

# Enhancing Event Reconstruction with Machine Learning for Water Cherenkov Detectors of Hyper-Kamiokande

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ICHEP 2024, Prague  
N. Prouse

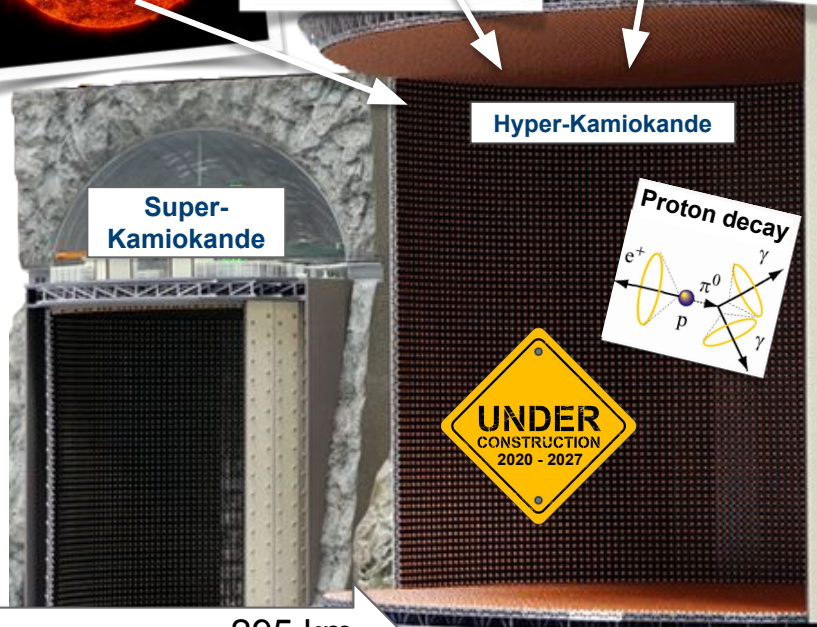
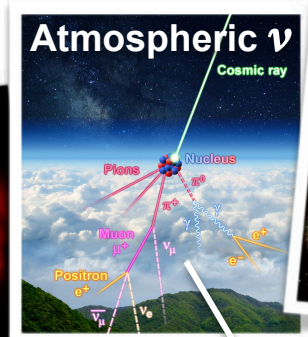
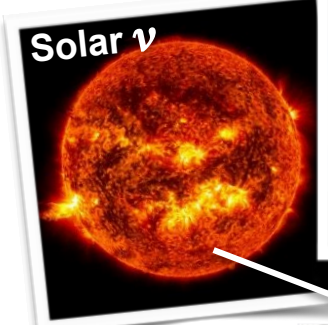
20th July 2024

# The Hyper-Kamiokande Experiment

Hyper-Kamiokande (Hyper-K) is a world-leading neutrino experiment, building on success of Super-Kamiokande & T2K.

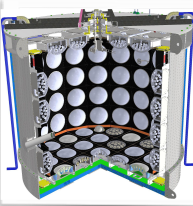
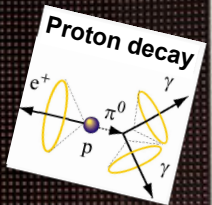
**Broad & ambitious physics programme** covering many neutrino sources as well as proton decay measurements.

**Water Cherenkov (WC) detector technology** provides huge target mass with excellent particle ID and reconstruction capabilities.

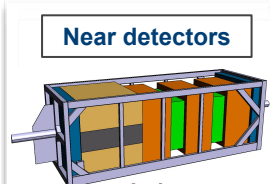


Hyper-Kamiokande

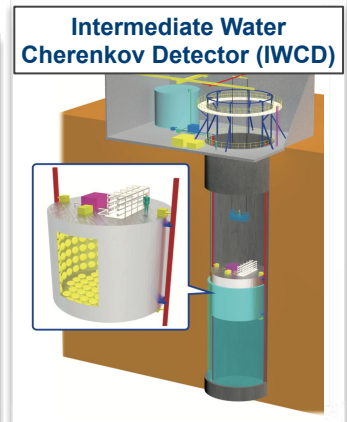
Super-Kamiokande



Also the **Water Cherenkov Test Experiment (WCTE)** at CERN



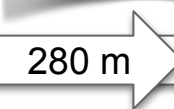
Near detectors



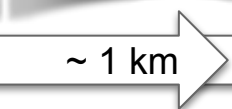
Intermediate Water Cherenkov Detector (IWCD)



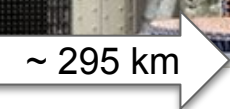
J-PARC  $\nu$  beam



280 m



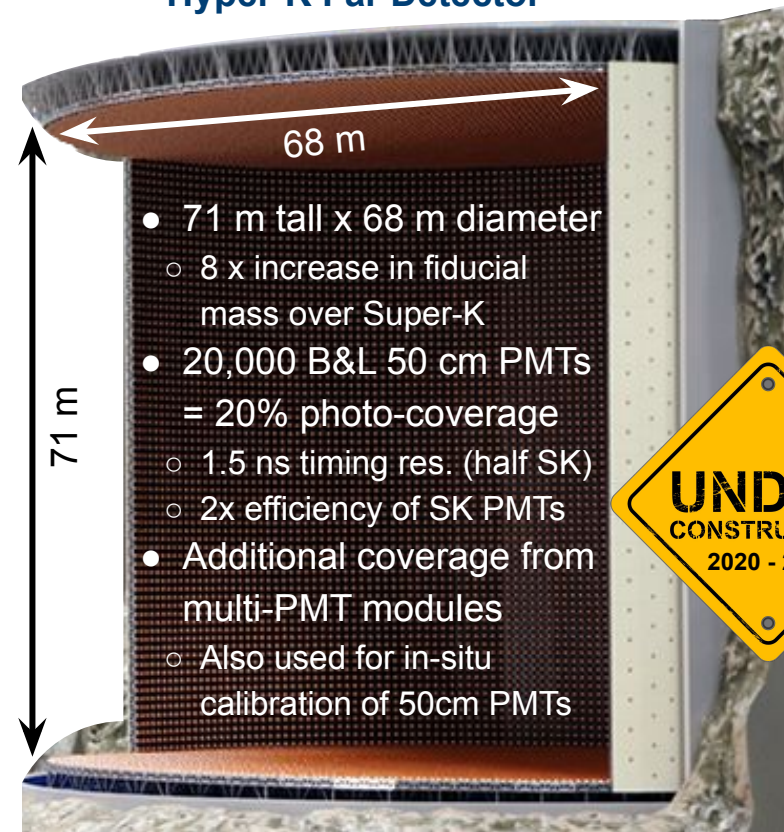
~ 1 km



~ 295 km

# Hyper-K's Water Cherenkov Detectors

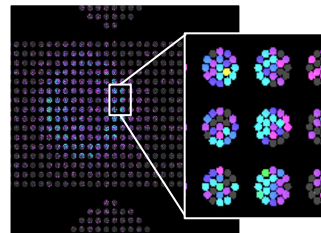
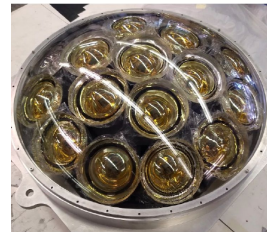
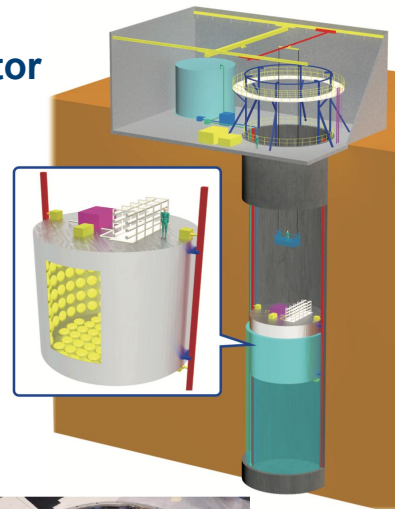
## Hyper-K Far Detector



## Intermediate Water Cherenkov Detector

- Measures  $\nu$  flux and cross-section of beam at  $\sim 1$  km from source
- Moves vertically in  $\sim 50$  m tall pit
  - spans off-axis angles of  $\nu$  beam for different  $\nu$  energy spectra
- 6 m tall x 8 m diameter tank with  $\sim 500$  multi-PMT modules (mPMTs)
  - 8 cm PMTs:
    - Better position resolution
    - 1 ns timing resolution
  - Additional directionality information
  - mPMTs will also be used for WCTE
  - Also being produced for portion of far detector photo-coverage

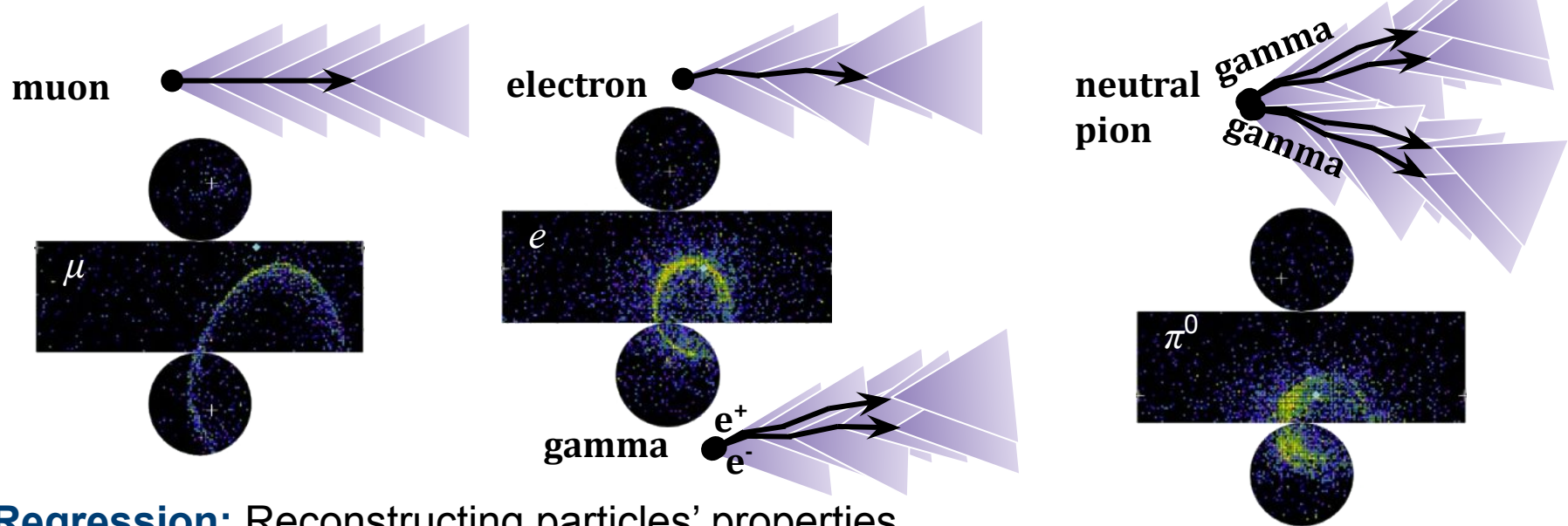
**Upgraded detector technologies need improved data analysis techniques**



# Reconstruction in Water Cherenkov Detectors

**Classification:** Particle type identification (PID)

- Particles produce different types of rings due to interactions in water



**Regression:** Reconstructing particles' properties

- Location and time of PMT hits allow triangulating particle's position and direction
- Amount of charge observed at PMTs gives estimate of particle's energy

# Machine Learning Reconstruction for WC

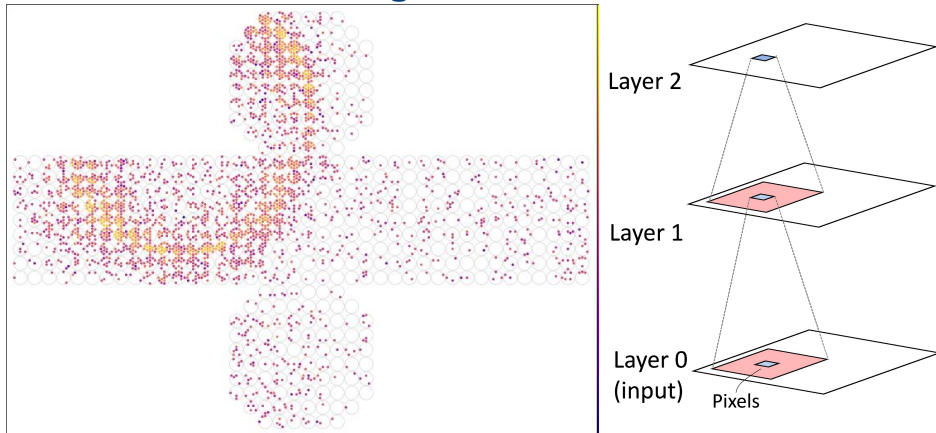
Reaching limit of traditional maximum-likelihood reconstruction methods (**fitQun**)

- Improved resolutions require more complex algorithms with fewer approximations
- Computation time is limiting factor: **1M events in fitQun = 10,000s CPU-hours**

Machine Learning (ML) and deep neural networks have potential to push reconstruction further

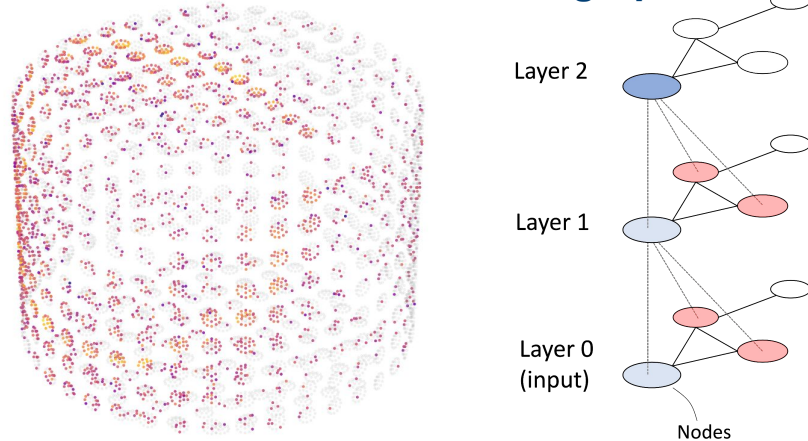
- Potential to use all information without detector model approximations
- Very fast once network is trained: **1M events with CNN < 100 CPU-hours or < 1 GPU-hour**

## Networks on 2D image-like data



- Fast and well understood CNN-based networks
- Leverage extensive progress in computer vision

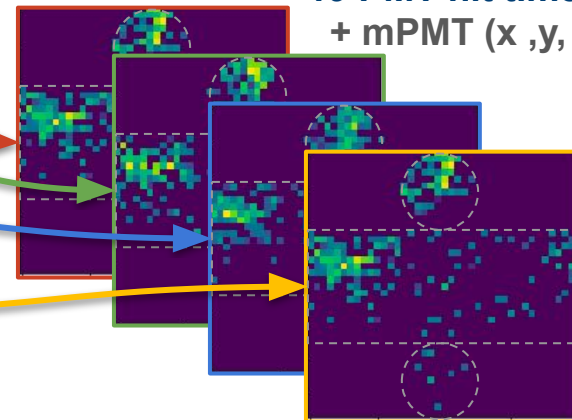
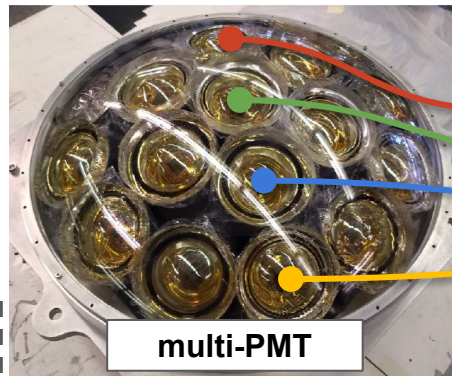
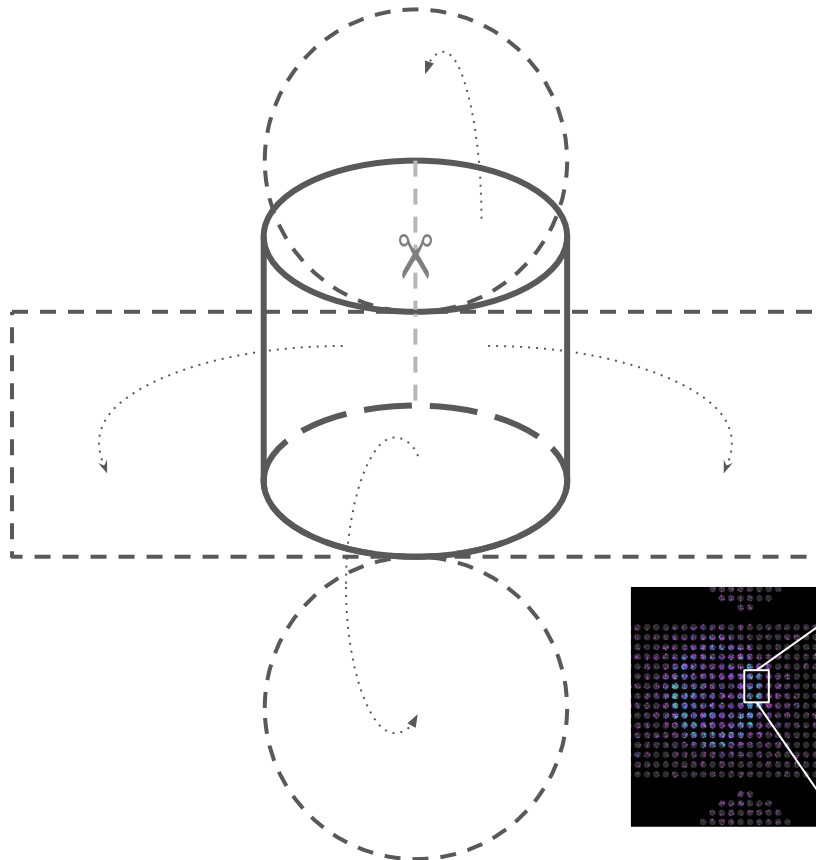
## Networks on 3D point-clouds or graphs



- Retain physical 3D detector geometry
- More complex and challenging to explore

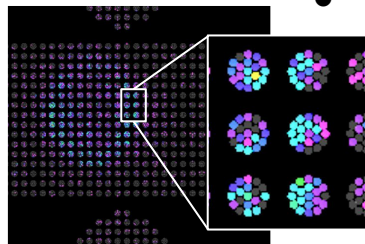
# Image-like data for IWCD with mPMTs

19 PMT hit charges  
+ 19 PMT hit times  
+ mPMT (x, y, z)

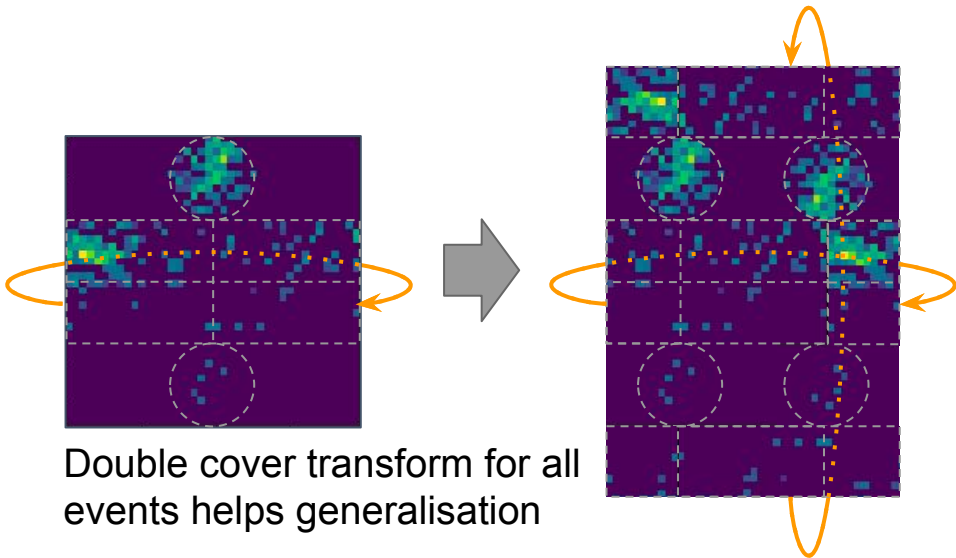


Full cylinder is unwrapped onto flat image

- One pixel per multi-PMT
- 41 channels per pixel:
  - 19 charge (at each of the 19 PMTs per mPMT)
  - 19 time (first hit time of each PMT is digitized)
  - (x, y, z) positional encoding of mPMT location helps network learn geometric information
- Tried other topological mappings with less success



