Scalar Leptoquarks in Flavour Physics

Svjetlana Fajfer Institute J. Stefan, Ljubljana and Physics Department, University of Ljubljana, Slovenia



42nd International Conference on High Energy Physics Prague, 17 -24 July 2024



Started in 2012!

$R_{D(*)}$ over the years



There are still some issues!

$$< D^{(*)}(p',(\epsilon))|\bar{c}\,\Gamma^{\mu}\,b|B(p)> = \sum K^{\mu}_{j}\mathcal{F}_{j}(q^{2})$$

1) $B \rightarrow D$: one (two) form-factors with $f_0(0) = f_+(0)$ at $q^2 = 0$; Lattice QCD at $q^2 = q^2_{max}$ for both form-factors.

2) $B \rightarrow D^*$: three (four) form-factors; First lattice results at $q^2 = q^2_{max}$! Tensions with $B \rightarrow D^* lv$ exp. data

$$R_D^{\text{exp}} = 0.344(26), \qquad R_{D^*}^{\text{exp}} = 0.285(12)$$





$$\langle D^*(k) | \bar{c} \gamma_{\mu} (1 - \gamma_5) b | B(p) \rangle = \varepsilon_{\mu\nu\rho\sigma} \varepsilon^{*\nu} p^{\rho} k^{\sigma} \frac{2V(q^2)}{m_B + m_{D^*}} - i\varepsilon^*_{\mu} (m_B + m_{D^*}) A_1(q^2)$$

$$+ i(p+k)_{\mu} (\varepsilon^* \cdot q) \frac{A_2(q^2)}{m_B + m_{D^*}} + iq_{\mu} (\varepsilon^* \cdot q) \frac{2m_{D^*}}{q^2} \left[A_3(q^2) - A_0(q^2) \right]$$

- Lattice QCD computations of these form factors : (FERMILAB MILC, 2105.14019, HPQCD , 2304.03137, JLQCD, Y. Aoki et al., 2306.05657)
- consistent for the dominant form factor $A_1(q^2)$, but do not agree with the other form factors.

We used another approach to consider form factors is heavy quark effective theory (Caprini, Lellouch, Neubert -CLN), reducing the problem to four parameters and using all experimental information (HFLAV, in agreement with Belle II)

$$B \xrightarrow{b} V_{cb} \xrightarrow{c} D^{(*)}$$

$$R_{D^*}^{\rm SM} = 0.247(2)$$

 3σ smaller than the experimental avarege

 $R_{\nu\nu}^{K^{(*)}} = \mathcal{B}(B \to K^{(*)}\nu\bar{\nu})/\mathcal{B}(B \to K^{(*)}\nu\bar{\nu})^{\mathrm{SM}}$

NP in b $\rightarrow s \ \mu\mu$: RK_(*) anomaly is not an issue (only P₅')!



Belle II (362 fb⁻¹, hadronic) 1.1 ± 1.1 This analysis, preliminary Belle II (362 fb⁻¹, inclusive) 2.7 ± 0.7 This analysis, prelimina Belle II (63 fb⁻¹, inclusive) 1.9 ± 1.5 PRL127, 181802 Belle (711 fb⁻¹, semileptonic) 1.0 ± 0.6 PRD96, 091101 Belle (711 fb⁻¹, hadronic) 2.9 ± 1.6 PRD87, 111103 BaBar (418 fb⁻¹, semileptonic) 0.2 ± 0.8 PRD82, 112002 BaBar (429 fb⁻¹, hadronic) 1.5 ± 1.3 PRD87, 112005 0 2 8 10 $10^5 \times \text{Br}(B^+ \rightarrow K^+ \nu \bar{\nu})$

New Belle-II results

First Belle-II result

 $R_{\nu\nu}^{K} = 5.4 \pm 1.5$

- f_{+} Our Fit

 $\chi^2_{\rm min}/{\rm d.o.f.} \simeq 9.2/10$

 $R_{\nu\nu}^{K^*} < 2.7 \quad (90\% \text{ C.L.})$

NP in $b \rightarrow s v \bar{v}$

If SM neutrinos the right-handed quark operator necessary!

