection by 1000x up to $\sim 2 - 3$ GeV/c	<b>Time Of Flight:</b> $\sigma_{\text{tof}} \approx 20$ ps <b>RICH:</b> aerogel, $\sigma_{\theta} \approx 1.5$ mrad Possibly preshower detector
<b>Muon ID</b>	Quarkonia, $\chi_{c1}(3872)$	reconstruction of $J/\Psi$ at rest, i.e. muons from 1.5 GeV/c	steel absorber: $L \approx 70$ cm <b>Muon detectors</b>
<b>Electromagnetic calorimetry</b>	Photons, jets $\chi_c$	Large acceptance High-resolution segment	<b>Pb-Sci calorimeter</b> <b>PbWO<sub>4</sub> calorimeter</b>

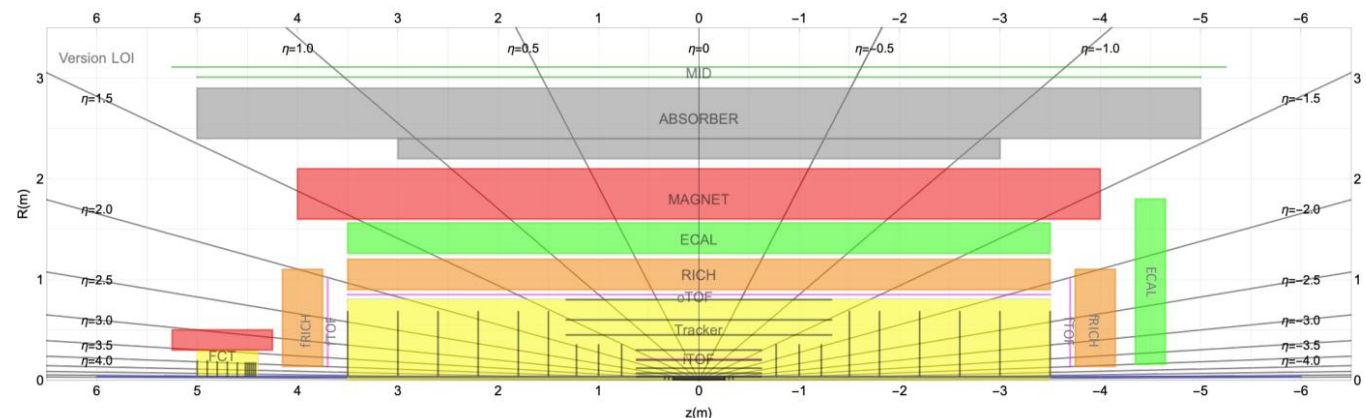
## ALICE 3 PID system:

Time-Of-Flight: iTOF, oTOF, fTOF

Ring-Imaging Cherenkov: bRICH, fRICH

EM Calorimeter: Barrel + forward ECAL

Muon Identifier Detector: Barrel MID



# The ALICE 3 PID System



ALICE

Component	Observables	$ \eta  < 4$	Detectors
Hadron ID	Multi-charm baryons	$\pi/K/p$ separation up to a few GeV/c	<b>Time Of Flight:</b> $\sigma_{\text{tof}} \approx 20$ ps <b>RICH:</b> aerogel, $\sigma_{\theta} \approx 1.5$ mrad
Electron ID	Dielectrons, Quarkonia, $\chi_{c1}(3872)$	pion rejection by 1000x up to $\sim 2 - 3$ GeV/c	<b>Time Of Flight:</b> $\sigma_{\text{tof}} \approx 20$ ps <b>RICH:</b> aerogel, $\sigma_{\theta} \approx 1.5$ mrad Possibly preshower detector
Muon ID	Quarkonia, $\chi_{c1}(3872)$	reconstruction of J/ $\Psi$ at rest, i.e. muons from 1.5 GeV/c	steel absorber: $L \approx 70$ cm <b>Muon detectors</b>
Electromagnetic calorimetry	Photons, jets $\chi_c$	Large acceptance High-resolution segment	<b>Pb-Sci calorimeter</b> <b>PbWO<sub>4</sub> calorimeter</b>

## ALICE 3 PID system:

**Time-Of-Flight:** iTOF, oTOF, fTOF

**Ring-Imaging Cherenkov:** bRICH, fRICH

**EM Calorimeter:** Barrel + forward

**Muon Identifier Detector:** Barrel MID

Exploit **Time-Of-Flight** and **Cherenkov Imaging techniques**  $\rightarrow$  essential for Particle IDentification



# ALICE 3 Time Of Flight Detector

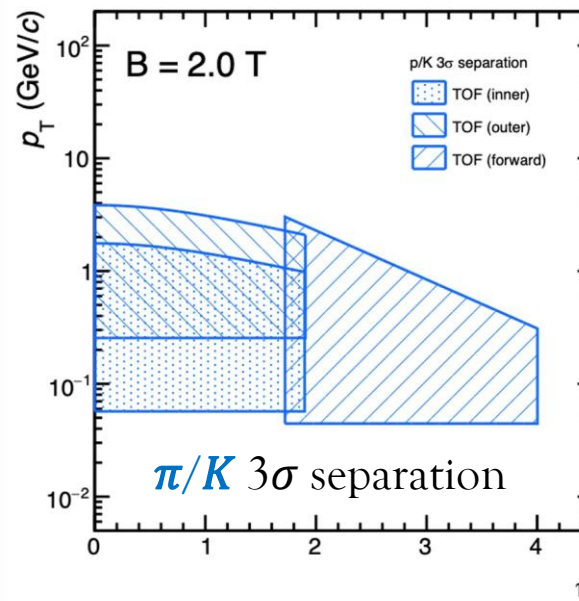
The **Time-Of-Flight** system provides particle identification over the full acceptance ( $|\eta| < 4$ )

## 2 barrel + 1 forward TOF layers:

- Two layers  $\rightarrow$  **InnerTOF** and **OuterTOF** located at 20 cm and 85 cm from the beam pipe
  - Barrel TOF ( $|\eta| < 2$ )
- Forward TOF** located at 405 cm on either side of the interaction point
  - Forward TOF ( $2 < |\eta| < 4$  and  $-4 < |\eta| < -2$ )

Total silicon surface  
 $\sim 45 \text{ m}^2$

- $e/\pi$  separation up to  $\approx 500 \text{ MeV}/c$
- $\pi/K$  separation up to  $\approx 2 \text{ GeV}/c$
- $K/p$  separation up to  $\approx 4 \text{ GeV}/c$
- separation power  $\propto L/\sigma_{\text{TOF}}$ ,  $\rightarrow \sigma_{\text{TOF}} \approx 20 \text{ ps}$



## Detector Specifications

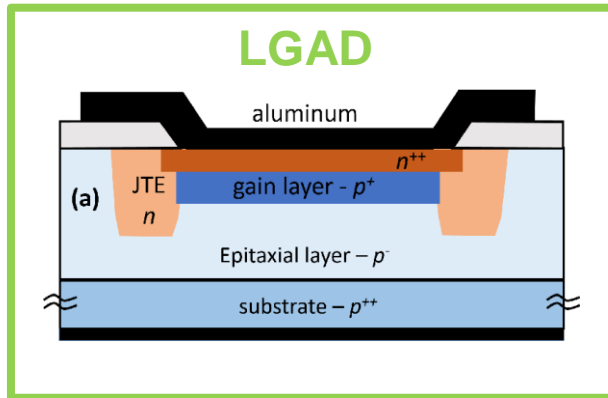
- Time resolution  $\sigma_{\text{TOF}} \approx 20 \text{ ps}$  r.m.s.
- Low material budget of 1-3%  $X_0$
- Power density of  $50 \text{ mW}/\text{cm}^2$

# R&D for Time Of Flight Detector

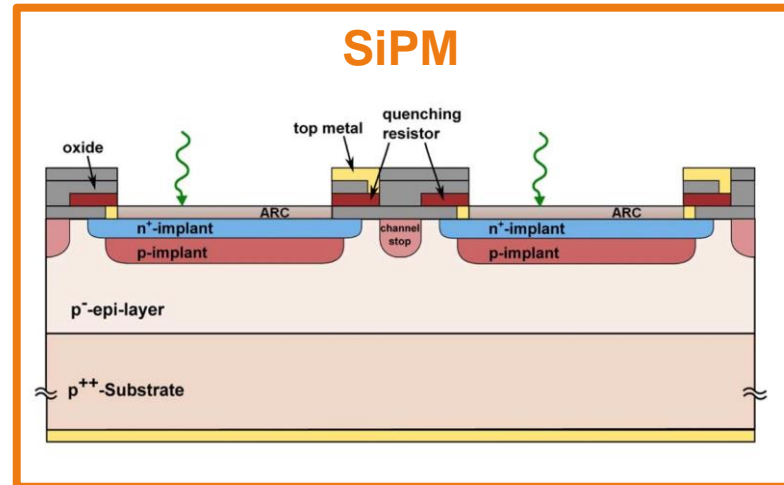


ALICE

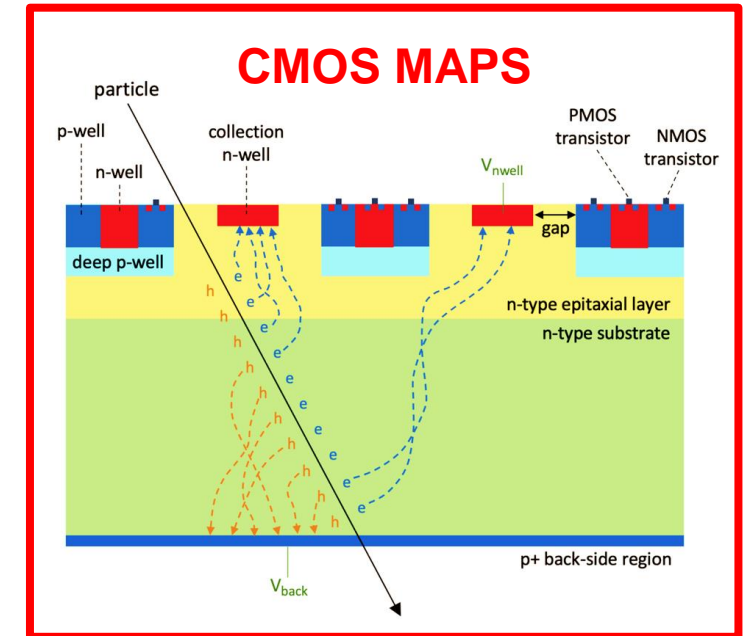
## 3 candidate sensor technologies for ALICE 3 TOF



