


*Probing the effective electron  
anti-neutrino mass with KATRIN -* 

Christoph Wiesinger (TUM) for the KATRIN collaboration, ICHEP, 19.07.2024

“for the discovery of neutrino oscillations, which shows that

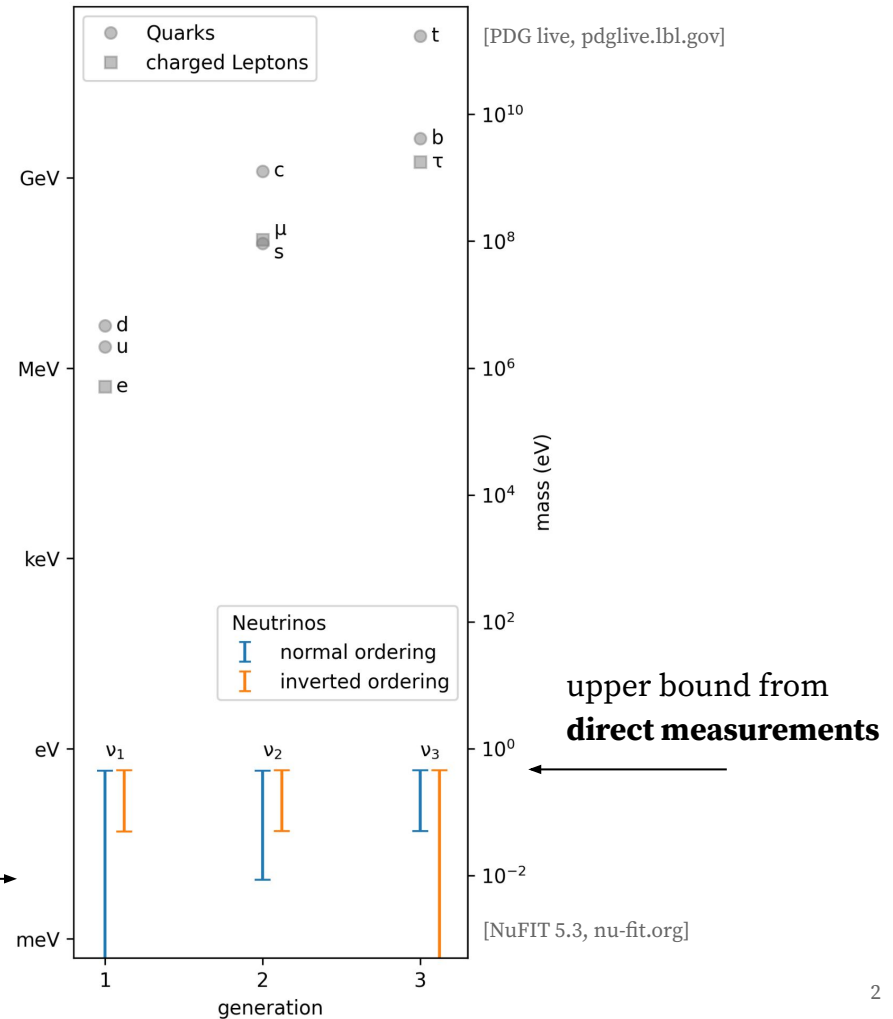
# Neutrinos have mass”

[Kajita, McDonald, Nobel Prize in Physics 2015]

- **neutrino oscillations** assess mass squared differences,  $\Delta m_{ij}^2 = m_i^2 - m_j^2$

→ mass mechanism, mass ordering, and **absolute mass** remain **unknown**

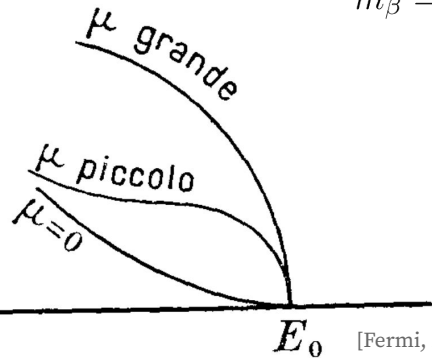
→ lower bounds from **oscillation experiments**



