

# New physics results from the FASER experiment

**ICHEP 2024**

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*on behalf of the FASER collaboration*

[CERN-FASER-CONF-2024-001](#)

**SIM** **NS**  
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 **HEISING-SIMONS**  
FOUNDATION

**FASER**



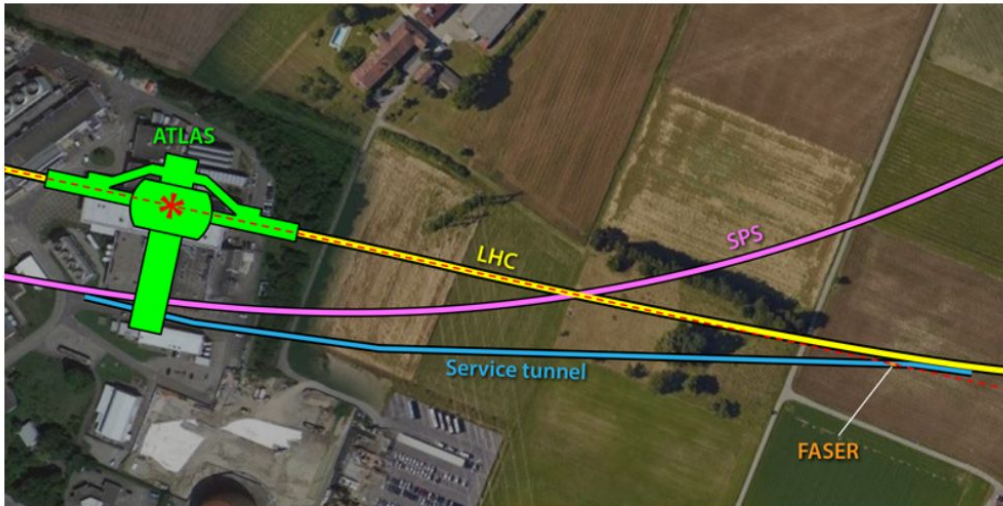
JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ



# What is FASER?

 = **Forw**ard **Search** **Expe**Riment [faser.web.cern.ch](https://faser.web.cern.ch)

- (Relatively) new experiment at the LHC built and installed in 2019-2021
  - ◆ Successful data-taking throughout Run 3



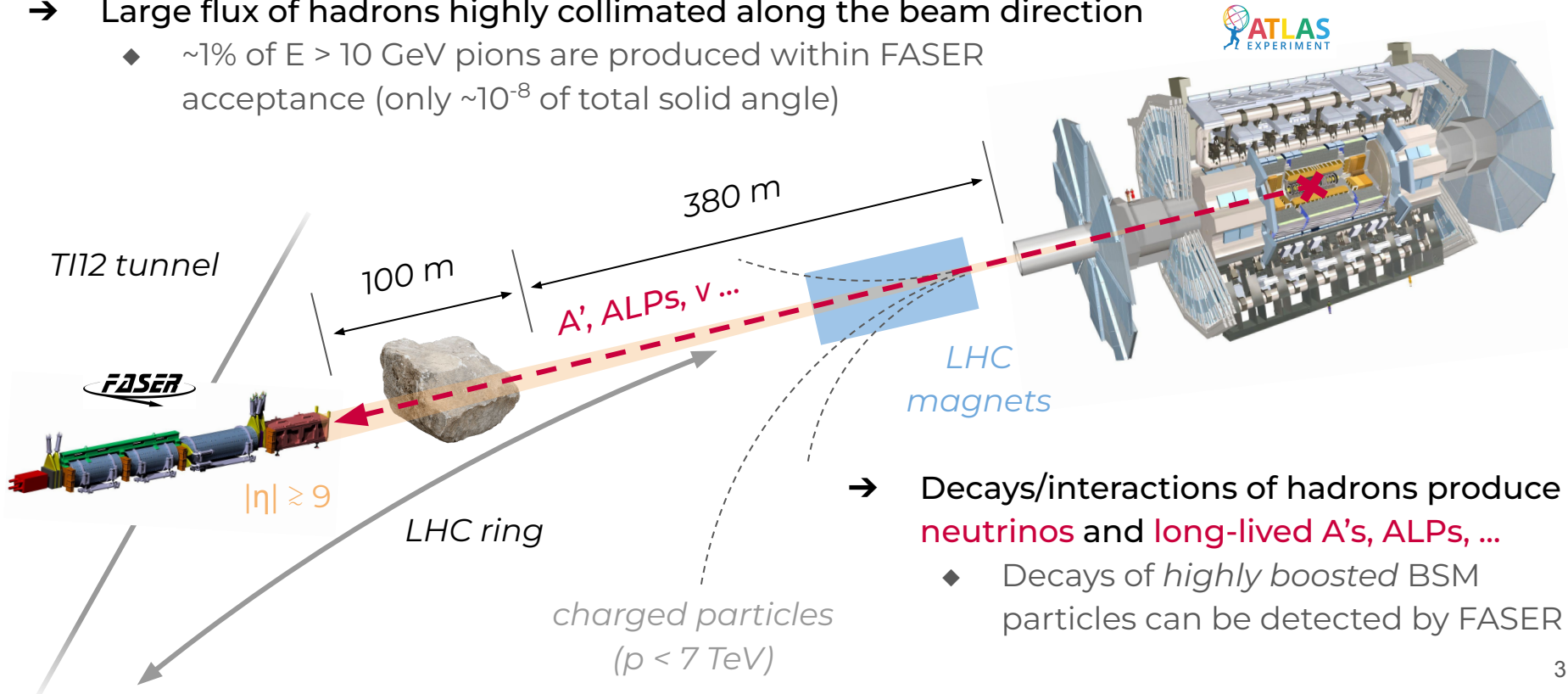
Google Earth, imagery (c)2023 Maxar Technologies, map data (c)2023; CERN; adapted by APS/Alan Stonebraker

- Targets **light and weakly interacting particles**
- ~7 m x 25 cm x 30 cm detector
- ~480 m downstream of ATLAS IP
- On collision axis line-of-sight

# ATLAS forward region

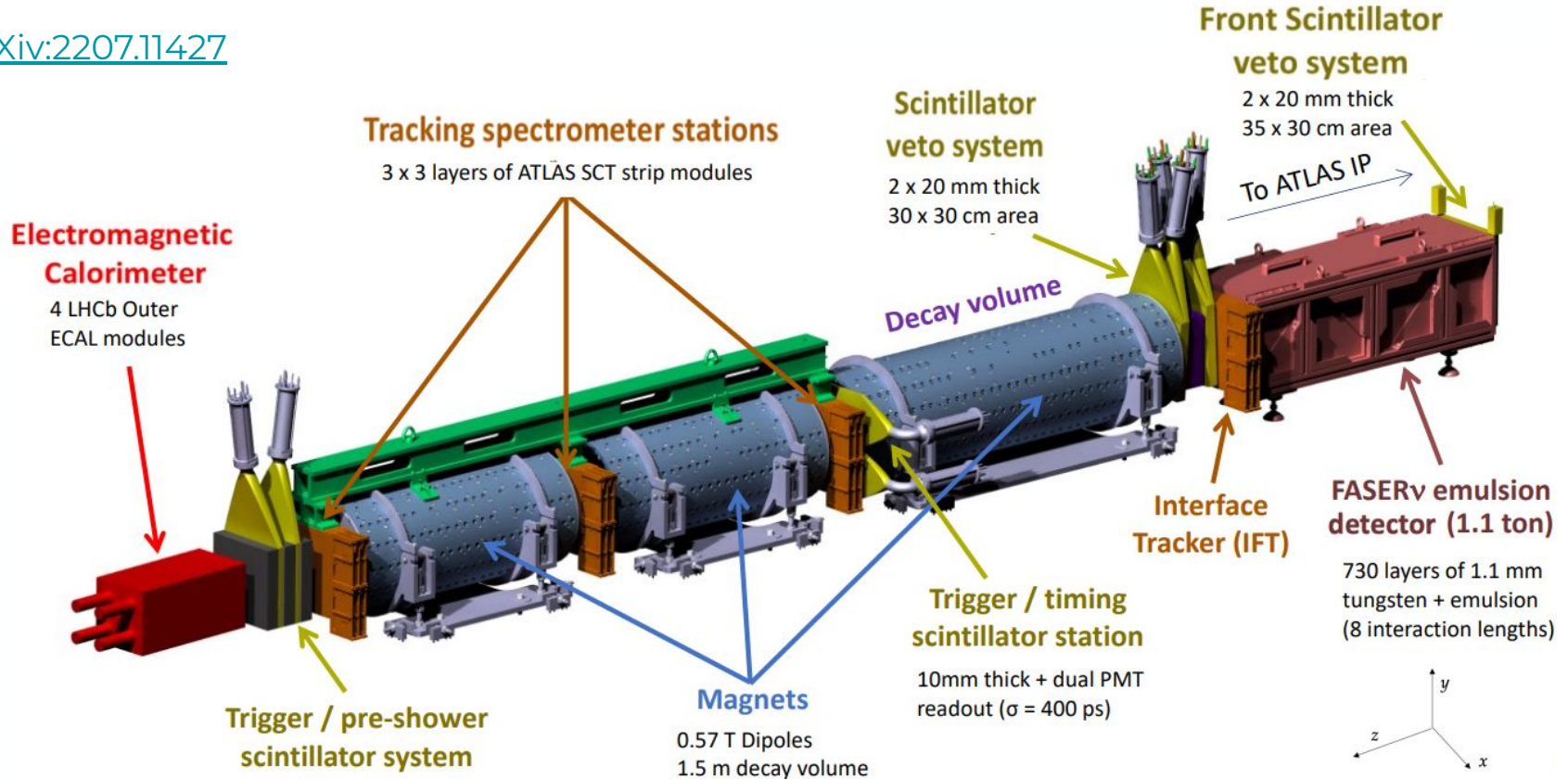


- Large flux of hadrons highly collimated along the beam direction
  - ◆ ~1% of  $E > 10$  GeV pions are produced within FASER acceptance (only  $\sim 10^{-8}$  of total solid angle)