$$\mathcal{O}_{L}^{\nu_{i}\nu_{j}} = \frac{e^{2}}{(4\pi)^{2}} (\bar{s}_{L}\gamma_{\mu}b_{L})(\bar{\nu}_{i}\gamma^{\mu}(1-\gamma_{5})\nu_{j}),$$
$$\mathcal{O}_{R}^{\nu_{i}\nu_{j}} = \frac{e^{2}}{(4\pi)^{2}} (\bar{s}_{R}\gamma_{\mu}b_{R})(\bar{\nu}_{i}\gamma^{\mu}(1-\gamma_{5})\nu_{j}),$$



Allwicher et al, 2309.02246, Bause et al., 2309.00075



 $B \rightarrow KX$ $B \rightarrow K \nu_L \nu_R$ Β→Κ χχ B→Kφφ Bolton, SF, Kamenik, Novoa-Brunet, 2403.13887

SMSM re-scaled $(p_{
m tot})$ $\psi \bar{\psi}, f_{VV}$ V, h_V 100 0 510 15200 $q_{\rm rec}^2 \, [{\rm GeV}^2]$

Events $(n_{\rm obs}$

- two-body decay, best fit point (2.8 σ) for vector state $m_v \sim 2 \text{ GeV}$

- for two inisible scalars or fermions $m\chi = 610 \text{ MeV}$ Bolton et al. '24

He C⁺ al., 2309.12741, Altmannshofer et al. 2311.1469, Alonso-Alvarez et al. 2 10.13043, Bolton, SF, Kamenik, Novoa-Brunet 2403.13887,...



- SU(3)_c⊗U(1)_{em} H, W, Z, t are integrated out (1908.05295, Dekens&Stoffer)
- evolution (RGE) running of Wilson coefficents

To analyze $R_{D(*)}$ SMEFT operators

$$\mathcal{O}_{\substack{lequ\\prst}}^{(1)} = (\bar{l}_p^a e_r) \epsilon^{ab} (\bar{q}_s^b u_t), \qquad \mathcal{O}_{\substack{lequ\\prst}}^{(3)} = (\bar{l}_p^a \sigma^{\mu\nu} e_r) \epsilon^{ab} (\bar{q}_s^b \sigma_{\mu\nu} u_t),$$
$$\mathcal{O}_{\substack{lq\\prst}}^{(1)} = (\bar{l}_p^j \gamma^{\mu} l_r) (\bar{q}_s \gamma_{\mu} u_t), \qquad \mathcal{O}_{\substack{lq\\prst}}^{(3)} = (\bar{l}_p \gamma^{\mu} \tau^I l_r) (\bar{q}_s \gamma_{\mu} \tau^I q_t).$$

$$\mathcal{O}_{Nldq}^{(1)} = (\bar{N}_R l_r^a) \epsilon^{ab} (\bar{d}_s q_t^b), \qquad \mathcal{O}_{Nldq}^{(3)} = (\bar{N}_R \sigma^{\mu\nu} l_r^a) \epsilon^{ab} (\bar{d}_s \sigma_{\mu\nu} q_t^b).$$

$$g_{V_L}(m_b) = -\frac{v^2}{m_{LQ}^2} \frac{V_{cs}}{V_{cb}} C^{(3)}_{\ \tau\tau sb}(m_{LQ}) - \frac{v^2}{m_{LQ}^2} C^{(3)}_{\ \eta}(m_{LQ}),$$

$$\mathcal{L}_{ ext{SMEFT}} = rac{1}{\Lambda^2} \sum_i C_i \mathcal{O}_i$$
 .