# Data Transformations and Augmentation

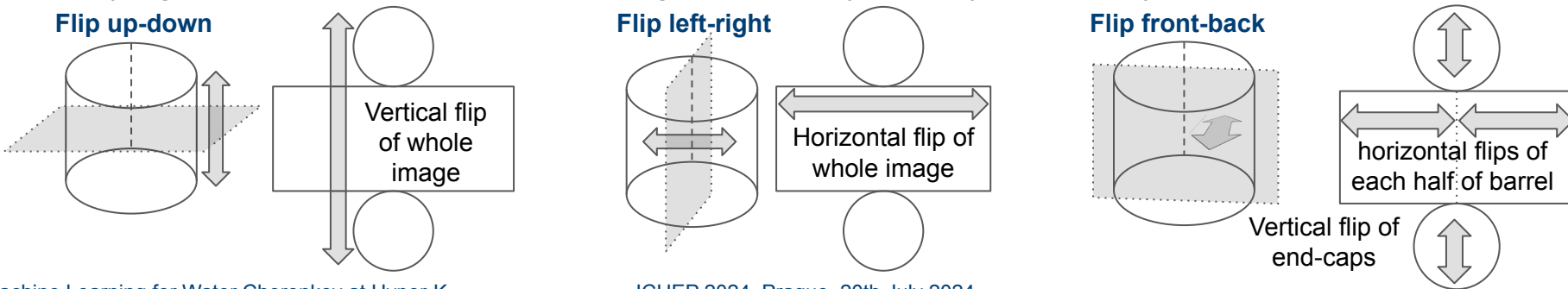


Double cover transform for all events helps generalisation

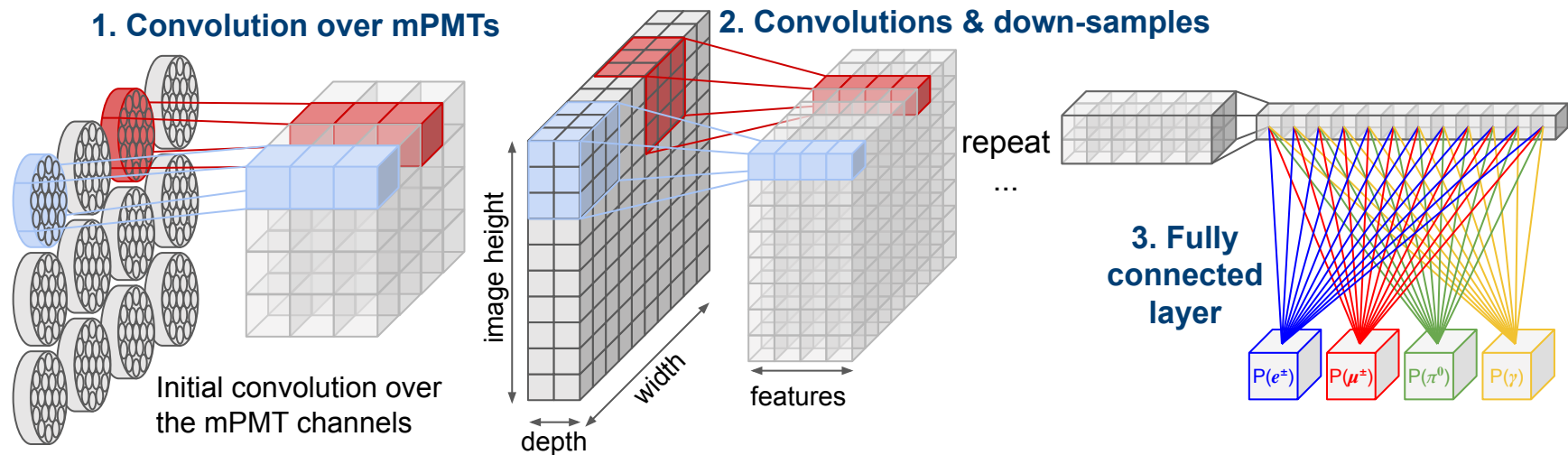
Rearranging and duplicating the geometrical surface has several advantages

- Less dependence on choice of slice along barrel to unwrap cylinder
- All segments appear exactly twice, with minimal blank space
- **Circular boundary conditions** in both directions

Applying random transformations using detector symmetry effectively increases dataset



# ResNet event reconstruction for IWCD with mPMTs



## Convolutional Neural Network based on ResNet-50

- Initial 7x7 convolution with downsampling replaced by convolution over mPMT features
- Circular padding applied on each convolution to exploit cyclic boundary conditions

**Simulated for IWCD 3M each of  $e$ ,  $\mu$ ,  $\pi^0$ ,  $\gamma$**  (with  $\gamma$  to  $e^+e^-$  pair conversion at initial position)

- Uniform random position, isotropic directions, uniform energy 0 to 1 GeV above Cherenkov threshold
- 1.5M of each particle for training, 0.3M for validation, 1.2M for final evaluation

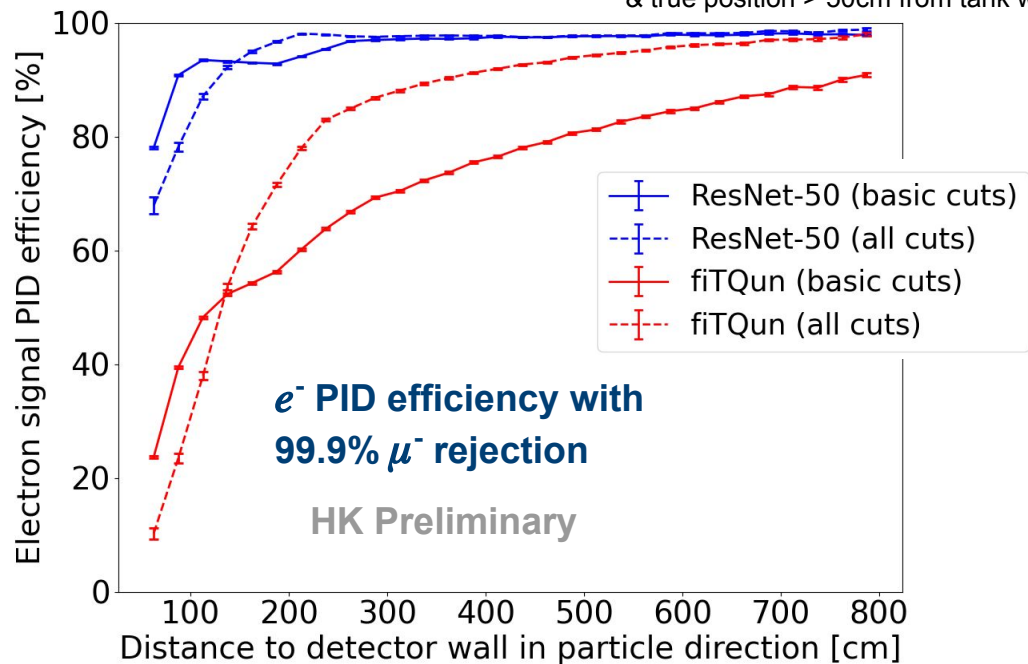
**Trained separate models for each task** for  $\sim 12$  hours on 4 x A100 GPUs

- Single 4-class network for PID classification of  $e$ ,  $\mu$ ,  $\pi^0$ ,  $\gamma$
- Six networks for position, direction and energy of  $e$  and  $\mu$

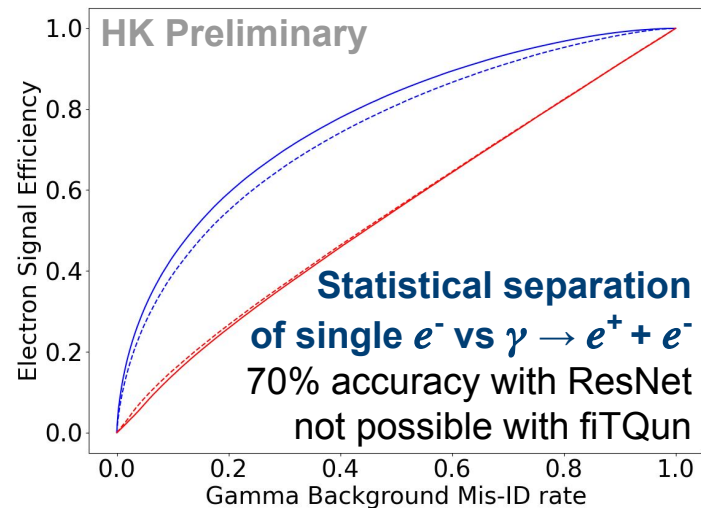
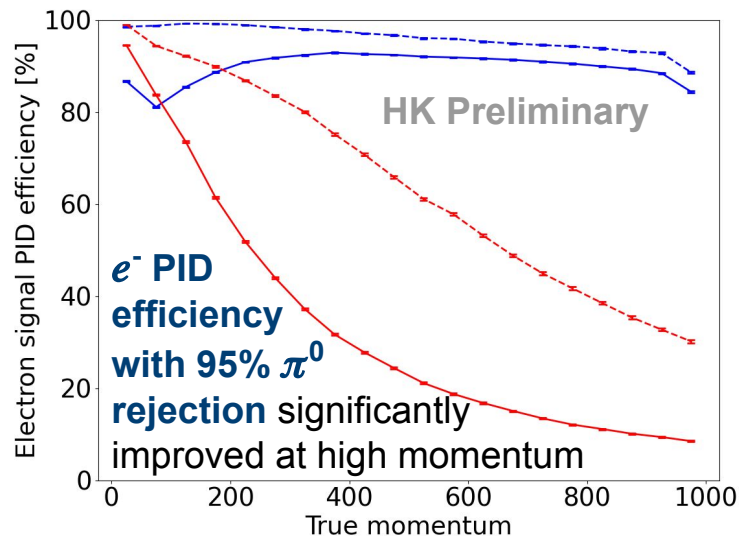


# PID results

**Basic cuts:** > 25 hits  
& fully contained event  
**All cuts:** basic cuts  
& fiTQun fit converges  
& true position > 50cm from tank wall



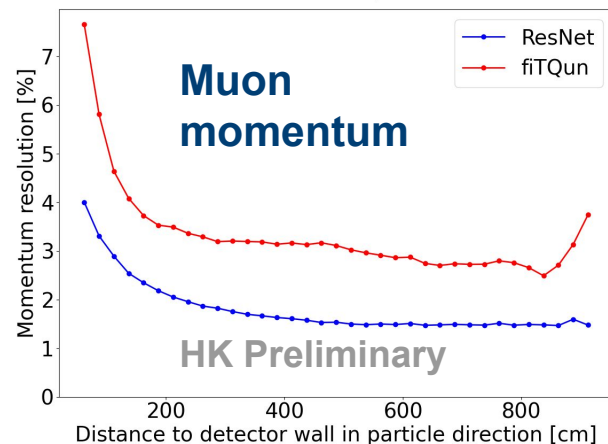
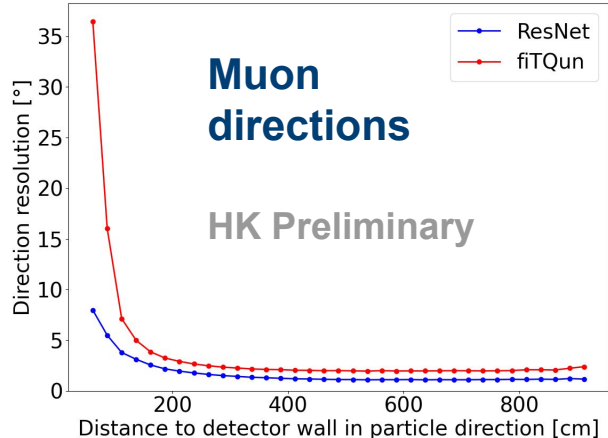
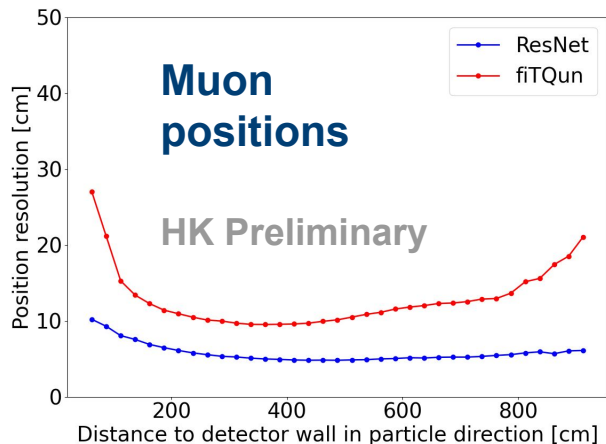
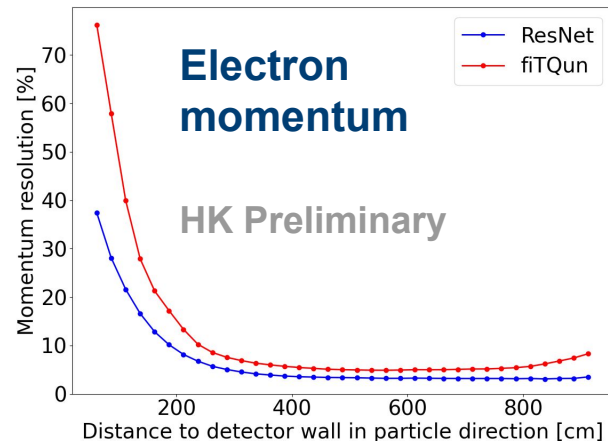
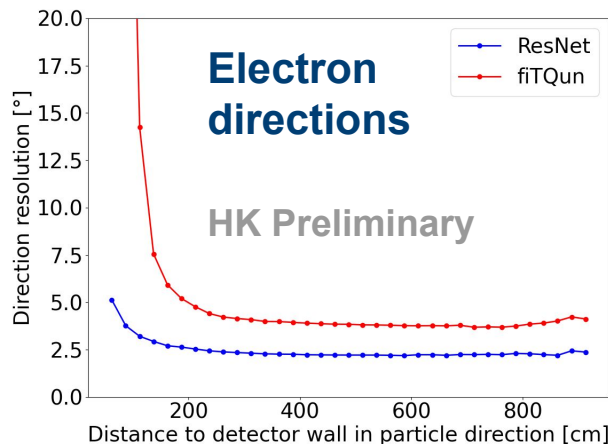
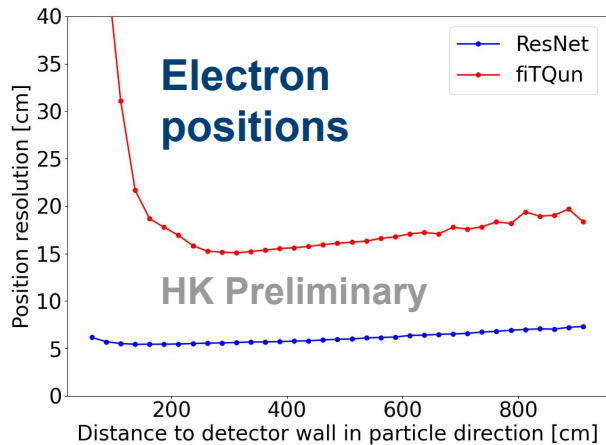
ResNet performing better, particularly where fiTQun's likelihood model approximations fail close to detector wall



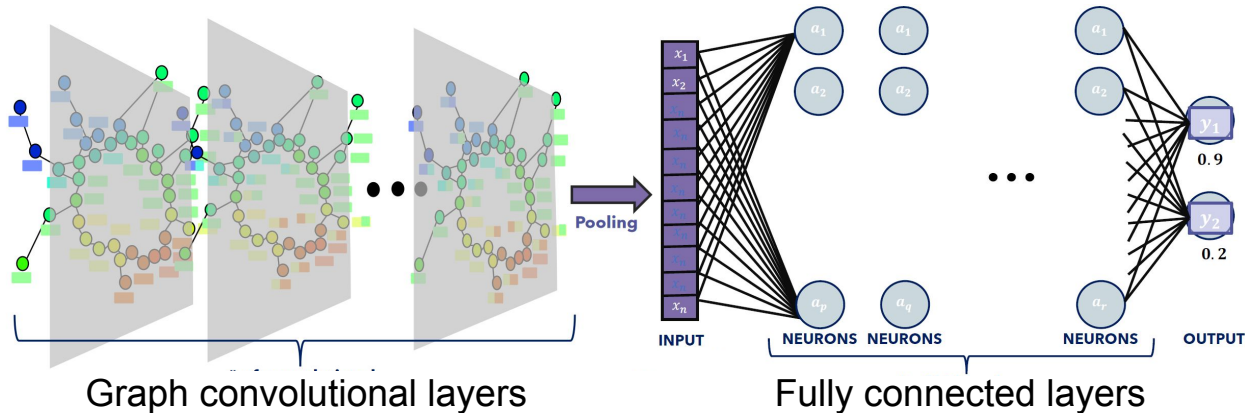
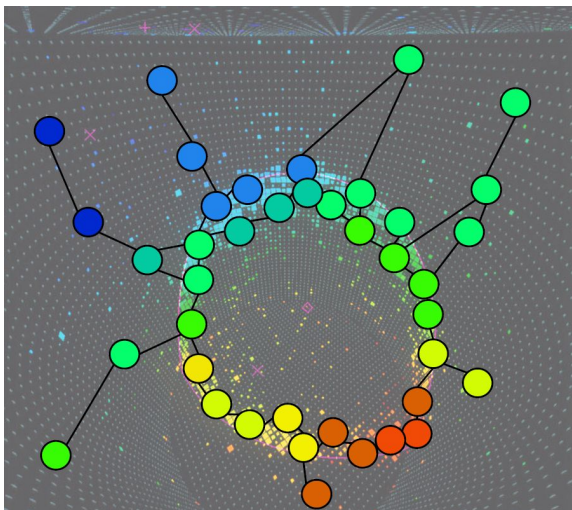
# Regression results

Basic cuts: > 25 hits & fully contained event

Reconstruction resolutions all reduced by 40 - 70%



# GRANT: Graph Network for Hyper-K Far Detector



## Features at each node (hit PMT)

- PMT hit charge
- PMT hit time
- PMT location

## Nearest neighbour graph

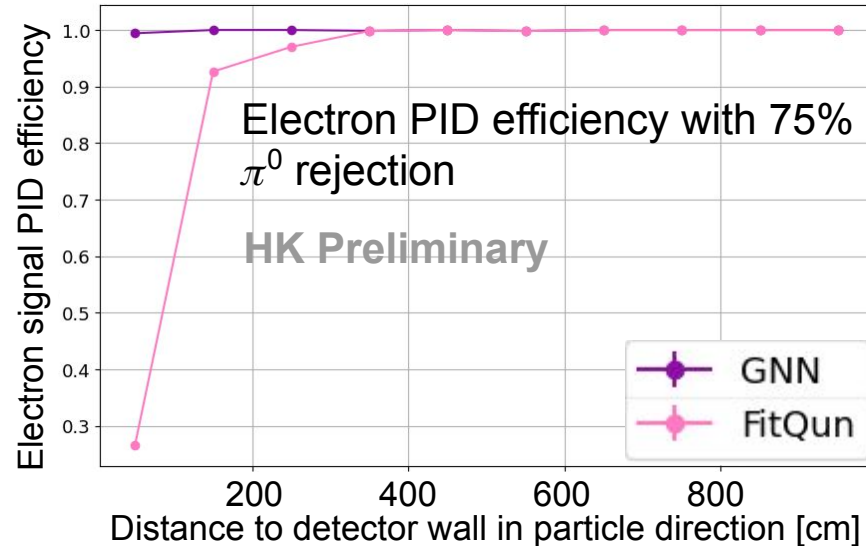
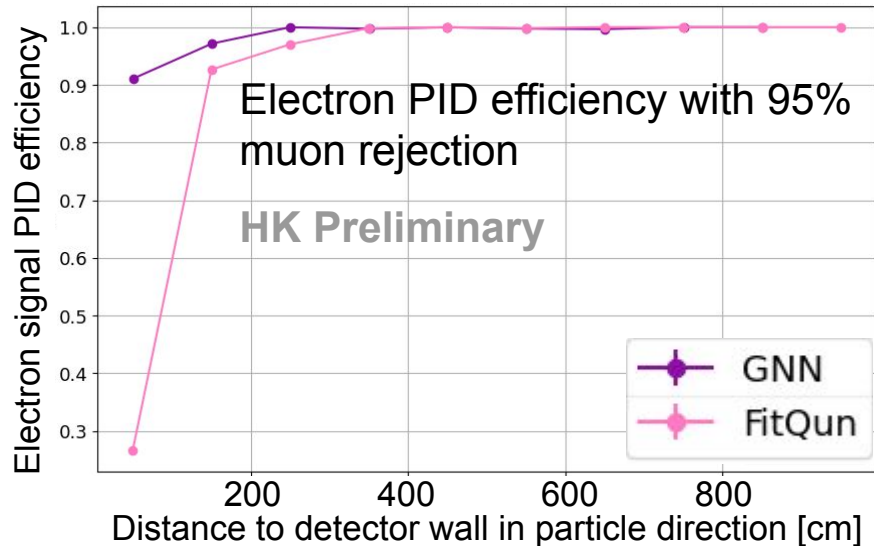
- Physical proximity of PMTs
- or charge, time proximity
- or both

## ResGatedGraphConv

- Graph convolution: aggregates information from neighbouring nodes
- Gate mechanism: adaptively controls amount of information passed between nodes
- Residual connection: Adds original node information to updated information for enhanced gradient propagation

Pooling layer followed by fully connected network provides 1D array of output features (PID variables, reconstructed quantities)

# GRANT GNN Results



**Energy reconstruction**

**GRANT GNN**

**fiTQun**

**500 MeV electrons**

5.5% resolution, 1.5% bias

7.0% resolution, 0% bias

**500 MeV muons**

2.5% resolution, 0.5% bias

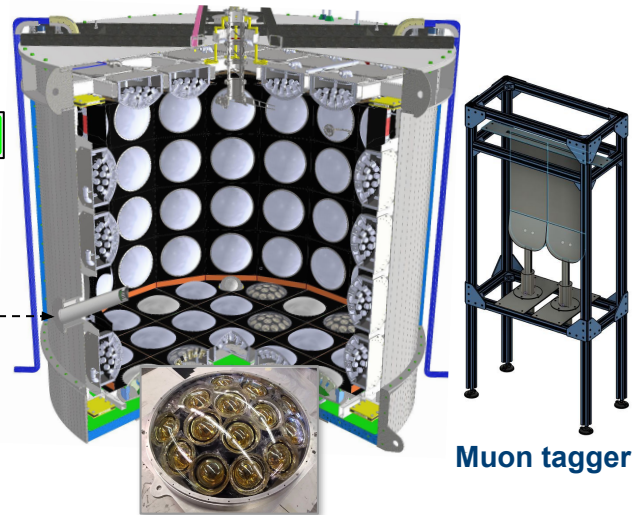
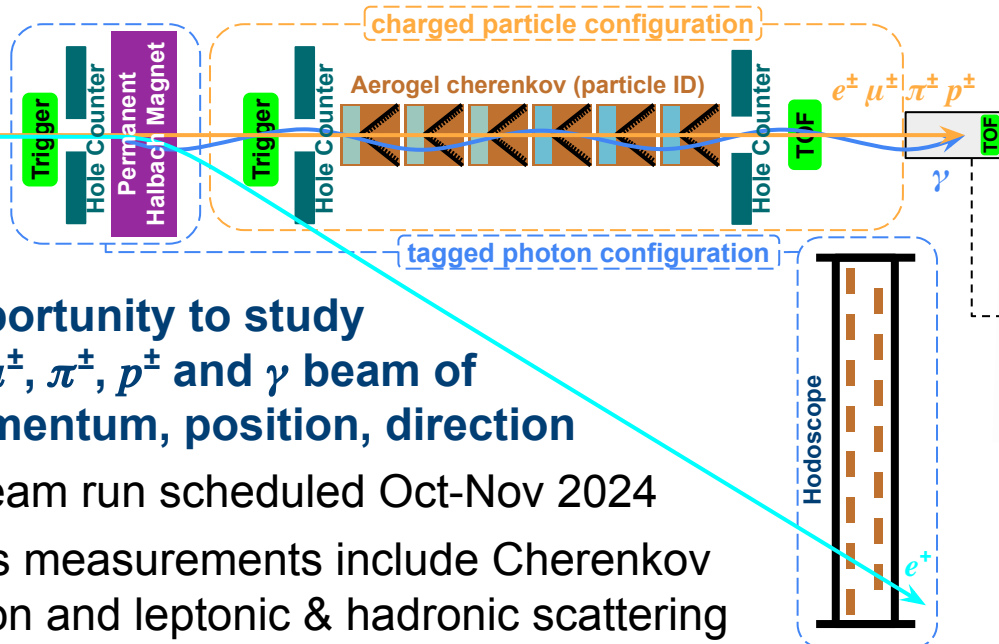
6.0% resolution, 0% bias

