

# KOLYA AND NEW RESULTS ON INCLUSIVE $V_{CB}$

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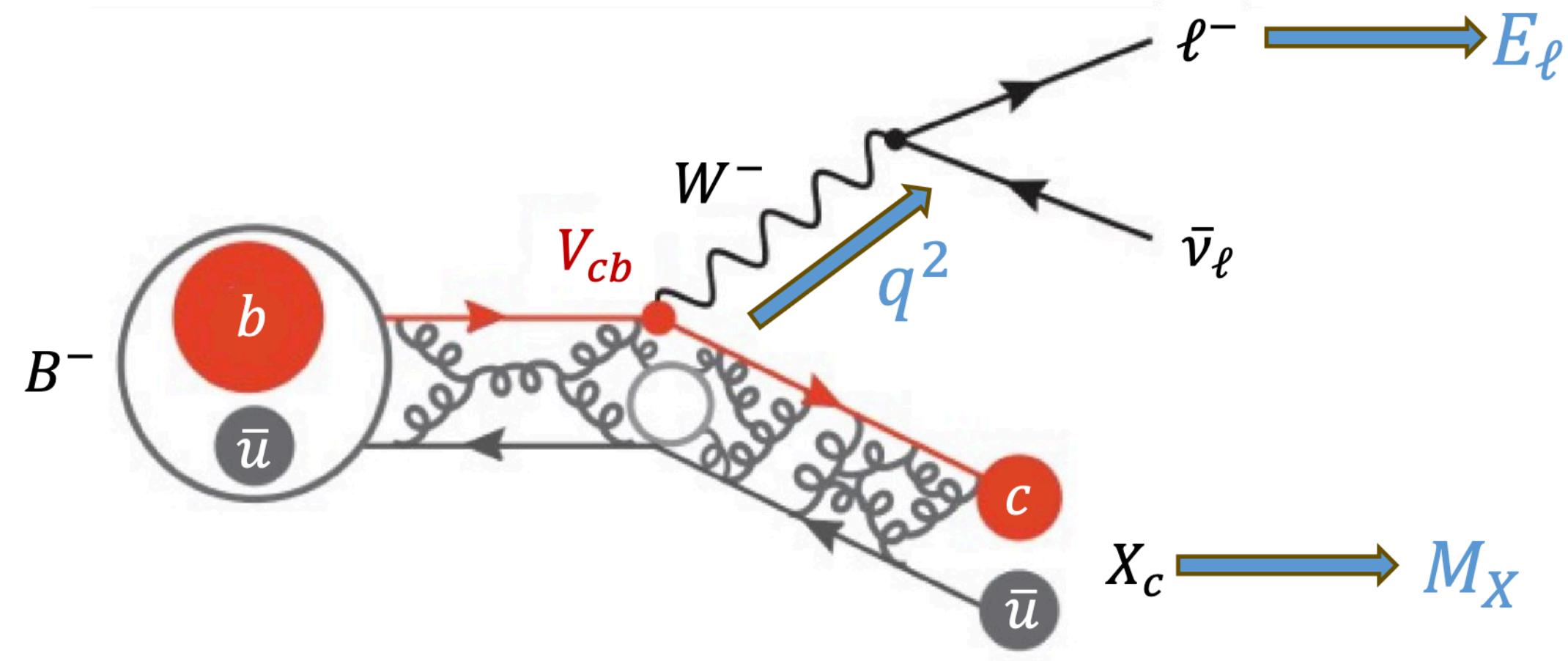
**ICHEP - Prague - July 19th 2024**

with F. Bernlochner, I. Milutin, M. Prim, K. Vos



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# EXTRACTION OF $|V_{cb}|$ FROM INCLUSIVE $\bar{B} \rightarrow X_c l \bar{\nu}_l$



## Observables

- $O = E_\ell$  : energy of the charged lepton in the  $B$  rest frame
  - $O = M_X^2$  : hadronic invariant mass
  - $O = q^2$  : leptonic invariant mass
- $\langle (O)^n \rangle_{\text{cut}} = \int_{\text{cut}} (O)^n \frac{d\Gamma}{dO} dO \Bigg/ \int_{\text{cut}} \frac{d\Gamma}{dO} dO$

# HEAVY QUARK EXPANSION

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Double series expansion in the strong coupling constant  $\alpha_s$  and power suppressed terms  $\Lambda_{\text{QCD}}/m_b$

- Total rate

$$\Gamma_{\text{sl}} = \frac{G_F^2 m_b^5 A_{\text{ew}}}{192\pi^3} |V_{cb}|^2 \left[ \left( 1 - \frac{\mu_\pi^2}{2m_b^2} \right) \left( X_0(\rho) + \frac{\alpha_s}{\pi} X_1(\rho) + \left( \frac{\alpha_s}{\pi} \right)^2 X_2(\rho) + \left( \frac{\alpha_s}{\pi} \right)^3 X_3(\rho) + \dots \right) \right. \\ \left. + \left( \frac{\mu_G^2}{m_b^2} - \frac{\rho_D^3}{m_b^3} \right) \left( g_0(\rho) + \frac{\alpha_s}{\pi} g_1(\rho) + \dots \right) + \frac{\rho_D^3}{m_b^3} \left( d_0(\rho) + \frac{\alpha_s}{\pi} d_1(\rho) + \dots \right) + O\left(\frac{1}{m_b^4}\right) \right]$$