- Timing resolution of  $\sim 30$  ps demonstrated with  $50 \mu\text{m}$  up to  $(1-2)10^{15}$  1-MeV-neq/cm<sup>2</sup>
- Thinner LGADs produced by different manufacturers



- Timing resolution of 40 ps for single photons detection so far
- Very promising results on MIP detection
- Dark count issue  $\rightarrow$  no radiation hardness



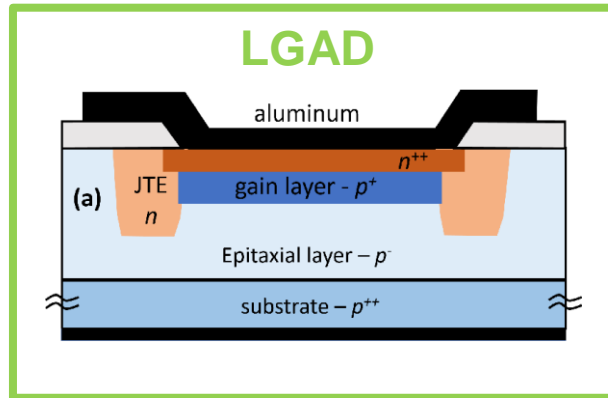
- Low material budget
- Cheap and easy assembly
- Low power
- Investigation on innovative design to proof timing performance

# R&D for Time Of Flight Detector

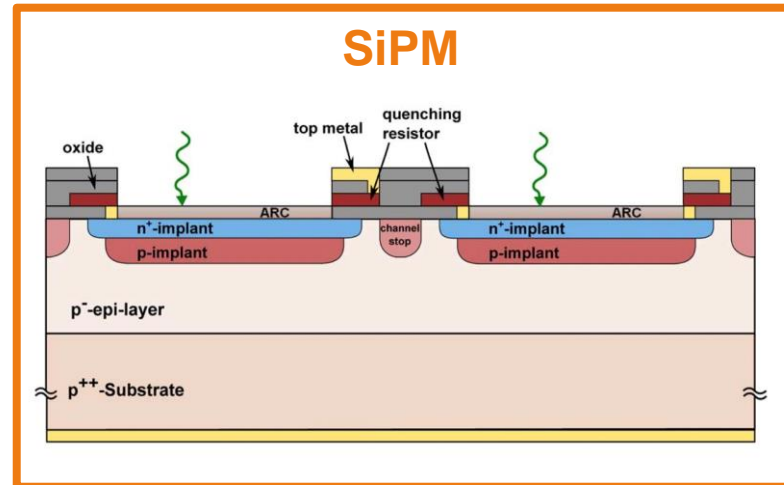


ALICE

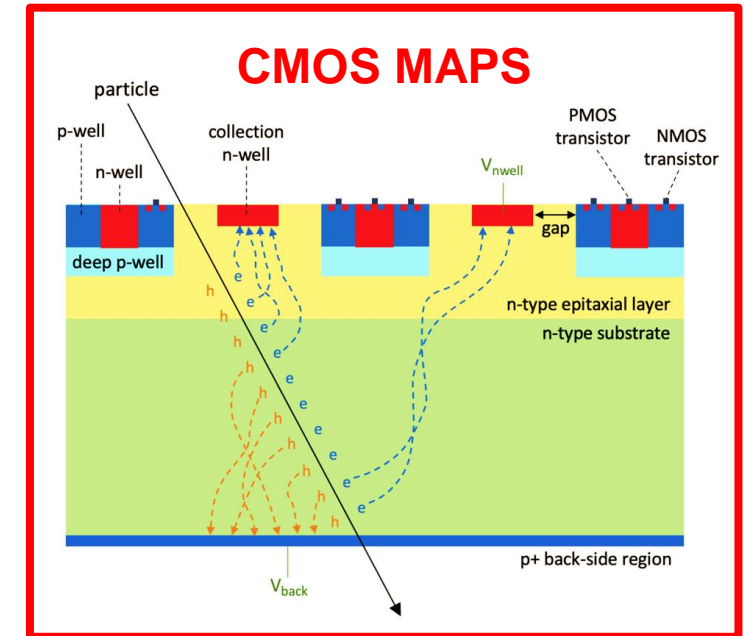
## 3 candidate sensor technologies for ALICE 3 TOF



- Timing resolution of  $\sim 30$  ps demonstrated with  $50 \mu\text{m}$  up to  $(1-2)10^{15}$  1-MeV-neq/cm<sup>2</sup>
- Thinner LGADs produced by different manufacturers



- Timing resolution of 40 ps for single photons detection so far
- Very promising results on MIP detection
- Dark count issue  $\rightarrow$  no radiation hardness



- Low material budget

**R&D for the addition of a gain layer**  
 $\rightarrow$  **extensive activities of sensor simulation and design**

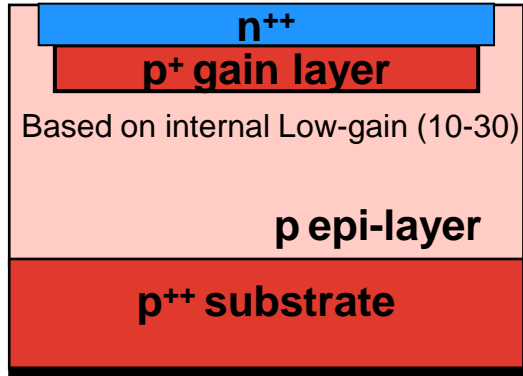
to proof timing performance

# R&D for Time Of Flight Detector



ALICE

## LGAD

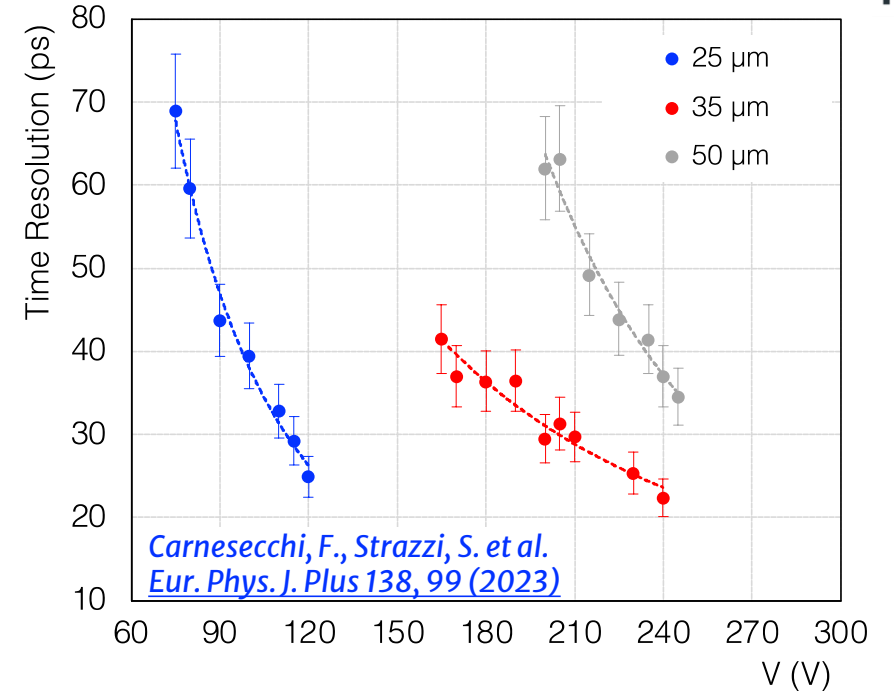
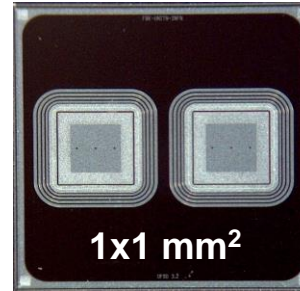


Electric field

**Gain region**  
Very high electric field

**Drift region**  
Low and uniform electric field

## LGAD



Standard LGAD (50 μm) in line with the expectations

25 μm

25 ps : Slightly worse time resolution than what expected

35 μm

22 ps : In agreement with MC simulations

**Improved time resolution with thinner LGAD detectors**

$$\sigma_t^2 = \sigma_{\text{Time Walk}}^2 + \sigma_{\text{Landau Noise}}^2 + \sigma_{\text{Distortion}}^2 + \sigma_{\text{Jitter}}^2 + \sigma_{\text{TDC}}^2$$

