

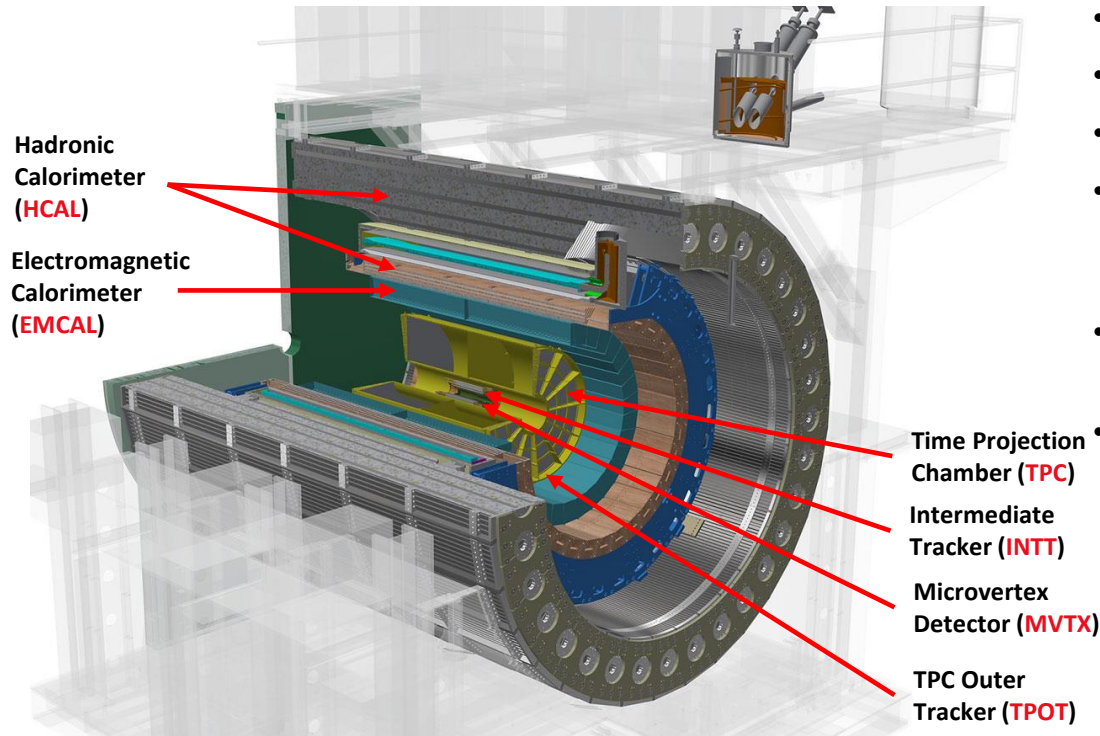
# Intelligent experiments through real-time AI: Fast Data Processing and Autonomous Detector Control for sPHENIX and future EIC detectors

Jakub Kvapil for the FastML team and sPHENIX collaboration

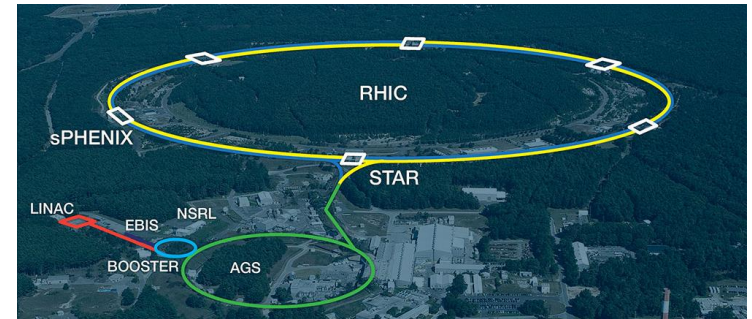
**42<sup>nd</sup> International Conference on High Energy Physics**  
**Prague, Czech Republic**

Los Alamos National Laboratory (LANL)  
Fermi National Laboratory (FNAL)  
Massachusetts Institute of Technology (MIT)  
New Jersey Institute of Technology (NJIT)  
Oak Ridge National Laboratory (ORNL)  
Georgia Institute of Technology (GIT)