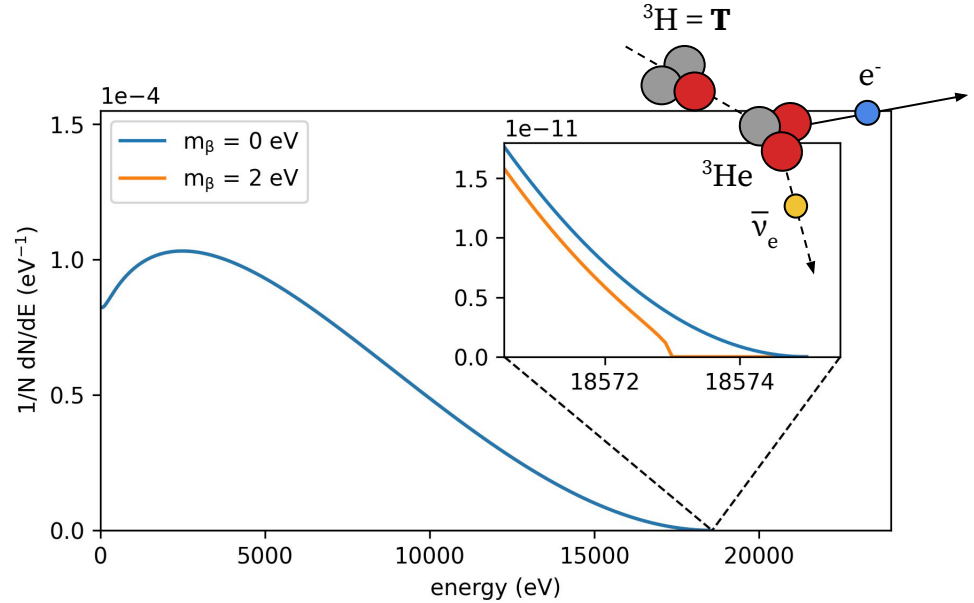
# $\beta$ -decay kinematics

- **direct determination** of phase space modification, **squared neutrino mass**, maximum distortion at endpoint
- probe **effective electron anti-neutrino mass**, weighted incoherent sum

$$m_\beta = \sqrt{\sum_i |U_{ei}^2| m_i^2}$$



[Fermi, Nuovo Cim. 11 (1934)]