Traditional method (fiTQun) tuned to MC to avoid / correct for bias, need to investigate bias source in GRANT

Vertex position reconstruction is not performing well in GRANT, under further investigation

# WCTE

CERN T9 beam  
0.2 to 1.2 GeV



~50 ton WC detector with  
~100 mPMT modules

Unique opportunity to study  
tagged  $e^\pm$ ,  $\mu^\pm$ ,  $\pi^\pm$ ,  $p^\pm$  and  $\gamma$  beam of  
known momentum, position, direction

- First beam run scheduled Oct-Nov 2024
- Physics measurements include Cherenkov emission and leptonic & hadronic scattering
- Main purpose is to develop new hardware, techniques and measurements to reduce interaction physics and detector systematic uncertainties in future  $\nu$  experiments
- ResNet and GRANT both adapted to WCTE Detector geometry with ResNet currently showing comparable performance to IWCD on simulated MC samples
- Potential to demonstrate and validate ML-based reconstruction for WC detectors on real data in real-world experimental conditions

# Summary

Hyper-Kamiokande, the next-generation water Cherenkov neutrino detector experiment has begun construction to start operation in 2027

- Both the far detector and IWCD will require new techniques to improve reconstruction, suppress backgrounds and reduce systematics

Machine learning can avoid the likelihood model approximations of traditional methods

- CNN and GNN architectures already outperforming traditional methods
  - 40 - 70% improvement in IWCD position, direction and energy resolutions
  - New analyses made possible from new  $e / \gamma$  discrimination capability
- Additional benefit of huge increase in speed of reconstruction

WCTE provides unique opportunity to demonstrate ML methods with real-world data

- First data taking with beam scheduled Oct - Nov this year

# Appendix

# ResNet event reconstruction for IWCD

## Trained models on simulated IWCD data

- Generated 3M each of  $e$ ,  $\mu$ ,  $\pi^0$ ,  $\gamma$  (with conversion  $\gamma$  to  $e^+/e^-$  pair at initial position)
  - Uniform random initial position in entire detector, isotropic random initial directions
  - Uniform random energy 0 to 1 GeV above Cherenkov threshold for given particle type
- Split into training, validation and test sets
  - Training: 1.5M of each particle for training
  - Validation: 0.3M of each particle to monitor training and to choose the best trained model
  - Testing: 1.2M of each particle; enough stats to compare small differences between models e.g. differences in e vs mu performance around 99.9% accuracy
- Trained each model for  $\sim 12$  hours on 4 x A100 GPUs

## Classification

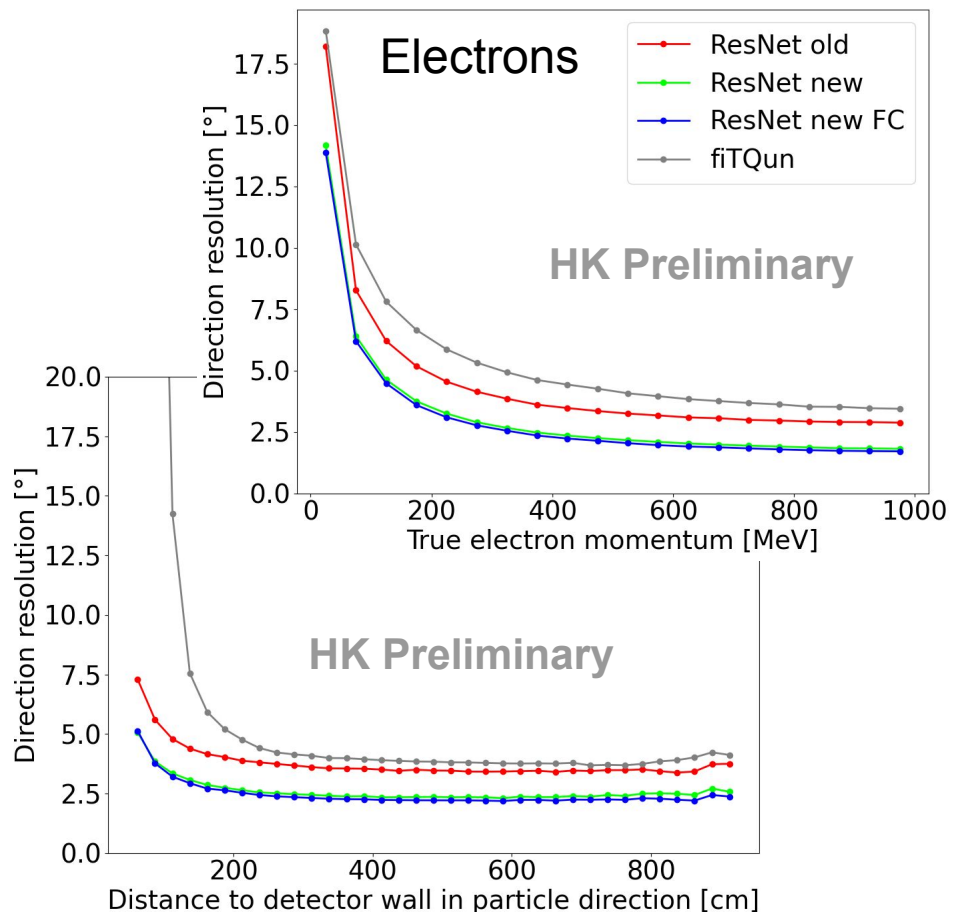
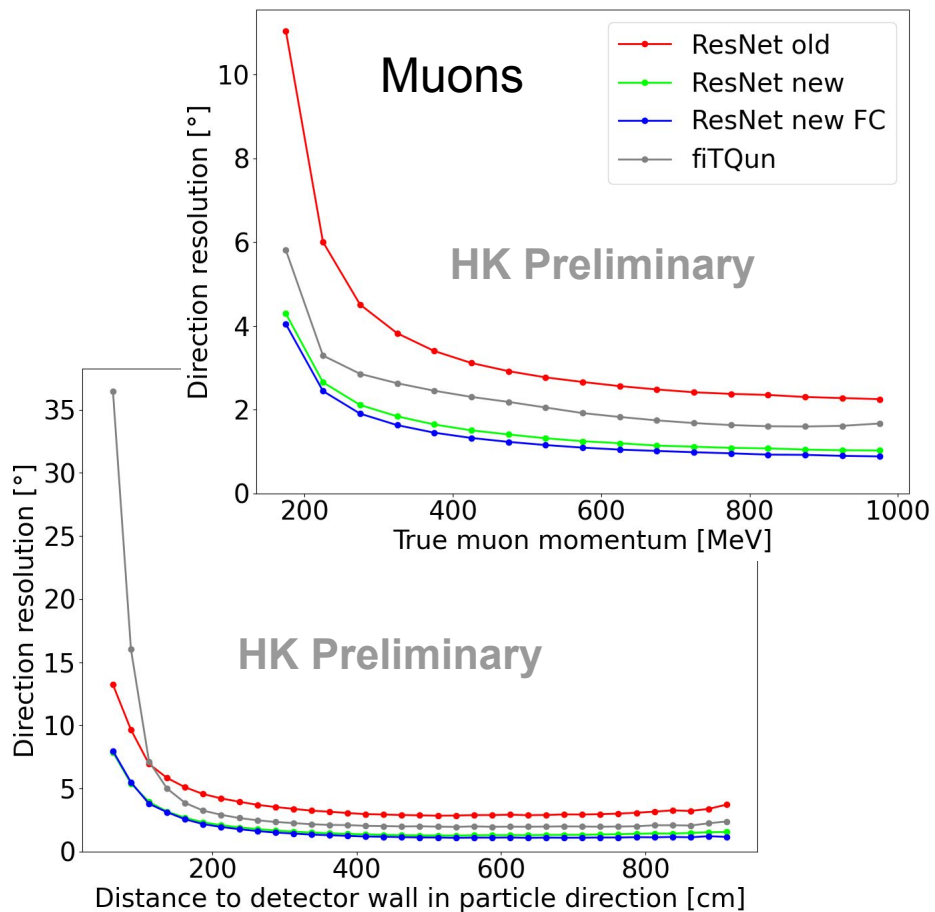
- Single 4-class network for PID classification of  $e$ ,  $\mu$ ,  $\pi^0$ ,  $\gamma$
- Cross-entropy loss, batch size=1024, Adam optimiser, learning rate=0.002

## Regression

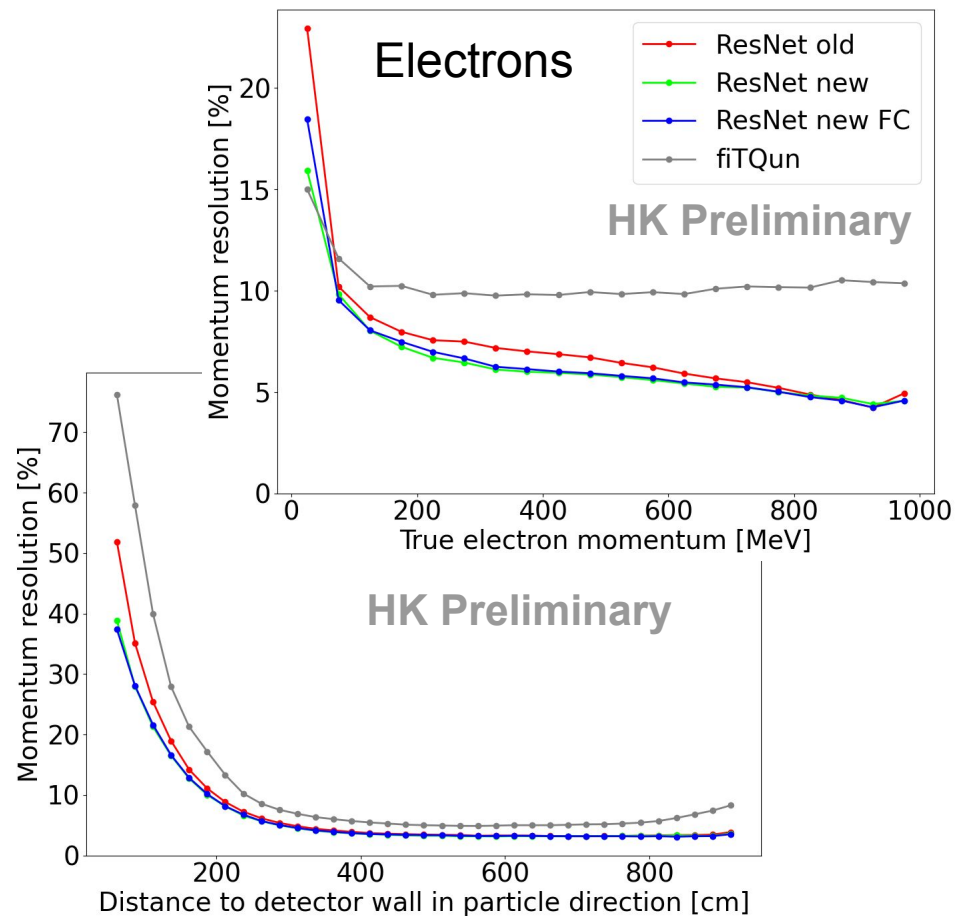
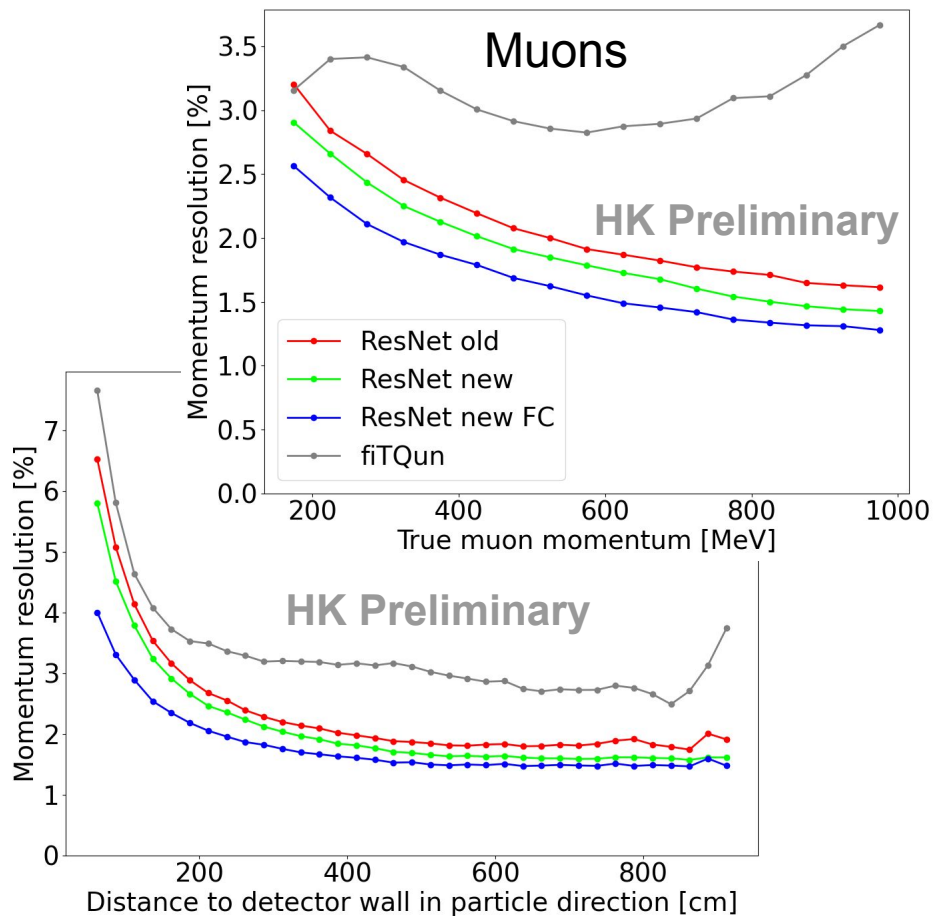
- Six separate networks for position, direction and energy of  $e$  and  $\mu$
- Huber loss with delta=0.1, batch size=1024, Adam optimiser, learning rate=0.002



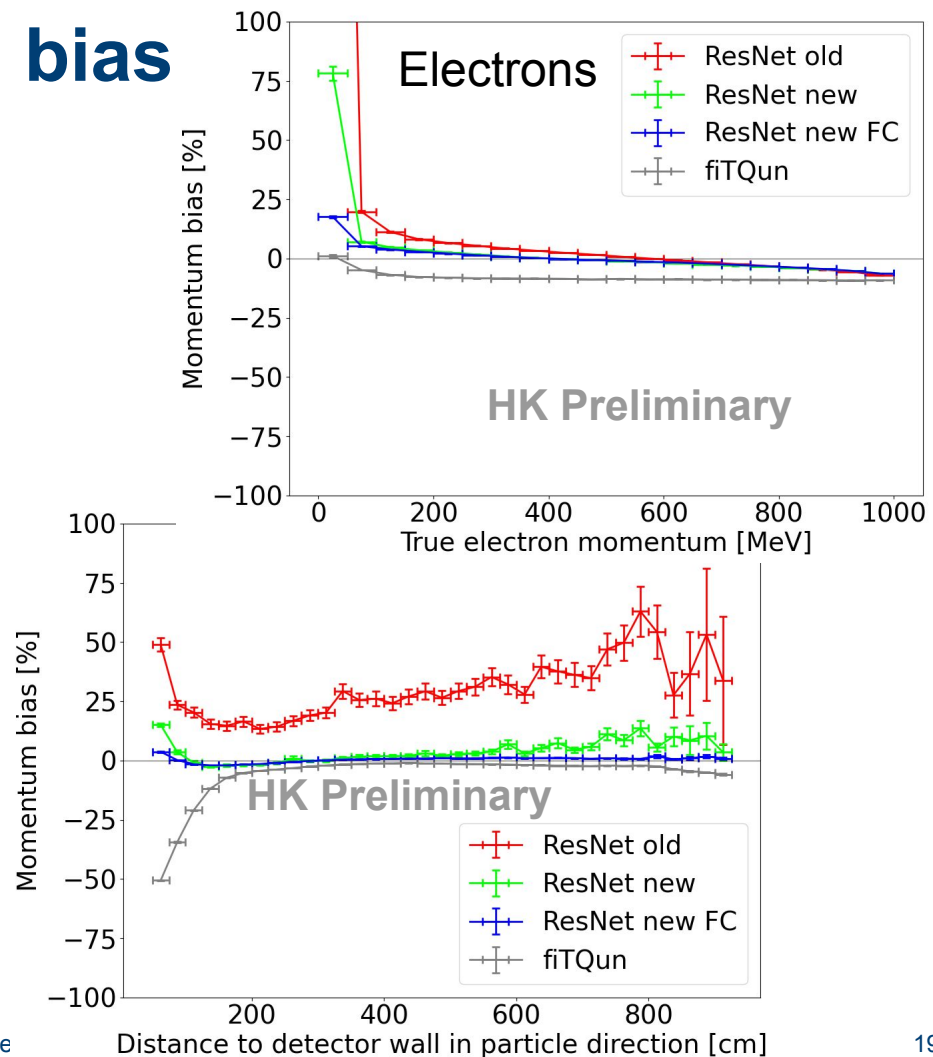
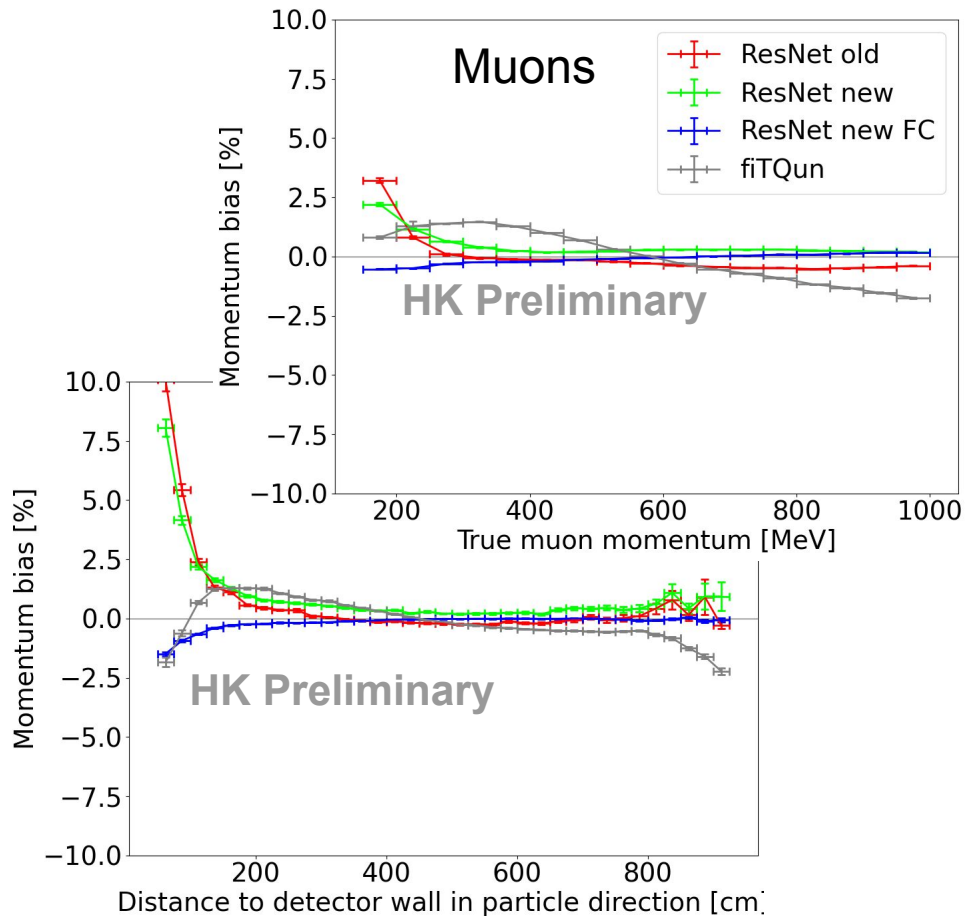
# Direction reconstruction