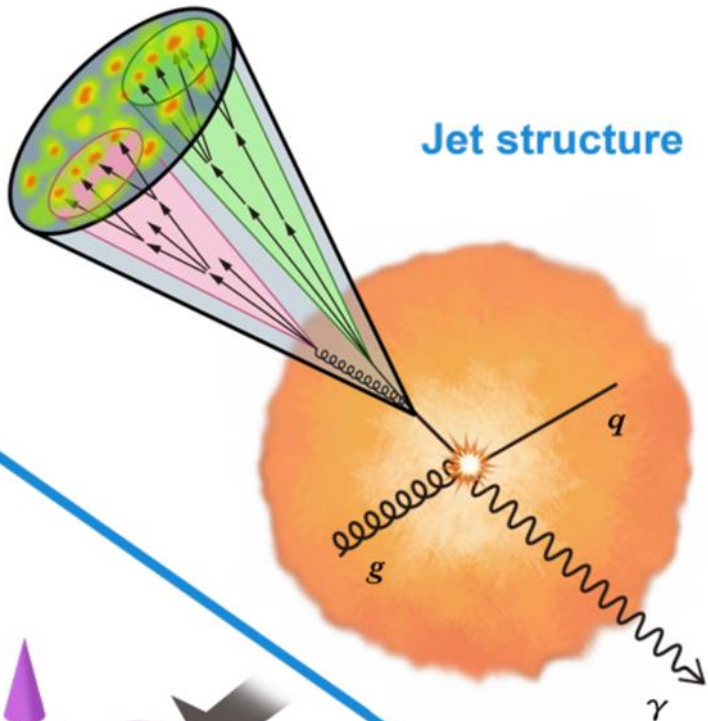
# sPHENIX experiment



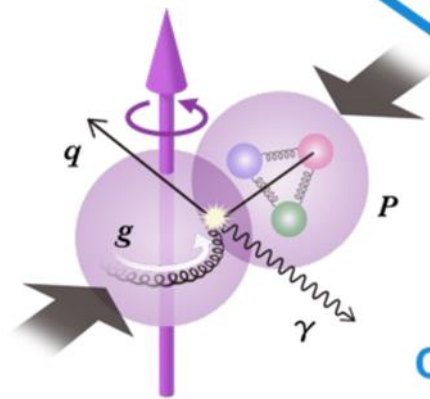
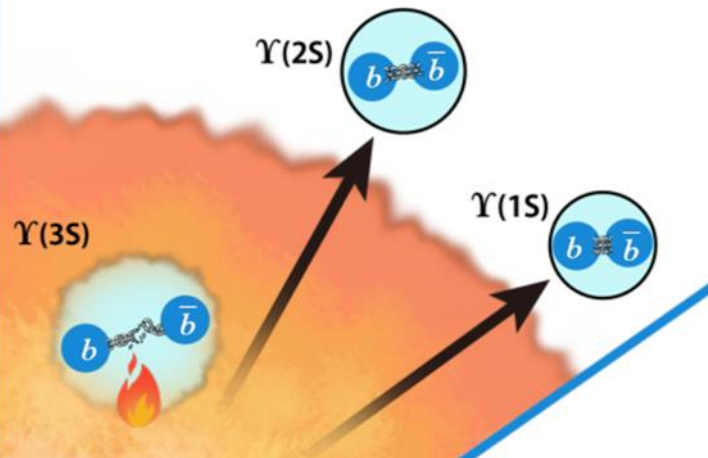
- Located at RHIC accelerator at BNL (USA)
- Running period 2023-2025
- 1.4 T Magnetic Field,  $|\eta| \leq 1.1$
- Tracking detectors (MVTX, INTT, TPC, TPOT) and calorimeters (EMCAL, HCAL), and endcaps (MBD, sEPD, ZDC, SMD)
- Tracking detectors capable of **streaming readout**
  - Hybrid DAQ supporting 15 kHz triggering
- sPHENIX has the first mid-rapidity HCAL at RHIC!



### Jet structure



### Quarkonium spectroscopy



### Cold QCD

SPHENIX

### Parton energy loss



# Motivation – Heavy Flavor

- RHIC collision rate is around 2-3 MHz, sPHENIX readout 15 kHz (DAQ - 300 Gb/s)
    - Trackers are Streaming Readout (SRO) capable, but can't save all TPC data
  - 10% trigger-enhanced SRO increases HF MB rate ~ 300 kHz
  - **ML HW tagging aims to sample remaining 90% of the luminosity using the tracklet reconstruction from the silicon detectors**
1. Integrate the AI-based heavy flavour trigger system **demonstrator** into the **sPHENIX** experiment **for p+p run in 2024** to R&D its feasibility, requirements, and constraints
  2. Deploy **future system on Electron-Ion Collider (EIC)**
    - AI-based **electron tagging with streaming readout** to identify the (non)interesting Deep-Inelastic-Scattering (DIS) processes in the e+p/A collisions.
      - based on the measured scattering electron energy and direction

# FastML - Who are we?

- Cross-discipline group of sPHENIX and LHC physicist, engineers, and computer scientists working on firmware-based ML applications
  - sPHENIX is benefiting from a 2020 Department of Energy (DOE), USA funding call
- **The goals**
  - Use MVTX and INTT hits to identify HF event based on topology
    - Continuously monitor the beam spot and changing detector conditions
  - Send tag downstream to readout TPC
  - Trigger signal must be done within 10 us!
    - Requires embedding ML algorithms on FPGA (we will use FELIX-712 board)

## **Intelligent experiments through real-time AI: Fast Data Processing and Autonomous Detector Control for sPHENIX and future EIC detectors**

A proposal submitted to the DOE Office of Science

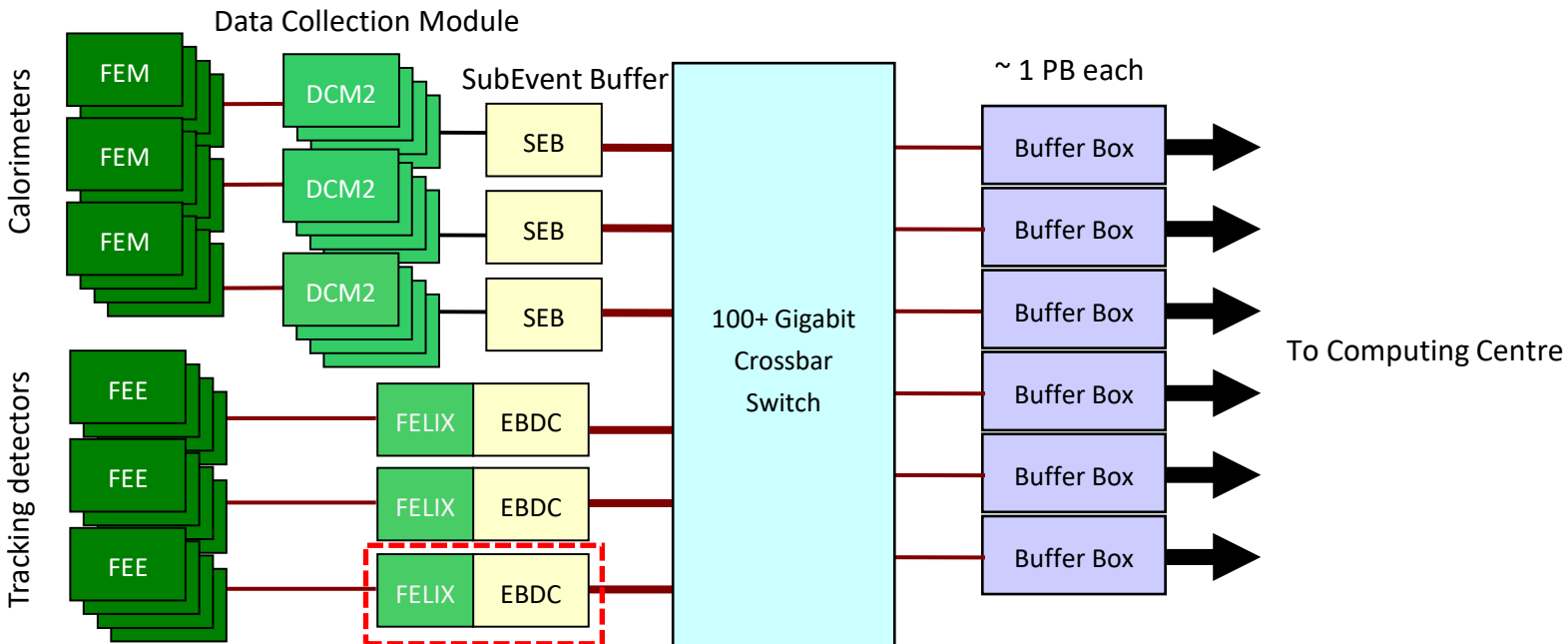
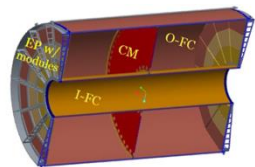
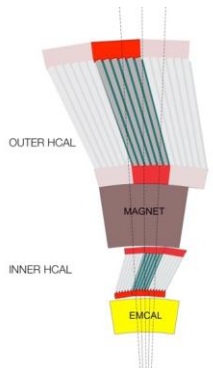
April 30, 2021 @ renewed for 2 more years in 2023

