



#### Introduction

- The decay *B→Dℓ<sup>-</sup><sub>V</sub>* proceeds through a simple tree-level diagram and has been studied by many experiments
- The decay proceeds via the vector current
- The decay rate depends on the CKM element  $|V_{cb}|$  and in the limit of neglecting the lepton mass on just one form factor *f*+(*q*2)
- Measurements of  $|V_{cb}|$  from inclusive b  $\rightarrow c \ell^{-} \bar{\nu}$ decay and exclusive  $B\rightarrow D^{(*)}$   $\ell^-\bar{\nu}$  decays show a  $3\sigma$  level disagreement



- Using the full data set, *BABAR* has performed a new study of  $B\rightarrow D\ell^-\bar{\nu}$  by analyzing the process  $e^+e^- \rightarrow Y(4S) \rightarrow B_{tag} \overline{B}_{sig}$ , where  $B_{tag}$  is reconstructed in *B* hadronic decays and  $B_{\text{sig}}$  represents the  $\overline{B}{\rightarrow}D\ell^{\text{-}}\overline{\nu}\,$  signal mode
- Two different form factor parametrizations are employed, the model-independent Boyd-Grinstein-Lebed (BGL) expansion and the model-dependent Caprini-Lellouch-Neubert (CLN) expansion Nucl.Phys. **B461**, 493 (1996)

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Nucl.Phys. **B530**, 153 (1998)

#### Analysis Strategy

- Data sample consist of  $471\times10^6$   $Y(4S) \rightarrow BB$  events (426 fb<sup>-1</sup>) NIM **A726**, 203 (2013)
- One B is tagged via a hadronic decay ( $D^{(*)0}$ ,  $D^{(*)+}$ , D<sub>s</sub><sup>(\*)+</sup>, J/ $\psi$ ) plus up to 5 charged charmless light mesons and 2 neutral mesons

The reconstruction relies on 2 variables

 $\varDelta E = E^{^{\ast}}_{\text{tag}} - \frac{1}{2} \sqrt{s}$ 

 $m_{ES} = \sqrt{\frac{1}{4} s - |\vec{p}^*_{tag}|^2}$  where  $\vec{p}^*_{tag}$  and  $\vec{E}^*_{tag}$  are<br>  $\Delta \vec{F} - \vec{F}^* = \frac{1}{2} \sqrt{s}$  of  $B_{tag}$  in the CM frame <sup>2</sup> where  $\vec{p}^*_{\text{tag}}$  and  $\vec{E}^*_{\text{tag}}$  are 3-momentum and energy of  $B_{\text{taq}}$  in the CM frame



- $\bullet$  Select events with  $m_{ES}$ >5.27 GeV/ $c^2$  and  $|\Delta E|$ <72 MeV
- Select 10 modes on signal side:  $D^0 \rightarrow K^-\pi^+$ ,  $K^-\pi^+\pi^0$ ,  $K^-\pi^+\pi^+\pi^-$ ,  $D^+ \rightarrow K^-\pi^+\pi^+$ ,  $K^-\pi^+\pi^-\pi^0$ plus an  $e^-$  with  $p_e$ >200 MeV/*c* or a  $\mu$  with  $p_u$ > 300 MeV/*c*
- Analysis is similar to that of  $B{\to}D^*\ell^{\scriptscriptstyle +}\overline{\nu}$ PRL **123**, 091801 (2019)

#### Analysis Strategy cont.

Determine missing momentum  $p_z^2$ 

$$
\rho_{\overline{v}} \equiv \rho_{\text{miss}} = \rho_{e^+e^-} - \rho_{tag} - \rho_D - \rho_e
$$

For a semileptonic decay with one missing neutrino this is fulfilled

- $\bullet$  We use the discriminating variable  $(E^{**}_{miss}$  and  $\vec{p}^{**}_{miss}$  are  $\bar{\nu}$  energy and 3-momentum in *B*<sub>sig</sub> rest frame)  $U = E^{\ast\ast}_{\textsf{miss}} - \left| \vec{\rho}^{\ast\ast}_{\textsf{miss}} \right|$
- We measure the extra energy in the calorimeter, require  $E_{\text{Extra}}$  ( $\leq$  80 MeV)