- Moments of differential distribution

$$\langle O^n \rangle_{\text{cut}} = (m_b)^{mn} \left[ X_0^{(O,n)} + \frac{\alpha_s}{\pi} X_1^{(O,n)} + \left( \frac{\alpha_s}{\pi} \right)^2 X_2^{(O,n)} + \frac{\mu_\pi^2}{m_b^2} \left( p_0^{(O,n)} + \frac{\alpha_s}{\pi} p_1^{(O,n)} + \dots \right) \right. \\ \left. + \frac{\mu_G^2}{m_b^2} \left( g_0^{(O,n)} + \frac{\alpha_s}{\pi} g_1^{(O,n)} + \dots \right) + \frac{\rho_D^3}{m_b^3} \left( d_0^{(O,n)} + \frac{\alpha_s}{\pi} d_1^{(O,n)} + \dots \right) + \frac{\rho_{LS}}{m_b^2} \left( l_0^{(O,n)} + \frac{\alpha_s}{\pi} l_1^{(O,n)} + \dots \right) + O\left(\frac{1}{m_b^4}\right) \right]$$

# EXPERIMENTAL STATUS

Experiment	Hadron moments $\langle M^n_X \rangle$	Lepton moments $\langle E^n_l \rangle$	References
BaBar	n=2 c=0.9,1.1,1.3,1.5 n=4 c=0.8,1.0,1.2,1.4 n=6 c=0.9,1.3 [1]	n=0 c=0.6,1.2,1.5 n=1 c=0.6,0.8,1.0,1.2,1.5 n=2 c=0.6,1.0,1.5 n=3 c=0.8,1.2 [1,2]	[1] Phys.Rev. D81 (2010) 032003 [2] Phys.Rev. D69 (2004) 111104
Belle	n=2 c=0.7,1.1,1.3,1.5 n=4 c=0.7,0.9,1.3 [3]	n=0 c=0.6,1.4 n=1 c=1.0,1.4 n=2 c=0.6,1.4 n=3 c=0.8,1.2 [4]	[3] Phys.Rev. D75 (2007) 032005 [4] Phys.Rev. D75 (2007) 032001
CDF	n=2 c=0.7 n=4 c=0.7 [5]	.	[5] Phys.Rev. D71 (2005) 051103
CLEO	n=2 c=1.0,1.5 n=4 c=1.0,1.5 [6]	.	[6] Phys.Rev. D70 (2004) 032002
DELPHI	n=2 c=0.0 n=4 c=0.0 n=6 c=0.0 [7]	n=1 c=0.0 n=2 c=0.0 n=3 c=0.0 [7]	[7] Eur.Phys.J. C45 (2006) 35-59

► new Belle II measurements of  $q^2$  moments

Belle, Phys. Rev. D 104, 112011 (2022)

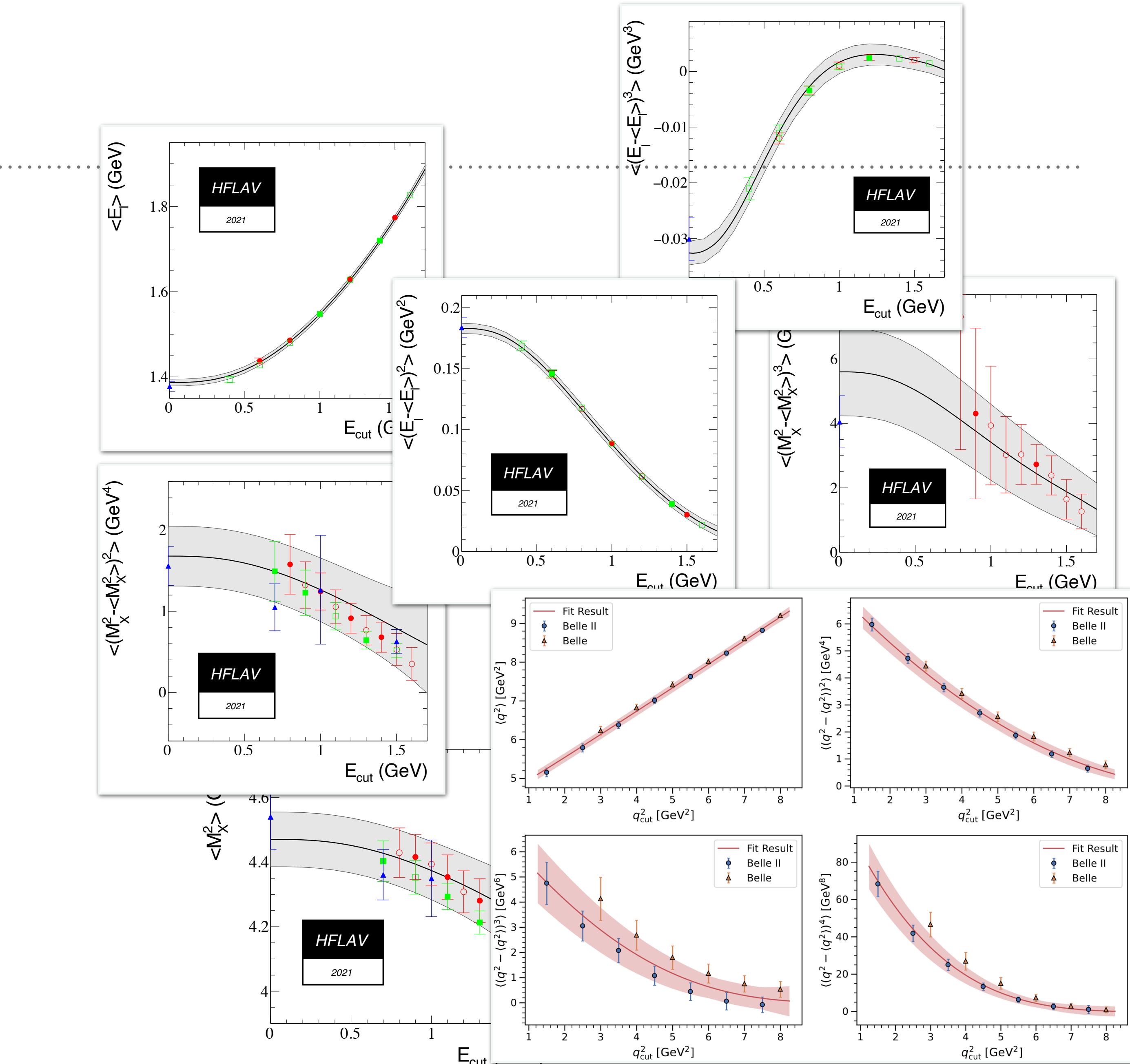
Belle II, Phys. Rev. D 107, 072002 (2023)