# sPHENIX Readout and AI-ML HF Trigger Integration

On Detector

Rack Room

Front-End Module/Electronics



**FELIX (MVTX+INTT) -> AI/ML -> Trigger**

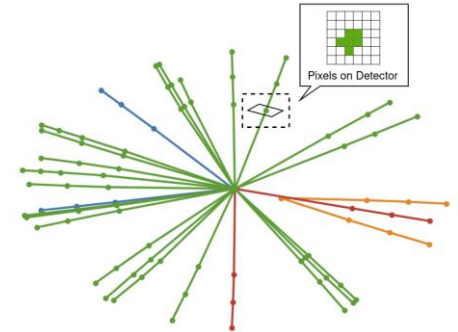
EBDC: Event Buffer and Data Compressor (x40)  
 • 6x MVTX, 8x INTT, 24x TPC

# The ML algorithm pipeline

- Due to latency limitation **all components need to be deployed on FPGA**
  1. **Hit decoding** – conventional logic
  2. **Hit clustering** – conventional logic

# The ML algorithm pipeline

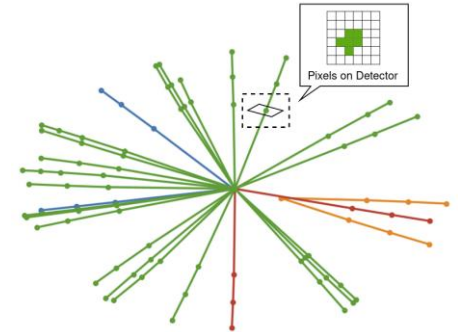
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    1. Edge candidate generation
      - Connect all clusters (nodes) together (edges) while applying geometric constrain
        - Reduced number of edges ~50% at the cost of 0.4% accuracy





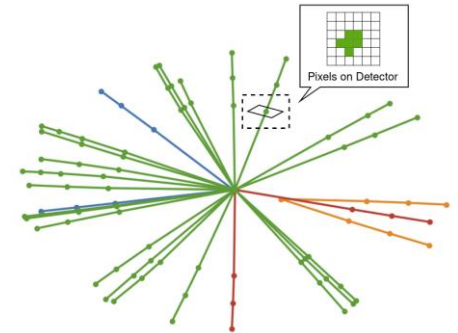
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      - model predicts true edge candidates



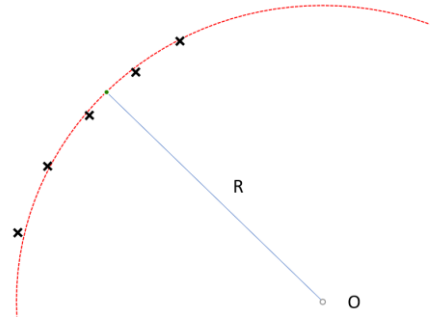
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  4. **Transverse momentum  $p_T$  prediction**
    - Least square method ~ 14% accuracy improvement



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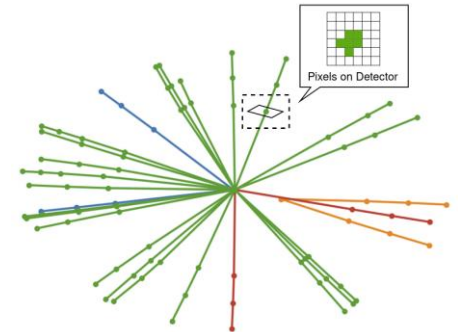
3. Construct final track from the edges

4. Transverse momentum  $p_T$  prediction

- Least square method ~ 15% accuracy improvement

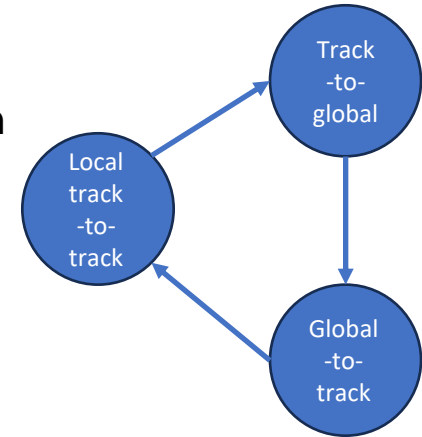
5. Trigger detection - Bipartite Graph Network with Set Transformer (**BGN-ST**) (10.1007/978-3-031-26409-2\_4)

- Topological selection of HF signal



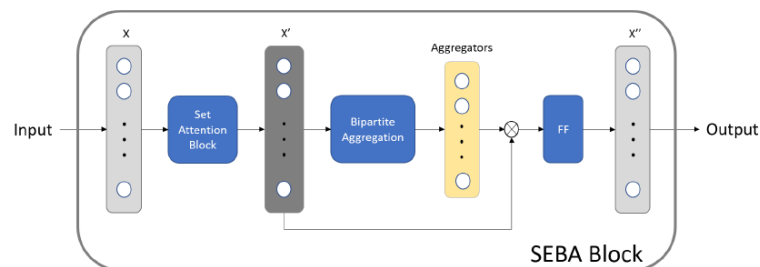
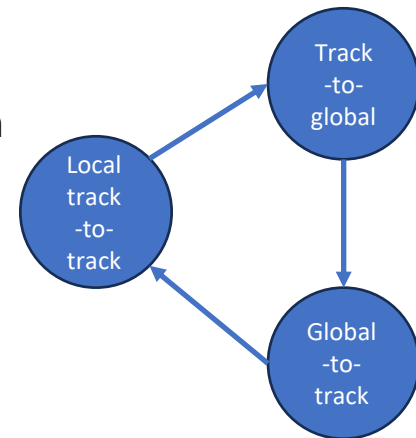
# BGN-ST Architecture

- Bipartite Graph Network with Set Transformer (BGN-ST)
- Attention-based algorithm allows the modeling of following information
  1. Local track-to-track interaction
    - Determine whether two tracks share same vertex
  2. Track-to-global interaction
    - Determine collision vertex
  3. Global-to-track interaction
    - Whether track origin vertex is centered around collision vertex