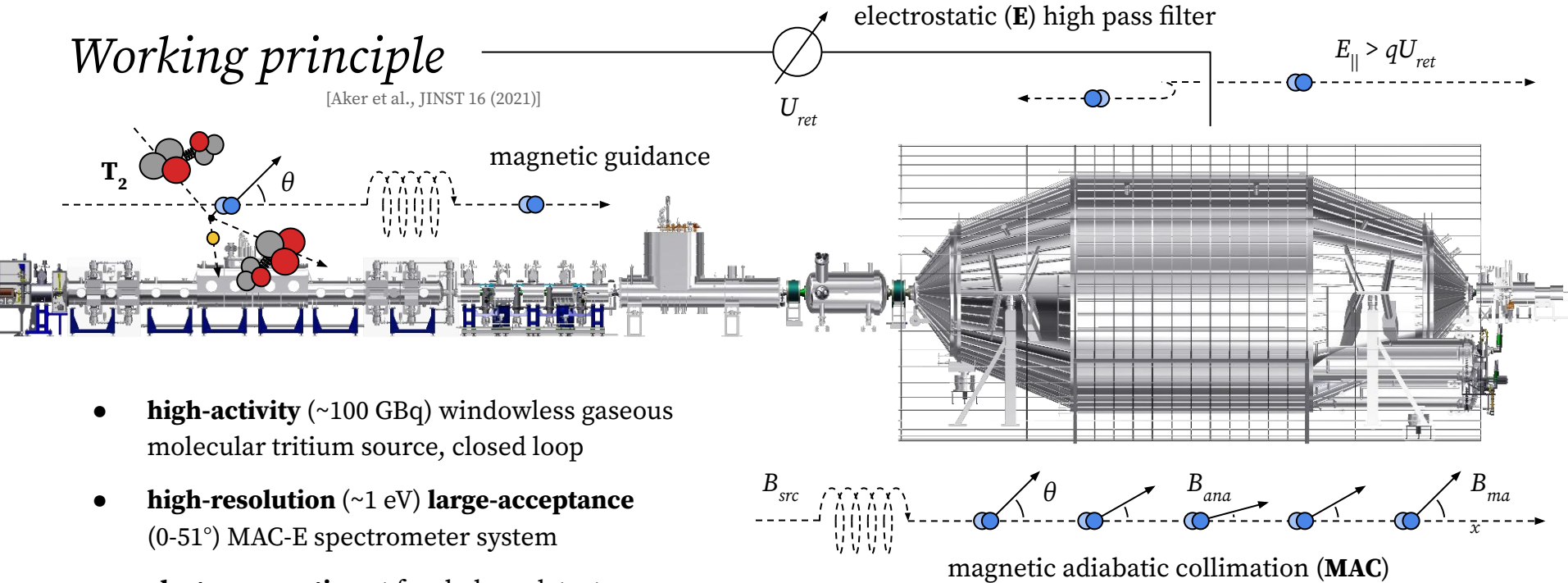


*Karlsruhe Tritium Neutrino  
(KATRIN) experiment*



# Working principle

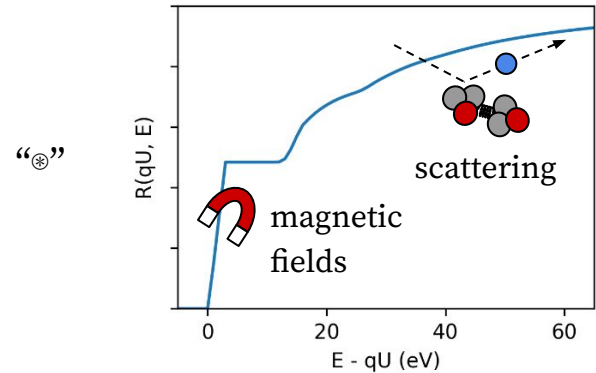
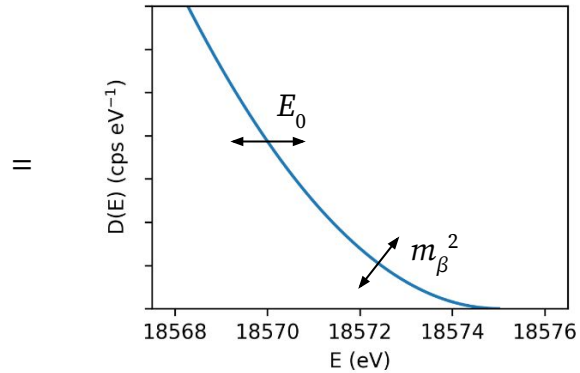
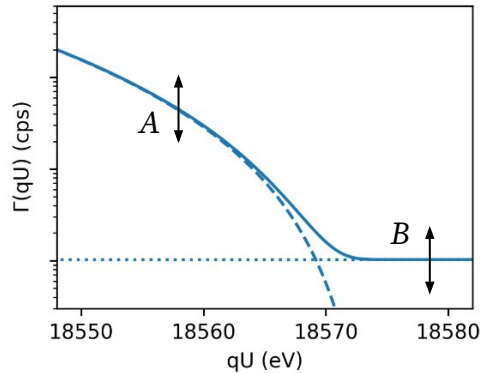
[Aker et al., JINST 16 (2021)]



- **high-activity** ( $\sim 100$  GBq) windowless gaseous molecular tritium source, closed loop
  - **high-resolution** ( $\sim 1$  eV) **large-acceptance** ( $0-51^\circ$ ) MAC-E spectrometer system
  - **electron counting** at focal plane detector, 148-pixel silicon PIN diode
- **integral spectrum** scans, discrete **retarding potential** steps

# Analysis strategy

- maximum likelihood fit of **analytical model**  $\Gamma(qU) \propto A \int_{qU}^{E_0} D(E; m_\beta^2, E_0) R(qU, E) dE + B$