$$|V_{cb}|^{E_l, M_X, q^2} = (41.97 \pm 0.49) \times 10^{-3}$$

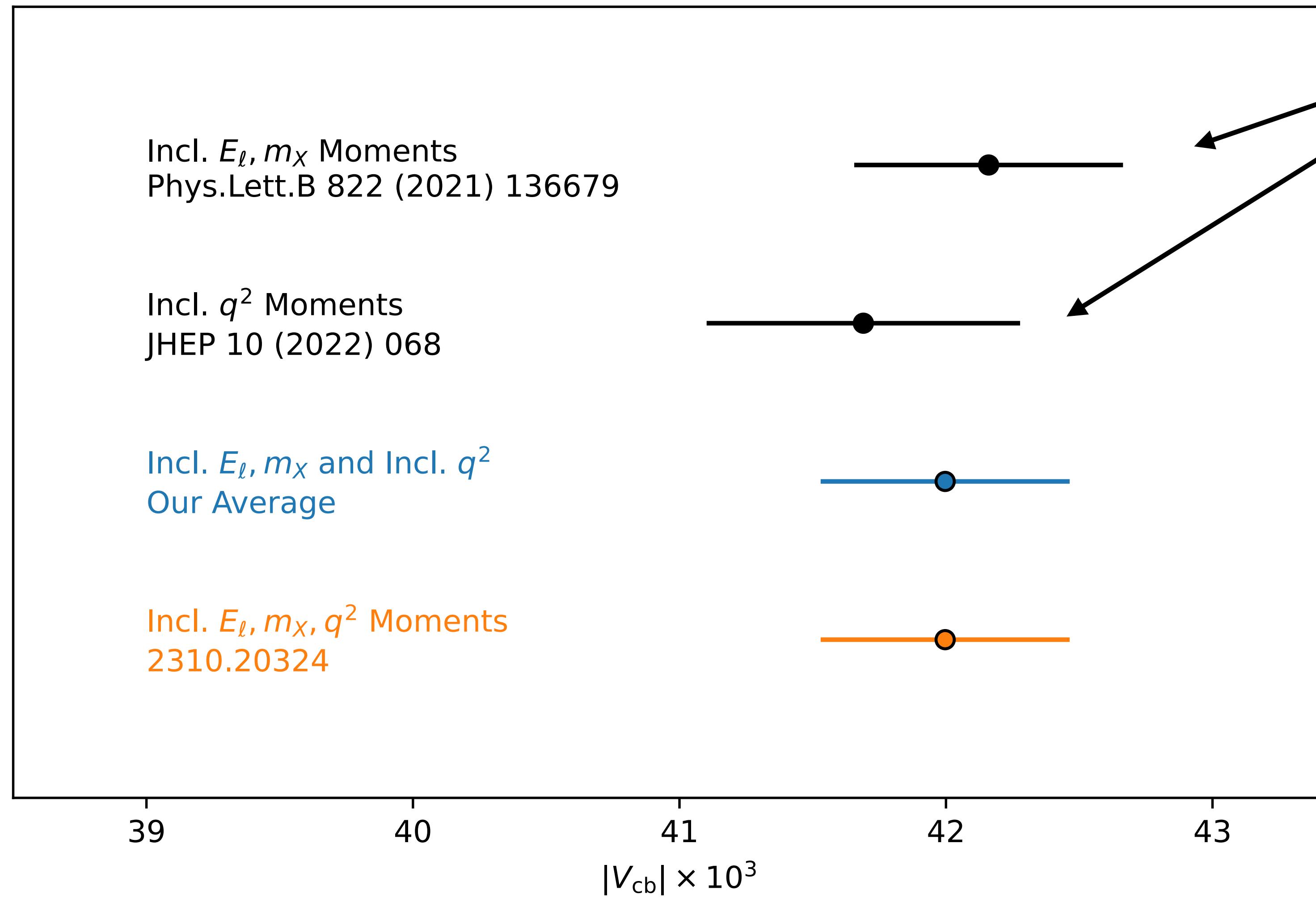
Gambino, Finauri, JHEP 02 (2024) 206

$$|V_{cb}|^{q^2} = (41.69 \pm 0.63) \times 10^{-3}$$

Bernlochner, MF, Olschewsky, Persson  
van Tonder, Vos, Welsch JHEP 10 (2022) 068



## Independent sets of data



MF, Prim, Vos, Eur. Phys. J. Spec. Top. (2024). <https://doi.org/10.1140/epjs/s11734-024-01090-w>

- Difference mainly driven by the  $\text{Br}(B \rightarrow X_c l \bar{\nu}_l)$  average
- We need new  $\text{Br}(B \rightarrow X_c l \bar{\nu}_l)$  measurements to improve.