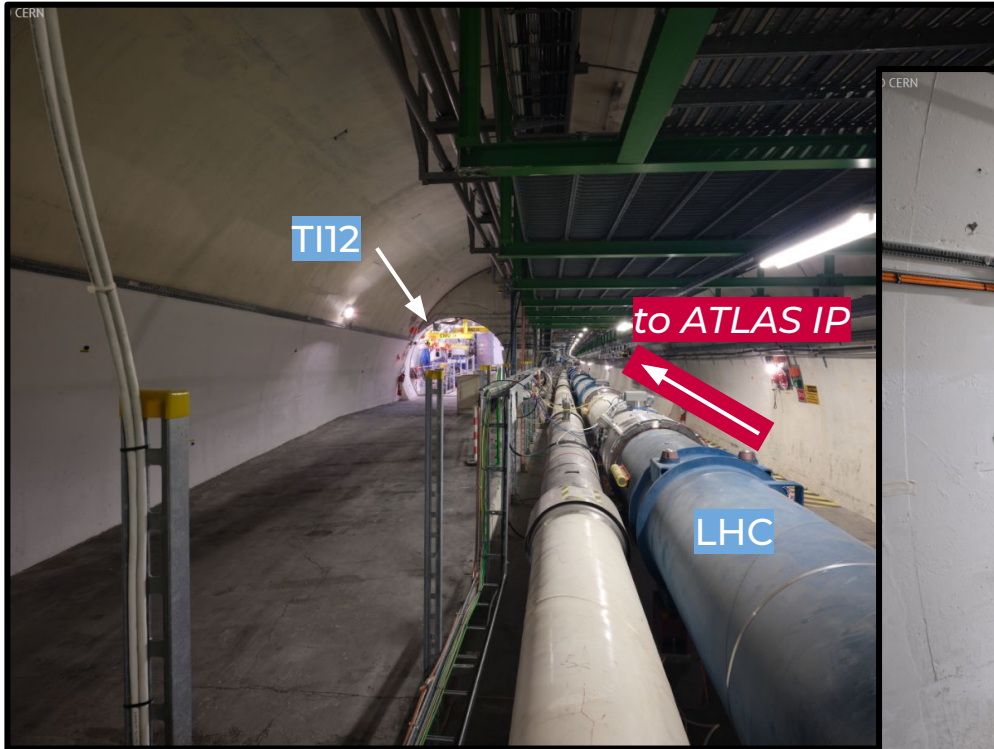


# The FASER detector

[arXiv:2207.11427](https://arxiv.org/abs/2207.11427)

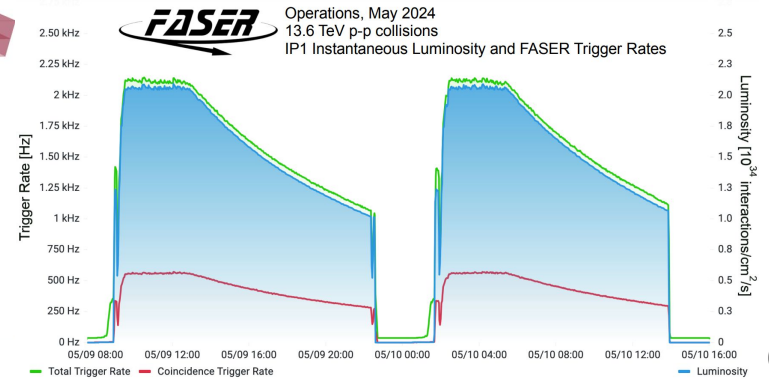
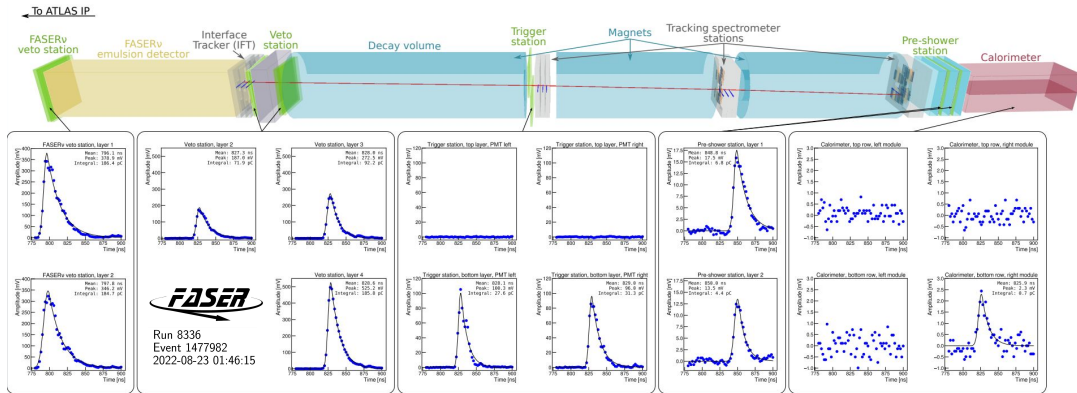
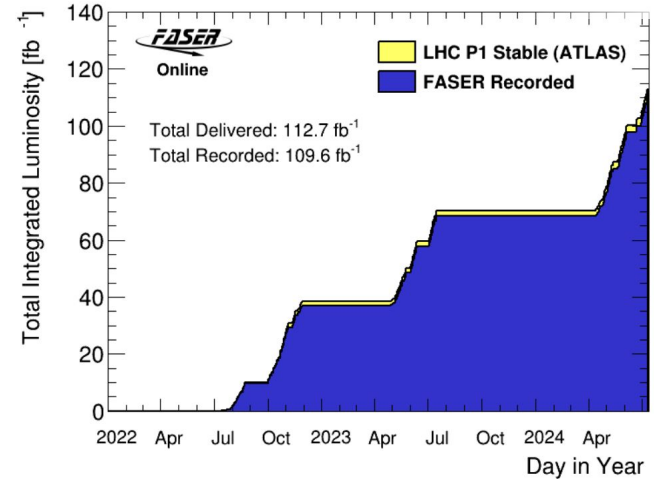


# The FASER detector



# FASER operations

- ➔ Continuous and largely automatic data taking in Run 3
  - ◆ Up to 2 kHz trigger rate in 2024
- ➔ Recorded **97.4%** of delivered luminosity
  - ◆ Limited by deadtime (<3%)
  - ◆ Luminosity information provided by ATLAS
  - ◆ Recently passed **100 fb<sup>-1</sup>** of data collected!

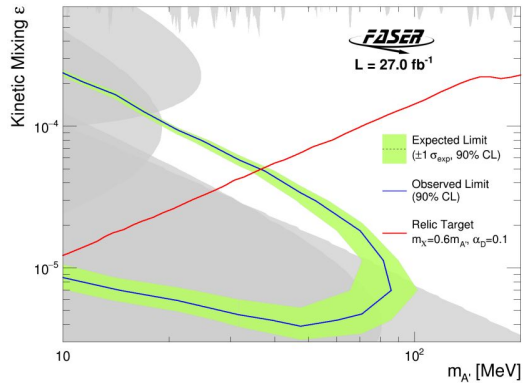


## Charged particle searches

→ Search for dark photons ( $A' \rightarrow e^+e^-$ )

[Phys. Lett. B 848 \(2024\) 138378](#)

- ◆ Track-based analysis
- ◆  $27.0 \text{ fb}^{-1}$



## Multi-photon searches

→ Search for axion-like particles (ALPs)

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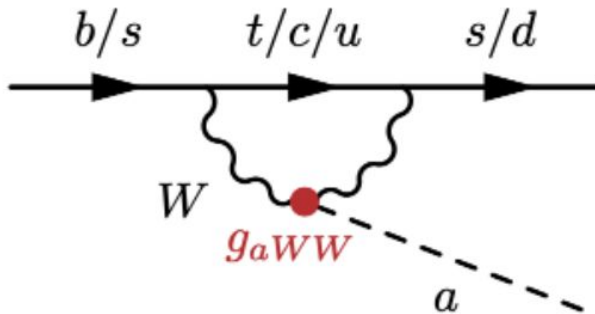
- ◆ Final state contains photons
- ◆ Calorimeter/pre-shower-based analysis
- ◆  $57.7 \text{ fb}^{-1}$