Warsaw basis, Grzadkowski et al, 1008.4884

SMEFT papers: Manohar et al., 1308.2627, 1309.0819, 1310,4838, 1312.2014

N_R-SMEFT operators Del Aguila et al. 0806.0876 Fernando-Martinez et al., 2304.06772.

$$g_{SL}(m_b) = -\frac{v^2}{2m_{LQ}^2} \frac{1}{V_{cb}} \left(\frac{\alpha_s(m_b)}{\alpha_s(m_t)}\right)^{12/23} \left(\frac{\mu_s(m_t)}{\alpha_s(m_{LQ})}\right)^{4/7} C_{\substack{lequ\\ \tau\tau bc}}^{(1)*}(m_{LQ}) = -0.56 C_{\substack{lequ\\ \tau\tau bc}}^{(1)*}(m_{LQ}),$$

$$g_T(m_b) = -\frac{v^2}{2m_{LQ}^2} \frac{1}{V_{cb}} \left(\frac{\alpha_s(m_b)}{\alpha_s(m_t)}\right)^{-4/23} \left(\frac{\alpha_s(m_t)}{\alpha_s(m_{LQ})}\right)^{-4/21} C_{\substack{lequ\\ \tau\tau bc}}^{(3)*}(m_{LQ}) = -0.28 C_{\substack{lequ\\ \tau\tau bc}}^{(3)*}(m_{LQ}),$$

integrate out

heavy field

- Grand Unified Theories (GUTs), Jogesh Pati and Abdus Salam in 1974 ٠
- In 1997, the H1 and ZEUS collaborations at HERA an excess of events, production of leptoquarks (electronproton system, H1 mass 200 GeV).

at GUT scale)

In 21st century
 a) LHC Searches
 b) Flavour Anomalies
 c) Neutrino Mass Models

(SU(3), SU(2), U(1))	Spin	Symbol	Type	F
$(\overline{3}, 3, 1/3)$	0	S_3	$LL\left(S_{1}^{L} ight)$	-2
(3, 2, 7/6)	0	R_2	$RL(S_{1/2}^L), LR(S_{1/2}^R)$	0
$({f 3},{f 2},1/6)$	0	$ ilde{R}_2$	$RL\left(\tilde{S}_{1/2}^{L} ight),\overline{LR}\left(\tilde{S}_{1/2}^{\overline{L}} ight)$	0
$({f \overline{3}},{f 1},4/3)$	0	$ ilde{S}_1$	$RR\left(ilde{S}_{0}^{R} ight)$	-2
$({f \overline{3}},{f 1},1/3)$	0	S_1	$LL(S_0^L), RR(S_0^R), \overline{RR}(S_0^{\overline{R}})$	-2
$(\overline{3}, 1, -2/3)$	0	$ar{S}_1$	$\overline{RR}(ar{S}^{\overline{R}}_0)$	$^{-2}$
(3, 3, 2/3)	1	U_3	$LL\left(V_{1}^{L} ight)$	0
$(\overline{\bf 3}, {f 2}, {f 5}/6)$	1	V_2	$RL(V_{1/2}^L), LR(V_{1/2}^R)$	-2
$(\overline{\bf 3},{f 2},-1/6)$	1	$ ilde{V}_2$	$RL\left(\tilde{V}_{1/2}^L\right), \overline{LR}\left(\tilde{V}_{1/2}^{\overline{R}}\right)$	-2
(3, 1, 5/3)	1	$ ilde{U}_1$	$RR(ilde{V}_0^R)$	0
(3, 1, 2/3)	1	U_1	$LL(V_0^L), RR(V_0^R), \overline{RR}(V_0^{\overline{R}})$	0
(3, 1, -1/3)	1	$ar{U}_1$	$\overline{RR}(ar{V}_0^{\overline{R}})$	0

 $Q = I_3 + Y$

Dorsner, SF, Greljo, Kamenik, Košnik, 1603.04993

Vector leptoquarks \rightarrow gauge bosons (in GUTs their masses)

 $\ell \gamma_{\mu} P_{L,R} q V^{\mu}$

Scalar leptoquarks ightarrow Yukawa-like couplings $ar{\ell}\,P_{L,R}\,q\,\Phi$

Scalar Leptoquarks in $R_{D(*)}$

Goal of our study : whether or not any of the scalar leptoquarks, with a minimalistic set of Yukawa couplings, fits the current experimental world average of R_D and R_{D*}

Scenarios in which the scalar leptoquark couples to τ and either to c or to b quark.