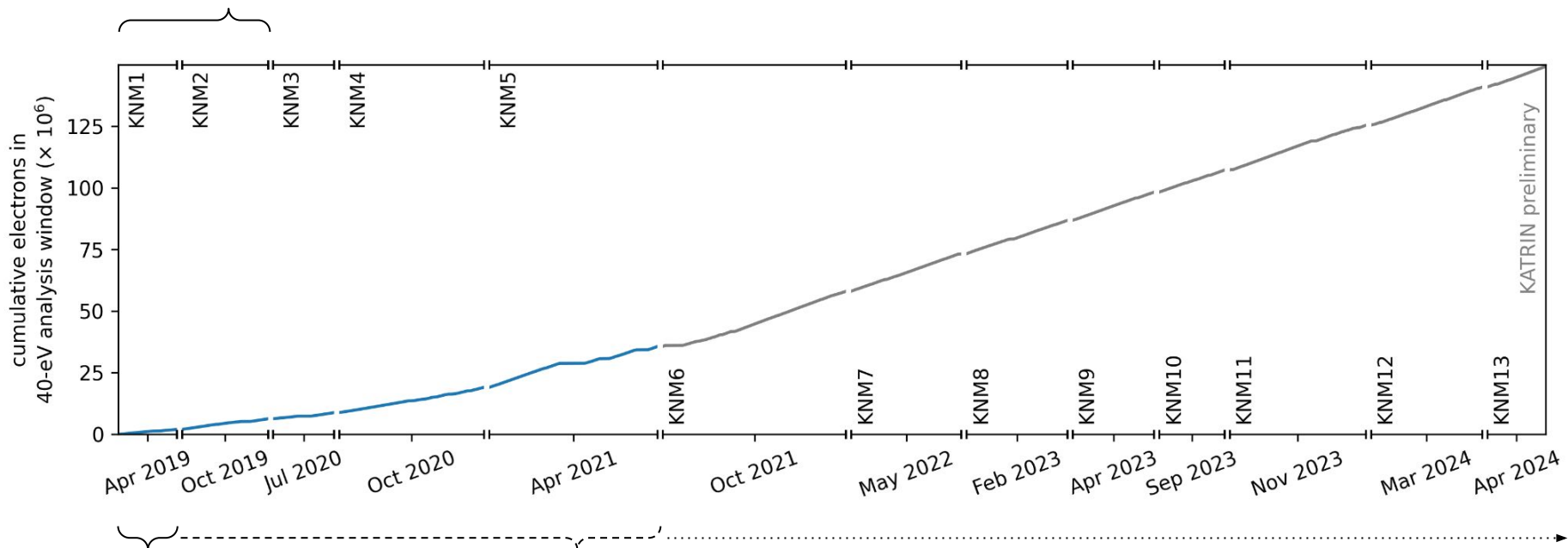
with free **squared neutrino mass**  $m_\beta^2$ , **effective endpoint**  $E_0$ , **amplitude**  $A$  and **background**  $B$

- theoretical** and **experimental** inputs, calibration constraints

second result,  $m_\beta < 0.8 \text{ eV}$  (90% CL)

[Aker et al., Nature Phys. 18 (2022)]

# Data taking overview



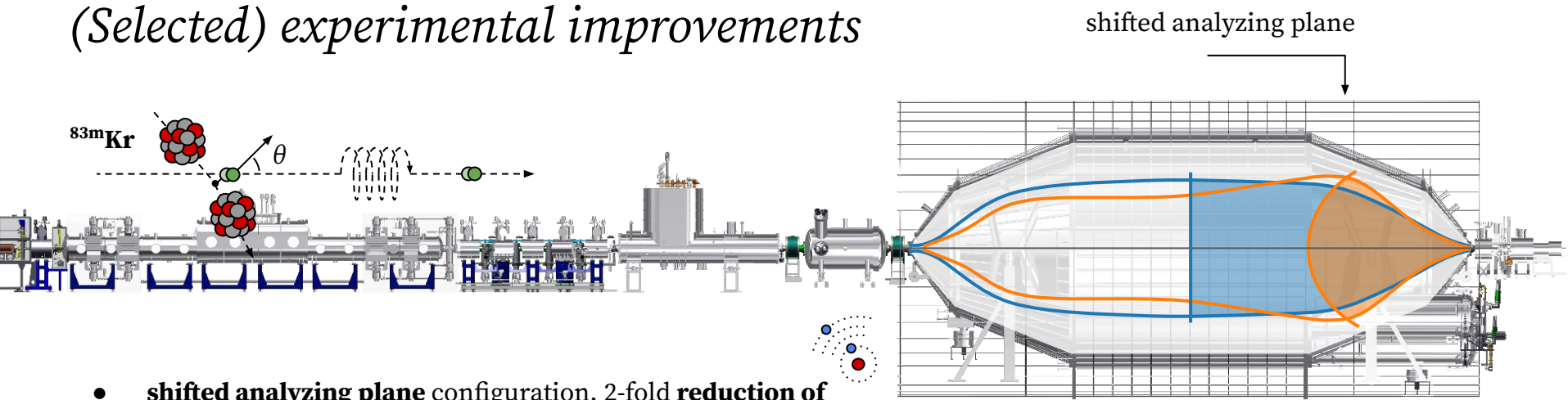
first result,  $m_\beta < 1.1 \text{ eV}$  (90% CL)

[Aker et al., PRL 123 (2019)]

**third result**, 5 campaigns, 1757 scans,  
**259 measurement days**

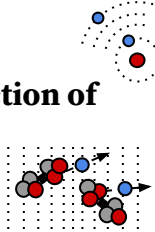
continue until end-2025,  
**1000 measurement days**