**Focus of this talk**

- Axion-like particles (ALPs) are a general class of pseudoscalar SM-singlets
- ◆ Appear in multiple proposed extensions to the SM
  - ◆ FASER is particularly sensitive to ALPs coupling to SU(2) gauge bosons → “ALP-W”

$$\mathcal{L} \supset -\frac{1}{2}m_a^2 a^2 - \frac{1}{4}g_{aWW} a W^{a,\mu\nu} \tilde{W}_{\mu\nu}^a$$

- ◆ ALP couples to weak gauge bosons and photons after EWSB

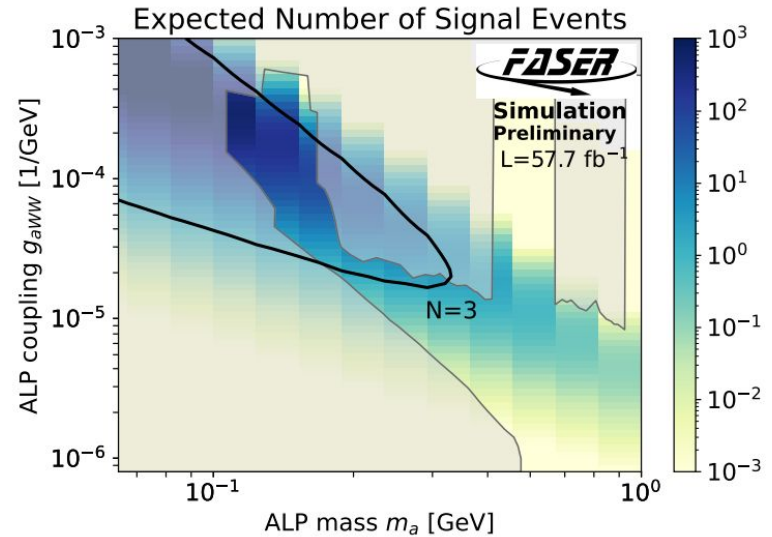


- FASER is most sensitive to parameter space with  $m_a \sim 50 - 500$  MeV and  $g_{aWW} \sim 10^{-5} - 10^{-3} / \text{GeV}$
- ◆ Dominant production mechanism is via FCNC decays of B-mesons
  - ◆ Low mass ALPs  $\Rightarrow \text{BR}(\text{ALP} \rightarrow \gamma\gamma) \sim 100\%$



# ALP signal simulation

- ALP signal events are generated using the FORESEE package [arXiv:2105.07077](https://arxiv.org/abs/2105.07077)
  - ◆ POWHEG+Pythia8 is used to model production of B mesons
  - ◆ Subdominant contribution from kaons simulated with EPOS-LHC
- Uncertainties on signal flux are the largest signal systematic in the analysis (~60%)
  - ◆ Scale variations for B hadron flux
    - Additional 20% uncertainty on BR for decays to LLPs
  - ◆ Envelope of generators for kaon flux



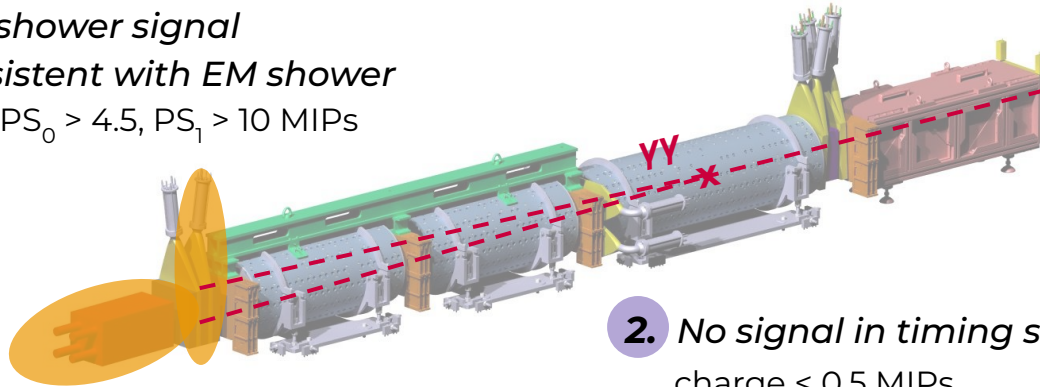
# ALP event selection

## → Simple event selection optimised for discovery

- ◆ Require LHC collision event with good quality data - analysis uses  $57.7 \text{ fb}^{-1}$
- ◆ Initially blind data with no veto signal and  $E_{\text{calo}} > 100 \text{ GeV}$
- ◆ Calorimeter and pre-shower currently not able to resolve two photons

**3.** *Pre-shower signal consistent with EM shower*  
 $PS_1 / PS_0 > 4.5, PS_1 > 10 \text{ MIPs}$

**4.**  $E_{\text{calo}} > 1.5 \text{ TeV}$



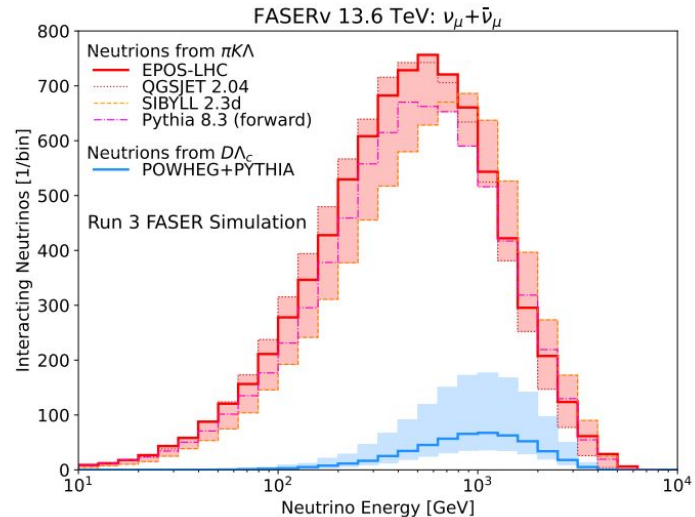
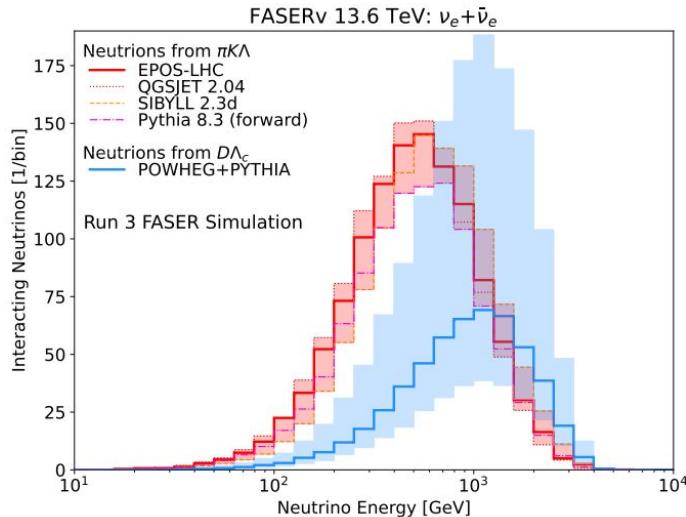
**1.** *No signal in any veto scintillator*  
charge  $< 0.5 \text{ MIPs}$

**2.** *No signal in timing scintillator*  
charge  $< 0.5 \text{ MIPs}$

→ Find ~5-80% signal selection efficiency over parameter space FASER is sensitive to