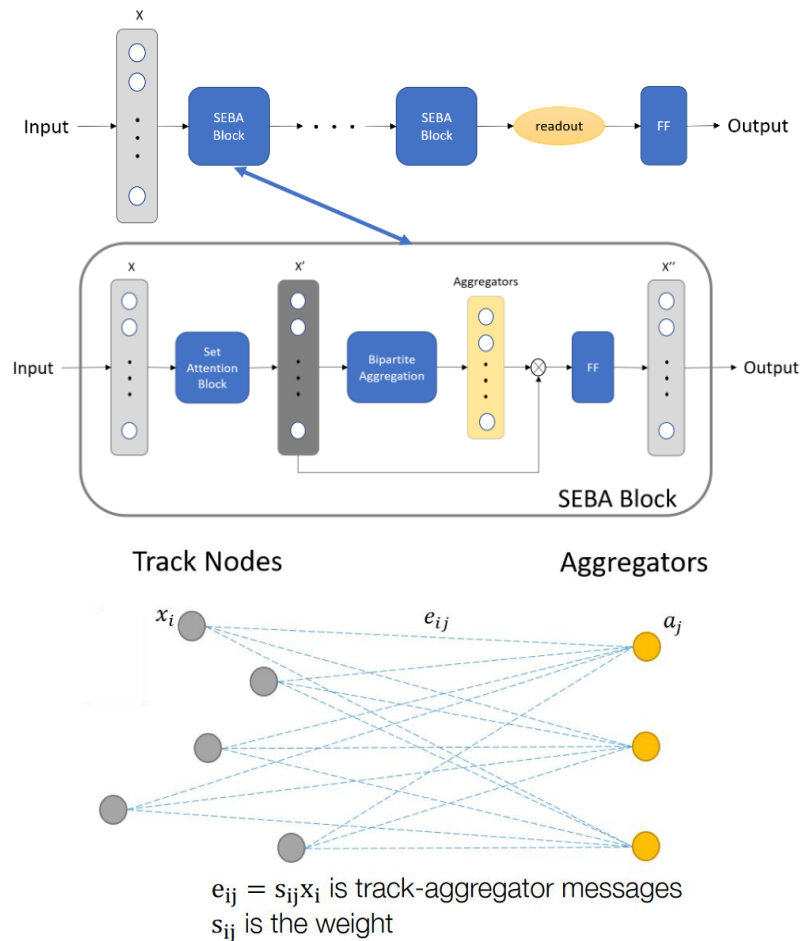
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- The loop
  1. Initial track definition
  2. Weights assigned to each link (Attention)
    1. Connection to vertex information
  3. Vertex information go through feed-forward NN
  4. Update track information



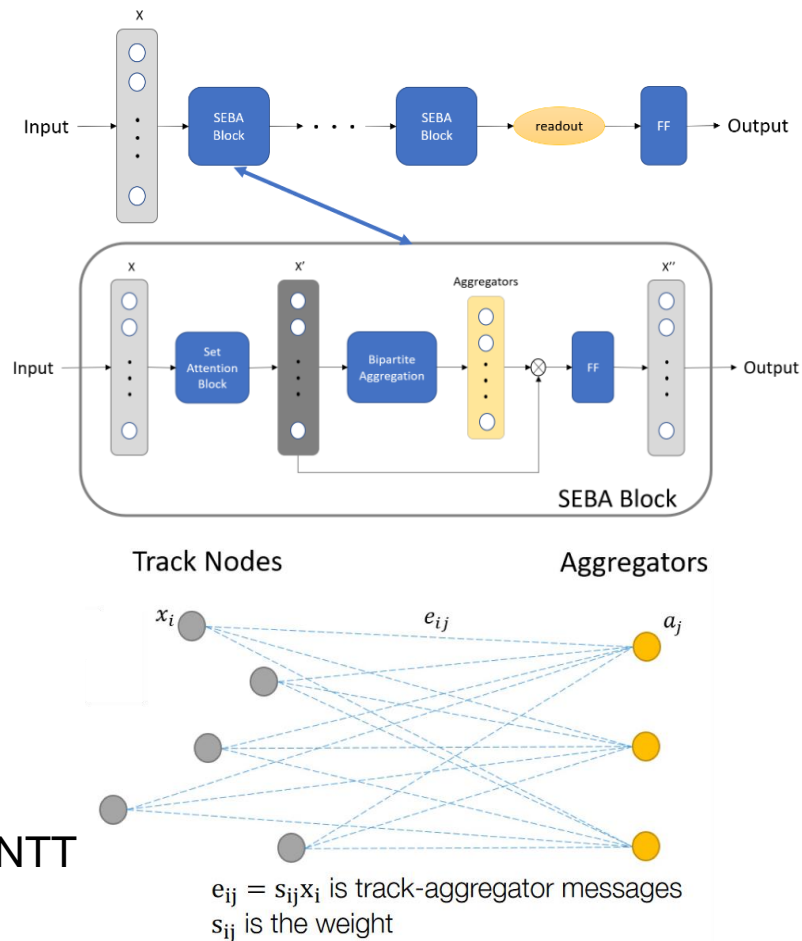
# BGN-ST Architecture

- Main element – SEBA block
  - Multiheaded attention
  - Bipartite aggregation
- Track node input vectors (total 37 features)
  1. 5 hits (MVTX + INTT)
  2. Length of each edge:  $L = |\vec{x}_{i+1} - \vec{x}_i|$
  3. Angle between edges
  4. Total length of edges
  5. Track radius ( $\propto$  track  $p_T$ )
- Aggregators
  - Vertices



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  5. Track radius ( $\propto$  track  $p_T$ )
- **Aggregators**
  - Vertices
- Model based on **PyTorch** and **PyTorch Geometric**
- Initial training on simulated data from MVTX and INTT
  - On GPU - NVIDIA Titan RTX, A500, and A6000





# Model accuracy studies

Set Transformer: arXiv:1810.00825  
GarNet: arXiv:1902.07987  
PN: arXiv:1902.08570  
SAGPool: arXiv:1904.08082  
GCN: arXiv:1609.02907  
Graph att. Net.: arXiv:2105.14491

1. Triggering on  $D^0 \rightarrow \pi K$  (0.1% events)

Note: all Accuracies are calculated on 50% signal/background samples

- 3 MHz collision rate
- 10% HF efficiency (ext. readout)
- 1 kHz available for additional triggers
- 3000 MB rejection needed

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With  $p_T$  prediction  
with LS-radius