	$\mathcal{B}(B \rightarrow X \ell \bar{\nu}_\ell) (\%)$	$\mathcal{B}(B \rightarrow X_c \ell \bar{\nu}_\ell) (\%)$	In Average
Belle [63] $E_\ell > 0.6 \text{ GeV}$	-	$10.54 \pm 0.31$	✓
Belle [63] $E_\ell > 0.4 \text{ GeV}$	-	$10.58 \pm 0.32$	
CLEO [65] incl.	$10.91 \pm 0.26$	$10.72 \pm 0.26$	
CLEO [65] $E_\ell > 0.6$	$10.69 \pm 0.25$	$10.50 \pm 0.25$	✓
BaBar [62] incl.	$10.34 \pm 0.26$	$10.15 \pm 0.26$	✓
BaBar SL [64] $E_\ell > 0.6 \text{ GeV}$	-	$10.68 \pm 0.24$	✓
Our Average	-	$10.48 \pm 0.13$	
Average Belle [63] & BaBar [64] ( $E_\ell > 0.6 \text{ GeV}$ )	-	$10.63 \pm 0.19$	

# EXPERIMENTAL PERSPECTIVES

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## Proposed analysis @ Belle II

2023 Belle II physics week  
<https://indico.belle2.org/event/9402/overview>

- Redo spectral moment measurements of  $q^2$ ,  $M_X^2$ , and  $E_l$  moments in a single analysis.
- Very valuable to capture the full experimental correlations.
- $A_{FB}$  & other differential measurements ( $q^2$ ,  $M_X^2$ , and  $E_l$  moments for forward and backward events)
- Measurements w/ and w/o QED FSR corrections

comprehensive open-source framework where all available corrections in the HQE are implemented and validated.

# INCLUSIVE DECAYS: OPEN-SOURCE LIBRARY

MF, Milutin, Vos, hep-ph/2407.XXXX



Open-source library in python: **KOLYA**

<https://gitlab.com/vcb-inclusive/kolya>

Nikolai Uraltsev 1957 - 2013

- Prediction in the HQE for
  - $\Gamma_{\text{sl}}$  and  $\Delta\Gamma_{\text{sl}}(E_{\text{cut}})$
  - Centralised moments  $\langle E_\ell \rangle_{E_{\text{cut}}}$ ,  $\langle M_X^2 \rangle_{E_{\text{cut}}}$
  - Centralised moments  $\langle q^2 \rangle_{q_{\text{cut}}^2}$

- Use the kinetic scheme

Bigi, Shifman, Uraltsev, Vainshtein, *Phys.Rev.D* 56 (1997) 4017  
Czarnecki, Melnikov, Uraltsev, *Phys.Rev.Lett.* 80 (1998) 3189  
MF, Schönwald, Steinhauser, *Phys.Rev.Lett.* 125 (2020) 052003

- Interface to **CRunDec** for automatic  $\alpha_s$ ,  
 $m_b^{\text{kin}}$  and  $\bar{m}_c$  RGE evolution

Chetyrkin, Kuhn, Steinhauser, *Comput. Phys. Commun.* 133 (2000) 43  
Schmidt, Steinhauser, *Comput. Phys. Commun.* 183 (2012) 1845  
Herren, Steinhauser, *Comput. Phys. Commun.* 224 (2018) 333

# HEAVY QUARK EXPANSION

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Double series expansion in the strong coupling constant  $\alpha_s$  and power suppressed terms  $\Lambda_{\text{QCD}}/m_b$

- Total rate

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- Moments of differential distribution

$$\langle O^n \rangle_{\text{cut}} = (m_b)^{mn} \left[ X_0^{(O,n)} + \frac{\alpha_s}{\pi} X_1^{(O,n)} + \left( \frac{\alpha_s}{\pi} \right)^2 X_2^{(O,n)} + \frac{\mu_\pi^2}{m_b^2} \left( p_0^{(O,n)} + \frac{\alpha_s}{\pi} p_1^{(O,n)} + \dots \right) \right. \\ \left. + \frac{\mu_G^2}{m_b^2} \left( g_0^{(O,n)} + \frac{\alpha_s}{\pi} g_1^{(O,n)} + \dots \right) + \frac{\rho_D^3}{m_b^3} \left( d_0^{(O,n)} + \frac{\alpha_s}{\pi} d_1^{(O,n)} + \dots \right) + \frac{\rho_{LS}}{m_b^2} \left( l_0^{(O,n)} + \frac{\alpha_s}{\pi} l_1^{(O,n)} + \dots \right) + O\left(\frac{1}{m_b^4}\right) \right]$$