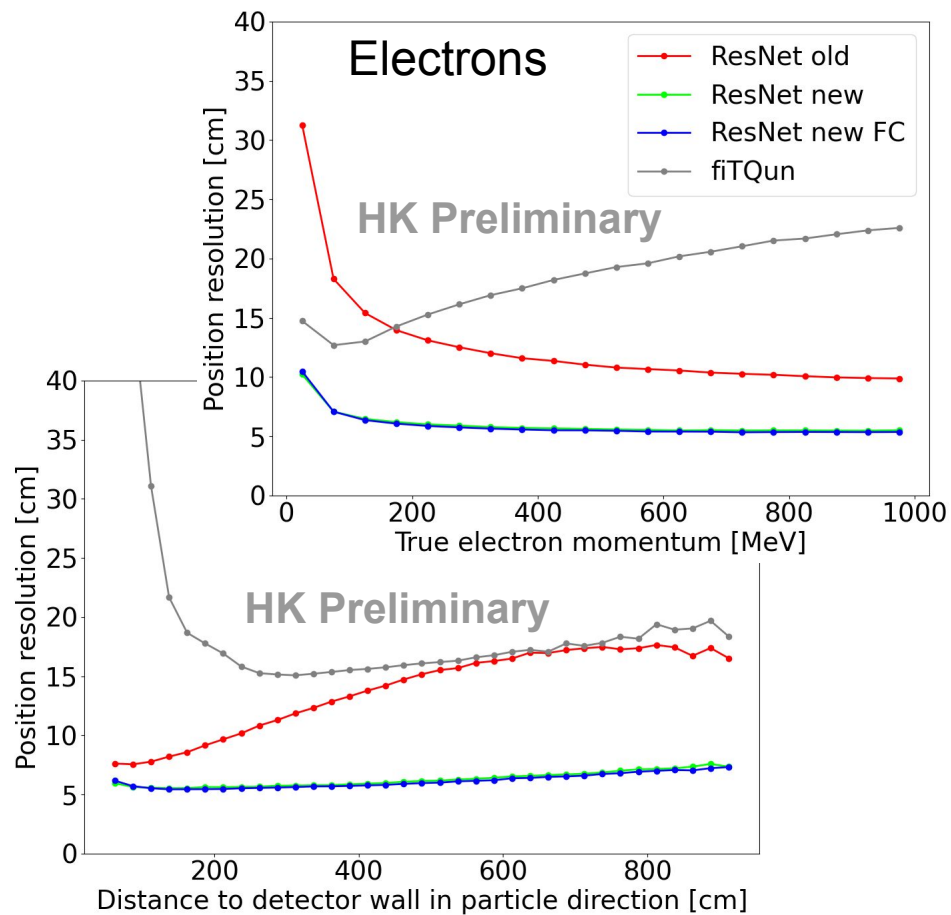
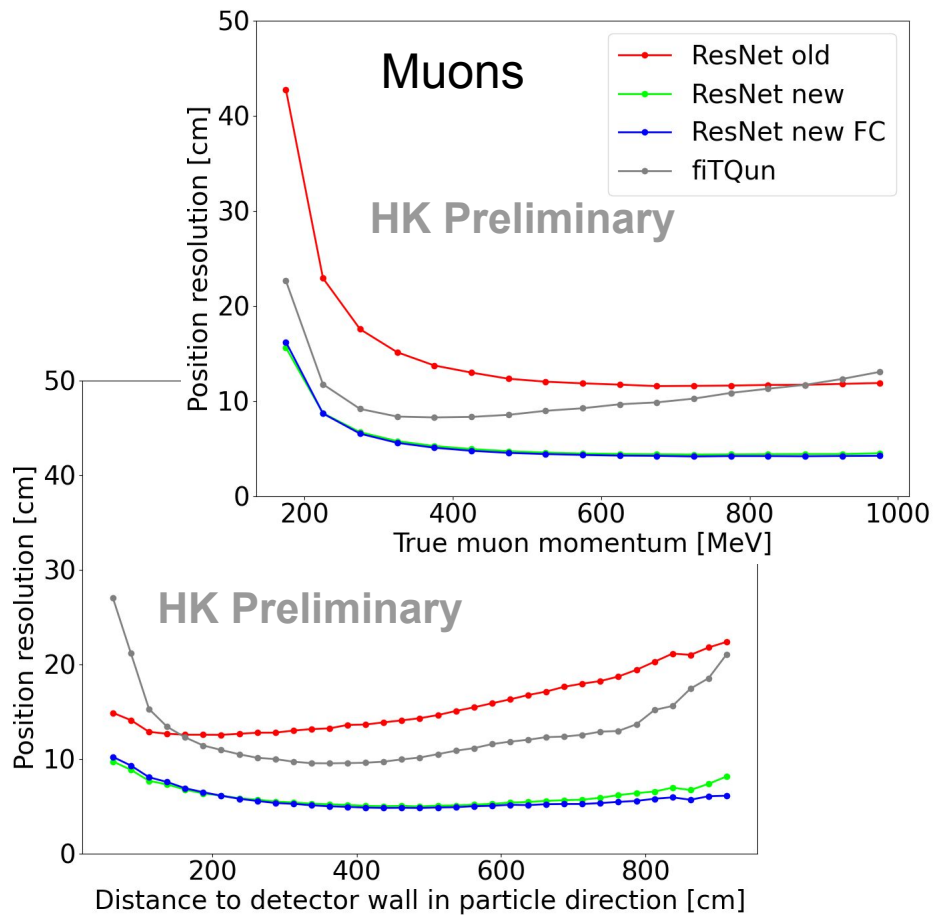
# Momentum reconstruction resolution



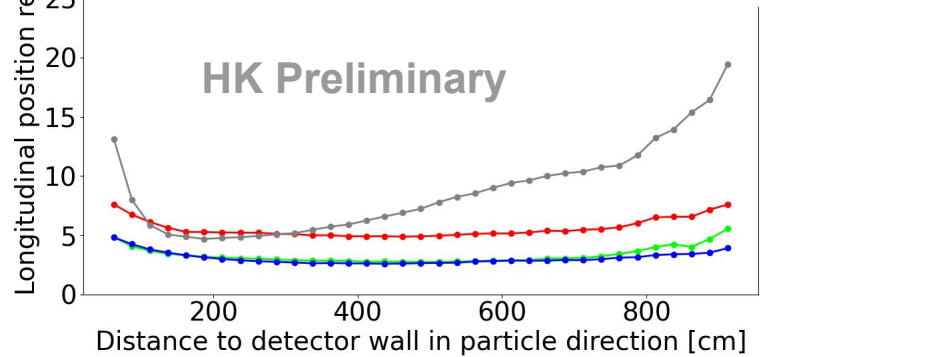
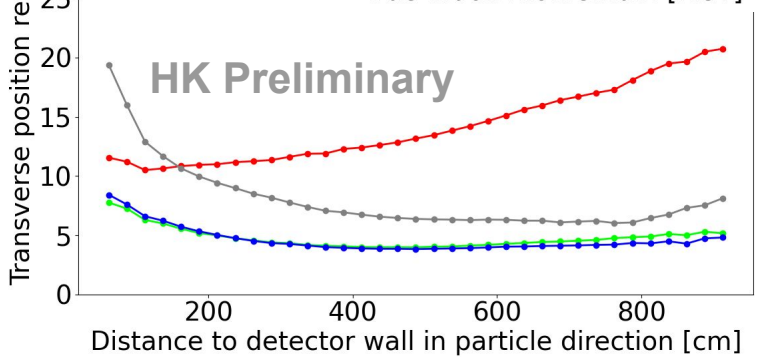
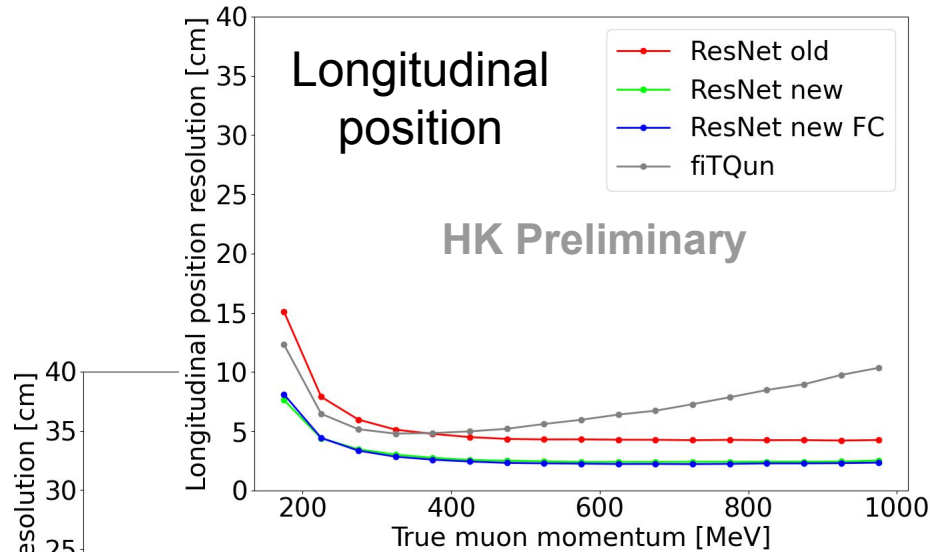
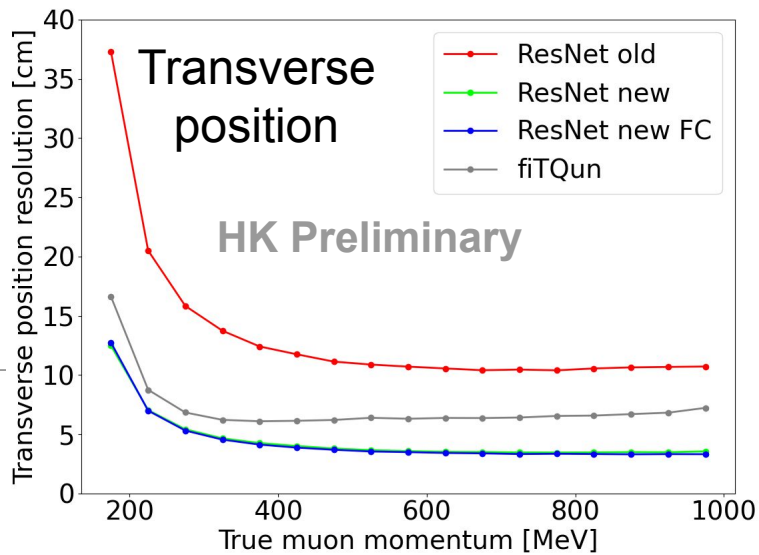
# Momentum reconstruction bias



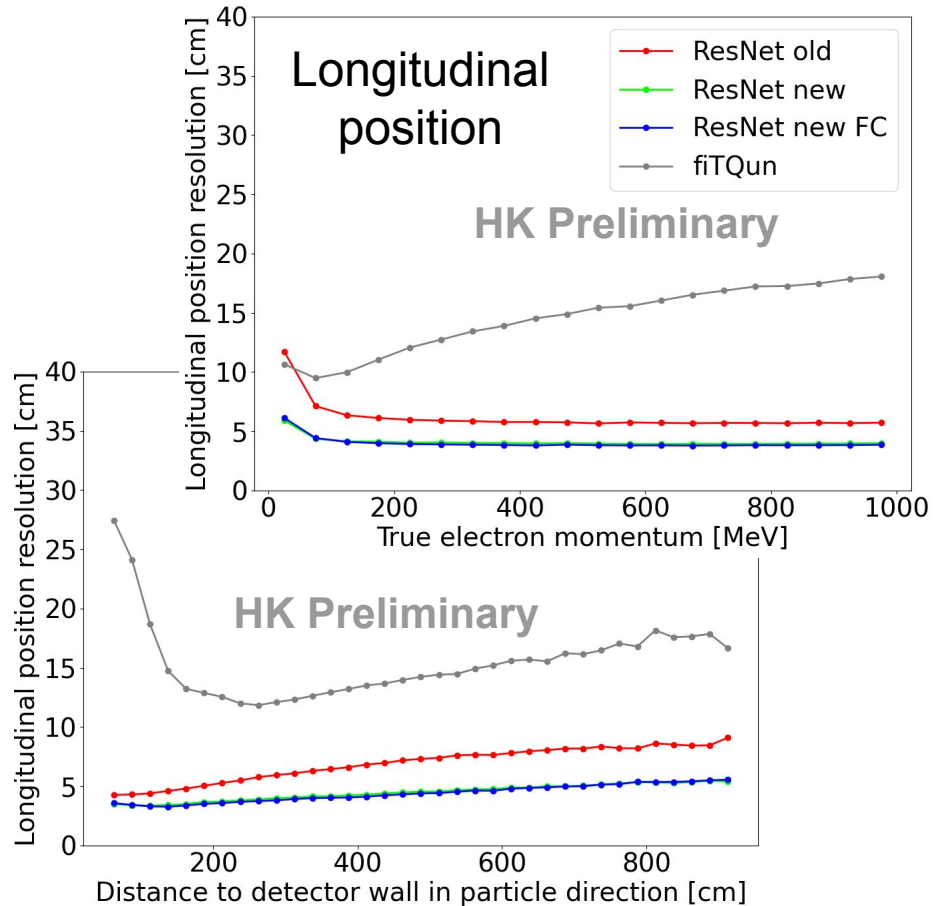
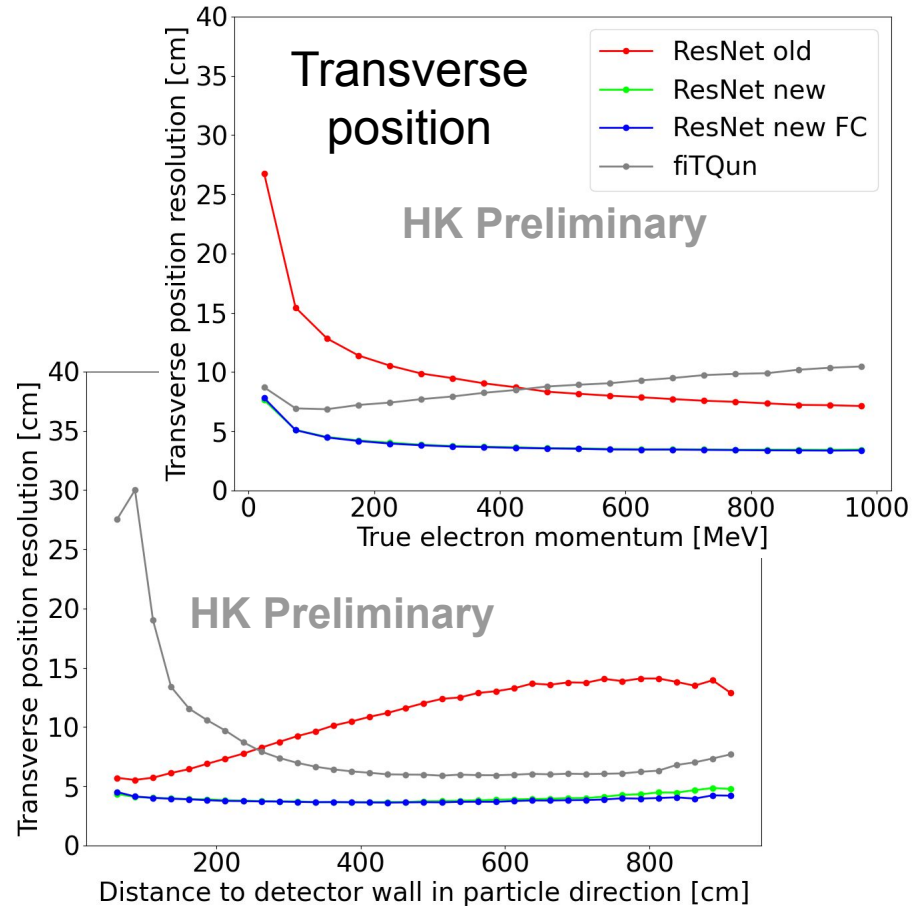
# 3D position reconstruction



# Muon position reconstruction (longitudinal & transverse)



# Electron position reconstruction (longitudinal & transverse)



# IWCD Regression summary

- Position, direction and energy for both muons and electrons
- Separate training run for each particle / quantity
- Trained for 12 hours in each configuration - # epochs varied by training set size
  - Trained each model on one Narval GPU node (4 x A100 40 GB GPUs) for 12 hours
  - Electron dataset is much larger (from large e/gamma separation dataset)
  - FC cuts also reduce dataset size
  - Could maybe benefit from larger muon dataset to match electrons

	All electrons	FC electrons	All muons	FC muons
# events	7,361,250	6,550,175	1,455,729	809,418
Trained # epochs	18	20	90	160

- For comparison, “ResNet old” refers to results with:
  - No double cover, no augmentations, no hit timing information, no feature scaling
  - Polar and azimuth angles for direction reconstruction
  - 20 epochs for all cases

# IWCD Regression summary

<b>Muon</b>	<b>ResNet</b>	<b>fiTQun</b>
3D position resolution [cm]	<b>5.36</b>	11.05
Transverse position resolution [cm]	<b>4.27</b>	7.40
Longitudinal position resolution [cm]	<b>2.84</b>	7.13
Longitudinal position mean bias [cm]	<b>-0.16</b>	8.35
Direction resolution [°]	<b>1.37</b>	2.29
Momentum resolution [%]	<b>1.70</b>	3.18
Momentum mean bias [%]	<b>-0.12</b>	0.17
<b>Electron</b>	<b>ResNet</b>	<b>fiTQun</b>
3D position resolution [cm]	<b>5.81</b>	18.31
Transverse position resolution [cm]	<b>3.81</b>	8.62
Longitudinal position resolution [cm]	<b>3.97</b>	14.47
Longitudinal position mean bias [cm]	<b>-0.04</b>	2.39
Direction resolution [°]	<b>2.57</b>	5.25
Momentum resolution [%]	<b>6.65</b>	10.51
Momentum mean bias [%]	<b>0.21</b>	-7.85

Resolutions defined as 68th percentile of 3D distance between true and reco positions, angle between true and reco directions, residual of  $|\text{reco} - \text{true}|$  energy, etc.

Biases defined as mean of  $(\text{reco} - \text{true})$  values

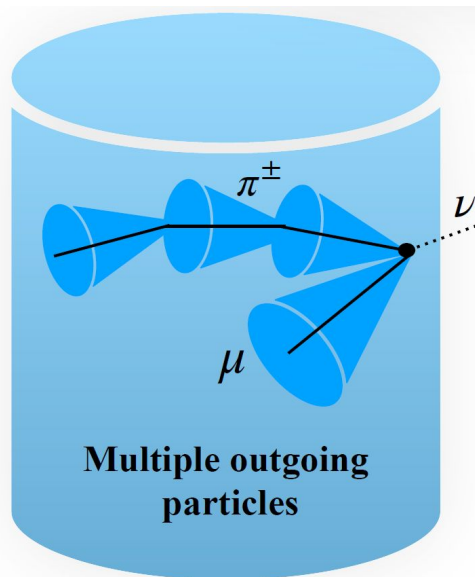


# ResNet for pile-up identification in IWCD

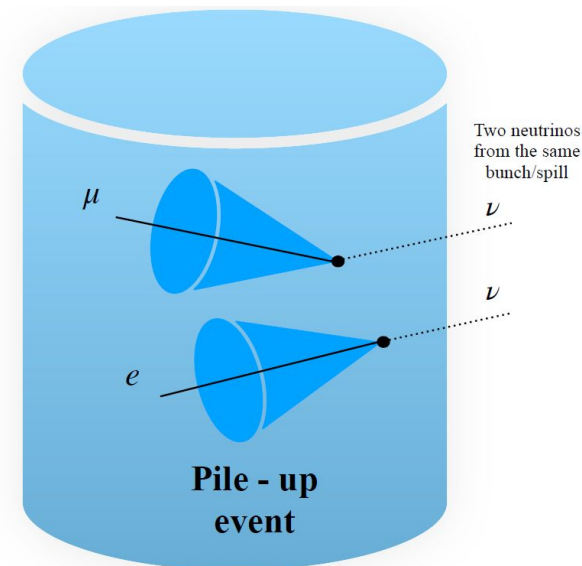
## Study of single-vertex vs multi-vertex classification

- IWCD will see multi-vertex pile-up events due to close proximity to neutrino beam
- Trained network to classify multi-track events as single or multiple vertices
- Trained on particle gun events with 2+ particles from a single vertex or from two vertices
- Tested on samples using realistic neutrino interactions generated by GENIE or NEUT

Single-vertex  
multi-track event



Pile-up event



# Pile-up training and testing samples

For all events:

- Each vertex is a random uniform position in the tank, random initial time from Gaussian with width of 25ns

For training:

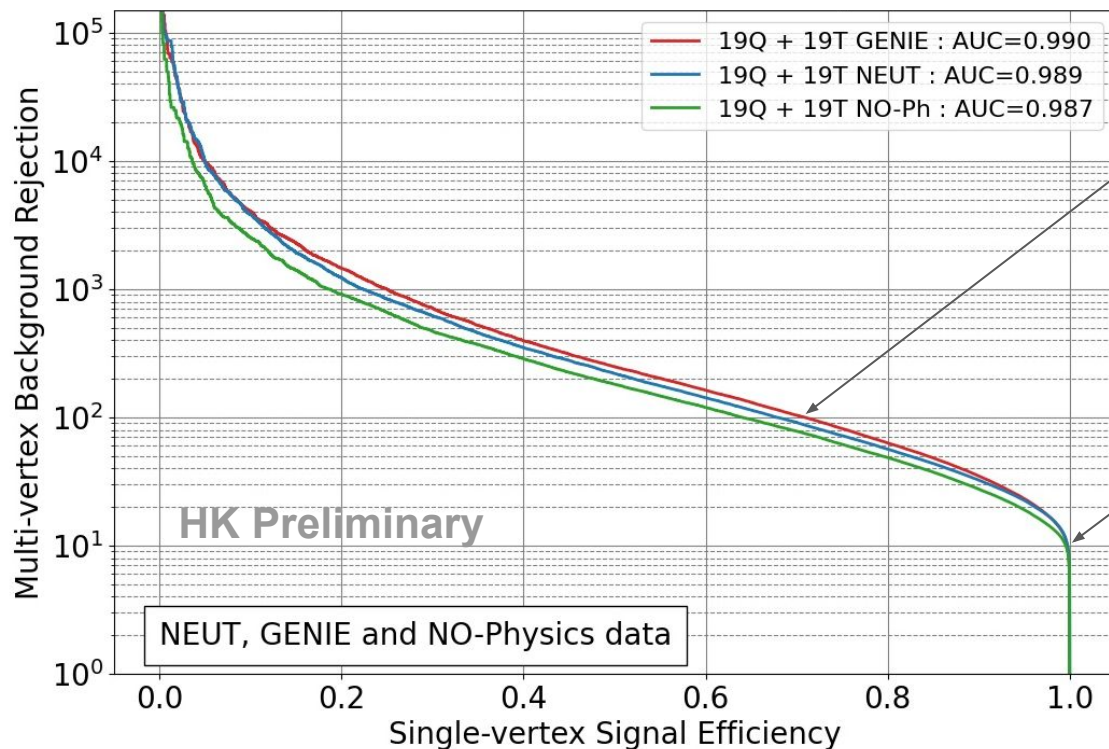
- 2.5M events each for single or multi-vertex
  - For single-vertex all particles start at the same vertex (position and time)
  - For multi-vertex particles randomly split between two vertices
- 2 to 5 particles simulated per event, with 'unbiased' distributions to avoid model bias
  - Each particle randomly chosen from electron, muon, neutral and charged pions
  - Each particle has random isotropic direction
  - Total energy of all particles uniformly distributed from 0 to 2 GeV