Without  $p_T$  prediction  
without radius

Model	With $p_T$ prediction with LS-radius			Without $p_T$ prediction without radius		
	#Parameters	Accuracy	AUC	#Parameters	Accuracy	AUC
Set Transformer	299,266	86.40%	91.92%	298,882	72.04%	78.92%
GarNet	284,210	86.22%	91.81%	284,066	72.59%	79.61%
PN+SAGPool	780,934	86.25%	92.91%	780,678	69.22%	77.18%
BGN-ST	363,426	<b>87.56%</b>	<b>93.22%</b>	363,170	<b>74.13%</b>	<b>81.81%</b>

0.1% signal/background ratio		
BG Rejection	Efficiency	Purity
90%	76%	0.75%
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The charm sample is plentiful, the aim is on rare beauty events

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## 3. Triggering on Beauty decays, (0.05% events)

### - No pileup

- Accuracy: 97.38% (BGN-ST, track construction, model v2)
- Clusters -> Edge Candidate Generation -> Trigger prediction: Accuracy 91.53% (Graph Attention Network, hit-based)
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Large accuracy increase reconstructing tracks!

Attention provides slight improvement for clusters

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### - Pileup (~350 hits + 65 noise)

- Clusters -> Trigger Prediction: Accuracy 88.52% (GarNet, hit-based)

Pileup has a small effect!



# Generation of the FPGA IP core – two parallel efforts

## 1. Team lead by the Georgia Institute of Technology (GIT)

– Direct translation using FlowGNN (ArXiv:2204.13103)

– Goal: 100-200 nodes, 200-500 edges

### 1. Implementation of edge classification

- 92 nodes, 142 edges
- Measured Start-to-end latency
  - 150 us @ 130 MHz, edge classification v1
  - 8.82 us @ 285 MHz, edge classification v2

### 2. Implementation of hit-based model

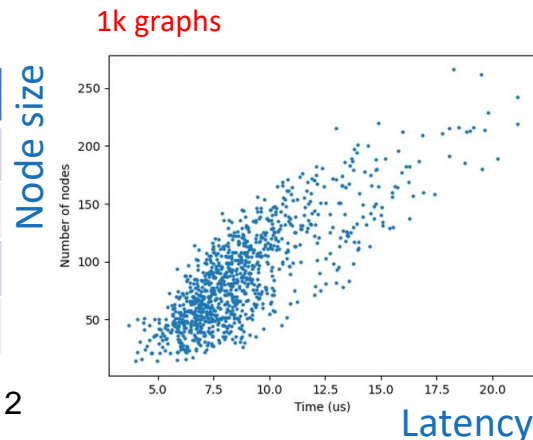
- Measured Start-to-end latency
  - 9.2 us @ 180 MHz

– Detailed latency breakdown and parallelism exploration ongoing

- The effects of FlowGNN parameters

Utilization (Alveo U280)	
LUT	194K (14.9%)
FF	214K (8.2%)
BRAM	406 (20.2%)
DSP	488 (5.4%)

Note: target board FLX-712 is half the size of Alveo

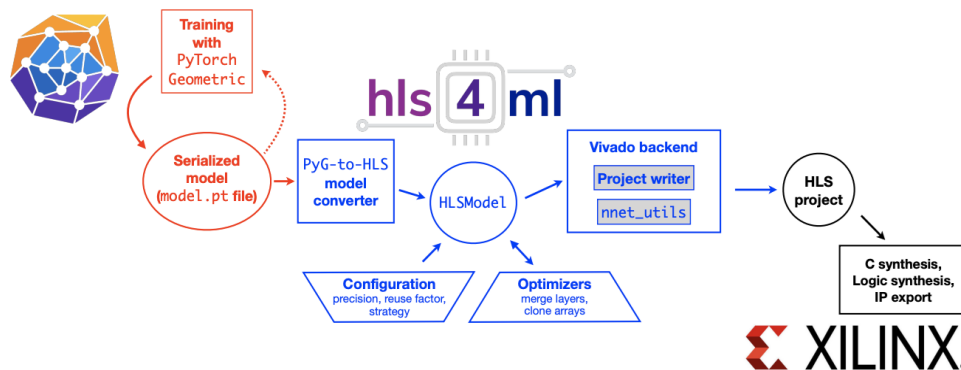


Close discussion between model developers and FPGA engineers

# Generation of the FPGA IP core – two parallel efforts

## 2. Team lead by the Massachusetts Institute of Technology (MIT) and Fermilab (FNAL)

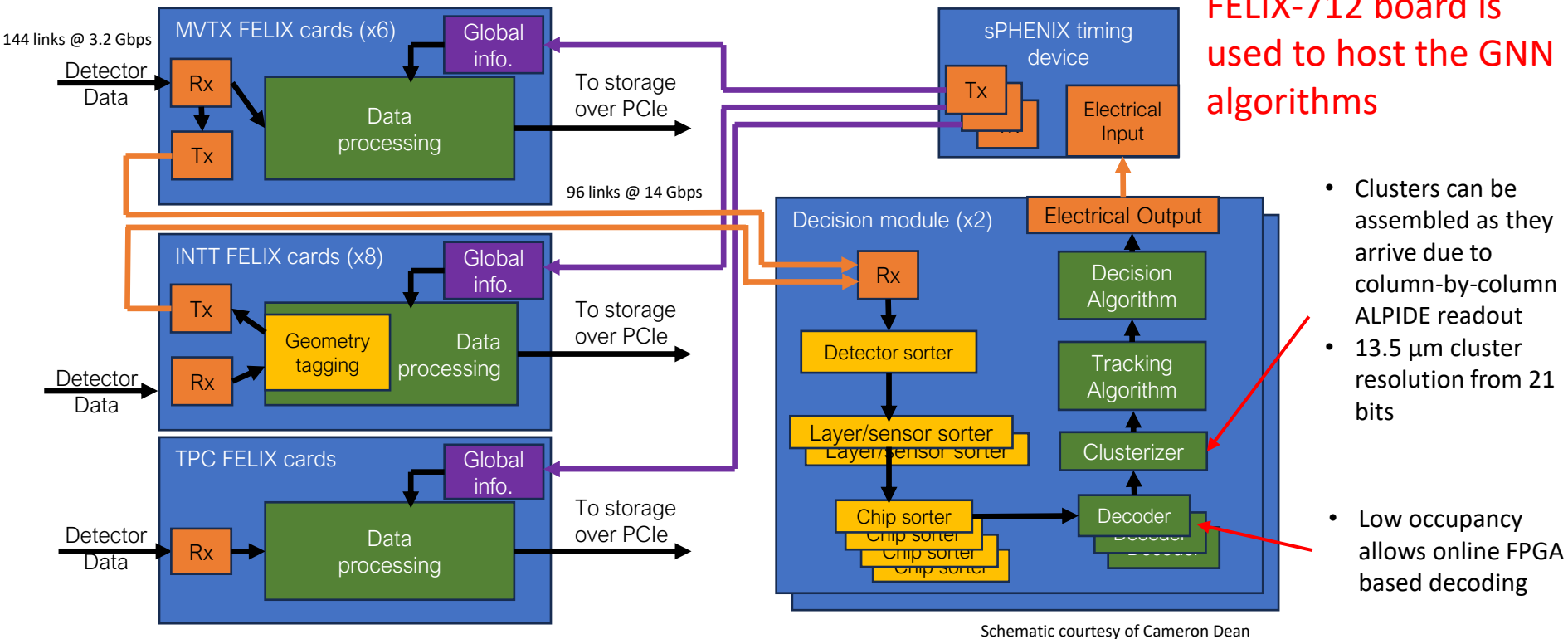
- Based on **High Level Synthesis for Machine Learning (hls4ml)**, a generalized python framework for machine learning inference in FPGAs
- Unfortunately, **PyTorch Geometric** is currently not supported in hls4ml
  - Used in our attention-based model (Estimated 3-4 us latency for edge classification v1)
  - Would require extensive customization of current hls4ml -> against its philosophy
  - **Developers are working on PyTorch Geometric support and will be released in 2025!**
- We moved focus for hit-based beauty model that is based on GarNet which is currently supported in hls4ml