Fundamental limit →  $\sigma_{\text{Time Walk}}^2$   
 Non saturated velocity and non-uniform weighting field →  $\sigma_{\text{Landau Noise}}^2$   
 Can be made negligible →  $\sigma_{\text{TDC}}^2$

Can be corrected (e.g. with Constant Fraction Discriminator, CFD) →  $\sigma_{\text{Time Walk}}^2$   
 $\sigma_{\text{Jitter}} \propto \frac{\sigma_V}{\frac{dV}{dt}}$   
 Low input capacitance, high preamp. transconductance, Large signal (gain), Short signal rise time →  $\sigma_{\text{Jitter}}^2$

H. F.-W. Sadrozinski et al 2018 Rep. Prog. Phys. 81 026101

# R&D for Time Of Flight Detector



ALICE

ARCADIA

## Monolithic CMOS Sensor

- Front-end electronics in the same silicon substrate  
→ lower material budget + cheaper technology
- Fully depleted sensor → fast charge collection by drift
- Large electrode → uniform  $E$  field

↳ **Drawback:** the present time resolution is too low ( $\sim$ ns)  
due to limited SNR (no gain)

↳ **Solution:** LGAD - MAPS

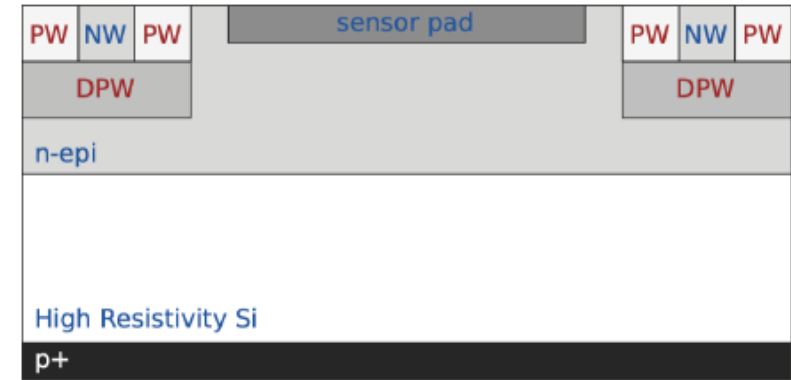
Highly doped p-type region (**gain layer**)

Avalanche process

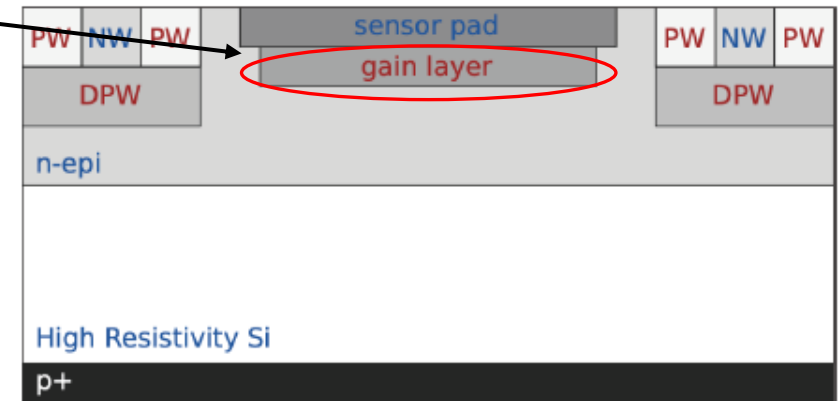
Larger SNR → improvement of the time resolution

↳ First prototype with **integrated electronics** and **gain layer**  
→ produced by LFoundry 110 nm

### standard CMOS sensor



### CMOS-LGAD

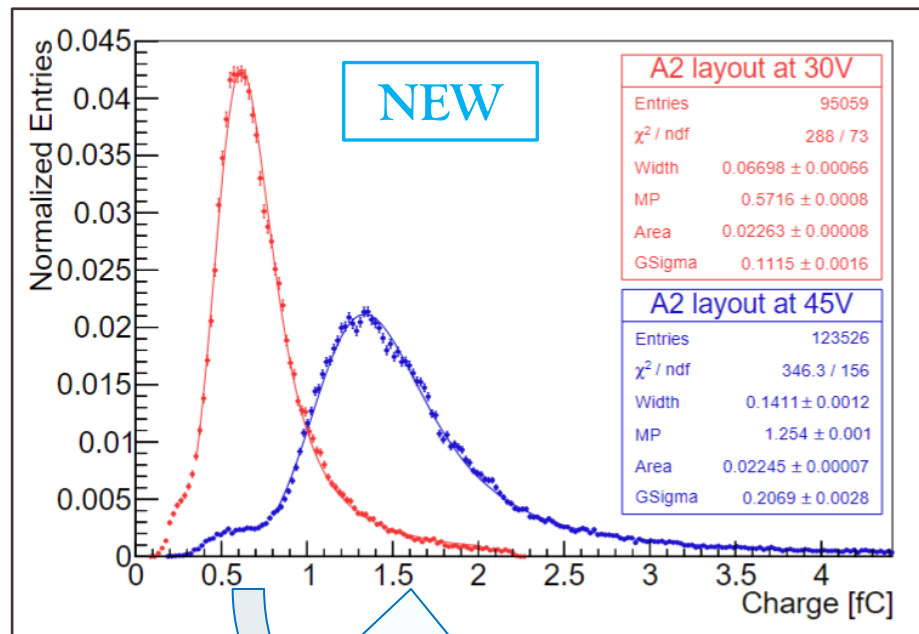


# R&D for Time Of Flight Detector

## Monolithic CMOS Sensor

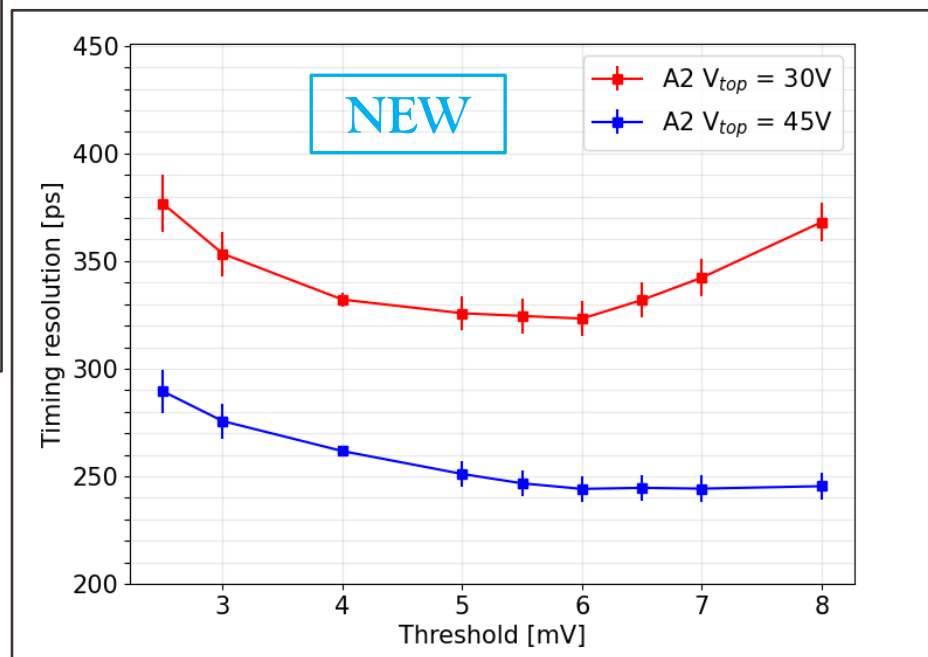
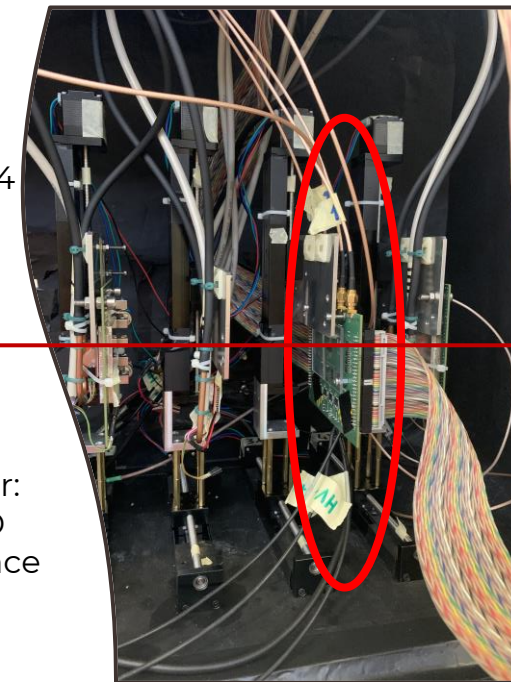
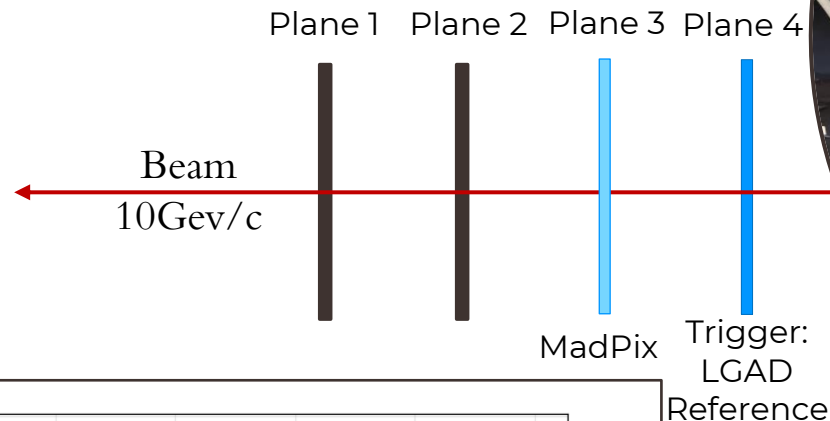
In October 2023 *first test beam* with *MadPix at PS*