- $\bullet$  We perform a kinematic fit of the entire event, constraining  $B_{\text{taq}}$ ,  $B_{\text{siq}}$  and *D* mesons to their nominal masses, constrain *B* and *D* decay products to separate vertices
- $\bullet$  In case of multiple candidates, we retain that with the lowest  $E_{\text{Extra}}$
- A second kinematic fit with a *U*=0 constraint is done to improve the resolution in the variables  $q^2$  and cos  $\theta_\ell$  (q is the momentum transfer to the  $\ell$ <sup>-</sup> $\nu$  system and  $\theta_\ell$  is the lepton helicity angle)
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We test the binned fit on the *U* distribution for the *K*  $\tau \tau$  +  $e^{\tau} \overline{\nu}$  mode G. Eigen, ICHEP24 Prag, 19/07/2024

## Background Varies across Phase Space

- We show that this method works in different regions of cos  $\theta_{\ell}$  and  $q^2$
- Binned fits to data in  $K^-\pi^+\pi^+e^-\overline{\nu}$  mode
- Fits describe data well

- Binned fits to data in  $K$ <sup>*n+n+e+* $\bar{\nu}$  mode</sup>
- Fits describe data well
- Distributions illustrate different background shapes



## Extraction of Signal Weight Factors

- **We perform continuous** *U***-variable fits in**  $q^2$  **and cos**  $\theta_\ell$  **regions, selecting 50 events** at a time that are closest to a selected event to determine signal and background components from which we determine signal weights for each event
	-

Signal weight  $Q_i = \frac{S_i(U_i)}{S(U_i) + B(U_i)}$  and background weight

 $1-Q_i=\frac{\mathcal{B}_i(U_i)}{\mathcal{S}(U_i)+\mathcal{B}_i}$  $\mathcal{S}_i(\bm{\mathsf{U}}_i) + \mathcal{B}_i(\bm{\mathsf{U}}_i)$ 

We observe 16,701 events in all ten modes

 $\mathcal{S}_i(\bm{\mathsf{U}}_i) + \mathcal{B}_i(\bm{\mathsf{U}}_i)$ 

- To illustrate how well this procedure works, we show the *U* variable distributions for different  $q^2$  and cos  $\theta_{\ell}$  regions, summing the *Q*i values of all 10 modes
- Red points result from signal weights *Q*<sup>i</sup> and blue points from background weights (1-*Q*<sup>i</sup> )





## Unbinned Angular Fits

- We require  $|U|$ <50 MeV,  $0.5 \le q^2 \le 10$  GeV<sup>2</sup>/ $c^2$  &  $|\cos \theta_e|$  < 0.97 for the final sample
- We perform ML fits in the  $q^2$ -cos  $\theta_\ell$  plane using only signal weights  $Q_i$

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- $\bullet$  We add two external constraints
	- To set normalization of the form factors, the *w*→1 region calculations from lattice QCD are added as Gaussian constraints (6 $f_{0,+}(w)$  MILC data points) PRD **92**, 034506 (2015)
	- $\bullet$  To access  $|V_{cb}|$  the absolute  $q^2$ -differential decay rate data from Belle are also incorporated as Gaussian constraints (40 d*H*dw data points) PRD 93, 032006 (2016)

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 $\big| \vec{x} \big)_{\!\!\mid\!\!\! \!\mid}$ babar +  $\chi^2($ 

 $\left| \vec{x} \right\rangle_{\!\!\text{ltot}} = -2\!\ln\mathcal{L}(\vec{x})$ 

- The total likelihood function is
- $\bullet$  We perform fits both with the BGL (N=2,3) and CLN forms
- $\bullet$  1d projections of the nominal fit  $\ell$ in comparison with simulation. using the BGL form



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 $\left| \vec{X} \right\rangle_\text{Belle} + \chi^2($ 

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*x*) |*FNAL*/*MILC*

 $\bullet$  The cos  $\theta_{\ell}$  distribution exhibits the sin<sup>2</sup>  $\theta_{\ell}$  dependence expected in the SM this indicates that the  $v$  reconstruction works well  $8$ G. Eigen, ICHEP24 Prag, 19/07/2024

#### Cross Checks

● Besides the nominal fit, we perform 3 other fits with different background subtraction to study systematic uncertainties