For testing:

- Number, type, energies, direction of particles determined by neutrino interaction MC
- ~1M events produced using each of NEUT and GENIE
  - For single-vertex take a single neutrino interaction
  - For multi-vertex take two neutrino interactions

# Pile-up results

## Single vertex acceptance vs multi-vertex rejection

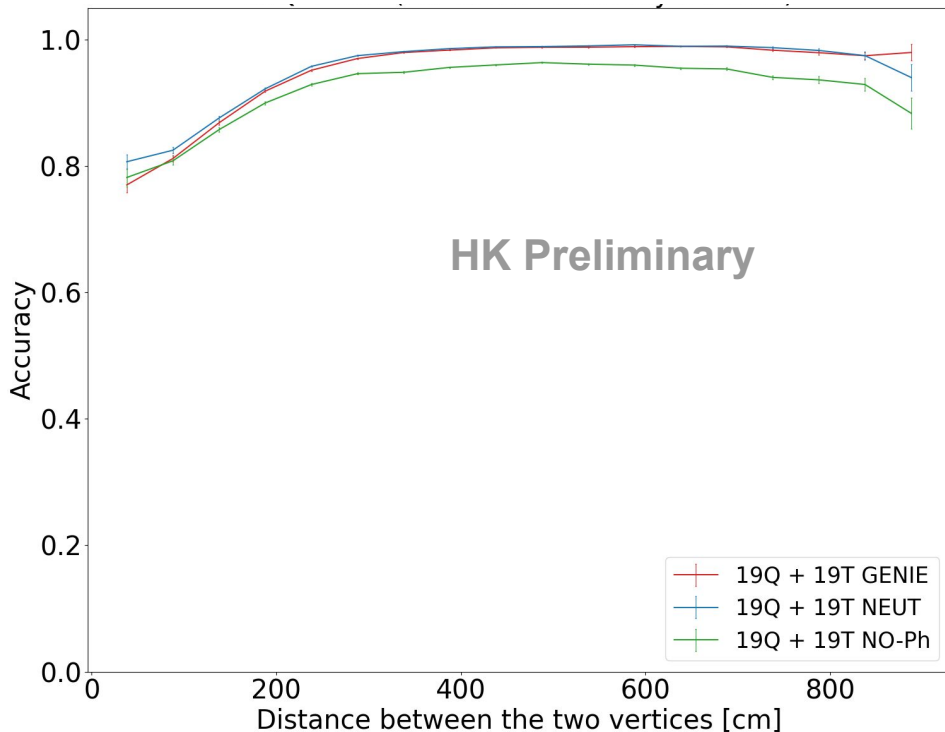


~70% of single vertex events accepted with 99% of multi-vertex events rejected

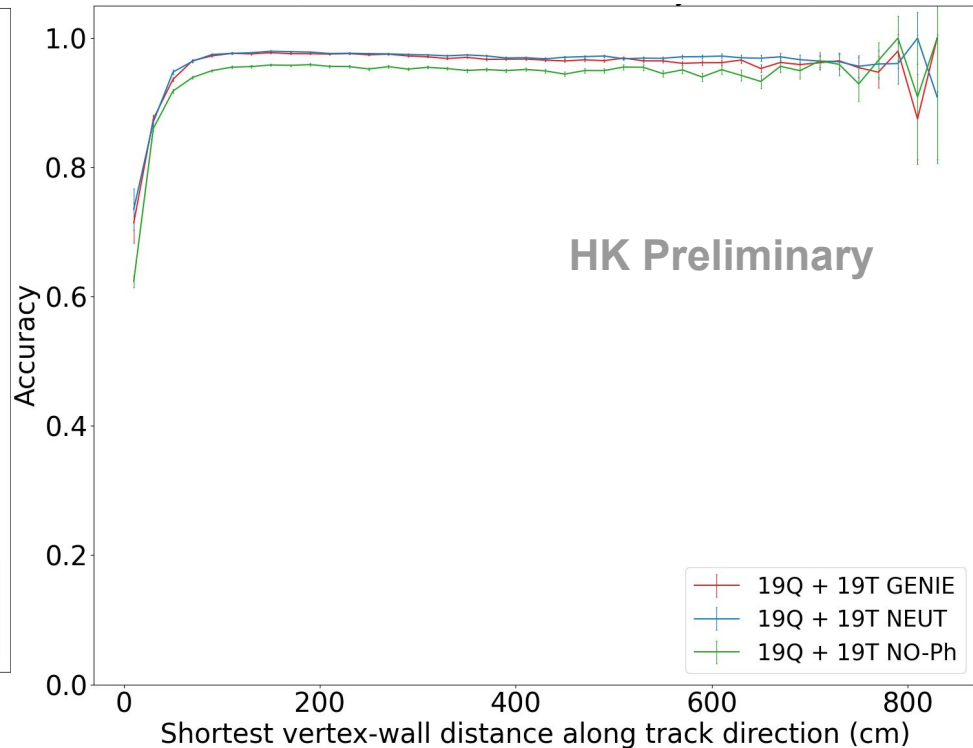
~99% of single vertex events accepted with 90% of multi-vertex events rejected

Working nicely with NEUT/GENIE MC events after training with particle gun MC

# Pile-up results

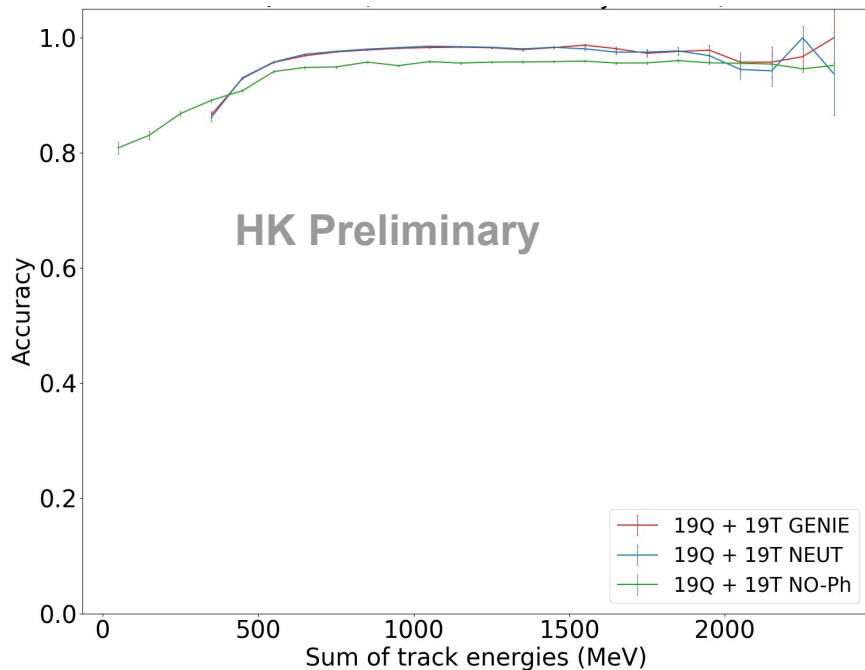


Some degradation of performance when vertices close to each other in space

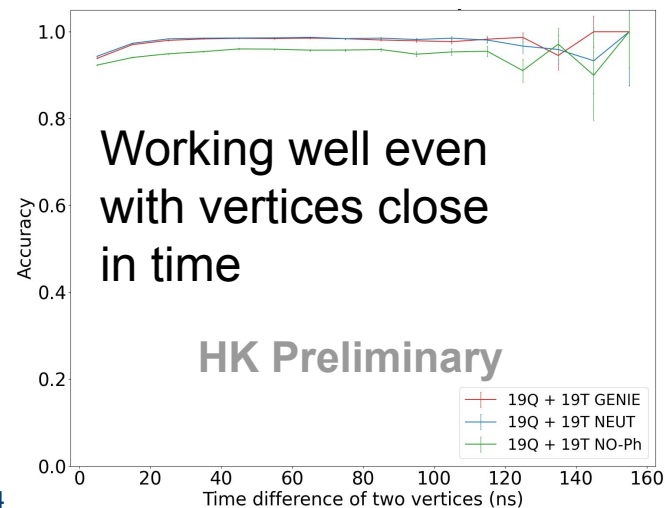
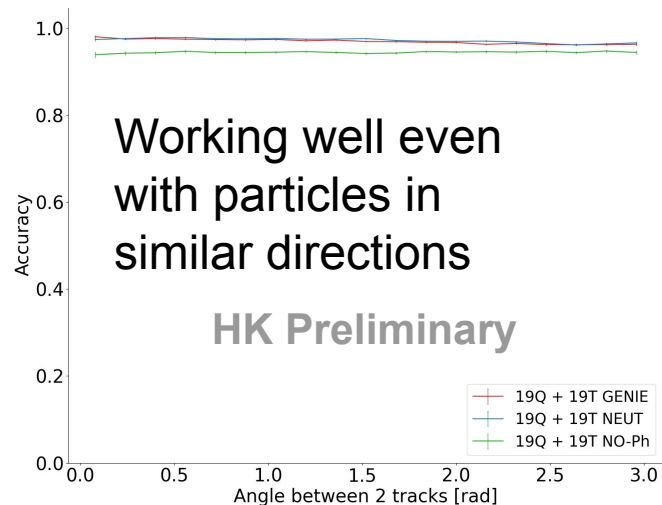


Degradation of performance for particles with small to wall distance

# Pile-up results

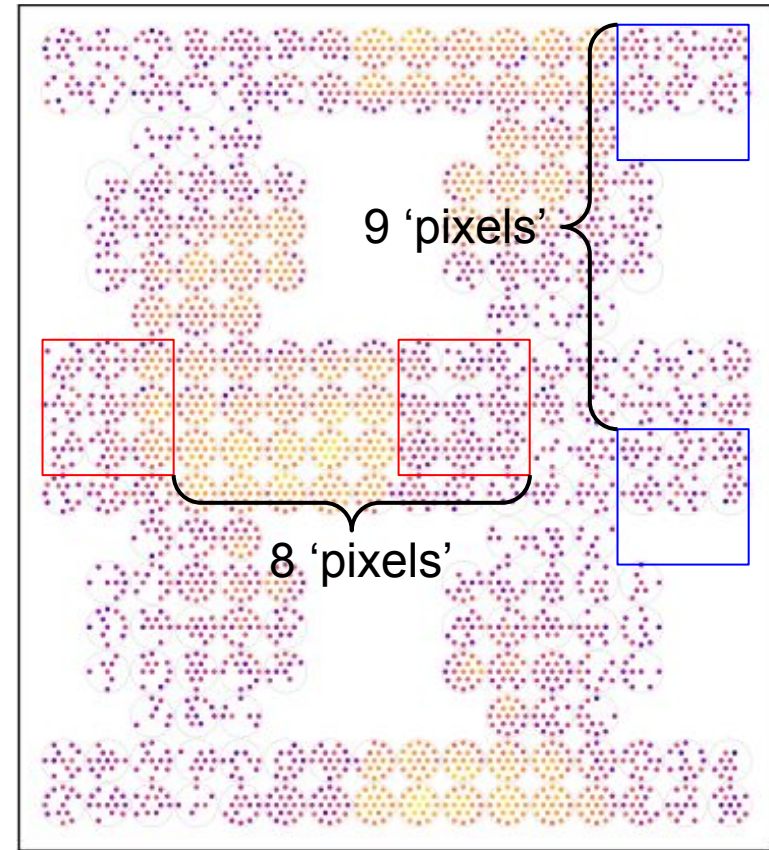


Some degradation of performance at lower energies



# Problem with WCTE in ResNet for regression

- **Problem:** 16 columns + circular boundaries + CNN translation invariance = identical network output after  $180^\circ$  rotation of detector about its axis
  - Network learns locations on tank from pattern of filled/unfilled pixels
  - But translations equivalent to  $180^\circ$  tank rotation have same pattern so network output is identical for a  $180^\circ$  rotated event
  - Need to break symmetry for network to learn position and direction
- ResNet performs  $3 \times 3$  convolutions and maxpools with a stride of 2
  - Considering the downsampling, the translation invariance is only unbroken for translations by number of pixels equal to powers of 2
- WCTE has 16 columns
  - Horizontal  $180^\circ$  rotation of the tank about its axis in 3D is the same as translating the 2D double-cover image by 8 pixels
  - So the network cannot distinguish between any event and the equivalent with the tank rotated by  $180^\circ$
  - Vertical  $180^\circ$  rotation is equivalent to 9 pixel translation so the invariance is broken due to the stride of 2
- IWCD has 40 columns so didn't see this problem
  - After 2 downsamples, the a 20-pixel translation for  $180^\circ$  tank rotation becomes a 5-pixel translation
  - With stride of 2, there is translation invariance of only even number of pixels
  - So it can learn the difference between an event and the equivalent event rotated by  $180^\circ$

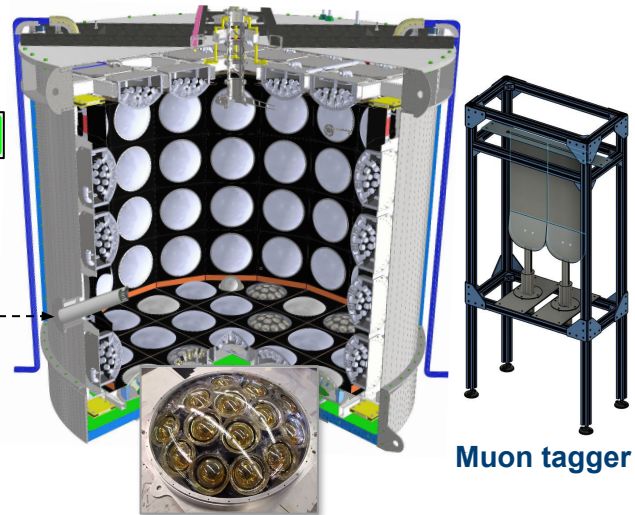
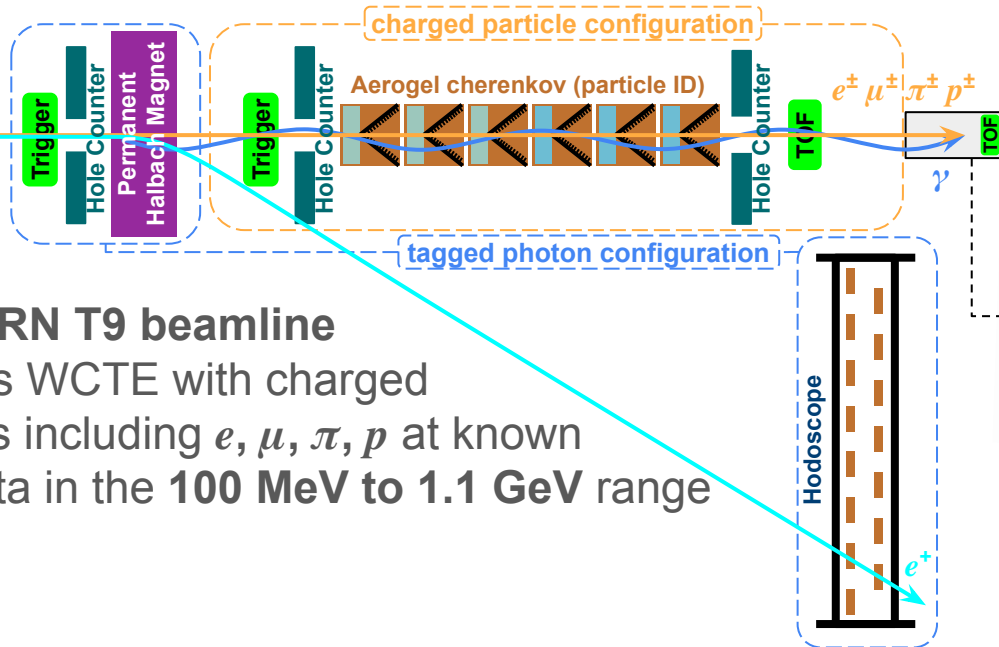


# Problem with WCTE in ResNet for regression

- **Problem:** 16 columns + circular boundaries + CNN translation invariance = identical network output after  $180^\circ$  rotation of detector about its axis
- Solve problem with Positional encoding: provide geometrical information as extra channels
  - Each mPMT pixel now has 41 channels of data instead of 38
    - 19 charge channels + 19 time channels = 38
    - + 3 channels for x, y, z position of the middle of the mPMT
  - Also added option to provide channels for mPMT orientation
- Adding the mPMT position channels allowed it to successfully learn particle position and direction
  - Takes longer to converge, but once it does the performance is improved
  - No benefit seemed to come from also adding orientation, only position seemed to help
  - Also tried one-hot encoding channels of mPMT locations for network to learn 3D geometry
    - Same eventual performance within statistical errors, but slower to train

# WCTE

CERN T9 beam  
0.2 to 1.2 GeV



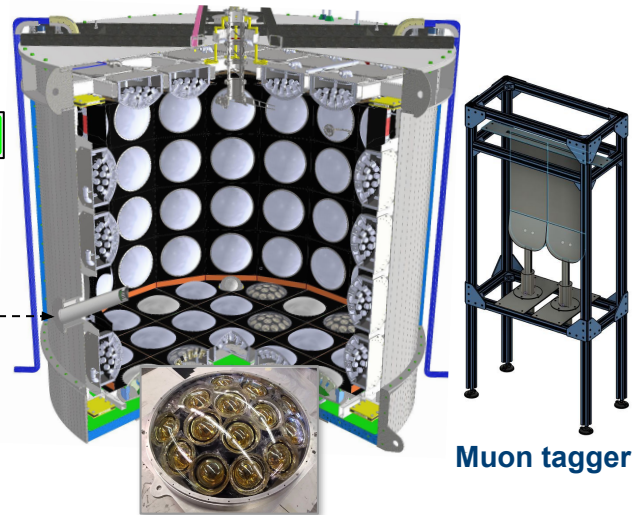
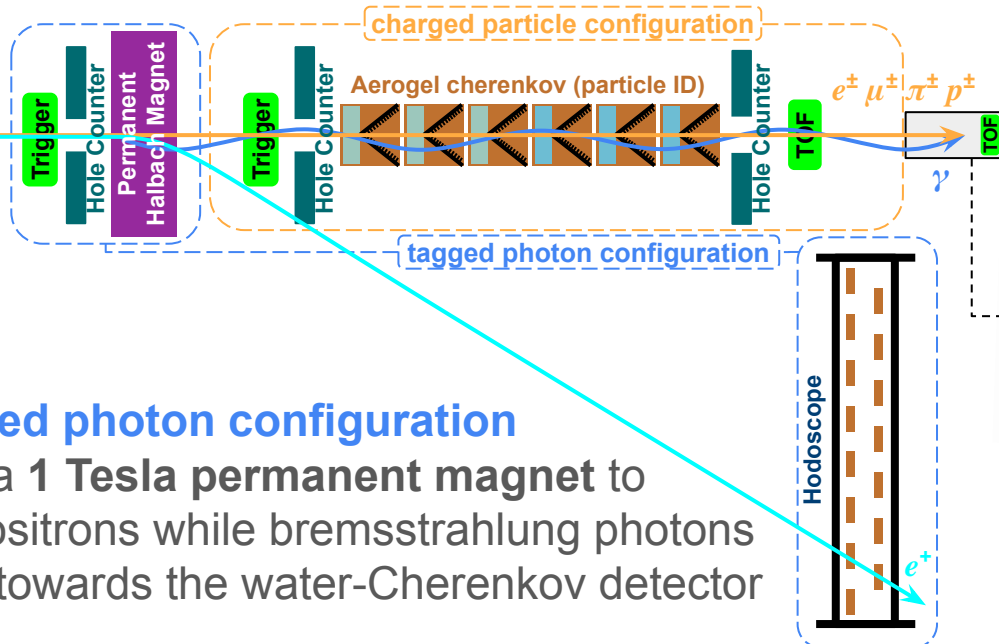
~50 ton WC detector with  
~100 mPMT modules

- The **CERN T9 beamline** provides WCTE with charged particles including  $e$ ,  $\mu$ ,  $\pi$ ,  $p$  at known momenta in the **100 MeV to 1.1 GeV** range
- The **charged particle configuration** includes **Aerogel Cherenkov counters** with different refractive indices chosen for each beam momentum, for tagging of electrons and muons through their Cherenkov threshold; **Time of Flight (TOF)** detectors with 100 ps timing resolution provide identification of the heavier hadrons ( $p$ ,  $\pi$ ,  $K$ , etc.)