# BUILDING BLOCKS IN THE HQE

$\Gamma_{\text{sl}}$	tree	$\alpha_s$	$\alpha_s^2$	$\alpha_s^3$
Partonic		[1]	[2–5]	[6]
$\mu_\pi^2, \mu_G^2$	[7, 8]	[9–12]		
$\rho_D^3, \rho_{LS}^3$	[13]	[14]		
$1/m_b^4, 1/m_b^5$	[15–18]			
$q_n(q_{\text{cut}}^2)$	tree	$\alpha_s$	$\alpha_s^2$	
Partonic		[14, 19]	[20]	
$\mu_G^2, \mu_\pi^2$	[7, 8]	[10, 11]		
$\rho_D^3, \rho_{LS}$	[13]	[14]		
$1/m_b^4, 1/m_b^5$	[17, 18]			
$\ell_n(E_{\text{cut}}), h_n(E_{\text{cut}})$	tree	$\alpha_s$	$\alpha_s^2 \beta_0$	$\alpha_s^2$
Partonic		[19, 21, 22]	[19, 22]	[23]
$\mu_G^2$	[7, 8]	[10, 11]		
$\rho_D^3$	[13]			
$1/m_b^4, 1/m_b^5$	[15, 16, 18]			

talk by I. Milutin

- Power up to  $1/m_b^5$
- Perturbative corrections to  $\Gamma_{\text{sl}}$  up to  $O(\alpha_s^3)$   
MF, Schönwald, Steinhauser, Phys.Rev.D 104 (2021) 016003, JHEP 08 (2022) 039
- NLO corrections to power suppressed terms for  $q^2$  moments  
Mannel, Moreno, Pivovarov, JHEP 08 (2020) 089
- NNLO corrections to  $q^2$  moments  
MF, Herren, JHEP 05 (2024) 287

complete references in backup slides

# IMPLEMENTATION

- Tree level implemented in exact form
- We implement analytic results for higher QCD corrections for  $\Gamma_{\text{sl}}$
- Exact results at NLO

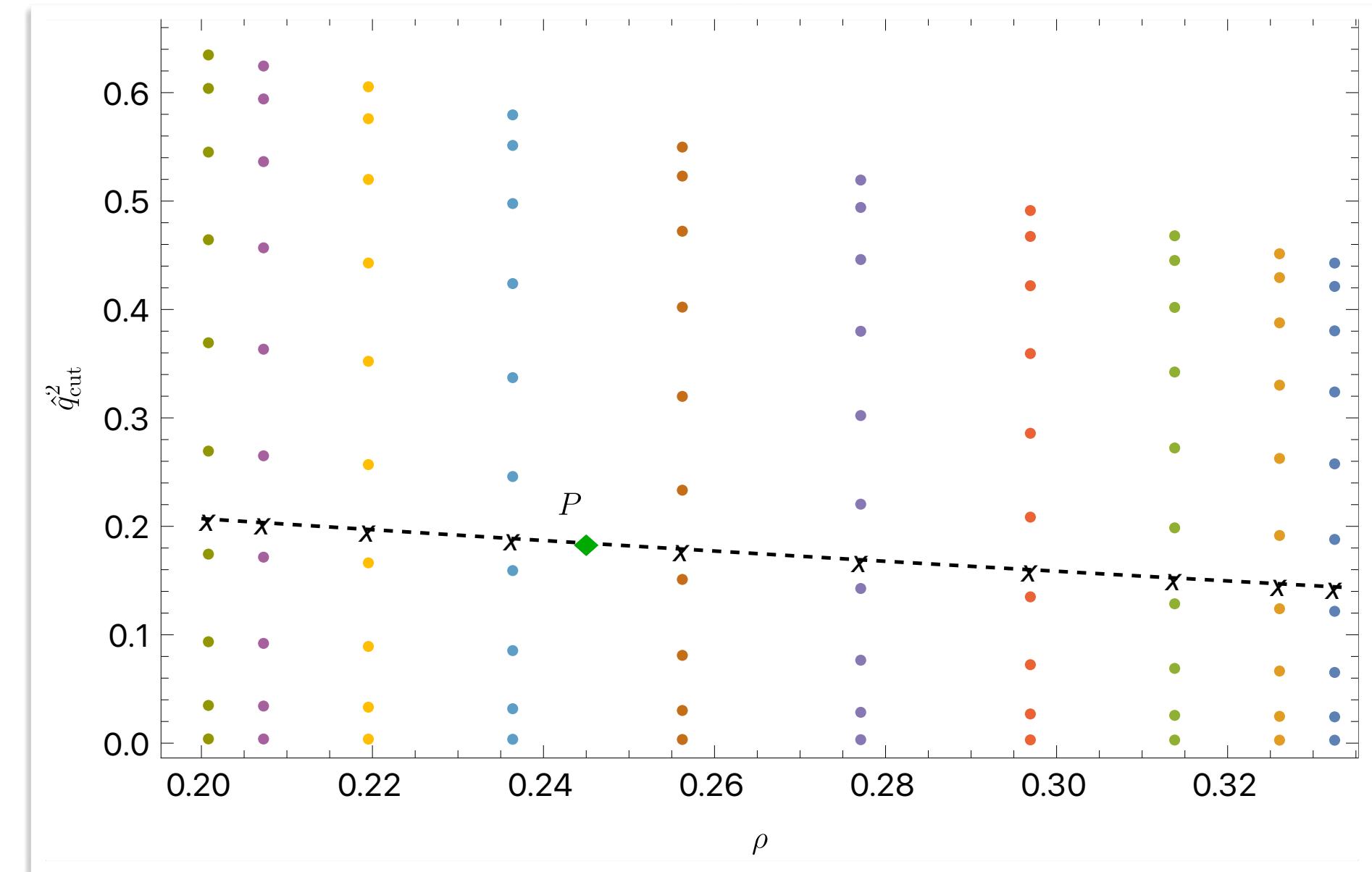
- Asymptotic expansions at NNLO and N3LO
- Use Numba for fast numerical evaluation