Collected Charge Distribution



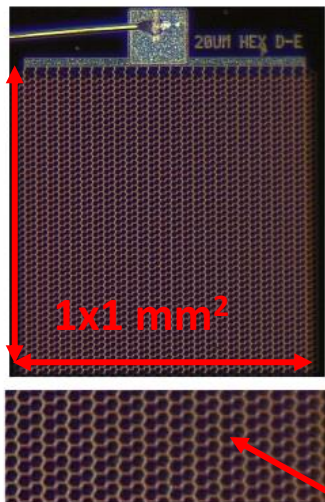
Gain ~ 2

[Follo U., Gioachin G. et al. arXiv:2406.19906](https://arxiv.org/abs/2406.19906)



Measured gain lower than expected (10-20)  
 New monolithic devices with higher gain to be tested this year

# R&D for Time Of Flight Detector



Active area	1x1 mm <sup>2</sup>
Pixel pitch	20µm
#SPADs	2444
Fill Factor	72%
V breakdown	33.0±0.1 V
Dark Count Rate (before TB)	~ 2÷6 x 10 <sup>4</sup> Hz/mm <sup>2</sup>

SPAD i.e. pixel

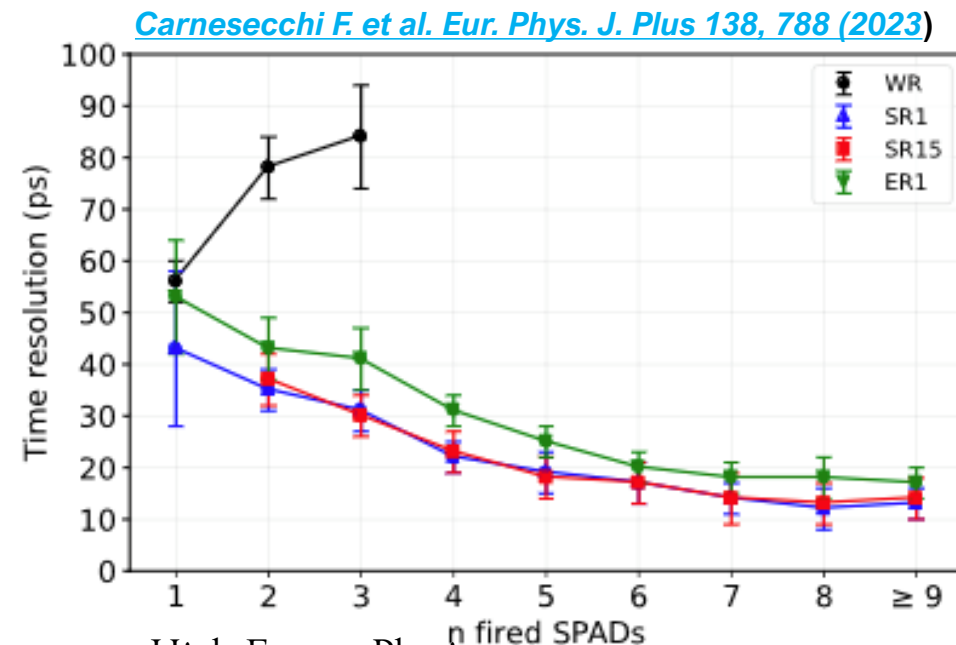
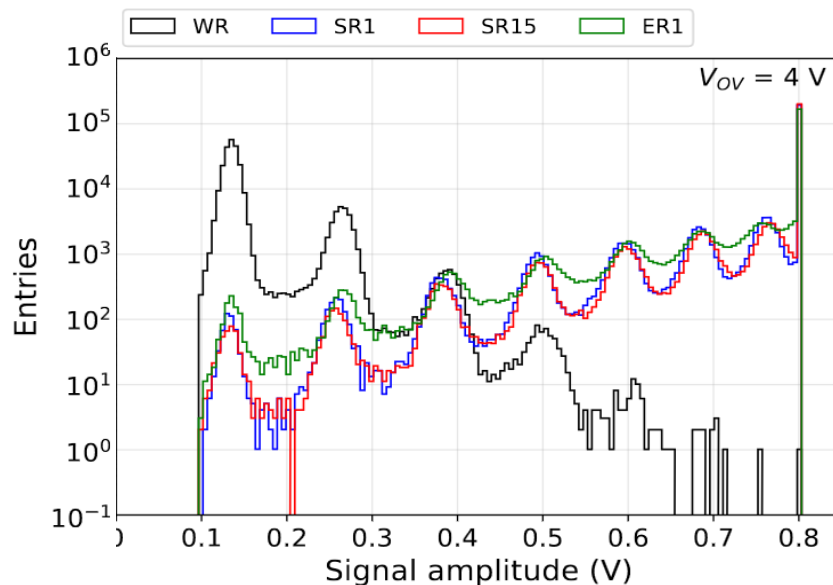
Microscope photograph of SiPM with 20µm exagonal SPADs

## SiPM

### FBK NUV-HD-RH SiPM prototypes with different protection layers

- Silicone Resin 1 mm (SR1) (n=1.5)
- Silicone Resin 1.5 mm (SR15)
- Epoxy Resin 1 mm (ER1) (n=1.53)
- Without resin (WR)

Time resolution SiPMs with protection resin improves as number of fired SPADs increases



# R&D for Time Of Flight Detector

## SiPM



Active area	1x1 mm <sup>2</sup>
Pixel pitch	20um
#SPADs	2444
Fill Factor	72%
V breakdown	33.0±0.1 V
Dark Count Rate (before TB)	~ 2÷6 x 10 <sup>4</sup> Hz/mm <sup>2</sup>

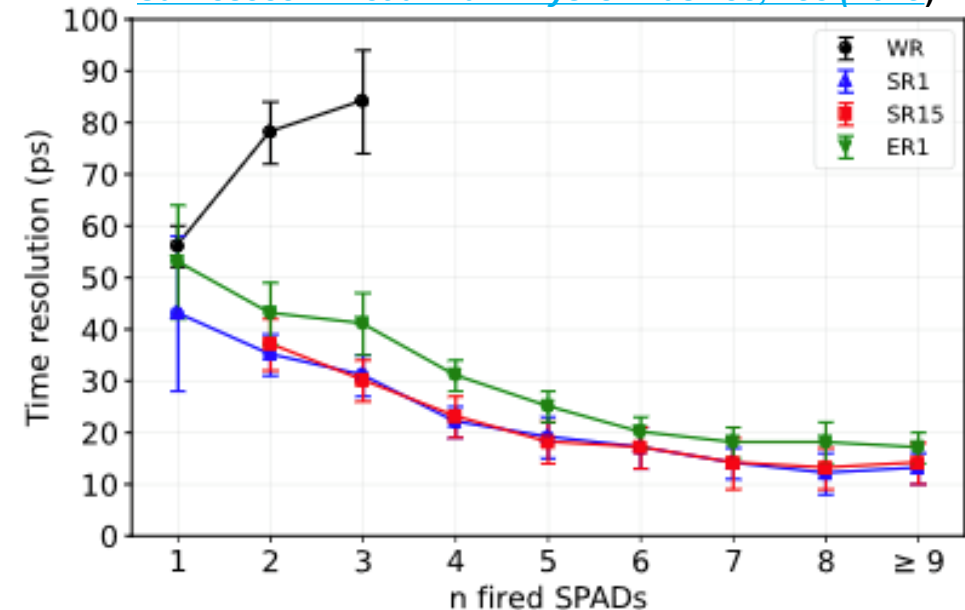
SPAD i.e. pixel

### FBK NUV-HD-RH SiPM prototypes with different protection layers

- Silicone Resin 1 mm (**SR1**) (n=1.5)
- Silicone Resin 1.5 mm (**SR15**)
- Epoxy Resin 1 mm (**ER1**) (n=1.53)
- Without resin (**WR**)

Time resolution SiPMs with protection resin improves as number of fired SPADs increases

*Carneseccchi F. et al. Eur. Phys. J. Plus 138, 788 (2023)*

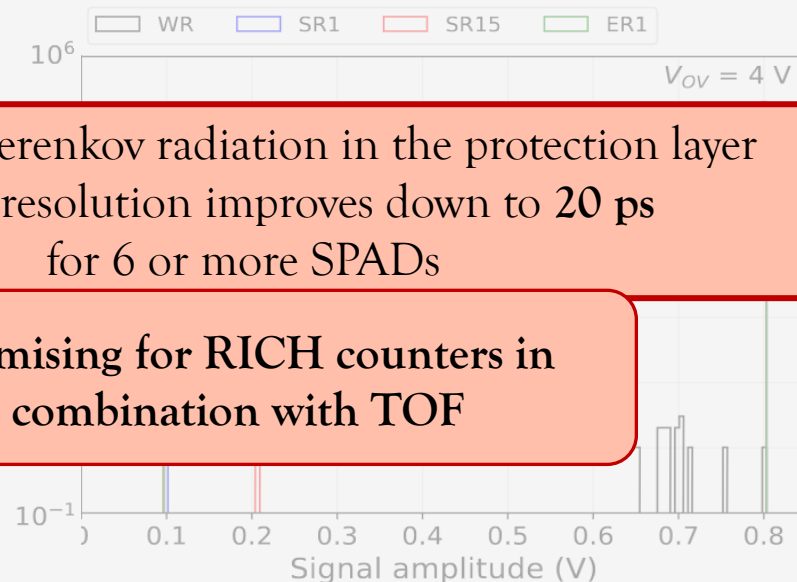


Microscope photograph of SiPM with 20um

exa

Exploiting Cherenkov radiation in the protection layer the time resolution improves down to 20 ps for 6 or more SPADs