# (Selected) experimental improvements



- **shifted analyzing plane** configuration, 2-fold **reduction of background**, inhomogeneous spectrometer fields

[Lokhov et al., EPJ C 82 (2022)]



- **$^{83m}\text{Kr}$  co-circulation** mode, determine **source potential** and **spectrometer fields**

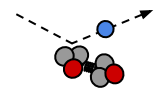
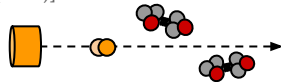
[Altenmüller et al., J.Phys.G 47 (2020)]



Measurement of the nuclear transition energies of  $^{83m}\text{Kr}$  using the gaseous krypton source of KATRIN  
M. Böttcher, Poster, Thu 19:00

- improved **electron gun**, mono-energetic angular-selective photoelectron source, probe **scattering effects**

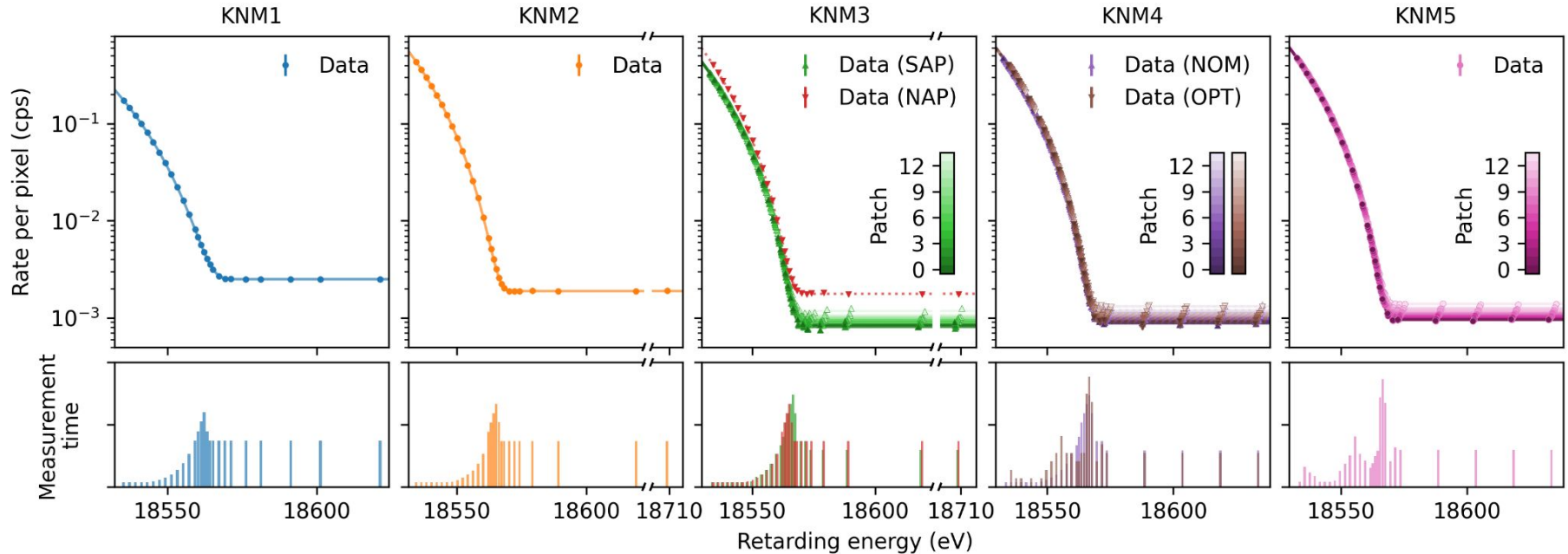
[Aker et al., EPJ C 81 (2021)]



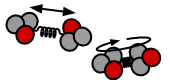


# Analysis challenge

- 7 different configurations, 59 spectra, **1609 data points**, **parameter correlations** across datasets