<https://numba.pydata.org>

$$\rho = m_c/m_b \quad \hat{q}^2 = q^2/m_b^2$$

- Chebyshev interpolation grids for QCD corrections to the moments

$$f(\rho, \hat{q}_{\text{cut}}^2) = \int_{q^2 > q_{\text{cut}}^2} (q^2)^i (q_0)^j \frac{d^3 \Gamma^{\text{NLO}}}{dq^2 dq_0 dE_l} dq^2 dq_0 dE_l$$



# SEMILEPTONIC INCLUSIVE DECAYS: NP EFFECTS

MF, Rahimi, Vos, JHEP 02 (2023) 086

- We include also effects of heavy NP parametrised in terms of dimension-six operators:

$$\mathcal{H}_{\text{eff}} = \frac{4G_F V_{cb}}{\sqrt{2}} \left[ (1 + C_{V_L}) \mathcal{O}_{V_L} + \sum_{i=V_R, S_L, S_R, T} C_i \mathcal{O}_i \right]$$

$$\begin{aligned}\mathcal{O}_{V_{L(R)}} &= (\bar{c} \gamma_\mu P_{L(R)} b) (\bar{\ell} \gamma^\mu P_L \nu_\ell) \\ \mathcal{O}_{S_{L(R)}} &= (\bar{c} P_{L(R)} b) (\bar{\ell} P_L \nu_\ell) \\ \mathcal{O}_T &= (\bar{c} \sigma_{\mu\nu} P_L b) (\bar{\ell} \sigma^{\mu\nu} P_L \nu_\ell)\end{aligned}$$

- Contribution to the moments of  $B \rightarrow X_c l \bar{\nu}_l$

$$\begin{aligned}\langle O \rangle &= \xi_{\text{SM}} + |C_{V_R}|^2 \xi_{\text{NP}}^{\langle V_R, V_R \rangle} + |C_{S_L}|^2 \xi_{\text{NP}}^{\langle S_L, S_L \rangle} + |C_{S_R}|^2 \xi_{\text{NP}}^{\langle S_R, S_R \rangle} + |C_T|^2 \xi_{\text{NP}}^{\langle T, T \rangle} \\ &\quad + \text{Re}((C_{V_L} - 1) C_{V_R}^*) \xi_{\text{NP}}^{\langle V_L, V_R \rangle} + \text{Re}(C_{S_L} C_{S_R}^*) \xi_{\text{NP}}^{\langle S_L, S_R \rangle} + \text{Re}(C_{S_L} C_T^*) \xi_{\text{NP}}^{\langle S_L, T \rangle} \\ &\quad + \text{Re}(C_{S_R} C_T^*) \xi_{\text{NP}}^{\langle S_R, T \rangle}\end{aligned}$$

# INSTALLATION

---

```
$: git clone https://gitlab.com/vcb-inclusive/kolya.git
$: cd kolya
$: pip3 install .
```

```
[1]: import kolya
import numpy as np
```

## Physical parameters

Physical parameters like quark masses like  $m_b^{\text{kin}}(\mu_{WC})$ ,  $\bar{m}_c(\mu_c)$  and  $\alpha_s(\mu_s)$  are declared in the class `parameters.physical_parameters`. Initialization set default values

```
[2]: par = kolya.parameters.physical_parameters()
par.show()
```

```
bottom mass: mbkin( 1.0  GeV)      =  4.563  GeV
charm mass:  mcMS( 3.0  GeV)      =  0.989  GeV
coupling constant: alpha_s( 4.563  GeV) =  0.2182
```

In order to set the quark masses at scales different from the default ones in a consistent way, we include the method `FLAG2023` which internally use CRunDec. For instance, we set the quark masses at a scale  $\mu_{WC} = \mu_c = 2$  GeV in the following way:

```
[3]: par = kolya.parameters.physical_parameters()
par.FLAG2023(scale_mcMS=2.0, scale_mbkin=2.0)
par.show()
```

```
bottom mass: mbkin( 2.0  GeV)      =  4.295730717092438  GeV
charm mass:  mcMS( 2.0  GeV)      =  1.0940623249384822  GeV
coupling constant: alpha_s( 4.563  GeV) =  0.21815198098622618
```

## HQE parameters

Non-perturbative matrix elements in the HQE are declared in the class `parameters.HQE_parameters`. This class is defined in the historical basis of hep-ph/1307.4551. By default they are initialized to zero. We can set their values in the following way

```
[4]: hqe = kolya.parameters.HQE_parameters(  
      muG = 0.306,  
      rhoD = 0.185,  
      rhoLS = -0.13,  
      mupi = 0.477,  
    )  
hqe.show()
```

```
mupi = 0.477 GeV^2  
muG = 0.306 GeV^2  
rhoD = 0.185 GeV^3  
rhoLS = -0.13 GeV^3
```

```
[5]: hqe.show(flagmb4=1)
```

```
mupi = 0.477 GeV^2  
muG = 0.306 GeV^2  
rhoD = 0.185 GeV^3  
rhoLS = -0.13 GeV^3
```

```
m1 = 0 GeV^4  
m2 = 0 GeV^4  
m3 = 0 GeV^4  
m4 = 0 GeV^4  
m5 = 0 GeV^4  
m6 = 0 GeV^4  
m7 = 0 GeV^4  
m8 = 0 GeV^4  
m9 = 0 GeV^4
```