Promising for RICH counters in combination with TOF





# ALICE 3 RICH Detector

## Requirements:

Extend PID reach of outer TOF to higher  $p_T$

- $e/\pi$  up to  $\approx 2$  GeV/c
- $\pi/K$  up to  $\approx 10$  GeV/c
- $K/p$  up to  $\approx 16$  GeV/c

**Aerogel radiator** to ensure continuous coverage from TOF

→ refractive index  $n = 1.03$  (barrel)

→ refractive index  $n = 1.006$  (forward)

+ Requiring angular resolution  $\sigma_{\theta_{ch}} \approx 1.5$  mrad

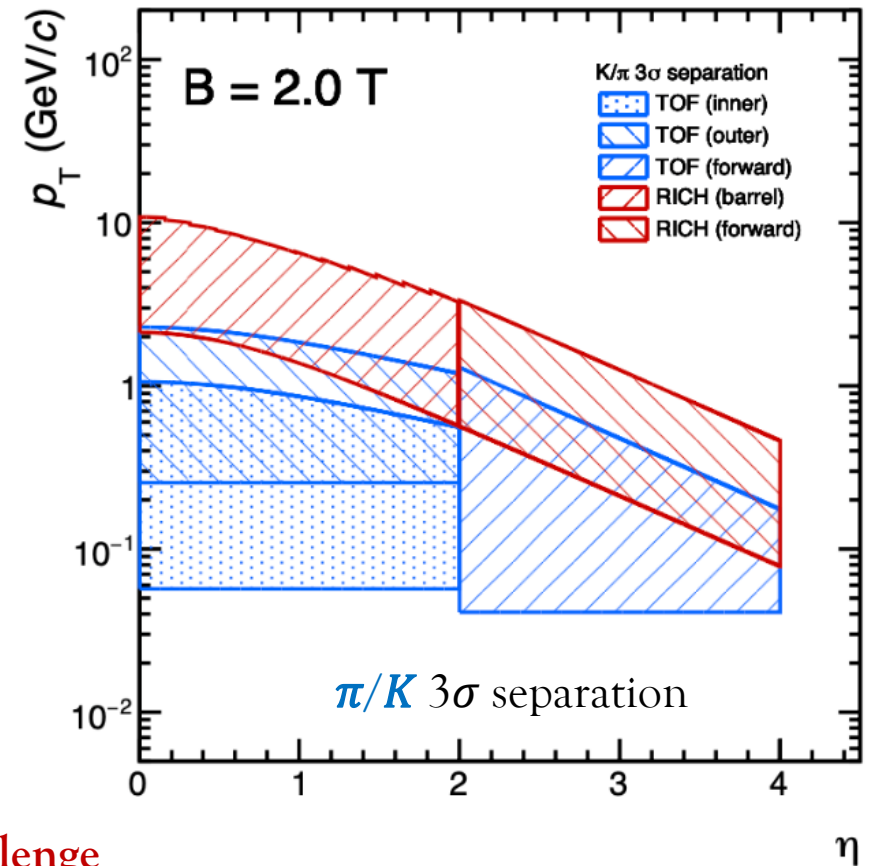
## Implementation

- 1(barrel)+1·2(disk) layers
- Barrel RICH at  $R \approx 0.30$  m,  $z < 3.50$  m
- Forward RICH at  $z \approx 4.10$  m,  $R < 1.00$  m
- Silicon Photomultipliers (SiPMs)

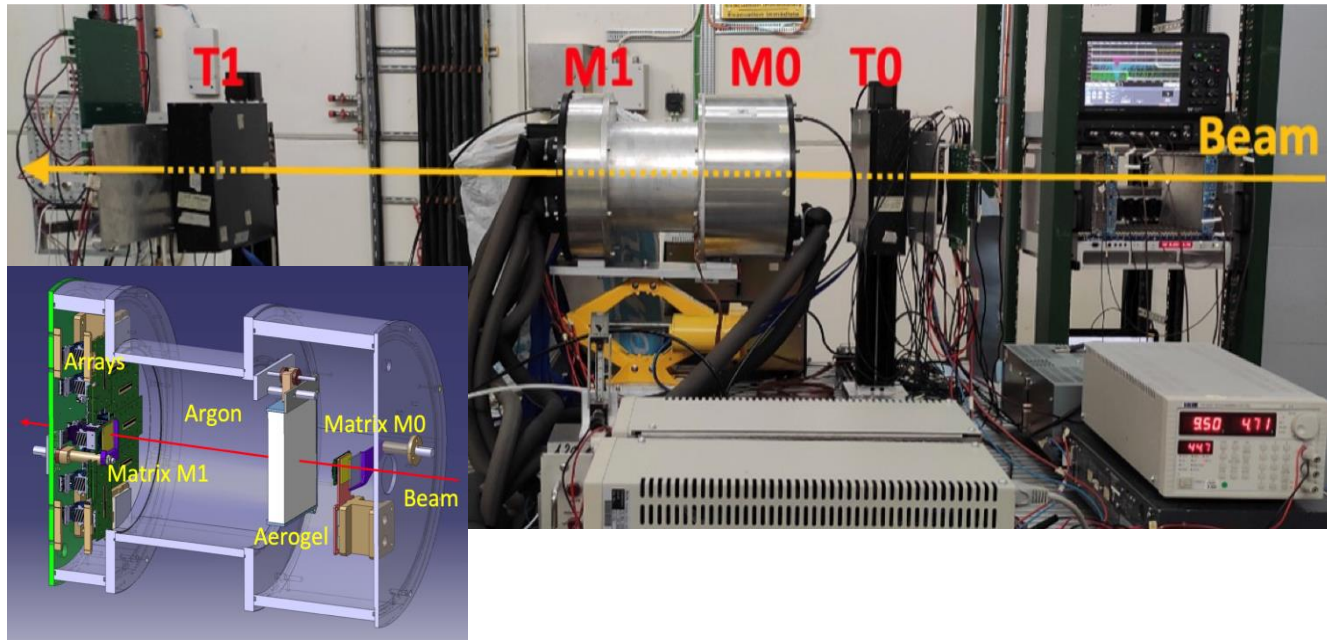


## R&D challenge

Merged oTOF+bRICH system  
using a common SiPM layer  
coupled to a thin radiator window



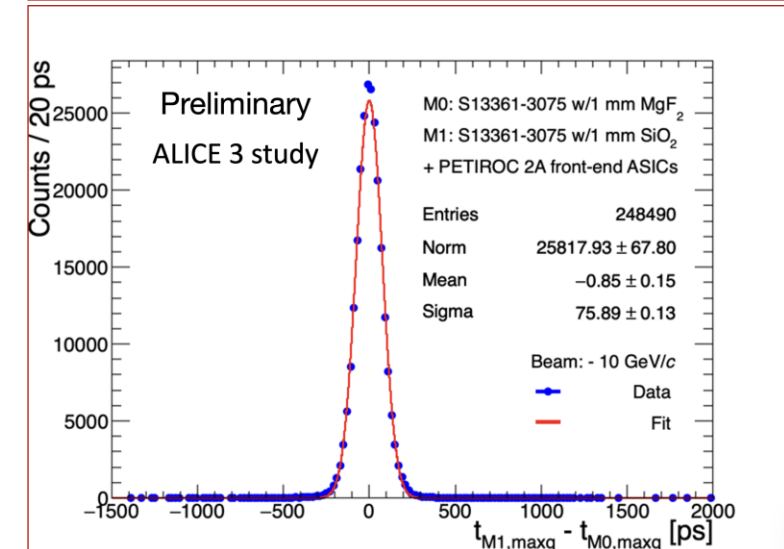
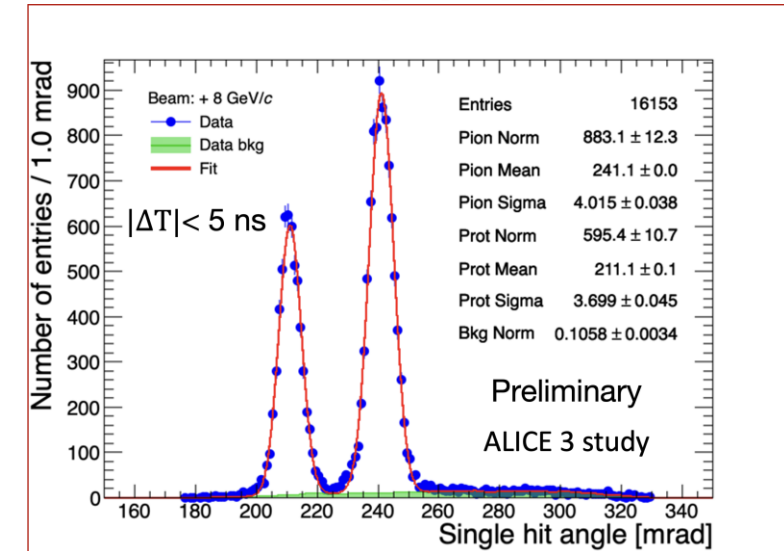
# ALICE 3 RICH Detector