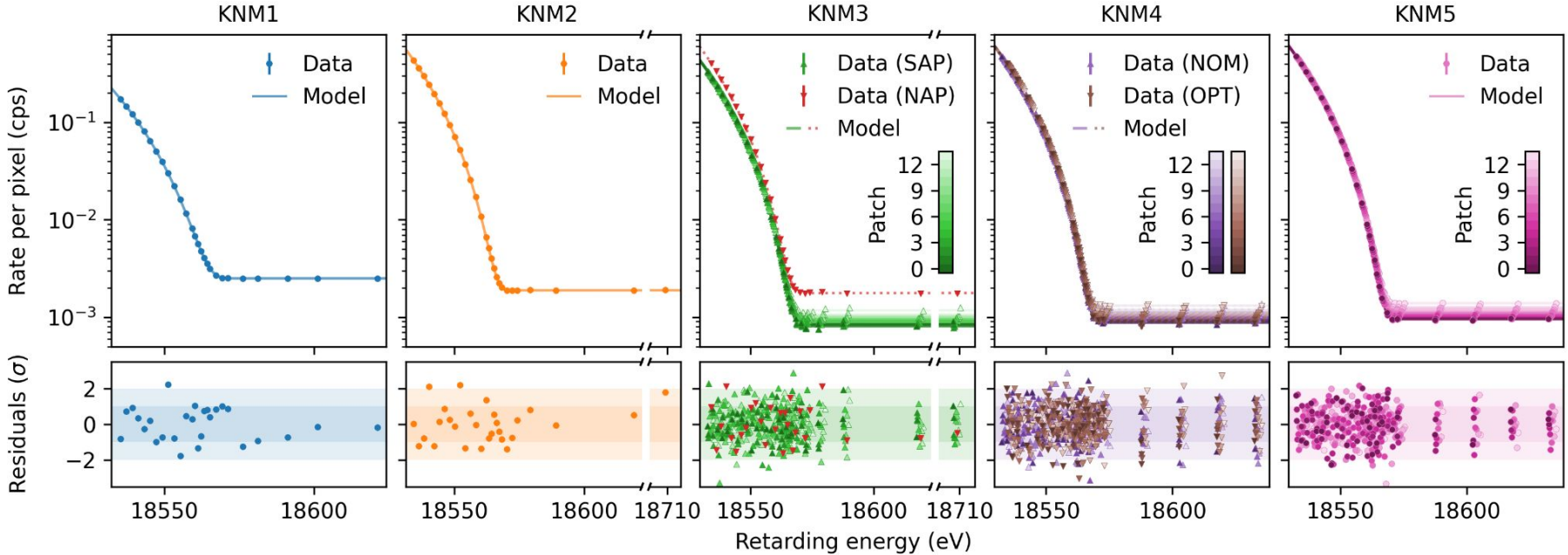


- 2 stage blinding**, simulated data, blinded molecular final states
- fourth campaign split post unblinding, impact  $\sim 0.1 \text{ eV}^2$



# Fit result

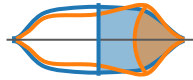
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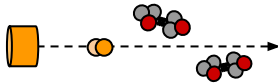
- 2 analysis frameworks, neural network surrogate [Karl et al., EPJ C 82 (2022)]
- p-value = 0.84, squared neutrino mass best-fit  $m_{\beta}^2 = -0.14^{+0.13}_{-0.15} \text{ eV}^2$

# Uncertainty breakdown

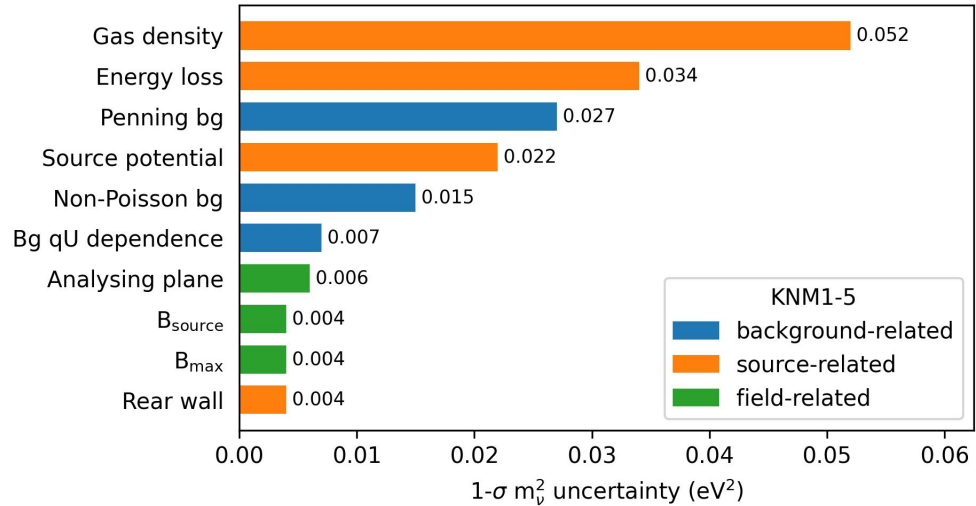
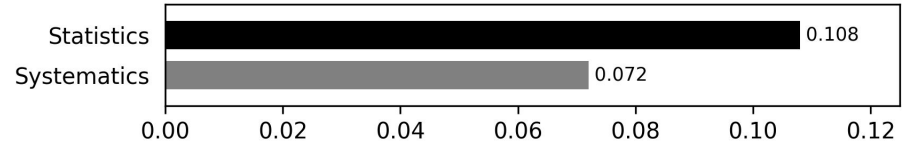
- **6-fold increase in statistics**, 2-fold reduction of background