# Backgrounds - I

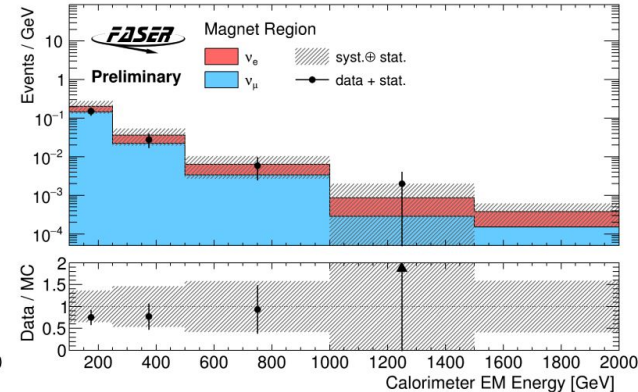
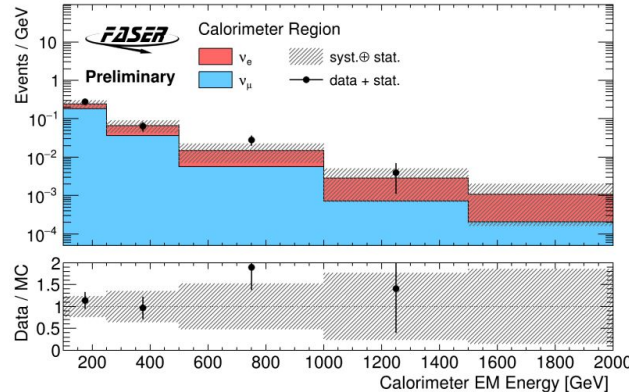
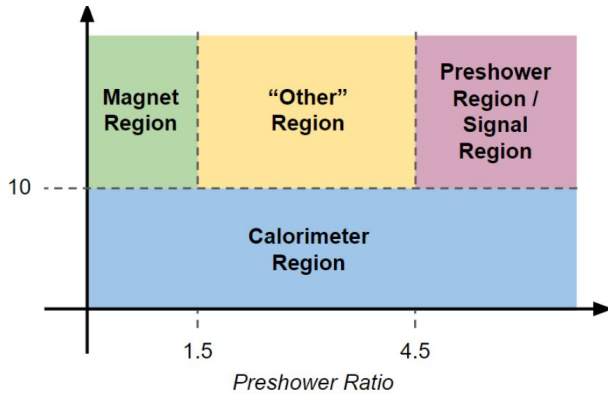
- By far the dominant background is from CC neutrino interactions ( $\nu_e$  and  $\nu_\mu$ )
- ◆ Estimated using neutrino MC predictions [Phys. Rev. D 110 \(2024\) 012009](#)
  - ◆ Neutrinos mostly produced from the decays of *light* and *charm* hadrons
    - Light: EPOS-LHC, with flux uncertainties from envelope of generators
    - Charm: NLO POWHEG+Pythia8, with flux uncertainties from scale variations



# Backgrounds - II

- Neutrinos interact in the magnets, calorimeter and pre-shower
  - ◆ Can select these populations with good purity using cuts on pre-shower variables
  - ◆ The signal region is dominated by neutrino interactions in the pre-shower
  - ◆ The “magnet” and “calorimeter” regions are used for validation → **good agreement**

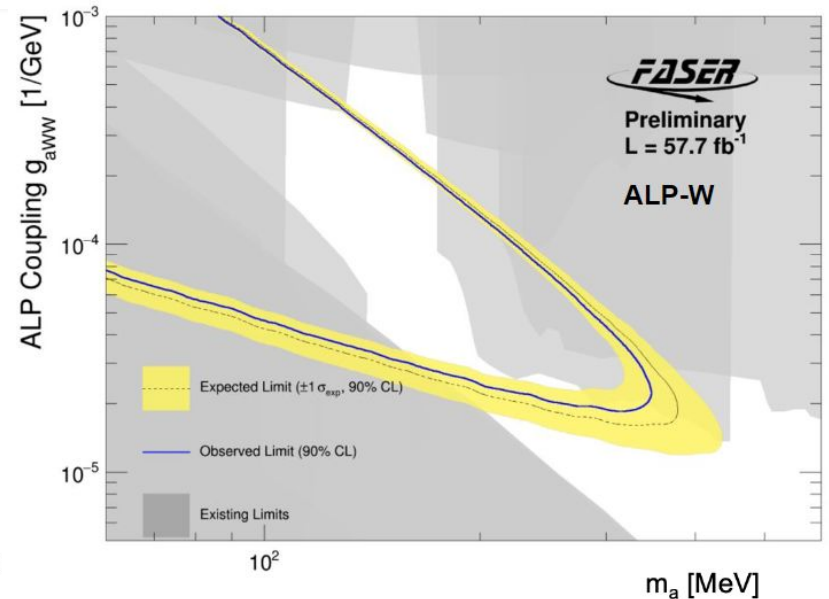
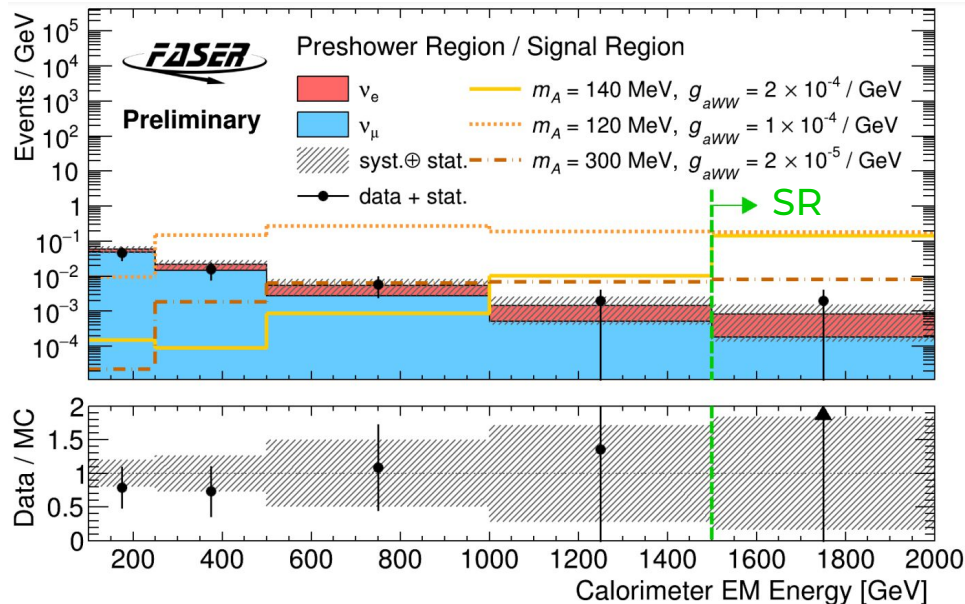
Second Preshower Layer nMIP



- Neutral hadrons, large-angle muons, and cosmics/beam 1 background found negligible

# Unblinded results - I

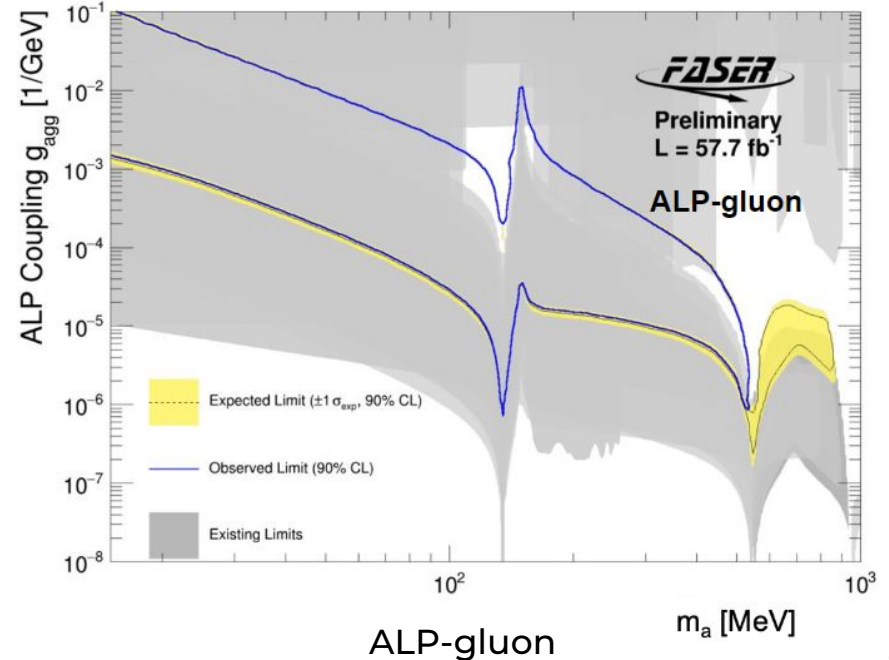
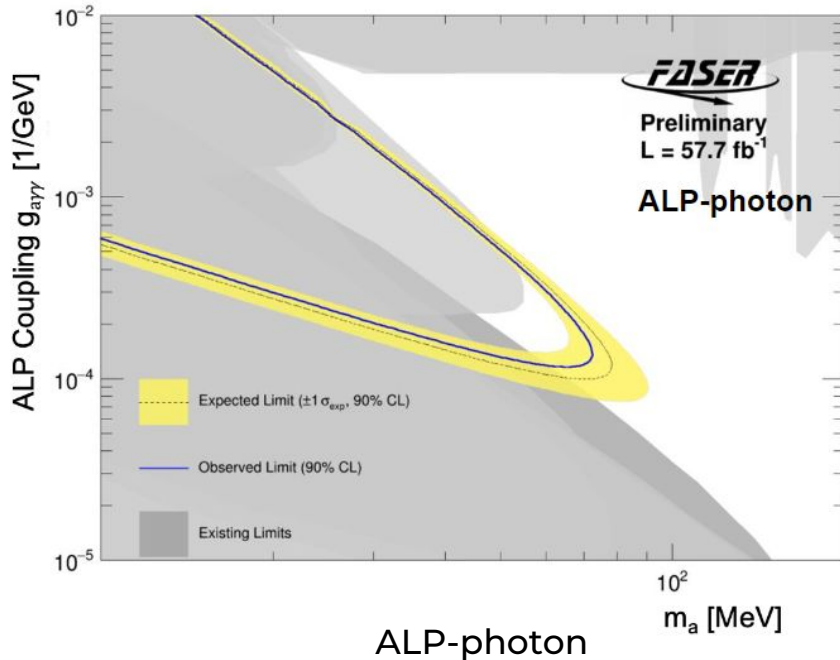
- **1 event** observed in the SR with expectation of  $0.42 \pm 0.38$  background events
- ◆ Background uncertainty dominated by the neutrino flux uncertainty ( $\sim 80\%$ )
  - ◆ No discovery, but new exclusion limits set in previously uncovered parameter space
  - ◆ Single event in SR has calorimeter energy of 1.6 TeV