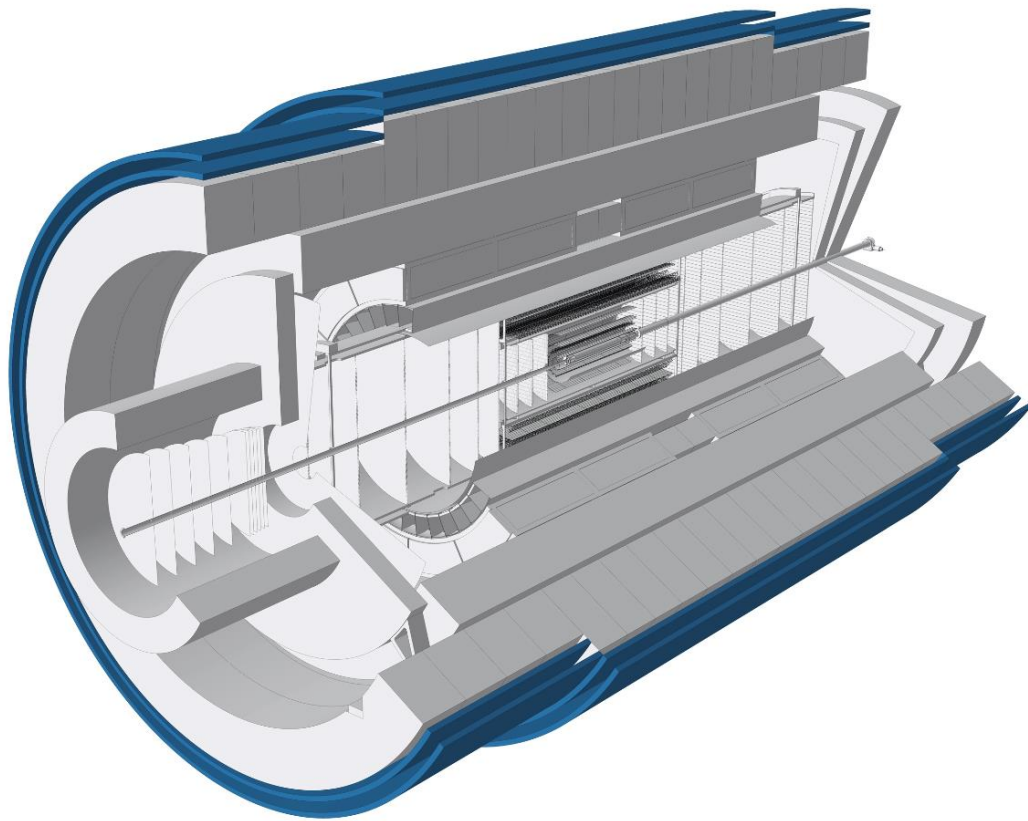


Test beam in Oct 2023 at CERN PS

- Aerogel radiator by Aerogel Factory LTD (Japan)
- $8 \times 8$  SiPM matrices from HPK and FBK, various pixel sizes
- Different radiator windows coupled to SiPM to test TOF+RICH integrated concept

Cherenkov angle of pions and protons





## Requirements

- Muon ID down to  $p_T \approx 1.5 \text{ GeV}/c$
- Pseudorapidity coverage  $|\eta| < 1.3$

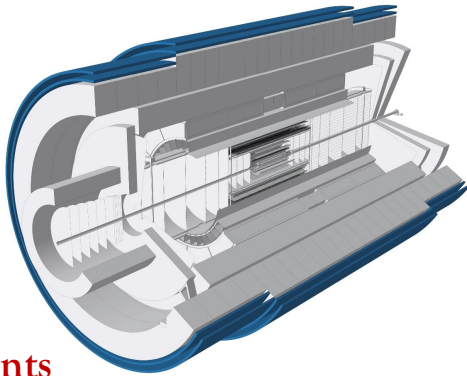
↳ **Hadron absorber** outside of the magnet:

- Standard magnetic steel absorber
- Thickness of  $\approx 70 \text{ cm}$  at  $|\eta| = 0$

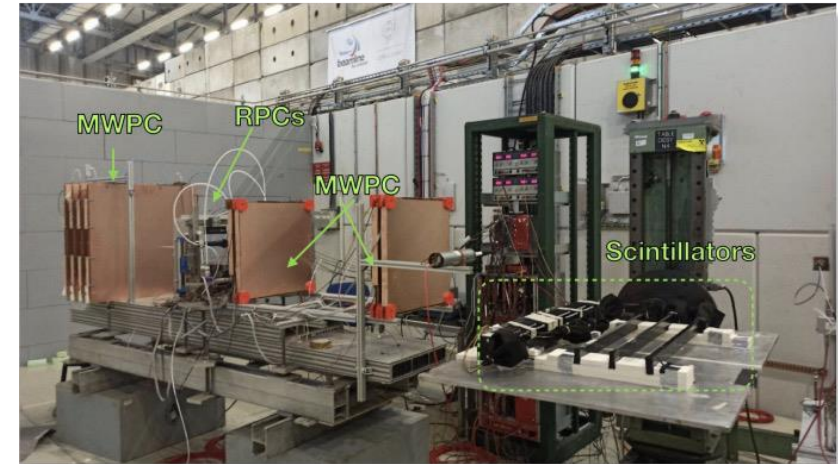
↳ **Muon chambers**

- 160 chambers
- $\Delta\eta \times \Delta\phi$  granularity  $\rightarrow 5 \times 5 \text{ cm}^2$  cells
- 2 layers of plastic scintillator bars
- Silicon Photomultiplier readout
- Coupling to WLS fibers is under study
- **Alternative options:**
  - MWPCs: 160 chambers
  - RPCs: 320 chambers

# ALICE 3 MID Detector



Test beam in July 2023 at CERN PS

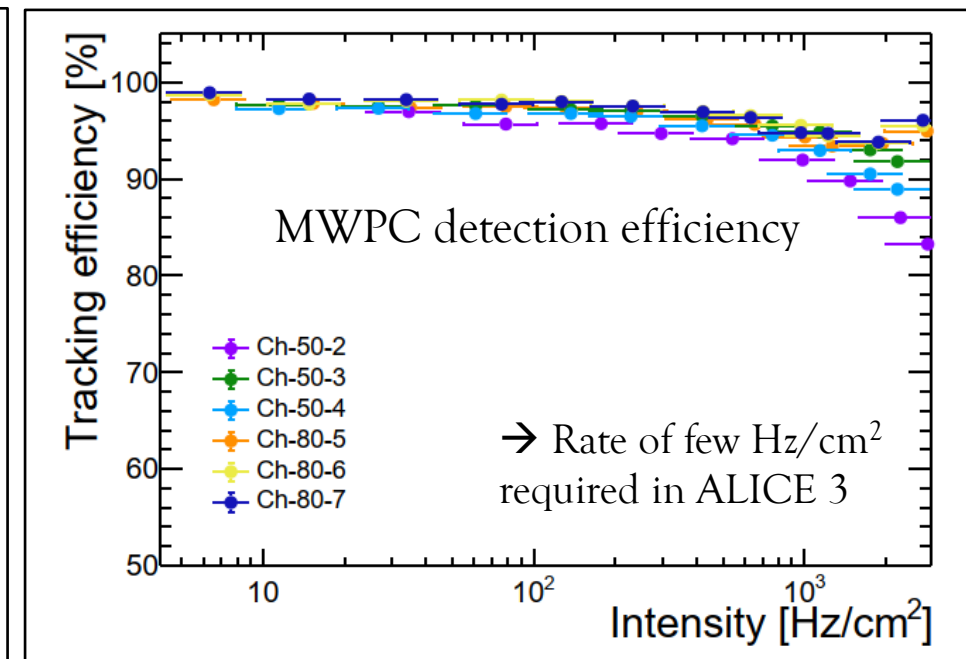
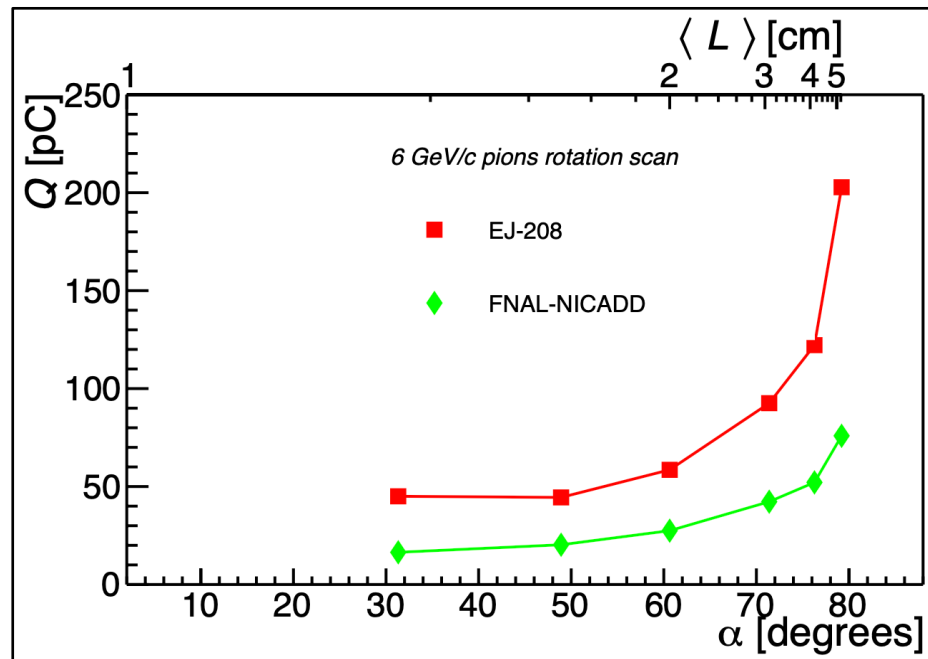