**Arbitrary units** 

 $\bullet$  We perform cross checks between backgroundsubtracted data and efficiency-corrected simulations with BGL weighting and ISGW2 weighting for the confidence level of the fit and the  $E_{\text{Extra}}$  distribution PRD **52**, 2783 (1995)

The relative resolution of the deviation of the reconstructedto-generated values for the *q*<sup>2</sup> and cos  $\theta_{\ell}$  distributions



Comparison of (1-*Q*) weighted data and background simulation

## Form Factor Results

- f<sup>+</sup> results for N=2 & N=3 for **preliminary** preliminary preliminary  $1.2$  $1.2$ BABAR data only and *N=3***,** BaBar+FNAL/MILC *N=2***,** BaBar+FNAL/MILC  $1.1$ 1.1 BABAR+FNAL/MILC data *N=2***,** BaBar-only *N=3***,** BaBar-only 1 1  $0.9$ **← Lattice points reduce errors** *++ f*  $0.9$ *f*  $0.8<sup>+</sup>$ 0.8 0.7 The *B*→*D* form factors 0.7 0.6 have improved precision 0.6 0 5 10 0 5 10 and show good agreement  $q^2$  (GeV $^2$ )  $q^2$  (GeV<sup>2</sup>) preliminary preliminary preliminary with the new, full  $q^2 B_s \rightarrow D_s$ 1.2 1.3  $B \rightarrow D$ *f* calculation of the HPQCD 1  $1.2<sup>5</sup>$  $f_0 B\rightarrow D$  $\int_{+}^{0} B_s \rightarrow D_s$ Collaboration assuming 0.8 1.1  $f$ <sup>*n*</sup> $B$ <sup>*s*→ $D$ <sup>*s*</sup></sup> orm factor form factor form factor  $-h$ <sub>*B*→*D*</sub> orm facto flavor SU(3) symmetry *0* (FNAL/MILC) *<sup>+</sup> f* 0.6 1  $h<sub>+</sub> B \rightarrow D$  $f_{\stackrel{\scriptstyle 0}{\nu}}$  (FNAL/MILC) 0.4  $\cdots$   $h \to B_s \to D_s$ 0.9 0.2 Some slight tension exists  $\cdots$ *h*<sub>+</sub>  $B_s \rightarrow D_s$ 0.8 0 for h<sub>-</sub> in the HQET basis 0.7 −0.2 at maximum recoil point, 0 5 10 1 1.2 1.4  $q^2$  (GeV<sup>2</sup>) *w*  $q^2 \rightarrow 0$ , but otherwise the SU(3) PRD **101**, 074513 (2020) flavor symmetry seems to hold  $\rightarrow$  SU(3) flavor symmetry breaking cannot be large
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#### |*V*cb| Results from 2d Fit





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#### **Conclusions**

- $\bullet$  We performed the first 2-dimensional unbinned angular analysis in the  $q^2$  cos  $\theta_\ell$ plane for the *B→Dℓ<sup>-</sup>⊽* process
- We used a novel event-wise signal-to-background separation
- $\bullet$  The lepton helicity follows a sin<sup>2</sup>  $\theta_{\ell}$  distribution as expected in the SM; this is shown for the first time confirming that the  $v$  reconstruction works well
- $\bullet$  For the BGL form we measure  $|V_{cb}|=0.04109\pm0.00116$ , which is closer to the value measured in inclusive *b →cℓ*<sup>-</sup>⊽ decays
- **●** The *B*→*D* form factors are found to be consistent with the *B*<sub>s</sub>→*D*<sub>s</sub> form factors predicted by lattice calculations and expected by flavor SU(3) relations
- This *BABAR* analysis has been submitted to Physical Review D

#### **Thank you for your attention**

# Backup Slides

## *B→Dℓ<sup>-</sup>* $\overline{v}$  Decay Rate and Form Factors

The amplitude for *B→Dℓ<sup>-</sup>⊽* comes from the vector interaction term

$$
\langle D|\overline{c}\gamma_{\mu}b|\overline{B}\rangle_{V} = f_{+}(q^{2})\left((p_{B}+p_{D})_{\mu} - \frac{(p_{B}+p_{D})\cdot q}{q^{2}}q_{\mu}\right) + f_{0}(q^{2})\frac{(p_{B}+p_{D})\cdot q}{q^{2}}q_{\mu}
$$