# Unblinded results - II

→ Analysis also sensitive to other multi-photon signatures

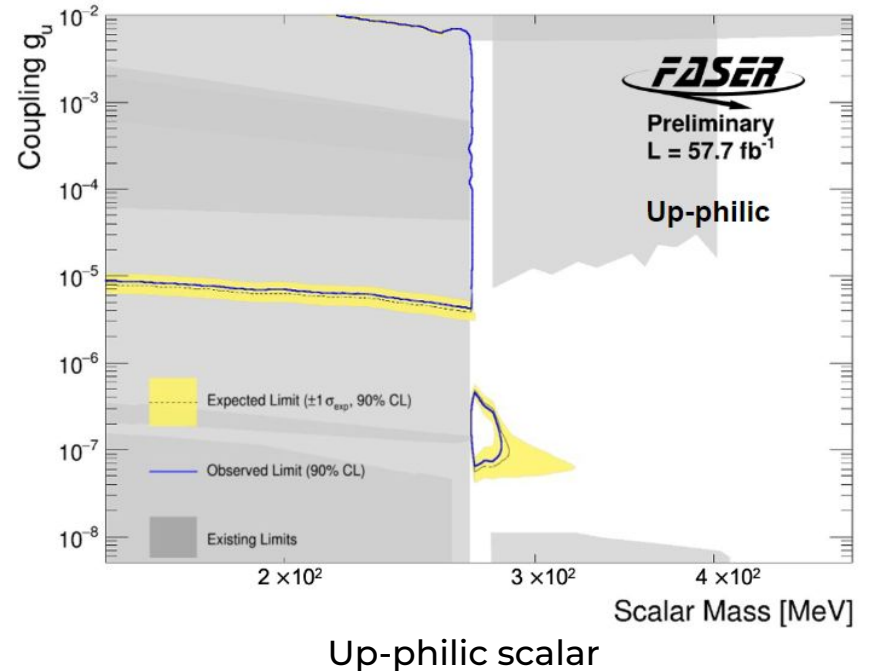
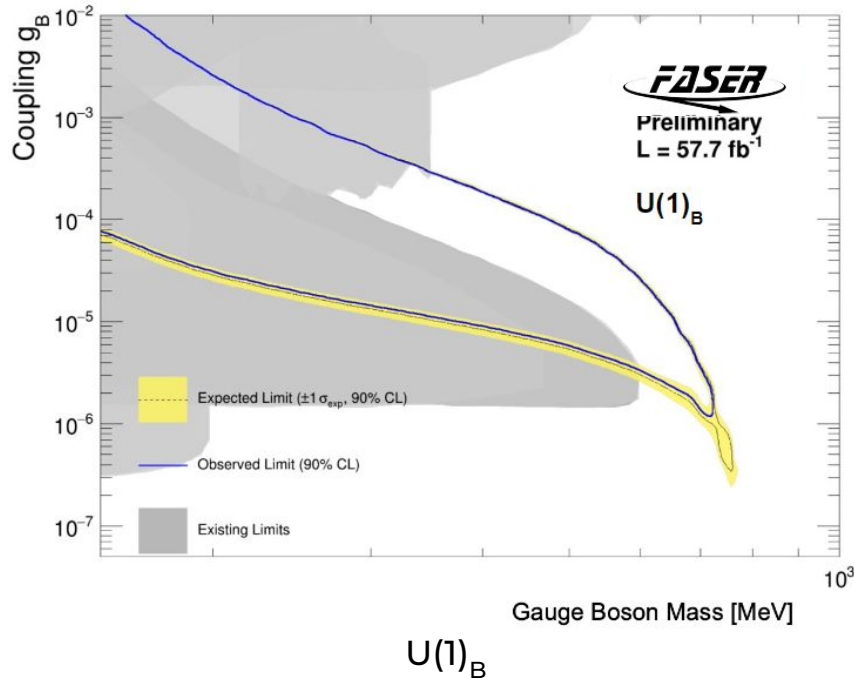
- ◆ Exclude new parameter space for ALP-photon, ALP-gluon,  $U(1)_B$  and up-philic scalar



# Unblinded results - III

→ Analysis also sensitive to other multi-photon signatures

- ◆ Exclude new parameter space for ALP-photon, ALP-gluon,  $U(1)_B$  and up-philic scalar



- FASER continuing to operate successfully in Run 3
  - ◆ Also approved to operate in [Run 4](#)
- Constraints on previously unexplored ALP-W (and multi-photon) parameter space
  - ◆ Second of our BSM results following dark photon search
  - ◆ Big improvement expected with planned preshower upgrade → see [Andrea's talk](#)
- FASER also has an extensive neutrino physics program
  - ◆ Both emulsion and electronic analyses → see [Sergey's talk](#)
- Large upgrade to FASER planned for HL-LHC
  - ◆ Part of Forward Physics Facility (FPF) [arXiv:2203.05090](#)
  - ◆ Significant improvement to sensitivity expected → see [Alan's talk](#)

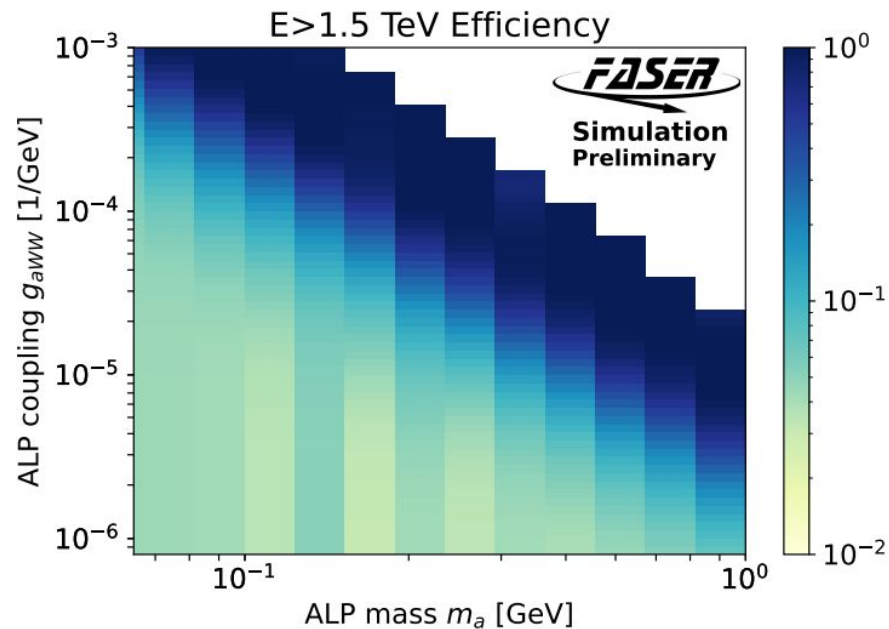
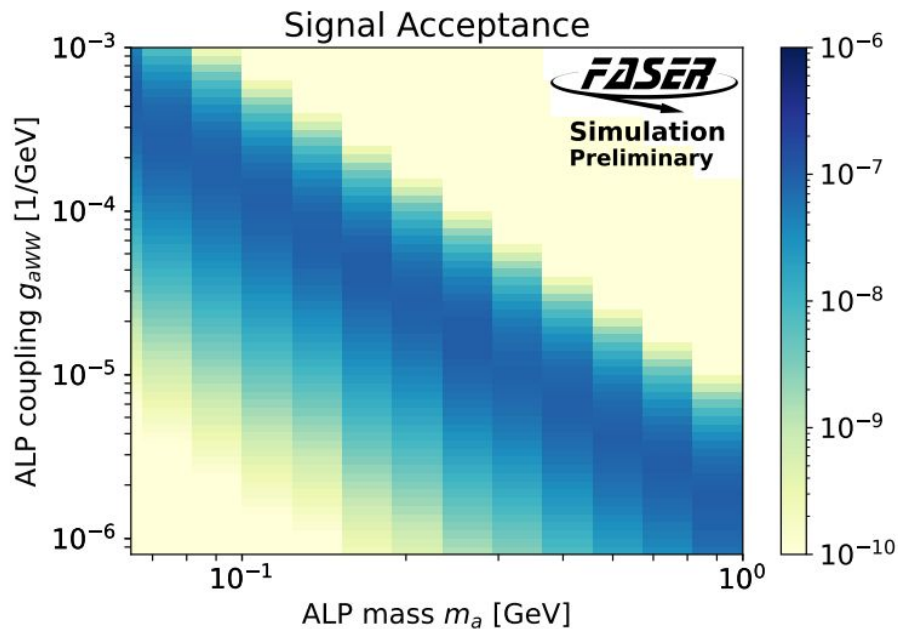
**Thank you for your attention!**