## Requirements

- Muon ID down to  $p_T \approx 1.5 \text{ GeV}/c$
- Pseudorapidity coverage  $|\eta| < 1.3$

Ortiz A. et al. [JINST 19 \(2024\) 04, T04006](#)

Charge vs the incidence angle and path length ( $\langle L \rangle$ ) in Plastic scintillator bars



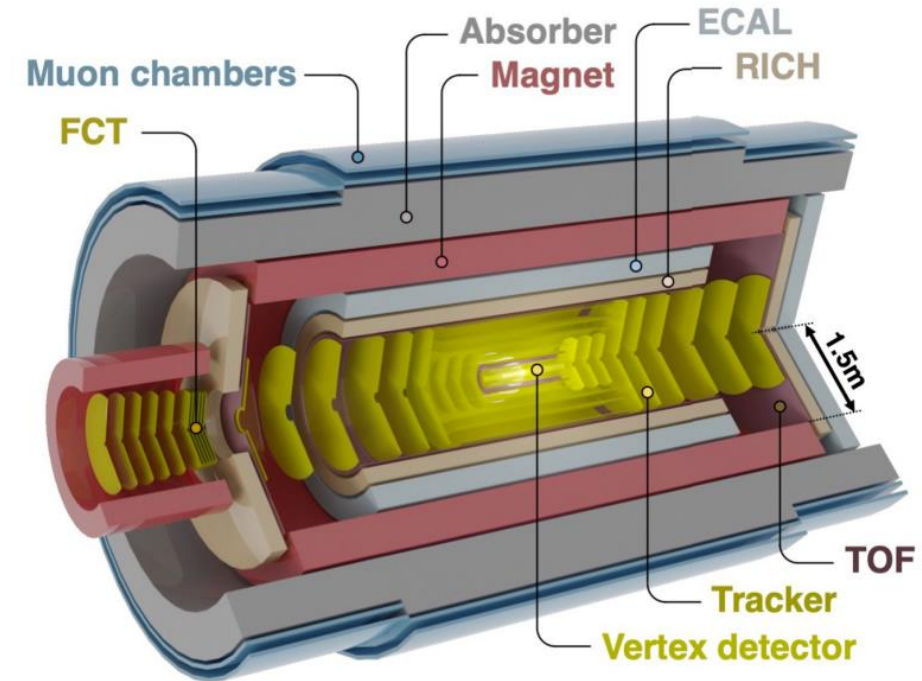
# Summary

To fulfill the rich physics program, ALICE 3 is being designed with excellent PID capability exploiting several PID techniques

- ❖ The PID performance and the several ongoing novel detector R&D have been presented
- ❖ They will have a broad impact on the future HEP and nuclear experiments
- ❖ Requiring extensive R&D activities in several strategic areas  
→ e.g. Monolithic pixel with additional gain

## Outlook

- R&D activities have started
- 2025: selection of technologies, small-scale proof of concept prototypes
- 2026-27: large-scale engineered prototypes → Technical Design Reports
- 2033-34: Preparation of cavern and installation of ALICE 3



Thank you for the attention!

Backup slides

# R&D for Time of Flight Detector

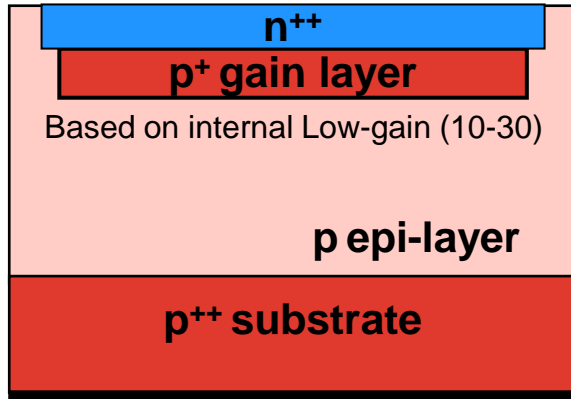


ALICE



## LGAD

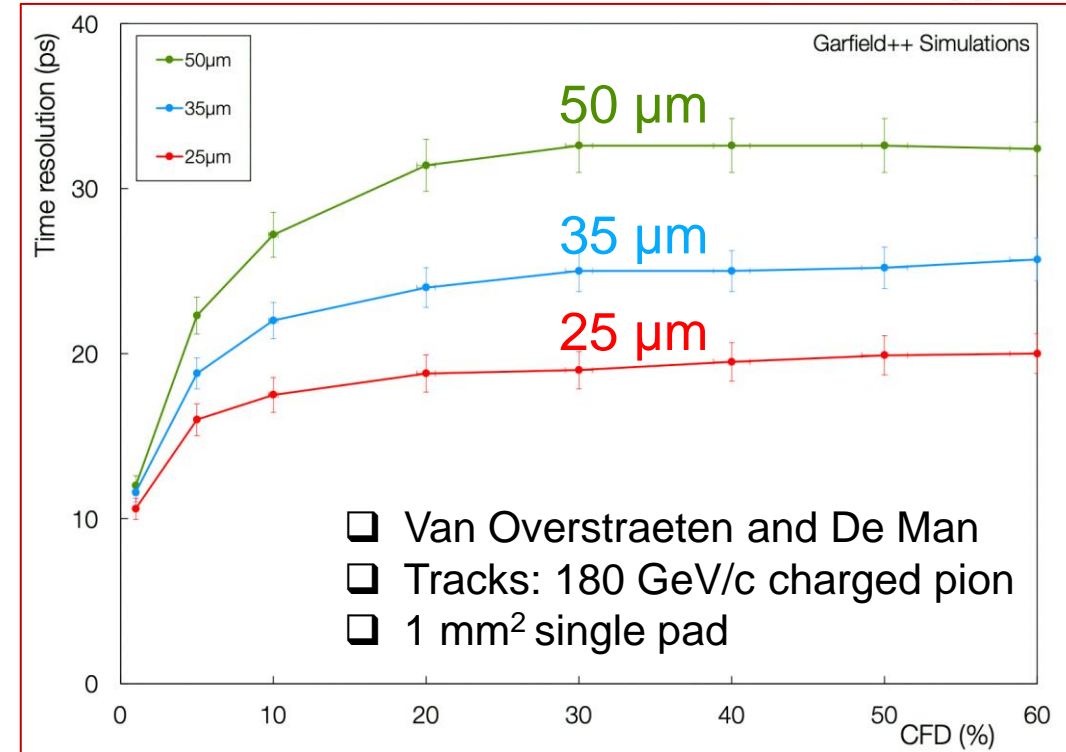
### LGAD



### Electric field

**Gain region**  
Very high electric field

**Drift region**  
Low and uniform electric field



$$\sigma_t^2 = \sigma_{\text{Time Walk}}^2 + \sigma_{\text{Landau Noise}}^2 + \sigma_{\text{Distortion}}^2 + \sigma_{\text{Jitter}}^2 + \sigma_{\text{TDC}}^2$$

Fundamental limit →  $\sigma_{\text{Time Walk}}$   
 Non saturated velocity and non-uniform weighting field →  $\sigma_{\text{Landau Noise}}$   
 Can be made negligible →  $\sigma_{\text{TDC}}$   
 Low input capacitance, high preamp. transconductance, Large signal (gain), Short signal rise time →  $\sigma_{\text{Jitter}}$

$$\sigma_{\text{Jitter}} \propto \frac{\sigma_V}{\frac{dV}{dt}}$$

Can be **corrected** (e.g. with Constant Fraction Discriminator, CFD)

→ Potential of a thinner layout of LGAD

- Contribution of electronic noise can be reduced by increasing the gain
- Fundamental limitation: **Landau fluctuations**