- **3-fold reduction of systematic uncertainties**, source effects leading



- **statistical uncertainty dominates**, improved calibration precision in recent campaigns



# Neutrino mass limit

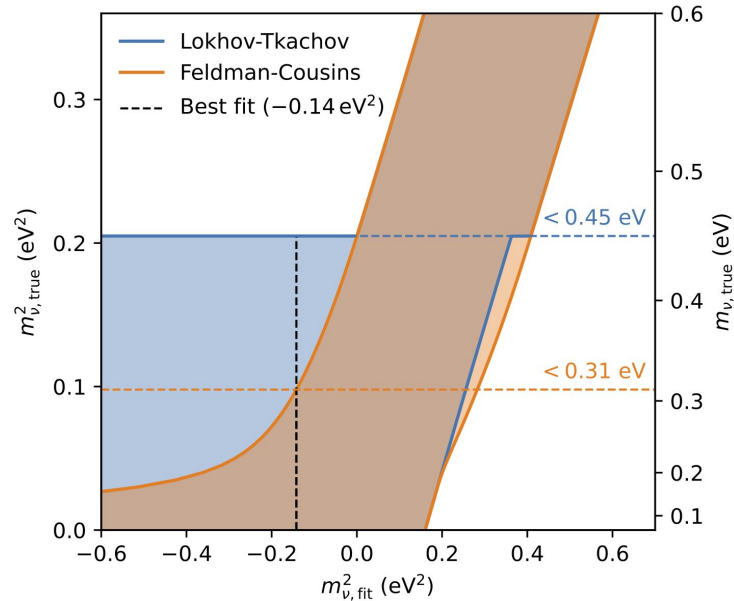
- new **world-best** direct neutrino mass constraint

$$m_{\beta} < 0.45 \text{ eV (90\% CL)}$$

using **Likhov-Tkachov** confidence interval construction

[Likhov, Tkachov, Phys.Part.Nucl. 46 (2015)]

- Feldman-Cousins construction,  $m_{\beta} < 0.31 \text{ eV (90\% CL)}$ , benefits from negative best-fit



→ **preprint** available at <https://arxiv.org/abs/2406.13516>

# Outlook

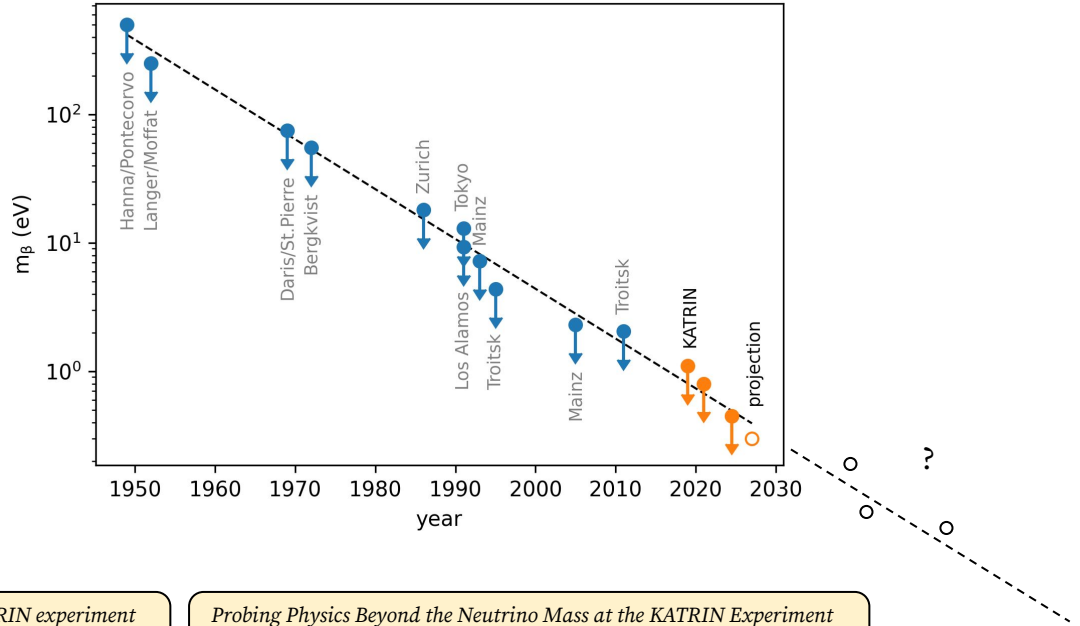
- new **world-best** direct neutrino mass constraint