# WCTE

CERN T9 beam  
0.2 to 1.2 GeV

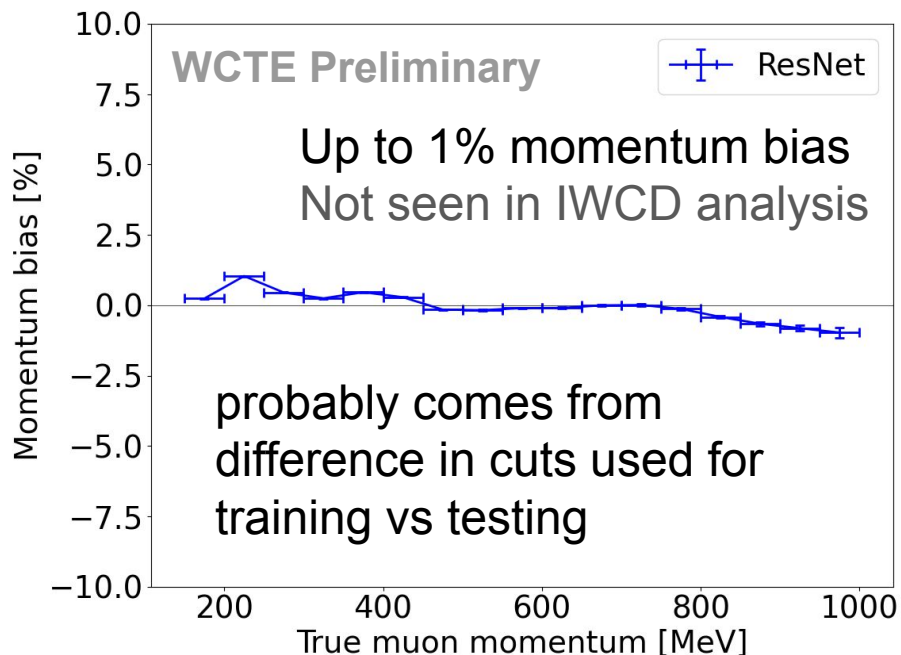
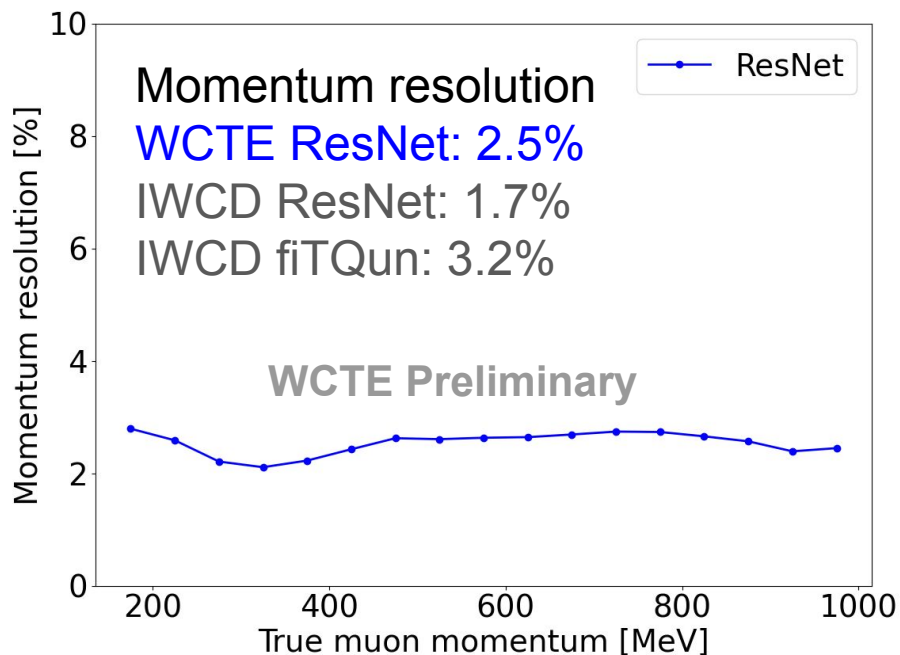


~50 ton WC detector with  
~100 mPMT modules

- The **tagged photon configuration** includes a **1 Tesla permanent magnet** to deflect positrons while bremsstrahlung photons continue towards the water-Cherenkov detector
- A **hodoscope** measures the deflected positron's energy to determine the photon's energy
- July 2023 beam test successfully demonstrated capability of both beam monitor configurations
- Data taking is scheduled for 6 weeks Oct-Nov 2024 with additional beamtime expected in 2025

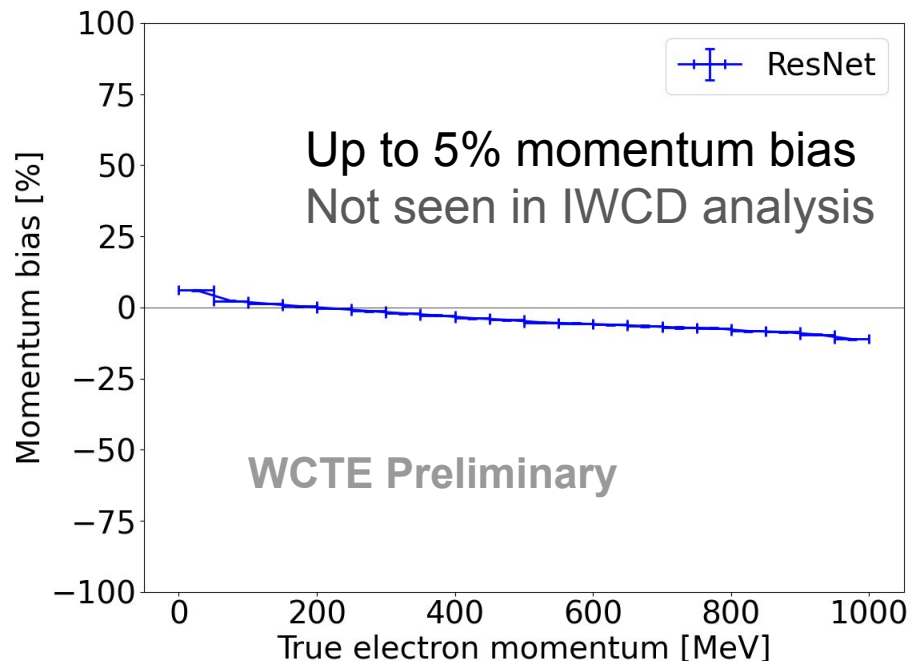
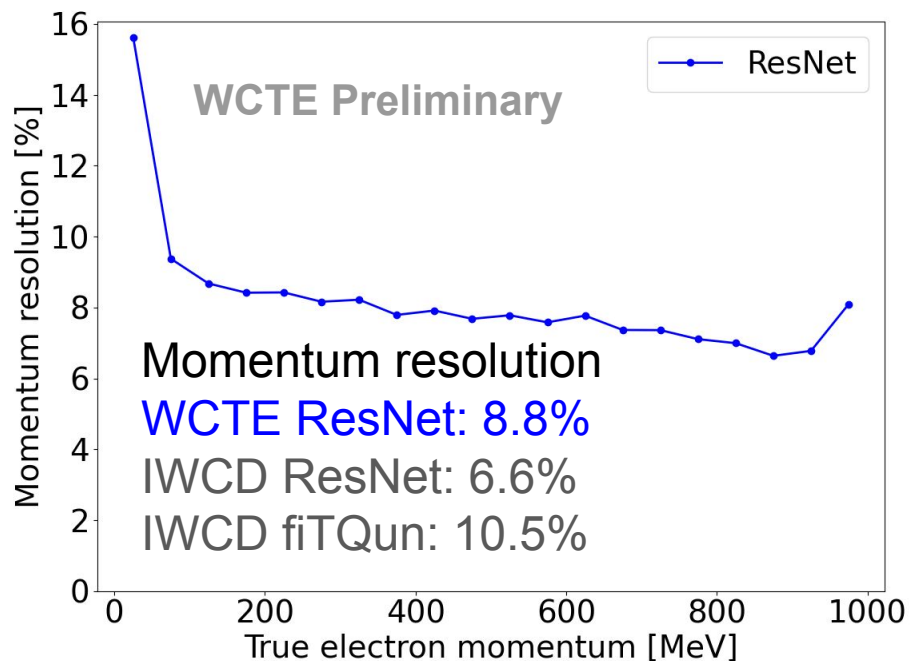
# WCTE Muon momentum reconstruction

- Trained on true fully contained events with  $> 10$  hits
- Test on fully contained events with  $> 25$  hits and towall  $> 1$  m

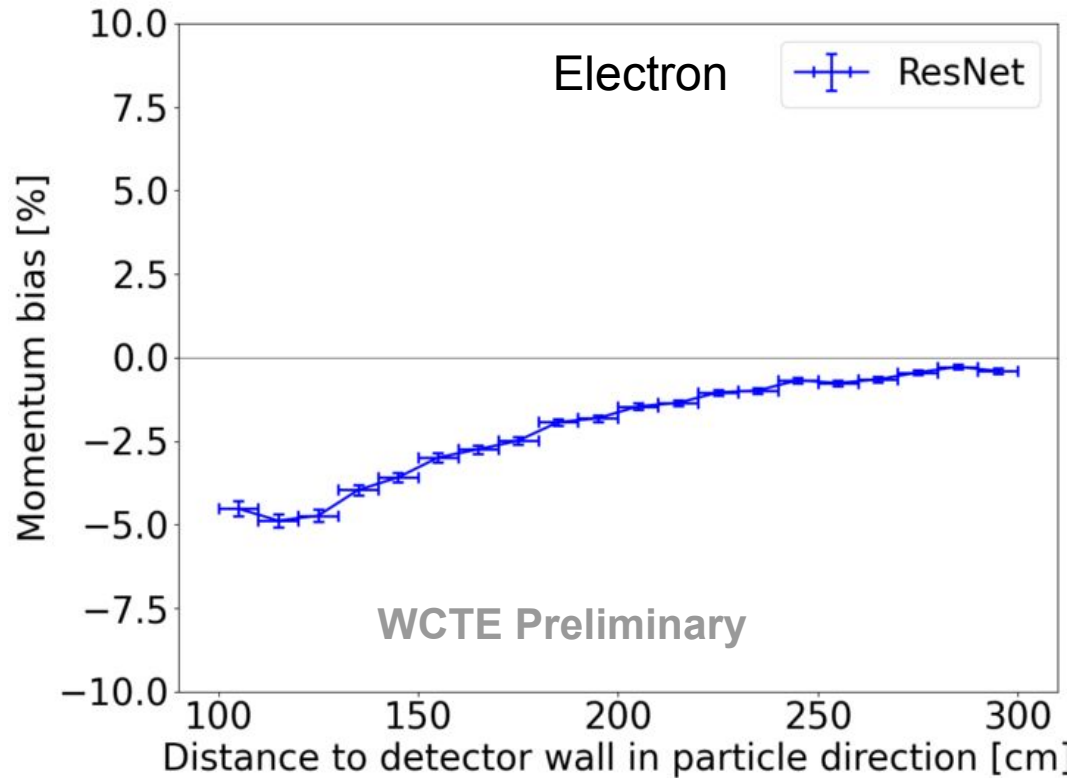


# WCTE Electron momentum reconstruction

- Trained on true fully contained events with  $> 10$  hits
- Test on fully contained events with  $> 25$  hits and towall  $> 1$  m



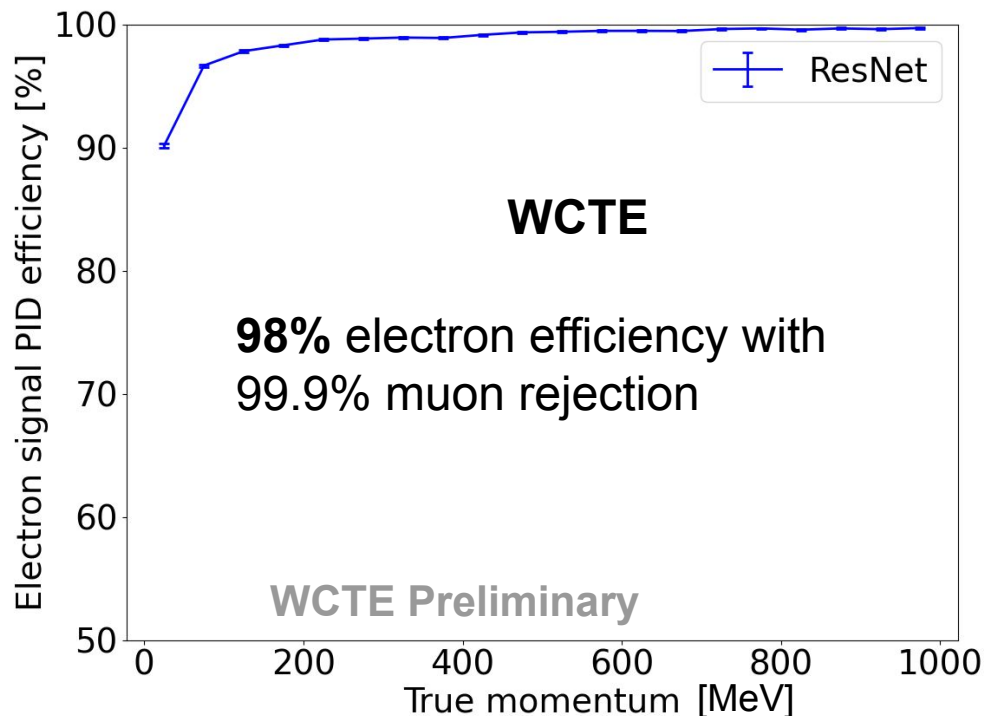
# WCTE Momentum bias against to wall distance



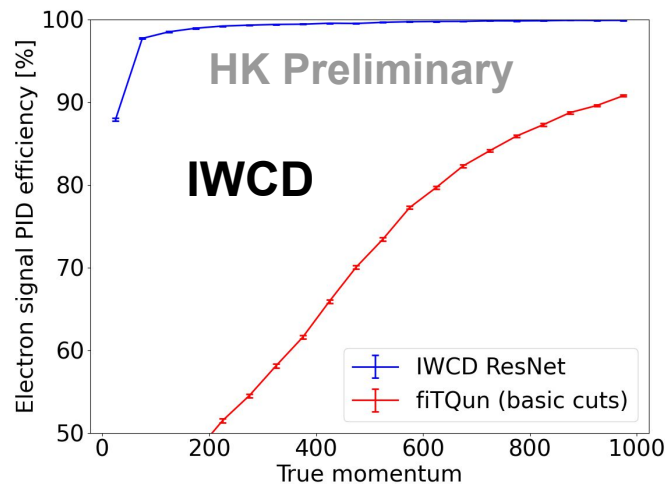
Trained using ALL events with >10 hits

Tested on FC events with >25 hits, towall > 1m

# WCTE Electron / muon PID



Trained using ALL events with >10 hits  
Tested on FC events with >25 hits, towall > 1m



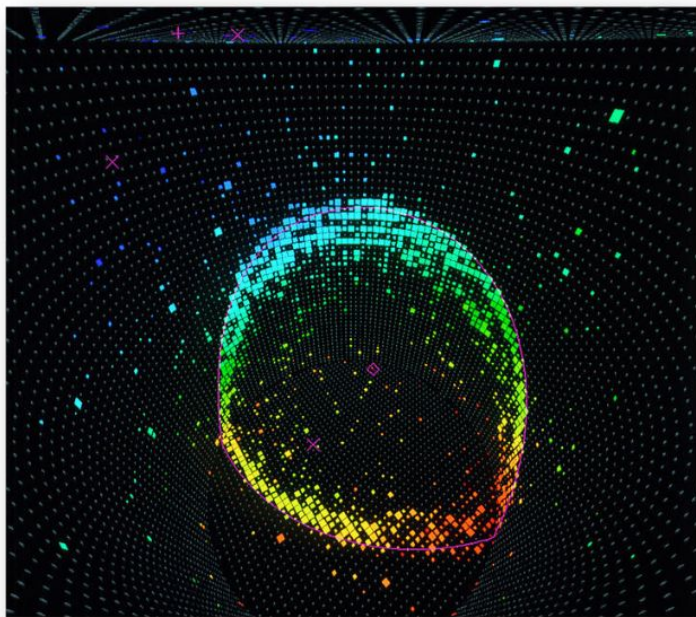
**99% electron efficiency with 99.9% muon rejection**

fitQun performs poorly without additional cuts on towall, dwall, etc.

# WCTE Reconstruction summary

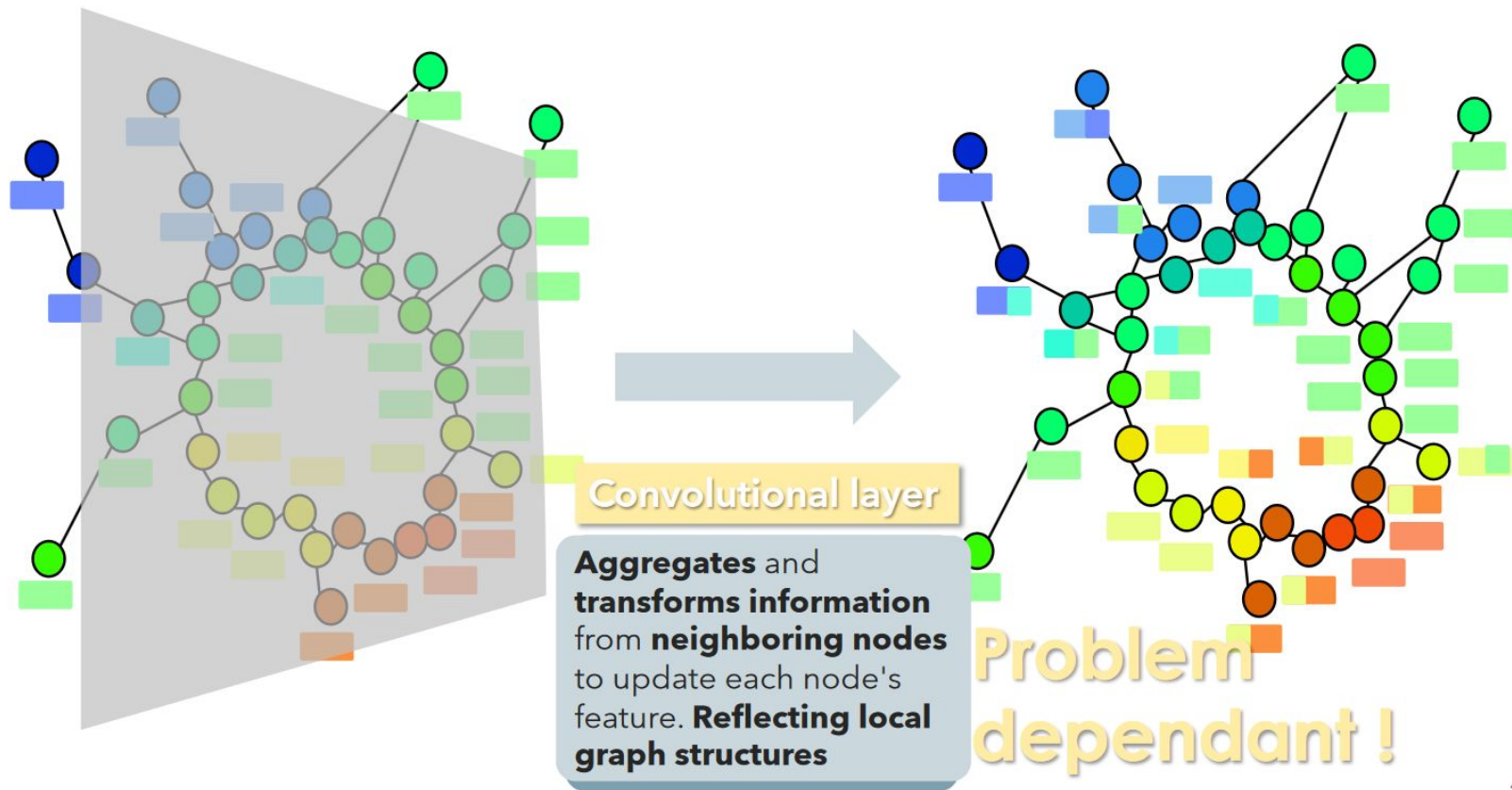
<b>Muon</b>	<b>WCTE ResNet</b>	<b>IWCD ResNet</b>	<b>IWCD fiTQun</b>		
3D position resolution [cm]	<b>6.46</b>	5.36	11.05	WCTE ResNet performance almost as good as IWCD ResNet and better than IWCD fiTQun	
Transverse position resolution [cm]	<b>5.67</b>	4.27	7.40		
Longitudinal position resolution [cm]	<b>2.69</b>	2.84	7.13		
Longitudinal position mean bias [cm]	<b>0.17</b>	-0.16	8.35	Direction resolution most significantly reduced compared to IWCD, probably due to lower granularity of PMTs to resolve particle direction	
Direction resolution [°]	<b>2.17</b>	1.37	2.29		
Momentum resolution [%]	<b>2.48</b>	1.70	3.18		
Momentum mean bias [%]	<b>0.21</b>	-0.12	0.17		
<b>Electron</b>	<b>WCTE ResNet</b>	<b>ResNet</b>	<b>fiTQun</b>		
3D position resolution [cm]	<b>6.83</b>	5.81	18.31		
Transverse position resolution [cm]	<b>4.96</b>	3.81	8.62		
Longitudinal position resolution [cm]	<b>4.17</b>	3.97	14.47		
Longitudinal position mean bias [cm]	<b>0.63</b>	-0.04	2.39		
Direction resolution [°]	<b>4.12</b>	2.57	5.25		
Momentum resolution [%]	<b>8.80</b>	6.65	10.51		
Momentum mean bias [%]	<b>-2.00</b>	0.21	-7.85		

### Events at high energy



- **Large number of PMTs** triggered (around **3000 hit** PMTs for e- event at 500 MeV).
- For each PMT, **5 distinct pieces of data** are collected: Position (x, y, z), Charge, Time
- **Result: MASSIVE DATASET**
- These events exhibit a distinctive **geometrical shape (like a ring)**. Our goal is to identify patterns using the 5 pieces of information from each hit PMT.
- **Graphs are an excellent tool for this purpose!** 😊