## Wilson coefficients

The Wilson coefficients in the effective Hamiltonian are declared in the class `parameters.WCoefficients`. They are initialized to zero and can be set in the following way

```
[6]: wc = kolya.parameters.WCoefficients(  
    VL = 0,  
    VR = 0,  
    SL = 0.1,  
    SR = 0.1,  
    T = 0,  
)  
wc.show()
```

```
C_{V_L} = 0  
C_{V_R} = 0  
C_{S_L} = 0.1  
C_{S_R} = 0.1  
C_{T} = 0
```

## Total Rate

We define the total rate as

$$\Gamma_{\text{sl}} = \frac{G_F^2(m_b^{\text{kin}})^5}{192\pi^3} |V_{cb}|^2 X$$

The coefficients  $X$  is a function of the quark masses,  $\alpha_s$ , the HQE parameters and the Wilson coefficients. It is evaluated by the function

```
X_Gamma_KIN_MS(par, hqe, wc)
```

```
[5]: hqe = kolya.parameters.HQE_parameters(  
      muG = 0.306,  
      rhoD = 0.185,  
      rhoLS = -0.13,  
      mupi = 0.477,  
    )  
wc = kolya.parameters.WCoefficients()  
kolya.TotalRate.X_Gamma_KIN_MS(par, hqe, wc)
```

```
[5]: 0.539225163728085
```

The branching ratio is given by the function `BranchingRatio_KIN_MS(Vcb, par, hqe, wc)`

```
[6]: Vcb = 42.2e-2  
kolya.TotalRate.BranchingRatio_KIN_MS(Vcb, par, hqe, wc)
```

```
[6]: 10.555834162102016
```

## ▼ Centralized $q^2$ moments

$Q^2$  moments are evaluated with `Q2moments.moment_n_KIN_MS(q2cut, par, hqe, wc)`, where  $q_{\text{cut}}^2$  must be provided in  $\text{GeV}^2$ . The first centralized moment is calculated as follows:

```
[6]: q2cut = 8.0 # GeV^2
kolya.Q2moments.moment_1_KIN_MS(q2cut,par,hqe,wc)
```

```
[6]: 8.996406491856465
```

The result for the moment  $\langle q^{2n} \rangle$  is in  $\text{GeV}^{2n}$

## Centralized electron energy moments

$E_l$  moments are evaluated with `Elmoments.moment_n_KIN_MS(Elcut, par, hqe, wc)`, where  $E_{\text{cut}}$  must be provided in  $\text{GeV}$ . The first centralized moment is calculated as follows:

```
[9]: elcut = 0.5 # GeV
kolya.Elmoments.moment_1_KIN_MS(elcut,par,hqe,wc)
```

```
[9]: 1.4192938891883413
```

The result for  $\langle E_l^n \rangle$  is in  $\text{GeV}^n$

## Centralized $M_X^2$ moments

$M_X^2$  moments are evaluated with `MXmoments.moment_n_KIN_MS(El_cut, par, hqe, wc)`, where  $E_{\text{cut}}$  must be provided in  $\text{GeV}$ .

The first centralized moment is calculated as follows:

```
[13]: elcut = 0.5 #GeV
kolya.MXmoments.moment_1_KIN_MS(elcut,par,hqe,wc)
```

```
[13]: 4.492408891792521
```

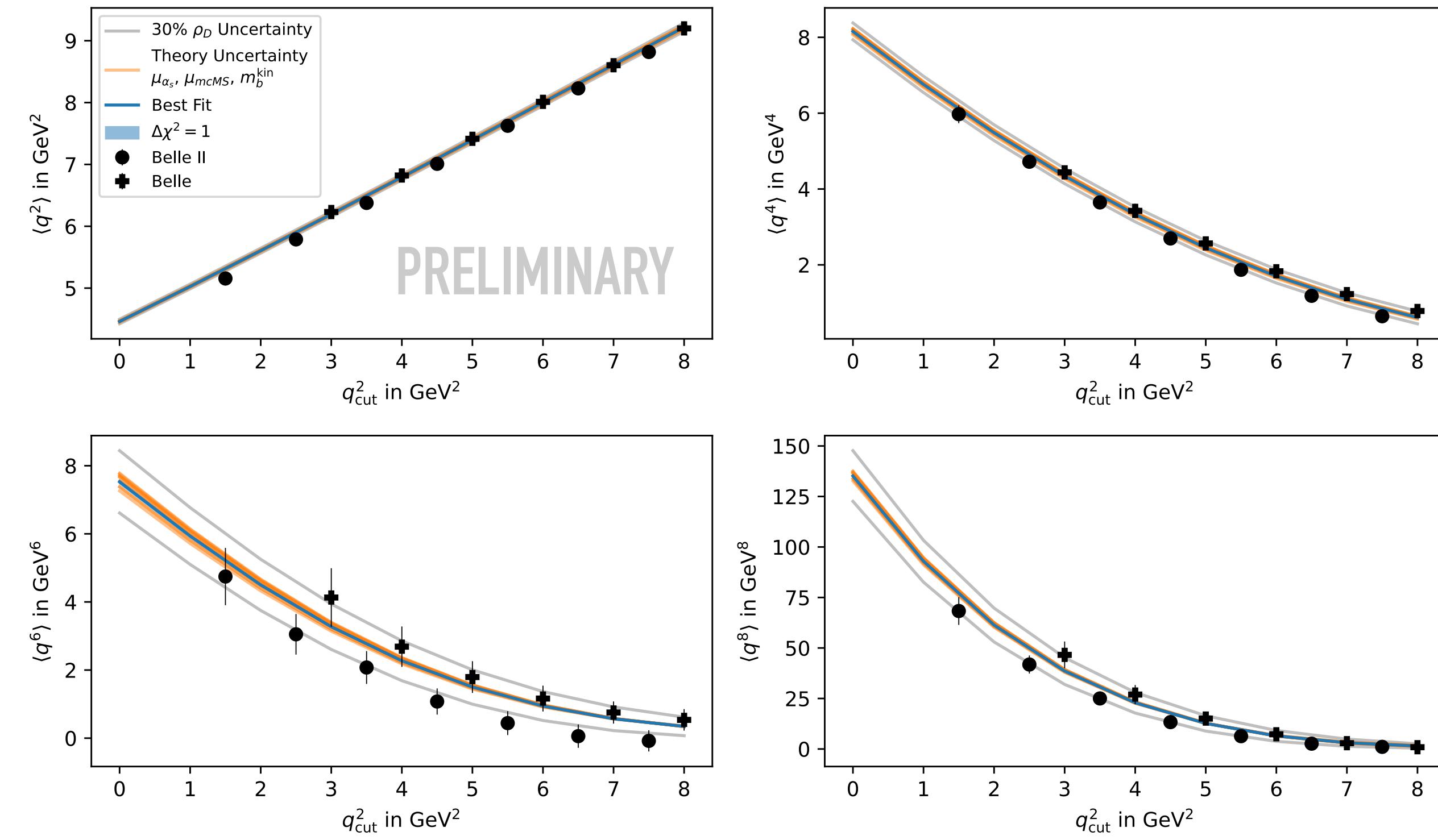
The result for  $\langle M_X^{2n} \rangle$  is in  $\text{GeV}^{2n}$