# Backup



# ALP signal simulation



# ALP event selection



## Trigger and Data Quality

Selecting events with calorimeter triggers

Calorimeter timing ( $> -5$  ns and  $< 10$  ns)

## Baseline Selection

Veto/VetoNu Scintillator to have no signal ( $< 0.5$  MIPs)

Timing Scintillator to have no signal ( $< 0.5$  MIPs)

## Signal Region

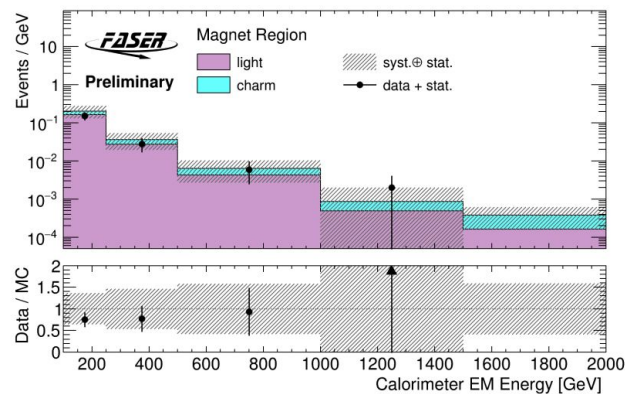
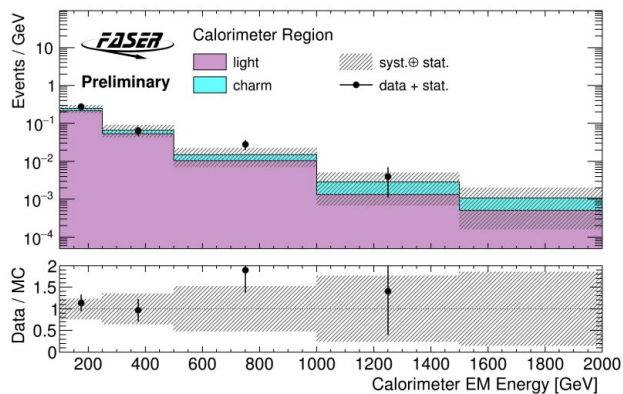
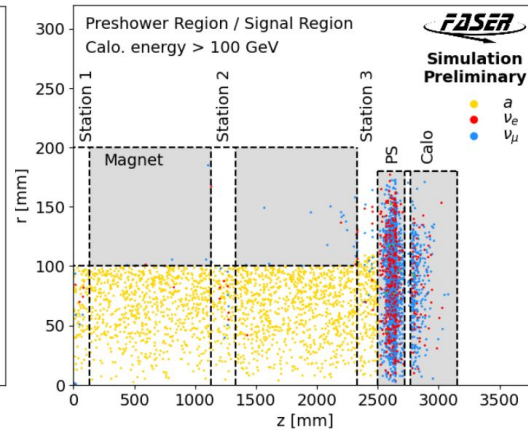
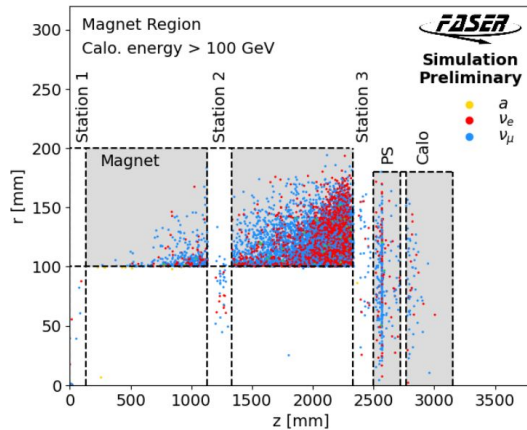
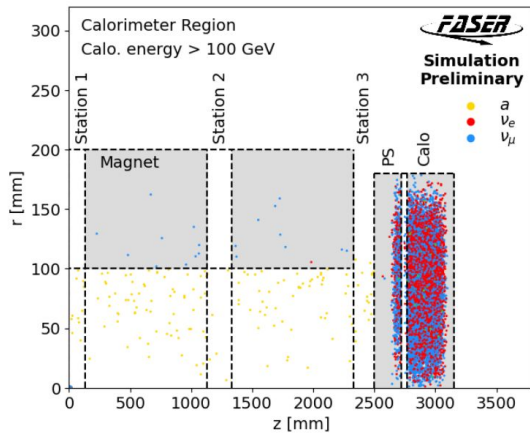
Preshower Ratio to have EM shower in the Preshower ( $> 4.5$ )

Second Preshower Layer to have signal ( $> 10$  MIPs)

Calorimeter to have a large deposit ( $> 1.5$  TeV)

Selection	Efficiency	Cum. Efficiency
$m_a = 140$ MeV, $g_{aWW} = 2 \times 10^{-4}$ GeV $^{-1}$		
Veto Signal nMIP $< 0.5$	99.6%	99.6%
Timing Scintillator Signal nMIP $< 0.5$	97.8%	97.4%
Preshower Ratio $> 4.5$	85.7%	83.5%
Second Preshower nMIP $> 10$	98.6%	82.3%
Calo $E > 1.5$ TeV	91.6%	75.4%

# Backgrounds



# Backgrounds



Magnet region	
Light	$33.6_{-3.4}^{+6.7}$ (flux) $\pm 4.3$ (exp.) $\pm 0.4$ (stat.)
Charm	$9.9_{-4.6}^{+16.1}$ (flux) $\pm 0.9$ (exp.) $\pm 0.2$ (stat.)
Total	<b><math>43.5 \pm 18.2</math> (41.9%)</b>
Data	<b>34</b>
"Other" region	
Light	$17.4_{-0.8}^{+1.3}$ (flux) $\pm 2.5$ (exp.) $\pm 0.3$ (stat.)
Charm	$3.9_{-1.8}^{+6.0}$ (flux) $\pm 0.5$ (exp.) $\pm 0.2$ (stat.)
Total	<b><math>21.3 \pm 6.9</math> (32.2%)</b>
Data	<b>17</b>
Calorimeter region	
Light	$51.6_{-3.4}^{+2.0}$ (flux) $\pm 3.1$ (exp.) $\pm 0.5$ (stat.)
Charm	$11.1_{-5.1}^{+19.1}$ (flux) $\pm 0.4$ (exp.) $\pm 0.3$ (stat.)
Total	<b><math>62.7 \pm 19.7</math> (31.4%)</b>
Data	<b>74</b>
Preshower region	
Light	$14.8_{-1.2}^{+0.9}$ (flux) $\pm 1.8$ (exp.) $\pm 0.3$ (stat.)
Charm	$3.0_{-1.4}^{+4.5}$ (flux) $\pm 0.3$ (exp.) $\pm 0.1$ (stat.)
Total	<b><math>17.8 \pm 5.1</math> (28.8%)</b>
Data	<b>15</b>

Preshower region	
$\nu_e$	$5.16 \pm 2.59$ (flux) $\pm 0.51$ (exp.) $\pm 0.17$ (stat.)
$\nu_\mu$	$12.6 \pm 2.3$ (flux) $\pm 1.61$ (exp.) $\pm 0.3$ (stat.)
Total	<b><math>17.8 \pm 5.1</math> (28.8%)</b>
Data	<b>15</b>
Calorimeter region	
$\nu_e$	$22.6 \pm 12.8$ (flux) $\pm 0.7$ (exp.) $\pm 0.4$ (stat.)
$\nu_\mu$	$39.9 \pm 6.8$ (flux) $\pm 2.8$ (exp.) $\pm 0.5$ (stat.)
Total	<b><math>62.7 \pm 19.7</math> (31.4%)</b>
Data	<b>74</b>
Magnet region	
$\nu_e$	$13.8 \pm 10.3$ (flux) $\pm 1.4$ (exp.) $\pm 0.3$ (stat.)
$\nu_\mu$	$29.4 \pm 8.0$ (flux) $\pm 3.8$ (exp.) $\pm 0.4$ (stat.)
Total	<b><math>43.5 \pm 18.2</math> (41.9%)</b>
Data	<b>34</b>
"Other" region	
$\nu_e$	$6.3 \pm 3.6$ (flux) $\pm 0.8$ (exp.) $\pm 0.19$ (stat.)
$\nu_\mu$	$14.9 \pm 2.7$ (flux) $\pm 2.2$ (exp.) $\pm 0.3$ (stat.)
Total	<b><math>21.3 \pm 6.9</math> (32.2%)</b>
Data	<b>17</b>

SR	
$\nu_e$	$0.32 \pm 0.31$ (flux) $\pm 0.10$ (exp.) $\pm 0.04$ (stat.)
$\nu_\mu$	$0.09 \pm 0.04$ (flux) $\pm 0.05$ (exp.) $\pm 0.02$ (stat.)
Total	<b><math>0.42 \pm 0.38</math> (90.6%)</b>
Data	<b>1</b>