## 2 GRANT : Machine Learning based algorithm for reconstruction



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### Convolutional layer

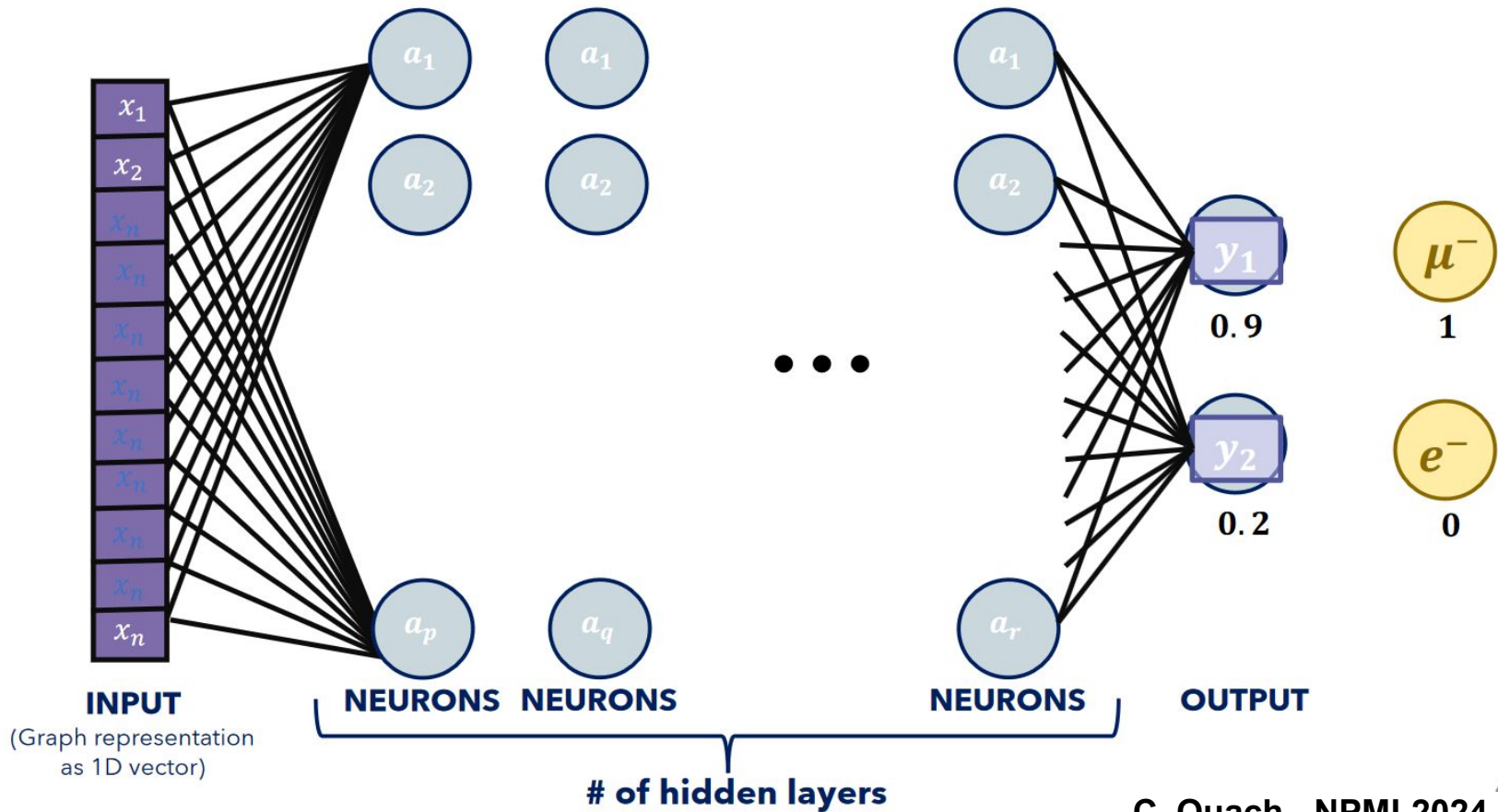
## Main Features

- **Graph Convolution:** Aggregates information from neighboring nodes to update each node. (graph-based learning)
- **Gate Mechanism:** Uses a weight (between 0 and 1) to control the amount of information that is passed from one node to another. (adaptive control of information flow)
- **Residual Connection:** Adds the original node information to its updated information, facilitating gradient propagation. (enhanced gradient propagation)

## ResGatedGraphConv.

- It's a neural network layer designed to **work with data** structured as graphs.
- It combines elements of graph **convolution, gating mechanisms,** and **residual connections.**

## 2 GRANT : Machine Learning based algorithm for reconstruction



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# 3 Performance comparison: GRANT vs existing software

a) e/mu

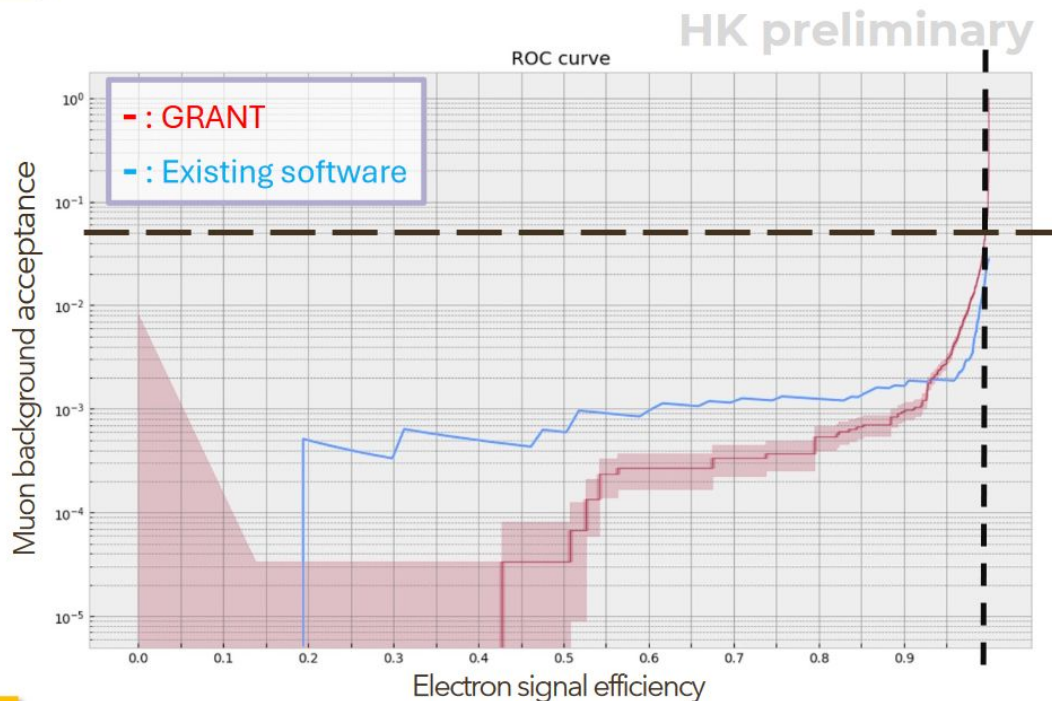
b) e/pi0

c) e/gamma

d) Energy

e) Vertex

Roc curve



**GRANT :**  
99% efficiency at  
5% bg acceptance

**Existing software :**  
99% efficiency at  
5% bg acceptance

0.1 s per event (GRANT)  
1 min30 (Existing software)

# 3 Performance comparison: GRANT vs existing software

a) e/mu

b) e/pi0

c) e/gamma

d) Energy

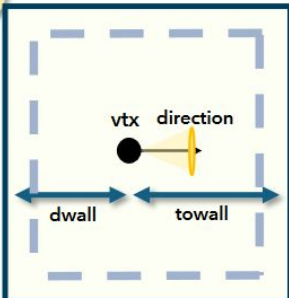
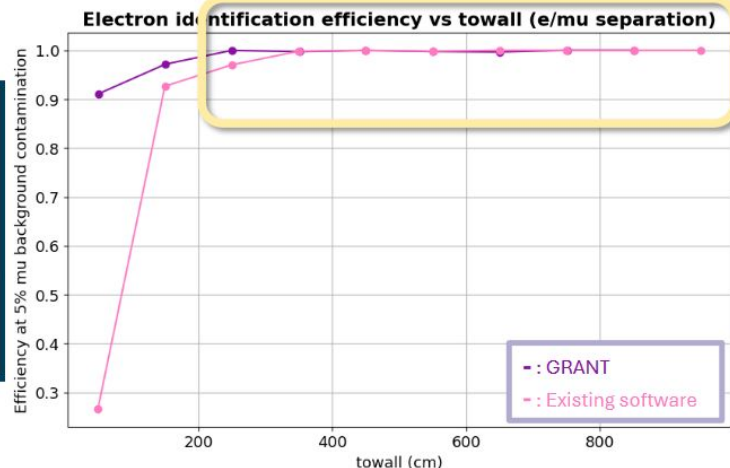
e) Vertex

dwall

towall

HK preliminary

HK preliminary



After 2 m, efficiency above 99.4% !

### 3 Performance comparison: GRANT vs existing software

a) e/mu

b) e/pi0

c) e/gamma

d) Energy

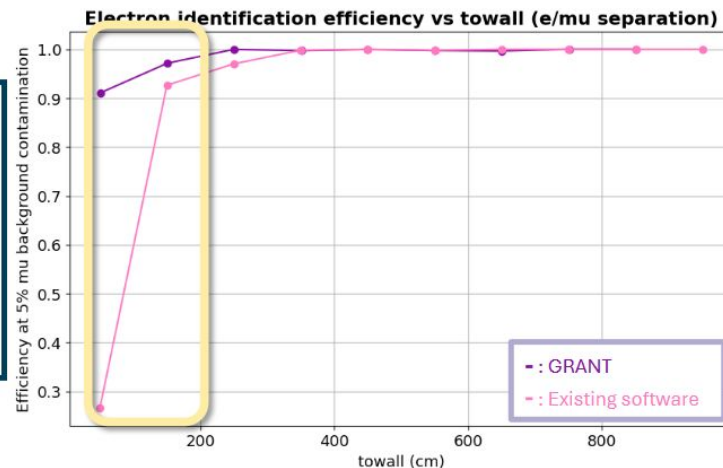
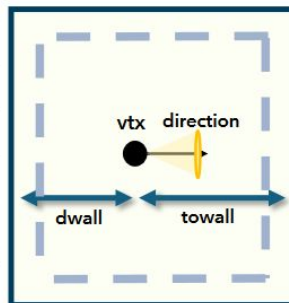
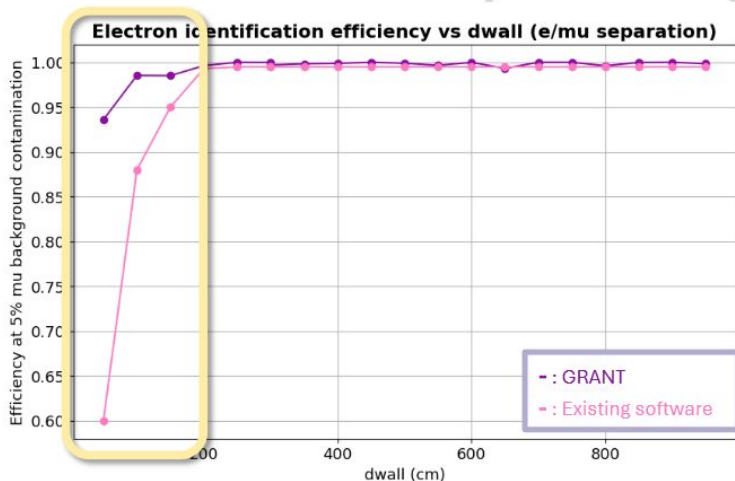
e) Vertex

dwall

towall

HK preliminary

HK preliminary



For events close to the wall : GNN > existing software => potentially increase FV

### 3 Performance comparison: GRANT vs existing software

a) e/mu

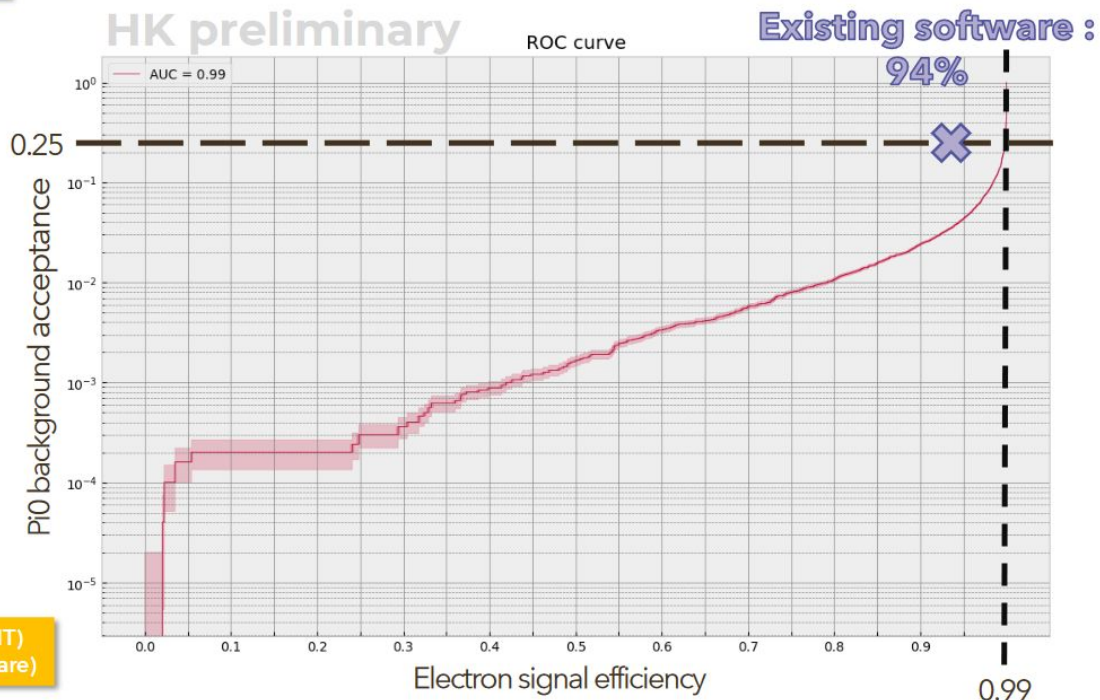
**b) e/pi0**

c) Energy

d) Vertex

e) Direction

Roc curve



0.1 s per event (GRANT)  
1 min30 (Existing software)

GNN :  
99% efficiency at  
25% bg acceptance

Existing software :  
94% efficiency at  
25% bg acceptance

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# 3 Performance comparison: GRANT vs existing software

a) e/mu

**b) e/pi0**

c) Energy

d) Vertex

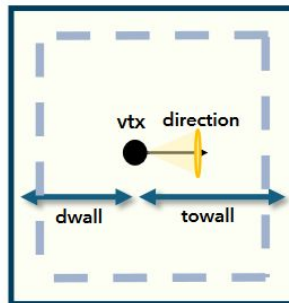
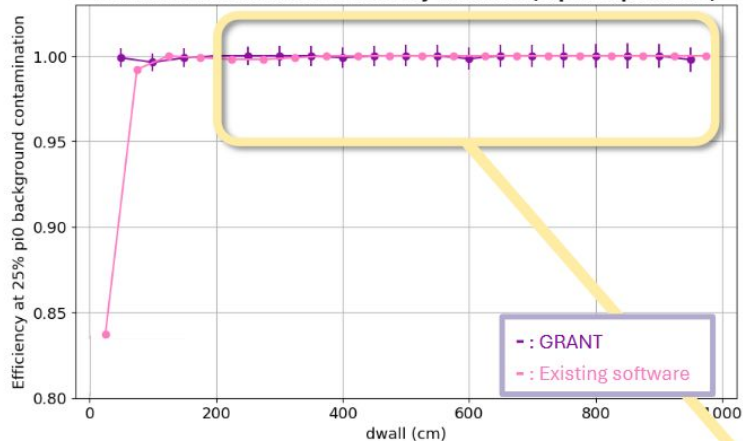
e) Direction

dwall

towall

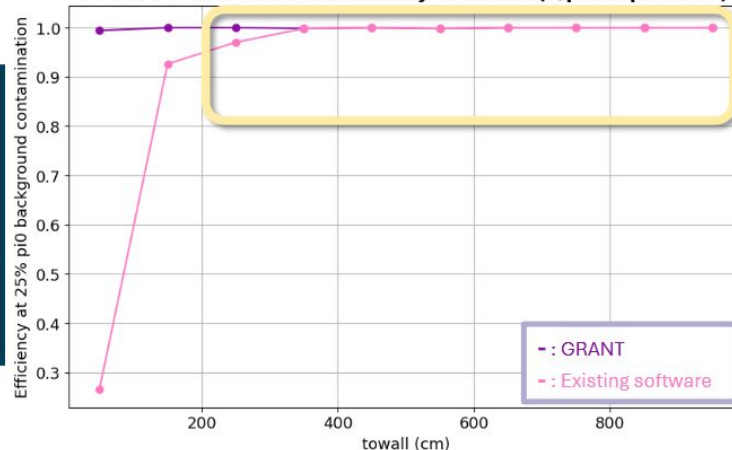
HK preliminary

Electron identification efficiency vs dwall (e/pi0 separation)



HK preliminary

Electron identification efficiency vs towall (e/pi0 separation)



After 2 m, efficiency above 99% !

# 3 Performance comparison: GRANT vs existing software

a) e/mu

**b) e/pi0**

c) Energy

d) Vertex

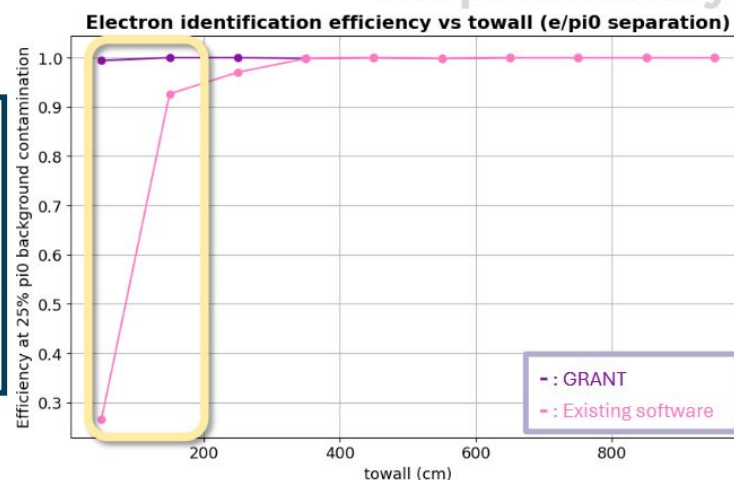
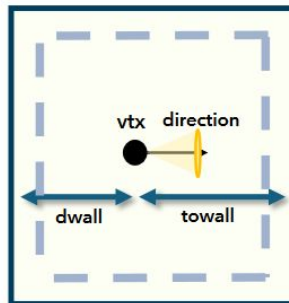
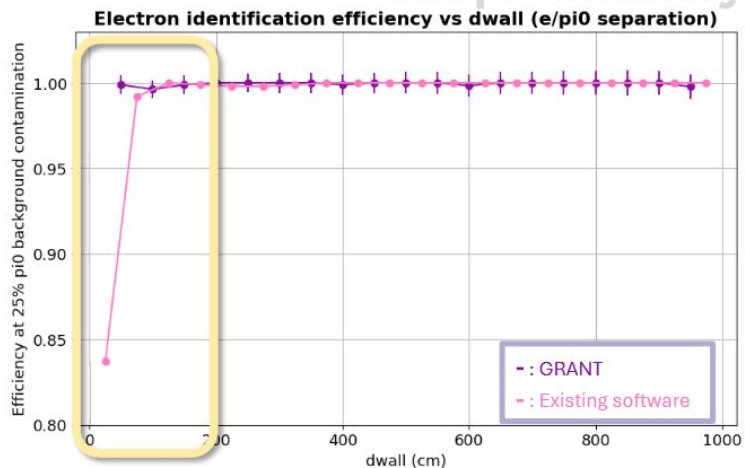
e) Direction

dwall

towall

HK preliminary

HK preliminary



For events close to the wall : GNN > Existing software => potentially increase FV



### 3 Performance comparison: GRANT vs existing software

a) e/mu

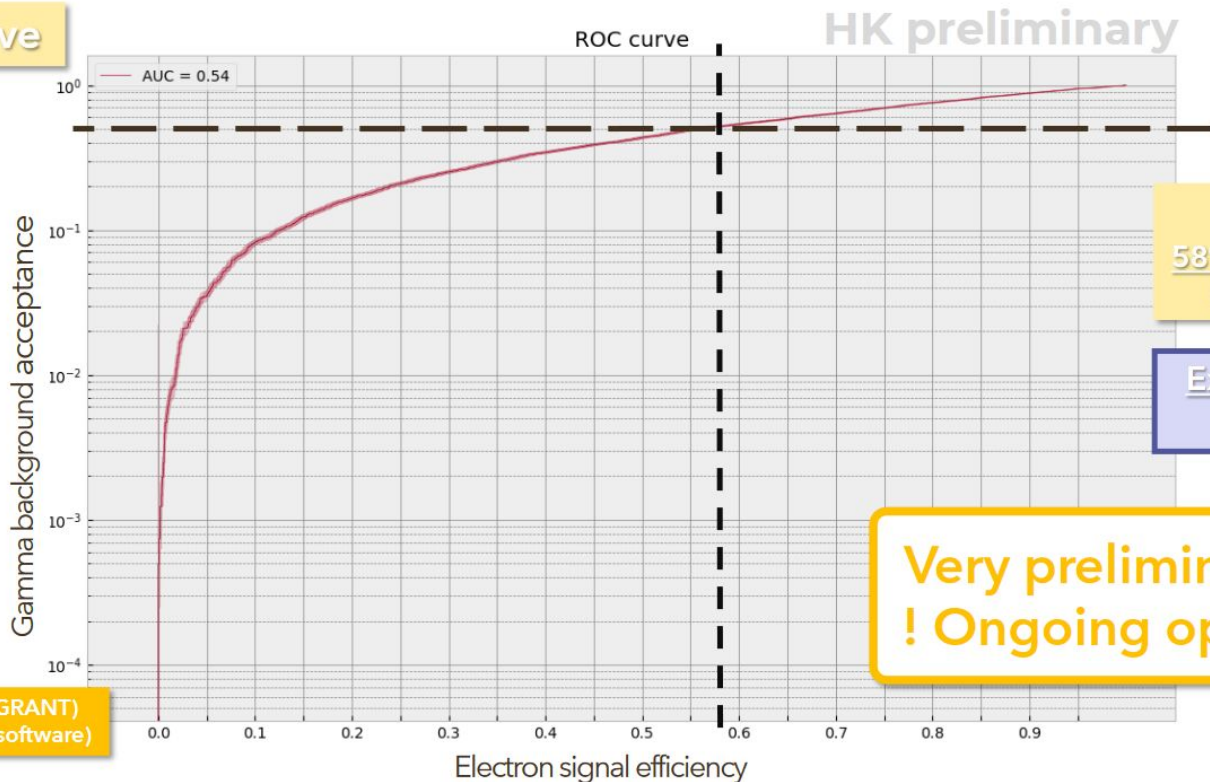
b) e/pi0

c) e/gamma

d) Energy

e) Vertex

Roc curve



GRANT :  
58% efficiency at 50%  
bg acceptance...