- $\bullet$  *q*= $p_B$ - $p_D$  is the 4-momentum of the recoiling  $(l-\bar{v})$  system
- $\bullet$  f<sub>+</sub>(q<sup>2</sup>) and f<sub>0</sub>(q<sup>2</sup>) are the vector and scalar form factors
- In HQET the form factors are written in terms of *B* and *D* 4-velocities *v* and *v*'  $D|\bar{c}\gamma_{\mu}b|B$ *V*  $m_{_B}m_{_D}$  $= h_{+}(w)(v+v')_{\mu} + h_{-}(w)(v-v')_{\mu}$  where  $w=v\cdot v' = \frac{m_{\beta}^{2}+m_{D}^{2}-q^{2}}{2m_{-}m_{-}}$ 2*mBmD* where

The two form factors are related

$$
f_{+}(q^{2}) = \frac{1}{2\sqrt{r}}((1+r)h_{+}(w) - (1-r)h_{-}(w))
$$
\n
$$
f_{0}(q^{2}) = \sqrt{r}\left(\frac{w+1}{1+r}h_{+}(w) - \frac{w-1}{1-r}h_{-}(w)\right)
$$
\nwhere  $r = \frac{m_{D}}{m_{B}}$  and  $f_{+}(0) = f_{0}(0)$ 

## *B→Dℓ<sup>-</sup>* $\overline{v}$  Decay Rate and Form Factors

The differential *B→Dℓ<sup>-</sup>⊽* decay rate is

 $d\Gamma$ d $\bm{{\mathsf{q}}}^2$ dcos $\bm{\theta}_{_\ell}$ =  $G_F^2$   $V_{cb}$ 2  $\eta_{\text{\tiny EW}}^2$  $\frac{1}{32\pi^3}k^3\Big|f_{_+}(q^2)\Big|$  $\frac{1}{2}$  sin<sup>2</sup> $\theta$ <sub>c</sub> where  $k = m$ <sub>*D*</sub> $\sqrt{w^2 - 1}$  (| $p$ <sub>D</sub>| in *B* rest frame

 $f_{+}(q^{2})$  is connected form factor  $G(w)$ 

$$
G(w) = \frac{4r}{(1+r)^2} f_{+}(q^2)
$$

## The BGL Form

In the model-independent BGL (Boyd, Grinstein, Lebed) form the form factors are expressed as  $f_i(z) =$ 1  $P_{i}(z)\phi_{i}(z)$ *a n i zn n*=0 *N*  $\sum a'_n z^n$  where  $i=0,+$ ,  $z(w) =$ *w* +1−√2  $w + 1 + \sqrt{2}$ where  $i=0,+$ ,  $z(w)=\frac{vw+1}{\sqrt{2}}$ ,

*P*<sub>i</sub>(z): Blaschke factors that remove contributions of bound state  $B_c^{(*)}$  poles,  $\phi$ (z): non-perturbative outer functions,

- a<sub>n</sub><sup>i</sup>: free parameters
- *N:* considered order of expansion
- $\bullet$  Use following parameterizations  $P_i(z) = 1$

$$
\phi_{+}(z) = \frac{1.1213(1+z)^{2}\sqrt{1-z}}{\left[(1+r)(1-z)+2\sqrt{r}(1+z)\right]}
$$

$$
\phi_0(z) = \frac{0.5299(1+z)^2(1-z)^{3/2}}{\left[ (1+r)(1-z) + 2\sqrt{r}(1+z) \right]^4}
$$

The coefficients  $a_n$ <sup>i</sup> satisfy the normalization condition *a n*  $\int |^{2}$  $\sum |a'_n|^2 \leq 1$ *n*

#### The CLN Form

In the model-dependent CLN (Caprini, Lellouch, Neubert) form the form factor is expressed as

$$
\mathcal{G}(w) = \mathcal{G}(1)\left(1 - 8\rho_D^2 z(w) + (51\rho_D^2 - 10)z(w)^2 - (252\rho_D^2 - 84)z(w)^3\right)
$$

where QCD dispersion relations and HQET have been included, *G*(1) is the normalization and  $\rho_D$  is the slope