> 1.5 TeV signal region	
Light	$0.23_{-0.11}^{+0.01}$ (flux) $\pm 0.11$ (exp.) $\pm 0.04$ (stat.)
Charm	$0.19_{-0.09}^{+0.32}$ (flux) $\pm 0.06$ (exp.) $\pm 0.03$ (stat.)
Total	<b><math>0.42 \pm 0.38</math> (90.6%)</b>

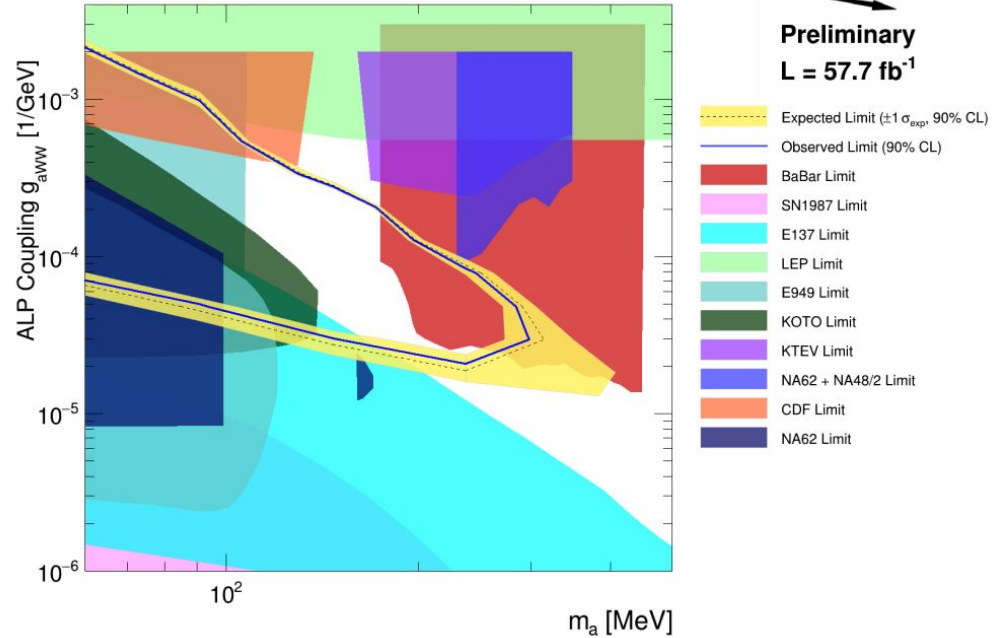
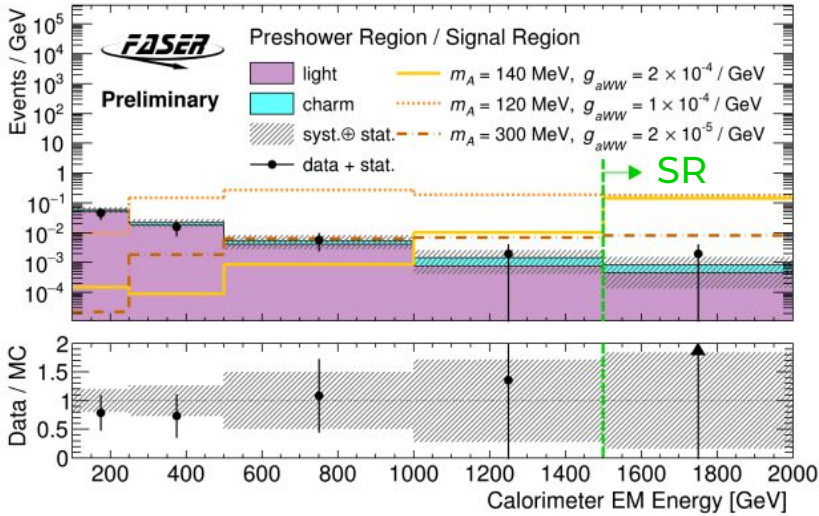
# Systematics



Signal Sample	Flux	Stat.	Luminosity	Calorimeter	Second Preshower Layer	Preshower Ratio
$m_a = 140 \text{ MeV}$ $g_{aWW} = 2 \times 10^{-4} \text{ GeV}^{-1}$	59.4%	1.8%	2.2%	3.6%	0.6%	7.9%
$m_a = 120 \text{ MeV}$ $g_{aWW} = 10^{-4} \text{ GeV}^{-1}$	57.3%	3.5%	2.2%	16.3%	0.6%	6.9%
$m_a = 300 \text{ MeV}$ $g_{aWW} = 2 \times 10^{-5} \text{ GeV}^{-1}$	58.0%	2.9%	2.2%	15.8%	0.6%	8.4%

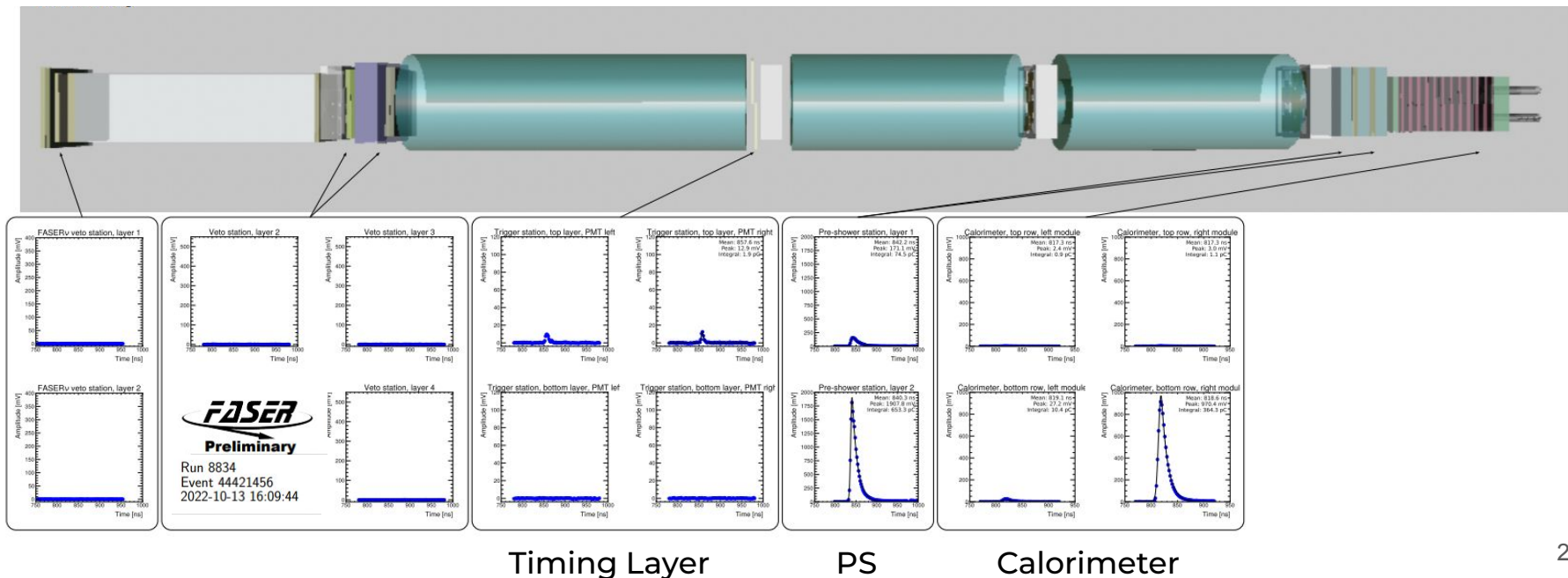
Source	Event Rate
Neutrino Background	$0.42 \pm 0.32$ (flux)
	$\pm 0.14$ (calo. energy)
	$\pm 0.06$ (PS ratio)
	$\pm 0.02$ (PS 1 nMIP)
	$\pm 0.05$ (stat.)
	<b>Total: <math>0.42 \pm 0.38</math> (90.6%)</b>
ALP ( $m_a = 140 \text{ MeV}, g_{aWW} = 2 \times 10^{-4} \text{ GeV}^{-1}$ )	$70.7 \pm 42.0$ (theo.) $\pm 6.4$ (exp.) $\pm 1.3$ (stat.)
ALP ( $m_a = 120 \text{ MeV}, g_{aWW} = 1 \times 10^{-4} \text{ GeV}^{-1}$ )	$91.1 \pm 52.2$ (theo.) $\pm 16.2$ (exp.) $\pm 3.2$ (stat.)
ALP ( $m_a = 300 \text{ MeV}, g_{aWW} = 2 \times 10^{-5} \text{ GeV}^{-1}$ )	$4.0 \pm 2.3$ (theo.) $\pm 0.6$ (exp.) $\pm 0.1$ (stat.)
<b>Data</b>	<b>1</b>

# Unblinded results



# “ALPtrino” event

- Event display of single event observed in the SR
  - ◆ Calorimeter energy of 1.6 TeV
  - ◆ Pre-shower deposits consistent with EM shower





# Scintillator efficiencies

Scintillator	2022	2023
Veto-0	99.999988(5)	99.999994(4)
Veto-1	99.999992(5)	99.999994(4)
Veto-2	99.999992(5)	99.999994(4)
NuVeto-0	99.99989(1)	99.99988(1)
NuVeto-1	99.99988(1)	99.99986(1)