Existing software :  
Not done

Very preliminary results  
! Ongoing optimization

0.1 s per event (GRANT)  
1 min30 (Existing software)

# 3 Performance comparison: GRANT vs existing software

a) e/mu

b) e/pi0

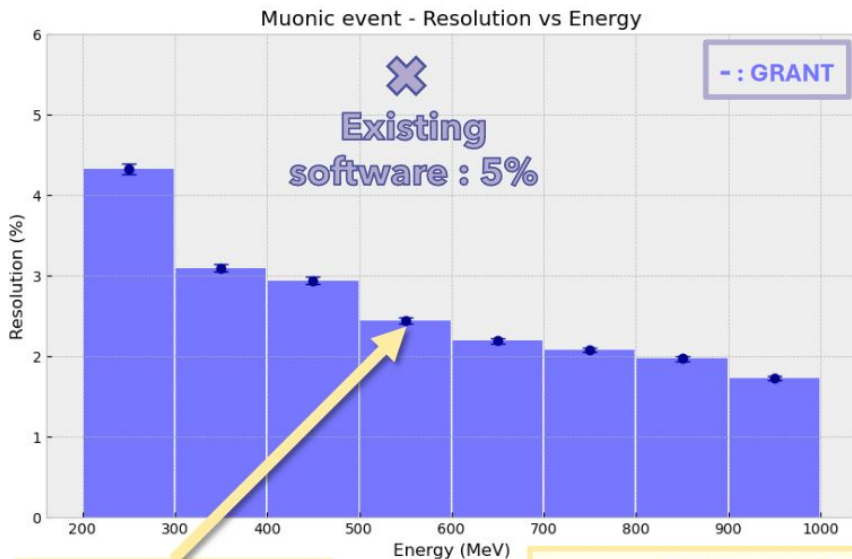
c) e/gamma

d) Energy

e) Vertex

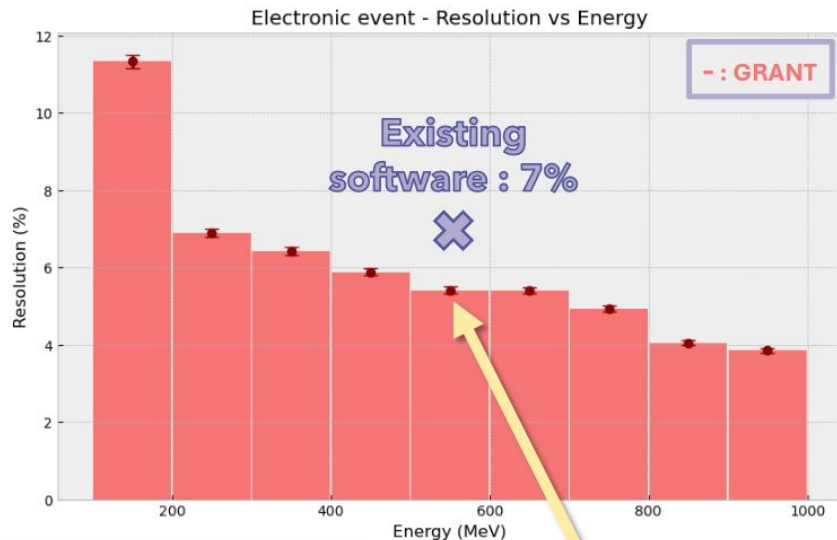
Muon

HK preliminary



Electron

HK preliminary



GNN : 2.5% at 500 MeV,

**BUT : the GNN has a biais ☹ (1.5% electron, 0.5% muon at 500 MeV)**

**Existing software : 0% biais !**

GNN : 5.5% at 500 MeV,

0.06 s per event (GRANT)  
1min30 (Existing software)

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# 3 Performance comparison: GRANT vs existing software

a) e/mu

b) e/pi0

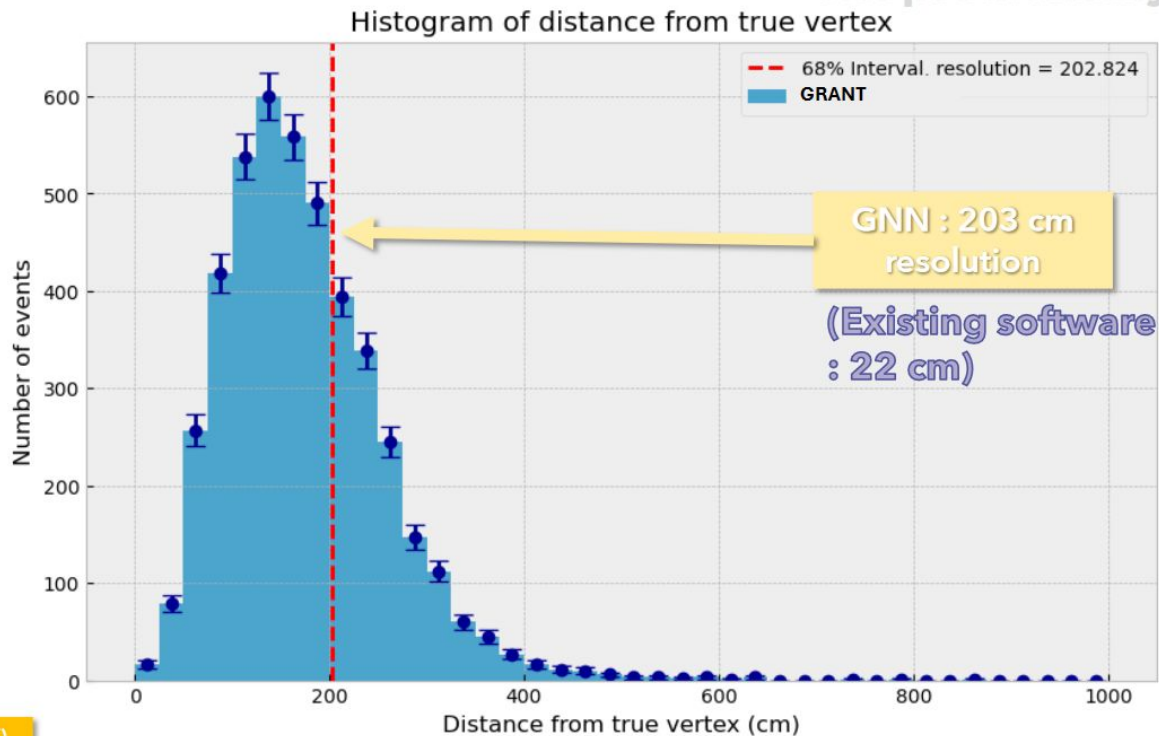
c) e/gamma

d) Energy

**e) Vertex**

Electron

HK preliminary



0.07 s per event (GRANT)  
1min30 (Existing software)

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### 3 Performance comparison: GRANT vs existing software

a) e/mu

b) e/pi0

c) e/gamma

d) Energy

e) Vertex

	GRANT	Existing software
e/mu	<b>99% electron efficiency</b> at 5% muon bg acceptance,	<b>99% electron efficiency</b> at 5% muon bg acceptance,
e/pi0	<b>99% electron efficiency</b> at 25% pi0 bg acceptance	<b>94% electron efficiency</b> at 25% pi0 bg acceptance
e/gamma	<b>58% efficiency</b> at 50% bg acceptance... <b>[Fixed energy]</b>	None
Energy reconstruction for e & mu (1D)	Electron : <b>5.5%</b> resolution at 500 MeV, energy bias at <b>~1.5%</b> Muon : <b>2.5%</b> resolution at 500 MeV, energy bias at <b>~0.5%</b>	Electron : <b>7%</b> resolution at 500 MeV, energy bias at <b>~0%</b> Muon : <b>6%</b> resolution at 500 MeV, energy bias at <b>~0%</b>
Vertex reconstruction for e & mu (3D)	Electron : <b>203 cm</b> Muon: None	Electron : <b>22 cm</b> Muon : <b>28 cm</b>

# Traditional reconstruction method

fiTQun: Likelihood-based reconstruction for higher energies

- Originally developed for Super-K detector
  - Based on algorithm of MiniBooNE: <https://arxiv.org/abs/0902.2222>
- Uses full information of unhit PMTs + time & charge of hit PMTs:

$$L(\mathbf{x}) = \prod_j^{unhit} P_j(unhit|\mathbf{x}) \prod_i^{hit} P_i(hit|\mathbf{x}) f_q(q_i|\mathbf{x}) f_t(t_i|\mathbf{x})$$

Likelihood to maximise    Candidate event hypothesis    Probability of no hit at PMT    Probability of hit at PMT    Hit charge probability density    Hit time probability density

- Probabilities calculated based on direct + scattered + reflected light
- Likelihood ratios used to distinguish particle types and single-ring / multi-ring event topology hypotheses

# Topological map to square

$$X_{\pm} = W(\rho, z) \frac{\pi \pm \phi}{2\pi}$$

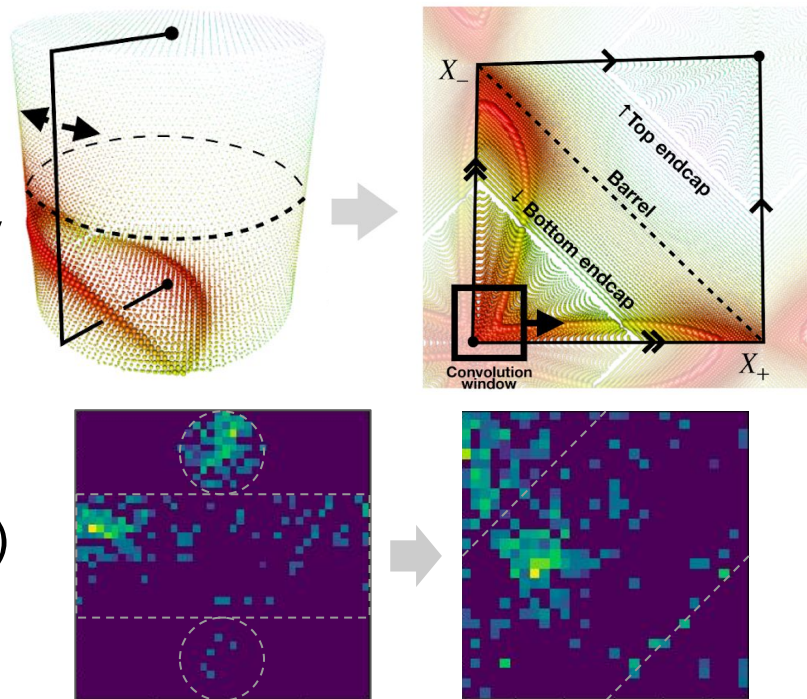
$$W(\rho, z) = \sqrt{\frac{\rho^2 + 2Rz + RH}{R^2 + RH}}$$

Solve differential eq. for constant Jacobian

$$dX_+ dX_- = \left| \frac{\partial(X_+, X_-)}{\partial(\rho, \phi)} \right| d\rho d\phi$$

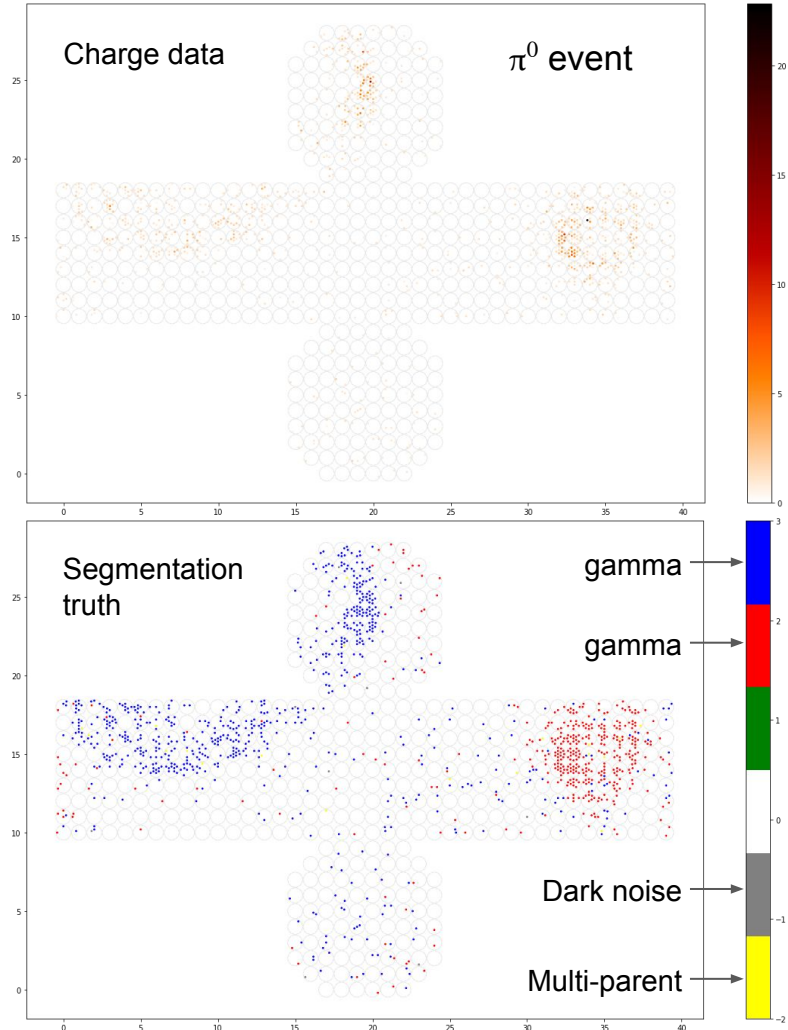
Alternative map onto square with boundary conditions preserving topology of cylinder

- Cut open along barrel to centre of end caps (solid line)
- Deform onto square, keeping density of PMTs constant
- Place mPMTs onto nearest pixel
- Use boundary conditions identifying edges of square (indicated by arrows)
  - Pad image with copy of pixels from the corresponding edge



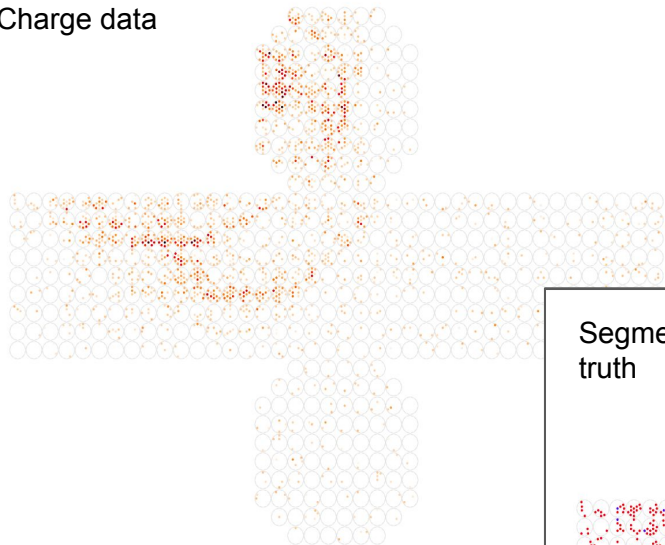
# Segmentation networks

- Classification networks can be extended to perform segmentation
  - Deconvolutions and upsampling reverse convolutions and downsampling
  - Provides output value for each pixel
  - Currently using U-Net and FRRN
- Starting development with  $\pi^0$  events
  - $\pi^0$  decay to produce two  $\gamma$  rings
  - Higher energy  $\pi^0$  have overlapping rings

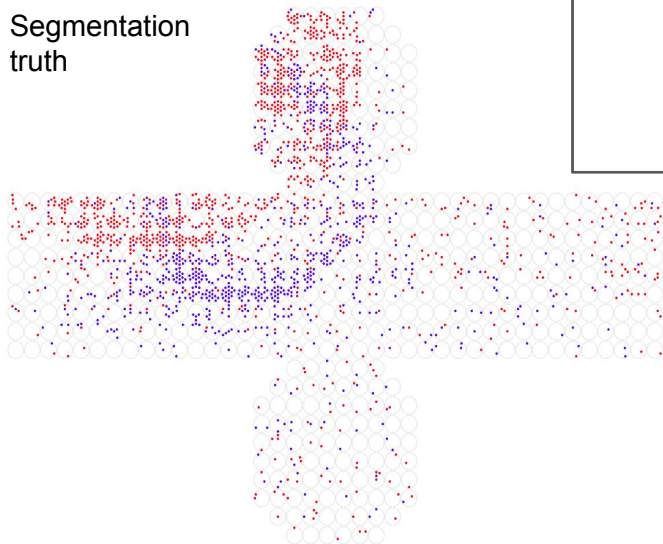


# Segmentation results

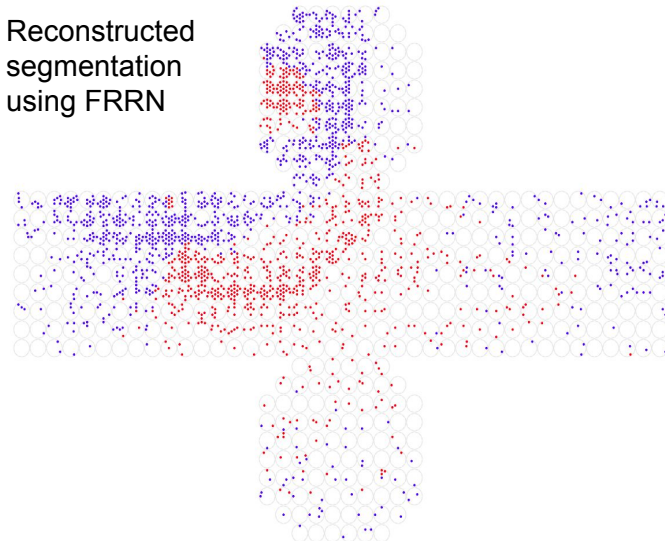
Charge data



Segmentation truth



Reconstructed segmentation using FRRN

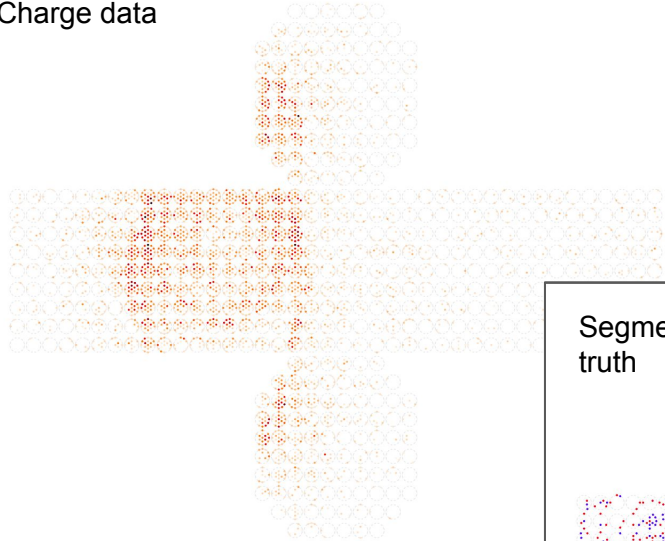


Works well with separated or partially overlapping rings

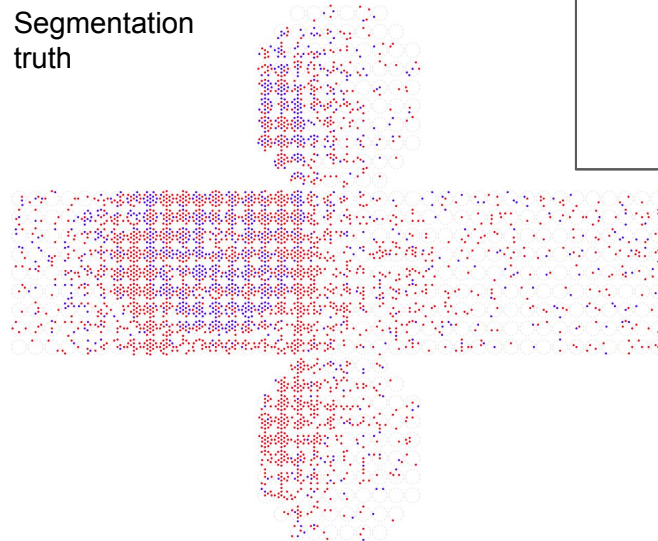


# Segmentation results

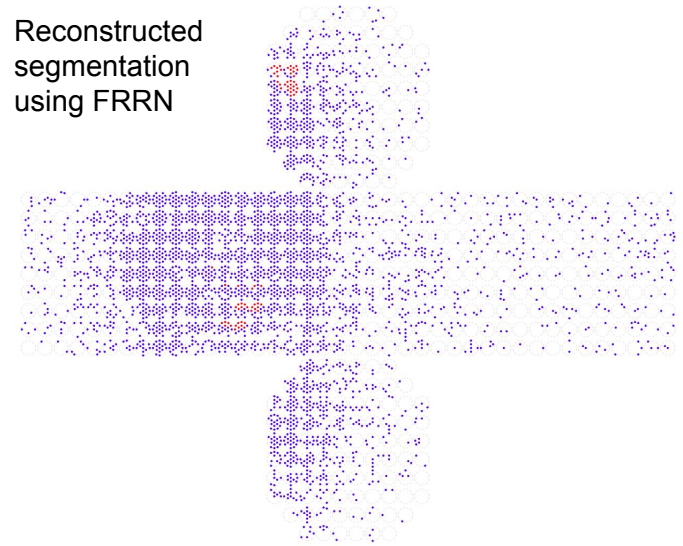
Charge data



Segmentation truth



Reconstructed segmentation using FRRN



Poor reconstruction with some more overlapping rings