**●** This form has been used in previous  $\overline{B} \rightarrow D\ell$ - $\overline{\nu}$  analyses

#### Binned Fits to *U* distribution

The line shapes of signal and background in the *U* variable distribution are defined as

$$
f_i(x, \mu_i, \sigma_{L,i}, \sigma_{R,i}, N_i) = N_i \begin{cases} exp \frac{(x - \mu_i)^2}{2\sigma_{L,i}^2}, & for x \le \mu_i \\ exp \frac{(x - \mu_i)^2}{2\sigma_{R,i}^2}, & for x \le \mu_i \\ exp \frac{(x - \mu_i)^2}{2\sigma_{R,i}^2}, & for x > \mu_i \end{cases}
$$

● For signal we use 4 two-piece Gaussians (2 for the central peak and 2 for the tails on each side of *U*=0

 $S = N_{S}$   $\sum \alpha_{i}$  exp

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*i*=0,1,2,3

 $\left| \mathcal{B} = \mathcal{N}_{\mathcal{B}} \right| \sum \alpha_j \exp \left( \frac{1}{2} \mathcal{A} \mathcal{$ 

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*j*=0,1

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 $(x - \mu_i)^2$ 

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 $2\sigma_{\rm L,R,i}^{2}$ 

 $(x - \mu_j)^2$ 

 $2\sigma_{\rm\scriptscriptstyle L,R,j}^2$ 

- $\bullet$   $\sigma_{LR,i}$  represent the widths of the two-piece Gaussians
- $\bullet$   $\alpha_i$  are relative fractions,  $\alpha_0=1$
- **•** N<sub>S</sub> is left unconstrained
- For background we use 2 two-piece Gaussians tails  $\bullet$   $\alpha_0=1$

## Binned Fits to *U* distribution cont.

- **For fits to the data, normalizations of the signal and background components are** always left unconstrained
- For the signal component, the shapes of the tails  $(\mu_{\mathsf{i}},\,\sigma_{\mathsf{L},\mathsf{R},\mathsf{i}})$  for i=2,3 are fixed to values obtained from fit of truth-matched data
- Remaining 9 parameters  $(\alpha_{1,2,3},\mu_{0,1},\sigma_{L,R,0,1})$  are allowed to vary between (1-k, 1/(1 $k$  x nominal value from truth-matched simulation fit (different  $k$  values between 0, 5% and 30% were studied)
- For the background component, all seven parameters are allowed to vary between  $(1-x, 1/(1-x)x)$  nominal value from non-truth-matched simulation (background) fit

#### Unbinned Fits to *U* distributions

Measure closeness between i<sup>th</sup> and j<sup>th</sup> event in phase space

$$
g_{ij}^2 = \sum_{k=1}^n \left[ \frac{\phi_k^i - \phi_k^j}{r_k} \right]^2
$$

where  $\phi$  represents the n independent kinematic variables in phase space and  $\vec{r}$ gives corresponding ranges for normalizations ( $r_{\rm q2}$ =10 GeV/c<sup>2</sup>,  $r_{\rm cos}$   $_{\theta}$ =2 and n=2)

 $\mathcal{y} = \sum \mathcal{Q}_i$ 

*i*

 $\bullet$  In each  $q^2$  and cos  $\theta$ <sub>*f*</sub> bin an unbinned fit is performed in the U distribution to extract to the signal  $S_i(U_i)$  and background  $B_i(U_i)$  components for each event yielding a weight

$$
Q_i = \frac{S_i(U_i)}{S_i(U_i) + B_i(U_i)}
$$

• Now the total signal yield is

Number of events in each  $q^2$  and cos  $\theta_\ell$  bin is  $\approx 50$ 

#### Unbinned Fits to *U* distributions

The pdf for detecting an event in the interval( $\phi$ ,  $\phi+\Delta\phi$ ) is

$$
\mathcal{P}(\vec{x},\phi) = \frac{dN(\vec{x},\phi)}{\int \frac{dN(\vec{x},\phi)}{d\phi} \eta(\phi) d\phi}
$$

Where  $dN(\vec{x}, \phi)/d\phi$  is the rate term,  $\eta(\phi)$  is the phase-space-dependent efficiency and *x* denotes the set of fit parameters