$$m_{\beta} < 0.45 \text{ eV (90\% CL)}$$

- data taking ongoing** until end-2025

- rich **non-neutrino mass program**, sterile neutrinos, relic neutrinos, ..

[Aker et al., PRD 105 (2022); Aker et al., PRL. 129 (2022)]



KATRIN sterile neutrino analysis

**C. Köhler**, Poster, Thu 19:00

Search for new light bosons with the KATRIN experiment

**J. Lauer**, Poster, Fri 19:00

Probing Physics Beyond the Neutrino Mass at the KATRIN Experiment

**C. Fengler**, Talk, Sat 15:15

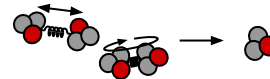
- TRISTAN** detector upgrade in 2026, search for **keV-scale sterile neutrinos**

[Mertens et al., J.Phys.G 46 (2019)]

- beyond 2027, **KATRIN++**, development of **differential** detection and **atomic** tritium technologies

Sensitivity studies for a next-generation neutrino-mass experiment using tritium  $\beta$ -decay

**S. Heyns**, Poster, Fri 19:00

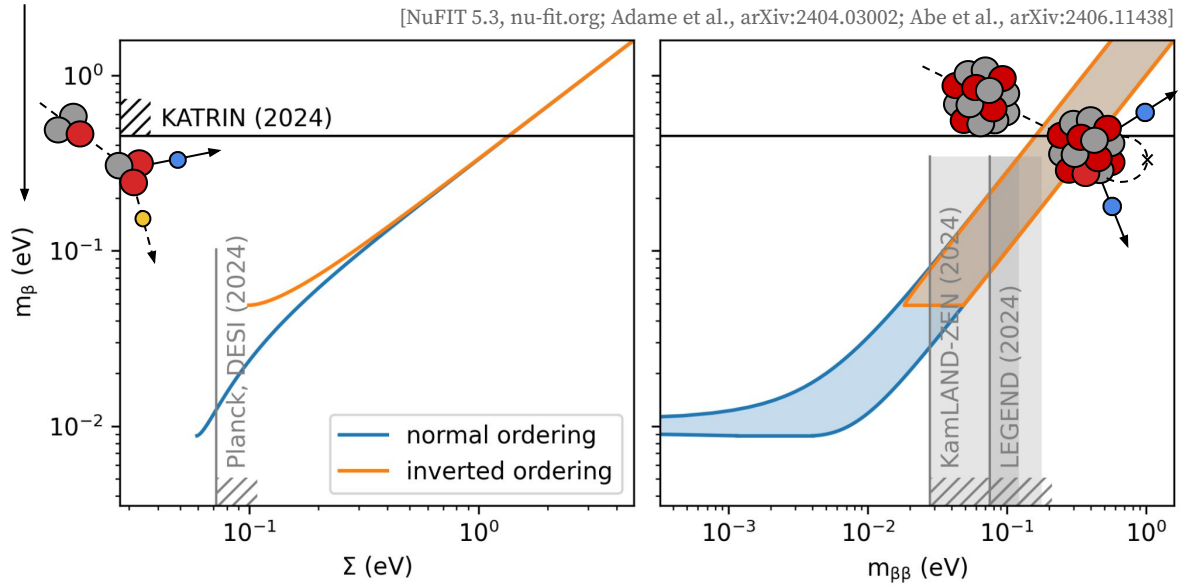


*Backup*

# Neutrino mass observables

- $\beta$ -decay kinematics offers **model-independent laboratory probe** for neutrino mass
  - complementary to
    - cosmology
    - $0\nu\beta\beta$  decay
- interplay will allow **model discrimination**

energy conservation



[NuFIT 5.3, nu-fit.org; Adame et al., arXiv:2404.03002; Abe et al., arXiv:2406.11438]

↑ cosmological model

↑ Majorana nature, mass mechanism