arXiv:2112.02048

arXiv:2103.05579

# Realizing the firmware



# Summary and Outlook

- The **model has been developed** and tested on HF event simulation for sPHENIX
  - provides good precision while analyzing two detector hemispheres independently
  - Current focus on further improvement in model ability to handle pileup event – accuracy and latency studies
- **IP core (FPGA code) generation** by two teams – FlowGNN and hls4ml
  - Huge progress and improvement of the utilization and latency
  - Iteration with model developers
- **All components in the FPGA pipeline are developed**
  - Final push to finalize development of each FPGA component putting them together on a single FPGA
    - Very challenging in meeting the utilization and timing constrains
  - FLX-712 boards to serve as AI engine installed in sPHENIX counting house
- A new FLX-182 board arrived to BNL which will be the base for EIC development
  - Probe the possibility of using off-the-shelf card (Alveo etc.)

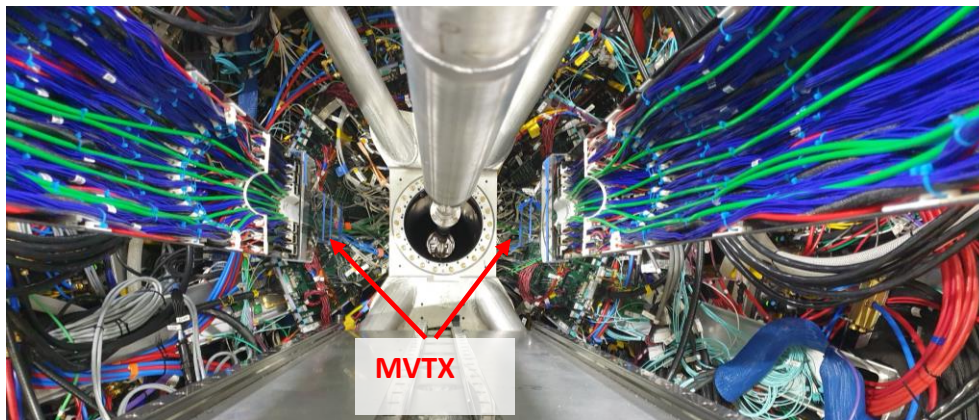
Thank you for your attention

Artificial intelligence and machine learning have the potential to revolutionize our approach collecting, reconstructing and understanding data, and thereby maximizing the discovery potential in the new era of nuclear physics experiments.

# MVTX and INTT

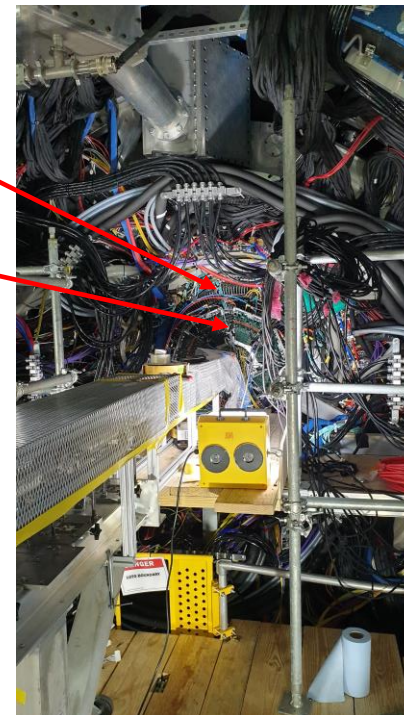
**MVTX** - Active area  $\sim 1685 \text{ cm}^2$

- Based on ALICE ITS2 **ALPIDE** chips, with ATLAS **FELIX** backend
  - Monolithic Active Pixel Sensors
  - Very fine pitch ( $27 \mu\text{m} \times 29 \mu\text{m}$ )
  - Event Time resolution  $\sim 5 \mu\text{s}$
  - 3 layers, 48 staves total, 9 chips per staffe  $\sim 230\text{M}$  total channels