# Multi-photon models



## → ALP-photon

- ◆ Production: Primakoff process
- ◆ Decay:  $\gamma\gamma$

$$\mathcal{L} = -\frac{1}{2}m_a^2 a^2 - \frac{1}{4}g_{a\gamma\gamma} a F^{\mu\nu} \tilde{F}_{\mu\nu}$$

## → ALP-gluon

- ◆ Production:  $\alpha$  mixing with  $\pi^0$ ,  $\eta$  and  $\eta'$
- ◆ Decay:  $\gamma\gamma$  (low masses),  $3\pi$  and  $\pi^+\pi^-\gamma$  ( $m_a \sim 0.5$  GeV)

$$\mathcal{L} = -\frac{1}{2}m_a^2 a^2 - \frac{g_s^2}{8}g_{agg} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

## → $U(1)_B$ gauge boson

- ◆ Production:  $\pi^0$ ,  $\eta$  and  $\eta'$  decays, dark bremsstrahlung
- ◆ Decay:  $ee$  ( $m_{Z_B} < m_{\pi^0}$ ),  $\pi^0\gamma$ ,  $\pi^0\pi^+\pi^-$  ( $m_{Z_B} \sim 600$  MeV)

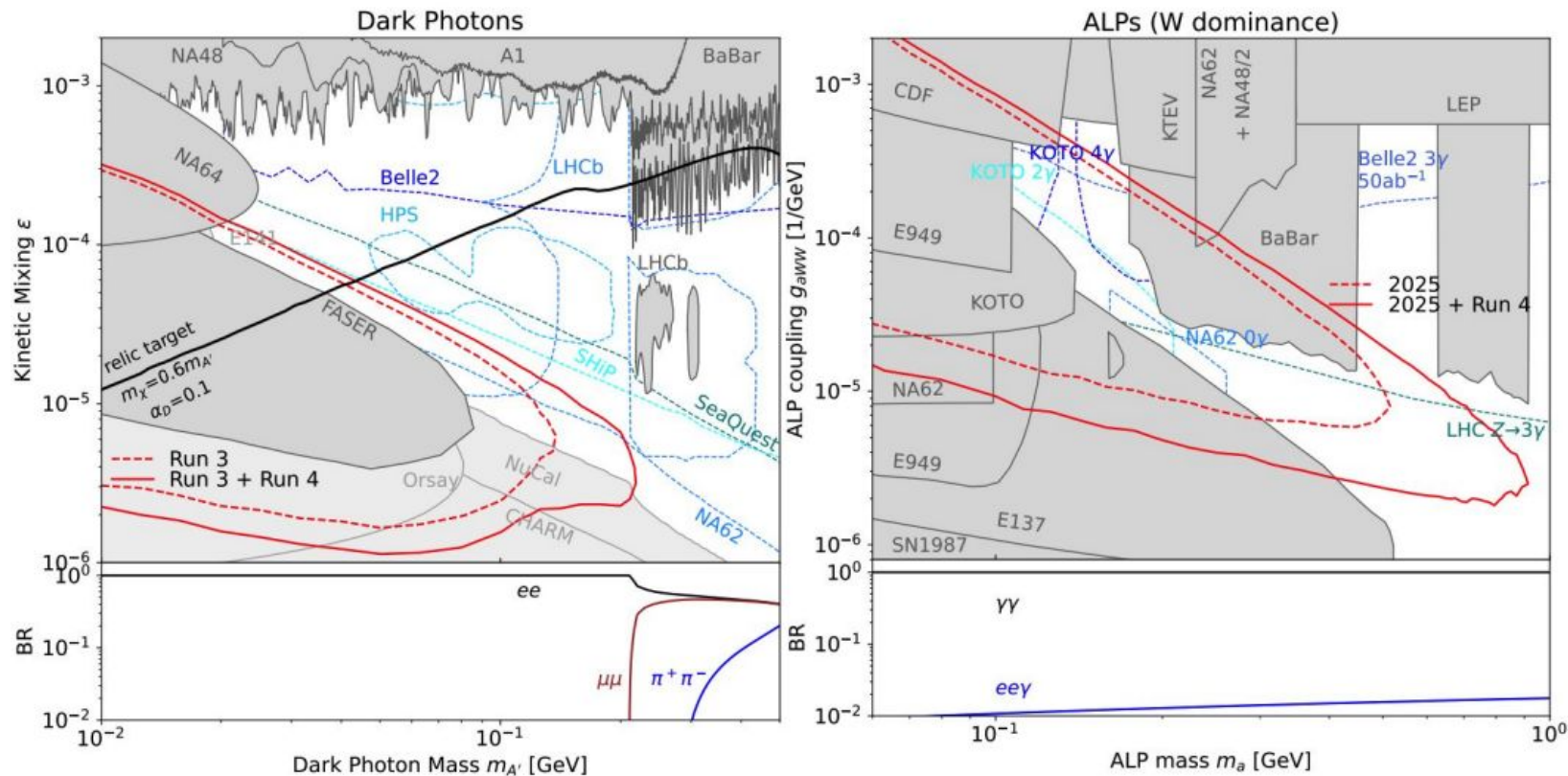
$$\mathcal{L} = \frac{1}{2}m_{Z_B}^2 Z_B^2 - g_B \sum x_f \bar{f}\gamma^\mu f X_\mu$$

## → Up-philic scalar

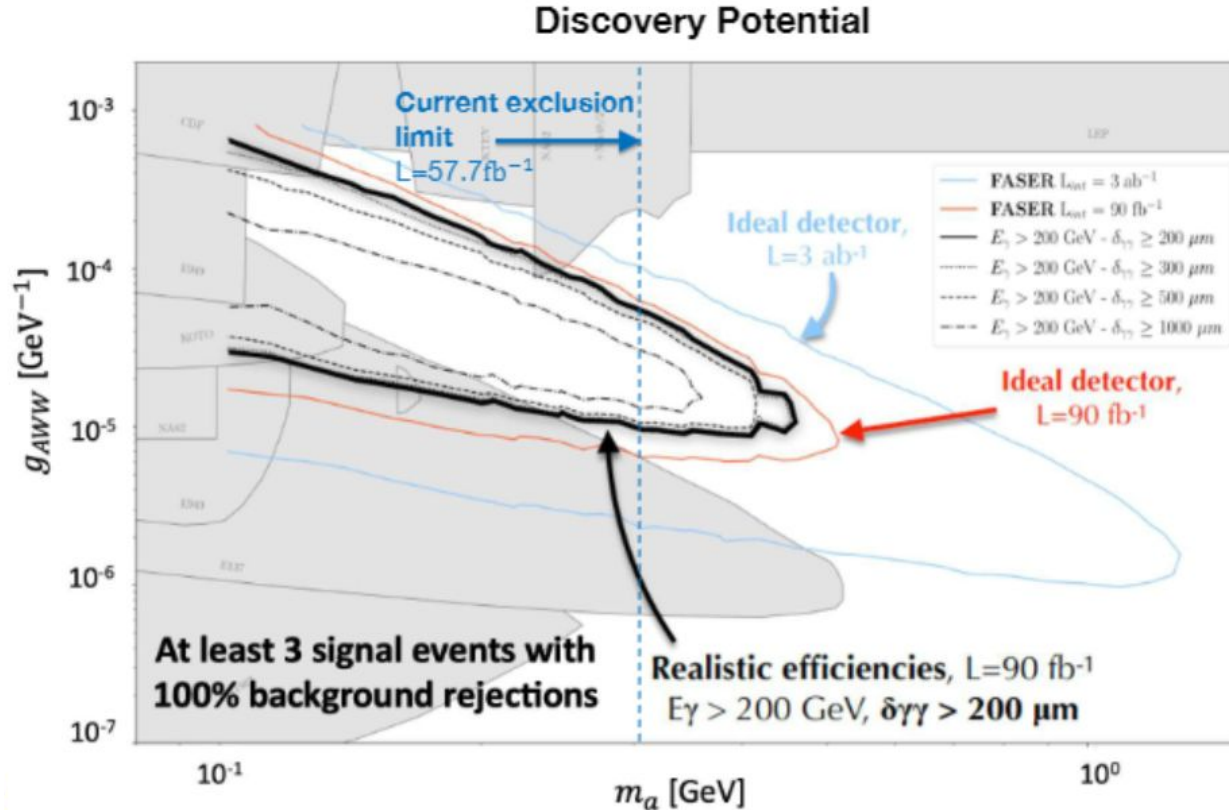
- ◆ Production: mainly  $\eta$  and  $\eta'$  decays, also FCNC kaon decays
- ◆ Decay:  $\gamma\gamma$  ( $m_S < 2m_{\pi^0}$ ),  $\pi^0\pi^0$  ( $m_S > 2m_{\pi^0}$ )

$$\mathcal{L} = -\frac{1}{2}m_S^2 S^2 - g_u \bar{u} u S$$

# Run 4



# Preshower upgrade



# The FASER collaboration



**101 members** from **27 institutions** and **11 countries**



# Acknowledgements