In ref. Angelescu et al., 2103.12504 all these could explain $R_{D(*)}$

$$U_1 = (3, 1, 2/3) : g_V$$
$$R_2 = (3, 2, 7/6) : g_{S_L} = 4g_T$$
$$S_1 = (\bar{3}, 1, 1/3) : g_{S_L} = -4g_T, g_V$$

Our update Damir Bečirević, SF , Nejc Košnik, Lovre Pavičić, 2404.16772 $R_2 = (3, 2, 7/6)$ $\widetilde{R}_2 = (3, 2, 1/6)$ $S_1 = (3, 1, 1/3)$ In SU(2)_L R₂ is in a represention of dimension 2 (weak isospin 1/2). There are two states $R_2^{5/3}$ and $R_2^{2/3}$

The minimal model: couplings to the third generations of leptons (v_{τ} and τ only)

 $R_2 = (3, 2, 7/6)$

$$\mathcal{L}_{R_2} = y_R^{b\tau} V_{jb}^* (\overline{u}_j P_R \tau) R_2^{5/3} + y_R^{b\tau} (\overline{b} P_R \tau) R_2^{2/3} - y_L^{c\tau} (\overline{c} P_L \tau) R_2^{5/3} + y_L^{c\tau} (\overline{c} P_L \nu_\tau) R_2^{2/3} + \text{h.c.}$$

mb

$$y_R = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & y_R^{b\tau} \end{pmatrix}, \qquad y_L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & y_L^{c\tau} \\ 0 & 0 & 0 \end{pmatrix}$$

 $g_{S_L}(m_{R_2}) = 4g_T(m_{R_2})$ $g_{S_L}(m_b) = 8.8 \times g_T(m_b)$

m_{R2}

One of the Yukawa couplings should be complex

$$g_{S_L}(m_b) = 0.60 \times \frac{1}{2} |y_R^{b\tau} y_L^{c\tau}| e^{i\varphi}$$

Bečirević, SF, Košnik, Pavičić, 2404.16772

running from

high p_T relevan- (Allwicher et al 2207.10756)

R₂ is out of game

1.0

0.5

0.0

-0.5

-1.0

-1.0

-0.5

0.0

 $\operatorname{Re}[g_{S_L}]$

0.5

 $\operatorname{Im}[g_{S_L}]$

 $m_{R_2} = 1.5 \text{ TeV}$



$\widetilde{R}_2 = (3, 2, 1/6)$

$\widetilde{R}_2^{2/3}$ and $\ \widetilde{R_2}^{-1/3}$

- It can couple to non-SM right-handed neutrino $N_{\rm R}$

$$\mathcal{L} = -\widetilde{y}_L^{b\tau} (\overline{b}P_L \tau) \widetilde{R}_2^{2/3} + \widetilde{y}_L^{b\tau} (\overline{b}P_L \nu) \widetilde{R}_2^{-1/3} + \widetilde{y}_R^{sN} (\overline{s}P_R N_R) \widetilde{R}_2^{-1/3} + \widetilde{y}_R^{sN} V_{js} (\overline{u}_j P_R N_R) \widetilde{R}_2^{2/3} + \text{h.c.}$$

minimal set of couplings

In the branching ratio N_R cannot interfere with SM neutrino

$$\mathcal{B} \propto \left|\mathcal{A}_{ ext{SM}} + \mathcal{A}_{ ext{NP}}^{
u_L}
ight|^2 + \left|\mathcal{A}_{ ext{NP}}^{N_R}
ight|^2$$