**TPC**

**INTT**

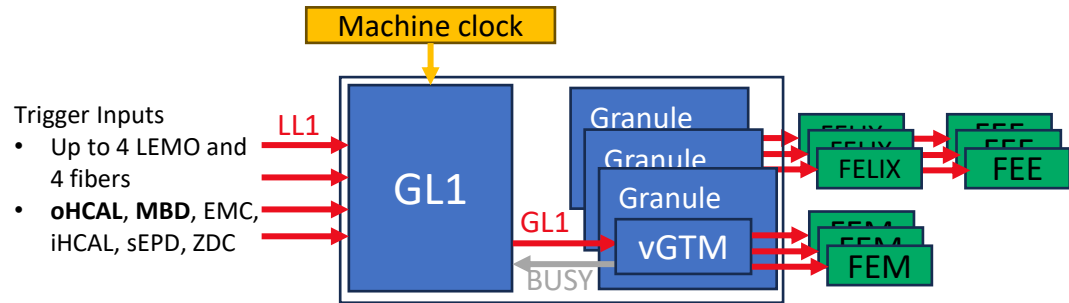
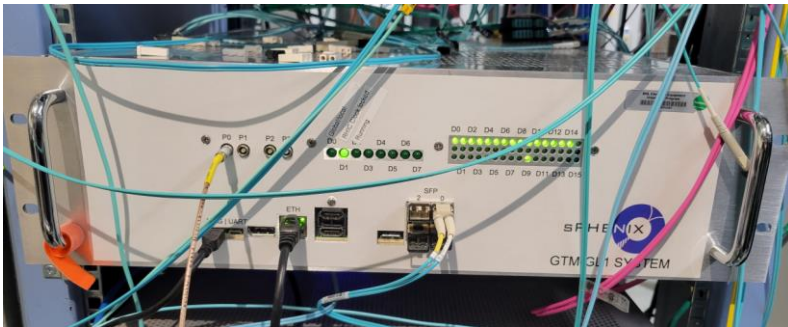


**INTT**

- Silicon Strip Detector
  - Hamamatsu silicon modules
  - Pitch  $78 \mu\text{m} \times 16$  (or  $20$ ) mm
  - Excellent Time resolution  $\sim 100 \text{ ns}$   
( $100 \text{ ns}$  is the RHIC BC time)
  - 2 layers, 56 ladders total,  $360\text{k}$  channels

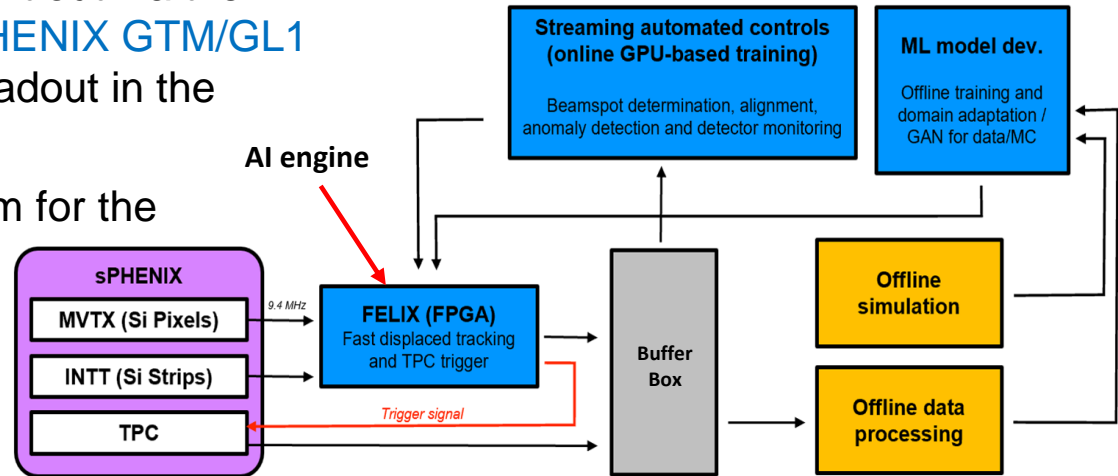
# The timing and trigger distribution

- **The Global Level 1 Trigger (GL1)** and the machine clock is distributed via Granule Timing Module (GTM)
  - GL1 trigger is used by calorimeters and the TPC
  - GL1 transmits clock and trigger to the vGTM, which then transmits it to the FEE
    - vGTM is the adapter to a given detector
    - GL1 is maintaining the BUSY received from vGTM



# The DAQ–AI Data Flow

- Motivation to use **FELIX board**:
  - To **reuse the PCIe implementation** (16-lane Gen-3) and software tools provided by the FELIX developers
  - on-board FPGA is a Kintex Ultrascale XCKU115FLVF1924-2E
- The **decision signal** of heavy flavor event from the **AI-Engine** will be sent out via the LEMO connectors to the **sPHENIX GTM/GL1 system** to initiate the TPC readout in the triggered mode
- GPU based feed-back system for the beamspot monitoring