# FIT UP TO $1/m_b^5$

Bernlochner, MF, Milutin, Prim, Vos, in preparation

- Uncertainties from perturbative QCD estimated via scale variation.
- Very low p-Value in the fit.
- Current recipe: assign uncertainty on  $\mu_G$  and  $\rho_D$  to cover the theory uncertainty from truncation.
- Can we use new expressions up to  $O(1/m_b^5)$  to better assess theory uncertainty in the  $1/m_b$  expansion?



$$\chi^2/\text{d.o.f.} = 801/51$$

# LSSA APPROXIMATION

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- Too many parameters at  $O(1/m_b^4)$  and  $O(1/m_b^5)$
- Reparametrization invariance reduce the number

Mannel, Vos, JHEP 1806 (2018) 115; MF, Mannel, Vos, JHEP 02 (2019) 177, Mannel, Milutin, Vos hep-ph/2311.1200

- $1/m_b^4$ : 5 instead of 8
- $1/m_b^5$ : 10 instead of 19
- Idea: catch "higher order" effects" by estimating  $O(1/m_b^4)$  and  $O(1/m_b^5)$  and remove  $\rho_D$  uncertainty
- Lower state saturation ansatz (LSSA)

Mannel, S. Turczyk and N. Uraltsev, JHEP 1011, 109 (2010)  
Heinonen and T. Mannel, Nucl. Phys. B 889 (2014) 46

$$\begin{aligned}\langle B | \bar{b}_\nu iD^{\mu_1} iD^{\mu_2} \dots iD^{\mu_N} \Gamma b_\nu | B \rangle &= \langle B | \bar{b}_\nu A_k B_{n-k} \Gamma b_\nu | B \rangle \\ &= \frac{1}{2M_B} \sum_n \langle B | \bar{b}_\nu A_k b_\nu | H_n \rangle \langle H_n | \bar{b}_\nu B_k \Gamma b_\nu | B \rangle\end{aligned}$$

**STAY TUNED!**

Approximate with the lightest state  $|B\rangle\langle B|$

# CONCLUSIONS

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- Kolya is an open-source code for inclusive decays written in python.
- Comprehensive framework where all available corrections are implemented and validated.
- The library is open source, so code contributions and improvements are very welcome.
- New higher order corrections can be implemented like
  - QED corrections
  - Exact NNLO corrections to  $E_l$  and  $M_X$  moments with cuts
  - NLO corrections to  $\rho_D$  for  $E_l$  and  $M_X$  moments with cuts
- Additional variable
  - Forward-backward asymmetries  $A_{FB}$
  - Lepton universality ratio  $R_X = \Gamma_{B \rightarrow X_c \tau \bar{\nu}_\tau} / \Gamma_{B \rightarrow X_c l \bar{\nu}_l}$
  - Decay to charmless final state  $B \rightarrow X_u l \bar{\nu}_l$

## References

- [1] Y. Nir, *The Mass Ratio  $m_c/m_b$  in Semileptonic B Decays*, *Phys. Lett. B* **221** (1989) 184–190.
- [2] A. Pak and A. Czarnecki, *Mass effects in muon and semileptonic  $b \rightarrow c$  decays*, *Phys. Rev. Lett.* **100** (2008) 241807, [[0803.0960](#)].
- [3] A. Pak and A. Czarnecki, *Heavy-to-heavy quark decays at NNLO*, *Phys. Rev. D* **78** (2008) 114015, [[0808.3509](#)].
- [4] M. Dowling, J. H. Piclum and A. Czarnecki, *Semileptonic decays in the limit of a heavy daughter quark*, *Phys. Rev. D* **78** (2008) 074024, [[0810.0543](#)].
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