The normalization integral constraint (pure signal) yields

$$
\mathcal{N}(\vec{x}) = \int \frac{dN(\vec{x},\phi)}{d\phi} \eta(\phi) d\phi = \overline{N}(\vec{x}) = N_{data}
$$

where  $\overline{N}$  is equal to the measured yield

#### Likelihood function

The non-extended likelihood function is

$$
\mathcal{L}(\vec{x}) = -\prod_{i=1}^{N_{\text{data}}} \mathcal{P}(\vec{x}, \phi_i)
$$

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Taking the logarithm yields

$$
-\ln \mathcal{L}(\vec{x}) = -\sum_{i=1}^{N_{\text{data}}}\mathcal{P}\left(\vec{x},\phi_{i}\right) \approx N_{\text{data}}\ln\left[\mathcal{N}(\vec{x})\right] - \sum_{i=1}^{N_{\text{data}}}\ln\left[\frac{dN}{d\phi}\eta(\phi)\right]
$$

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*i*=1

Using the approximation where  ${\cal N}$   $=$  $\int\!\frac{\text{d}N}{\text{d}\phi}\eta(\phi) \text{d}\phi \!=\!\! \Bigl(\int\!\text{d}\phi\Bigr)\!\Bigl\langle\frac{\text{d}N}{\text{d}\phi}\Bigr|$  $\eta(\phi)$ d*N*  $\textsf{d}\phi$  $\eta(\phi)$  = d*N*  $\mathsf{d} \phi$  $\eta(\phi)$  $N_{\text{sim}}^{\text{gen}}$ *N*sim gen  $\sum \frac{dN}{d\phi} \frac{dN}{N} =$ d*N*  $\mathsf{d} \phi$ 1  $N_{\text{sim}}^{\text{gen}}$ *N*sim *acc* ∑

In the last step just accepted events are included,  $\eta(\phi)$  is either 0 or 1

*i*=1

#### Likelihood function

Ignoring term that are not variable in the fit yields

$$
-\ln \mathcal{L}(\vec{x}) = N_{data} \times \ln \left[ \sum_{i=1}^{N_{sim}^{acc}} \frac{dN}{d\phi} \right] - \sum_{i=1}^{N_{data}} \ln \left[ \frac{dN}{d\phi} \right]
$$

Including the background subtraction procedure yield

$$
-\ln \mathcal{L}(\vec{x}) = \left[\sum_{i=1}^{N_{\text{data}}} Q_i\right] \times \ln \left[\sum_{i=1}^{N_{\text{sim}}^{\text{acc}}} \frac{dN}{d\phi}\right] - \sum_{i=1}^{N_{\text{data}}} Q_i \ln \left[\frac{dN}{d\phi}\right]
$$

Since simulation includes model based form factor calculation (ISGW2 for *f*+(*q*2), we need to include weight

yielding

$$
-ln \mathcal{L}(\vec{x}) = \left[ \sum_{i=1}^{N_{data}} \mathcal{Q}_i \right] \times ln \left[ \sum_{i=1}^{N_{sim}^{acc}} \tilde{w}_i \frac{dN}{d\phi} \right] - \sum_{i=1}^{N_{data}} \mathcal{Q}_i ln \left[ \frac{dN}{d\phi} \right]
$$

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 $\tilde{w}_1 = 1 / \frac{dN}{dA}$ 

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 $\textsf{d}\phi$ 

 $\Box$ ⎥

 $\left|\frac{dN}{d\phi}\right|$ 



#### Fit Results

#### Fit parameters for the BGL expansion with *N*=2



#### Fit parameters for the BGL expansion with *N*=3



#### **• Fit parameters for the CNL expansion**



#### Reweighted *B→Dℓ*<sup>-</sup> $\bar{\nu}$  branching fraction



#### Systematic Errors

● Add 3 fit configurations for determining systematics of background subtraction ● BABAR-2, *N<sub>c</sub>*=60, signal and background shapes locally fixed from simulation ● BABAR-3, *N<sub>c</sub>*=50, signal are allowed to vary by 5% from simulation ● BABAR-3, *N<sub>c</sub>*=50, tighter selection criteria ( $E_{\text{Extra}}$ < 0.6 GeV, CL > 10<sup>-6</sup>)

Compare resolutions of deviation of reconstructed-to-generated  $q^2$  and cos  $\theta_{\ell}$ distributions included in the fit and not included in the fit  $\rightarrow \sigma = 2.6\%$  vs 3.4%

We evaluate the effect of background subtraction