However, there is the tree diagram for



 $b \to s \nu \bar{N}_R$

 $\widetilde{y}_{L} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \widetilde{y}_{L}^{b\tau} \end{pmatrix}, \qquad \widetilde{y}_{R} = \begin{pmatrix} 0 \\ \widetilde{y}_{R}^{sN} \\ 0 \end{pmatrix},$

To explain RD(*) and Belle II result for $B \rightarrow K \nu \nu$ this cannot be achieved with this LQ! See also Rosauro-Alcaraz and Santos Leal ,2401.17440



 $ilde{R}_2$ is out of game!



Being a weak singlet S_1 has only one state with the electric charge 1/3. It allows the the interactions with quark, lepton both being weak doublets, or weak singlets

$$\mathcal{L}_{S_1} = y_L^{b\tau} V_{ib}^* (\overline{u_i^C} P_L \tau) S_1 - y_L^{b\tau} (\overline{b^C} P_L \nu_\tau) S_1 + y_R^{c\tau} (\overline{c^C} P_R \tau) S_1 + \text{h.c.}$$



Damir Bečirević, SF, Nejc Košnik, Lovre Pavičić, 2404.16772

S_1 - survival of the fittest!

1)
$$\frac{\mathcal{B}(B_c \to \tau \nu)^{S_1}}{\mathcal{B}(B_c \to \tau \nu)^{\text{SM}}} \in [1.13, 1.48], \qquad \mathcal{B}(B_c \to \tau \nu)^{\text{SM}} = (2.24 \pm 0.07)\% \times \left(\frac{V_{cb}}{0.0417}\right)^2$$

2) through the box or penguin diagrams involving one S₁ and one W-boson, a contribution to $b \rightarrow s\tau \tau$ or $b \rightarrow sv_{\tau}v_{\tau}$

$$\begin{array}{c} \overset{s_{L}^{*}}{\underset{n}{\longrightarrow}} & \overset{v_{u}^{*}}{\underset{w_{L}}{\longrightarrow}} & \overset{v_{u}^{*}}{\underset{w_{L}}{\longrightarrow}} & \overset{\tau_{L}}{\underset{w_{L}}{\longrightarrow}} & \frac{\mathcal{B}(B_{s} \to \tau\tau)^{S_{1}}}{\mathcal{B}(B_{s} \to \tau\tau)^{S_{1}}} \in [0.73, 0.98], \quad \frac{\mathcal{B}(B \to K\tau\tau)^{S_{1}}}{\mathcal{B}(B \to K\tau\tau)^{S_{1}}} \in [0.73, 0.98] \\ \end{array}$$

$$\begin{array}{c} 3) b \to sv_{\tau}v_{\tau} & \overset{s^{*}}{\underset{s_{1}}{\longrightarrow}} & \overset{v_{\tau}}{\underset{s_{1}}{\longrightarrow}} & \overset{v_{\tau}}{\underset{w_{\tau}}{\longrightarrow}} & \overset{v_{\tau}}{\underset{w_{\tau}}{\overset{w_{\tau}}{\longrightarrow}} & \overset{v_{\tau}}{\underset{w_{\tau}}{\longrightarrow}} & \overset{v_{\tau}}{\underset{w_{\tau}}{\longrightarrow}} & \overset{v_{\tau}}{\underset{w_{\tau}}{\longrightarrow}} & \overset{v_{\tau}}{\underset{w_{\tau}}{\overset{w_{\tau}}{\underset$$

5) for $B \rightarrow D(^{*})\tau\nu$ the fraction of the decay rate to a longitudinally polarized D*, the τ -lepton polarization asymmetry, and the forward-backward asymmetries



S_1 with V-A couplings only

 $g_{V_L} = -\frac{v^2}{m_{S_1}^2} \frac{V_{cs}}{V_{cb}} y_L^{s\tau} y_L^{b\tau}$ $y_L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & y_L^{s\tau} \\ 0 & 0 & y_L^{b\tau} \end{pmatrix}, \qquad y_R = 0$

No right-handed fermions $(y_R^{c\tau} = 0)$





LFU tests

LFU tests at LHC expected!