# R&D for Time of Flight Detector

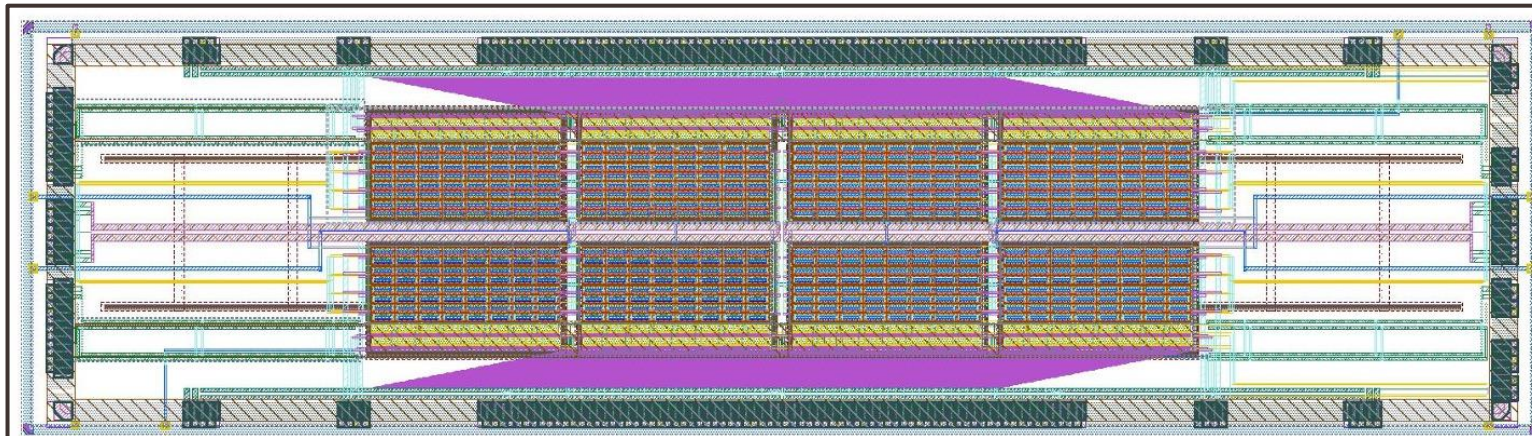
## Monolithic CMOS Sensor

### **MADPIX** Monolithic CMOS Avalanche Detector **PIX**elated Prototype for ps Timing Application

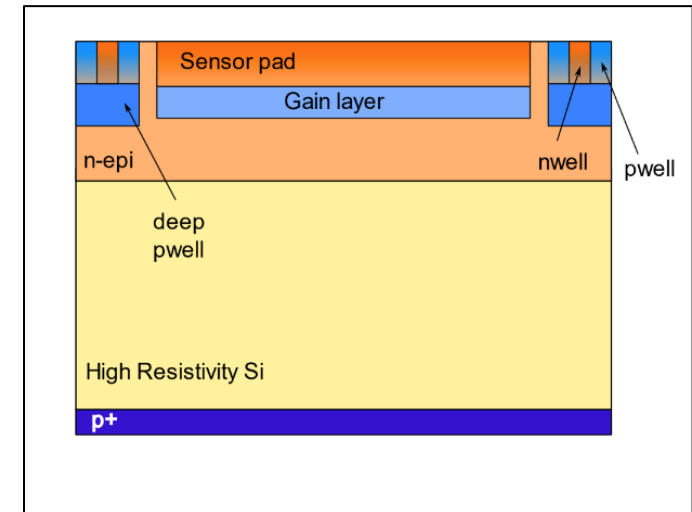
First prototype with **integrated electronics** and **sensor gain**

↳ produced by LFoundry 110 nm

- Active thickness: 48 $\mu$ m
  - Backside HV: allow full depletion  $\rightarrow$  -25 V to -40 V
  - Topside HV: manage the gain  $\rightarrow$  30 V to 50 V
- » 8 matrices of 64 pixels each    » 64 x 2 analogue outputs  
» 4 flavours    » Pixels of 250 $\mu$ m x 100 $\mu$ m



### ARCADIA pad sensor with gain



Lucio Pancheri

--- Symmetrical



# TOF Specifications

	Inner TOF	Outer TOF	Forward TOF
Radius (m)	0.19	0.85	0.15–1.5
$z$ range (m)	−0.62–0.62	−2.79–2.79	4.05
Surface (m <sup>2</sup> )	1.5	30	14
Granularity (mm <sup>2</sup> )	1 × 1	5 × 5	1 × 1 to 5 × 5
Hit rate (kHz/cm <sup>2</sup> )	74	4	122
NIEL (1 MeV $n_{eq}$ /cm <sup>2</sup> ) / month	$1.3 \times 10^{11}$	$6.2 \times 10^9$	$2.1 \times 10^{11}$
TID (rad) / month	$4 \times 10^3$	$2 \times 10^2$	$6.6 \times 10^3$
Material budget (% $X_0$ )	1–3	1–3	1–3
Power density (mW/cm <sup>2</sup> )	50	50	50
Time resolution (ps)	20	20	20

Table 11: TOF specifications.

# RICH Specifications

Inner Radius (m)	0.9	PD area (m <sup>2</sup> )	39			
Proximity gap (m)	0.2	PDE in visible range	≥ 40%			
Length (m)	5.6	Pixel size (mm <sup>2</sup> )	3x3			
Aerogel surface (m <sup>2</sup> )	32	Integration fill factor	≥ 90%			
NIEL (1 MeV n <sub>eq</sub> /cm <sup>2</sup> ) / month	4.5 × 10 <sup>9</sup>	Time jitter (ps)	≤ 100			
TID (rad) / month	1.4 × 10 <sup>2</sup>	Occupancy (dark count)	5 × 10 <sup>-4</sup>			
Material budget (% X <sub>0</sub> )	3	Occupancy (photons)	5 × 10 <sup>-3</sup>			
Power density (mW/cm <sup>2</sup> )	50	Hit rate (kHz/cm <sup>2</sup> )	1.5 × 10 <sup>2</sup>			
Cherenkov angle resolution (mrad)	1.5					
				barrel RICH	forward RICH disks	
				Radius (m)	0.9 to 1.2	0.15 to 1.15
				z range (m)	-3.50 to 3.50	3.75 <  z  < 4.15
				Surface (m <sup>2</sup> )	28	9
				Acceptance	η  < 2	2 <  η  < 4
				Granularity (mm <sup>2</sup> )	2 × 2	2 × 2

Table 13: (Left) RICH and (Right) photon detector specifications

# MID Specifications

	Absorber	MID layer 1	MID layer 2
Inner radius (m)	2.20	3.01	3.11
Outer radius (m)	2.90	3.02	3.12
Total length (m)	10	10	10.5
No. of sectors in $z$	9	10	10
No. of sectors in $\varphi$	1	16	16
Scintillator bar length (cm)	-	99.8	123.5
Scintillator bar width (cm)	-	5.0	5.0
Scintillator bar thickness (cm)	-	1.0	1.0

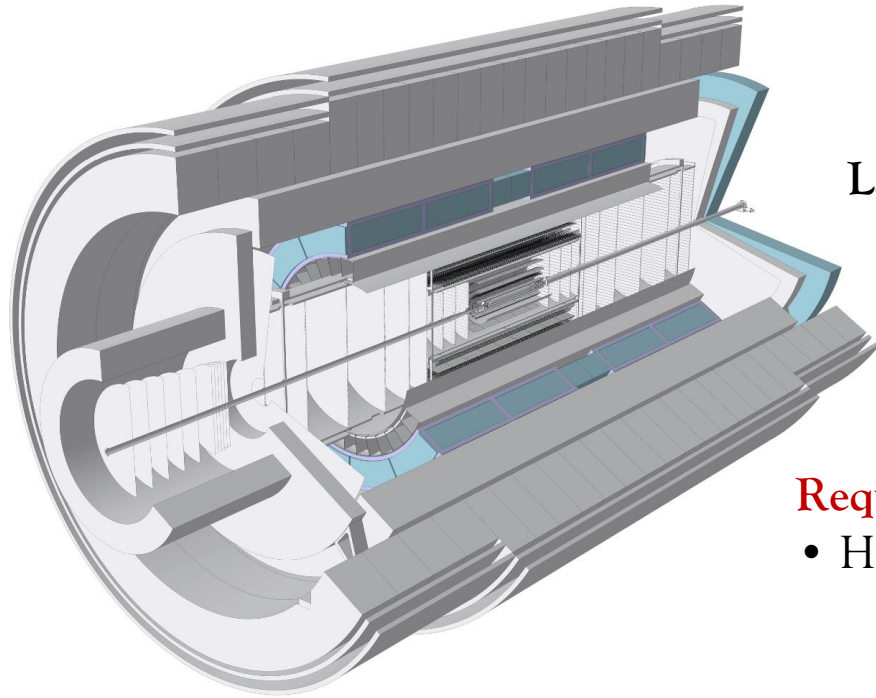
# ECAL Specifications

ECal module	Barrel sampling	Endcap sampling	Barrel high-precision
acceptance	$\Delta\phi = 2\pi,$ $ \eta  < 1.5$	$\Delta\phi = 2\pi,$ $1.5 < \eta < 4$	$\Delta\phi = 2\pi,$ $ \eta  < 0.33$
geometry	$R_{in} = 1.15$ m, $ z  < 2.7$ m	$0.16 < R < 1.8$ m, $z = 4.35$ m	$R_{in} = 1.15$ m, $ z  < 0.64$ m
technology	sampling Pb + scint.	sampling Pb + scint.	PbWO <sub>4</sub> crystals
cell size	$30 \times 30$ mm <sup>2</sup>	$40 \times 40$ mm <sup>2</sup>	$22 \times 22$ mm <sup>2</sup>
no. of channels	30 000	6 000	20 000
energy range	$0.1 < E < 100$ GeV	$0.1 < E < 250$ GeV	$0.01 < E < 100$ GeV

Table 15: ECAL parameters.

ECal segment	$\eta$ range	Cell technology	Cell size	$N_\phi$	$N_\eta$	$N_{tot}$
Central barrel	$ \eta  < 0.45$	PbWO <sub>4</sub>	$2.2 \times 2.2$ cm <sup>2</sup>	348	57	19836
Outer barrel	$0.45 <  \eta  < 1.6$	Pb-Sci sampling	$3 \times 3$ cm <sup>2</sup>	256	120	30720
End cap	$1.6 < \eta < 4$	Pb-Sci sampling	$4 \times 4$ cm <sup>2</sup>			6000

# ALICE 3 Electromagnetic Calorimeter



Large acceptance calorimeter

## Requirements

- High-energy electron and photon ID  
Up to 100  $GeV$  for  $\eta < 1.5$   
Up to 250  $GeV$  for  $1.5 < \eta < 4$

## Implementation

- 2 (barrel) + 1(disk) layers

Sampling calorimeter: 1mm Pb + 1.5 mm plastic scintillator

High energy resolution segment based on  $PbWO_4$  crystals,  $\eta < 0.22$

Silicon Photomultiplier readout

Sampling sector