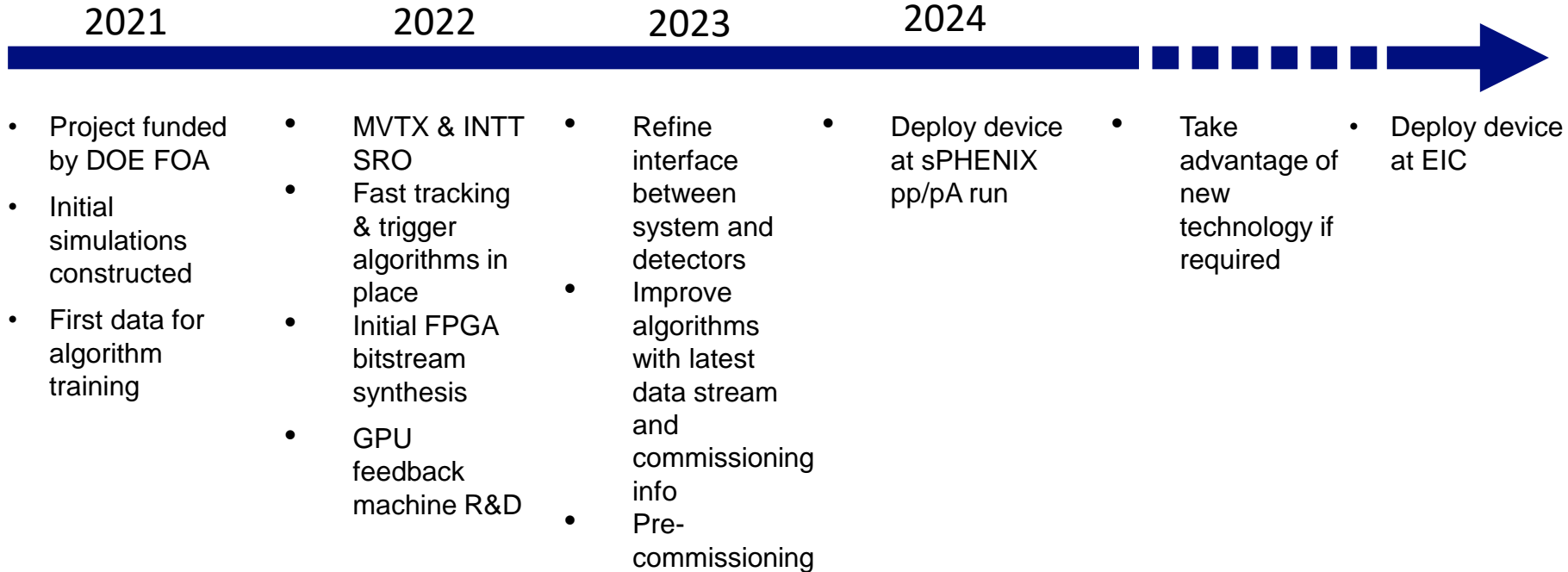
# The latency constrains

- The TPC buffers can hold up to 30  $\mu\text{s}$  of data
  - The goal of this project is to aim for 10  $\mu\text{s}$  collision-trigger latency to capture the TPC stream
- The latency breakdown
  1. MVTX readout window 5  $\mu\text{s}$  – not fixed interaction-readout latency!
  2. IR -> Counting house  $\sim 0.3 \mu\text{s}$  (81 m fibres)
  3. FELIX -> AI data forward, decoder buffers  $\sim 0.6 \mu\text{s}$  (@240 MHz)
  4. Clusterizer + Trigger decision (currently 9.2  $\mu\text{s}$  for hit-based model!)
  5. AI -> GTM -> TPC FELIX (negligible, all three sits in Counting house)

# Motivation – The challenges

- **Real-time selection** of rare decays of HF particles
  - requires **continuous monitoring** and adjustment of the
    - beam trajectory (“beam spot”) – in time periods of seconds to hours, the position and shape can change (this will affect the HF the topology)
    - detector alignment, conditions and anomalies
- Adapt AI to **continuous learning** and changing conditions -> adaptive learning
  - Development of real-time autonomous closed loop adaptive learning system

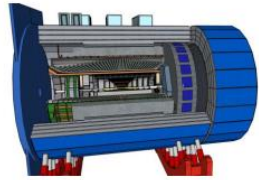
# Predicted timeline



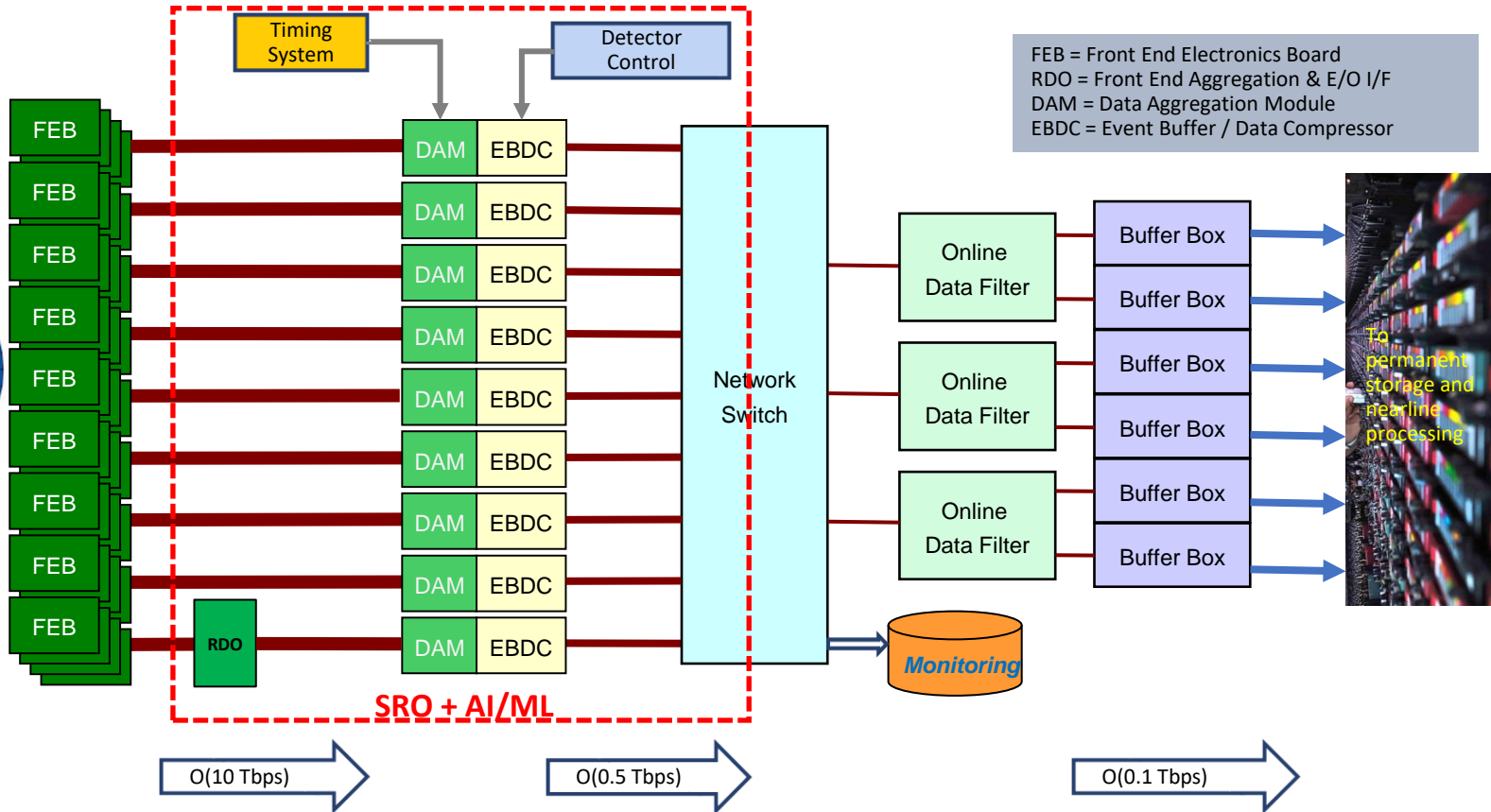
↑  
We are here!

# From sPHENIX to ePIC: Streaming + AI/ML DAQ

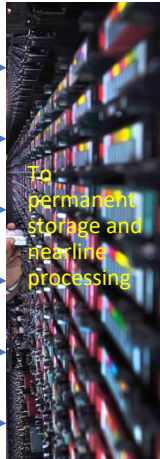
from Jo's talk at  
ePIC collab. mtg



(sPHENIX)  
ePIC detector



FEB = Front End Electronics Board  
RDO = Front End Aggregation & E/O I/F  
DAM = Data Aggregation Module  
EBDC = Event Buffer / Data Compressor



$O(2 \text{ Pbps})$

$O(10 \text{ Tbps})$

$O(0.5 \text{ Tbps})$

$O(0.1 \text{ Tbps})$