Leptoquarks

 S_1 leptoquark is the only one viable candidate to explain the $R_{D(*)}$ puzzle!

Děkuji! Thanks!



Additional slides

$B \rightarrow D^*$ form factors

CLN approach , hep-ph/9712417

$$\begin{split} \frac{1}{\sqrt{m_B m_{D^*}}} \langle D^*(k) \, | \, \bar{c} \gamma_{\mu}(1 - \gamma_5) b \, | \, B(p) \rangle &= \varepsilon_{\mu\nu\rho\sigma} \varepsilon^{*\nu} v^{\rho} v'^{\sigma} h_V(w) - i \varepsilon^*_{\mu}(w + 1) h_{A_1}(w) & v_{\mu} = k_{\mu}/m_B \\ &+ i (\varepsilon^* \cdot v) v_{\mu} h_{A_2}(w) + i (\varepsilon^* \cdot v) v'_{\mu} h_{A_3}(w) , & v'_{\mu} = k_{\mu}/m_{D^*} \\ &w = v \cdot v' = (m_B^2 + m_{D^*}^2 - q^2)/(2m_B m_{D^*}) \\ h_{A_1}(w) &= h_{A_1}(1) \left[1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3 \right] , &z = (\sqrt{w + 1} - \sqrt{2})/(\sqrt{w + 1} + \sqrt{2}) \\ R_1(w) &= \frac{h_V(w)}{h_{A_1}(w)} = R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2 , & A_1(q^2) = \frac{\sqrt{m_B m_{D^*}}}{m_B + m_{D^*}} (w + 1) h_{A_1}(w) , \\ R_2(w) &= \frac{h_{A_2}(w)}{h_{A_1}(w)} = R_2(1) + 0.11(w - 1) - 0.06(w - 1)^2 , & \frac{V(q^2)}{A_1(q^2)} = \left[1 - \frac{q^2}{(m_B + m_{D^*})^2} \right]^{-1} R_1(w) , \\ \rho^2 &= 1.121(24), \quad R_1(1) = 1.269(26), \quad R_2(1) = 0.853(17), & \frac{A_2(q^2)}{A_1(q^2)} = \left[1 - \frac{q^2}{(m_B + m_{D^*})^2} \right]^{-1} R_2(w) , \end{split}$$

HFLAV, 2206.07501, Belle II in agreement

$$R_0(1) = \frac{4m_B m_{D^*}}{(m_B + m_{D^*})^2} \frac{A_0(q_{\text{max}}^2)}{A_1(q_{\text{max}}^2)} = 1.087(26) ,$$

$$R_0(w) = 1.09 - 0.16(w - 1)$$

On shell renormalisation condition

$$y_L^{s\tau}(q^2 = m_{S_1}^2) = 0.$$

$$y_L^{s\tau*}(q^2) = \frac{g^2 y_L^{b\tau*}}{32\pi^2} \sum_{i=u,c,t} \lambda_i \frac{m_{S_1}^2}{q^2} h\left(q^2/m_{S_1}^2, x_i, x_W\right)$$

$$h(z, x_q, x_W) = -2(x_q - 1)z \operatorname{Li}_2 \left(1 - \frac{x_W}{x_q - 1} \right) - 2x_q(z - 1) \operatorname{Li}_2 \left(1 - \frac{x_q}{x_W} \right) + 2(x_q - z) \operatorname{Li}_2 \left(1 - \frac{x_W}{x_q - z} \right) - z(x_q - 1) \log^2 \left(\frac{x_W}{x_q - 1} \right) + (x_q - z) \log^2 \left(\frac{x_W}{x_q - z} \right).$$